

Chapter 9: Main Memory





Chapter 9: Memory Management

- Background
- Swapping
- Contiguous Memory Allocation
- Segmentation
- Paging
- Structure of the Page Table
- Example: The Intel 32 and 64-bit Architectures
- Example: ARM Architecture





Objectives

- To provide a detailed description of various ways of organizing memory hardware
- To discuss various memory-management techniques, including paging and segmentation





Background

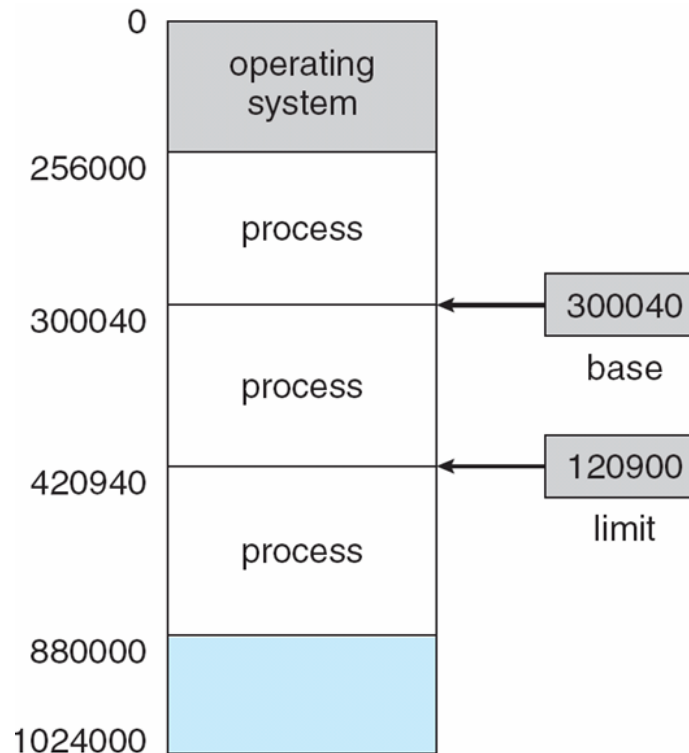
- Program must be brought (from disk) into memory and placed within a process for it to be run
- Main memory and registers are only storage CPU can access directly
- Memory unit only sees a stream of addresses + read requests, or address + data and write requests
- Register access in one CPU clock (or less)
- Main memory can take many cycles, causing a processor **stall** (stop running)
- **Cache** sits between main memory and CPU registers
- Protection of memory required to ensure correct operation





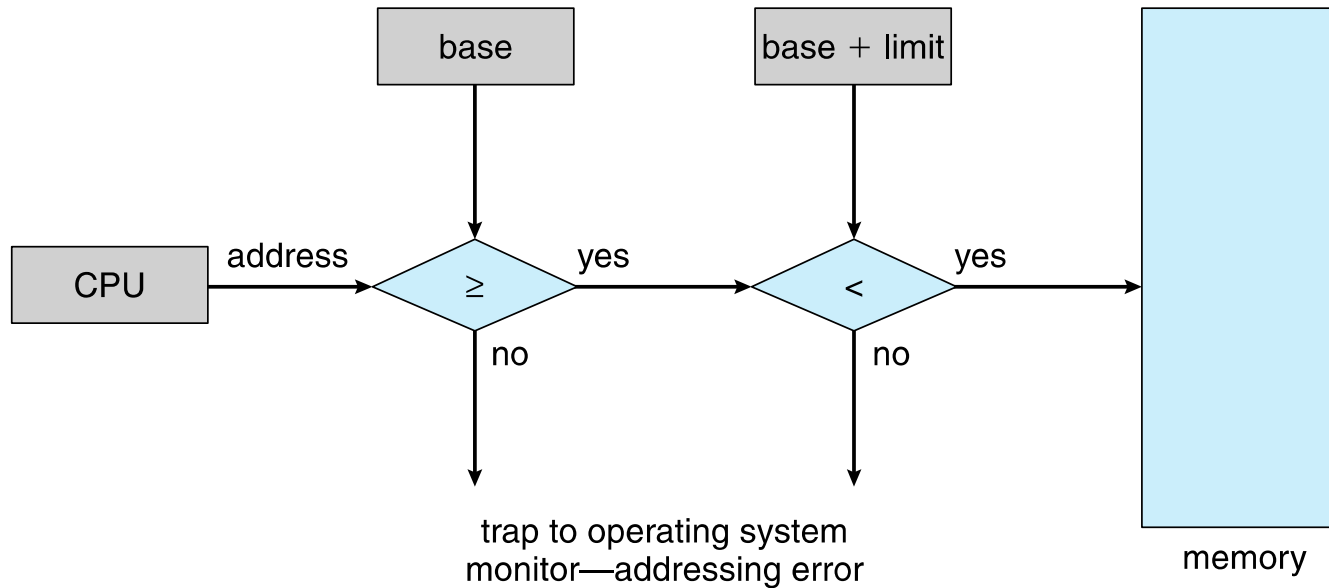
Base and Limit Registers

- A pair of **base** and **limit registers** define the logical address space
- CPU must check every memory access generated in user mode to be sure it is between base and limit for that user





Hardware Address Protection





Address Binding

- Programs on disk, ready to be brought into memory to execute form an **input queue**
 - Without support, must be loaded into address 0000
- Inconvenient to have first user process physical address always at 0000
 - How can it not be?
- Further, addresses represented in different ways at different stages of a program's life
 - Source code addresses usually symbolic
 - Compiled code addresses **bind** to relocatable addresses
 - ▶ i.e. "14 bytes from beginning of this module"
 - Linker or loader will bind relocatable addresses to absolute addresses
 - ▶ i.e. 74014
 - Each binding maps one address space to another





Binding of Instructions and Data to Memory

- Address binding of instructions and data to memory addresses can happen at three different stages
 - **Compile time:** If memory location known a priori, **absolute code** can be generated; must recompile code if starting location changes
 - **Load time:** Must generate **relocatable code** if memory location is not known at compile time
 - **Execution time:** Binding delayed until run time if the process can be moved during its execution from one memory segment to another
 - ▶ Need hardware support for address maps (e.g., base and limit registers)





Multistep Processing of a User Program





Logical vs. Physical Address Space

- The concept of a logical address space that is bound to a separate **physical address space** is central to proper memory management
 - **Logical address** – generated by the CPU; also referred to as **virtual address**
 - **Physical address** – address seen by the memory unit
- Logical and physical addresses are the same in compile-time and load-time address-binding schemes; logical (virtual) and physical addresses differ in execution-time address-binding scheme
- **Logical address space** is the set of all logical addresses generated by a program
- **Physical address space** is the set of all physical addresses generated by a program





Memory-Management Unit (MMU)

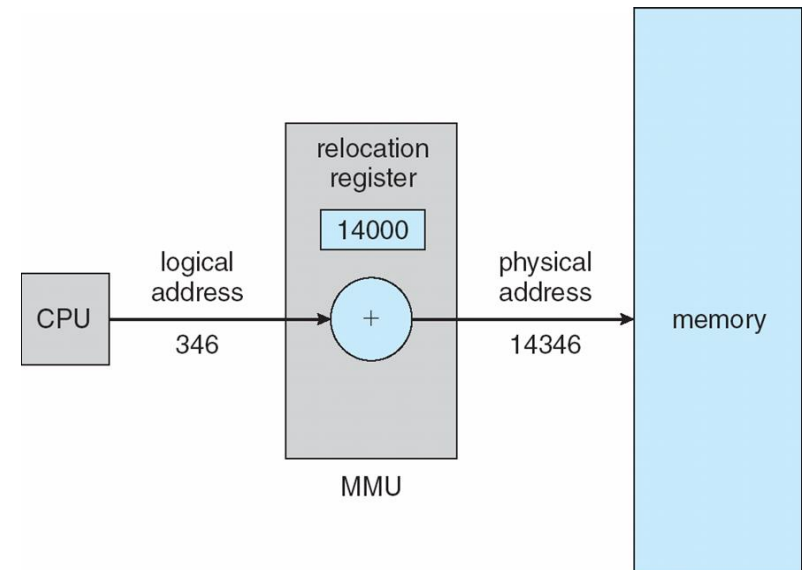
- Hardware device that at run time maps virtual to physical address
 - built-in component of the CPU
- Many methods possible, covered in the rest of this chapter
- To start, consider simple scheme where the value in the relocation register is added to every address generated by a user process at the time it is sent to memory
 - Base register now called **relocation register**
 - MS-DOS on Intel 80x86 used 4 relocation registers
- The user program deals with *logical* addresses; it never sees the *real* physical addresses
 - Execution-time binding occurs when reference is made to location in memory
 - Logical address bound to physical addresses





Dynamic relocation using a relocation register

- Routine is not loaded until it is called
- Better memory-space utilization; unused routine is never loaded
- All routines kept on disk in relocatable load format
- Useful when large amounts of code are needed to handle infrequently occurring cases
- No special support from the operating system is required
 - Implemented through program design
 - OS can help by providing libraries to implement dynamic loading





Dynamic Linking

- **Static linking** – system libraries and program code combined by the loader into the binary program image
- **Dynamic linking** – linking postponed until execution time
- Small piece of code, **stub**, used to locate the appropriate memory-resident library routine
- Stub replaces itself with the address of the routine, and executes the routine
- Operating system checks if routine is in processes' memory address
 - If not in address space, add to address space
- Dynamic linking is particularly useful for libraries
- System also known as **shared libraries**
- Consider applicability to patching system libraries
 - Versioning may be needed (ensure compatibility)

```
import time # Importing the 'time' module, like loading a library dynamically

def say_hello():
    print("Hello, World!")
    time.sleep(1) # Using a function from the dynamically loaded library

# Calling the function
say_hello()
```





Swapping

- A process can be **swapped** temporarily out of memory to a backing store, and then brought back into memory for continued execution
 - Total physical memory space of processes can exceed physical memory
- **Backing store** – fast disk large enough to accommodate copies of all memory images for all users; must provide direct access to these memory images
- **Roll out, roll in** – swapping variant used for priority-based scheduling algorithms; lower-priority process is swapped out so higher-priority process can be loaded and executed
- Major part of swap time is transfer time; total transfer time is directly proportional to the amount of memory swapped
- System maintains a **ready queue** of ready-to-run processes which have memory images on disk





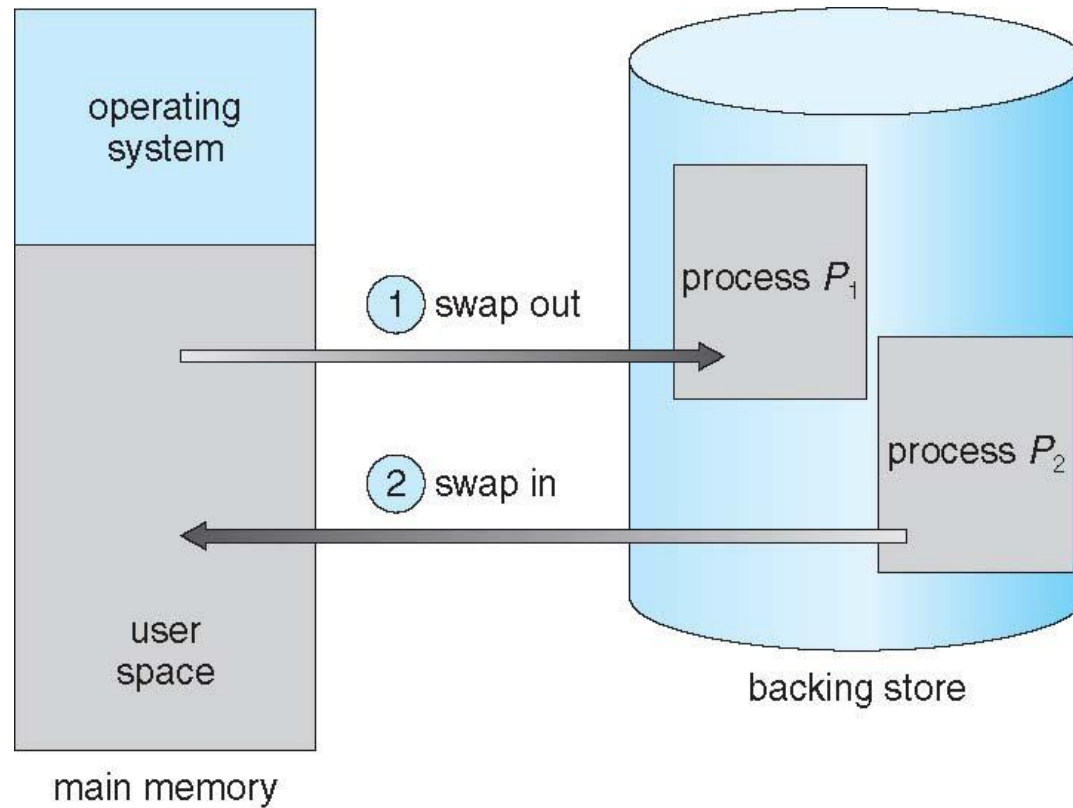
Swapping (Cont.)

- Does the swapped out process need to swap back in to same physical addresses?
- Depends on address binding method
 - Plus consider pending I/O to / from process memory space
- Modified versions of swapping are found on many systems (i.e., UNIX, Linux, and Windows)
 - Swapping normally disabled
 - Started if more than threshold amount of memory allocated
 - Disabled again once memory demand reduced below threshold





Schematic View of Swapping





Context Switch Time including Swapping

- If next processes to be put on CPU is not in memory, need to swap out a process and swap in target process
- Context switch time can then be very high
- 100MB process swapping to hard disk with transfer rate of 50MB/sec
 - Swap out time of 2000 ms
 - Plus swap in of same sized process
 - Total context switch swapping component time of 4000ms (4 seconds)
- Can reduce if reduce size of memory swapped – by knowing how much memory really being used
 - System calls to inform OS of memory use via `request_memory()` and `release_memory()`
 - Track the **real memory usage** more accurately.





Context Switch Time and Swapping (Cont.)

- Other constraints as well on swapping
 - Pending I/O – can't swap out as I/O would occur to wrong process
 - Or always transfer I/O to kernel space, then to I/O device
 - ▶ Known as **double buffering**, adds overhead
- Standard swapping not used in modern operating systems
 - But modified version common
 - ▶ Swap only when free memory extremely low



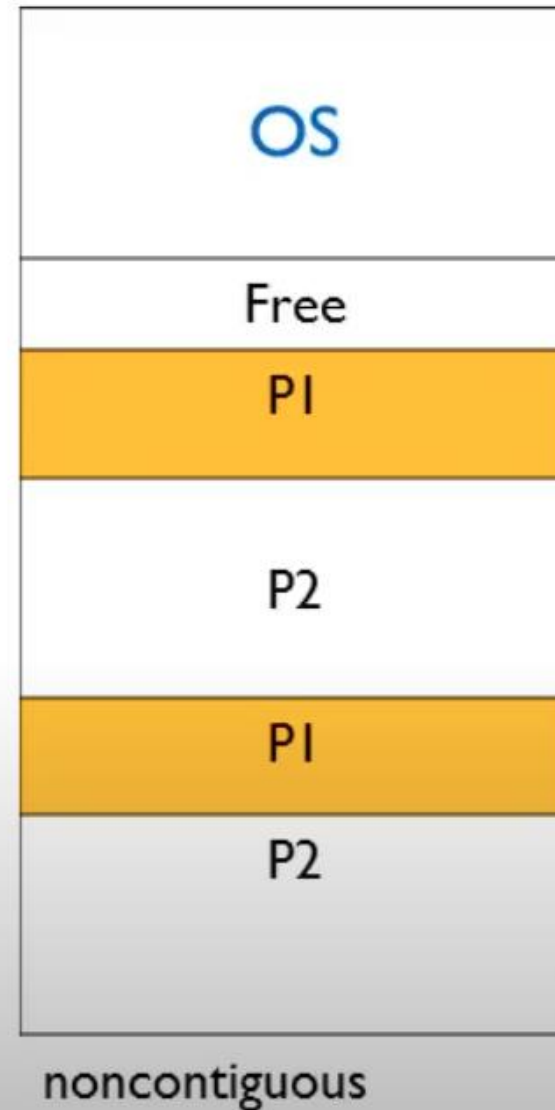
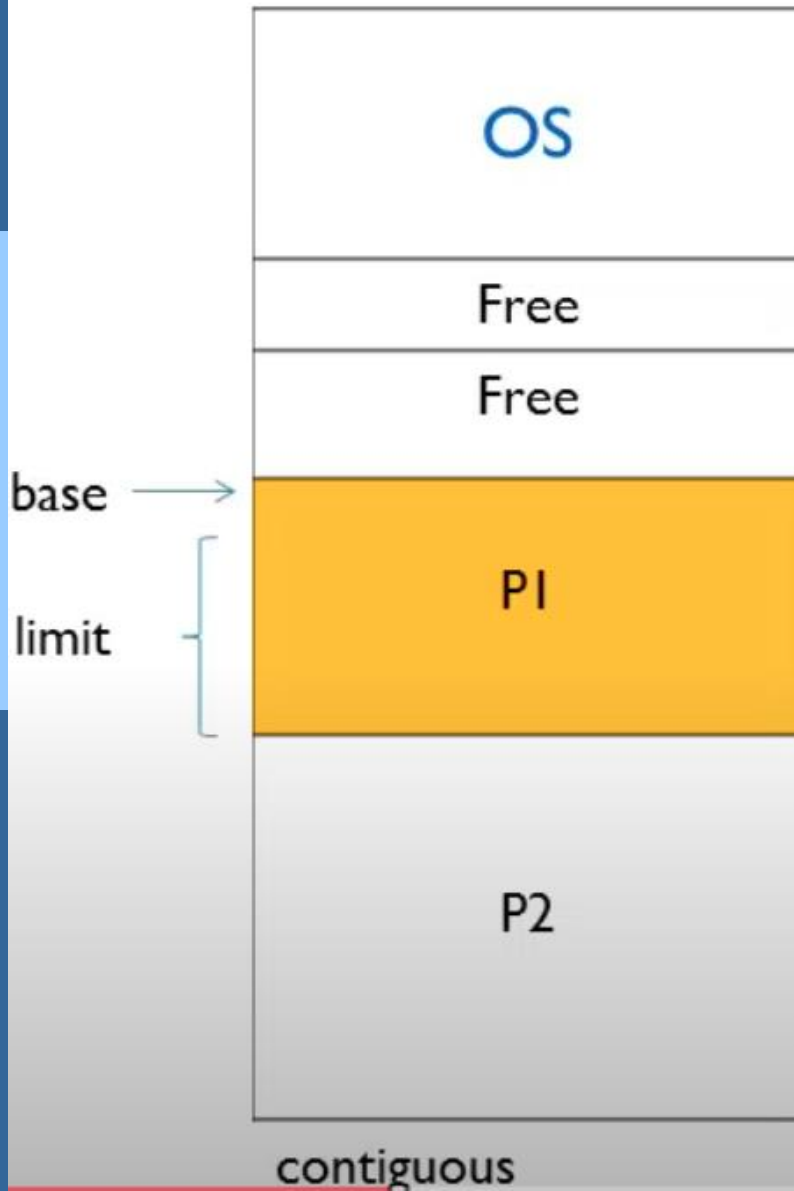


Swapping on Mobile Systems

- **Not typically supported**
 - Flash memory based
 - ▶ Small amount of space
 - ▶ Limited number of write cycles
 - ▶ Poor throughput between flash memory and CPU on mobile platform
- Instead use other methods to free memory if low
 - iOS **asks** apps to voluntarily relinquish allocated memory
 - ▶ Read-only data thrown out and reloaded from flash if needed
 - ▶ Failure to free can result in termination
 - Android terminates apps if low free memory, but first writes **application state** to flash for fast restart
 - Both OSes support paging as discussed below



CONTIGUOUS VS NONCONTIGUOUS





Contiguous Allocation

- Main memory must support both OS and user processes
- Limited resource, must allocate efficiently
- Contiguous allocation is one early method
- Main memory usually into two partitions:
 - Resident operating system, usually held in low memory with interrupt vector
 - User processes then held in high memory
 - Each process contained in single contiguous section of memory





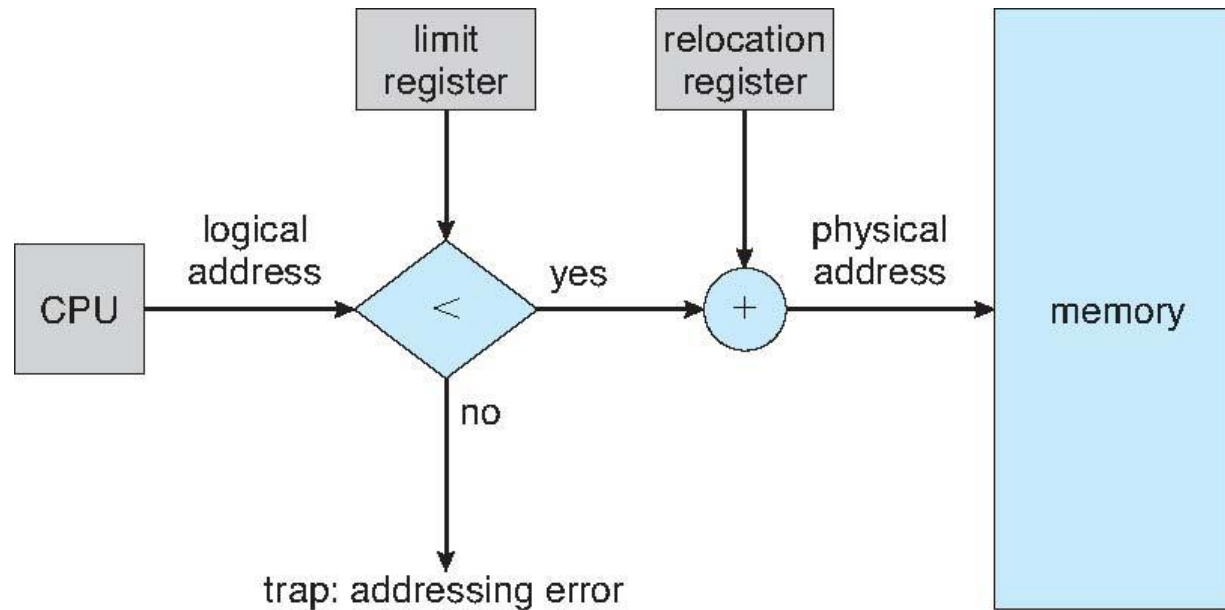
Contiguous Allocation (Cont.)

- Relocation registers used to protect user processes from each other, and from changing operating-system code and data
 - Base register contains value of smallest physical address
 - Limit register contains range of logical addresses – each logical address must be less than the limit register
 - MMU maps logical address *dynamically*
 - Can then allow actions such as kernel code being **transient** and kernel changing size
 - ▶ OS (kernel) can temporarily load and unload its code.
 - ▶ OS can change its size as needed, while still protecting the memory of user processes.





Hardware Support for Relocation and Limit Registers

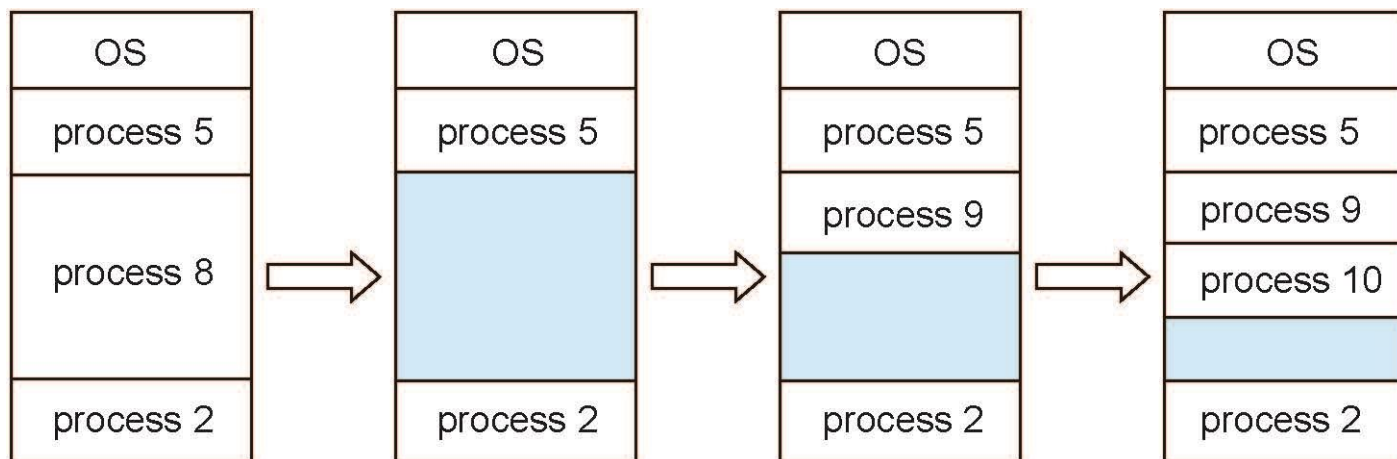




Multiple-partition allocation

■ Multiple-partition allocation

- Degree of multiprogramming limited by number of partitions
- **Variable-partition** sizes for efficiency (sized to a given process' needs)
- **Hole** – block of available memory; holes of various size are scattered throughout memory
- When a **process arrives**, it is allocated memory from a hole large enough to accommodate it
- **Process exiting** frees its partition, adjacent free partitions combined
- **Operating system maintains information about:**
 - a) allocated partitions b) free partitions (hole)





Dynamic Storage-Allocation Problem

How to satisfy a request of size n from a list of free holes?

- **First-fit**: Allocate the **first** hole that is big enough
- **Best-fit**: Allocate the **smallest** hole that is big enough; must search entire list, unless ordered by size
 - Produces the smallest leftover hole
- **Worst-fit**: Allocate the **largest** hole; must also search entire list
 - Produces the largest leftover hole

First-fit and best-fit better than worst-fit in terms of speed and storage utilization





Fragmentation

- **External Fragmentation** – total memory space exists to satisfy a request, but it is not contiguous **Main Memory not contiguous (split up)**
- **Internal Fragmentation** – allocated memory may be slightly larger than requested memory; this size difference is memory internal to a partition, but not being used **Space left over in a block once allocated to something**
- First fit analysis reveals that given N blocks allocated, $0.5 N$ blocks lost to fragmentation
 - $1/3$ may be unusable -> **50-percent rule**
 - **Ex: 10 blocks** of memory, allocate memory for 4 processes:
 - ▶ **Process 1 takes 3 blocks.**
 - ▶ **Process 2 takes 2 blocks.**
 - ▶ **Process 3 takes 3 blocks.**
 - ▶ **Process 4 takes 1 block.**
 - ▶ only 1 block in size, no new processes can fit if they need more than 1 block
 - ▶ Around **4-5 blocks** ($1/3$ of the total) to be lost to fragmentation over time





Fragmentation (Cont.)

- Reduce external fragmentation by **compaction**
 - Shuffle memory contents to place all free memory together in one large block
 - Compaction is possible *only* if relocation is **dynamic**, and is done at **execution time**
 - I/O problem
 - ▶ Latch job in memory while it is involved in I/O
 - ▶ Do I/O only into OS buffers
- Now consider that backing store has same fragmentation problems





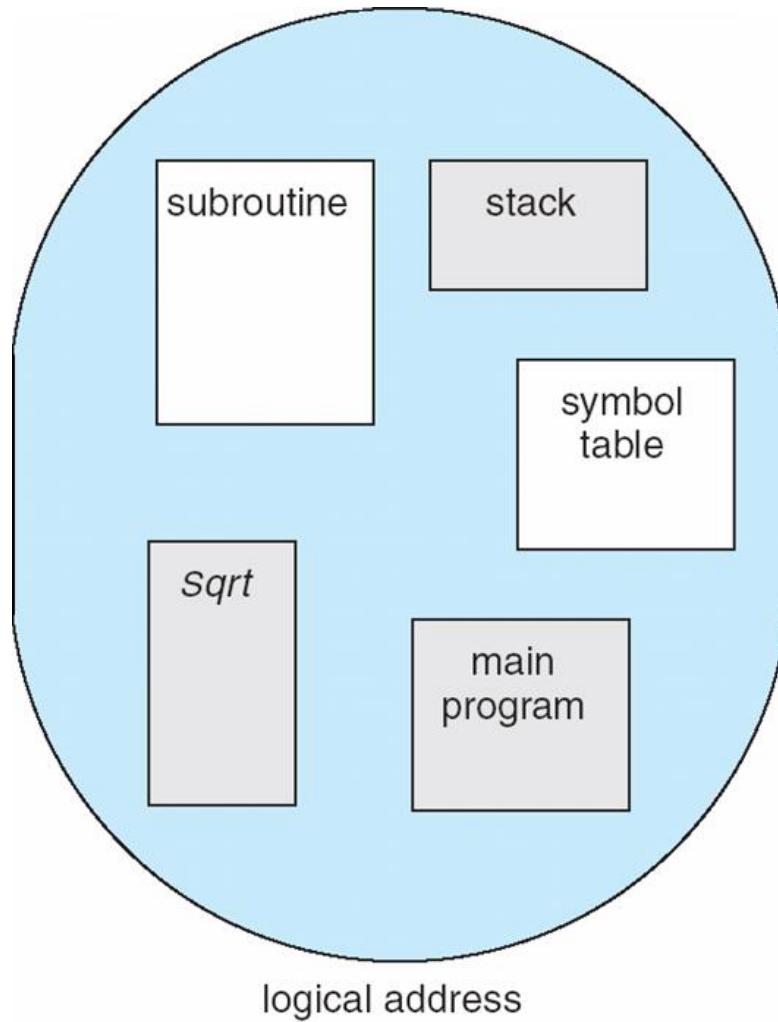
Segmentation

- Memory-management scheme that supports user view of memory
- A program is a collection of segments
 - A segment is a logical unit such as:
 - main program
 - procedure
 - function
 - method
 - object
 - local variables, global variables
 - common block
 - stack
 - symbol table
 - arrays



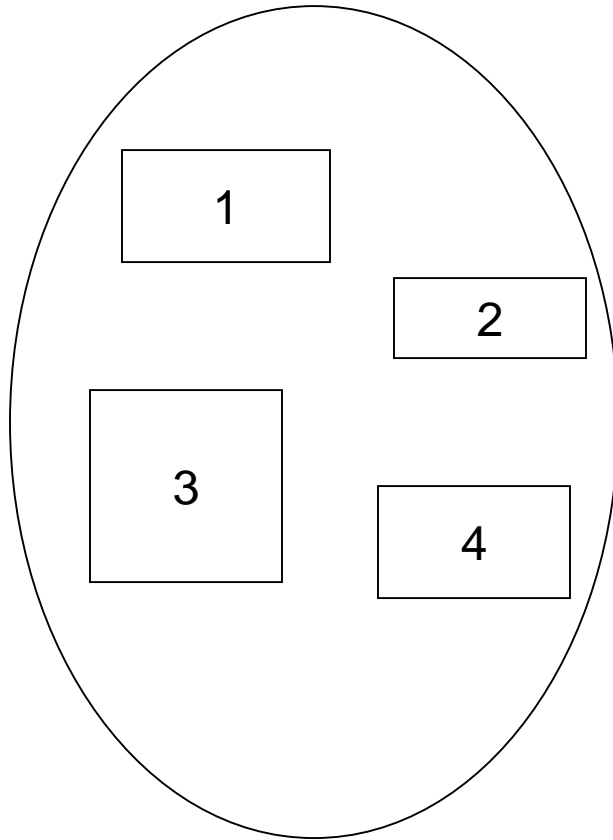


User's View of a Program

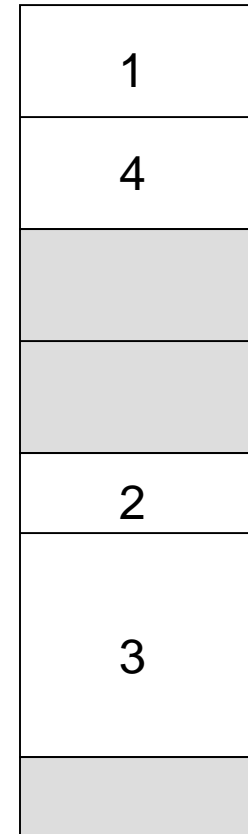




Logical View of Segmentation



user space



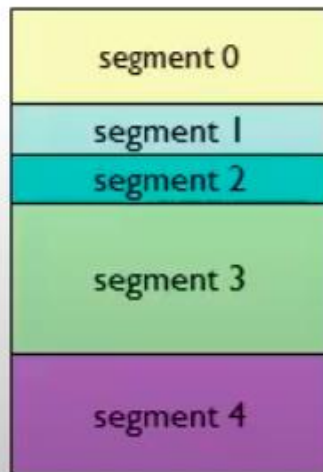
physical memory space



SEGMENTATION

- A program is a collection of segments
 - A segment is a logical unit such as:

main program
procedure
function
method
object
local variables,
global variables
common block
stack
symbol table
arrays



	base	limit
0	1400	1000
1	6300	400
2	4300	400
3	3200	1100
4	4700	1000

1400
2400
3200
4300
4700
5700
6300
6700



Physical memory





Segmentation Architecture

- Logical address consists of a two tuple:
 $\langle \text{segment-number}, \text{offset} \rangle$,
- **Segment table** – maps two-dimensional physical addresses; each table entry has:
 - **base** – contains the starting physical address where the segments reside in memory
 - **limit** – specifies the length of the segment
- **Segment-table base register (STBR)** points to the segment table's location in memory
- **Segment-table length register (STLR)** indicates number of segments used by a program;
segment number **s** is legal if **s** < **STLR**





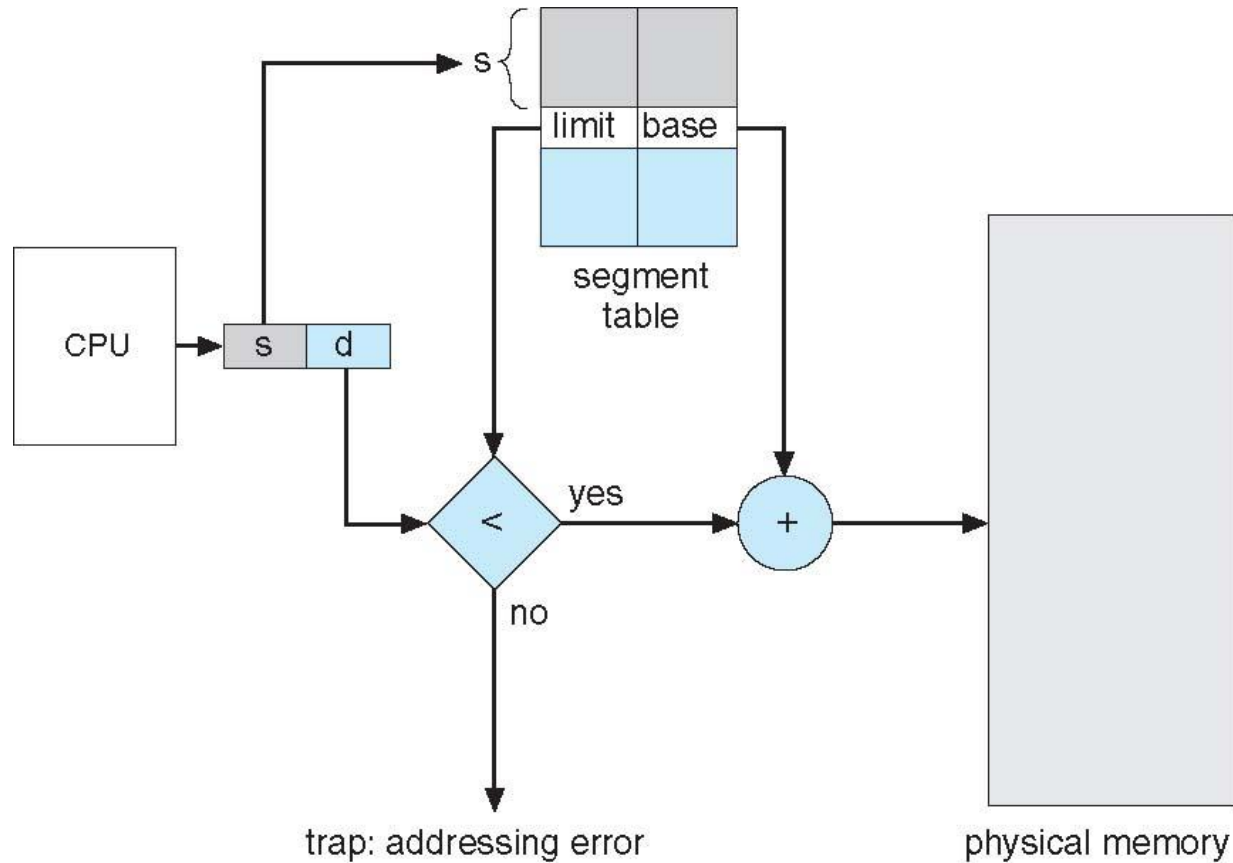
Segmentation Architecture (Cont.)

- Protection
 - With each entry in segment table associate:
 - ▶ validation bit = 0 \Rightarrow illegal segment
 - ▶ read/write/execute privileges
- Protection bits associated with segments; code sharing occurs at segment level
- Since segments vary in length, memory allocation is a dynamic storage-allocation problem
- A segmentation example is shown in the following diagram





Segmentation Hardware





Paging

- Physical address space of a process can be noncontiguous; process is allocated physical memory whenever the latter is available
 - Avoids external fragmentation
 - Avoids problem of varying sized memory chunks
- Divide physical memory into fixed-sized blocks called **frames**
 - Size is power of 2, between 512 bytes and 16 Mbytes
- Divide logical memory into blocks of same size called **pages**
- Keep track of all free frames
- To run a program of size ***N*** pages, need to find ***N*** free frames and load program
- Set up a **page table** to translate logical to physical addresses
- Backing store likewise split into pages
- Still have Internal fragmentation





PAGING

0	
1	
2	
3	
4	
5	
6	
7	

Logical/virtual memory
pages

Frame #	
0	1
1	4
2	3
3	7
4	
5	
6	
7	

Page table

0	
1	Page 0
2	
3	Page 2
4	Page 1
5	
6	
7	Page 3
8	
9	
10	
11	
12	
13	
14	

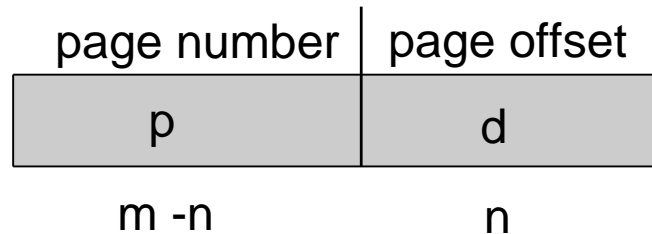
Physical memory
frames





Address Translation Scheme

- Address generated by CPU is divided into:
 - **Page number** (p) – used as an index into a **page table** which contains base address of each page in physical memory
 - **Page offset** (d) – combined with base address to define the physical memory address that is sent to the memory unit

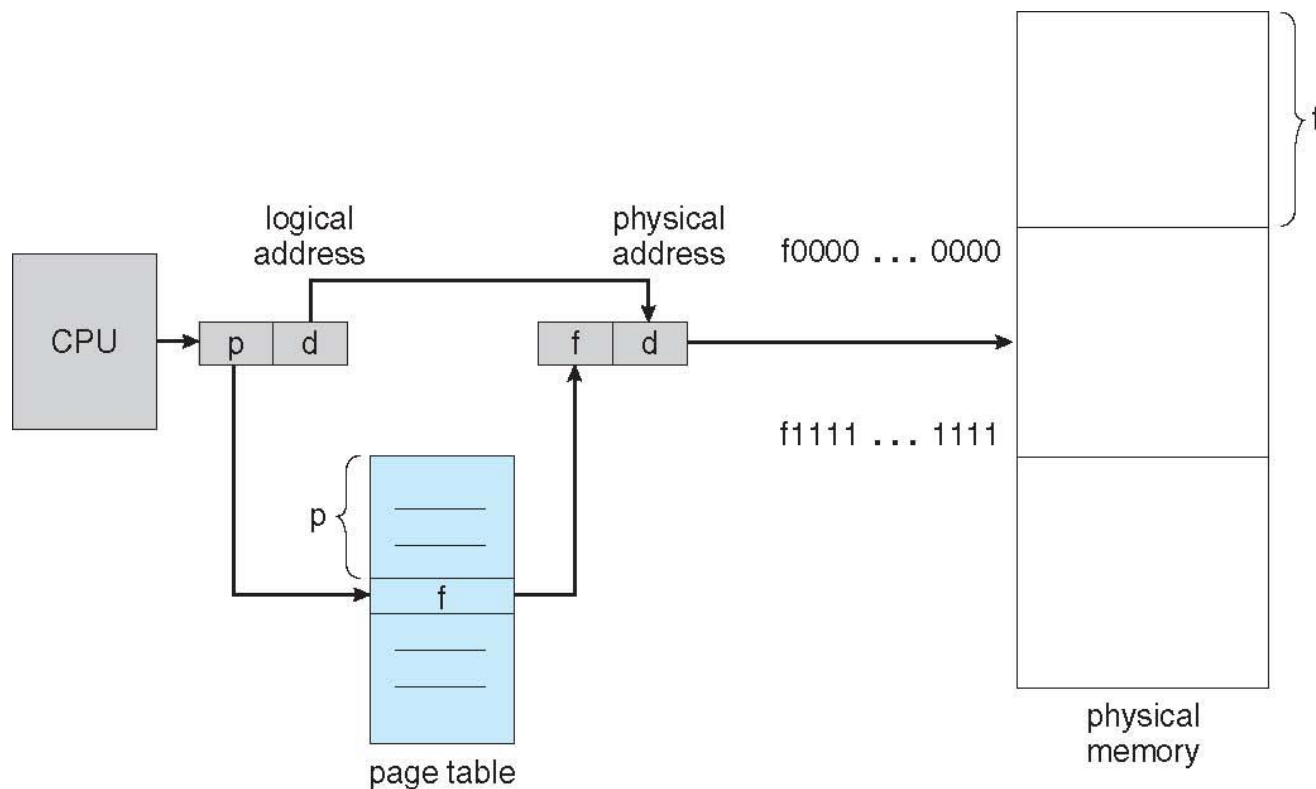


- For given logical address space 2^m and page size 2^n



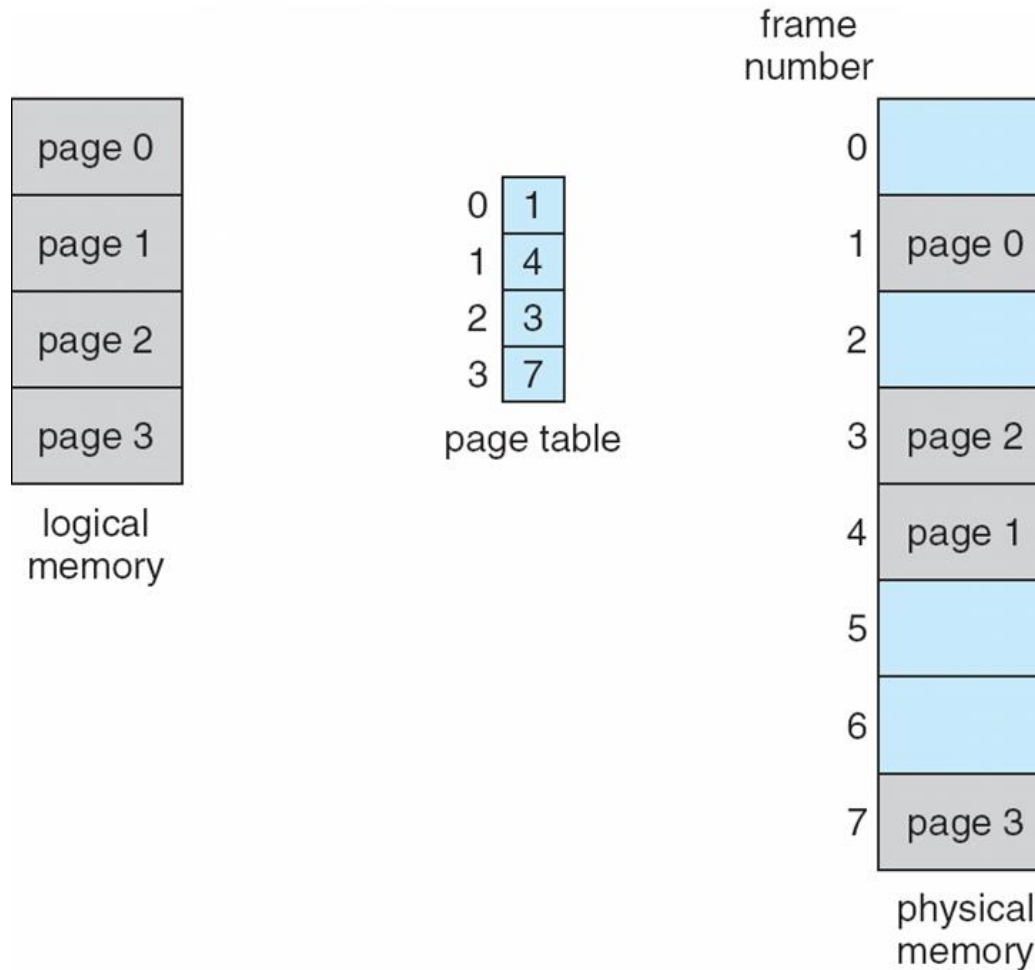


Paging Hardware



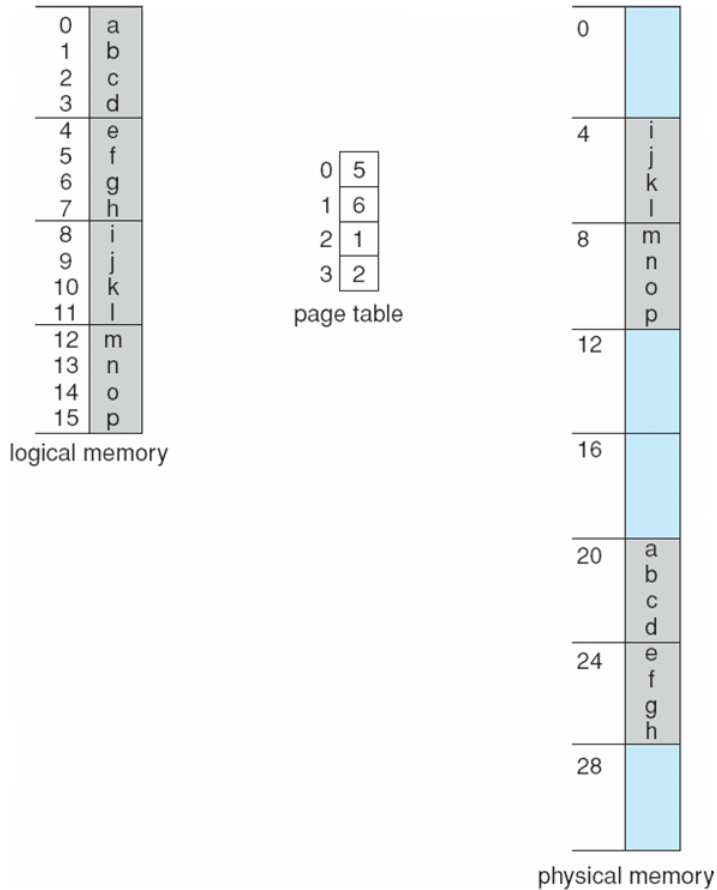


Paging Model of Logical and Physical Memory





Paging Example



$n=2$ and $m=4$ 32-byte memory and 4-byte pages





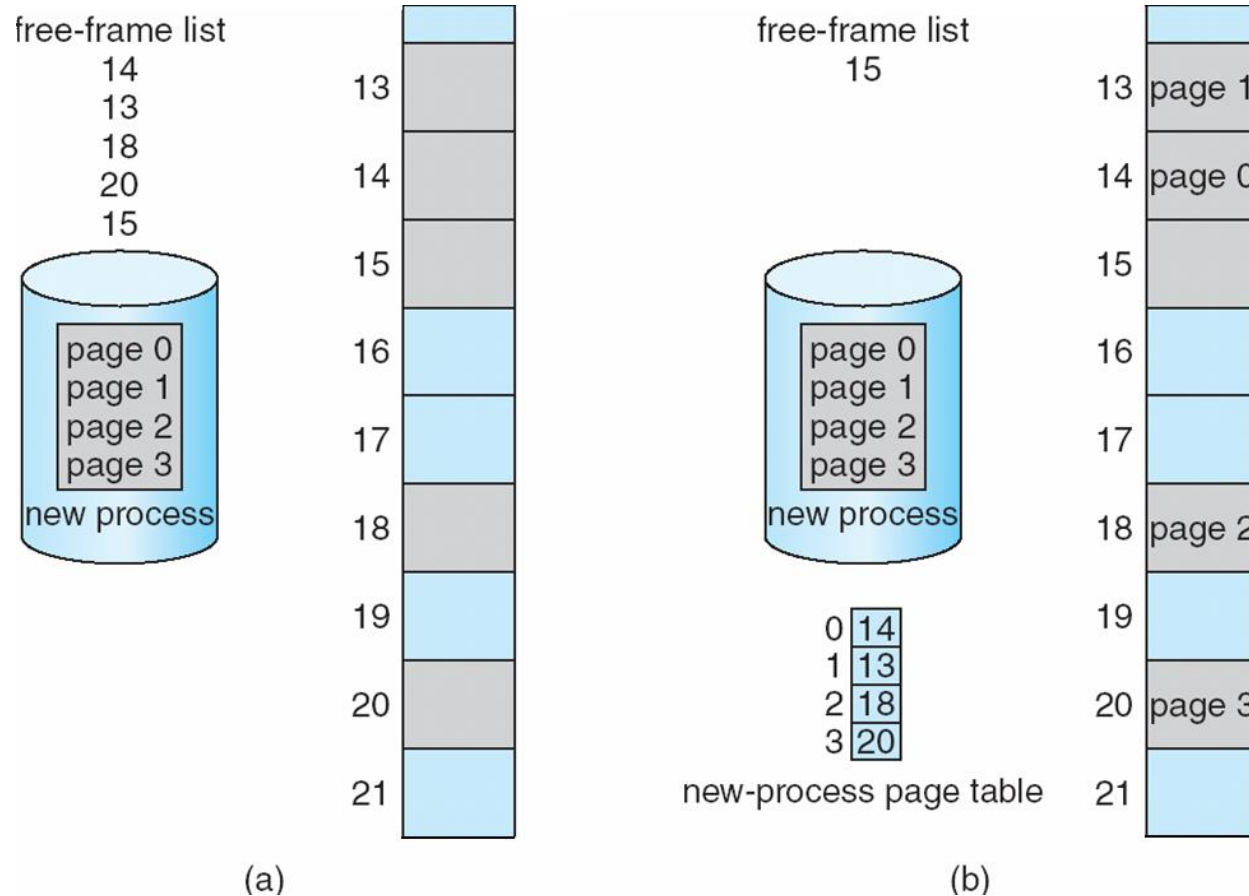
Paging (Cont.)

- Calculating internal fragmentation
 - Page size = 2,048 bytes
 - Process size = 72,766 bytes
 - 35 pages + 1,086 bytes
 - Internal fragmentation of $2,048 - 1,086 = 962$ bytes
 - Worst case fragmentation = 1 frame – 1 byte
 - On average fragmentation = $1 / 2$ frame size
 - So small frame sizes desirable?
 - But each page table entry takes memory to track
 - Page sizes growing over time
 - ▶ Solaris supports two page sizes – 8 KB and 4 MB
- Process view and physical memory now very different
- By implementation process can only access its own memory





Free Frames



Before allocation

After allocation





Implementation of Page Table

- Page table is kept in main memory
- **Page-table base register (PTBR)** points to the page table
- **Page-table length register (PTLR)** indicates size of the page table
- In this scheme every data/instruction access requires two memory accesses
 - One for the page table and one for the data / instruction
- The two memory access problem can be solved by the use of a special fast-lookup **hardware cache** called **associative memory** or **translation look-aside buffers (TLBs)**





Implementation of Page Table (Cont.)

- Some TLBs store **address-space identifiers (ASIDs)** in each TLB entry – uniquely identifies each process to provide address-space protection for that process
 - Otherwise need to flush at every context switch
- TLBs typically small (64 to 1,024 entries)
- On a TLB miss, value is loaded into the TLB for faster access next time
 - Replacement policies must be considered
 - ▶ Ex. least recently used
 - Some entries can be **wired down** for permanent fast access
 - ▶ fixed and never removed from the TLB
 - ▶ useful for certain critical memory areas that the system needs to access quickly all the time.





Associative Memory

- Associative memory – parallel search

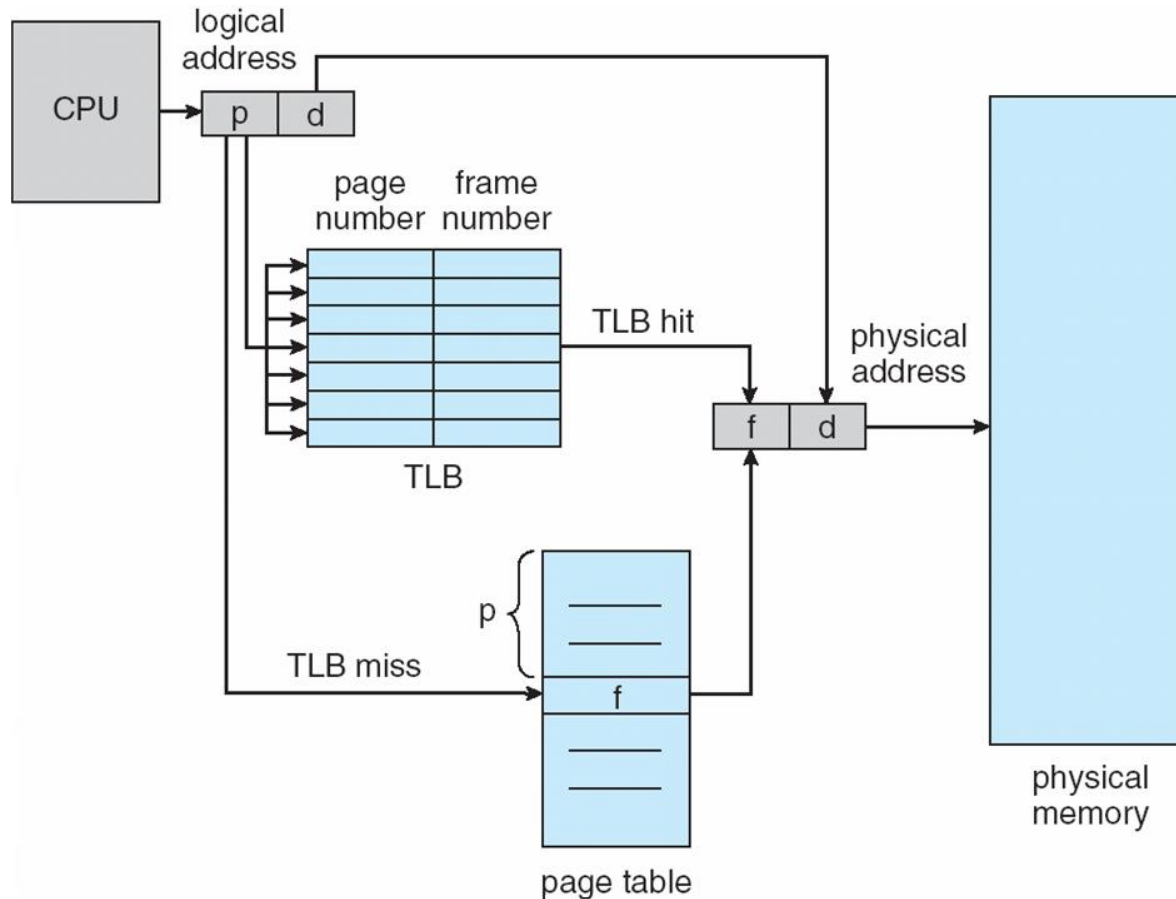
Page #	Frame #

- Address translation (p, d)
 - If p is in associative register, get frame # out
 - Otherwise get frame # from page table in memory





Paging Hardware With TLB



PAGING EXAMPLE

00	00	0	a
	01	1	b
	10	2	c
	11	3	d
01	00	4	e
	01	5	f
	10	6	g
	11	7	h
10	00	8	i
	01	9	j
	10	10	k
	11	11	l
11	00	12	m
	01	13	n
	10	14	o
	11	15	p

4 byte pages

Frame #	
0	5
1	6
2	1
3	2

Page table

000	00 01 10 11	0	
001	00 01 10 11	4	i j k l
010	00 01 10 11	8	m n o p
011	00 01 10 11	12	
100	00 01 10 11	16	
101	00 01 10 11	20	a b c d
110	00 01 10 11	24	e f g h
111	00 01 10 11	28	

10	10
----	----

Logical address
of letter k

001	10
-----	----

Physical address
of letter k

32 byte M





Effective Access Time

- Associative Lookup = ε time unit
 - Can be < 10% of memory access time
- Hit ratio = α
 - Hit ratio – percentage of times that a page number is found in the associative registers; ratio related to number of associative registers
- Memory access = m time units
- Consider $\alpha = 80\%$, $\varepsilon = 20\text{ns}$ for TLB search, $m=100\text{ns}$ for memory access
- **Effective Access Time (EAT)**

$$\begin{aligned} \text{EAT} &= \varepsilon + (m) \alpha + (2 m)(1 - \alpha) \\ &= \varepsilon + m(2 - \alpha) \end{aligned}$$

- Consider $\alpha = 80\%$, $\varepsilon = 20\text{ns}$ for TLB search, $m=100\text{ns}$ for memory access
 - $\text{EAT} = 20 \text{ ns} + 100\text{ns} (2 - 0.80) = 140 \text{ ns}$
- Consider more realistic hit ratio -> $\alpha = 99\%$, $\varepsilon = 20\text{ns}$ for TLB search, 100ns for memory access
 - $\text{EAT} = 20 \text{ ns} + 100\text{ns} (2 - 0.99) = 121 \text{ ns}$





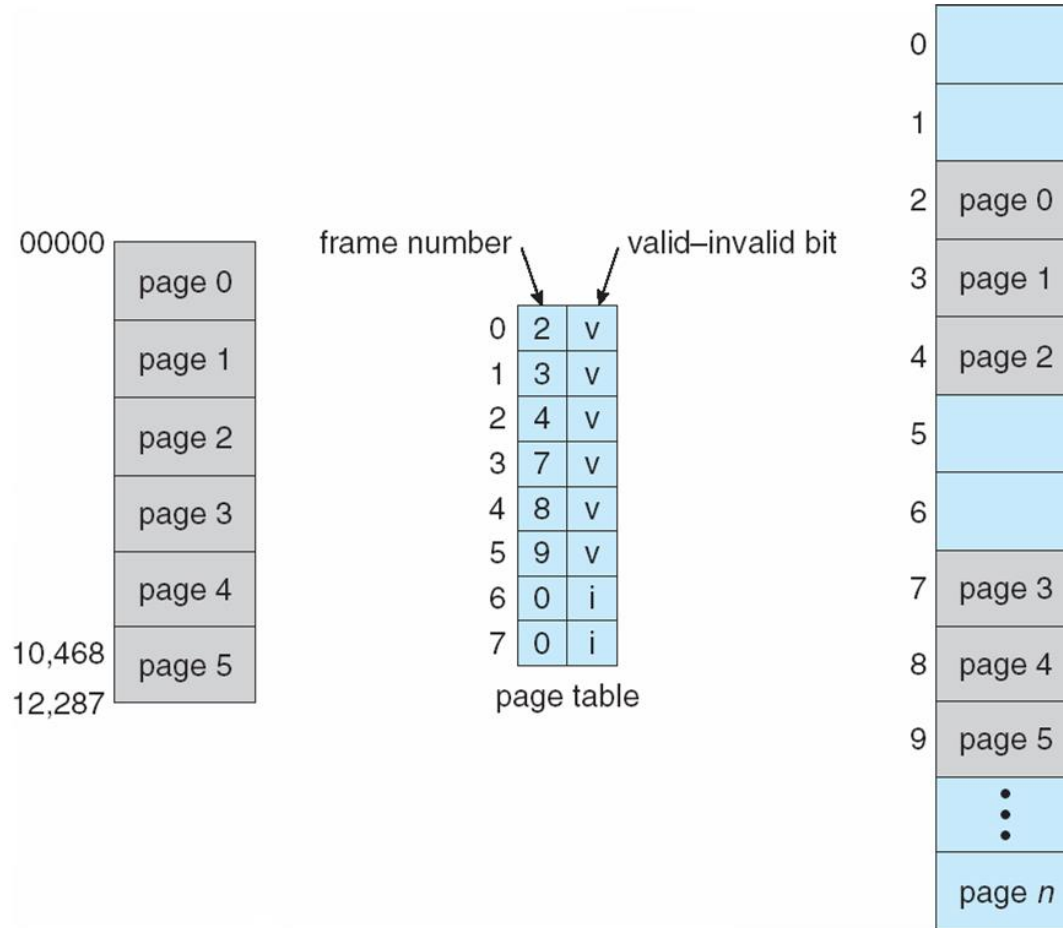
Memory Protection

- Memory protection implemented by associating protection bit with each frame to indicate if read-only or read-write access is allowed
 - Can also add more bits to indicate page execute-only, and so on
- **Valid-invalid** bit attached to each entry in the page table:
 - “valid” indicates that the associated page is in the process’ logical address space, and is thus a legal page
 - “invalid” indicates that the page is not in the process’ logical address space
 - Or use **page-table length register (PTLR)**
- Any violations result in a trap to the kernel





Valid (v) or Invalid (i) Bit In A Page Table





Shared Pages

■ Shared code

- One copy of read-only (**reentrant**) code shared among processes (i.e., text editors, compilers, window systems)
- Similar to multiple threads sharing the same process space
- Also useful for interprocess communication if sharing of read-write pages is allowed

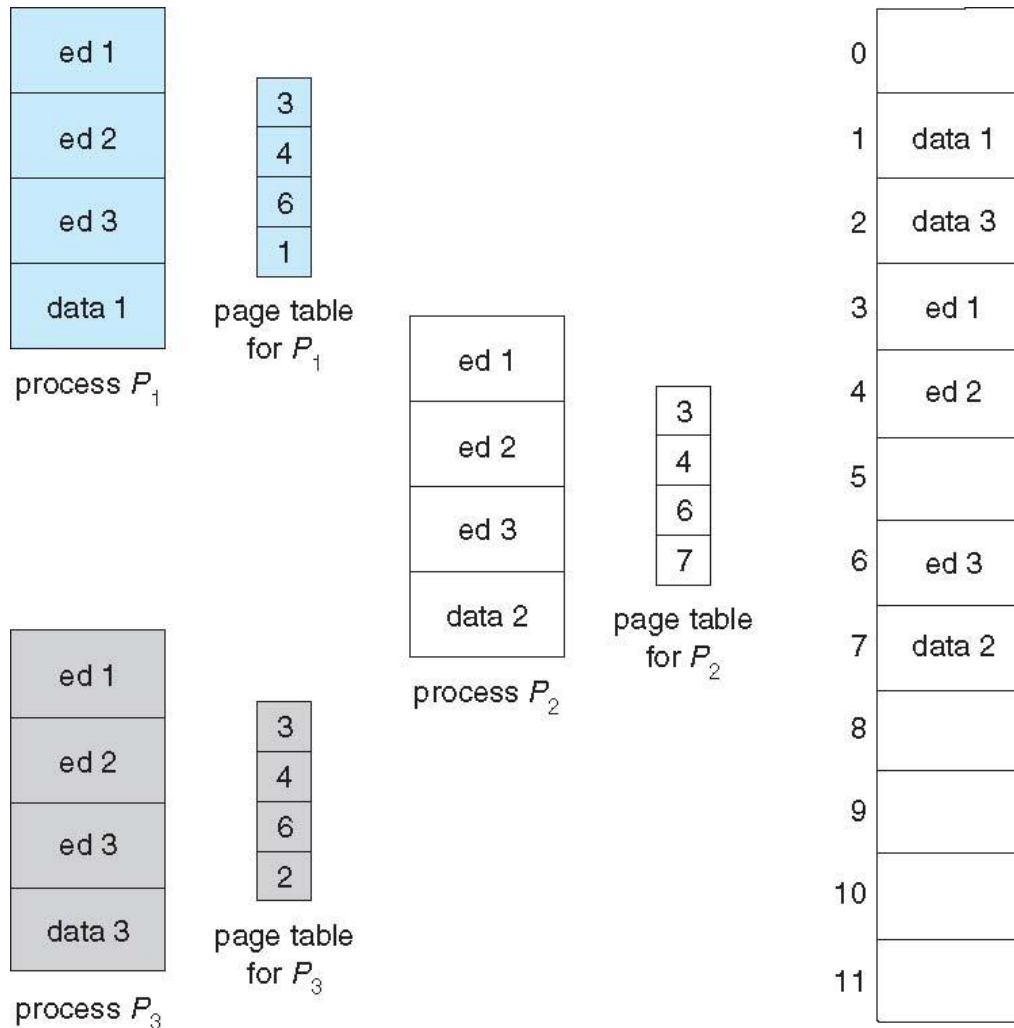
■ Private code and data

- Each process keeps a separate copy of the code and data
- The pages for the private code and data can appear anywhere in the logical address space





Shared Pages Example





Structure of the Page Table

- Memory structures for paging can get huge using straightforward methods
 - Consider a 32-bit logical address space as on modern computers
 - Page size of 4 KB (2^{12})
 - Page table would have 1 million entries ($2^{32} / 2^{12}$)
 - If each entry is 4 bytes -> 4 MB of physical address space / memory for page table alone
 - ▶ That amount of memory used to cost a lot
 - ▶ Don't want to allocate that contiguously in main memory
- **Hierarchical Paging**
- **Hashed Page Tables**
- **Inverted Page Tables**





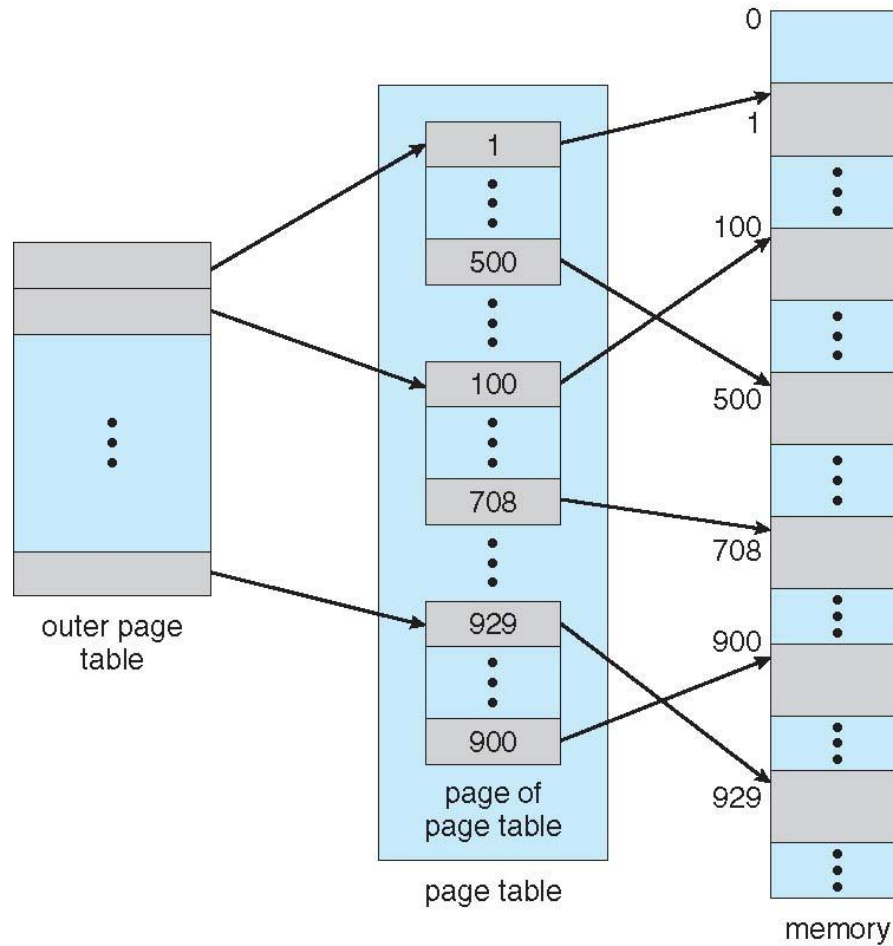
Hierarchical Page Tables

- Break up the logical address space into multiple page tables
- A simple technique is a two-level page table
- We then page the page table



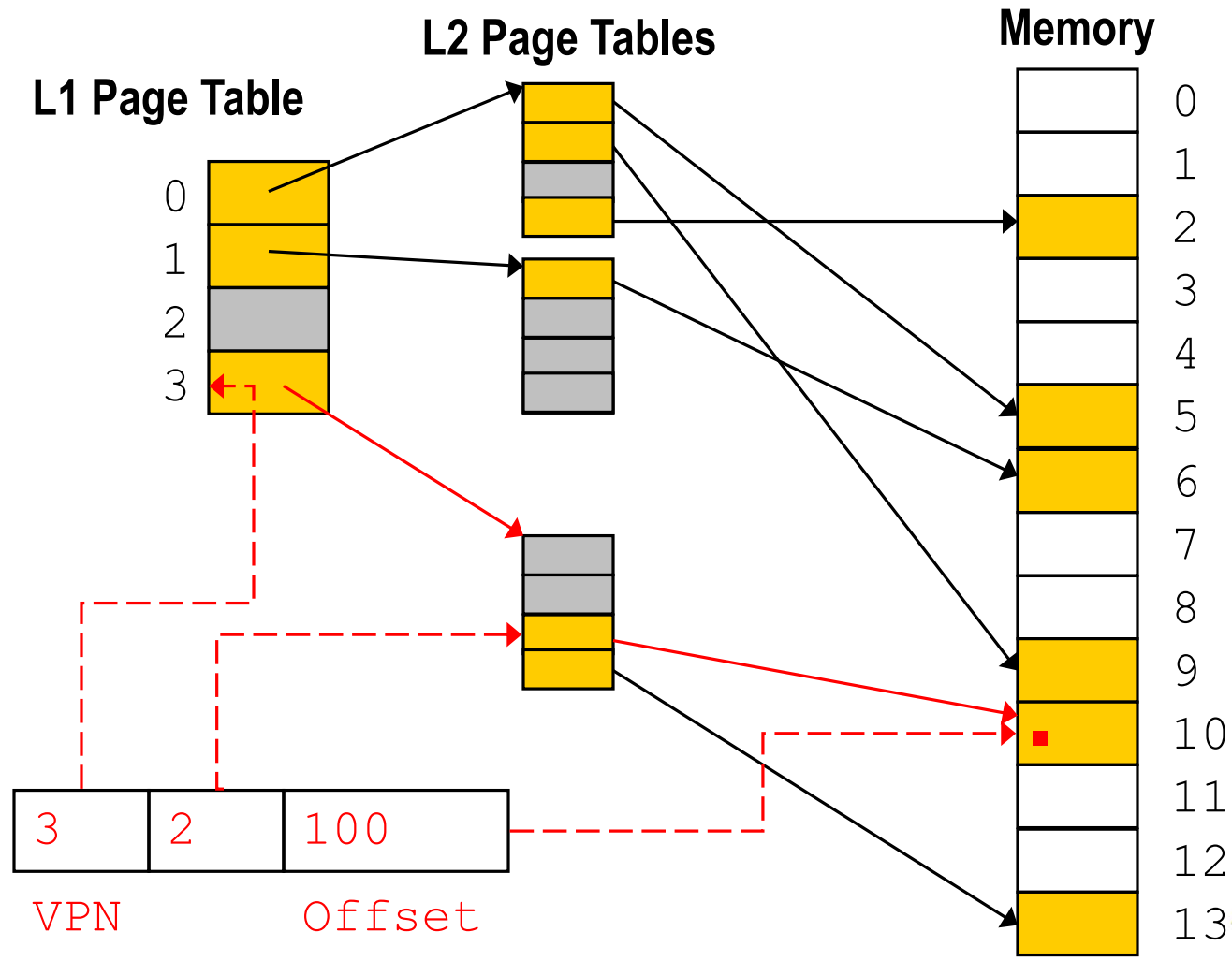


Two-Level Page-Table Scheme





Multi-level Page Tables





Two-Level Paging Example

- A logical address (on 32-bit machine with 1K page size) is divided into:
 - a page number consisting of 22 bits
 - a page offset consisting of 10 bits
- Since the page table is paged, the page number is further divided into:
 - a 12-bit page number
 - a 10-bit page offset
- Thus, a logical address is as follows:

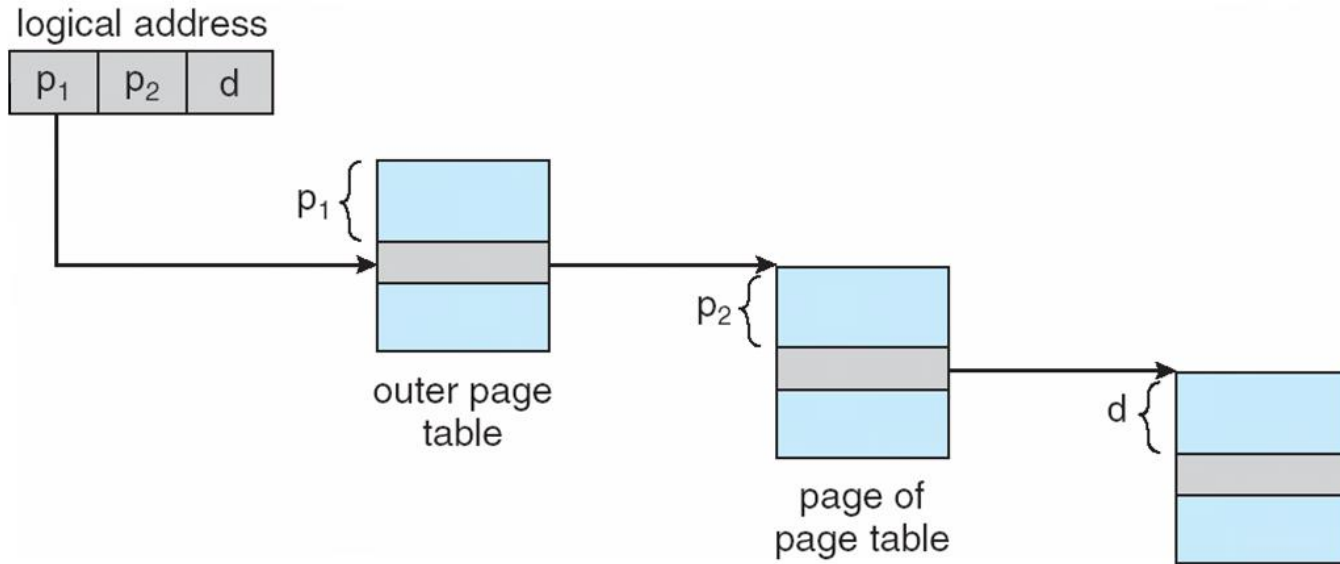
page number		page offset
p_1	p_2	d
12	10	10

- where p_1 is an index into the outer page table, and p_2 is the displacement within the page of the inner page table
- Known as **forward-mapped page table**



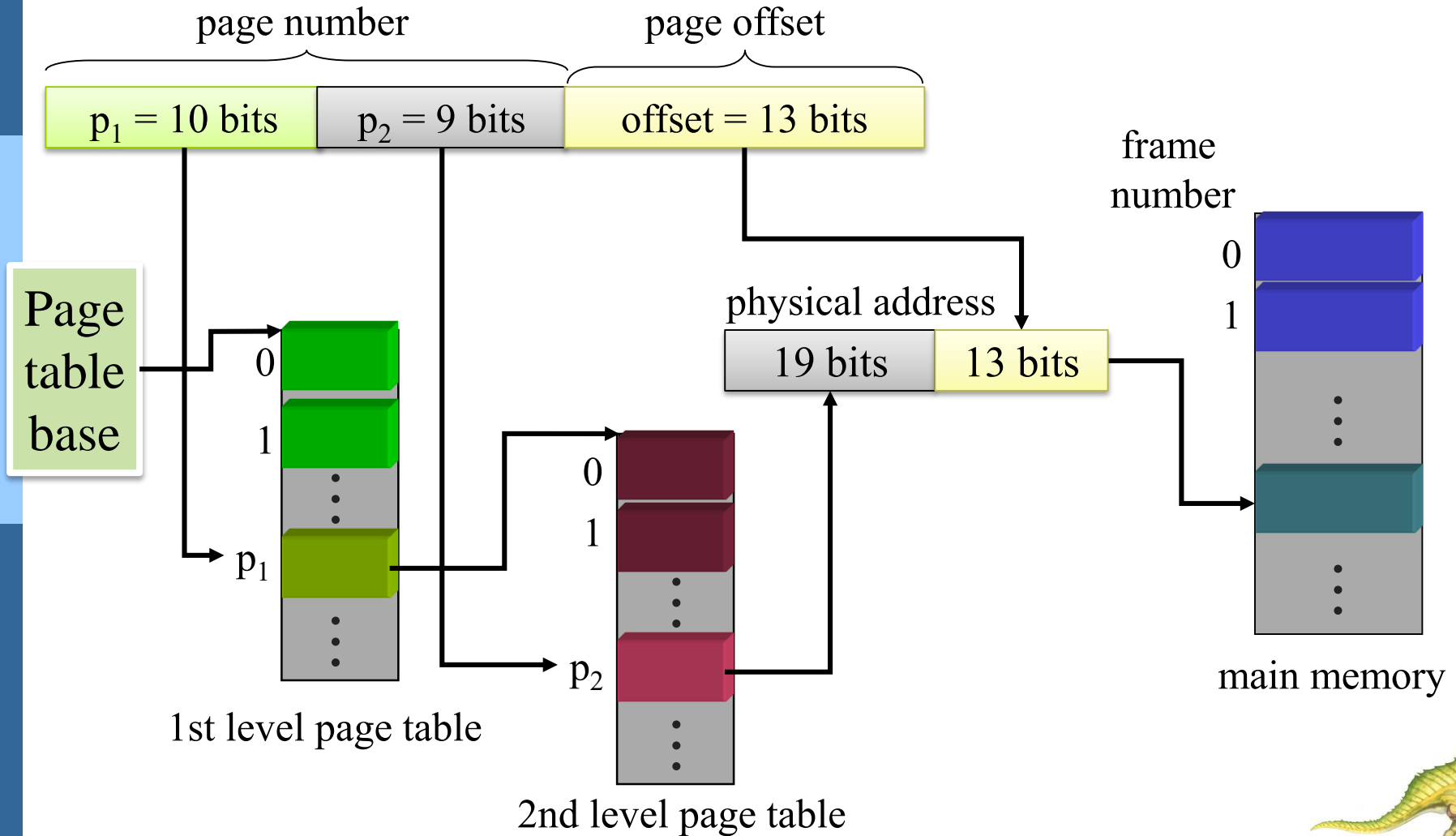


Address-Translation Scheme





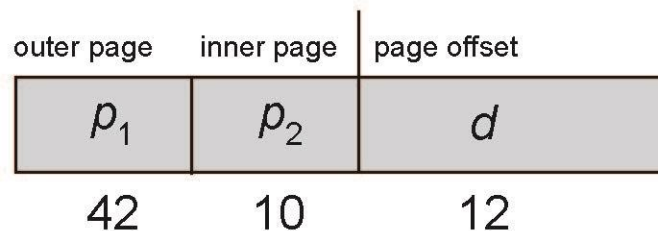
2-level address translation example





64-bit Logical Address Space

- Even two-level paging scheme not sufficient
- If page size is 4 KB (2^{12})
 - Then page table has 2^{52} entries
 - If two level scheme, inner page tables could be 2^{10} 4-byte entries
 - Address would look like



- Outer page table has 2^{42} entries or 2^{44} bytes
- One solution is to add a 2^{nd} outer page table
- But in the following example the 2^{nd} outer page table is still 2^{34} bytes in size
 - ▶ And possibly 4 memory access to get to one physical memory location





Three-level Paging Scheme

outer page	inner page	offset
p_1	p_2	d
42	10	12

2nd outer page	outer page	inner page	offset
p_1	p_2	p_3	d
32	10	10	12





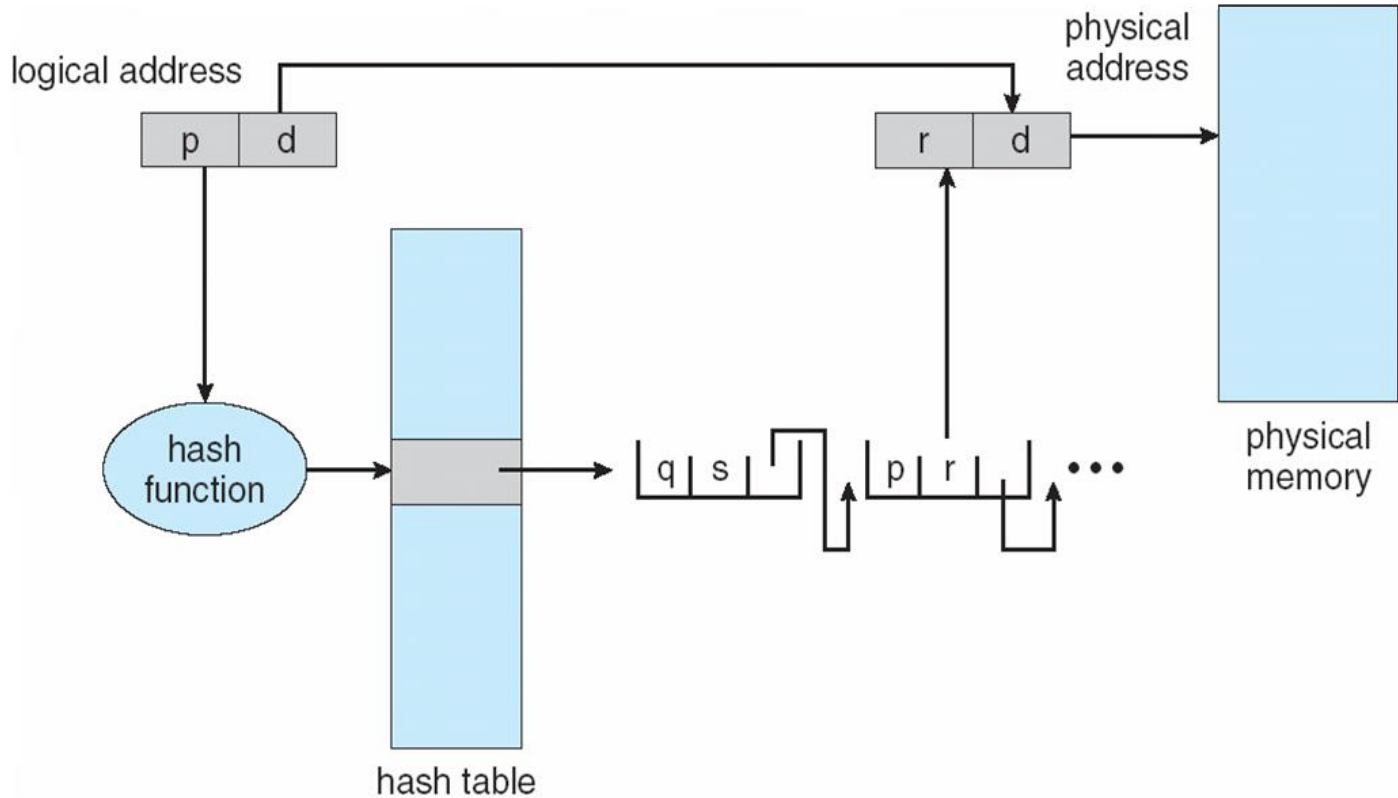
Hashed Page Tables

- Common in address spaces > 32 bits
- The virtual page number is hashed into a page table
 - This page table contains a chain of elements hashing to the same location
- Each element contains (1) the virtual page number (2) the value of the mapped page frame (3) a pointer to the next element
- Virtual page numbers are compared in this chain searching for a match
 - If a match is found, the corresponding physical frame is extracted
- Variation for 64-bit addresses is **clustered page tables**
 - Similar to hashed but each entry refers to several pages (such as 16) rather than 1
 - Especially useful for **sparse** address spaces (where memory references are non-contiguous and scattered)





Hashed Page Table





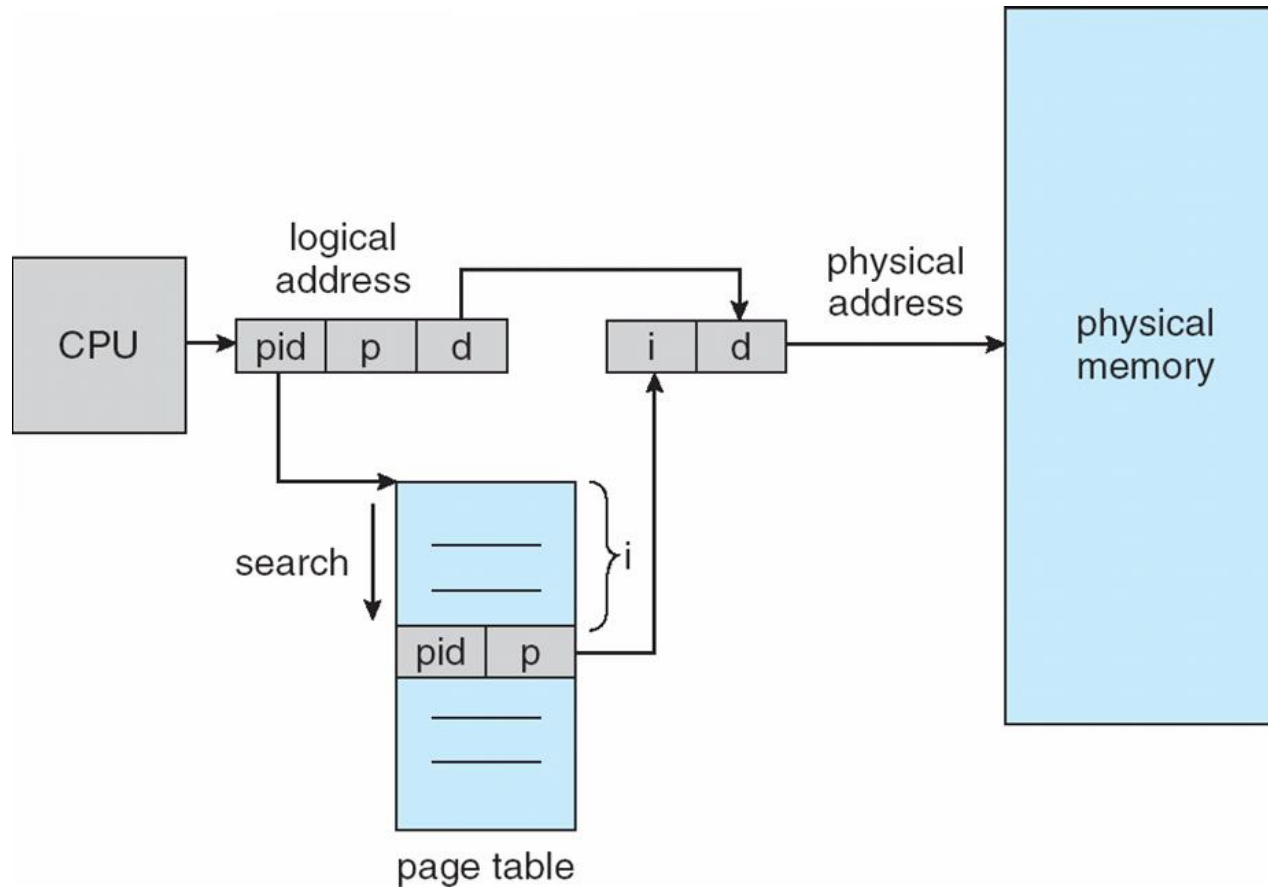
Inverted Page Table

- Rather than each process having a page table and keeping track of all possible logical pages, track all physical pages
- One entry for each real page of memory
- Entry consists of the virtual address of the page stored in that real memory location, with information about the process that owns that page
- Decreases memory needed to store each page table, but increases time needed to search the table when a page reference occurs
- Use hash table to limit the search to one — or at most a few — page-table entries
 - TLB can accelerate access
- But how to implement shared memory?
 - One mapping of a virtual address to the shared physical address



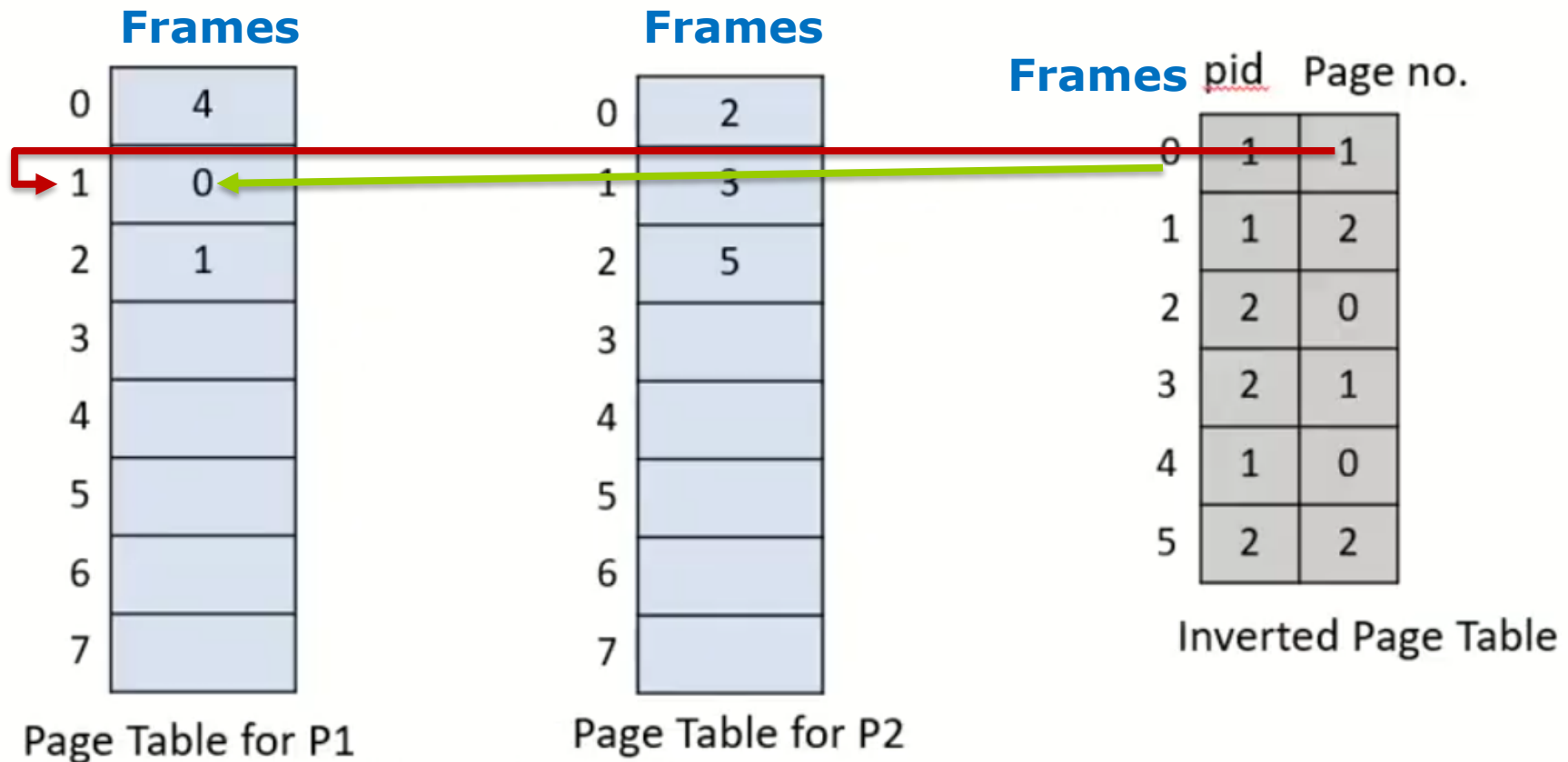


Inverted Page Table Architecture





Inverted Page Table



End of Chapter 9

