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Kesterite compound semiconductors for thin film solar cells



Charlotte Platzer-Björkman

Thin film solar cells based on Cu₂ZnSn(S,Se)₄, "CZTS", are attractive by combining high light absorption and high abundance of constituent elements. The efficiency of CZTS solar cells developed rapidly during the last decades, but significant improvements are still needed to reach commercially viable levels. This review covers the most recent trends in CZTS research; (i) alloying with new elements, (ii) exchange of CdS buffer layer with alternative materials, (iii) back contact engineering and (iv) defect studies as a function of compositional variations and annealing. Better understanding of the material and device limitations is expected to emerge from this research. In the perspective of large scale use of CZTS modules, non-toxicity and earth-abundance cannot be compromised in the view of competition with commercially mature CdTe and CIGS technologies. Large band gap kesterite derivatives for stable top cells in tandem solar cells is an interesting and less explored field meriting more attention.

Addresses

Ångström Solar Center, Solid State Electronics, Uppsala University, Box 534, 75121 Uppsala, Sweden

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Introduction

Thin film solar cells based on kesterite compounds Cu₂ZnSn(S,Se)₄, "CZTS" have the advantage of high abundance of constituent elements which is important in view of the large volumes of PV modules needed for increased use of solar energy worldwide. After initial work by Ito [1] and pioneering work by Katagiri [2,3], efficiency records were set by Mitzi and coworkers at IBM [4,5]. The latest record of 12.6% was published in 2013 [6] and while no further efficiency improvements have been reported in more recent work, a significant advances in the understanding of kesterite materials and devices have emerged. This review provides an overview of the most recent literature covering device performance and efficiency

limitations, back- and front contact interfaces, alloying with other elements, bulk defects and correlations to composition and process conditions. This brief review complements earlier review articles on the topic [7–12].

State of the art devices and efficiency limitations

The record 12.6% device (513 mV, 35.2 mA/cm², 69.8% FF, band gap 1.13 eV) showed high short circuit current, but lower than expected open circuit voltage, Voc, and fill factor, FF, as compared to Cu(In,Ga)Se2 devices with similar band gap energy [6]. The current understanding of these Voc and FF losses relate to secondary phase segregation for the Cu-poor and Zn-rich composition giving highest efficiency [13,14], strong band tailing due to potential fluctuations in bulk CZTS [15,16] and contribution from interface or grain boundary recombination [17]. One reason for strong band tailing can be high density of defects such as Cu-Zn antisites with low formation energy [18] that are expected to cluster causing compositional inhomogeneities [19] and band gap fluctuations [20]. Other defects with high concentrations have also been reported, such as Zn_{Sn} [21] for device relevant compositions. However, similar levels of defect concentrations were also measured by neutron diffraction for CuInSe₂ material [22] with the exception of the extremely high concentration of the Cu_{Zn}–Zn_{Cu} anti-site defect pair in CZTS. While the high concentrations of Cu_{Zn}-Zn_{Cu} anti-site defects are problematic for CZTS devices due to contribution to potential fluctuations, it is not yet clear if other bulk defects are contributing more.

State of the art devices are believed to be limited by bulk recombination based on temperature dependent current voltage analysis. However, the general sensitivity of the heterojunction, difficulty to determine dominating recombination path [23] and lack of surface type-inversion [24] such as that seen for CIGS absorbers [25] makes significant contribution from interface recombination likely. Routes to overcome the limitations mentioned above could be alloying or doping to avoid detrimental defects, tuning of composition or annealing processes to minimize defects and interface modifications including alternative contacts. Work published during the last two years in these three topical areas is summarized below.

Back- and front contact interfaces

Modifications of the absorber surface such as using Znrich surface termination in co-evaporation [26], was

^a A new device record of 13.7% for CZTS was announced at the 26th PVSC in Singapore 24–28th of October 2016, but no details have been published about this device at the time of writing this review.

reported to give reduced interface recombination and improved Voc. Annealing of CZTS absorbers in air can also improve device performance. The role of oxygen was studied by Kim et al. [27], where oxygen substitution of selenium was observed near grain boundaries. This could increase the band gap at grain boundaries and was suggested to contribute to grain boundary passivation and improved device performance after air annealing. Cu-depletion near grain boundaries and SnO_x formation seen from Auger Nanoprobe Spectroscopy was also correlated to enhanced performance in Ref. [28]. Selenide devices benefit from air annealing at higher temperatures than sulfide devices and the effect appears stronger for Se-rich devices [29]. One way of reducing interface recombination could be through passivation by for example Al₂O₃ [30] or using band gap grading. One example of such grading employing S/Se variations was given by Yang et al. [31] where a 12.3% device was obtained.

A range of alternative buffer layers have been investigated for CZTSSe. For large band gap CZTS, several groups showed improved performance from exchanging the CdS layer with a material with larger band gap and in particular higher conduction band level matching that of the CZTS absorber. Sun et al. [32] employed a Zn_xCd_{1-x}S buffer layer deposited by a successive ion layer adsorption and reaction (SILAR) method. For Zn_{0.35}Cd_{0.65}S, a high V_{oc} of 762 mV was obtained as compared to 665 mV using CdS. Similar improvements in V_{oc} for pure sulfide CZTS were shown using atomic layer deposition (ALD) Zn_{1-x}Sn_xO_v [33,34], and for monograin CZTS solar cells by using a thin SnO_x interlayer by ALD [35]. A hybrid CdS/In₂S₃ buffer layer gave improved V_{oc} for CZTSSe [36] and sulfide CZTS, partly explained by increased carrier concentration in CZTS from In diffusion [37]. For selenide CZTSe or CZTSSe, alternative buffer layers such as Zn(O,OH,S) by CBD [38,39], In₂S₃ by spray pyrolysis [40] and coevaporation [41] have been studied.

Regarding the back contact, a number of barrier layer materials have been evaluated recently with the motivation to reduce reaction of the Mo back contact in the anneal or reduce back contact recombination. These include Bi [42], thin carbon [43], TiN and TiW [44] and MoO₃ [45]. Another aim is to develop transparent back contacts for bifacial solar cells or tandem top cell applications [46,47]. Reactions at the ITO/CZTS interface were studied by Ge et al. [48] showing indium diffusion into CZTS and SnO_x formation for higher temperatures.

Secondary phase segregation, preferentially at the back CZTS/Mo(S,Se)₂ interface has been reported by several groups [13]. The influence of detected Sn(S,Se)(2) and Zn(S,Se) phases were discussed in relation to non ohmic contacts and influence on device fill factor.

The use of other substrates than soda lime glass is motivated by lower weight, flexibility, and building integration. For CZTS, a few groups have investigated alternative substrates. Becerril-Romero et al. used commercial ceramic tiles and studied vitreous enamel for combined surface smoothing and sodium supply. A maximum efficiency of 7.5% was shown for a CZTS ceramic solar tile [49]. Todorov et al. [50] reached 11.5% efficiency on flexible zirconia substrates compatible with roll to roll processing. For CZTS on steel substrates, the influence of barrier layers, Na supply and annealing conditions have also been studied [51,52].

Adding more elements: alloying and doping

Exchange or partial substitution of the elements in CZTS is motivated by the possibility to change the band gap energy and reduce recombination losses from band gap increase towards the front and back interface. It is also motivated by the search for ways to reduce detrimental defects and possibly reduce the strong band tailing that has been identified as a major bottleneck for CZTS. Substitution of Sn with Ge was shown to give close to linear increase in band gap energy [53–58] and Raman spectra from experimental and theoretical investigations of Cu₂ZnGeS₄ was reported in Ref. [59].

Following initial work by Ford et al. [60] on substitution of Sn by Ge, several groups have shown improved device performance from partial substitution with Ge. Giraldo et al. [61,62] report improved grain growth and device improvements from adding a 10 nm Ge layer in metal precursors prior to selenisation. The addition of Ge improved long wavelength collection in quantum efficiency, QE, and Voc without changing the band gap as obtained from QE cutoff. Changes in doping were reported with a possible correlation to Na as a secondary effect. While small and intermediate Ge contents are shown by several groups to improve performance [63], substitution of Sn with Ge above about 50% was shown to give worse devices [60,64,65]. The highest efficiency Ge-containing device of 12.3% was reported by Kim et al. [66]. In addition to high V_{oc} , a higher than usual fill factor of 72.7% was also reported in that study. Exchange of Sn with Si will increase the band gap energy further [67,68], but experimentally exchange with Si appears more challenging than with Ge.

Other substitutions aiming at eliminating the Cu-Zn disorder are replacing Cu with Ag and replacing Zn with Ba. Ba substitution was suggested and studied by Shin et al. [69]. A prototype of a BaCu₂SnS₄ solar cell showed 1.6% efficiency, but more importantly abrupt absorption edge and sharp photoluminescence peak. A 2% device and Voc around 1 V was obtained by using oxygenated CdS buffer layer [70]. The BCTSSe material crystallizes in a trigonal structure and has a tunable band gap around 1.5–2 eV from Se–S variations. The material properties of this, and the corresponding compound with Sr, were also studied theoretically [71,72].

For Ag substitution, improved grain growth, Voc and reduced antisite defect concentration was reported [73–75]. Optical analysis showed band gap increase with some bowing for increasing Ag concentration [76]. Transition from p-type to intrinsic or n-type was reported for increasing Ag substitution of Cu [77]. A reduced difference between optical absorption edge and room temperature PL peak energy was shown together with a 10.2% for a device with 10% Ag [78].

Partial substitution of Cu with Li has also been studied and shown to change band gap energy [79]. Homogenous alloying was found for up to 30% Li exchange for Cu with increasing band gap of up to around 0.2 eV.

Substitution of Zn with Cd was studied by several authors. This results in a transition from kesterite to stannite structure and reduction of the band gap energy. Improved device performance with best device of 9.2% was reported in Ref. [80]. A combinatorial study using photoluminescence monitoring showed detrimental effect of Fe doping while Na and Cd did not have a negative effect on PL [81]. Substitution of Zn with Mn was also shown to give transition from kesterite to stannite structure and increased band gap [82].

Na supply is generally considered to come by diffusion from the glass substrate or through deliberate precursor deposition or supply at later stages in the process. However, Abzieher et al. [83] discuss the transfer of sodium from the environment during annealing as well as the resulting increase in doping density of the absorber. Improved device performance from addition of Na, Li and Rb was shown in Ref. [84]. Non-homogeneities in Na distribution was related to distribution of other elements and device performance in Ref. [85].

From density functional theory using hybrid functionals, Na and K were found to preferentially substitute for Cu in CZTS [86]. Formation energy of Na related defects were lower than for K related defects. Na interstitials had low formation energy while K interstitials are not expected to form. Another first principles study show comparable substitution energy for Na—Cu and Na—Zn, and low formation energy for Li substitution of Cu and Zn [87].

Defects including influence from composition and annealing conditions

Compositional variations and annealing conditions have been shown to influence both optical and electrical properties. Correlation between metal composition and Raman spectra for selenide CZTSe was reported by Dimitrevska et al. [88]. Lower Cu/(Zn + Sn) content was correlated to increasing $V_{\rm oc}$ and band gap [89],

possibly correlated to reduced Cu–Zn antisite disorder [90]. Lang et al. [91] showed reduced difference between size of the band gap in ordered and disordered state for decreasing Cu content. A correlation between Cu substitutional defects, band gap and V_{oc} was also shown in Ref. [92] for selenide CZTSe, where V_{oc} increased with increasing band gap for intermediate compositional variations from stoichiometry. Rey [93] showed that band gap energy could be used as order parameter, but to distinguish compositional effects on band gap other measures of order parameter would be needed. Increased sub gap absorption was correlated to decreased Sn content in Ref. [94].

Regarding the size of the single phase region, In doping was reported to increase the single phase region for Znrich material [95]. A larger stability domain of selenide than sulfide CZTS was reported in Ref. [96].

From temperature dependent admittance measurements and photoluminescence, Levcenko et al. [97] determine an increasing depth of the dominant acceptor level as a function of increasing sulfur content in $\text{Cu}_2\text{ZnSn}(S_xS_{1-x})_4$. They also report a mid-gap deep defect for sulfur rich devices. A correlation between deep defects and annealing was reported by Weiss et al. [98], where a deep defect, not seen in a co-evaporated CZTSe device, appeared if annealing was performed after co-evaporation.

The order—disorder transition was studied by Rev et al. Ordering improved carrier collection and reduced effective doping but V_{oc} deficit remained the same [99]. The same result was obtained by Krammer et al. [100] and Bourdais et al. [11], where they also argue that Cu-Zn disorder is not the dominating cause for low V_{oc}. This was based on considering the potential V_{oc} loss from a maximum band gap fluctuation of about 150 meV due to disorder. Kinetic analysis of B-type sulfide CZTS allowed development of a more efficient ordering anneal, but also showed that high ordering will not be reached within practical timeframes [101]. The optical properties of sulfide CZTS thin films as a function of order-disorder were studied by Valentini et al. [102]. A band gap shift of 200 meV was observed from disordered to the most ordered state. From Monte Carlo simulations, disorder was shown to lead to cation clustering giving nanosized compositional inhomogeneities that can cause potential fluctuations [19].

Low temperature annealing has also been studied by several authors, inspired by the results from IBM, where substantial device improvements were obtained after air anneals. Xie et al. [103] studied absorber annealing in 1 atm nitrogen atmosphere at temperatures between 150 and 400 °C. A surprising trend with degraded device performance for intermediate temperatures and improved performance for high temperatures was

shown. The proposed explanation was based on the role of Na with detrimental surface accumulation at intermediate temperatures and reduced Na at higher temperatures due to Na loss to the atmosphere. Surface accumulation of Na-compounds with negative influence on CBD CdS growth was reported [104], and oxidation through air exposure shown to restore uniform CdS growth without need for KCN etching.

Concluding remarks

CZTS based solar cells need to overcome the limitations from bulk and interface recombination that is present in today's state of the art devices. A current strong trend is investigation of alloying or substitution with new elements. It is crucial that these elements do not compromise non-toxicity and earth-abundance for CZTS to play a role in relation to commercially competitive CIGS and CdTe solar cells. Large band gap kesterite derivatives for stable top cells in tandem solar cells is an interesting and less explored field meriting more attention.

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