

# Upconverter Silicon Solar Cell Devices for Efficient Utilization of Sub-Band-Gap Photons Under Concentrated Solar Radiation

Stefan Fischer, Aruna Ivaturi, Benjamin Fröhlich, Marc Rüdiger, Armin Richter, Karl W. Krämer, Bryce S. Richards, and Jan Christoph Goldschmidt

**Abstract**—Upconversion (UC) of sub-band-gap photons has the potential to increase the efficiency of solar cells significantly. We realized an upconverter solar cell device, by attaching an upconverter layer of  $\beta$ -NaYF<sub>4</sub> doped with 25% Er<sup>3+</sup> embedded in the polymer perfluorocyclobutyl to the rear side of a bifacial silicon solar cell. We determined the external quantum efficiency of such upconverter solar cell devices under broad-band sub-band-gap excitation. Under consideration of spectral mismatch, we calculated the expected increase of the short-circuit current density due to UC under the air mass 1.5 global illumination. We determined an enhancement of 2.2 mA/cm<sup>2</sup> for a spectral excitation band ranging from 1450 to 1600 nm and a comparatively low solar concentration of 78 suns. Subsequently, a system of concentrator lens and upconverter solar cell device was characterized with a solar simulator. We determined an increase of the short-circuit current density due to UC of sub-band-gap photons of 13.1 mA/cm<sup>2</sup> under a concentration of 210 suns. This corresponds to a potential relative increase of the solar cell efficiency of 0.19%.

**Index Terms**—Optical frequency conversion, photovoltaic cells, silicon, spectral conversion, upconversion (UC).

## I. INTRODUCTION

IN silicon solar cells, more than 45% of all the photons of the solar spectrum cannot be utilized, because the energy of these photons is below the band gap energy of silicon. These photons carry about 20% of the whole energy of the sun's radiation. As a consequence, transforming these sub-band-gap photons into

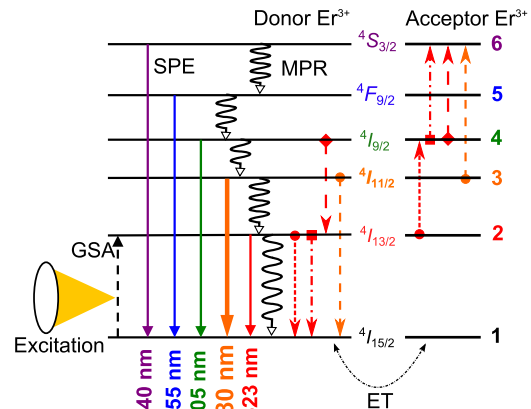


Fig. 1. Most important processes for upconversion in  $\beta$ -NaYF<sub>4</sub> doped with Er<sup>3+</sup> are shown in this energy level scheme.

higher energy photons has the potential to enhance the efficiency of the solar cells considerably. The process of generating high-energy photons from a larger number of lower energy photons is called upconversion (UC).

For silicon solar cells, lanthanide-doped materials like hexagonal sodium yttrium fluoride ( $\beta$ -NaYF<sub>4</sub>) doped with trivalent erbium (Er<sup>3+</sup>), make especially suitable upconverter materials [1]. This upconverter materials feature broad ground state absorption (GSA) spectra around 1523 nm and a dominant UC emission around 980 nm, which can be utilized by the silicon solar cells. The energy level structure is sketched in Fig. 1 including the most important UC processes, which are energy transfer (ET), multiphonon relaxation (MPR), and spontaneous emission (SPE) [2].

A first experimental approach to enhance the efficiency of a solar cell by UC was investigated by Gibart *et al.* in 1996 with a GaAs solar cell [3]. Successful proof-of-concept experiments with silicon solar cells have been performed with the upconverter material  $\beta$ -NaYF<sub>4</sub> with a Er<sup>3+</sup> doping concentration of 20% [4], [5]. In these experiments, the upconverter was attached on the rear side of the solar cell and the upconverter solar cell device was illuminated with monochromatic laser excitation.

For solar cells with larger band gaps other upconverter materials are potentially better suited. The  $\beta$ -NaYF<sub>4</sub> with 18% Yb<sup>3+</sup> and 2% Er<sup>3+</sup> are investigated for a-Si:H solar cells, and an enhanced external quantum efficiency (EQE) of the solar cell due to UC of photons with wavelengths of around 980 nm was found [6]. Organic compounds represent another group of

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S. Fischer, B. Fröhlich, M. Rüdiger, and A. Richter are with the Fraunhofer Institute for Solar Energy Systems, Freiburg 79110, Germany (e-mail: stefan.fischer@ise.fraunhofer.de; benjamin.froehlich@ise.fraunhofer.de; marc.ruediger@ise.fraunhofer.de; armin.richter@ise.fraunhofer.de).

A. Ivaturi is with the Institute of Photonics and Quantum Sciences, Heriot-Watt University, Edinburgh EH14 4AS, Scotland (e-mail: A.Ivaturi@hw.ac.uk).

K. W. Krämer is with the Department of Chemistry and Biochemistry, University of Bern, Bern 3012, Switzerland (e-mail: karl.kraemer@iac.unibe.ch).

B. S. Richards is with the Institute of Photonics and Quantum Sciences, Heriot-Watt University, Edinburgh, EH14 4AS, Scotland, and also with the Nelson Mandela African Institute of Science and Technology, Tengeru, Arusha, Tanzania (e-mail: b.s.richards@hw.ac.uk).

J. C. Goldschmidt is with the Fraunhofer Institute for Solar Energy Systems, Freiburg 79110, Germany, and also with the Imperial College, South Kensington Campus, London SW7 2AZ, U.K. (e-mail: jan.christoph.goldschmidt@ise.fraunhofer.de).

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upconverter materials. Due to triplet–triplet annihilation photons with wavelengths up to approximately 750 nm can be upconverted to shorter wavelengths. It has been shown that such organic upconverters improve the performance of a-Si:H [7] and organic solar cells [8]. At this point, we would also like to refer to the overview articles of de Wild *et al.* [9] and Liu *et al.* [10].

To investigate the potential of UC for harvesting solar radiation, however, experiments under broad-band excitation or even concentrated sun light are much more meaningful. Typically, the spectral bandwidth of the laser excitation is much smaller than the absorption line of the  $\text{Er}^{3+}$  transition. In contrast, the solar spectrum, and also the fraction of the spectrum transmitted by bifacial silicon solar cells, is considerably wider than these absorption lines. Under broad-band excitation, in addition to GSA also other UC processes, like excited state absorption (ESA), may be in resonance with the excitation and affect the UC dynamics. Hence, not only the spectral width but also the spectral intensity distribution of the excitation has to be taken into account.

Up to now, only few experimental investigations of UC under broad-band excitation have been performed [11]–[13] and even fewer such experiments on upconverter solar cell devices [14], [15]. In this study, we will investigate bifacial silicon solar cells with an upconverter attached to the rear side under monochromatic laser and broad-band excitation to determine the EQE of the devices due to UC of sub-band-gap photons. Additionally, we determine the additional short-circuit current due to UC from current–voltage ( $I$ – $V$ )–curves of the upconverter solar cell device under concentrated light of a solar simulator.

## II. EXPERIMENTAL DETAILS

### A. Device Fabrication

We produced bifacial silicon solar cells that were adapted for an UC application [16]. The solar cells that are used in this study feature a double-layer front side antireflection coating (ARC) optimized to achieve a low reflection up to  $\sim 1800$  nm (120 nm  $\text{MgF}_2$  on top of 110 nm  $\text{SiN}_x$ ), and a rear side single layer ARC optimized for low reflection of sub-band-gap photons and for low reflection of upconverted photons with wavelengths larger than 950 nm (120 nm  $\text{SiN}_x$ ). Thus overall transmittance of sub-band-gap photons was maximized, and the solar cell's efficiency for photons emitted by the upconverter increased. We fabricated both planar and front side textured solar cells on 1  $\Omega\text{cm}$ , 200  $\mu\text{m}$  thick, n-type FZ silicon wafers. The size of the solar cells  $A_{\text{cell}}$  is 2 cm  $\times$  2 cm. Both surfaces were passivated with  $\text{Al}_2\text{O}_3$  prior to the deposition of the ARC.

The bifacial solar cells were soldered to copper frames, which form the rear contact of the solar cells. The copper frames are then applied on polytetrafluoroethylene (PTFE) blocks with a recess for the upconverter. The PTFE is a good diffuse reflector and serves as a rear reflector for the upconverted and the incident excitation light.

In this study, we used the upconverter powder  $\beta\text{-NaYF}_4$  doped with 25%  $\text{Er}^{3+}$ , which showed a larger UC quantum yield than the one with a 20%  $\text{Er}^{3+}$  doping concentration we used in previous investigations. The  $\beta\text{-NaYF}_4$  doped with 25%  $\text{Er}^{3+}$

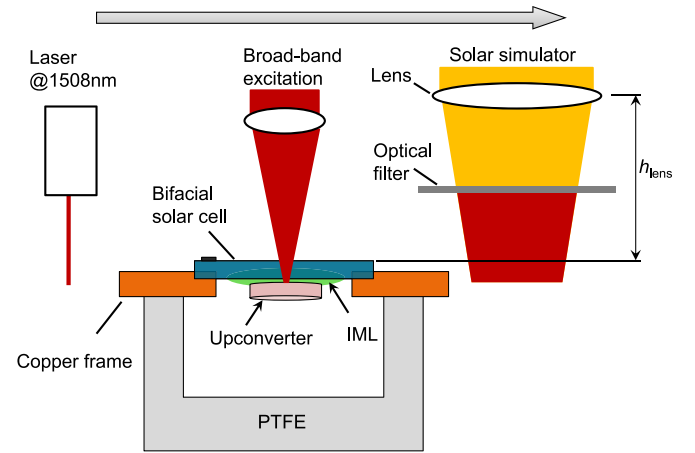


Fig. 2. Upconverter solar cell device, consisting of a bifacial silicon solar cell with an upconverter material embedded in a polymer attached to the rear side of the solar cell, was characterized under monochromatic laser and broad-band excitation. Furthermore, a Fresnel lens was placed in front of the device, and the whole system was characterized with a solar simulator. The additional short-circuit current density due to upconversion  $\Delta j_{\text{SC,UC}}$  was determined for the different concentration levels that could be achieved by adjusting the height of the lens above the measurement chuck  $h_{\text{lens}}$ .

was embedded in the polymer perfluorocyclobutyl (PFCB) with a powder to polymer concentration of 75.7 w/w%. We used a sample with the same concentration of un-doped  $\beta\text{-NaYF}_4$  in the polymer as a reference. The samples have a cylindrical shape with a diameter of 12.6 mm and a thickness of 1 mm [17]. Hence, the upconverter and the reference sample do not cover the complete active area of the solar cell. This will be important for the later discussed determination of the short-circuit current density. The solidified upconverter and reference sample were attached to the solar cells with the index matching liquid (IML) immersion oil (Type 300, Cargille), as depicted in Fig. 2.

### B. Measurement Setups

For the monochromatic measurements, we used an ECL-210 NIR laser from Santec to illuminate the upconverter solar cell devices with a wavelength of 1508 nm and different laser powers. Due to laser stability a wavelength of 1508 nm was used which shows around 87% of the UC luminescence compared with the commonly used 1523 nm excitation wavelength. The laser power and the beam profile were measured to determine the incident irradiance. More details of the setup for monochromatic measurements can be found in [5].

For the broad-band experiments, the spectrum of a halogen lamp was clipped by several longpass and shortpass filters to four different excitation spectra, as shown in Fig. 3(a). The intensity of the broad-band excitation was adjusted by several neutral density filters. The light from the halogen lamp was focused with lenses into an optical fiber. On the other end of the optical fiber lenses were used to focus the light on the sample. The height of the lens system above the upconverter solar cell device was adjusted to obtain the largest short-circuit current due to UC of the photons transmitted through the solar cell. The beam profile was determined with a digital camera and a pixel counting method. The excitation spectra from the halogen lamp

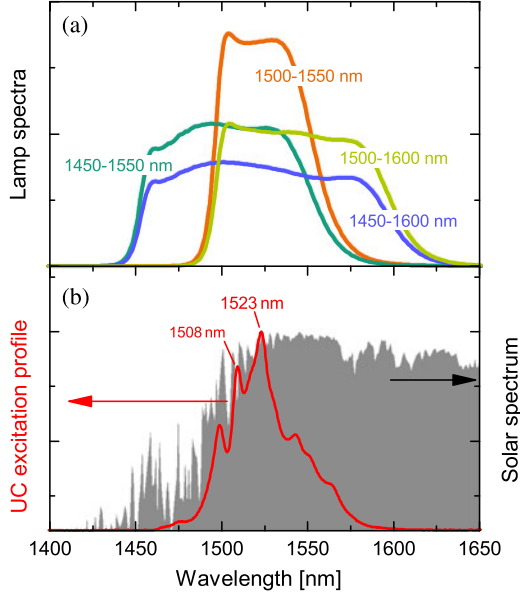


Fig. 3. (a) Normalized excitation spectra as used in the broad-band excitation experiments. (b) Excitation profile of the upconverter for emission of photons at 980 nm (shown in red) overlaps well with the AM1.5G solar spectrum shown in photons per area and time (shown in gray).

were recorded with a Jobin Yvon grating monochromator H25 and an InGaAs detector from OptoElectronic components.

In the third measurement setup, we used a Fresnel lens to focus the light of a standard solar simulator (Wacom, WXS-150 S-10, class A) onto the upconverter solar cell device. The Fresnel lens features a focal length of 169 mm and a dimension  $A_{\text{lens}}$  of 100 mm  $\times$  100 mm. The height of the lens above the upconverter solar cell device  $h_{\text{lens}}$  can be adjusted with a translation stage. Hence, the concentration of the white light on the sample is altered. From the geometrical data of our setup, we calculated an illuminated area  $A_{\text{spot}}$  ranging from  $39.3 \pm 8.9 \text{ mm}^2$  at a height  $h_{\text{lens}}$  of 160 mm to  $541.0 \pm 29.9 \text{ mm}^2$  at a height  $h_{\text{lens}}$  of 135 mm. Herein, we assumed that for  $h_{\text{lens}}$  equal to the focal length, the focus had a spot size of 1 mm. The errors stem from the uncertainty of the height measurement of  $h_{\text{lens}}$ .

We applied two filters above the solar cell to clip photons that can be utilized by the silicon solar cell. First, a monocrystalline 750  $\mu\text{m}$  thick silicon wafer blocks the UV, visible (VIS) and near-infrared (NIR) parts of the lamp spectrum. For a large transmittance of sub-band-gap photons, ARCs of 120 nm  $\text{TiO}_2$  and 120 nm  $\text{MgF}_2$  were deposited on both sides, resulting in a transmittance above 80% in the absorption range of the upconverter. Second, a longpass filter from Edmund Optics with a cut-on wavelength of 1200 nm serves as an additional NIR filter for photons close to the band gap of silicon, which are transmitted through the silicon filter.

We measured the  $I$ - $V$ -curves of the upconverter solar cell devices with attached upconverter as a function of the height  $h_{\text{lens}}$  between lens and the front side of the silicon solar cell. Subsequently, we repeated the measurement with the reference sample attached on the rear side of the bifacial silicon solar cell.

### C. External Quantum Efficiency Due to Upconversion

The same solar cell and the same upconverter sample were used in all measurements. In all three setups, the short-circuit current of the solar cell  $I_{\text{SC,UC}}$  was recorded with the upconverter attached to the rear of the solar cell. Additionally, the short-circuit current of the solar cell  $I_{\text{SC,ref}}$  was recorded, with the un-doped reference sample attached instead of the upconverter sample. Finally, the short-circuit current of a germanium solar cell at the sample position  $I_{\text{SC,Ge}}$  was recorded for calibration purposes. The external quantum efficiency  $EQE_{\text{Ge}}$  of this solar cell is known from calibrated measurements with an uncertainty of 1% absolute. In all these measurements no bias illumination was applied, and care was taken to avoid contributions from scattered light, that could be directly utilized by the solar cell or that could excite the upconverter.

From this data, the EQE due to UC of sub-band-gap photons  $EQE_{\text{UC}}$  was determined for the monochromatic laser and the broad-band excitation via

$$\int EQE_{\text{UC}}(\Phi) = \frac{I_{\text{SC,UC}} - I_{\text{SC,Ref}}}{I_{\text{SC,Ge}}} \frac{\int EQE_{\text{Ge}}(\lambda) \Phi_{\lambda}(\lambda) d\lambda}{\int \Phi_{\lambda}(\lambda) d\lambda}. \quad (1)$$

In (1), the  $EQE_{\text{Ge}}$  is weighted with the spectral photon flux density of the excitation spectrum  $\Phi_{\lambda}(\lambda)$ , which is a delta function in case of the monochromatic laser excitation. For the broad-band excitation, we used the spectra shown in Fig. 3(a).

### D. Spectral Mismatch Corrections

Fig. 3(b) shows the excitation profile for UC emission around 980 nm of the investigated upconverter  $\beta\text{-NaYF}_4: 25\% \text{Er}^{3+}$  in comparison to the solar spectrum. The excitation profile was measured with a photoluminescence setup that features a double monochromator (Jobin Yvon, H25) with a halogen lamp as excitation source and a monochromator (Jobin Yvon, H25) with an attached Si detector (OptoElectronic Components) for detection of the 980 nm emission. The excitation wavelength was changed in 0.5 nm steps from 1400 to 1650 nm. The full width at half maximum of the excitation was approximately 1 nm.

The solar spectrum provides many photons above wavelengths of  $\sim 1475 \text{ nm}$ , which can be efficiently used by the upconverter, while below  $\sim 1450 \text{ nm}$  less photons impinge on the earth due to absorption by water molecules in the atmosphere. Since neither the broad-band excitation spectrum nor the spectrum of the solar simulator match precisely the solar spectrum, we applied a spectral mismatch correction on the experimental  $EQE_{\text{UC}}$  data to determine the  $EQE_{\text{UC}}$  values that could be expected under illumination with the solar AM1.5G spectrum, if restricted to the same spectral range and the same effective concentration level. [13]

The mismatch correction has two parts: first the irradiance  $I$  is transferred for the different excitation spectra to equivalent solar concentration factors of the solar spectrum AM1.5G in suns  $C$ . Second, the experimental  $EQE_{\text{UC}}$  values are transferred to values which can be expected with the spectral distribution of the solar spectrum at this concentration level. The irradiance  $I$  is connected to the spectral photon flux density of the excitation

$\Phi_\lambda(\lambda)$  by

$$I = \int \Phi_\lambda(\lambda) \frac{hc}{\lambda} d\lambda \quad (2)$$

with the Planck constant  $h$  and the speed of light  $c$ . For the broad-band excitation, the solar concentration factor  $C$

$$C = \frac{\int A(\lambda) T_{\text{cell}}(\lambda) \Phi_\lambda(\lambda) d\lambda}{\int A(\lambda) T_{\text{cell}}(\lambda) \Phi_{\lambda, \text{AM1.5G}}(\lambda) d\lambda} \quad (3)$$

describes how much the solar radiation has to be concentrated to reach the same integrated photon flux density of absorbed photons as for the considered broad-band excitation spectrum. The limits of the integral are the lower and upper wavelength of the respective broad-band excitation spectrum.  $T_{\text{cell}}$  is the transmittance of the bifacial silicon solar cell.

In principal, the same methodology can be applied to the monochromatic laser measurements. Here, the spectral bandwidth is very narrow, which results in very high equivalent solar concentration factors.

In the case of the solar simulator, the solar concentration factor  $C$  was accessible by geometrical considerations. We used the area of the lens  $A_{\text{lens}}$  and the calculated area of the light spot  $A_{\text{spot}}$  for the different settings of  $h_{\text{lens}}$ , as already mentioned previously, to calculate the solar concentration  $C$ . The transmittances of the filters and the lens have to be considered as well. We used a transmittance of the lens  $T_{\text{lens}}$  of 0.92, which is basically determined by the reflectance of the glass surfaces, and 0.80 for the transmittance of the filters  $T_{\text{filter}}$ , which is an average value over the range from 1400 to 1700 nm. The solar concentration factor is consequently

$$C = \frac{T_{\text{lens}} T_{\text{filter}}}{c_{\text{mismatch, sun}}} \frac{A_{\text{lens}}}{A_{\text{spot}}}. \quad (4)$$

The spectral mismatch correction factor  $c_{\text{mismatch, sun}}$  describes how the geometrical concentration of the solar simulator translates to a concentration of solar radiation. Therefore, the spectral photon flux density of the standard solar spectrum AM1.5G  $\Phi_{\lambda, \text{AM1.5G}}(\lambda)$ , the absorption spectrum of the upconverter  $A(\lambda)$ , and the spectral photon flux density of the solar simulator  $\Phi_{\lambda, \text{sun}}(\lambda)$  have to be considered. Due to an uncertainty of the area of the light spot  $A_{\text{spot}}$ , we determined an error of around 28% on the largest concentration value  $C$ .

To calculate the  $EQE_{\text{UC}}$  under illumination with the solar spectrum AM1.5G  $\Phi_{\lambda, \text{AM1.5G}}(\lambda)$ , a spectral mismatch correction was applied on the  $EQE_{\text{UC}}$  data. We determined the mismatch correction factors  $c_{\text{mismatch}}$  by

$$c_{\text{mismatch}} = \frac{\int A(\lambda) T_{\text{cell}}(\lambda) \Phi_{\lambda, \text{AM1.5G}}(\lambda) d\lambda}{\int A(\lambda) T_{\text{cell}}(\lambda) \Phi_\lambda(\lambda) d\lambda} \times \frac{\int T_{\text{cell}}(\lambda) \Phi_\lambda(\lambda) d\lambda}{\int T_{\text{cell}}(\lambda) \Phi_{\lambda, \text{AM1.5G}}(\lambda) d\lambda}. \quad (5)$$

The  $c_{\text{mismatch}}$  describes how the  $EQE_{\text{UC}}$  is altered when illuminated with the solar spectrum instead of the respective broad-band excitation spectrum

$$EQE_{\text{UC, solar}}(C) = c_{\text{mismatch}} EQE_{\text{UC}}(C). \quad (6)$$

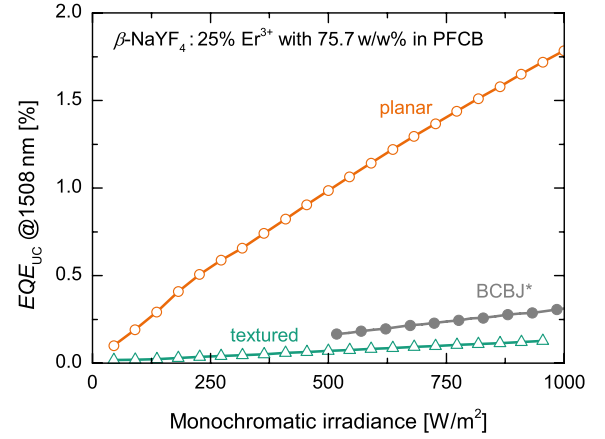


Fig. 4.  $EQE_{\text{UC}}$  of a planar bifacial solar cell could be increased by a factor of around 6, compared with [5], by optimizing the transmittance of the solar cell and due to a more efficient upconverter. In [5], a bifacial back-contact back-junction (BCBJ) silicon solar was used. For the front side textured solar cells the effect of the upconverter is fairly low, because of the poor transmittance of sub-band-gap photons through the solar cell.

#### E. Calculation of Expected Short-Circuit Current Density

Using the spectral mismatch correction for the  $EQE_{\text{UC}}$ , an expected additional short-circuit current density  $\Delta j_{\text{SC, UC}}$  due to UC of sub-band-gap photons under the illumination with the AM1.5G solar spectrum can be calculated by

$$\Delta j_{\text{SC, UC}}(C) = e c_{\text{mismatch}} EQE_{\text{UC}}(C) C \int_{\lambda_{\text{low}}}^{\lambda_{\text{up}}} \Phi_{\lambda, \text{AM1.5G}}(\lambda) d\lambda \quad (7)$$

for broad-band excitation and the laser illumination.

In the case of the solar simulator, we calculated the short-circuit current density due to UC by

$$\Delta j_{\text{SC, UC}} = \frac{(I_{\text{SC, UC}} - I_{\text{SC, ref}})}{A_{\text{spot}}} c_{\text{mismatch, sun}} \quad (8)$$

with the short-circuit currents determined with attached upconverter  $I_{\text{SC, UC}}$ , reference sample  $I_{\text{SC, ref}}$  and the mismatch correction factor  $c_{\text{mismatch, sun}}$ , as described previously. For a spot area  $A_{\text{spot}}$  larger than the actual solar cell area  $A_{\text{cell}}$  of 400 mm<sup>2</sup> we used  $A_{\text{cell}}$  instead of  $A_{\text{spot}}$ .

### III. RESULTS

#### A. Monochromatic Laser Excitation

The results of the monochromatic measurements are shown in Fig. 4. We measured an  $EQE_{\text{UC}}$  of 1.79% for an irradiance of 1000 Wm<sup>-2</sup> and an incident wavelength of 1508 nm. This translates to a normalized efficiency of 0.179 cm<sup>2</sup>/W. For comparison, the best values for upconverter silicon solar cell devices presented in the literature so far are 0.014 cm<sup>2</sup>/W in [4] and 0.030 cm<sup>2</sup>/W in [5]. In comparison to [5], the  $EQE_{\text{UC}}$  could be enhanced by nearly a factor of 6. However, for the same material as investigated in this study, an external UC quantum yield of 0.550 cm<sup>2</sup>/W is reported, which was determined directly by photoluminescence measurements [17].



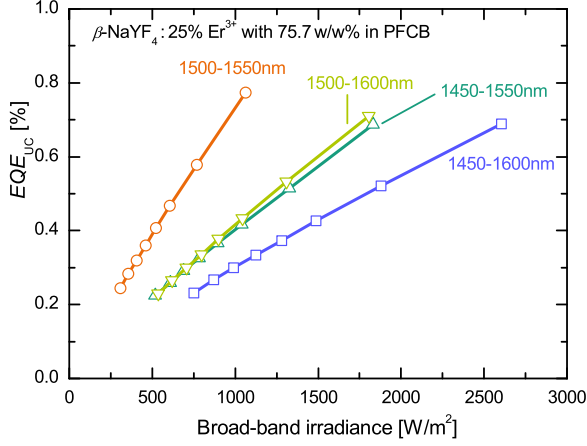


Fig. 5. External quantum efficiency of the planar solar cell due to upconversion of sub-band-gap photons increases for narrower excitation bands, as a larger ratio of the excitation photons have a wavelength corresponding to the most efficient spectral region of the upconverter.

We found that solar cells textured on the front side with planar rear are not suitable for UC applications. In such cells, the transmittance of sub-band-gap photons through the solar cell is fairly poor ( $\sim 20\%$ ) due to a large portion of internal total reflection at the rear side interface. For planar cells, the transmittance of sub-band-gap photons was above approximately 80%. Consequently, in the following we will focus on the planar bifacial silicon solar cell.

### B. Broad-Band Excitation

The results of the experiments under broad-band excitation are presented in Fig. 5. We determined an  $EQE_{UC}$  of 0.77% for an irradiance of  $1063 \text{ W/m}^2$  with a corresponding normalized efficiency of  $0.072 \text{ cm}^2/\text{W}$  for the narrowest considered excitation spectrum, ranging from 1500 to 1550 nm. The broader the excitation spectrum the more photons impinge on the upconverter. However, a smaller ratio of these incident photons is absorbed compared with the case of a narrower excitation spectrum around the peak at 1523 nm. In consequence, the  $EQE_{UC}$  drops with broader excitation spectra and larger irradiance values are necessary to achieve as large  $EQE_{UC}$  values as found for narrower excitation spectra. However, one has to keep in mind that the solar spectrum provides much more photons when broader spectral bands are considered.

### C. Concentrated Light From a Solar Simulator

The results of the measurement with the solar simulator are shown in Fig. 6. The measured short-circuit current  $I_{SC}$  of the upconverter solar cell device increases with the height of the lens above the solar cell. Since the used heights are below the focal length of the lens of 169 mm, a larger  $h_{lens}$  translates into a larger concentration of the light from the solar simulator. The  $I_{SC}$  with attached reference sample is considerably lower and decreases with the height  $h_{lens}$ , possibly due to a smaller fraction of ambient scattered light.

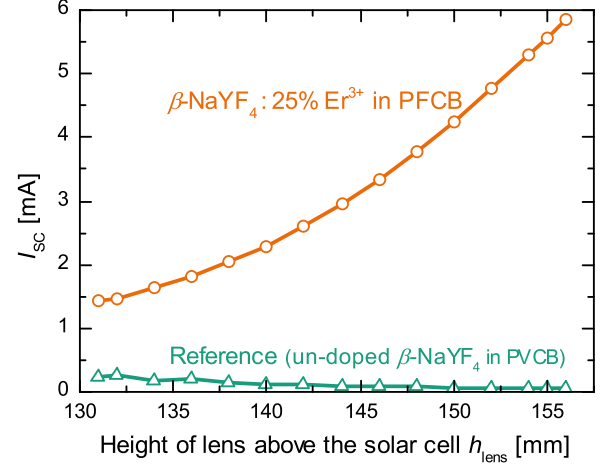


Fig. 6. Short-circuit current  $I_{SC}$  as measured with a solar simulator and a Fresnel lens with a height  $h_{lens}$  above the planar solar cell. With attached upconverter,  $I_{SC}$  increases with increasing height  $h_{lens}$ , which translates in a larger concentration. On the other hand, with attached reference sample, the  $I_{SC}$  decreases with  $h_{lens}$ .

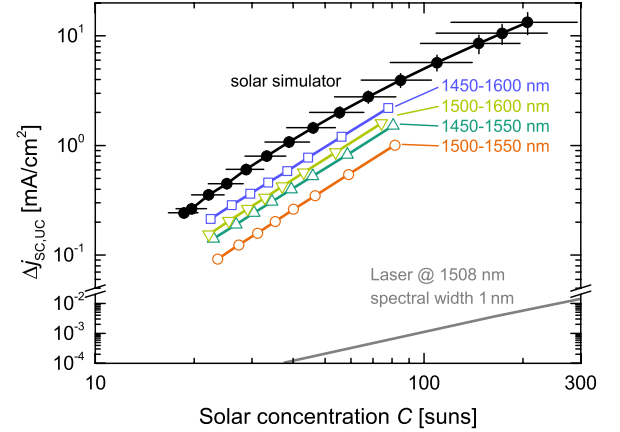


Fig. 7. Comparison of the estimated additional short-circuit current density due to upconversion of sub-band-gap photons  $\Delta j_{SC,UC}$  for the various excitation sources in a double log scale. We found larger  $\Delta j_{SC,UC}$  values for broader excitation spectra. Not shown are the errors of around 15% for the  $\Delta j_{SC,UC}$  values under broad-band excitation.

### D. Comparison of the Different Illumination Conditions

The estimated additional short-circuit current density due to UC of sub-band-gap photons  $\Delta j_{SC,UC}$  obtained under the different illumination conditions are depicted in Fig. 7. The larger the spectral bandwidth, the larger is the expected  $\Delta j_{SC,UC}$ . We determined a  $\Delta j_{SC,UC}$  of  $2.2 \pm 0.3 \text{ mA/cm}^2$  for a broad-band excitation band that ranges from 1450 to 1600 nm and a concentration of  $78 \pm 6$  suns.

Since the spectral width of the laser is very narrow even the large  $EQE_{UC}$  values do not compensate for the much lower photon flux density provided by a broader excitation spectrum.

Larger  $\Delta j_{SC,UC}$  values are achieved for the solar simulator measurement than the broadest broad-band excitation spectrum. We calculated an additional short-circuit current density of  $13.3 \pm 3.0 \text{ mA/cm}^2$  due to UC of sub-band-gap photons under a solar concentration of  $207 \pm 86$  suns. This value translates

to a relative enhancement of the used silicon solar cell of  $0.19 \pm 0.04\%$ . The intrinsic  $j_{SC}$  of the solar cell is  $33.4 \text{ mA/cm}^2$  and a corresponding cell efficiency of  $17.6\%$  under 1 sun standard measurement conditions.

#### IV. DISCUSSION

The key result of this study is that a relatively high increase in the short-circuit current density can be expected due to UC at low concentration levels of the broad solar radiation. However, since some estimations and approximations have been necessary to calculate the  $\Delta j_{SC,UC}$ , we would like to point out that the values for the solar simulator are only estimates yet. The main uncertainty stems from the estimation of the concentration and the area of the light spot  $A_{spot}$ . Another uncertainty comes from the incomplete coverage of the active solar cell area by the upconverter sample. For example, when  $A_{spot}$  is larger than the upconverter sample, some light transmitted by the solar cell does not hit the upconverter directly. Although this light is reflected by the PTFE rear reflector with high probability and can subsequently illuminate the upconverter. This process is less efficient than direct absorption by the upconverter. Hence, with increasing  $A_{spot}$  and decreasing concentration  $C$ , we can expect a stronger decrease of  $\Delta j_{SC,UC}$  than we would observe when the full area of the solar cell was covered with an upconverter material.

Nevertheless, the values of  $\Delta j_{SC,UC}$  fit into the series of the broad-band data, which show increasing enhancements of  $\Delta j_{SC,UC}$  with increasing spectral width of the excitation. The fact that an increase is observed, even for excitation spectra that are considerably larger than the spectral region of efficient UC, which is shown by the excitation profile in Fig. 3(b), could be explained by excited state absorption, for example, pushing up the quantum yield of the upconverter, as it was suggested in [14]. This hypothesis could be tested by two-color UC quantum yield measurements.

#### V. SUMMARY AND CONCLUSION

We investigated upconverter solar cell devices, which are composed of a bifacial silicon solar cell with the upconverter powder  $\beta\text{-NaYF}_4$  doped with  $25\% \text{ Er}^{3+}$  embedded in perfluorocyclobutyl (PFCB). The upconverter samples are attached to the rear side of the solar cell. These devices are investigated under monochromatic laser excitation, broad-band excitation, and under the concentrated light from a solar simulator. The  $EQE_{UC}$  was determined under monochromatic laser excitation and under excitation with four different broad-band spectra. Due to the larger overlap with the absorption spectrum of the upconverter, we observed larger  $EQE_{UC}$  values for narrower excitation spectra. Under laser excitation, we determined an  $EQE_{UC}$  of  $1.79\%$  for an irradiance of  $1000 \text{ W m}^{-2}$  and an incident wavelength of  $1508 \text{ nm}$ . This translates to a normalized efficiency of  $0.179 \text{ cm}^2/\text{W}$ , which constitutes a sixfold increase compared with previously published values. For the broad-band excitation ranging from  $1500$  to  $1550 \text{ nm}$ , we determined an  $EQE_{UC}$  of  $0.77\%$  for an irradiance of  $1063 \text{ W m}^{-2}$  with a corresponding normalized efficiency of  $0.072 \text{ cm}^2/\text{W}$ .

We transformed the measured  $EQE_{UC}$  values to an expected additional short-circuit current density  $\Delta j_{SC,UC}$  due to UC of sub-band-gap photons under a given solar concentration. A spectral mismatch correction method was used to account for the spectral differences between the excitation spectra and the solar spectrum, while considering the absorption spectrum of the upconverter. With broad-band excitations, we see larger  $\Delta j_{SC,UC}$  values with broader excitation spectra. Accordingly, the measurements performed by concentrating the light of a solar concentrator with a Fresnel lens on the upconverter solar cell device, featuring the broadest excitation spectrum, yield the highest  $\Delta j_{SC,UC}$  values. A  $\Delta j_{SC,UC}$  of  $13.3 \pm 3.0 \text{ mA/cm}^2$  was achieved. This corresponds to a relative efficiency increase of  $0.19 \pm 0.04\%$ .

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**Stefan Fischer** studied physics at the University of Heidelberg, Germany, and the University of Freiburg, Germany. He received the Diploma degree from the University of Freiburg in 2009. He is currently working toward the Ph.D. degree with the Fraunhofer Institute for Solar Energy Systems, Freiburg.

In 2008, he joined the Fraunhofer Institute for Solar Energy Systems. His research interests include luminescent materials, light matter interactions, and photon management for solar applications, with a special focus on the characterization of upconverter

materials and implementation of upconverters into solar cell devices.



**Aruna Ivaturi** received the Ph.D degree in 2006 in experimental solid-state physics from the Indian Institute of Technology Delhi, Delhi, India. Her thesis focused on the properties of rare-earth nanoparticle layers for "switchable mirrors."

She was with the University of Duisburg-Essen, Essen, Germany, working on the synthesis of metal and oxide nanoparticles for gas sensors (2006–07). During 2009–2010, her work at the University of Cambridge, Cambridge, U.K., dealt with the growth of metal oxide nanowires for solar cell technology.

Since 2011, she has been working with the Renewable Energy Group, Heriot Watt University, Edinburgh, U.K.

Dr. Ivaturi received the Alexander von Humboldt Fellowship (2007–2009) to carry out self-proposed work on the synthesis of rare-earth oxide nanoparticles via gas phase.



**Benjamin Fröhlich** studied physics at the University of Freiburg, Germany. He received the Diploma degree on the "Characterization of upconverter materials for photovoltaics."

He is currently with the Fraunhofer Institute for Solar Energy Systems, Freiburg. His research interests include luminescent materials and photon management for photovoltaics, especially on the investigation of upconverters and their application to solar cell devices.



**Marc Rüdiger** received the diploma degree in physics from the University of Freiburg, Germany, in 2007. He completed his Ph.D. thesis, which was focused on the analysis and simulation of crystalline silicon solar cells, at the Fraunhofer Institute for Solar Energy Systems (ISE), and defended it at the University of Konstanz, Germany, in 2013. Since then, he has been with the group of strategic planning at the Fraunhofer ISE, Freiburg.



concepts.

**Armin Richter** received the Diploma degree in physics from the University of Hamburg, Hamburg, Germany, in 2008. He is currently working toward the Ph.D. degree with the Fraunhofer Institute for Solar Energy Systems, Freiburg, Germany.

His research interests include the development and characterization of silicon surface passivation with ALD Al<sub>2</sub>O<sub>3</sub> layers, Auger recombination in crystalline silicon, and the development of both sides contacted *n*-type silicon solar cells with front side boron-doped emitter by applying industrial feasible



**Karl W. Krämer** studied chemistry at Justus-Liebig University, Giessen, Germany. He received the Diploma degree in 1988 and the Dr. rer. nat. in 1991 with Prof. G. Meyer from the Justus-Liebig University, Giessen, Germany.

He subsequently held a Postdoctoral position with Prof. H. Güdel in Bern, Switzerland. Since 2005, he has been the Head of the Solid-State Chemistry Group, Department of Chemistry and Biochemistry, University of Bern. His research interests include the synthesis of anhydrous metal halides, crystal growth,

upconversion spectroscopy, Ce<sup>3+</sup> doped rare-earth scintillators, and the investigation of magnetic interactions in low-dimensional systems by neutron scattering and magnetic measurements.



**Bryce S. Richards** received the B.Sc. degree in physics from Victoria University, Wellington, New Zealand, in 1994 and the Master's and the Ph.D. degrees from the University New South Wales, Kensington, N.S.W., Australia.

Subsequently, he was a Postdoctoral Fellow with the Australian National University before relocating to Scotland in 2006. He was promoted to a Full Professor in 2008 and also founded the Scottish Institute for Solar Energy Research. He is currently on sabbatical in Tanzania, undertaking capacity building in

solar energy research. His primary research interest includes spectral conversion for photovoltaics.



**Jan Christoph Goldschmidt** received the Ph.D. (Dr. rer. nat.) degree from the University of Konstanz, Konstanz, Germany, for his work at the Fraunhofer Institute for Solar Energy Systems (ISE), Freiburg, Germany, on novel solar cell concepts in 2009.

He is currently the Head of the Novel Solar Cell Concepts Team with ISE and a Visiting Researcher with Imperial College, London, U.K. Among other things, he is the Coordinator of the EU FP7 project "Nanospec–Nanomaterials for harvesting sub-band-gap photons via upconversion to increase solar cell efficiencies."

His research interests include photon management for photovoltaics, including luminescent solar concentrators, spectral splitting, upconversion, and advanced light trapping.