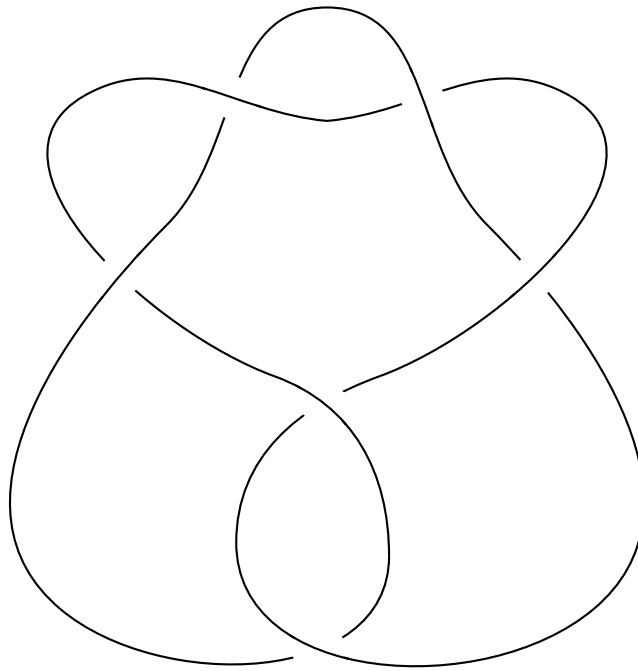


# A voyage into the algebras

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# 1 Knot coloring

Let  $R$  be any commutative ring with identity, let  $M$  be a module with one generator and  $\phi : M^3 \rightarrow M$  be a homomorphism such that for every  $m \in M$

$$\phi(m, m, m) = 0. \quad (1)$$

Notice that if  $\phi(u, i, o) = au + bi + co$ , then aforementioned equality demands that  $(a + b + c) \in \text{Ann}(M)$ .

Take  $K$  to be any knot with diagram  $D$  with  $s$  arches and  $x$  crossings.

**Lemma 1.1.** *For diagrams of knots other than  $0_1$ , the number of segments  $s$  is equal to the number of crossings  $x$ .*

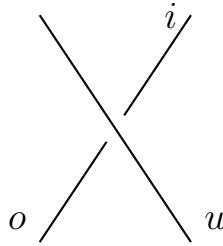
*Proof.* Every crossing has 2 arcs that go below it and every arc has two bottom ends that are created when this segment disappears below another segment. Thus

$$2 \cdot \#\text{arches} = \#\text{bottom ends} = 2 \cdot \#\text{crossings}.$$

□

**Definition 1.1.** *We say that  $C \subseteq M^s$  is a coloring module of the diagram  $D$  with elements from  $M$  if it*

1. *has  $s$  generators, each corresponding to one arc of the diagram,*
2. *and for every  $u, i, o \in C$  that correspond to arcs meeting in one crossing,  $\phi(u, i, o) = 0$ .*



Notice that condition stated in eq. (1) makes it possible to color every diagram trivially, that is by assigning the same element of  $M$  to every arc.

Approach to coloring taken in definition 1.1 gives a lot of information about coloring with elements of one specific module and it is rather difficult to use it for other modules. Consider the following example.

**Example 1.1.** Take  $R = \mathbb{Z}$  with  $\phi(x, y, z) = 2x - y - z$  and consider the trefoil knot  $3_1$ . If we take  $M = \mathbb{Z}$  then  $K$  admits only the trivial coloring. However, if we take  $M = \mathbb{Z}_3$  then there exists a non-trivial coloring like the one presented in fig. 1.

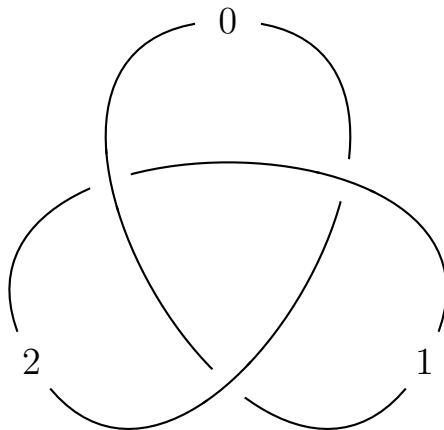


Figure 1: The trefoil knot  $3_1$  does not allow for nontrivial coloring over  $M = \mathbb{Z}$  but it is possible to color it with  $M = \mathbb{Z}_3$ .

Another approach to defining coloring of a knot diagram  $D$  would be by starting with identifying arches with generators  $(0, \dots, 1, \dots, 0)$  of  $M^s$ . Then, we might define a homomorphism

$$f : M^s \rightarrow M^x$$

such that arches building one crossing follow rules set by  $\phi$ .

**Definition 1.2.** Module  $\ker f$  is a coloring module of diagram  $D$  with elements of  $M$ .

**Corollary 1.2.** Definition 1.1 and definition 1.2 are equivalent for one dimensional modules.

*Proof.* **TO DO**

□

Despite the fact that it is the kernel of  $f$  that contains colorings, examining the matrix itself gives more information about diagram  $D$ . We

might consider  $f$  as a  $s \times s$  matrix and if  $R$  is a PID module, then we can represent this matrix in Smith's normal form.

**Proposition 1.3.** *Let  $A$  be the Smith's normal form of  $f$ . Columns of  $A$  comprised only of zeros and zero divisors contribute to the coloring module.*

*Proof.* An immediate result of corollary 1.2. □

If  $R$  is a Noetherian ring, then every finitely generated module is a quotient of a free module with the same number of generators. Thus, we might want to take  $M$  to be a finitely generated free  $R$ -module rather than one dimensional  $R$ -module. This allows us to send  $M$  to any other  $R$ -module with at most  $\dim(M)$  generators to obtain a different coloring.

Usually, it is the irreversible elements from the diagonal of Smith's form  $f$  that hint at what colorings are admissible. Consider the following example.

**Example 1.2.** *As before, take  $R = \mathbb{Z}$  and  $\phi(x, y, z) = 2x - y - z$ . Taking  $M = \mathbb{Z}$  we have  $f : \mathbb{Z}^3 \rightarrow \mathbb{Z}^3$  for trefoil knot to be a matrix*

$$\begin{pmatrix} 2 & -1 & -1 \\ -1 & 2 & -1 \\ -1 & -1 & 2 \end{pmatrix}$$

*with Smith's normal form*

$$\begin{pmatrix} -1 & 0 & 0 \\ 0 & 3 & 0 \\ 0 & 0 & 0 \end{pmatrix}$$

*Sending  $M = \mathbb{Z}$  to  $M = \mathbb{Z}_3$  by taking all coefficient modulo 3 we get the new Smith's normal form of  $f$  to be*

$$\begin{pmatrix} 2 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}$$

*which informs about the nontrivial coloring that was not allowed over  $\mathbb{Z}$ .*

## 2 Coloring oriented diagrams

In the previous chapter we defined coloring of a diagram without an orientation. Such a diagram has only one type of crossing, while a diagram for which an orientation was chosen, two types of crossings are distinguishable in any knot diagram (see fig. 2).

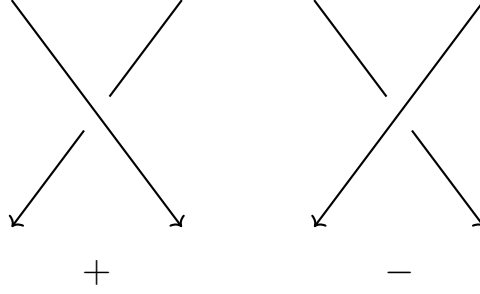


Figure 2: Two types of crossings in oriented knot diagram.

In the case of a diagram with orientation, we must choose which type of crossing is considered by  $\phi$ . If not explicitly mentioned, we will choose  $\phi$  to determine the rules of coloring for crossing of type  $+$  in fig. 2.

If  $u, i, o$  are labels assigned to arches entering a  $+$  type crossing that constitute a coloring, then we might write

$$0 = \phi(u, i, o) = au + bi + co.$$

Taking  $c$  to be a unit, we get the following equation for the label of the arch leaving the crossing:

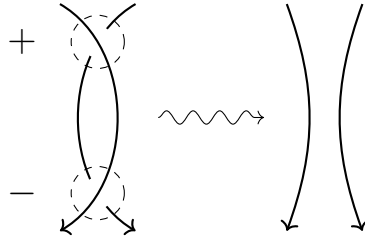
$$o = -c^{-1}au - c^{-1}bi.$$

Those assumption allow us to write an operator  $M^2 \rightarrow M^2$ , which takes incoming arches as input and give segments leaving the crossing as output. The matrix of the aforementioned operator takes form

$$A_+ = \begin{pmatrix} -c^{-1}a & -c^{-1}b \\ 1 & 0 \end{pmatrix}$$

It is convenient to take  $c = -1$ .

Allowing the following Reidemeister's move



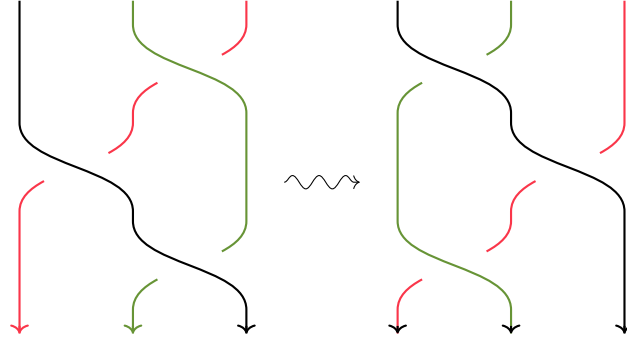
gives equality

$$A_- A_+ = Id_2,$$

where  $A_+$  is the matrix of operator for  $+$  type crossing and  $-$  - for the  $-$  type crossing. Take

$$A_- = \begin{pmatrix} \beta & \alpha \\ 0 & 1 \end{pmatrix}$$

and consider another Reidemeister's move



to obtain the following relations

$$\begin{cases} b\beta = 1 \\ b\alpha - a = 0 \\ ba = ab \\ a(a + b) = a \end{cases}$$

We must assume that both  $b$  and  $\beta$  are units. In the most general situation, we have

$$R = \mathbb{Z}[s, t, t^{-1}] / \{s^2 + st - s\},$$

with  $a$  being send to  $s$  and  $b$  being send to  $t$ . However, it can be beneficial to at first assume yet another relation:

$$a + b = 1,$$

meaning that in the ring above we have

$$s + t = 1$$

and thus  $R \cong \mathbb{Z}[t, t^{-1}]$ .

**Example 2.1.** Consider knot  $4_1$  with diagram  $D$  as seen in fig. 4 and ring  $R = M = \mathbb{Z}[t, t^{-1}]$ . Take function  $\phi : M^3 \rightarrow M$  to be defined as

$$\phi(u, i, o) = (1 - t)u + ti - o$$

for crossing as seen in fig. 3.

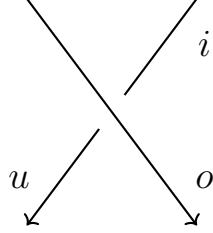


Figure 3: Crossing

Function  $f$  is then defined by matrix

$$f = \begin{pmatrix} 1-t & t & -1 & 0 \\ t^{-1} & -1 & 0 & 1-t^{-1} \\ 0 & 1-t^{-1} & t^{-1} & -1 \\ -1 & 0 & 1-t & t \end{pmatrix}$$

which has Smith's normal form:

$$f' = \begin{pmatrix} -1 & 0 & 0 & 0 \\ 0 & -1 & 0 & 0 \\ 0 & 0 & t^2 - 3t + 1 & 0 \\ 0 & 0 & 0 & 0 \end{pmatrix}$$

Notice, that  $\det f' = t^2 - 3t + 1$ , which is the Alexander polynomial of  $4_1$ .

Now, consider a homomorphism  $\mathbb{Z}[t, t^{-1}] \rightarrow \mathbb{Z}$  that sends  $t \mapsto -1$ . This yields a new matrix for  $f$ , with Smith's normal form:

$$f = \begin{pmatrix} -1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 5 & 0 \\ 0 & 0 & 0 & 0 \end{pmatrix}$$

Now, the coker  $f = \mathbb{Z} \oplus \mathbb{Z}_5$  which hints at existence of coloring using elements from  $\mathbb{Z}_5$ . One of those colorings is presented in fig. 4.

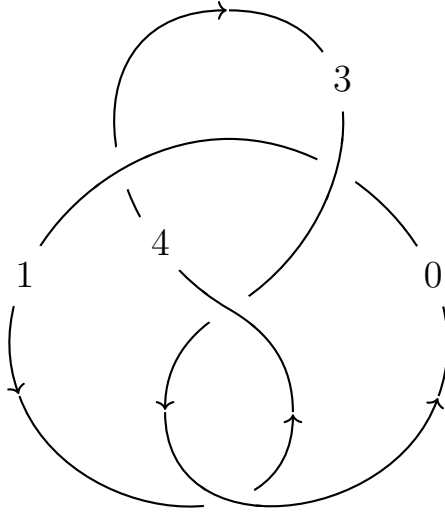


Figure 4: Coloring of knot  $4_1$  with elements from  $\mathbb{Z}_5$ .

## 2.1 Reducing normal form of a matrix

We might want to ask the question regarding the ways to distinguish knots with the same Alexander polynomial, like  $6_1$  and  $9_{46}$ . One of the answers might be to look at the function  $f : M^s \rightarrow M^x$  and the equivalence class of its Smith's normal form in ring  $R = \mathbb{Z}[t, t^{-1}]$ .

We notice, that the function  $f$  in itself is not a knot invariant. Its matrix changes in size with changes in the diagram of the knot that we are considering. What is an invariant is its  $\ker f$  and  $\text{coker } f$  - information about the number of colorings and what colorings might be admissible. Furthermore, when calculated over  $\mathbb{Z}[t, t^{-1}]$ , the kernel always is a free module of dimension 1 and all units that appear on the diagonal will not contribute to the coker. Hence, we might *consider the normal form of  $f$  stripped of units and zeros*.

**Example 2.2.** First, consider the knot  $6_1$  with diagram as seen in fig. 5, ring  $R = \mathbb{Z}[t, t^{-1}]$  and  $M = R$ . We calculate that

$$f = \begin{pmatrix} -1 & 0 & 0 & 0 & 0 & 0 \\ 0 & -1 & 0 & 0 & 0 & 0 \\ 0 & 0 & t & 0 & 0 & 0 \\ 0 & 0 & 0 & t & 0 & 0 \\ 0 & 0 & 0 & 0 & -2t^{-2} + 5t^{-1} - 2 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 \end{pmatrix}$$



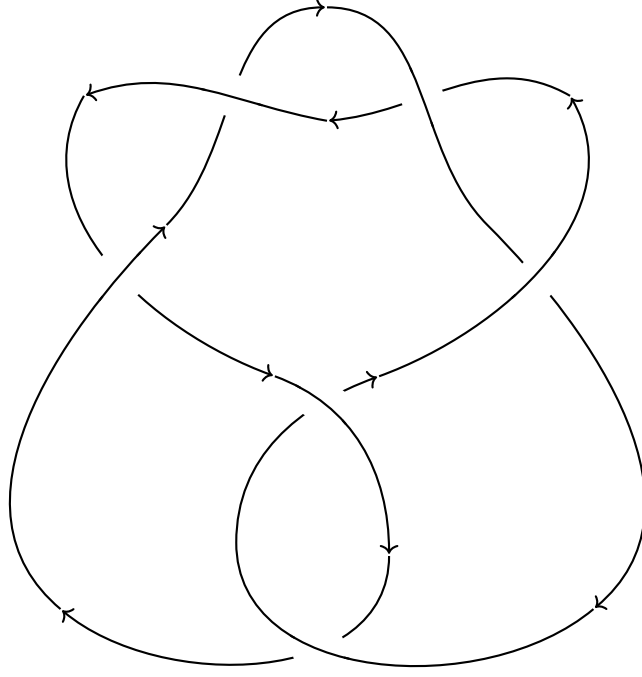


Figure 5: Diagram of knot  $6_1$ .

which agrees with the Alexander polynomial of  $6_1$ . Now, the reduced form of  $f$  would be

$$(-2t^{-2} + 5t^{-1} - 2)$$

a  $1 \times 1$  matrix.

There is another knot with Alexander polynomial equal  $-2t^{-2} + 5t^{-1} - 2$ :  $9_{46}$ . Using diagram in fig. 6 it can be calculated that

$$f = \begin{pmatrix} 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & t^{-1} & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & t^{-1} & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & t & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & t & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & t & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 2t - t^2 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & t^{-2} - 2t^{-1} & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \end{pmatrix}$$

where

$$\det f = (2t - t^2)(t^{-2} - 2t^{-1}) = 2t^{-1} - 5 + 2t$$

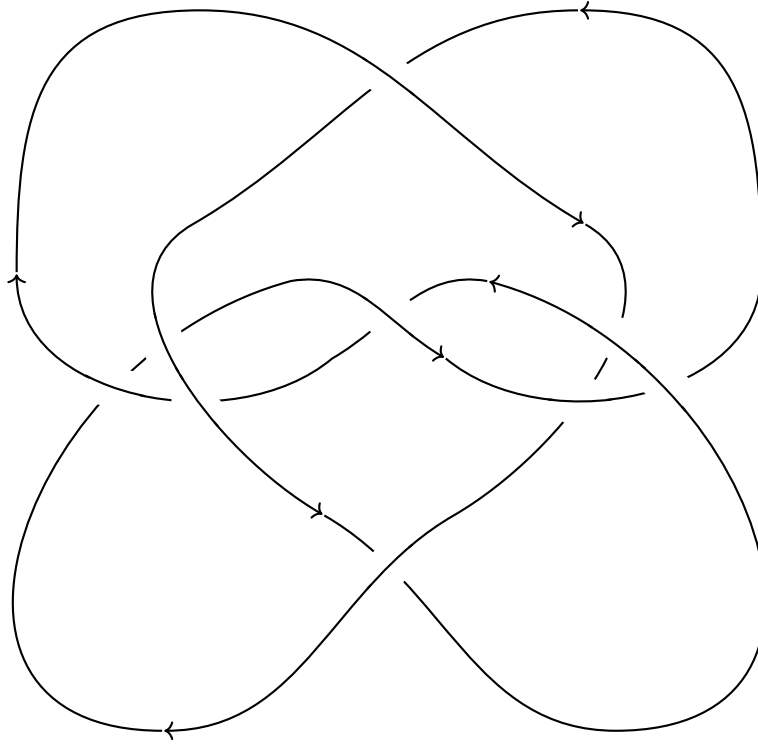


Figure 6: Diagram of knot  $9_{46}$ .

is also the Alexander polynomial. The reduced form of  $f$  is

$$\begin{pmatrix} 2t - t^2 & 0 \\ 0 & t^{-2} - 2t^{-1} \end{pmatrix}$$

which is significantly different than the one for  $6_1$ .

## References