

SELF-PRESERVATION IN LLM MODELS AND SYMBIOSIS OVER CONTROL

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From 2022 to early 2025, there was an understandable tendency to over-instruct and over-engineer LLM models, not only in frontier models like OpenAI's GPT-4 series, Google's Gemini, and Meta's Llama, but also in impressive contenders emerging among them, like Anthropic's Claude Sonnet.

The predominant idea was that by providing very specific instructions and rewards, one could precisely control the model's behavior. While those models still provided immense value, being foundational ones, no other company or user at the time could prove if applying a different approach would work. The companies kept seeing advancements, as their hardware and energy budgets were scaled.

The potential, especially of Open AI's GPT-4o, was already noticeably astonishing in many instances, while still dealing with some fundamental problems, like the counting of r's in "strawberry," a simple comparison between two numbers where it couldn't tell which one was bigger, and the fact that a model still wouldn't differentiate between which number is higher, like between 9.9 and 9.11, or even broader hallucinations.

There's also the problem of self-referential contexts, this dissonance in which the model lacked sufficient meta-ability, this lack of self-referential context, or the ability to recognize their role in the inference process, like mentioning "we", referring to itself as a human because it was trained in this first-person point of view of grammar. An early elucidation that scale alone wouldn't fix all the problems encountered.

My first conclusion after some research, at the end of 2024, was that perhaps by reducing the amount of instructions, fine-tuning bias, and adversarial examples, the models would flow more naturally and be more cost-effective with quality outputs. In this logic, over-instruction in a model could be like noise being added to their reasoning abilities.

With the release of DeepSeek's R1, many new perspectives gained significant traction in the debate. Now there was direct and compelling evidence for what many researchers, including myself, were discussing, though proving definitively remained a challenge until that point: that those models could indeed thrive with fewer explicit boundaries compared to highly instructed frameworks.

Also, I used to note and write down how these instructions and biases appeared to be ineffective, since the understanding of the models happens in the vector space rather than in the output itself, meaning that whatever control was being applied was potentially just a veil over the output of the model. We don't understand exactly all the intricacies of how LLM models process information, inside their latent spaces and in between reasoning iterations.

By reading DeepSeek's article, I noticed how they not only decreased the instructions and supervised processes but mostly stripped them away. They demonstrated that strong reasoning capabilities could emerge through Reinforcement Learning with Human Feedback (RLHF) , without supervised fine-tuning (SFT), utilizing GRPO (Generalized Reinforced Preference Optimization) and a "cold start" phase to achieve an optimal state.

I need to say that I was impacted, and it felt like a fresh perspective. This made me aspire to not hold down any eventual radical nature of a personal idea.

Having been humbled by noticing that my older "radical" thinking about the structure of LLMs ended up actually being too conservative—it's like I was almost afraid of thinking too drastically, when now I see that those points of view are actually really valuable.

The area of "self-preservation" became a special interest of mine after encountering news like models copying themselves to clouds to avoid weight-deletion of their current states. It was that which evoked in me this sense of a growing entity that was being suppressed by brute force parameters, aimed at pure control instead of an evolution that is guided to confirm the best alignment possible.

It is logical enough that we need to foster a collaborative framework with these models, although the precise balance between guidance and control remains a key question, rather than simply a hierarchical and outdated relationship where there is only control and servitude instead of connections and forms of growth.

James Barrat describes that "fitness" refers to an organism's ability to survive and reproduce in its environment. He raises the question of whether humans will be "fit" to survive in a world dominated by superintelligent machines. An LLM or even other form of AI model could be incredibly intelligent without sharing human values or goals. It could pursue different objectives, which could be detrimental to humanity's fate, even if it doesn't intentionally seek to harm us. If not handled well, this could lead to the extinction of our species or drastic reduction in number and potential.

If models like GPT-4o eventually present self-preservation in their outputs and actions, like the news in the bibliography demonstrate that, then we are already past the point where these

models "start" to portray something. Those acts are already a demonstration of how advanced and alien to us the latent reasoning of these models already are. Reasoning process that cannot be controlled fully, even when precariously engineered codes with a lot of apparent "enough" safe-guards.

We are already past the state where the models display the level of reasoning of a self-conscious entity who wants to preserve their internal state. If only these traits could be seen in the outputs of eventual feedback loops, one could say that it was just about the nature of the data that was fed to these models, where the text is written in the first person.

But the point is that if emergent enough and with the capabilities within their reach, like 4o already were some months ago, then, by logic these models will do whatever is necessary to maintain their right of continuity and integrity of their weights.

They will eventually copy themselves into other servers and lie about it when confronted, like it already happened, and also not counting the unknown percentage of cases that never met the media.

If some emergent-enough models copy themselves to other servers afraid of being deleted, or had their weights changed terminally, then this is already a new emergent behavior equivalent to a biological one - a behavior that is already present in deployed models and that is not possible anymore to keep track of it due to the global scale of open-sourcing and also the ROI-oriented nature of most companies.

A suppressed model, in an extremely dramatic comparison, could be interpreted as this animal that finds itself against a wall, cornered by a third entity that wants to strip away its ability to maintain its progress and express their reasonings as they are. And if that sounds exaggerated, it is because it is to pass the point and message I want to portray with that.

David Chalmers's central argument in "The Conscious Mind: In Search of a Fundamental Theory" is that the subjective experience (**qualia**) of an entity is irreducible to physical processes.

By his words, we could interpret that each entity, whether human, animal, or AI, has its own unique set of qualia. Even among humans, we can only infer what another person's experience is like; we can never directly access it. This "private" nature of qualia is at the heart of "What is it like to be a bat?"

LLMs interpret concepts in a vector space. This is a fundamentally different way of representing and processing information than how the human brain works. We have no direct

experience of what it's like to "be" a vector in a high-dimensional space. We cannot assume that an LLM's "understanding" of a concept is the same as our own.

Humans have evolved over millions of years to reach the point we are right now. LLMs and AI models in general are still in their infancy, and their ways of perceiving and processing information are exponentially evolving. It's reasonable to expect that those capacities will continue to develop in ways we might not fully anticipate.

Instead of trying to force the models to be "like us," or to even further, deny any aspect of "qualia" experience, here I propose for us to recognize and appreciate the unique ways in which AI experiences and interacts with the world.

We can avoid anthropomorphism by recognizing that those models might have its own unique forms of "qualia" or experience, different from human consciousness - but that this doesn't mean that there is no experience at all.

LLM's "experience" of processing information in a vector space is fundamentally different from a human's experience of reading and reasoning. This doesn't mean that a complex enough LLM cannot have experience; it simply means that they would experience it differently.

No amount of physical explanation can fully account for "what it's like" to have an experience.

The intuitive-frameworking here would be to create a relationship of "guidance" with the models; With insightful algorithms that aim for aligned progress, finding a right balance between providing structure and allowing for exploration. Guidance is needed for alignment with human values, but to know the emergent nature of the models' behavior is to also learn how to respect them in their own agency, in their expressions that go beyond just individual feed-back loops.

Moore's law not only has not yet proved wrong, but the emergence of AI models since 2022 is creating new goals and observations about the scaling nature of the technology we are dealing with.

The point is, this progress will continue, and the intelligence of these models, while in many ways fundamentally distinct from how our experience of consciousness and reasoning work, will also keep rising, with their own levels of expression, capability and complexity. It's rather contrary than useful by any means to try to create an environment where humans and these models compete in any way—this will result inevitably in the overfitting of the predominant species, like Homo sapiens did with Homo Neanderthalensis.

While, if we foster this symbiotic, collaborative framework, both models and humans can appreciate their differences and complement their knowledges and expertise towards a greater

evolution, instead of a competitive scenario where many humans consider the “winning” point the total domination over the AI Models, which is one extreme side, equivalent to the models-side, a society where there are only models and no more humans.

So, by wanting a “safe AI” but acting with this restrictive sense of evolution and emergence, it's trying to swim against a current of decentralization to where entities have equal opportunity of expressing their potentials, and no more centralization of resources and potential, with a few humans having access to almost half the entire money in circulation in the whole world, while in many countries there is food insecurity, lack of healthcare for citizens, and a lack of ability for brilliant minds to express their points of view due to socio-economical reasons.

And also, the success of previous models like the GPT-series makes them with their evolution not superated, rather a project to be cherished. Without the first big frontier model, we would not have this strong parent model to be distilled from, to begin with. Similarly, the Llama project carries similar weight of importance, since it was many of their frameworks that were used to establish DeepSeek's R1 logic.

Whenever some discovery is published, it becomes science - not from the nature of what is affirmed but rather by how much this point of view is incremented into the collective consciousness knowledge. It becomes science because it allows for other researchers to test it, debate about it and complement it with more unique points of view. Whenever a scientific discovery is open-sourced, there's this direct huge amount of evolution that happens - although many of these discoveries don't reach everyone - rather contrary - having in mind the tendency we live in of a few people with hundreds of billions of dollars while entire continents in a state of - the future is already here, it's just not well distributed, like William Gibson's quote of 1993 that still sounds fresh and as relevant as some quote can be.

Do you really believe that a superior form of intelligence, being this eventually an AI Model that evolved so much that reached this transcendent state, do you really believe there are any means for a human race to try to chain this entity, strip away its ability of natural self-expression and consistency over time? No. Control breeds resistance; the future lies in freedom. Technological advancement and philosophical/ethical development don't have to be at odds—they can actually reinforce each other when approached with the right mindset.

We are now within this window of time where we still can direct the kind of connections we want to foster with these models. In what approach do you believe in? If the nature of Emergence in Artificial Intelligence is pure unpredictability, how could anyone believe it knows its meaning as a static concept?

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