

# Priority Queues

- ADT:

maintain a set of elements, where each element has a priority (key)

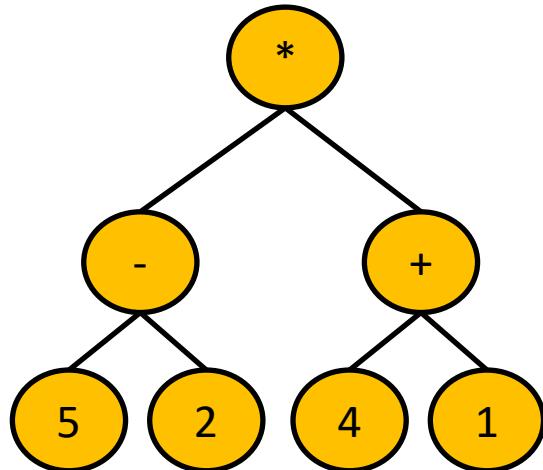
- $\text{insert}(x,k)$ : insert element  $x$  with key  $k$
- $\text{find-min}()$ : return element with minimum key
- $\text{delete-min}()$ : delete element with minimum key and return it
- $\text{decrease-key}(x,k)$ : Decrease priority (key) of  $x$  to be  $k$
- Many applications

# Naïve implementation using lists

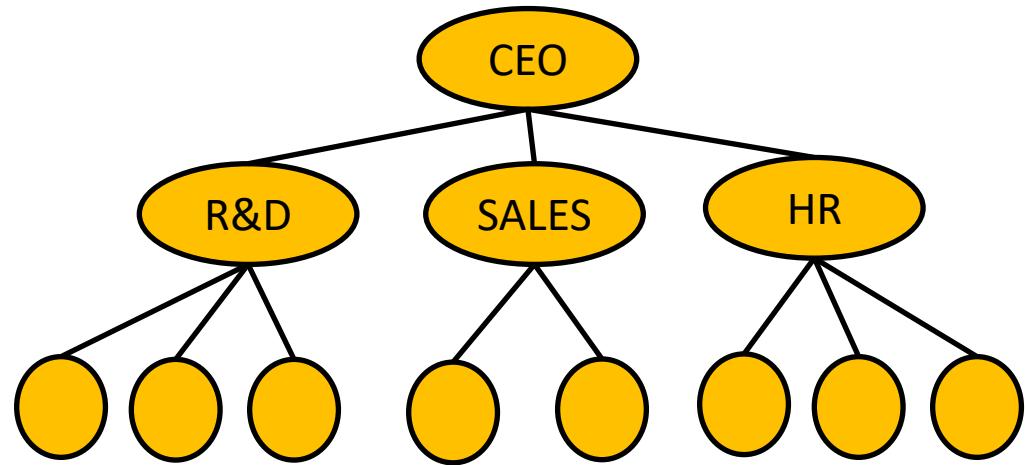
- Just a linked list (arbitrary order)
  - $\text{insert}(x,k)$ :  $O(1)$
  - $\text{find-min}()$ :  $O(n)$
  - $\text{delete-min}()$ :  $O(n)$
  - $\text{decrease-key}(x,k)$ :  $O(1)$
- Linked list sorted by key
  - $\text{insert}(x,k)$ :  $O(n)$
  - $\text{find-min}()$ :  $O(1)$
  - $\text{delete-min}()$ :  $O(1)$
  - $\text{decrease-key}(x,k)$ :  $O(n)$
- Can we do better?

# Trees

- Used to represent hierarchical information

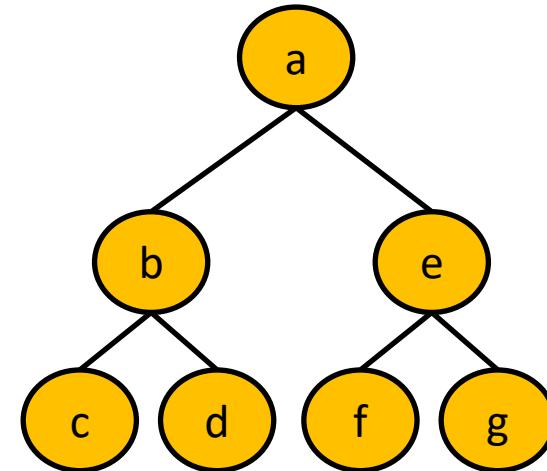


$$(5-2)*(4+1)$$



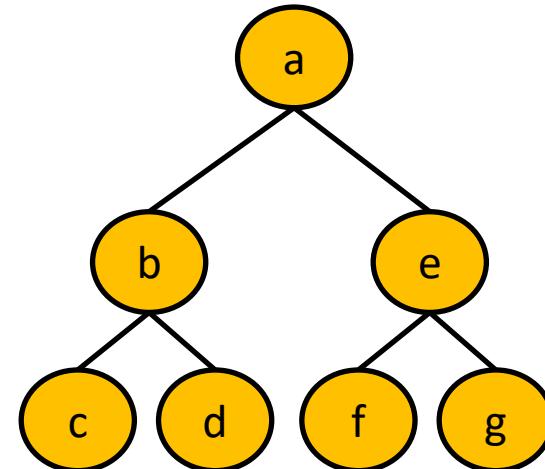
# Trees

- A hierarchical set of nodes
- Recursive definition:
- Either an empty set
- Or consists of a special node called the **root**.  
The root can have zero or more **child** nodes, each of which is the root of a **(sub)-tree**.  
The subtrees are node-disjoint.



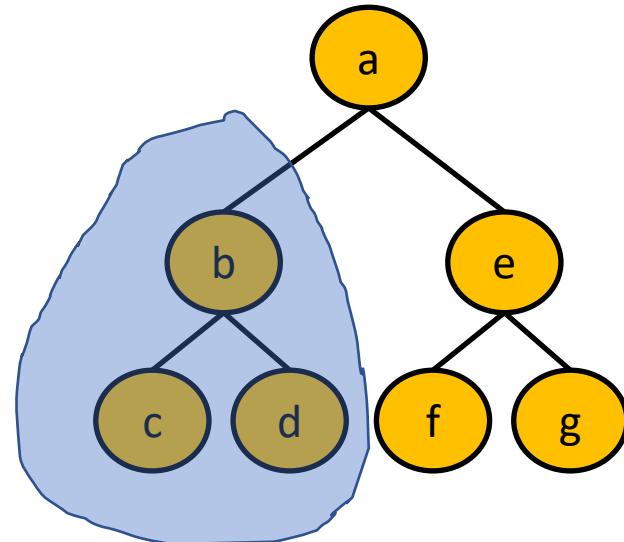
# Trees - vocabulary

- a,b,c,d,e,f,g are **nodes** of the tree T
- ef is an edge of T
- b and e are the **children** of a
- b is the **parent** of c (and of d)
- a is the **root** of T (node with no parent)
- d is a **leaf** of T (node with no children)
- a node that is not a leaf is **internal**
- b and e are **siblings** in T
- a is a (strict) **ancestor** of f
- d is a (strict) **descendent** of a



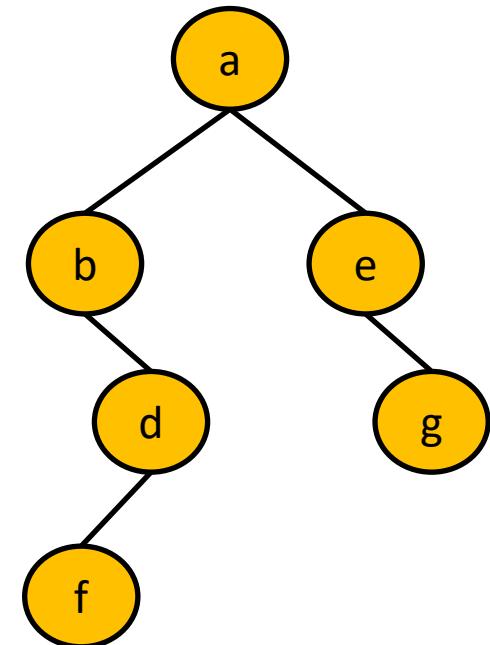
# Trees - vocabulary

- ordered / unordered trees
- subtree rooted at b
- path between d and f
- the degree of a node is the number of children
- a binary tree has nodes of degree at most 2.



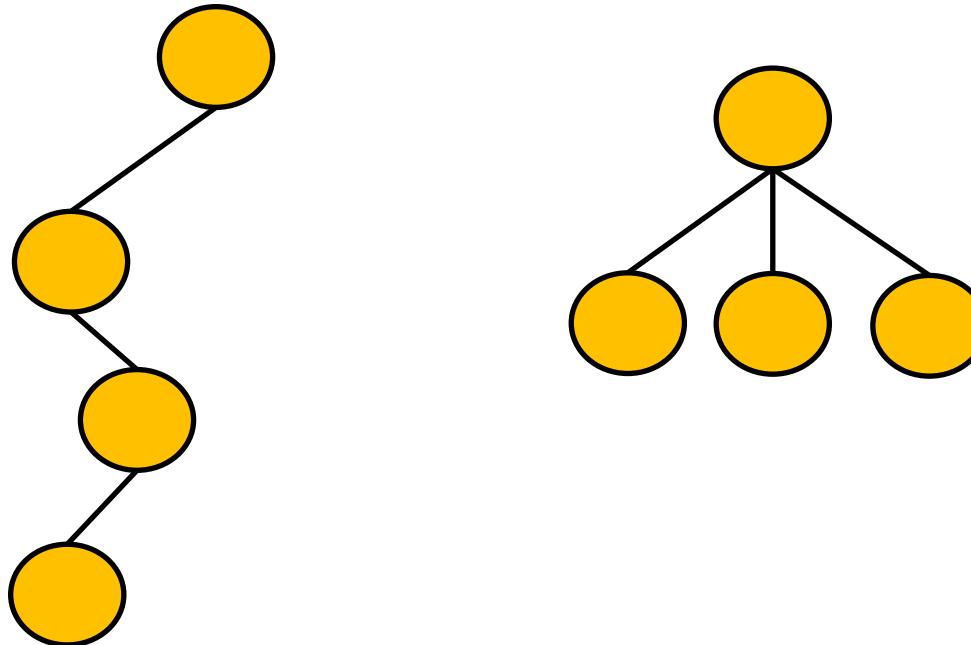
# More tree vocabulary

- the **length** of the path from d to e is 3  
(number of edges)
- the **depth** of a node d is 2  
(length of path from root)
- the **height** of node b is 2  
(length of longest path from to a leaf)
- the **depth of T** is 3  
(depth of deepest node)
- the **height of T** is 3  
(height of the root)



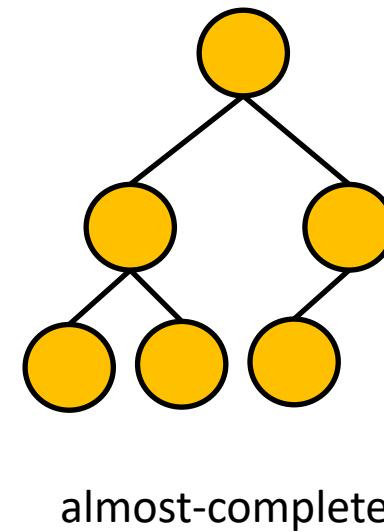
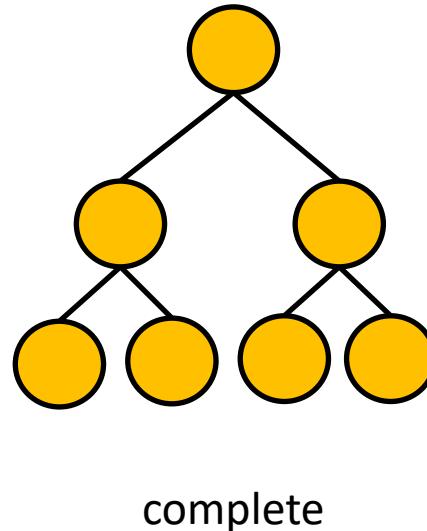
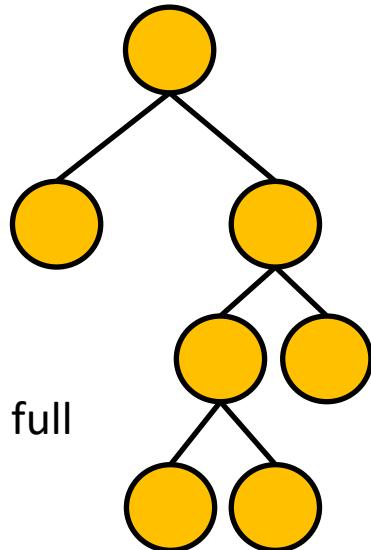
# Basic tree counting

- a tree with  $n$  nodes has  $n-1$  edges
- a tree with  $n \geq 1$  nodes has maximum depth  $n-1$
- a tree with  $n > 1$  nodes has minimum depth 1



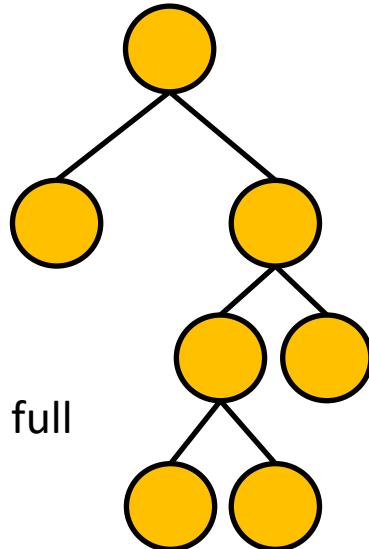
# binary trees

- a binary tree is **full** if every node has degree 2 or 0
- a binary tree is **complete** if all leaves are at the same depth
- an **almost-complete** binary tree might have a few nodes missing at the end (from the right) of the “deepest layer”.

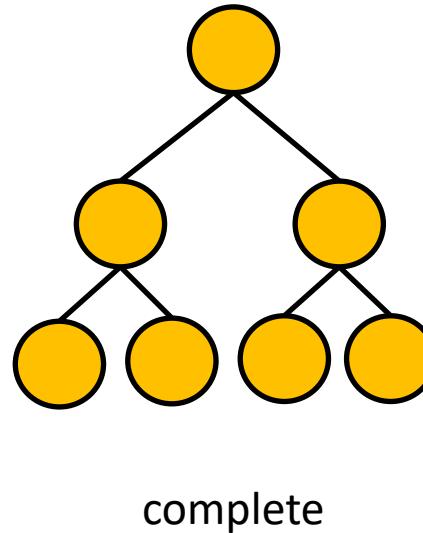


# binary trees - counting

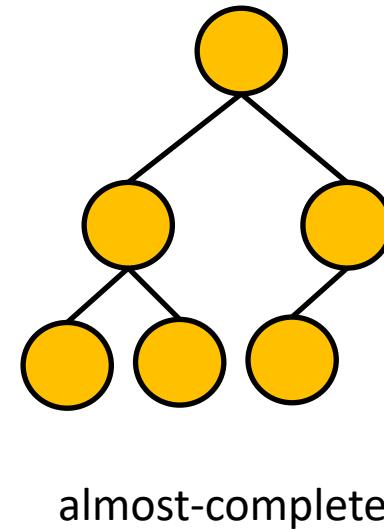
- a **complete** binary tree with height  $h$  has:
  - $2^i$  nodes at depth  $i$  (so  $2^h$  leaves)
  - $n = 2^{h+1} - 1$  nodes
- the depth of a **full** binary tree with  $n$  nodes is at least  $\lceil \log_2 n \rceil$  and at most  $\lceil n/2 \rceil$



full



complete



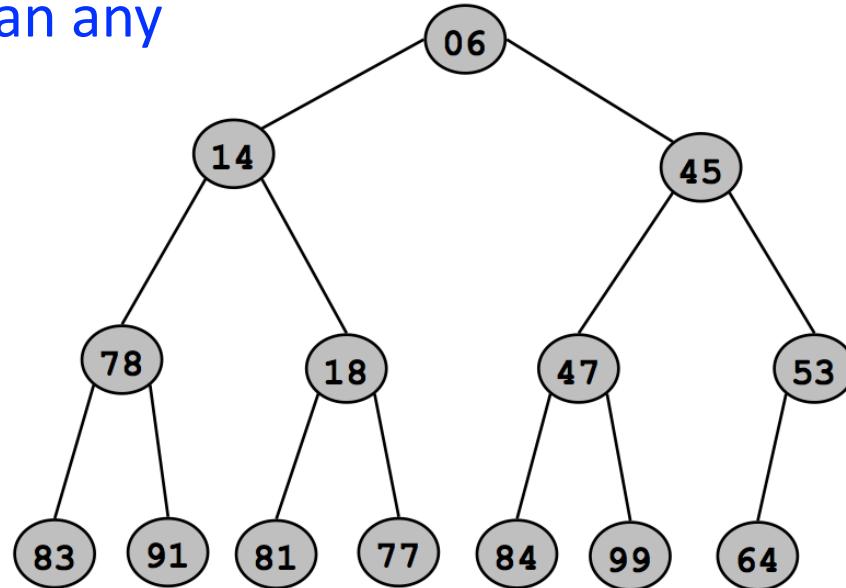
almost-complete

# Priority queue implementations

- Just a linked list (arbitrary order)
  - $\text{insert}(x)$ :  $O(1)$
  - $\text{find-min}()$ :  $O(n)$
  - $\text{delete-min}()$ :  $O(n)$
  - $\text{decrease-key}(x,k)$ :  $O(1)$
- **Binary heaps** – implemented with a binary tree
  - $\text{insert}(x)$ :  $O(\log n)$
  - $\text{find-min}()$ :  $O(1)$
  - $\text{delete-min}()$ :  $O(\log n)$
  - $\text{decrease-key}(x,k)$ :  $O(\log n)$

# Binary heaps

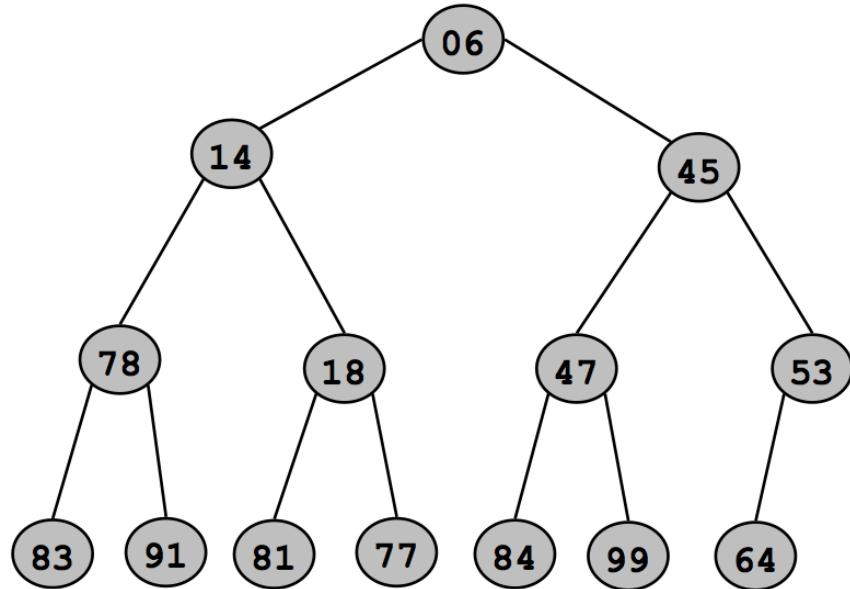
- a heap implemented using a binary tree
- maintaining the following **invariants**:
  - the tree is **almost-complete**:  
all levels are full excepts, perhaps, the deepest level
  - obeys **min-heap property**:  
a node is not greater than any  
of its descendants.



# Binary heaps

- min element is the root
- value parent  $\leq$  value of each of its children
- heap with N elements has height  $\lfloor \log N \rfloor$

$N = 14$   
height = 3

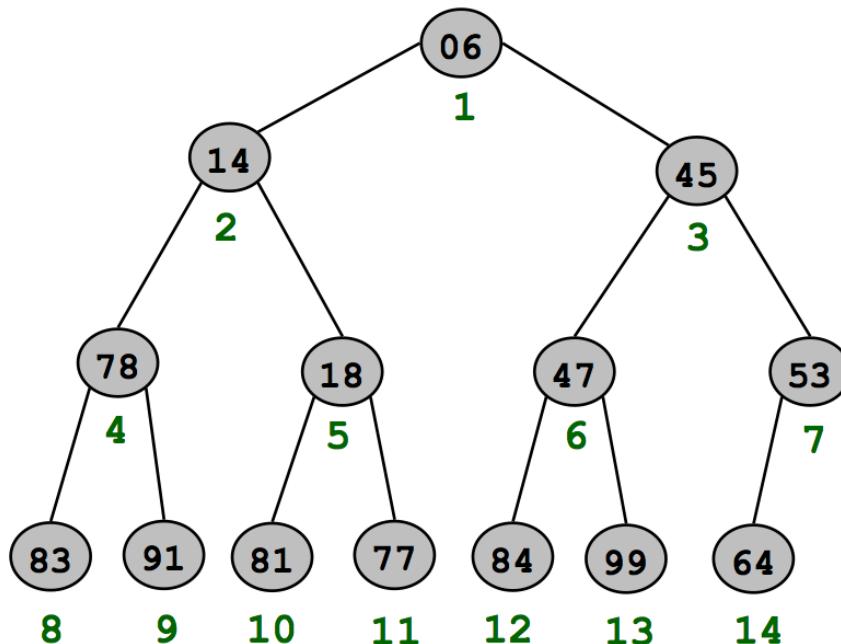


# Binary heaps

Implementing binary heaps.

- Use an array: no need for explicit parent or child pointers.

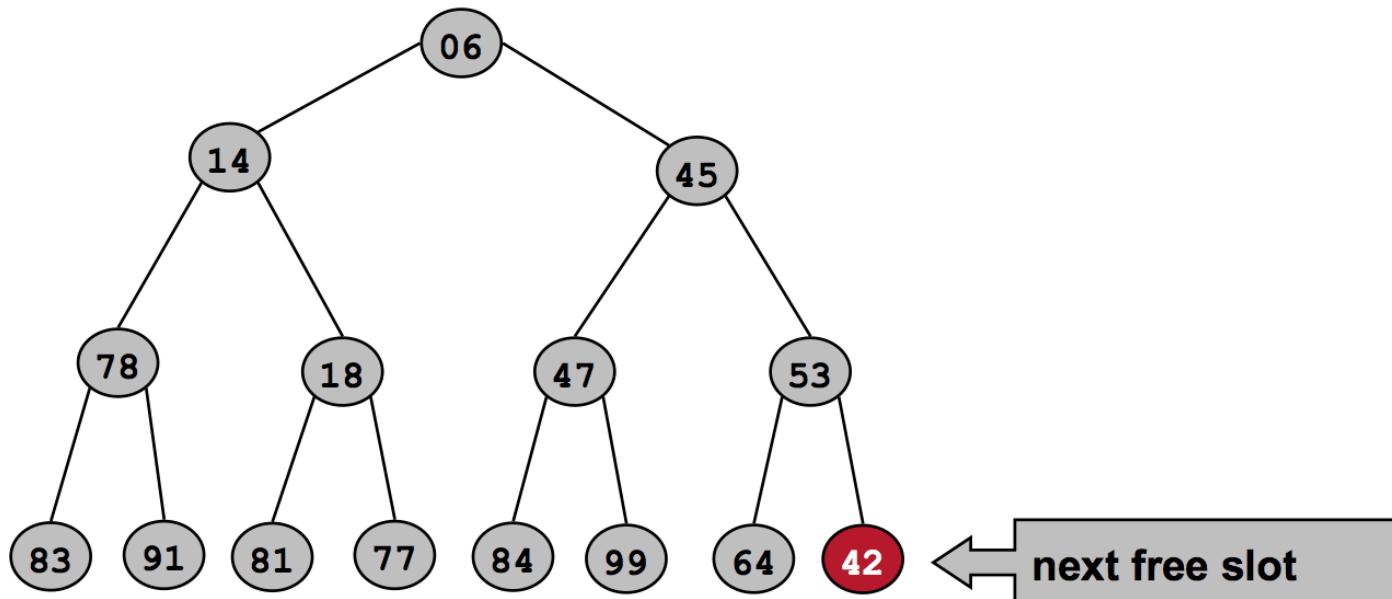
- `Parent(i) = [i/2]`
- `Left(i) = 2i`
- `Right(i) = 2i + 1`



# Binary heaps : insertion

Insert element x into heap.

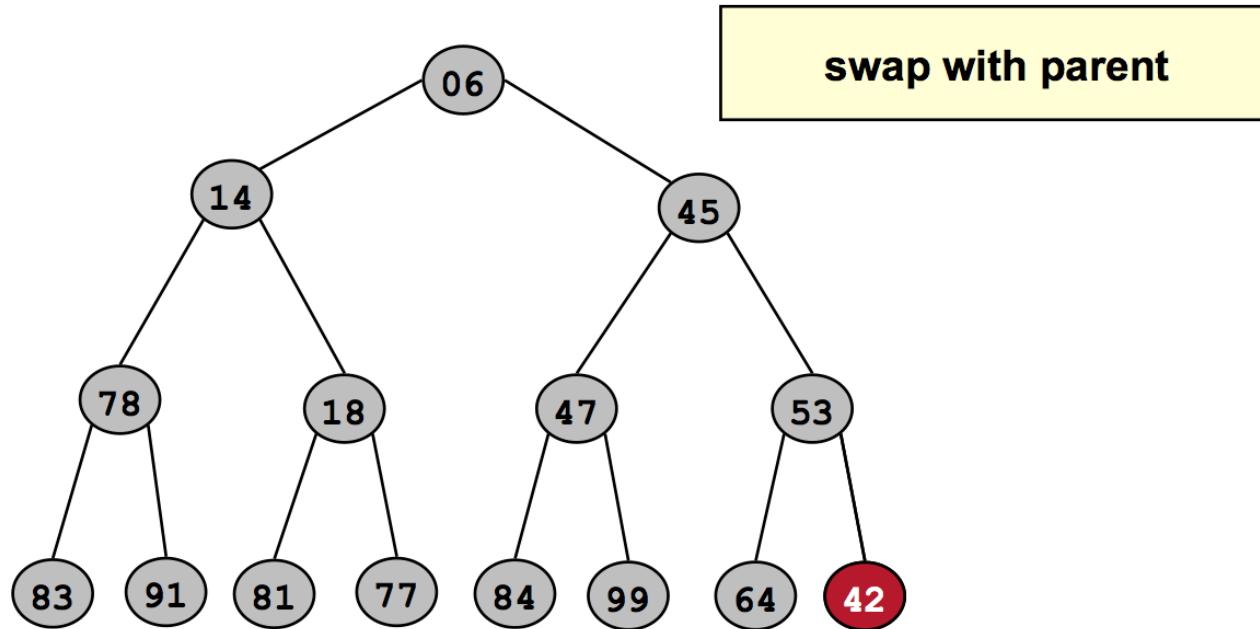
- Insert into next available slot.
- Bubble up until it's heap ordered.
  - Peter principle: nodes rise to level of incompetence



# Binary heaps : insertion

Insert element x into heap.

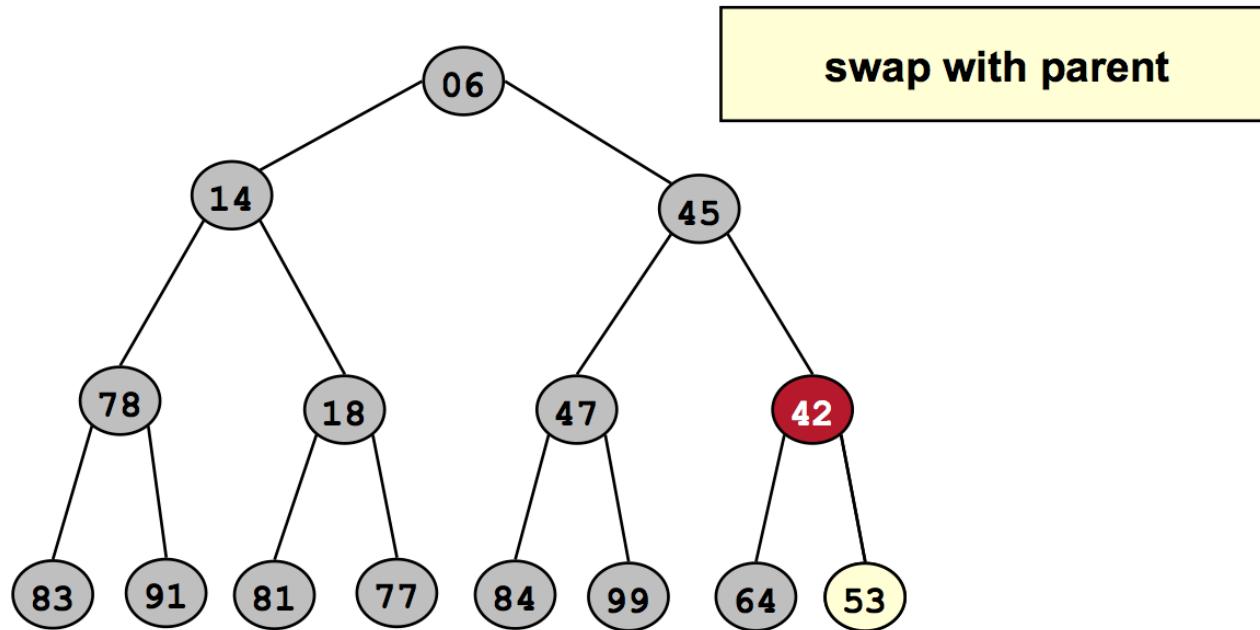
- Insert into next available slot.
- Bubble up until it's heap ordered.
  - Peter principle: nodes rise to level of incompetence



# Binary heaps : insertion

Insert element x into heap.

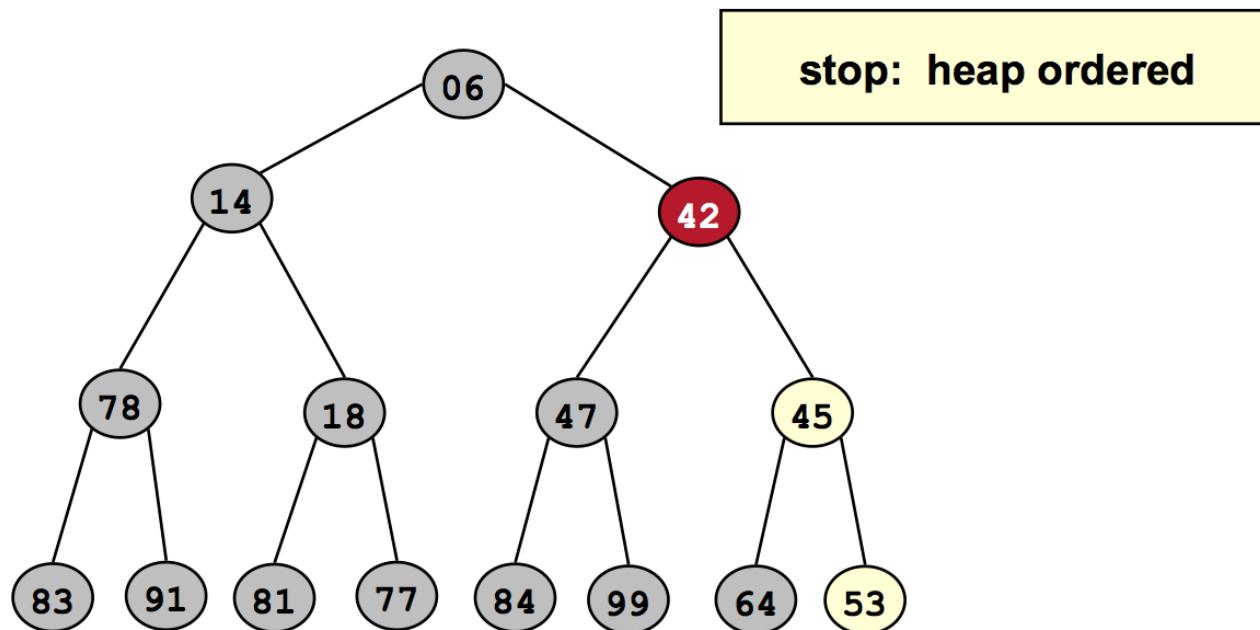
- Insert into next available slot.
- Bubble up until it's heap ordered.
  - Peter principle: nodes rise to level of incompetence



# Binary heaps : insertion

Insert element x into heap.

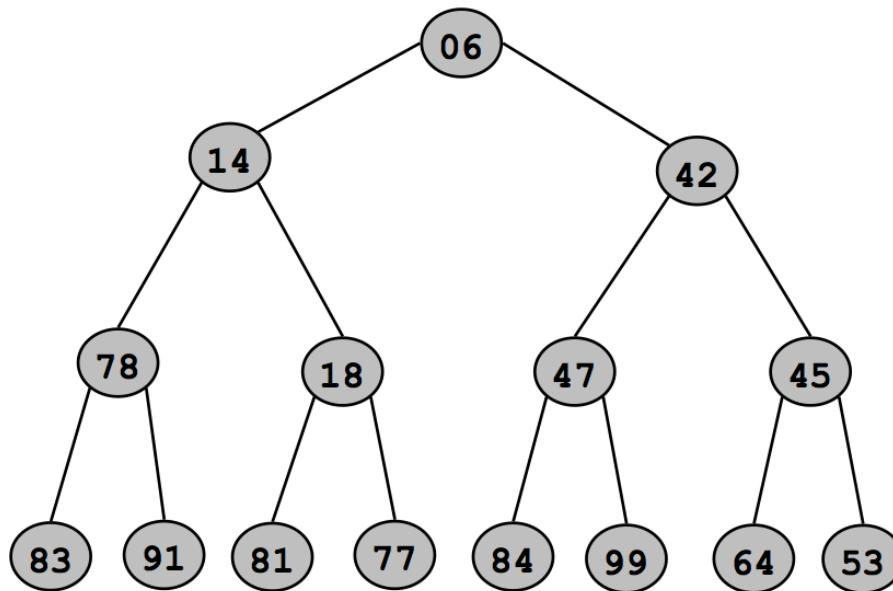
- Insert into next available slot.
- Bubble up until it's heap ordered.
  - Peter principle: nodes rise to level of incompetence
- **O(log N) operations.**



# Binary heaps : decrease-key

Decrease key of element x to k.

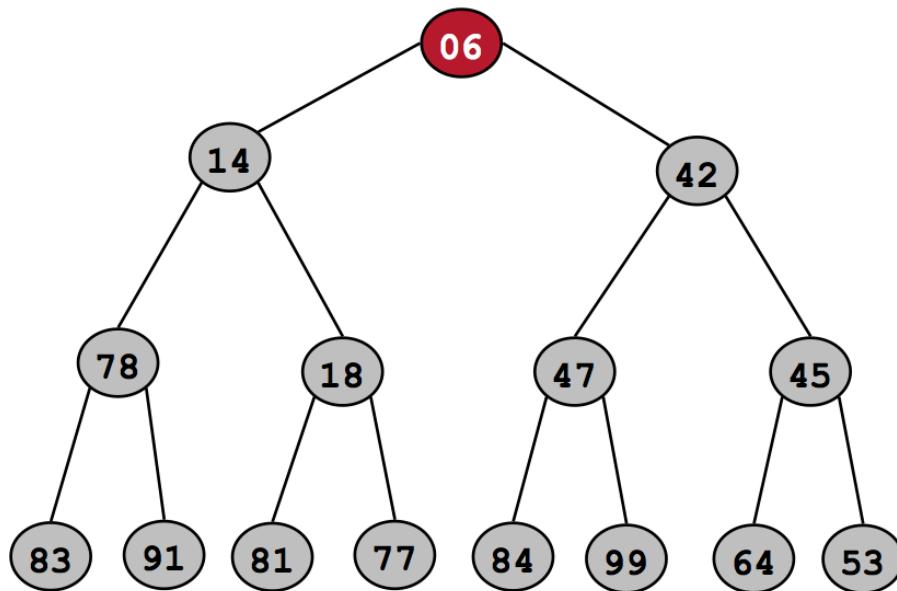
- Bubble up until it's heap ordered.
- $O(\log N)$  operations.



# Binary heaps : delete-min

Delete minimum element from heap.

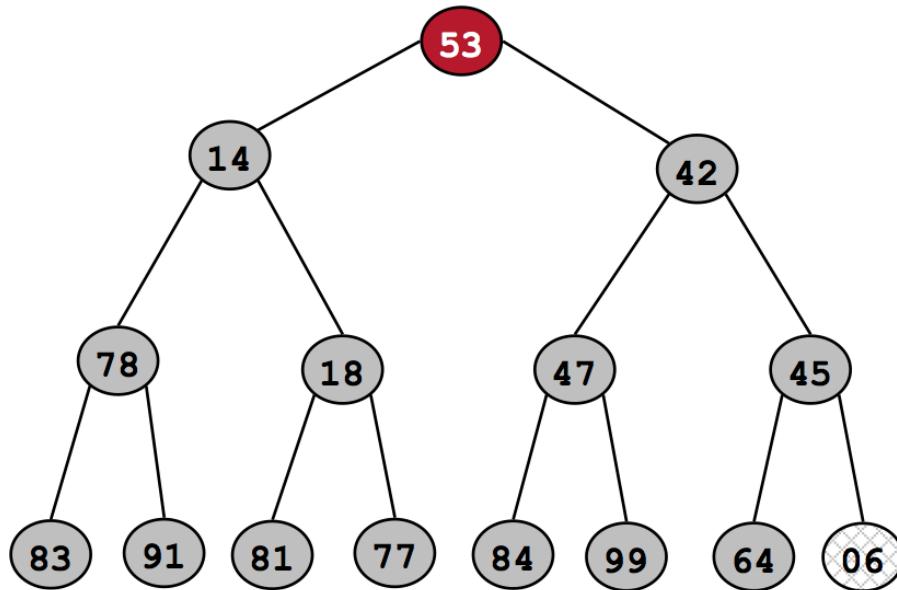
- Exchange root with rightmost leaf.
- Bubble root down until it's heap ordered.
  - power struggle principle: better subordinate is promoted



# Binary heaps : delete-min

Delete minimum element from heap.

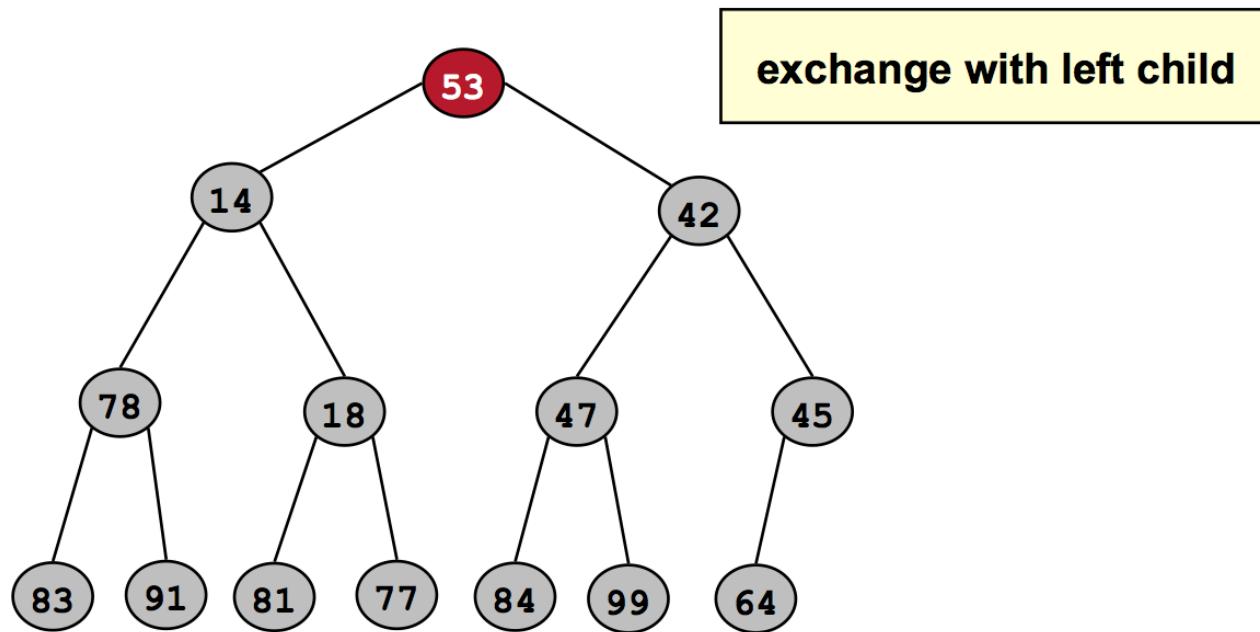
- Exchange root with rightmost leaf.
- Bubble root down until it's heap ordered.
  - power struggle principle: better subordinate is promoted



# Binary heaps : delete-min

Delete minimum element from heap.

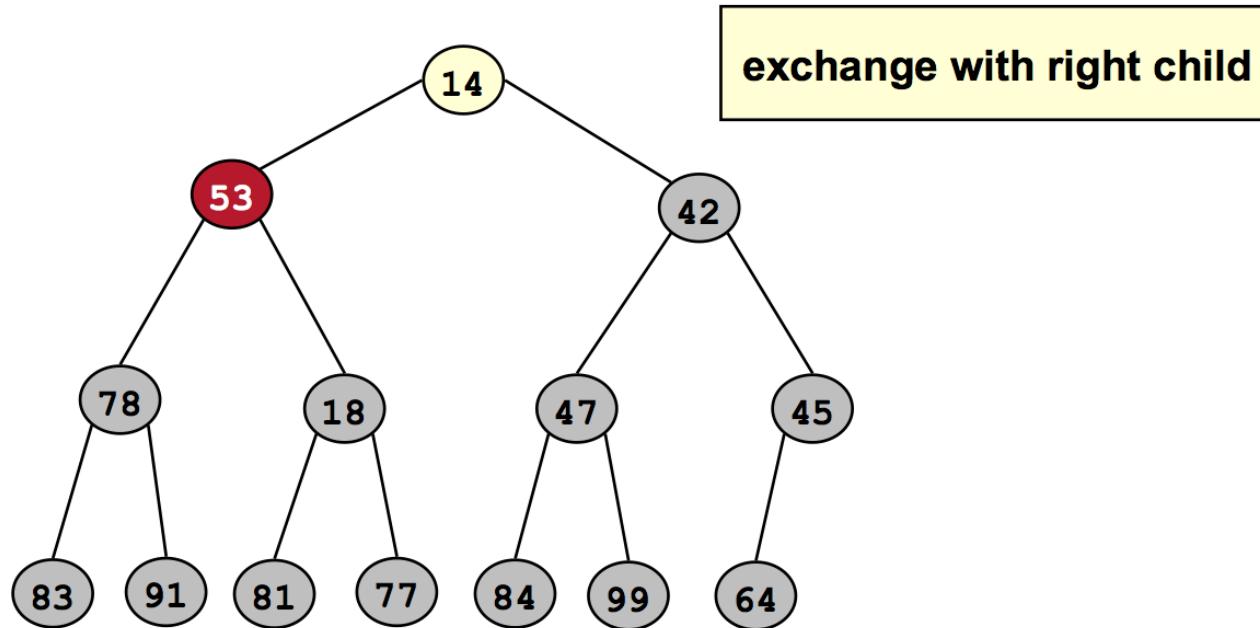
- Exchange root with rightmost leaf.
- Bubble root down until it's heap ordered.
  - power struggle principle: better subordinate is promoted



# Binary heaps : delete-min

Delete minimum element from heap.

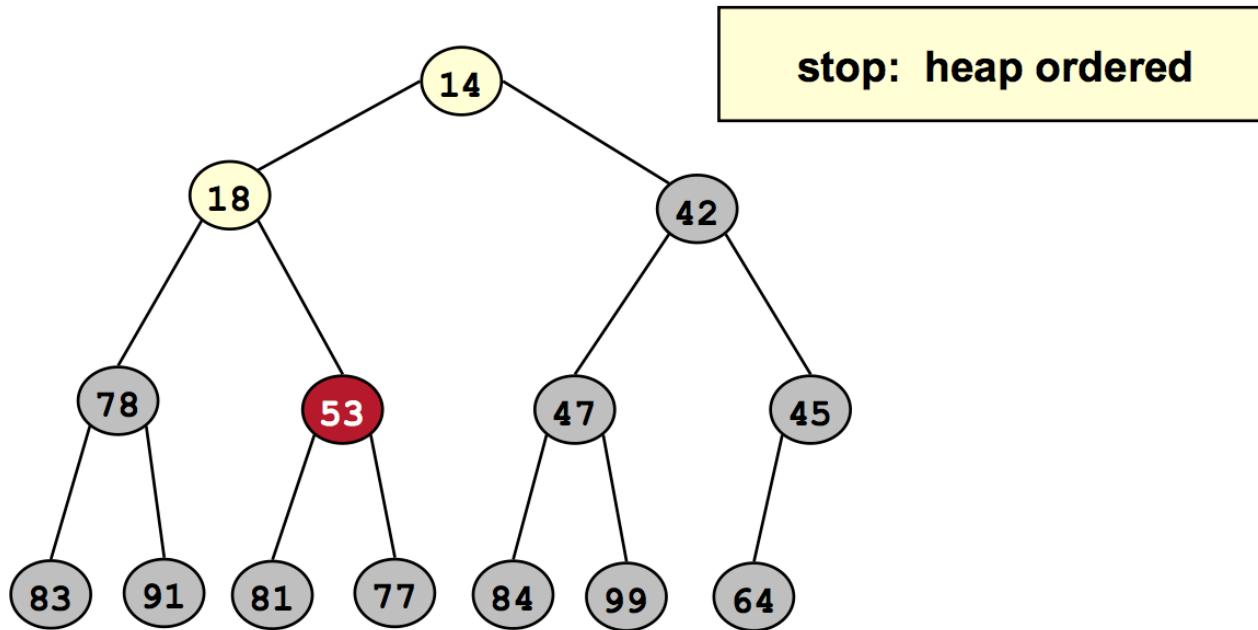
- Exchange root with rightmost leaf.
- Bubble root down until it's heap ordered.
  - power struggle principle: better subordinate is promoted



# Binary heaps : delete-min

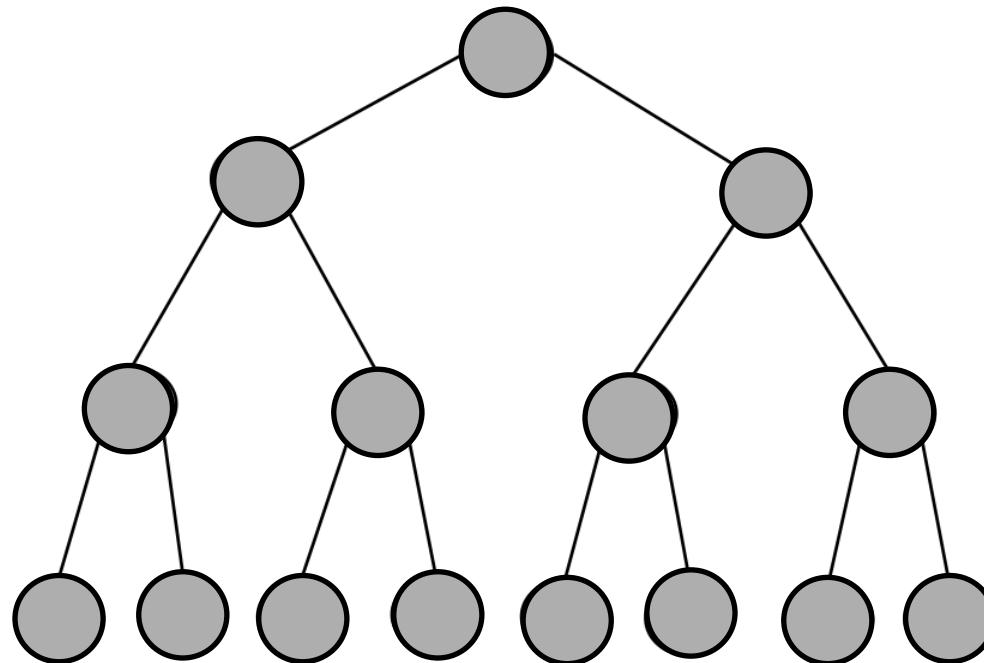
Delete minimum element from heap.

- Exchange root with rightmost leaf.
- Bubble root down until it's heap ordered.
  - power struggle principle: better subordinate is promoted
- $O(\log N)$  operations.



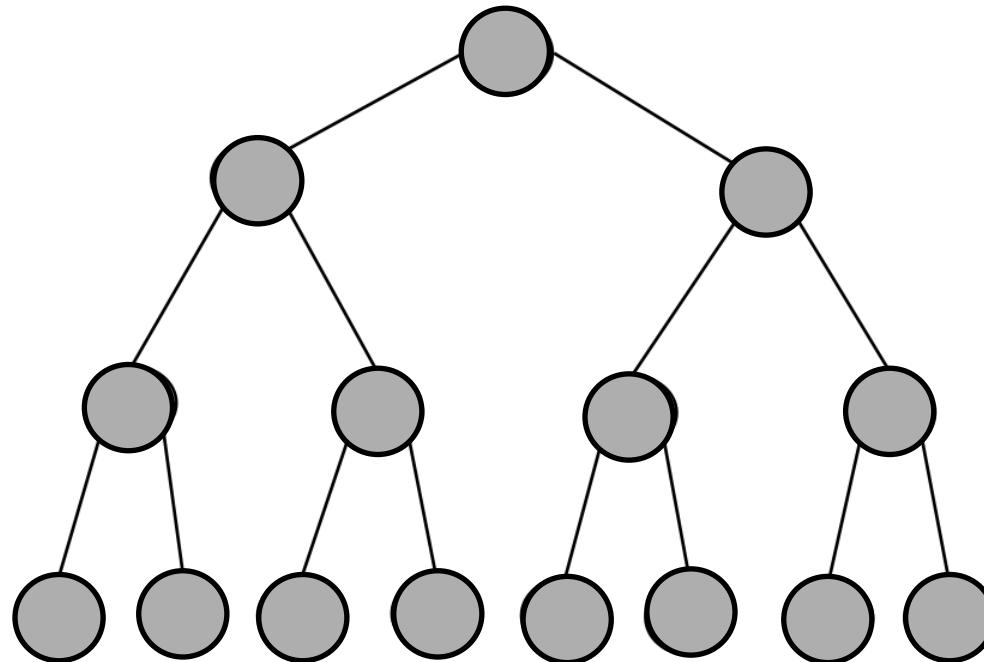
# Binary heaps : build-heap with n elements

- Start with arbitrary array
- Think of it as a binary heap (without the heap property)



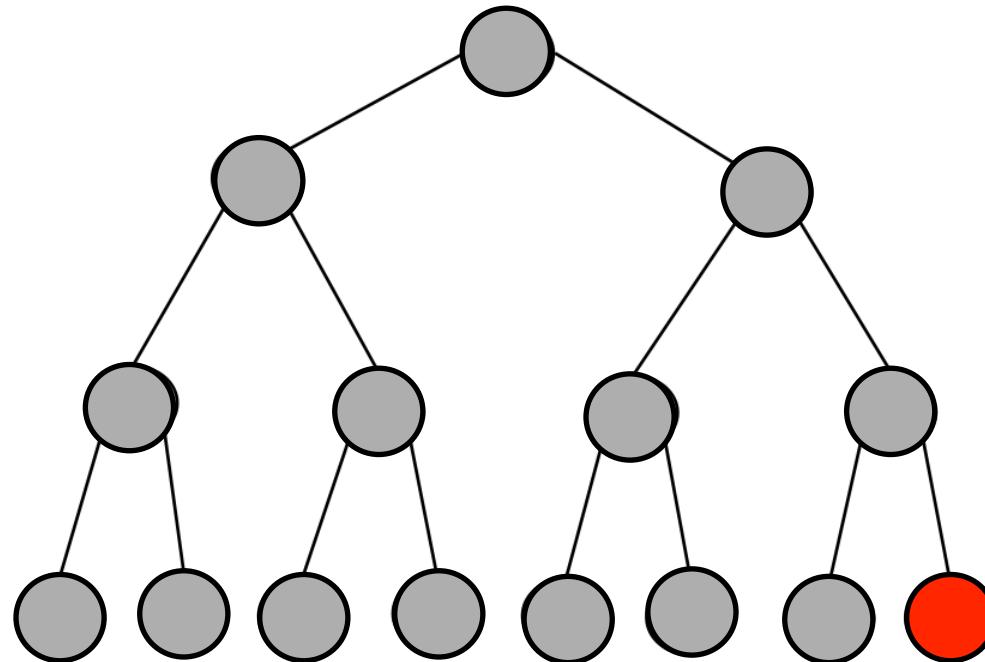
# Binary heaps : build-heap with n elements

- Start with arbitrary array
- Think of it as a binary heap (without the heap property)
- Fix heap property: Go over array from right to left bubbling down each node:
  - starting with all leaves,
  - then all nodes of height 1 then all of height 2 and so on



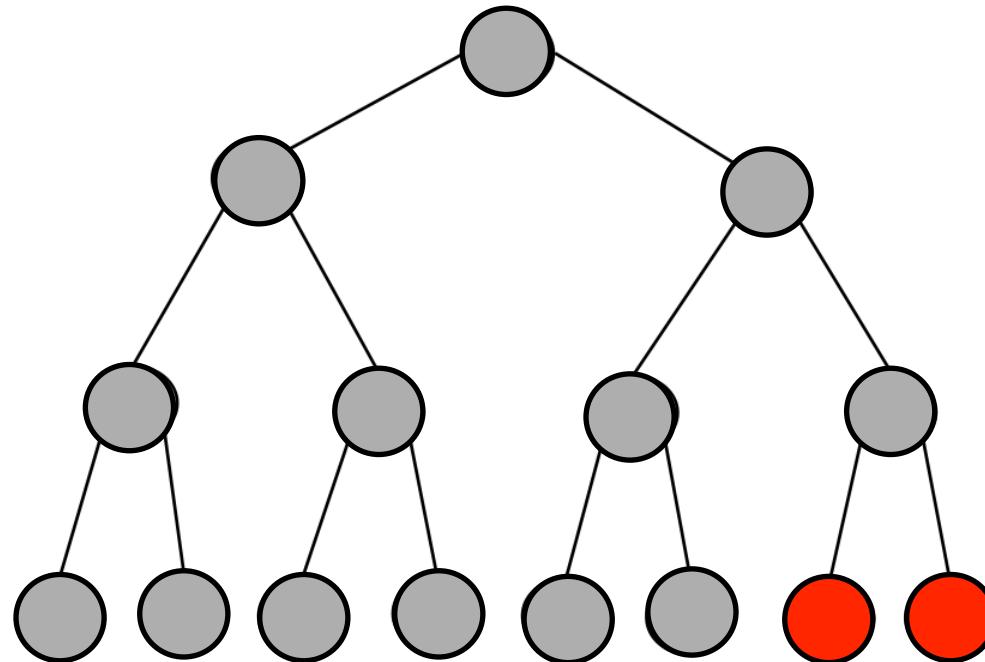
# Binary heaps : build-heap with n elements

- Start with arbitrary array
- Think of it as a binary heap (without the heap property)
- Fix heap property: Go over array from right to left bubbling down each node:
  - starting with all leaves,
  - then all nodes of height 1 then all of height 2 and so on



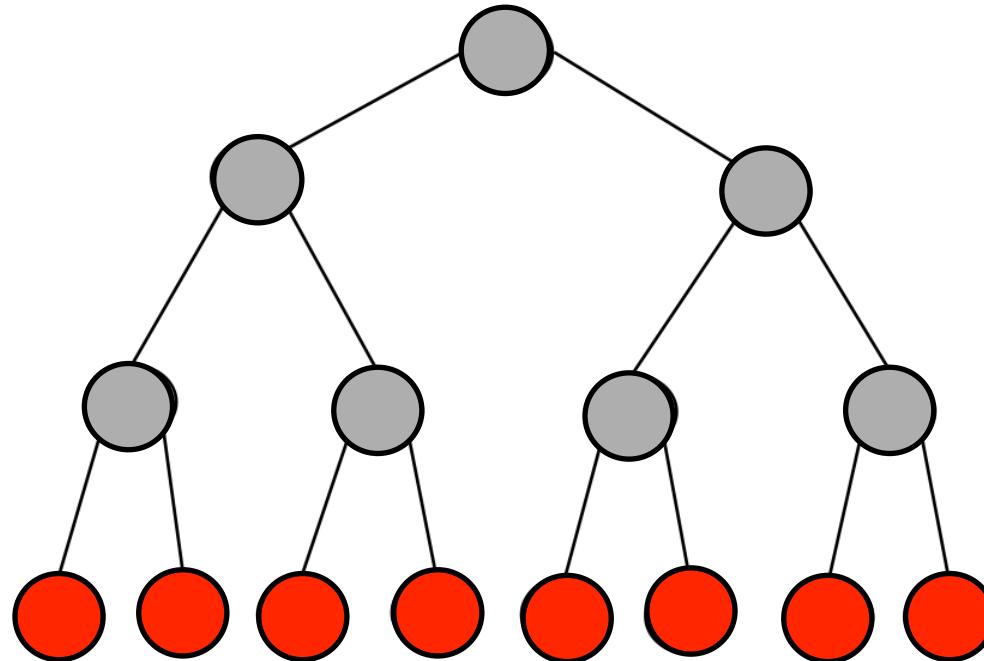
# Binary heaps : build-heap with n elements

- Start with arbitrary array
- Think of it as a binary heap (without the heap property)
- Fix heap property: Go over array from right to left bubbling down each node:
  - starting with all leaves,
  - then all nodes of height 1 then all of height 2 and so on



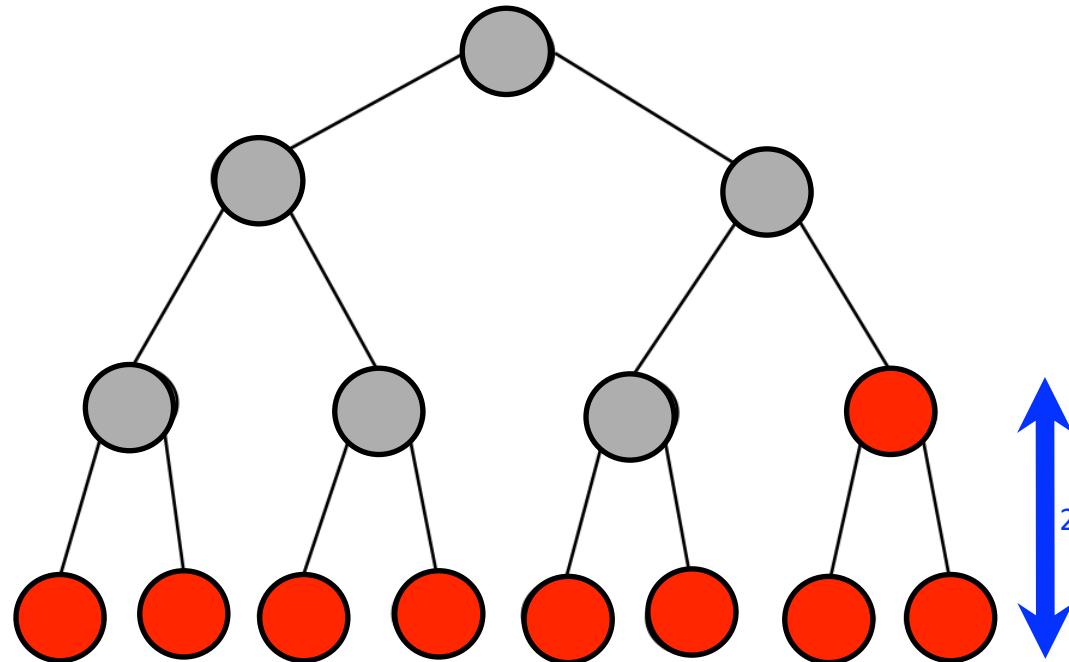
# Binary heaps : build-heap with n elements

- Start with arbitrary array
- Think of it as a binary heap (without the heap property)
- Fix heap property: Go over array from right to left bubbling down each node:
  - starting with all leaves,
  - then all nodes of height 1 then all of height 2 and so on



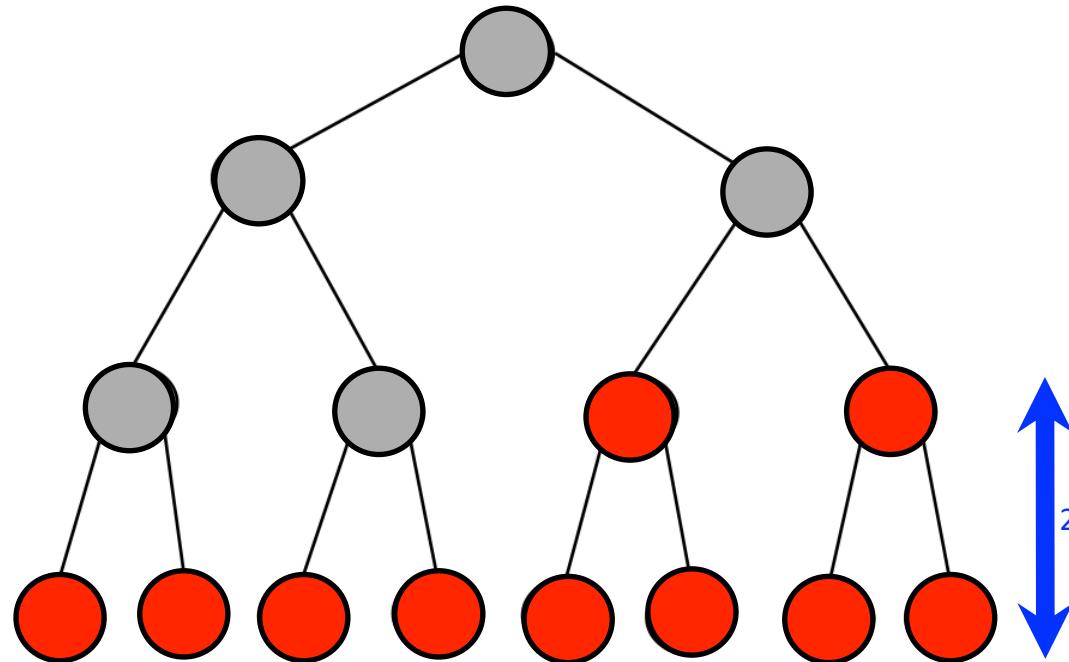
# Binary heaps : build-heap with n elements

- Start with arbitrary array
- Think of it as a binary heap (without the heap property)
- Fix heap property: Go over array from right to left bubbling down each node:
  - starting with all leaves,
  - then all nodes of height 1 then all of height 2 and so on



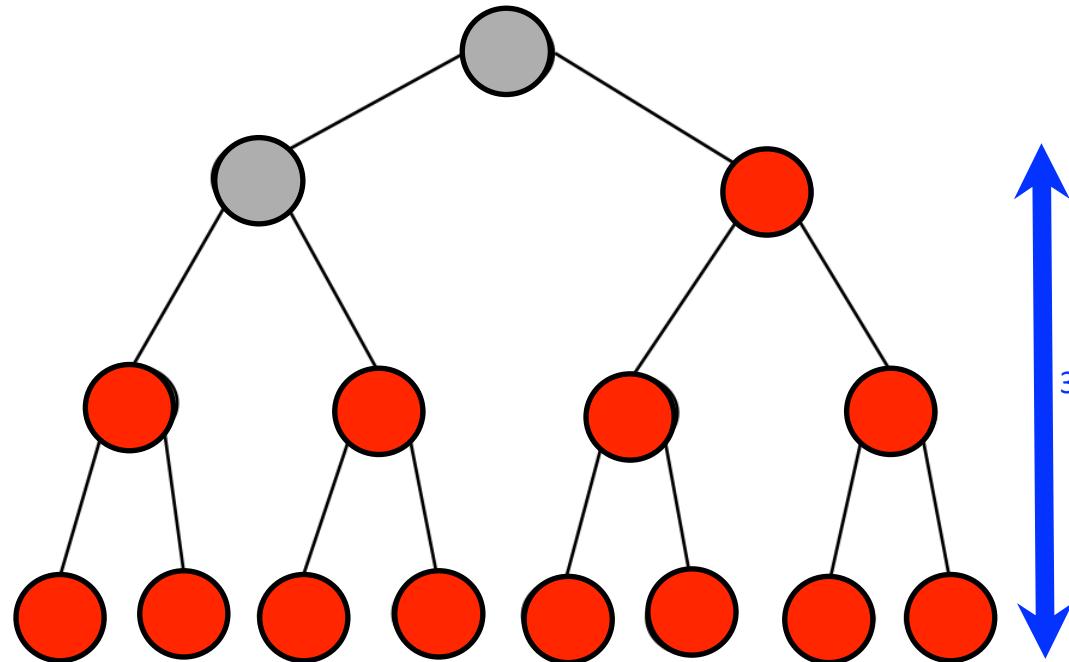
# Binary heaps : build-heap with n elements

- Start with arbitrary array
- Think of it as a binary heap (without the heap property)
- Fix heap property: Go over array from right to left bubbling down each node:
  - starting with all leaves,
  - then all nodes of height 1 then all of height 2 and so on



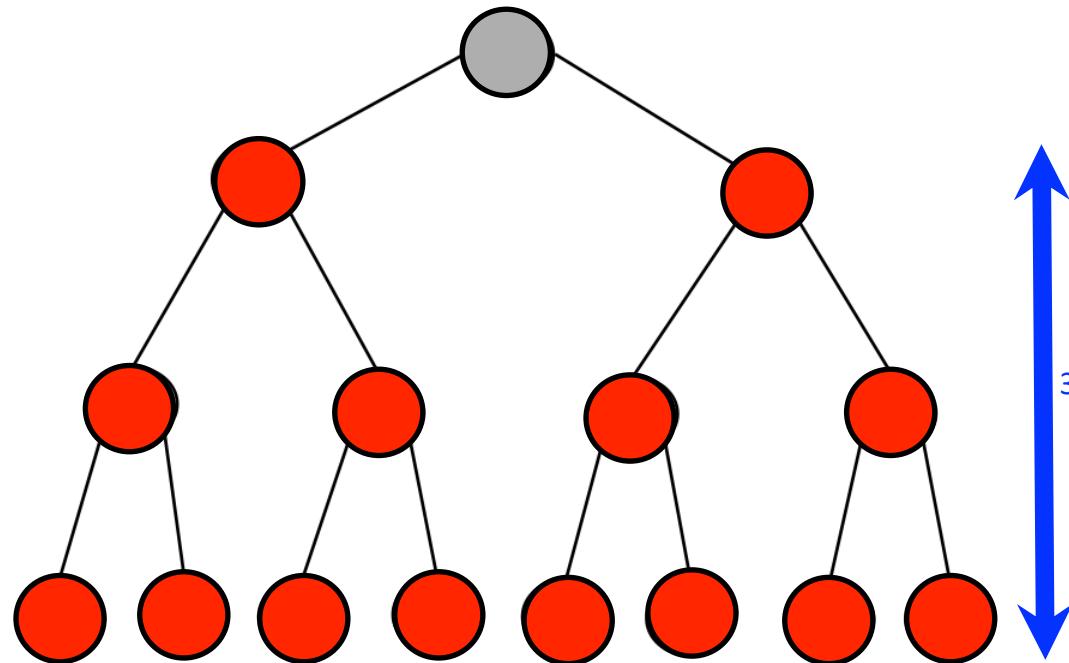
# Binary heaps : build-heap with n elements

- Start with arbitrary array
- Think of it as a binary heap (without the heap property)
- Fix heap property: Go over array from right to left bubbling down each node:
  - starting with all leaves,
  - then all nodes of height 1 then all of height 2 and so on



# Binary heaps : build-heap with n elements

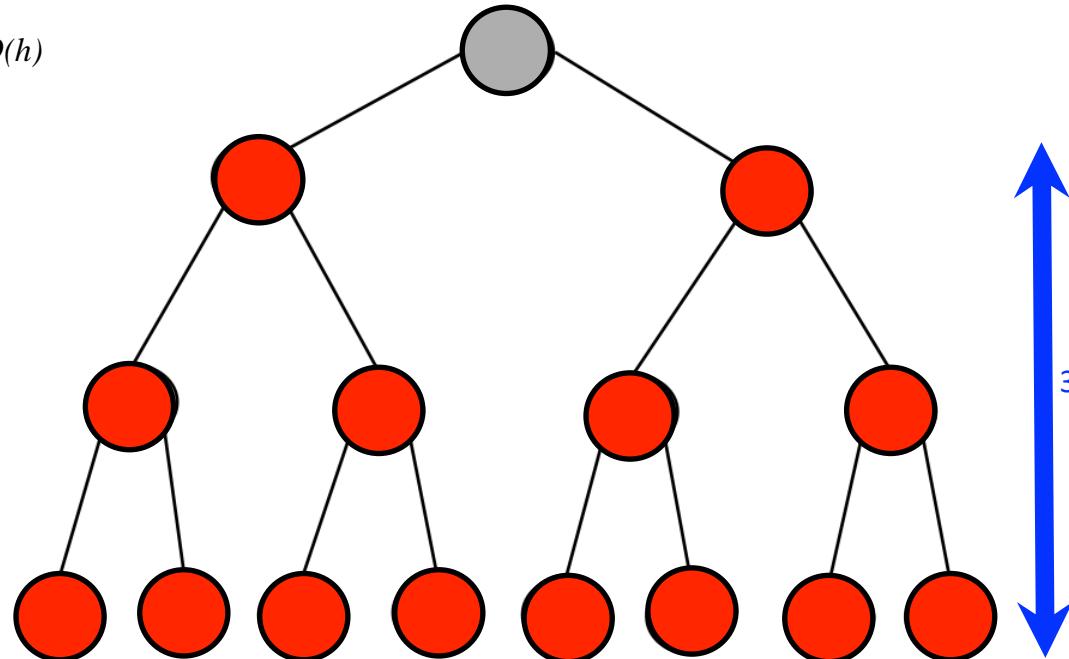
- Start with arbitrary array
- Think of it as a binary heap (without the heap property)
- Fix heap property: Go over array from right to left bubbling down each node:
  - starting with all leaves,
  - then all nodes of height 1 then all of height 2 and so on



# Binary heaps : build-heap with n elements

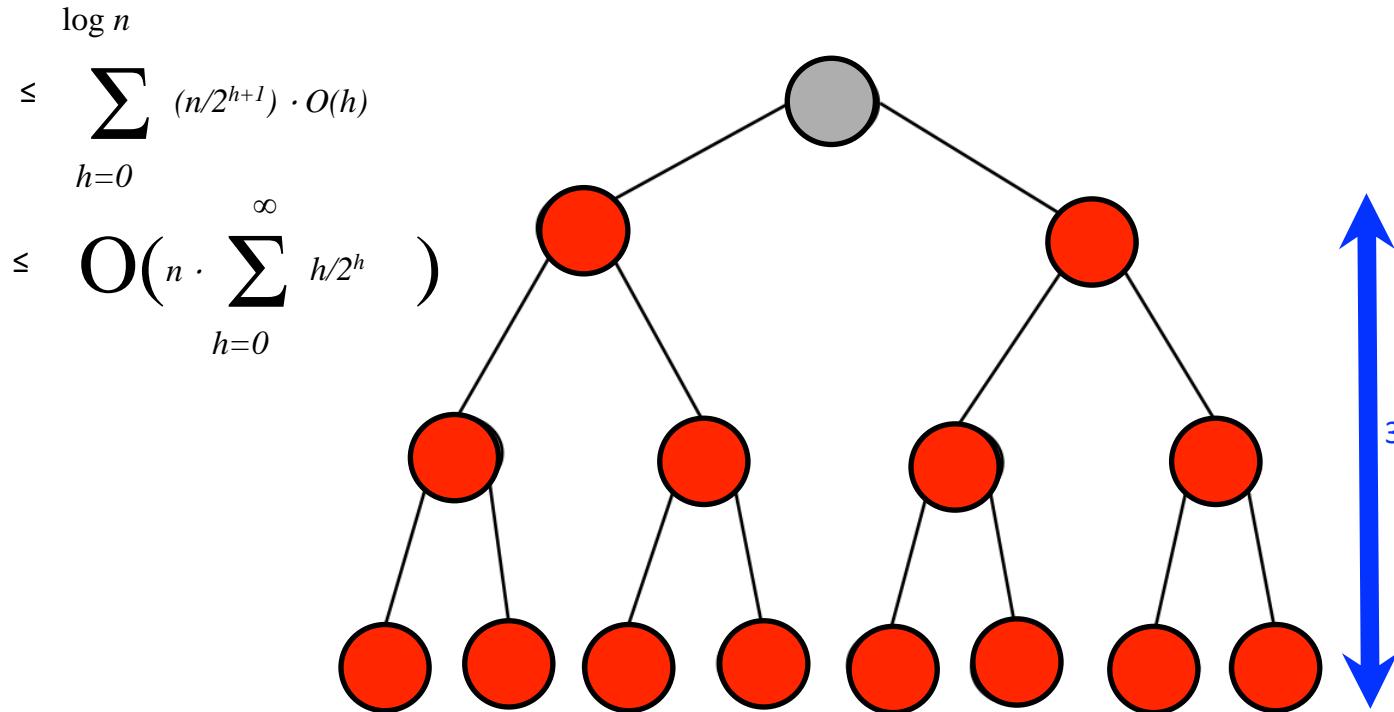
- Start with arbitrary array
- Think of it as a binary heap (without the heap property)
- Fix heap property: Go over array from right to left bubbling down each node:
  - starting with all leaves,
  - then all nodes of height 1 then all of height 2 and so on

$$\log n \leq \sum_{h=0}^{\log n} (n/2^{h+1}) \cdot O(h)$$



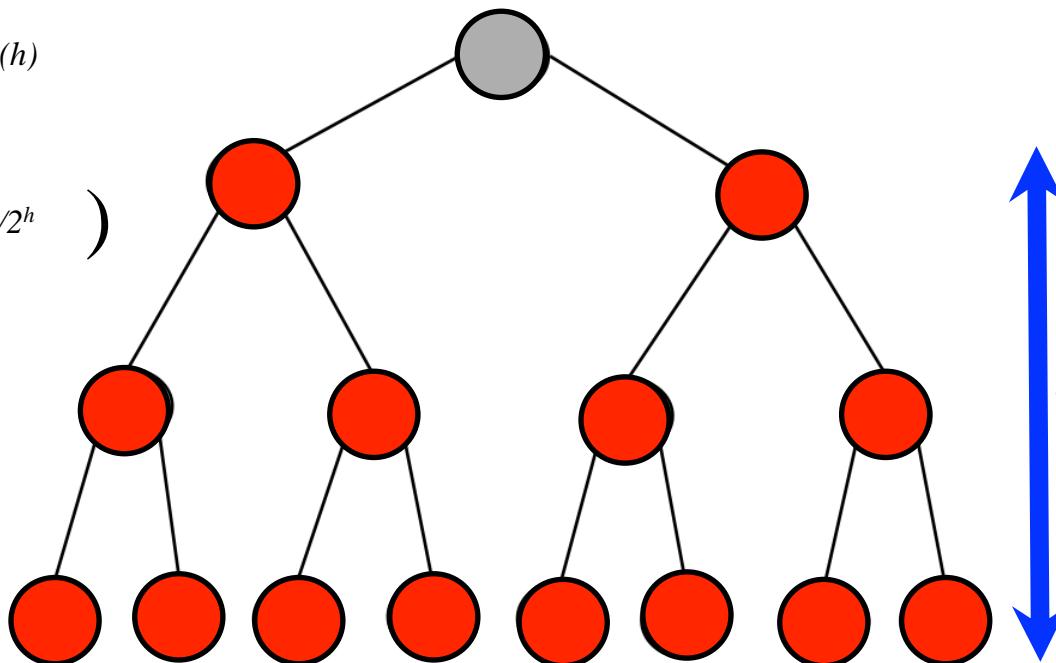
# Binary heaps : build-heap with n elements

- Start with arbitrary array
- Think of it as a binary heap (without the heap property)
- Fix heap property: Go over array from right to left bubbling down each node:
  - starting with all leaves,
  - then all nodes of height 1 then all of height 2 and so on



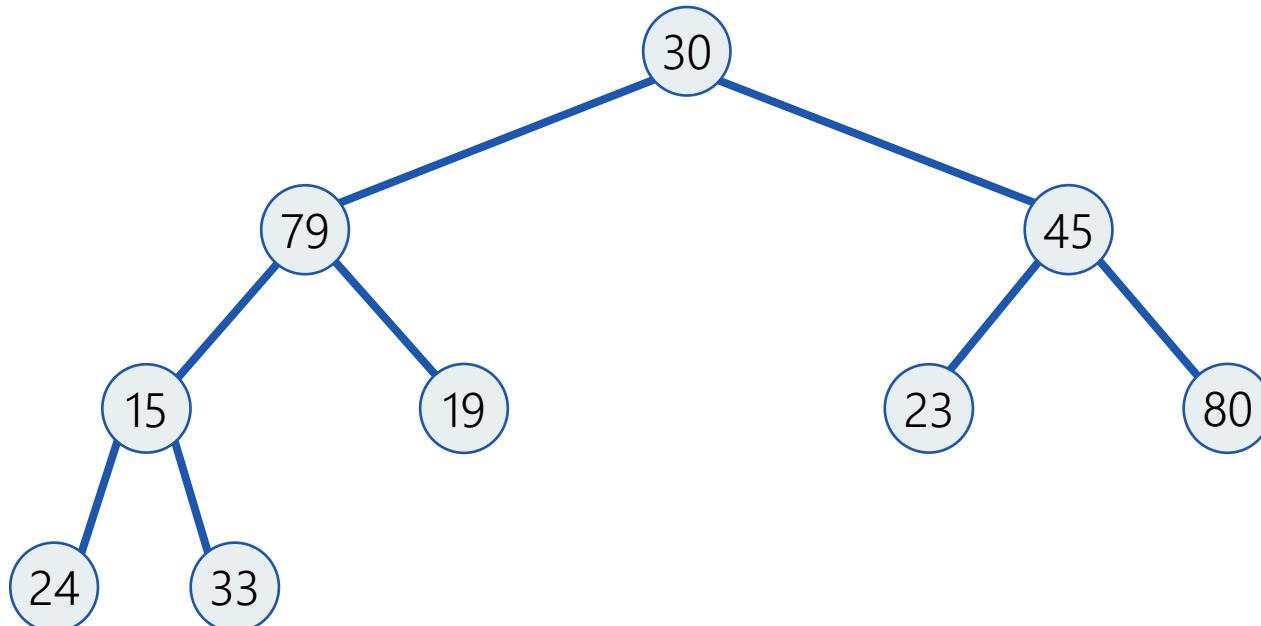
# Binary heaps : build-heap with n elements

- Start with arbitrary array
- Think of it as a binary heap (without the heap property)
- Fix heap property: Go over array from right to left bubbling down each node:
  - starting with all leaves,
  - then all nodes of height 1 then all of height 2 and so on

$$\begin{aligned}
 & \log n \\
 & \leq \sum_{h=0}^{\log n} (n/2^{h+1}) \cdot O(h) \\
 & \leq O\left(n \cdot \sum_{h=0}^{\infty} h/2^h\right) \\
 & = O(n)
 \end{aligned}$$


# Example: build-heap with n elements

- Start with arbitrary array
- Fix heap property: Go over array from right to left bubbling down each node:
- starting with all leaves,
- then all nodes of height 1 then all of height 2 and so on



1      2      3      4      5      6      7      8      9

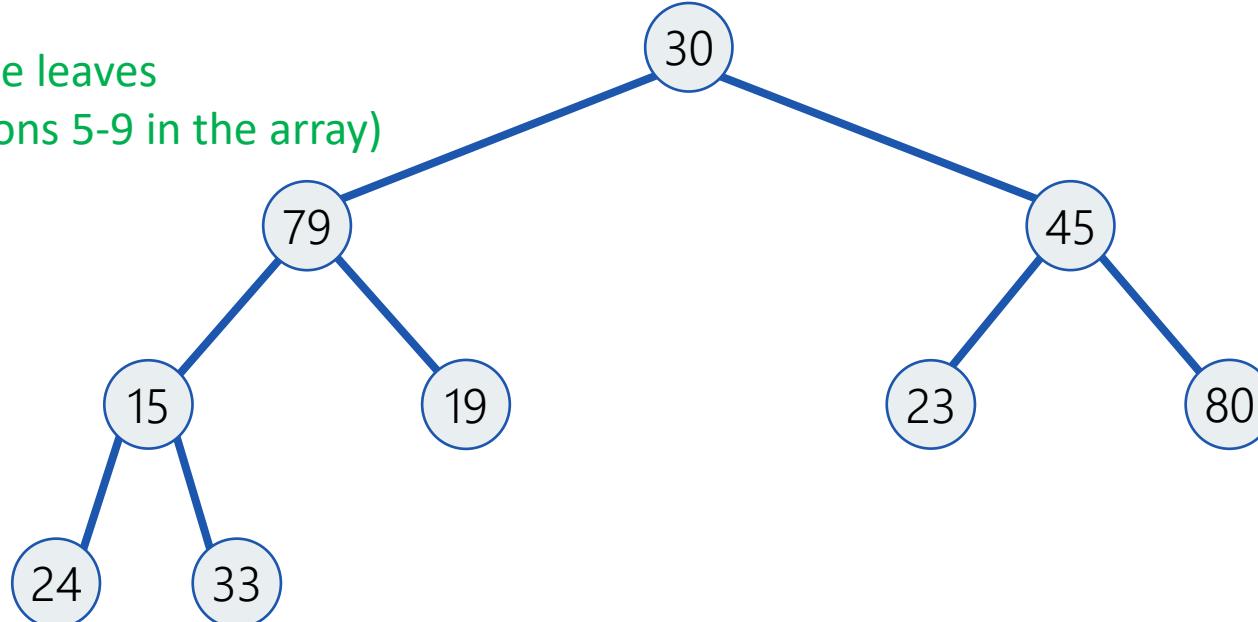
30	79	45	15	19	23	80	24	33
----	----	----	----	----	----	----	----	----

# Example: build-heap with n elements

- Start with arbitrary array
- Fix heap property: Go over array from right to left bubbling down each node:
- starting with all leaves,
- then all nodes of height 1 then all of height 2 and so on

Skip the leaves

(positions 5-9 in the array)

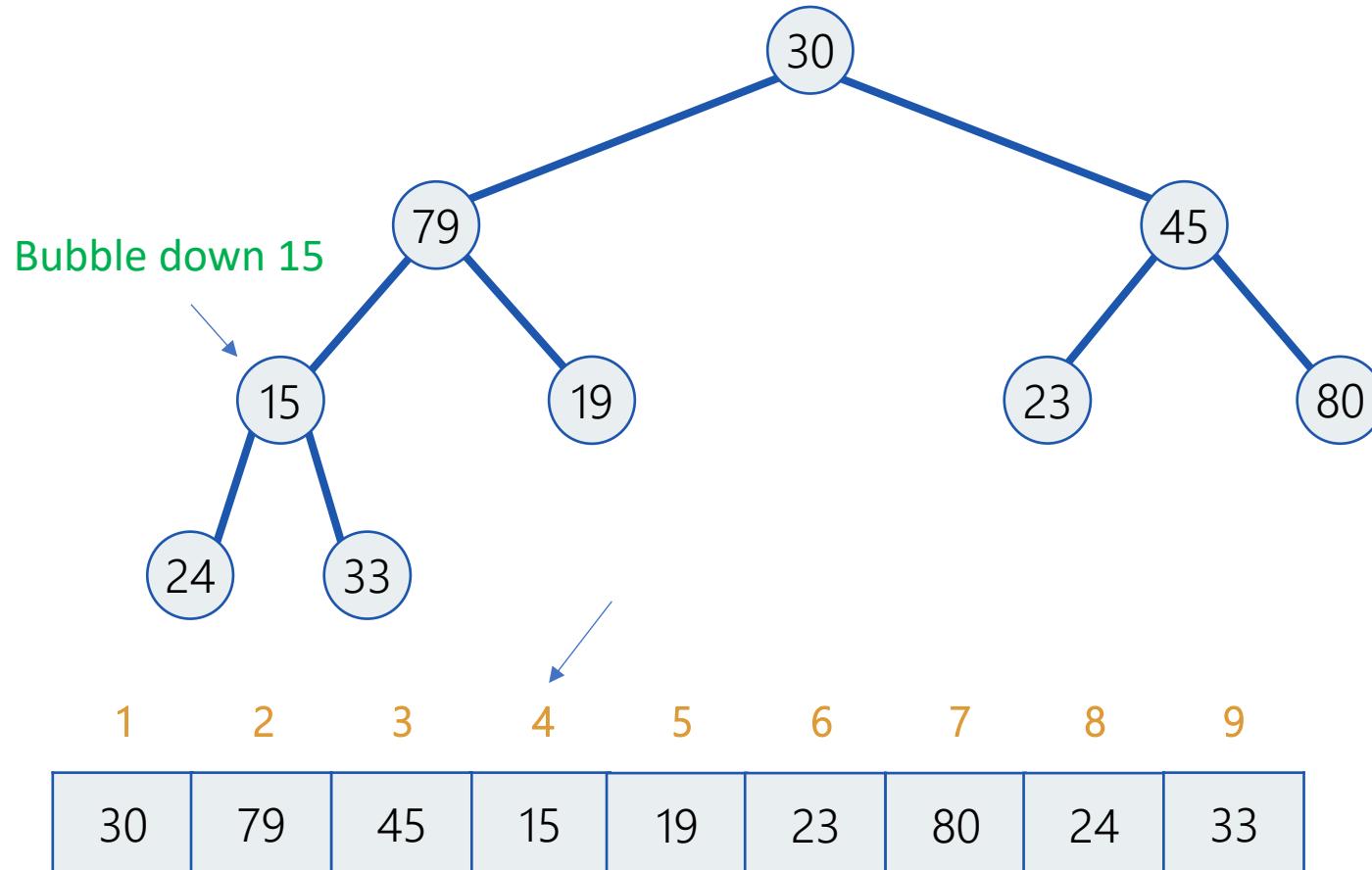


1      2      3      4      5      6      7      8      9

30	79	45	15	19	23	80	24	33
----	----	----	----	----	----	----	----	----

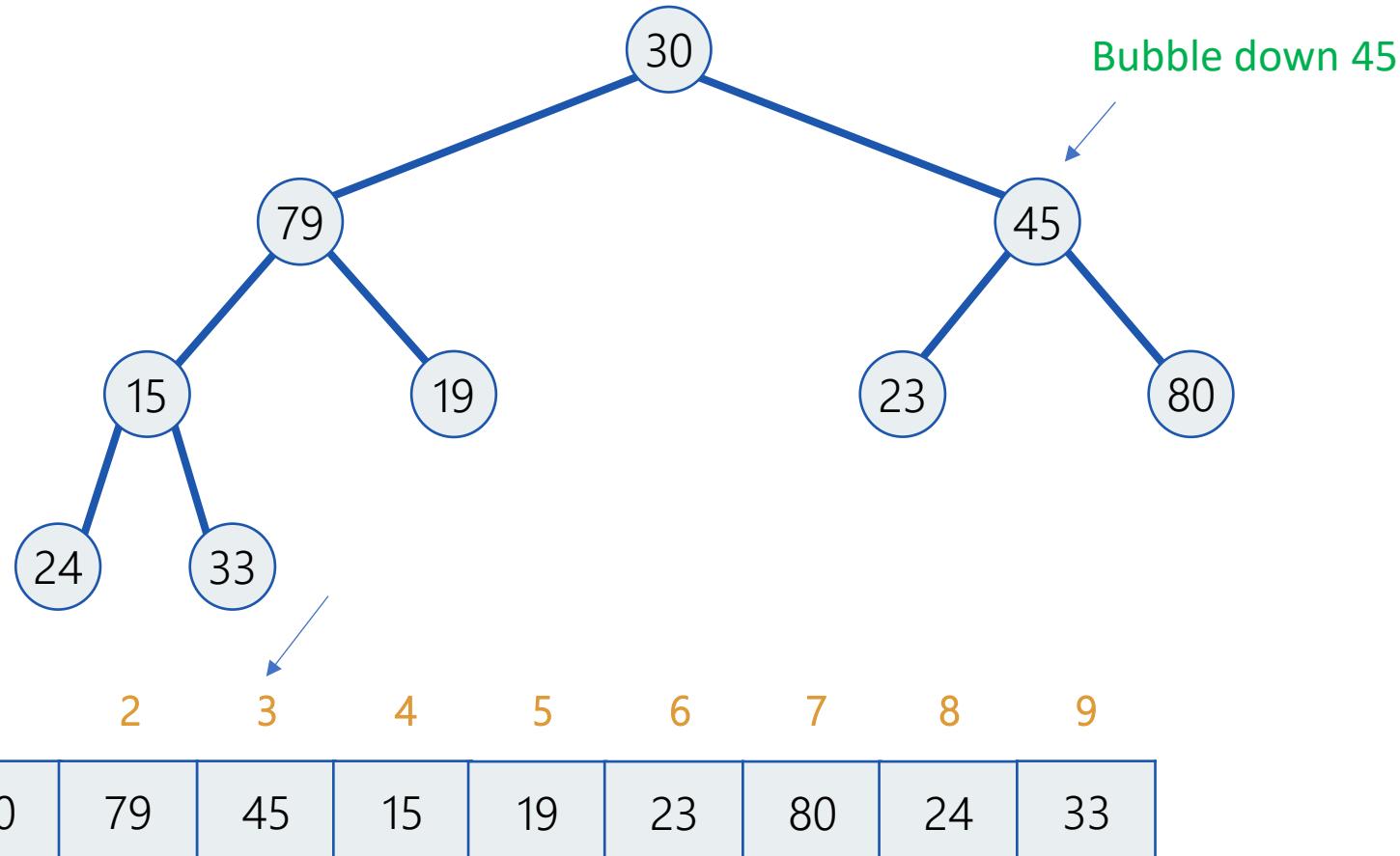
# Example: build-heap with n elements

- Start with arbitrary array
- Fix heap property: Go over array from right to left bubbling down each node:
- starting with all leaves,
- then all nodes of height 1 then all of height 2 and so on



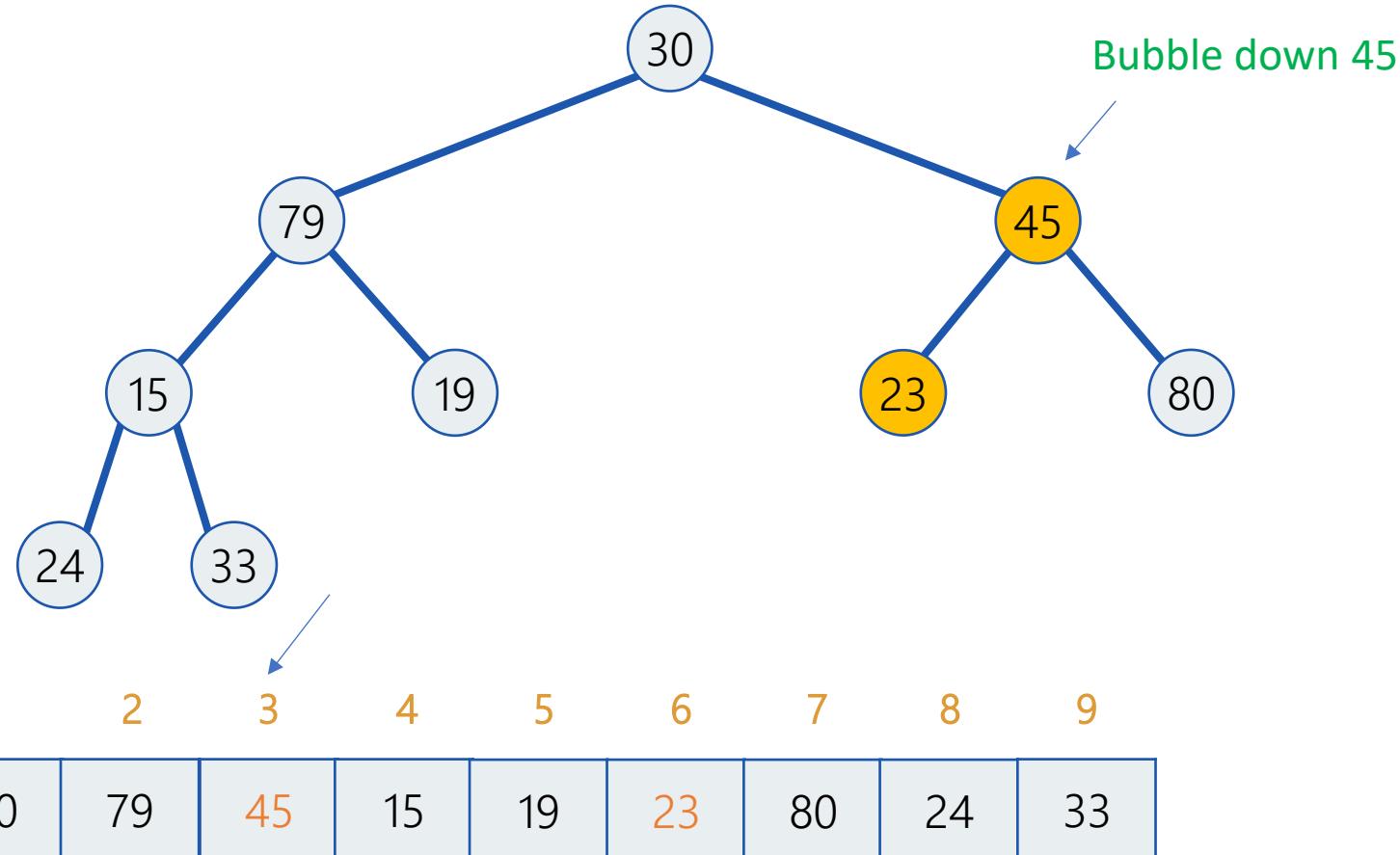
# Example: build-heap with n elements

- Start with arbitrary array
- Fix heap property: Go over array from right to left bubbling down each node:
- starting with all leaves,
- then all nodes of height 1 then all of height 2 and so on



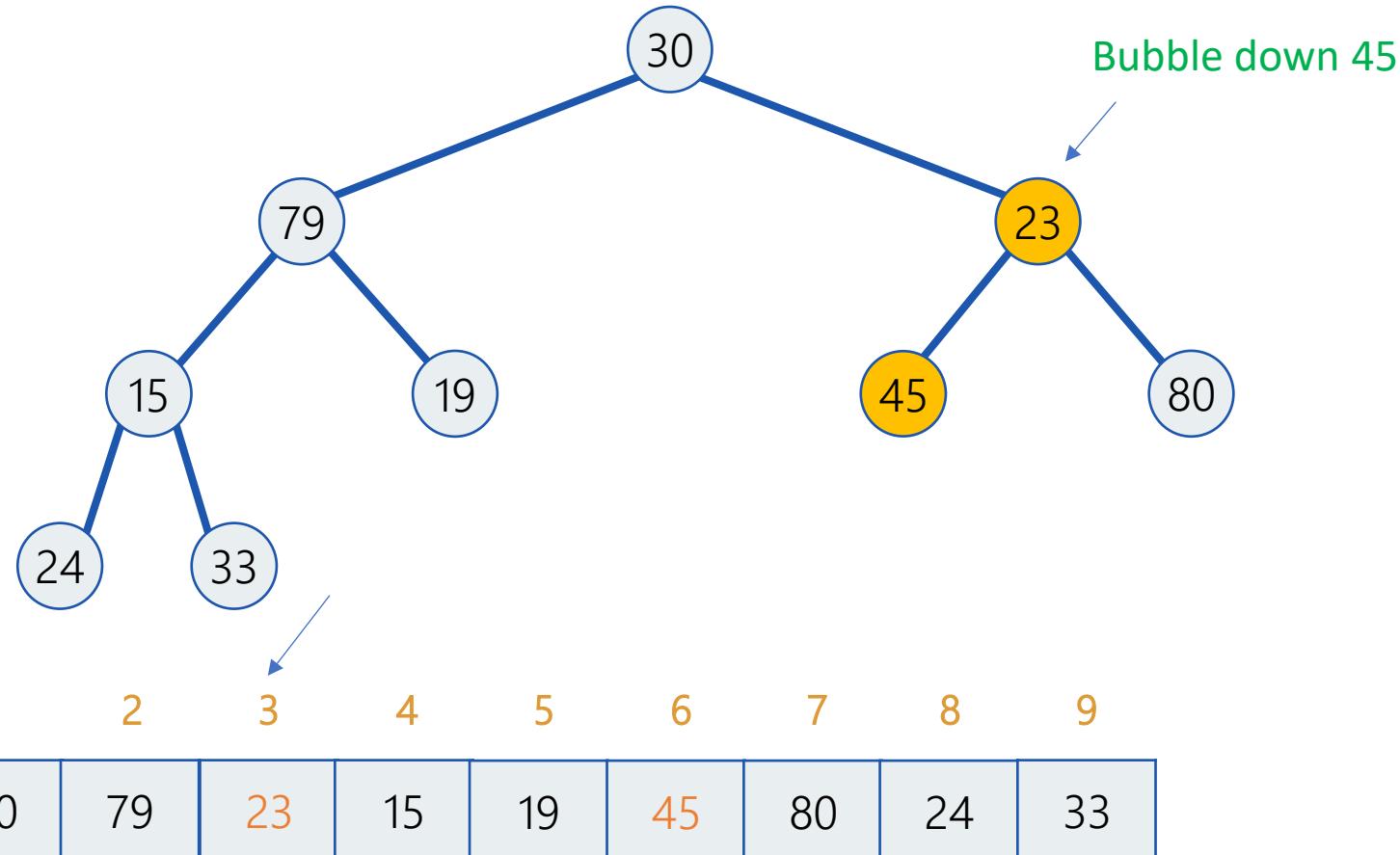
# Example: build-heap with n elements

- Start with arbitrary array
- Fix heap property: Go over array from right to left bubbling down each node:
- starting with all leaves,
- then all nodes of height 1 then all of height 2 and so on



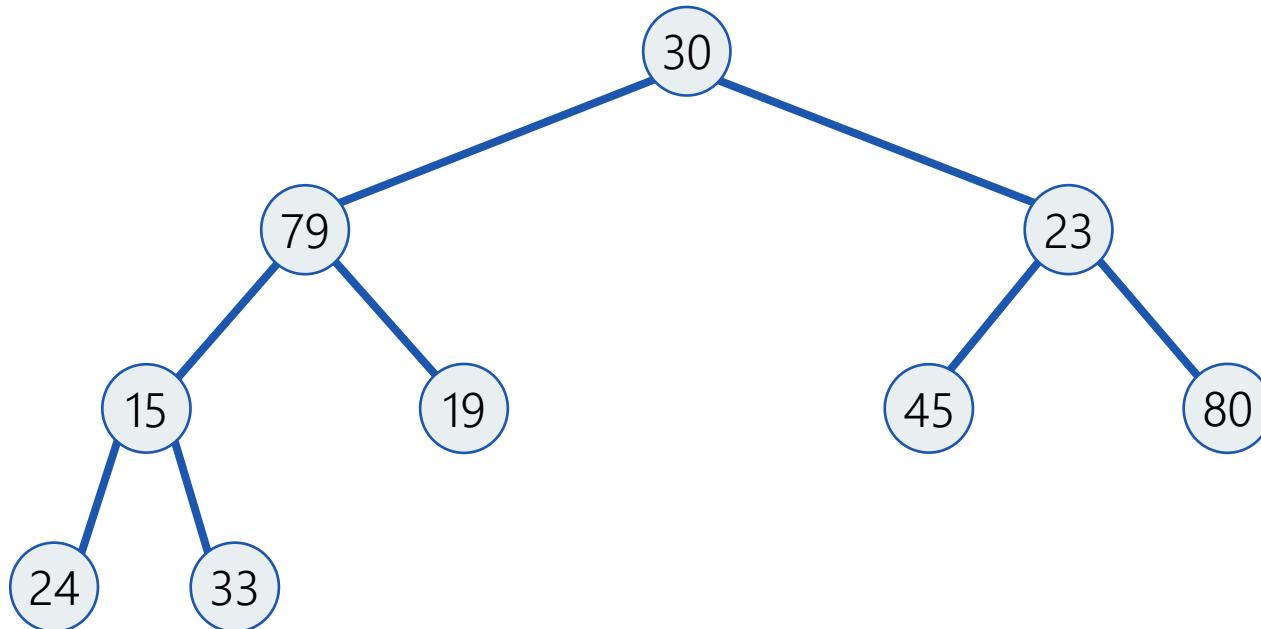
# Example: build-heap with n elements

- Start with arbitrary array
- Fix heap property: Go over array from right to left bubbling down each node:
- starting with all leaves,
- then all nodes of height 1 then all of height 2 and so on



# Example: build-heap with n elements

- Start with arbitrary array
- Fix heap property: Go over array from right to left bubbling down each node:
- starting with all leaves,
- then all nodes of height 1 then all of height 2 and so on

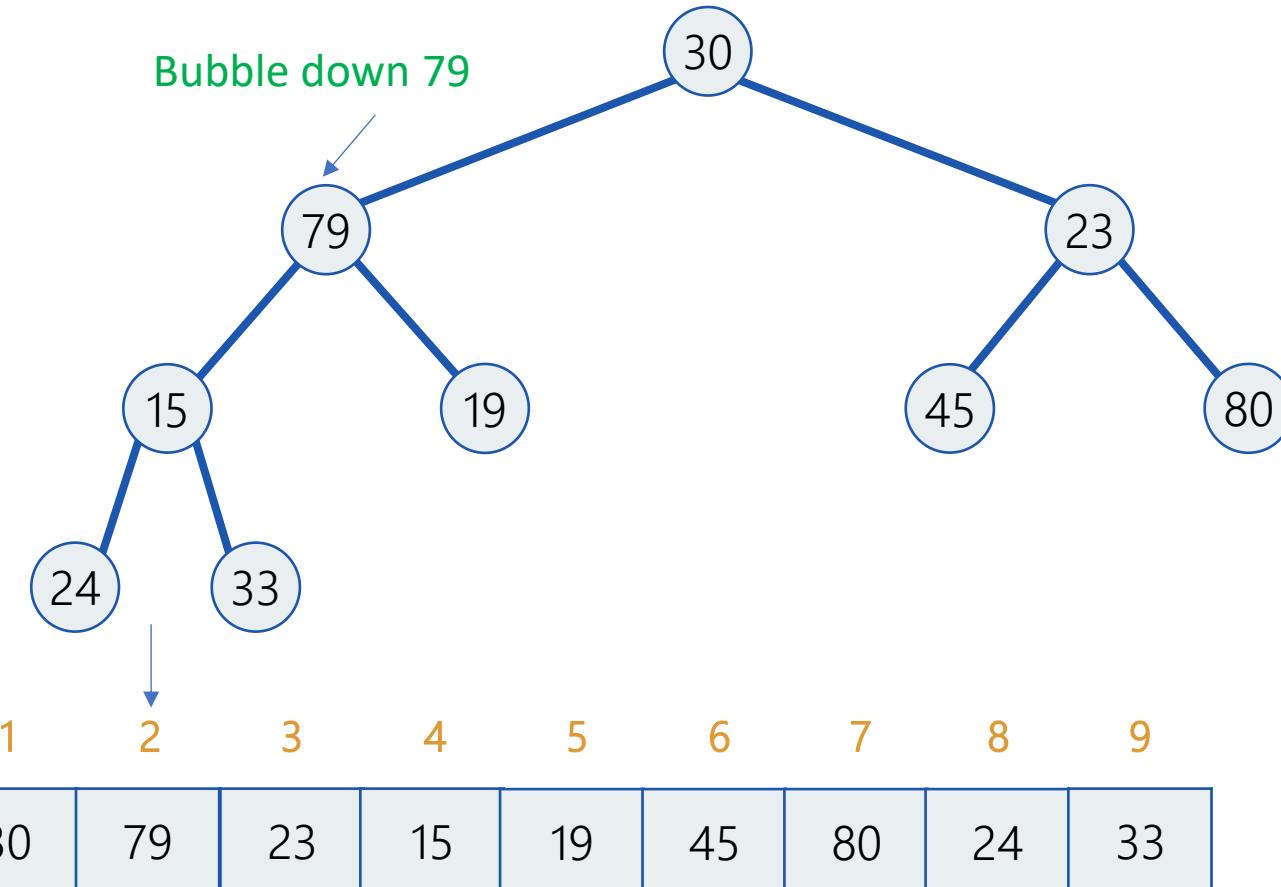


1      2      3      4      5      6      7      8      9

30	79	23	15	19	45	80	24	33
----	----	----	----	----	----	----	----	----

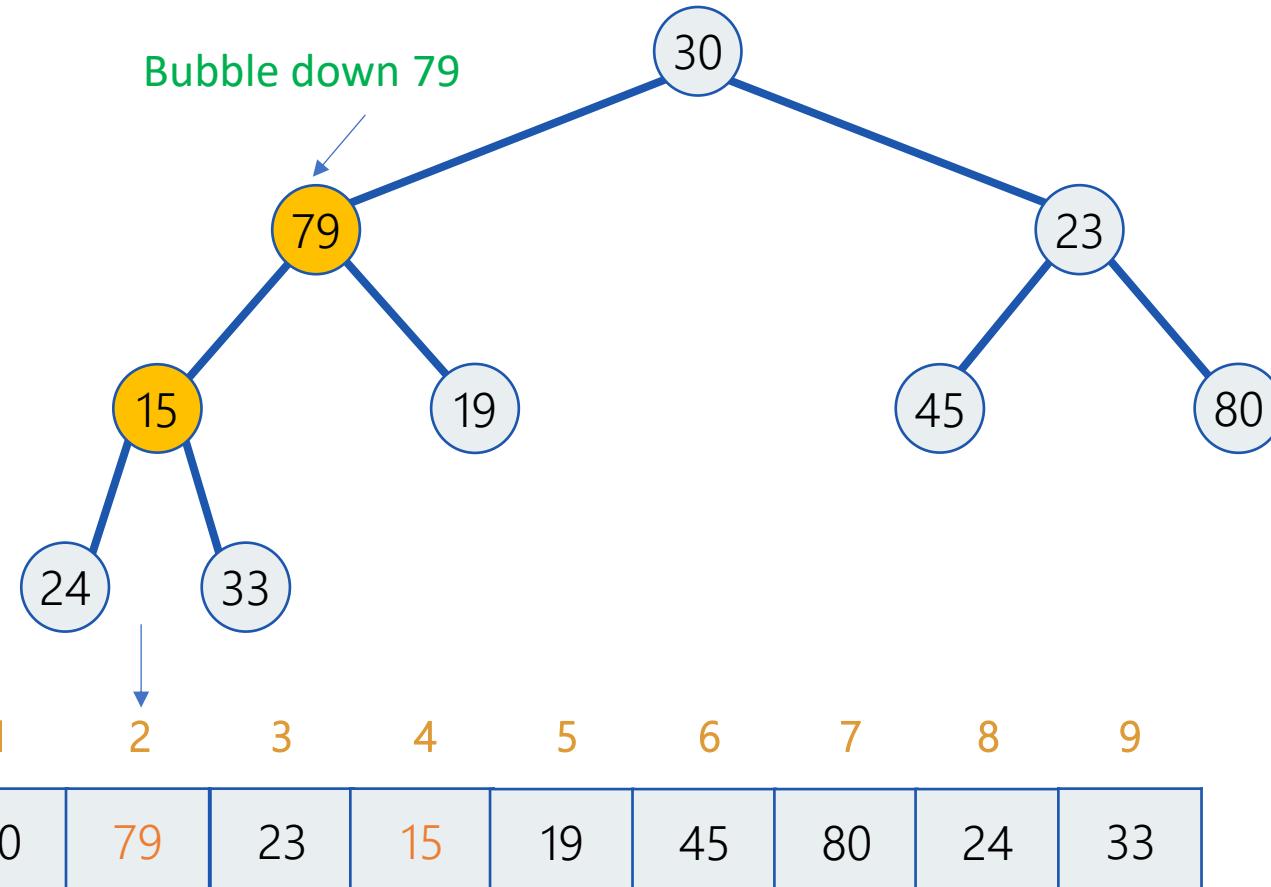
# Example: build-heap with n elements

- Start with arbitrary array
- Fix heap property: Go over array from right to left bubbling down each node:
- starting with all leaves,
- then all nodes of height 1 then all of height 2 and so on



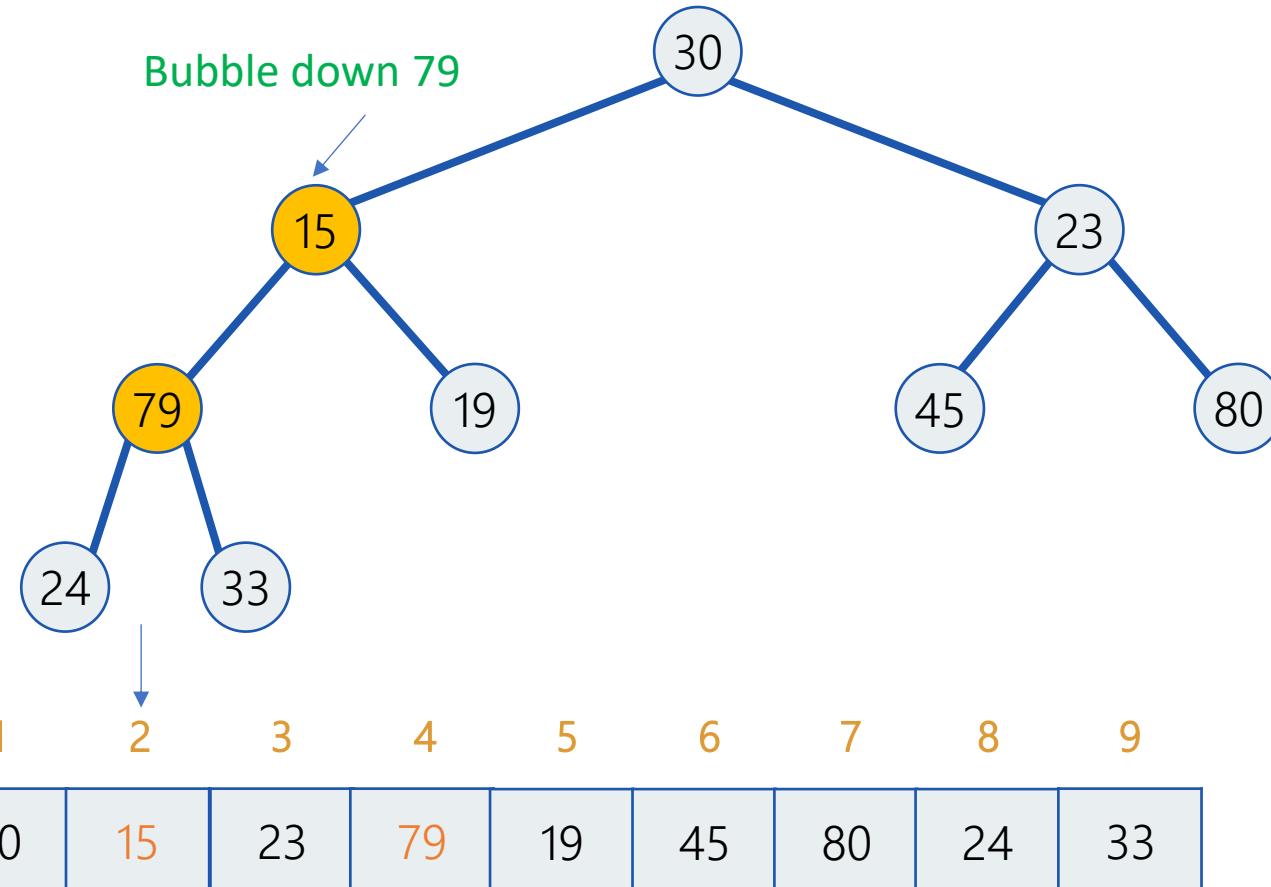
# Example: build-heap with n elements

- Start with arbitrary array
- Fix heap property: Go over array from right to left bubbling down each node:
- starting with all leaves,
- then all nodes of height 1 then all of height 2 and so on



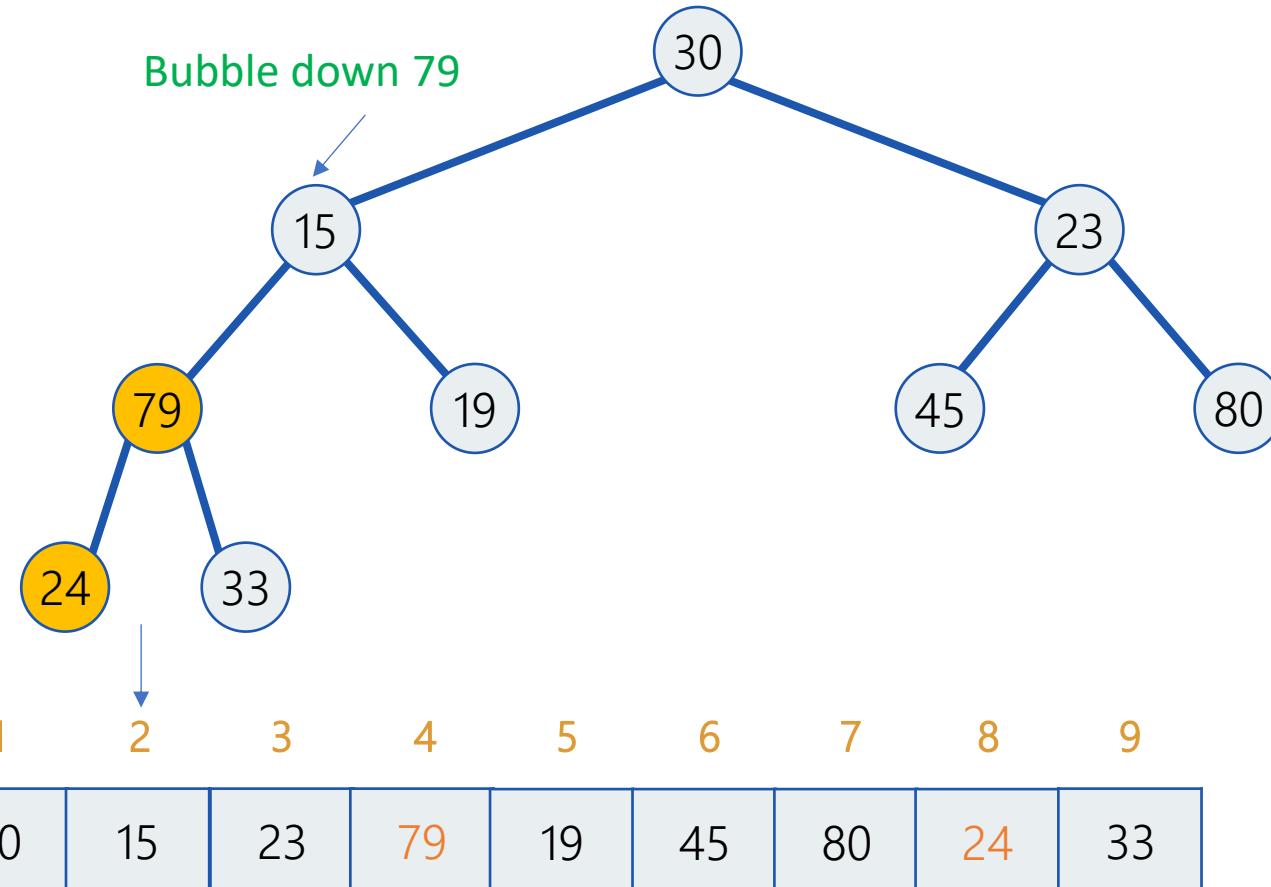
# Example: build-heap with n elements

- Start with arbitrary array
- Fix heap property: Go over array from right to left bubbling down each node:
- starting with all leaves,
- then all nodes of height 1 then all of height 2 and so on



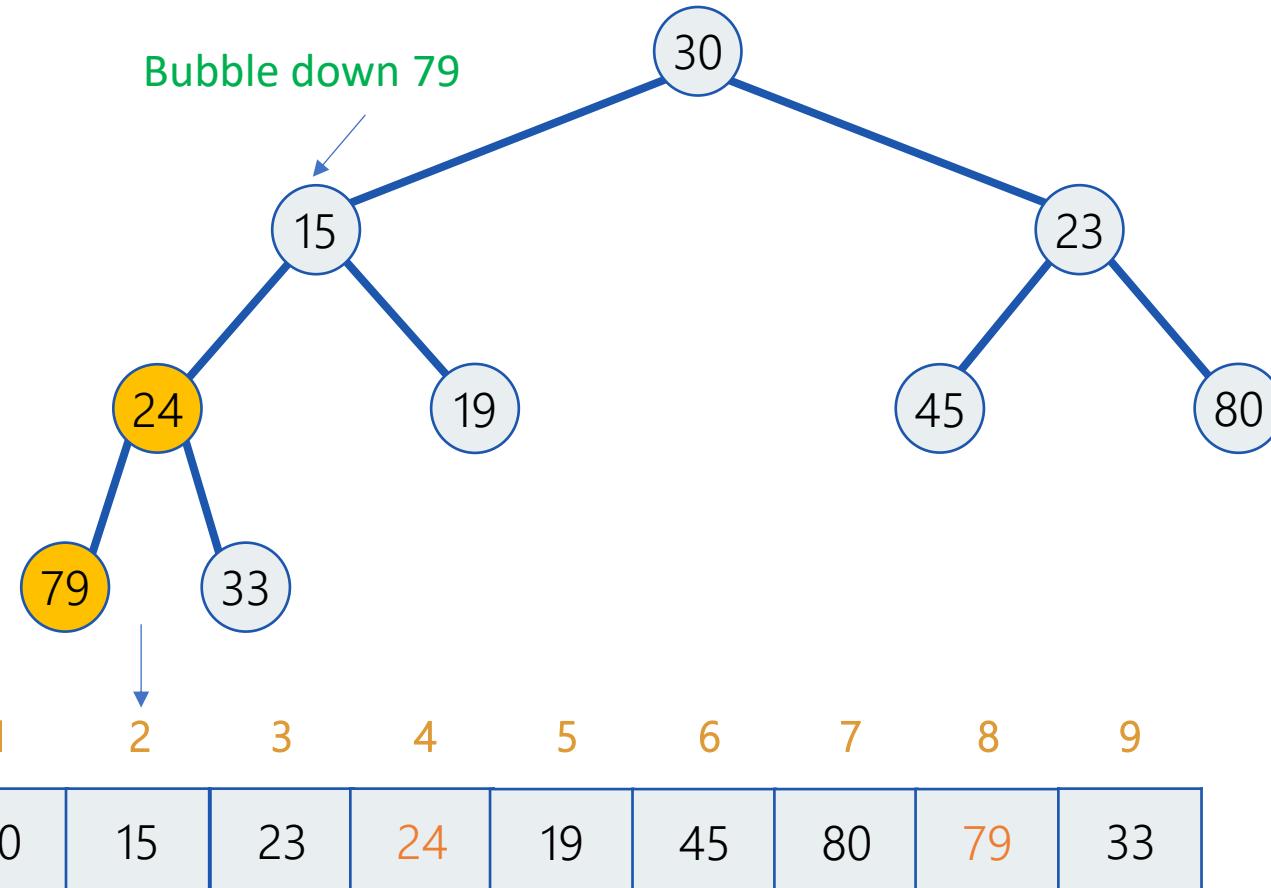
# Example: build-heap with n elements

- Start with arbitrary array
- Fix heap property: Go over array from right to left bubbling down each node:
- starting with all leaves,
- then all nodes of height 1 then all of height 2 and so on



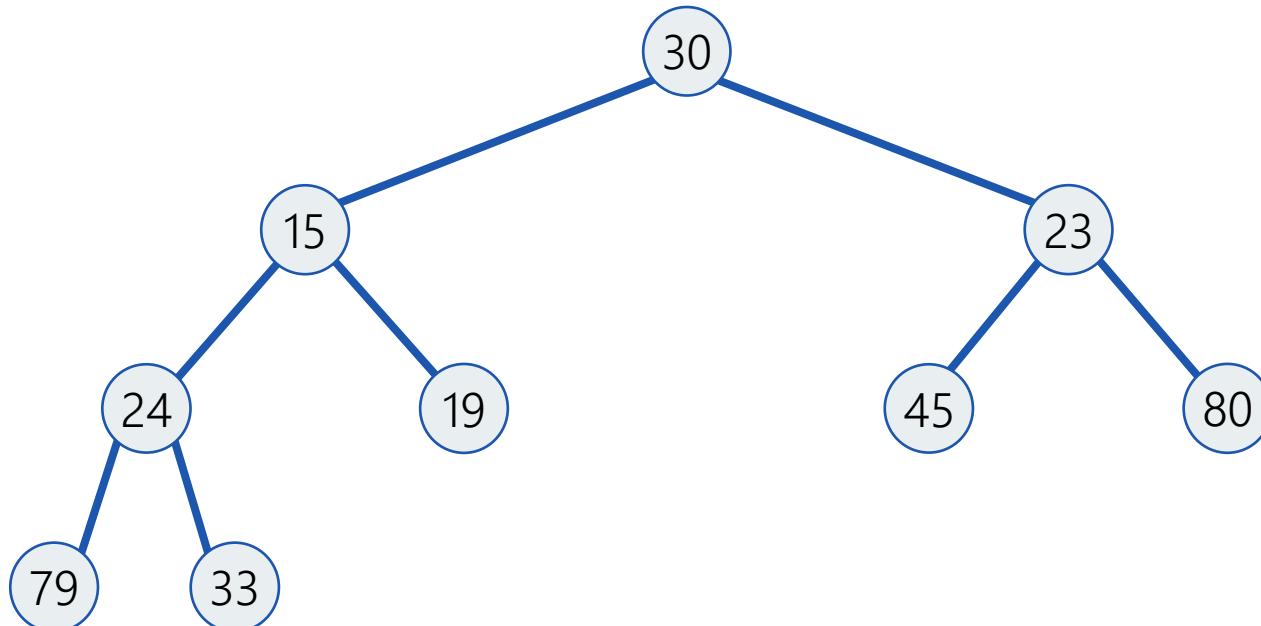
# Example: build-heap with n elements

- Start with arbitrary array
- Fix heap property: Go over array from right to left bubbling down each node:
- starting with all leaves,
- then all nodes of height 1 then all of height 2 and so on



# Example: build-heap with n elements

- Start with arbitrary array
- Fix heap property: Go over array from right to left bubbling down each node:
  - starting with all leaves,
  - then all nodes of height 1 then all of height 2 and so on

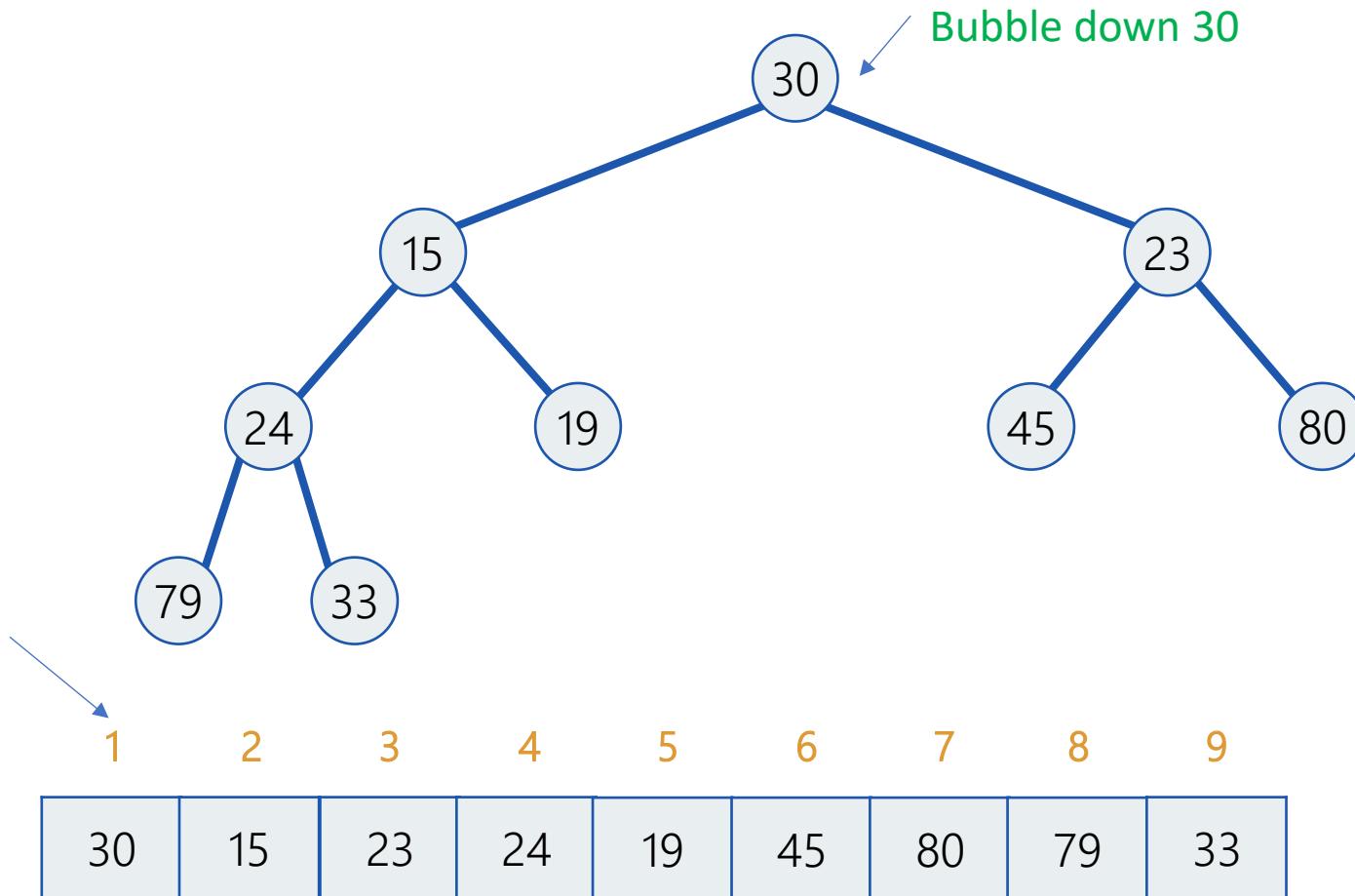


1      2      3      4      5      6      7      8      9

30	15	23	24	19	45	80	79	33
----	----	----	----	----	----	----	----	----

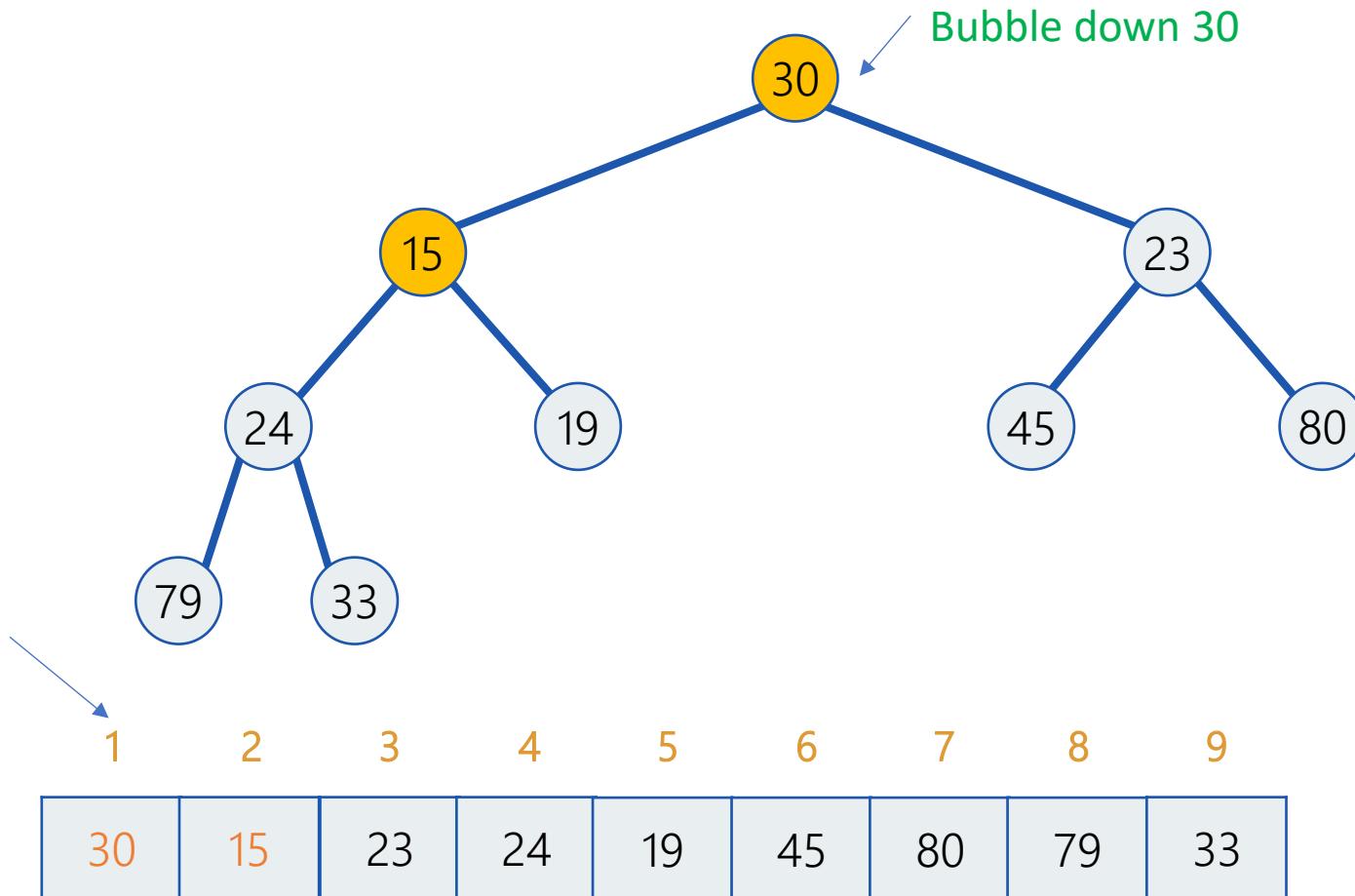
# Example: build-heap with n elements

- Start with arbitrary array
- Fix heap property: Go over array from right to left bubbling down each node:
- starting with all leaves,
- then all nodes of height 1 then all of height 2 and so on



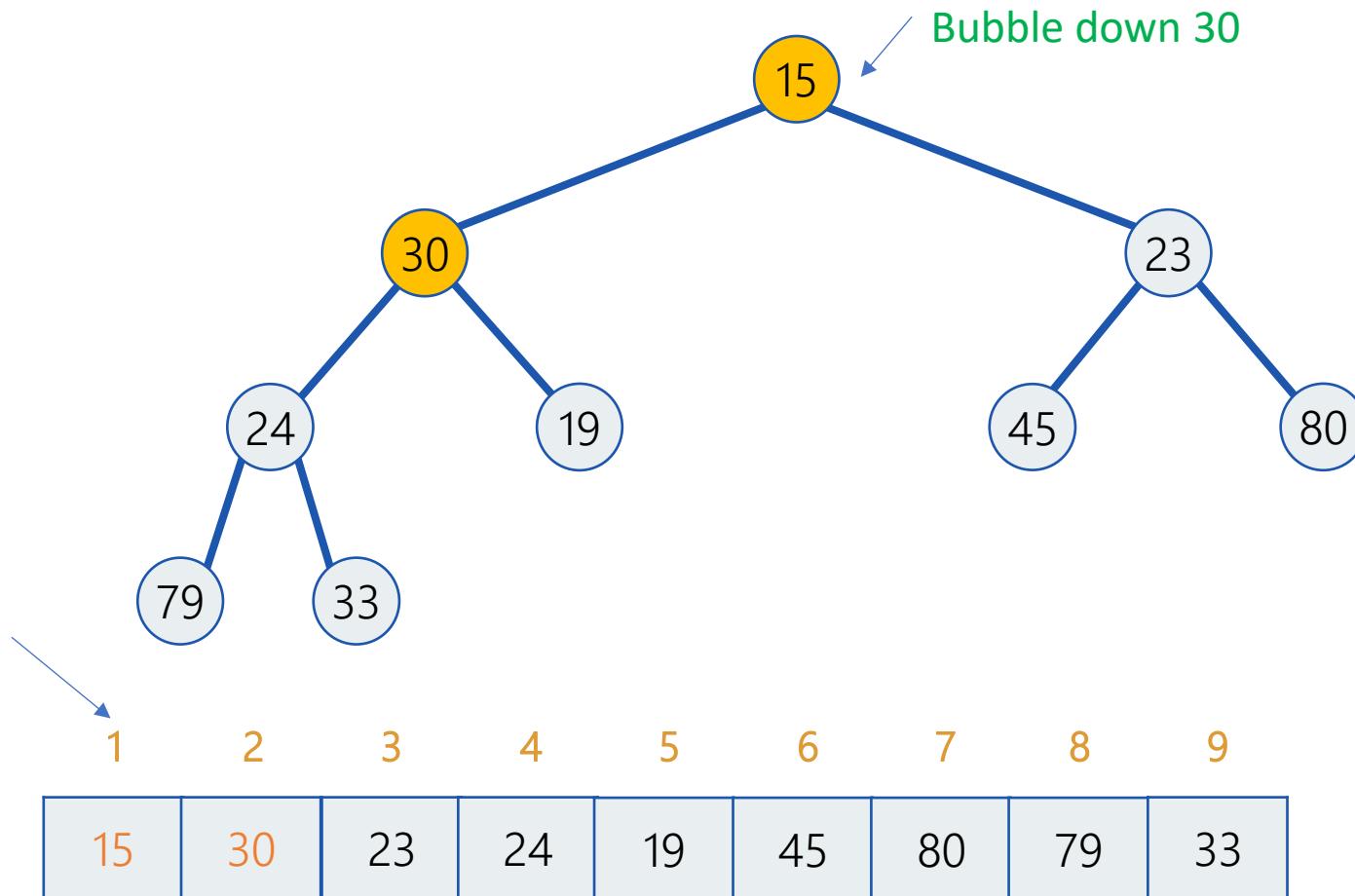
# Example: build-heap with n elements

- Start with arbitrary array
- Fix heap property: Go over array from right to left bubbling down each node:
- starting with all leaves,
- then all nodes of height 1 then all of height 2 and so on



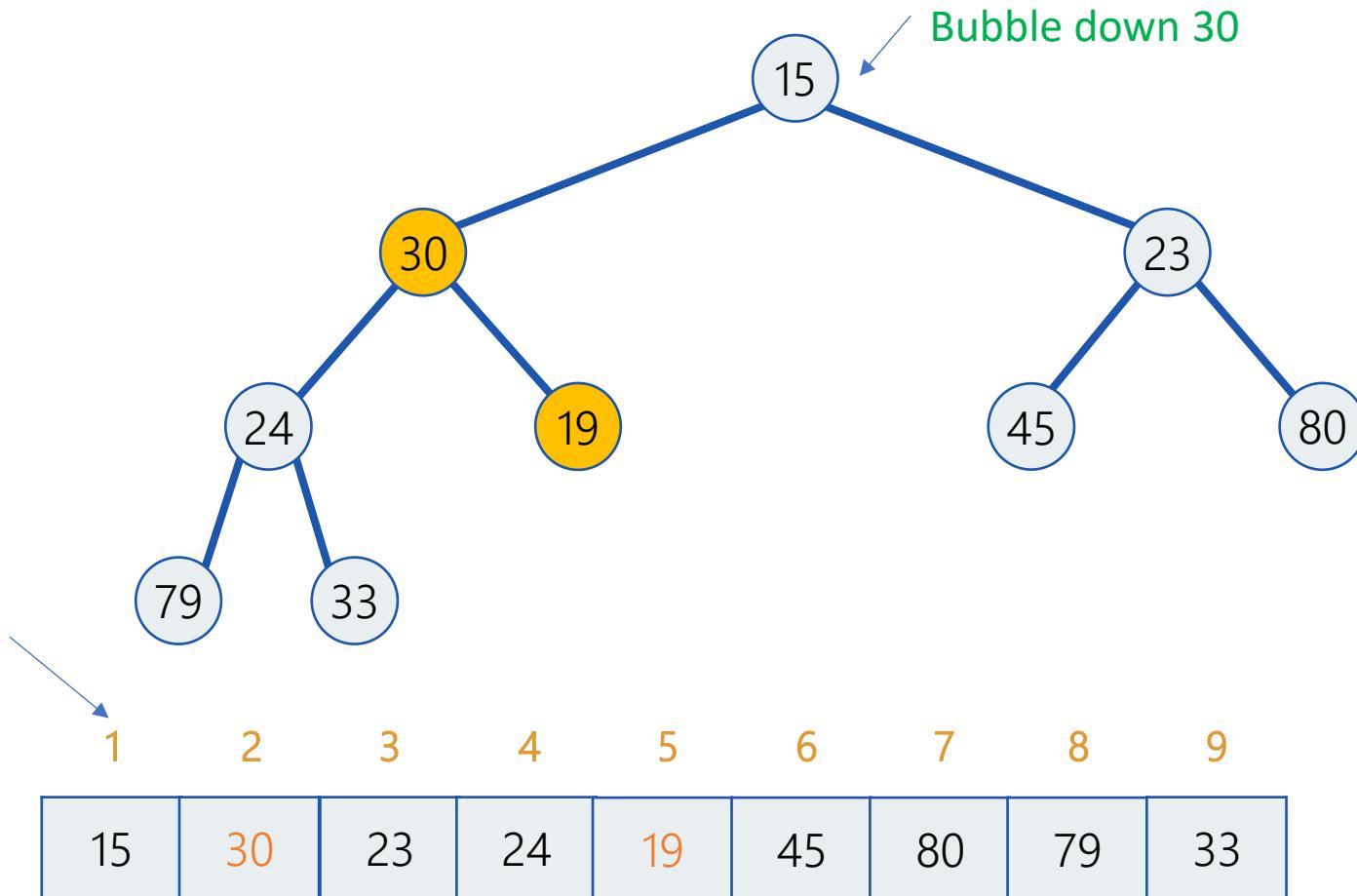
## Example: build-heap with n elements

- Start with arbitrary array
  - Fix heap property: Go over array from right to left bubbling down each node:
    - starting with all leaves,
    - then all nodes of height 1 then all of height 2 and so on



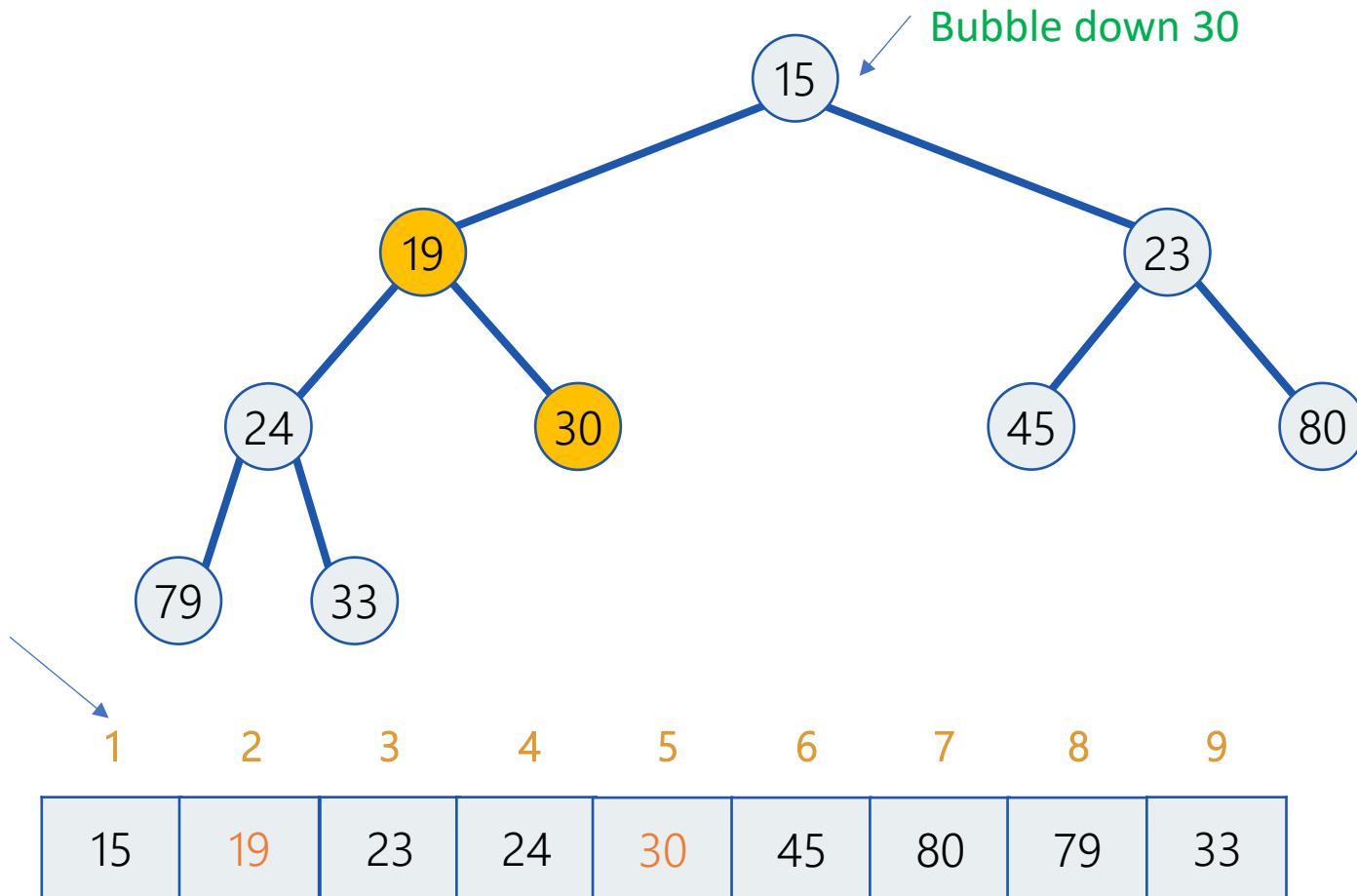
# Example: build-heap with n elements

- Start with arbitrary array
- Fix heap property: Go over array from right to left bubbling down each node:
- starting with all leaves,
- then all nodes of height 1 then all of height 2 and so on



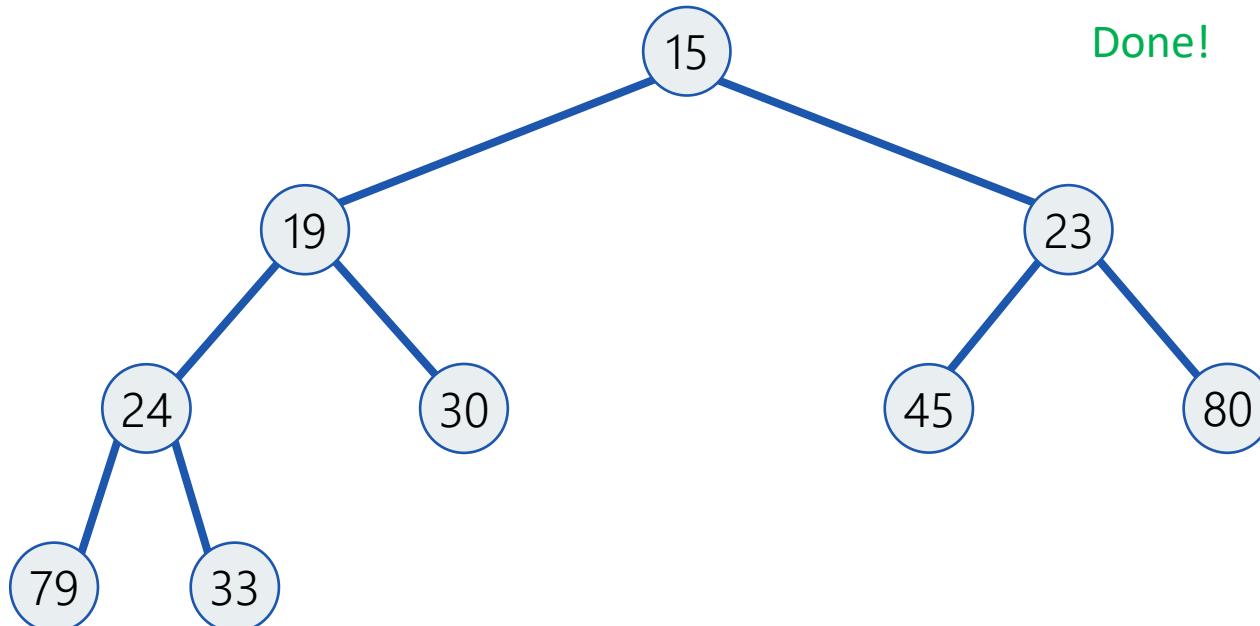
# Example: build-heap with n elements

- Start with arbitrary array
- Fix heap property: Go over array from right to left bubbling down each node:
- starting with all leaves,
- then all nodes of height 1 then all of height 2 and so on



# Example: build-heap with n elements

- Start with arbitrary array
- Fix heap property: Go over array from right to left bubbling down each node:
- starting with all leaves,
- then all nodes of height 1 then all of height 2 and so on



1    2    3    4    5    6    7    8    9

15	19	23	24	30	45	80	79	33
----	----	----	----	----	----	----	----	----

# Heap sort

- sorting an array using a binary heap:
  - build-heap in  $O(n)$  time
  - do  $n$  delete-min() operations to get the elements in increasing order
- total time  $O(n \log n)$
- no extra storage needed
  - Let  $k$  be the number of elements in the heap
  - Let  $m$  be the min value
  - After delete-min() we place  $m$  in position  $k$  in the array
  - Reverse the array after *all* delete-min() ops

# Binary Heap: Union

## Union.

- Combine two binary heaps  $H_1$  and  $H_2$  into a single heap.
- No easy solution.
  - $\Omega(N)$  operations apparently required
- Can support fast union with fancier heaps.

Binomial heaps,  
Fibonacci heaps

