# CSC 522 : Automated Learning and Data Analysis

## Homework 4

Roopak Venkatakrishnan - rvenkat7@ncsu.edu

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# 1 Question 1

Kaggle http://www.kaggle.com/ is a platform for predictive modeling competitions which are hosted by various agencies around the world. The recommended project for this course is one such competition. This exercise aims at introducing students to the Kaggle environment.

This is the easiest question in the entire course; please dont make a mistake. Complete the following task.

- Go to http://www.kaggle.com/
- If you are not a member already, signup
- Go to the Database in Moodle and enter your unity ID, Kaggle user name and Kaggle userID.

#### Answer:

- Unity ID rvenkat7
- Kaggle User Name roopakv
- Kaggle Display Name Roopak Venkatakrishnan

## 2 Question 2

For this exercise, you will use the getting started competition Titanic: Machine Learning from Disaster http://www.kaggle.com/c/titanic-gettingStarted. You may use Matlab, R or Weka. Note there is a tutorial on this contest posted on he course website. Submissions to Kaggle are scored on the basis of classication accuracy, which is the proportion of test cases that are correctly classied. The data can be found here http://www.kaggle.com/c/titanic-gettingStarted/data and forums with a lot of helpful discussion can be found here http://www.kaggle.com/c/titanic-gettingStarted/forums. Also note that you only get two submissions to Kaggle per day, so please submit early and often to ensure you can complete this assignment. Make sure your submissions match the format provided in the tutorial to avoid wasting submission.

Complete the following tasks.

- 1. All machine learning models have parameters that can be tuned. These parameters can either have a positive or negative eect on the performance of the algorithm. Crossvalidation (CV) is one approach to identify a good model. For each of the algorithms below, identify the set of parameters that give the best predictive performance in terms of classication accuracy. You are free to do this in whatever way you wish, but in R you might consider using the train function in the caret package to help you with 1this task. This reference may be helpful http://caret.r-forge.r-project.org/training.html
  - A decision tree (ID3, Gini, C4.5, C5 or any other single decision tree)

• A boosted tree. Use Statistics Toolbox -; Functions -; Nonparametric Supervised Learning -; Ensemble Methods in Matlab or the gbm package in R. In R, the tuning parameters for this function are interaction.depth (how big each tree is), n.trees (how many trees to grow), shrinkage (a parameter to control overtting). Weka has ensemble models as well.

Your answers must include at least 5 different sets of parameters that you tried. State why you changed the parameters for each set. Report the cross-validation scores for each of the 5 sets for each approach. Use k=5 for a k-way cross-validation. Note you do not have to report the Kaggle leaderboard score for each.

Answer:

### 2.1 Decision Tree

0.25 0.787

No pre-processing

0.616

0.616

0.5

```
> vals <- read.csv(file.choose())</pre>
> Y<- as.factor(vals$survived)
> X <- data.frame(age=vals$age,
                      fare=vals$fare,
                      pclass=vals$pclass,
                      sex=as.integer(factor(vals$sex)))
> X$fare[ is.na( X$fare) ] <- -1</pre>
> X$age[ is.na( X$age) ] <- -1</pre>
> thedata <- cbind(Y,X)</pre>
> cvinfo <- trainControl(method = "cv", number = 5)</pre>
> rpart_control <- expand.grid(.cp = c(0.01,0.1,.25,0.5,1))
> train(Y~.,data=thedata,method="rpart",trControl=cvinfo,tuneGrid=rpart_control)
891 samples
  4 predictors
  2 classes: '0', '1'
No pre-processing
Resampling: Cross-Validation (5 fold)
Summary of sample sizes: 713, 712, 713, 713, 713
Resampling results across tuning parameters:
                  Kappa
                         Accuracy SD
                                       Kappa SD
        Accuracy
  ср
                                        0.0568
  0.01 0.798
                  0.549
                          0.0254
                                        0.052
  0.1
        0.787
                  0.542 0.0239
```

Accuracy was used to select the optimal model using the largest value. The final value used for the model was cp = 0.01.

0.542 0.0239

0

0.0026

0.0026

We notice that the accuracy decreases as the control value increases. Thus let us now try an use a lower set of values. We try and center the values around 01 as we noticed that we got the best accuracy for it after which it seemed to fall. We can guess that the accuracy increases till it reaches a max and then drops back down. using this information we have to try different values of cp till we find the maxima.

0.052

0

```
> rpart_control <- expand.grid(.cp = c(0.001,0.005,.01,.02,.05))
> train(Y~.,data=thedata,method="rpart",trControl=cvinfo,tuneGrid=rpart_control)
891 samples
4 predictors
2 classes: '0', '1'
```

Resampling: Cross-Validation (5 fold)

Summary of sample sizes: 713, 713, 712, 713, 713

Resampling results across tuning parameters:

ср	Accuracy	Kappa	Accuracy SD	Kappa SD
0.001	0.797	0.562	0.0395	0.0752
0.005	0.792	0.549	0.0354	0.0652
0.01	0.804	0.565	0.0105	0.0195
0.02	0.802	0.569	0.0136	0.0232
0.05	0.787	0.542	0.0262	0.0531

Accuracy was used to select the optimal model using the largest value. The final value used for the model was cp = 0.01.

Again we can see that the maxima is around .01 and that it slightly decreases if we move to .02. Thus the next step is to narrow down further closer to .01 and try and figure out where the maxima might be.

```
> rpart_control <- expand.grid(.cp = c(0.0095,0.0099,.01,.011,.015))
> train(Y~.,data=thedata,method="rpart",trControl=cvinfo,tuneGrid=rpart_control)
891 samples
    4 predictors
    2 classes: '0', '1'
```

No pre-processing

Resampling: Cross-Validation (5 fold)

Summary of sample sizes: 713, 713, 713, 713

Resampling results across tuning parameters:

ср	Accuracy	Kappa	Accuracy SD	Kappa SD
0.0095	0.799	0.554	0.0225	0.0531
0.0099	0.799	0.554	0.0225	0.0531
0.01	0.799	0.554	0.0225	0.0531
0.011	0.805	0.566	0.0192	0.0434
0.015	0.801	0.56	0.0241	0.0514

Accuracy was used to select the optimal model using the largest value. The final value used for the model was cp = 0.011.

Now we come to notice that the maxima is not at .01 and is between 0.01 and .02. Thus our next set of data for cp should try and and come closer to finding a good value for cp. It is good to note that running the training algorithm for the same set of values for cp can vary results slightly. So we should keep one of the values below .01 just for checking.

```
> rpart_control <- expand.grid(.cp = c(0.0099,0.01,.0105,.011,.012))
> train(Y~.,data=thedata,method="rpart",trControl=cvinfo,tuneGrid=rpart_control)
891 samples
    4 predictors
    2 classes: '0', '1'
```

No pre-processing

Resampling: Cross-Validation (5 fold)

Summary of sample sizes: 712, 713, 713, 713

Resampling results across tuning parameters:

```
Accuracy Kappa Accuracy SD Kappa SD
0.0099 0.8
                                    0.0342
                 0.555 0.0145
0.01
       0.8
                 0.555 0.0145
                                    0.0342
0.0105 0.8
                 0.555 0.0145
                                    0.0342
0.011
       0.8
                 0.555 0.0145
                                    0.0342
                 0.555 0.0145
                                    0.0342
0.012
       0.8
```

Accuracy was used to select the optimal model using the largest value. The final value used for the model was cp = 0.012.

Technically we have now come to a point where we can't actually predict what value of cp is best. we can leave equally spaced cp's around this region. But choosing one is not easily possible. As running the set again and again will give us different cp each time.

```
> rpart_control <- expand.grid(.cp = c(0.0095,0.01,.011,.012,.0125))
> treef<-train(Y~.,data=thedata,method="rpart",trControl=cvinfo,tuneGrid=rpart_control)
> treef
891 samples
    4 predictors
    2 classes: '0', '1'
No pre-processing
```

Summary of sample sizes: 713, 713, 713, 712, 713

Resampling: Cross-Validation (5 fold)

Resampling results across tuning parameters:

ср	Accuracy	Kappa	Accuracy SD	Kappa SD
0.0095	0.798	0.555	0.0121	0.0398
0.01	0.799	0.554	0.0141	0.039
0.011	0.799	0.555	0.0135	0.0386
0.012	0.799	0.555	0.0135	0.0386
0.0125	0.799	0.555	0.0135	0.0386

Accuracy was used to select the optimal model using the largest value. The final value used for the model was cp = 0.0125.

Thus let us choose .0125 as a value for cp and try run it on the test data set.

The above file was submitted to Kaggle and it scored 78.469%

2. A boosted tree. Use Statistics Toolbox -¿ Functions -¿ Nonparametric Supervised Learning -¿ Ensemble Methods in Matlab or the gbm package in R. In R, the tuning parameters for this function are interaction.depth (how big each tree is), n.trees (how many trees to grow), shrinkage (a parameter to control overtting). Weka has ensemble models as well.

First we try to vary each parameter one by one to see how each parameter affects the accuracy of the model.

Let us start by having a constant interaction depth and a constant shirnkage and only vary the number of trees grown.

```
> gbm_params <- expand.grid(.interaction.depth = 5,
> train(Y~.,data=thedata,method="gbm",trControl=cvinfo
+ ,tuneGrid=gbm_params)
+ .n.trees = c(5,10,15,20,25), .shrinkage = .1)
891 samples
4 predictors
2 classes: '0', '1'
```

No pre-processing

Resampling: Cross-Validation (5 fold)

Summary of sample sizes: 714, 713, 712, 713, 712

Resampling results across tuning parameters:

n.trees	Accuracy	Kappa	Accuracy SD	Kappa SD
5	0.796	0.532	0.0215	0.0513
10	0.811	0.577	0.0267	0.0603
15	0.814	0.585	0.0302	0.0668
20	0.813	0.582	0.0283	0.0652
25	0.818	0.6	0.0279	0.0635

Tuning parameter 'interaction.depth' was held constant at a value of 5 Tuning parameter 'shrinkage' was held constant at a value of 0.1 Accuracy was used to select the optimal model using the largest value. The final values used for the model were interaction.depth = 5, n.trees = 25 and shrinkage = 0.1.

We see that Accuracy increases as the number of trees increases. Let us no see if it keeps increasing or it stops at some point.

```
> gbm_params <- expand.grid(.interaction.depth = 5,
+ .n.trees = c(25,50,100,500,1000), .shrinkage = .1)
> train(Y~.,data=thedata,method="gbm",trControl=cvinfo,tuneGrid=gbm_params)
891 samples
    4 predictors
    2 classes: '0', '1'
```

No pre-processing

Resampling: Cross-Validation (5 fold)

Summary of sample sizes: 713, 713, 712, 713, 713

Resampling results across tuning parameters:

n.trees	Accuracy	Kappa	Accuracy SD	Kappa SD
25	0.806	0.571	0.0294	0.0677
50	0.814	0.592	0.0469	0.106
100	0.825	0.622	0.0394	0.0855
500	0.822	0.619	0.0306	0.0633
1000	0.813	0.603	0.0376	0.0761

Tuning parameter 'interaction.depth' was held constant at a value of 5 Tuning parameter 'shrinkage' was held constant at a value of 0.1 Accuracy was used to select the optimal model using the largest value. The final values used for the model

were interaction.depth = 5, n.trees = 100 and shrinkage = 0.1.

We see that after a certain point increasing number of trees doesnt give us much use and actually reduces accuracy. Let us consider 100 trees and see what happens when we vary other parameters.

```
> gbm_params <- expand.grid(.interaction.depth = c(1,5,10,25,100),
+ .n.trees = 100, .shrinkage = .1)
> train(Y~.,data=thedata,method="gbm",trControl=cvinfo,tuneGrid=gbm_params)
891 samples
4 predictors
2 classes: '0', '1'
```

No pre-processing

Resampling: Cross-Validation (5 fold)

Summary of sample sizes: 712, 712, 714, 713, 713

Resampling results across tuning parameters:

interaction.depth	n Accuracy	Kappa	Accuracy SD	Kappa SD
1	0.79	0.552	0.026	0.0525
5	0.828	0.629	0.0239	0.0543
10	0.828	0.631	0.0238	0.0508
25	0.813	0.598	0.0216	0.0486
100	NaN	NaN	NA	NA

Tuning parameter 'n.trees' was held constant at a value of 100

Tuning parameter 'shrinkage' was held constant at a value of 0.1

Accuracy was used to select the optimal model using the largest value.

The final values used for the model were

interaction.depth = 10, n.trees = 100 and shrinkage = 0.1.

We can see that increasing the depth eyond a certain point causes the model to fail. We seem to get an optimum value at depth 10. Let us use this and vary shrinkage.

```
> gbm_params <- expand.grid(.interaction.depth = 10,
+ .n.trees = 100, .shrinkage = c(.01,.1,.5,.75,1))
> train(Y~.,data=thedata,method="gbm",trControl=cvinfo,tuneGrid=gbm_params)
891 samples
    4 predictors
    2 classes: '0', '1'
```

No pre-processing

Resampling: Cross-Validation (5 fold)

Summary of sample sizes: 713, 713, 712, 712, 714

Resampling results across tuning parameters:

shrinkage	Accuracy	Kappa	Accuracy SD	Kappa SD
0.01	0.8	0.553	0.0268	0.0639
0.1	0.822	0.614	0.0319	0.0685
0.5	0.796	0.569	0.0237	0.0486
0.75	0.769	0.518	0.0528	0.0947
1	0.568	0.13	0.0568	0.109

Tuning parameter 'interaction.depth' was held constant at a value of 10 Tuning parameter 'n.trees' was held constant at a value of 100 Accuracy was used to select the optimal model using the largest value. The final values used for the model

were interaction.depth = 10, n.trees = 100 and shrinkage = 0.1.

Now we see that a good value of shrinkage is around .1 We try fine tune this a bit with slightly

varyig values of number of trees and depth to see if we can get a better accuracy.

```
> gbm_params <- expand.grid(.interaction.depth = c(5,10,15),
+ .n.trees = c(25,50,100), .shrinkage = c(.01,.1,.2))
> treef <- train(Y~.,data=thedata,method="gbm",trControl=cvinfo,tuneGrid=gbm_params)
> treef
891 samples
    4 predictors
    2 classes: '0', '1'
```

No pre-processing

Resampling: Cross-Validation (5 fold)

Summary of sample sizes: 713, 713, 712, 714, 712

Resampling results across tuning parameters:

interaction.depth	n.trees	shrinkage	Accuracy	Kappa	Accuracy SD	Kappa SD
5	25	0.01	0.787	0.502	0.0164	0.0431
5	25	0.1	0.802	0.565	0.0227	0.0506
5	25	0.2	0.812	0.589	0.0294	0.0711
5	50	0.01	0.798	0.533	0.0104	0.0251
5	50	0.1	0.819	0.607	0.0236	0.0536
5	50	0.2	0.819	0.61	0.0277	0.0624
5	100	0.01	0.808	0.568	0.0103	0.0279
5	100	0.1	0.824	0.619	0.0244	0.0548
5	100	0.2	0.823	0.617	0.032	0.0715
10	25	0.01	0.786	0.499	0.0179	0.0471
10	25	0.1	0.811	0.587	0.0259	0.0635
10	25	0.2	0.813	0.595	0.0159	0.037
10	50	0.01	0.804	0.55	0.0141	0.0309
10	50	0.1	0.817	0.602	0.0316	0.0749
10	50	0.2	0.809	0.588	0.0181	0.0404
10	100	0.01	0.808	0.569	0.0122	0.0318
10	100	0.1	0.811	0.594	0.029	0.065
10	100	0.2	0.81	0.591	0.0272	0.0624
15	25	0.01	0.761	0.433	0.0248	0.0678
15	25	0.1	0.824	0.614	0.0279	0.0659
15	25	0.2	0.812	0.592	0.0344	0.0768
15	50	0.01	0.808	0.561	0.0169	0.0366
15	50	0.1	0.816	0.602	0.0285	0.0627
15	50	0.2	0.809	0.59	0.0418	0.0895
15	100	0.01	0.808	0.57	0.0123	0.036
15	100	0.1	0.811	0.596	0.0406	0.0876
15	100	0.2	0.811	0.596	0.0377	0.0796

Accuracy was used to select the optimal model using the largest value. The final values used for the model

were interaction.depth = 15, n.trees = 25 and shrinkage = 0.1.

- > thetree <- treef\$finalModel</pre>
- > mypred <-predict(thetree,n.trees=25,newdata=X\_test,type="response")</pre>
- > mypred=mypred-1
- > write(round(mypred)\*-1,"rf\_predictions2.csv",ncolumns=1)

Using this model to predict the data and submitting to Kaggle we got an accuracy of 73.468%

3. Pick two other classication algorithms not covered in class and tune them using crossvalidation. For an incomplete list of classication algorithms in R, take a look at this page http://caret.r-forge.r-project.org/modelList.html. Compare the performance of the two new models against the

decision tree with tuning parameters and boosted tree from the previous trials. State which model performed the best on the basis of CV scores.

The first method I would be trying is the mda method from the mda package.

```
> mda_params \leftarrow expand.grid(.subclasses = c(1,5,10,25,100))
```

> train(Y~.,data=thedata,method="mda",trControl=cvinfo,tuneGrid=mda\_params)

891 samples

4 predictors

2 classes: '0', '1'

No pre-processing

Resampling: Cross-Validation (5 fold)

Summary of sample sizes: 712, 714, 713, 712, 713

Resampling results across tuning parameters:

subclasses	Accuracy	Kappa	Accuracy SD	Kappa SD
1	0.778	0.526	0.028	0.0577
5	0.784	0.541	0.0256	0.0573
10	0.792	0.56	0.0107	0.026
25	NaN	NaN	NA	NA
100	NaN	NaN	NA	NA

Accuracy was used to select the optimal model using the largest value. The final value used for the model was subclasses = 10.

it is noticeable that the beyond some point the subclasses produce no result as we have too many so adjusting this parameter to find a better value to suit the classifier and get more accurate results

```
> mda_params <- expand.grid( .subclasses = c(1,3,5,10,15))</pre>
```

- > treemda <-train(Y~.,data=thedata,method="mda",trControl=cvinfo,tuneGrid=mda\_params)
- > treemda

891 samples

4 predictors

2 classes: '0', '1'

No pre-processing

Resampling: Cross-Validation (5 fold)

Summary of sample sizes: 712, 713, 713, 714, 712

Resampling results across tuning parameters:

subclasses	Accuracy	Kappa	Accuracy SD	Kappa SD
1	0.779	0.528	0.0162	0.0317
3	0.781	0.535	0.0265	0.0498
5	0.782	0.536	0.0227	0.0439
10	0.778	0.527	0.0282	0.0573
15	0.775	0.517	0.00795	0.0287

Accuracy was used to select the optimal model using the largest value.

The final value used for the model was subclasses = 5.

Working with values in and around 5 we can try improve the cross validation scores

```
> mda_params <- expand.grid( .subclasses = c(3,4,5,6,8))</pre>
```

- > treemda <-train(Y~.,data=thedata,method="mda",trControl=cvinfo,tuneGrid=mda\_params)
- > treemda

891 samples

4 predictors

2 classes: '0', '1'

No pre-processing

Resampling: Cross-Validation (5 fold)

Summary of sample sizes: 712, 713, 713, 713

Resampling results across tuning parameters:

subclasses	Accuracy	Kappa	Accuracy SD	Kappa SD
3	0.783	0.534	0.0344	0.0774
4	0.781	0.528	0.0393	0.0912
5	0.783	0.537	0.0359	0.0781
6	0.784	0.537	0.0267	0.0597
8	0.776	0.529	0.0464	0.0861

Accuracy was used to select the optimal model using the largest value.

The final value used for the model was subclasses = 6.

Running it again over and over we see that it gives 4 and 6 as an optimum number of subclasses. Let us just use one case and move

- > finaltree <- treemda\$finalModel</pre>
- > mypred <- predict(finaltree, X\_test)</pre>
- > mpred <- as.numeric(mypred)-1</pre>
- > write(mpred, "mda\_pred.csv", ncolumns=1)

Submitting the above trainging set in Kaggle I seem to get an accruacy of 75.120%

The next method I am going to try is JRip

- > jrip\_params <- expand.grid( .NumOpt = c(1,5,10,25,100))</pre>
- > treejrip <-train(Y~.,data=thedata,method="JRip",trControl=cvinfo,tuneGrid=jrip\_params)
- > teejrip

891 samples

- 4 predictors
- 2 classes: '0', '1'

No pre-processing

Resampling: Cross-Validation (5 fold)

Summary of sample sizes: 713, 714, 713, 712, 712

Resampling results across tuning parameters:

Maam Om+	10011700	Vanna	A a a sum a arr. CD	Vanna CD
${\tt NumOpt}$	${ t Accuracy}$	Kappa	Accuracy SD	Kappa SD
1	0.79	0.536	0.0279	0.0642
5	0.791	0.54	0.0255	0.0576
10	0.787	0.53	0.0199	0.0497
25	0.792	0.542	0.0286	0.0715
100	0.787	0.542	0.0203	0.0437

Accuracy was used to select the optimal model using the largest value.

The final value used for the model was NumOpt = 25.

We optimize the set of options to see if we can better the JRip Accuracy

- $> jrip_params <- expand.grid( .NumOpt = c(1,3,5,25,50))$
- > treejrip <-train(Y~.,data=thedata,method="JRip",trControl=cvinfo,tuneGrid=jrip\_params)
  Warning message:</pre>

In train.default(x, y, weights = w, ...) :

At least one of the class levels are not valid R variables names;

```
This may cause errors if class probabilities are generated because
  the variables names will be converted to: \mbox{X0, X1}
> treejrip
891 samples
  4 predictors
  2 classes: '0', '1'
No pre-processing
Resampling: Cross-Validation (5 fold)
Summary of sample sizes: 714, 712, 712, 713, 713
Resampling results across tuning parameters:
  NumOpt Accuracy Kappa Accuracy SD Kappa SD
          0.789
                    0.535 0.0216
                                        0.0431
  3
          0.791
                    0.539 0.0236
                                        0.0475
```

25 0.794 0.552 0.0312 0.0707 50 0.787 0.542 0.0348 0.0756 Accuracy was used to select the optimal model using the largest value.

The final value used for the model was NumOpt = 5.

Trying different value of NumOpt we can try and optimize the accuracy.

0.556 0.0403

When running the algorithm over and over we can see that 5 seems to have bes accuracy.

```
> jrip_params <- expand.grid( .NumOpt = c(1,3,5,15,25))
> treejrip <-train(Y~.,data=thedata,method="JRip",trControl=cvinfo,tuneGrid=jrip_params)
> treejrip
891 samples
    4 predictors
    2 classes: '0', '1'
```

0.083

No pre-processing

5

0.796

Resampling: Cross-Validation (5 fold)

Summary of sample sizes: 713, 713, 712, 713, 713

Resampling results across tuning parameters:

		**	4	an
NumUpt	${ t Accuracy}$	Kappa	Accuracy SD	Kappa SD
1	0.806	0.572	0.0313	0.0717
3	0.804	0.562	0.0266	0.064
5	0.815	0.585	0.0364	0.0836
15	0.8	0.556	0.0259	0.0605
25	0.797	0.558	0.0343	0.0696

Accuracy was used to select the optimal model using the largest value. The final value used for the model was NumOpt = 5.

4. Thus by looking at it I would think the gbm module gives us a good amount of accuracy. This can be said because it gives a good amount of accuracy. however we see that the accuracy score from Kaggle doesnt seem to be as good. This is probably because of over fitting.

## 3 Question 3

Consider the following Bayesian Network structure for a problem in a Medical domain. The full joint probability distribution corresponding to the network is given in the table below.

	Ta		¬ Ta	
	Ct	¬Ct	Ct	$\neg Ct$
Ca	0.108	0.012	0.072	0.008
¬ Ca	0.016	0.064	0.144	0.576

Figure 1: Probability Distribution Table

Based on the given information, calculate the following. Show your computations.

1. P(Ta)

$$P(Ta) = 0.108 + 0.012 + 0.016 + 0.064$$
  
 $P(Ta) = 0.2$   
 $P(Ta) = 0.2$ 

2. P(Ca)

$$P(Ca) = 0.108 + 0.012 + 0.072 + 0.008$$
  
 $P(Ca) = 0.2$   
 $P(Ca) = 0.2$ 

3. P(Ta | Ca)

$$\frac{P(Ta \cap Ca)}{P(Ca)}$$

$$\frac{.108 + .012}{.2}$$

$$\frac{.12}{.2}$$

$$P(Ta \mid Ca) = 0.6$$

4.  $P(Ca \mid Ta \lor Ct)$ 

$$\frac{P(Ca \cap (Ta \cup Ct))}{P(Ta \cup Ct)}$$

$$\frac{0.108 + 0.072 + .012}{0.108 + 0.012 + 0.016 + 0.064 + 0.072 + 0.144}$$

$$\frac{.18}{.416}$$

$$P(Ca \mid Ta \lor Ct) = 0.4615$$