

Troubleshooting Locking Issues

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How locking issues affect your application?

You run two or more parallel queries

Each of them executes fast

But while running in parallel they start performing slowly

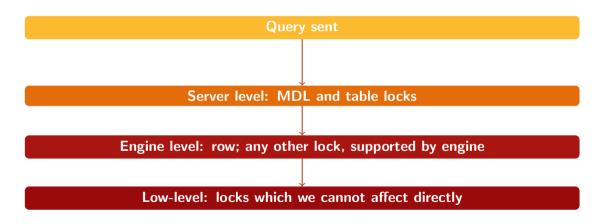
Why fast query runs slow in parallel?

- Locks, set to protect data
 - We can control them directly
- Locks, set to protect access to hardware
 - We can control them only indirectly
 - Will be discussed in the next two webinars

Which locks exist in MySQL?

- Metadata locks (MDL)
- Table locks
- Engine-level locks
 - Row
 - Can be any or not at all

Query: when locks acquired



What do they lock?

- Read locks
 - Block writes
- Write locks
 - Block both reads and writes
- Locks compatibility

| | | Read | Write |
|---|-------|------------|----------|
| • | Read | Compatible | Conflict |
| | Write | Conflict | Conflict |

InnoDB locks

- Read locks
 - Shared (S)
 - Intention Shared (IS)
- Write locks
 - Exclusive (X)
 - Intention exclusive (IX)
- Locks compatibility

InnoDB locks

- Read locks
- Write locks
- Locks compatibility

| • | X | IX | S | IS |
|----|----------|------------|------------|------------|
| X | Conflict | Conflict | Conflict | Conflict |
| IX | Conflict | Compatible | Conflict | Compatible |
| S | Conflict | Conflict | Compatible | Compatible |
| IS | Conflict | Compatible | Compatible | Compatible |

See also user manual

Locks and transactions

- Server-level
 - MDL Locks: transactional
 - Table Locks: not transactional
- Engine-level
 - Table Locks
 - Row Locks
 - Fancy Locks

How to diagnose MDL locks

Which queries are affected?

- When acquired?
 - By any access of a table, schema, routine, etc.
 - SELECT/INSERT/UPDATE/DELETE
 - ALTER
 - Any operation!
- When freed?
 - When transaction finishes
 - AUTOCOMMIT=1: when guery ends
 - AUTOCOMMIT=0: when COMMIT or ROLLBACK issued
- Locks compatibility

| | SELECT | DML | DDL |
|---|------------|------------|----------|
| • | Compatible | Compatible | Conflict |

- SHOW PROCESSLIST 5.5+
- I_S.PROCESSLIST 5.5+
- P_S.THREADS 5.6+
- P_S.METADATA_LOCKS 5.7+

SHOW PROCESSLIST - 5.5+

• I_S.PROCESSLIST - 5.5+

```
mysql> select id, State, Info from information_schema.processlist
   -> where State like '%metadata%'\G
**************************
   id: 3
State: Waiting for table metadata lock
Info: alter table titles add key(title)
1 row in set (0,00 sec)
```

• P_S.THREADS - 5.6+

P_S.METADATA_LOCKS - 5.7+

```
mysql> select processlist_id, object_type, lock_type, lock_status,
   -> source, processlist_info from metadata_locks
   -> join threads on (owner_thread_id = thread_id) where
   -> object_schema='employees' and object_name='titles' and
   -> lock_status='pending'\G
processlist_id: 3
    object_type: TABLE
      lock_type: EXCLUSIVE
    lock status: PENDING
        source: mdl.cc:3889
processlist_info: alter table titles add key(title)
```

Which thread holds MDL?

```
mysql> select processlist_id pid, object_type, lock_type, lock_status, source
  -> from metadata_locks join threads on (owner_thread_id = thread_id)
  -> where object_schema='employees' and object_name='titles';
pid | object_type | lock_type | lock_status | source
 2 | TABLE | SHARED_READ | GRANTED | sql_parse.cc:5937 |
  3 | TABLE
            | SHARED_UPGRADABLE | GRANTED
                                   | sql_parse.cc:5937 |
  3 | TABLE
            | EXCLUSIVE
                          I PENDING
                                   | mdl.cc:3889
 3 rows in set (0.00 \text{ sec})
```

Possible fixes and best practices

MDL: best practices

- Schedule DDL in less busy time
- Be prepared to rollback transaction
- Keep transactions as small as possible
- Set lock_wait_timeout less than default (1 year) if you cannot afford
 - ALTER to wait forever
 - DML queries to wait when ALTER finishes
 - If set globally this will affect all connections!

How to diagnose Table Locks

When Table Locks are set?

- LOCK TABLE ... READ | WRITE
- Access to table of the engine which does not implement own locks
 - MyISAM
 - Memory
 - Others, which do not have own locking model
- While full table scan is performing on the InnoDB table
 - After query finishes table locks are freed
 - Accessed rows remain locked
 - Mind the difference between table and row locks!

SHOW PROCESSLIST

- 3 rows in set (0,00 sec)
- I_S.PROCESSLIST 5.1+
- P_S.THREADS 5.6 +
- P S TABLE HANDLES 5.7+

- SHOW PROCESSLIST
- I_S.PROCESSLIST 5.1+

```
mysql> select id, State, Info from information_schema.processlist
    -> where State like '%table level lock%'\G
*****************************
    id: 3
State: Waiting for table level lock
Info: update emp_myisam set first_name='Steve' where first_name='Sveta'
1 row in set (0,00 sec)
```

- P_S.THREADS 5.6 +
- P S.TABLE HANDLES 5.7+

- SHOW PROCESSLIST
- I_S.PROCESSLIST 5.1+

• P S TABLE HANDLES - 5.7+

• P_S.THREADS - 5.6 +

- SHOW PROCESSLIST
- I_S.PROCESSLIST 5.1+
- P_S.THREADS 5.6 +
- P_S.TABLE_HANDLES 5.7+

19 www.percona.com

mysql> select OBJECT_SCHEMA, OBJECT_NAME, OWNER_THREAD_ID oid,

- P_S.TABLE_HANDLES
 - LOCK TABLE ... READ

```
mysql> select * from table_handles\G
OBJECT_TYPE: TABLE
      OBJECT_SCHEMA: employees
        OBJECT_NAME: titles
OBJECT_INSTANCE_BEGIN: 140663937105248
    OWNER_THREAD_ID: 28
     OWNER_EVENT_ID: 951
      INTERNAL LOCK: NULL
      EXTERNAL LOCK: READ EXTERNAL
```

- P_S.TABLE_HANDLES
 - Write to MyISAM table

```
mysql> select * from table_handles\G
OBJECT_TYPE: TABLE
      OBJECT_SCHEMA: employees
        OBJECT_NAME: emp
OBJECT_INSTANCE_BEGIN: 140663879605856
    OWNER THREAD ID: 26
     OWNER_EVENT_ID: 10419193
      INTERNAL LOCK: WRITE
      EXTERNAL LOCK: WRITE EXTERNAL
```

- P_S.TABLE_HANDLES
 - Table scan of InnoDB table

```
mysql> select * from table_handles\G
OBJECT_TYPE: TABLE
      OBJECT_SCHEMA: employees
        OBJECT_NAME: employees
OBJECT_INSTANCE_BEGIN: 139929095050288
    OWNER_THREAD_ID: 28
     OWNER_EVENT_ID: 10020056
      INTERNAL LOCK: NULL
      EXTERNAL LOCK: WRITE EXTERNAL
1 row in set (0,00 sec)
```

- P_S.TABLE_HANDLES
- Special case: table scan of InnoDB table
 - During scan

```
mysql> show engine innodb status\G
...
---TRANSACTION 4875, ACTIVE 81 sec fetching rows
mysql tables in use 1, locked 1
887 lock struct(s), heap size 123352, 300910 row lock(s)
MySQL thread id 6, OS thread handle 139930801792768, query id 158
localhost 127.0.0.1 root Sending data
select * from employees for update
...
```

- P S.TABLE HANDLES
- Special case: table scan of InnoDB table
 - During scan
 - Scan finished, but transaction remains open

```
mysql> show engine innodb status\G
...
---TRANSACTION 4875, ACTIVE 1702 sec
887 lock struct(s), heap size 123352, 300910 row lock(s)
MySQL thread id 6, OS thread handle 139930801792768, query id 158
localhost 127.0.0.1 root cleaning up
...
```

Possible fixes and best practices

Table Locks best practices

- There is no timeout for server table-level locks!
- Use storage engine which supports row-level locking (InnoDB, TokuDB)
- Mix LOCK TABLE and transactions with great care
 - See also user manual
- Tune your queries

How to diagnose InnoDB row locks

InnoDB row locks: when set?

- Shared (S, read) locks
 - SELECT
- Exclusive (X, write) locks
 - DML
- Affected by transaction isolation level!

INNODB_LOCK_WAITS

```
mysql> select * from information_schema.innodb_lock_waits\G
*******************************
requesting_trx_id: 4903
requested_lock_id: 4903:26:4:2
  blocking_trx_id: 4901
blocking_lock_id: 4901:26:4:2
1 row in set (0,00 sec)
```

- InnoDB Monitor
- InnoDB Lock Monitor

- INNODB_LOCK_WAITS
- InnoDB Monitor

```
---TRANSACTION 4903, ACTIVE 7 sec starting index read
mysql tables in use 1, locked 1
LOCK WAIT 2 lock struct(s), heap size 1160, 1 row lock(s)
MySQL thread id 9, OS thread handle 139930801792768, query id 261
localhost 127.0.0.1 root updating
update employees set first_name='Sveta' where first_name='Steve'
----- TRX HAS BEEN WAITING 7 SEC FOR THIS LOCK TO BE GRANTED:
RECORD LOCKS space id 26 page no 4 n bits 408 index PRIMARY of
table 'employees'. 'employees' trx id 4903 lock_mode X waiting
Record lock, heap no 2 PHYSICAL RECORD: n_fields 8;
compact format; info bits 0
```

- INNODB LOCK WAITS
- InnoDB Monitor

```
0: len 4; hex 80002711; asc ';;
1: len 6; hex 0000000053c; asc ';;
2: len 7; hex b2000001260110; asc & ;;
3: len 3; hex 8f4322; asc C";;
4: len 6; hex 47656f726769; asc Georgi;;
5: len 7; hex 466163656c6c6f; asc Facello;;
6: len 1; hex 01; asc ;;
7: len 3; hex 8f84da; asc ;;
```

InnoDB Lock Monitor

- INNODB_LOCK_WAITS
- InnoDB Monitor
- InnoDB Lock Monitor

```
---TRANSACTION 4908, ACTIVE 1 sec starting index read
mysql tables in use 1, locked 1
LOCK WAIT 2 lock struct(s), heap size 1160, 1 row lock(s)
MySQL thread id 9, OS thread handle 139930801792768, query id 290
 localhost 127.0.0.1 root updating
update employees set first_name='Sveta' where emp_no=10001
  ---- TRX HAS BEEN WAITING 1 SEC FOR THIS LOCK TO BE GRANTED:
RECORD LOCKS space id 26 page no 4 n bits 408 index PRIMARY of
table 'employees'. 'employees' trx id 4908 lock_mode X locks rec
but not gap waiting
```

- INNODB_LOCK_WAITS
- InnoDB Monitor
- InnoDB Lock Monitor

```
0: len 4; hex 80002711; asc ';;
1: len 6; hex 00000000053c; asc <;;
2: len 7; hex b2000001260110; asc & ;;
3: len 3; hex 8f4322; asc C";;
4: len 6; hex 47656f726769; asc Georgi;;
5: len 7; hex 466163656c6c6f; asc Facello;;
6: len 1; hex 01; asc ;;
7: len 3; hex 8f84da; asc ;;</pre>
```

- INNODB_LOCK_WAITS
- InnoDB Monitor
- InnoDB Lock Monitor

```
TABLE LOCK table 'employees'.'employees' trx id 4908 lock mode IX
RECORD LOCKS space id 26 page no 4 n bits 408 index PRIMARY of
table 'employees'.'employees' trx id 4908 lock_mode X locks rec
but not gap waiting
Record lock, heap no 2 PHYSICAL RECORD: n_fields 8; compact
format; info bits 0
0: len 4; hex 80002711; asc ';;
1: len 6; hex 0000000053c; asc <;;
2: len 7; hex b2000001260110; asc & ;;
```

INNODB_LOCK_WAITS

```
mysql> select * from information_schema.innodb_lock_waits\G
*******************************
requesting_trx_id: 4903
requested_lock_id: 4903:26:4:2
   blocking_trx_id: 4901
   blocking_lock_id: 4901:26:4:2
1 row in set (0,00 sec)
```

- InnoDB Monitor
- InnoDB Lock Monitor

- INNODB LOCK WAITS
- InnoDB Monitor

```
---TRANSACTION 4901, ACTIVE 48 sec
887 lock struct(s), heap size 123352, 300910 row lock(s)
MySQL thread id 8, OS thread handle 139930802591488, query id 255 localhos
```

InnoDB Lock Monitor

- INNODB_LOCK_WAITS
- InnoDB Monitor
- InnoDB Lock Monitor

```
---TRANSACTION 4907, ACTIVE 33 sec
2 lock struct(s), heap size 1160, 1 row lock(s)
MySQL thread id 8. OS thread handle 139930802591488, query id 288
localhost 127.0.0.1 root cleaning up
TABLE LOCK table 'employees'. 'employees' trx id 4907 lock mode IX
RECORD LOCKS space id 26 page no 4 n bits 408 index PRIMARY of
table 'employees'. 'employees' trx id 4907 lock_mode X locks rec
but not gap
Record lock, heap no 2 PHYSICAL RECORD: n_fields 8;
compact format; info bits 0
```

- INNODB LOCK WAITS
- InnoDB Monitor
- InnoDB Lock Monitor

```
0: len 4; hex 80002711; asc ';;
1: len 6; hex 0000000053c; asc <;;
2: len 7; hex b2000001260110; asc & ;;
3: len 3; hex 8f4322; asc C";;
4: len 6; hex 47656f726769; asc Georgi;;
5: len 7; hex 466163656c6c6f; asc Facello;;
6: len 1; hex 01; asc ;;
7: len 3; hex 8f84da; asc ;;</pre>
```

Quick overview of InnoDB locks

I_S.INNODB_LOCKS

Our waiting transaction

```
mysql> select * from information_schema.innodb_locks\G
*********************** 1. row *****************
   lock_id: 4903:26:4:2
lock trx id: 4903
  lock mode: X
  lock_type: RECORD
 lock_table: 'employees'.'employees'
 lock index: PRIMARY
 lock_space: 26
                            lock rec: 2
  lock_page: 4
                           lock_data: 10001
```

Quick overview of InnoDB locks

I_S.INNODB_LOCKS

Our blocking transaction

```
****** 2. row **********
   lock_id: 4901:26:4:2
lock_trx_id: 4901
 lock_mode: X
 lock_type: RECORD
lock_table: 'employees'.'employees'
lock_index: PRIMARY
lock_space: 26
 lock_page: 4
  lock rec: 2
 lock_data: 10001
```

Quick overview of InnoDB locks

• I S.INNODB LOCKS

No information about who waits and who holds the lock!

INFORMATION_SCHEMA.INNODB_TRX

```
mysql> select trx_id, trx_state, trx_requested_lock_id,
   -> trx_mysql_thread_id, trx_query, trx_rows_locked,
   -> trx isolation level from information schema.innodb trx\G
trx id: 5387
          trx_state: RUNNING
trx_requested_lock_id: NULL
 trx_mysql_thread_id: 5
          trx_query: NULL
     trx rows locked: 2
 trx isolation level: REPEATABLE READ
```

INFORMATION_SCHEMA.INNODB_TRX

```
******************************

trx_id: 421220235425984

trx_state: RUNNING

trx_requested_lock_id: NULL

trx_mysql_thread_id: 2

trx_query: NULL

trx_rows_locked: 1

trx_isolation_level: SERIALIZABLE

2 rows in set (0,00 sec)
```

- INFORMATION_SCHEMA.INNODB_TRX
- P S.variables
 - Not reliable
 - Does not reflect changes, set by SET TRANSACTION ISOLATION LEVEL ...

- INFORMATION_SCHEMA.INNODB_TRX
- P_S.variables
 - Not reliable
 - Reflects changes, set by SET tx_isolation:

Deadlocks can occur...

- When transaction lock multiple rows in table(s), but in opposite order
- When such statements lock ranges of index records or gaps
- Not 100% avoidable with row locking!
- InnoDB can detect most of them
 - In such case it rolls back transaction which modified less data

InnoDB Monitors

```
LATEST DETECTED DEADLOCK
2016-05-09 03:04:12 0x7f18d9d85700
*** (1) TRANSACTION:
TRANSACTION 5411, ACTIVE 13 sec starting index read
mysql tables in use 1, locked 1
LOCK WAIT 3 lock struct(s), heap size 1160, 2 row lock(s)
MySQL thread id 7, OS thread handle 139744709977856, query id 217
localhost 127.0.0.1 root statistics
SELECT b FROM t WHERE a=2 FOR UPDATE
```

InnoDB Monitors

```
*** (1) WAITING FOR THIS LOCK TO BE GRANTED:
RECORD LOCKS space id 0 page no 504 n bits 72 index PRIMARY of
table 'test'.'t' trx id 5411 lock_mode X locks rec but not gap waiting
Record lock, heap no 2 PHYSICAL RECORD: n_fields 4;
compact format; info bits 0
0: len 4; hex 80000002; asc ;;
1: len 6; hex 00000000151b; asc ;;
2: len 7; hex b90000011f0110; asc ;;
3: len 4; hex 80000001; asc ;;
```

InnoDB Monitors

• *** (2) TRANSACTION: TRANSACTION 5412, ACTIVE 8 sec starting index read mysql tables in use 1, locked 1 3 lock struct(s), heap size 1160, 2 row lock(s) MySQL thread id 8, OS thread handle 139744710776576, query id 218 localhost 127.0.0.1 root statistics SELECT b FROM t WHERE a=1 FOR UPDATE *** (2) HOLDS THE LOCK(S): RECORD LOCKS space id 0 page no 504 n bits 72 index PRIMARY of table 'test'.'t' trx id 5412 lock_mode X locks rec but not gap Record lock, heap no 2 PHYSICAL RECORD: n fields 4: compact format; info bits 0

InnoDB Monitors

```
• 0: len 4; hex 80000002; asc ;;
1: len 6; hex 00000000151b; asc ;;
2: len 7; hex b90000011f0110; asc ;;
3: len 4; hex 80000001; asc ;;
```

InnoDB Monitors

```
• *** (2) WAITING FOR THIS LOCK TO BE GRANTED:
 RECORD LOCKS space id 0 page no 504 n bits 72 index PRIMARY of
 table 'test'.'t' trx id 5412 lock_mode X locks rec but not gap waiting
 Record lock, heap no 3 PHYSICAL RECORD: n_fields 4;
 compact format; info bits 0
  0: len 4: hex 80000001: asc
  1: len 6: hex 0000000151c; asc
  2: len 7: hex ba000001200110: asc
  3: len 4: hex 80000002: asc
 *** WE ROLL BACK TRANSACTION (2)
```

- InnoDB Monitors
- innodb_print_all_deadlocks into error log

```
Version: '5.7.11-debug-log' socket: '/tmp/mysqld.1.sock' port: 13000
2016-05-09T00:06:15.0254287 8 [Note] InnoDB: Transactions
deadlock detected, dumping detailed information.
2016-05-09T00:06:15.025500Z 8 [Note] InnoDB:
*** (1) TRANSACTION:
TRANSACTION 5415, ACTIVE 21 sec starting index read
mysql tables in use 1, locked 1
. . .
2016-05-09T00:06:15.031636Z 8 [Note] InnoDB:
*** WE ROLL BACK TRANSACTION (2)
```

Possible fixes and best practices

InnoDB row locks best practices

- Keep transactions small
- Use read-only transactions if you only need to select rows
 - START TRANSACTION READ ONLY
 - AUTOCOMMIT=1
 - Not listed in SHOW ENGINE INNODB STATUS
- Have small innodb_lock_wait_timeout and be prepared to restart transaction

InnoDB deadlocks best practices

- Deadlocks are not avoidable by InnoDB design
- But chance to have them can be minimized by application design
- In any case prepare to restart transaction, failed due to deadlock



Locks interactions

- MySQL cannot detect conflicts between locks, set on different levels
 - MDL vs row-level
 - Table vs row-level
- "Deadlock" can occur and will hang forever
 - Only solution is to kill one of transactions

Summary

- Processlist provides quick overview of locked connection threads
 - But not about engine-level locks!
- Detailed information can be found in
 - Performance Schema
 - Information Schema
 - SHOW ENGINE INNODB STATUS
 - Other engine-specific tools

More information

InnoDB Locking Explained With Stick Figures

Locks Set by Different SQL Statements in InnoDB

InnoDB Lock Modes

Place for your questions

???

Thank you!

http://www.slideshare.net/SvetaSmirnova https://twitter.com/svetsmirnova