

Exam 1 Study Guide - Algorithm Analysis & Foundations

Core Algorithm Analysis Concepts

Algorithm Properties

- **Correctness:** Produces correct output for all valid inputs
- **Efficiency:** Uses computational resources wisely (time/space)
- **Clarity:** Can be understood and implemented
- **Generality:** Solves a class of problems, not just one instance

Computational Model: Word-RAM

- **Fundamental Operations ($O(1)$):** Memory read/write, arithmetic (+, -, *, /, %), comparisons, logical operations, conditionals
- **NOT $O(1)$:** String operations, big integer arithmetic, dynamic memory allocation

Complexity Analysis

- **Time Complexity:** Operations as function of input size
- **Space Complexity:** Memory usage as function of input size
- **Best/Average/Worst Case:** Focus on worst-case for upper bounds

Asymptotic Notation

Big O Families

- **$O(g(n))$:** Upper bound - $f(n) \leq c \cdot g(n)$ for large n
- **$\Omega(g(n))$:** Lower bound - $f(n) \geq c \cdot g(n)$ for large n
- **$\Theta(g(n))$:** Tight bound - $f(n) = O(g(n))$ AND $f(n) = \Omega(g(n))$

Common Growth Rates (fastest to slowest)

$$O(1) < O(\log n) < O(n) < O(n \log n) < O(n^2) < O(n^3) < O(2^n) < O(n!)$$

Mathematical Foundations

Essential Summations

- **Triangular Numbers:** $\sum_{i=1}^n i = n(n+1)/2$
- **Sum of Squares:** $\sum_{i=1}^n i^2 = n(n+1)(2n+1)/6$
- **Geometric Series:** $\sum_{i=0}^{n-1} r^i = (r^n - 1)/(r - 1)$

Logarithm Rules

- **Change of Base:** $\log_a(n) = \log_b(n)/\log_b(a)$
- **Product:** $\log(ab) = \log(a) + \log(b)$

- **Quotient:** $\log(a/b) = \log(a) - \log(b)$
- **Power:** $\log(a^b) = b \cdot \log(a)$
- **Key Insight:** $\log_2(n) = \text{"How many times can you divide } n \text{ by } 2\text{"}$

Binary Trees

- **Perfect tree nodes:** $2^{(h+1)} - 1$
- **Complete tree height:** $\lfloor \log_2(n) \rfloor$
- **Binary search iterations:** $\lfloor \log_2(n) \rfloor + 1$

Peak Finding

1D Peak Finding

- **Problem:** Find index where $\text{arr}[i] \geq \text{arr}[i-1]$ and $\text{arr}[i] \geq \text{arr}[i+1]$
- **Brute Force:** $O(n)$ - check every position
- **Divide & Conquer:** $O(\log n)$ - check middle, recurse on side with larger neighbor

Data Structures

Arrays

- **Access:** $O(1)$ by index
- **Search:** $O(n)$ unsorted, $O(\log n)$ sorted
- **Insert/Delete:** $O(n)$ due to shifting

Linked Lists

- **Access:** $O(n)$ to reach position
- **Insert/Delete:** $O(1)$ if at known position
- **Search:** $O(n)$ always

Dynamic Arrays

- **Amortized insert:** $O(1)$ average, $O(n)$ when resizing
- **Doubling strategy:** Maintains $O(1)$ amortized time (if double capacity when resizing)

Recurrence Relations

Master Theorem

For $T(n) = aT(n/b) + f(n)$ where $a \geq 1$, $b > 1$:

Case 1: If $f(n) = O(n^{(\log_b(a) - \epsilon)})$ for $\epsilon > 0 \rightarrow T(n) = \Theta(n^{\log_b(a)})$

Case 2: If $f(n) = \Theta(n^{\log_b(a)}) \rightarrow T(n) = \Theta(n^{\log_b(a)} \cdot \log n)$

Case 3: If $f(n) = \Omega(n^{(\log_b(a) + \epsilon)})$ for $\epsilon > 0 \rightarrow T(n) = \Theta(f(n))$

Common Examples

- **Binary Search:** $T(n) = T(n/2) + O(1) \rightarrow O(\log n)$
- **Merge Sort:** $T(n) = 2T(n/2) + O(n) \rightarrow O(n \log n)$

Sorting Algorithms

Comparison-Based Sorting

- **Lower bound:** $\Omega(n \log n)$ for comparison-based algorithms
- **Bubble Sort:** $O(n^2)$ - Iterative, stable, in-place
- **Selection Sort:** $O(n^2)$ - Iterative, not stable, in-place
- **Insertion Sort:** $O(n^2)$ - Iterative, stable, in-place
- **Merge Sort:** $O(n \log n)$ - Divide & conquer, stable, not in-place
- **Quick Sort:** $O(n^2)$ - Divide & conquer, not stable, in-place

Linear Sorting

- **Counting Sort:** $O(n + k)$ where k is range - Iterative, stable, not in-place
- **Radix Sort:** $O(d(n + k))$ where d is digits - Iterative, stable, uses counting sort