

Reconceptualizing Automatic Text Summarization Evaluation: Evidence from Long Legal Texts



Introduction I

- Text summarisation is inherently useful in the legal domain, due to the **length and** complexity of legal documents.
- Text summaries are typically evaluated with intrinsic measures approaches such as **ROUGE**.
 - When introducing new summarisation models at CLNLP conferences in 2021, 100% of papers used ROUGE, of which 69% used ROUGE exclusively.
- But does this really represent how useful summaries are for human tasks (i.e., legal analysis)?
 - Belz and Gatt (2008) found no significant correlation between intrinsic and extrinsic summary performance.



Problem Formulation ≒

- Text summarisation has developed drastically from the 19th century to 20th century due to a switch from **statistical modelling** to **machine learning methods**.
- **ROUGE** is the de-facto gold standard of summarisation evaluation (intrinsic).
- QA is one of the main extrinsic evaluation measures which focusses on answering prewritten questions for text these do not scale well to longer texts with longer summaries.
- Our research paper provides an **alternative extrinsic evaluation measure** by looking at the performance of a separate machine learning model on three inference tasks, using the summarised text as an input.



Methodology 💍

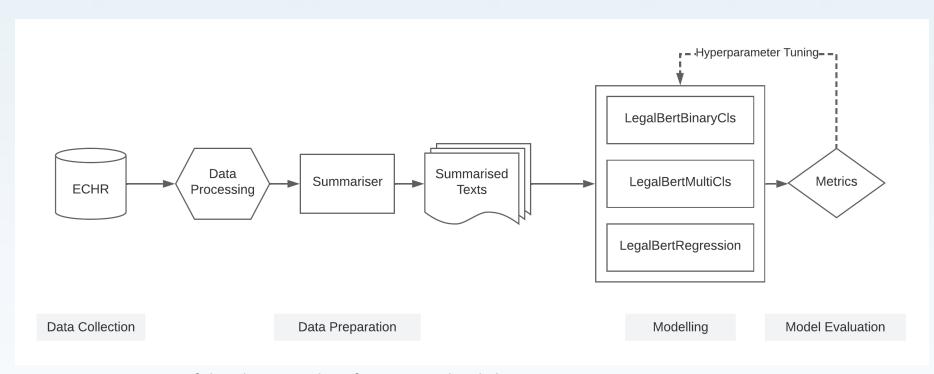


Figure 1: Overview of the data pipeline for our methodology.



Summarisation Models



Graph-based models (extractive)

- TextRank
- LexRank
- Reduction

Modern extractive models

- BERT Chunking
- GL-LSTM

Modern abstractive models

- LED Longformer
- Long T5

Abstractive

The facts of the case, as submitted by the parties, may be summarised as follows. On 5 January 1987 criminal investigations were instituted against the applicant who was taken **Extractive** into police custody the same day in respect of, inter alia, alleged tax frauds. He was released on 16 January 1987.

The applicant was investigated for alleged tax frauds related to vehicle parts import in January 1987 and taken into police custody. Finland ratified...

On 5 January 1987 criminal investigations were instituted against the applicant who was released on 16 January 1987. On 10 May 1990 Finland ratified the Convention...

Figure 2: Sample summaries generated by our models for a specific case.



Results 📶

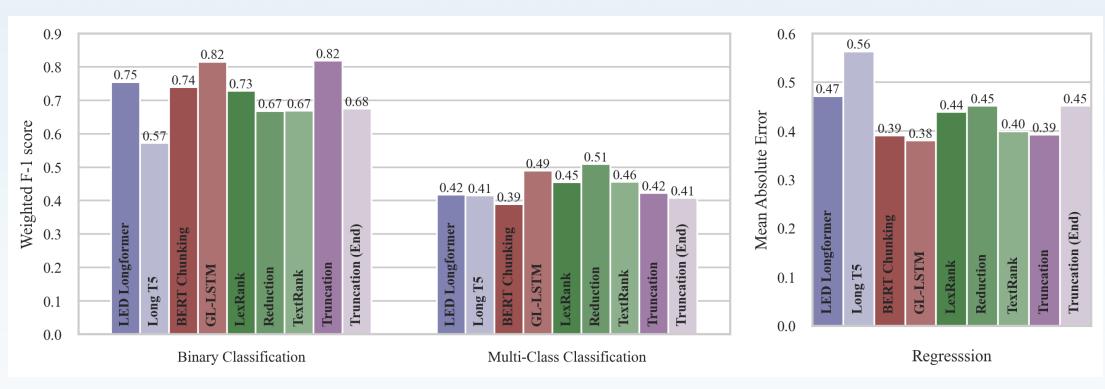


Figure 3: Inference results on test data across all summarisation models.

Blue: Abstractive NN, Red: Extractive NN, Green: Graph-Based, Purple: No ATS



Discussion 🚔

- There does not appear to be a strong relationship between the typical scores on intrinsic evaluation measures such as ROUGE and the extrinsic evaluation measures we present.
- This could be because, when neural network-based summarisers are trained, they are directly **optimised** on reference summaries.
- The strong performance of truncation is likely due to the most important information occurring at the start of the text.



Conclusion 📜

· Modern methods, particularly abstractive transformers, **fail to outperform** the extrinsic metrics presented in this paper.

• Extrinsic evaluation of summaries should be considered when evaluating summaries



Future Work

- · Include hand-written summaries such that we can directly compare our metrics against ROUGE scoring.
- · Further work on additional inference tasks for a range of extrinsic measures.
- Extend our work to multiple datasets of varying characteristics and more inference models.