

1 **A dynamic three step mechanism drives the HIV-1 prefusion**
2 **reaction**

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15 **ABSTRACT**

16

17 Time-resolved HIV-1 envelope (Env) interactions with CD4 and CCR5 or CXCR4 were
18 visualized and quantified on the surface of cells by combining multicolour super-resolution
19 localization microscopy (dSTORM) with fluorescence fluctuation spectroscopy imaging.
20 Utilizing primary isolate JR-FL and laboratory HXB2 strains, we revealed the time-resolved
21 stoichiometry of both CD4 and CCR5 or CXCR4 receptors in the prefusion complex upon
22 arrival of HIV-1 Env. The HIV-1 Env pre-fusion dynamics for both R5 and X4 tropic strains
23 consists of a three-step mechanism, which differs in stoichiometry. The action of the
24 monoclonal neutralizing antibody (Nab) b12 was also tested, revealing that the mechanism of
25 inhibition differs between JR-FL and HXB2 Env. These molecular insights into the precise
26 Env-induced time-resolved stoichiometry of CD4 and CCR5 or CXCR4 reveal HIV-1
27 receptor and co-receptor assemblies as novel targets for inhibitor design.

28

29 INTRODUCTION

30

31 Entry of HIV-1 into a host cell requires an initial interaction between the viral-envelope
32 displayed glycoprotein spike complex, Env, with cell surface displayed CD4 and co-
33 receptors¹. Although structural studies have revealed the intra-molecular basis for CD4
34 receptor and CXCR4/CCR5 co-receptor-induced conformational changes to the HIV-1 Env
35 during host cell entry², little is known about how the inter-molecular dynamics and
36 stoichiometry of this process culminates in fusion with the host cell membrane in live cells³.
37 This is due to the difficulty of working with live cells and the lack of temporal resolution of
38 the techniques commonly employed (i.e. crystallography and cryo-EM). To overcome these
39 difficulties and enable real-time observations of R5 and X4 tropic HIV-1 Env-induced CD4
40 and CCR5 or CXCR4 interactions between single HIV-1 virions and live cells, we
41 multiplexed number and brightness (N&B)⁴⁻⁶ with real-time single virus tracking
42 (SVT)^{7,8}(Fig. 1). To corroborate our NandB data, we additionally employed total internal
43 reflection microscopy (TIRFM) combined with super-resolution localization microscopy
44 (dSTORM)⁹.

45 Guided by the combined use of advanced quantitative light microscopy, we show with detail
46 the mechanistic underpinnings of the inter-molecular dynamics of CD4 and co-Receptors
47 during HIV-1 pre-fusion reaction for both: an X4 tropic HIV-1 Env lab strain (HXB2¹⁰) and a
48 R5 tropic HIV-1 primary isolate strain (JR-FL¹¹). We also show how b12, a CD4-binding-
49 neutralizing antibody, prevents exposure of the co-receptor binding by restricting V1/V2 loop
50 recognition², and blocks CD4 – CXCR4 interactions for HXB2 Env. JR-FL Env CD4 –
51 CCR5 interactions were also disrupted at 100µm /mL but higher order oligomeric states of
52 CD4 and co-receptors were not detected as opposed to HXB2 Env. Recent studies have

53 stressed the importance of the role played by the host during HIV-1 entry and fusion¹²⁻¹⁵; the
54 molecular insights presented here into the precise Env-induced time-resolved stoichiometry
55 of CD4 and CCR5 or CXCR4 may contribute to the development of future therapeutic
56 interventions directed to disrupt the earliest stages of the virus life cycle.

57

58 **RESULTS**

59 **HIV-1 X4 tropic virus interactions with CD4 and CXCR4: Fluorescence Fluctuation** 60 **Spectroscopy**

61

62 X4 tropic HIV-1 viruses carrying HXB2 Env and Gag-iCherry (HIV_{HXB2/GagiCherry})¹⁶ were
63 exposed to COS7 cells co-transfected with CD4-mOrange and CXCR4-mTFP1 at 37 °C (Fig.
64 1). COS7 cells expressing CD4-mOrange and CXCR4-mTFP1 were imaged together with
65 HIV_{HXB2/GagiCherry} virions at a time resolution of one frame per second. Inspired by alternative
66 laser excitation (ALEX)¹⁷, we implemented line interleaved excitation confocal microscopy;
67 which also prevented bleed-through avoiding potential false positives in our cross-variance
68 analysis. Time-resolved brightness and cross-variance brightness (Bcc)⁵ images were
69 calculated from CXCR4-mTFP1 and CD4-mOrange intensity images, as described in (Fig. 1
70 and Supplementary Fig. 1).

71 Single particle tracking of HIV_{HXB2/GagiCherry} performed in parallel with Bcc analysis using the
72 red channel revealed that 12% virions induced positive Bcc regions of interest (i.e. CD4–
73 CXCR4 interactions) when landing on the surface of COS7 cells (Fig. 1-2; Supplementary
74 Fig. 1-4). By using the time-resolved coordinates of HIV-1 x-y virions that colocalized with
75 positive cross-variance (Bcc) regions, we could recover the CD4–CXCR4 time-resolved
76 stoichiometry for individual virions (Fig. 3). The average time-course for the pre-fusion

77 reaction for HXB2 Env ($n = 10$) shows that CXCR4 dimerization occurs at $t = 1.7$ mins,
 78 followed by CD4 hexamer formation at 3.4 min. This 6 ± 1 CD4 – 2 ± 0.3 CXCR4
 79 configuration is transiently stable (3.4 – 5.1 mins) until an average 4.9 ± 0.8 CD4 – 1.5 ± 0.3
 80 stoichiometry is adopted at $t = 6.8$ mins (Fig. 1d and Fig. 2). Only 12% of HIV_{HXB2/GagiCherry}
 81 virions induced positive Bcc (i.e. CD4 – CXCR4 interaction); similar percentages were found
 82 in other reports^{16,18}. Time-resolved HIV-1 x-y virion coordinates that did not colocalize with
 83 positive cross-variance (Bcc) regions presented a reaction profile distinct from the ones that
 84 did (Supplementary Fig 5). Virions unable to induce positive Bcc regions were incapable of
 85 initiating the formation of a pre-fusion complex with CD4 and co-receptor and therefore
 86 unable to fuse with the host cell. We also produced HIV_{HXB2/GagiCherry} virions in the presence
 87 of 320 nM of saquinavir¹⁹ (SQV), a protease inhibitor that binds to the active site of the viral
 88 protease, leading to immature viruses with uncleaved Gag-iCherry¹⁶. We reasoned that Env
 89 would be restricted and unable to cluster in these immature virions²⁰, so the second step of
 90 the HIV_{HXB2} pre-fusion reaction (i.e. CD4 hexamer formation) would not occur. None of the
 91 tracked HIV_{HXB2/GagiCherry} immature virions (Supplementary Fig. 6) induced positive Bcc (i.e.
 92 CD4–CXCR4 interaction) or a significant increase in CD4 and CXCR4 oligomeric states
 93 (Supplementary Figure 6). This implies that HIV_{HXB2/GagiCherry} virions that did induce positive
 94 Bcc (i.e. CD4 - CXCR4 interactions) were mature and potentially productive (Supplementary
 95 Figure 7). More negative controls using agents known to inhibit the HIV-1 fusion reaction
 96 were employed to further validate our approach. We employed b12^{21,22} (Fig. 3a), CD4
 97 antibodies like OKT4²³ or co-receptor ligands (CXCL12²⁴) (Supplementary Fig.5-9) for HIV-
 98 1 virions and no positive Bcc was ever observed in all cases. The reaction profile for
 99 HIV_{HXB2/GagiCherry} virions in the presence of 100 μ l/mL of b12 is depicted in Fig.3b. In this
 100 case, the presence of b12 blocked the CD4 – CXCR4 interaction as no Bcc positive was
 101 observed in regions where HIV_{HXB2/GagiCherry} virions were detected. Even if the absence of

Bcc is indicative that the neutralizing antibody was functional, we did recover the oligomeric states of CD4 and co-receptors in these locations (Fig. 3b). Strikingly, CD4 was able to oligomerize up to a tetramer, while CXCR4 formed a (the expected) dimeric state.

HIV-1 X4 tropic virus interactions with CD4 and CXCR4: Super-resolution localization microscopy

Multicolor TIRF-dSTORM²⁵ microscopy was also performed on COS7 cells co-expressing CD4-mRFP and CXCR4-eYFP exposed to HIV_{HXB2} during 10 min (Fig. 4). After this time, cells and viruses were fixed and treated with nanoboosters (anti-RFP and anti-eYFP nanobodies labelled with Atto dyes) and Env antibodies for HIV specifically designed for super-resolution localization microscopy (see Material and Methods). The pre-fusion reaction of individual HIV-1 virions was assessed by the interaction factor (IF) method²⁶. As described in material and methods, both the average normalized sum of photons per interaction event (Supplementary Fig.9) and the real stoichiometry (normalized sum of photons per interaction event, Fig. 4c) were obtained. In the case of HIV_{HXB2}, we found that the multi-colour dSTORM approach supported the three-step mechanism observed with Bcc (Fig. 3a). For HXB2 Env (n = 103), the first step is also an asymmetric pre-hairpin intermediate² consisting on 1-2 CD4 and 1 CXCR4 molecules; we found 69% of the events to correspond to this step with 1.6+/-0.03 CD4 : 0.7+/-0.02 CXCR4. Similar to the data acquired via N and B, step two followed right after step one and implies the formation of a CD4 hexamer and CXCR4 dimerization. Only 17% of the quantified events were found to belong to this step with 6.5+/-0.2 CD4 : 2.1 +/-0.42 CXCR4 stoichiometry. Finally, according to the time-resolved data, the disassembly of the CD4 hexamer into a CD4 tetramer initiates the

fusion reaction; where 14% of the events with stoichiometry 3.3 ± 0.17 CD4: 1.2 ± 0.13 CXCR4 were found to belong to this third step. We also calculated the number of HXB2 Envs engaged with CD4-CXCR4 interacting complexes belonging to the previously defined three steps of the pre-fusion mechanism. Only one or two HXB2 Env were found to be engaged with CD4-CXCR4 complexes (Supplementary Fig. 10). The presence of b12 also blocked the CD4 – CXCR4 interactions as measured with dSTORM (Supplementary Fig. 11) as no IF positive was observed in these cells.

HIV-1 R5 tropic virus interactions with CD4 and CCR5: Fluorescence Fluctuation Spectroscopy

R5 tropic HIV-1 viruses carrying JR-FL Env and Gag-iCherry (HIV_{JR-FL/GagiCherry})¹⁶ were exposed to COS7 cells co-transfected with CD4-mOrange and CCR5-mTFP1 at 37 °C (Fig. 5). COS7 cells expressing CD4-mOrange and CCR5-mTFP1 were imaged together with HIV_{JR-FL/GagiCherry} virions, respectively, at the same time resolution as before (one frame per second). Time-resolved brightness and cross-variance brightness (Bcc)⁵ images were also calculated for CCR5-mTFP1 and CD4-mOrange intensity images (Fig. 5b, left panel). For JR-FL Env (n = 12), during the initial attachment to the cognate host receptor, CD4-Env forms an asymmetric pre-hairpin intermediate (1.7 min) following oligomerisation of additional CD4 molecules on the trimer leading to the secondary intermediate (3.4 – 7 min). Concomitantly, dimerization of CCR5 co-receptors (3.7 min) results in the final fusion competent complex, with a total of 4 ± 0.3 and 2 ± 0.3 CCR5 molecules bound to a single HIV-1 Env trimer (Fig. 5b, left panel).

The addition of inhibitory concentrations of b12 (100 μ g/mL) impeded both CD4 – CCR5 interactions and posterior CD4 trimer formation (Fig. 5b, right panel). Moreover, CCR5 dimerization was also not observed, indicating that homotypic interactions between receptors and co-receptors were also inhibited for JRFL Env decorated HIV-1 virions. This contrasts HXB2 Env, where the interaction between CD4 and CXCR4 was disrupted but not further homodimerization of CXCR4 or CD4 tetramerization (Fig. 3b).

HIV-1 R5 tropic virus interactions with CD4 and CCR5: Super-resolution localization microscopy

Multi-colour TIRF - dSTORM corroborated the stoichiometry found using time-resolved Bcc (Fig. 6a) for HIV-1 R5 tropic virions. Indeed, for JR-FL Env ($n = 23$), the first asymmetric pre-hairpin intermediate (Step 1, 1 min) was identified for 69% of the quantified interacting events (with an average stoichiometry of 1.3 ± 0.1 CD4 : 1.6 ± 0.1 CCR5). Events for the secondary intermediate (1-2 min) accounted for 17% of the total (2.9 ± 0.1 CD4 : 1.9 ± 0.3 CCR5). The final fusion competent complex, (2 – 7 min) accounted for 14 % of the events with an average stoichiometry of (3.4 ± 0.1 CD4 : 1.5 ± 0.2 CCR5). We also evaluated the number of JR-FL Env engaged with the different CD4 –CCR5 complexes (Fig. 6b). An average of 1.1 ± 0.9 Env ($n = 12$) were found to be engaged with CD4-CCR5 interacting complexes, indicating that for R5 HIV-1 virus only one Env might be sufficient to trigger fusion (Supplementary Fig. 11). Overall, the combination of single molecule time-resolved Bcc with dSTORM shows a very good correlation with Bcc for the HIV-1 prefusion reaction in both Envs, JR-FL and HXB2. Low interaction factors (IF) from TIRF-dSTORM images

were also recovered for these JR-FL virions (Supplementary Fig. 11) in the presence of inhibitory concentrations of b12, further corroborating our results with Bcc.

DISCUSSION

Altogether, our studies support a dynamic three step model for both HIV_{HXB2} (Fig. 6a) and HIV_{JR-FL} (Fig. 6b). For X4 tropic virions, Env – CD4 interactions induce CXCR4 dimerization, CD4 then engages with two Env, - as shown by 3 color TIRF-dSTORM microscopy - to generate a hexamer that might serve as a scaffold to stabilise a final 4CD4 – 1/2CXCR4 conformation, with a single Env (Fig. 6a). We speculate that for HIV_{HXB2}, step 2 is crucial to culminate the fusion reaction and there could be an anchoring domain and a fusion domain that undergoes gp120 disassembly leading to 6 helix bundle formation. For R5 tropic virions, Env – CD4 interactions form a the previously described asymmetric pre-hairpin intermediate²⁷⁻²⁹; following binding and oligomerisation of 2 additional CD4 molecules with concomitant CCR5 dimerization. After this, the secondary intermediate leads to the final fusion competent complex with a total of 4 ± 0.3 CD4, 2 ± 0.3 CCR5 and 1 JR-FL Env, as seen with 3 colour TIRF-dSTORM microscopy.

Our data indicate that both HXB2 Env and JR-FL Env start with an asymmetric intermediate bound to a single CD4, as previously suggested²⁷. Our models also support the existence of important differences in the entry mechanisms of X4 and R5 strains. In the X4 strains, CXCR4 dimerization^{30,31} occurs prior to CD4 hexamer formation and following initial Env – CD4 recognition³² (as characterized by TIRF – dSTORM, Fig.3 and Fig.4). For R5 tropic JR-FL, CCR5 dimerization³¹ occurs after Env-CD4 complexation and recruitment of 2 additional

196 CD4 molecules³³ around the complex (Fig. 6b). These data also suggests that the V3 loop of
197 Env could be fully accessible with the formation of bridging sheet^{27,28} with 2 – 3 CD4
198 molecules²⁹. Interestingly, we demonstrate (Fig. 4 and 6) that the transition towards
199 formation of a JR-FL Env-3CD4 complex occurs relatively rapidly (1.7 min, Fig. 6a) and
200 prior to CCR5 dimerization, which contrasts the relatively slow transition suggested by Kwon
201 and co-workers (2015). Recent *in-vitro* analyses by Ma and co-workers (2018), have further
202 refined the initial conformations sampled by Env prior to fusion²⁹. Combined with our
203 model of the higher order assembly of Env-receptor complexes, these data provide a holistic
204 understanding for the Env dynamics on the virion surface. This, has potential implications
205 about Env open configurations and how CD4 and co-receptors could be recruited around Env
206 (either primary isolates or lab strains which clearly differ in their studies and ours). Here, we
207 show in live cells, from the perspective of the host, the time-resolved stoichiometry for CD4
208 and co-receptors corroborating the model proposed in²⁹ for both strains tested.

209 Ultimately, this study opens up novel avenues for testing putative therapeutic approaches for
210 the treatment of HIV-1 infection, which prevent the formation of the required receptor and
211 co-receptor oligomerization state necessary for viral entry. CD4-binding-neutralizing
212 antibodies, like b12, that prevent exposure of the co-receptor binding by restricting V1/V2
213 loops², blocked CD4 – CXCR4 interactions for HXB2 Env and CD4 – CCR5 interactions for
214 JR-FL Env. It is possible that not all Env were engaged with b12 and therefore a small
215 subpopulation would be able to interact with CD4, inducing CXCR4 oligomerization in the
216 case of HXB2 Env. The b12, however, would hinder CD4 hexamer formation, impeding the
217 formation of the prebundle structure. In the case of JR-FL, this behaviour was not observed
218 and disruption of CD4 – CCR5 interactions also prevented the formation of independent
219 higher oligomeric states for both CD4 and co-receptor. These data also could indicate a
220 higher affinity of b12 for JR-FL Env as compared to HXB2, as we found no JR-FL Env

available able to interact with CD4 using the same b12 concentration (100 $\mu\text{g/mL}$); another explanation might be the differential number of spikes presented in both strains. The novel insights on the precise mechanism of broadly neutralizing antibodies (Fig. 8) presented in this study and most importantly, the molecular mechanisms of the HIV-1 pre-fusion reactions, may help to improve host-directed therapeutic interventions crucial to preclude the emergence of resistant viral variants. Recently, we have discussed on the importance of design alternative a novel therapeutic interventions for HIV-1 that focus on the host rather than the virus itself¹. This study uses an integrative approach exploiting the combination of advanced imaging technologies to better understand the problem of heterogeneity in HIV-1 entry. Further inter-disciplinary studies focussing on primary cells and tissue are still needed to deepen our understanding of HIV-1 stoichiometry during fusion. This report, however, pioneers the use of single molecule approaches in live cells upon HIV-1 entry and paves the way for future studies aiming to quantify the response of host factors to HIV-1 infection with high spatial and temporal molecular resoluitions.

METHODS

Cloning and expression of CD4 and CXCR4.

hCD4-YFP and hCXCR4-YFP constructs in pRluc-N1(Perkin-Elmer) and pEYFP-N1 (Clontech) were kindly provided by Mario Mellado (CNB-CSIC, Madrid, Spain) and Thomas Hope (Northwestern University, U.S.A) and were transformed into E.coli DH5a. The plasmids were subsequently isolated and the cDNA of hCD4 and hCXCR4 were cloned into mOrange, mRFP and mTFP1 vectors by transferring the HindIII/BamHI and NheI/AgeI fragments into the corresponding sites of the vectors, to make CD4-mOrange, CD4-mRFP and CXCR4-mTFP1. COS-7 cells were transiently transfected 24 hours before the experiment using GeneJuice (Novagen) according to the recommendations from the manufacturer. COS-7 cells were imaged in DMEMcomp Fluobrite (Life Technologies).

Cell Culture.

HEK293T cells and COS-7 cells were grown using DMEM (Life Technologies) supplemented with 10% fetal bovine serum, 1% penicillin-streptomycin, and 1% L-Glutamine to give DMEM complete (DMEMcomp). All cells were maintained in a 37°C incubator supplied with 5% CO₂.

Virus production and expression of virus constructs.

pR8DEnv (encoding the HIV-1 genome harbouring a deletion within Env), pcRev, Gag-iCherry, HXB2, JRFL, and VSV-G were kindly provided by James Binley (Torrey Pines Institute for Molecular Studies, San Diego, U.S.A) and Greg Melikyan (Emory University, Atlanta, U.S.A). Pseudotyped viral particles were produced by transfecting HEK293T cells plated at 60%– 70% confluence in T175 flasks. DNA components were transfected using GeneJuice (Novagen) in accordance with the manufacturer's instructions. To produce particles harbouring the Gag-iCherry, cells were transfected with 2 µg pR8DEnv, 2 µg Gag-

iCherry, 1 µg pcREV, and 3 µg of the appropriate viral envelope (either VSV-G or the CCR5-tropic HIV-1 strain JR-FL or the CXCR4- tropic HXB2). Transfection mixtures were then added to cells in DMEMcomp before returning flasks to the 37°C CO2 incubator. After 12 hours, the medium containing the transfection mixture was removed and cells were washed with 1 x PBS. Fresh DMEMcomp (lacking phenol red) was then added. Cells were subsequently incubated for a further 24 hours. At 48 hours post-transfection, viral supernatants were removed from cells and pushed through a 0.45 µm non-pyrogenic syringe filter (SARSTEDT). The virus was concentrated by incubation with the LENTI-X concentrator (Clonetch Laboratories) in accordance with the manufacturer's instructions, aliquoted, and stored at -80°C. Pseudotyped immature viral particles were produced as described above, with the addition of 320nM of HIV protease inhibitor Saquinavir (European Pharmacopoeia, Sigma-Aldrich) one hour after transfection and re-added after 12 hours that the mixture (transfection) was removed and new medium was added onto the cells.

Viral infections.

HIV-1 produced virions were tested for productive infectivity in the COS-7 reporter cell line by Gag-iCherry production. COS-7 cells were transiently transfected, as mentioned above, for CD4-mOrange and CXCR4-mTFP1 expression. 24 hours post transfection they were infected with either HXB2-, VSVG-, JRFL-, or Saquinavir treated HXB2-Gag-iCherry viruses. Cells and viruses were incubated for 4 hours at 37°C. Accutase solution (Sigma-Aldrich) was then used to remove viruses from the cell environment, cells were washed with 1x PBS, new DMEM^{comp} was added and cells were incubated at 37°C. Cells were imaged 24 and 48 hours post infection.

Antibody Neutralisation Assay.

284 Gag-iCherry HXB2 virions were mixed with different amounts of neutralising antibody
285 (nAb) b12 (POLYMUN) and incubated at 37°C for one hour. Cells expressing CD4-mOrange
286 and CXCR4-mTFP1 were imaged before and after addition of the virus-nab mixture as
287 described below. Cells and virion-nab were incubated at 37°C and imaged 24 and 48 hours
288 post infection for Gag-iCherry production.

289 **Light Microscopy.**

290 COS-7 cells co-expressing CD4-mOrange and CXCR4-mTFP1 were imaged before and after
291 virion addition at 37°C using an SP8-X-SMD Leica microscope from Leica Microsystems
292 (Mannheim, Germany). To rule out artefacts due to overexpression, only cells expressing low
293 amounts of mTFP1 and mOrange were selected (1-10 photocounts per pixel). The selected
294 cells mostly expressed CD4-mOrange as a monomer and CXCR4-mTFP1 as a mixture
295 between monomers and dimers, indicating that these expression conditions did not cause
296 over-expression of the proteins. Gag-iCherry virus aliquots were left on ice to thaw and were
297 gently shaken before addition to the cells. During the experimental procedure a 63X/1.4 NA
298 oil immersion objective was used. Fluorescent proteins were excited using a White light laser
299 (WLL) tuned at 80 MHz. The WLL was set for two or three different pathways to avoid
300 bleed-through between CXCR4-mTFP1, CD4-mOrange and Gag-iCherry: WLL tuned at 470,
301 514 and 594 nm to sequentially excite the fluorescent proteins by line scanning. The photon
302 detection was performed by two gated hybrid internal detectors (HyD) on single photon
303 counting mode tuned at 478-507 nm (mTFP1) and 520-586 nm (mOrange) and gated between
304 2.5-12.5 ns to avoid auto-fluorescence and refraction light from the coverslip, while the Gag-
305 iCherry emission was detected by a Photomultiplier tube (PMT) tuned at 619-753 nm. The
306 acquisition was continuous for 500 to 700 frames at 256x256 pixels, while the dwell time was
307 2.43µs and the frame rate was 1.02/s.

308

309

310 **Number and Brightness Analysis.**

311 We used the established number and brightness method⁴⁻⁶. Briefly, first and second moment
312 analysis based on fluorescence fluctuations of one channel (CXCR4-mTFP1 or CD4-
313 mOrange) in a pixel over time provides a quantitative result of the average number of
314 particles in one channel, based on their brightness in that given pixel over time (frames).
315 Cross number and brightness analysis extends fluorescence cross correlation spectroscopy to
316 two-dimensional cellular images by the co-variance analysis of fluorescence fluctuations in
317 two channels⁵. The two-colour mode (from CXCR4-mTFP1 and CD4-mOrange) calculation
318 of the co-variance between intensity fluctuations in ch1 and ch2 allows evaluation of the
319 cross-Brightness or the co-variance of the intensities. Bcc is a quantitative measure of the
320 overall number of molecules forming the stoichiometric ratio of the complex. The absence of
321 FRET between the fluorescence proteins (mTFP1, mOrange, and iCherry) was checked prior
322 to the accumulation of data. Fluorescence bleed-through in detection channels was prevented
323 by line interleaved excitation. Photobleaching of fluorescent proteins during the acquisition
324 was corrected using the detrending algorithm^{6,34}. The data were analysed using an R package
325 in R studio <https://github.com/rorynolan/nandb>).

326 **Fluorescence Resonance Energy Transfer – Fluorescence Lifetime Imaging microscopy**
327 **(FRET-FLIM) in live cells.**

328 COS-7 cells grown on glass-bottom 35 mm Petri dishes (Mattek) were transiently transfected
329 with CD4-mOrange and CXCR4-mTFP1. Before imaging, Dulbecco's modified eagle
330 medium was replaced with PBS equilibrated at 37°C. Multicolour images were acquired two
331 days post-transfection using a Leica SP8-X-SMD confocal microscope (Leica Microsystems)

with a 63×/1.40 numerical aperture oil immersion objective. mTFP1 and mOrange were excited at 440 and 514 nm, respectively, and the fluorescence emission was detected using two hybrid detectors in photon counting mode at 470-520 and 560-595 nm, respectively. FRET detection was based on the time domain FLIM experiments which were performed using a Time-Related Single Photon Counting (TRSPC) system operated by a PicoHarp 300 module (PicoQuant) attached to the Leica SP8-X-SMD confocal microscope (Leica Microsystems) with a 63×/1.40 numerical aperture oil immersion objective at 37°C. A 440 nm picosecond pulsed diode laser PDL 800-B (PicoQuant) tuned at 40 MHz was used to excite the donor (CXCR4-mTFP1) and the emitted photons passing through the 460-500nm emission filter and were detected using an external hybrid detector in photon counting mode. At least 1000 photon events per pixel were collected in all cases and the lifetime analysis was carried out using a Symphotime (PicoQuant). The acquired fluorescent decays were fitted by mono- or bi-exponential model³⁵.

Single Virus Tracking.

The spot-enhancing filter 2D plugin from ImageJ³⁶ was applied to background-subtracted images to improve the signal to noise ratio. Virus tracking was performed with the 64-bit software module from Imaris (BitPlane, Zurich, Switzerland), using an auto-regressive algorithm. Tracking provided quantitative information regarding the mean fluorescence intensities of the viral content and membrane markers, particle's instantaneous velocity, trajectory and the mean square displacements (MSD). Since N&B was computed every 100 frames, the x-y coordinates defined within these frames were taken as a reference when carrying out the colocalisation analysis between positive Bcc and HIV-1 virions.

dSTORM Immunostaining.

COS-7 cells expressing CCR5-YFP or CXCR4-YFP and CD4-mRFP were incubated with non-labelled JRFL or HXB2 virions respectively for 10 minutes at 37°C. After a single PBS wash to remove non-primed virions, cells were fixed with 3.7% PFA (Sigma) for 20 minutes at room temperature followed by 5 min permeabilisation with a 2% Saponin solution (Sigma). After 1h blocking with 4% BSA (Sigma), Nanoboosters (Chromotek, Germany) targeting the YFP (GFP_Booster_Atto488) or the RFP (RFP_Booster_Atto594) were added in 1:100 final concentration in blocking buffer and incubated for one additional hour. S Flag signal was introduced in HXB2, thus these virions were labelled with an mouse-anti-FLAG antibody and an anti-mouse Alexa 405 secondary. JRFL was detected with a 2G12 primary antibody and an anti-human- Alexa 633 secondary. This combination of colours was selected to avoid spectral overlap between the three channels (CD4, Co-Receptors and Env) and sequential acquisition was performed from the longest wavelength until the shortest to avoid collateral photobleaching during each dSTORM acquisition (see below).

Super Resolution Image Acquisition

dSTORM acquisitions were performed on a Zeiss Elyra TIRF system (Carl Zeiss Ltd, Jena) with a dual camera attachment and two Andor iXon Ultra 897 EMCCDs. For raw dSTORM data, 20000 images were acquired for each channel sequentially with an exposure time of 20 ms and a gain of 50 and using a 256 x256 region on the camera using a Plan-Apochromat 100x/1.46 Oil DIC Elyra objective with an additional 1.6x lens in front of the camera. The use of nanoboosters (see immunostaining details above; ChromoTek, Munich) allowed us to avoid significant photobleaching while still having proper fluorophore blinking for superresolution. The excitations were cleaned with either laser blocking filters (488/561; 561/642) for the Atto 488; 561 and 642 signals or a band pass filter (420-480) for the Alexa 405 signal.

dSTORM Image Analysis

The raw TIRF images were processed in Fiji/Imagej 1.51u with the QuickPALM v1.1 plugin to provide dSTORM images with 30 nm average axial resolution. The dSTORM multichannel images were further analysed for molecular interactions between CD4 and CXCR4; CD4 and Hxb2 and CXCR4 and Hxb2 using the Interaction Factor V1.1.0 plugin²⁶. The interaction factor localisation maps were then used to create localisation masks to filter the localisation of positive molecular interactions in the dSTORM images. The dSTORM images were then normalised to the minimum number of photons per interaction detected. As the fluorescence value in the dSTORM images come from single molecular events, the lowest average integrated intensity measured corresponds to the monomer value. After this normalization, the Interaction factor filtered dSTORM images represent n-fold increase over monomers in the interacting regions. The interaction factor images coming from V1.1.0 plugin were employed to generate masks that were then applied to the different super-resolution images coming from the right channels (CD4-mOrange and CCR5-mTFP1 or CXCR4-mTFP1). Also, as depicted in New Figure 3 the Interaction Factor mask was also applied to the HIV-1 channel (for HXB2 Alexa 405 and for JRFL Alexa 633). The resulting images were integrated utilizing automatic particle recognition (ICY (<http://www.bioimageanalysis.org/>, institute Pasteur, Paris) and computed to recover the normalized number of events per pixel that gave how many HIV-1 Envs were engaged with CD4 (1-2 for HXB2 and 1 for JR-FL). Repetitive events coming from the multiple blinking in the same spot were discarded by applying a filter (Thunderstorm, ImageJ plugin and Zen software Elyra, Jena).

Structural Analysis and Model Building.

A model for binding of the HXB2 and JR-FL HIV-1 glycoprotein spike to human CD4 and CXCR4/CCR5 was built using the following structures: ligand-free HIV-1 Env mimic

404 (BG505 SOSIP.664) (PDB: 4ZMJ), human CD4 ectodomain (PDB: 1WIP), human CD4
405 transmembrane and cytoplasmic domains (PDB:2KLU), human CXCR4 chemokine receptor
406 (PDB:3OE0), human CCR5 chemokine receptor (PDB: 4MBS), HIV-1 Env mimic (B41
407 SOSIP.664) in complex with the ectodomain of CD4 (PDB: 5VN3), HIV-1 Env mimic (B41
408 SOSIP.664) in complex with Fab variable domains of mAb b12 (PDB: 5VN8), and HIV-1
409 gp120 in complex with b12 (PDB: 2NY7).

410 Models were generated in Chimera³⁷, Coot³⁸, and Pymol (<https://pymol.org>). The HIV-host
411 cell attachment model showing likely stoichiometries was generated by aligning the
412 dimerised CD4 structure with the sCD4 chains of 5VN3. The transmembrane and
413 cytoplasmic domains of CD4 were modelled onto the CD4 structure in Coot.

414

415 **Methods Summary** Details of molecular cloning, light microscopy, real-time single virus
416 tracking, two color Number and Brightness analysis are given in Methods.

417

418 **Online Content** Methods, along with any additional Extended Data display items and Source
419 Data, are available in the online version of the paper; references unique to these sections
420 appear only in the online paper.

421

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427

428 **Author Contributions** S.P-P conceived the study. M.I., R.N., L.A., C.A.C., G.M.J. and S. P-
429 P designed experiments and analyzed the data. M.I. and L.A performed experiments. R.N.
430 produced computational algorithms for image processing. Y.W. and T.A.B. built atomic
431 models. S.P-P wrote the manuscript with comments from all authors.

432

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434 www.nature.com/reprints. The authors declare no competing financial interests. Readers are
435 welcome to comment on the online version of the paper. Correspondence and requests for
436 materials should be addressed to S. P-P (spadilla@well.ox.ac.uk)

437

438 **Potential Conflict of Interests** None declared

439

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FIGURE LEGENDS

Figure 1 | HIV-1 Env – CD4 and CCR5 or CXCR4 stoichiometry super-resolution

imaging and fluorescence fluctuation spectroscopy in live cells. a, The CD4 and CCR5 or CXCR4 receptors were labelled with mOrange and mTFP1. R5 and X4 tropic labelled HIV virions were produced using JR-FL and HXB2 Env and Gag-iCherry. The pre-fusion reaction of individual HIV-1 virions was assessed multiplexing real-time single virus tracking with two color number and brightness. **b,** Labelled CD4 receptors and co-receptors diffuse through a confocal volume generating fluorescence fluctuation traces that are informative of the oligomeric state of the labelled receptors as described in the NandB method. When cross-correlating both traces, the one coming from CD4-mOrange and the one coming from the co-receptor (e.g. CCR5-mTFP1) one can detect protein-protein interactions, as described in ccNandB theory. **c,** Flow diagram depicting the overall strategy from image acquisition until analysis. COS7 cells co-expressing CD4-mOrange and CCR5 or CXCR4-mTFP1 were exposed to HIV_{JR-FL/GagiCherry} or HIV_{HXB2/GagiCherry} virions and imaged using a confocal system equipped with two HyD detectors and one PMT (1, first column from the left). Number and Brightness analysis was performed on time-stacks of images for both CD4-mOrange Channel and CCR5-mTFP1 or CXCR4-mTFP1; in parallel single virus tracking (SVT) was performed on the third Gag-iCherry channel. Pixel-by-Pixel Brightness images containing information on the oligomeric state for CD4 and CXCR4 were produced using a software developed in house in R (2, second column from the left)). Finally, co-localization analysis was carried out between the x-y coordinates for the labelled virions (in this example HIV_{HXB2/GagiCherry}) and the cross-variance (Bcc) (3, third column from the left) coming from CD4 and CXCR4 channels (4, fourth column from the left). The computation of Bcc was also carried out with a second R package developed in house.

582

583 **Figure 2 | Visualization of HXB2 based HIV-1 virion receptor stoichiometry in live cells.**

584 **a**, Fast time-resolved, three-color imaging was performed on a COS7 cell co-expressing
585 CXCR4-mTFP1 (green micrographs) and CD4-mOrange (red micrographs) exposed to
586 HIV_{HXB2} -Gag-iCherry virions (cyan micrographs), scale bar 1 μ m. **b**, Time-resolved brightness
587 analysis was performed for both CXCR4-mTFP1 (first column from the left) and CD4-
588 mOrange (second column from the left). Time-resolved cross-variance (Bcc) analysis was
589 also performed (third column from the left) rendering a small region of interest in which
590 CD4-CXCR4 interactions occurred (green). Real-time single virus tracking was performed in
591 parallel and the micrographs show co-localization of HIV_{HXB2} -Gag-iCherry (cyan pixels) and
592 positive Bcc (green pixels). **c**, A second region of interest (dashed square) shows a HIV_{HXB2}-
593 GagiCherry virus that did not induce positive Bcc (**d**). These viruses most likely were immature
594 and constitute robust built-in controls for our N&B and Bcc analysis.

595

596 **Figure 3 | Time-resolved stoichiometry for HXB2 Env decorated virions engaged with**

597 **CD4 and CXCR4 recovered with Fluorescence Fluctuation Spectroscopy. a**, Time-
598 resolved stoichiometry for CD4 (red dots) and CXCR4 (green dots) upon addition of
599 HIV_{HXB2}/GagiCherry (right panel). The error bars indicate the standard error for each time point
600 **b**, Time-resolved homotypic interactions for CD4 (red dots) and CXCR4 (green dots) upon
601 addition of HIV_{HXB2}/GagiCherry in the presence of inhibitory concentrations of b12. CD4 and
602 CXCR4 did not interact in this case. Error bars indicate standard error for each time point.

603

604

605

Figure 4 | Visualization of HIV-1 virion receptor stoichiometry in cells with multi-colour

dSTORM. a, The labelled CD4 and CCR5 or CXCR4 receptors were labelled again with nanoboosters (Chromotek) specifically engineered for dSTORM imaging. **b,** HIV virions were exposed to COS7 cells and 10 minutes after fixed and imaged in a TIRF-dSTORM set up equipped with two EM-CCD cameras (Zeiss Elyra dual-cam, see Material and Methods section). The pre-fusion reaction of individual HIV-1 virions was assessed by co-localization analysis of single molecules with an average axial resolution of 30 nm per event. Co-localization positive images were produced to generate masks to recover their stoichiometry, defined as the normalized sum of photons per interaction event. CD4 and CCR5 or CXCR4 co-localization masks were used against the JR-FL or HXB2 labeled Env super-resolution image to recover the number of HIV-1 Env engaged with CD4 –CCR5 or CD4 –CXCR4 complexes. **c,** The total number of normalized events per interaction area of CXCR4 labeled with Atto 488 was plotted against the total number of events per interaction area of CD4 labeled with Atto 642 for COS7 cells exposed to HIV_{HSB2} also labelled with Alexa 405 against the Env (as described in material and methods) (top chart). Three different regions corresponding to the three steps of the pre-fusion reaction are populated only for cells exposed to HIV_{HXB2}. In the bottom chart, the total number of HXB2 Env interacting with CD4 and CXCR4 labelled with Alexa 405 are plotted against the total number of CD4 – CXCR4 interacting complexes.

Figure 5 | Visualization of JR-FL based HIV-1 virion receptor stoichiometry in live

cells. a, Fast time-resolved, three-color imaging was performed on a COS7 cell co-expressing CCR5-mTFP1 (green micrographs) and CD4-mOrange (red micrographs) exposed to HIV_{JR-FL} –Gag-iCherry virions, scale bar 1 μ m. The brightness histogram for each channel together with the pixel by pixel Brightness maps are also presented (second row). In the last row,

micrographs corresponding to the HIV_{HXB2-iCherry} (in red) together with the Bcc map is shown. Green regions represent positive Bcc. The time-resolved co-localization map coming from the white squares is also presented (third row, right panels) and correspond to regions of 0.5 X 0.5 μ m. **b**, Time-resolved stoichiometry for CD4 (red dots) and CXCR4 (green dots) upon addition of HIV_{JR-FL/GagiCherry} (right panel). The error bars indicate the standard error for each time point **c**, Time-resolved homotypic interactions for CD4 (red dots) and CCR5 (green dots) upon addition of HIV_{JR-FL/GagiCherry} in the presence of inhibitory concentrations of b12. CD4 and CCR5 did not interact in this case. Error bars indicate standard error for each time point.

Figure 6 | Visualization of JR-FL based HIV-1 virion receptor stoichiometry in live cells using multicolour TIRF – dSTORM. **c**, The total number of normalized events per interaction area of CCR5 labeled with Atto 561 was plotted against the total number of events per interaction area of CD4 labeled with Atto 488 for COS7 cells exposed to HIV_{JR-FL} also labelled with Alexa 633 against the Env (as described in material and methods). **b**, The total number of HXB2 Env interacting with CD4 and CXCR4 labelled with Alexa 405 are plotted against the total number of CD4 – CXCR4 interacting complexes.

Figure 7 | A three-step stoichiometric model for HIV-1 Env-host receptor interactions. **a**, The HIV-1 JR-FL Env glycoprotein (Blue) (PDB ID: 4ZMJ) is present as a trimer on the mature virion. STEP 1: The initial attachment of the cognate host receptor, CD4 (Orange) (PDB ID: 1WIP&2KLU) to HIV-1 Env (PDB: 5VN3) forms an asymmetric pre-hairpin intermediate STEP 2: Subsequent binding and oligomerisation of additional CD4 molecules on the trimer forms the secondary intermediate. STEP 3: The formation of this pen-ultimate intermediate allows binding and dimerization of CCR5 co-receptors (green) (PDB ID:

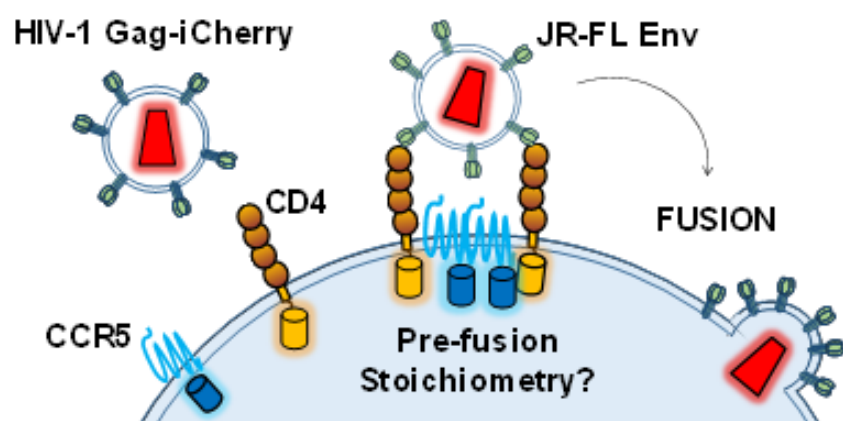
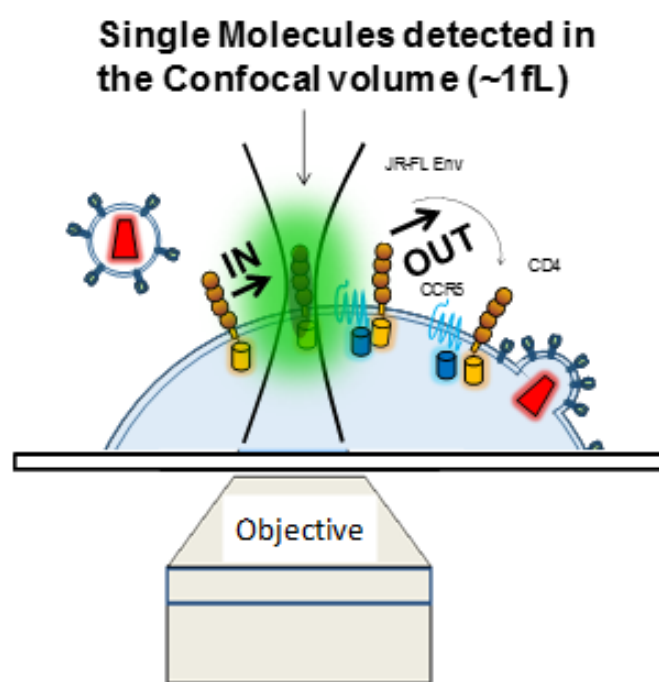
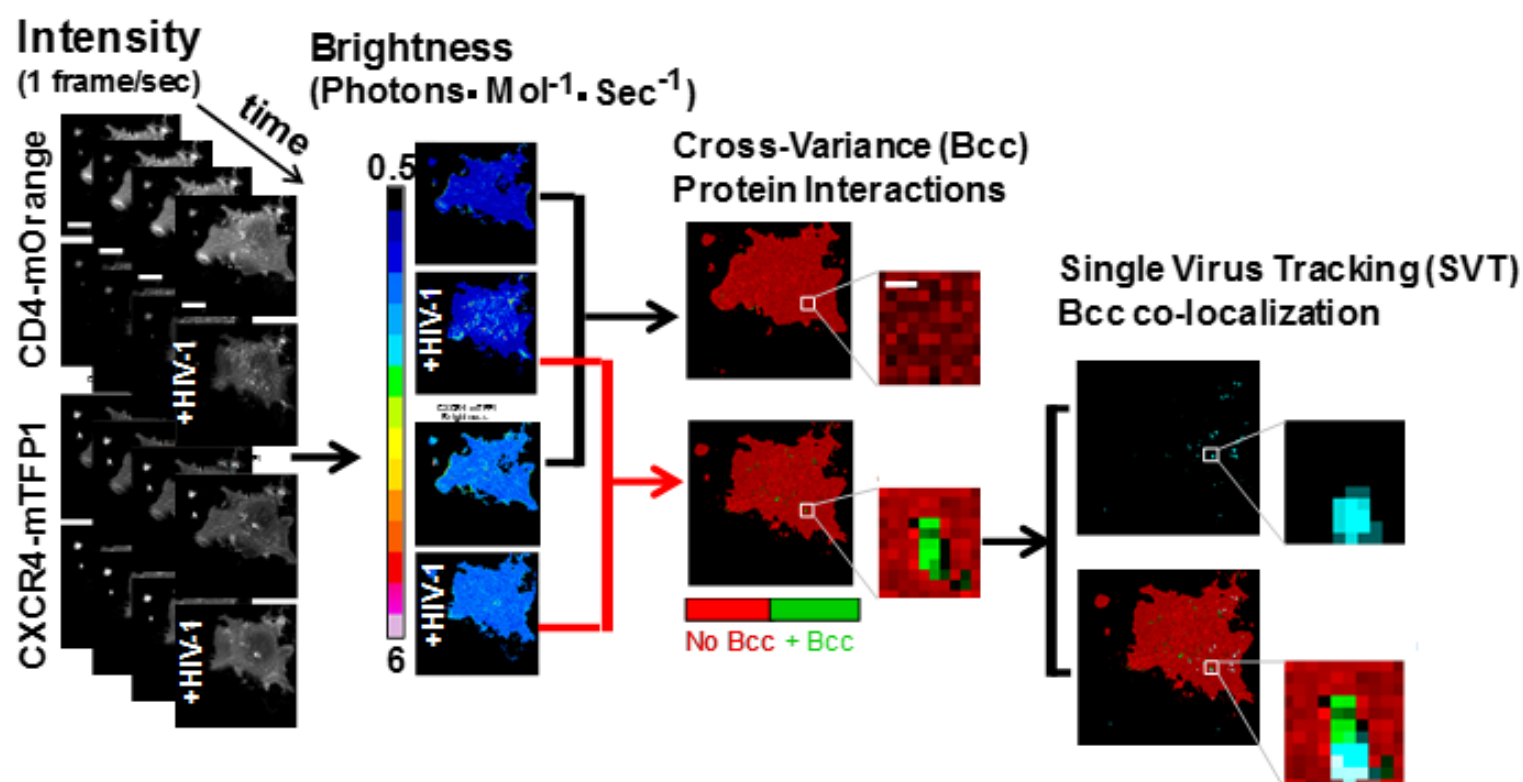
4MBS) results in the final fusion competent complex, with a total of 4 CD4 and 2 CCR5 molecules bound to a single HIV-1 Env trimer. **b**, Time-resolved stoichiometry pre-fusion reaction for CXCR4-CD4 (red dots) induced by HIV_{HXB2-Gag-iCherry} virions. The HIV-1 Env glycoprotein is present as a trimer on the mature virion. Cognate host receptors, CD4 and CXCR4, exist as monomers and dimers on the cell surface prior to receptor recognition³⁹. The gp120 and gp41 subunits of the Env spike are colored light and dark blue, respectively. CD4 is colored orange. Attachment of the HIV-1 Env spike induces the formation of CXCR4 dimers (STEP 1) also seen in the literature²⁸. CD4 clustering is likely facilitated by homodimerisation in the cytoplasmic domain⁴⁰, which enables cross-linking of at least two Env trimers (STEP 2) also seen in the literature⁴¹. Normal brightness analysis reveals the limited degradation of these higher order receptor clusters over time (2 – 5 mins). We hypothesize that 1 Env plays the role of the anchoring and signaling domain whilst the other constitutes the fusion domain. Disassembly of gp120⁴² in the fusion domain initiates the six helix bundle formation (STEP 3) and leads to the final 4CD4 – 2CXCR4 conformation in the anchoring domain.

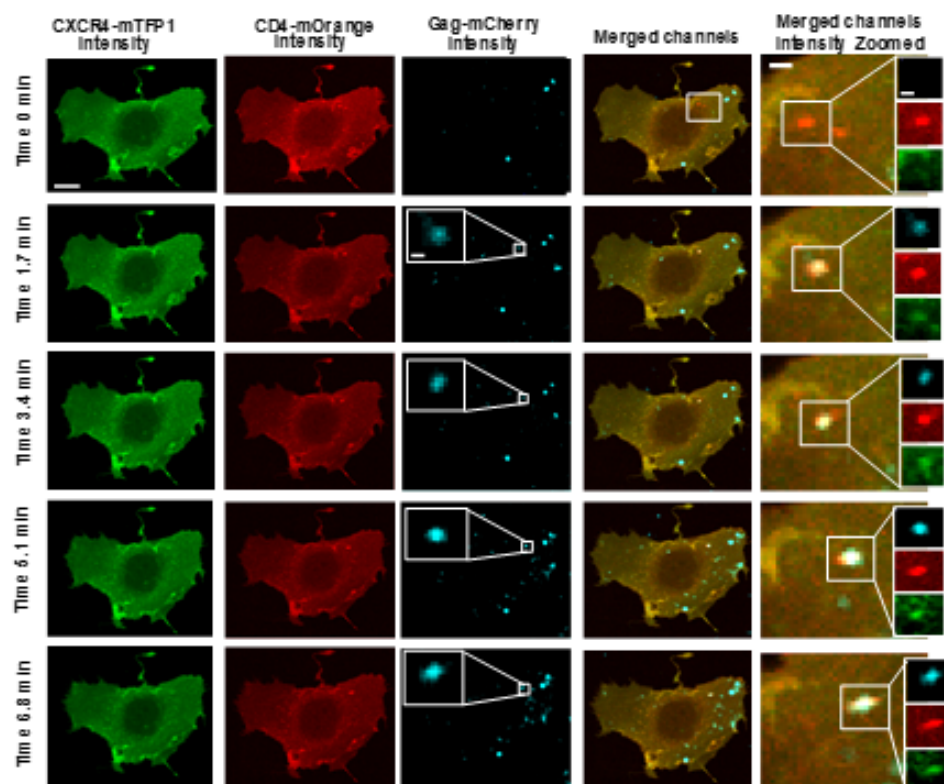
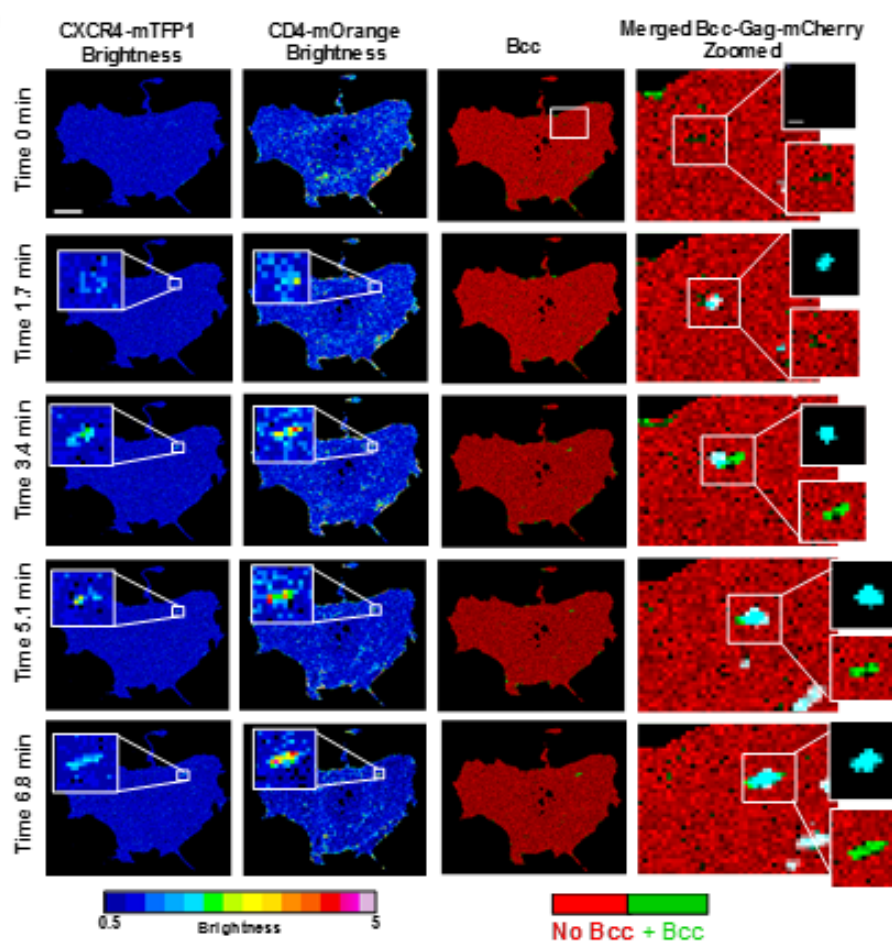
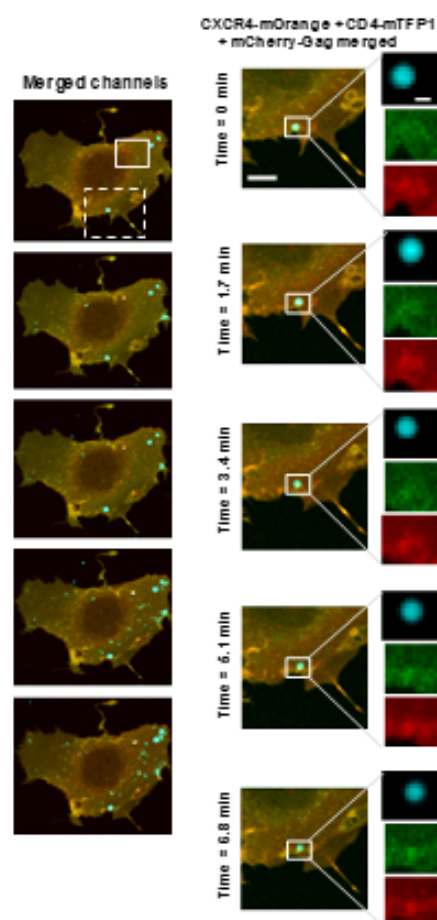
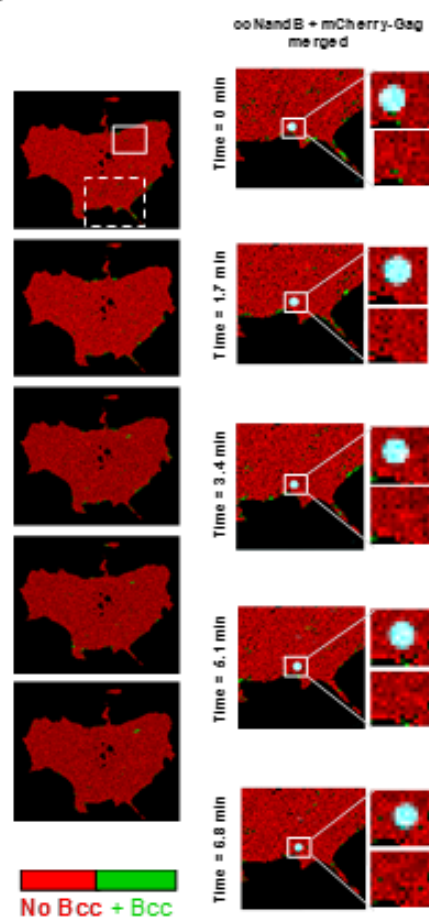
(gp120: light blue, gp41: dark blue, CD4: orange, CXCR4: green, b12: yellow)

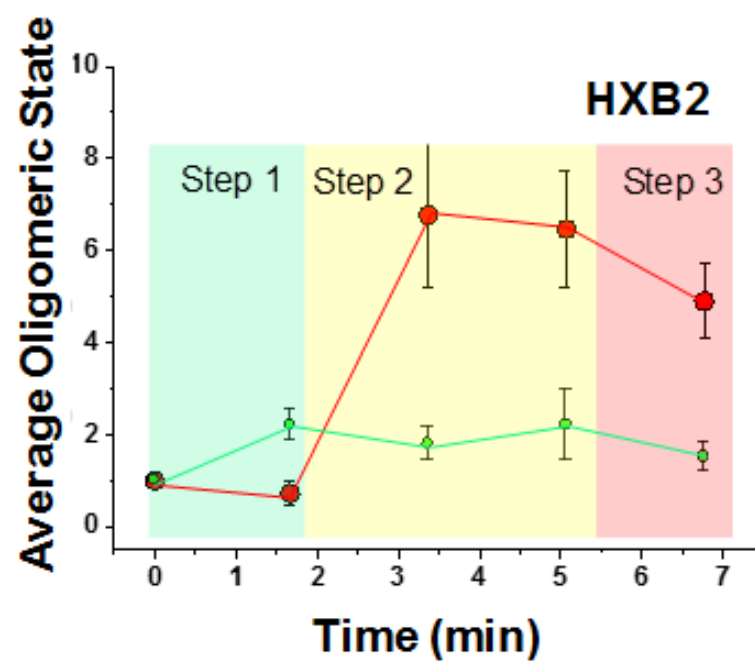
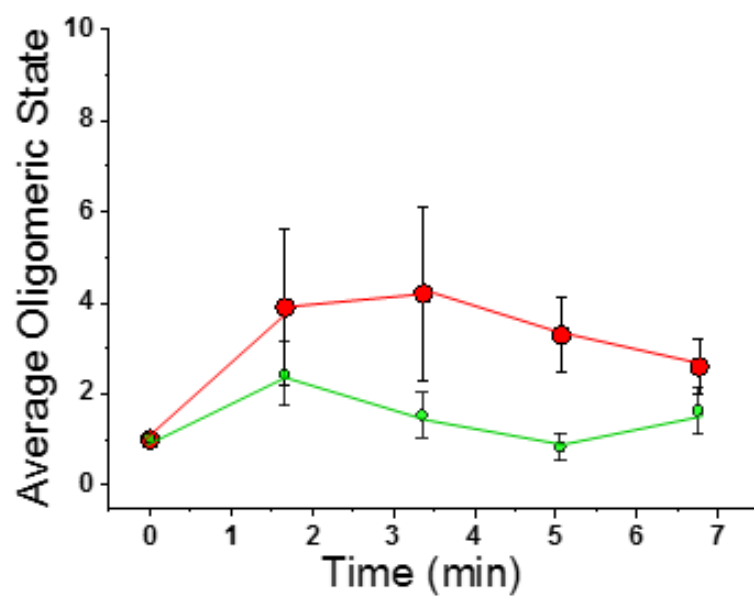
Figure 8 | b12 disrupts CD4 co-receptors interactions. a, Model showing how b12 totally impedes CD4 and CCR5 interactions inhibiting the fusion reaction from not allowing step 1 to occur. **b**, Model depicting one possibility for the targeting of mAb12 (yellow) to the CD4 binding region of HIV-1 Env, which prevents fusion into the host cell, but still allows CXCR4 oligomerisation, possibly due to incomplete coverage on the Env spike. Non-interacting time-resolved oligomeric states for CD4 and CXCR4 following HIV_{HXB2-Gag-iCherry} virions in the presence of 100 µg/mL b12.

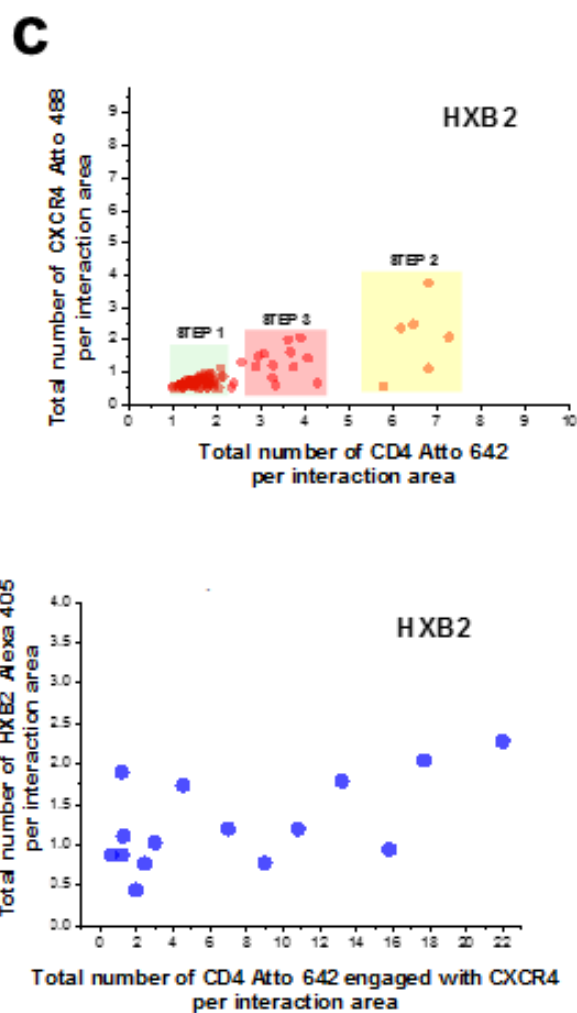
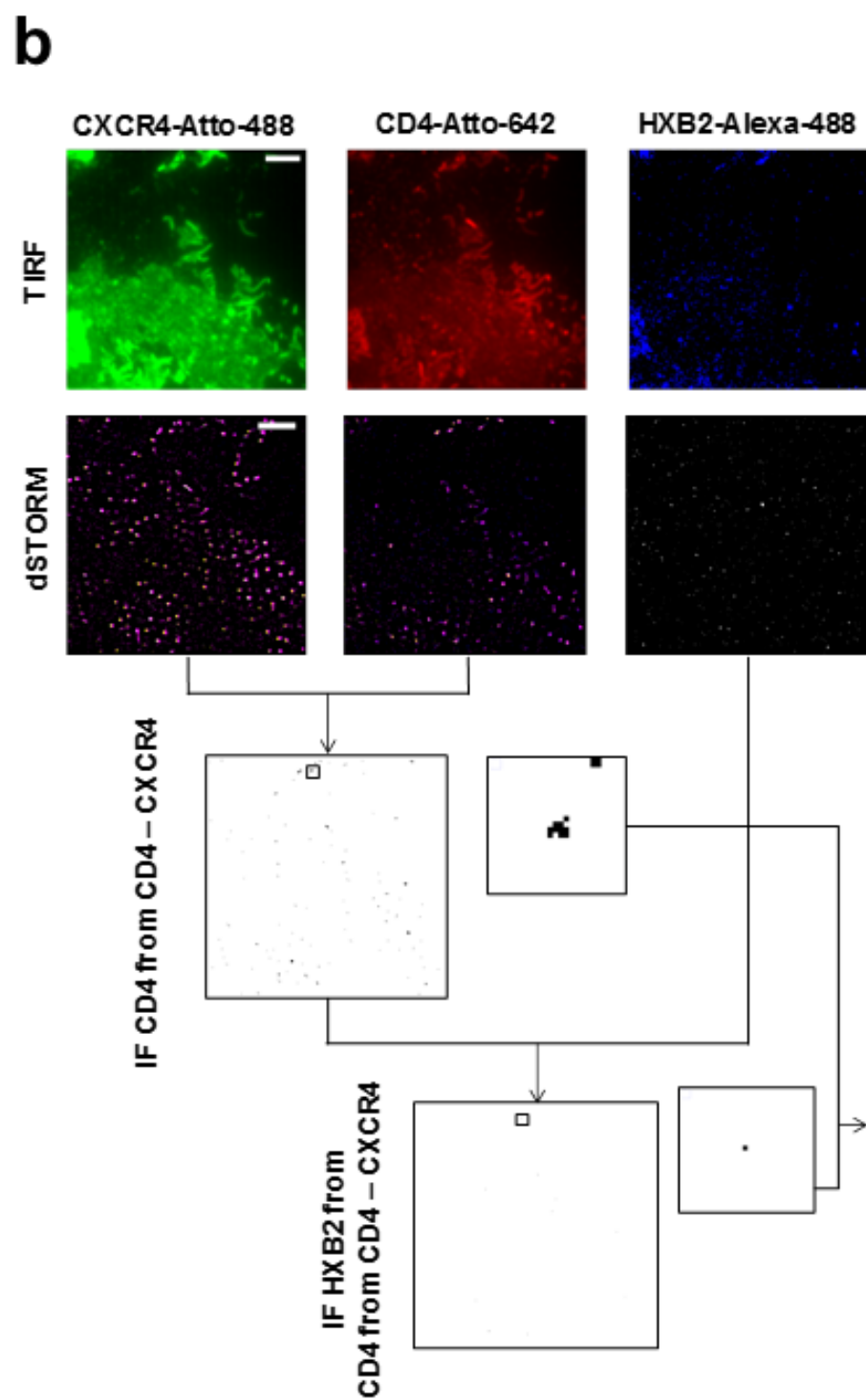
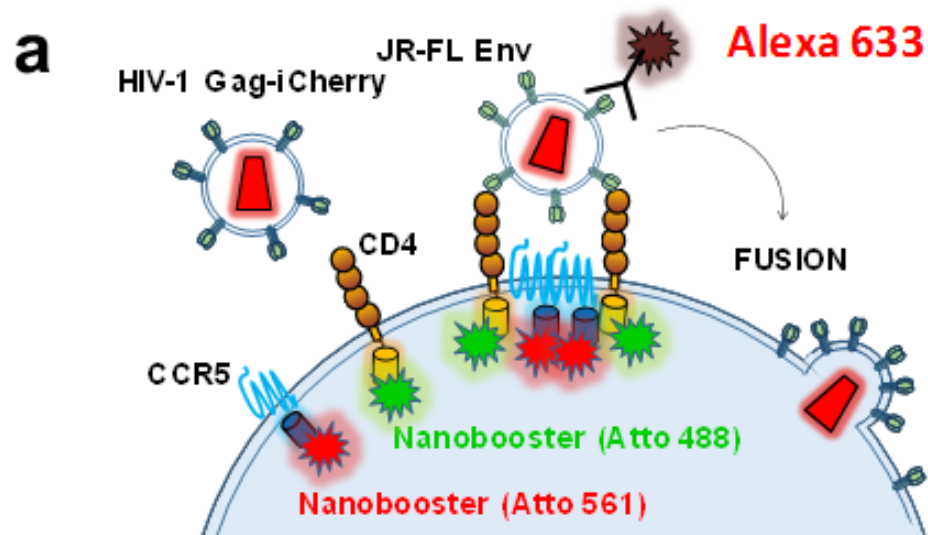
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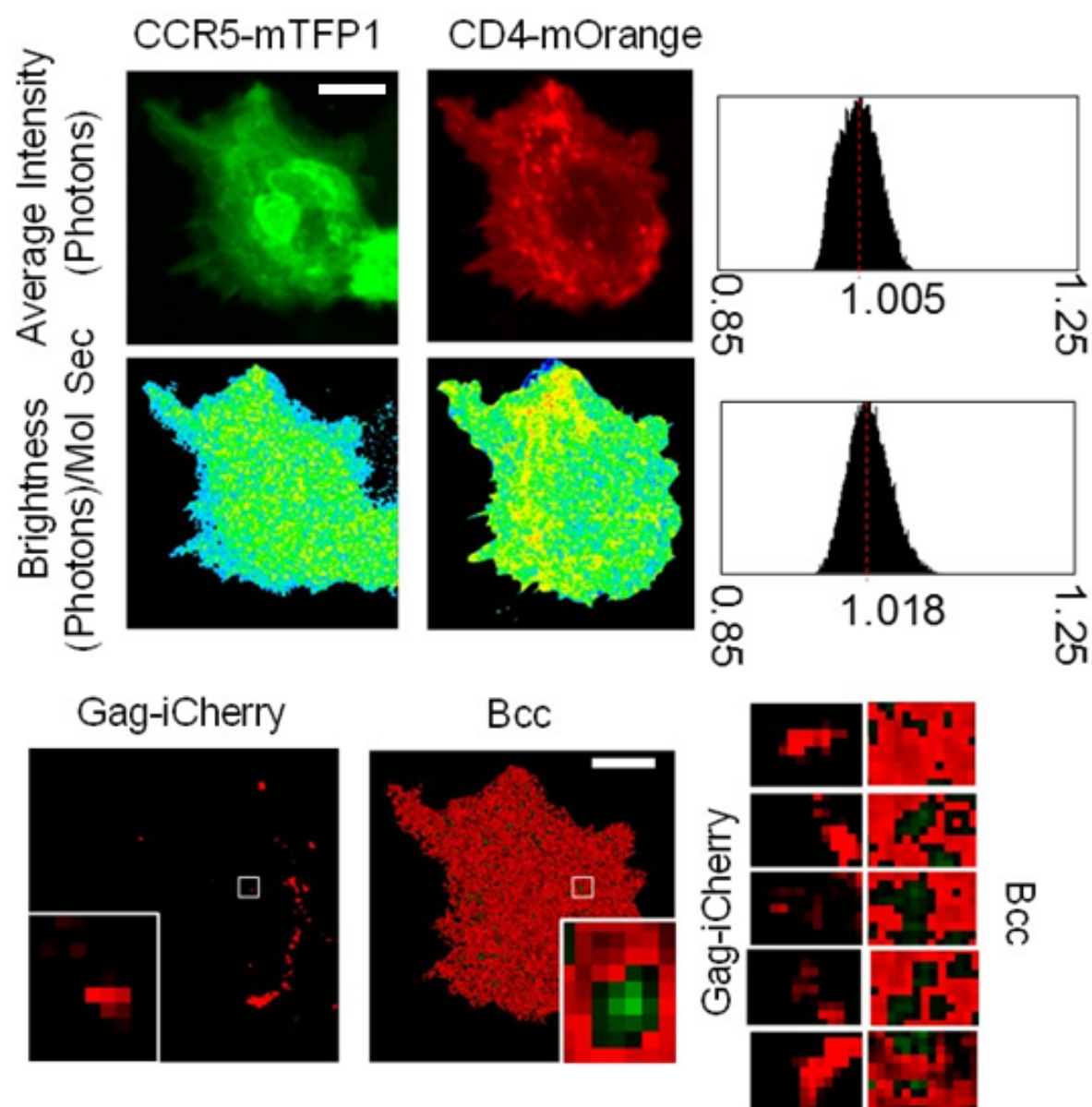
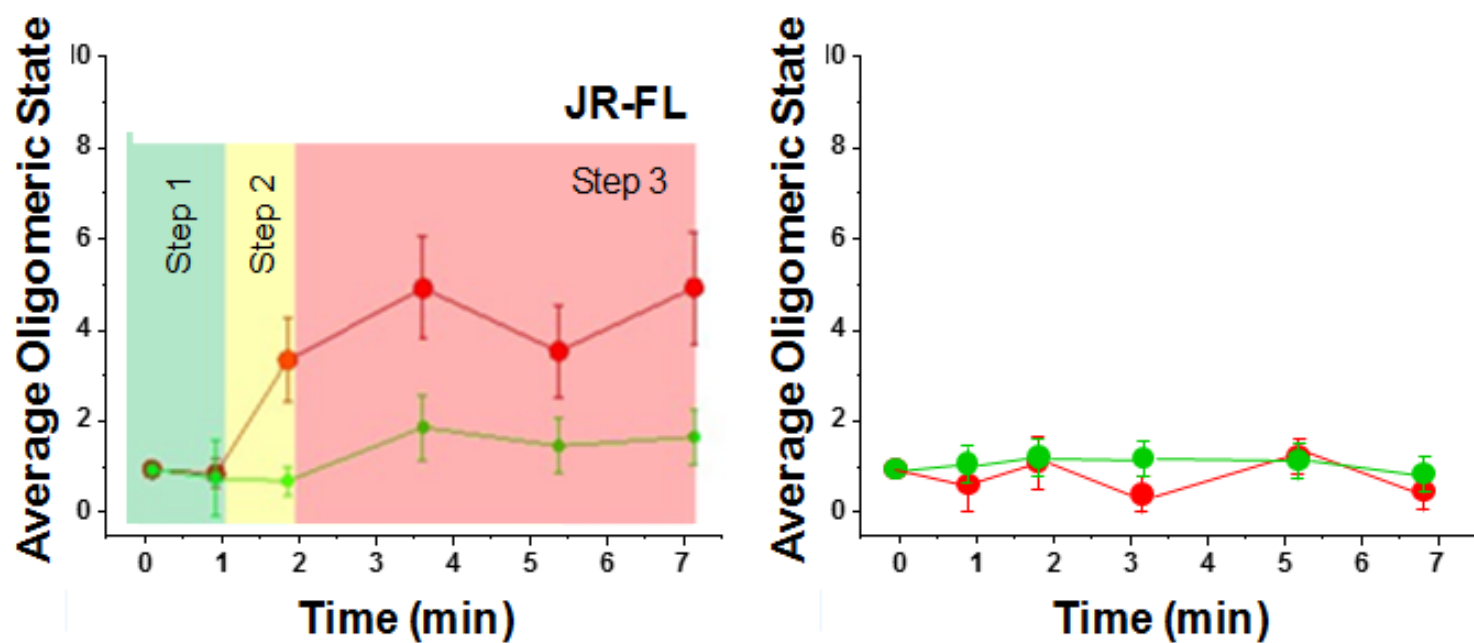
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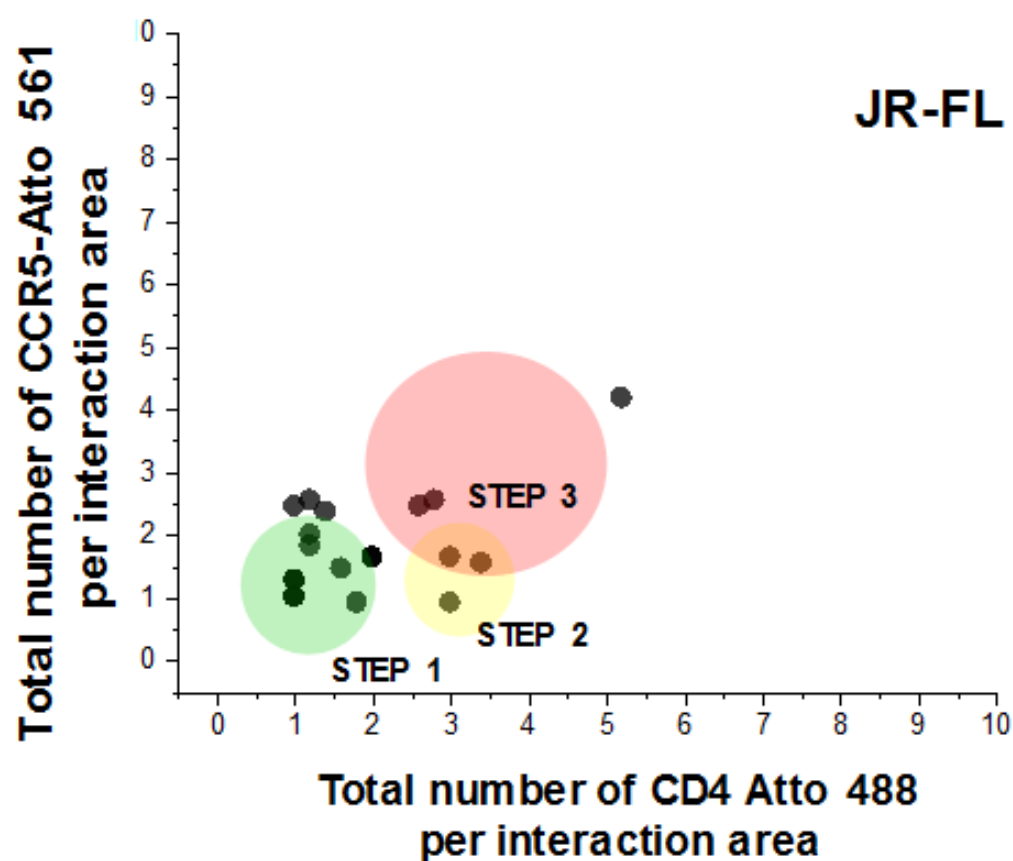
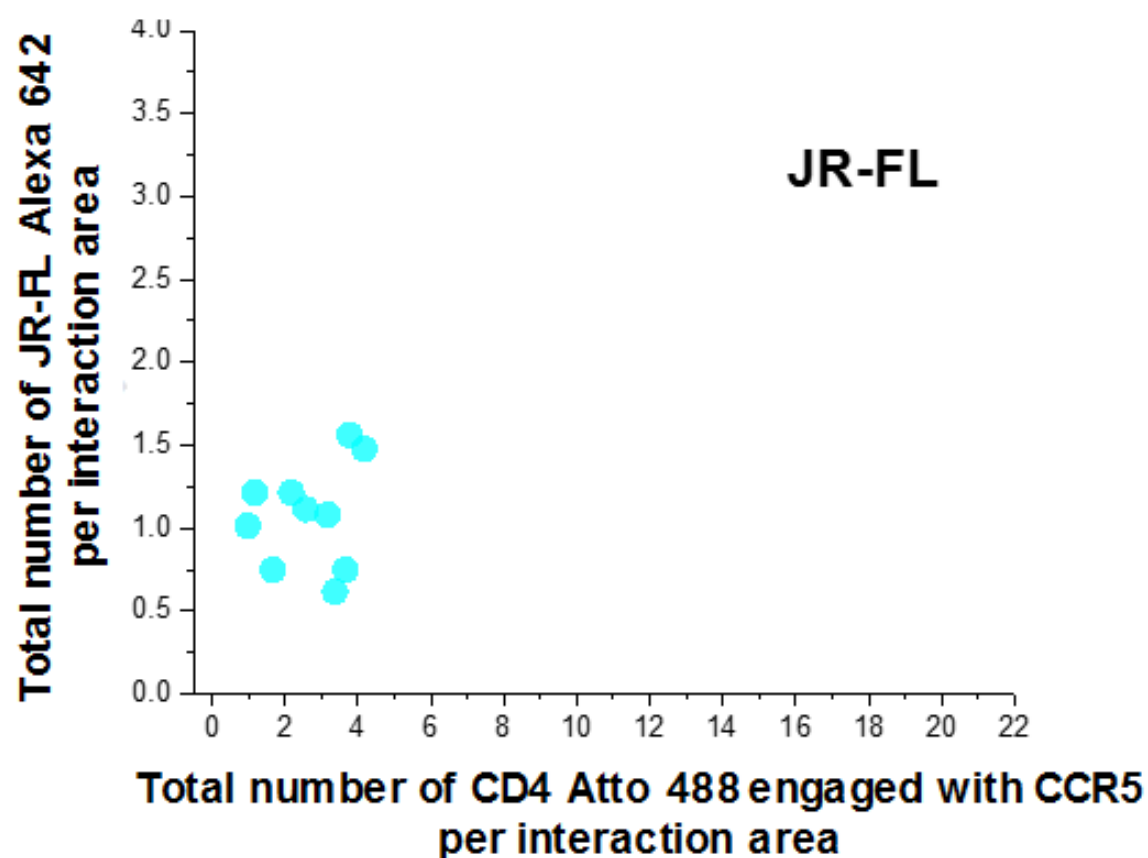
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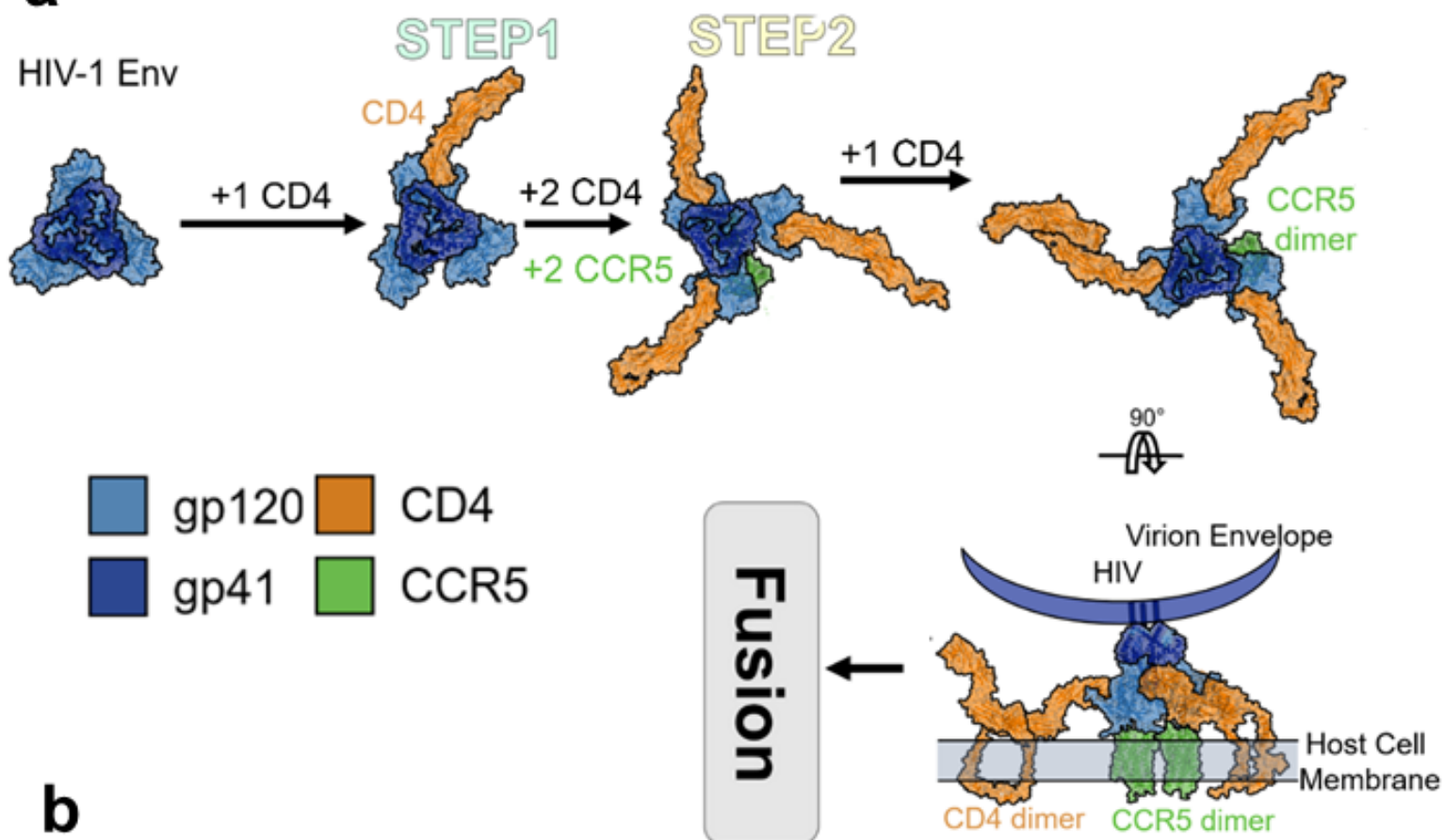
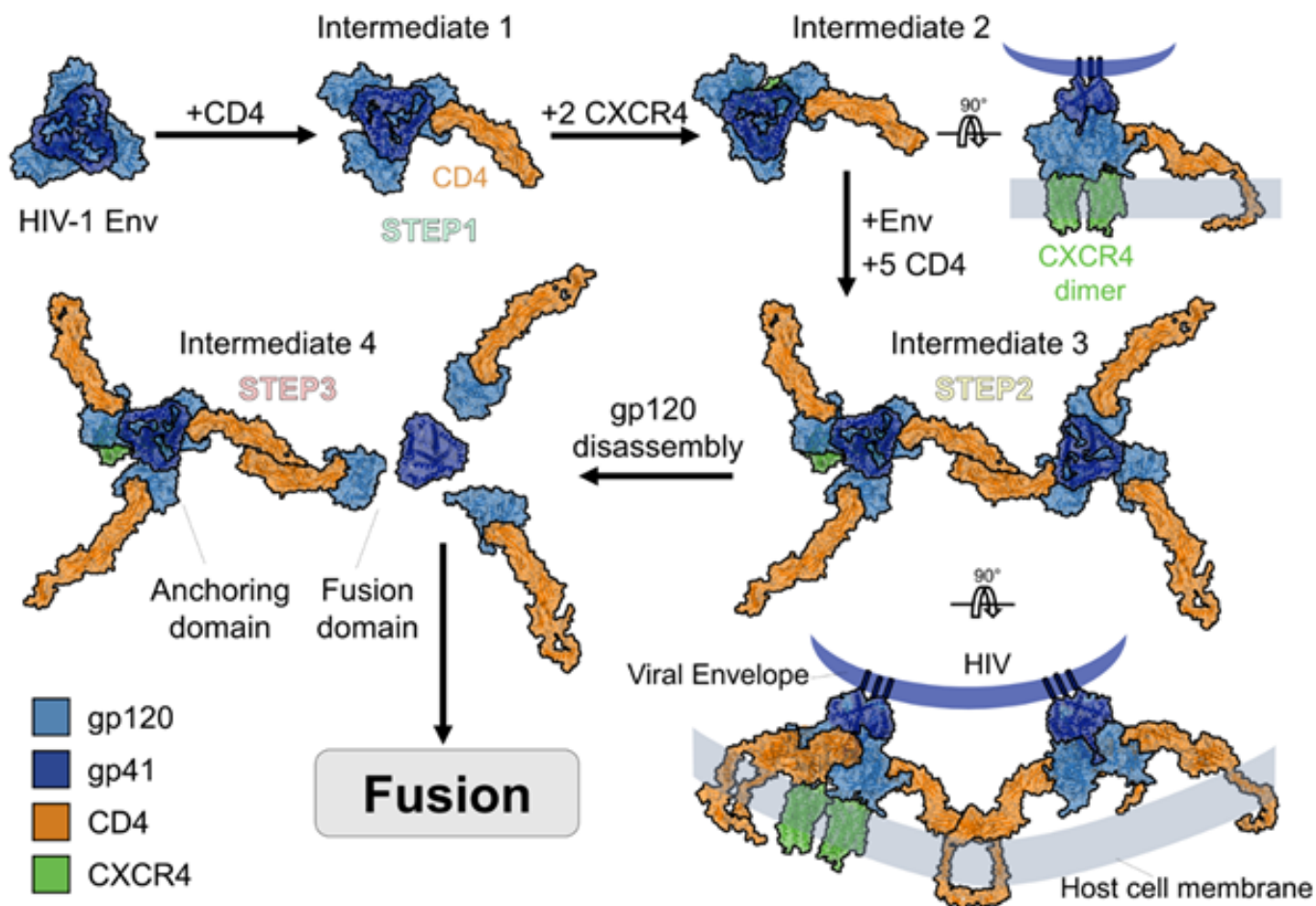
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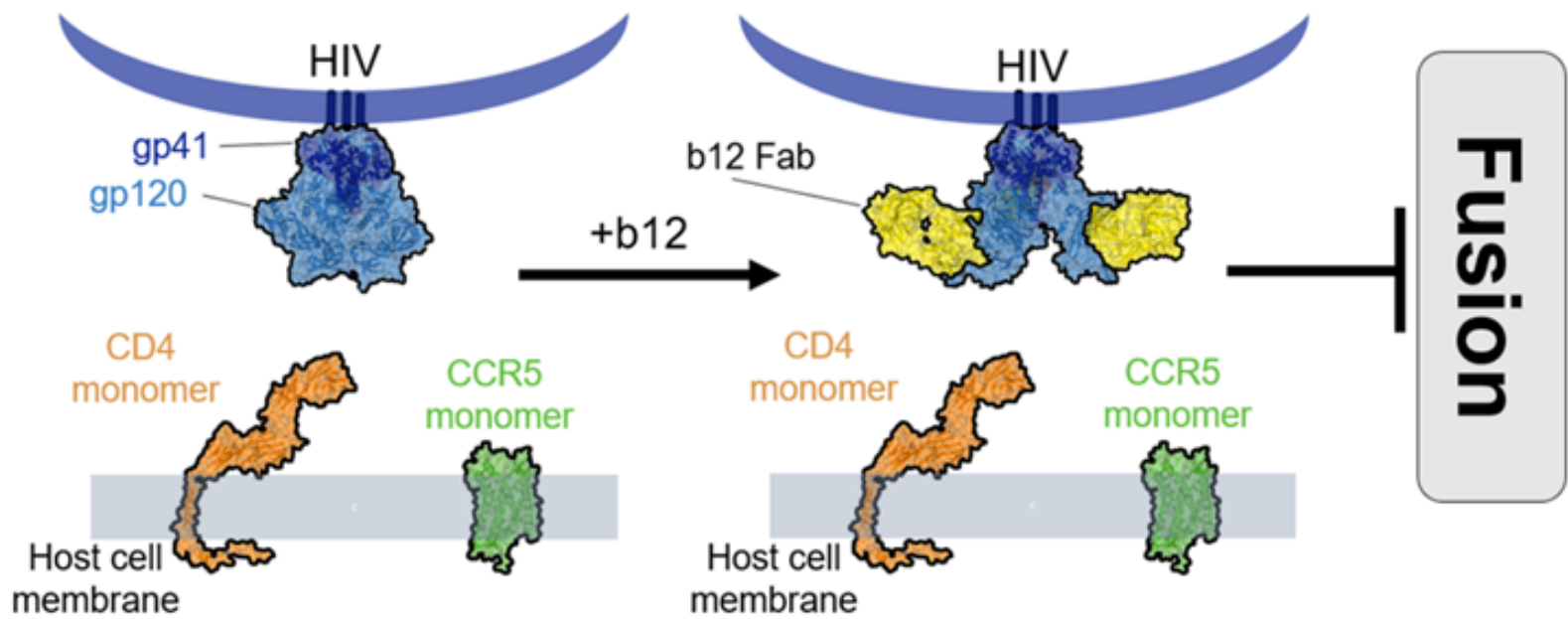
a**b**



a**b**

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