MapReduce基础算法程序设计(II)



- □ MapReduce单词同现分析算法
- □ MapReduce文档倒排索引算法
- □ MapReduce专利文献数据分析



■ Word Co-occurrence Matrix

- □语料库的单词同现矩阵是一个二维 N×N矩阵
- □N是语料库的词汇量(即,不同单词的数目)
- □矩阵元素M[i,j]代表单词W[i]与单词W[j]在一定范围内同现的次数 (一个语句中,一个段落中,一篇文档中,或文本串中一个宽度为M 个单词的窗口中,这些都依具体问题而定)
- Building word co-occurrence matrices from large corpora
 - a common task in text processing, and provides the starting point to many other algorithms.



□ A Word Co-occurrence Matrix Example

	agent	mining	communication	audio	cognition	
Mitsuru Ishizuka	454	143	414	382	246	
Koiti Hasida	412	156	1020	458	1150	
Yutaka Matsuo	129	112	138	89	58	
Nobuaki Minematsu	227	22	265	648	138	
Yohei Asada	6	6	6	2	0	
***			•••			

Figure: Example of person-to-word co-occurrence matrix





■ Building the words co-occurrence matrix

- □如果内存足够大,把整个矩阵放在内存中,矩阵元素的计算会非常简单
- □实际上,web-scale的文档的词汇量可能有数十万,甚至数亿
- □ 同现矩阵的空间开销为 O(n²)
- □简单地在单机上的实现,内存与磁盘之间的换页会使任务的执行十分 缓慢



6:

Emit(pair p, count s)

构建单词同现矩阵算法

```
M.R. Algorithm ("pairs" approach) pseudo-code:
1: class Mapper
    method Map(docid a, doc d)
3:
      for all term w \in doc d do
             for all term u \in Neighbors(w) do
4:
5:
                  //Emit count for each co-occurrence
                  Emit(pair (w, u), count 1)
1: class Reducer
2: method Reduce(pair p; counts [c1, c2,...])
3:
      s \leftarrow 0
4:
         for all count c 2 counts [c1, c2,...] do
             s \leftarrow s + c //Sum co-occurrence counts
5:
```



□ A simple "pairs" approach example

□语料

we are not what we want to be but at least we are not what we used to be

- 同现定义 Neighbors (w)
 - words that co-occur with w within a 2-word window



□ A simple "Pairs" approach example (cont.)

■ after map

- (<we, are>, 1)
- (<are, not>, 1)
- (<not, what>, 1)
- (<we, want>, 1)
- (<want, to>, 1)
- (<to, be>, 1)
- (<but, at>,1)
- (<at, least>,1)
- (<we, are>,1)
- (<are, not>,1)
- (<not, what>,1)
- (<we, used>,1)
- (<used, to>,1)
- (<to, be>,1)



- □ A simple "Pairs" approach example (cont.)
 - after shuffle and sort
 - (<we, are>,[1,1])
 - (<are, not>,[1,1])
 - (<not, what>,[1,1])
 - (<we, want>,[1])
 - (<want, to>,[1])
 - (<to, be>,[1,1])
 - (<but, at>, [1])
 - (<at, least>, [1])
 - (<we, used>, [1])
 - (<used, to>, [1])



- □ A simple "Pairs" approach example (cont.)
 - □ after reduce
 - (<we, are>,2)
 - \blacksquare (<are, not>,2)
 - (<not, what>,2)
 - (<we, want>,1)
 - (<want, to>,1)
 - (<to, be>,2)
 - (<but, at>,1)
 - (<at, least>,1)
 - (<we, used>,1)
 - (<used, to>,1)



□ A simple "Pairs" approach example (cont.)

	we	are	not	what	want	to	be	but	at	least	used
we		2			1						1
are	2		2								
not		2		2							
what			2								
want	1					1					
to					1		1				1
be						1					
but									1		
at								1			
least											
used	1					1					

Figure: the co-occurrence matrix



□算法的扩展

- □ 同现定义 Neighbors(w)为其他形式时该怎么实现
 - 根据同现关系的不同,可能需要实现和定制不同的FileInputFormat和RecordReader,
 - 如同现关系为一个英文句子,则需要实现以一个英文句子为单位的FileInputFormat和RecordReader
 - 如同现关系为一个段落,则需要实现以一个段落为单位的FileInputFormat和RecordReader
- 同现关系可扩展为从大量观察数据中进行任意离散关联事件的分析和数据挖掘
- 类似应用问题
 - ■零售商通过分析大量的交易记录,识别出关联的商品购买行为(如:"啤酒和纸尿裤"的故事)
 - 从生物医学文献中自动挖掘基因交互作用关系



- □文档倒排算法简介
 - □ Inverted Index(倒排索引)是目前几乎所有支持全文检索的搜索引擎都要依赖的一个数据结构。基于索引结构,给出一个词(term),能取得含有这个term的文档列表(the list of documents)
 - □ Web Search中的问题主要分为三部分:
 - crawling(gathering web content)
 - indexing(construction of the inverted index)
 - retrieval(ranking documents given a query)
 - □ crawling和indexing都是离线的, retrieval是在线、实时的



□简单的文档倒排算法

doc1:

one fish

two fish

doc2:

red fish

blue fish

doc3:

one red bird



倒排索引:

one: doc1, doc3

fish: doc1, doc2

two: doc1

red: doc2, doc3

blue: doc2

bird: doc3

基于以上索引的搜索结果:

fish \rightarrow doc1, doc2

red \rightarrow doc2, doc3

red fish \rightarrow doc2



□简单的文档倒排算法

```
给出了该词所在行的偏移值:
import java.io.IOException;
                                                                                                                                                                                                                        格式: filename#offset
import java.util.StringTokenizer;
import org.apache.hadoop.io.Text;
import org.apache.hadoop.mapreduce.Mapper;
 public class InvertedIndexMapper extends Mapper<Text, Text, 
           @Override
             protected void map(Text key, Text value, Context context) throws IOException, InterruptedException {
                                       // default RecordReader: LineRecordReader; key: line offset; value: line string
                                       FileSplit fileSplit = (FileSplit)context.getInputSplit();
                                       String fileName = fileSplit.getPath().getName();
                                        Text word = new Text();
                                        Text fileName lineOffset = new Text(fileName+"#"+key.toString());
                                       StringTokenizer itr = new StringTokenizer(value.toString());
                                       for(; itr.hasMoreTokens(); ) {
                                                            word.set(itr.nextToken());
                                                             context.write(word, fileName lineOffset);
```

改进: map输出的key除了文件名,还



□简单的文档倒排算法

```
import java.io.IOException;
import java.util.Collections;
import java.util.lterator;
import org.apache.hadoop.io.Text;
import org.apache.hadoop.mapreduce.Reducer;
public class InvertedIndexReducer extends Reducer<Text, Text, Text, Text, Text> {
   @Override
    protected void reduce(Text key, Iterable<Text> values, Context context) throws IOException, InterruptedException {
                       Iterator<Text> it = values.iterator();
                       StringBuilder all = new StringBuilder();
                       if(it.hasNext()) all.append(it.next().toString());
                       for(; it.hasNext(); ) {
                                   all.append(";");
                                   all.append(it.next().toString());
                       context.write(key, new Text(all.toString()));
     }//最终输出键值对示例: ("fish", "doc1#0; doc1#8;doc2#0;doc2#8 ")
```



□简单的文档倒排算法

```
public class InvertedIndexer{
   public static void main(String[] args) {
      try {
                   Configuration conf = new Configuration();
                    job = new Job(conf, "invert index");
                    job.setJarByClass(InvertedIndexer.class);
                    job.setInputFormatClass(TextInputFormat.class);
                    job.setMapperClass(InvertedIndexMapper.class);
                    job.setReducerClass(InvertedIndexReducer.class);
                    job.setOutputKeyClass(Text.class);
                    job.setOutputValueClass(Text.class);
                    FileInputFormat.addInputPath(job, new Path(args[0]));
                    FileOutputFormat.setOutputPath(job, new Path(args[1]));
                    System.exit(job.waitForCompletion(true)?0:1);
              } catch (Exception e) { e.printStackTrace(); }
```



□输出

```
bird doc3.txt#0
blue doc2.txt#9
fish doc2.txt#9;doc2.txt#0;doc1.txt#10;doc1.txt#0
one doc1.txt#0;doc3.txt#0
red doc3.txt#0;doc2.txt#0
two doc1.txt#10
```



□带词频等属性的文档倒排算法

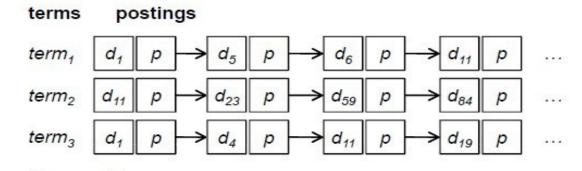
□如果考虑单词在每个文档中出现的词频、位置、对应Web文档的URL等诸多属性,则前述简单的倒排算法就不足以有效工作。我们把这些词频、位置等诸多属性称为有效负载(Payload)

注:以下的算法内容引自Jimmy Lin,Data-Intensive Text Processing with MapReduce, 2010,College Park, 以及其课件



□带词频等属性的文档倒排算法

□基本的倒排索引结构



- 一个倒排索引由大量的postings list构成
- 一个postings list由多个posting构成(按doc id排序)
- 一个postings list与一个term关联
- 一个posting 包含一个document id和一个payload
- payload上载有term在document中出现情况相关的信息(e.g. term frequency, positions, term properties)
- 同时还有对应Web文档到其URL的映射doc_id→URL



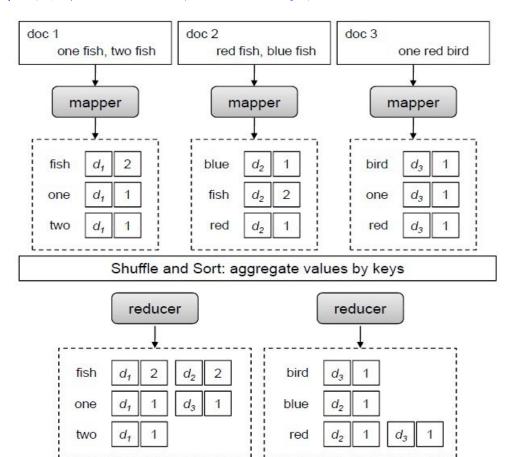
□带词频等属性的文档倒排算法

■ Map和Reduce实现伪代码

```
1: class Mapper
2:
         procedure Map(docid dn, doc d)
3:
              F \leftarrow \text{new AssociativeArray}
4:
              for all term t \in doc d do
5:
                   F\{t\} \leftarrow F\{t\} + 1
6:
              for all term t \in F do
7:
                   Emit(term t, posting \leqdn, F\{t\}>)
1: class Reducer
2:
         procedure Reduce(term t, postings [<dn1, f1>, <dn2, f2>...])
3:
              P \leftarrow \text{new List}
              for all posting \langle dn, f \rangle \in postings [\langle dn1, f1 \rangle, \langle dn2, f2 \rangle...] do
4:
5:
                     Append(P, < dn, f >)
6:
               Sort(P)
7:
               Emit(term t; postings P)
```



□带词频等属性的文档倒排算法



A simple example posting(docid, tf)



□带词频等属性的文档倒排算法

- Scalability bottleneck
 - The algorithm assumes that there is sufficient memory to hold all postings associated with the same term.
 - The reducer first buffers all postings and then performs an in-memory sort.
 - As collections grow larger, reducers will run out of memory.

Solution

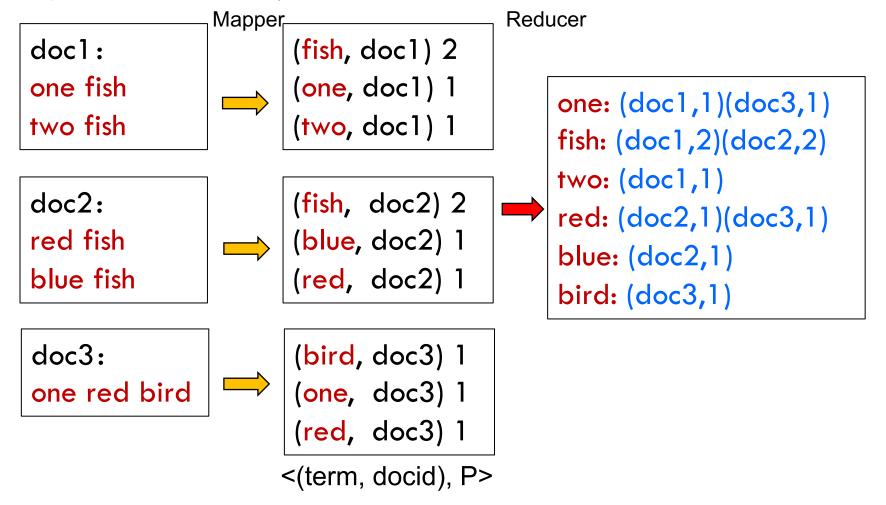
- let the MapReduce runtime do the sorting
- Emit the intermediate key-value pairs like this:

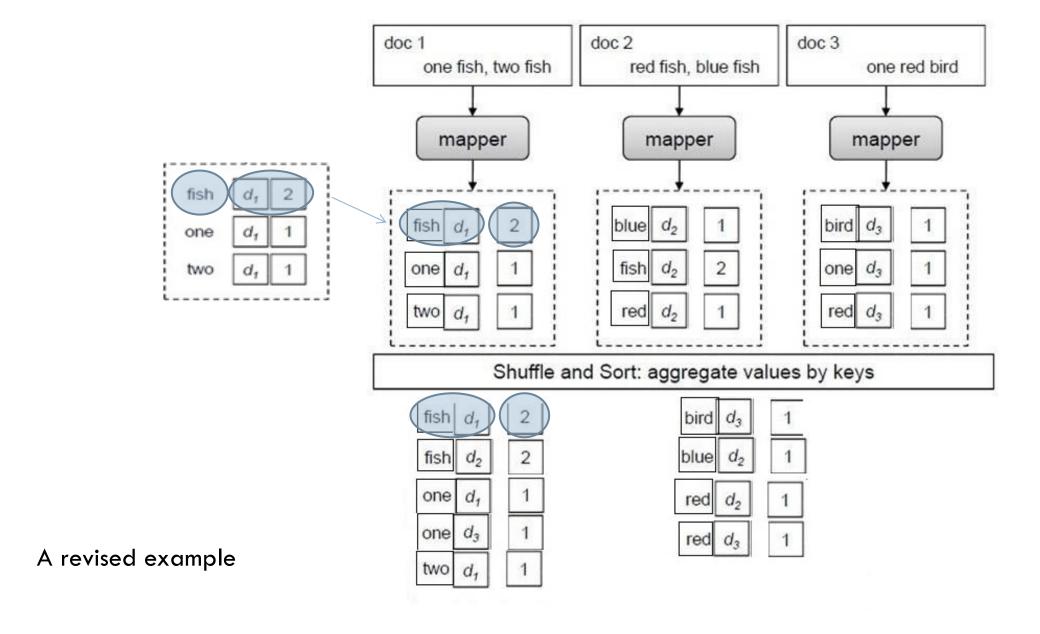
(tuple <term, docid>, tf f)

(design trick: value-to-key conversion)



□带词频属性的文档倒排算法







□可扩展的带词频属性的文档倒排算法

Mapper

```
1: class Mapper
```

- 2: **method** Map(docid dn; doc d)
- 3: $F \leftarrow \text{new AssociativeArray}$
- 4: for all term $t \in doc d do$
- 5: $F\{t\} \leftarrow F\{t\} + 1$
- 6: for all term $t \in F$ do
- 7: Emit(tuple \leq t, dn \geq , tf F $\{t\}$)



- □可扩展的带词频属性的文档倒排算法
- A customized partitioner
 - Why?
 - To ensure that all tuples with the same term are shuffled to the same reducer(notice that the new key is a <term, docid> tuple)

问题: 当对键值对进行shuffle处理以传送给合适的Reducer时,将按照新的键<t,dn>进行排序和选择Reducer,因而同一个term的键值对可能被分区到不同的Reducer!

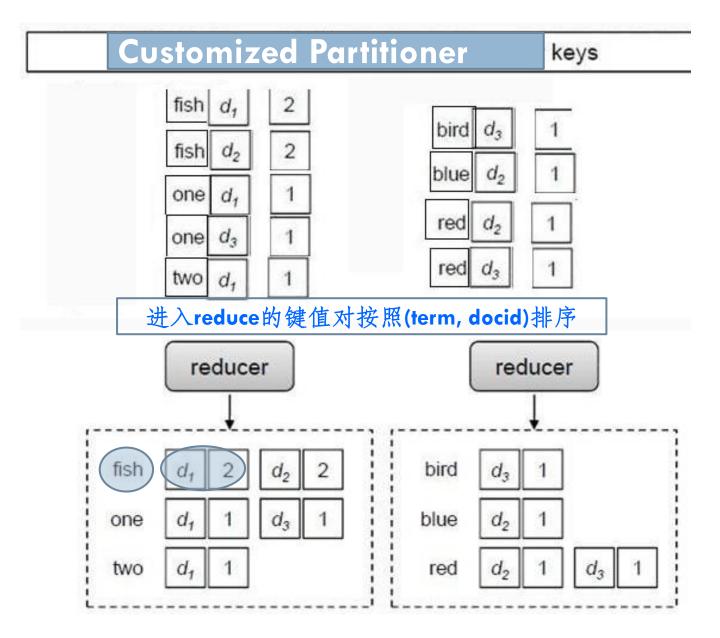
解决方案: 定制Partitioner来解决这个问题



□可扩展的带词频属性的文档倒排算法

■ How?

- 基本思想是把组合键<term, docid> 临时拆开, "蒙骗" partitioner按照<term>而不是<term, docid>进行分区选择正确的Reducer, 这样可保证同一个term下的一组键值对一定被分区到同一个Reducer。
- Class NewPartitioner extends HashPartitioner
 K,V> {
 // org.apache.hadoop.mapreduce.lib.partition.HashPartitioner
 // override the method</pr>
 getPartition(K key, V value, int numReduceTasks) {
 term = key. toString().split(",")[0]; //<term, docid>=>term
 super.getPartition(term, value, numReduceTasks); }
- Set the customized partitioner in job configuration
 Job.setPartitionerClass(NewPartitioner)



A revised example(cont.)



□可扩展的带词频属性的文档倒排算法

Reducer

```
1: class Reducer
2:
           method Setup // 初始化
3:
                 t_{\text{prev}} \leftarrow \emptyset;
                 P \leftarrow \text{new PostingsList}
4:
5:
           method Reduce(tuple <t, n>, tf [f])
6:
                 if t \neq t_{\text{prev}} \wedge t_{\text{prev}} \neq \emptyset then
                      Emit(t<sub>prev</sub>, P)
7:
8:
                       P.Reset()
9:
                 P.Add(<n, f>)
10:
                 t_{\text{prev}} \leftarrow 1
           method Cleanup
11:
12:
                Emit(t, P)
```

用于输出最后一次未得 到输出的<t, P>



- □可扩展的带词频属性的文档倒排算法
 - Extensions
 - ■单词形态还原(e.g. 'books' -> 'book', ...)
 - removing stop-words (common words such as 'the', 'a', 'of', etc.)



专利文献数据分析

数据源:美国专利文献数据

- Available from the National Bureau of Economic Research at http://www.nber.org/patents/
- The data sets were originally compiled for the paper "The NBER Patent Citation Data File: Lessons, Insights and Methodological Tools."
- Two data sets:
 - Citation data set "cite75_99.txt"
 - Patent description data set "apat63_99.txt"



专利文献数据分析

数据源:美国专利文献数据

Citation data set "cite75_99.txt"

"CITING","CITED"

3858241,956203

3858241,1324234

3858241,3398406

3858241,3557384

3858241,3634889

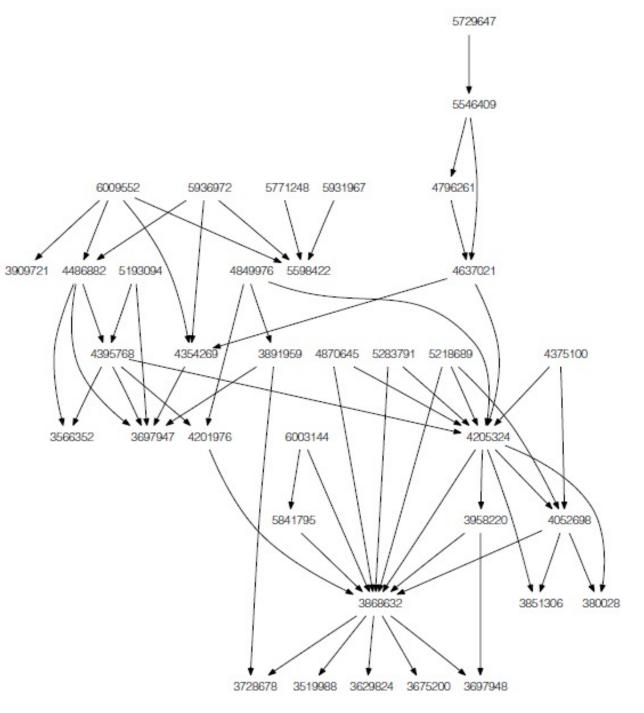
3858242,1515701

3858242,3319261

3858242,3668705

3858242,3707004

3858241引用了 956203, 1324234, 3398406, 3557384, 3634889



A partial view of the patent citation data set as a graph. Each patent is shown as a vertex (node), and each citation is a directed edge (arrow).



专利文献数据分析

数据源:美国专利文献数据

Patent description data set "apat63_99.txt"

"PATENT","GYEAR","GDATE","APPYEAR","COUNTRY", "POSTATE","ASSIGNEE", "ASSCODE","CLAIMS","NCL ASS","CAT","SUBCAT","CMADE","CRECEIVE", "RATIOCIT","GENERAL","ORIGINAL","FWDAPLAG","BCKGTLAG","S ELFCTUB", "SELFCTLB","SECDUPBD","SECDLWBD"

3070801,1963,1096,,"BE","",1,,269,6,69,,1,,0,,,,,,

3070802,1963,1096,,"US","TX",,1,,2,6,63,,0,,,,,,,

3070803,1963,1096,,"US","IL",,1,,2,6,63,,9,,0.3704,,,,,

3070804,1963,1096,,"US","OH",,1,,2,6,63,,3,,0.6667,,,,,,

3070805,1963,1096,,"US","CA",,1,,2,6,63,,1,,0,,,,,,



专利文献数据分析

数据源:美国专利文献数据

Patent description data set "apat63_99.txt"

Attribute name	Content				
PATENT	Patent number				
GYEAR	Grant year				
GDATE	Grant date, given as the number of days elapsed since January 1, 1960				
APPYEAR	Application year (available only for patents granted since 1967)				
COUNTRY	Country of first inventor				
POSTATE	State of first inventory (if country is U.S.)				
ASSIGNEE	Numeric identifier for assignee (i.e., patent owner)				
ASSCODE	One-digit (1-9) assignee type. (The assignee type includes U.S. individual U.S. government, U.S. organization, non-U.S. individual, etc.)				
CLAIMS	Number of claims (available only for patents granted since 1975)				
NCLASS	3-digit main patent class				



- □ 专利被引列表(citation data set 倒排)
- Map

```
public static class MapClass extends Mapper<LongWritable, Text, Text, Text> {
    public void map(LongWritable key, Text value, Context context) throws IOException, InterruptedException {
        // 输入key: 行偏移值; value: "citing专利号, cited专利号" 数据对
        String[] citation = value.toString().split(",");
        context.write(new Text(citation[1]), new Text(citation[0]));
    } // 输出key: cited 专利号; value: citing专利号
```



□ 专利被引列表(citation data set 倒排)

Reduce

```
public static class ReduceClass extends Reducer<Text, Text, Text> {
   public void reduce(Text key, Iterable<Text> values, Context context) throws IOException, InterruptedException
     String csv = "";
     for (Text val:values) {
         if (csv.length() > 0) csv += ",";
         csv += val.toString();
     context.write(key, new Text(csv));
   } // 输出key: cited专利号; value: "citing专利号1, cited专利号2,..."
```

JJ

□ 专利被引列表(citation data set 倒排)

■ 专利被引列表输出结果

1	3964859,4647229
10000	4539112
100000	5031388
1000006	4714284
1000007	4766693
1000011	5033339
1000017	3908629
1000026	4043055
1000033	4190903,4975983
1000043	4091523
1000044	4082383,4055371
1000045	4290571
1000046	5918892,5525001, 5609991

.....



- □专利被引次数统计
- □ Map Class



□专利被引次数统计

□ Reduce Class

```
public static class ReduceClass extends Reducer<Text, Text, Text, Text> {
   public void reduce(Text key, Iterable<Text> values, Context context)
               throws IOException, InterruptedException {
            int count = 0;
     while (values.hasNext()) {
                count += values.next().get();
     context.write(key, new IntWritable(count));
   } // 输出key: 被引专利号; value: 被引次数
```



□专利被引次数统计

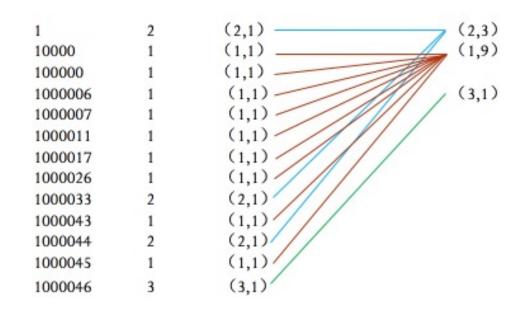
专利被引次数统计输出结果

1	2
10000	1
100000	1
1000006	1
1000007	1
1000011	1
1000017	1
1000026	1
1000033	2
1000043	1
1000044	2
1000045	1
1000046	3



□专利被引次数统计

- □目的:有的专利被引用一次,有的可能上百次,可以进行引用次数分布统计,最后可 画出统计图。
- 基本思想是:扫描刚才产生的被引次数统计数据,忽略每一行中的专利号,仅考虑右侧的被引次数,看每种被引次数分别有多少次出现





- □专利被引次数统计
- □ Map Class

```
public static class MapClass extends Mapper<Text, Text, LongWritable, LongWritable> {
    private final static IntWritable one = new IntWritable(1);
    private IntWritable citationCount = new IntWritable();
    public void map(Text key, Text value, Context context) throws IOException, InterruptedException {
        citationCount.set(Integer.parseInt(value.toString()));
        context.write (citationCount, one);
    }
}

出现1次
```



□专利被引次数统计

□ Reduce Class

```
public static class ReduceClass extends Reducer < IntWritable,IntWritable,IntWritable,IntWritable > {
   public void reduce(IntWritable key, Iterable<IntWritable> values,
                                                                          Context context)
                  throws IOException, InterruptedException {
     int count = 0;
     while (values.hasNext()) {
            count += values.next().get();
     context.write(key, new IntWritable(count));
   } // 输出key: 被引次数; value: 总出现次数
```

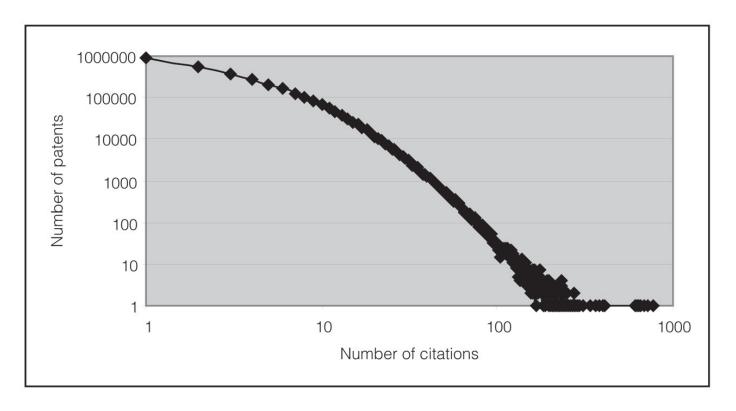


□ 主类-- CitationHistogram

```
public class CitationHistogram{
   public static void main(String[] args) {
      Configuration conf = new Configuration();
      JobConf job = new JobConf(conf, CitationHistogram.class);
      Path in = new Path(args[0]);
      Path out = new Path(args[1]);
      FileInputFormat.setInputPaths(job, in);
      FileOutputFormat.setOutputPath(job, out);
      job.setJobName("CitationHistogram");
      job.setMapperClass(MapClass.class);
      job.setReducerClass(ReduceClass.class);
      job.setInputFormat(KeyValueTextInputFormat.class);
      job.setOutputFormat(TextOutputFormat.class);
      job.setOutputKeyClass(IntWritable.class);
      job.setOutputValueClass(IntWritable.class);
      System.exit(job.waitForCompletion(true) ? 0 : 1);
```



□专利被引次数统计结果



92112
55224
38031
27843
21081
16314
12794
10215
82126
66634
1
1
1
1
1
1
1
1
1
1



□年份/国家专利数统计

Patent description data set "apat63_99.txt"

"PATENT","GYEAR","GDATE","APPYEAR","COUNTRY", "POSTATE","ASSIGNEE", "ASSCODE","CLAIMS","NCLASS","CAT","SUBCAT",
"CMADE","CRECEIVE", "RATIOCIT","GENERAL","ORIGINAL","FWDAPLAG","BCKGTLAG","SELFCTUB", "SELFCTLB","SECDUPBD",
"SECDLWBD"

3070801,<mark>1963</mark>,1096,,"BE","",1,,269,6,69,,1,,0,,,,,,

3070802,<mark>1963</mark>,1096,,"US","TX",,1,,2,6,63,,0,,,,,,

3070803,<mark>1963</mark>,1096,,"US","IL",,1,,2,6,63,,9,,0.3704,,,,,

3070804,<mark>1963</mark>,1096,,"US","OH",,1,,2,6,63,,3,,0.6667,,,,,,

3070805,<mark>1963</mark>,1096,,"US","CA",,1,,2,6,63,,1,,0,,,,,,

• • • • •

主要设计思想是:分析以上的专利描述数据集,根据要统计的列名(年份或国家等),取出对应列上的年份(col_idx=1)或国家(col_idx=4),然后由Map发出(year, 1)或(country, 1),再由Reduce累加。



- □年份/国家专利数统计
- □ Map Class

```
public static class MapClass extends Mapper<Text, Text, Text, LongWritable> {
   private final static IntWritable one = new IntWritable(1);
  private int col_idx = 1; // 1: 年份; 4: 国家
  public void map(Text key, Text value, Context context)
              throws IOException, InterruptedException {
        String[] cols = value.Split(','); // value: 读入的一行专利描述数据记录
     String col_data = cols[col_idx];
         context.write (new Text(col_data), one);
                                              出现1次
```



□年份/国家专利数统计

□ Reduce Class

```
public static class ReduceClass extends Reducer < Text, IntWritable, Text, IntWritable > {
    public void reduce(Text key, Iterable < IntWritable > values, Context context) throws IOException,
    InterruptedException {
        int count = 0;
        while (values.hasNext()) { count += values.next().get(); }
        context.write(key, new IntWritable(count));
    } // 输出key: 年份或国家; value: 总的专利数
}
```



□年份专利统计输出

"GYEAR" 1

1963 45679

1964 47375

1965 62857

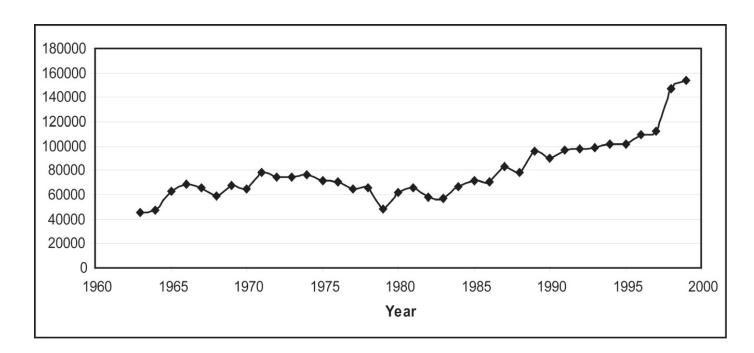
•••

1996 109645

1997 111983

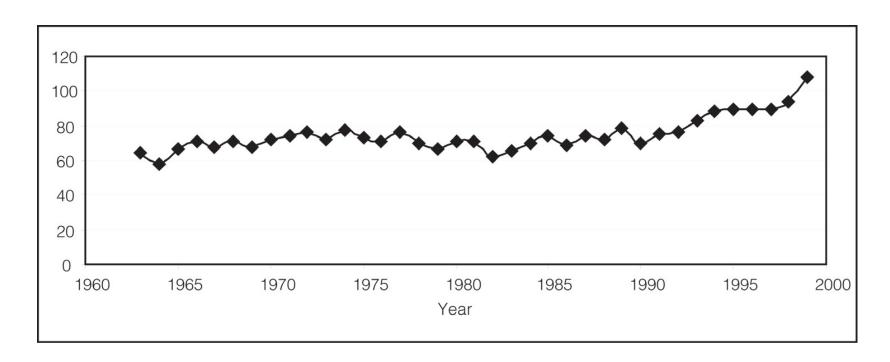
1998 147519

1999 153486





- □每年申请美国专利的国家数统计
 - □ 假如我们需要从专利描述数据集中统计每年有多少个国家申请了美国专利,并得出如下的统计图,该如何实现Map和Reduce?





- □每年申请美国专利的国家数统计
- Solution
- 1. Map中用<year, country>作为key输出, Emit(<year, country>,1) (<1963, BE>, 1), (<1963, US>, 1), (<1963, US>, 1), ...
- 2. 实现一个定制的Partitioner,保证同一年份的数据划分到同一个Reduce节点
- 3. Reduce中对每一个(<year, country>,[1,1,1,...])输入,忽略后部的出现次数,仅考虑key部分:
<year, country>,然后在Reduce的输出中统计每个year下的国家数





- □更多的专利文献数据分析问题
 - □如何统计一个国家占全球的专利申请比例?
 - □如何统计一个国家的专利引用率?



MapReduce算法设计小结

- □ A few design tricks ("Design Patterns")
 - Local aggregation
 - use combiner
 - Complex structures
 - such as "pairs" and "stripes"
 - value-to-key conversion

THANK YOU

