

Analysis of Algorithms [Cormen Sect. 2.1]

What? Measure efficiency of an algorithm on its use of resources
e.g. Time and Space

Why?

- Designing better algorithms
- Compare two algorithms

A first example: Insertion Sort

Sorting Problem

A sequence $A[1, n]$ of n elements,

Goal: A permutation $A'[1, n]$ of $A[1, n]$
such that $A'[i] \leq A[i+1]$
 $\forall i \in [1, n-1]$

We usually describe algorithms with
pseudo code. We will implement everything
in Python later on.

Given an array



Selection Sort



Insertion Sort

Insertion Sort

In place : rearrange elements within A itself

Stable : Equal elements preserve their relative order

A ... 4 ... 4 ... 4 ...

A 4 4 4

Insertion Sort (A)



for $j = 2$ to $A.length$

key = $A[j]$

// insert key in (already sorted) $A[1, j-1]$

I'm in
the array

$i = j - 1$

while $i > 0$ and $A[i] > key$

$A[i+1] = A[i]$

$i = i - 1$

$A[i+1] = key$

not yet
found key
position

	i	j	
1	3	4	2
1.	3	—	4
1	—	3	4
1	2	3	4

Running Example : Visu Algo

visually.org.net/en/sorting

Obviously In place and Stable

Correctness (and Loop invariant)

Loop invariant: It's a property that holds for a loop

Initialization: Property holds before loop starts

Maintenance: Property is preserved by loop iterations

Termination: Property holds after loop terminates (and it helps you to prove correctness)

Loop invariant

At start of each iteration (say j),
the subarray $A[i, j-1]$ consists of
the elements of original $A[i, j-1]$
but sorted.

Init: $j=2$ $A[i, 1]$ is sorted

Maint: "for" loop works by moving
 $A[j-1], A[j-2], \dots$ one position to
right. If $A[i, j-2]$ was already
sorted, so it is $A[i, j-1]$

Term.: $j=n+1$; $A[i, n]$ is sorted

Similar to proofs by induction.

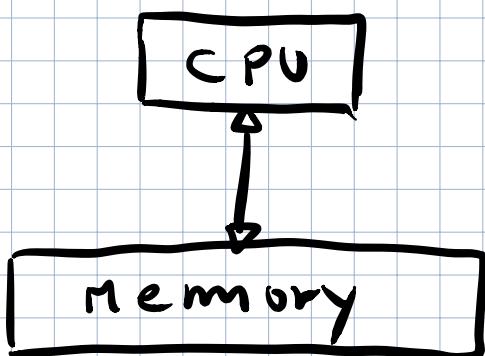
Analyzing Algorithms

Computer Model :

- Simple enough to allow math analysis,
- Precise enough to give accurate analysis

there are several models capturing different aspects of the real machine

RAM model



CPU performs operations on at most 2 values from memory and stores the result back in memory

Set operations : +, -, *, /, $\sqrt{\cdot}$, \log , Γ , I , L , J ,
i.e. basic operations
i.e. not `sorted()`

Assumption #7

Cost of any operation is $\textcircled{7}^*$

* unrealistic: $\sqrt{\text{cost}}$ much more
than +
but accurate enough

Goal: given the input size (e.g. n)
return # operations performed
by the algorithm on a input
of that size

Let's do it for Insertion Sort

Insertion Sort (A) $|A| = n$

```
for  $j = 2$  to  $A.length$ 
    key =  $A[j]$ 
    // insert key in (already sorted)  $A[1, j-1]$ 
     $i = j - 1$ 
    while  $i > 0$  and  $A[i] > key$ 
         $A[i+1] = A[i]$ 
         $i = i - 1$ 
     $A[i+1] = key$ 
```

Cost	times
C_1	n
C_2	$n-1$
C_3	$n-1$
C_4	$\sum_{j=2}^n t_j$
C_5	$\sum_{j=2}^{n-1} (t_j - 1)$
C_6	$\sum_{j=2}^{n-2} (t_j - 1)$
C_7	$n-1$

t_j = given the input A , the number of times while loop is executed for iteration j

$$T(n, A) = C_1 n + C_2 (n-1) + C_3 (n-1) + \\ + C_4 \sum_{j=2}^n t_j + C_5 \sum_{j=2}^n (t_j - 1) + C_6 \sum_{j=2}^{n-1} (t_j - 1) \\ + C_7 (n-1)$$

too complicated!

impossible to compare two algorithms

Assumption A2: $c_1 = c_2 = \dots = c_f = c$

$$T(n, A) = cn + 3c(n-1) + c \sum t_j + 2c \sum (t_{j-1})$$

Focus on Best Case

A is already sorted

$$t_j = 1 \quad \forall j$$

$$\begin{aligned} T(n) &= cn + 3c(n-1) + c(n-1) \\ &= 4c(n-1) + cn \\ &\approx 5cn = \Theta(n) \end{aligned}$$

linear time

(or linear number of operations)

Worst case : any input giving the longest possible running time

we are pessimistic.

we want guarantees : the algorithm never takes more than this.

Worst case for IS : A is sorted in reverse order

$$t_j = j \quad \forall j$$

$$T(n) = cn + 3c(n-1) + c \sum_{j=2}^n j \\ + 2c \sum_{j=2}^n (j-1)$$

$$\sum_{j=2}^n j = \frac{(n+1)n}{2} - 1$$

Gauss sum

$$\sum_{j=2}^n (j-1) = \frac{n(n-1)}{2}$$

$$T(n) = Cn + 3C(n-1) + C \frac{(n+1)n}{2} - C$$

$$+ 2C \frac{n(n-1)}{2} \approx 3C \frac{(n+1)n}{2} + 4Cn - C$$

$$= \Theta(n^2)$$

We have quadratic time

Order of growth :

Forget about constants and lower order terms.

Example

Express $\frac{n^3}{1000} - 100n^2 - 100n + 3$

in terms of Θ -notation

~~$\rightarrow \frac{n^3}{1000} - 100n^2 - 100n + 3 = \Theta(n^3)$~~

$\rightarrow 1000n + 100000 = \Theta(n)$