TRASHIAL

















EXPLORING THE MEMORY MANAGEMENT IN THE JVM

ABOUT ME.





Gerrit Grunwald | Developer Advocate | Azul

SO...VAHY CARE...

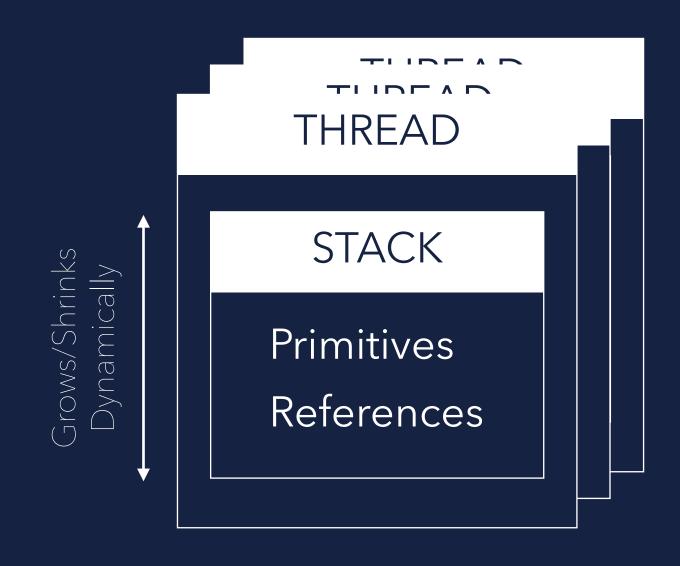
Why you should care...

Impact on application performance

- Why you should care...
- Impact on application performance
- Impact on application responsiveness

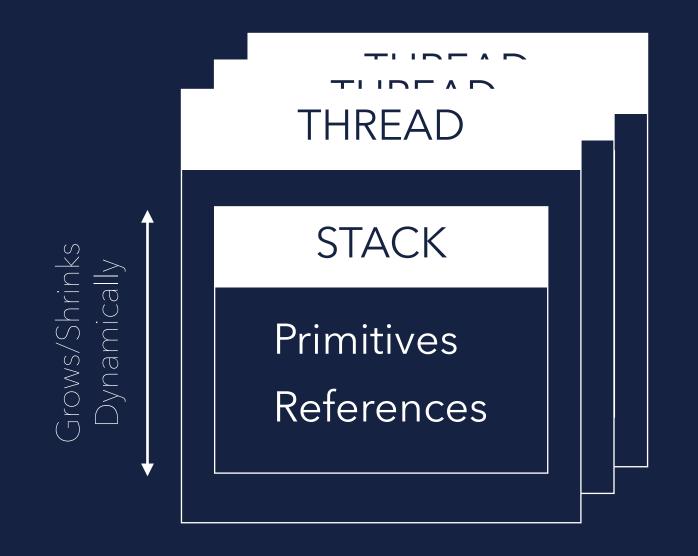
- Why you should care...
- Impact on application performance
- Impact on application responsiveness
- Impact on system requirements

Stack, Heap and Metaspace



Local access -> thread safe

Stack, Heap and Metaspace



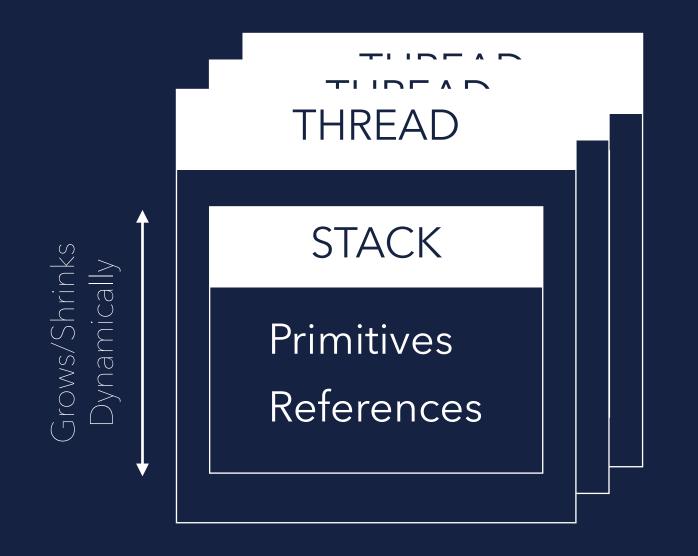
Local access -> thread safe



Shared access -> Not thread safe

Needs Garbage Collection

Stack, Heap and Metaspace



Local access -> thread safe



Shared access -> Not thread safe

Needs Garbage Collection

METASPACE

Class Metadata
Constant Pool
Method bytecode

No fixed size, grows dynamically

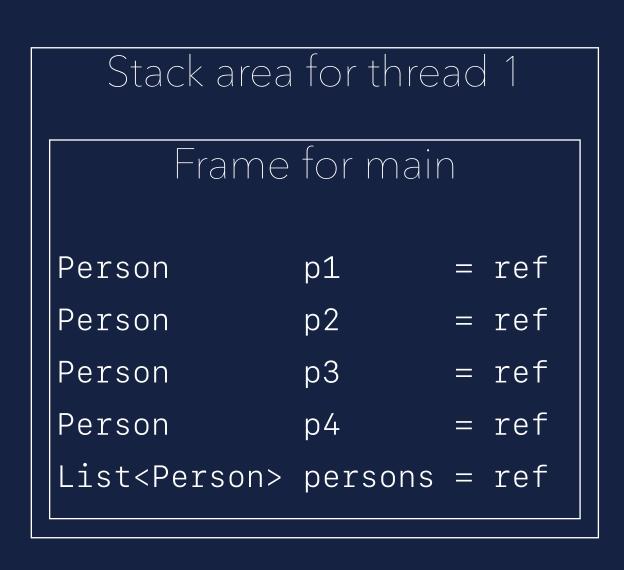
Contains info needed for JVM to work with classes

```
public static void main(String[] args) {
    record Person(String name) {
       @Override public String toString() { return name(); }
    Person p1 = new Person("Gerrit");
    Person p2 = new Person("Sandra");
    Person p3 = new Person("Lilli");
    Person p4 = new Person("Anton");
    List<Person> persons = Arrays.asList(p1, p2, p3, p4);
    System.out.println(p1); // -> Gerrit
```

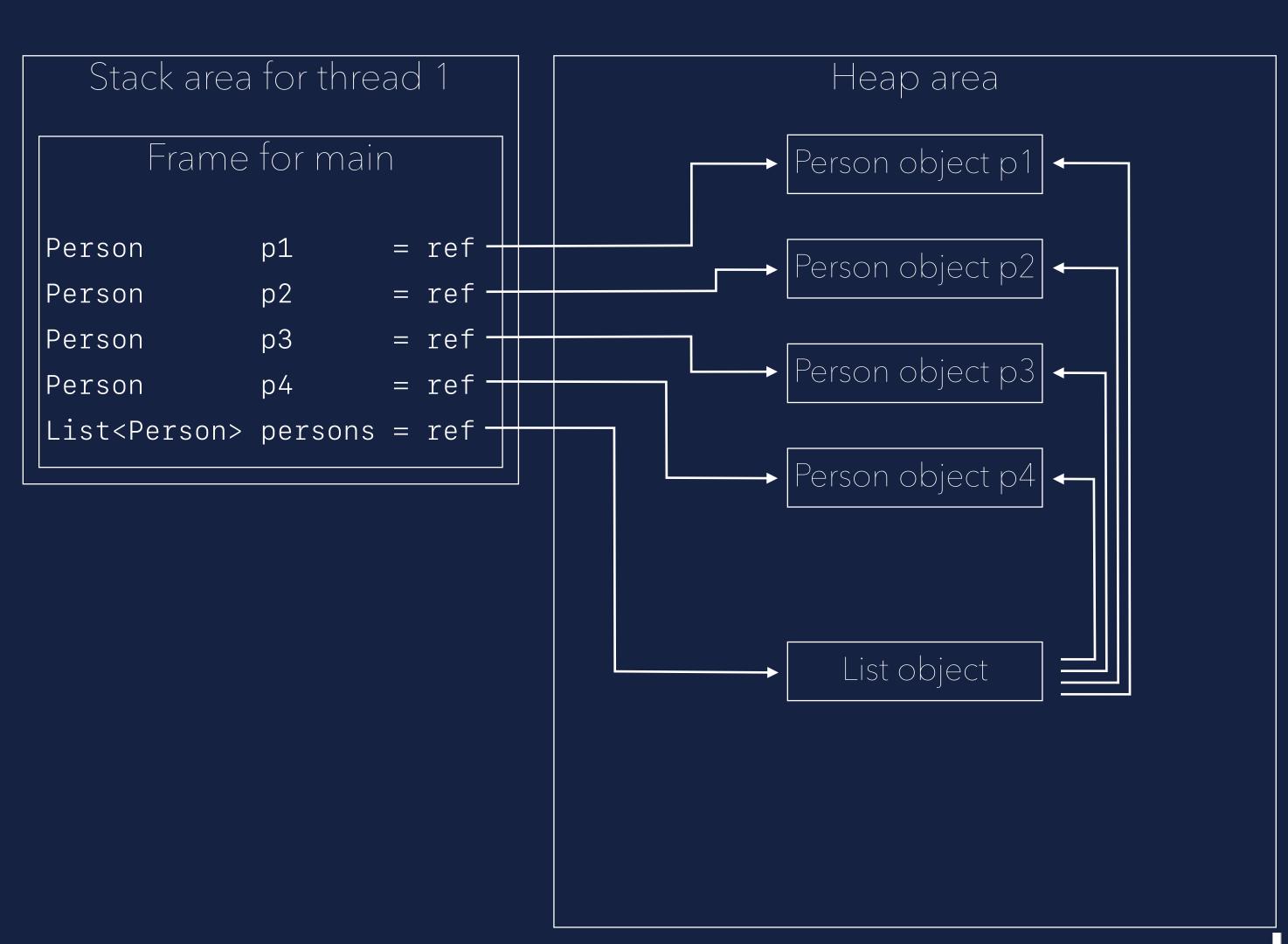
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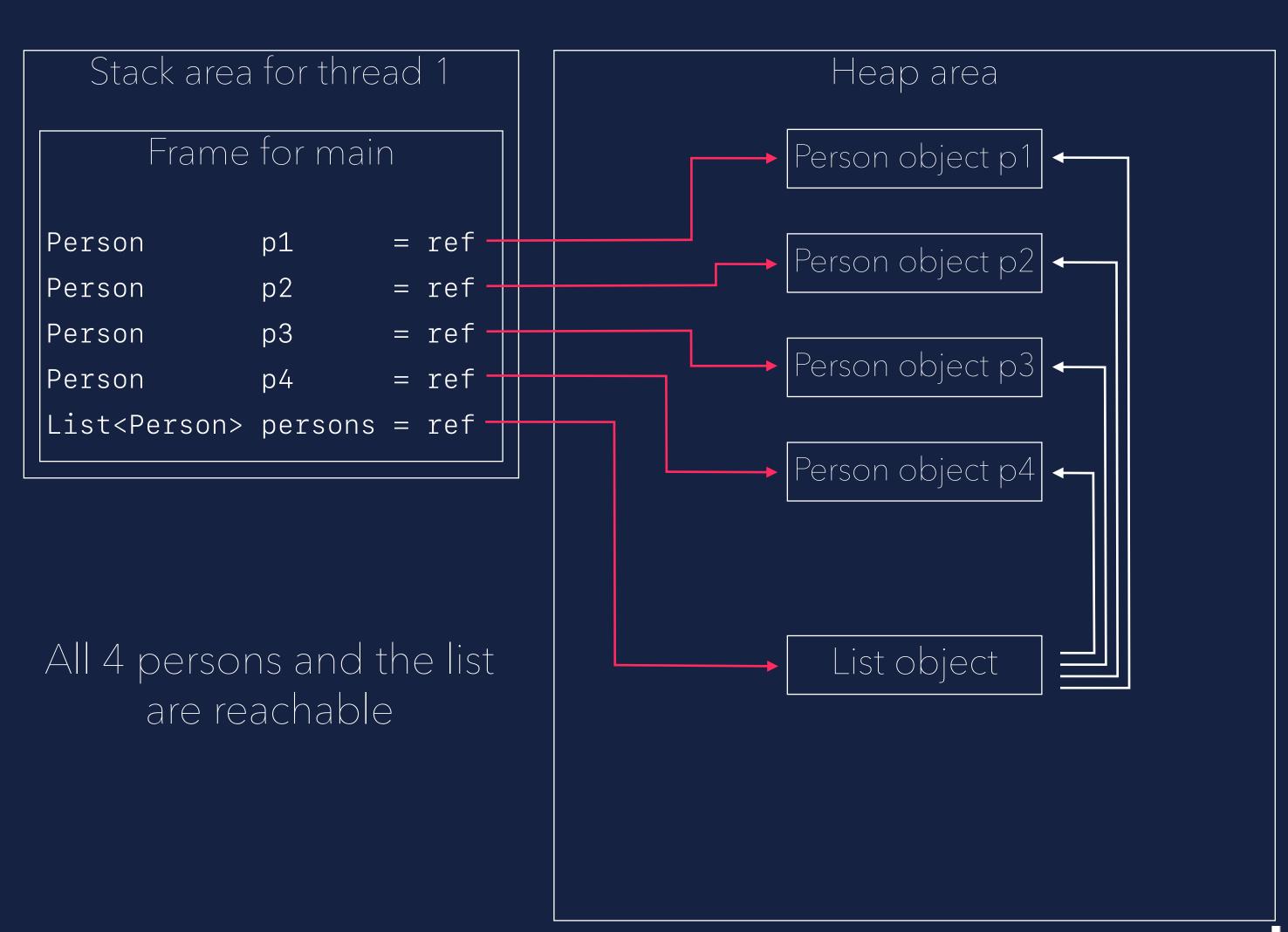
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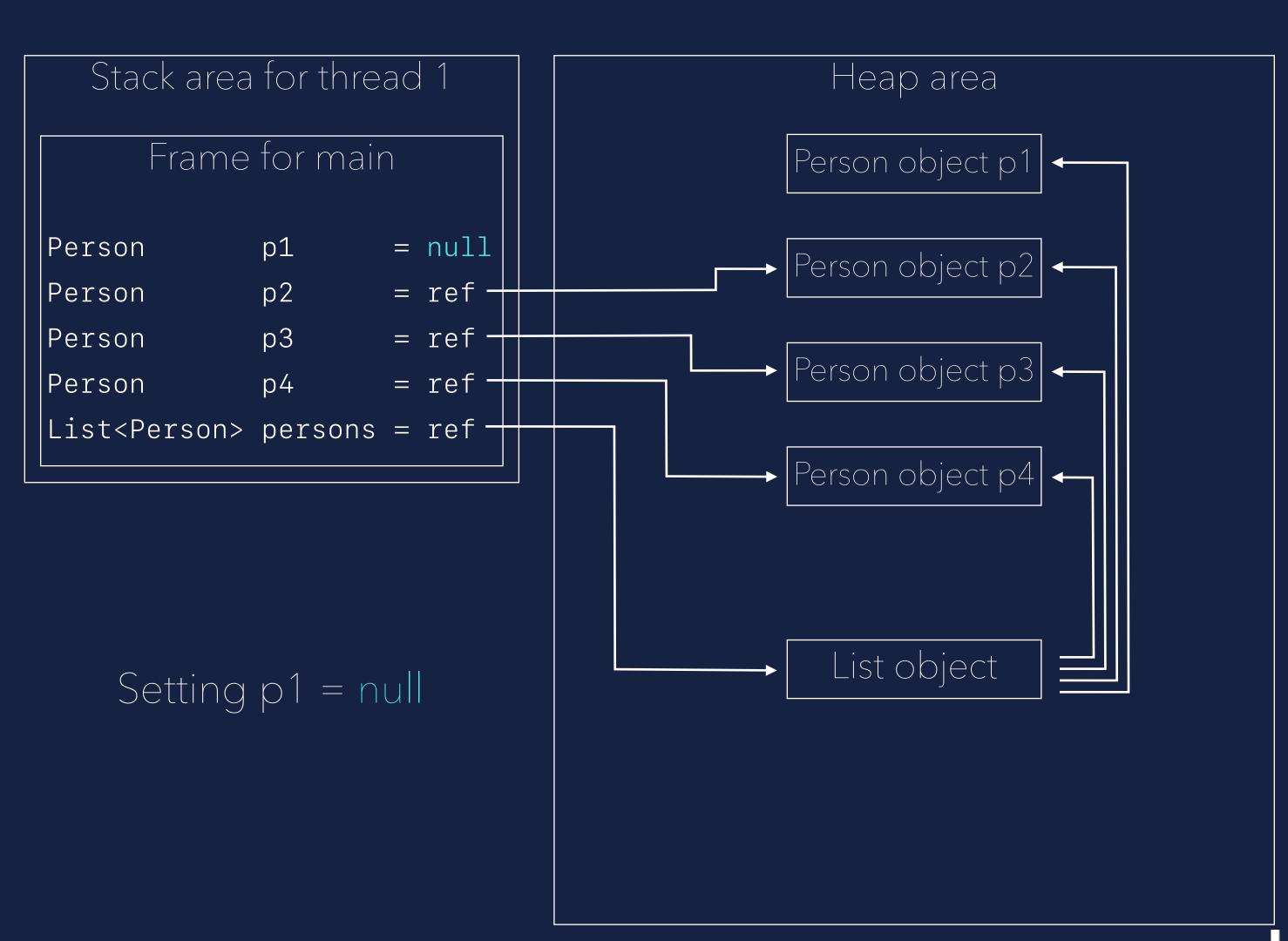
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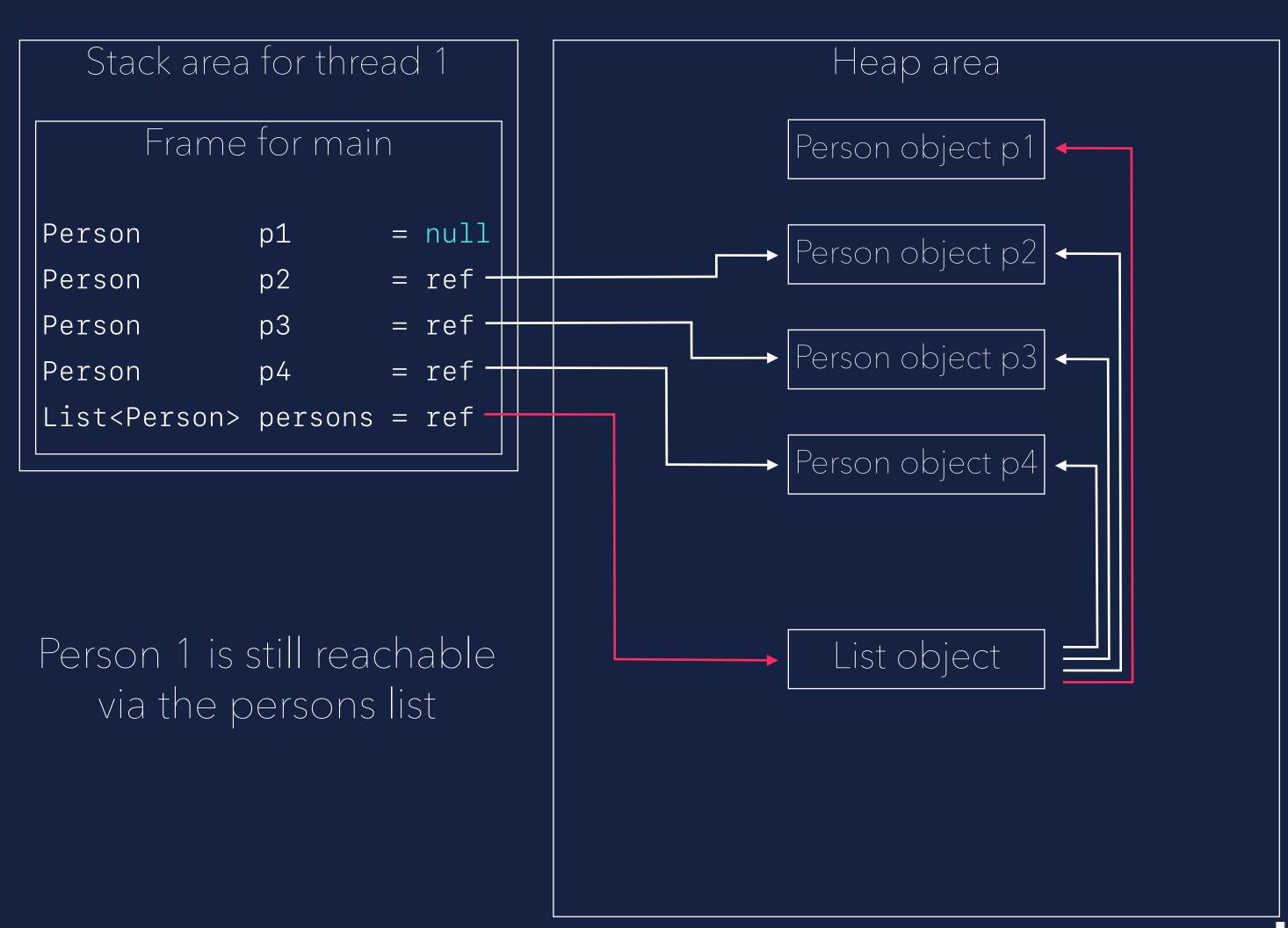
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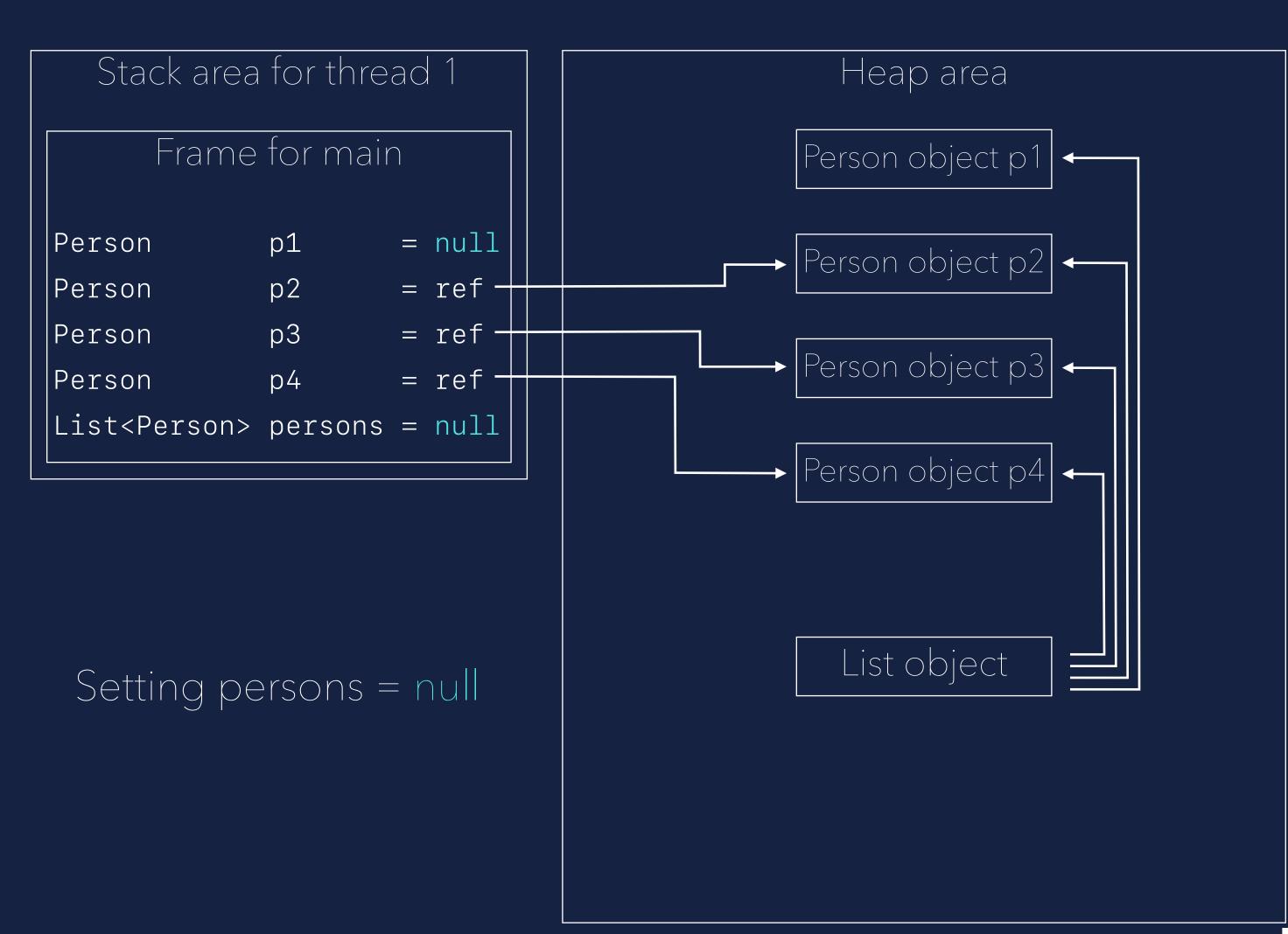
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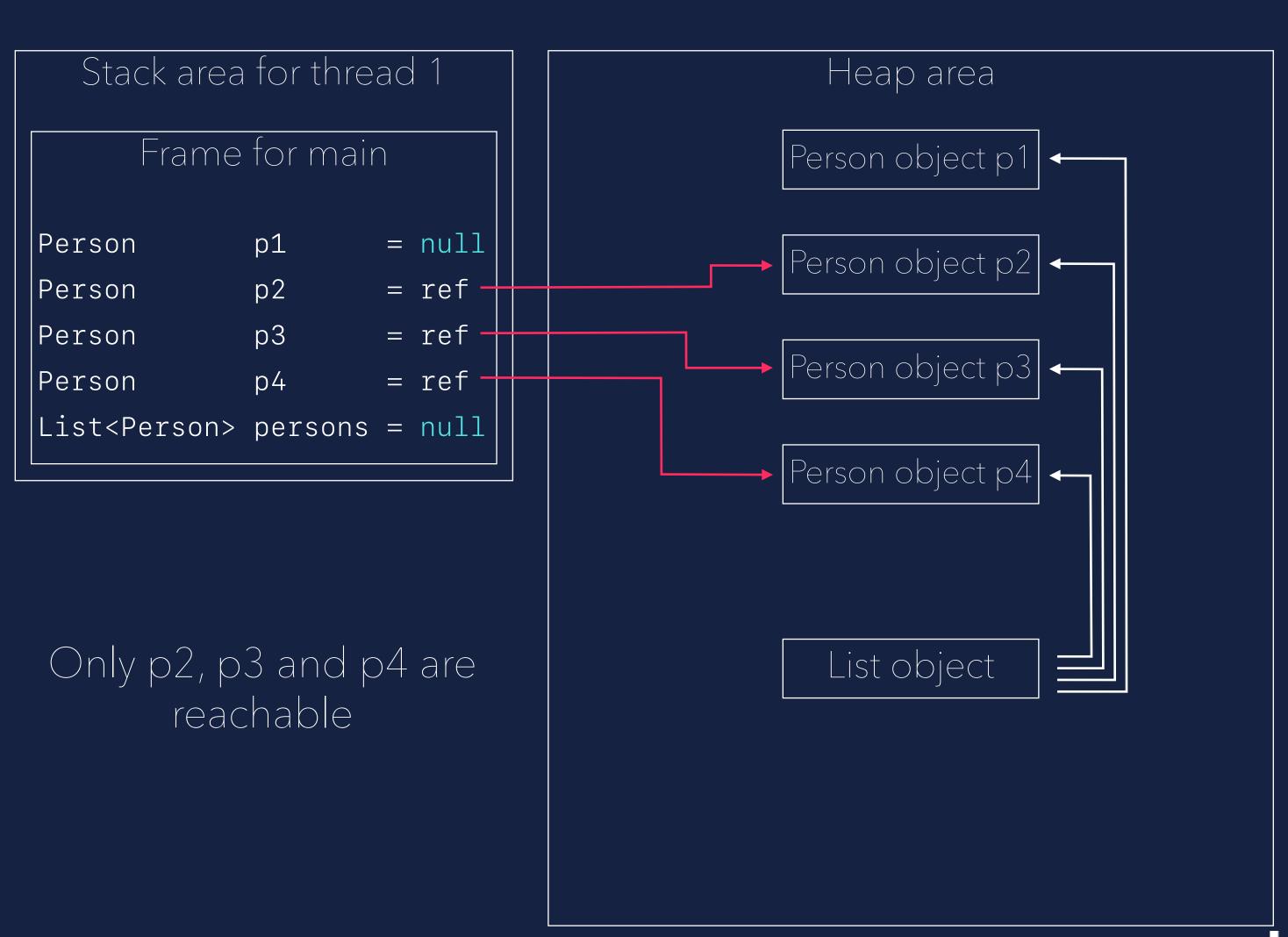
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    System.out.println(persons.get(0)); // -> Gerrit
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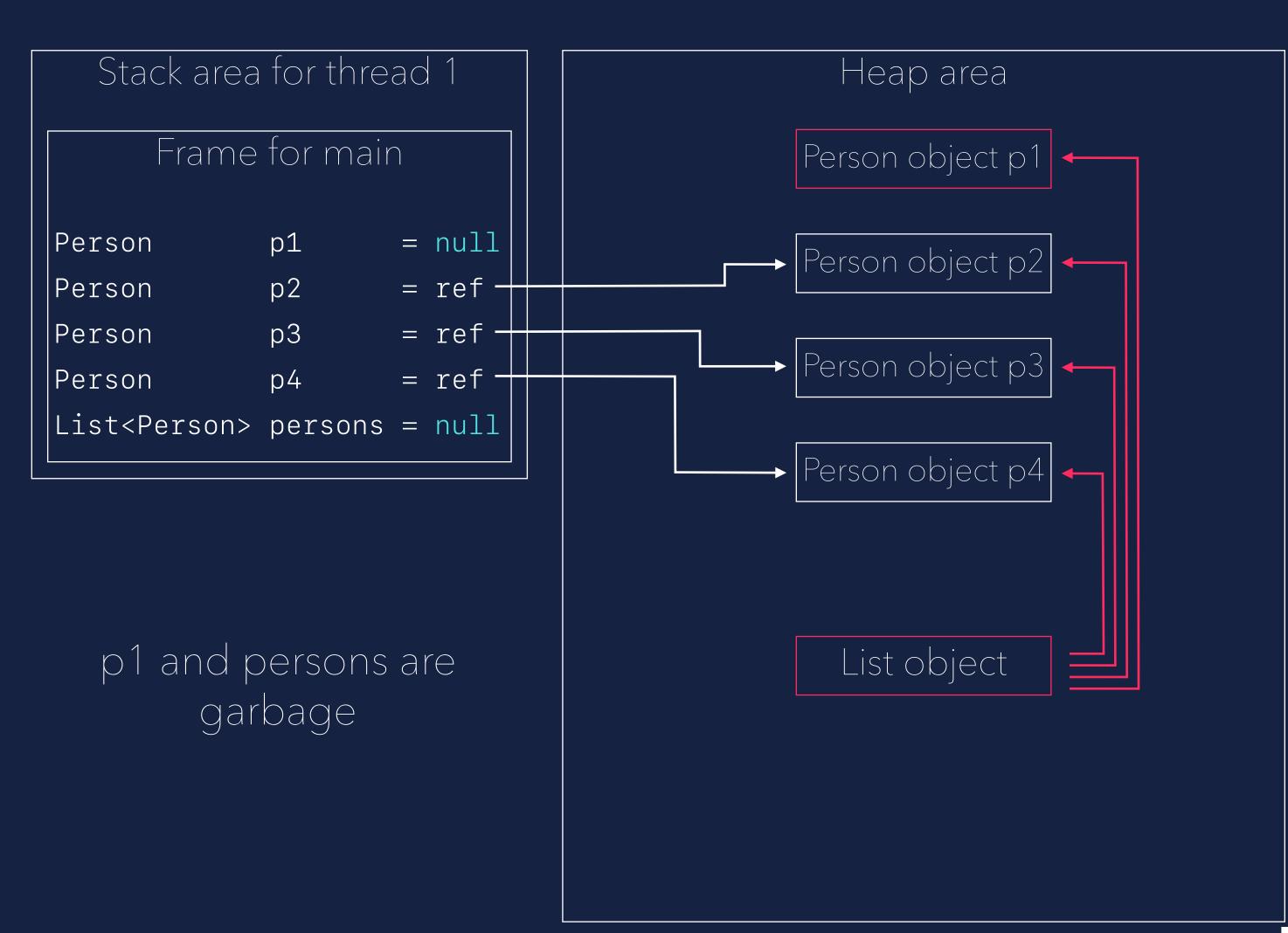
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HOW TOGET RIDORITARIOSER

What is it...

Form of automatic memory management

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- Form of automatic memory management
- Identifies and reclaims no longer used memory

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- Ensures efficient memory utilisation

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- Form of automatic memory management
- Identifies and reclaims no longer used memory
- Ensures efficient memory utilisation
- Frees user from managing the memory manually

Phases (precise collectors)

Tracing
Identify live objects on the heap

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- Tracing
 Identify live objects on the heap
- Freeing
 Reclaim resources held by dead objects

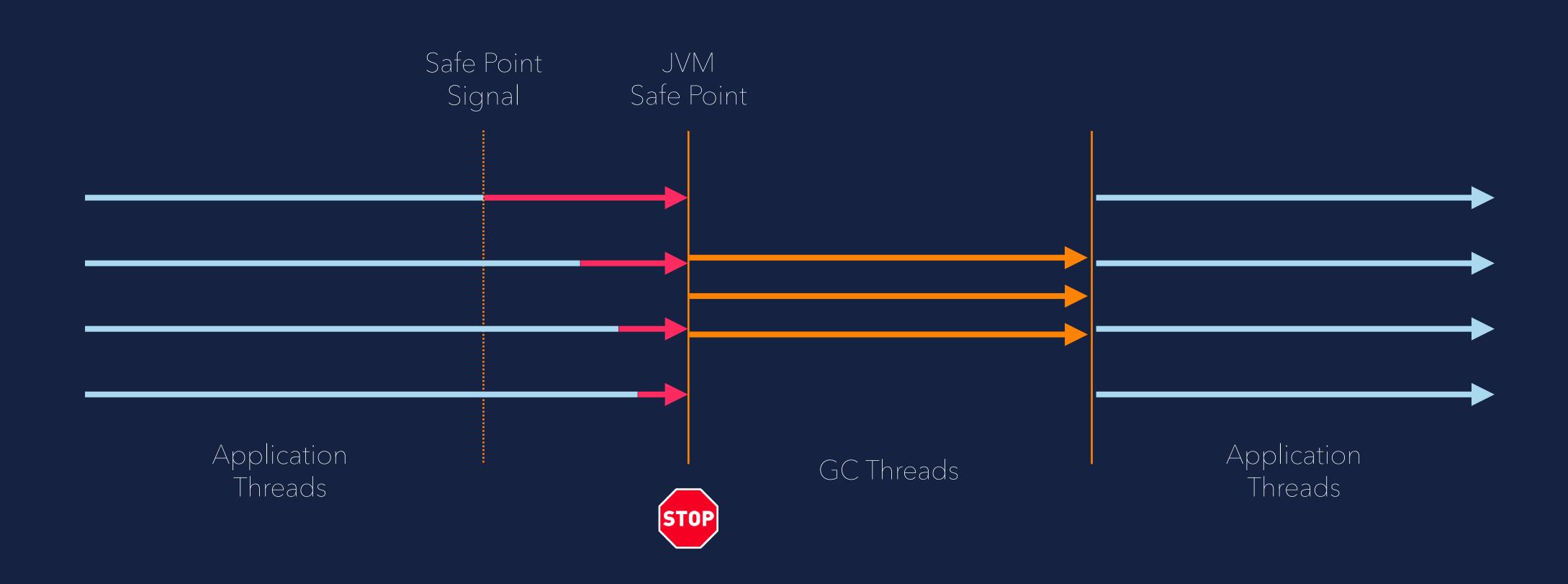
Phases (precise collectors)

- Tracing
 Identify live objects on the heaps
- Freeing
 Reclaim resources held by dead objects
- Compaction
 Periodically relocate live objects

STOPPING THE WAR TO THE RESERVE THE RESERV

STOPPING THE WORLD

Halt of all application threads



COLLECTORS

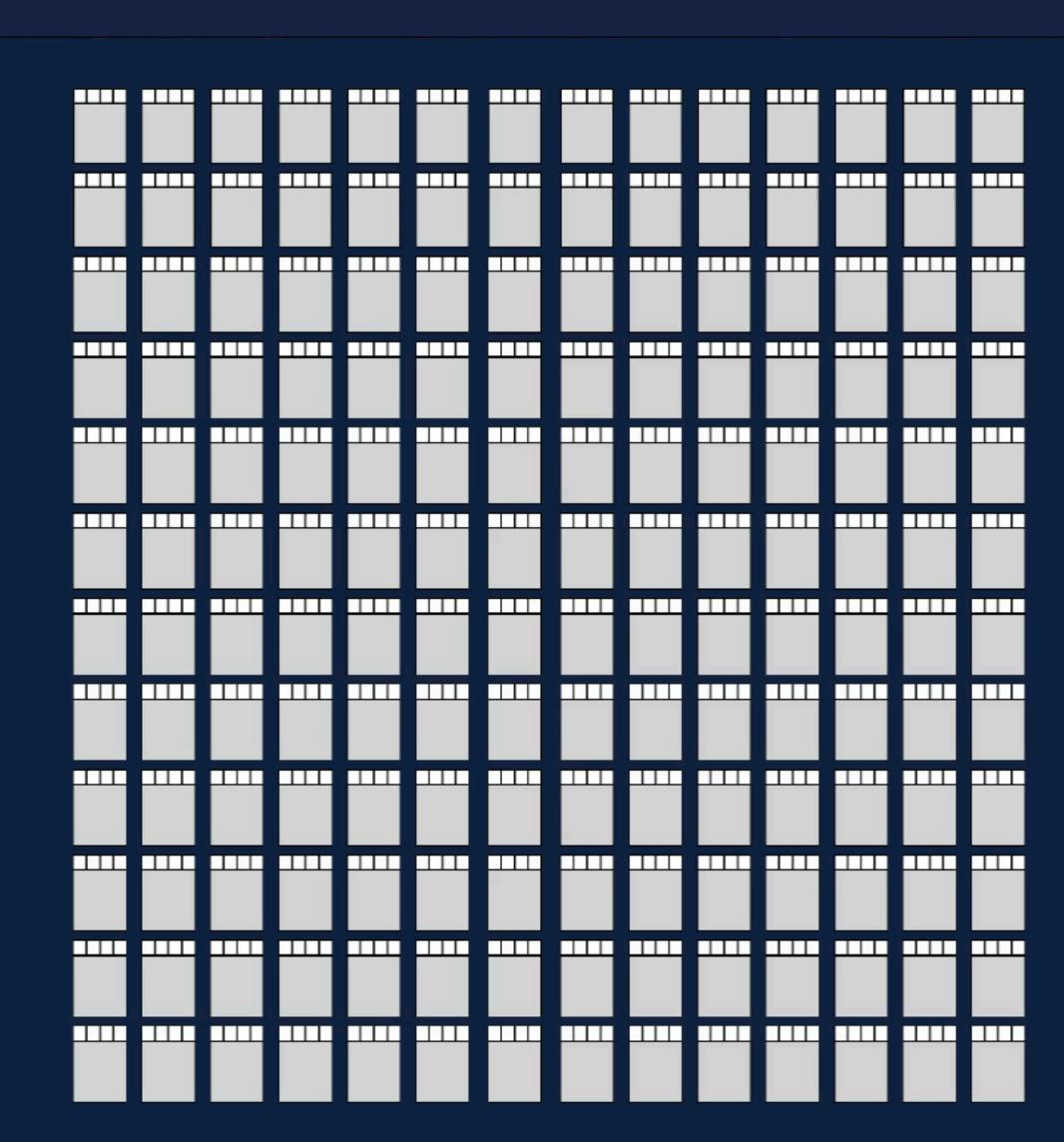
NON MOVING COLLECTOR

Mark & Sweep

NONMOVING COLLECTOR

Demo

- 1. Mutator allocates cells in Heap
- 2. Heap is out of memory -> GC
- 3. Mark all live cells
- 4. Free all dead cells
- 5. Unmark all live cells
- 6. Resume Mutator





Referenced Cell

Dereferenced Cell

Marked Cell

Referenced Cell (survived 1 GC)

<u>.</u> -ragmentation

MOVING COLLECTOR

Compacting Collector & Copy Collector

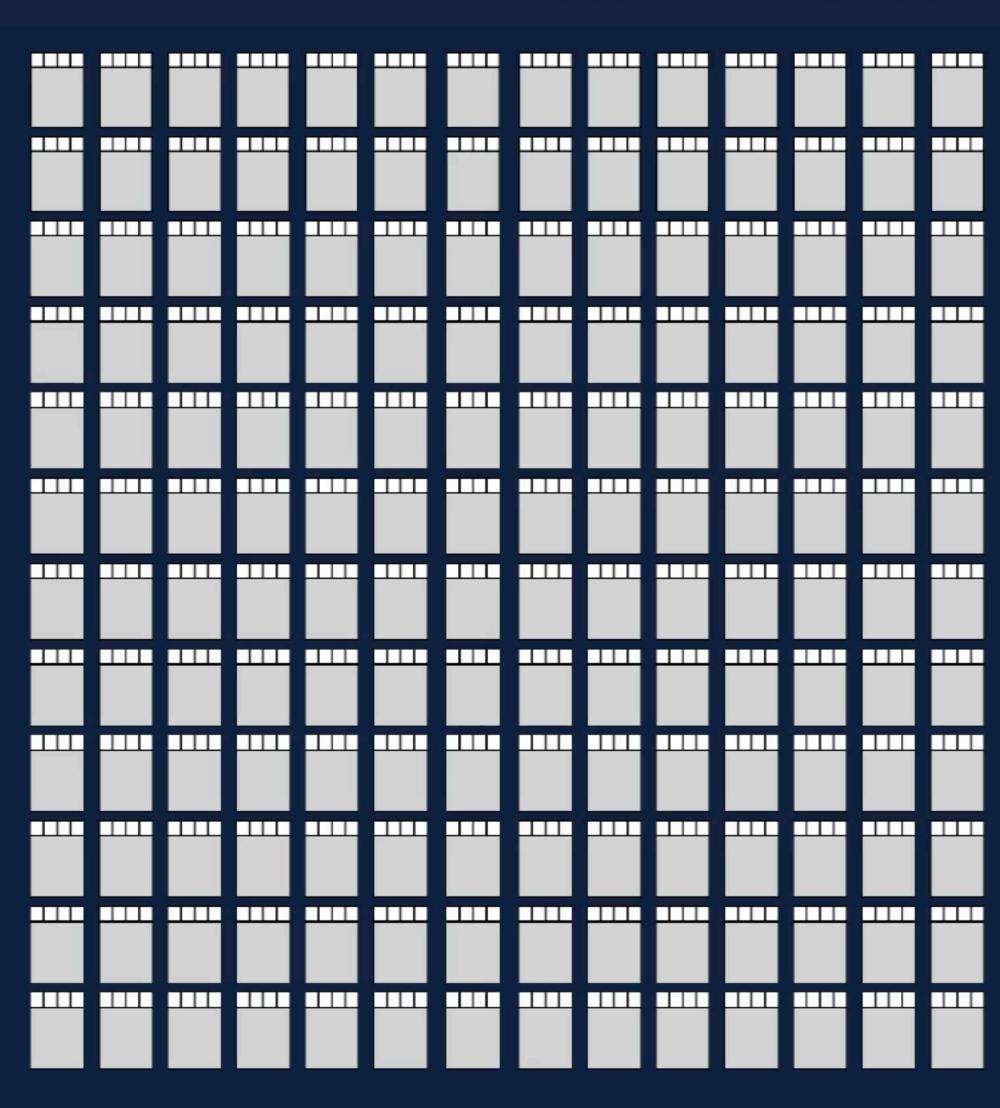
COMPACTING COLLECTOR

Mark & Compact

COMPACTING COLLECTOR

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Referenced Cell

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Marked Cell

Referenced Cell (survived 1 GC)



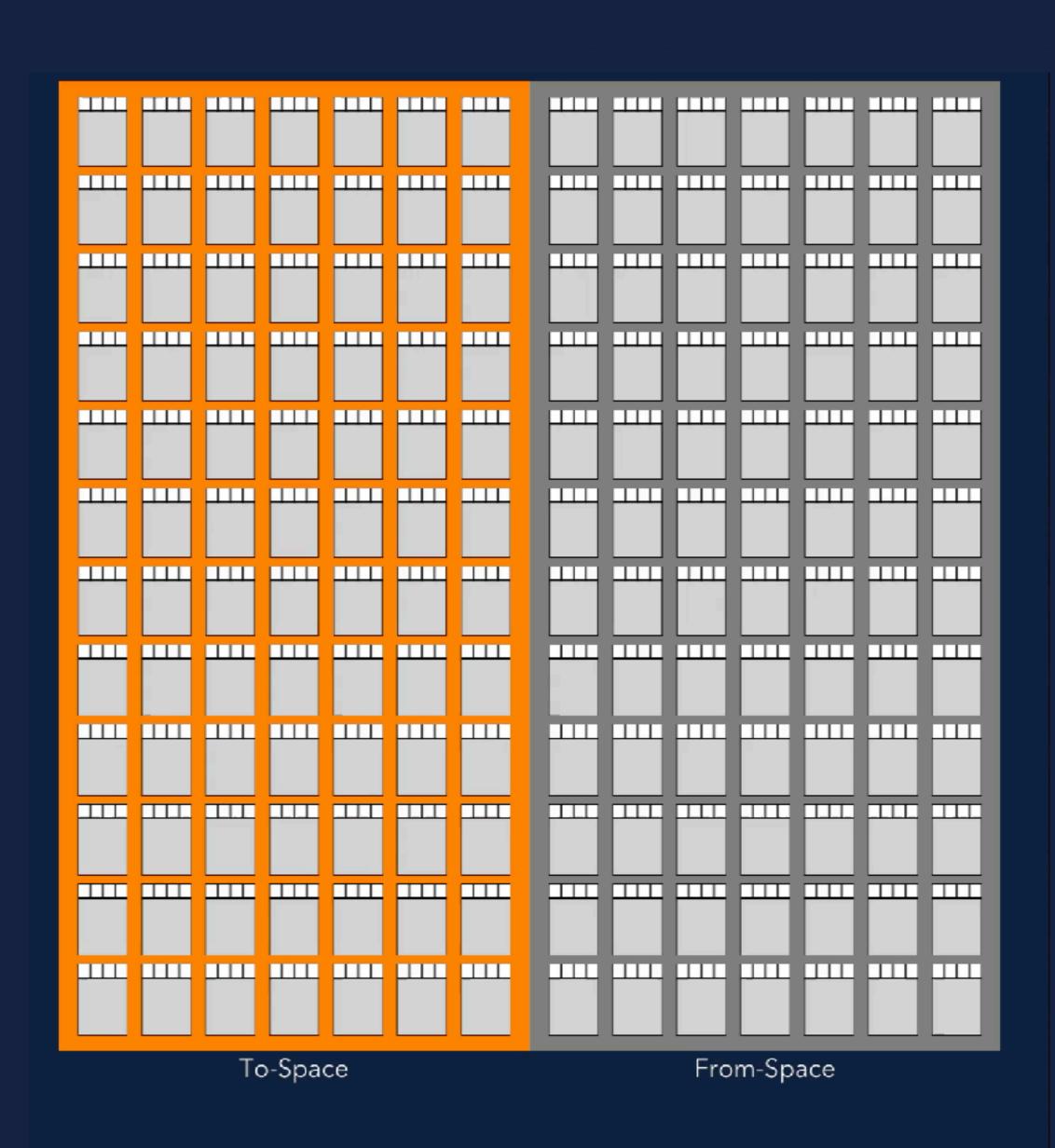
COLLECTOR COLLECTOR

Mark & Copy

COPY COLLECTOR

Demo

- 1. Allocating in ToSpace
- 2. ToSpace is out of memory -> GC
- 3. Toggle To- and FromSpace
- 4. Mark live cells in FromSpace
- 5. Copy live cells to ToSpace
- 6. Free all cells in FromSpace
- 7. Resume Mutator



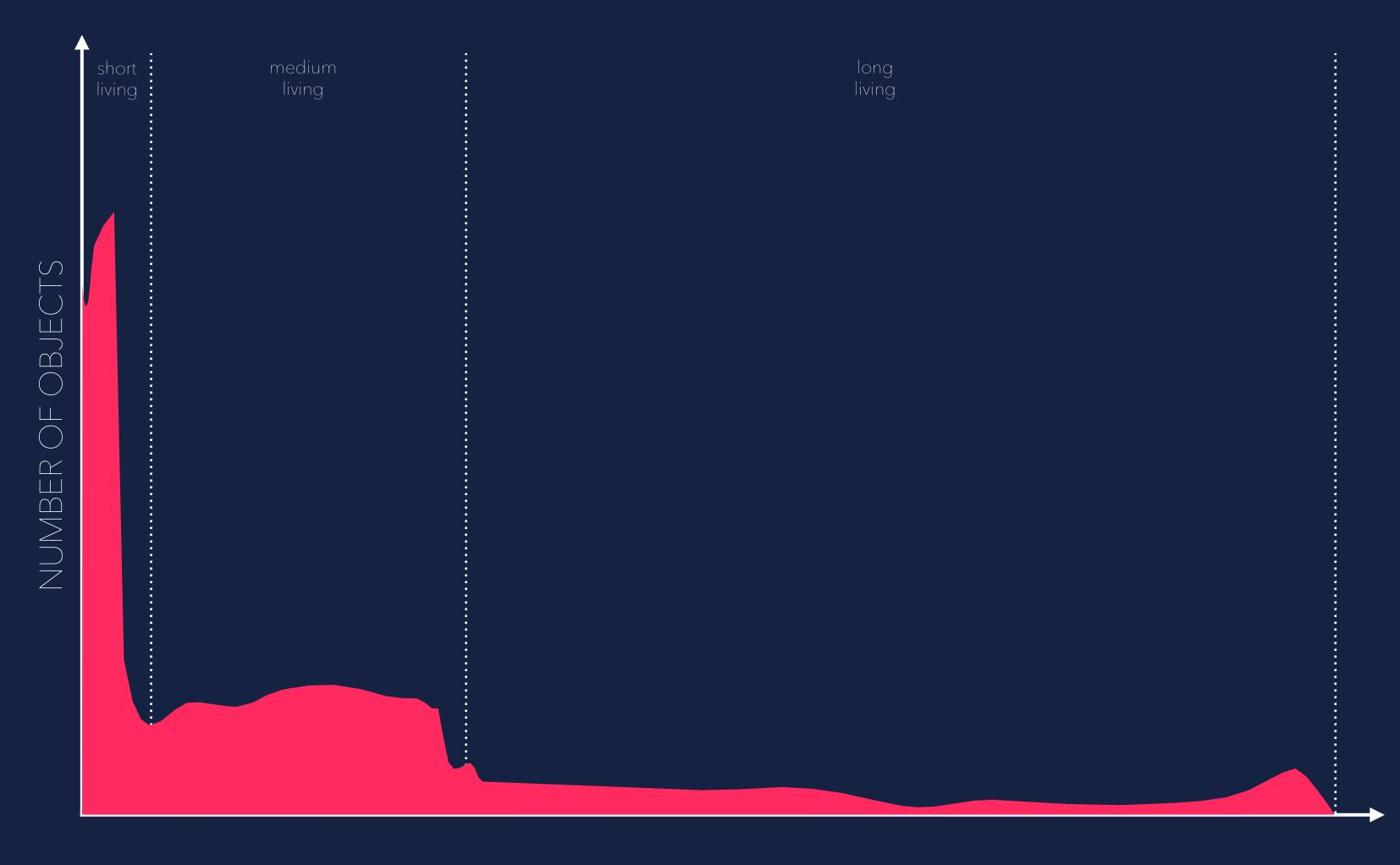
Free Cell
Referenced Cell
Dereferenced Cell
Marked Cell
Referenced Cell (survived 1 GC)
To Space

Long living objects and twice as much memory

From Space

Generational Mark & Compact

Weak Generational Hypothesis (Most objects die young)

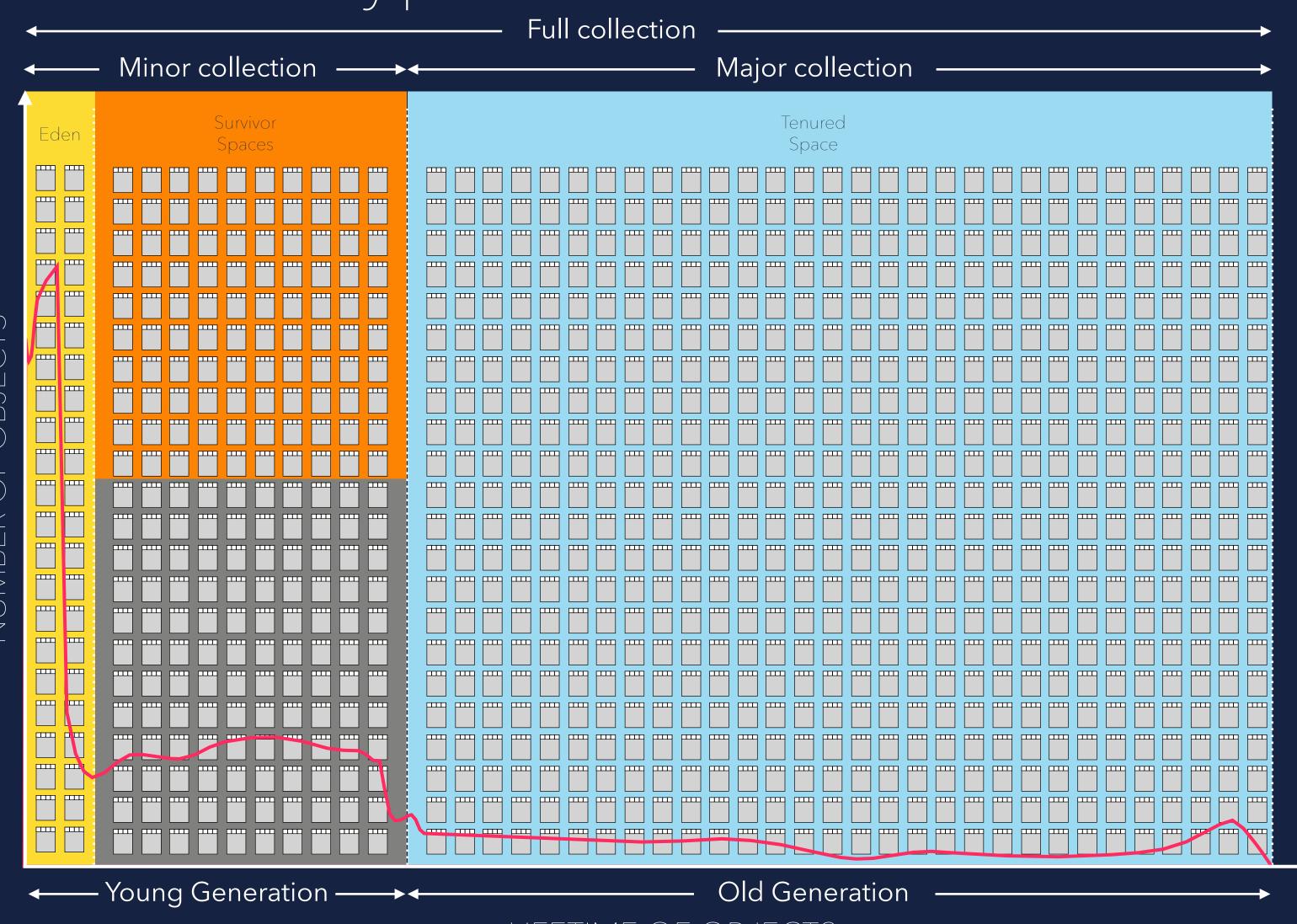


Weak Generational Hypothesis (Most objects die young)

Eden space for short living objects

Survivor spaces for medium living objects

Tenured space for long living objects

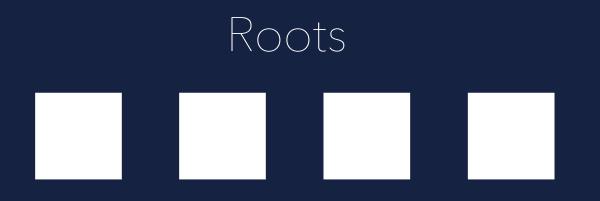


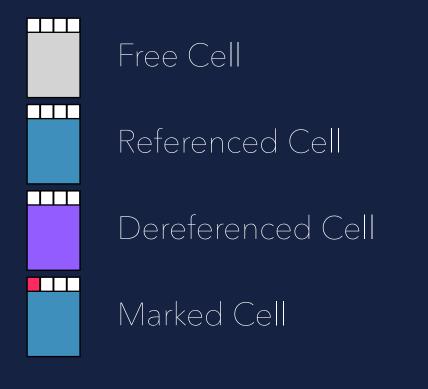
Demo

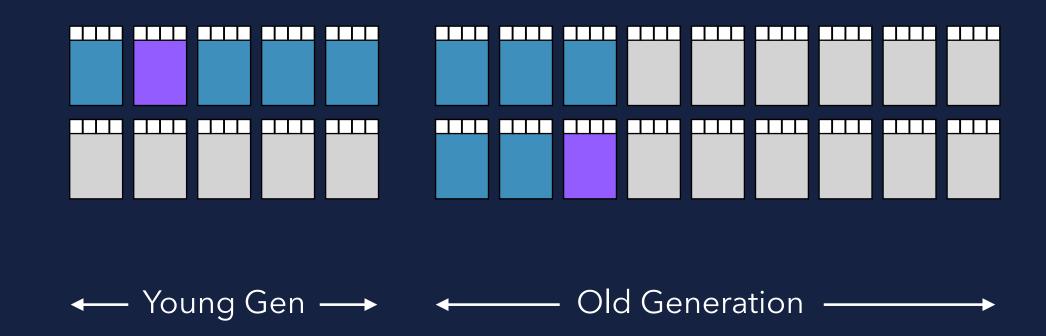
- 1. Mutator allocates cells in Eden
- 2. Eden is out of memory -> GC
- 3. Toggle To- and From Space
- 4. Copy all live cells from From Space to To Space
- 5. Copy all live cells from Eden to ToSpace
- 6. Promote live cells from FromSpace to TenuredSpace
- 7. Free all dead cells
- 8. Resume Mutator

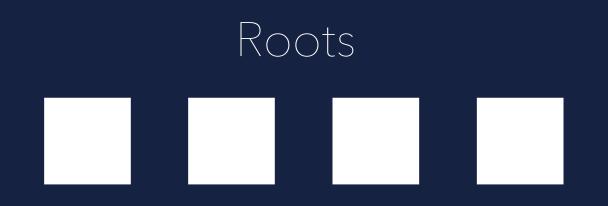


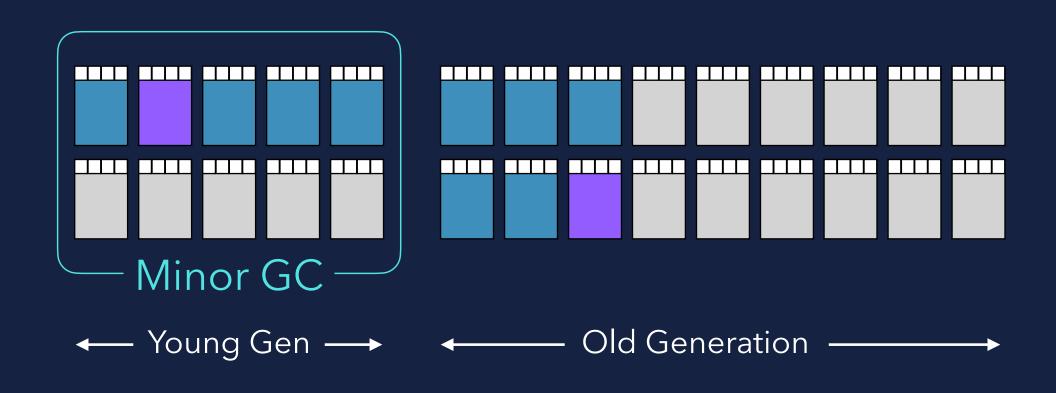
How to do a minor collection with references from old to young generation...?

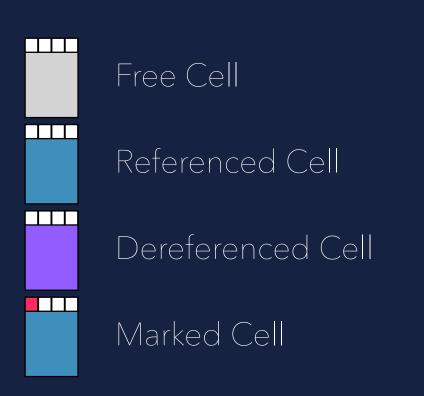


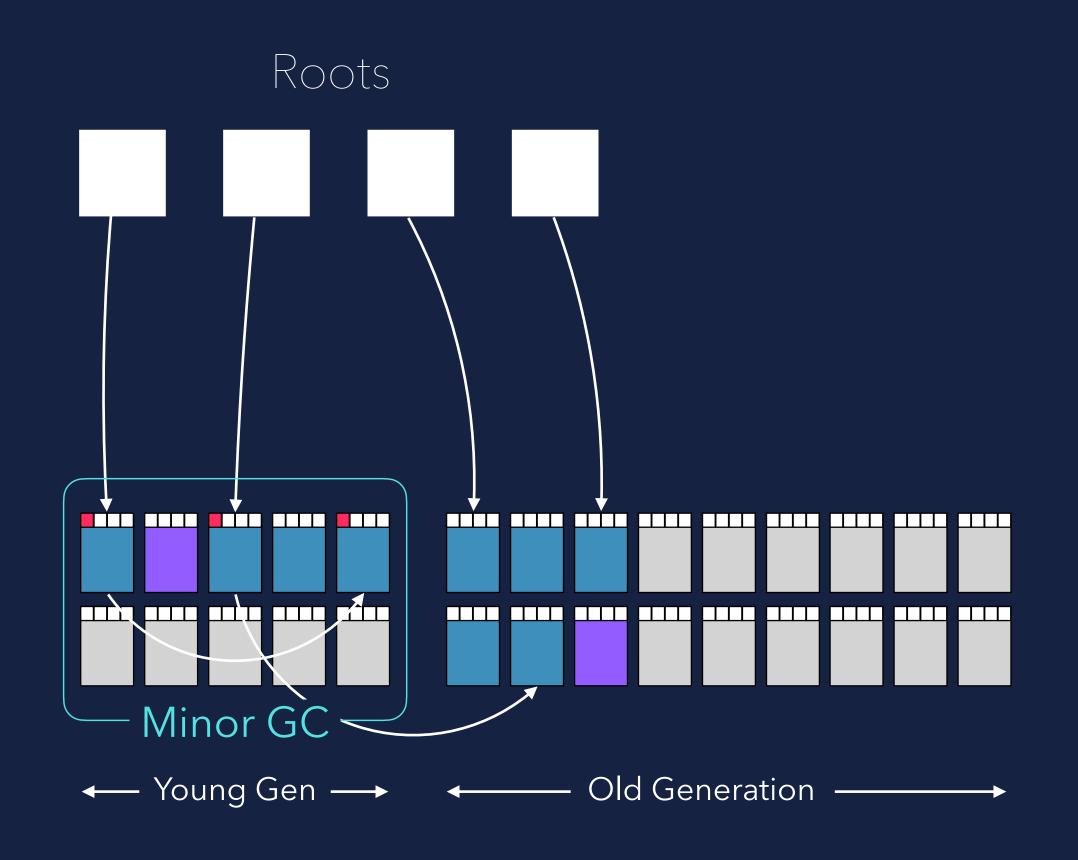


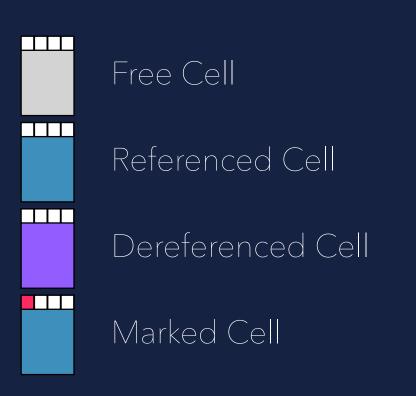


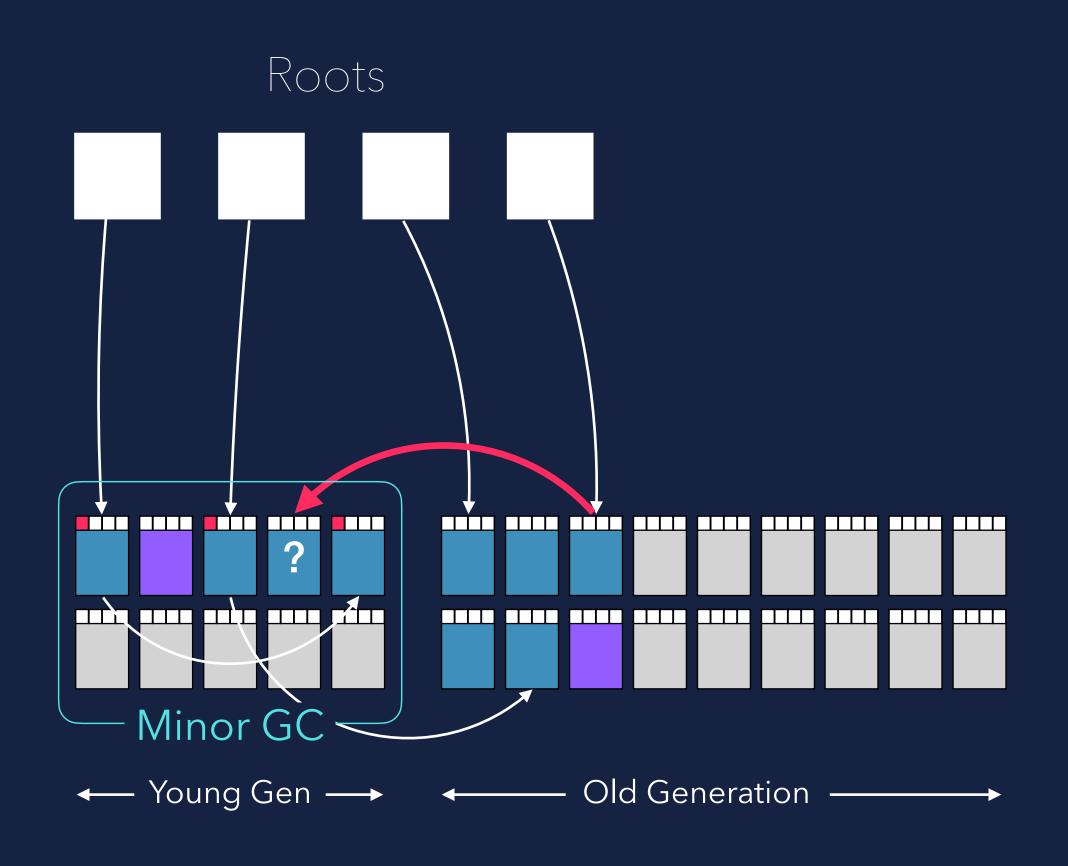


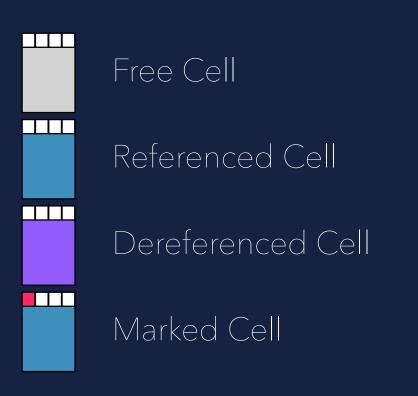


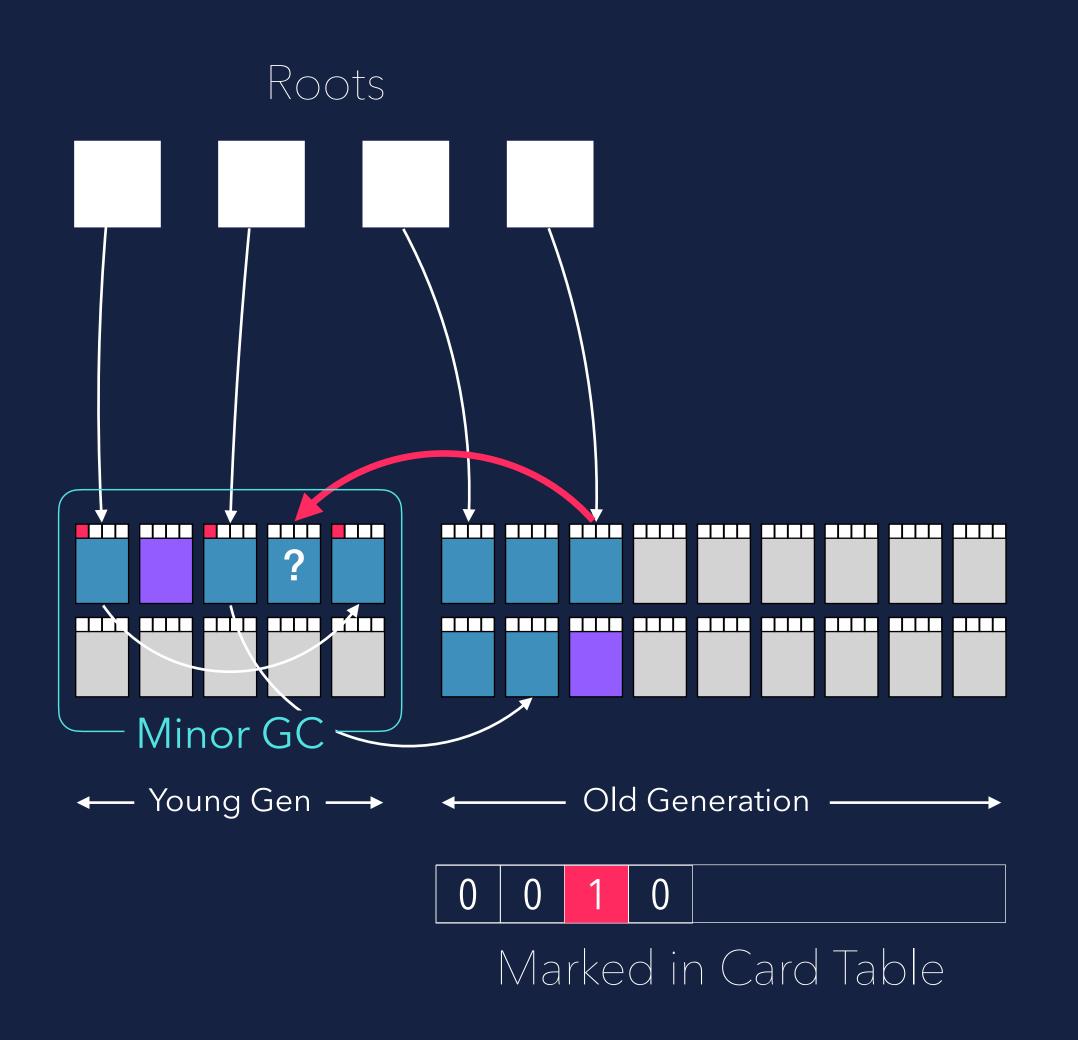


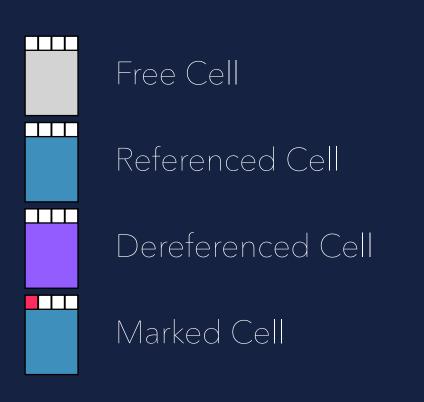




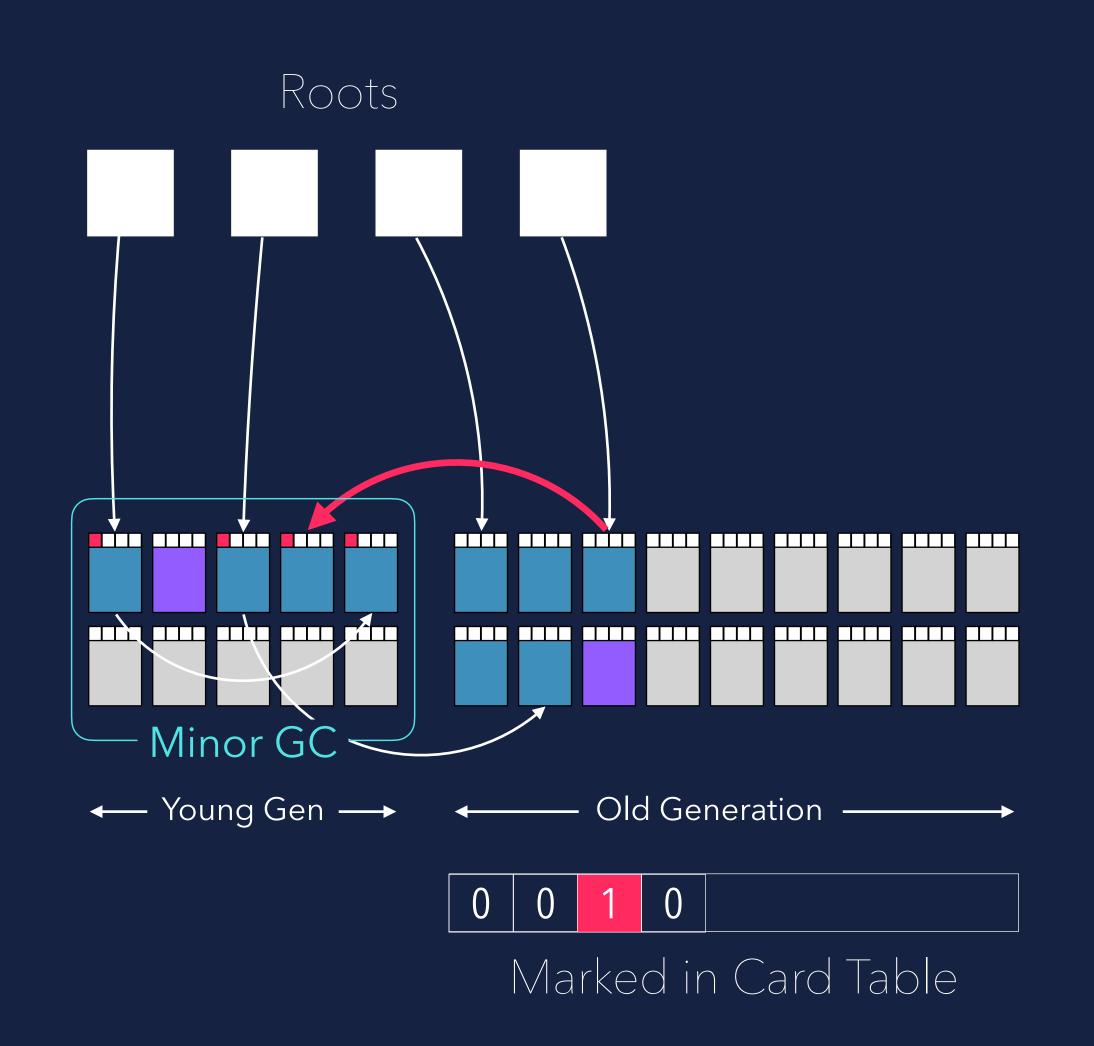


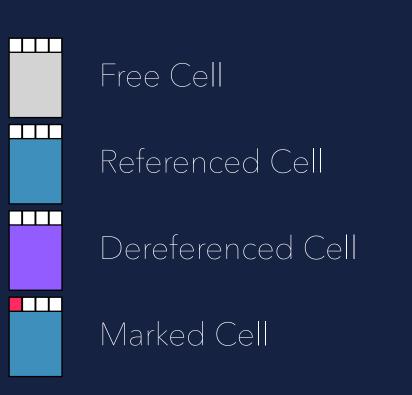






Remembered Set (Card Table)





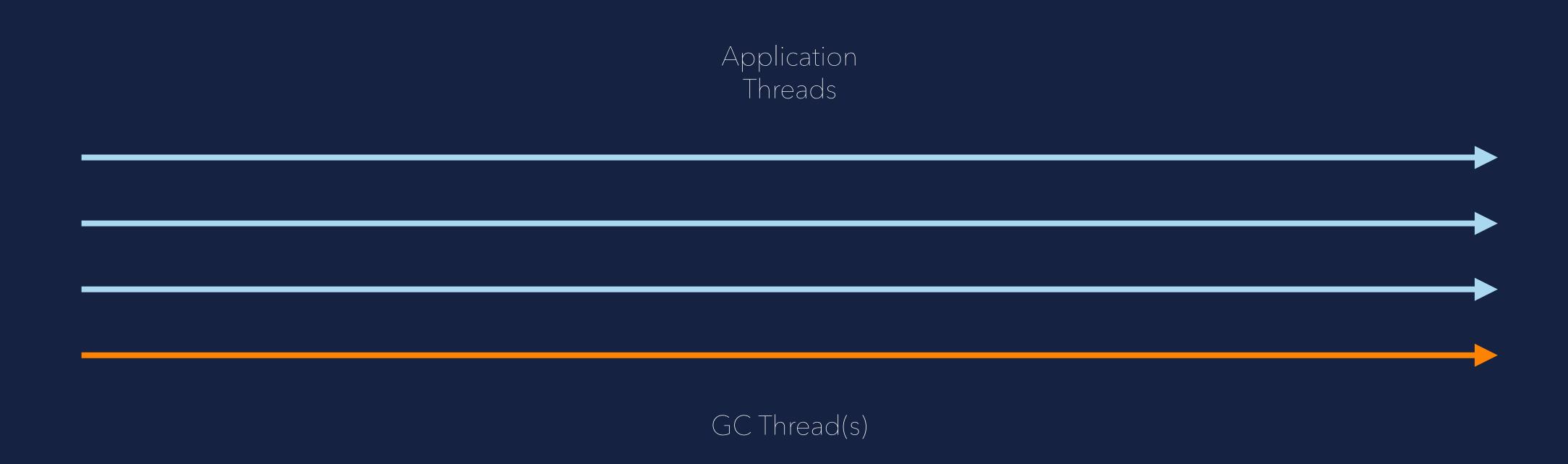
GC looks up Card Table, finds the reference and marks it as live

CONCURRENT COLLECTION?

STOP THE

CONCURRENT COLLECTION

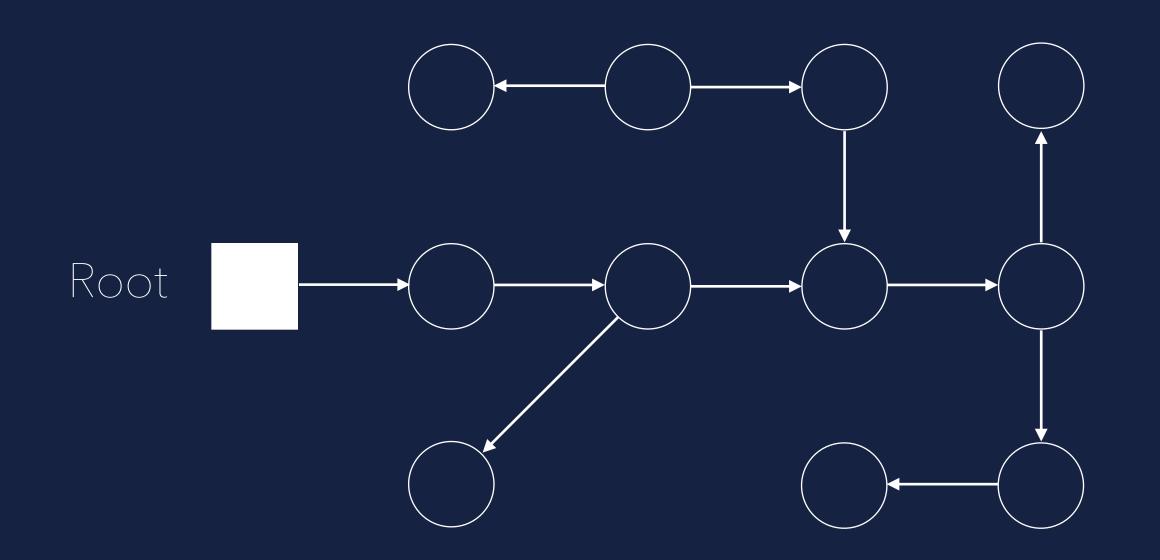
Application and GC running concurrently



CONCURRENT MARKING

CONCURRENCUISHARD...

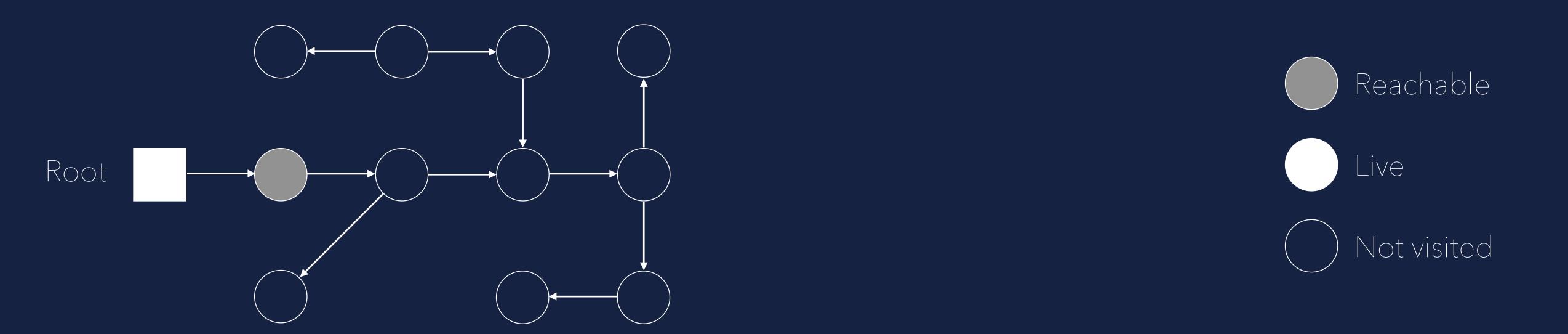
Concurrent Marking





CONCURRENCY IS HARD...

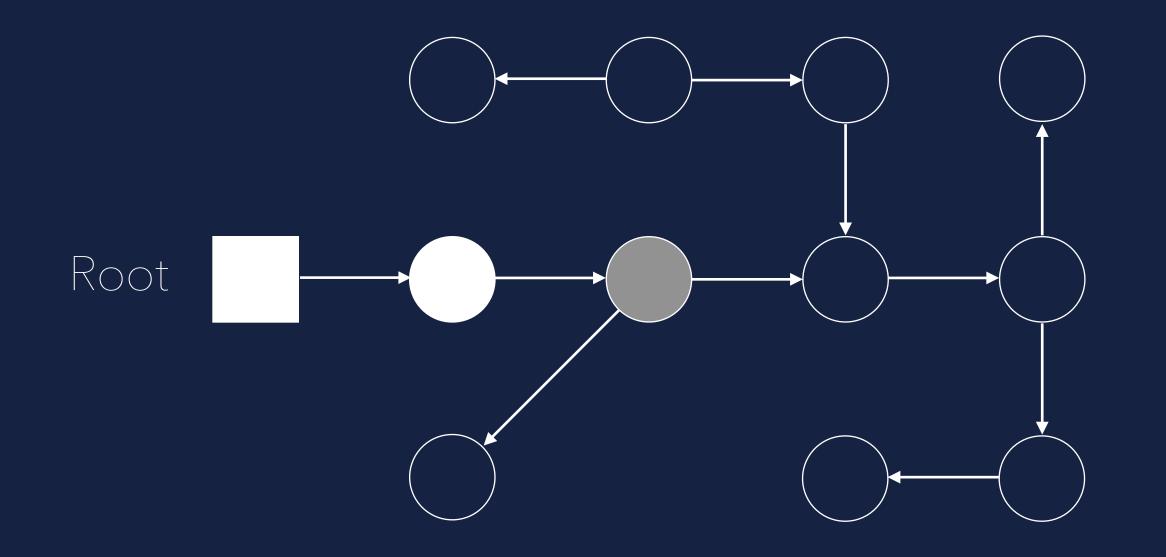
Concurrent Marking



Collector starts marking objects

CONCURRENCY IS HARD...

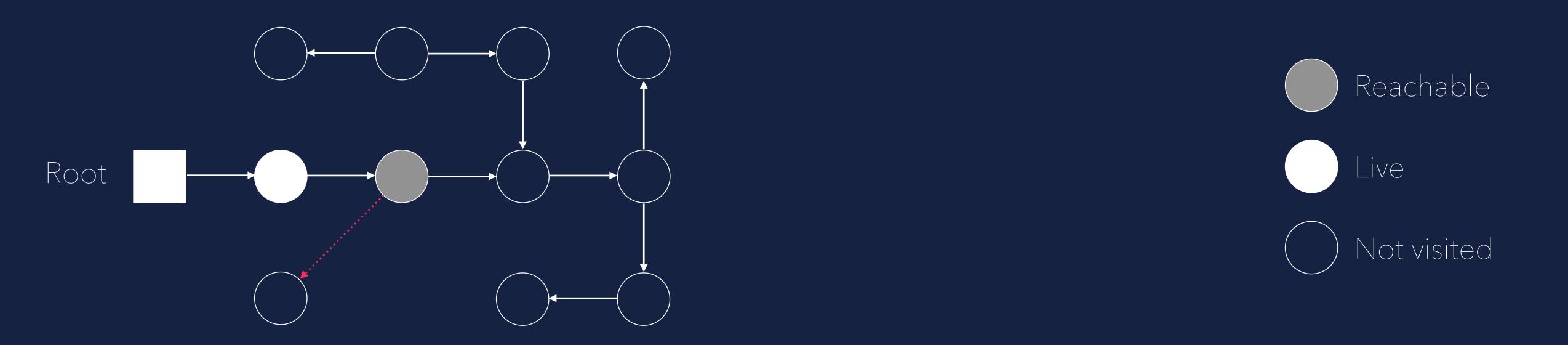
Concurrent Marking





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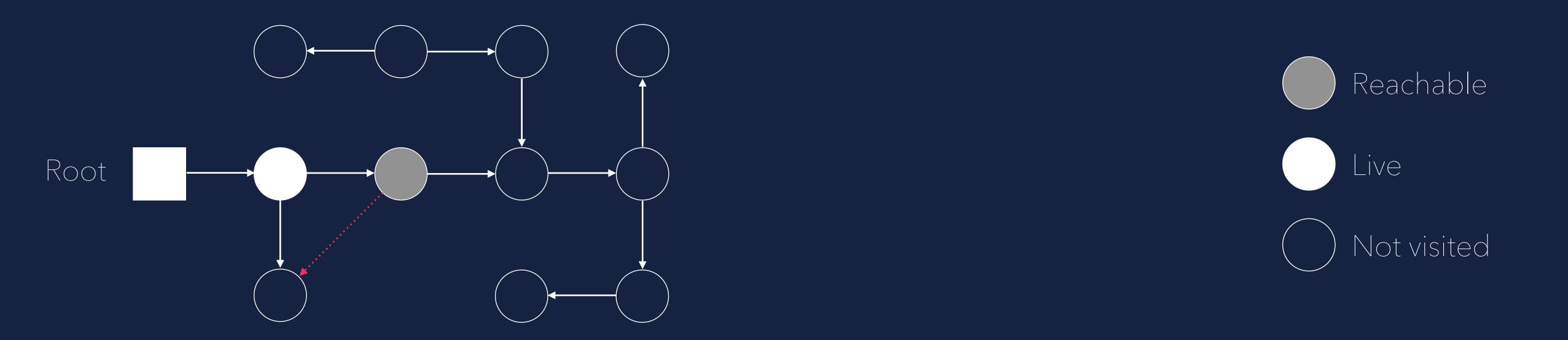
Concurrent Marking



Mutator removes reference and creates a new one from an already visited cell!

CONCURRENCY IS HARD....

Concurrent Marking



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CONCURRENCUIS HARD...

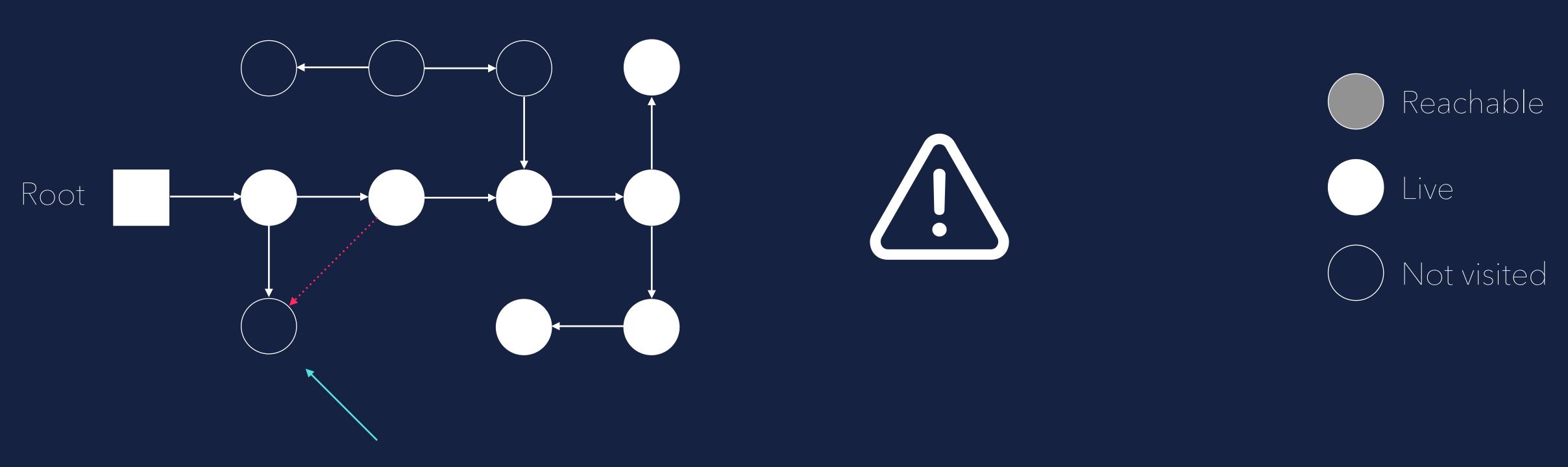
Concurrent Marking



Won't be detected by the Garbage Collector!

CONCURRENCY IS HARD...

Concurrent Marking



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BARRIERS TO THERESCUE

Read / Write Barriers

Mechanisms to execute memory management code when a read/write on some object takes place

Read / Write Barriers

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- Used to keep track of inter-generational references. (references from old generation to young generation, the so called Rembered Set)

Read / Write Barriers

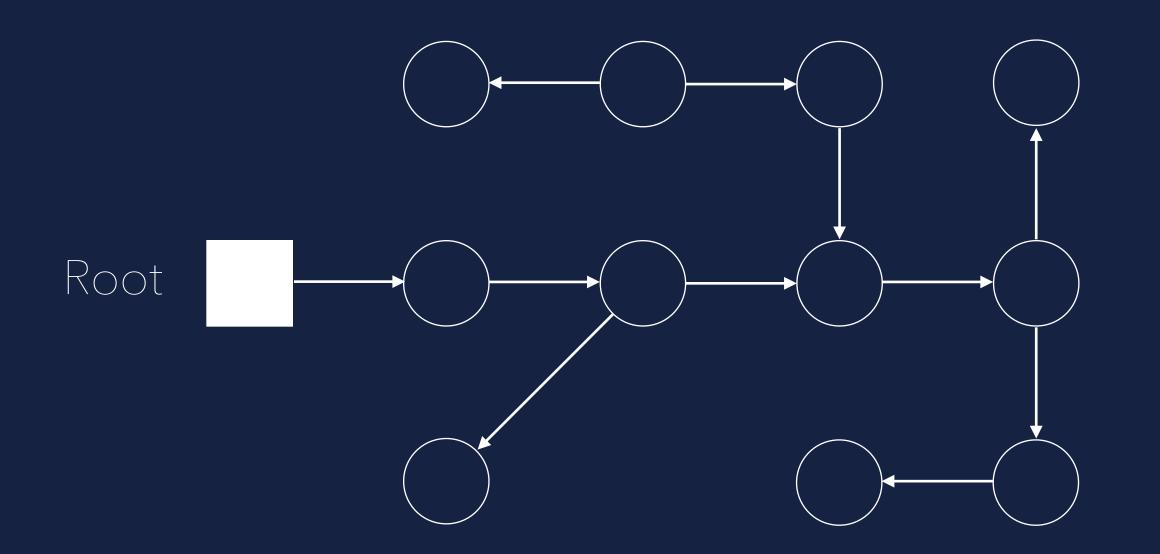
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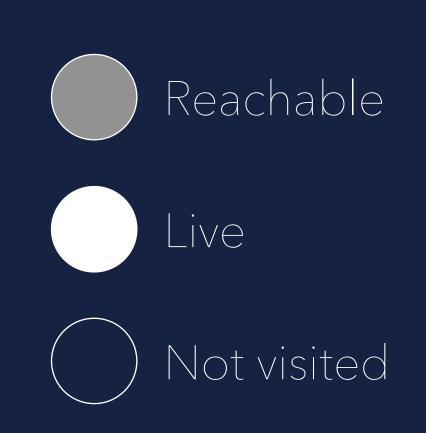
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- Used to synchronize action between mutator and collector (allocation concurrent to collection)
- Read Barriers are usually more expensive (reads 75% to writes 25% -> Read Barriers must very efficient)

CONCURRENCY IS HARD...

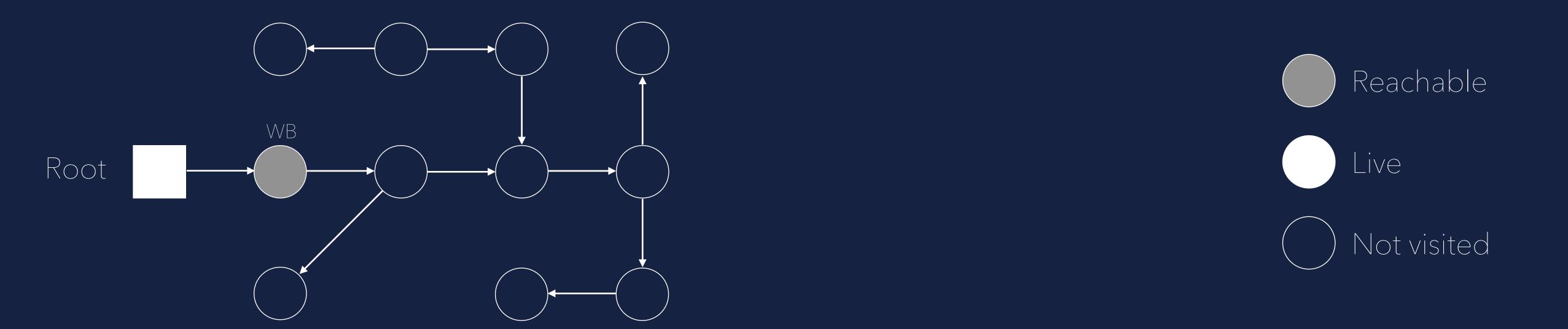
Concurrent Marking using Write Barriers



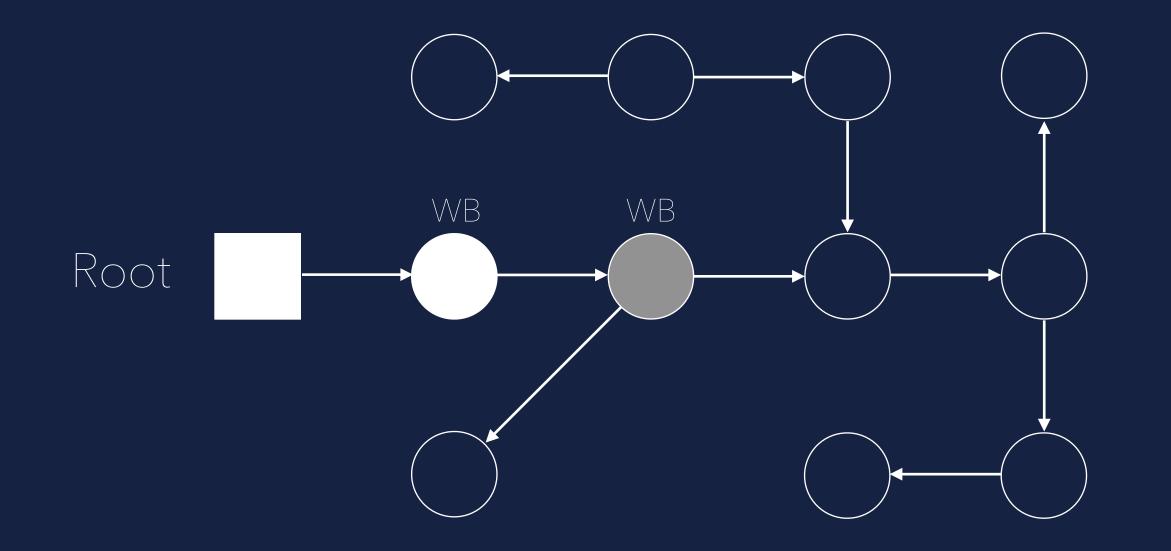


CONCURRENCUIS HARD...

Concurrent Marking using Write Barriers

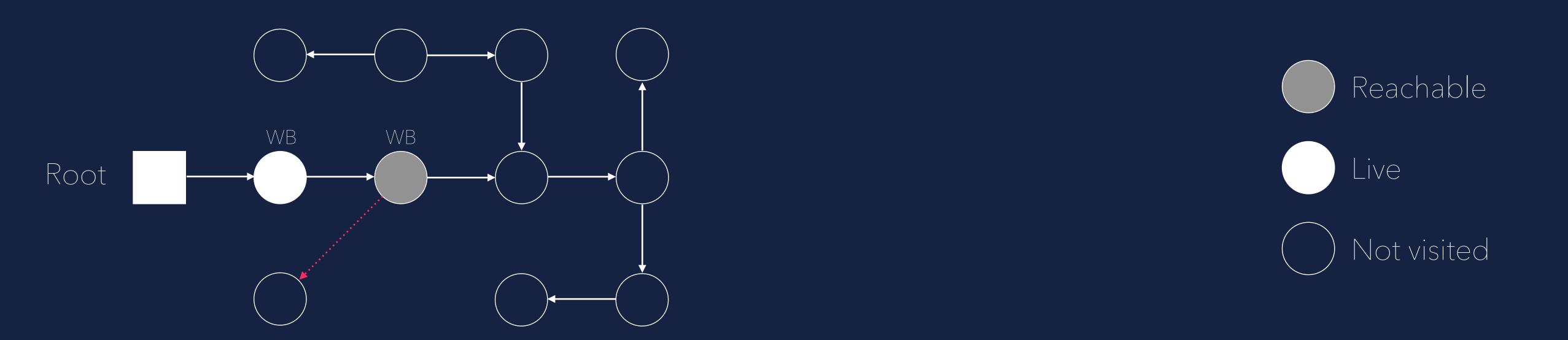


Collector starts marking objects



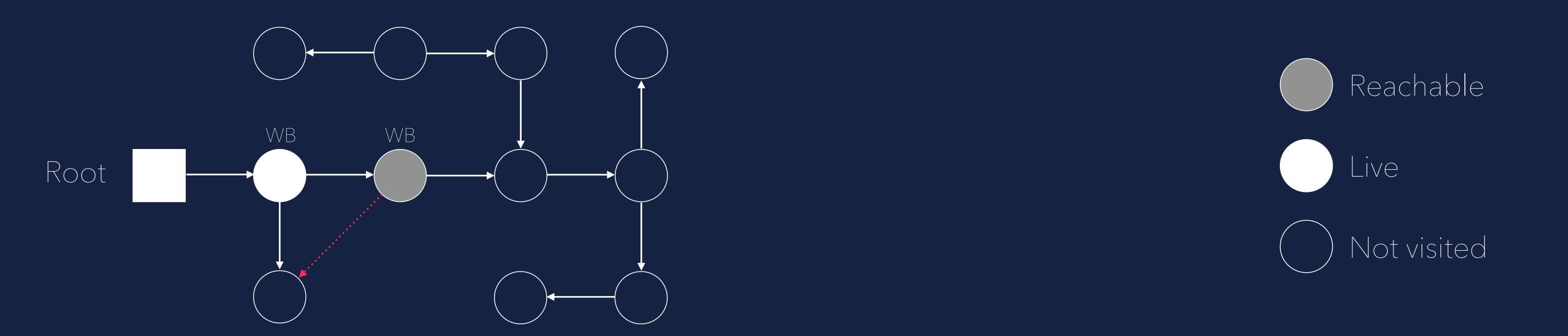


Concurrent Marking using Write Barriers



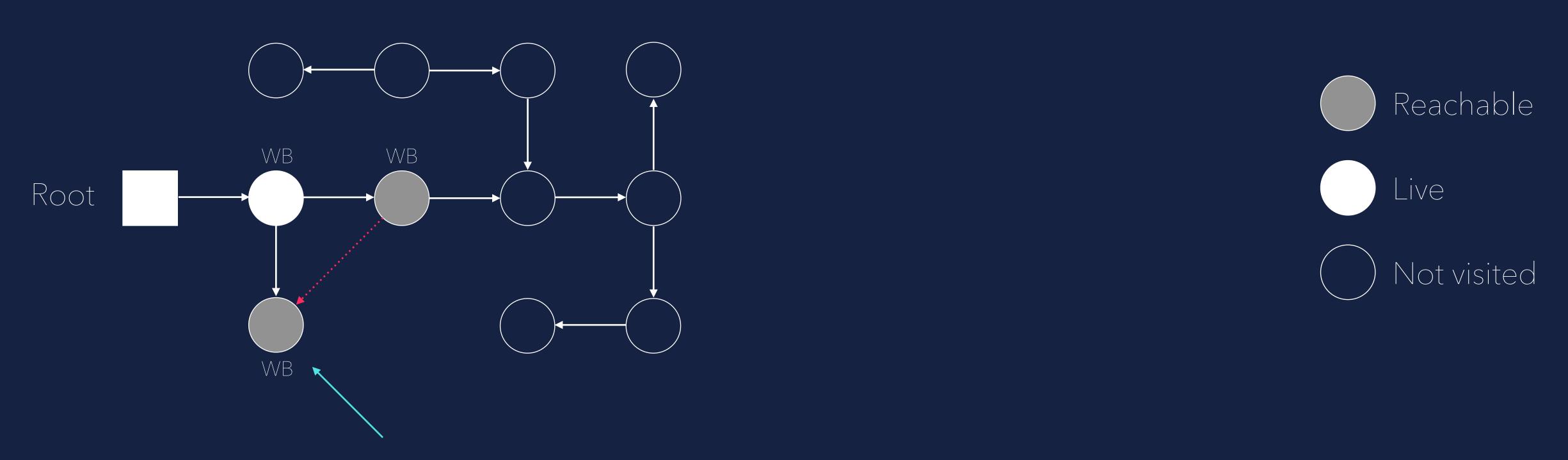
Mutator hits write barrier and removes reference and adds a new one

Concurrent Marking using Write Barriers

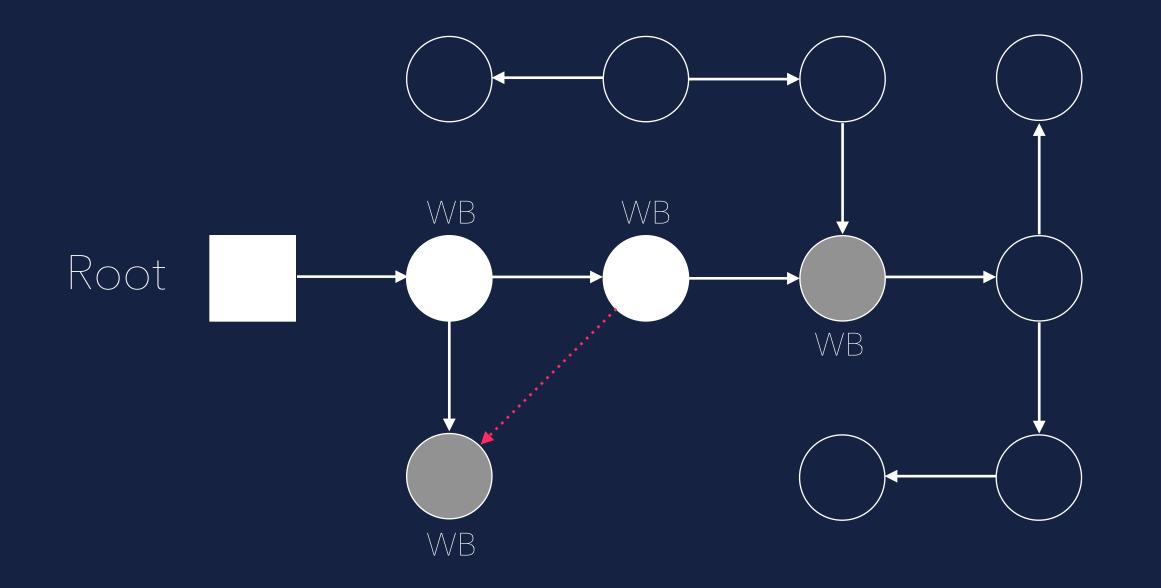


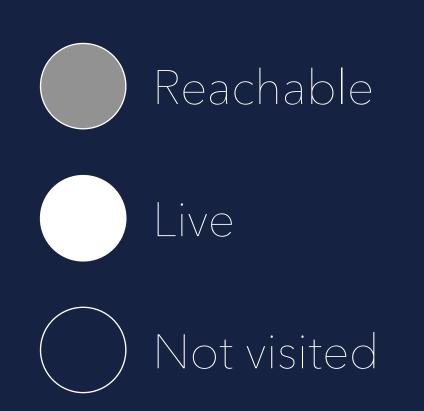
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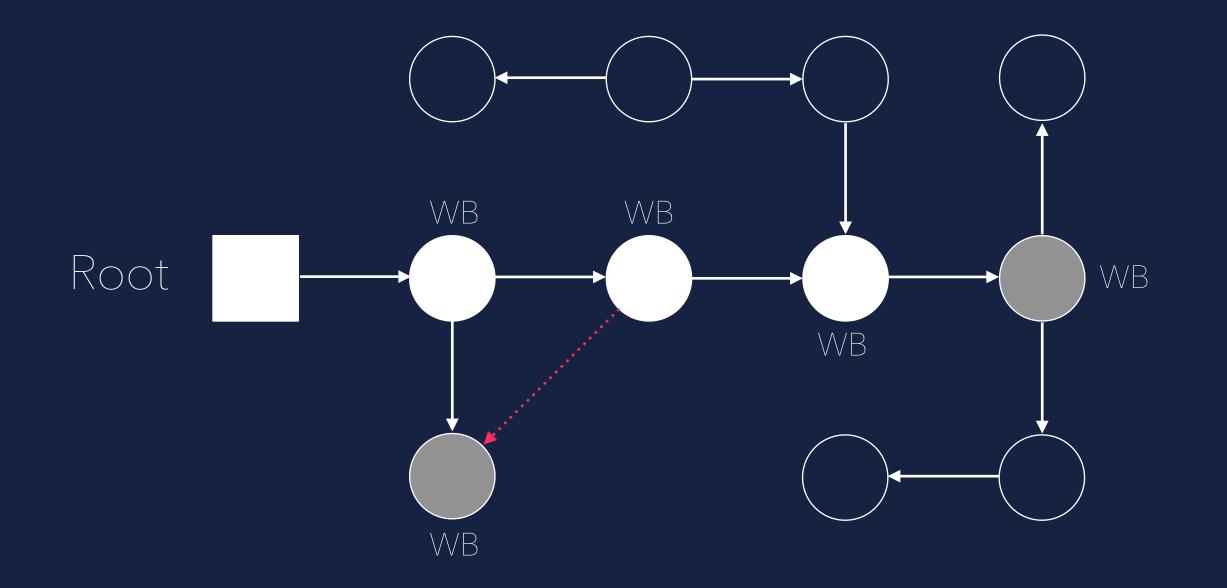
Concurrent Marking using Write Barriers



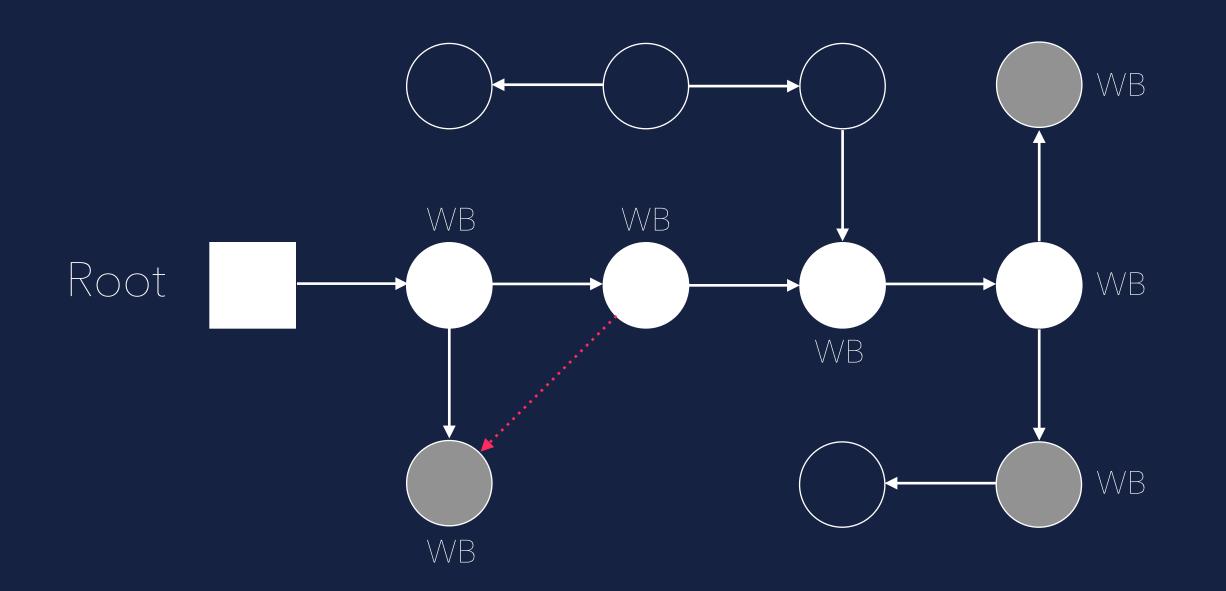
Removed references will be marked as reachable by Write Barrier

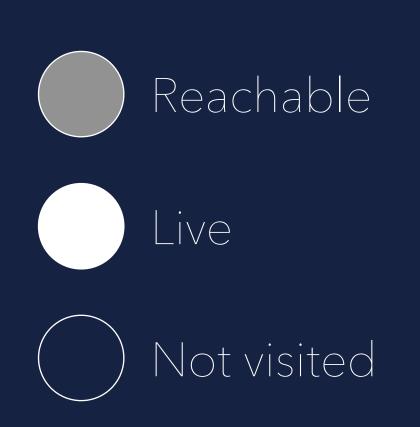


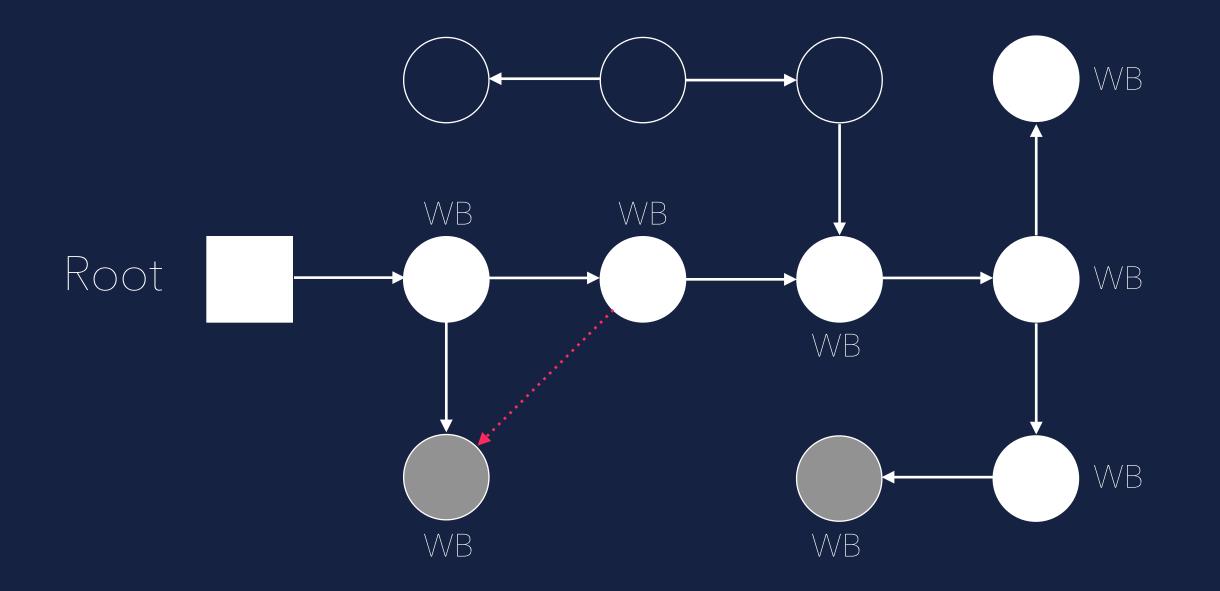




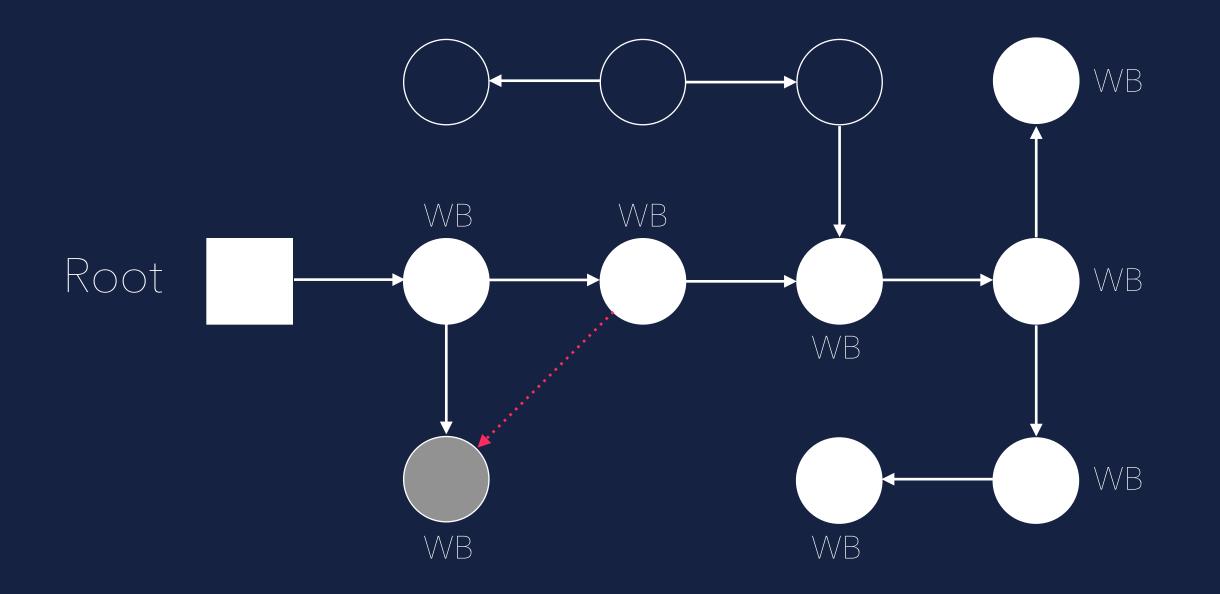






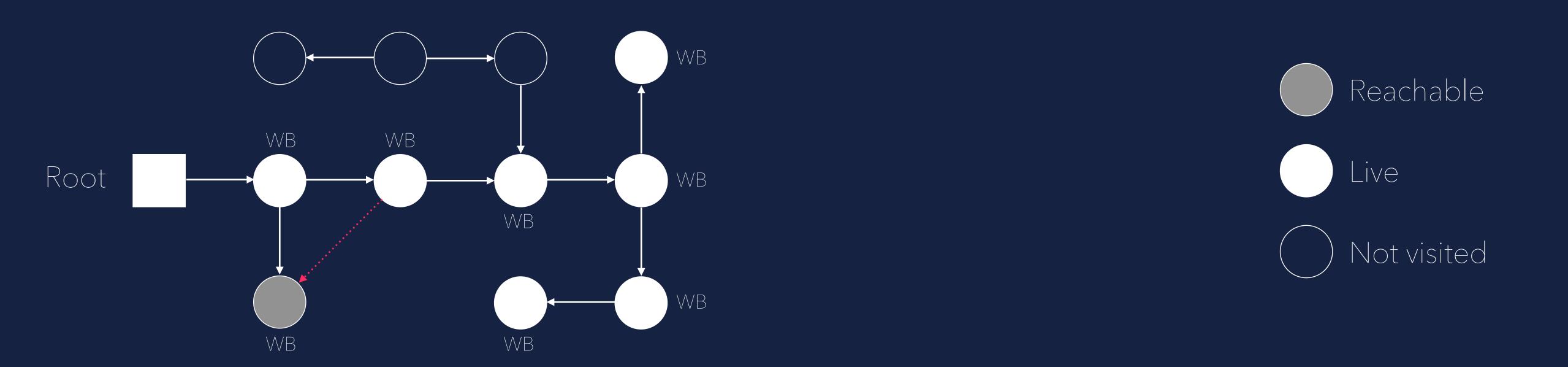






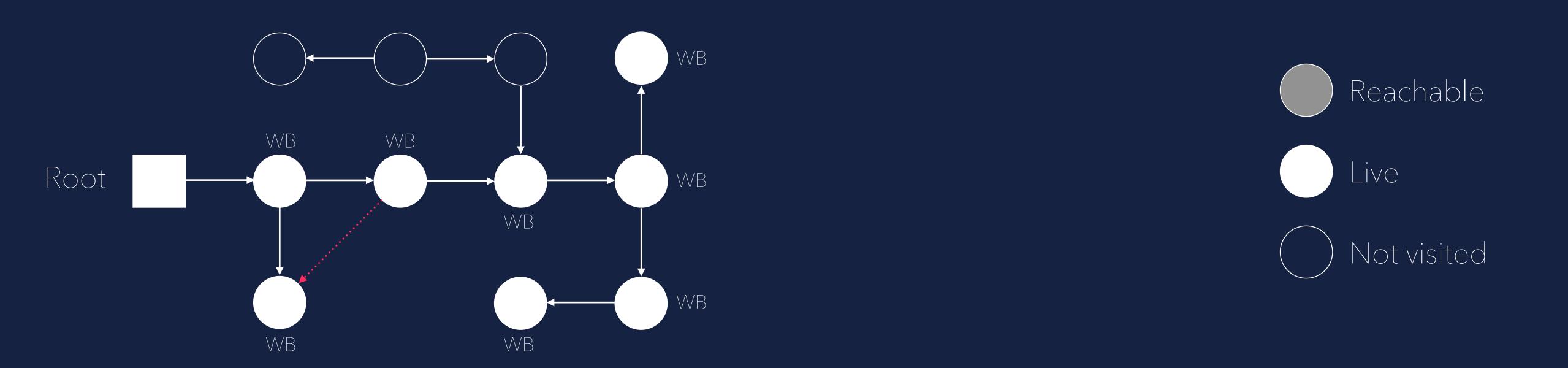


Concurrent Marking using Write Barriers



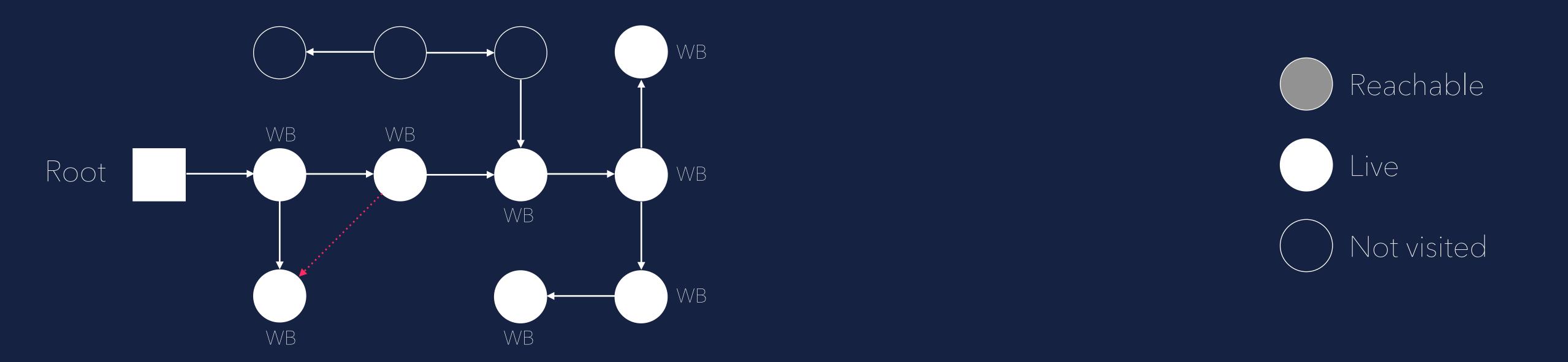
In Re-Mark phase, in between marked references will be marked as live

Concurrent Marking using Write Barriers



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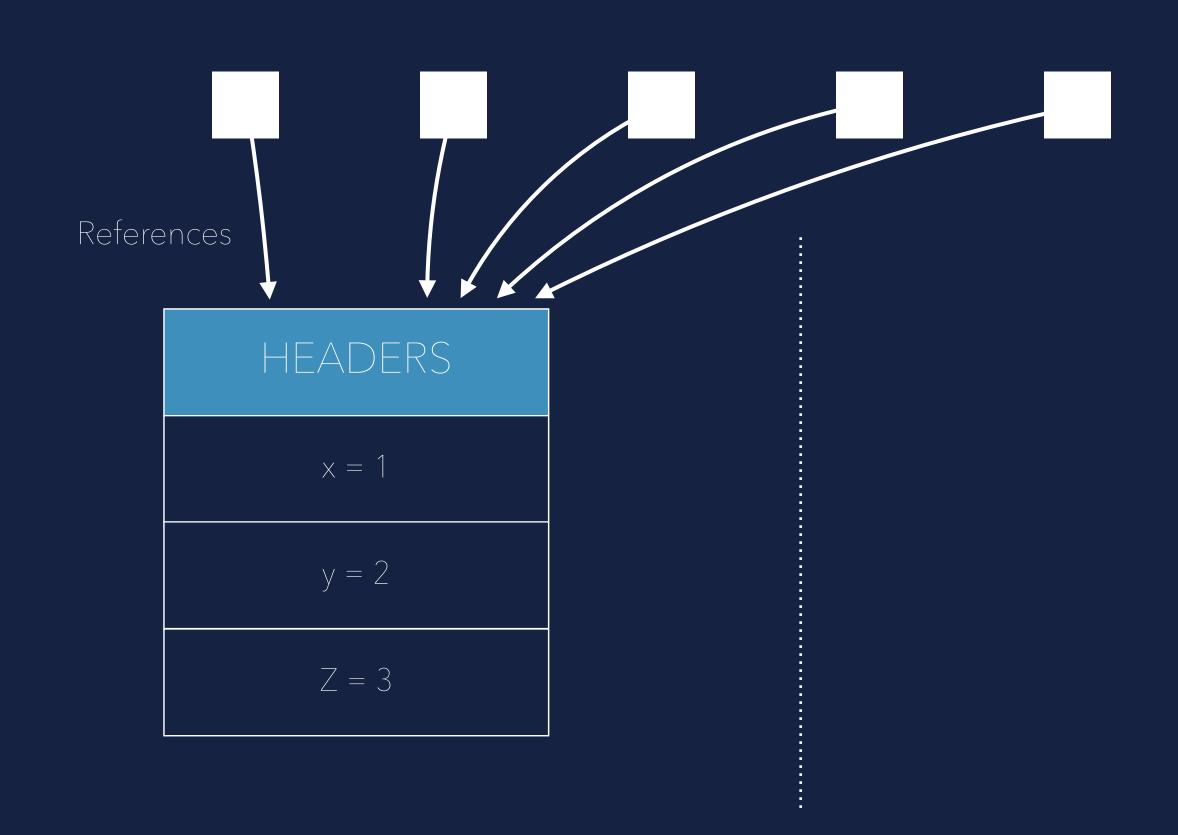
Concurrent Marking using Write Barriers



"Snapshot at the beginning"

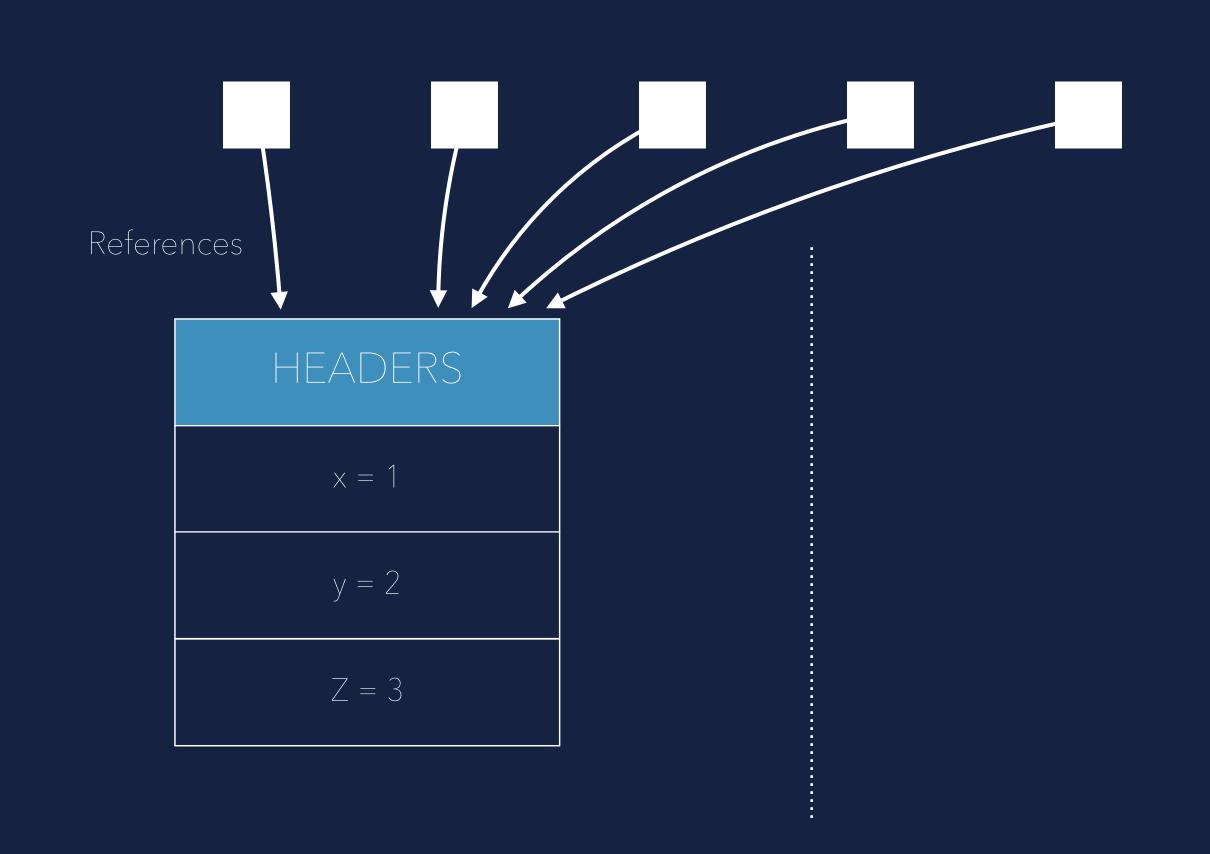
CONCURRENT CONCURRENT

Stop the world copying



FROM Space

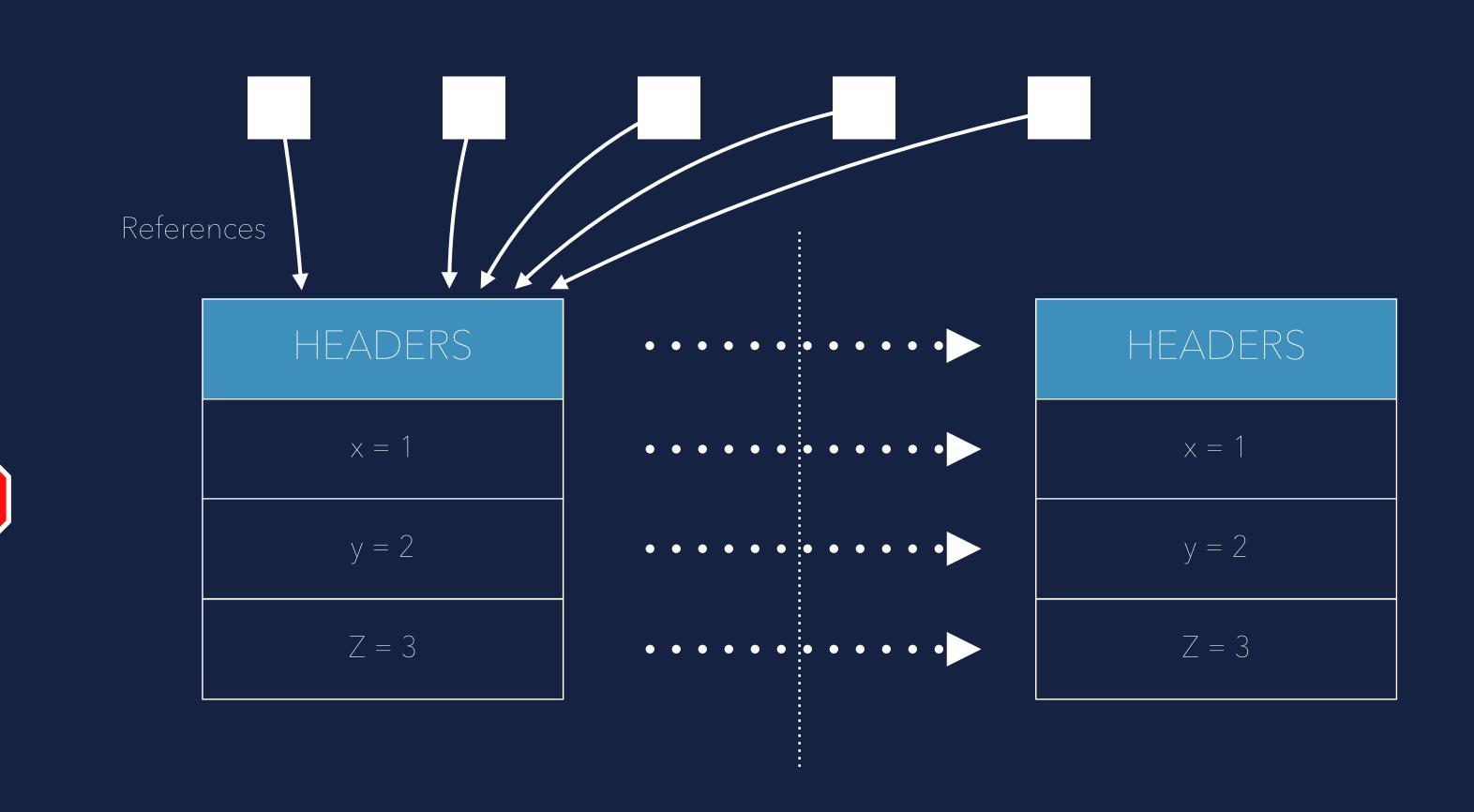
Stop the world copying



Stop the World (the Mutator)

FROM Space

Stop the world copying

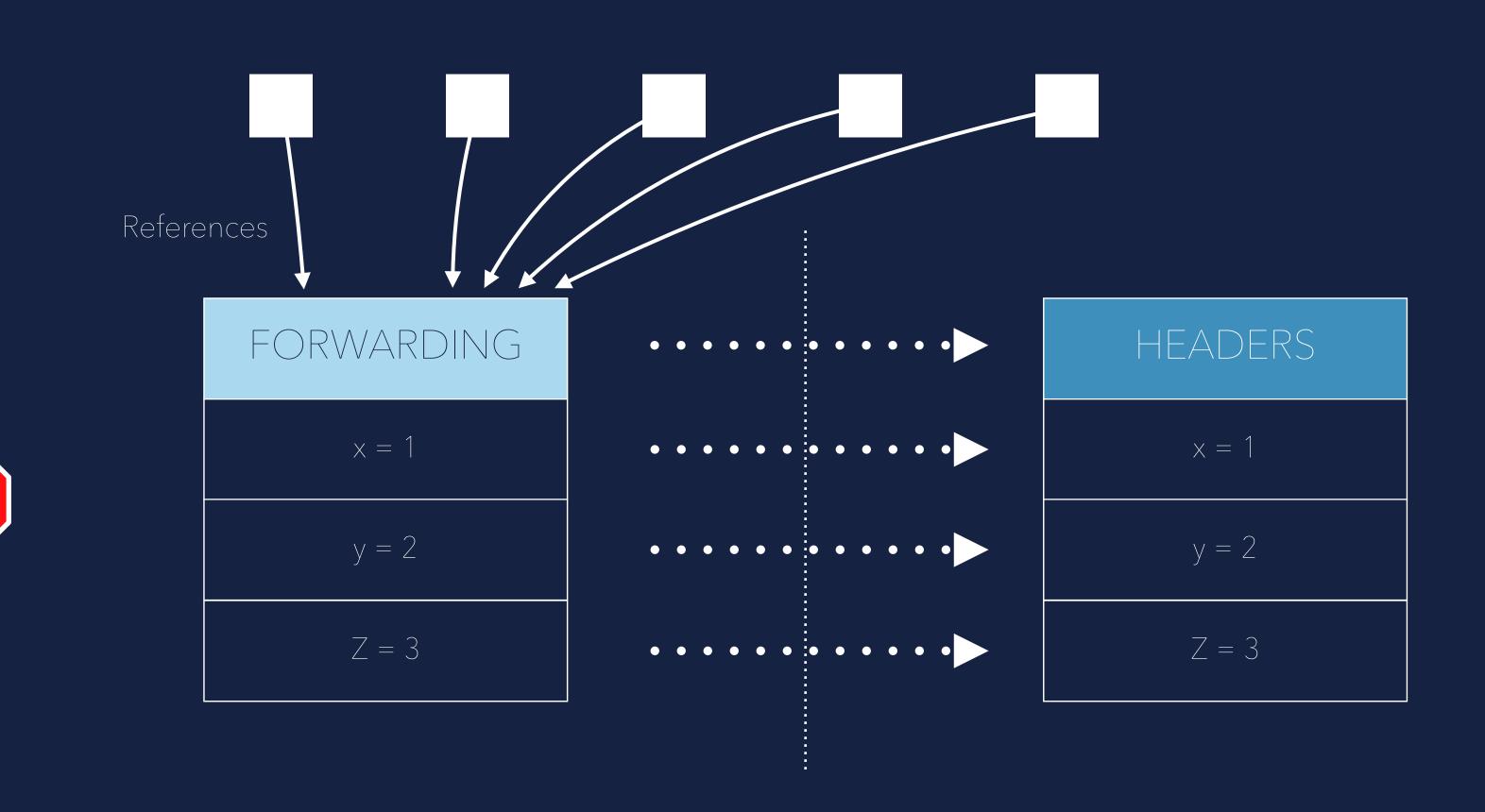


Copy the Object

(Create forwarding pointer)

FROM Space

Stop the world copying

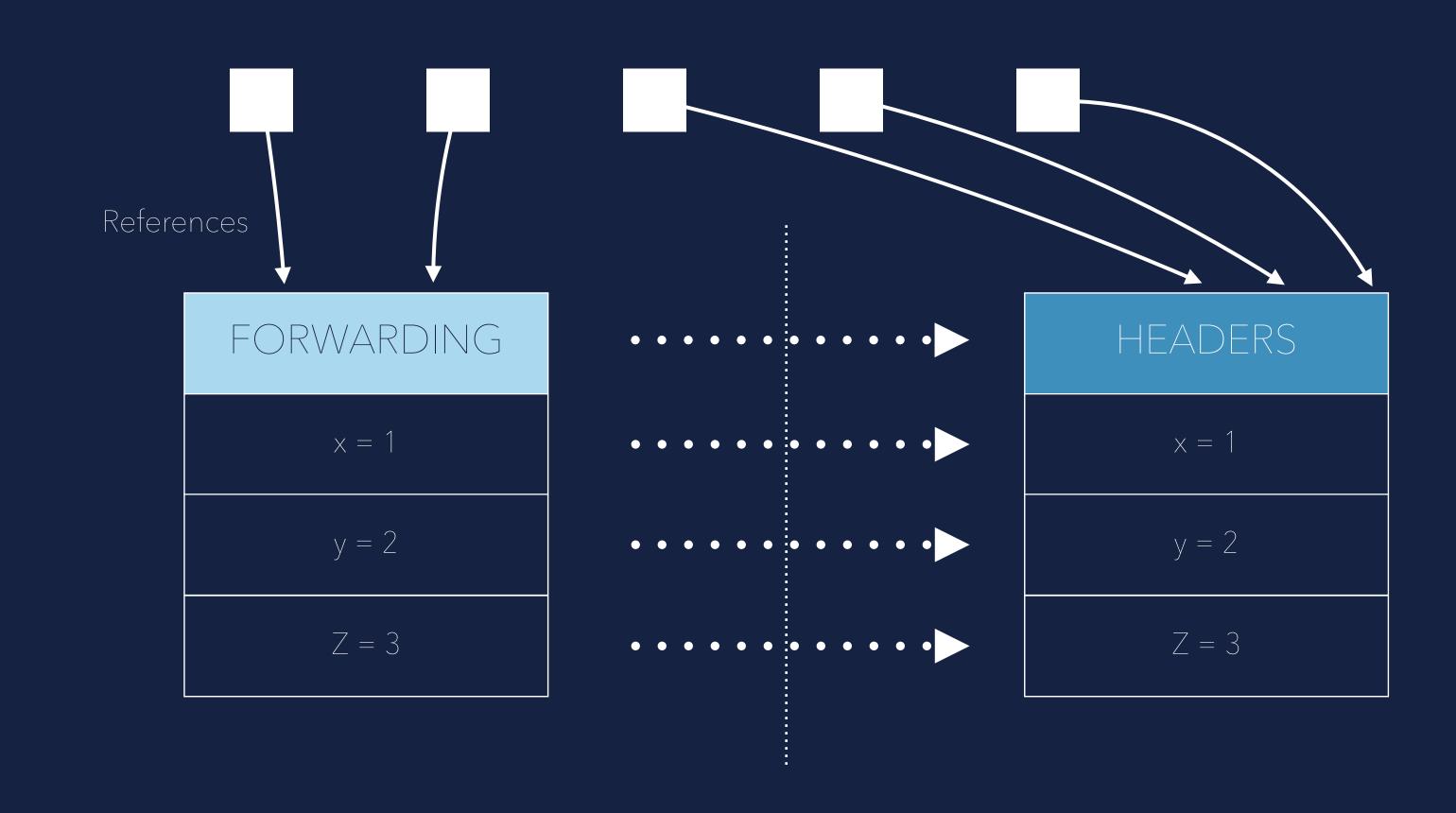


Update all references

(Save the pointer that fowards the copy)

FROM Space

Stop the world copying

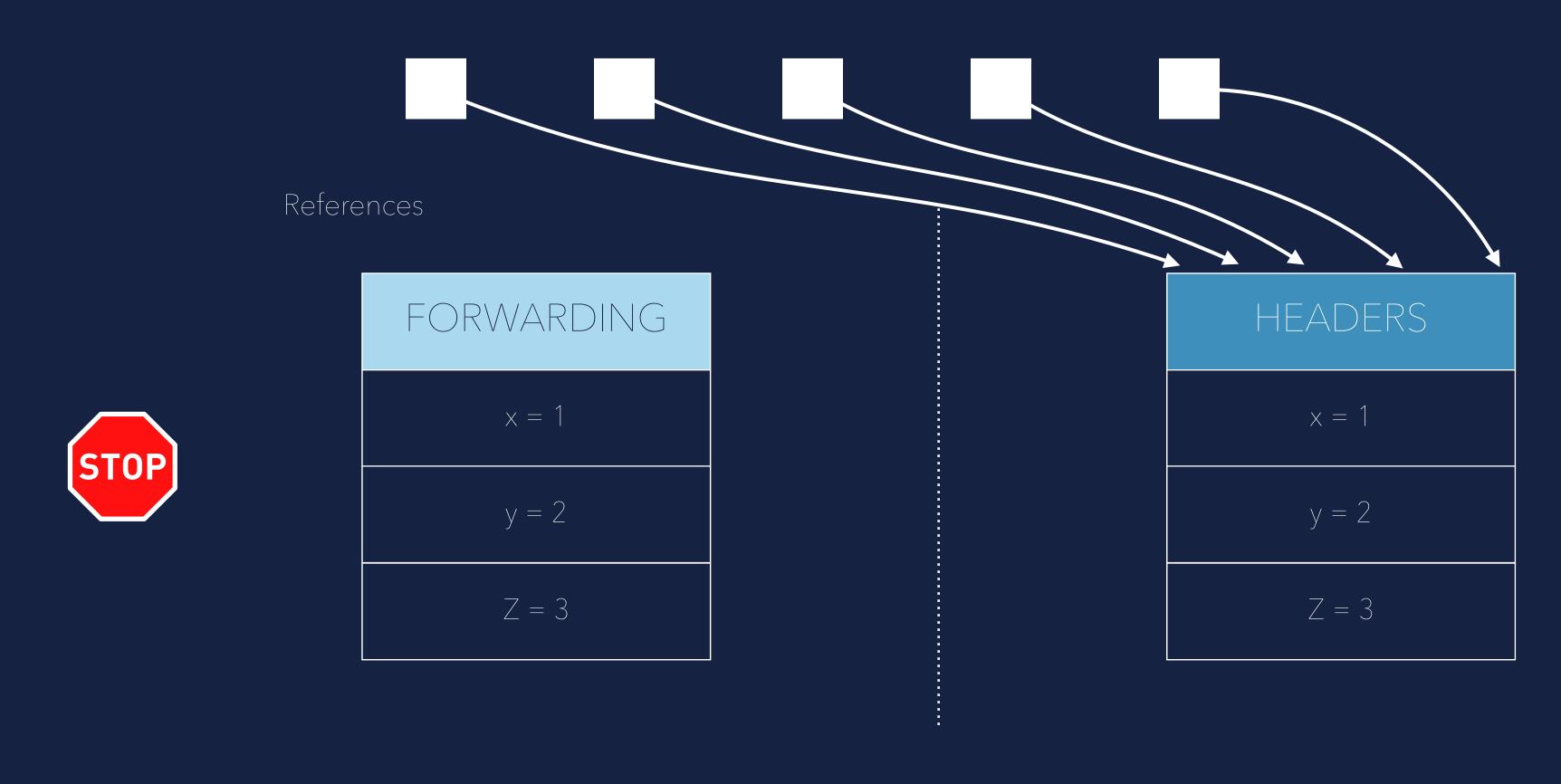


Update all references

(Walk the heap and replace all references with forwarding pointer to new location)

FROM Space

Stop the world copying

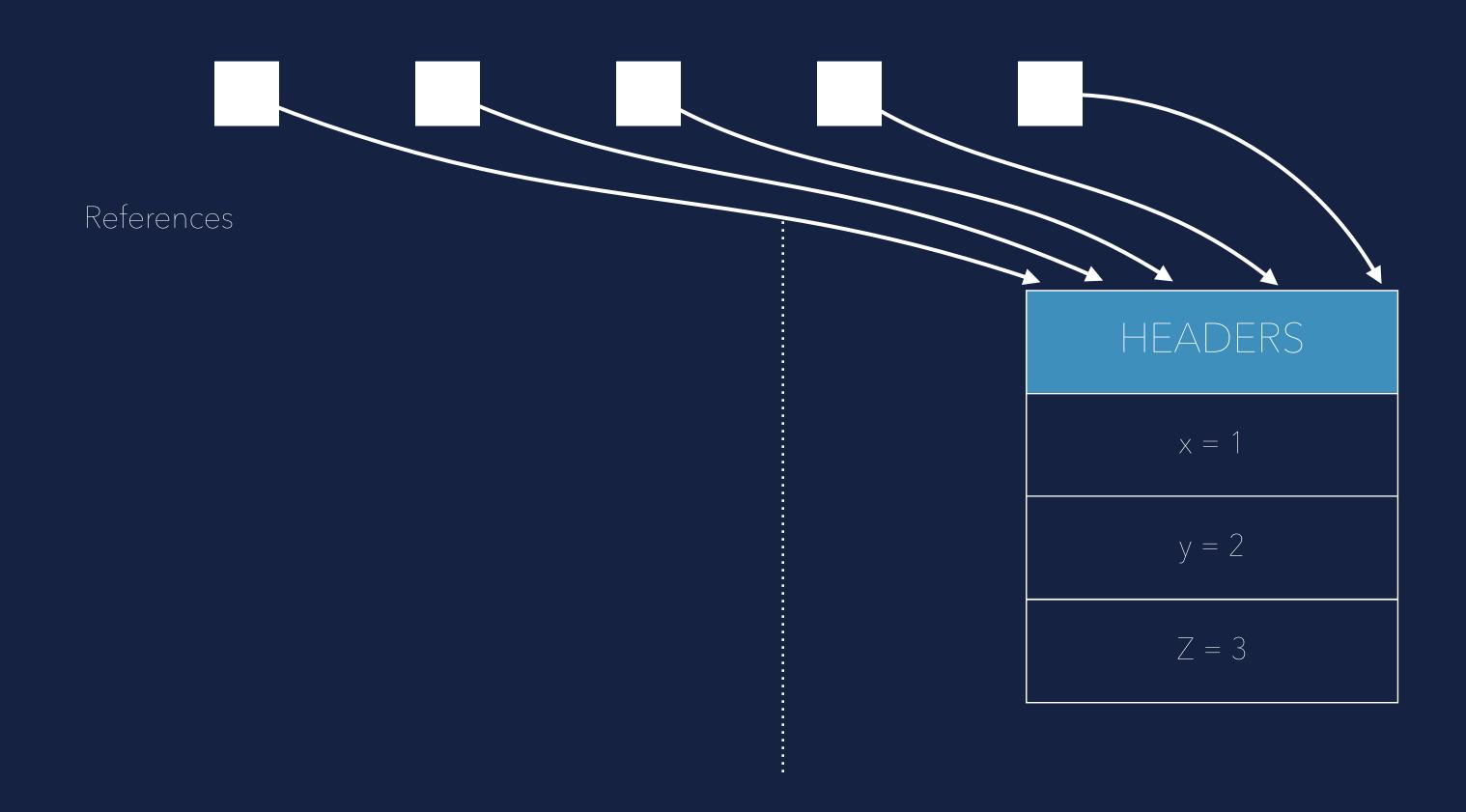


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(Walk the heap and replace all references with forwarding pointer to new location)

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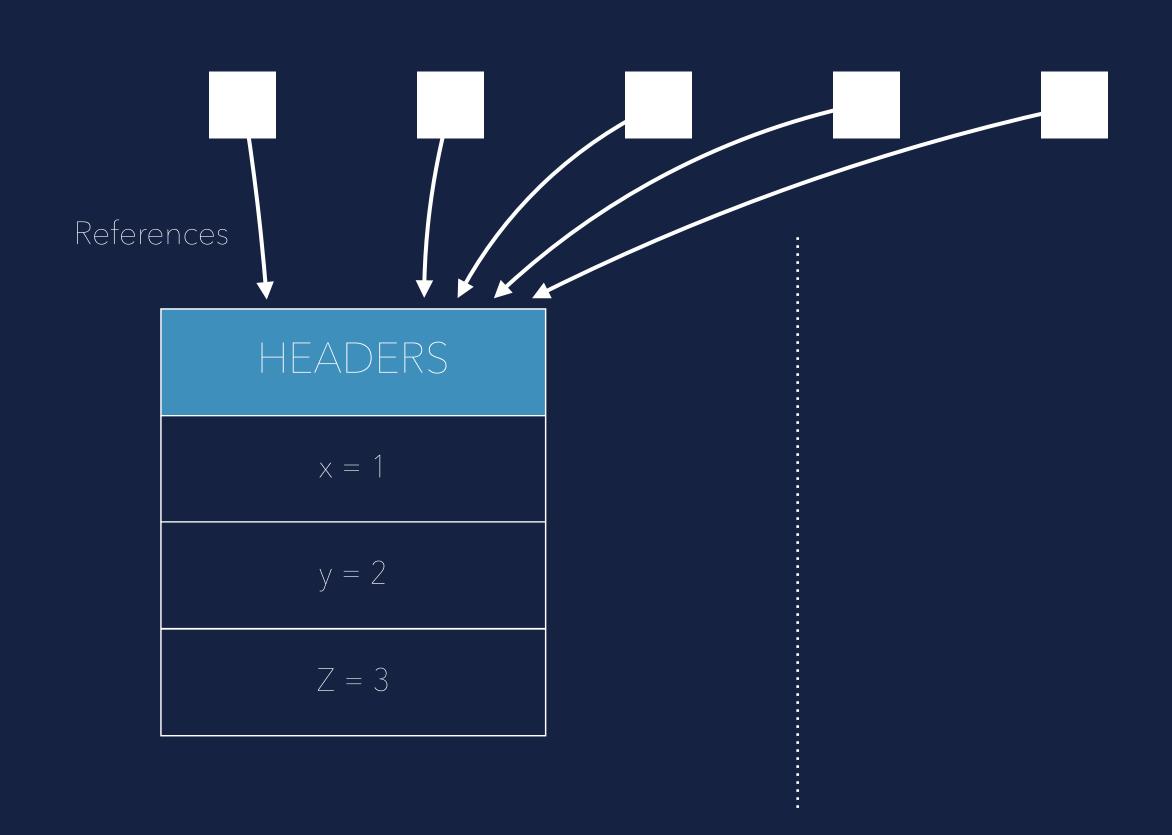
Stop the world copying



Remove old objects and continue running the Mutator

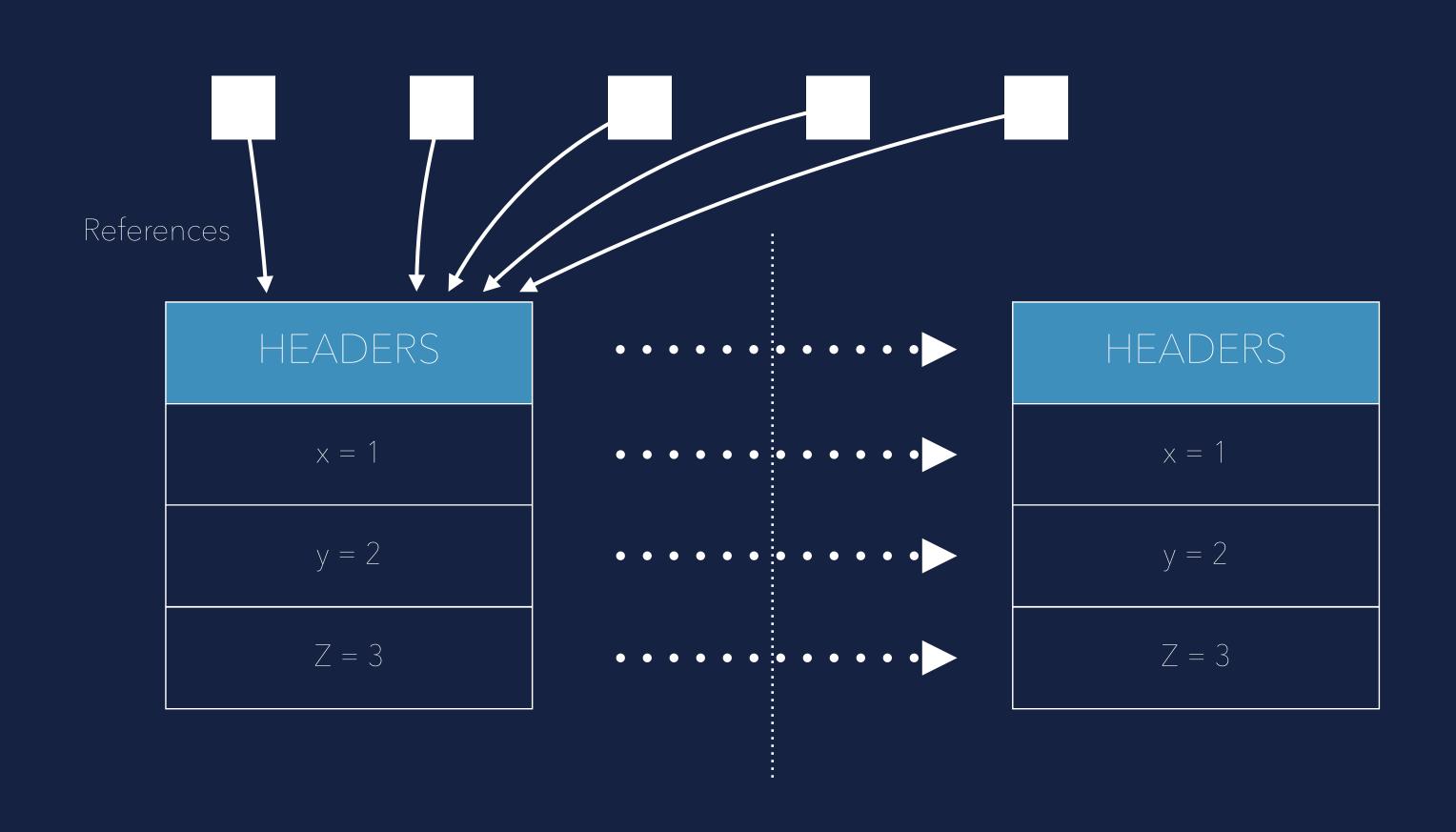
FROM Space

Concurrent copying



FROM Space

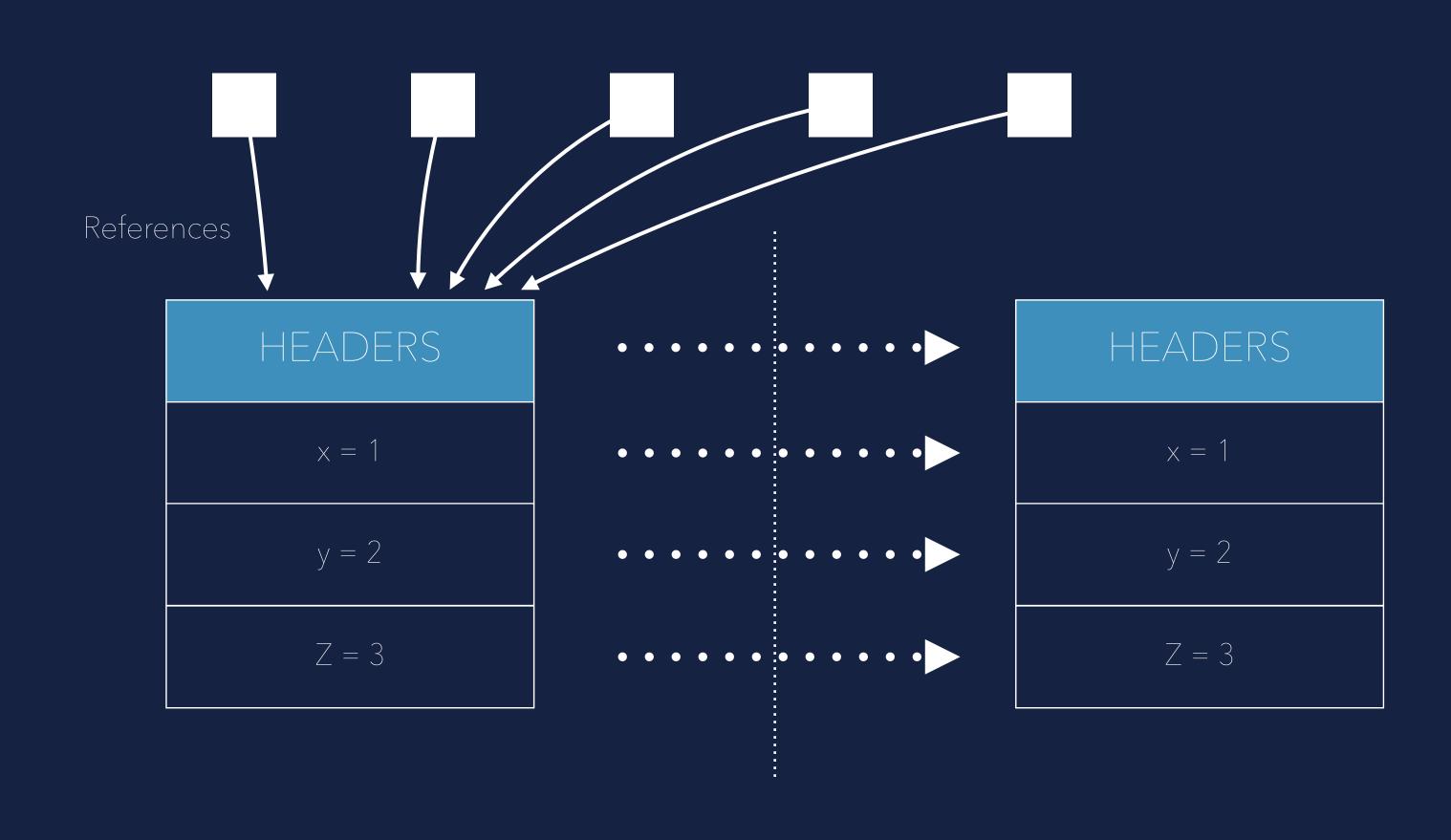
Concurrent copying



Copy the Object

FROM Space

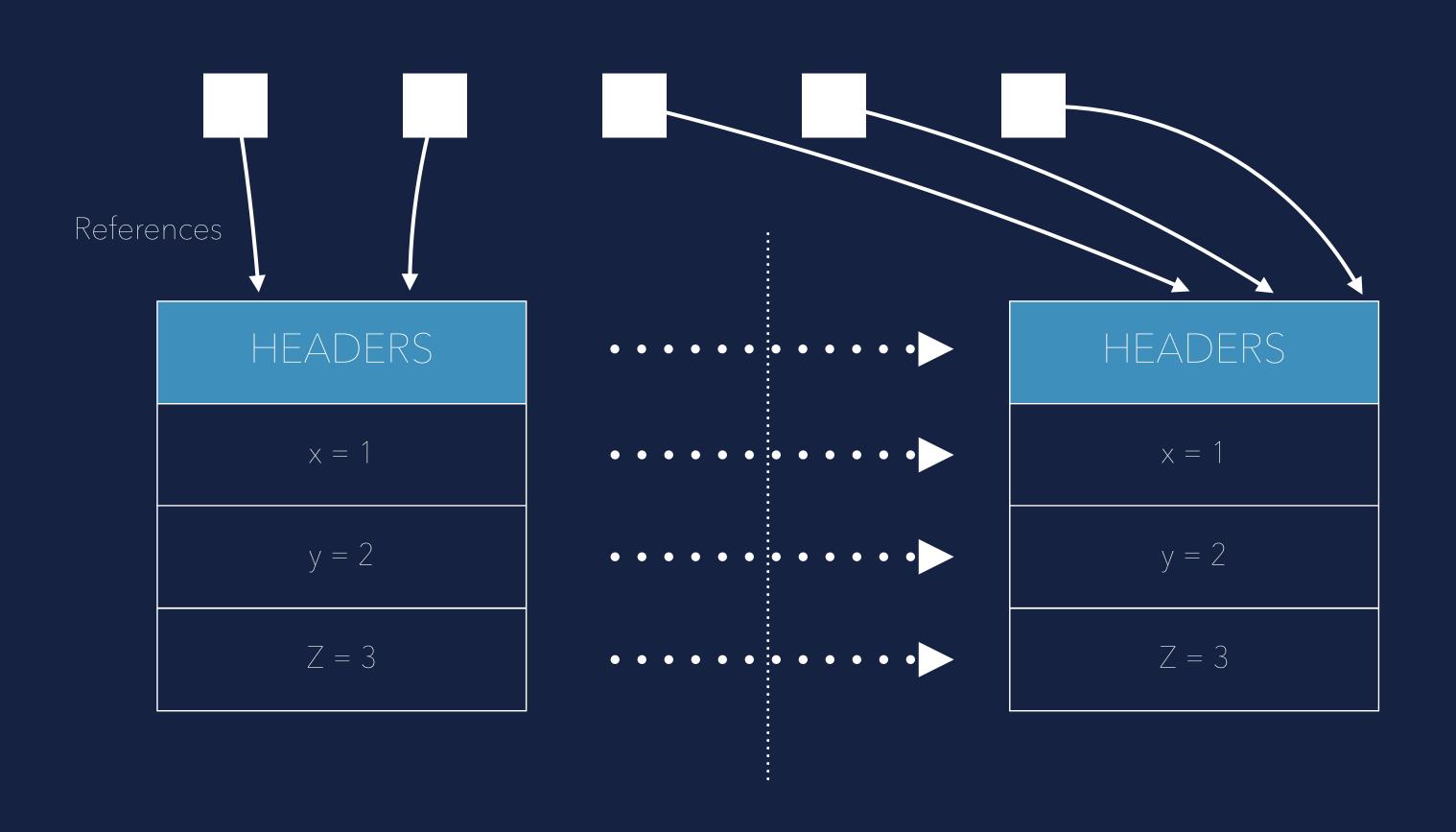
Concurrent copying



Now both Objects are reachable!

FROM Space

Concurrent copying

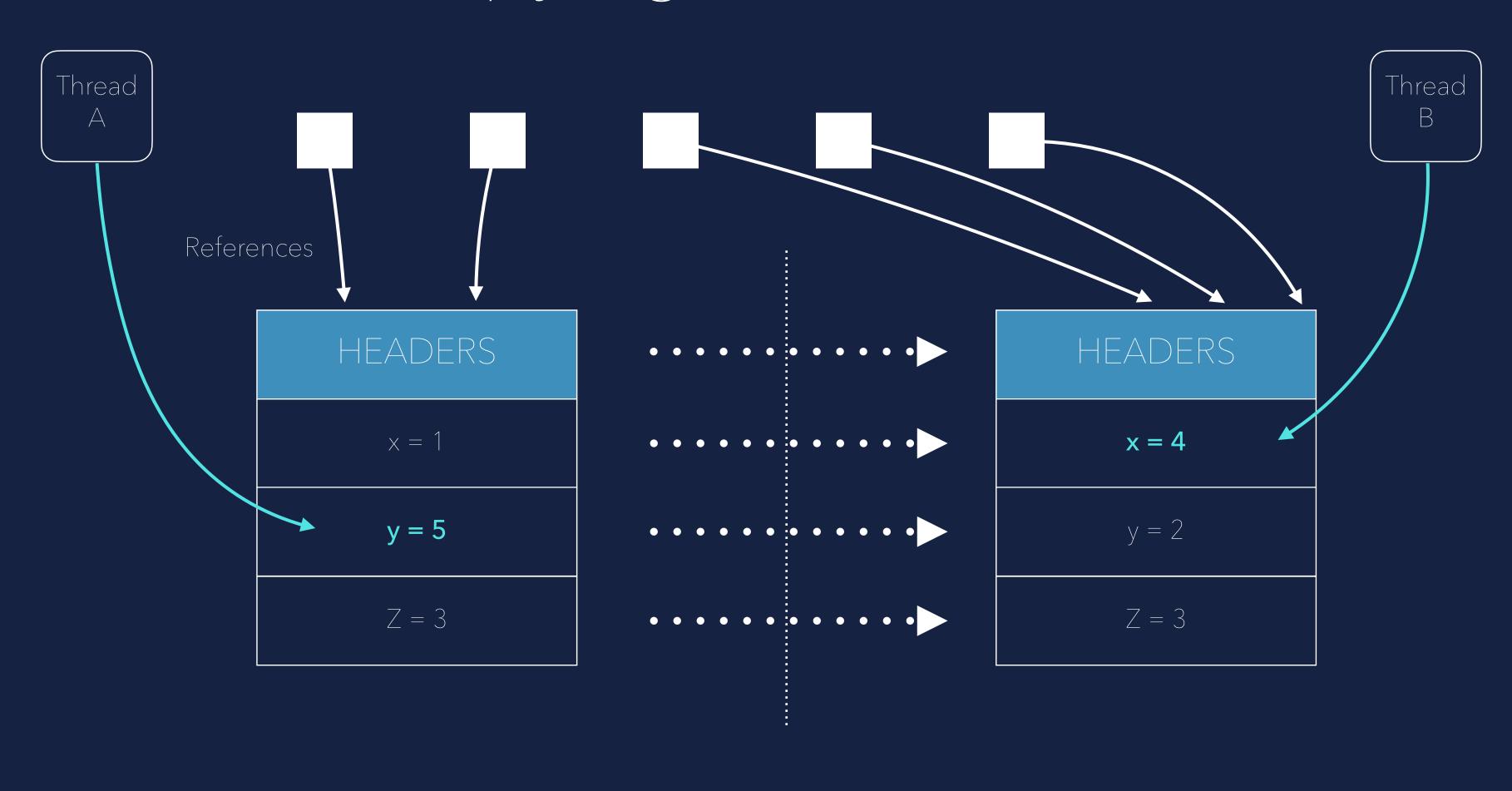


Now both Objects are reachable!

And can be accessed in parallel by different Threads.

FROM Space

Concurrent copying

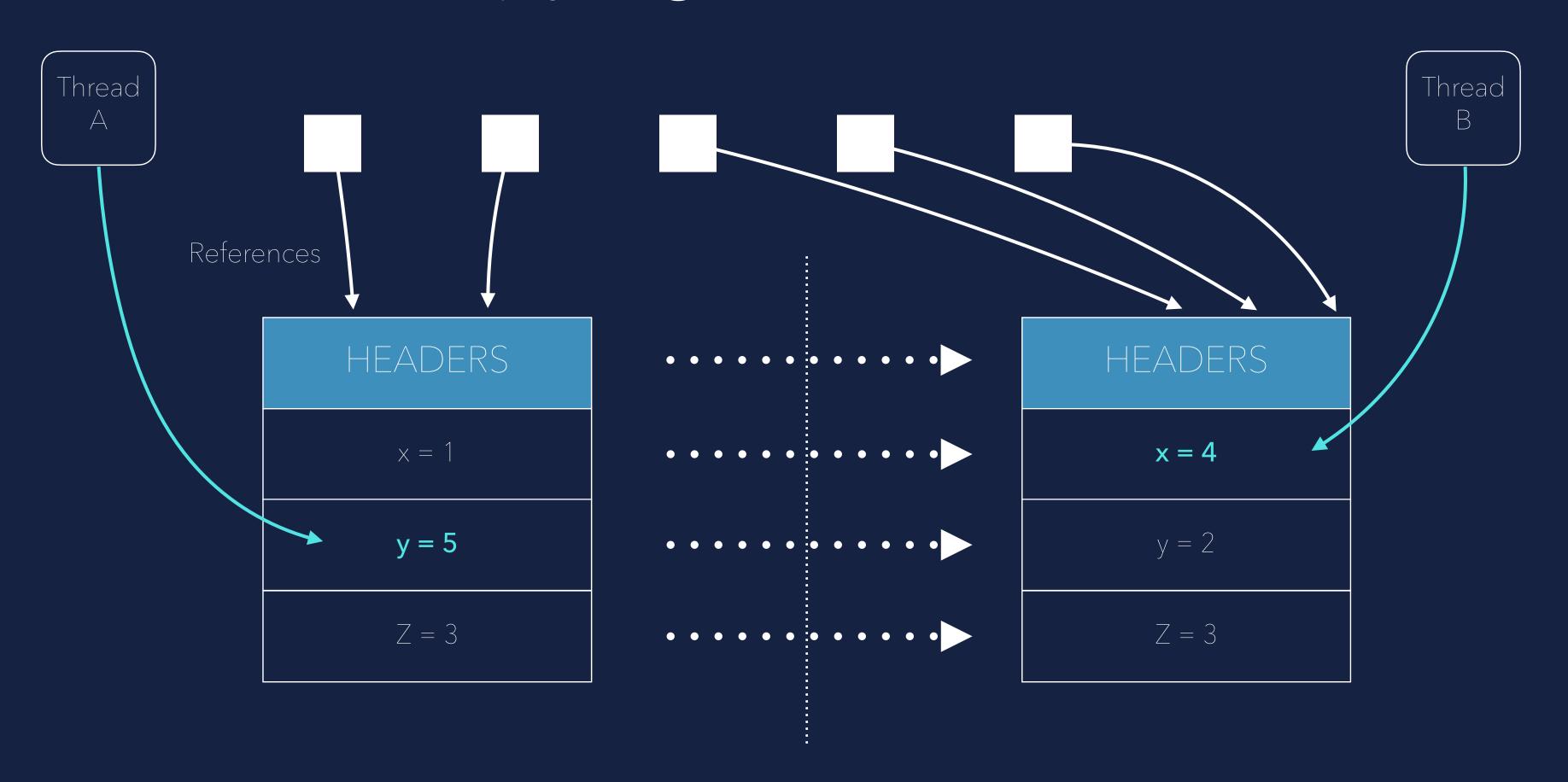


Threads can write to both Objects!



FROM Space

Concurrent copying



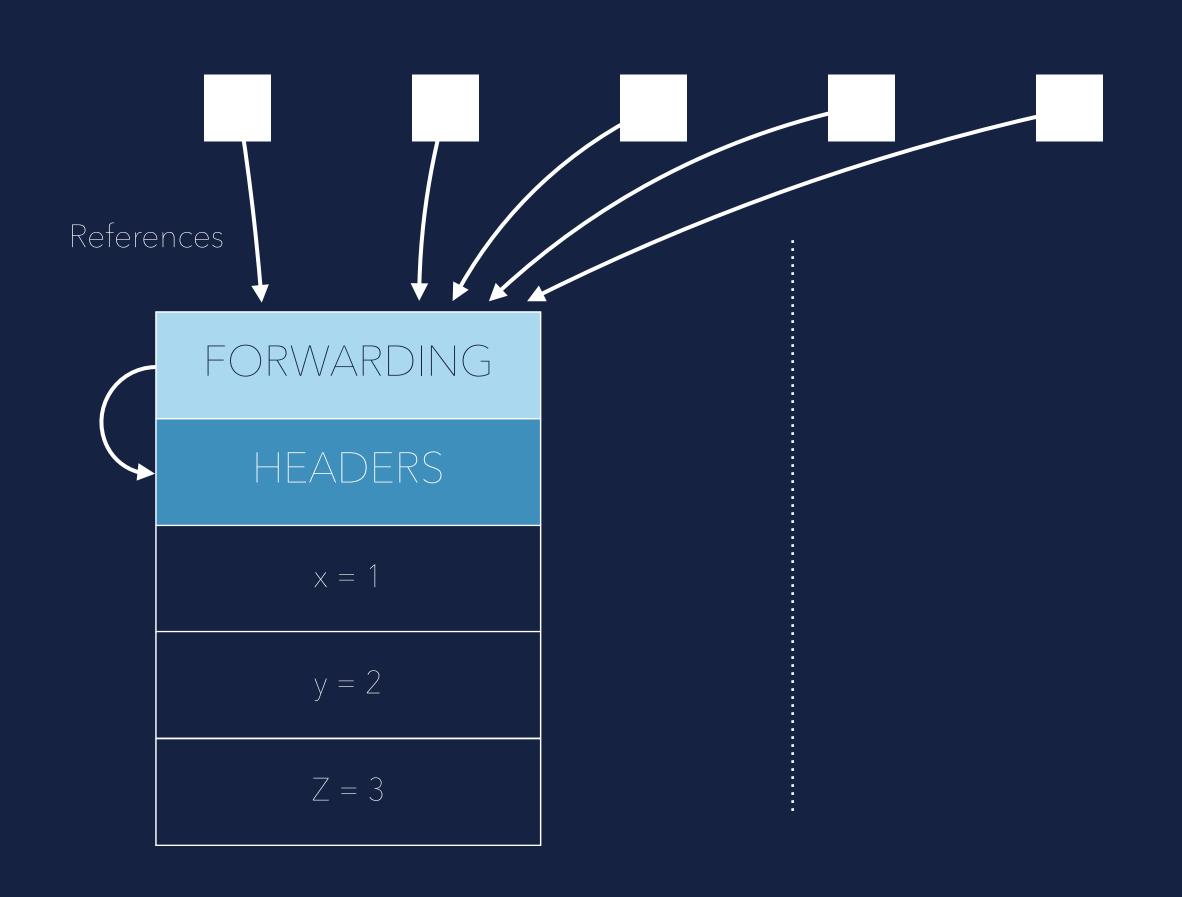
Threads can write to both Objects!

Which copy is correct?



FROM Space

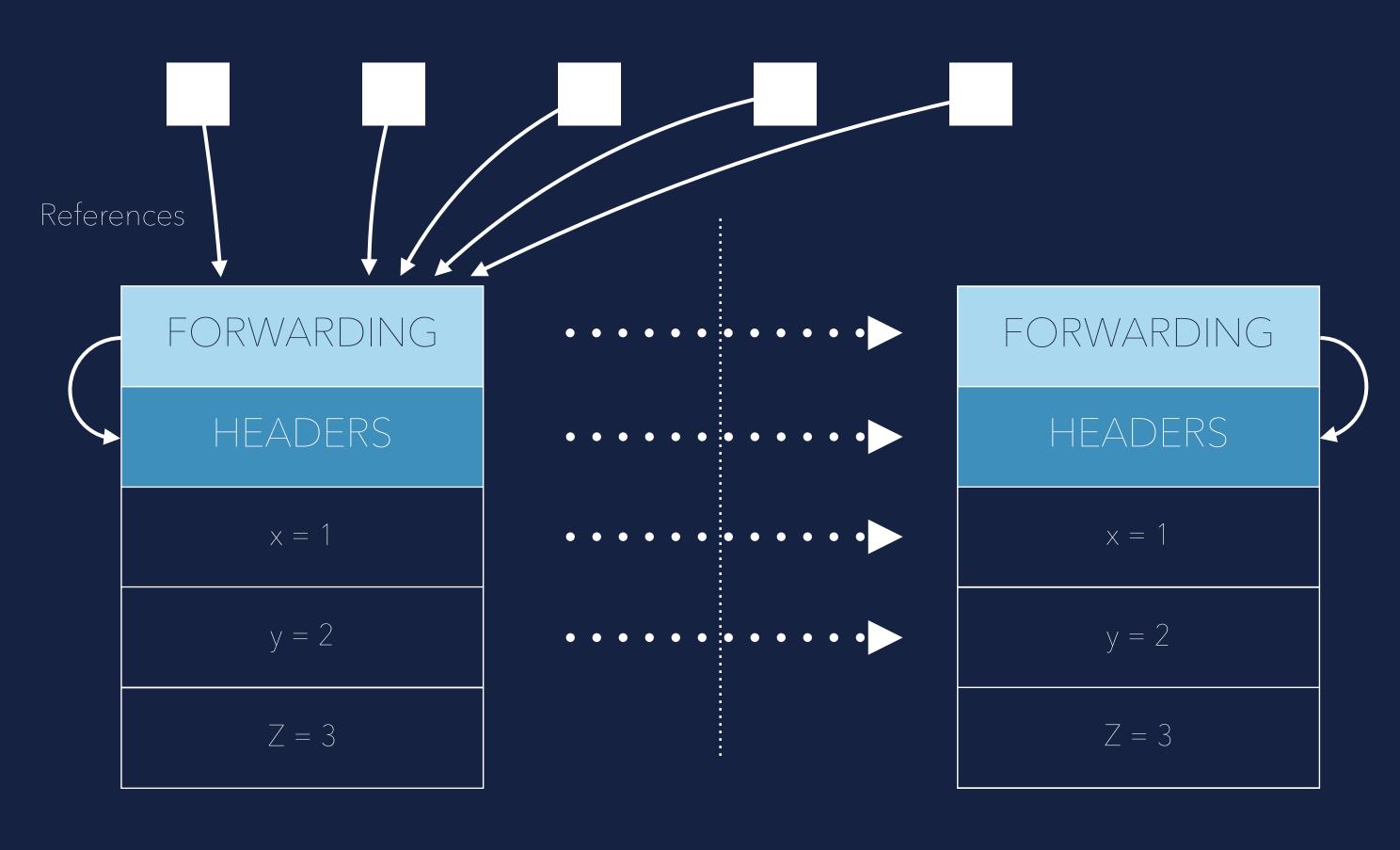
Concurrent copying



Solution could be a
Brooks Pointer
(Initially points to the Object itself)

FROM Space

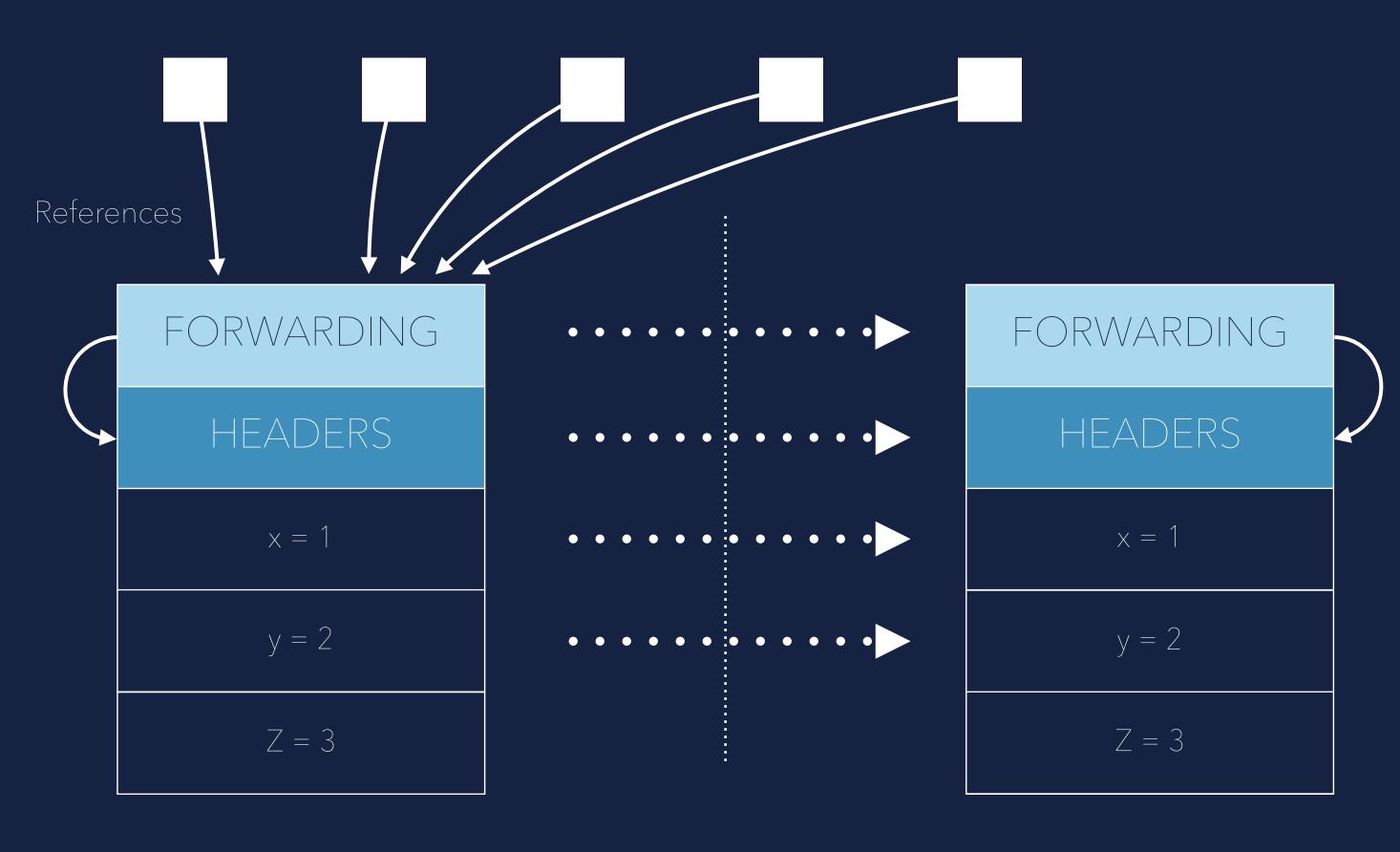
Concurrent copying



Copy the Object (Init fowarding pointer to itself)

FROM Space

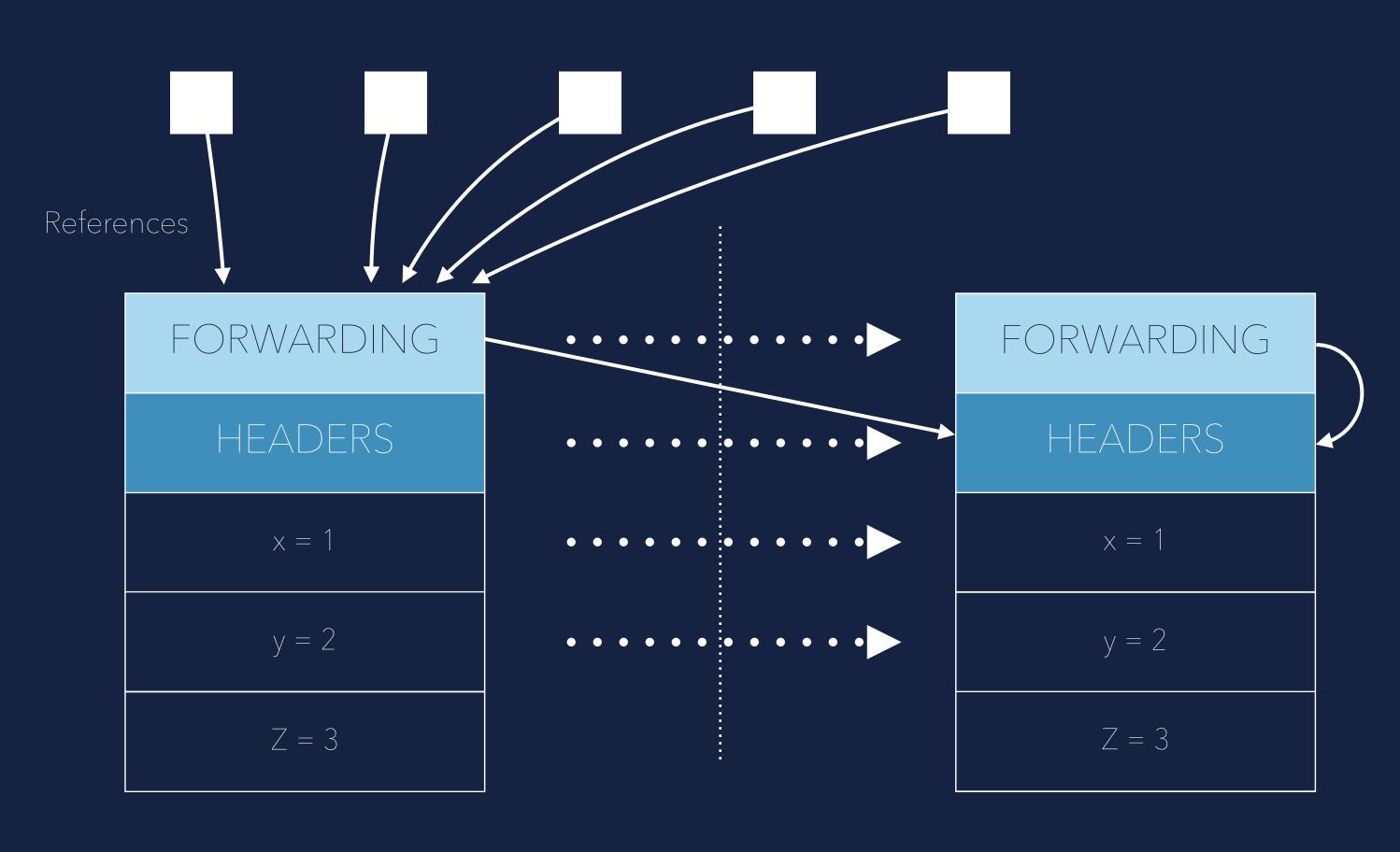
Concurrent copying



Nobody knows about copy

FROM Space

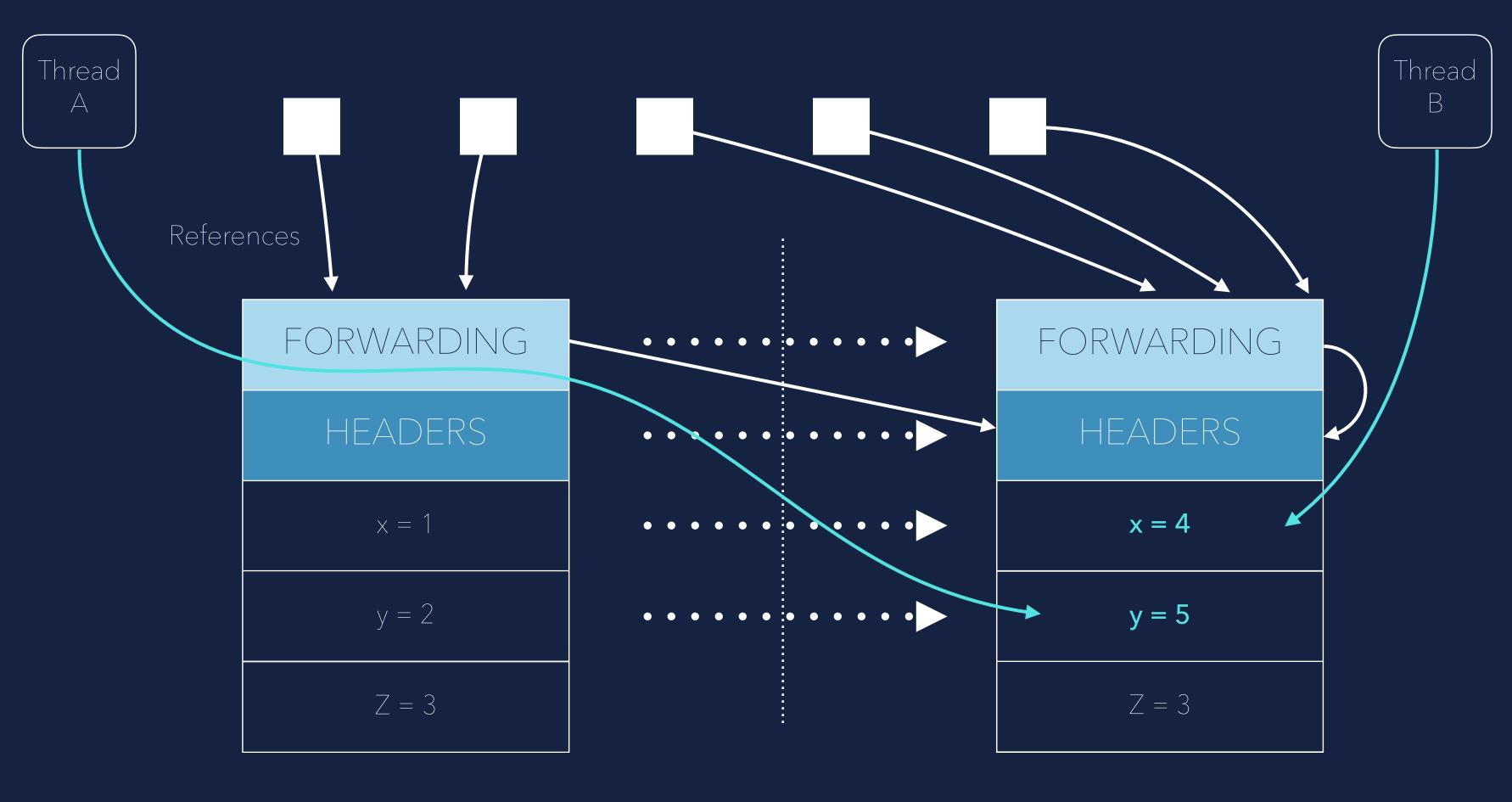
Concurrent copying



Install forwarding pointer of original object to new copy

FROM Space

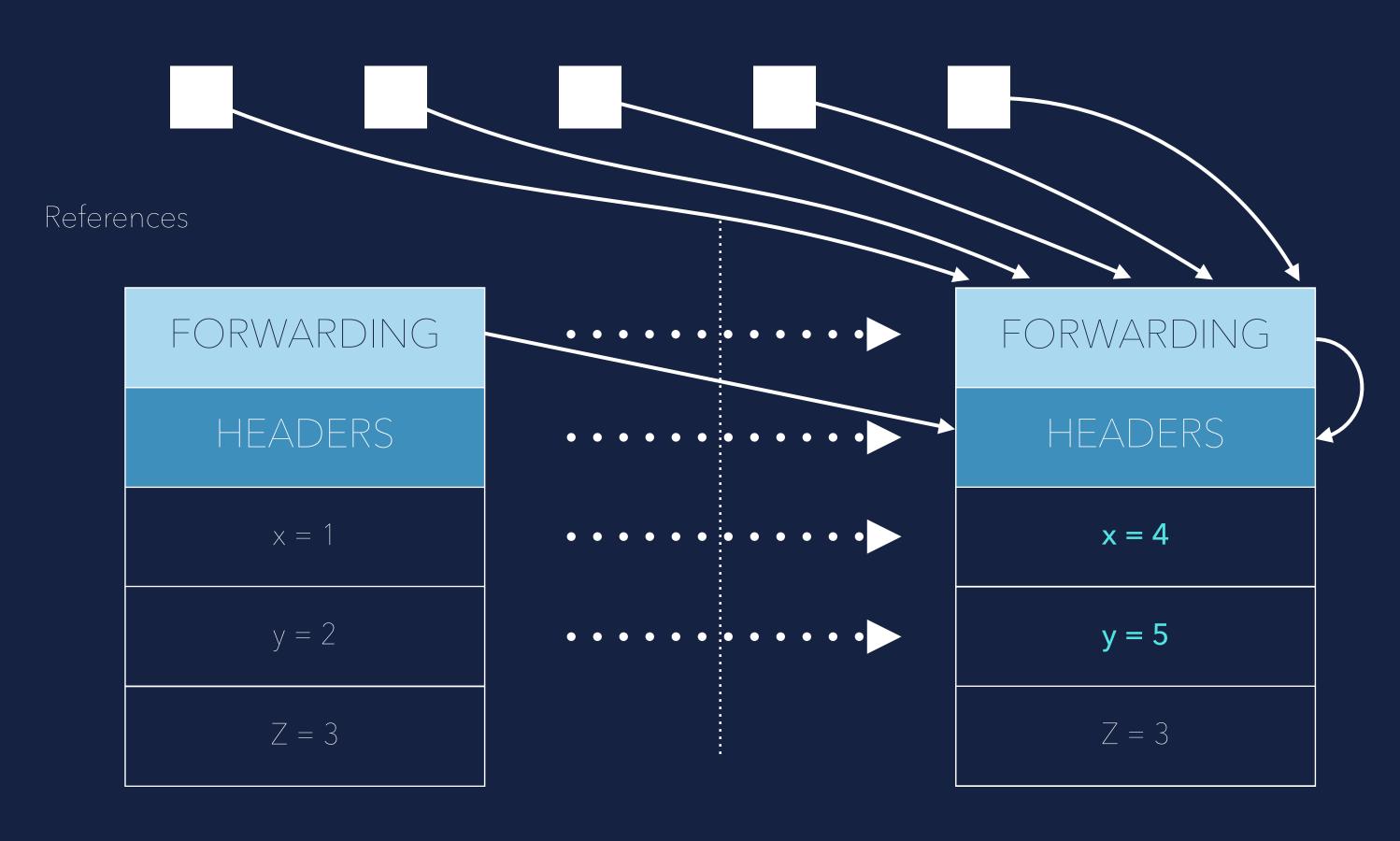
Concurrent copying



Threads now will always find the right object

FROM Space

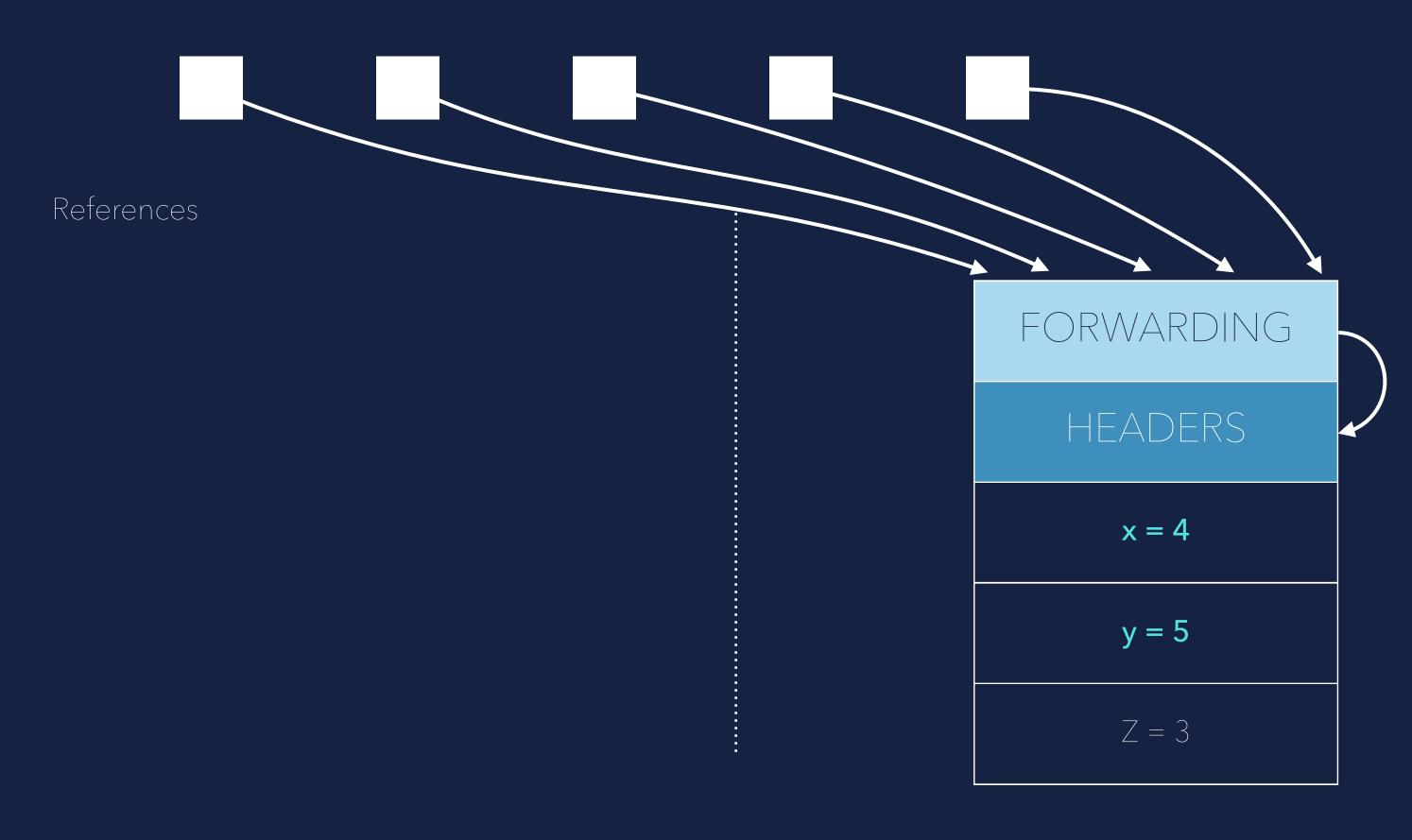
Concurrent copying



All references are updated

FROM Space

Concurrent copying



Remove the old object

FROM Space

COLLECTORS INTHE JVM



SERIAL



AVAILABILITY	ALL JDK'S
PARALLEL	
CONCURRENT	
GENERATIONAL	YES
HEAP SIZE	SMALL - MEDIUM
PAUSE TIMES	LONGER
THROUGHPUT	LOW
LATENCY	HIGHER
CPU OVERHEAD	LOW (1-5%)

CHOOSE WHEN

- Single core systems with small heap (<4GB)
- No pause time requirements

BEST SUITED FOR

- Single threaded applications
- Development environments
- Microservices on small nodes

OS SUPPORT

JVM SWITCH

> java -XX:+UseSerialGC

SERIAL



NOTES

- Automatically selected if only a single processor is available
- Automatically selected if the avail. memory less than 1792 MB
- Young Generation algorithm: Copy Collector
- Old Generation algorithm: Mark Sweep Compact





AVAILABILITY	ALL JDK'S
PARALLEL	YES
CONCURRENT	
GENERATIONAL	YES
HEAP SIZE	MEDIUM - LARGE
PAUSE TIMES	MODERATE
THROUGHPUT	HIGH
LATENCY	LOWER
CPU OVERHEAD	MODERATE (5-10%)

CHOOSE WHEN

- Multi-core systems with small heap (<4GB)
- Peak performance is needed without pause time requirements

BEST SUITED FOR

- Batch processing
- Scientific computing
- Data analysis

OS SUPPORT A C

JVM SWITCH

> java -XX:+UseParallelOldGC

PARAL



NOTES

- Default garbage collector from JDK 5 to JDK 7
- Young Generation algorithm: Copy Collector
- Old Generation algorithm: Mark Sweep Compact









AVAILABILITY	JDK 1.4 - 13	CHOOSE WHEN Response time is more important than throughput	
PARALLEL	YES	Pause time must be kept shorter than 1 sec BEST SUITED FOR Web applications Mediums sized enterprise systems	
CONCURRENT	PARTIALLY		
GENERATIONAL	YES		
HEAP SIZE	MEDIUM - LARGE		
PAUSE TIMES	MODERATE		
THROUGHPUT	MODERATE		
LATENCY	MODERATE	OS SUPPORT	
CPU OVERHEAD	MODERATE (5-15%)	<pre>JVM SWITCH > java -XX:+UseConcMarkSweepGC</pre>	



CMS

NOTES

- Deprecated as of JDK 9
- Removed from JDK 14
- Young Generation algorithm: Copy Collector
- Old Generation algorithm: Concurrent Mark and Sweep
- Full GC algorithm: Mark Sweep Compact









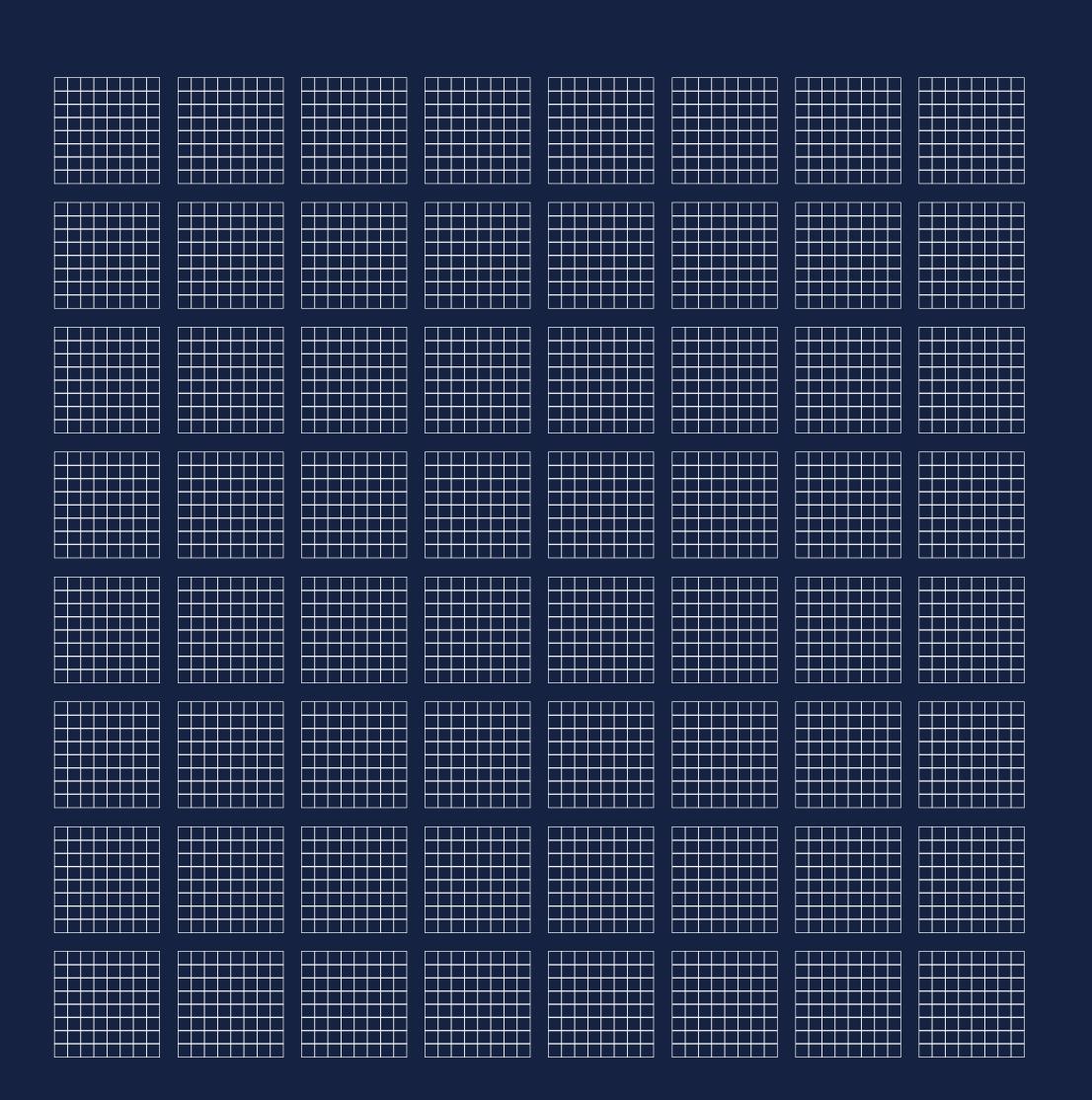
Region size 1 - 32 MB

Max no. of region <= 2048

Heap Region
< 4 GB - 1 MB
< 8 GB - 2 MB
< 16 GB - 4 MB
< 32 GB - 8 MB
< 64 GB - 16 MB
> 64 GB - 32 MB

Example 8GB Heap:

8 GB Heap = 8192 MB 8192 MB / 2048 = 4 MB region size









Region size 1 - 32 MB

Max no. of region <= 2048

Heap Region

< 4 GB - 1 MB

< 8 GB - 2 MB

< 16 GB - 4 MB

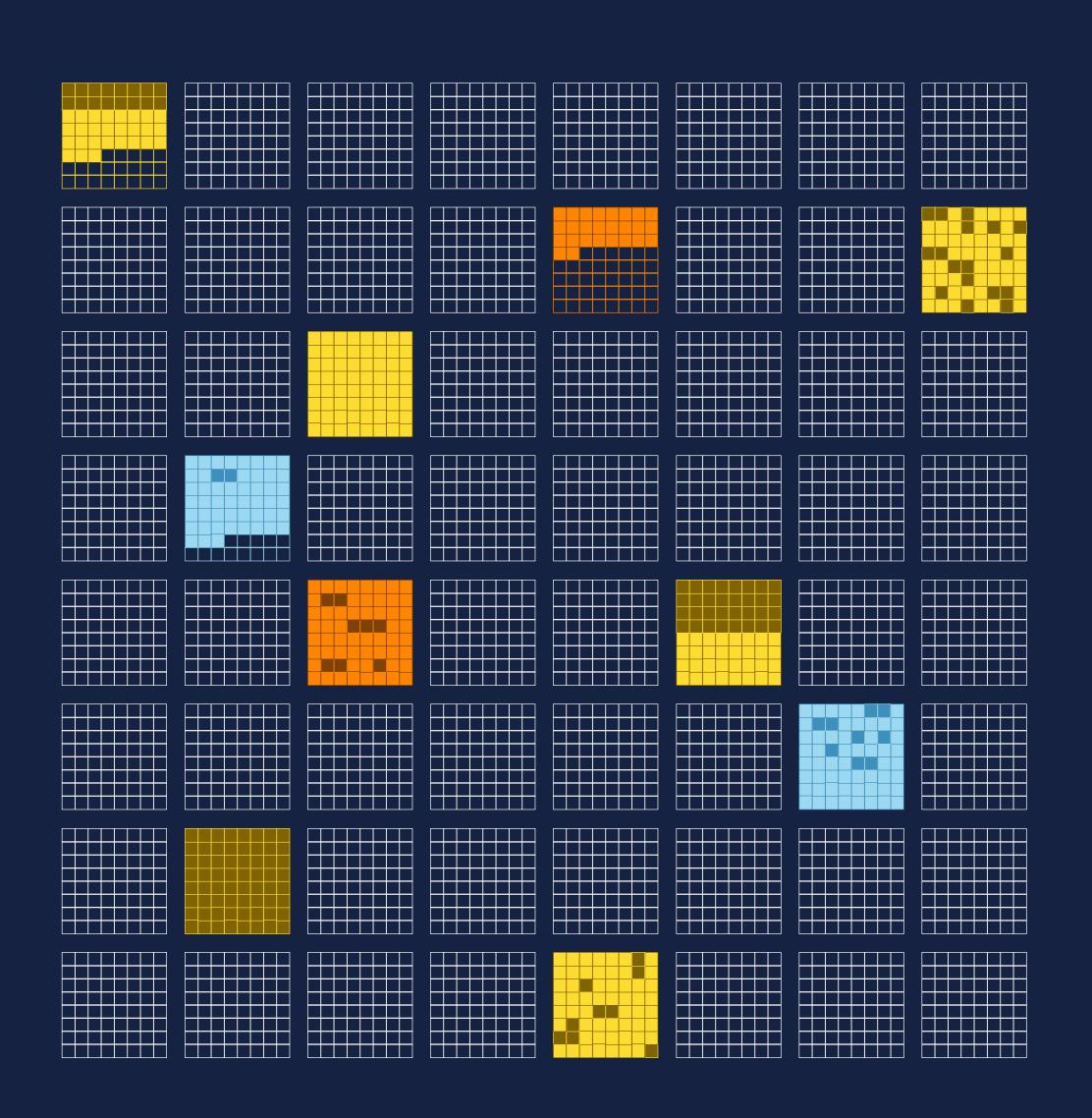
< 32 GB - 8 MB

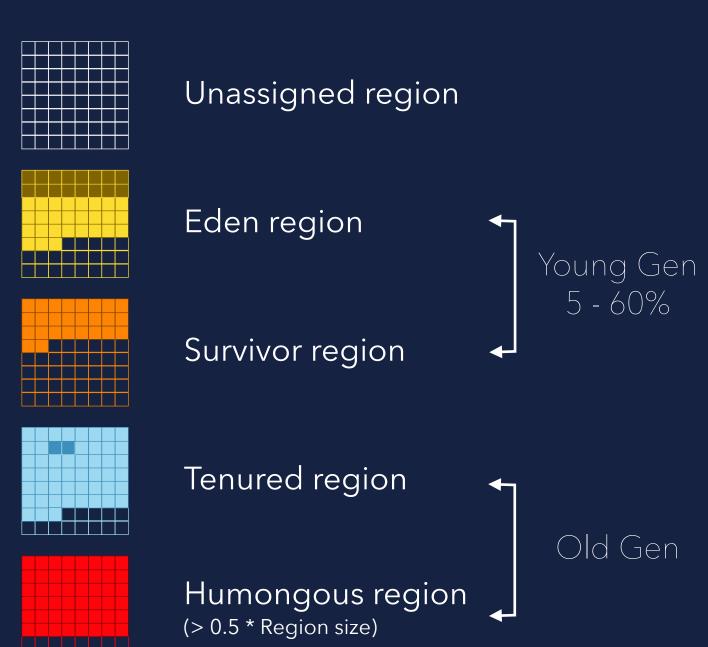
< 64 GB - 16 MB

> 64 GB - 32 MB

Example 8GB Heap:

8 GB Heap = 8192 MB 8192 MB / 2048 = 4 MB region size









Region size 1 - 32 MB

Max no. of region <= 2048

Heap Region

< 4 GB - 1 MB

< 8 GB - 2 MB

< 16 GB - 4 MB

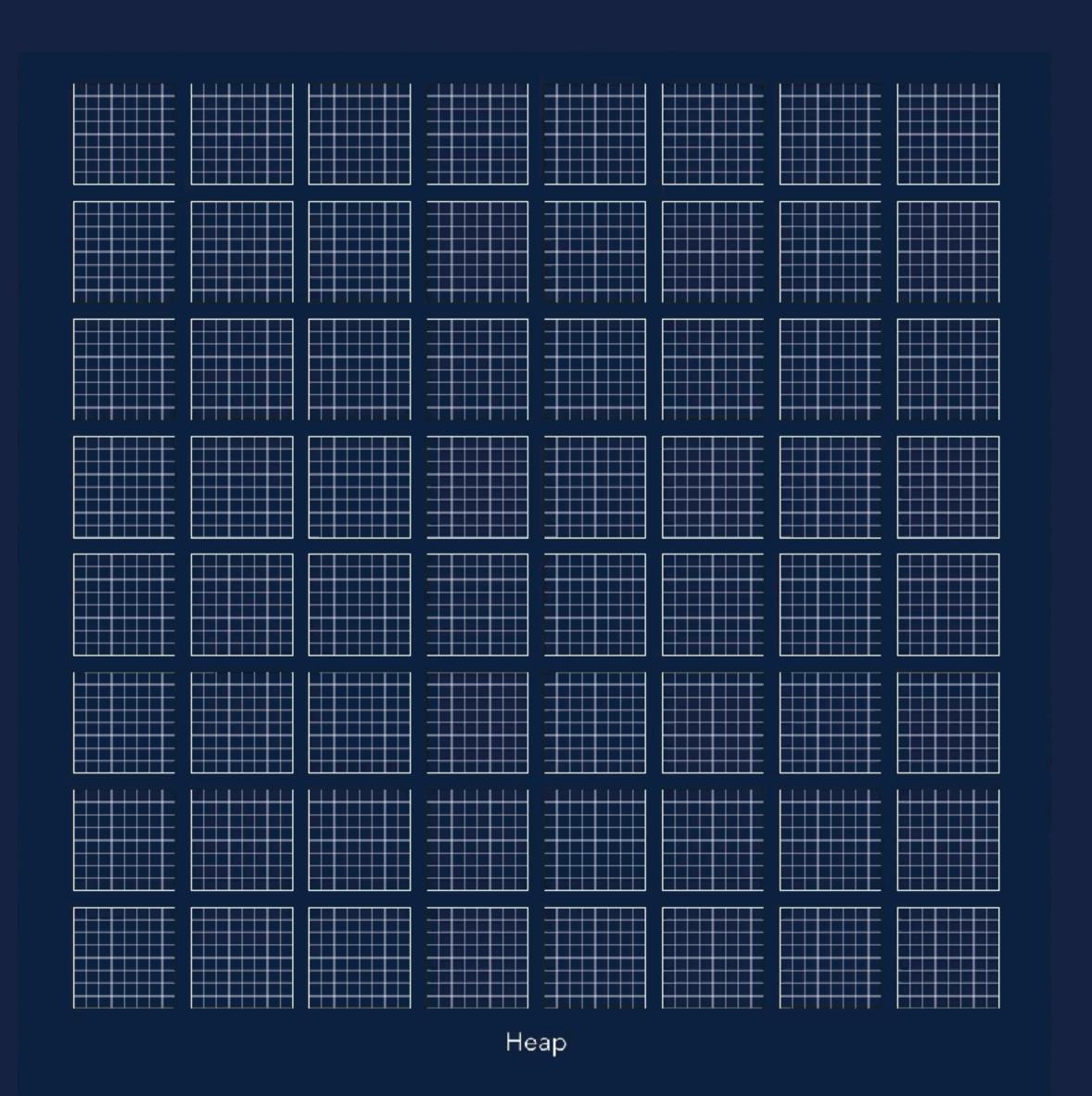
< 32 GB - 8 MB

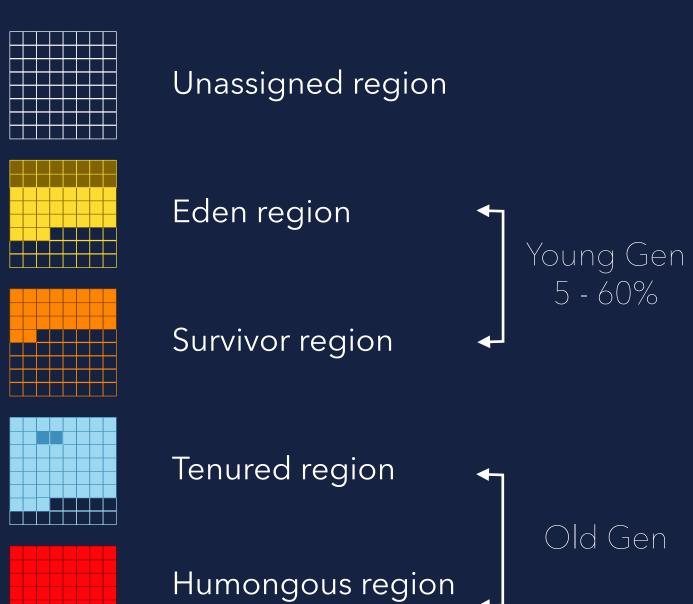
< 64 GB - 16 MB

> 64 GB - 32 MB

Example 8GB Heap:

8 GB Heap = 8192 MB 8192 MB / 2048 = 4 MB region size





Example:

- 6 Eden Regions3 Survivor Regions
- 2 Regions with most garbage will be collected/promoted

(> 0.5 * Region size)





AVAILABILITY	JDK 7U4+	CHOOSE WHEN Response time is more important than throughput Pause time must be kept shorter than 1 sec	
PARALLEL	YES		
CONCURRENT	PARTIALLY		
GENERATIONAL	YES	BEST SUITED FOR Mixed workloads Large sized enterprise systems	
HEAP SIZE	MEDIUM - LARGE		
PAUSE TIMES	SHORT - MEDIUM		
THROUGHPUT	HIGH	Responsive in medium to large heaps	
LATENCY	LOWER	OS SUPPORT C	
CPU OVERHEAD	MODERATE (5-15%)	JVM SWITCH > java -XX:+UseG1GC	





NOTES

- Default collector from JDK 9 onwards
- Young Generation algorithm: Evacuating Collector (Mark and Compact)
- Old Generation algorithm: Concurrent Mark and Compact
- Full GC algorithm: Mark and Compact





AVAILABILITY	JDK 11+
PARALLEL	_
CONCURRENT	_
GENERATIONAL	_
HEAP SIZE	-
PAUSE TIMES	_
THROUGHPUT	_
LATENCY	_
CPU OVERHEAD	VERY LOW

CHOOSE WHEN

- Testing performance or memory pressure
- Highest performance is needed and nearly no garbage is created

BEST SUITED FOR

- Extremely short lived jobs
- Last drop latency improvements
- Last drop throughput improvements

OS SUPPORT

JVM SWITCH

> java -XX:+UseEpsilonGC



Only in builds of OpenJDK



SHENANDOAH

SHENANDOAH



AVAILABILITY	JDK 11.0.9+
PARALLEL	YES
CONCURRENT	FULLY
GENERATIONAL	NO
HEAP SIZE	MEDIUM - LARGE
PAUSE TIMES	SHORT
THROUGHPUT	VERY HIGH
LATENCY	VERY LOW
CPU OVERHEAD	MODERATE (10-20%)

CHOOSE WHEN

- Response time is a high priority
- Using a very large heap (100GB+)
- Predictable response times needed

BEST SUITED FOR

- Latency sensitive applications
- Large scale systems
- Highly concurrent applications

OS SUPPORT A C

JVM SWITCH

> java -XX:+UseShenandoahGC

SHENANDOAH



NOTES

- Not available in Oracle JDK
- A bit reduced throughput due to concurrent GC
- Makes use of new barrier concept, load reference barrier

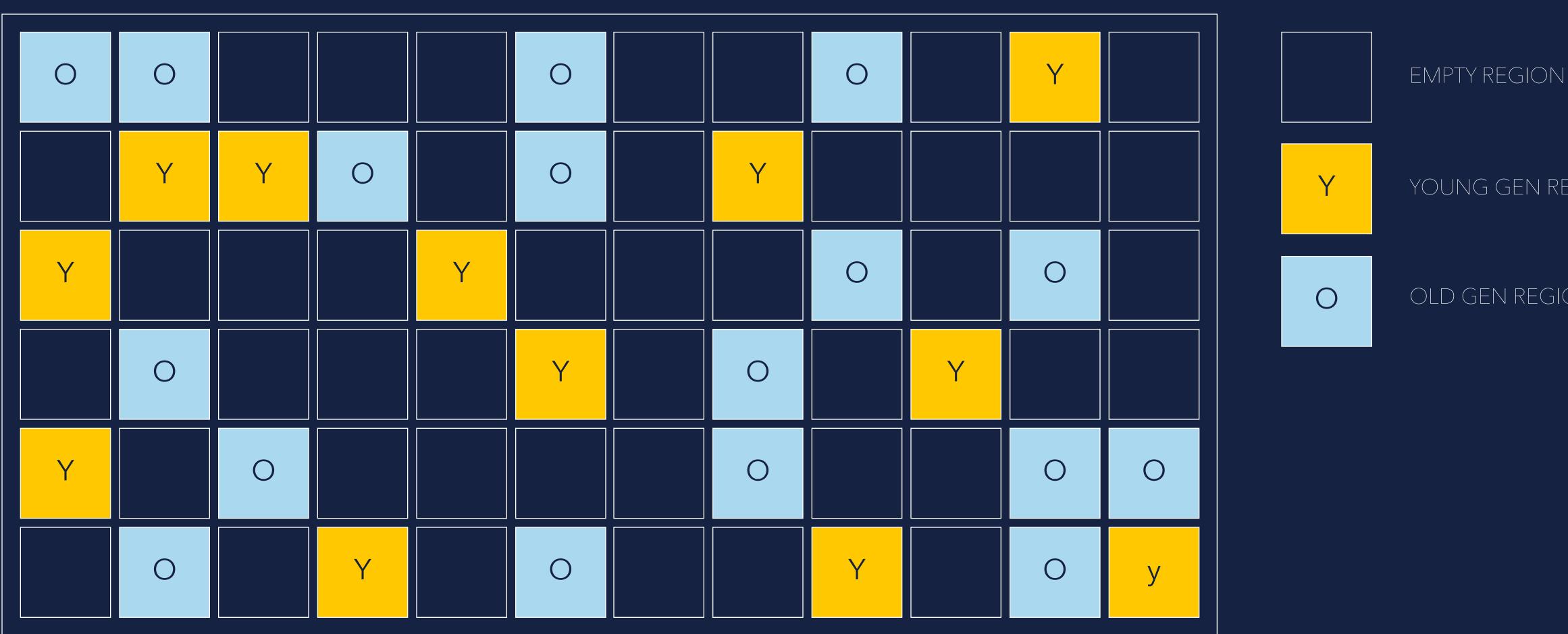








HEAP



YOUNG GEN REGION

OLD GEN REGION





AVAILABILITY	JDK 15 / 21+	CHOOSE WHEN
PARALLEL	YES	Response time is a high priority Using a very large heap (100GB+)
CONCURRENT	FULLY	Predictable response times needed
GENERATIONAL	NO/YES	BEST SUITED FOR
HEAP SIZE	LARGE	Low latency sensitive applications
PAUSE TIMES	SHORT	Large scale systems
THROUGHPUT	VERY HIGH	Highly concurrent applications
LATENCY	VERY LOW	OS SUPPORT
CPU OVERHEAD	MODERATE (10-20%)	JVM SWITCH > java -XX:+UseZGC -XX:+ZGene
		* Not needed in the future, because gener

-XX:+ZGenerational*



zgc

- Will become the default collector in the future
- Mon-generational version will be deprecated





Concurrent Continues Compacting Collector





NOTES

- Part of Azul Zing JVM
- Makes use of Loaded Value Barrier everywhere (Test + Jump which only takes 1 cpu cycle -> very fast)
- Best performance by using Transparent Huge Pages (Normal page size 4kB, THP size 2MB)

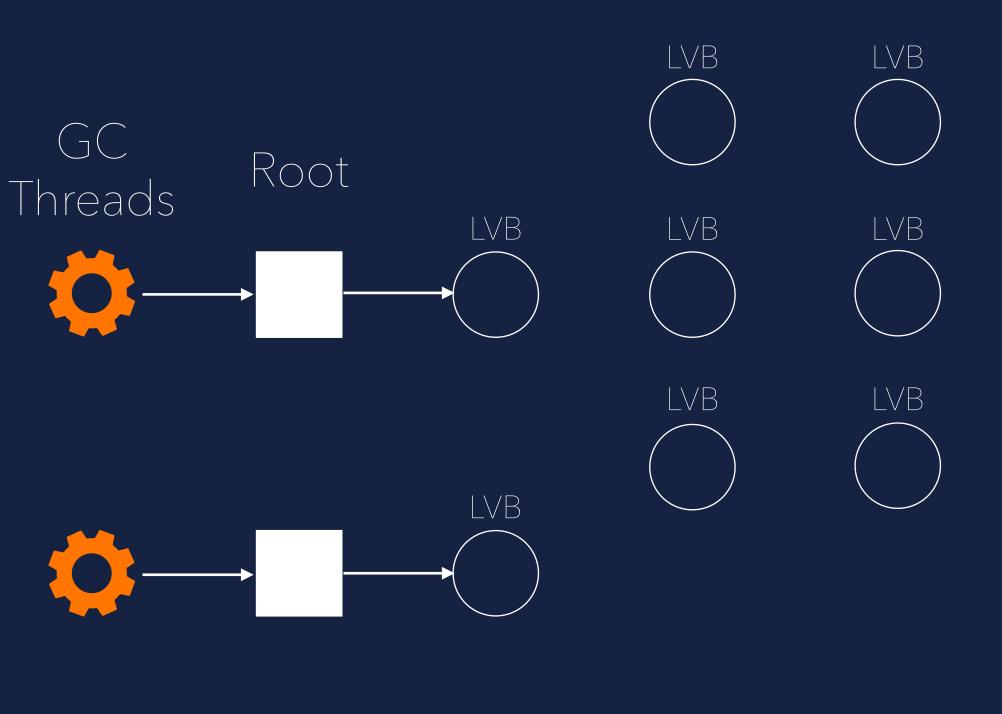




MARKING PHASE

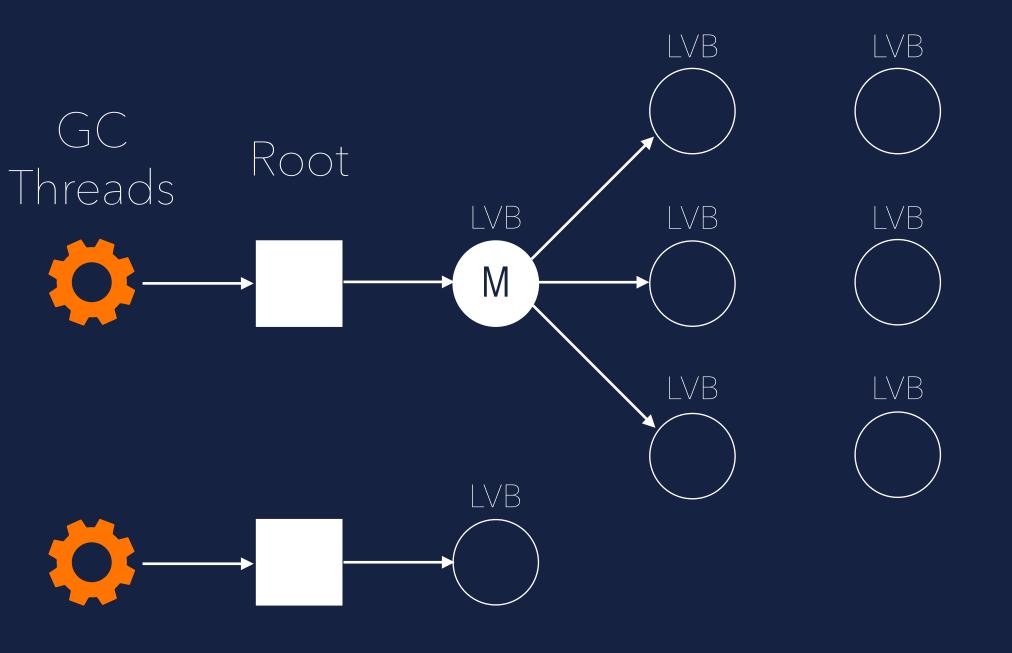


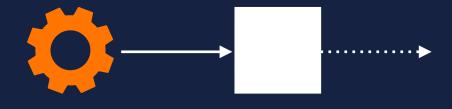




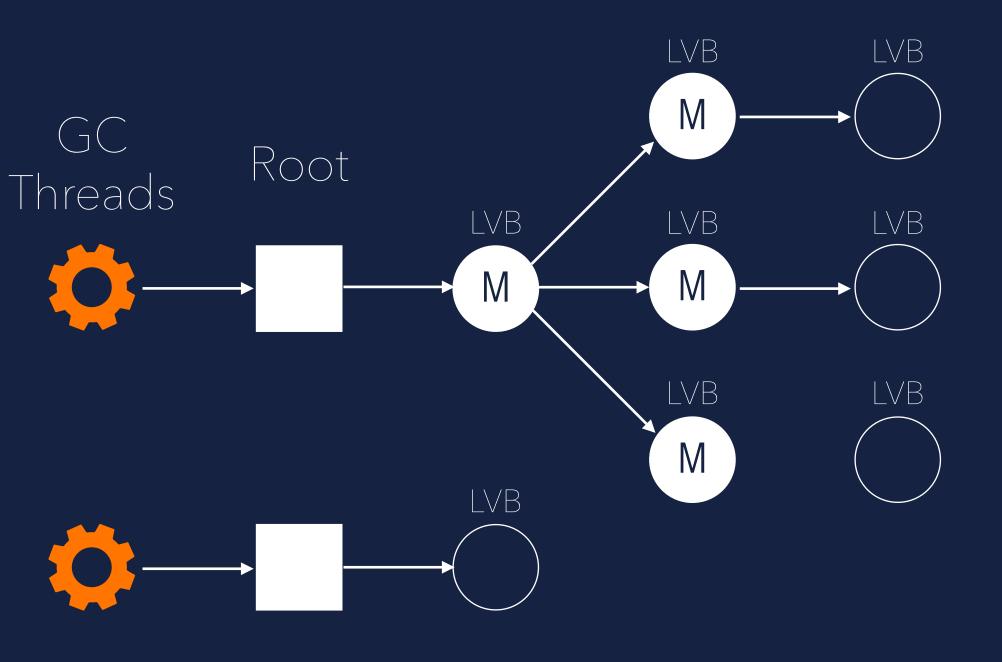


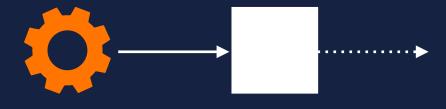




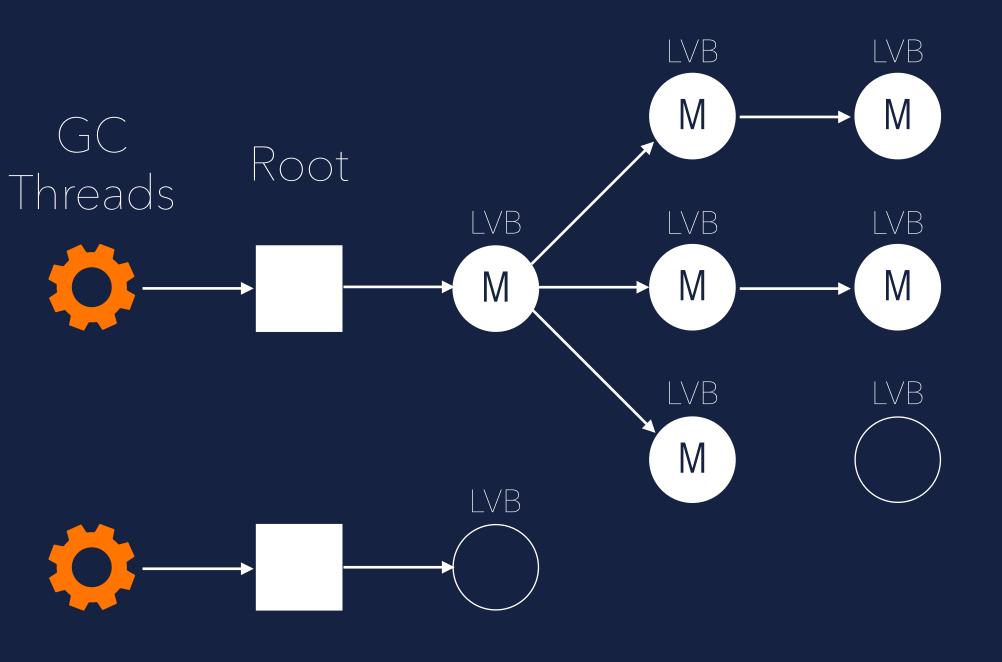


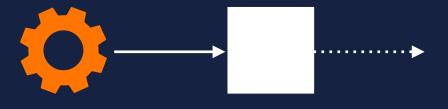




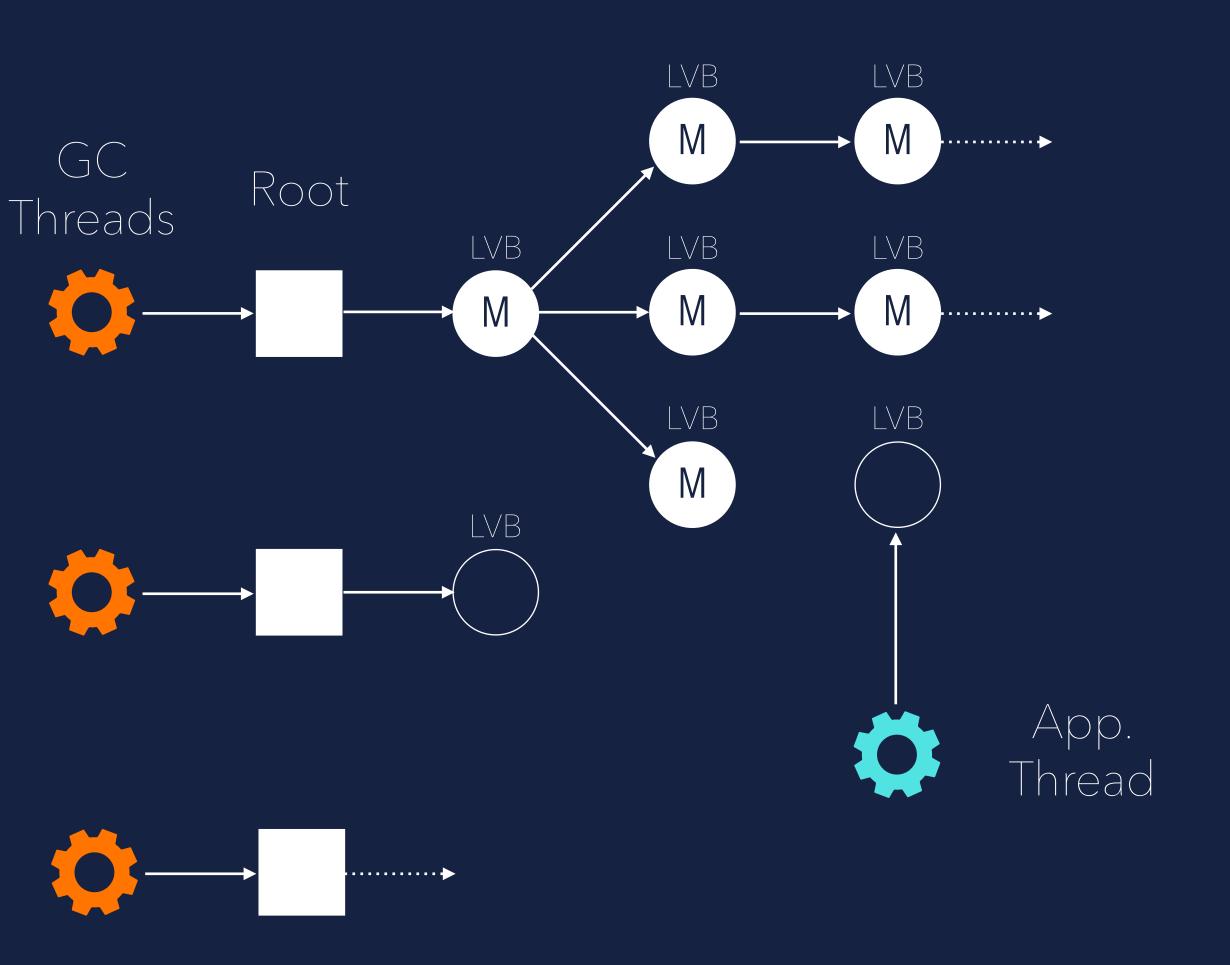






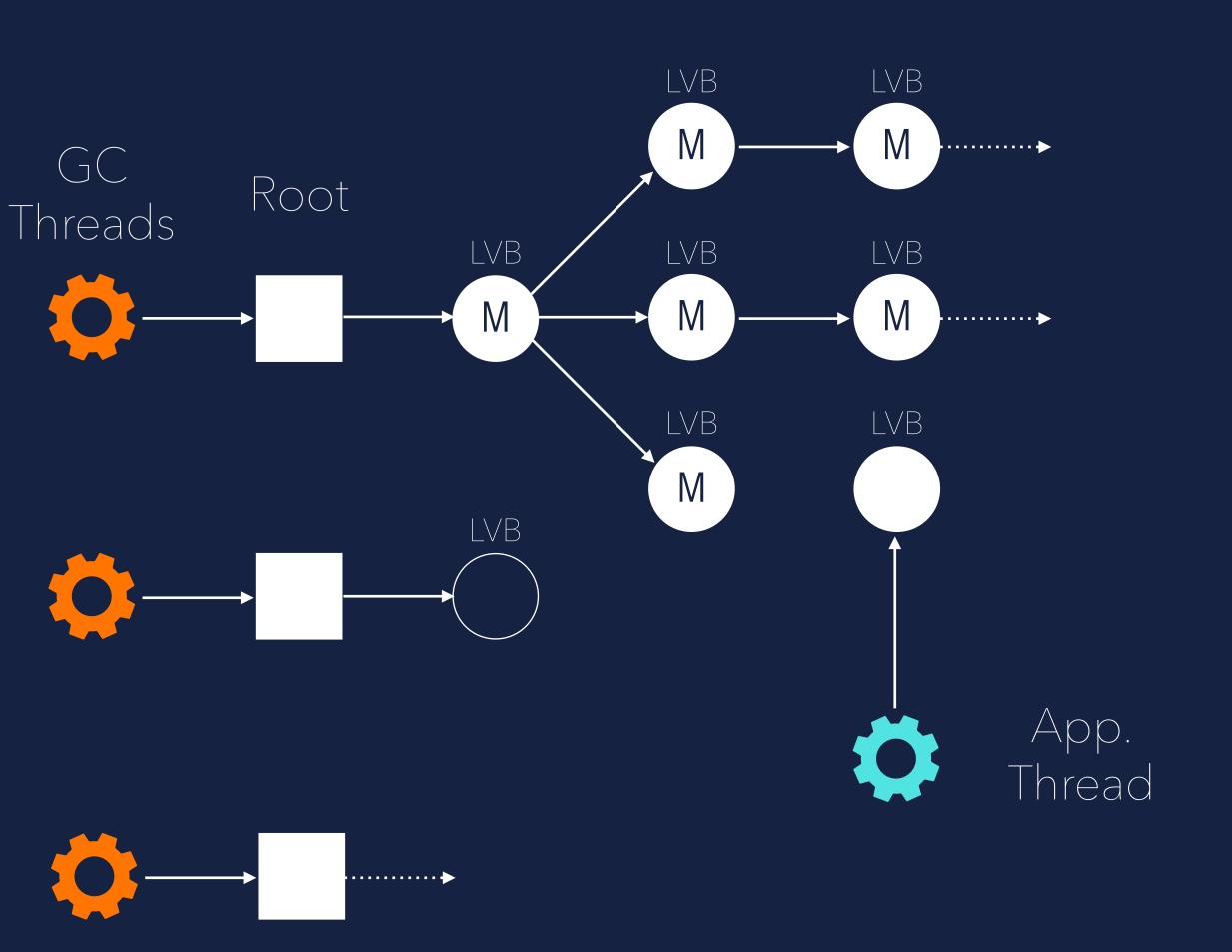








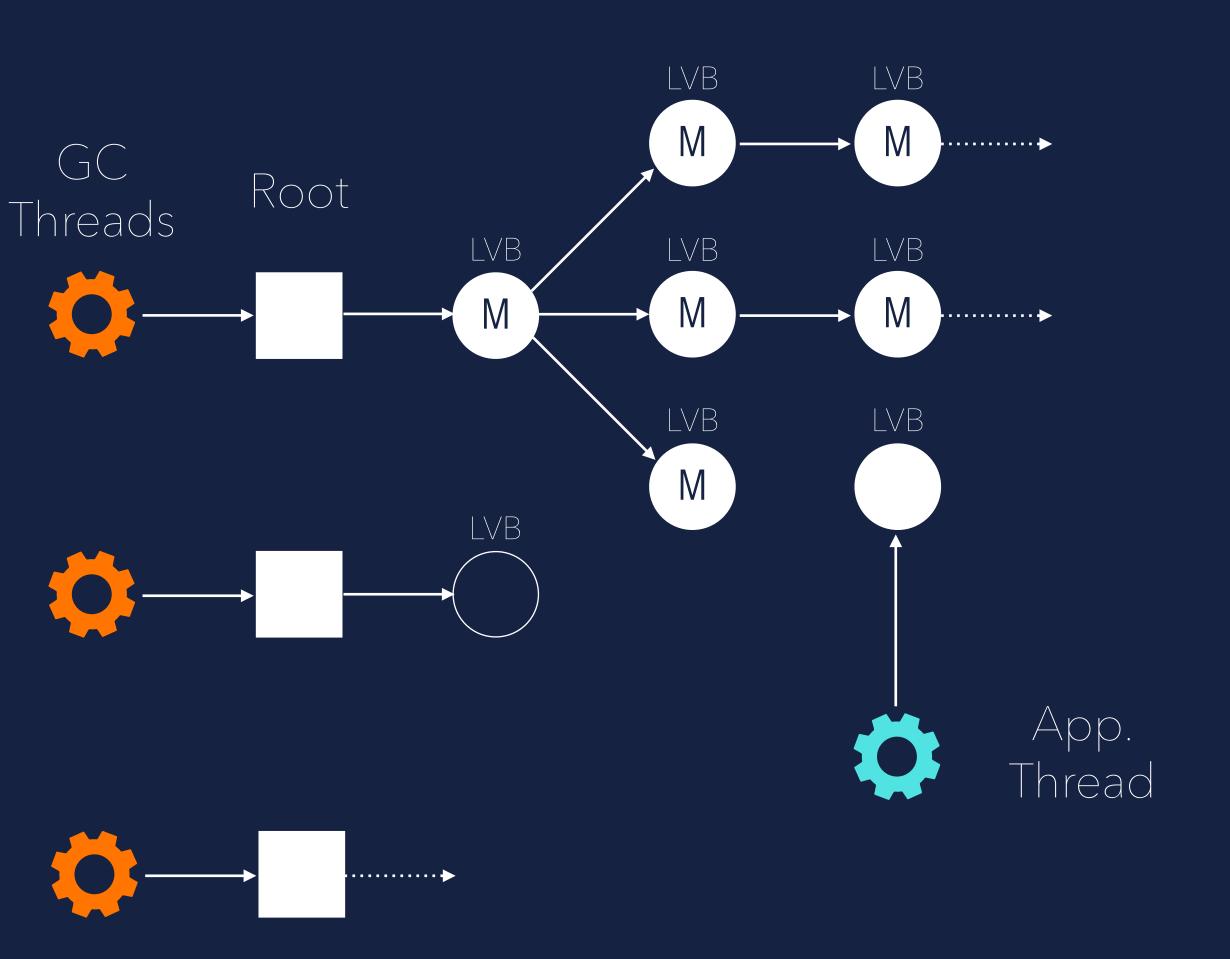
Marking Phase



Trigger LVB



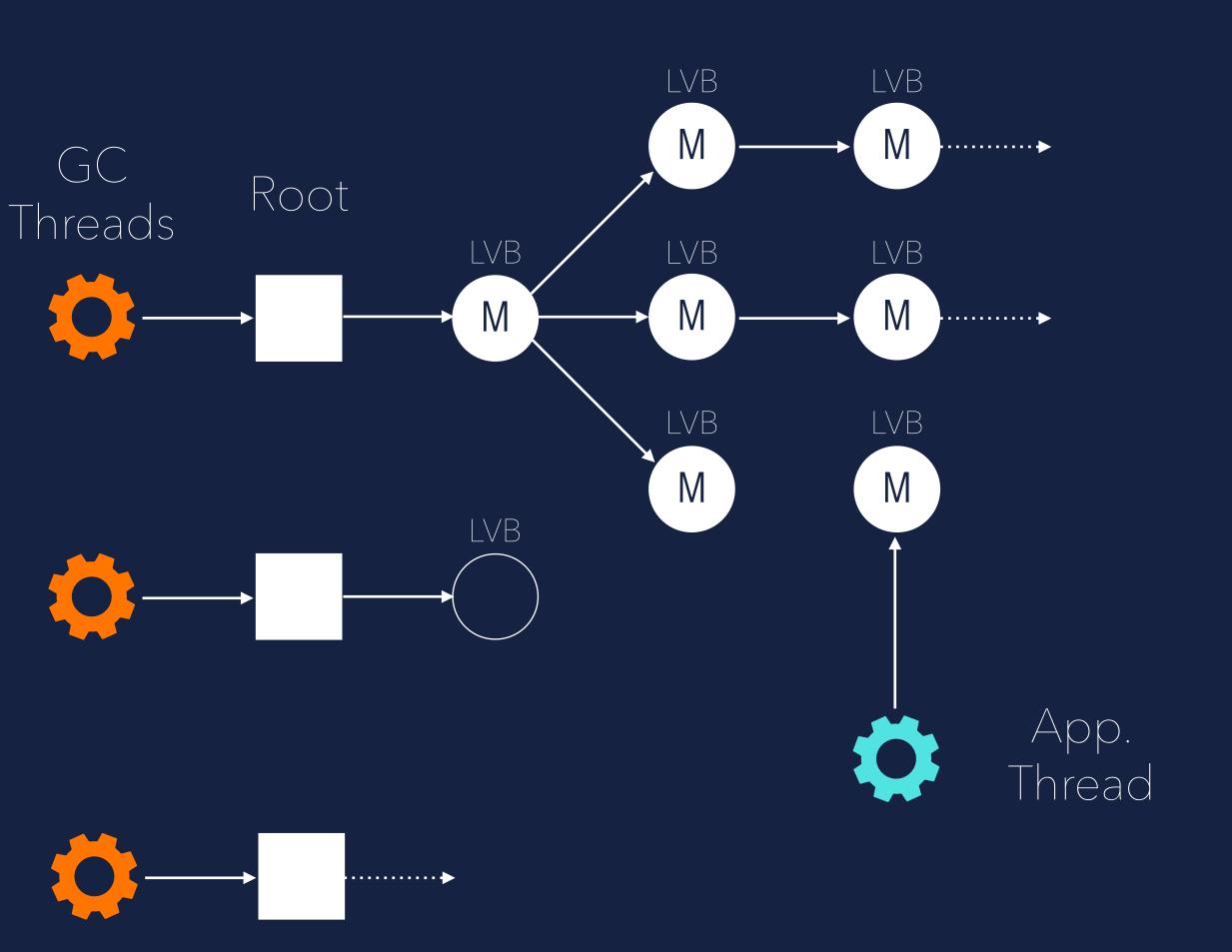
Marking Phase



Test+Jump



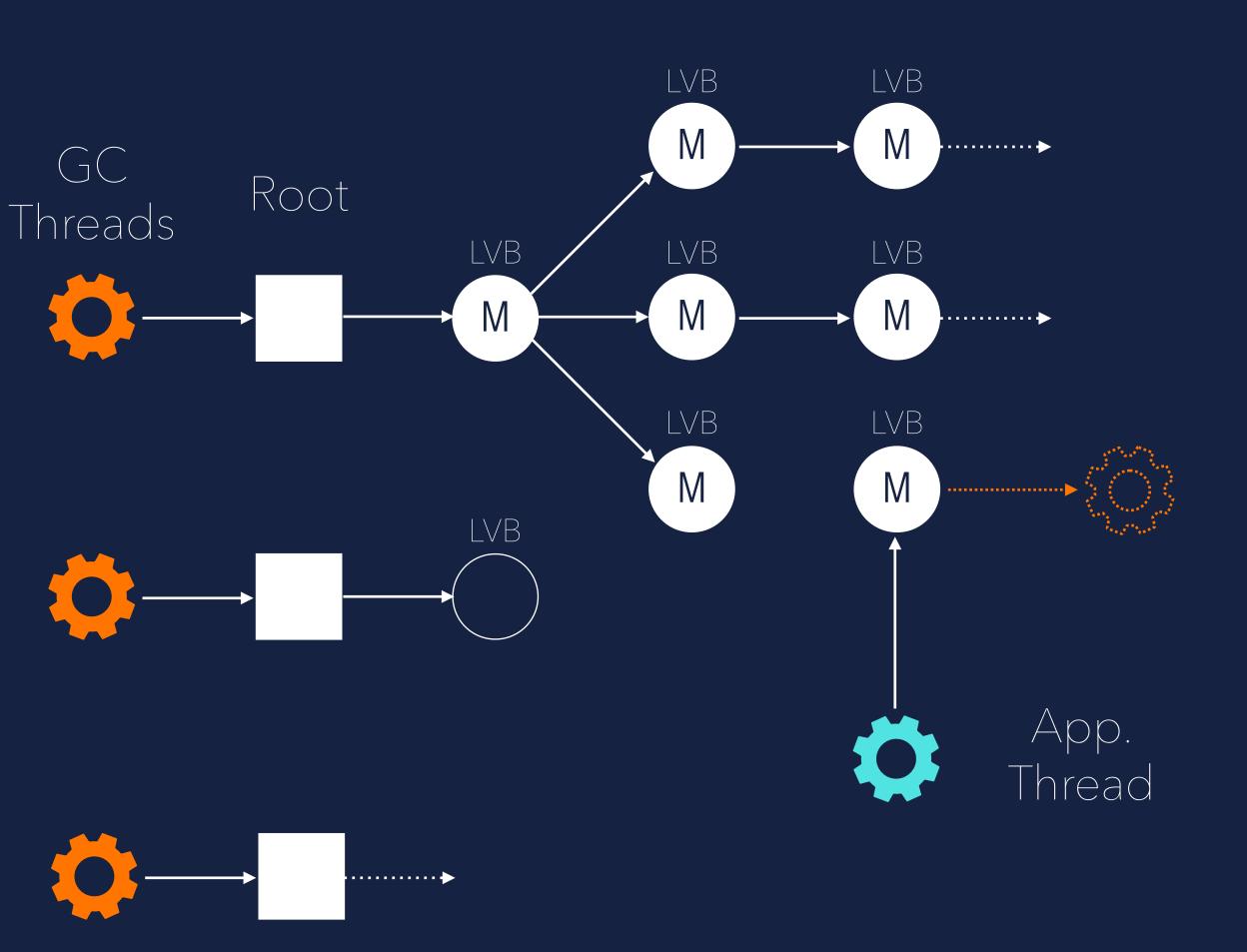
Marking Phase



Mark



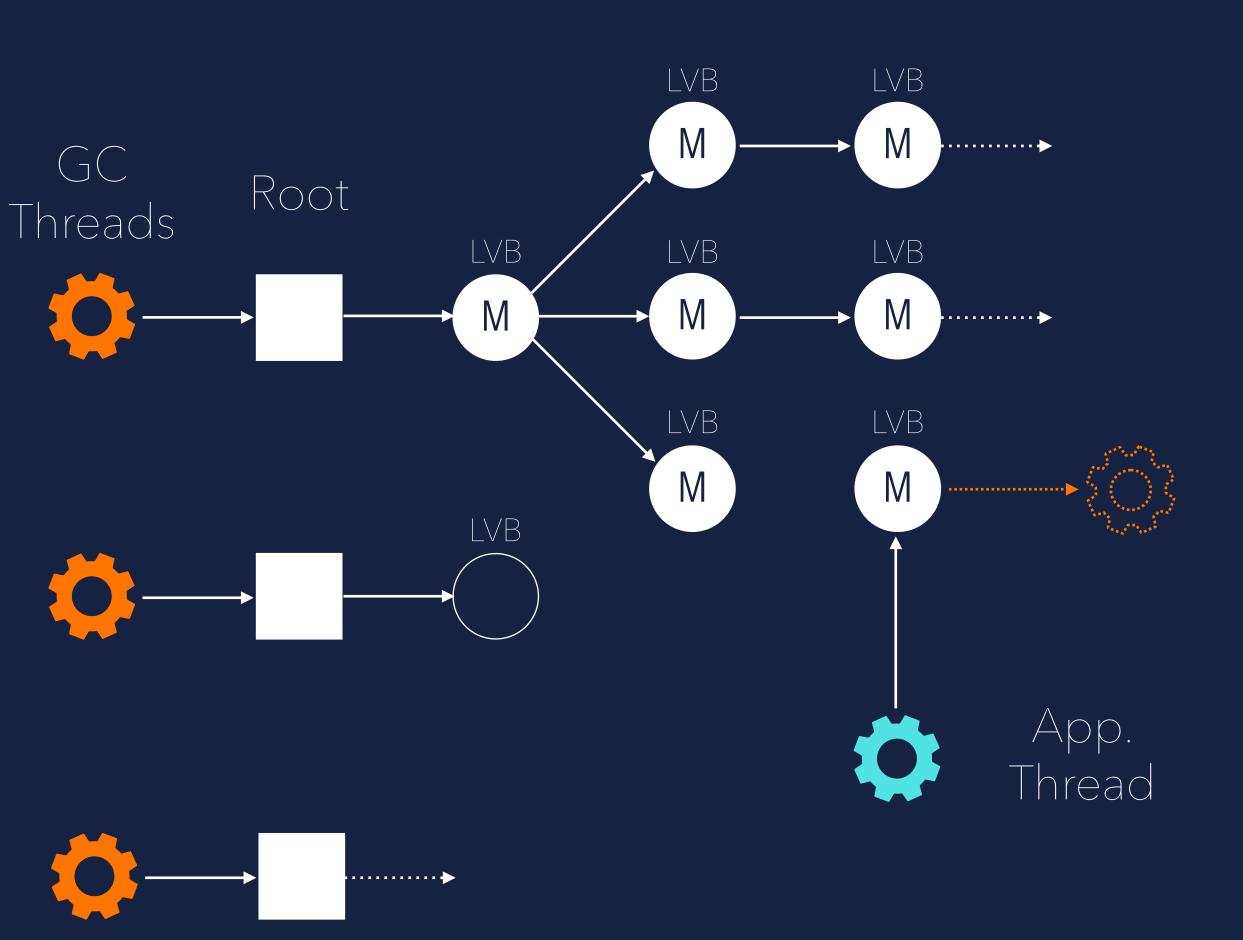
Marking Phase



Hand over to GC



Marking Phase



No need to mark again by GC!

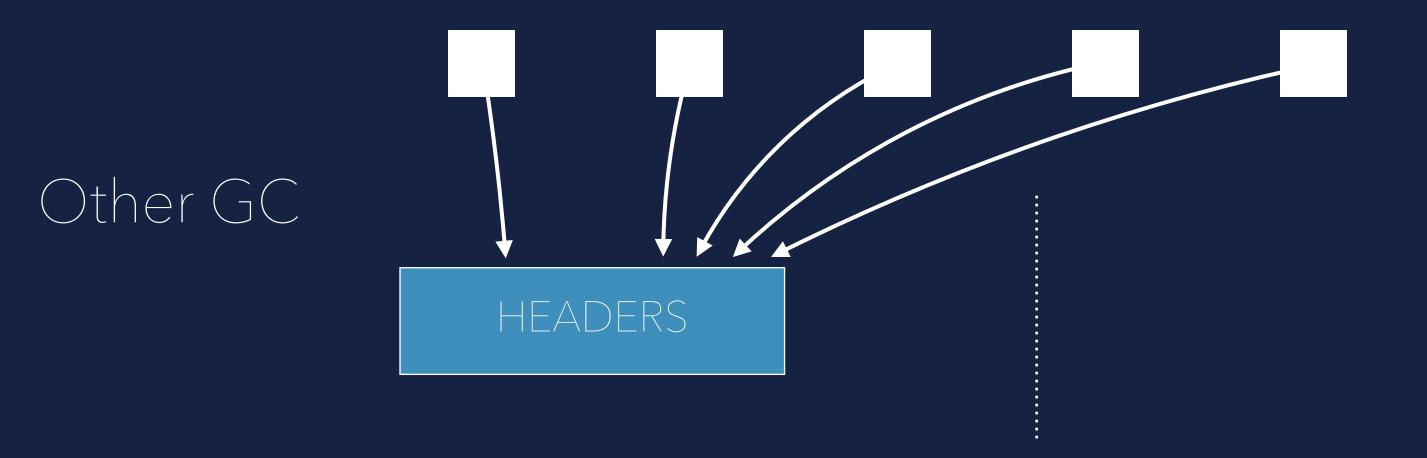


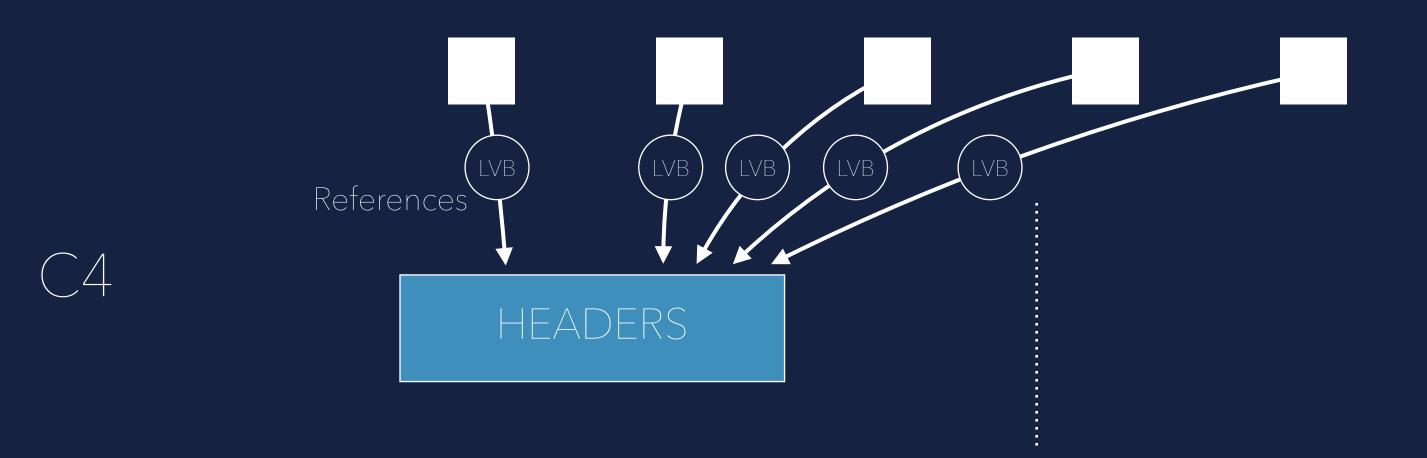


QUICK RELEASE



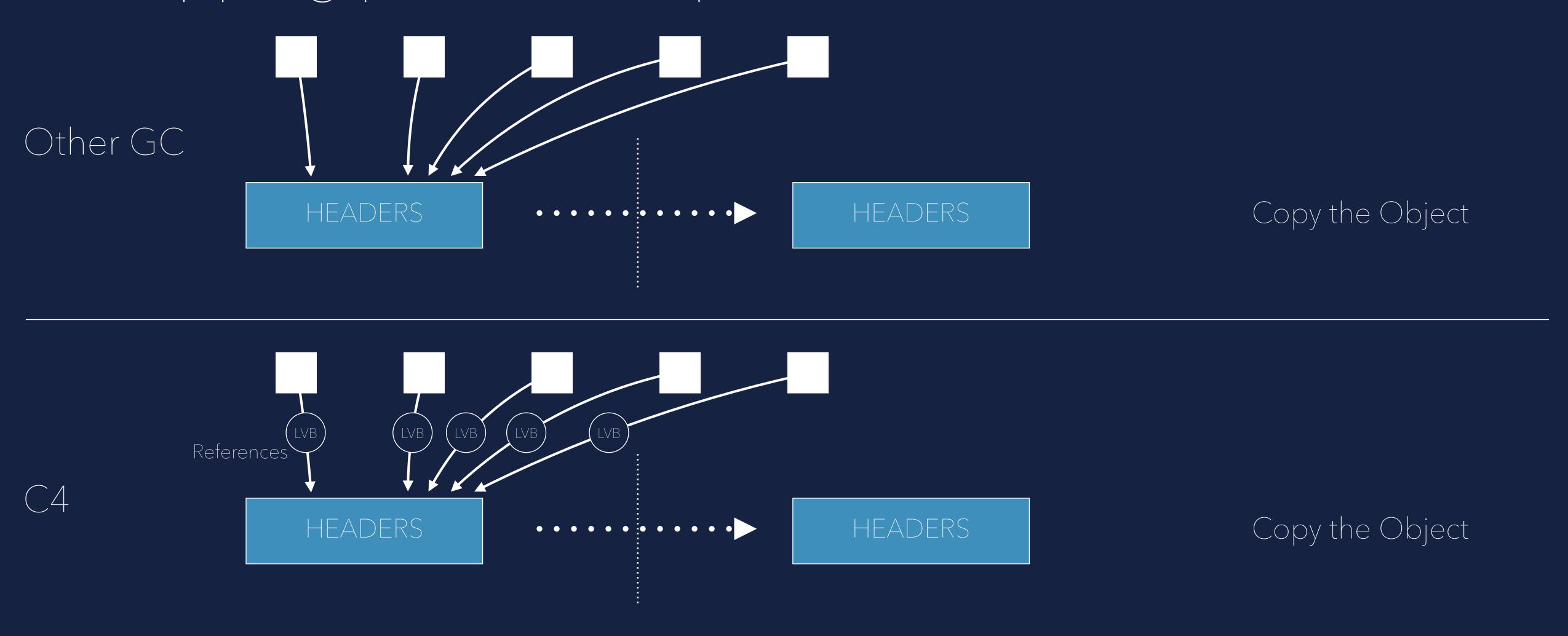






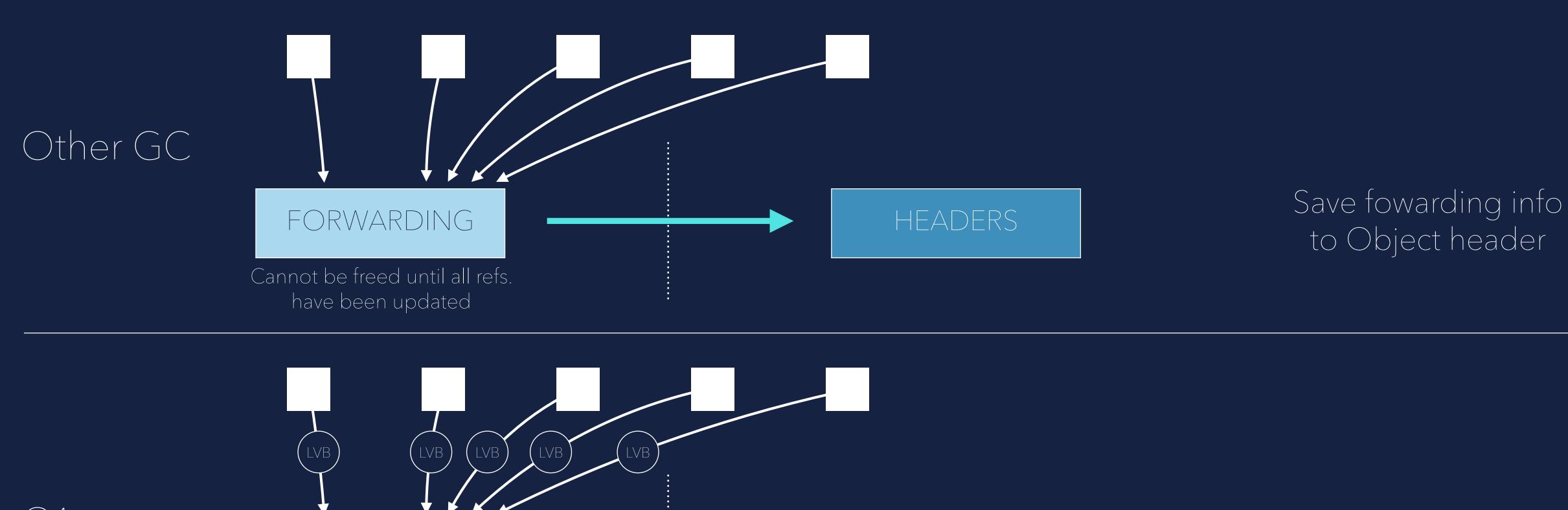




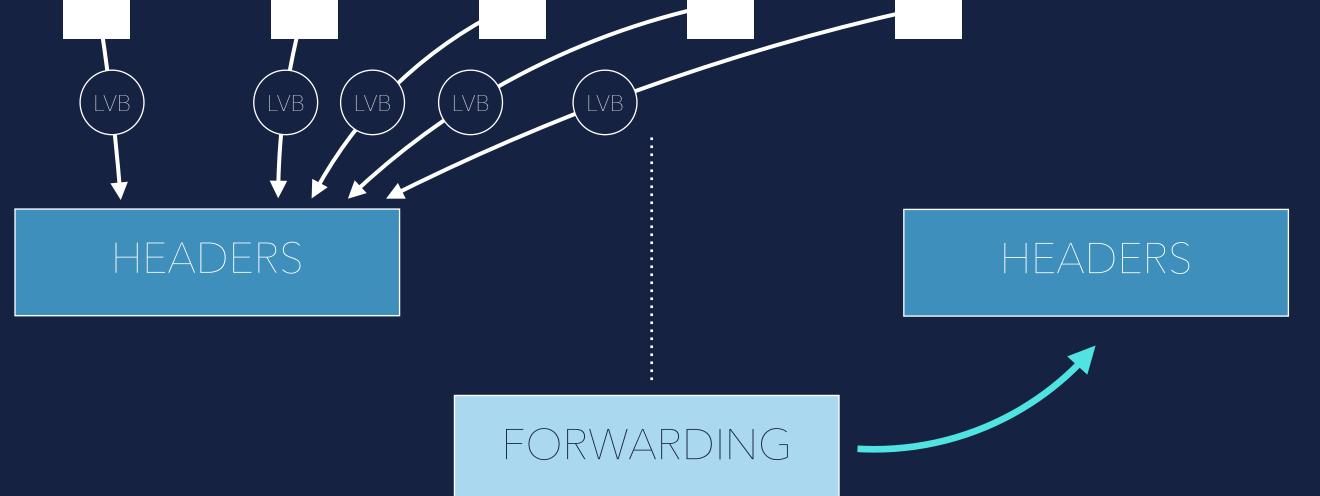








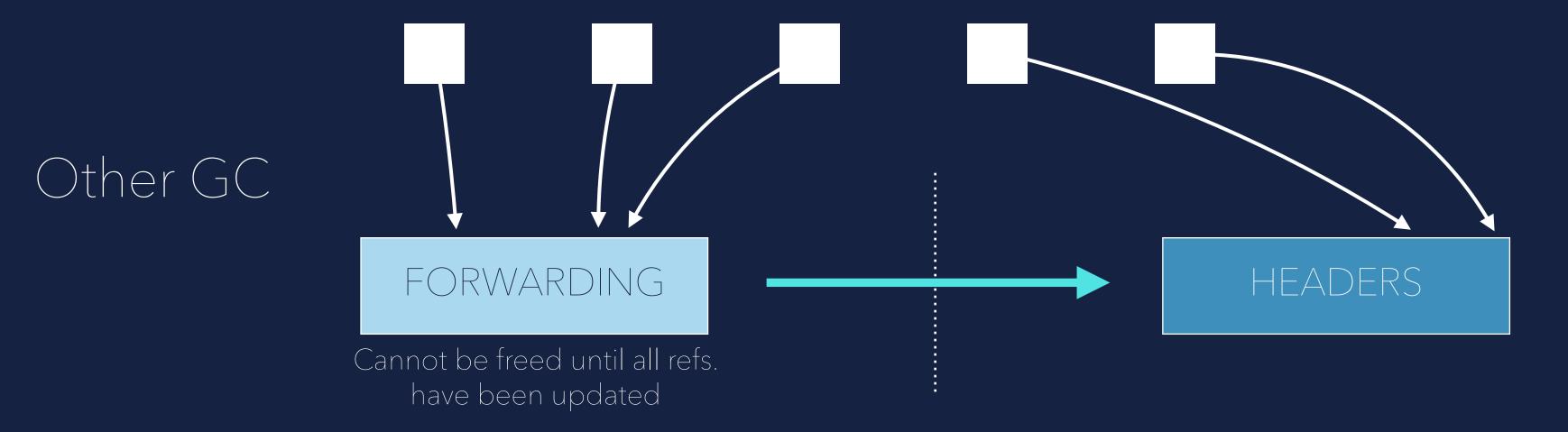
 \bigcirc 4



Save forwarding info to Off Heap page

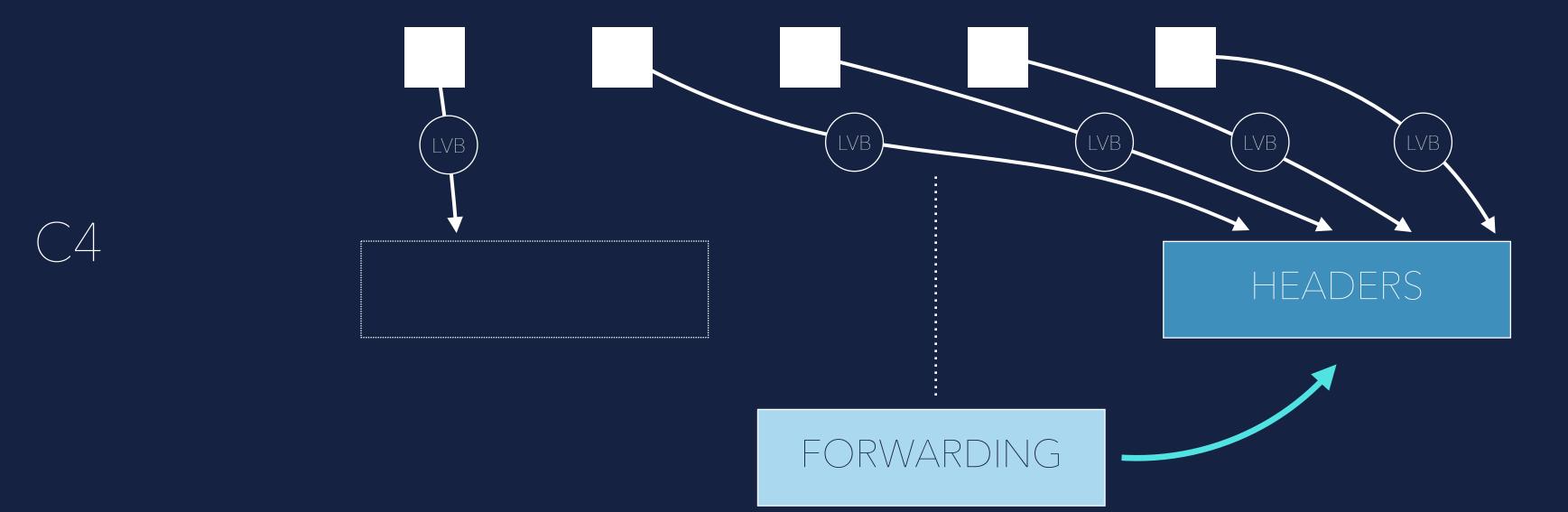






Update all references

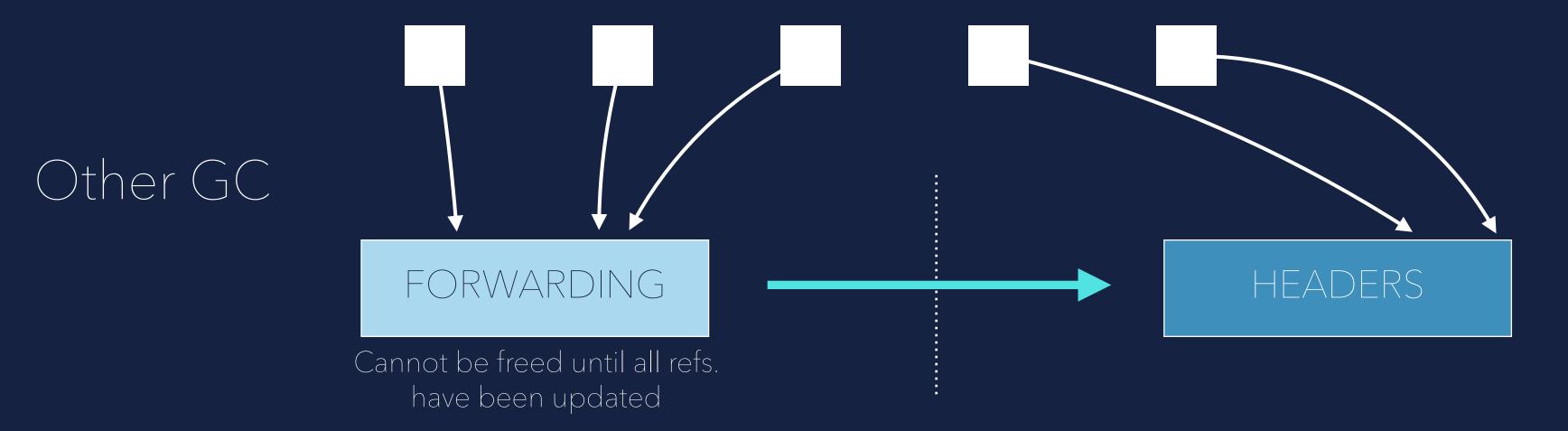
(Collector walks the heap and replaces all references to new location)



Original reference is freed, and Collector updates references (No pressure because LVB is self healing)

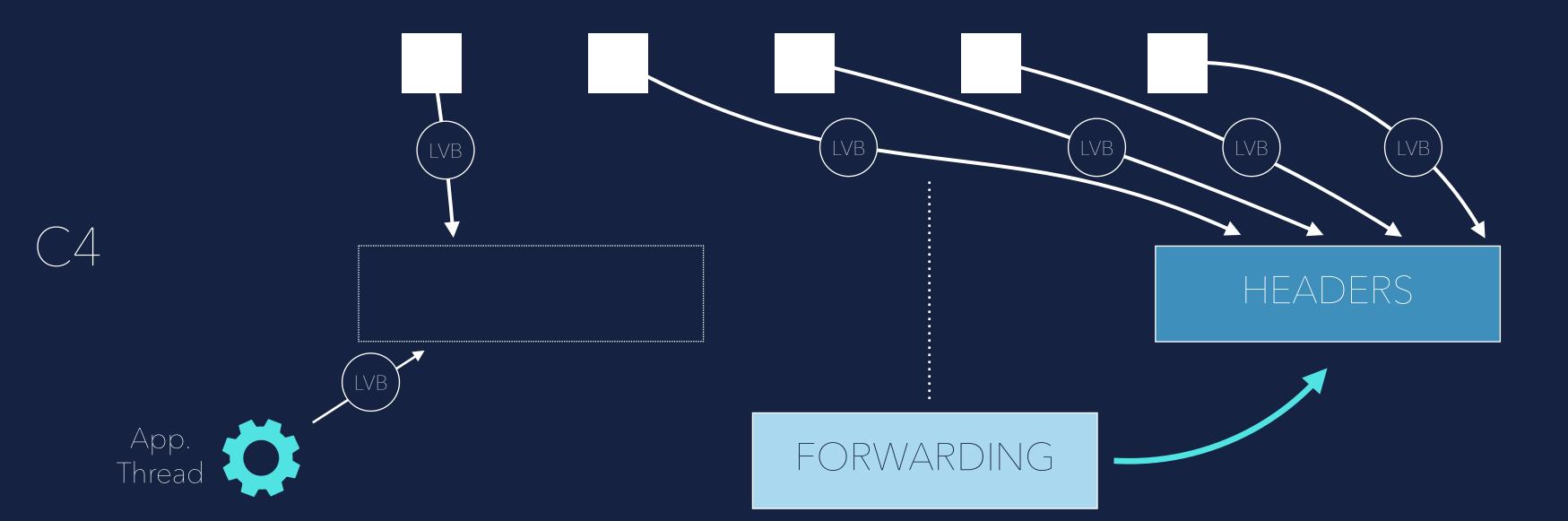






Update all references

(Collector walks the heap and replaces all references to new location)

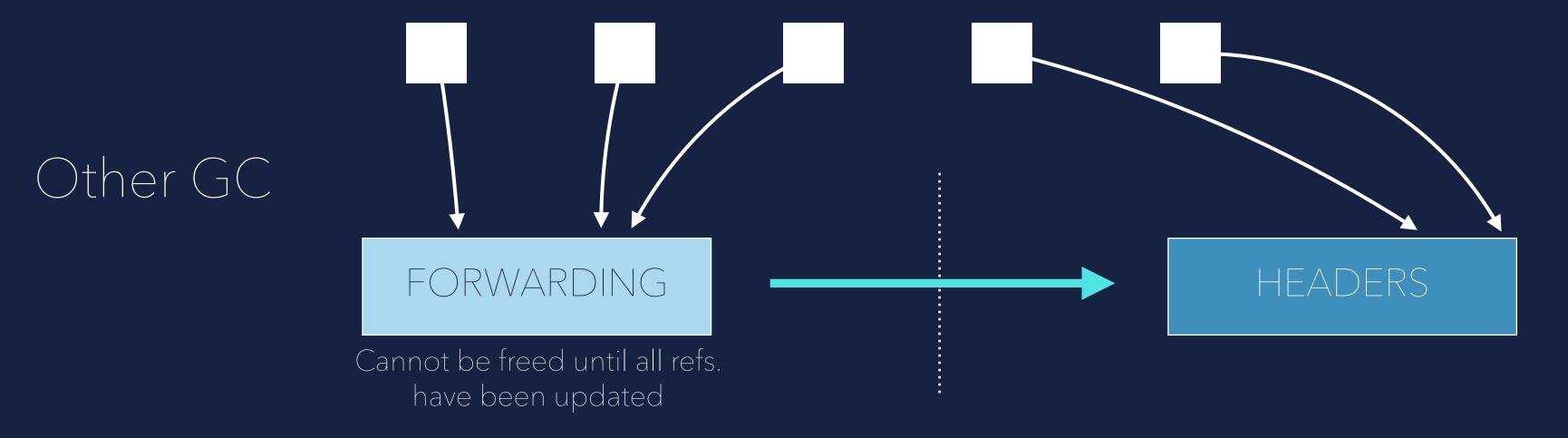


App Thread triggers LVB (Test + Jump)



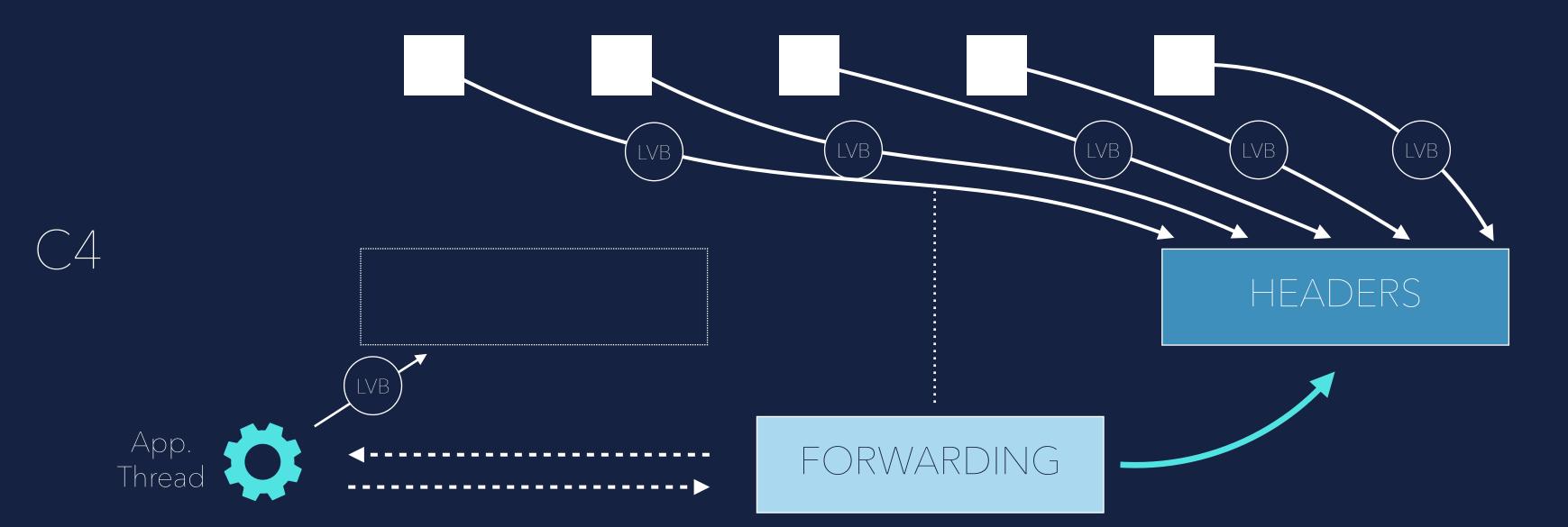






Update all references

(Collector walks the heap and replaces all references to new location)

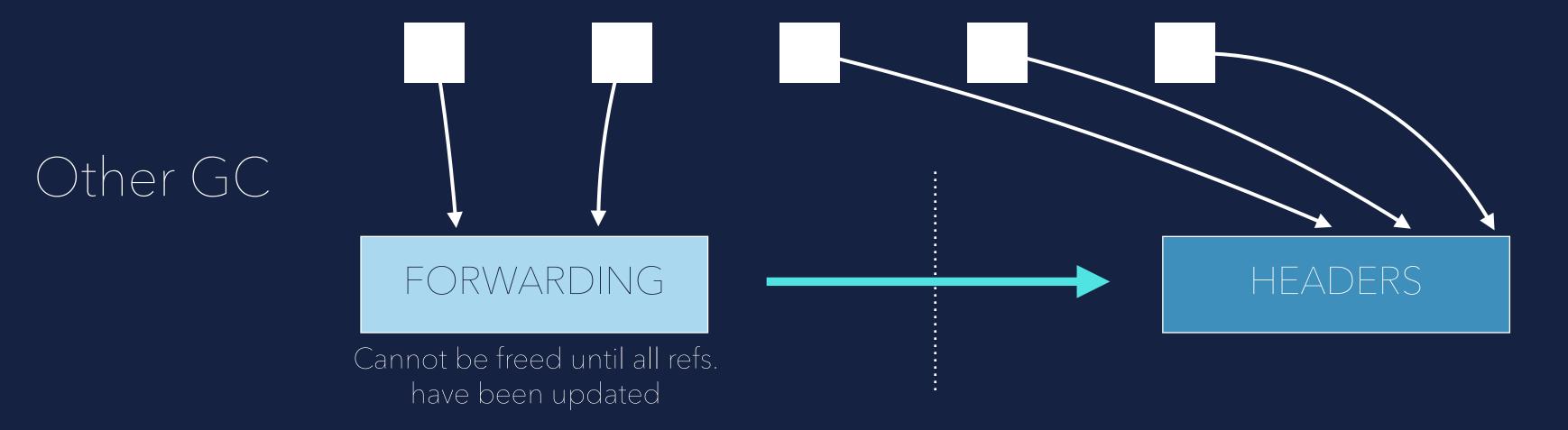


App Thread updates ref (Using the info from the off heap page)



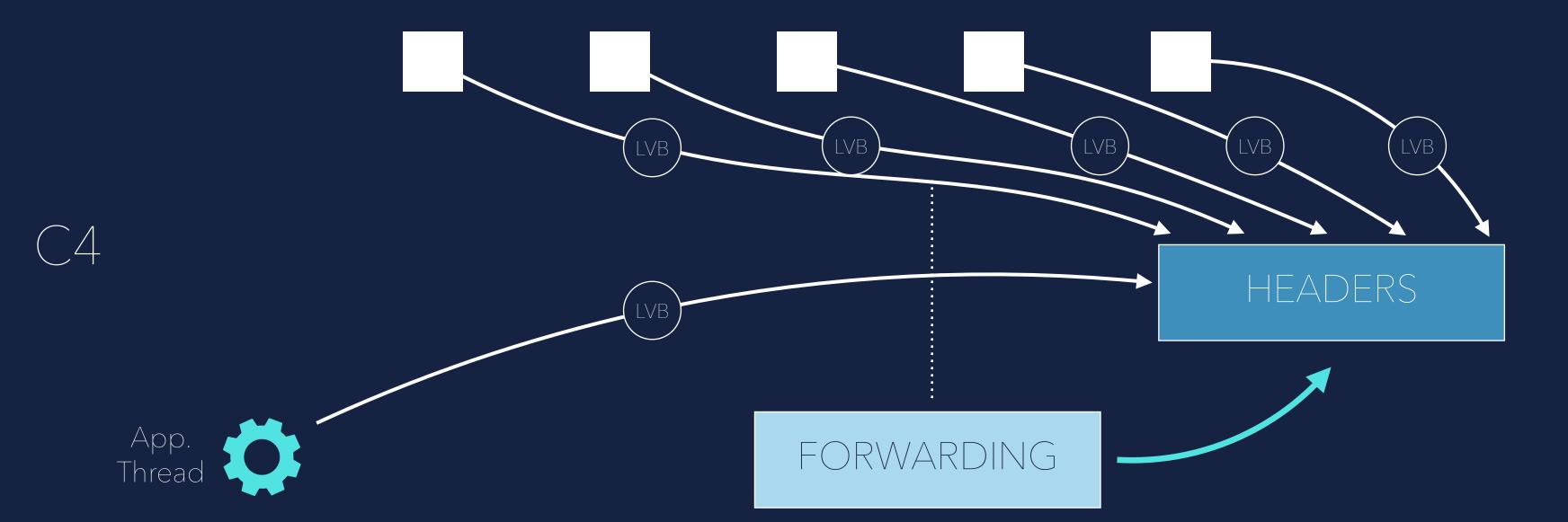






Update all references

(Collector walks the heap and replaces all references to new location)

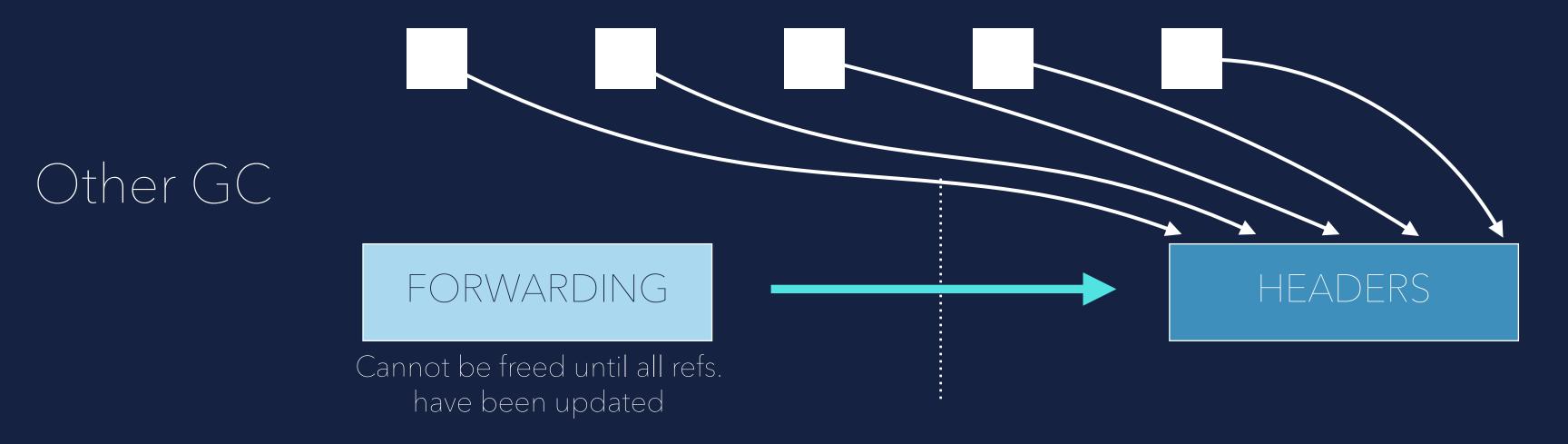


Self healing through LVB



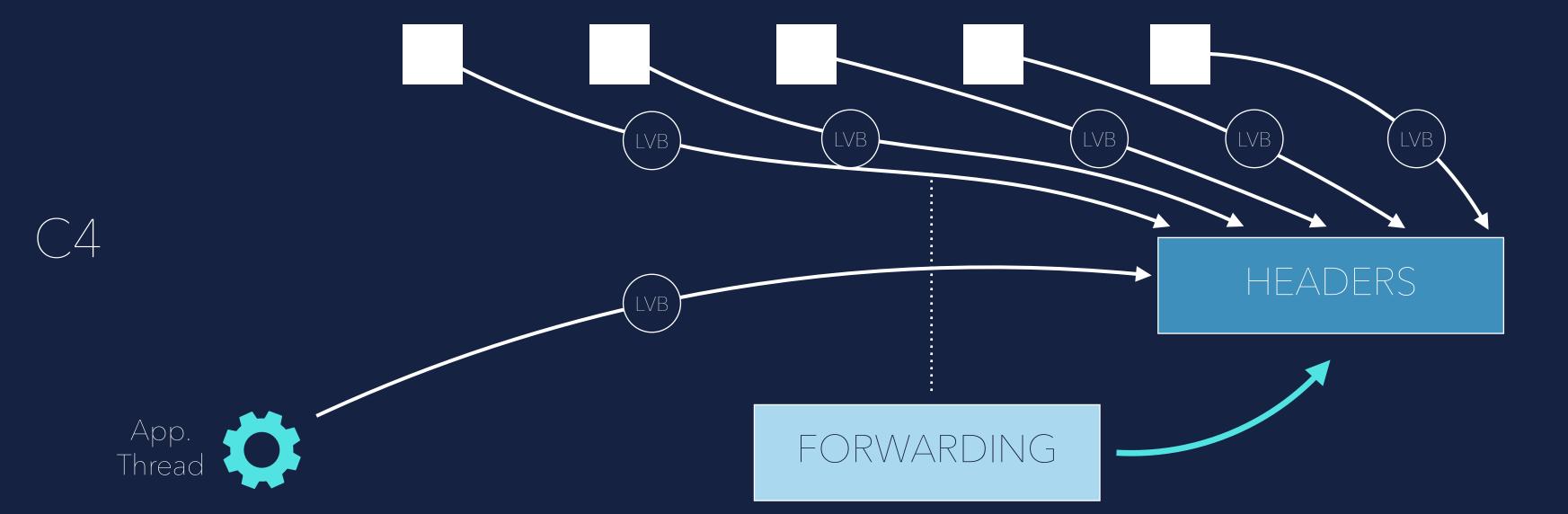






Update all references

(Walk the heap and replace all references with forwarding pointer to new location)

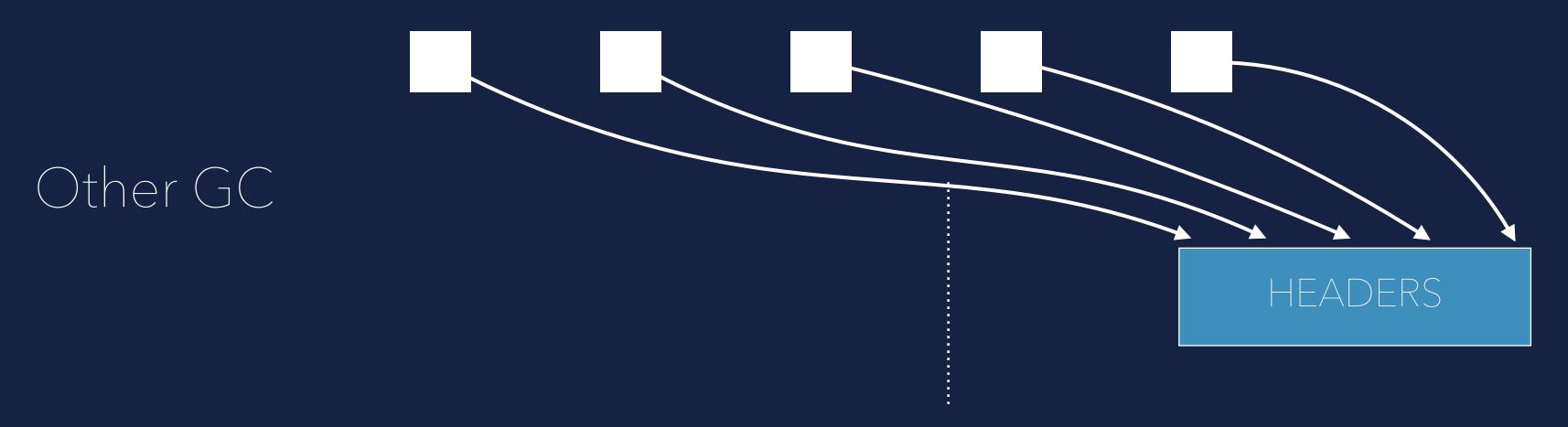


Memory can directly be freed (ReadBarrier takes care about updating refs.)

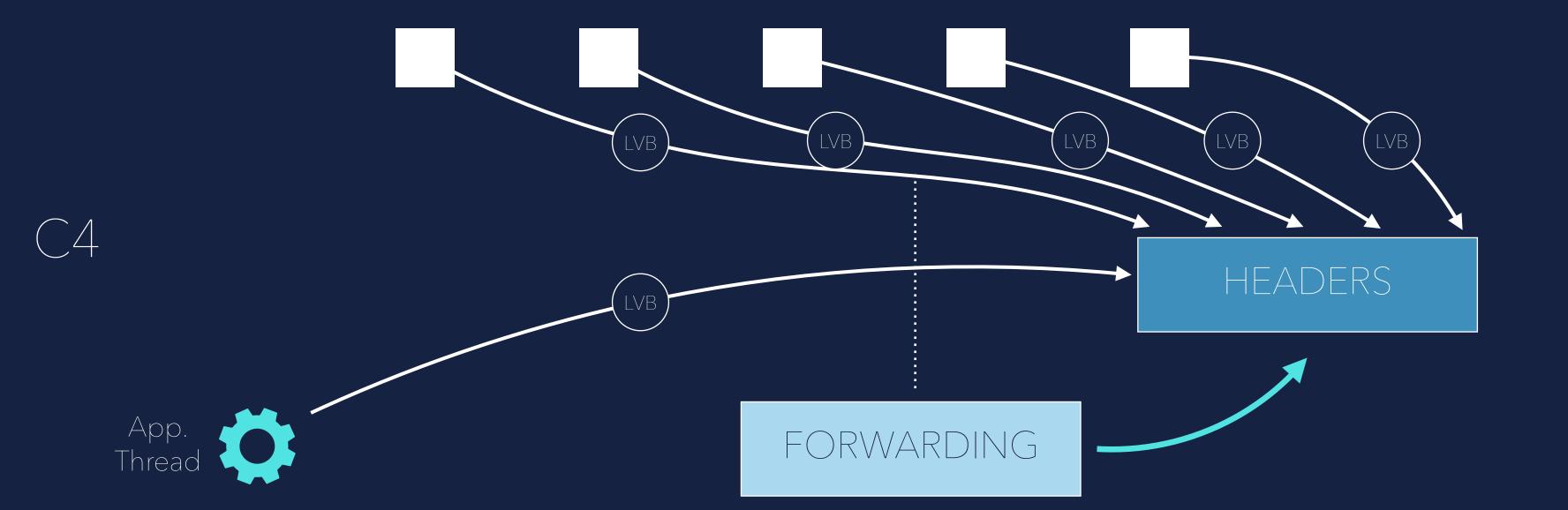








Remove original object



Off Heap page enables Quick Release







AVAILABILITY	AZULZINGJVM	CHOOSE WHEN					
PARALLEL	YES	Response time is a high priority Using a very large heap (100GB+) Predictable response times needed					
CONCURRENT	FULLY						
GENERATIONAL	YES	BEST SUITED FOR					
HEAP SIZE	LARGE	Low latency sensitive applications					
PAUSE TIMES	SHORT	Large scale systems Highly concurrent applications					
THROUGHPUT	VERY HIGH	Mighly concurrent applications					
LATENCY	VERY LOW	OS SUPPORT					
CPU OVERHEAD	MODERATE (10-20%)	JVM SWITCH > -					





NOTES

- Only available in Azul Zing JVM
- No performance overhead because of faster Falcon compiler

Essential Criteria

Throughput

Percentage of total time spent in application vs. memory allocation and garbage collection

Essential Criteria

- Throughput

 Percentage of total time spent in application vs. memory allocation and garbage collection
- Latency
 Application responsiveness, affected by gc pauses

WHICH ONEME

Essential Criteria

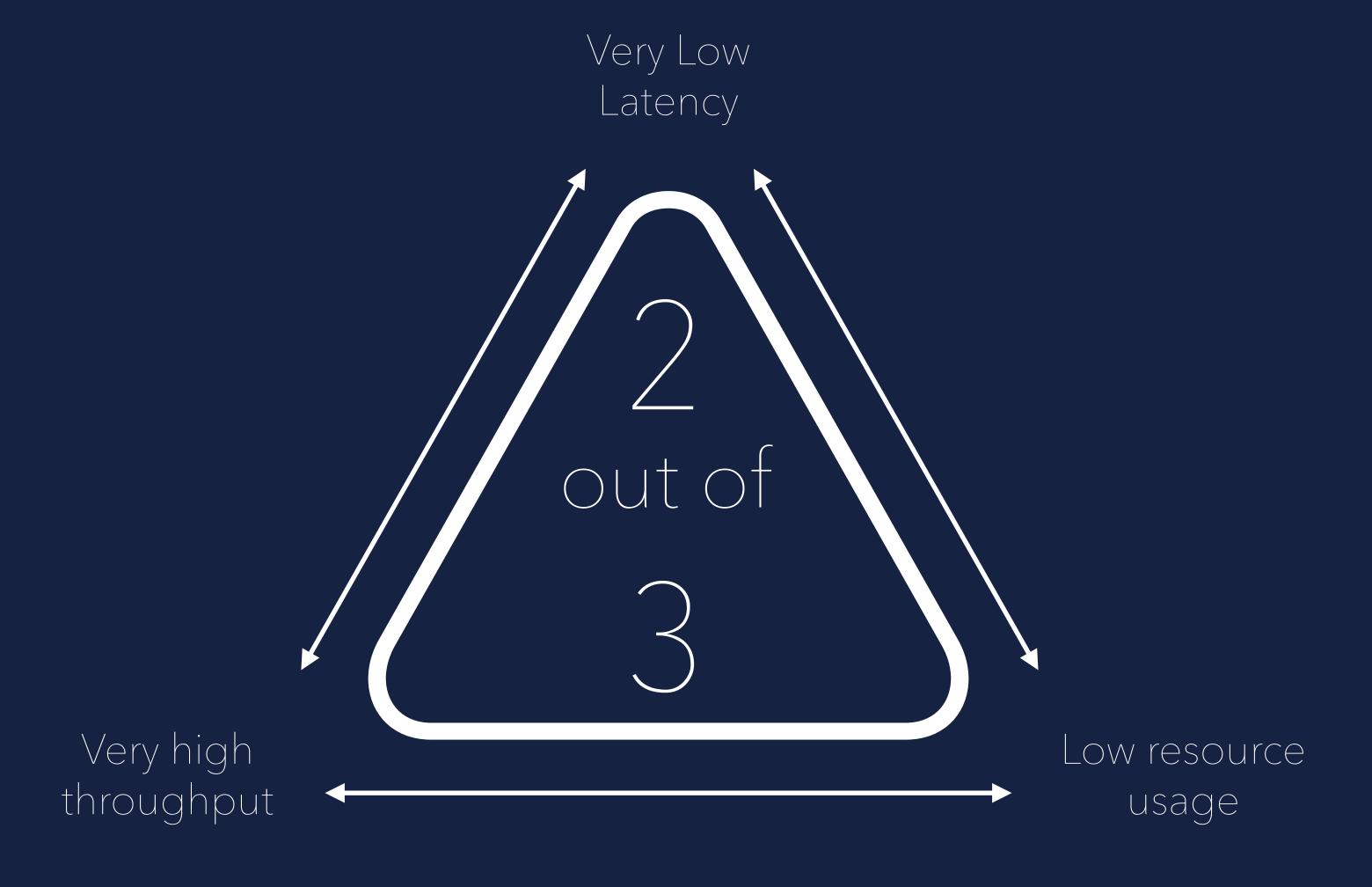
- Throughput

 Percentage of total time spent in application vs. memory allocation and garbage collection
- Latency
 Application responsiveness, affected by gc pauses
- Resource usage

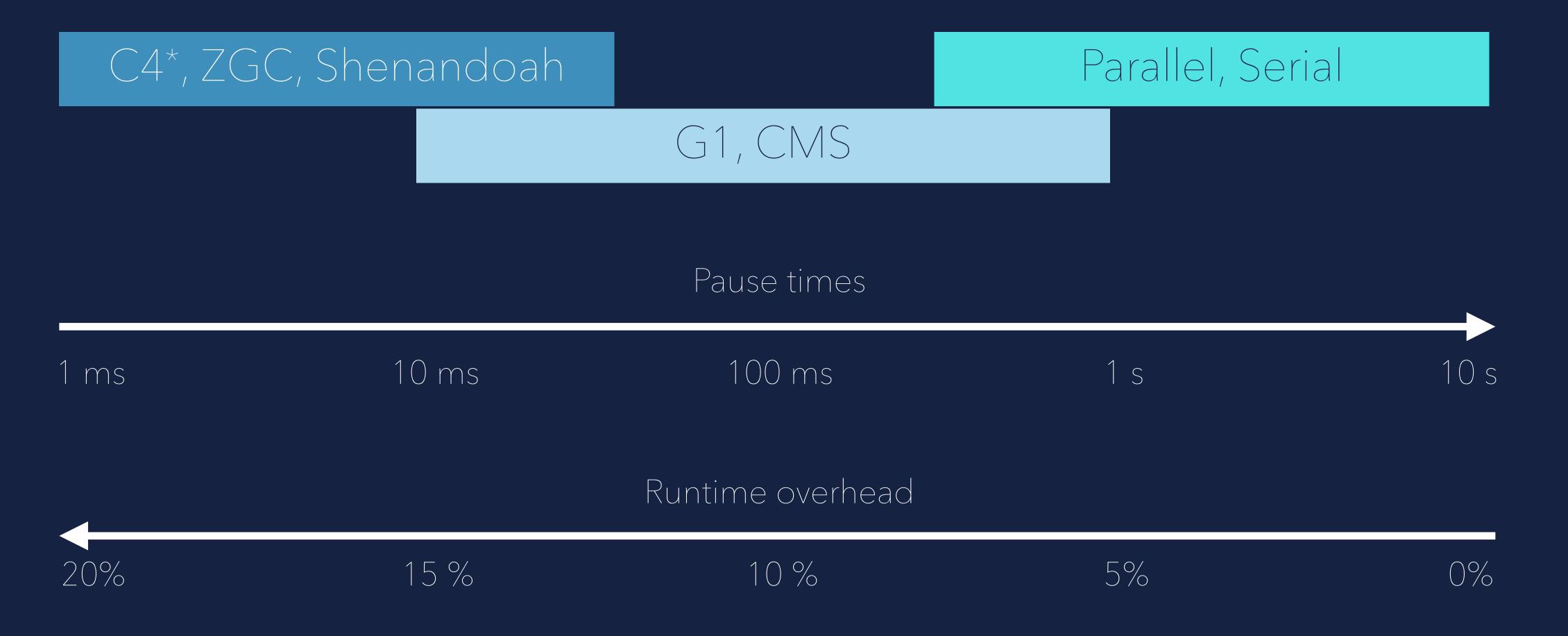
 The working set of a process, measured in pages and cache lines

WHICHONELLE

Essential Criteria



Choose dependent on your workload



^{*} C4 has less overhead due to faster Falcon compiler

OVERVIEW

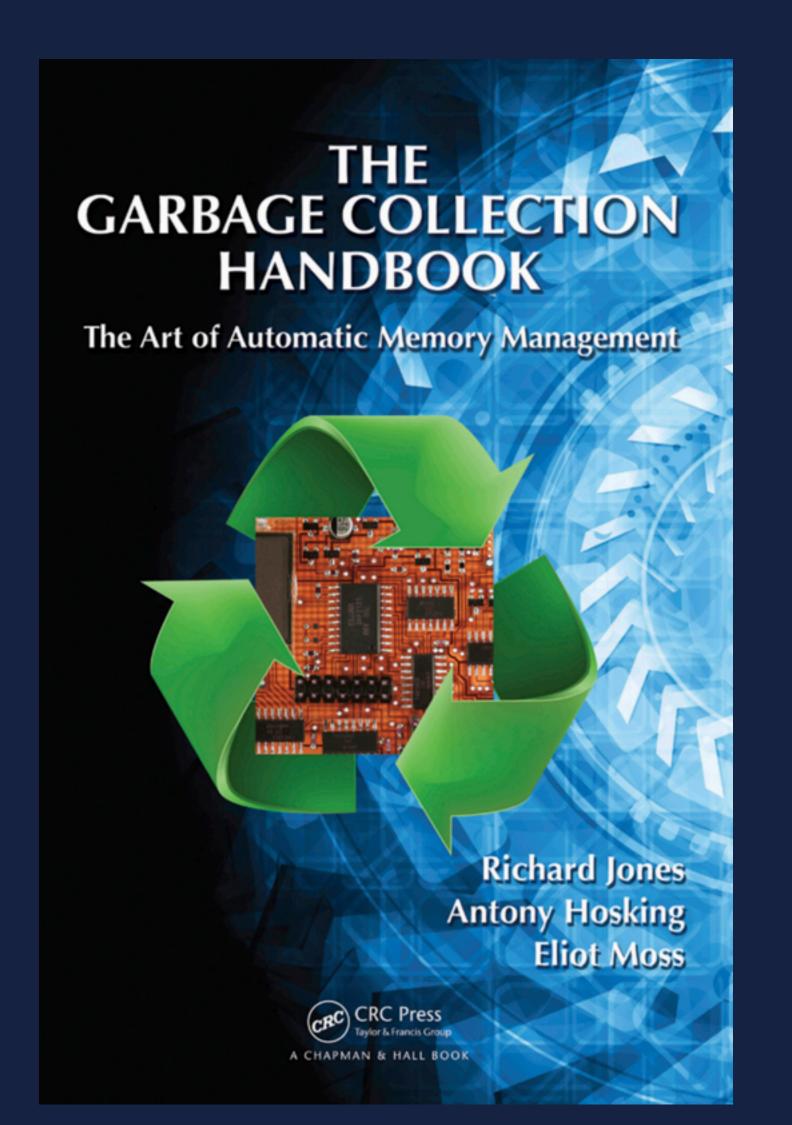
OVERVIEW

	Serial GC	Parallel GC	CMS GC	G1	Epsilon	Shenandoah	ZGC	C4
	Serial (**)	Parallel	CMS	G1	Epsilon	Shenandosh	zec	C4
Availability	ALL JDK's	ALL JDK's	JDK 1.4-13	JDK 7u4+	JDK 11+	JDK 11.0.9+	JDK15 / 21+	Azul Prime
Parallel	NO	YES	YES	YES		YES	YES	YES
Concurrent	NO	NO	PARTIALLY	PARTIALLY		FULLY	FULLY	FULLY
Generational	YES	YES	YES	YES		NO	NO / YES	YES
Heap Size	SMALL - MEDIUM	MEDIUM - LARGE	MEDIUM - LARGE	MEDIUM - LARGE		LARGE	VERY LARGE	VERY LARGE
Pause Times	LONGER	MODERATE	MODERATE	SHORT - MEDIUM		VERY SHORT (<10ms)	VERY SHORT (<1ms)	VERY SHORT (<1ms)
Throughput	LOW	HIGH	MODERATE	HIGH		VERY HIGH	VERY HIGH	VERY HIGH
Latency	HIGHER	LOWER	MODERATE	LOWER		VERY LOW	VERY LOW	VERY LOW
Performance	LOWER	HIGHER	MODERATE	HIGHER	VERY HIGH	VERY HIGH	VERY HIGH	VERY HIGH
CPU Overhead	LOW	LOWER	MODERATE	MODERATE	VERY LOW	LOW - MODERATE	LOW - MODERATE	LOW - MODERATE
Tail latency	HIGH	HIGH	HIGH	HIGH		MODERATE	LOW	LOW

WANNAKNOW MORE ?

WANNA KNOW MORE 7

R. Jones et al. "The Garbage Collection Handbook". Chapman & Hall/CRC, 2012



THANK YOU















