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- A **closure** is a function represented in the machine in such a way that, regardless of when and where the function is called, *the function has access to any variable that is in scope at the point where the function is defined or created.*
- When a function is passed as an argument into or returned as the result of a function call, the argument or result is a **closure**.

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Example from Touretzky:

#### **6.6.4 SET-DIFFERENCE**

The SET-DIFFERENCE function performs set subtraction. It returns what is left of the first set when the elements in the second set have been removed. Again, the order of elements in the result is undefined.

**7.14.** Here is a version of SET-DIFFERENCE written with REMOVE-IF:

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> (set-difference '(alpha bravo charlie delta)
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(ALPHA DELTA)
```

```
> (set-difference '(alpha bravo charlie delta)
                  '(echo alpha foxtrot))
(BRAVO CHARLIE DELTA)
```

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(BRAVO CHARLIE DELTA)
```

7.14. Here is a version of SET-DIFFERENCE written with REMOVE-IF:

```
(defun my-setdiff (x y)
  (remove-if #'(lambda (e) (member e y))
            x))
```

Having seen examples of how to *use* functions that take functions as arguments, we now consider:

**Question 2:** How do we *write* functions that take functions as arguments?



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The following will set the *value* of F but won't affect the function definition (if any) of F:

- (setf F ...)
- (let ((F ...)) or (let\* ((F ...))
- Parameter passing (if F is a formal parameter).

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Type :h and hit Enter for context help.

```
[1]> (defun f (x) (+ x 100000))
```

```
F
```

```
[2]> (setf f 111)
```

```
111
```

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100111
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```
111
```

```
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```

```
100111
```

```
[4]> (let ((f 222))
```

```
  (f f))
```

```
100222
```



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```
[4]> (let ((f 222))  
      (f f))
```

```
100222
```

```
[5]> (defun g (f) (f f))
```

```
G
```

```
[6]> (g 333)
```

```
100333
```

```
[7]> 
```

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Q: When the value of a variable or other Lisp expression is a function, how can we *call* that function?

A: Use **FUNCALL** (or **APPLY**, which we'll look at later).

```
> (setf g #'(lambda (x) (* x 10)))  
#<Lexical-closure 41653824>
```

```
> (funcall g 12)  
120
```

From sec. 7.12 of  
Touretzky.

The value of the variable G is a lexical closure, which is a function. But G itself is not the name of any function; if we wrote (G 12) we would get an undefined function error.



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Type :h and hit Enter for context help.

```
[1]> (defun f (x) (+ x 10000))
```

F

```
[2]> (defun g (x) (+ x 500))
```

G

```
[3]> (setf g #'f)
```

```
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```

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```
[4]> (g 1)
```

(g 1) calls the function named by G.

```
501
```

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501
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[5]> (funcall g 1)
```

(funcall g 1) calls the function  
given by the value of G.

```
10001
```

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501

(g 1) calls the function named by G.

[5]> (funcall g 1)

10001

(funcall g 1) calls the function

[6]> (funcall #'g 1) given by the value of G.

501

[7]> □

(funcall #'g 1) calls the function  
given by the value of #'G, which  
is the function named by G.

## **FUNCALL Can Call Functions That Take 2 or More Args!**

`(funcall  $f$   $e_1$  ...  $e_k$ )` calls the function given by the value of  $f$ ; the values of  $e_1$ , ...,  $e_k$  are passed as arguments.

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*It also serves as a reminder that if the 2<sup>nd</sup> arg of CONS isn't a list, CONS returns a dotted list!*

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```
> (setf fn #'cons)  
#<Compiled-function CONS {6041410}>
```

```
> fn  
#<Compiled-function CONS {6041410}>
```

```
> (type-of fn)  
COMPILED-FUNCTION
```

```
> (funcall fn 'c 'd)  
(C . D)
```

This example is from sec. 7.2 of Touretzky.

*It also serves as a reminder that if the 2<sup>nd</sup> arg of CONS isn't a list, CONS returns a dotted list!*

The value of the variable FN is a function object. TYPE-OF shows that the object is of type COMPILED-FUNCTION. So you see that functions and symbols are not the same. The symbol CONS serves as the name of the CONS function, but it is not the actual function. The relationship between functions and the symbols that name them is explained in Advanced Topics section 3.18.

## Writing Functions That Take Functions as Arguments

- When computing a call of a function  $g$ , each formal parameter of  $g$  is a variable whose value is set to the corresponding actual argument.



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`(p ...)` and `(funcall #'p ...)` would not work here: They'd call *the function whose name is p*, rather than the function given by parameter `p`'s value!

**Example:**

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**Example:**

```
[1]> (defun yes-or-no (p x y)
      (if (funcall p x y)
          'yes
          'no))
YES-OR-NO
[2]> (yes-or-no #'> 30 29)
YES
[3]> (yes-or-no #'< 30 29)
NO
```

## Writing Our Own Version of MAPCAR

```
(defun our-mapcar (f L)
  (if (endp L)
      nil
      (let ((X (our-mapcar f (cdr L))))
        an expression that computes (our-mapcar f L)
        from X and, possibly, f and/or L.
      )))
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```

To write the  expression above, recall:

```
(MAPCAR #'sqrt '(9 4 1 16 0)) => (3 2 1 4 0)
```

```
(MAPCAR #'sqrt '(4 1 16 0)) => (2 1 4 0)
```

Thus:

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X isn't used more than once, so we eliminate the LET:

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```

## Writing the SIGMA Function

Recall that SIGMA should behave as follows:

**If**  $g \Rightarrow$  a numerical function of one argument  
and  $j, k \Rightarrow$  integers,  
**then**  $(\text{sigma } g \ j \ k) \Rightarrow g(j) + g(j+1) + \dots + g(k).$   
[This sum is 0 if  $j > k$ .]

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To write the  expression above, consider:

```
(SIGMA (lambda (x) (* x x)) 3 6) => 32 + 42 + 52 + 62
(SIGMA (lambda (x) (* x x)) 4 6) =>          42 + 52 + 62
```

## Writing the SIGMA Function

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To write the  expression above, consider:

$(\text{SIGMA } (\text{lambda } (x) (* x x)) \ 3 \ 6) \Rightarrow 3^2 + 4^2 + 5^2 + 6^2$

$(\text{SIGMA } (\text{lambda } (x) (* x x)) \ 4 \ 6) \Rightarrow 4^2 + 5^2 + 6^2$

Thus:

if  $g \Rightarrow x \mapsto x^2$ ,  $j \Rightarrow 3$ , and  $k \Rightarrow 6$   
then  $X \Rightarrow$   
and we want  to  $\Rightarrow$

## Writing the SIGMA Function

```
(defun sigma (g j k)
  (if (> j k)
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      (let ((X (sigma g (+ j 1) k)))
        an expression that computes (sigma g j k)
        from X and, possibly, g, and/or j, and/or k
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From this we see that

$(+ (\text{funcall } g j) X)$  is a good  expression.



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## Writing the SIGMA Function

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```

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(defun sigma (g j k)
  (if (> j k)
      0
      (let ((X (sigma g (+ j 1) k)))
        (+ (funcall g j) X))))
```

X isn't used more than once, so we eliminate the LET:

```
(defun sigma (g j k)
  (if (> j k)
      0
      (let ((X (sigma g (+ j 1) k)))
        (+ (funcall g j) (sigma g (+ j 1) k)) ⇒))
```

# MAPCAR Can Also Map Functions of Two or More Arguments

## 7.11 OPERATING ON MULTIPLE LISTS

---

From Touretzky's book

In the beginning of this chapter we used MAPCAR to apply a one-input function to the elements of a list. MAPCAR is not restricted to one-input functions, however. Given a function of  $n$  inputs, MAPCAR will map it over  $n$  lists.

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```
> (mapcar #'(lambda (x y) (list x 'gets y))
      '(fred wilma george diane)
      '(job1 job2 job3 job4))
((FRED GETS JOB1)
 (WILMA GETS JOB2)
 (GEORGE GETS JOB3)
 (DIANE GETS JOB4))
```

MAPCAR goes through the two lists in parallel, taking one element from each at each step.

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MAPCAR goes through the two lists in parallel, taking one element from each at each step. If one list is shorter than the other, MAPCAR stops when it reaches the end of the shortest list.

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```

MAPCAR goes through the two lists in parallel, taking one element from each at each step. If one list is shorter than the other, MAPCAR stops when it reaches the end of the shortest list.

Another example of operating on multiple lists is the problem of adding two lists of numbers pairwise:

```
> (mapcar #'+ '(1 2 3 4 5) '(60 70 80 90 100))
(61 72 83 94 105)

> (mapcar #'+ '(1 2 3) '(10 20 30 40 50))
(11 22 33)
```

## Three More Examples of MAPCAR

```
[1]> (mapcar #'(10000 20000 30000 40000)
              '(1000 2000 3000 4000)
              '(100 200 300 400)
              '(10 20 30 40)
              '(1 2 3 4))
(11111 22222 33333 44444)
[2]>
```



## Three More Examples of MAPCAR

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          '(10 20 30 40)
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(11111 22222 33333 44444)
[2]>
```

```
=====
[1]> (mapcar #'append '((A B) (1 2 3 4) (X))
          '((P) (Q R) (S T U))
          '((X X X X) (Y Y Y) (Z Z))
          '((+ - *) (/ %))
          '((X S T U Z Z ^)))
((A B P X X X X + - *) (1 2 3 4 Q R Y Y Y / %) (X S T U Z Z ^))
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```

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((A B P X X X X + - *) (1 2 3 4 Q R Y Y Y / %) (X S T U Z Z ^))
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```

```
=====
[1]> (mapcar (lambda (x y z) (list '1st x '2nd y '3rd z))
      '(ORANGE PLUM APPLE PEAR)
      '(ALPHA BETA GAMMA DELTA)
      '(MOUSE CAT RAT DOG))
((1ST ORANGE 2ND ALPHA 3RD MOUSE) (1ST PLUM 2ND BETA 3RD CAT)
 (1ST APPLE 2ND GAMMA 3RD RAT) (1ST PEAR 2ND DELTA 3RD DOG))
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```

## Using APPLY to Apply a Function to a List of Arguments

APPLY is also a Lisp primitive function. APPLY takes a function and a list of objects as input. It invokes the specified function with those objects as its inputs.

From  
sec. 3.21  
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(apply #' + '(2 3)) ⇒ 5
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(apply #' equal '(12 17)) ⇒ nil
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The objects APPLY passes to the function are *not* evaluated first. In the following example, the objects are a symbol and a list. Evaluating either the symbol AS or the list (YOU LIKE IT) would cause an error.

```
(apply #' cons '(as (you like it)))  
⇒ (as you like it)
```

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### Example: Use APPLY to write the SUM function

A. SUM is a function that is already defined on venus and euclid; if *L is any list of numbers* then (SUM L) returns the sum of the elements of L. [Thus (SUM ( )) returns 0.] Complete the following definition of a of Lisp Assignment 4 without using recursion.

**Solution:**

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**Solution:** (defun sum (L) (apply #' + L))

If  $f \Rightarrow$  a **function** and  $L \Rightarrow$  a **list**, then

$(\text{APPLY } f \ e_1 \dots e_n \ L)$

is evaluated by calling the **function** with the values of  $e_1, \dots, e_n$  as the first  $n$  arguments and the elements of the **list** as the remaining arguments.

The result returned by the **function** is returned by APPLY as its own result.



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The result returned by the **function** is returned by APPLY as its own result.

```
(apply #' + 2 20 200 2000 nil)
= (apply #' + 2 20 200 '(2000))
= (apply #' + 2 20 '(200 2000))
= (apply #' + 2 '(20 200 2000))
= (apply #' + '(2 20 200 2000)) = (+ 2 20 200 2000) => 2222
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=
```

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```
(apply #' mapcar #' + '((1 2 3) (10 20 30) (100 200 300)))
= (mapcar #' + '(1 2 3)
              '(10 20 30)
              '(100 200 300))
=> (111 222 333)
```

This example is  
relevant to  
problem 16(c) of  
Lisp Assignment 5!

# **Tail Recursive Functions and Tail Recursion Optimization**

A call of a function  $F$  is said to be a *tail call* if the calling function *does no additional work* after that call of  $F$  returns--i.e.,

A call of a function F is said to be a tail call if the calling function does no additional work after that call of F returns--i.e., the calling function immediately returns control to its own caller, returning as its own result any value that was returned by the call of F.

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For example, in the following function definition

```
(defun extract-symbols (x)      From p. 259 of Touretzky
  (cond ((null x) nil)
        ((symbolp (first x))
         (cons (first x)
               (extract-symbols (rest x)))))
  (t (extract-symbols (rest x)))))
```

the call of `cons` is a tail call, and  
the **2<sup>nd</sup>** recursive call of `extract-symbols` is a tail call.



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```

the call of `cons` is a tail call, and  
the **2<sup>nd</sup>** recursive call of `extract-symbols` is a tail call.

But the calls of `null`, `symbolp`, `first`, and `rest`, and the **1<sup>st</sup>** recursive call of `extract-symbols` are not tail calls!

A recursive call that is also a tail call is said to be a *tail recursive* call.

A recursive function is said to be *tail recursive* if **every** recursive call it makes is a tail call.

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Touretzky says this about such functions on p. G-14:

**tail recursive**

A function is tail recursive if it does all its work before making ~~the~~ recursive call. Tail recursive functions return the result of ~~the~~ recursive call without augmenting (modifying) it, or doing any other additional work. Clever Lisp compilers turn tail recursive calls into jump instructions, eliminating the need for a call stack.

each

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TYK

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TYK

The above function

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  (cond ((null x) nil)
        ((symbolp (first x))
         (cons (first x)
               (extract-symbols (rest x)))))
  (t (extract-symbols (rest x)))))
```

is not a tail recursive function, because only one of its two recursive calls is a tail recursive call.

# The Concept of Tail Recursion is Not Specific to Lisp or Functional Programming

## Java Examples:

```
static long f(int n, long r) // Returns  $n! * r$  when  $n \geq 0$ 
{
    if (n > 1) return f(n-1, n*r); // A tail recursive call.
    else return r;
} Comment: This function works because  $n! * r = (n-1)! * n*r$ 
```

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} Comment: This function works because  $n! * r = (n-1)! * n*r$ 
```

An example of Tail Recursion in *Imperative* Programming:

```
static void reverseArray(int[] A, int i, int j)
// Reverses the subarray A[i .. j] of the array A[].
{
    if (i < j) {
        swap(A, i, j); // Swap values in A[i] and A[j].
        reverseArray(A, i+1, j-1); // A tail recursive call
    }
}
```

## Examples of Recursive Calls That are NOT Tail Recursive:

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static void reverseArray(int[] A, int i, int j)
// Reverses the subarray A[i .. j] of the array A[].
{
    if (i < j) {
        reverseArray(A, i+1, j-1); // NOT a tail recursive call:
        swap(A, i, j);             // Swap is performed after call.
    }
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        reverseArray(A, i+1, j-1); // NOT a tail recursive call:
        swap(A, i, j);             // Swap is performed after call.
    }
}
```

```
static long f(int n) // returns n! when n >= 0
{
    if (n > 1) return n * f(n-1); // NOT a tail recursive call:
    // * is performed after call
    else return 1;
}
```



## A Compiler May Do *Tail Recursion Elimination*\*

\*also called *tail recursion optimization*

This replaces each *tail recursive* call of F with code that:

- 1.

- 2.

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### C++ Example to Illustrate this Transformation:

```
long f(int n, long r) {  
    if (n > 1) {  
        return f(n-1, n*r);  
    }  
    else return r;  
}
```



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    if (n > 1) {  
        return f(n-1, n*r);  
    }  
    else return r;  
}
```



```
long f(int n, long r) {  
    START: if (n > 1) {  
        r = n*r;  
        n = n-1;  
        goto START;  
    }  
    else return r;  
}
```


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### C++ Example to Illustrate this Transformation:

<pre>long f(int n, long r) {     if (n &gt; 1) {         return f(n-1, n*r);     }     else return r; }</pre>		<pre>long f(int n, long r) {     START: if (n &gt; 1) {         r = n*r;         n = n-1;         goto START;     }     else return r; }</pre>
---	--	--

**Note:** The code on the right updates r before updating n, as the new value of r (i.e.  $n*r$ ) depends on n.

## Tail Recursion Elimination May Be Necessary to Limit Memory Use When Depth of Recursion is High

The usual way to execute a function call written in a language that supports recursion involves *allocating memory on a stack*

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The Clisp *compiler* does *tail recursion elimination*, which *eliminates the need to allocate memory for tail recursive calls*: So there's no limit on the depth of recursion for *compiled* tail recursive functions.

## Example of Stack Overflow When Recursion is Too Deep

Write a function COUNTDOWN-FROM such that:

If  $n \Rightarrow$  a non-negative integer, then

$(\text{COUNTDOWN-FROM } n) \Rightarrow$  a list of the integers from  $n$  down to 0.

Thus  $(\text{countdown-from } 10) \Rightarrow (10\ 9\ 8\ 7\ 6\ 5\ 4\ 3\ 2\ 1\ 0)$

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COUNTDOWN-FROM

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```
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[3]> (length (countdown-from 20000))
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This depth of recursion is too great for the clisp interpreter!

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```
[4]> (compile 'countdown-from)
```

```
COUNTDOWN-FROM ;
```

```
NIL ;
```

```
NIL
```

```
[5]> (length (countdown-from 20000))
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```
[7]> (length (countdown-from 100000))
```

```
*** - Program stack overflow. RESET
```

```
[8]> 
```

This depth of recursion is too great for the clisp interpreter!

In addition to running faster, *compiled* code uses less stack space for function calls than interpreted code: So the recursion depth can be greater.

But recursion depth is still limited, because COUNTDOWN-FROM is not tail recursive!

```
[1]> (defun countdown-from-aux (hi lo accumulator)
      (if (< hi lo)
          accumulator
          (countdown-from-aux hi (+ lo 1) (cons lo accumulator))))
COUNTDOWN-FROM-AUX
```

*This is a tail recursive helping function.*

*It returns the result of appending (hi ... lo) to the accumulator list.*

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[4]> (countdown-from 10) advantage of tail recursion elimination!
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[6]> (compile 'countdown-from-aux) recursion elimination and so
COUNTDOWN-FROM-AUX ; recursion depth is limited!
NIL ;
NIL
[7]> (length (countdown-from 20000))
20001
[8]> (length (countdown-from 200000))
200001
[9]> (length (countdown-from 2000000))
2000001
[10]> (length (countdown-from 20000000))
20000001
[11]> 

```

After COUNTDOWN-FROM-AUX is compiled, its recursion depth is no longer limited by the size of the stack, as the compiler has performed *tail recursion elimination*.

# Differences Between Scheme and Common Lisp Regarding Functions That Take Functions as Arguments

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- (FUNCALL F ... ) is NOT used: A Common Lisp call (FUNCALL F ... ) is written as (F ... ) in Scheme.
- #'F is NOT used: A Scheme programmer would write F where a Common Lisp programmer writes #'F.