

Job Description

POSITION DETAILS			
Functional Designation	AVP Compliance	Department	Compliance
Sub Department	Compliance	Compliance	Associate Vice President
Location	Corporate Office	Reporting Manager	
Job Purpose			
<p>As the Assistant Vice President (AVP) of Compliance in a Non-Banking Financial Company (NBFC), your primary role is to ensure that the organization adheres to regulatory requirements and industry standards. You'll be responsible for developing and implementing compliance policies, conducting audits, and providing guidance to ensure the company operates within legal and ethical boundaries.</p>			
Principal Accountabilities			
<ol style="list-style-type: none">1. Develop, implement, and manage the NBFC's compliance program.2. Stay updated on regulatory changes and communicate relevant updates to the organization.3. Conduct regular compliance audits to identify and address potential issues.4. Collaborate with various departments to ensure their activities align with regulatory requirements.5. Provide training to employees on compliance-related matters.6. Respond to regulatory inquiries and coordinate examinations.7. Sensitive and responsive to regulators requirement.8. Collaborative and problem solving with different function of the company.			
Desired Profile			
<ol style="list-style-type: none">1. Bachelor's degree in law, finance, CA, CS or a related field. Advanced degree or professional certification (e.g., CAMS, CFE) is a plus.2. Several years of experience in compliance roles within the financial industry, preferably in an NBFC.3. In-depth knowledge of financial regulations, anti-money laundering (AML), and other compliance frameworks.4. Strong analytical and problem-solving skills.5. Excellent communication and interpersonal skills to liaise with internal teams and regulatory authorities.			

- **SKILLS**
- Well acquainted with regulatory guidelines.
- Collaboration with regulator and conducting annual inspection
- Dissemination of regulators communication / observations to respective function and collating and submitting responses to regulators.
- Following with department for timely response and closure of RBI observations like RAR/RMP/MANC
- Attending any advisory and show cause notice issued by regulators and responding timely.