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## A Polynomial Algorithm for Testing Diagnosability of Discrete-Event Systems

Shengbing Jiang, Zhongdong Huang, Vigyan Chandra, and Ratnesh Kumar

**Abstract**—Failure diagnosis in large and complex systems is a critical task. In the realm of discrete-event systems, Sampath *et al.* proposed a language based failure diagnosis approach. They introduced the diagnosability for discrete-event systems and gave a method for testing the diagnosability by first constructing a diagnoser for the system. The complexity of this method of testing diagnosability is exponential in the number of states of the system and doubly exponential in the number of failure types. In this note, we give an algorithm for testing diagnosability that does not construct a diagnoser for the system, and its complexity is of fourth order in the number of states of the system and linear in the number of the failure types.

**Index Terms**—Complexity, diagnosability, discrete event system, failure diagnosis.

### I. INTRODUCTION

Failure diagnosis is a critical task in large and complex systems. This problem has received considerable attention in the literature of various domains including the discrete-event systems [1]–[6]. In [4], Sampath *et al.* proposed a failure diagnosis approach for discrete-event systems. They introduced the notion of diagnosability and gave a necessary and sufficient condition for testing it. Their condition is expressed as a property of the diagnoser of the system. In order to test the diagnosability, the diagnoser needs to be constructed first. The complexity of

constructing the diagnoser and testing the diagnosability is exponential in the number of states of the system and doubly exponential in the number of failure types.

It is clear that if we could test more efficiently whether or not a system is diagnosable without having to construct a diagnoser, it would save us the time involved in constructing a diagnoser for the system which may not be diagnosable. In this note, we give a method for testing the diagnosability without having to construct a diagnoser. The complexity of our method is polynomial in the number of states of the system and also in the number of failure types.

In the rest of the note, we first introduce the notion of diagnosability of discrete-event systems, then present our algorithm for testing it. Finally, an illustrative example is provided.

### II. DIAGNOSABILITY

We first give the system model and then define the diagnosability as introduced by [4].

#### A. System Model

Let  $G = (X, \Sigma, \delta, x_0)$  be a finite state machine model of the system to be diagnosed, where

$X$	finite set of states;
$\Sigma$	finite set of event labels;
$\delta \subseteq X \times \Sigma \times X$	finite set of transitions;
$x_0 \in X$	initial state.

We assume that all state machines are accessible (all states can be reached from the initial state), and otherwise we consider only the accessible part of the state machine. We let  $\Sigma^*$  denote the set of all finite length event sequences, including the zero length sequence denoted  $\epsilon$ . An element of  $\Sigma^*$  is called a trace, and a subset of  $\Sigma^*$  is called a language. For a trace  $s$  and an event  $\sigma$ , we write  $\sigma \in s$  to imply that  $\sigma$  is an event contained in the trace  $s$ . A path in  $G$  is a sequence of transitions  $(x_1, \sigma_1, x_2, \dots, \sigma_{n-1}, x_n)$  such that for each  $i \in \{1, \dots, n-1\}$ ,  $(x_i, \sigma_i, x_{i+1}) \in \delta$ ; this path is a cycle if  $x_n = x_1$ . We use  $L(G) \subseteq \Sigma^*$  to denote the generated language of  $G$ , i.e., the set of traces that can be executed in  $G$  starting from its initial state. Then  $L(G)$  is prefix-closed, i.e.,  $L(G) = pr(L(G))$ , where  $pr(L(G)) = \{u \mid \exists v \in \Sigma^*, uv \in L(G)\}$  is the set of prefixes of traces in  $L(G)$ . Let  $\Sigma_o \subseteq \Sigma$  denote the set of observable events,  $\Sigma_{uo} = \Sigma - \Sigma_o$  be the set of unobservable events,  $M: \Sigma \rightarrow \Sigma_o \cup \{\epsilon\}$  be the observation mask,  $\mathcal{F} = \{F_i, i = 1, 2, \dots, m\}$  be the set of failure types,  $\psi: \Sigma \rightarrow \mathcal{F} \cup \{\emptyset\}$  be the failure assignment function for each event in  $\Sigma$ . The definition of  $M$  is extended from  $\Sigma$  to  $\Sigma^*$  inductively as follows:  $M(\epsilon) = \epsilon$  and for each  $s \in \Sigma^*$ ,  $\sigma \in \Sigma$ :  $M(s\sigma) = M(s)M(\sigma)$ .

We make the following assumptions as in [4] for the system studied in this note.

- A1) The language  $L(G)$  generated by  $G$  is live. This means that there is a transition defined at each state  $x$  in  $X$ .
- A2) There does not exist in  $G$  any cycle of unobservable events, i.e.,  $(\exists k \in \mathbb{N}) (\forall ust \in L(G), s \in \Sigma_{uo}^* \Rightarrow \|s\| \leq k)$ , where  $\mathbb{N}$  denotes the set of natural numbers, and  $\|s\|$  denotes the length of trace  $s$ .
- A3) Every failure event is unobservable, i.e.,  $(\forall \sigma \in \Sigma, \psi(\sigma) \neq \emptyset) \Rightarrow M(\sigma) = \epsilon$ .

#### B. Diagnosability

The diagnosability for discrete-event systems defined in [4] is described as follows:

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The authors are with the Department of Electrical Engineering, University of Kentucky, Lexington, KY 40506-0046 USA (e-mail: sjian0@engr.uky.edu; zhdhuang@engr.uky.edu; vigyan@engr.uky.edu; kumar@engr.uky.edu).

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**Definition 1:** A prefix-closed language  $L$  is said to be diagnosable with respect to the observation mask  $M$  and the failure assignment function  $\psi$  if the following holds:

$$(\forall F_i \in \mathcal{F})(\exists n_i \in \mathbb{N})(\forall s \in L, \psi(s_f) = F_i)$$

$$(\forall v = st \in L, \|t\| \geq n_i)$$

$$\Rightarrow (\forall w \in L, M(w) = M(v))(\exists u \in pr(\{w\}), \psi(u_f) = F_i)$$

where  $s_f$  and  $u_f$  denote the last events in traces  $s$  and  $u$  respectively,  $pr(\{w\})$  is the set of all prefixes of  $w$ . A system  $G$  is said to be diagnosable if its language  $L(G)$  is diagnosable.

The above definition states that if  $s$  is a trace in  $L$  ending with a  $F_i$ -type failure, and  $v$  is a sufficient long (at least  $n_i$  events longer) trace obtained by extending  $s$  in  $L$ , then every trace  $w$  in  $L$  that is observation equivalent to  $v$ , i.e.,  $M(w) = M(v)$ , should contain in it a  $F_i$ -type failure.

### III. ALGORITHM

We now present the algorithm for testing the diagnosability.

**Algorithm 1:** For a given system  $G = (X, \Sigma, \delta, x_0)$  with an observation mask  $M$  and a failure assignment function  $\psi$ , do the following:

- 1) Obtain a nondeterministic finite-state machine  $G_o = (X_o, \Sigma_o, \delta_o, x_0^o)$  with language  $L(G_o) = M(L(G))$  as follows:

- $X_o = \{(x, f) | x \in X_1 \cup \{x_0\}, f \subseteq \mathcal{F}\}$  is the finite set of states, where  $X_1 = \{x \in X | \exists (x', \sigma, x) \in \delta \text{ with } M(\sigma) \neq \epsilon\}$  is the set of states in  $G$  that can be reached through an observable transition, and  $f$  is the set of failure types along certain paths from  $x_0$  to  $x$ ;
- $\Sigma_o$ , the set of observable events, is the set of event labels for  $G_o$ ;
- $\delta_o \subseteq X_o \times \Sigma_o \times X_o$  is the set of transitions.  $((x, f), \sigma, (x', f')) \in \delta_o$  if and only if there exists a path  $(x, \sigma_1, x_1, \dots, \sigma_n, x_n, \sigma, x')$  ( $n \geq 0$ ) in  $G$  such that  $\forall i \in \{1, 2, \dots, n\}, M(\sigma_i) = \epsilon, M(\sigma) = \sigma$ , and  $f' = \{\psi(\sigma_i) | \psi(\sigma_i) \neq \emptyset, 1 \leq i \leq n\} \cup f$ ;
- $x_0^o = (x_0, \emptyset) \in X_o$  is the initial state.

- 2) Compute  $G_d = (G_o || G_o)$ , the strict composition of  $G_o$  with itself. Then  $G_d = (X_d, \Sigma_o, \delta_d, x_0^d)$ , where

$X_d = \{(x_1^o, x_2^o) | x_1^o, x_2^o \in X_o\}$  set of states;  
 $\Sigma_o$  set of event labels for  $G_d$ ;  
 $\delta_d \subseteq X_d \times \Sigma_o \times X_d$  set of transitions.  $((x_1^o, x_2^o), \sigma, (y_1^o, y_2^o)) \in \delta_d$  if and only if both  $(x_1^o, \sigma, y_1^o)$  and  $(x_2^o, \sigma, y_2^o)$  are in  $\delta_o$ ;  
 $x_0^d = (x_0^o, x_0^o) \in X_d$  initial state.

- 3) Check whether there exists in  $G_d$  a cycle  $cl = (x_1, \sigma_1, x_2, \dots, x_n, \sigma_n, x_1)$ ,  $n \geq 1$ ,  $x_i = ((x_i^1, f_i^1), (x_i^2, f_i^2))$ ,  $i = 1, 2, \dots, n$ , such that  $f_1^1 \neq f_1^2$ . If the answer is yes, then output that the system is not diagnosable; otherwise output that the system is diagnosable. This last step can be performed by first identifying states  $((x^1, f^1), (x^2, f^2))$  in  $G_d$  for which  $f^1 \neq f^2$ , and deleting all the other states and the associated transitions; and next checking if the remainder graph contains a cycle.

In the following, we give two Lemmas showing some properties of the state machines  $G_o$  and  $G_d$  derived in Algorithm 1. The proofs are omitted here because they follow directly from the definitions of  $G_o$  and  $G_d$ .

**Lemma 1:** For the state machine  $G_o$  the following holds:

- 1)  $L(G_o) = M(L(G))$ ;
- 2) for every path  $tr$  in  $G_o$  ending with a cycle

$$tr = ((x_0, \emptyset), \sigma_0, (x_1, f_1), \dots, (x_k, f_k), \sigma_k, \dots, (x_n, f_n), \sigma_n, (x_k, f_k))$$

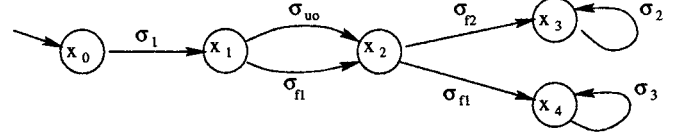


Fig. 1. Diagram of the system  $G$ .

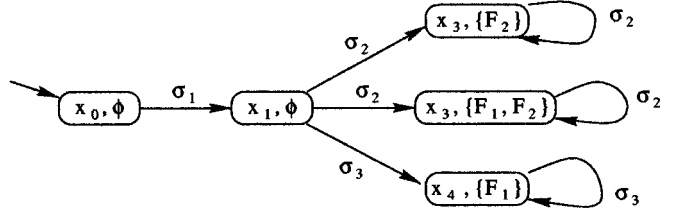


Fig. 2. Diagram of  $G_o$ .

we have

- $f_i = f_j$  for any  $i$  and  $j$  in  $\{k, k+1, \dots, n\}$ ;
- $\exists uv^* \in L(G)$  such that  $M(u) = \sigma_0 \dots \sigma_{k-1}, M(v) = \sigma_k \dots \sigma_n$ ;

$$\begin{aligned} & \{\psi(\sigma) | \sigma \in uv, \psi(\sigma) \neq \emptyset\} \\ &= \{\psi(\sigma) | \sigma \in uv, \psi(\sigma) \neq \emptyset\} = f_k. \end{aligned}$$

**Lemma 2:** For every path  $tr$  in  $G_d$  ending with a cycle

$$tr = (x_0^d, \sigma_0, x_1, \dots, x_k, \sigma_k, \dots, x_n, \sigma_n, x_k)$$

$x_i = ((x_i^1, f_i^1), (x_i^2, f_i^2)), i = 1, 2, \dots, n$ , the following hold.

- 1) There exist two paths  $tr_1$  and  $tr_2$  in  $G_o$  ending with cycles, namely

$$\begin{aligned} tr_1 &= ((x_0, \emptyset), \sigma_0, (x_1^1, f_1^1), \dots, (x_k^1, f_k^1), \\ &\quad \sigma_k, \dots, (x_n^1, f_n^1), \sigma_n, (x_k^1, f_k^1)) \\ tr_2 &= ((x_0, \emptyset), \sigma_0, (x_1^2, f_1^2), \dots, (x_k^2, f_k^2), \\ &\quad \sigma_k, \dots, (x_n^2, f_n^2), \sigma_n, (x_k^2, f_k^2)). \end{aligned}$$

- 2)  $f_i^1 = f_j^1$  and  $f_i^2 = f_j^2$  for any  $i$  and  $j$  in  $\{k, k+1, \dots, n\}$ .

Next, we provide a theorem which guarantees the correctness of Algorithm 1.

**Theorem 1:**  $G$  is diagnosable if and only if for every cycle  $cl$  in  $G_d$

$$cl = (x_1, \sigma_1, x_2, \dots, x_n, \sigma_n, x_1), \quad n \geq 1$$

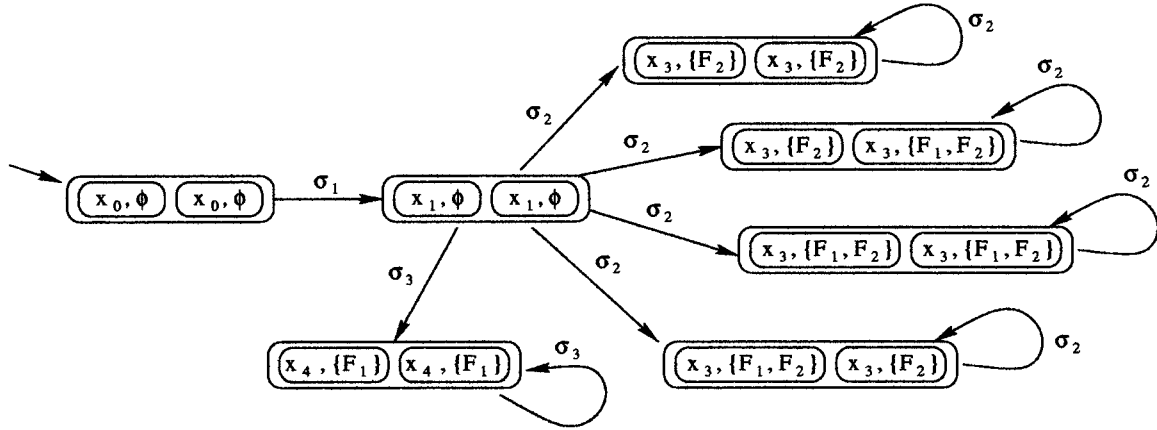
$$x_i = ((x_i^1, f_i^1), (x_i^2, f_i^2)), \quad i = 1, 2, \dots, n$$

we have  $f^1 = f^2$ .

**Proof:** For the necessity, suppose  $G$  is diagnosable, but there exists a cycle  $cl$  in  $G_d$ ,  $cl = (x_k, \sigma_k, x_{k+1}, \dots, x_n, \sigma_n, x_k)$ ,  $n \geq k$ ,  $x_i = ((x_i^1, f_i^1), (x_i^2, f_i^2)), i = k, k+1, \dots, n$ , such that  $f^1 \neq f^2$ . Since  $G_d$  is accessible, there exists a path  $tr$  in  $G_d$  ending with the cycle  $cl$ , i.e.,  $tr = (x_0^d, \sigma_0, x_1, \dots, x_k, \sigma_k, \dots, x_n, \sigma_n, x_k)$ . Then, from Lemma 2, we know that there exist two paths  $tr_1$  and  $tr_2$  in  $G_o$  with

$$\begin{aligned} tr_1 &= ((x_0, \emptyset), \sigma_0, (x_1^1, f_1^1), \dots, (x_k^1, f_k^1), \\ &\quad \sigma_k, \dots, (x_n^1, f_n^1), \sigma_n, (x_k^1, f_k^1)) \\ tr_2 &= ((x_0, \emptyset), \sigma_0, (x_1^2, f_1^2), \dots, (x_k^2, f_k^2), \\ &\quad \sigma_k, \dots, (x_n^2, f_n^2), \sigma_n, (x_k^2, f_k^2)). \end{aligned}$$

Further from Lemma 1, we have  $\exists u_1 v_1^*, u_2 v_2^* \in L(G)$  such that  $M(u_1) = M(u_2) = \sigma_0 \dots \sigma_{k-1}, M(v_1) = M(v_2) = \sigma_k \dots \sigma_n$ , and  $\{\psi(\sigma) | \sigma \in u_i, \psi(\sigma) \neq \emptyset\} = \{\psi(\sigma) | \sigma \in u_i v_i, \psi(\sigma) \neq \emptyset\} = f^i$ ,  $i = 1, 2$ . Since  $f^1 \neq f^2$ , we suppose  $F_k \in f^1 - f^2 \neq \emptyset$ . Then  $\exists s \in L(G)$  such that  $\psi(s_f) = F_k$  and  $u_1 = st$  for some  $t \in \Sigma^*$ . For any integer  $n_k$ , we can choose another integer  $\ell$  such that  $\|tv_1^\ell\| > n_k$ . Now we have  $M(u_2 v_2^\ell) = M(stv_1^\ell)$  and  $\{\psi(\sigma) | \sigma \in u_2 v_2^\ell, \psi(\sigma) \neq$

Fig. 3. Diagram of  $G_d$ .

$\emptyset\} = f^2$ , which means that no failure event of type  $F_k$  is contained in  $u_2 v_2^\ell$ . So from the definition of diagnosability,  $G$  is not diagnosable. A contradiction to the hypothesis. So the necessity holds.

For the sufficiency, suppose for every cycle  $cl$  in  $G_d$ ,  $cl = (x_1, \sigma_1, x_2, \dots, x_n, \sigma_n, x_1)$ ,  $n \geq 1$ ,  $x_i = ((x_i^1, f^1), (x_i^2, f^2))$ ,  $i = 1, 2, \dots, n$ , we have  $f^1 = f^2$ . From the second clause of Lemma 2, we know that the hypothesis implies that  $\forall x = ((x^1, f^1), (x^2, f^2)) \in X_d$ , if  $f^1 \neq f^2$  then  $x$  is not contained in a loop. It further implies that for any state sequence  $(x_1, x_2, \dots, x_k)$  in  $G_d$  with  $x_i = ((x_i^1, f_i^1), (x_i^2, f_i^2))$  for  $1 \leq i \leq k$ , if  $f_i^1 \neq f_i^2$  for all  $i \in \{1, 2, \dots, k\}$ , then the length of the state sequence is bounded by the number of states in  $G_d$ , i.e.,  $k \leq |X_d|$ .

Now, let  $s$  be a trace in  $L(G)$  ending with a  $F_k$ -type failure event, i.e.,  $\psi(s_f) = F_k$ , we claim that  $\forall v = st \in L(G)$  with  $\|t\| > |X_d| \times (|X| - 1)$ ,  $\forall w \in L(G)$  with  $M(w) = M(v)$ , there is a  $F_k$ -type failure event contained in  $w$ . From above, for any state  $x \in X_d$  that can be reached from  $x_0^d$  by executing  $M(s)$  in  $G_d$ , we have that for any state sequence starting from  $x$  in  $G_d$ , a state  $y = ((y^1, f^1), (y^2, f^2)) \in X_d$  with  $f^1 = f^2$  can be reached within  $|X_d| - 1$  steps. This implies that  $\forall v = st \in L(G)$  with  $\|M(t)\| > |X_d| - 1$ ,  $\forall w \in L(G)$  with  $M(w) = M(v)$ , there is a  $F_k$ -type failure event contained in  $w$ . Further from the assumption that no unobservable cycle exists in  $G$ , each "observed event" in  $M(t)$  can be preceded/followed by at most  $|X| - 1$  unobserved events. It follows that for the trace  $t$  above,  $\|t\| \leq (\|M(t)\| + 1) \times (|X| - 1)$ , i.e.,  $\|M(t)\| \geq \|t\| / (|X| - 1) - 1$ . So if  $\|t\| > |X_d| \times (|X| - 1)$ , then  $\|M(t)\| \geq \|t\| / (|X| - 1) - 1 > (|X_d| \times (|X| - 1)) / (|X| - 1) - 1 = |X_d| - 1$ , establishing our claim. (Note that we have assumed implicitly that  $|X| > 1$ ; otherwise if  $|X| = 1$ , then from the assumption of no unobservable loops, no transition labeled by a failure event exists, so that the system is trivially diagnosable.) It follows from Definition 1 that  $G$  is diagnosable. So the sufficiency also holds. ■

**Remark 1:** From Algorithm 1, we know that the number of states in  $G_o$  is at most  $|X| \times 2^{|\mathcal{F}|}$ , the number of transitions in  $G_o$  is at most  $|X|^2 \times 2^{2|\mathcal{F}|} \times |\Sigma_o|$ . Since  $G_d = G_o \parallel G_o$ , the number of states in  $G_d$  is at most  $|X|^2 \times 2^{2|\mathcal{F}|}$ , and the number of transitions in  $G_d$  is at most  $|X|^4 \times 2^{4|\mathcal{F}|} \times |\Sigma_o|$ .

The complexity of performing step 1 of Algorithm 1, which construct  $G_o$ , is thus  $O(|X|^2 \times 2^{2|\mathcal{F}|} \times |\Sigma_o|)$ , whereas that of step 2 of Algorithm 1, which construct  $G_d$ , is thus  $O(|X|^4 \times 2^{4|\mathcal{F}|} \times |\Sigma_o|)$ . The complexity of performing step 3 of Algorithm 1, which detects the presence of a certain "offending" cycle in an appropriately pruned subgraph of  $G_d$  (see the last sentence of step 3 of Algorithm 1), is linear in the number of states and transitions of the subgraph, i.e., it

is  $O(|X|^4 \times 2^{4|\mathcal{F}|})$ . Note that while detecting the presence of a "offending" cycle, the transition labels are irrelevant.

So the complexity of Algorithm 1 is  $O(|X|^4 \times 2^{4|\mathcal{F}|} \times |\Sigma_o|)$  which is polynomial in the number of states in  $G$  and exponential in the number of failure types in  $G$ .

In [4], another necessary and sufficient condition was given for diagnosability. The condition was expressed as a property of a certain diagnoser of the system. So in order to check the diagnosability we needed to first construct the diagnoser, then check the property on the diagnoser. The complexity to construct the diagnoser as well as the complexity to check the property on the diagnoser is exponential in the number of states of the system and doubly exponential in the number of failure types of the system. In Algorithm 1, no diagnoser is needed for checking the diagnosability.

**Remark 2:** The complexity of testing diagnosability can be made polynomial in the number of fault types as well by noting that a system is diagnosable with respect to the fault types  $\mathcal{F} = \{F_i, i = 1, 2, \dots, m\}$  if and only if it is diagnosable with respect to the each individual fault type  $F_i$ ,  $i = 1, 2, \dots, m$ . In other words, one can apply Algorithm 1  $m$  different times for testing diagnosability with respect the individual failure type sets  $\{F_1\}, \dots, \{F_m\}$ . Since now each failure type set is a singleton, from Remark 1 it follows that the complexity of each such test is  $O(|X|^4 \times 2^{4|1|} \times |\Sigma_o|) = O(|X|^4 \times |\Sigma_o|)$ . So, the overall complexity of testing diagnosability is  $O(|X|^4 \times |\Sigma_o| \times |\mathcal{F}|)$ .

**Example 1:** Consider the system  $G = (X, \Sigma, \delta, x_0)$

- $X = \{x_0, x_1, x_2, x_3, x_4\}$
- $\Sigma = \{\sigma_1, \sigma_2, \sigma_3, \sigma_{uo}, \sigma_{f1}, \sigma_{f2}, \sigma_{f3}\}$
- $\delta = \{(x_0, \sigma_1, x_1), (x_1, \sigma_{f1}, x_2), (x_1, \sigma_{uo}, x_2), (x_2, \sigma_{f2}, x_3), (x_3, \sigma_2, x_3), (x_2, \sigma_{f1}, x_4), (x_4, \sigma_3, x_4)\}$

with the observable event set  $\Sigma_o = \{\sigma_1, \sigma_2, \sigma_3\}$ . The system is shown in Fig. 1. Let  $\mathcal{F} = \{F_1, F_2\}$  be the set of failure types and  $\psi$  be the failure assignment function with  $\psi(\sigma_{uo}) = \psi(\sigma_i) = \emptyset$ ,  $i = 1, 2, 3$ ,  $\psi(\sigma_{f1}) = F_1$ ,  $\psi(\sigma_{f2}) = F_2$ . From the first step in Algorithm 1, we can derive  $G_o$  from  $G$ , which is shown in Fig. 2. The strict composition of  $G_o$  with itself,  $G_d = G_o \parallel G_o$ , is derived from the second step in Algorithm 1, which is shown in Fig. 3. In Fig. 3, there is a self loop at the state  $((x_3, \{F_2\}), (x_3, \{F_1, F_2\}))$ . So from the last step in Algorithm 1 we know the system  $G$  is not diagnosable.

Now suppose we need not distinguish the failure type  $F_1$  from the type  $F_2$ . Then by letting  $F_2 = F_1$  in Fig. 3 and deleting some redundant states, we can obtain the corresponding  $G_d$  for the modified system. The resulting  $G_d$  is omitted here. In the modified  $G_d$ , there does not exist any cycle as stated in step 3 of Algorithm 1. So we know the modified system is diagnosable.

## IV. CONCLUSION

In this note, an algorithm is provided for testing the diagnosability of discrete-event systems. Compared to the existing testing method in [4], our algorithm does not require the construction of a diagnoser for the system. The complexity of our algorithm is of fourth order in the number of states of the system and linear in the number of failure types of the system, whereas the complexity of the testing method in [4] is exponential in the number of states of the system and doubly exponential in the number of failure types of the system.

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## $H_\infty$ and Positive-Real Control for Linear Neutral Delay Systems

Shengyuan Xu, James Lam, and Chengwu Yang

**Abstract**—This note is concerned with the  $H_\infty$  and positive-real control problems for linear neutral delay systems. The purpose of  $H_\infty$  control is the design of a memoryless state feedback controller which stabilizes the neutral delay system and reduces the  $H_\infty$  norm of the closed-loop transfer function from the disturbance to the controlled output to a prescribed level, while the purpose of positive-real control is to design a memoryless state feedback controller such that the resulting closed-loop system is stable and the closed-loop transfer function is extended strictly positive real. Sufficient conditions for the existence of the desired controllers are given in terms of a linear matrix inequality (LMI). When this LMI is feasible, the expected memoryless state feedback controllers can be easily constructed via convex optimization.

**Index Terms**— $H_\infty$  control, linear matrix inequality, memoryless state feedback, neutral delay systems, positive-real control.

## I. INTRODUCTION

Since the late 1980s, the  $H_\infty$  control problem has attracted much attention due to its both practical and theoretical importance. Various

approaches have been developed and a great number of results for continuous systems as well as discrete systems have been reported in the literature; see, for instance, [4], [18]. Very recently, interest has been focused on  $H_\infty$  control problem for delay systems. Lee *et al.* [7] generalized the  $H_\infty$  results for continuous systems to systems with state delay, which was further extended to systems with both state and input delays in [3] and [9], respectively. In the context of discrete systems with state delay, similar results can be found in [12] and references therein.

On the other hand, since the introduction of the notion of positive realness, many researchers have considered the positive-real control problem for linear time-invariant systems [1], [15]. The objective is to design controllers such that the resulting closed-loop system is stable and the closed-loop transfer function is positive real. It has been shown in [13] that a solution to this problem involves solving a pair of Riccati inequalities. These results have been extended to uncertain linear systems with time-invariant uncertainty in [11] and [16], respectively. It is worth noting that some positive realness results have also been generalized to time-delay systems [8].

Recently, much attention has been focused on the study of the theory of neutral delay systems and some issues, such as stability and stabilization, related to such systems have been studied [5], [10], [14]. To date, however, very little attention has been drawn to the problem of  $H_\infty$  control, as well as positive-real control, for linear neutral delay systems, these are more complex and still open.

In this note, we deal with the  $H_\infty$  control and positive-real control problems for linear neutral delay systems. The size of the delays appearing in the state and derivative of the state may not be identical. The  $H_\infty$  control problem we address is to design a memoryless state feedback controller such that the resulting closed-loop system is asymptotically stable while the closed-loop transfer function from the disturbance to the controlled output meets a prescribed  $H_\infty$ -norm bound constraint. In terms of a linear matrix inequality, a sufficient condition for the existence of  $H_\infty$  state feedback controllers is presented. Then, based on the relationship between bounded realness and positive realness and the results on  $H_\infty$  control, we obtain a sufficient condition for extended strictly positive realness (ESPR) for neutral delay systems. The condition for the solvability of positive-real control problem is also given in terms of a linear matrix inequality.

**Notation:** Throughout this note, for symmetric matrices  $X$  and  $Y$ , the notation  $X \geq Y$  (respectively,  $X > Y$ ) means that the matrix  $X - Y$  is positive semi-definite (respectively, positive definite).  $I$  is the identity matrix with appropriate dimension. The superscript "T" and "\*" represent the transpose and the complex conjugate transpose.  $\|x\|$  is the Euclidean norm of the vector  $x$ . For a given stable transfer function matrix  $G(s)$ , its  $H_\infty$  norm is given by  $\|G(s)\|_\infty = \sup_{\omega \in \mathbb{R}} \sigma_{\max}[G(j\omega)]$ , where  $\sigma_{\max}$  represents the maximum singular value of a matrix.  $\rho(A)$  denotes spectral radius of a matrix  $A$ .  $L_2[0, \infty)$  stands for the space of square integrable functions on  $[0, \infty)$ . Matrices, if not explicitly stated, are assumed to have compatible dimensions.

## II. MAIN RESULTS

Consider the following linear neutral delay system:

$$\begin{aligned} \dot{x}(t) &= Ax(t) + A_h x(t-h) + A_d \dot{x}(t-d) \\ &\quad + Bu(t) + E\omega(t) \end{aligned} \quad (1)$$

$$z(t) = Cx(t) + D\omega(t) \quad (2)$$

$$x(t_0 + \theta) = \phi(\theta) \quad \forall \theta \in [-l, 0] \quad (3)$$

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S. Xu and C. Yang are with the 810 Division, School of Power Engineering, Nanjing University of Science and Technology, Nanjing 210094, P. R. China.

J. Lam is with the Department of Mechanical Engineering, University of Hong Kong, Pokfulam Road, Hong Kong.

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