

INTEGRAL MODEL OF PEL TYPE

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1. PRELIM ON RATIONAL PEL DATA

Let $(B, *, V, H, h)$ be a \mathbb{Q} -PEL datum. That is, B is semisimple finite dimensional \mathbb{R} algebra, $*$ a positive involution on B , V an \mathbb{R} vector space and B module, H a non-degenerate skew Hermitian on V . That is, H is non-degenerate \mathbb{Q} valued alternating form on V compatible with B action. $h : \mathbb{C} \rightarrow \text{End}_B(V)$ is an \mathbb{Q} algebra homomorphism such that $h(\bar{z})$ is the adjoint of $h(z)$ under H . Then we can attach a triple $(C, *, h)$ such that:

Definition 1.1. *Let $(C, *, h)$ be a triple such that*

- (1) *C is a semisimple finite dimensional \mathbb{Q} algebra. Here, $C = \text{End}_B(V)$.*
- (2) *$*$ is a involution on C . Here, $*$ is the adjoint map under H on C .*
- (3) *$h : \mathbb{C} \rightarrow C_{\mathbb{R}}$ is \mathbb{R} algebra homomorphism such that $h(z)^* = h(\bar{z})$. h is the same as above*
- (4) *$\iota(x) = h(i)^{-1}x^*h(i)$ is a positive involution on C .*

We can attach an algebraic group over \mathbb{R} , $(\mathcal{G}, \mathcal{G}_1)$ such that:

$$\mathcal{G}(R) = \{x \in C \otimes_{\mathbb{Q}} R \mid xx^* \in R^{\times}\}$$

$$\mathcal{G}_1(R) = \{x \in C \otimes_{\mathbb{Q}} R \mid xx^* = 1\}$$

Then h restricted to \mathbb{C}^{\times} can be regarded as a homomorphism of \mathbb{R} algebraic group

$$h : \mathbb{S} \rightarrow \mathcal{G}_{\mathbb{R}}$$

*Recall that $(B, *, V, H, h)$ is called:*

- *Type (A): If $(C, \iota)_{\mathbb{C}} \cong M_n(\mathbb{C}) \times M_n(\mathbb{C})$, $(x, y)^* = (y^t, x^t) \iff \mathcal{G}_1/\mathbb{C}$ has Dynkin diagram A_{n-1} ;*
- *Type (C): If $(C, \iota)_{\mathbb{C}} \cong M_{2n}(\mathbb{C})$, $x^* = \bar{x}^t \iff \mathcal{G}_1/\mathbb{C}$ has Dynkin diagram C_n ;*
- *Type (D): If $(C, \iota)_{\mathbb{C}} \cong M_{2n}(\mathbb{C})$, $x^* = J\bar{x}^t J^{-1}$, $\iff \mathcal{G}_1/\mathbb{C}$ has Dynkin diagram D_n ; Here $J = \begin{bmatrix} 0 & I_n \\ -I_n & 0 \end{bmatrix}$*

Lemma 1.2. *Given $(C, *, h)$, the pair (\mathcal{G}, h) is a Shimura datum. The corresponding Hermitian symmetric domain $X_{\infty} = \mathcal{G}(\mathbb{R}) \cdot h$.*

The \mathbb{Q} PEL datum define an scheme over $E = E(G, X)$, which we denote as $\text{Sh}(B)/E$.

2. INTEGRAL MODEL OF GOOD REDUCTION OF A PRIME

Let $\text{Sh}(B)/E$ be the PEL type Shimura variety attached to the \mathbb{Q} -PEL data $(B, *, V, H, h)$. Fix a prime p , and let v be a place in E above V . We want extends $\text{Sh}(B)/E$ an **integral model**, defined over $\mathcal{O}_{E,(p)}$. The idea is the fix a lattice in B that gives an integral version for $(B, *, V, H, h)$.

Specifically, we suppose that B is a finite dimensional simple \mathbb{Q} algebra that is unramified over p . Let F be the center of B . That is, $B_{\mathbb{Q}_p}$ is a product of matrix algebra over unramified extension of \mathbb{Q}_p , and F_p is a product of these unramified extensions. In addition to $(B, *, V, H, h)$, we choose the following **integral PEL data**:

- \mathcal{O}_B is a $\mathbb{Z}_{(p)}$ order in B such that $\mathcal{O}_{B,p}$ is a maximal order in $B_{\mathbb{Q}_p}$. In addition \mathcal{O}_B should be preserved under $*$.
- Let Λ_0 be a lattice in $V_{\mathbb{Q}_p}$ that is self-dual under Ψ and preserved by \mathcal{O}_B .
- Let \mathcal{K}_p be a compact open of $\mathcal{G}(\mathbb{A}_f^p)$.

We use $\mathcal{D} = (\mathcal{O}_B, *, \Lambda_0, \Psi, h)$ to denote the integral PEL data.

Lemma 2.1. *As $B_{\mathbb{C}}$ module $V_{\mathbb{C}} \cong V_1 \oplus V_2$, where V_1 is the $B \otimes \mathbb{C}$ module where $1 \otimes z$ acts as $\cdot z$. Here the reflex field $E(G, h)$ is exactly the same as the field of definition of isomorphism class of complex representation V_1 of B . Choose a basis u_1, \dots, u_t of \mathcal{O}_B over $\mathbb{Z}_{(p)}$. Define the degree n homogenous polynomial:*

$$f(x_1, \dots, x_n) := \det(x_1 u_1 + \dots x_n u_n; V_1)$$

Then coefficient of f lies in $\mathcal{O}_{E,(p)}$

Proof. Since E is the field of definition of V_1 as a B representation, coefficient of f is in E . But u_1, \dots, u_t preserves Λ_0 , so have entries in $\mathcal{O}_E \otimes \mathbb{Z}_{(p)}$. \square

Definition 2.2. *Given \mathcal{D} and \mathcal{K}_p , we defined the moduli problem $S_{\mathcal{K}^p}$ over $\mathcal{O}_E \otimes \mathbb{Z}_{(p)}$ as the contravariant functor (LNS means locally noetherian scheme)*

$$M_{\mathcal{K}^p} : \text{LNS}/(\mathcal{O}_E \otimes \mathbb{Z}_{(p)}) \rightarrow \text{quadruples}$$

$$S \rightarrow (A, \lambda, i, \eta_{\mathcal{K}})$$

Such that:

- $A \rightarrow S$ an abelian scheme;
- $\lambda : A \rightarrow A^{\vee}$ a polarization of degree in $\mathbb{Z}_{(p)}^{\times}$.
- $i : \mathcal{O}_B \rightarrow \text{End}(A) \otimes_{\mathbb{Z}} \mathbb{Z}_{(p)}$ a $*$ homomorphism, for $*$ the involution on \mathcal{O}_B and the Rosati involution on $\text{End}(A)$. That is, $\phi^* = \lambda^{\vee} \circ \phi^{\vee} \circ \lambda$.
- $\eta_{\mathcal{K}^p}$ be a \mathcal{K}^p level structure. More precisely, we choose $s \in S$ a geometric point and consider A_s the corresponding abelian variety. The Tate module of A_s , $T_{\mathbb{A}_f}(A_s) = \prod_{l \neq p} T_l(A_s) \cong H_1(A_s, \mathbb{A}_f^p)$. A level structure of type \mathcal{K}^p is a \mathcal{K}^p orbit $\bar{\eta}$, where $\eta : V_{\mathbb{A}_f^p} \rightarrow H_1(A_s, \mathbb{A}_f^p)$ is fixed by $\pi_1(S, s)$ and is a isomorphism of skew-Hermitian B -module.

Recall: Let (M, H_1) and (N, H_2) be Hermitian B modules over R . An isomorphism of Hermitian B module is an R homomorphism $\eta : M \rightarrow N$ such that $H_2(\eta x, \eta y) = r H_1(x, y)$, $r \in R^{\times}$. In our case, $R = \mathbb{A}_f^p$ and $(V_{\mathbb{A}_f^p}, H)$ is a Hermitian B module, $(H_1(A_s, \mathbb{A}_f^p), e_{\lambda})$ is a Hermitian B module with e_{λ} taking value in $\mathbb{A}_f^p(1)$. Hence $\eta : V_{\mathbb{A}_f^p} \rightarrow H_1(A_s, \mathbb{A}_f^p)$ is an isomorphism of B module that sends H to a \mathbb{A}_f^p multiple of e_{λ} . The

$\mathcal{G}(\mathbb{A}_f^p)$ acts on η by $\eta \rightarrow \eta \circ g$, and the action is simply transitive. This is partitioned into several \mathcal{K}^p orbit, and the level structure is to choose such a orbit. In addition, $\pi_1(S, s)$ acts on $H_1(A_s, \mathbb{A}_f^p)$ hence acts on $\bar{\eta}$ on the left. We have $\bar{\eta}$ to be fixed by $\pi_1(S, s)$ so that $\eta_{\mathcal{K}^p}$ does not depend on the geometric point s .

- We require $(A, \lambda, i, \bar{\eta})$ satisfying the **determinant condition** on $\text{Lie}(A) = \pi_* \Omega_{A/S}^\vee$. $\text{Lie}(A)$ is locally free \mathcal{O}_S module where \mathcal{O}_B acts. and let $\alpha_1, \dots, \alpha_t$ generator of \mathcal{O}_B over \mathcal{O}_S . Let $g(X_1, \dots, X_t) = \det(\alpha_1 X_1 + \dots + \alpha_t X_t \mid \text{Lie}(A))$, coefficient in \mathcal{O}_S . Then the determinant condition says that

$$g(X_1, \dots, X_t) = f(x_1, \dots, x_t)$$

This is equivalent to $\text{Lie}(A) \cong V$ as B modules.

Two quadruple $(A, \lambda, i, \bar{\eta})$ and $(A', \lambda', i', \bar{\eta}')$ are isomorphic if there exists an isogeny of degree in $\mathbb{Z}_{(p)}^\times$ that sends $A \rightarrow A'$, carries $\bar{\eta} \rightarrow \bar{\eta}'$, $\lambda \rightarrow \mathbb{Z}_p^\times \lambda'$ and is compatible with action of \mathcal{O}_B .

$S_{\mathcal{K}^p}$ can be understood as replacing the \mathbb{Q} isogeny class (can invert any number in \mathbb{Z}) by $\mathbb{Z}_{(p)}$ isogeny class (can not invert p).

Proposition 2.3 ($S_{\mathcal{K}^p}$ has good reduction). *For \mathcal{K}^p sufficiently small, the moduli problem $S_{\mathcal{K}^p}$ is representable by a **smooth, quasi-projective** scheme over $\mathcal{O}_{E, (p)}$. This $S_{\mathcal{K}^p}$ is called the integral canonical model of $\text{Sh}(B)$ with good reduction at any prime v above p .*

Remark 2.4. The smoothness is generally proven using the criteria for formal smoothness. A scheme X is formally smooth over S if $\text{Hom}_S(T, X) \rightarrow \text{Hom}_S(T', X)$ is surjective, where T' is a first order thickening of T . That is, T is a closed subscheme in T' defined by \mathcal{I} such that $\mathcal{I}^2 = 0$.

3. COMPLEX POINTS OF $S_{\mathcal{K}^p}(\mathbb{C})$

Lemma 3.1. *Isomorphism class of skew-Hermitian B modules V' such that $V' \cong V$ as a \mathbb{Q} vector space is classified by $H^1(\mathbb{Q}, \mathcal{G})$,*

Lemma 3.2. *For each place v of \mathbb{Q} , the skew-Hermitian $B_{\mathbb{Q}_v}$ modules $H_{\mathbb{Q}_v} = H_1(A, \mathbb{Q}_v)$ and $V_{\mathbb{Q}_v}$ are isomorphic.*

Corollary 3.2.1. *The isomorphism class of Hermitian B module $H_1(A, \mathbb{Q})$ parameterized by $S_{\mathcal{K}^p}(\mathbb{C})$ is classified by $\ker^1(\mathbb{Q}, \mathcal{G})$*

Proof. The isomorphism class of Hermitian B module $V' = H_1(A, \mathbb{Q})$ are exactly the ones classified by $H^1(\mathbb{Q}, \mathcal{G})$ whose image in $H^1(\mathbb{Q}_v, \mathcal{G})$ become trivial. Hence, it is classified by the kernel of

$$H^1(\mathbb{Q}, \mathcal{G}) \rightarrow \prod_{v \leq \infty} H^1(\mathbb{Q}_v, \mathcal{G})$$

□

Proposition 3.3 (connected component of $S_{\mathcal{K}^p}(\mathbb{C})$ is indexed by $|\ker^1(\mathbb{Q}, \mathcal{G})|$). *Suppose $|\ker^1(\mathbb{Q}, \mathcal{G})| = m$. We let V^1, \dots, V^m be the representatives. Fix the local isomorphism $\varphi_{i,v} : V_v^i \cong V_{\mathbb{Q}_v}$. Without lost of generality, let (V^1, H^1) representing the identity element. That is, $(V^1, H^1) \cong (V, H)$ as Hermitian B module. Let G^i be $\text{Aut}(V^i, H^i)$. The local isomorphism $\varphi_{i,v}$ induces isomorphisms $G_{\mathbb{Q}_v}^i \rightarrow \mathcal{G}_{\mathbb{Q}_v}$ for*

all v . Then $S_{\mathcal{K}^p}(\mathbb{C}) = \bigsqcup_{i=1}^m S_{\mathcal{K}^p}^i(\mathbb{C})$, where $S_{\mathcal{K}^p}^i(\mathbb{C})$ classifies $(A, \lambda, i, \bar{\eta})$ such that $H = H_1(A, \mathbb{Q})$ is isomorphic to V^i as a skew-Hermitian B modules.

Proposition 3.4. *Let \mathcal{K}_p be the stabilizer of Λ_0 in $\mathcal{G}(\mathbb{Q}_p)$. Let $\mathcal{K} = \mathcal{K}_p \mathcal{K}^p \subseteq \mathcal{G}(\mathbb{A}_f)$. Then we have*

$$S_{\mathcal{K}^p}^1(\mathbb{C}) \cong \mathcal{G}(\mathbb{Q}) \backslash ((\mathcal{G}(\mathbb{A}_f)/\mathcal{K}) \times X_\infty)$$

Proof. Consider a point $(A, \lambda, i, \bar{\eta})$ of $S_{\mathcal{K}^p}^1(\mathbb{C})$. Fix an isomorphism $\varphi : H \rightarrow V$.

- $\bar{\eta}$ gives an element $\mathcal{G}(\mathbb{A}_f^p)/\mathcal{K}^p$, denoted as $g_{\bar{\eta}}$
- Under the isomorphism $\varphi_{\mathbb{R}}$, the complex structure on $H_{\mathbb{R}}$ becomes a complex structure on $V_{\mathbb{R}}$ and that induce a homomorphism $h' : \mathbb{C} \rightarrow C_{\mathbb{R}}$, h' differs from h by conjugation of $\mathcal{G}(\mathbb{R})$. Hence $h' \in X_\infty$.
- Using the fact that $\mathcal{G}(\mathbb{Q}_p)$ acts transitively on the set of self-dual lattice of $V_{\mathbb{Q}_p}$, we know that there exists $g \in \mathcal{G}(\mathbb{Q}_p)$ such that $g \cdot \varphi_{\mathbb{Q}_p}(\Lambda') = \Lambda_0$. g is unique up to \mathcal{K}_p , so $g \in \mathcal{K}_p$.

Hence from $(A, \lambda, i, \bar{\eta})$, we obtaine $([g_{\bar{\eta}} \times g] \times h') \in (\mathcal{G}(\mathbb{A}_f)/\mathcal{K}) \times X_\infty$. The group $\mathcal{G}(\mathbb{A}) = \mathcal{G}(\mathbb{A}_f) \times \mathcal{G}(\mathbb{R})$ acts on $(\mathcal{G}(\mathbb{A}_f)/\mathcal{K}) \times X_\infty$ and so is $\mathcal{G}(\mathbb{Q})$. Finally, notice that the choice of φ is unique up to an element in $\mathcal{G}(\mathbb{Q})$. Hence the element is well-defined up to $\mathcal{G}(\mathbb{Q})$ orbit. So we obtain an element in $\mathcal{G}(\mathbb{Q}) \backslash ((\mathcal{G}(\mathbb{A}_f)/\mathcal{K}) \times X_\infty)$. Hence we have the isomorphism:

$$S_{\mathcal{K}^p}^1(\mathbb{C}) \cong \mathcal{G}(\mathbb{Q}) \backslash ((\mathcal{G}(\mathbb{A}_f)/\mathcal{K}) \times X_\infty)$$

Similarly, $S_{\mathcal{K}^p}^i(\mathbb{C}) \cong \mathcal{G}^i(\mathbb{Q}) \backslash ((\mathcal{G}(\mathbb{A}_f)/\mathcal{K}) \times X_\infty)$ □

Lemma 3.5. *These components $S_{\mathcal{K}^p}^i(\mathbb{C})$ are all isomorphic to each other.*

Proof. (Skip the proof in the talk) We define an automorphism of $S_{\mathcal{K}^p}$, φ , by sending

$$\varphi : (A, \lambda, i, \bar{\eta}) \rightarrow (A, \lambda \circ i(\alpha), i, \bar{\beta}\eta)$$

Here α represents the element $z_i \in \ker^1(\mathbb{Q}, Z)$, and $\beta = (\beta_v) \in \prod_v H^1(\mathbb{Q}, Z)$ whose component in F_l is β_l for every $l \nmid p$. This automorphism sends $S_{\mathcal{K}^p}^i \rightarrow S_{\mathcal{K}^p}^1$. Indeed, let $z_i \in \ker(\mathbb{Q}, Z)$ corresponding to the i th component, or the element in $\ker(\mathbb{Q}, \mathcal{G})$ determined by the skew-Hermitian B module V^i . The the above defined isomorphism sends $S_{\mathcal{K}^p}^1$ to $S_{\mathcal{K}^p}^i$. □

4. EXAMPLES OF INTEGRAL MODELS WITH GOOD REDUCTION

Example 4.1 (Integral model of Siegel modular variety). The Siegel modular variety \mathcal{A}_g has an integral model over $\mathbb{Z}_{(p)}$ that is smooth (and proper??). Here I treat \mathcal{A}_g as a special case of PEL type Shimura variety. Indeed, we can take $B = \mathbb{Q}$ and $*$ to be the identity, $i : B \rightarrow \text{End}(V)$ to be trivial. Let $V = \mathbb{Q}^{2g}$, Ψ be the standard symplectic form, $h : \mathbb{C}^\times \rightarrow \mathcal{G}_{\mathbb{R}}$ that maps z to J . Then $(B, *, i, V, h)$ gives rise to $(\mathcal{G}(\Psi), X(\Psi))$ in the same recipe.

Fix a prime p . Let $\mathbb{Z}_{(p)}$ be the localization. We make a choice of the integral datum. Let $\Lambda_0 \subseteq \mathbb{Q}_p^{2g}$ be \mathbb{Z}_p^{2g} , then Ψ is a perfect pairing on Λ_0 . Let $\mathcal{K}_p \subseteq \mathcal{G}(\Psi)(\mathbb{Q}_p)$ be the stailizer of Λ_0 , so it can be taken as $\mathcal{G}(\mathbb{Z}_p)$. For all N relatively prime to p , we let $\mathcal{K}(N) \subseteq \mathcal{G}(\mathbb{A}_f^p)$ be the principle level N subgroup. That is,

$$\mathcal{K}(N) = \{g \in \mathcal{G}(\hat{\mathbb{Z}}^p) : g \equiv 1 \pmod{N\mathcal{G}(\mathbb{Z}_l)}\}$$

Given (Λ, Ψ, h) and $\mathcal{K}(N)$ such that N is sufficiently large, we define the moduli problem $S_{\mathcal{K}(N)} : LNS/\mathbb{Z}_{(p)} \rightarrow (A, \lambda, \eta)$ such that

- A abelian scheme over $\mathbb{Z}_{(p)}$
- $\lambda : A \rightarrow \mathbb{A}^t$ is a polarization of degree $\mathbb{Z}_{(p)}^\times$
- $\bar{\eta} : V_{\mathbb{A}_f^p} \rightarrow H^1(A_s, \mathbb{A}_f^p)$ is an level $\mathcal{K}(N)$ structure.

Alternatively, $\bar{\eta} : \Lambda_0/N\Lambda_0 \rightarrow A_s[N]$ is a principal level N structure. In other words, it is a $\mathcal{K}(N)$ orbit of symplectic isomorphism

$$\eta : L \otimes_{\mathbb{Z}} \hat{\mathbb{Z}}^p \rightarrow T^p(A_s)$$

Then by the previous section, $S_{\mathcal{K}(N)}$ is smooth and quasi-projective, with generic fibre be the Siegel modular variety. Therefore, $S_{\mathcal{K}(N)}$ is an integral model.

Example 4.2. (integral model of unitary Shimura variety of signature $(2, 1)$) Let E/\mathbb{Q} be a totally imaginary extension. Let p be a prime unramified in E and let v be a place of E above p . We fix an isomorphism $E \otimes_{\mathbb{Q}} (\mathbb{R})$. We consider the following PEL datum:

- $B = E$ with $*$ the unique non-trivial element in the Galois group. Let $\mathcal{O}_B = \mathcal{O}_E$ be the ring of integer
- $V = E^3$, $\Lambda = \mathcal{O}_E^3$. Defined the E -valued skew Hermitian form $H : \Lambda \times \Lambda \rightarrow$

$2\pi i\mathbb{Z}$ defined by the matrix $M_H = \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & -1 \end{bmatrix}$. Define the alternating

form $\Psi : V \times V \rightarrow 2\pi i\mathbb{Z}$ be taking $\Psi(x, y) = \text{tr}_{\mathcal{O}_E/\mathbb{Z}}(\alpha H(x, y))$, where α satisfies:

- $\alpha \in \mathcal{O}_E$ and $p \nmid \alpha$.
- $\alpha^* = -\alpha$

and $i : E \rightarrow \text{End}(V)$ the scalar matrix.

- $h : \mathbb{C}^\times \rightarrow M_3(\mathbb{C})$

$$h(z) = \begin{bmatrix} z & 0 & 0 \\ 0 & z & 0 \\ 0 & 0 & \bar{z} \end{bmatrix}$$

Then $(\mathcal{O}, *, i, \Lambda_0, h)$ is an integral PEL datum. The associated moduli problem $S_{\mathcal{K}^p}$ for $\mathcal{K}^p \subseteq \mathcal{G}(\hat{\mathbb{Z}}^p)$ sufficiently small is representable by a smooth and quasi-projective scheme over $\mathcal{O}_{E,(p)}$.

Example 4.3 (integral model that has bad reduction). Let (r, s) be a pair such that $|r - s| > 1$. Say we can take $(r, s) = (3, 1)$. Define the same thing as example 4.2, exact that this time we choose a prime p ramified in E and let v be the unique place above p . Then the corresponding moduli problem $S_{\mathcal{K}^p}$ is no longer smooth over $\mathcal{O}_{E,(p)}$. The generic fibre is still $\text{Sh}(\mu_5, \underline{f})(B)/E$, and it has dimension rs since this is the dimension of corresponding Hermitian symmetric domain. Let $S_{\mathcal{K}^p, \mathbb{F}_p}$ be the special fibre of $S_{\mathcal{K}^p}$ over \mathbb{F}_p .

Lemma 4.4. $S_{\mathcal{K}^p, \mathbb{F}_p}$ has dimension $> \frac{n^2-1}{4}$.

Proof. Let F be the base field of V and E be the reflex field, so $E \subseteq F$, $E_v \subseteq F_v$. Let $S'_{\mathcal{K}^p}$ be the moduli functor over \mathcal{O}_{F_v} whose S valued point has the same definition of $S_{\mathcal{K}^p}$ except that we remove the determinantal condition. We have a closed immersion

$$S_{\mathcal{K}^p} \times_{\mathcal{O}_{E,v}} \mathcal{O}_{F,v} \rightarrow S'_{\mathcal{K}^p}$$

Moreover, if S is over $\text{Spec}_{\mathbb{F}_p} = \text{Spec}_{\mathcal{O}_{F,v}/m_v}$, Then

$$i : \det(T_0 \cdot 1 + T_1 \cdot u \mid H_1(A, \mathbb{Q}))$$

Now, notice that $1, \pi$ are generator of $\mathcal{O}_F \otimes_{\mathbb{Z}} \mathbb{Z}_{(p)} \cong \mathcal{O}_F/\pi^2$. Its generator over $\mathbb{Z}_{(p)}$ can be taken as $1, \pi$, where π is the uniformizer of v . Then the coefficient of $\det(T_0 \cdot 1 + T_1 \cdot \pi \mid H_1(A, \mathbb{Q}))$ is in $m_v \subseteq \mathcal{O}_{F_v}$. Therefore, the determinant condition becomes redundant over $\text{Spec}(\mathbb{F}_p)$ and so i is an isomorphism.

But then, for any other pairs of indices (r', s') such that $(r' + s') = n$, we can again define $S_{\mathcal{K}^p}^{r', s'}$, and we have the relation

$$S_{\mathcal{K}^p}/\mathbb{F}_p \cong S'_{\mathcal{K}^p}/\mathbb{F}_p \cong S_{\mathcal{K}^p}^{r', s'}/\mathbb{F}_p$$

Also, since $S_{\mathcal{K}^p}^{r, s}$ has a $\text{Spec}(\mathcal{O}_N)$ valued point for N some extension of K_v , the dimension of special fibre is \geq dimension of generic fibre. Since $S_{\mathcal{K}^p}^{r', s'}$ has generic fibre of dimension $r's'$, we can conclude that the dimension of special fibre of $S_{\mathcal{K}^p}$ is at least $\max\{r's' \mid r' + s' = n\}$, which is $\frac{n^2-1}{4}$. In particular, the moduli problem is not smooth (Does not have good reduction) if we remove the assumption that p is unramified in K . \square

Remark 4.5. Notice that if p is unramified in \mathcal{O}_K , we are not going to run into the same issue. Indeed, suppose p splits into v_1, v_2 in \mathcal{O}_K , then $\mathcal{O}_K \otimes_{\mathbb{Z}} \mathbb{Z}_{(p)} \cong \mathcal{O}_{K, v_1} \oplus \mathcal{O}_{K, v_2}$, and one can just take u_1, u_2 such that u_i is not zero in the residue field $\cong \mathbb{F}_p$. Same as u_2 . Then the polynomial will remain separable after reduction mod p . If p inert, the basis can be taken as $1, u$, where the residue class of u generates \mathbb{F}_{p^2} over \mathbb{F}_p .

REFERENCES