



*P1 - 14: moduli space of
p-div groups and period morphisms

*P15 - 26 : Lawrence - Venkash
chapter 3



A random walk into rigid analytic Geo.

* 1. generalities on formal Schemes.

Defn: $I \subset A$ is called an ideal of definition, if I is an open ideal and for any open nbhd V of 0 , $\exists n$, s.t. $I^n \subset V$.

Defn: A is called I -adic, if I^n form a fundamental sys. of nbhd of 0 , and it is separated and complete.

$$A = \varprojlim A/I^{n+1}$$

A . I adic.

Defn: $X = \text{Spec}(CA)$. we can associate a topological ringed space $(\mathcal{X}, \mathcal{O}_{\mathcal{X}})$.

$$\mathcal{X} = \cup G_I \quad \mathcal{O}_{\mathcal{X}} = \varprojlim \mathcal{O}_x / I^{n+1} \text{ (affine formal scheme)}$$

Defn: A formal scheme is a locally ringed space $(\mathcal{X}, \mathcal{O}_{\mathcal{X}})$ has an affine open covering.

• we can also define it as contravariant fun.

$$\text{spf } A(Z) := \varinjlim_{\mathfrak{I}} \text{Hom}(Z, \text{Spec}(A/\mathfrak{I}))$$

• Here A is any linear topological rg having

an ideal of definition.

* 2. moduli space of p-div grps.

k_0 . \mathcal{O} : cdVR, $(0, \mathbb{P}^2)$

Nilp_0 : cat of locally noetherian $S/\text{Spec } \mathcal{O}$

s.t. \mathcal{O}_S is "locally nilpotent"

\bar{S} : closed subscheme defined by the ideal $\mathfrak{p}_0 S$, X/\bar{F}_p . M/Nilp_w functor.

Thm: M is contravariant

$$S \longrightarrow (X, f).$$

(i) A p-div X/S

(ii) a quasi-isog. $f: X_{\bar{S}} \rightarrow X'_{\bar{S}}$.

M is rep. by a formal sch. locally finitely
of finite type / spf w .

(alternatively) $Q_{\text{isog}}(X, Y) \rightarrow Q_{\text{isog}}(X'_S, Y'_S)$

$$\tilde{f}: \tilde{X}_S \rightarrow X. /S.$$

case $P \in E$: define the functor \tilde{M} $\lambda_X: \tilde{X} \rightarrow X$

$O_B \hookrightarrow X/\tilde{X}/O_K$. K/k_0 fini.

E : field of defn. of Lie (\tilde{X}) as rep. of B .

$\tilde{E} = E k_0$. w/ ring of integers O_E^U

$\tilde{m} / \text{N}u\text{po}_{\tilde{E}}$: $S \in \text{Hilf}_{\tilde{E}} \rightsquigarrow (X, f)$

(i) X/S , $\mathcal{O}_B \hookrightarrow X$, $\forall s' \xrightarrow{s} s'$.
as $\mathcal{O}_B \otimes \mathcal{O}_{S'}$

def $\mathcal{O}_{S'}$, $(a, \text{Lie}(X_{S'})) = \det_K (a, \text{Lie}(\tilde{x})_K)$

(ii) an \mathcal{O}_B - quasi-isogeny $f: X_S \rightarrow X_{S'}$

(iii) $\exists C_X \in \mathcal{O}_P^\times$, st. $f^* \text{det}_X \circ \rho = C_X \lambda$.

Then: \tilde{m} is resp. by a formal sch.
locally formally w. f. t. / $\text{Spf}(\mathcal{O}_E)$.

Eg.: X , h , $dh = 1$. Slope $1/h$

$M^{2T} \cong \bigsqcup_{n \in \mathbb{Z}} \text{Spf } W(C_F)_P[[T_1, \dots, T_{h-1}]]$,

4.3. Rigid analytic geometry.

O : CDVR. O/\mathbb{Z}_p finite type.

$F = \text{Frac } O$.

Defn: (Tate alg. in n variables):

$$F\{y_1, \dots, y_n\} := \{f = \sum a_{i_1, \dots, i_n} y_1^{i_1} \cdots y_n^{i_n} \mid$$

$$a_i \in F, i_j \geq 0, |(i_1, \dots, i_n)| \rightarrow 0, i_1 + \dots + i_n \rightarrow \infty\}$$

* A Tate algebra is Noetherian and carries

a Gauss norm $|f| := \sup\{|a_{i_1, \dots, i_n}|\}$.

Defn: An affinoid F -alg. is an F -alg. B which is a quotient of a Tate algebra for some n .

Defn: (affinoid rigid analytic spaces)

$\text{Spm } B$ w/ Grothendieck topology and a structure sheaf.

$x \in \text{Spm } B$ has residue field B/x

- B/x is a finite extension of F .
- An F -alg. homomorphism $B \rightarrow C$ induces a map $\text{Spm } C \rightarrow \text{Spm } B$

Defn: A rigid analytic space / F is a set X carrying a Grothendieck topology and a structure sheaf, s.t. X possesses an admissible covering by affinoid Analytic Space.

- we have the corresponding def of morphism, and Smoothness, etaleness, ... etc, ...

* Raynaud - Berthelot's construction:

Rigid analytic spaces can be viewed as generic fibers of formal schemes / $\text{spf } \mathcal{O}$

locally formally of finite type.

Defn. tfp \mathcal{O} -alg. is an \mathcal{O} -alg of the form: $A = \mathcal{O}\langle x_1, \dots, x_n \rangle / I$,

where I is a finitely generated ideal in the ring $\mathcal{O}\langle x_1, \dots, x_n \rangle$ of restricted power series:

$$\sum a_J x^J \in \mathcal{O}[[x_1, \dots, x_n]], \quad x^J = x_1^{j_1} \cdots x_n^{j_n}$$

s.t. $a_J \rightarrow 0$ as $|J| := j_1 + \cdots + j_n \rightarrow \infty$

• A is a π -adic noetherian ring.

Defn: A tfp affine formal scheme $/O$ is a locally ringed space $\cong \text{Spf } A$, A is a tfp O -algebra.

A locally of finite type tfp O -formal scheme is a locally ringed space w/ an open covering by tfp affine O -formal

Rigaud's functor:

$$\mathcal{X} \rightarrow \mathcal{X}^{\text{rig}}.$$

Affine:

$\mathcal{X} = \text{Spf } A$. $A \otimes F$ is an affinoid F -alg.

$$\mathcal{X}^{\text{rig}} = \text{Spm}(A \otimes F) \quad x \in \mathcal{X}^{\text{rig}}$$



A/J , integral domain, f.g. /O.

specialization map: $sp: \mathcal{X}^{\text{rig}} \rightarrow \mathcal{X}$

$x \in \text{Spm}(A \otimes F) \Rightarrow A \otimes F \rightarrow k(x)$, residue field of x .

• $k(x)$ is a finite extension of F .

$$A \rightarrow O_{xx} \subset k(x)$$

$A/(\pi) \rightarrow \hat{k}(x)$: residue field of $k(x)$.

this gives a point $\text{sp}(x) \in \text{Spec } A/\mathcal{N} \subset \mathbb{X}$

In general:

\mathbb{X}^{rig} : the set of closed formal subscheme Y irr. red. f.f over O .

The support of such Y is a closed pt of \mathbb{X} , called the specialization of $x \in \mathbb{X}^{\text{rig}}$ correspondingly to Y .

Prop.. (1) the rigid analytic structure on \mathbb{X}^{rig} is unique

(2). The specialization $\text{sp}_x: \mathbb{F} \rightarrow \mathbb{F}^{\text{rig}}$
has universal property. let J be any rigid analytic space,
 $\text{sp}_x: (O_{x,J}) = O_x \otimes F$

$$\begin{array}{ccc} & \nearrow \mathbb{X}^{\text{rig}} & \\ J & \downarrow & \\ J & \rightarrow & \mathbb{X} \end{array}$$

(3) the functor commutes w/ products and transforms open immersions to open immersions.

In the case of locally noetherian

$\mathbb{X} = \text{spf } A$. f_1, \dots, f_r be a system of generators of a defining ideal.

$$\text{Spf}(A) = \varinjlim \text{Spf}(B_n)$$

$$B_n = \underbrace{A\{T_1, \dots, T_r\}}_{\pi - \text{adic completion of } A[T_1, \dots, T_r]} / (f_1^n - \pi T_1, \dots, f_r^n - \pi T_r).$$

$$X^{\text{rig}} := \bigcup_n \underbrace{\text{Spf}(B_n \otimes F)}_{\text{Can be realized as closed ball with radius } \leq |\pi|^{1/n}}$$

Eg: ① let $X = \text{Spf}(O\{T_1, \dots, T_m\})$, then X^{rig} is the closed unit ball;

② let $X = \text{Spf}(O[[T_1, \dots, T_n]])$ w/ ideal \mathfrak{m} definition (π, T_1, \dots, T_n),

$$X^{\text{rig}} = \bigcup \text{closed ball of radius } |\pi|^{1/n}$$

problem: the rigid analytic spaces defined in this way are not topological spaces in the classical sense.

The theory developed by Berkovich solved this issue and provides more underlying pts.

Berkovich's construction.

Let B be an affinoid F -algebra w/
 F -Banach norm. $\| \cdot \|$. B is called strictly
affinoid alg. by Berkovich.

Defn: An analytic point x of B is a semi-norm

$|\cdot|_x : B \rightarrow \mathbb{R}_{\geq 0}$, satisfies

(1) $|f+g|_x \leq \max\{|f|_x, |g|_x\}$ for all $f, g \in B$

(2). $|fg|_x = |f|_x |g|_x$ for all $f, g \in B$.

(3). $|\lambda|_x = |\lambda|$ for all $\lambda \in F$

(4) $|\cdot|_x : B \rightarrow \mathbb{R}_{\geq 0}$ is continuous

Defn: the set of all analytic pts $M(B)$.

topo: the coarsest top. s.t. $\forall f \in B$,

$$M(B) \rightarrow \mathbb{R}_{\geq 0} \quad \left. \begin{array}{l} \text{call } M(B) \text{ a} \\ \text{strictly } F\text{-affinoid} \\ \text{space.} \end{array} \right\}$$
$$x \mapsto |f|_x$$

is continuous.

• $\forall x \in M(B)$, $\ker |\cdot|_x := \{b \in B \mid |b|_x = 0\}$

is prime.

Defn. Complete res field $\mathbb{K}(x)$ of x the complex-

of $\text{Frac}(B/\ker f \cdot l_x)$ w.r.t. $f \cdot l_x$.

- $B \rightarrow \mathbb{R}_{\geq 0}$ is a continuous hom of F -alg.
- Conversely, let K be a complete ext. of F w/ $| \cdot | : K \rightarrow \mathbb{R}_{\geq 0}$.

Any continuous F -alg. hom. $B \rightarrow K$ defines a semi-norm on B , hence an analytic point.

* Compare two constructions: every rigid anal pt. $x \in \text{Spm } B$ defines an analytic point w/ $\Omega_{B,x}/f$ finite.

Defn: A Strictly F -Analytic space is a top. space adnrs strictly affinoid F -analytic charts.

A good Strictly F -analytic space is a strictly F -analytic space s.t. every pt has a strictly F -analytic nbhd.

Defn: we call good ... Berkovich spaces.

γ . locally of f.t over F . $\rightsquigarrow Y^{\text{rig}}$

$X^{\text{rig}} = \{x \in X \mid k(x) \text{ is a fini. ext. of } F\}$

X strucy F -analytic Space

* period morphisms.

cf. 0. k. 71. $M / \text{spf } O$. fixed sch.

locally formally of finite type,

$X / M \quad (X / O_M)$ - p-div grp.

M_X : $\text{Lie}(\overline{E(X)})$: Lie alg. of the universal ext. of X , Mo-rsch def. by $I(X)$

* $/k$ fixed. $p: X_M \rightarrow X_M$
rank h. dim'd,

Thm: 2.4.1 p induces a canonical isom of locally free $O_{M^{\text{rig}}}$ -modules of finite rank. let $E(X)$ be the isocrystal.

$\tilde{p}: E(X) \otimes_{(W(k)_Q)} O_{M^{\text{rig}}} \simeq (M_X)^{\text{rig}}$

Now if $M = M'$, moduli of p-div w/ P_E .

i.e. \tilde{M} is locally formally of finite type over $\text{spf } O_E^\vee$

let $(X^{\text{univ}}, \mu_{\text{univ}})$ be the universal p-div on \tilde{M} . Then doubt h.

$$E(X) \otimes O_{\tilde{M}}^{\text{rig}} \xrightarrow{\cong} (M_{X^{\text{univ}}})^{\text{rig}}$$

$\rightarrow (\text{Lie}(X^{\text{univ}}))^{\text{rig}}$ rank d.

\Rightarrow ker f defines an \tilde{M}^{rig} -valued pt of the Grassmannian $\text{Grass}_{\text{rig}}(E(X))$

$$\pi: \tilde{M}^{\text{rig}} \rightarrow \text{Grass}_{\text{rig}}(E(X)^{\text{rig}}).$$

* A question of Grothendieck:

$f: X / \bar{F}_p$, height h dim d .

O_K : cdvr, $K = \bar{F}_p$, $\text{Frac}(O_K) = K$

$$K_0 = \text{frac}(w = \bar{F}_p).$$

Any p-div X / O_K lifts X , there is an ext:

$$0 \rightarrow (\text{Lie } X^\varepsilon)_K^\vee \rightarrow \text{ID}(X)_K \rightarrow (\text{Lie } X)_K \rightarrow 0.$$

let $J \subset \text{Grass}_{\text{rig}}(\text{ID}(X)_{K_0})$, $\{K-d \text{ dim subspace of } \text{ID}(X)_{K_0}\}$

Describe the subset of J formed

by $(\text{Lie } x^k)_k^{\vee}$ for all $x \in k$

Answer: $\bigcap_{(f^w)^{\text{rig}}}$

$f_{|E}$ is the flag very associated to (b, μ)

$b \in G(\mathbb{Q}_p)$. φ -conjugacy class

μ : a divisor of E

* this comes from the fact that

*
 χ^{rig} factor through $(f_b^w)^{\text{rig}}$.

Faltings: Fix w.d. k.S, then up to conjugation, only finitely many semi-simp Galois representation $\rho: G_K \rightarrow GL_d(\mathbb{Q}_p)$

- (a) ρ unram. outside S.
- (b) rank of weight. w. $\mapsto \left\lfloor \frac{w}{2} \right\rfloor$
- (c) Frob. at \mathfrak{P} has integer coefficients.

Aim: control / finiteness of fibers in a family of smooth proj. vars. w/ good reduction outside S.

3.1 Setting up.

$$\pi: X \rightarrow Y/k \quad \begin{matrix} \text{-proper smooth} \\ \text{smooth} \end{matrix}$$

Suppose π admits a good model over \mathcal{O} , i.e., it extends to a proper

smooth morphism $\pi: X \rightarrow Y$

- the generic fiber of the relative de Rham coh $H^q := R^q \pi_{*} \Omega^{\bullet}_{X/Y}$ is equipped w/ the Gauss-Manin connection $\partial^q \rightarrow \partial^q \otimes \Omega^1_{Y/\mathbb{Q}}$.

- We want to bound $\mathcal{G}(0)$. Study

$$\mathcal{G}: \text{Gr}_K \rightarrow \text{Aut } H_{et}^q(X_{\bar{Y}} \times_{\bar{K}} \bar{K}, \mathbb{Q}_p).$$

S.t. for $\iota: K \hookrightarrow \mathbb{C}$

$$\iota|_K \hookrightarrow \iota_K,$$

$$\therefore \frac{v}{p}, \quad p > 2,$$

- ι_K is unramified $/ \mathbb{Q}_p$,

- No prime above p lies in S .

We want to give criteria for the finiteness of \mathcal{U} in terms of period map.

$U := \{y \in Y(\mathcal{O}) : y \equiv y_0 \pmod{N}\}$.

it is clear that if U is finite for each choice of y_0 , then $Y(\mathcal{O})$ is finite.

3.2. coh. & Gauss-Manin Connection.

Aim: to identify the coh. of nearby fibers. (K_U & \mathcal{G} topo.).

Both identifications can be described as evaluations of power series w/ K -coeff, convergent both for K_U and \mathcal{G} topo.

Results: $p > 2$, v unramified above p ,

$$\bullet H_{\text{dR}}^q(C_{X_0/K_U}/K_U) \xrightarrow{\sim} H_{\text{dR}}^q(C_{Y_0/K_U}).$$

whenever $y \in Y(\mathcal{O}_U)$ satisfy
 $y \equiv y_0 \pmod{v}$.

• GM: H_{dr}^q

\exists small nbhd of $y_0 \in Y(0)$.

$y \in Y_G(0)$

Comparison → compatible w/ GM.

$$\begin{array}{c}
 \text{semi-linear} \\
 \text{matrix} \\
 G \text{ on } k_v/\mathcal{O}_v
 \end{array}
 \xrightarrow{\quad \text{G-M} \quad}
 V_v = H_{dr}^q(X_{y_0}/k_v) \xrightarrow{\quad \sim \quad} H_{cris}^q(\bar{X}_0) \otimes_{k_v} \mathbb{F}_v$$

$$\begin{array}{c}
 q_v \\
 \downarrow \\
 H_{dr}^q(X_{y_0}/k_v) \xrightarrow{\quad \sim \quad} / \mathbb{F}_v
 \end{array}$$

$$x_0 = \lambda^t(y_0), y_0 \in Y(0)$$

\mathcal{O}_0 is a smooth-proper
0-model for X_0 .

Recall: over \mathbb{C} a
 $V = \text{HdR}(X_0/\mathbb{C})$ is equipped
w/ a Hodge filtration:

$$V = F^0 V \supset F^1 V \supset \dots \quad (\star)$$

let H/\mathbb{C} be the flag variety of V .
 $h_0 \in H(\mathbb{C}) \longleftrightarrow (\star)$.

By base change to \mathbb{K}_v and \mathbb{C} ,
we get H_v and $H_{\mathbb{C}}$. Let $h_0^v \in H_v(\mathbb{C})$
be the image of h_0 .

G.M.: $t \in \Omega_{\mathbb{C}}$: Contractible analytic
nbhd of $y_0 \in Y_{\mathbb{C}}^{\text{an}}$

$$\text{HdR}(X_t/\mathbb{C}) \cong \text{HdR}(X_0/\mathbb{C}).$$

and the Hodge filtration on
 $\text{HdR}(X_t)$ gives a point on $H_{\mathbb{C}}$

$$\text{and } \varPhi_{\mathbb{C}} : \Omega_{\mathbb{C}} \rightarrow H_{\mathbb{C}}(\mathbb{C}),$$

• Φ_C extends to a map:

$$\Phi_C: \tilde{Y}_C^{\text{an}} \rightarrow H_6(C)$$

• $\Phi_C: \pi_1(C_{\infty}^{\text{an}}, y_0)$ equivariant

The following lemma shows that the image of the period map can be bounded below by monodromy.

- $M: \pi_1(C_{\infty}^{\text{an}}, y_0) \rightarrow \text{GL}(V_C)$
- $\Gamma \cong \text{Zariski closure of } \text{Im } M$

Lem 3.1: given a family $X \rightarrow Y$.

$$\Gamma \cdot h_0^t \subset \Phi_C(\pi_0)^{\text{Zar}} \subset T_C$$

Pf: $\mathcal{L}_C^{-1} Z$ of any alg. subvariety

$\Sigma \supset \Phi_C(\Gamma_C)$ is a complex analytic subvariety of $\tilde{\Gamma}_C^{\text{an}}$ containing Γ .

2. Because complex analytic open

is Zariski dense, $\overline{\Phi}_C^{-1}(\Sigma) = \tilde{\Gamma}_C^{\text{an}}$

$$\text{and } \overline{\Phi}_C^{-1}(\text{ch}_0^V) = \overline{\Phi}_C^{-1}(c_{\lambda_0} \cdot h_0^V)$$

$\Rightarrow \lambda_0 \cdot h_0^V \subset \Sigma$.

$\Rightarrow \Gamma \subset \Sigma$.

v-adic analogue.

$$H_{dR}^q(X_S/\kappa_v) \cong H_{dR}^q(X_{S_0}/\kappa_v)$$

therefore we get a point under

$$\Phi_v : \Omega_v \rightarrow H^q(\kappa_v).$$

$$\Omega_v := \{ y \in Y(\Omega_v) : j = j_0 \text{ mod } v \}$$

* Φ_v and $\overline{\Phi}_C^{\text{an}}$ have the same dimension.

Lemma 3.2.: given power series

$B_0, \dots, B_N \in K[[z_1, \dots, z_n]]$ s.t.

all B_i abs. convergent, no common zeros. brch in U adic disc U_U ,
and U_C .

Set. $\underline{B}_U : U_U \rightarrow \mathbb{P}_{k_U}^N$

$\underline{B}_C : U_C \rightarrow \mathbb{P}_C^N$

\exists K -subscheme $Z \subset \mathbb{P}^N$

$Z_{k_U} = \underline{B}_U(U_U)$ zar. $\subset \mathbb{P}_{k_U}^N$,

$Z_C = \underline{B}_C(U_C) \subset \mathbb{P}_C^N$

do $Z_{k_U} = Z_C$

Lemma 3.1 + Lemma 3.2 -

\Rightarrow Lemma 3.3:

$\dim_{k_U} (\mathbb{P}_U(\Omega_U))^{\text{zar}} \geq \dim_C \Gamma \cdot h_C^U$

if $\mathcal{H}_U^{\text{bad}} \subset H_0$ is Zariski closed

of dimension $< \dim(F \cdot h_0^\vee)$

then $\mathbb{E}_U^{-1}(\mathcal{H}_U^{\text{bad}}) \subset$ proper k_U analytic
subset of \mathcal{L}_U .

Now we relate the p -adic Galois representation ρ_y to the periods,
for each $y \in U = \{y \in Y(0) \mid y \equiv y_0 \pmod{v}\}$.

ρ_y is crystalline, since we have
 D_y over X_y .

p -adic Hodge theory.

$\rho_y \in$ crys representation of Gal k_U on
 \mathbb{Q}_p vector spaces $\hookrightarrow (W, \phi, F)$
filtered isocrystalline

Crystalline Comparison.

$\ell_j \hookrightarrow H_{\text{dR}}^q(X_U/K_U, (\phi_U, \text{Hodge fil}))$

GM comparison \rightarrow [2]

$(V_U, \phi_U, \bar{\varrho}_U(g))$

proposition 3.4. $X \rightarrow Y$ smooth proper family, $V = H_{\text{dR}}^q(X_0/K)$ has flags ω_V

$\Phi_U: \{g \in Y(U): g = y_0\} \rightarrow H(K_U).$

$$\Gamma = (\pi_U \cdot h_0)^{\text{Zar}}$$

$$h_0 = \bar{\varrho}(y_0) \in K$$

Suppose

$$\dim_{K_U} (C(\phi_U^{[K_U : Q_p]})) < \dim \Gamma \cdot h_0^l$$

$C(\cdot)$ is the centralizer of \cdot)

$\subseteq \text{Aut}_{K_U}(V_U)$ of the K_U -linear operator $\phi_U^{[K_U : Q_p]}$,

Then $\{g \in Y(U): g = y_0, \text{ by semi-simple}\}$

is contained in a proper Ku-analyticity of the residue disk of $\mathcal{Y}(k_v)$ at y_0 .

Proof :

- Lemma 2.3 shows that \mathfrak{p}_y belongs to a finite set of isom classes.
- By previous discussion, $(V_v, \phi_v, \mathbb{Z}[\mathfrak{y}_v])$ also belongs to a finite set of isom classes, in the category of ...
Let $\{(V_v, \phi_v, h_v)\}$ be a set of presentations : we have

$$\mathfrak{g}_v(\mathfrak{y}) \in \bigcup \mathbb{Z}[\phi_v] \cdot h_v$$

$Z(\phi_v) \subset GL_{K_v}(V_v)$ consists of

elements which commute with ϕ_v ,

Now $Z(\phi_v) \subset Z(\phi_v^{[K_v : \mathbb{Q}_p]})$,

and the R.H.S is a K_v -alg.

sub.grp of $GL_{K_v}(V_v)$

by assumption, $\dim_{K_v}(Z(\phi_v^{[K_v : \mathbb{Q}_p]}))$
 $\leq \dim_{\mathbb{C}} \Gamma \cdot h_0^{[v]}$

so if y is contained in the
preimage of a ^{zar}closed subset that
satisfy Lemma 3.3.

\Rightarrow proper, K_v -analytic.