Sorting networks

# Odd-even mergesort

The odd-even mergesort algorithm was developed by K.E. BATCHER [Bat 68]. It is based on a merge algorithm that merges two sorted halves of a sequence to a completely sorted sequence.

In contrast to <u>mergesort</u>, this algorithm is not data-dependent, i.e. the same comparisons are performed regardless of the actual data. Therefore, odd-even mergesort can be implemented as a sorting network.

### Merge algorithm

The following algorithm merges a sequence whose two halves are sorted to a sorted sequence.

Algorithm odd-even merge(n)

**Input:** sequence  $a_0, ..., a_{n-1}$  of length n>1 whose two halves  $a_0, ..., a_{n/2-1}$  and  $a_{n/2}, ..., a_{n-1}$  are sorted (n a

power of 2)

Output: the sorted sequence

Method: if n>2 then

1. apply odd-even merge(n/2) recursively to the even subsequence  $a_0, a_2, ..., a_{n-2}$  and to the

odd subsequence  $a_1$ ,  $a_3$ , , ...,  $a_{n-1}$ 

2. comparison [i:i+1] for all  $i \in \{1, 3, 5, 7, ..., n-3\}$ 

else

comparison [0:1]

#### Correctness

The correctness of the merge algorithm is proved using induction and the <u>0-1-principle</u>.

If  $n = 2^1$  the sequence is sorted by the comparison [0 : 1]. So let  $n = 2^k$ , k > 1 and assume the algorithm is correct for all smaller k (induction hypothesis).

Consider the 0-1-sequence  $a = a_0$ , ...,  $a_{n-1}$  to be arranged in rows of an array with two columns. The corresponding mapping of the index positions is shown in Figure 1a, here for n = 16. Then Figure 1b shows a possible situation with a 0-1-sequence. Each of its two sorted halves starts with some 0's (white) and ends with some 1's (gray).

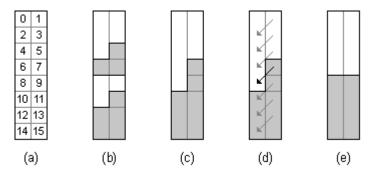


Figure 1: Situations during execution of odd-even merge

In the left column the even subsequence is found, i.e. all  $a_i$  with i even, namely  $a_0$ ,  $a_2$ ,  $a_4$  etc.; in the right column the odd subsequence is found, i.e. all  $a_i$  with i odd, namely  $a_1$ ,  $a_3$ ,  $a_5$  etc. Just like the original sequence the even as well as the odd subsequence consists of two sorted halves.

By induction hypothesis, the left and the right column are sorted by recursive application of *odd-even merge*(n/2) in step 1 of the algorithm. The right column can have at most two more 1's than the left column (Figure 1c).

After performing the comparisons of step 2 of the algorithm (Figure 1d), in each case the array is sorted (Figure 1e).

### **Analysis**

Let T(n) be the number of comparisons performed by *odd-even merge*(n). Then we have for n>2

$$T(n) = 2 \cdot T(n/2) + n/2 - 1.$$

With T(2) = 1 we have

 $T(n) = n/2 \cdot (\log(n)-1) + 1 \in O(n \cdot \log(n)).$ 

# Sorting algorithm

By recursive application of the merge algorithm the sorting algorithm odd-even mergesort is formed.

Algorithm odd-even mergesort(n)

**Input:** sequence  $a_0, ..., a_{n-1}$  (n a power of 2)

Output: the sorted sequence

Method: if n>1 then

1. apply odd-even mergesort(n/2) recursively to the two halves  $a_0$ , ...,  $a_{n/2-1}$  and  $a_{n/2}$ , ...,  $a_{n-1}$  of the sequence

2. odd-even merge(n)

Figure 2 shows the odd-even mergesort network for n = 8.

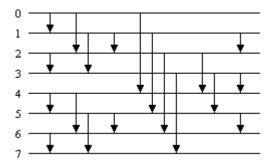


Figure 2: Odd-even mergesort for n = 8

The number of comparators of odd-even mergesort is in  $O(n \log(n)^2)$ .

## Program

An implementation of odd-even mergesort in Java is given in the following. The algorithm is encapsulated in a class *OddEvenMergeSorter*. Its method *sort* passes the array to be sorted to array a and calls function *oddEvenMergeSort*.

Function *oddEvenMergeSort* recursively sorts the two halves of the array. Then it merges the two halves with *oddEvenMerge*.

Function oddEvenMerge picks every 2r-th element starting from position lo and lo+r, respectively, thus forming the even and the odd subsequence. According to the recursion depth r is 1, 2, 4, 8, ....

With the statements

```
Sorter s=new OddEvenMergeSorter();
s.sort(b);
```

an object of type OddEvenMergeSorter is created and its method sort is called in order to sort array b. The length n of the array must be a power of 2.

```
public class OddEvenMergeSorter implements Sorter
    private int[] a;
    public void sort(int[] a)
    {
        this.a=a;
        oddEvenMergeSort(0, a.length);
    /** sorts a piece of length n of the array
        starting at position lo
    private void oddEvenMergeSort(int lo, int n)
        if (n>1)
            int m=n/2;
            oddEvenMergeSort(lo, m);
            oddEvenMergeSort(lo+m, m);
            oddEvenMerge(lo, n, 1);
        }
    }
    /** lo is the starting position and
        n is the length of the piece to be merged,
        r is the distance of the elements to be compared
    private void oddEvenMerge(int lo, int n, int r)
        int m=r*2;
        if (m<n)</pre>
            oddEvenMerge(lo, n, m);
                                          // even subsequence
            oddEvenMerge(lo+r, n, m);
                                          // odd subsequence
            for (int i=lo+r; i+r<lo+n; i+=m)</pre>
                compare(i, i+r);
        }
        else
            compare(lo, lo+r);
    private void compare(int i, int j)
        if (a[i]>a[j])
            exchange(i, j);
    private void exchange(int i, int j)
        int t=a[i];
        a[i]=a[j];
        a[j]=t;
     // end class OddEvenMergeSorter
```

#### Conclusions

There are other sorting networks that have a complexity of  $O(n \log(n)^2)$ , too, e.g. <u>bitonic sort</u> and <u>shellsort</u>. However, odd-even mergesort requires the fewest comparators of these. The following table shows the number of comparators for

n = 4, 16, 64, 256 and 1024.

	odd-even		
n	mergesort	bitonic sort	shellsort
4	5	6	6
16	63	80	83
64	543	672	724
256	3839	4608	5106
1024	24063	28160	31915

**Exercise 1:** Give the exact formula for T(n), the number of comparators of odd-even mergesort. Check your formula by comparing its results with the entries in the table above.

### References

[Bat 68] K.E. Batcher: Sorting Networks and their Applications. Proc. AFIPS Spring Joint Comput. Conf., Vol.

32, 307-314 (1968)

[Sed 03] R. Sedgewick: Algorithms in Java, Parts 1-4. 3rd edition, Addison-Wesley (2003)

Next: [Bitonic sort] or 📥

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