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ÚSTAV AUTOMATIZACE A INFORMATIKY

# DESIGN OF AN ADVANCED ROBOTIC CELL IN THE CONTEXT OF INDUSTRY 4.0

NÁVRH POKROČILÉ ROBOTICKÉ BUŇKY V KONTEXTU PRŮMYSLU 4.0

#### **DOCTORAL THESIS**

DIZERTAČNÍ PRÁCE

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# Abstract

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## Declaration of Authorship

I declare that I have written my doctoral thesis on the theme of "Design of Advanced Methods in the Field of Industrial Robotics, Fitting into the Concept of Industry 4.0" independently, under the guidance of the doctoral thesis supervisor, and using the technical literature and other sources of information, which are all quoted in the thesis and detailed in the list of literature at the end of the thesis.

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February 29, 2024	
Date	Ing. Roman Parák

# Preface

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# Acknowledgements

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CHAPTER 1

# Introduction

"An automated machine that does just one thing is not a robot. It is simply automation. A robot should have the capability of handling a range of jobs at a factory."

— Joseph Engelberger (1925 - 2015), "The Father of Robotics"

 $\mathrm{Text}\ \dots$ 

CHAPTER 2

# Current State in the Field of Industry 4.0

The following chapter presents the state-of-the-art in Industry 4.0, the basic vision of which was first presented in 2011 by Professor Wolfgang Wahlster at the Hannover Messe trade fair in Germany [1]. A detailed concept of the Fourth Industrial Revolution was later presented at the same fair in 2013 [2].

The chapter begins with a brief discussion of the history of the rise of the Fourth Industrial Revolution (Section 2.1). Subsequently, the chapter introduces the main characteristics of Industry 4.0 (Section 2.2) and the key pillars that form the basic concept of this industrial revolution (Section 2.3). As part of the description of the key pillars of Industry 4.0, due to the research focus of the work presented, the emphasis was primarily placed on system integration (Subsection 2.3.1), autonomous robotics (Subsection 2.3.2), and simulation (Subsection 2.3.3). The other key pillars were briefly presented (Subsection 2.3.4). The final part of the chapter focuses on predicting future trends in the industrial field (Section 2.4), which could mean a new revolution or, conversely, the evolution of the existing concept of Industry 4.0 with the improvement of its key pillars.

### 2.1 History of the Industrial Revolution

In this section, we briefly discuss the history of the rise of the Fourth Industrial Revolution (usually referred to as Industry 4.0) [3]. The historical process of industrial modernization, from the First to the Third Industrial Revolution, is thoroughly depicted in the book "The Industrial Revolution in World History" [4], and a brief review of these three revolutions can be found in [5, 6, 7]. The Fourth Industrial Revolution is discussed in the book "The Fourth Industrial Revolution" [8], but as a still relatively new area of research, it is more widely described in scientific publications (see [9, 10, 11, 12, 13]).

The historical process of the sequence of industrial revolutions with key pillars is depicted in Figure 2.1.

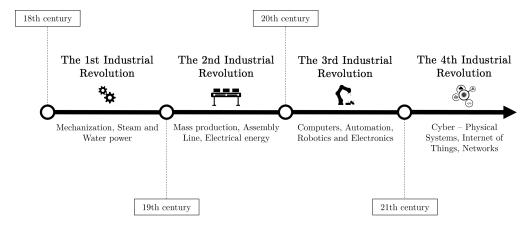


Figure 2.1: A visualization of the historical process of industrial revolutions that began in the 18th century and continues to the present day.

#### The First Industrial Revolution

The first phase of the Industrial Revolution began at the end of the 18th century, more precisely in 1760, and lasted until 1880.

The major milestones of the first industrial revolution include the invention of the steam engine and the development of steam power, as well as the use of turbine engines and water as power sources. The steam engine allowed the transition from agriculture to a new production process. This transition involved the use of coal as the main source of energy.

The combination of steam power and mechanized production caused a significant change in performance, not only in terms of the growth of the regional and global market economy but also in education, where the science and technology sector was inspired to restructure academic fields.

#### The Second Industrial Revolution

The second phase of the Industrial Revolution began in 1880 and lasted until 1950.

One of the major milestones of the first industrial revolution was the invention of the internal combustion engine. This invention facilitated technological advances in the industry, leading to rapid industrialization through the utilization of oil and electricity for mass production and assembly lines. A wave of systemic change has led to the belief that science and technology are the ways to a better life, and that progress is, in many ways, necessary. The revolution has brought fundamental changes in standardization and precision manufacturing, as well as large-scale technological infrastructure, such as electricity grids and new forms of public transport based on the internal combustion engine.

In addition to innovations such as the steamship, the telephone, and the gas turbine, the public developed a desire for goods, travel, and, not least, information, which were major factors in future development.

#### The Third Industrial Revolution

The third phase of the Industrial Revolution began in 1950 and lasted until 2010.

A characteristic feature of the Third Industrial Revolution was the implementation of electronics and information technology to automate production. With the advent of computers, the infrastructure was established, resulting in a significant change in information theory and the potency of data. Last but not least, new channels were created to share information. In many ways, the rapid advancement towards enhanced computing power has led to a more interconnected and complex problems that need to be addressed.

Innovations, such as programmable logic controllers and single / multiple purpose robotic systems, as well as the advancement of nuclear power, have opened the door to new areas of research, including space, robotics, and biotechnology.

#### The Fourth Industrial Revolution

The fourth phase, also called Industry 4.0, was first introduced in 2011 by Professor Wolfgang Wahlster at the Hannover Messe trade fair in Germany [1] and continues to the present day.

A characteristic feature of Industry 4.0 is the transformation of industrial production through the integration of digital and internet technologies, the utilization of cyber-physical systems, artificial intelligence techniques, augmented reality, physical simulation, additive technologies, and other key aspects to achieve the greatest possible flexibility in the production process.

A more detailed description of Industry 4.0, including the characteristics of the industrial concept and a brief introduction to the main pillars, is described in Section 2.2.

#### 2.2 Characteristics of the Fourth Industrial Revolution

As the title implies, the following section introduces the characteristics of the Fourth Industrial Revolution.

The main idea of the Industry 4.0 concept involves the integration of intelligent machines and systems into the manufacturing processes of industrial enterprises [14, 15]. The concept of the Fourth Industrial Revolution is based on the nine main pillars (see Fig. 2.2) [16, 17, 18], which together form the core idea of the digitization of industry. The aim of the concept is to increase work efficiency and personalization, which leads to flexibility in changes to the production of a designated range of products. The Fourth Industrial Revolution focuses not only on changes in technology development but also on the way people work and the utilization of their creativity in various industries. By increasing the level of information processing and evaluation through the integration

of AI techniques, the concept achieves improvements in various areas such as security, human-machine collaboration [19], predictive maintenance, visual inspection, etc. In addition to the industrial sector, where the Industry 4.0 initiative is an integral part, it also affects the development of education [20, 21], transportation, agriculture, and many other fields.

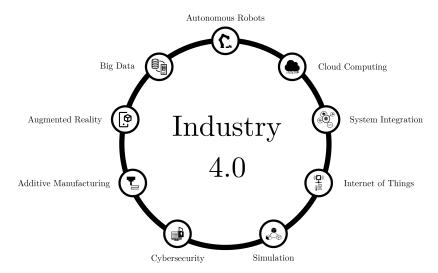


Figure 2.2: The nine main pillars of the concept of the Fourth Industrial Revolution.

Industry 4.0 encompasses six design principles in its characteristics [22, 23, 24], namely modularity, interoperability, etc. These principles are referred to as "design principles" because they contribute to the design or transition process from Industry 3.0 to Industry 4.0.

#### The main design principles of Industry 4.0

#### (a) Modularity

The principle of modularity refers to customization and adaptation to different requirements. This principle offers scalability, flexibility, and the ability to upgrade or replace specific components without affecting the entire system.

#### (b) Interoperability

The principle of interoperability refers to the fact that a cyber-physical system (CPS) comprises intelligent machines and intelligent storage systems and facilities capable of autonomously exchanging information, initiating actions, and controlling each other independently. This includes standardized communication protocols and data formats to ensure compatibility between different components and technologies.

#### (c) Decentralization

The principle of decentralization refers to the fact that different components and machines can make autonomous decisions based on real-time data, reducing the need for a central controller. Tasks are delegated to a higher level only in cases of failure.

#### (d) Real-time capability

The principle of real-time capability refers to the ability of systems, manufacturing processes, and intelligent machines to operate and respond to events in real-time or near-real-time.

#### (e) Virtualization

The principle of virtualization refers to the creation of virtual representations or simulations of physical entities, processes, or systems within the industrial environment. Sensor data is linked to virtual plant models and simulation models. Thus, a virtual copy of the physical world can be created.

#### (f) Service orientation

The principle of service orientation emphasizes the organization and delivery of functionality as services, marking a shift from merely selling products to offering integrated products and services that provide more value to the customer. This involves the use of SOA architecture (Service-Oriented Architectures).

### 2.3 Main Pillars of Industry 4.0

In this section, we briefly introduce the main pillars of the Fourth Industrial Revolution, as illustrated in Figure 2.2 from the previous section. Since some key pillars, such as system integration, autonomous robots, and simulation, are more crucial than others for the practical implementation of the presented thesis, we will pay more attention to them.

As we can see in [14, 15, 18, 25], the key pillars of Industry 4.0 do not include AI techniques such as machine learning, deep learning, etc. AI technologies are still a relatively new field in practical applications that have not been sufficiently tested to be incorporated into the pillars that form the Fourth Industrial Revolution. On the other hand, machine learning, deep learning, and other AI techniques can be found as important components in most of the key areas mentioned above.

#### 2.3.1 System Integration

In the Industry 4.0 landscape, system integration plays a crucial role in the transformation of manufacturing processes into interconnected, intelligent, and efficient systems. System integration helps incorporate various technologies within the manufacturing process, including the Internet of Things (IoT), artificial intelligence techniques, cloud computing, robotics, single / multiple purpose machines, and cyber-physical systems. It ensures

interoperability and real-time communication between different elements within an intelligent manufacturing environment.

There are three dimensions of system integration within Industry 4.0 [25]: vertical integration, horizontal integration, and end-to-end integration.

#### (a) Vertical Integration

Vertical integration involves the seamless interconnection and collaboration between different levels of the production process hierarchy. The concept of vertical integration refers to the interconnectedness of the entire industrial enterprise, i.e., all logical levels within the organization, from the production floor to the research department, product management, quality assurance, sales department, and so on. This type of integration refers to flexible and reconfigurable systems within the factory and the extent to which they are fully integrated with each other.

#### (b) Horizontal Integration

Horizontal integration involves the sharing of data outside the organization, i.e., from suppliers through manufacturers to distribution, to the end customer, and subsequent service. This type of integration facilitates the exchange of information and resources between different entities within a specific phase of the production process.

#### (c) End-to-End Integration

The goal of end-to-end integration is to create a seamless and interconnected flow of information and processes along the entire value chain, from the initial design phase to the delivery of the final product to the customer. This type of integration applies to all engineering processes throughout the product lifecycle.

Considering the fact that vertical system integration plays a role in supporting seamless interoperability and optimal interconnection between different components within Industry 4.0, which is an integral part of the presented thesis, we will briefly describe the most commonly used Ethernet-based communication protocols.

#### Ethernet POWERLINK

EtherNet/IP (EtherNet/Industrial Protocol) [26] is one of the most widely used standards that provides users with the tools to deploy standard Ethernet technology (IEEE 802.3 combined with the TCP/IP Suite) in industrial automation applications. The industrial protocol was developed as a result of the collaborative efforts of organizations such as ODVA (Open DeviceNet Vendor Association), ControlNet International, and Rockwell Automation.

EtherNet/IP is a fully compatible industrial protocol according to the IEEE 802.3 standard, which utilizes a standard communication model with a solution at the application layer. Compliance with IEEE Ethernet standards provides a network interface with speeds ranging from 10 Megabits per second (Mbps) to 1 Gigabit per second (Gbps),

which can be adjusted to meet user requirements. Additionally, it offers a flexible network architecture that is compatible with commercially available Ethernet installations. Within the EtherNet/IP network, individual nodes are assigned device types with specific characteristics and functions. The assigned device types and the communication network's application layer are created by the Common Industrial Protocol (CIP), which is used in industrial communications such as DeviceNet and ControlNet, employing a producer-consumer communication principle. The use of the CIP protocol achieves interoperability among all networks that support this protocol.

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#### PROFINET

PROFINET (Process Field Network) [27, 28] is one of the most widely used industrial communication protocols that plays a crucial role in real-time communication and data exchange in control systems. The industrial protocol was developed and standardized by PROFIBUS & PROFINET International (PI), designed to meet the demanding requirements of modern industrial environments. The PROFINET communication standard can be used to control manufacturing with various elements of industrial automation, such as linking the production process control to collect data from individual sensors capable of processing information at 100 Mb/s, based on ISO/IEC 8802.3.

PROFINET can be divided into the so-called Profinet CBA (Component Based Automation), which is used for modular systems such as Programmable Logic Controllers (PLCs), sensors, etc., and Profinet IO, which is used for distributed field devices. Both Profinet IO and Profinet CBA systems can operate simultaneously on the same network

and can be implemented in the same communication station. The industrial PROFINET network can communicate in real-time (RT) at a speed defined by the cycle time.

#### OPC UA

OPC UA (Open Platform Communications Unified Architecture) [29] is an international standard for secure, reliable, interoperable, and platform-independent industrial communication in the context of the Fourth Industrial Revolution. It provides a solid foundation for modern industrial automation and control systems. The OPC UA industrial standard was published in 2008 and was standardized by the OPC Foundation [30] with the IEC 62541 norm.

It supports different communication patterns, including request/response and publish/subscribe, which can be adapted to different levels of real-time requirements. The real-time performance of OPC UA depends on several factors, including the network infrastructure, system load, and the specific configuration of the OPC UA application. In applications where strict real-time requirements are critical, additional measures may need to be taken, such as implementing Quality of Service (QoS) mechanisms, or optimizing network settings. Although OPC UA supports real-time communication, it is not a real-time protocol. The speed at which OPC UA can communicate depends on several factors, including network conditions, system load, and specific implementation details of OPC UA server and client applications.

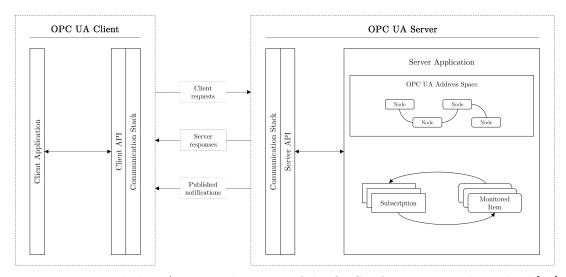


Figure 2.3: Typical client/server architecture of the OPC UA international standard [30].

The OPC UA standard is designed for seamless vertical integration in automation systems and allows any combination of client/server components at different levels of the automation hierarchy for a specific application. It also uses object-oriented techniques to model information, which are represented in the OPC UA address space.

#### 2.3.2 Simulation

Simulation, specifically simulation tools, can be used in production processes to leverage real-time data and imitate the behavior of the physical world in a virtual model, known as the digital twin. The virtual model can include machines, sensors, products, and even people, resulting in increased productivity and quality of production. Using simulation tools, it is possible to optimize machine settings in a virtual environment before implementing them in the physical world. Simulations can be created for both 2D and 3D spaces, allowing us to optimize the production process, improve product quality, and, most importantly, prevent collisions and unexpected situations. The use of advanced simulation, which includes a physical representation of individual parts within the production process, can not only increase the efficiency, safety, and quality of production, but can also prevent production failures.

#### Digital Twin

The term digital twin has evolved over the years, but with the advent of the Fourth Industrial Revolution, it has become more generalized. In the context of Industry 4.0, a digital twin is a virtual representation of a physical object, system, or process. The virtual model is created through the integration of real-time data from sensors, devices, and other sources connected to the physical entity. The digital twin mimics the physical object in terms of its structure, behavior, and performance, and enables simulation, analysis, and monitoring throughout the entire lifecycle, i.e. from design and manufacturing to operation and maintenance.

According to [31, 32], digital twins can be divided into three subcategories (shown in Figure 2.4) based on the level of data integration. In the following text, all the major subcategories are briefly described: the digital model, the digital shadow, and the digital twin.

#### (a) Digital Model

A digital model is a representation of a physical object in digital form without automated data flow between the physical and digital objects. In other words, a change in the state of the physical object does not directly affect the digital object and vice versa.

#### (b) Digital Shadow

A digital shadow is a digital representation of an object with a unidirectional data flow between the physical and digital objects. In other words, a change of state in the real world is reflected in the virtual world, but not vice versa.

#### (c) Digital Twin

A digital twin is a fully integrated representation of a physical object in both directions, i.e. the data flow between the physical object and the digital object is bidirectional. A change in the state of the physical object leads directly to a change in the state of the digital object, and vice versa.

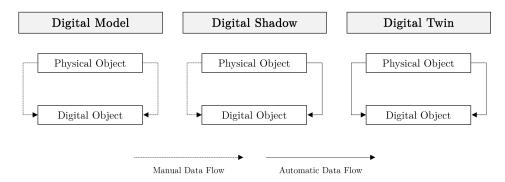


Figure 2.4: Three subcategories based on the data integration level of the digital twin model.

An article by Deloitte [33] provides a conceptual architecture that explains how the digital twin works in general (see Figure 2.5). It consists of five enabling components, including sensors, data, integration, analytics, and actuators, and a six-step process that includes creating, communicating, aggregating, analyzing, gaining insights, and taking action. Sensors and actuators are located in a physical space, while data analysis is performed in a virtual space. Integration technologies must be used to enable seamless data communication between the physical and virtual worlds. The conceptual architecture consists of a sequence of six operational steps based on digital twins: Create, Communicate, Aggregate, Analyze, Insight, and Act. More information about the conceptual architecture can be found in [34] and [35].

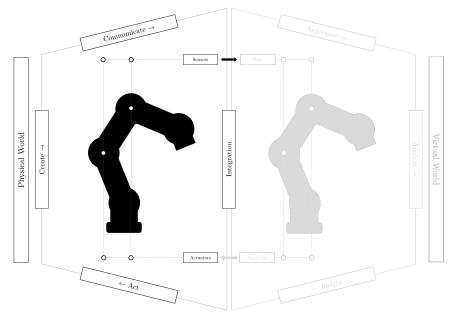


Figure 2.5: A conceptual architecture, consisting of five basic components and a six-step process, illustrates the general functioning of a digital twin.

#### 2.3.3 Autonomous Robots

In the context of Industry 4.0, autonomous robotics is a key component. It is used mainly in the industry to address complex and dangerous tasks that are not suitable for humans. Additionally, autonomous robotics is used to replace stereotypical tasks traditionally performed by humans, thereby preventing the under-utilization of human potential. The use of robots in industrial enterprises is growing exponentially [36], as they significantly increase productivity, efficiency, repeatability, and human safety. Robots as autonomous systems can be used in various industries, such as manufacturing, agriculture, healthcare, etc., to perform a variety of tasks, including intelligent sorting, safe interaction, and visual inspection.

A more detailed description of the principles of autonomous robotics, including the methods used for motion planning and control, is discussed in Section 4.

#### 2.3.4 Other Pillars

In addition to the technological pillars already described, there are other key aspects of the Fourth Industrial Revolution that are necessary to maintain the basic concept.

#### **Cloud Computing**

Cloud computing is one of the crucial components of Industry 4.0 because it allows industrial enterprises to manage and visualize data in real-time with minimal interaction with service providers. The restrictions on individual companies are minimized as the industrial revolution promotes increased data sharing between workplaces, driven by the imperative to optimize production. Cloud computing facilitates user mobility, resource conservation, and distributed data analytics to address various network-related issues. It also supports decentralization and intelligent processing of data generated by various Internet of Things (IoT) devices that integrate the physical world into cyberspace.

There are three main cloud service models [18, 37]: Infrastructure as a Service (IaaS), Platform as a Service (PaaS), and Software as a Service (SaaS).

(a) Infrastructure as a Service (IaaS)

The IaaS cloud service model provides virtualized computing resources over the internet, including virtual machines, storage, and networking.

(b) Platform as a Service (PaaS)

The PaaS cloud service model offers a platform that includes not only the underlying infrastructure, but also the development tools and services needed to build, deploy, and manage applications.

(c) Software as a Service (SaaS)

The SaaS cloud service model provides software applications over the internet on a subscription basis. Users can access these applications through a web browser.

#### Internet of Things

The Internet of Things (IoT), specifically the Industrial IoT, deals with the industrial communication of interconnected and uniformly addressed objects (i.e. industrial devices) that communicate via standard protocols. In general, the IoT can provide advanced interconnection of systems, services, physical objects, as well as enable communication between industrial devices and the sharing of large amounts of data. By implementing IoT in industrial enterprises, the company can achieve greater integrability, agility, and competitive advantages.

The Internet of Things (IoT) consists of the Internet of Services (IoS), the Internet of Manufacturing Services (IoMs), the Internet of People (IoP), embedded systems, and the Integration of Information and Communication Technology (IICT) [25].

#### Cybersecurity

Cybersecurity is one of the most crucial components of Industry 4.0, and it can have a significant impact on the business environment due to various attacks. With increasing connectivity and the use of standard communication protocols (see Subsection 2.3.1) that accompany the Fourth Industrial Revolution, the need to protect critical industrial systems and production lines from cybersecurity threats increases dramatically. The key aspect of cybersecurity is secure and reliable communication, along with sophisticated machine access management. Securely connecting both the physical and digital worlds can enhance the quality of information required for planning, optimization, and production.

Closely related to cybersecurity is the concept of the Cyber-Physical System (CPS), which plays a crucial role in Industry 4.0. CPS has been defined as a system in which the physical world is fully integrated with computing, communication, and control systems, collectively referred to as the digital world. The main characteristics of CPS are decentralization and automatic control of the production process. The interconnection of the physical world, the service world, and the digital world can improve the quality of information needed for the planning, optimization, and operation of production systems.

#### Additive Manufacturing

Additive manufacturing, also defined as 3D printing, is considered the process of manufacturing various parts from computer-created 3D models. It deals with the production of small series of customized products according to customer requirements, offering construction advantages such as complex and lightweight designs. By eliminating the demanding technological preparation of production, shortening the construction process, and utilizing prototypes instead of finished products, the time required to bring the product to market can be reduced. Accurate estimation of the amount of material and simulation of the production process result in optimization of order management. Considering that the production process involves gradually adding material, it is possible to determine its consumption with relative precision.

Additive technologies enable the production of various types of structurally complex parts without the need to reconfigure the machine and also without the need for complex software modification. The production process using additive technologies can adapt the product to the specific needs of the customer.

#### Augmented Reality

Augmented reality is defined as an interactive technology that allows connecting the physical world with the virtual one, while the virtual world is used as a part of the real environment. This technology in industrial enterprises enables human-machine interaction, machine and equipment maintenance, visual product inspection, etc. In addition to navigation systems, a key aspect of augmented reality is spatial data, which enables the connection of various information to a specific location. By combining a computer-generated environment and physical objects, it could be used in many applications, as creativity knows no limits.

From a technical point of view, the augmented reality system must solve two spatial problems in real-time, which consist of the localization of the user and his spatial vision. Augmented reality uses a combination of sensors (gyroscope, accelerometer, etc.) and computationally intensive machine vision algorithms based on artificial intelligence techniques.

#### Big Data

The concept of big data applies to large, diverse, and complex amounts of data that influence the organizational decision-making processes of industrial enterprises. According to Forrester's definition [38], Big Data consists of four dimensions: (1) the amount of data, (2) the variety of data, (3) the speed of generation of new data and analysis, and (4) the value of data. The volume of data in the industry is growing exponentially, thereby increasing the potential amount of usable information. However, the ability to collect and comprehensively evaluate information is necessary for further development. Therefore, the increase in the level of data and the improvements in technological capabilities accelerate the increase in productivity and innovation.

In the context of Industry 4.0, big data analytics is beneficial in several areas of an industrial enterprise. It aids in predictive maintenance, improving equipment service, optimizing production quality, and, last but not least, saving energy. Analysis of previously recorded data is used to detect threats that have occurred in various production processes and to predict new problems that may arise, in order to prevent them.

### 2.4 Forecasting the Future Trends of the Industry

Predicting the future of any industry involves a degree of uncertainty, as it depends on a variety of factors, including technological advances, economic conditions, regulatory changes, consumer preferences, and, last but not least, global crises. In this section, we present the key trends and research areas that are likely to shape the future of the industry.

Although the era of Industry 4.0 is still in its development phase, some scientific publications (see [39, 40, 41]) are already predicting the next step that will lead to improvements such as sustainability, modularity, efficiency, human-centered solutions, and universality. The next phase can be the next industrial revolution, referred to as Industry 5.0, or the evolution of the existing Industry 4.0 with the enhancement of its main pillars.

One of the most discussed terms in the future development of the industrial segment will undoubtedly be artificial intelligence (AI) techniques, which will be integrated into the improvement of individual technologies within the overall concept. Autonomous robots will be even more important due to the fact that it will be necessary to increase productivity without removing human workers from manufacturing [42, 43]. The use of advanced physical simulations [44], which will contain a fully integrated representation of both the physical and virtual worlds, will become a crucial part. Last but not least, supply chain assessment and optimization in smart and sustainable manufacturing processes, along with the transformation of data driven by IoT, Big Data, and the use of cloud computing.

The next revolution, or evolution, can be more flexible, scalable, and adaptable to humans through the use of improved technology. Efficient and modular system integration, higher levels of robotic automation with a focus on autonomy, the power of human creativity, and more, will lead to increased productivity.

### **Kinematics**

Text ...

#### 3.1 Coordinate Transformations

The study of kinematics for robotic manipulators or objects generally focuses on characterizing motions without considering forces and moments. Specifically, the dynamics of the robotic system is neglected in this area of research. In this context, coordinate transformations play a central role [45, 46, 47], referring to mathematical techniques used to describe the position and orientation of rigid bodies in different coordinate systems. These transformations are essential for accurate modeling and control of robot motions because they facilitate the conversion of coordinates from one reference frame to another. This feature provides a method for analyzing and predicting the robot's spatial configuration.

In the context of Euclidean space, the pose of an object includes its position and orientation. For a rigid object, the required pose coordinates are defined by at least six parameters. Many representations of spatial pose use sets of redundant coordinates, where there are auxiliary relations between the coordinates. The number of independent auxiliary relations is the difference between the number of coordinates in the set and the six. The poses of an object are described with respect to the coordinate reference frame, which consists of an origin of the frame i, denoted  $O_i$ , and a set of three orthogonal basis vectors, denoted  $(\vec{x}_i, \vec{y}_i, \vec{z}_i)$ , all fixed within a specific body [45]. The pose of a body is consistently expressed relative to another body, conveyed as the pose of one coordinate frame relative to another.

This section is a compilation of content from various sources, including both scientific articles (see [48, 49, 50, 51]) and book publications (see [45, 46, 47]).

#### 3.1.1 Representation of Position

In a three-dimensional Euclidean space, the position, also called translation, of the origin of the coordinate frame i relative to the coordinate frame j, can be expressed by the vector  ${}^{j}\mathbf{p}_{i} \in \mathbb{R}^{3}$ .

$${}^{j}\mathbf{p}_{i} = \begin{bmatrix} {}^{j}p_{i}^{x} \\ {}^{j}p_{i}^{y} \\ {}^{j}p_{i}^{z} \end{bmatrix}. \tag{3.1}$$

The individual components of this vector are the Cartesian coordinates of the origin  $O_i$  in the coordinate frame j, which represent projections of the vector  ${}^{j}\mathbf{p}_{i}$  onto the corresponding axes x, y, and z.

#### 3.1.2 Representation of Rotation

The concept of expressing a rotation in three-dimensional space as a mathematical formulation is considerably broader than the straightforward representation of position. According to Euler's rotation theorem, any arbitrary rotation of a rigid body in a three-dimensional space can be represented as a single rotation about a fixed axis. Based on this statement, we can conclude that any rotation can be described by at least three parameters.

There are several ways of expressing rotation in three-dimensional space, some involving more than three parameters, although all ways of expressing rotation are described by three degrees of freedom. In the field of robotic manipulators, rotation matrices, Euler angles, and quaternions are the most commonly used. Each of these representations will be briefly described in the following sections.

#### **Rotation Matrices**

In a three-dimensional Euclidean space, the orientation of the coordinate frame i relative to the coordinate frame j can be denoted by expressing the basis vectors  $(\vec{x}_i, \vec{y}_i, \vec{z}_i)$  of the frame i in terms of the basis vectors  $(\vec{x}_j, \vec{y}_j, \vec{z}_j)$  of the frame j. In this way, we obtain a so-called rotation matrix  ${}^j\mathbf{R}_i$ , in which each component is the scalar product of the basis vectors of both the i and j coordinate frames, denoted  $({}^j\vec{x}_j, {}^j\vec{y}_j, {}^j\vec{z}_j)$ .

$${}^{j}\mathbf{R}_{i} = \begin{bmatrix} \vec{x}_{i} \cdot \vec{x}_{j} & \vec{y}_{i} \cdot \vec{x}_{j} & \vec{z}_{i} \cdot \vec{x}_{j} \\ \vec{x}_{i} \cdot \vec{y}_{j} & \vec{y}_{i} \cdot \vec{y}_{j} & \vec{z}_{i} \cdot \vec{y}_{j} \\ \vec{x}_{i} \cdot \vec{z}_{j} & \vec{y}_{i} \cdot \vec{z}_{j} & \vec{z}_{i} \cdot \vec{z}_{j} \end{bmatrix}.$$

$$(3.2)$$

The basis vectors are equal to unit vectors, and the scalar product of any two unit vectors is the cosine of the angle between these vectors. The components of this angle are called directional cosines.

The rotation of frame i about the  $\vec{x}_j$  axis through an angle  $\theta$  can be expressed by the rotation matrix with the values

$$\mathbf{R}_{x}(\theta) = \begin{bmatrix} 1 & 0 & 0\\ 0 & \cos \theta & -\sin \theta\\ 0 & \sin \theta & \cos \theta \end{bmatrix}, \tag{3.3}$$

while the same rotation about the  $\vec{y}_j$  axis can be expressed by the rotation matrix with the values

$$\mathbf{R}_{y}(\theta) = \begin{bmatrix} \cos \theta & 0 & \sin \theta \\ 0 & 1 & 0 \\ -\sin \theta & 0 & \cos \theta \end{bmatrix}, \tag{3.4}$$

lastly, the  $\vec{z}_i$  axis can be expressed by the rotation matrix with the values.

$$\mathbf{R}_{z}(\theta) = \begin{bmatrix} \cos \theta & -\sin \theta & 0\\ \sin \theta & \cos \theta & 0\\ 0 & 0 & 1 \end{bmatrix}. \tag{3.5}$$

The general three-dimensional rotation is achieved by combining the above rotation matrices into one matrix through matrix multiplication. Since matrix multiplication is non-commutative, it is important to consider the order of the rotations, as follows:

$$\mathbf{R}_x, \mathbf{R}_y, \mathbf{R}_z \neq \mathbf{R}_z, \mathbf{R}_y, \mathbf{R}_x \tag{3.6}$$

This statement implies that rotation about the x, y, and z axes does not produce the same rotation as rotation about the z, y, and x axes. This property is consistent with the fact that rotations are also non-commutative.

The rotation matrix  ${}^{j}\mathbf{R}_{i}$  contains nine elements (see Eq. 3.2), while only three parameters are needed to define the orientation of an object in a three-dimensional Euclidean space. Therefore, there are six additional auxiliary relations between the elements of the matrix. Since the basis vectors of both coordinate frames i and j are mutually orthonormal, the columns of  ${}^{j}\mathbf{R}_{i}$  formed by the scalar products of these vectors are also mutually orthonormal. A matrix composed of mutually orthonormal vectors is called an orthogonal matrix and has the property that the inverse matrix of rotation is equal to the transpose matrix of rotation.

$${}^{j}\mathbf{R}_{i}^{-1} = {}^{j}\mathbf{R}_{i}^{T} \tag{3.7}$$

This property provides the six auxiliary relations. Three of them require that the column vectors have unit length, and three require that the column vectors be mutually orthogonal.

### **Euler Angles**

The orientation of the coordinate frame i relative to the coordinate frame j can be represented as a vector of three independent coordinates  $(\alpha, \beta, \gamma)$ , commonly known as Euler angles. These coordinates, employed in the Euler angle representation, describe three consecutive rotations about an axis of a moving coordinate frame. As the order of rotation about the axes is essential, the specification of rotations for Euler angles must always be accompanied by an appropriate convention, providing a detailed description of the axes.

Assuming that the moving frame i and the fixed frame j are initially coincident, the Euler angles of the Z-Y-X convention can be obtained by three successive rotations in the order  $\alpha$ ,  $\beta$ ,  $\gamma$ , corresponding to rotations about the z, y, and x axes of the frame i. In terms of the basic rotation matrices, the resulting rotational transformation of the Z-Y-X convention can be formed as the product of:

$${}^{j}\mathbf{R}_{i}(\alpha,\beta,\gamma) = \begin{bmatrix} c_{\alpha}c_{\beta} & c_{\alpha}s_{\beta}s_{\gamma} - s_{\alpha}c_{\gamma} & c_{\alpha}s_{\beta}c_{\gamma} + s_{\alpha}s_{\gamma} \\ s_{\alpha}c_{\beta} & s_{\alpha}s_{\beta}s_{\gamma} + c_{\alpha}c_{\gamma} & s_{\alpha}s_{\beta}c_{\gamma} - c_{\alpha}s_{\gamma} \\ -s_{\beta} & c_{\beta}s_{\gamma} & c_{\beta}c_{\gamma} \end{bmatrix},$$
(3.8)

where c and s are abbreviations of the cosine and sine functions.

However, significant disadvantages of Euler angles, such as susceptibility to gimbal lock and low accuracy in integrating incremental changes over time, have led researchers to use alternative rotation representations, such as quaternions, to effectively represent rotations.

#### Quaternions

#### 3.1.3 Homogeneous Transformations

### 3.2 General Representation of Robotic Manipulators

Robotic manipulators generally consist of links (rigid bodies) connected by joints that allow controlled (linear) or free relative motion, forming a so-called kinematic chain [52] (see Fig. 3.1). The joints are usually represented as revolute (rotational motion) or prismatic (translational motion) and serve as a connection between two links. Equally important components in the representation of a robotic manipulator are the base, which is typically connected to the ground and directly linked to the world coordinate system, and the end-effector, which is the last link of the robotic structure and can be used to hold tools.

#### 3.2.1 Degree of Freedom

The Degree of Freedom (DoF) refers to the number of independent motions or variables through which a rigid object can move within a defined space. The number of DoF is, therefore, equal to the dimension of the configuration space. A rigid object in

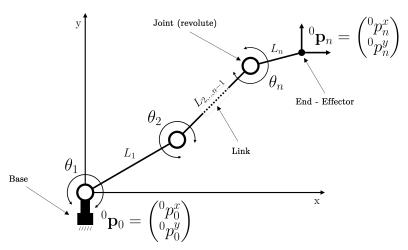


Figure 3.1: A simple representation of an n-link robotic manipulator in two-dimensional space, which describes of the base parts of the robotic structure.

two-dimensional space has 3 DoF (two for translation and one for rotation), while in three-dimensional space, it has 6 DoF (three for translation and three for rotation), as we can see in Fig. 3.2.

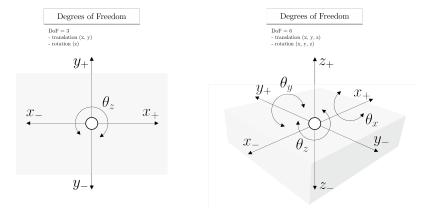


Figure 3.2: The number of degrees of freedom of the rigid body in two-dimensional (left) and three-dimensional (right) space.

In robotics, DoF refers to the number of independent motions a manipulator can perform, determined by the total number of joints and the constraints imposed by the robot's design [53]. The concept of degrees of freedom is critical to understanding and designing robotic systems, especially robotic arms and manipulators. The degrees of freedom in a robotic system can be divided into two main parts: translational and rotational. The translation part refers to linear motion along a specific axis, which allows the robot to move in a straight line. The rotation part refers to the rotational motion about a specific axis, which allows the robot to change its orientation. A higher degree

of freedom increases the amount of redundancy for available variable joint configurations and leads to mathematical problems such as singularities, suboptimal extrema, etc. [54] Robotic manipulators with fewer DoFs can be described as under-articulated, and manipulators with more than six DoFs can be described as over-articulated, or more precisely, kinematically redundant.

### 3.2.2 Types of Joints

Joints are crucial components of the robotic structure that enable robotic systems to achive movement and flexibility. They are designed to mimic the range of motion found in natural limbs, providing the robot with the ability to perform diverse tasks. There are various types of robot joints available to define the either translational or rotational motion of the individual segments. The most common types of robot joints are [53]: revolute, prismatic, helical, cylindrical, universal, and spherical.

The revolute joint, also known as a hinge joint, allows rotational motion about a particular axis. The prismatic joint, also known as a linear joint, allows translational motion along the direction of a specific axis. The helical joint, also known as a screw joint, allows simultaneous rotation and translation about a screw axis. All of these types of joints have one degree of freedom. The cylindrical joint has two degrees of freedom, allowing independent translation and rotation about a single fixed joint axis. The universal joint, like the previous one, has two degrees of freedom and consists of a pair of revolute joints arranged so that their joint axes are orthogonal. The spherical joint, also known as a ball-and-socket joint, has three degrees of freedom and functions similarly to the human shoulder joint.

### 3.2.3 Workspace

The workspace of a general-purpose robotic manipulator can be described as the total volume covered by the end-effector when the manipulator performs all possible motions. The workspace is represented by the geometry of the manipulator and the movement limits of each joint. It is often defined in two categories [45, 52]: reachable workspace and a dexterous workspace. The reachable workspace is the total set of points that can be reached by the end-effector of the robot manipulator, while the dexterous workspace is a subset of these points with arbitrary end-effector orientation.

Most serial-chain robotic manipulators are divided into regional and orientational structures [45]. The joints within the regional structure determine the position of the end-effector in space, and the joints within the orientation structure determine the orientation of the end-effector. The inner joints of a serial-chain robot manipulator contain the regional structure, while the outer joints contain the orientation structure. The volume of the regional workspace can be computed using either the known geometry of the serial-chain robot manipulator and the limits of joint motion [45], or a simplified version based on the Monte Carlo method [55].

- 3.3 Denavit-Hartenberg Convention
- 3.4 Forward Kinematics
- 3.5 Inverse Kinematics
- 3.5.1 Analytic Method
- 3.5.2 Numerical Methods

Singularities

3.5.3 Other Methods

HAPTER 4

## Motion Planning and Control

# CHAPTER 5

# Versatile Intelligent Robotic Workstation in the Context of Industry 4.0

### Conclusion

"If you want to improve something, you must first understand it. The combination of theoretical and practical knowledge is not an option, it is a must."

— Roman Parak

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# Appendix A: Activities Related to Doctoral Studies

# Appendix B: List of Scientific Publications

## Appendix C: Source Codes

"Active participation within the open-source community, not only as a user but also as a contributor, is essential to ensuring continued growth."

— Roman Parak