

Understanding ETL and ETL mapping to power the Gen3 Exploration Page

Gen3 Community Forum September 3, 2025











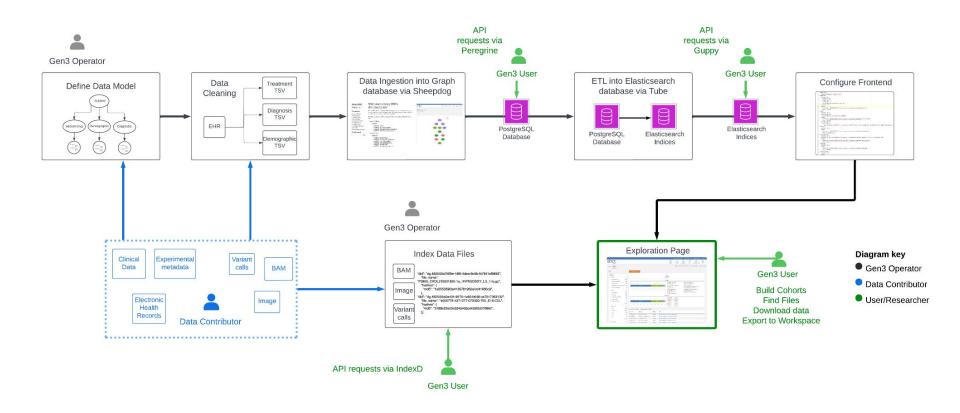
The Agenda



- Data submission overview
- Gen3 ETL (Tube)
 - Data model, ETL mapping, and searching for data all connected
 - How Tube creates ElasticSeach indices for Guppy to use
 - Review of demonstration data model
 - ETL mapping types and subtypes (with examples)
 - Troubleshooting Gen3 ETL

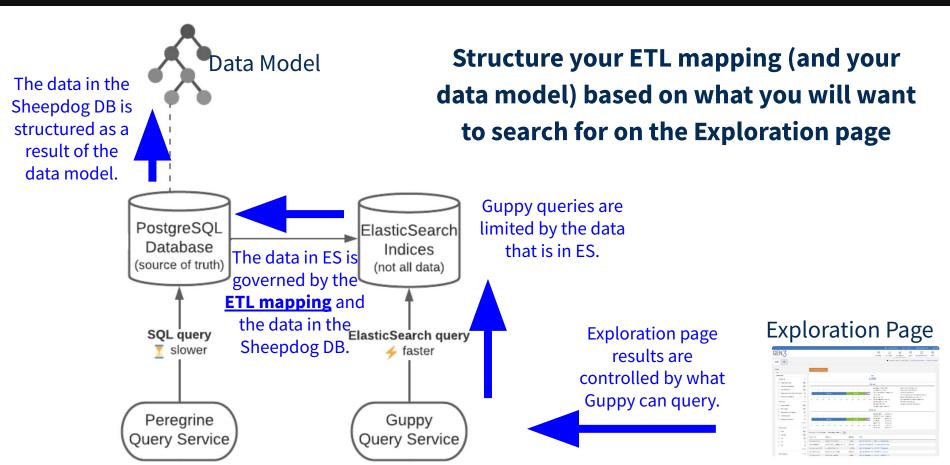
Overall data flow in a Gen3 data commons





Data Model, ETL Mapping, and Data Search

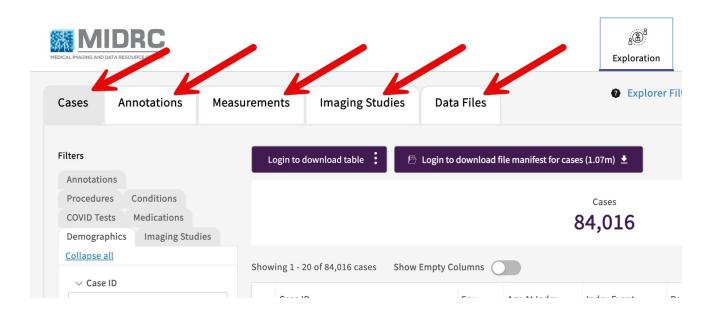




Data Model, ETL Mapping, and Data Search

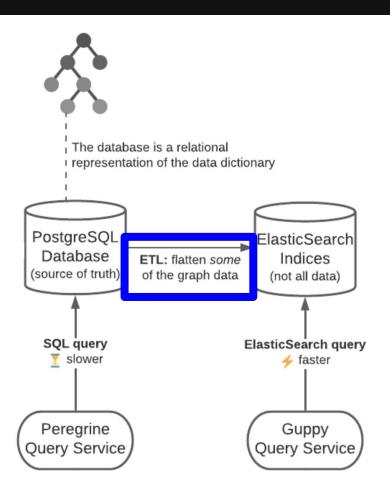


Each tab requires a separate index created in ETL



What is Tube? Why use it?





What is Tube?

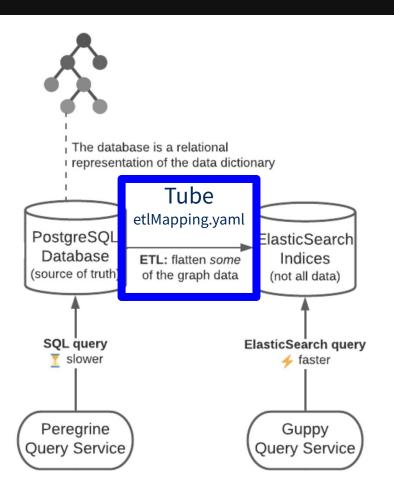
 Tube is the microservice that <u>travels across the</u> graph to <u>find and grab data</u> in a PostgreSQL DB, then <u>transforms it</u> into ElasticSearch (ES) <u>indexed documents</u> (indices)

Why use Tube (or any ETL)?

- The indices in ES allow the microservice Guppy to quickly and efficiently query data.
- Used by the front-end and other Gen3 services.

ETL - What does Tube do?

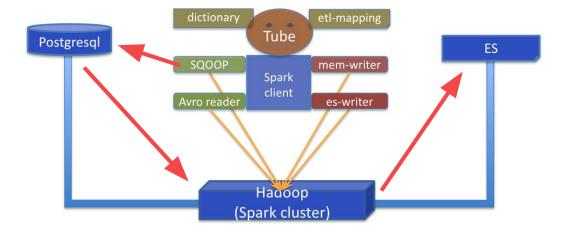




Tube <u>controls what data is gathered</u> in the ES indices by configuration through the <u>etlMapping.yaml file</u>, which tells Tube <u>which data tables and fields to ETL</u> from Sheepdog into ElasticSearch indices.

Tube Infrastructure and Mechanics





Tube (simplified)

- uses <u>SQOOP</u> to extract data from the Sheepdog PostgreSQL
 DB
- SQOOP temporarily dumps it into **Hadoop**
- Apache Spark then reads data from Hadoop and transforms it according to ETL config, creating indices in ElasticSearch.

ETL - Mapping Syntax Base Syntax



```
etlMapping:
       mappings:
       - name: my-data-commons_subject
         doc type: subject
         type: aggregator <or> collector
         root: <node in the graph>
         props:
         - name: roperty from node>
 9
           prop type from root>
         <additional mapping type>:
10
           - <attributes of mapping>
```

- Yaml based schema
- "-" is the start of a new concept and indentation preserves that concept
- Multiple props can be combined for flexibility in index creation

ETL - Mapping Syntax: Mappings



Mappings

For every mapping:

- You must specify the name the index will have <u>in ES</u>
 (name)
- You must specify the name that <u>Guppy will use</u> to query the index (**doc_type**)
- You must specify the type of mapping used for the index (type)

For type: aggregator

Must also indicate **root** node name in the DB

For type: collector

Indicate node category to collect properties from

```
etlMapping:
 mappings:
  - name: this_is_the_study_index
    doc_type: study
    type: aggregator
    root: study
    #cproperties to be mapped>
  - name: this_is_a_file_index
    doc_type: file
    type: collector
    category: data_file
    #cproperties to be mapped>
```

ETL - Mapping Syntax: Props



Properties (props)

 Props are all the fields that are expected to be in the final ElasticSearch index

For every property:

- You must specify the name the property will have in the ES index (name)
- If the prop name is different in the graph, you must also specify the name of the property in the source DB (src)
- You can use value_mappings to map new value names to the existing values in the DB

```
props:
- name: participant_gender
    src: gender
    value_mappings:
    - f: Female
    - m: Male
```

ETL - Mapping Functions



ETL mapping supports 6 functions (**fn**):

- fn: count counting how many nodes have values for that prop per case/root
- fn: max reporting the max value among records for that property for a case/root
- fn: min reporting the min value among records for that property for a case/root

- **fn: sum** reporting the sum of values for records for that property
- fn: list the full set of values (including any duplicates) for records for that property
- fn: set reporting all the unique values for records for that property

(you can use these in either aggregators or collectors)

ETL - Mapping Syntax: Mapping Types



Two types of mapping:

Aggregation (creates an aggregator)

Traveling from a single root node, <u>aggregators gather data from properties on connected</u> <u>nodes</u> into a single index in ES

Example: a <u>case aggregator</u> that collects selected clinical data properties from the case node through the clinical nodes on a data model

Injection (creates a collector)

Collectors travel across multiple <u>nodes of the same node category to gather data from shared</u> <u>properties</u> on the nodes, and "inject" a parent node's ID into downstream nodes for faster joining

Example: a <u>data file collector</u> that collects data from properties on many different file nodes

ETL - Aggregation Mapping Subtypes



Aggregation - travels from root to multiple connected nodes

General approaches for creating an aggregator index:

With a "Root" node in mind:

- flatten_props: Get props from lower nodes
- parent_props: Get props from upper nodes
- nested_props: Get props from multiple lower nodes

- aggregated_props: Add statistics into indices
- joining_props: Join properties between indices

ETL - Collector Mapping Syntax



Injection - collects props from nodes of the same category, injecting parent node ID into lower nodes

General approaches for creating a collector index:

With a "category" of node in mind:

injecting_props:

Properties from parent nodes are injected into an index made up of lower nodes of a specified category

ETL - Mappings Explanation and Examples



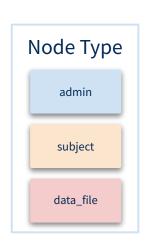
The following slides contain examples of each of the mappings subtypes

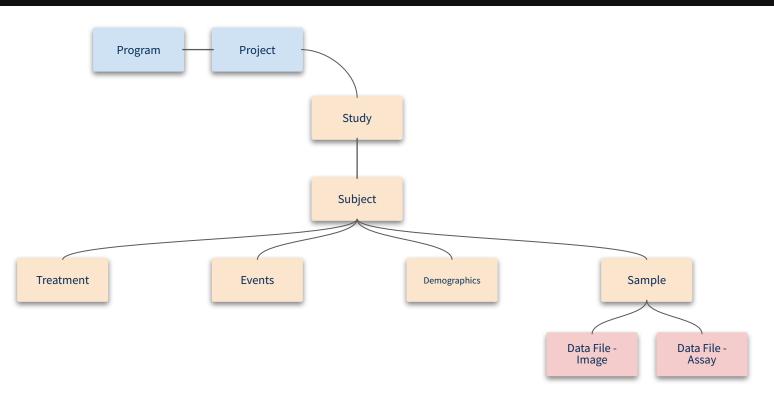
- For each mapping, there is a selection of the data model
- Example Mapping
- Example Index

For our purposes, indices will be displayed as tables where simple enough to do so, and as JSON where more complex.

ETL - Example Data Model

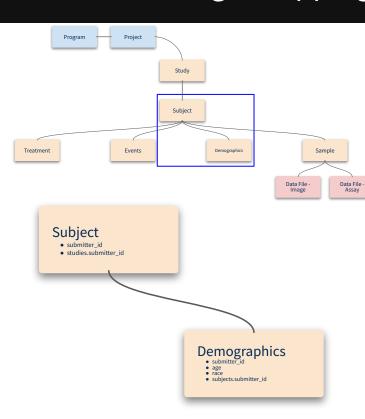






ETL - Creating a Mapping - Flatten Props (one-to-one)



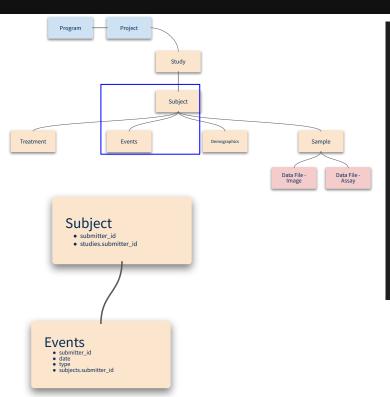


Example Index:

Subject.submitter_id	demographics.age	demographics.race
sub_123	18	Black or African American
sub_456	89	Asian

ETL - Creating a Mapping - Flatten Props (many-to-*)





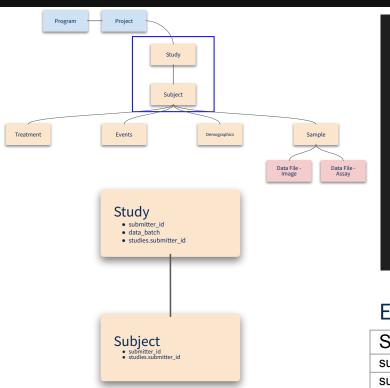
```
mappings:
     - name: flatten_props_multi # ElasticSearch index name
       doc_type: subject
       type: aggregator
       root: subject
       propsi
         - name: submitter id
       flatten_props:
         - name: subject_events
           path: events
11
           props:
             - name: date
                                         *Only returns the most
13
             - name: type
                                         recent date
14
           sorted_by: date, desc
                                         (descending order)
```

Example Index:

Subject.submitter_id	date	type	
sub 123	03/20/1986	birth	
sub_123	03/20/2009	hospitalization	
sub_456	07/26/2025	hospitalization	
sub 456	08/26/2025	death	

ETL - Creating a Mapping - Parent Props





```
mappings:
    - name: my-data-commons_subject # ElasticSearch index name

doc_type: subject
    type: aggregator
    root: subject
    props:
    - name: submitter_id
    parent_props:
    - name: subject_study
    path: study
    props:
    - name: data_batch
```

Example Index:

Subject.submitter_id		data_batch
	sub_123	NIH_08262025
	sub_456	NSRR_08272025

ETL - Creating a Mapping - Nested Props



```
Project
                                                                        mappings:
       Program
                                                                                                                                                                 Nested
                                                                                                                      Example Index:

    name: my-data-commons subject

                                                                                                                                                                 Indices
                                                                          doc_type: subject
                                                                          type: aggregator
                                                                                                                          "submitter id":
                                                                          root: subject
                                                                                                                            {"submitter id": "sample
                                                                                                                            "collection date": "08/26/2024",
                                                                          props:
                                                                                                                            "sample type": "ssay",
                                                                             - name: submitter id
                                                                                                                            "data files": 🚣
                                                  Sample
                                                                                                                             {"file size": "100 MB",
                                                                          nested props:
                                                                                                                              {"file size": "105 MB",
                                                                             - name: subject_samples
                                                       Data File
                                                                               path: sample
                                                                               props
                                                                                                                            "collection date": "04/28/2025",
    Subject
                                                                                 - name: submitter_id
                                                                                  - name: collection date
      · studies.submitter_id
                                                                                                                             {"file size": "1.53 GB",
                                                                 14
                                                                                  - name: sample_type
                                                                                                                              {"file size": "2.63 MB",
                                                                15
                                                                               nested_props:
                                                                                  - name: sample data-file
                                  Sample
                                                                                    path: data_file_image

    Submitter_id

    sample_id

                                                                                    props:

    sample_type

                                                                                       - name: file_size

    subjects.submitter_id

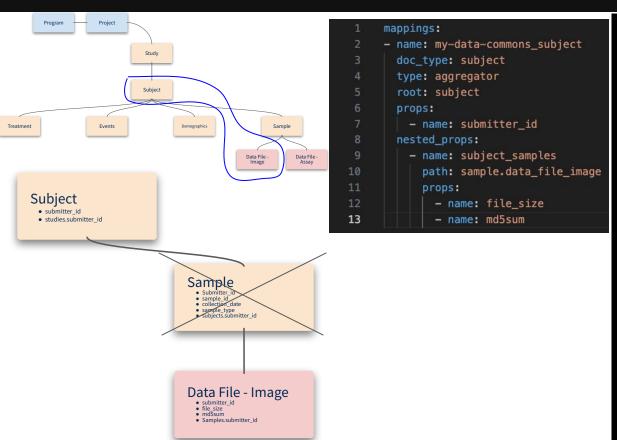
                                                                20
                                                                                       - name: md5sum
                                                                                                                            "collection date": "05/28/2023",
                                                                                                                             {"file size": "109 MB",
                                                                                                                              {"file size": "116 MB",
                                  Data File - Image

    submitter_id

                                    · Samples.submitter id
                                                                                                                            "collection date": "04/11/2022",
Nested props: Get props from multiple lower nodes
                                                                                                                            "data files": [
                                                                                                                             {"file size": "1.22 GB",
```

ETL - Mapping - Nested Props (skipping nodes)



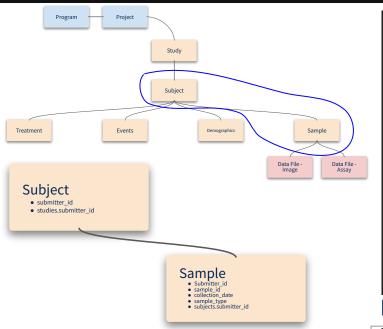


```
Nested
Example Index:
                                          Indices
       "subject samples": [
          {"file size": "100 MB",
          {"file size": "105 MB",
      {"subject samples": [
          {"file size": "1.53 GB",
          {"file size": "2.63 MB",
          {"file size": "109 MB",
          {"file size": "116 MB",
          {"file size": "1.22 GB",
          {"file size": "3.11 MB",
```

Nested props: Get props from multiple lower nodes

ETL - Mapping - Aggregated Props





```
mappings:
     - name: my-data-commons_subject
       doc_type: subject
       type: aggregator
       root: subject
       props:
         - name: submitter_id
       nested_props:
         - name: subject samples
           path: sample
           props:
             - name: collection_date
             - name: sample_type
14
           aggregated_props:
                                     # used to get aggregate statistics of
             - name: sample_count
               path: samples
                                     # path to node from root
                fn: count
```

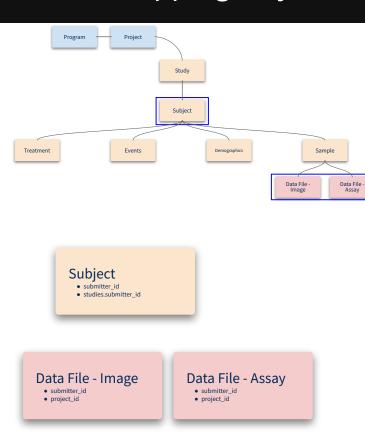
Example Index:

Subject.submitter_id	collection_date	sample_type	sample_count
sub_123	08/26/2025	assay	3
	08/26/2025	imaging	3
	09/01/2025	assay	3
sub_456	07/26/2024	imaging	3
	08/23/2025	imaging	3
	08/23/2025	assay	3

Aggregated_props: Add statistics into indices

ETL - Mapping - Injection





```
mappings:
     - name: data-file_subject
       doc_type: data_file
       type: collector
       category: data_file
       props:
         - name: submitter_id
       injecting_props:
         subject:
           - fn: set
11
             name: _subject_id #note the proceding "_"
             src: subject_id
12
```

Example Index:

Data_files_image.submitter_id	_subject_id
image_123	subject_123
image_234	subject_123
image_345	subject_123
image_456	subject_345
image_567	subject_345
image_678	subject_345

Data_files_assay.submitter_id	_subject_id
assay_123	subject_567
assay_234	subject_567
assay_345	subject_567
assay_456	subject_789
assay_567	subject_789
assay_678	subject_789

Injecting_props: Objects from nodes are injected into an index made up of nodes from that category

ETL - Mapping - Collector default functions

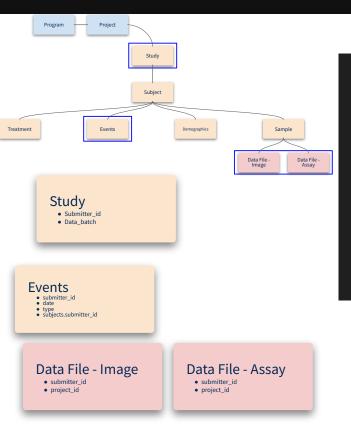


In collectors, the default function is set

fn: set - reporting all the unique values for records for that property

ETL - Mapping - Joining Props (Combination)





Injected index

```
mappings:
    - name: data-file_study
doc_type: data_file
type: collector
category: data_file
props:
    - name: submitter_id
injecting_props:
study:
    - props:
    - fn: set
name: study_id
src: study_id
```

Joining indices

```
- name: sample_for_join

doc_type: subject

type: aggregator

root: events

props:

- name: event_id

joining_props:

- index: data-file_study

join_on: study_id

props:

- fn: set

name: study_id

src: study_id
```

Example Index:

events.submitter_id	study_id	data_file.submitter_id
event_1	study_1	wb54yt4byw5b3
event_2	study_1	sasdffcvsfdgsrg
event_3	study_1	gd4qbg5gw545s
event_1	study_2	ds43w5bgb45try
event_2	study_2	aq4bqbtqnbq4wt4
event_3	study_2	45q4g5w5rgw545

Joining_props: Join properties between indices

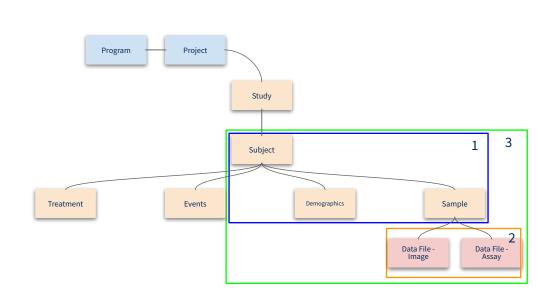
ETL - Mapping - Putting it all together



Goal: Create a File Manifest

We want a search to be able to capture what data files are present for studies, subjects and demographics
Steps:

- Create an Aggregator index connecting Subject with Demographics and Sample (nested)
- 2. Create an collector (injected) index with the Data File nodes
- Join the file index to the aggregator to build a file manifest*

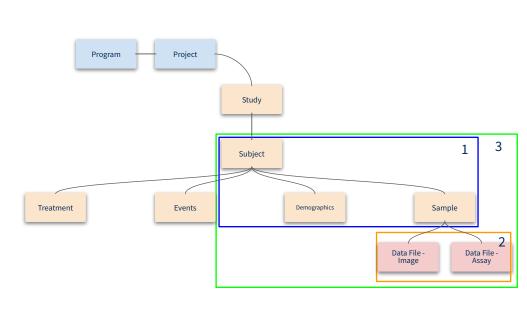


* File manifests must have (at least) the object_id of the files to be useful. So, remember to add object_id to the joining_props of the aggregator indices

ETL - Mapping - Putting it all together - Subject File Manifest



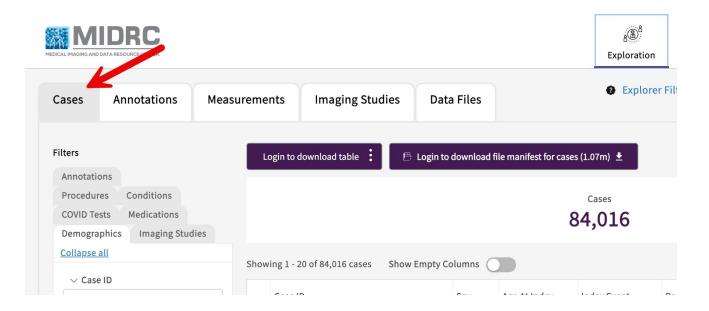
```
mappings:
       - name: file manifest
         doc_type: subject
         type: aggregator
         root: subject
           - name: submitter_id
         nested props:
           - name: demographic
             path: demographic
             - name: race
             - name: age
           - name: sample
             path: sample
             - name: collection id
             - name: sample_type
         joining props:
           - index: file
             join_on: _subject_id
               - name: object_id
                 src: object_id
                 fn: set
       - name: file_collector
         doc_type: file
         type: collector
         root: None
         category: data_file
           - name: submitter_id
           - name: object_id
           - name: md5sum
           - name: file size
         injecting props:
               - name: _subject_id
40
                 src: id
```



ETL - Mapping - Putting it all together (Part 2)



We showed you how the MIDRC exploration page has tabs created from indices generated by ETL



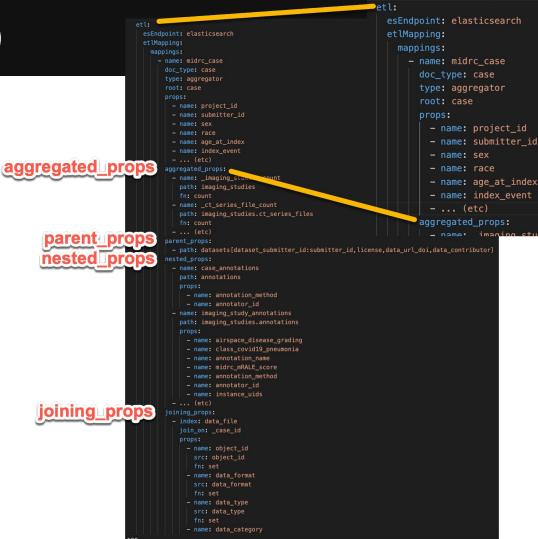
Putting it all together (Part 2)

Here is an abridged version of the midrc_case index ETL mapping.

- Called midrc_case in ES
- Called case in Guppy
- This is an aggregator with the case node as a root.

This index includes:

- Props
- Aggregated_props
- Parent_props
- Nested_props
- Joining_props



ETL - Mapping - Putting it all together (Part 2)



Sneak peek at how ETL mapping connects to Exploration page

```
guppy:
  ... (etc)
  indices:
  - index: midrc_case
    type: case
  index: midrc measurement
    type: measurement
  - index: midrc_annotation
    type: annotation
  - index: midrc_data_file
    type: data file
  - index: midrc_imaging_study
    type: imaging study
```

```
portal:
      "explorerConfig": [
          "tabTitle": "Cases".
          "charts": {},
          "filters": {
            "tabs": [
                "title": "Demographics",
                "searchFields": [
                  "submitter_id"
                "fields": [
                  "sex",
                  "race".
                  "ethnicity",
                  "age_at_index",
                  "index event",
                  "zip",
                  "covid19_positive",
                  "project_id"
                "title": "Imaging Studies"
```

```
"guppyConfig": {
 "dataType": "case",
 "nodeCountTitle": "Cases",
 "fileCountField": "data_file_count",
 "fieldMapping": [
     "field": "project_id",
     "name": "Project ID"
     "field": "submitter id",
     "name": "Case ID"
     "field": "imaging_studies.age_at_imaging",
     "name": "Age at Imaging"
    ...(etc)
```

Questions?



Questions? (before our ETL Troubleshooting part of the presentation)

Reminders:

- Slides and the recording will be available as a resource
- Code snippets of examples shown here are available on <u>GitHub</u>
- Gen3-gitops has the ETL mappings for all Gen3 commons supported by CTDS
 - At the end of this presentation, there is a slide that specifically links to ETL mapping, Guppy values.yaml, explorerConfig, guppyConfig, and exploration page for 2 different open-access Gen3 data commons
- Additional documentation is coming soon



Troubleshooting your ETL*

* And processes happening between your ETL and the data showing up on your Exploration page

Troubleshooting Part 1: Sanity Check



- Did you deploy the new ETL mapping?
- Did you make sure to run the ETL after deploying the new ETL mapping?
- Did you re-roll Guppy after your ETL run?
- If so were there any Guppy errors at startup? Check both your Guppy and Portal/FEF logs to see if there are any errors (eg, new portal pod may not be deployed because of a simple JSON parsing error).
- Did you update the Portal/FEF explorer config to make sure it is aligned with any new data in ES and accessed by Guppy?

Troubleshooting Part 2: Check ETL and ES



Check the ETL log (assuming you are using Tube for ETL)

- Will explicitly say that the ETL succeeded, even if there are lots of other warnings
- To check the ETL log:

kubectl logs <etl pod name> -c tube -f

Troubleshooting Part 2: Check ETL and ES (con't)



Log on to the ES pod and check the data there after running ETL

- To log onto your ES pod:
 - kubectl exec -it <es proxy pod> -- sh
- To check what indices are present in your ES:
 - In two terminals, do the following:
 - port forward ES service:
 kubectl port-forward svc/elasticsearch 9200:9200
 - See data in all indices in ES:
 - curl -X GET http://localhost:9200/_cat/indices
 - See data in a specific index:
 - curl -X GET http://localhost:9200/<index name>

Troubleshooting Part 2: Check ETL and ES (con't)



If your data is in ES:

If your data is in ES - you know ETL is working and data is being created in ES

If your data is NOT in ES:

Your ETL has a problem. The ETL log should provide a clue about what's wrong - you can tell from the logging before it failed where in the ETL mapping it had the failure.

- Examine your ETL mapping; check if there's anything that could be a typo or an incorrect prop name or path.
- Look at your data in Sheepdog. Particularly look for "special characters" (backslash and non-ASCII characters), especially if it's a particular data column missing.
- Confirm with Peregrine queries that the path to the prop you included in the mapping is a valid path.

Troubleshooting Part 3: Check Guppy



Check the data can be queried by Guppy - Frontend

Once you know the data is in ES - restart Guppy, and check that Guppy can pull data from ES. This will help you determine whether the data in ES is queryable by Guppy from the /graphql API.

You can work from the query page on the front end and submit your queries



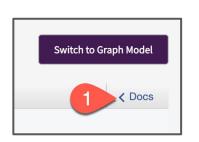


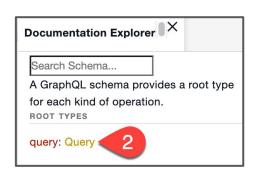
View the Guppy Schema - Frontend

Looking at the Guppy schema could help you see misalignments between ETL/ES and Guppy configuration. There are 2 ways you can view the Guppy schema from the frontend.

 Through Docs: On the Query page, click "Docs" on the upper right side of the graphiQL interface, then click "query" to view the indices available. Click on an

index to view the fields available for each index.





< Schema Query ^X
Search Query
No Description
FIELDS
case(offset: Int, first: Int, filter: JSON, sort: JSON, accessibility: Accessibility = all, format: Format = json): [Case]
measurement(offset: Int, first: Int,
filter: JSON, sort: JSON, accessibility:

< Query Case ^X
FIELDS
_case_id: String
_cr_series_file_count: Float
_ct_series_file_count: Float
_dx_series_file_count: Float
_imaging_studies_count: Float
_mr_series_file_count: Float
age_at_imaging: Float



View the Guppy Schema - Frontend

Through Query page: You can also get the guppy schema <u>using a "mapping"</u> guppy query on the Query page (flat model) with this query:

```
{ _mapping { <guppy_index> }}
```

```
Query graph
                                                                       Switch to Graph Model
                       Prettify
                                                  History
 Graph1QL
                                                            Introspect
                                                                                  < Docs
  1 { _mapping { case }}
                                                 "data": {
                                                    "_mapping": {
                                                     "case": [
                                                       "_case_id",
                                                       "_cr_series_file_count",
                                                       "_ct_series_file_count",
                                                       "_dx_series_file_count",
                                                       "_imaging_studies_count",
                                                       "_mr_series_file_count",
                                                       "age_at_imaging",
                                                       "age_at_index",
                                                        "girsnace disease grading"
```



Check the data can be queried by Guppy (Backend)

- To log onto your Guppy pod:
 kubectl exec -it <guppy pod> -- sh
- Use curl to create a graphql query. For example, here is a sample Guppy query (h/t Joshua Harris)



If the data cannot be queried by Guppy:

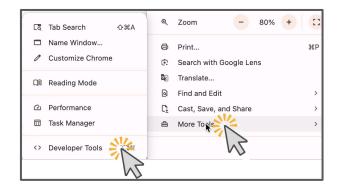
- Guppy logs should be helpful here.
- Check your Guppy config. Make sure the index names (type) in the Guppy config match up, with no typos, to the doc_type fields in the ETL mapping config.
 Check the JSON structure for problems. (Remember to re-roll Guppy after any config changes before testing if it fixed the problem).
- Check your Guppy config for appropriate tier_access_level

Troubleshooting Part 4: Check Front End

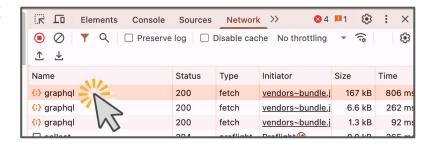


Check the front end

- Go to your Exploration page and then open Developer Tools
- Click on the Network tab
- 3. On the Exploration page, select the filter of interest.
- 4. In the dev tools window, you should see /graphql API calls appear in the list. Look at the status - 200 indicates successful call, anything else indicates trouble.
- 5. Click on one of the graphql calls







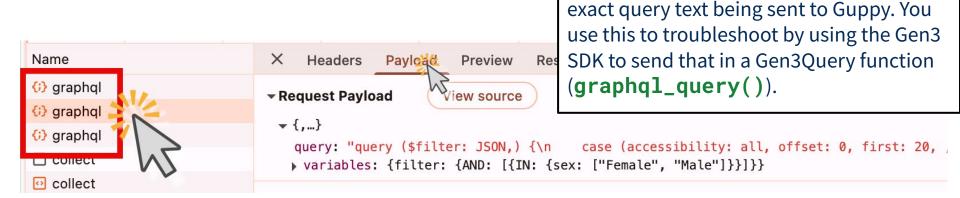
Troubleshooting Part 4: Check Front End (con't)



"View source" will let you see/copy the

Look at the index the query is calling

- 5. Click on the Payload tab (can also click "View Source" see box below)
- 6. Now, you can see the query that is being used. Check the index and make sure it's what you expect it to be.
- 7. Check the other graphql queries, as well.



Troubleshooting: Final thoughts



Look at CTDS open-source examples

<u>Gen3-gitops</u> is open access now. When in doubt, look through the etlConfig sections in the values.yaml's for any commons run by CTDS.

MIDRC

MIDRC ETL mapping

MIDRC Guppy indices

MIDRC Explorer config

MIDRC Guppy config

MIDRC Exploration page

Gen3 Data Hub

G3DH ETL mapping

G3DH Guppy indices

G3DH Explorer config

G3DH Guppy config

G3DH Exploration page





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Architecture of ETL



