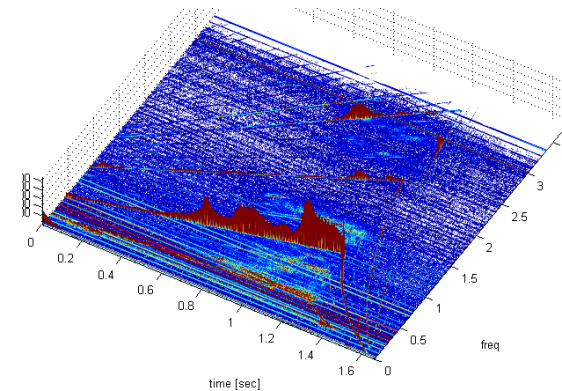
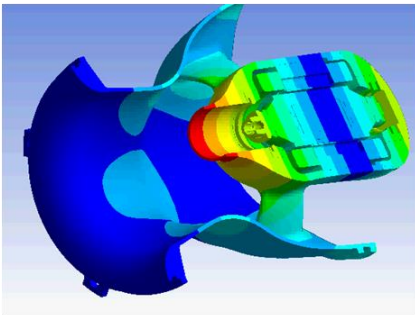
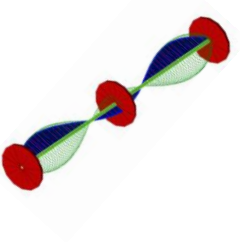


# Basic Rotordynamics

***Izhak Bucher***

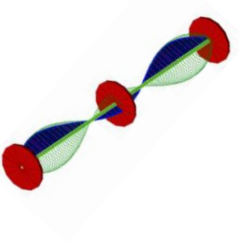
Dynamics & Mechatronics Laboratory  
Technion, Israel institute of Technology  
Haifa, Israel



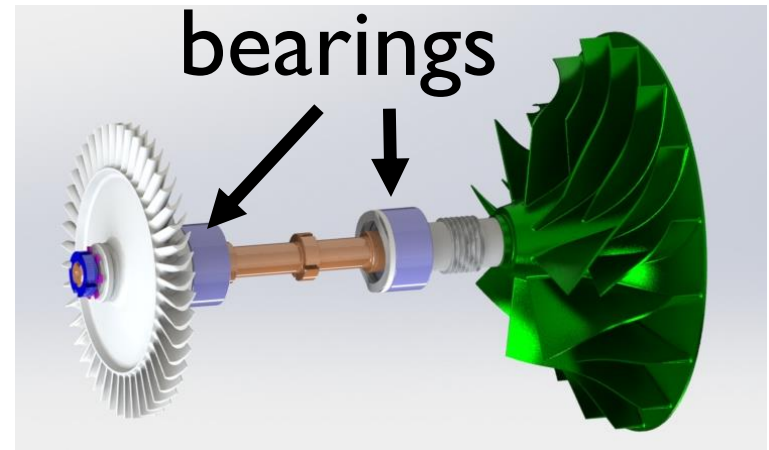
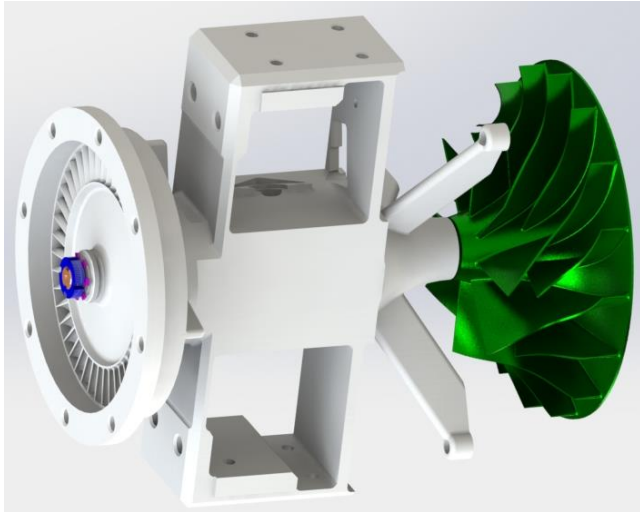


# topics

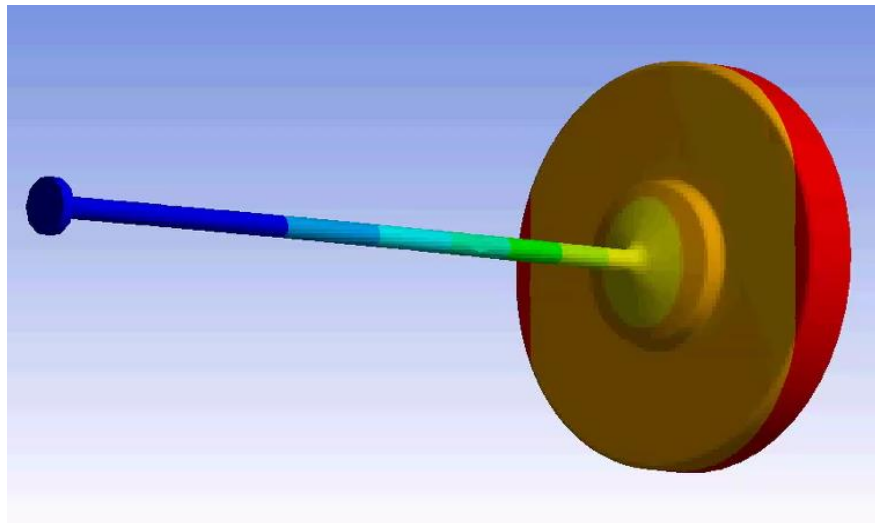
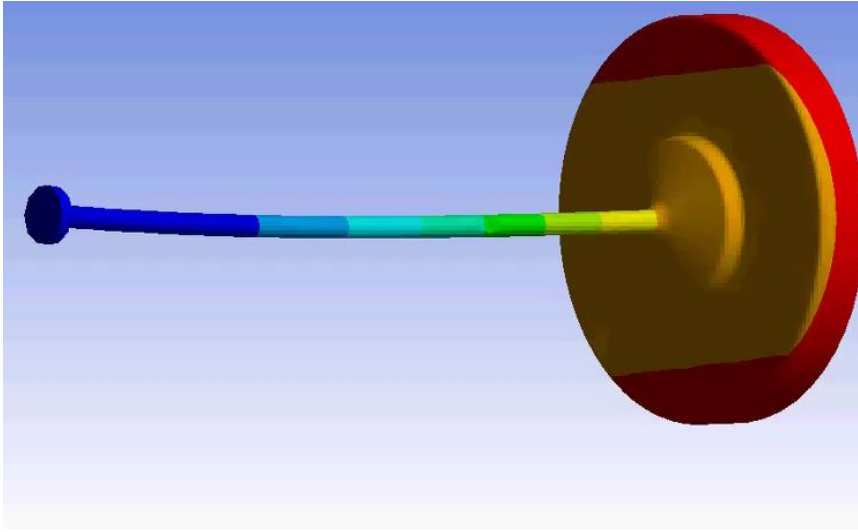
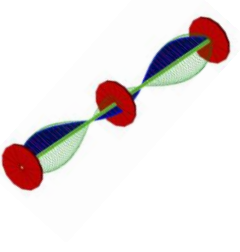
- Introduction & motivation
- Modeling rotating system dynamics
  - Jeffcott Rotor model (1 disk + shaft)
  - Whirling at constant speed
  - Self centering
  - Effect of damping & bearing forces
  - Anisotropic bearings and elliptical whirling

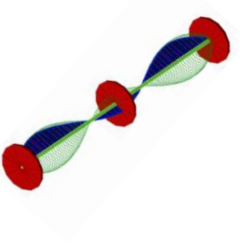


# Real rotating machines



# Vibrating vs. rotating



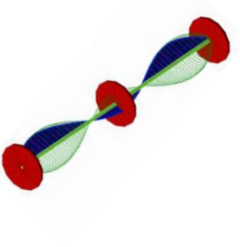


# Typical machine with rotating elements

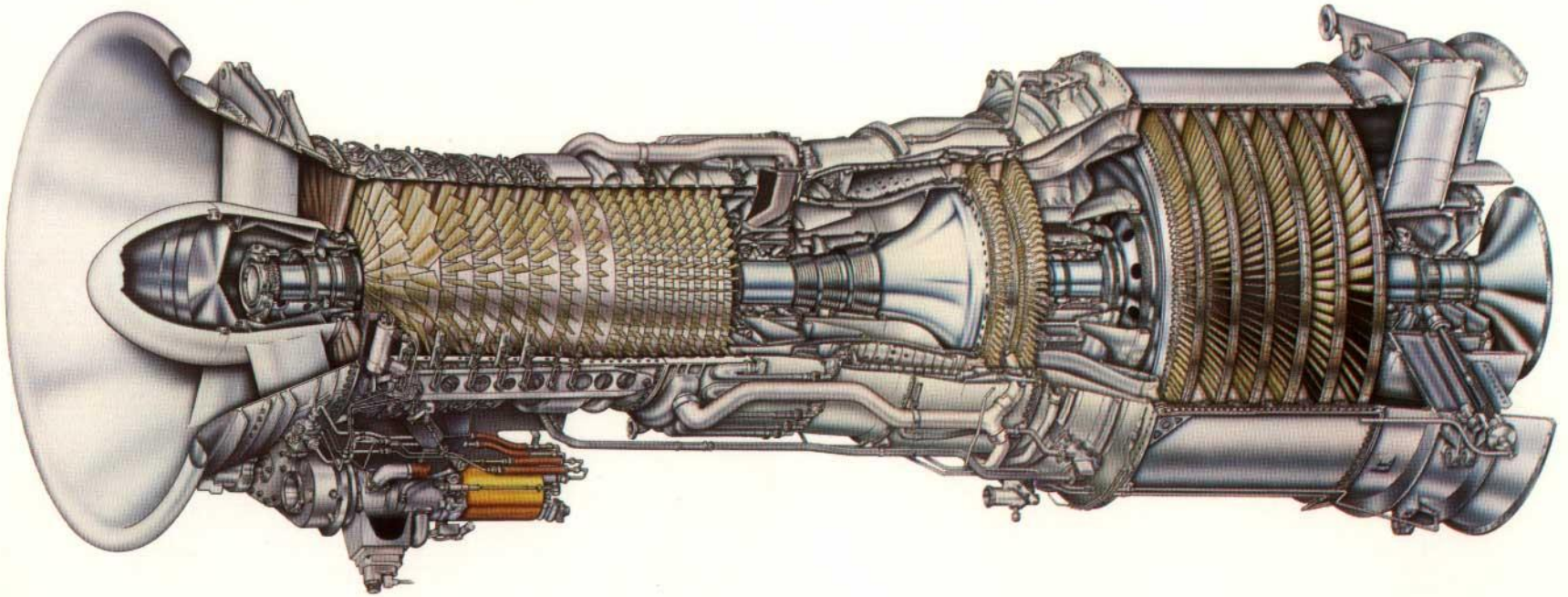
*Dynamics of  
Rotating structures*



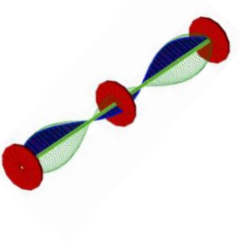




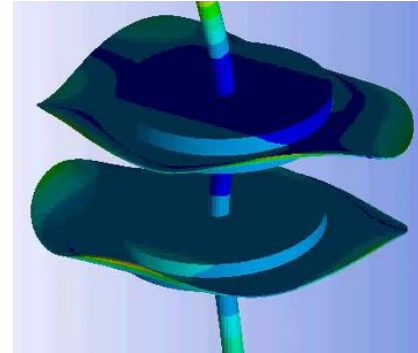
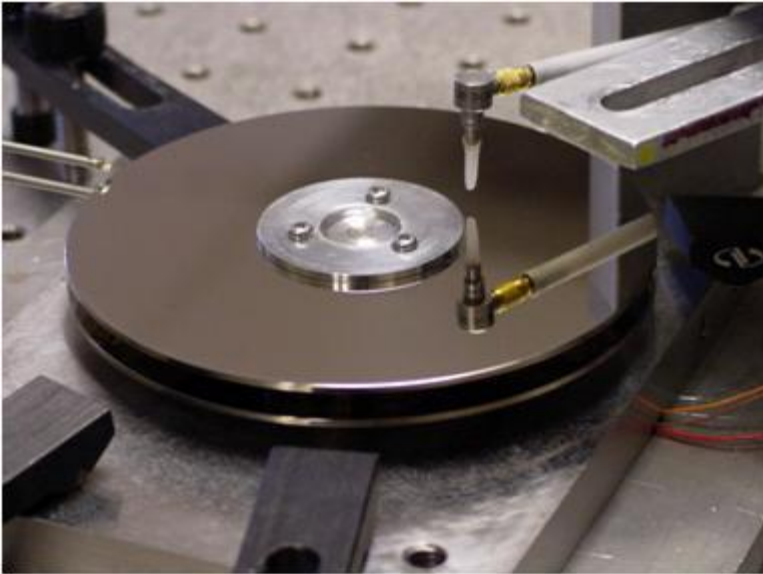
# Gas turbine

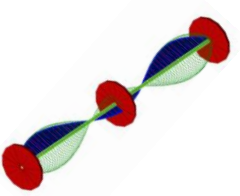


General Electric LM2500 Gas Turbine



# Hard disk vibration

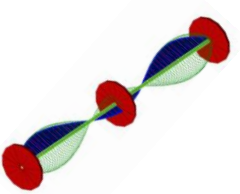




# Why teach dynamics of rotating structures?

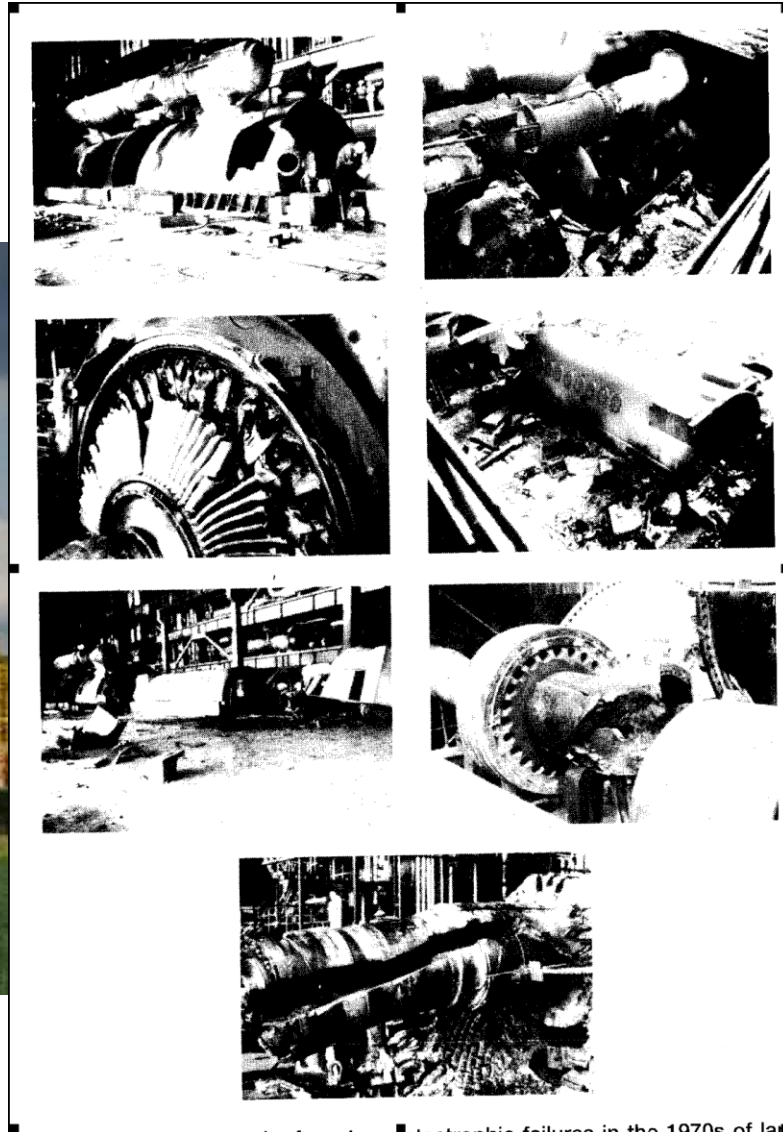
- ◆ Many machines contain rotating elements
- ◆ Rotating structures contain considerable energy
- ◆ Rotating machine operate at a range of speeds changing their behavior
- ◆ Theory of rotating machines >100 years old

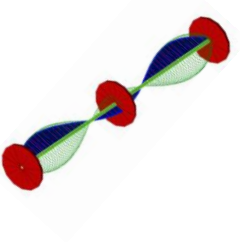




# Without words

Dynamics of  
Rotating structures

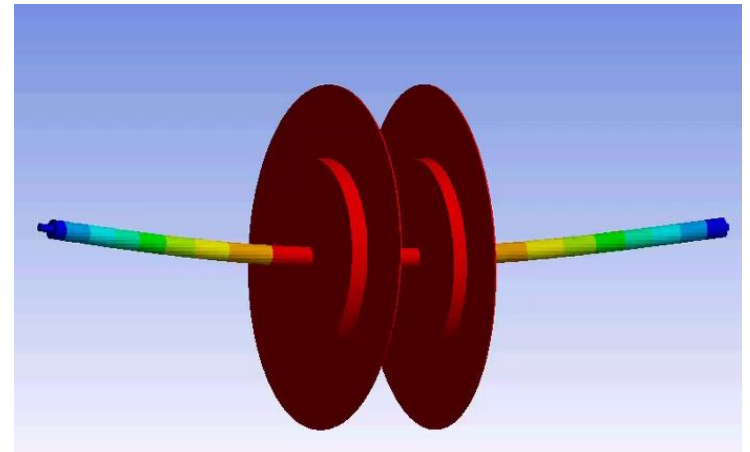


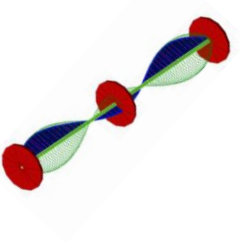


# **ROTATING MACHINE VIBRATION & SIMPLE MODELS**

# Basic assumptions

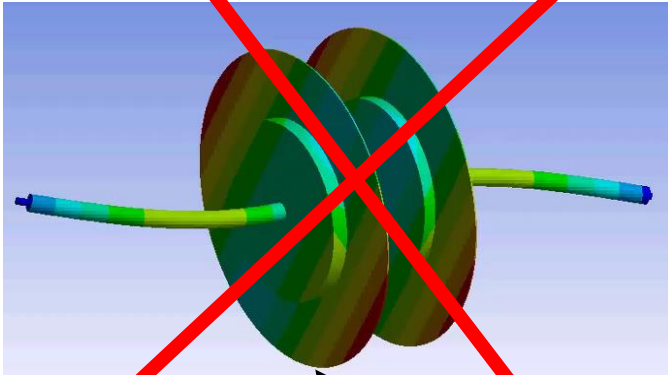
- Jeffcott rotor model
- No Gyroscopic effect
- Single mode dynamics / massless shaft
- No torsion (stiff)
- Only bending



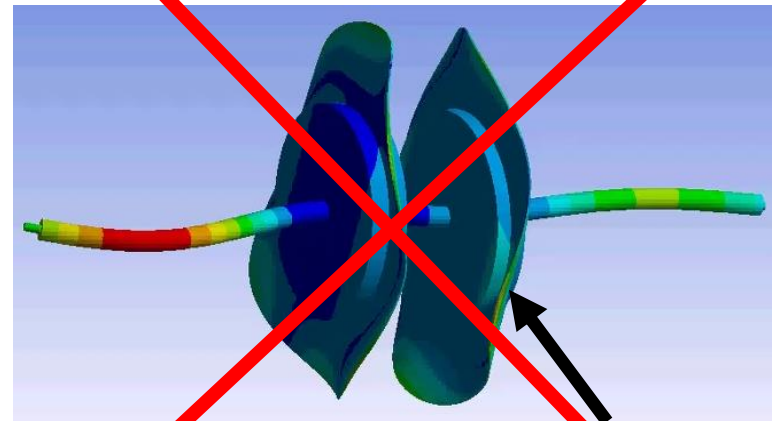


# Basic model assumptions

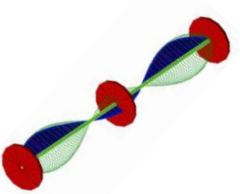
- No consideration of higher frequencies
- No consideration of disk flexibility



Gyroscopic  
effect

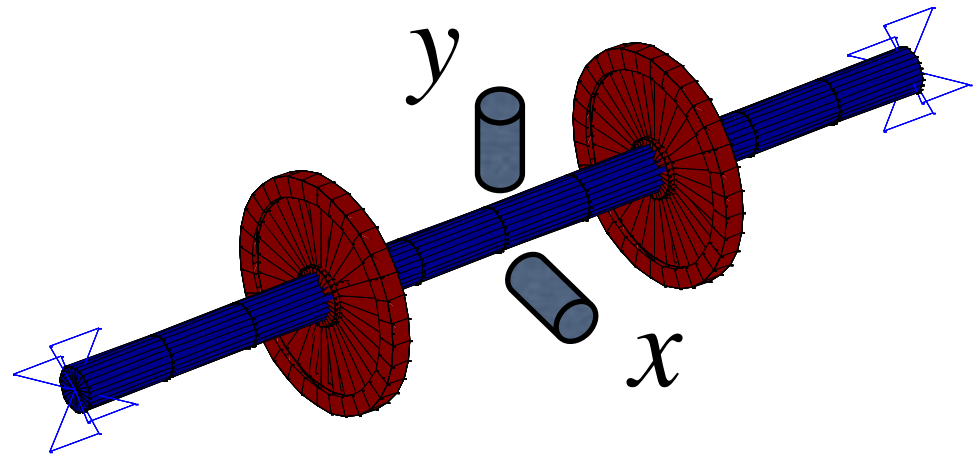
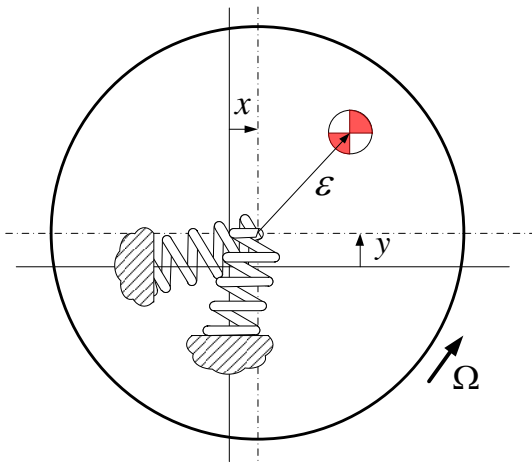
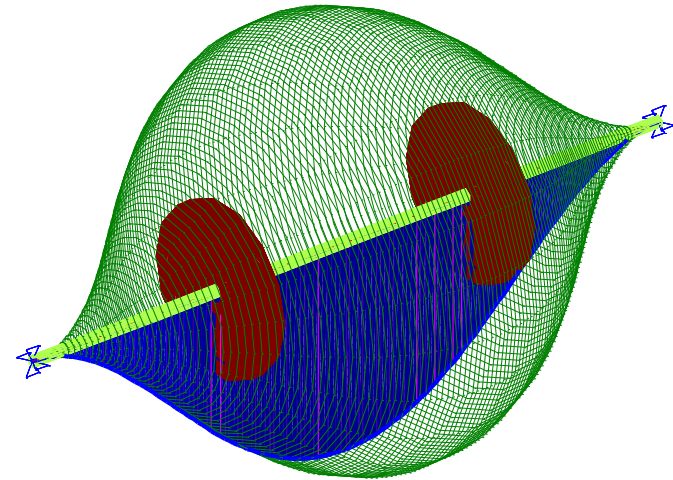


Flexible – not rigid

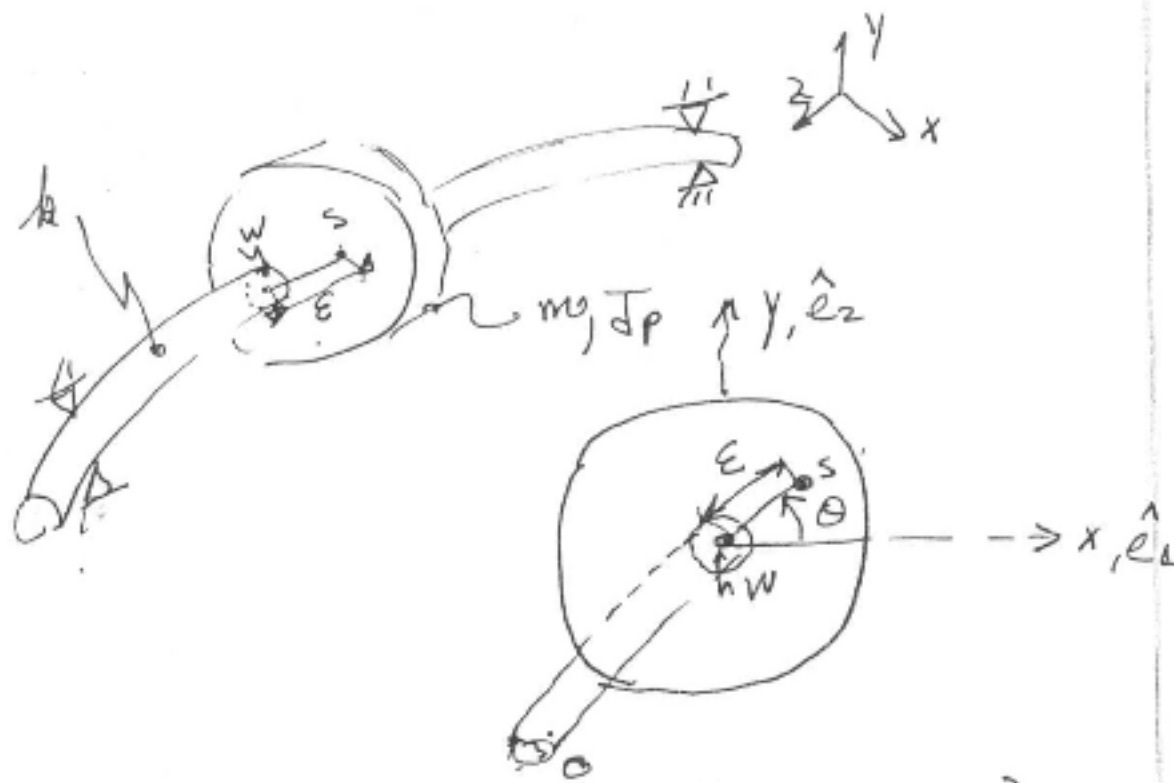


# One degree of freedom – Jeffcott rotor

- One mode
- Response to unbalance
- Isotropic supports

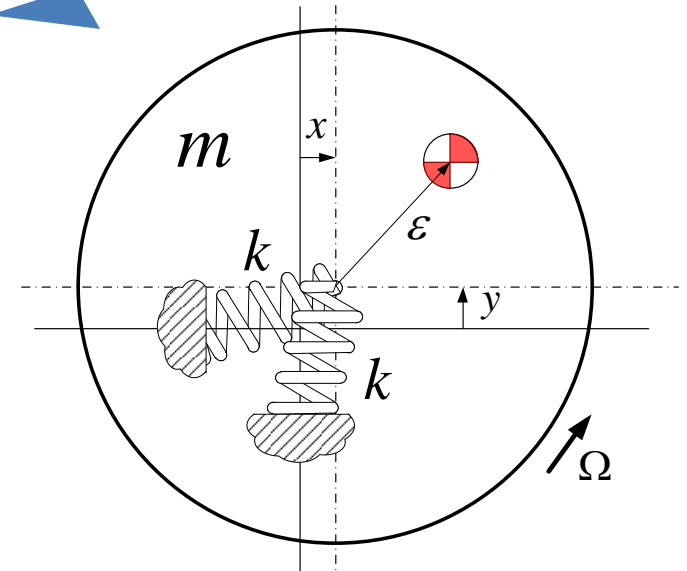
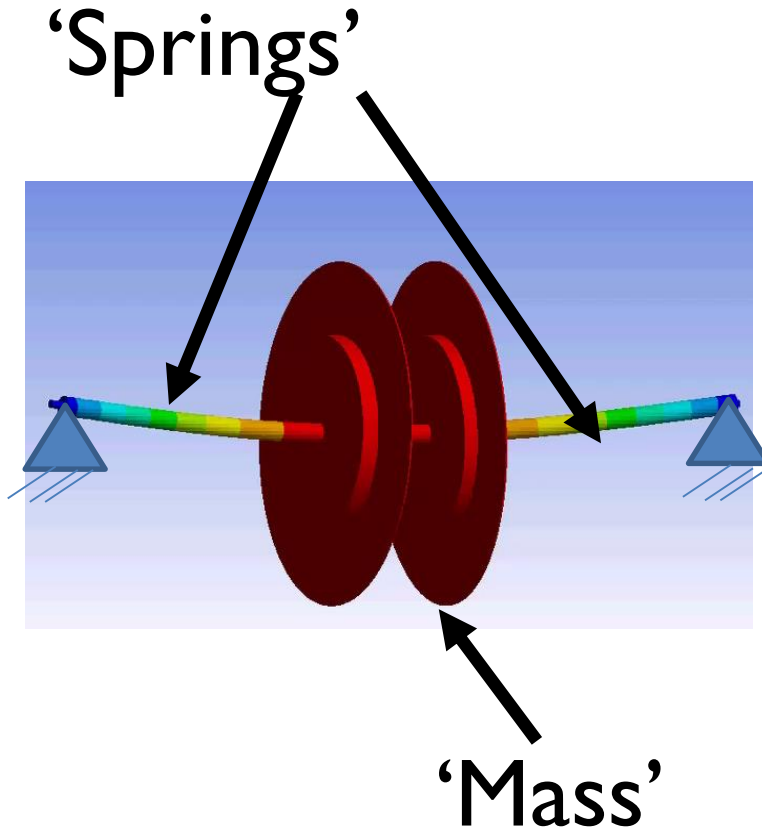


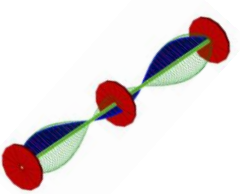
# model





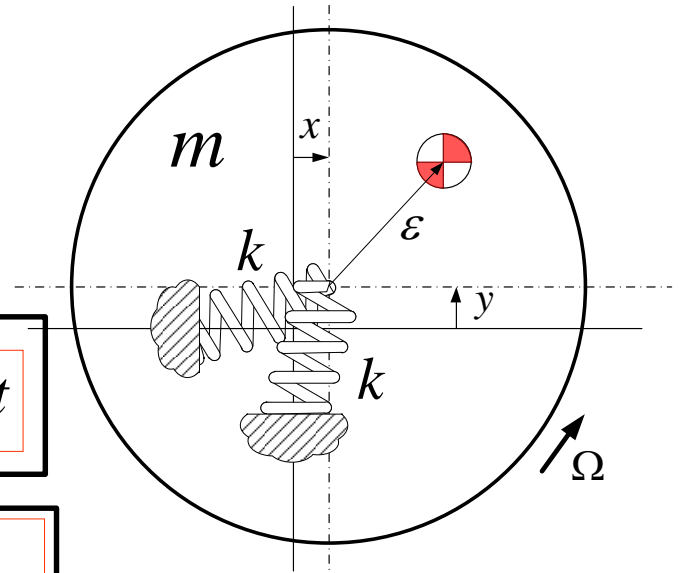
# Jeffcott Rotor model





# Jeffcott rotor

- constant speed  $\dot{\Omega} = 0$
- Unbalance



$$x_{cg} = x + \varepsilon \cos \Omega t$$

$$y_{cg} = y + \varepsilon \sin \Omega t$$

$$\frac{d}{dt} \left( m \frac{d}{dt} x_{cg} \right) = -kx$$

$$\frac{d}{dt} \left( m \frac{d}{dt} y_{cg} \right) = -ky$$

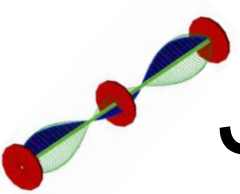
$$m\ddot{x} + kx = m\varepsilon\Omega^2 \cos \Omega t$$

$$m\ddot{y} + ky = m\varepsilon\Omega^2 \sin \Omega t$$

$$r \triangleq x + iy$$



$$m\ddot{r} + kr = m\varepsilon\Omega^2 e^{i\Omega t}$$

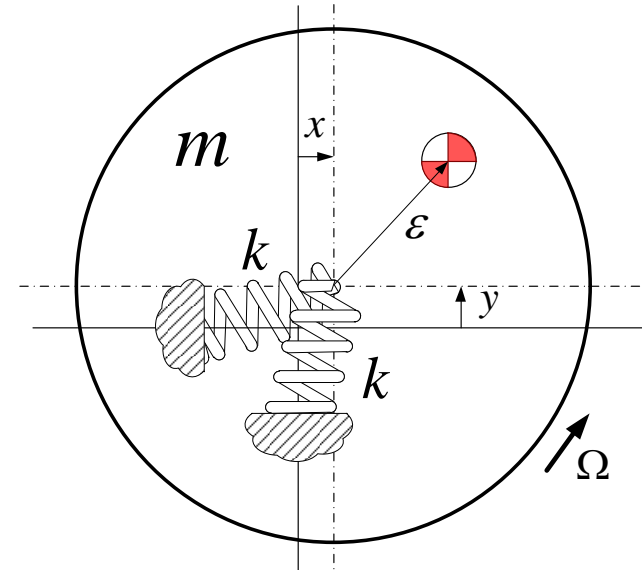


# Jeffcott rotor – steady state response

$$m\ddot{r} + kr = m\varepsilon\Omega^2 e^{i\Omega t}$$

Put in Eq.

$$r = Ae^{i\Omega t} + Be^{-i\Omega t}$$

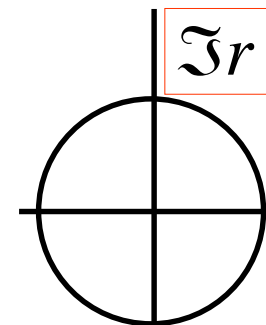


$$r = \frac{\varepsilon\Omega^2}{\omega_n^2 - \Omega^2} e^{i\Omega t}$$

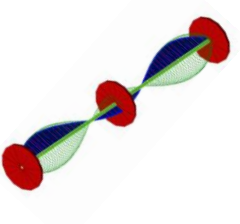
$$\omega_n^2 = \frac{k}{m}$$

Isotropic! supports

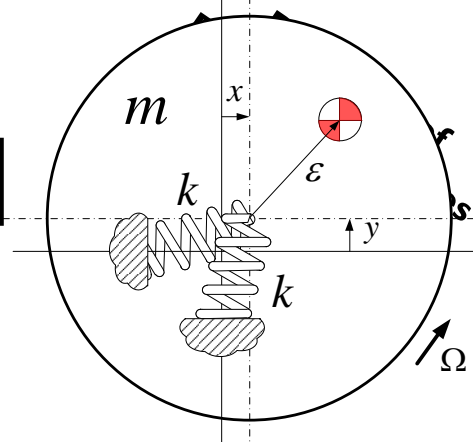
orbit



$\Re r$

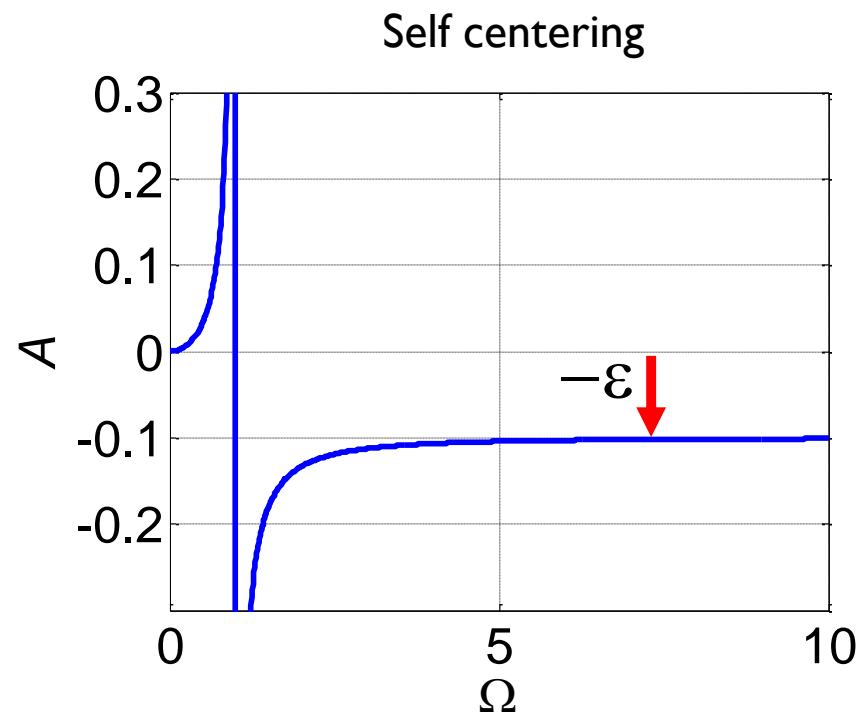
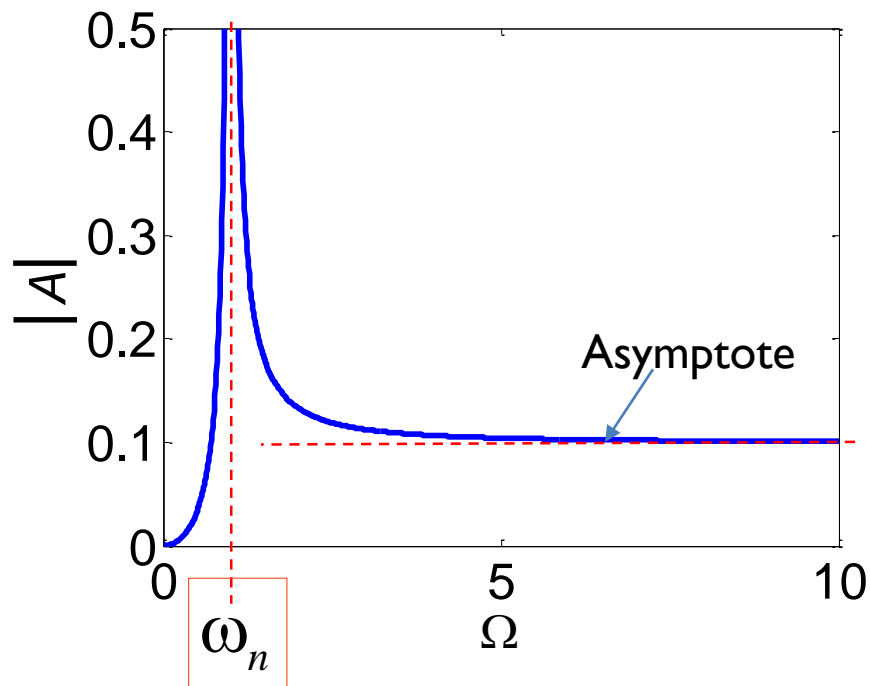


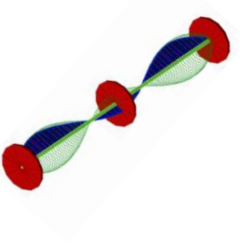
# Response vs speed



$$r = \frac{\varepsilon \Omega^2}{\omega_n^2 - \Omega^2} e^{i\Omega t} = A e^{i\Omega t}$$

$$\omega_n^2 = \frac{k}{m}$$

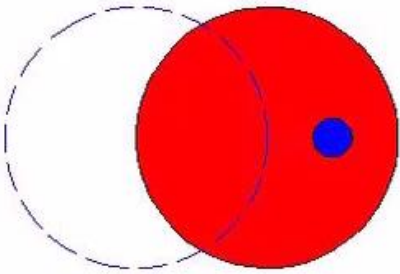




# Animate whirl

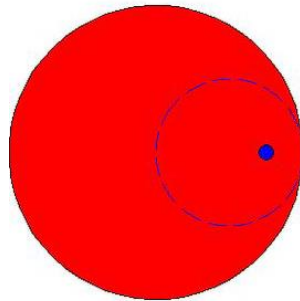
$$\omega_n > \Omega$$

$$A > 0$$



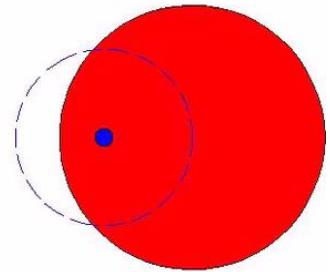
$$\omega_n < \Omega$$

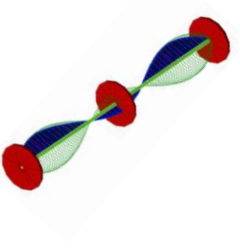
$$A < 0$$



$$\omega_n \ll \Omega$$

$$A = -\varepsilon < 0$$

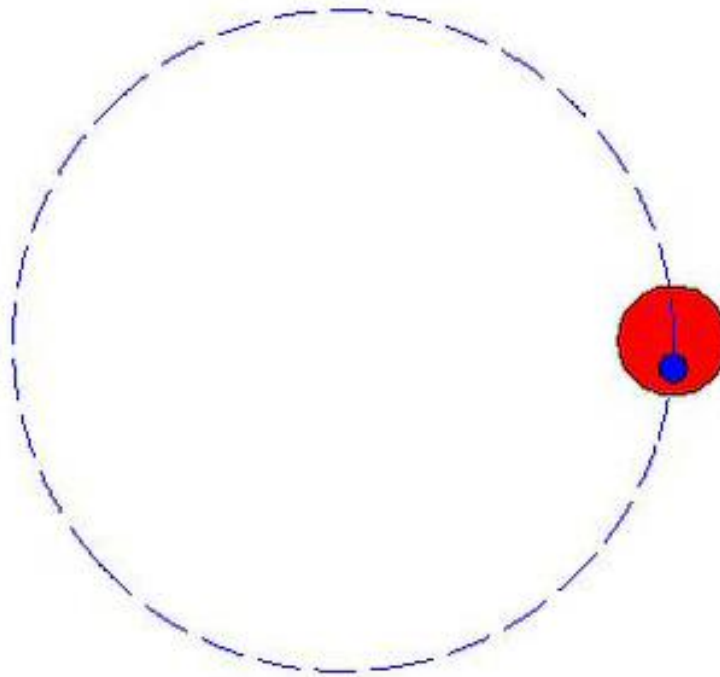




# Animate whirl

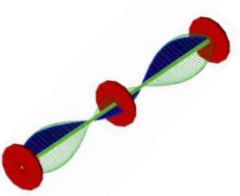
$$\omega_n \approx \Omega$$

$$A \rightarrow \infty$$



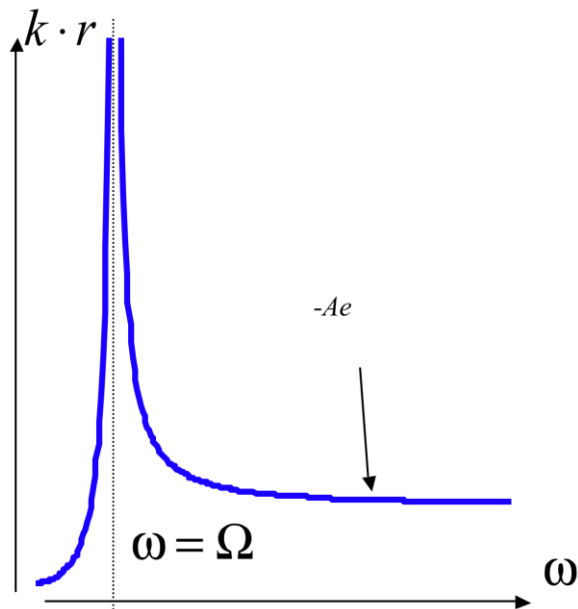
$$\text{phase lag } \frac{\pi}{2}$$





# forces @ bearings

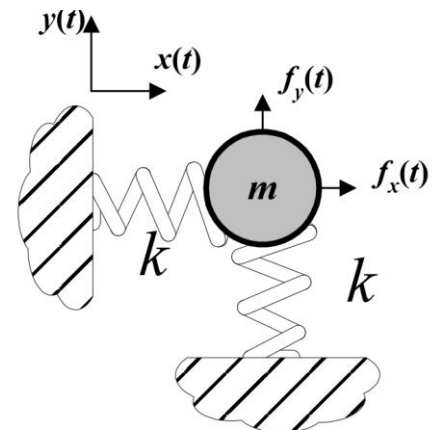
- Reaction force



$$f_{\_bearing} = kr(t) = kA \exp(i\Omega t) = k \frac{\frac{\Omega^2}{\omega_n^2} e}{\left(1 - \frac{\Omega^2}{\omega_n^2}\right)} \exp(i\Omega t)$$

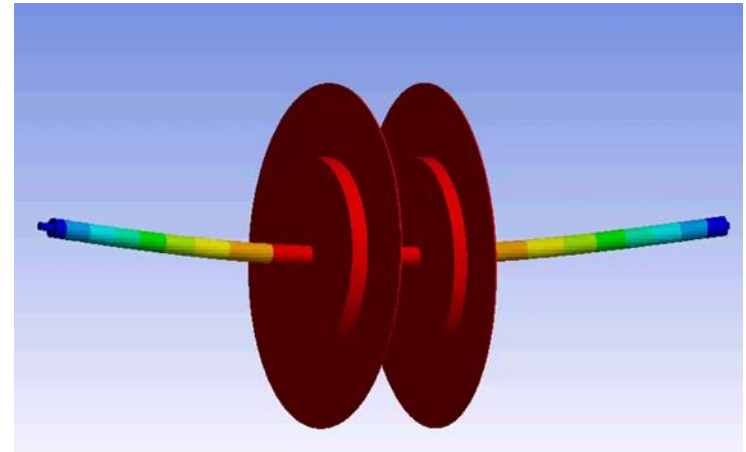
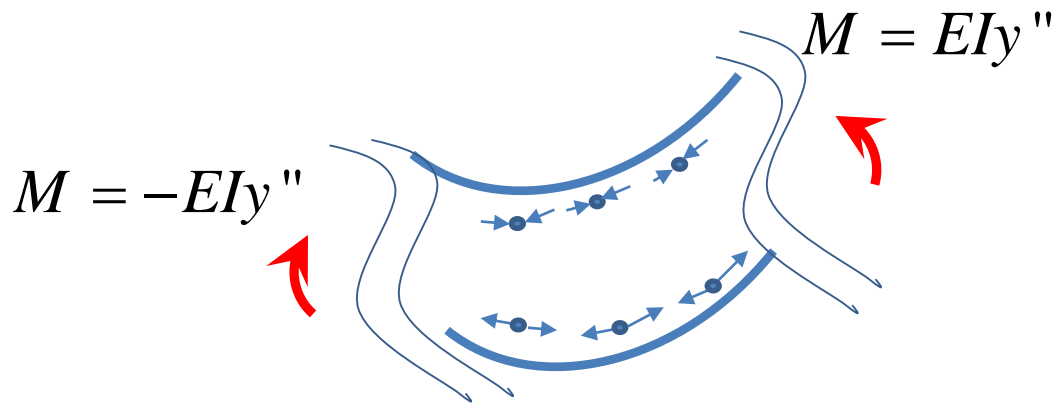
$$f_x(t) = k \frac{\frac{\Omega^2}{\omega_n^2} e}{\left(1 - \frac{\Omega^2}{\omega_n^2}\right)} \cos \Omega t$$

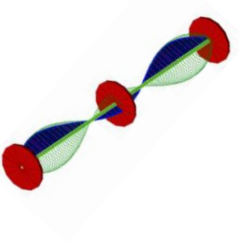
$$f_y(t) = k \frac{\frac{\Omega^2}{\omega_n^2} e}{\left(1 - \frac{\Omega^2}{\omega_n^2}\right)} \sin \Omega t$$



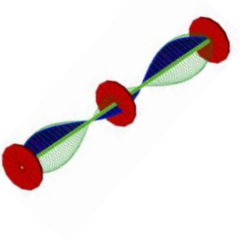
# Shaft stress

- Is shaft in tension or compression?
- Is stress alternating (fatigue), at what rate?

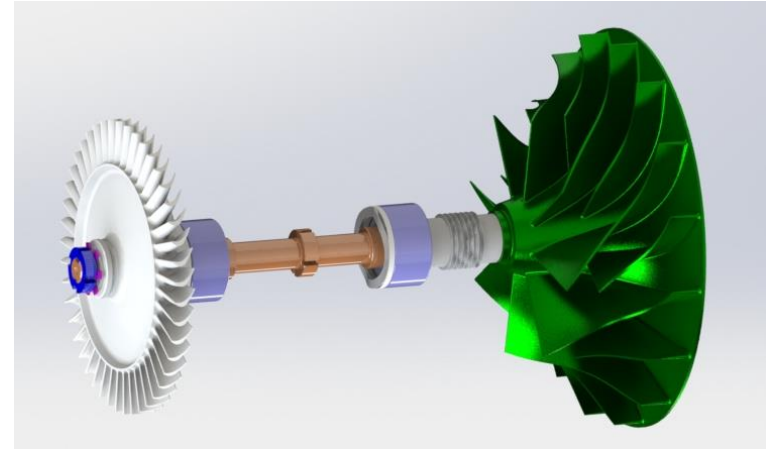
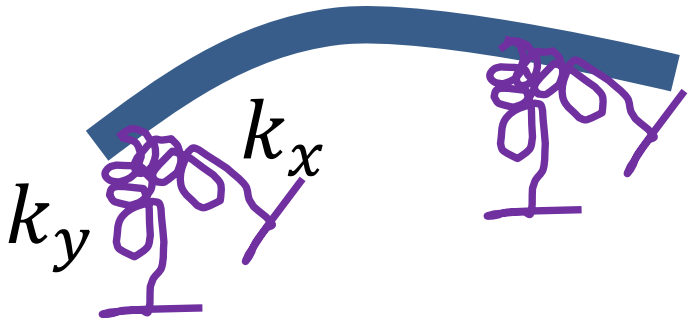




# ***Rotors*** in anisotropic bearings



# unequal stiffness



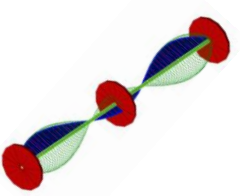
Total stiffness (springs in series)

Shaft + bearing + foundation

Usually  $k_x \neq k_y$

$$\omega_x^2 = \frac{k_x}{m}$$

$$\omega_y^2 = \frac{k_y}{m}$$



# Anisotropic bearings

$$\ddot{x} + \omega_x^2 x = \varepsilon \Omega^2 \cos \Omega t$$

$$r \triangleq x + iy$$

$$\ddot{y} + \omega_y^2 y = \varepsilon \Omega^2 \sin \Omega t$$

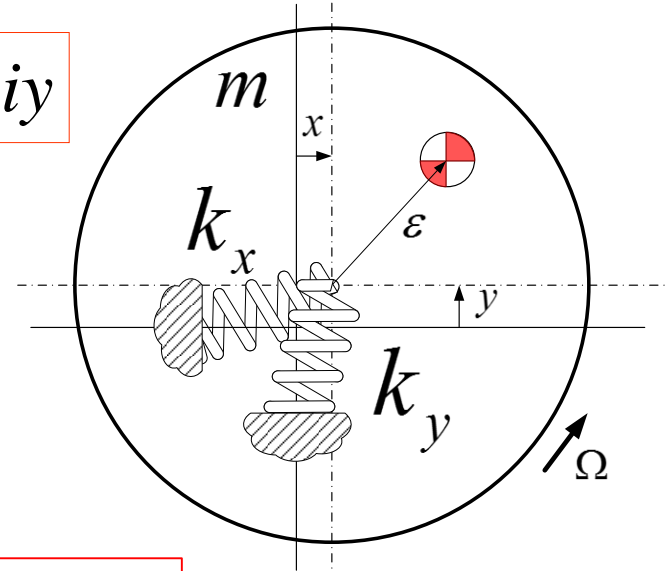
$$\omega_x^2 \triangleq \omega_n^2 + \omega_\Delta^2, \quad \omega_y^2 \triangleq \omega_n^2 - \omega_\Delta^2,$$

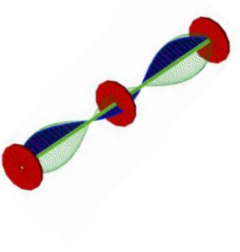
$$\ddot{r} + \omega_n^2 r + \omega_\Delta^2 \bar{r} = \Omega^2 \varepsilon e^{i\Omega t}$$

$$\Rightarrow r = r_+ e^{i\Omega t} + r_- e^{-i\Omega t}$$

$$r_+ = \frac{\Omega^2 \varepsilon}{2} \frac{(\omega_x^2 + \omega_y^2 - 2\Omega^2)}{(\omega_x^2 - \Omega^2)(\omega_y^2 - \Omega^2)}$$

$$r_- = \frac{\Omega^2 \varepsilon}{2} \frac{(\omega_y^2 - \omega_x^2)}{(\omega_x^2 - \Omega^2)(\omega_y^2 - \Omega^2)}$$



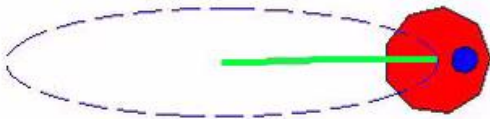


# Forward & backward whirl

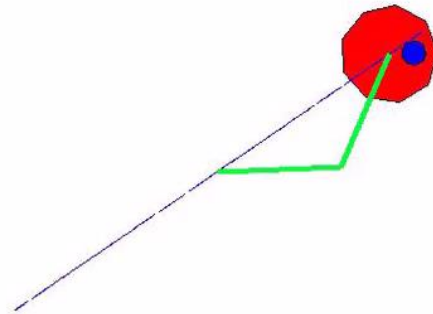
- Forward whirl takes place when  $r_+ > r_-$
- Backward whirl  $r_+ < r_-$

$$r = r_+ e^{i\Omega t} + r_- e^{-i\Omega t}$$

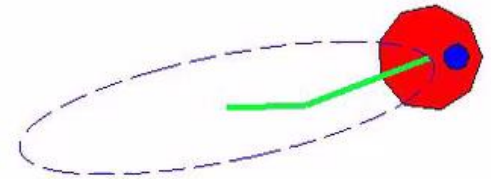
$$r_+ > r_-$$



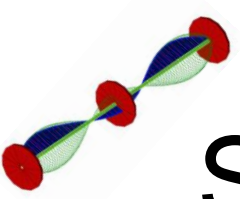
$$r_+ = r_-$$



$$r_+ < r_-$$

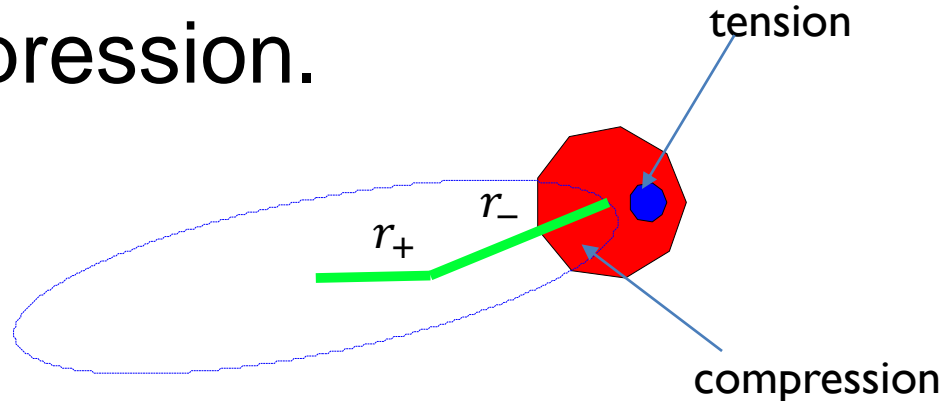


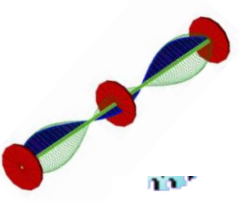




# Stress & anisotropic bearings

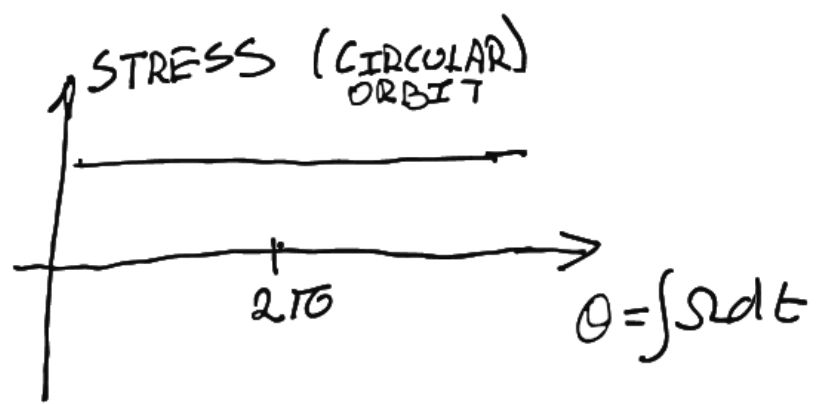
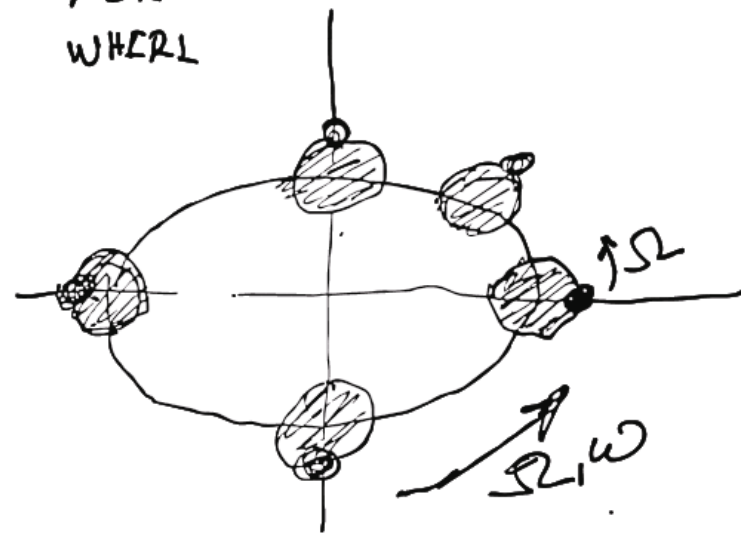
- Consider the blue dot (cg) as a material fiber.
- As long as it is further than the dashed line, it is in tension
- If it is closer to the origin than the dashed line, it is in compression.



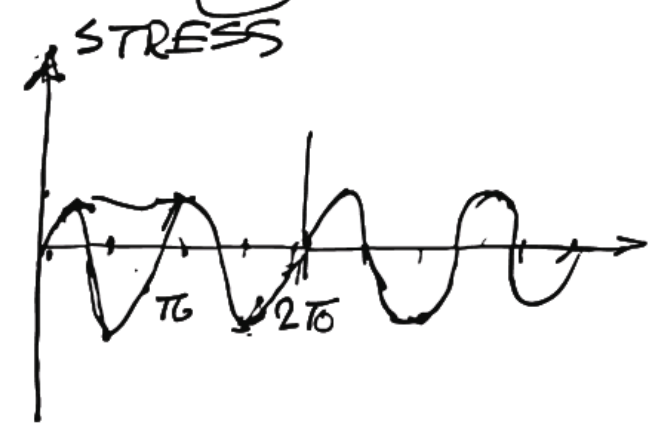
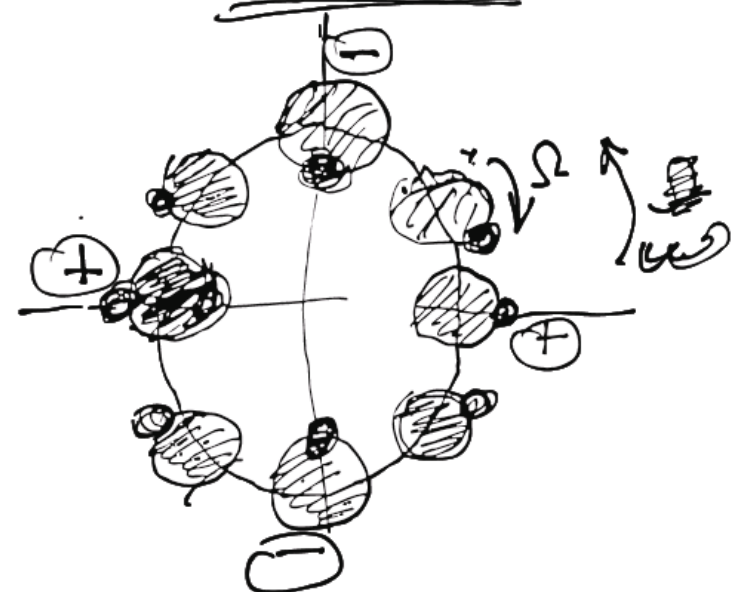


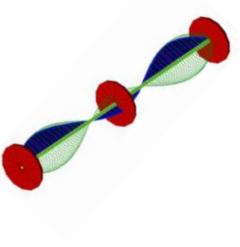
# ANISOTROPIC BEARINGS

FORWARD  
WHEEL

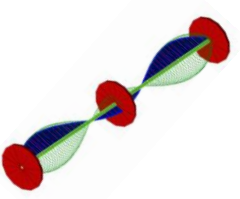


# BACKWARDS





# Damped *Rotors*



# Damping + unbalance

- Unbalance

$$\frac{d}{dt} \left( m \frac{d}{dt} x_{cg} \right) = -kx - c\dot{x}$$

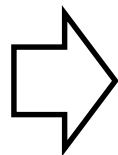
$$\frac{d}{dt} \left( m \frac{d}{dt} y_{cg} \right) = -ky - c\dot{y}$$

$$\ddot{x} + 2\zeta\omega_n\dot{x} + \omega_n^2 x = \varepsilon\Omega^2 \cos \Omega t$$

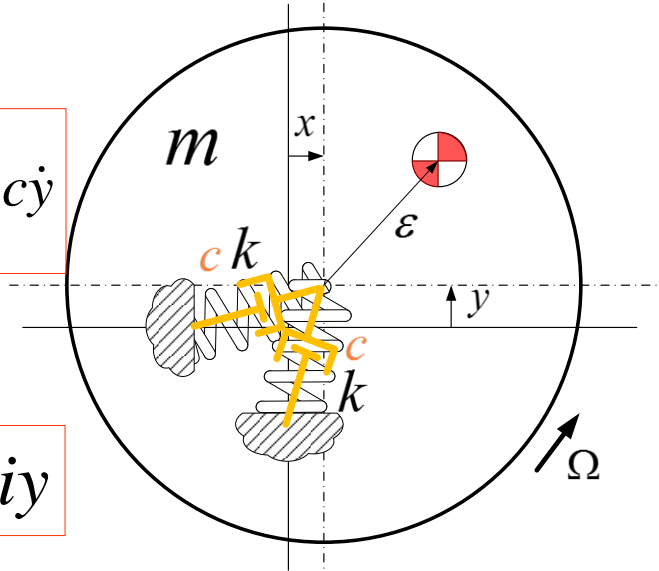
$$\ddot{y} + 2\zeta\omega_n\dot{y} + \omega_n^2 y = \varepsilon\Omega^2 \sin \Omega t$$

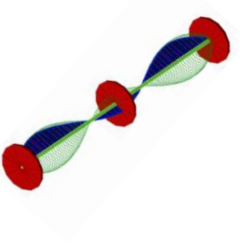
$$r \triangleq x + iy$$

$$\ddot{r} + 2\zeta\omega_n\dot{r} + \omega_n^2 r = \varepsilon\Omega^2 e^{i\Omega t}$$

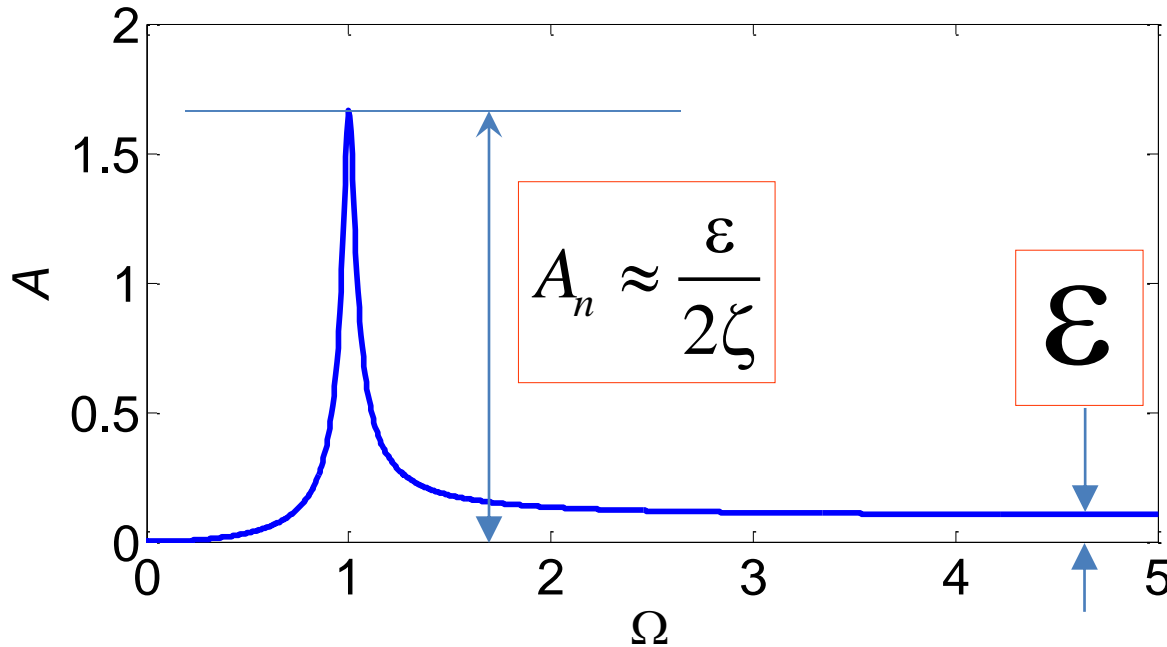


$$r = \frac{\varepsilon\Omega^2}{\omega_n^2 - \Omega^2 + i2\zeta\omega_n\Omega} e^{i\Omega t}$$



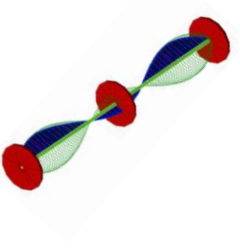


# Amplitude vs speed

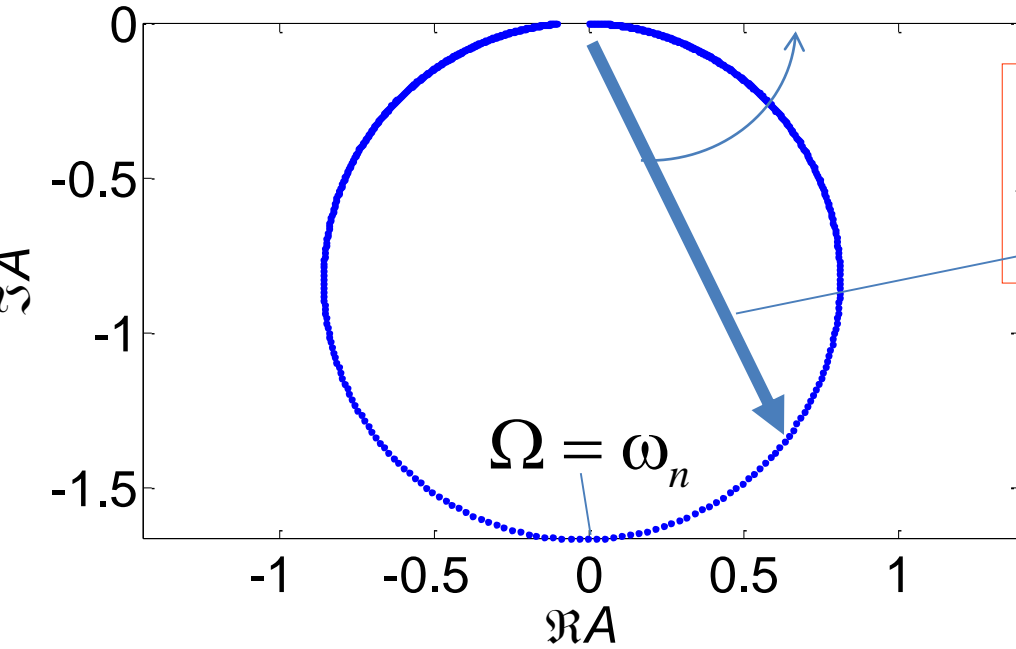


- Does damping affect self centering?

$$r = \frac{\varepsilon \Omega^2}{\omega_n^2 - \Omega^2 + i2\zeta\omega_n\Omega} e^{i\Omega t} \xrightarrow{\Omega \gg \omega_n} r = \frac{\varepsilon \Omega^2}{-\Omega^2} e^{i\Omega t} = -\varepsilon e^{i\Omega t}$$

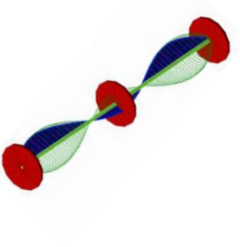


# Polar plot

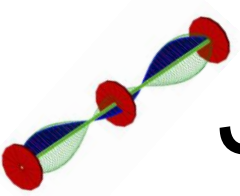


$$A = \frac{\varepsilon \Omega^2}{\omega_n^2 - \Omega^2 + i2\zeta\omega_n\Omega}$$





# ***Rotors*** in body-fixed coordinates

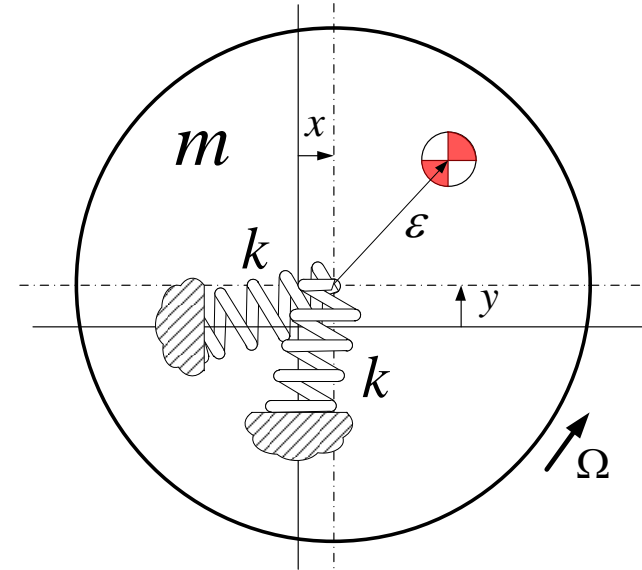


# Jeffcott rotor – steady state response

$$m\ddot{r} + kr = m\varepsilon\Omega^2 e^{i\Omega t}$$

Put in Eq.

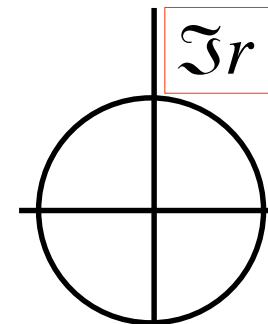
$$r = Ae^{i\Omega t} + BAe^{-i\Omega t}$$

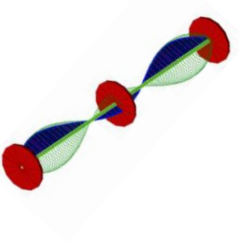


$$r = \frac{\varepsilon\Omega^2}{\omega_n^2 - \Omega^2} e^{i\Omega t}$$

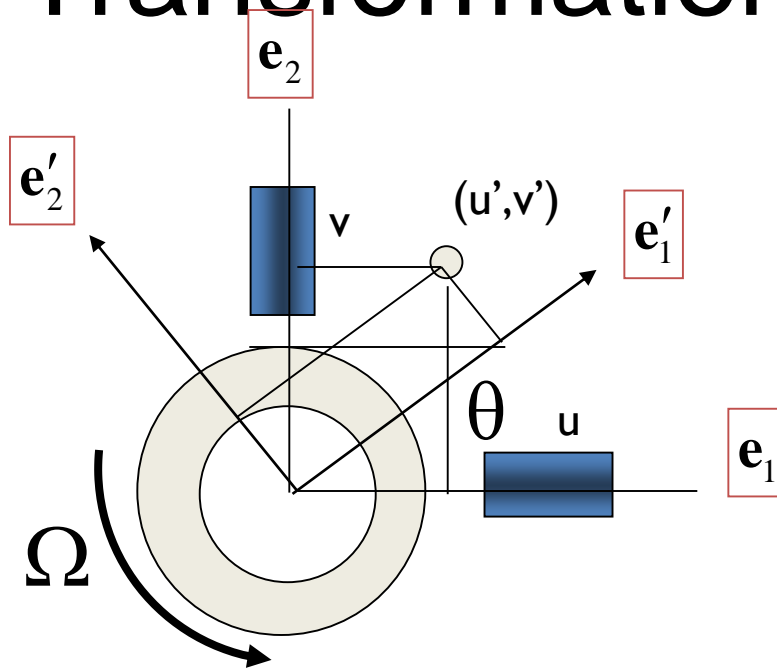
$$\omega_n^2 = \frac{k}{m}$$

orbit





# Transformation of axes



$$\theta = \int_0^t \Omega(\tau) d\tau$$

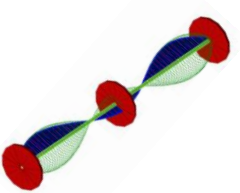
vector

$$\mathbf{r} = u\mathbf{e}_1 + v\mathbf{e}_2 = u'\mathbf{e}'_1 + v'\mathbf{e}'_2$$

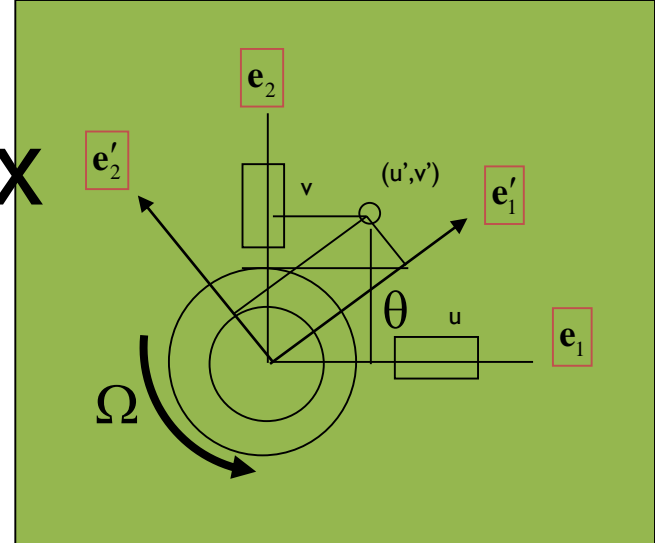
Complex  
representation

$$r = u + i v$$

$$\rho = u' + i v'$$



# Transformation matrix (proof)

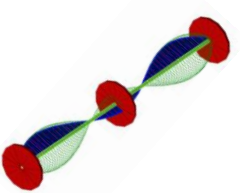


$$\mathbf{r} \cdot \mathbf{e}'_1 = u\mathbf{e}_1 \cdot \mathbf{e}'_1 + v\mathbf{e}_2 \cdot \mathbf{e}'_1 = u'\mathbf{e}'_1 \cdot \mathbf{e}'_1 + v'\mathbf{e}'_2 \cdot \mathbf{e}'_1$$

$$\mathbf{r} \cdot \mathbf{e}'_1 = u \cos \theta + v \sin \theta = u'$$

$$\mathbf{r} \cdot \mathbf{e}'_2 = u\mathbf{e}_1 \cdot \mathbf{e}'_2 + v\mathbf{e}_2 \cdot \mathbf{e}'_2 = u'\mathbf{e}'_1 \cdot \mathbf{e}'_2 + v'\mathbf{e}'_2 \cdot \mathbf{e}'_2$$

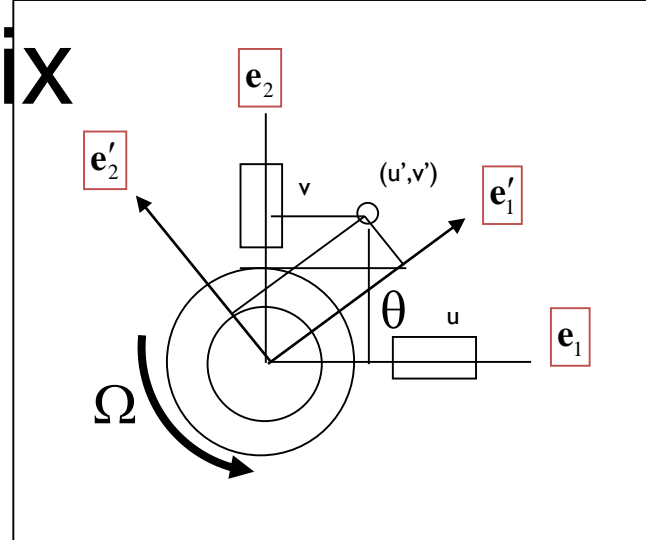
$$\mathbf{r} \cdot \mathbf{e}'_2 = -u \sin \theta + v \cos \theta = v'$$



# Transformation matrix (proof)

$$\begin{bmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{bmatrix} \begin{pmatrix} u \\ v \end{pmatrix} = \begin{pmatrix} u' \\ v' \end{pmatrix}$$

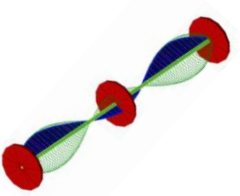
$$\begin{pmatrix} u \\ v \end{pmatrix} = \begin{bmatrix} \cos \theta & -\sin \theta \\ \sin \theta & \cos \theta \end{bmatrix} \begin{pmatrix} u' \\ v' \end{pmatrix}$$



← Matrix transformation  
of cords

$$u' \cos \theta - v' \sin \theta + i (u' \sin \theta + v' \cos \theta) = u + i v$$

$$\rho e^{i\theta} = r \quad \leftarrow \text{Complex transformation of cords}$$



# EQ of motion in body cords

- Stationary cords & transformation

$$\ddot{r} + \omega_n^2 r = \varepsilon \Omega^2 e^{i\Omega t}$$

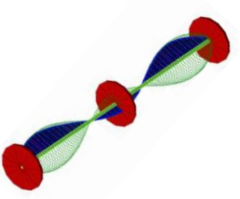
$$\rho e^{i\theta} = \rho e^{i\Omega t} = r$$

$$\left( \ddot{\rho} + 2i\Omega \dot{\rho} - \rho \Omega^2 \right) e^{i\Omega t} + \omega_n^2 \rho e^{i\Omega t} = \varepsilon \Omega^2 e^{i\Omega t}$$

$$\left( \ddot{\rho} + 2i\Omega \dot{\rho} - \rho \Omega^2 \right) + \omega_n^2 \rho = \varepsilon \Omega^2$$

A strain gauge would  
measure that

$$\rho = \overbrace{\frac{\varepsilon \Omega^2}{\omega_n^2 - \Omega^2}}^{\text{steady}} + \overbrace{\rho_1 e^{i\lambda_1 t} + \rho_2 e^{i\lambda_2 t}}^{\text{transient}}$$



# transient in body cords

$$\left( \ddot{\rho} + 2i \Omega \dot{\rho} - \rho \Omega^2 \right) + \omega_n^2 \rho = \varepsilon \Omega^2 = 0$$

Propose a solution

$$\rho = \rho_0 e^{\lambda t}$$

$$\left( \lambda^2 + 2i\lambda\Omega + \omega_n^2 - \Omega^2 \right) \rho_0 e^{\lambda t} = 0$$

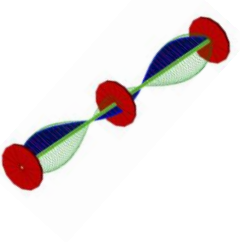


$$\lambda_{1,2} = -i(\omega_n \pm \Omega)$$

A strain gauge would  
measure that

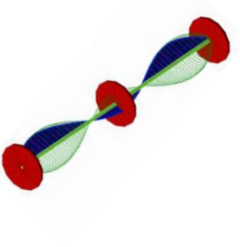
$$\rho = \underbrace{\frac{\varepsilon \Omega^2}{\omega_n^2 - \Omega^2}}_{\text{steady}} + \underbrace{\rho_1 e^{-i(\omega_n + \Omega)t} + \rho_2 e^{i(\omega_n - \Omega)t}}_{\text{transient}}$$

When a disturbance occurs, **the apparent frequency is shifted**



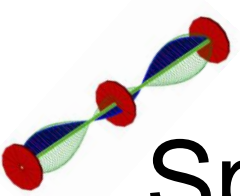
# List of reference books





# Most relevant books

- **Dynamics of rotating systems, 2005**
  - Giancarlo Genta
- **Rotordynamik (German Edition), 2007**
  - Robert Gasch, Rainer Nordmann, Herbert Pfützner



# Specific books (rotating machines)

- Ehrich, Fredric F. editor, Handbook of rotordynamics
- Childs, Dara, Turbomachinery rotordynamics : 1993.
- Lalanne, Michel, Rotordynamics prediction in engineering
- Vance, John M. Machinery Vibration and Rotordynamics
- Adams, Maurice L. Rotating machinery vibration : 2001.
- Wowk, Victor, Machinery vibration : balancing 1995.
- Kramer, Erwin, Dynamics of rotors and foundations, 1993.