



Emotion Recognition In The Wild Challenge and Workshop (EmotiW 2013)

# Partial Least Squares Regression on Grassmannian Manifold for Emotion Recognition

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# Outline

- Problem
- Related work
- Our Method
- Experiments
- Conclusion

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# Emotion recognition in the wild

- Challenges
  - Large data variations
    - head pose, illumination, partial occlusion, etc.
  - Lack of labeled data
    - Manual annotation is hard as spontaneous expression is ambiguous in the real world.



# Outline

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# Video-based emotion recognition

- Acoustic information based
  - Time domain and frequency domain
    - e.g. pitch, intensity, pitch contour, Low Short-time Energy Ratio (LSTER), maximum bandwidth, ...
- Vision information based
  - Spatial space and temporal space
    - e.g. Optical flow, 3D descriptor (LBP-TOP, HOG 3D), tracking based (AAM, CLM), probabilistic graph model (HMM, CRF), ...

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# Our method

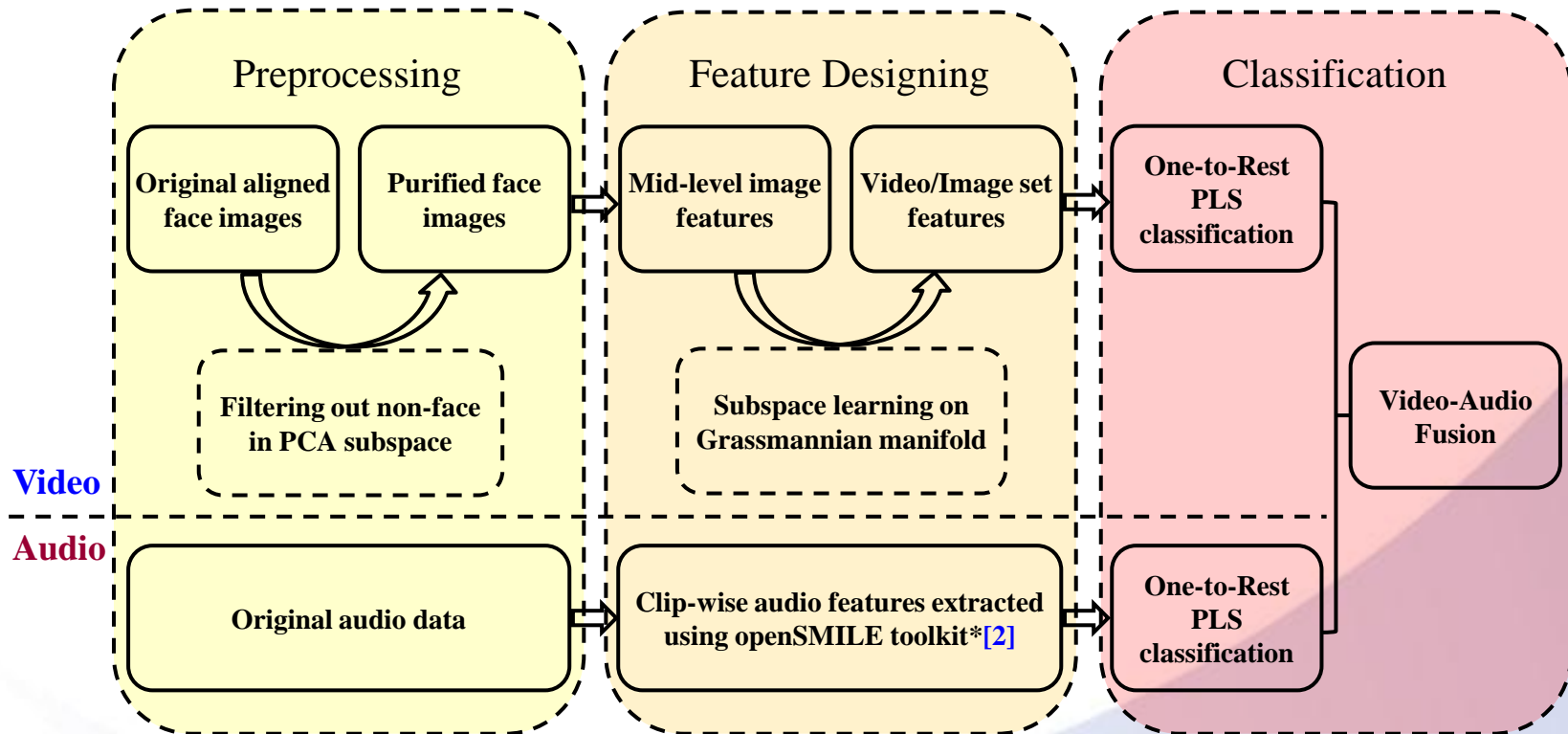
- Key issue
  - How to model the emotion video clip?
- Motivation
  - Alleviate the effect of **mis-alignment** of facial images
  - Encode the **data variations** among video frames
- Basic idea
  - Inspired by recent progress of **image set-based face recognition** [1]
  - Treat the **video clip as an image set**, i.e., a collection of frames
  - **Linear subspace** for video (image set) modeling

[1] R. Wang, H. Guo, L. S. Davis, and Q. Dai. Covariance discriminative learning: A natural and efficient approach to image set classification. CVPR, 2012.



# Our method

- An overview



[2] F. Eyben, M. Wollmer, and B. Schuller. Opensmile: the munich versatile and fast open-source audio feature extractor. ACM MM, 2010.

# Our method

- Preprocessing

- Original face alignment using MoPS [3] (*provided by organizer*)
- Purification of face images

- Original aligned face images set:  $X = \{x_1, x_2, \dots, x_n\}, x_i \in R^D$ .
- PCA projection learned on  $X$  by preserving low energy:  $W$ .
- Mean reconstruction error of each image:

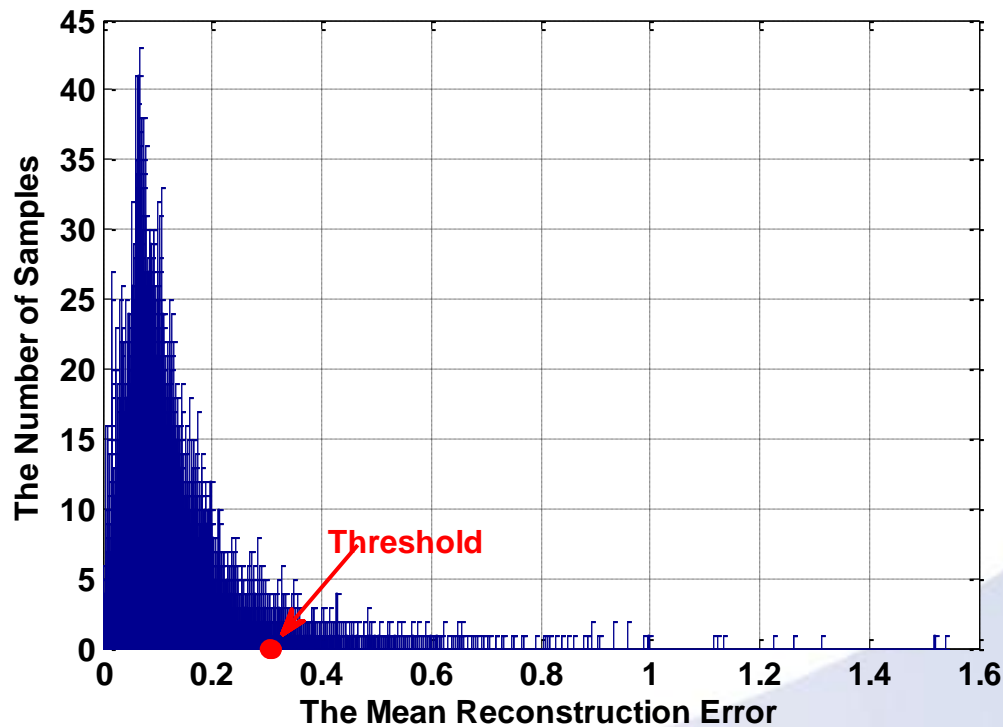
$$MeanErr_t = \frac{1}{D} ||x_t - W^T W x_t||^2$$

- Non-face/Badly-aligned face images tend to have large  $MeanErr_t$ .

[3] X. Zhu, and D. Ramanan. Face detection, pose estimation, and landmark localization in the wild. CVPR, 2012.

# Our method

- Preprocessing
  - The distribution of  $MeanErr_t$  on training set in EmotiW2013.



\* **Threshold** is for filtering out non-face in PCA space.

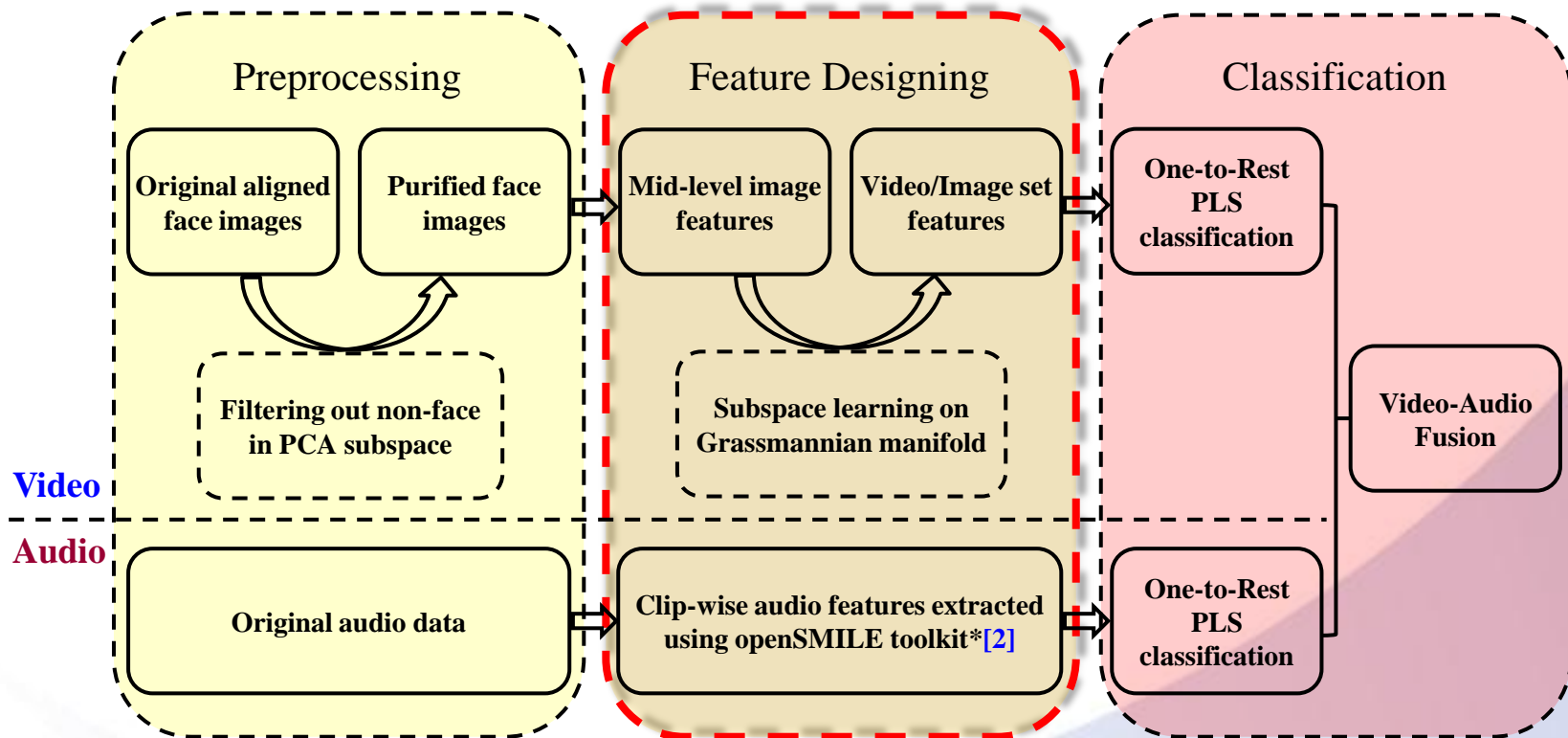
# Our method

- Preprocessing
  - An example of 100 samples with **largest** mean reconstruction **error**. Most are non-face images or mis-alignment results.



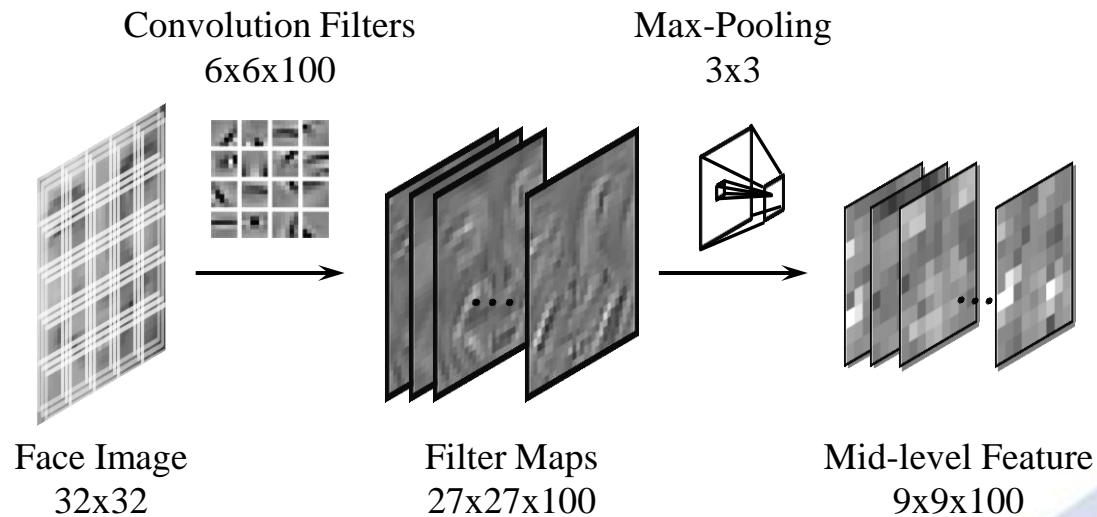
# Our method

- An overview



# Our method

- Feature designing
  - Image feature [4]



[4] M. Liu, S. Li, S. Shan, X. Chen. AU-aware Deep Networks for Facial Expression Recognition. FG, 2013.

# Our method

- Feature designing

- Video feature

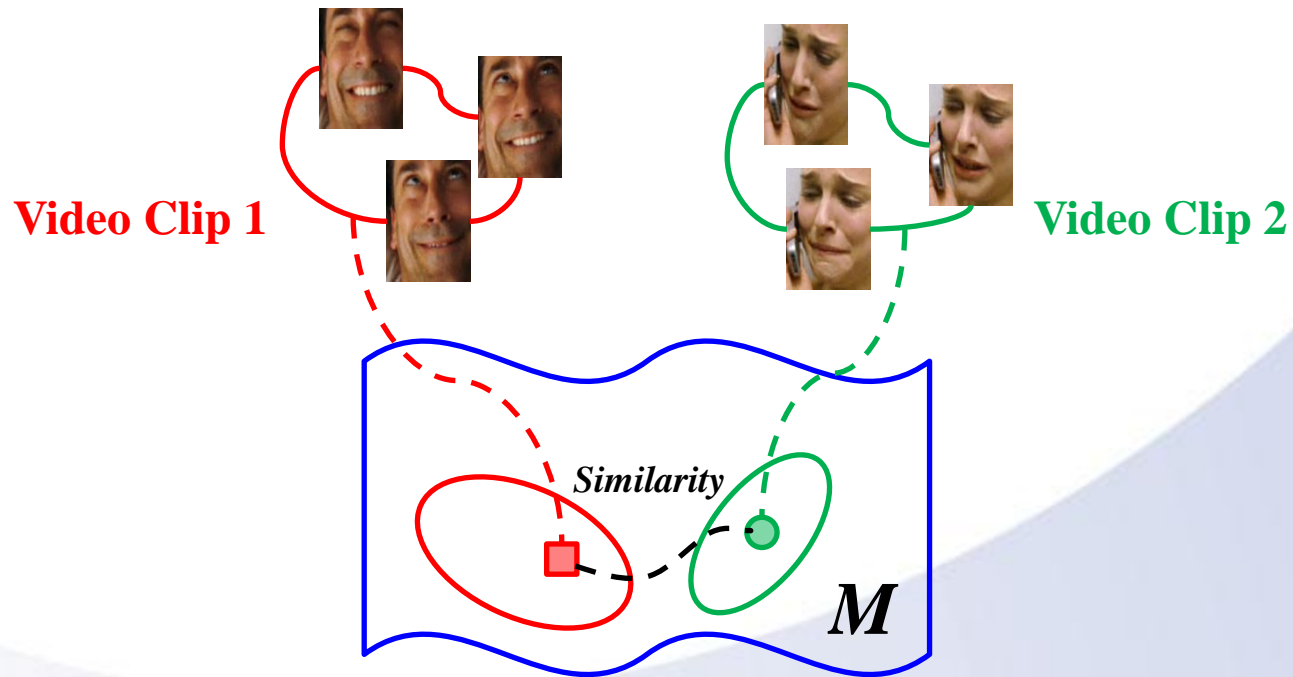
- Each video clip is a set of images, denoted as  $S_i \in R^{f \times n_i}$ , where  $f$  is the dimension of image feature, and  $n_i$  is the number of frames.
    - The video  $S_i$  can be represented as a linear subspace  $P_i$ , s.t.

$$S_i S_i^T = P_i \Lambda_i P_i^T$$

- Thus all the video clips can be modeled as a collection of subspaces, which are also the points on Grassmannian manifold.

# Our method

- Feature designing
  - Video feature
    - An illustration of subspaces on Grassmannian manifold





# Our method

- Feature designing

- Video feature

- The similarity between two points  $P_i$  and  $P_j$  on manifold  $M$  can be measured by a linear combination of Grassmannian kernels.

- Projection kernel[5]:  $k_{ij}^{[proj]} = ||P_i^T P_j||_F^2$ .

- Canonical correlation kernel[6]:  $k_{ij}^{[CC]} = \max_{a_p \in \text{span}(P_i)} \max_{b_q \in \text{span}(P_j)} a_p^T b_q$ .

- Linear combination:  $k_{ij}^{[com]} = k_{ij}^{[proj]} + \alpha k_{ij}^{[CC]}$ .

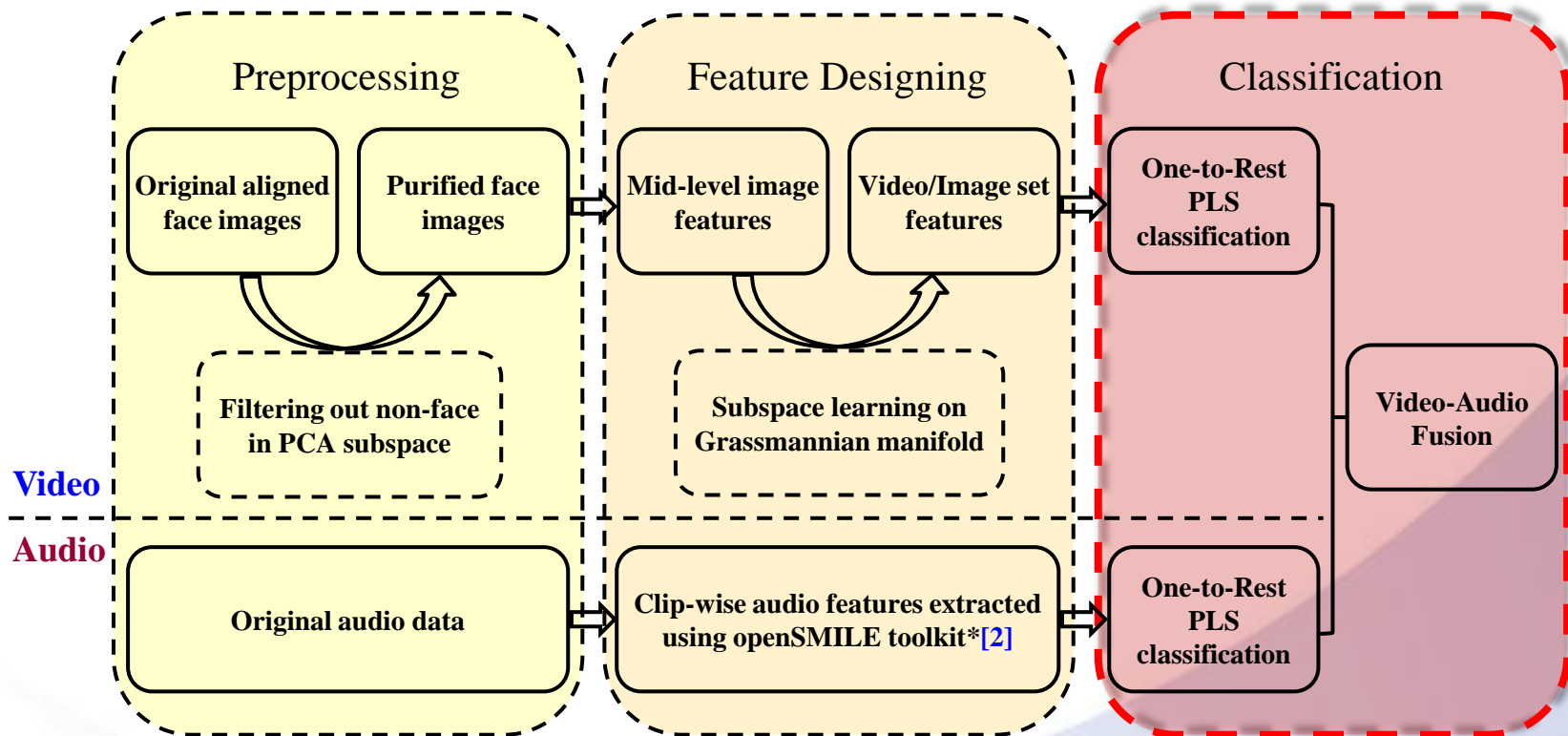
- The kernels of each point (i.e., each video) to all training points serve as its **final feature representation** for classification.

[5] J. Hamm, D. Lee. Grassmann discriminant analysis: a unifying view on subspace-based learning. ICML, 2008.

[6] M. Harandi, C. Sanderson, S. Shirazi, B.C. Lovell. Graph embedding discriminant analysis on Grassmannian manifolds for improved image set matching. CVPR, 2011.

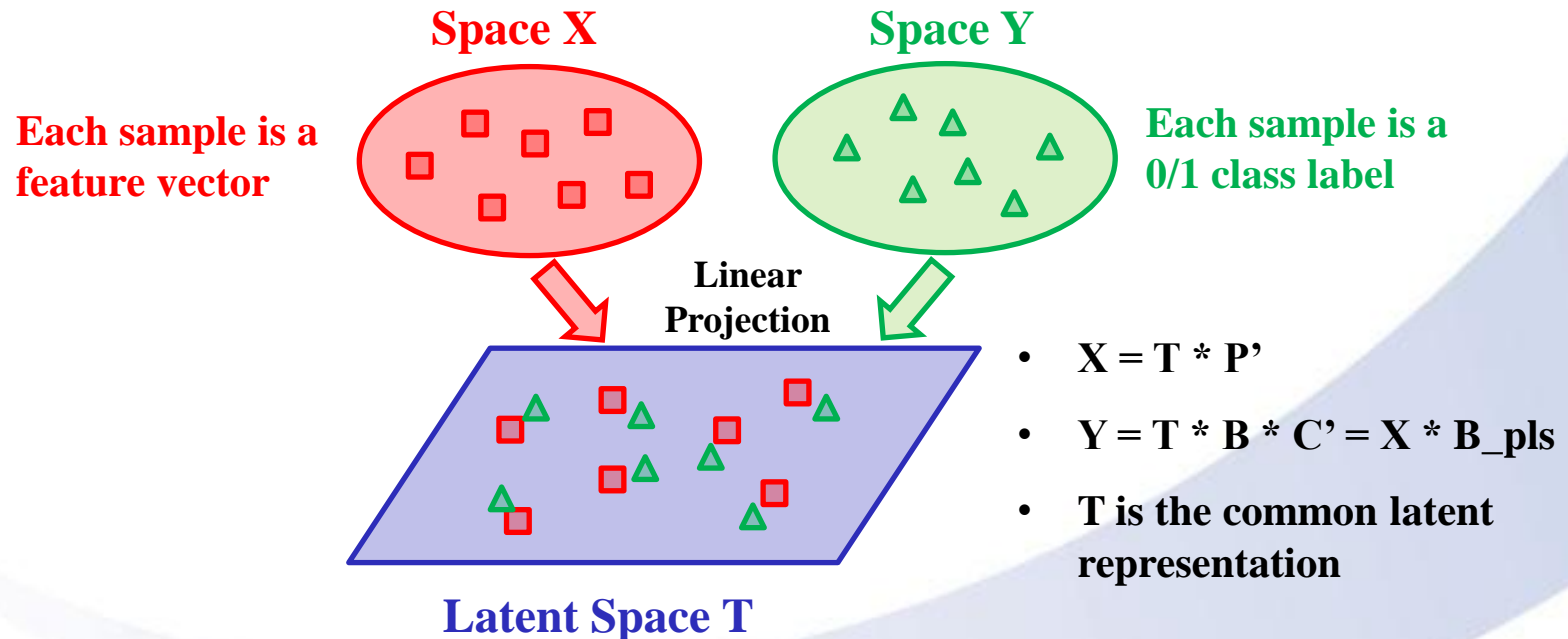
# Our method

- An overview



# Our method

- Classification
  - Partial Least Squares (PLS) for classification [1]
    - Maximize the covariance between observations and class labels



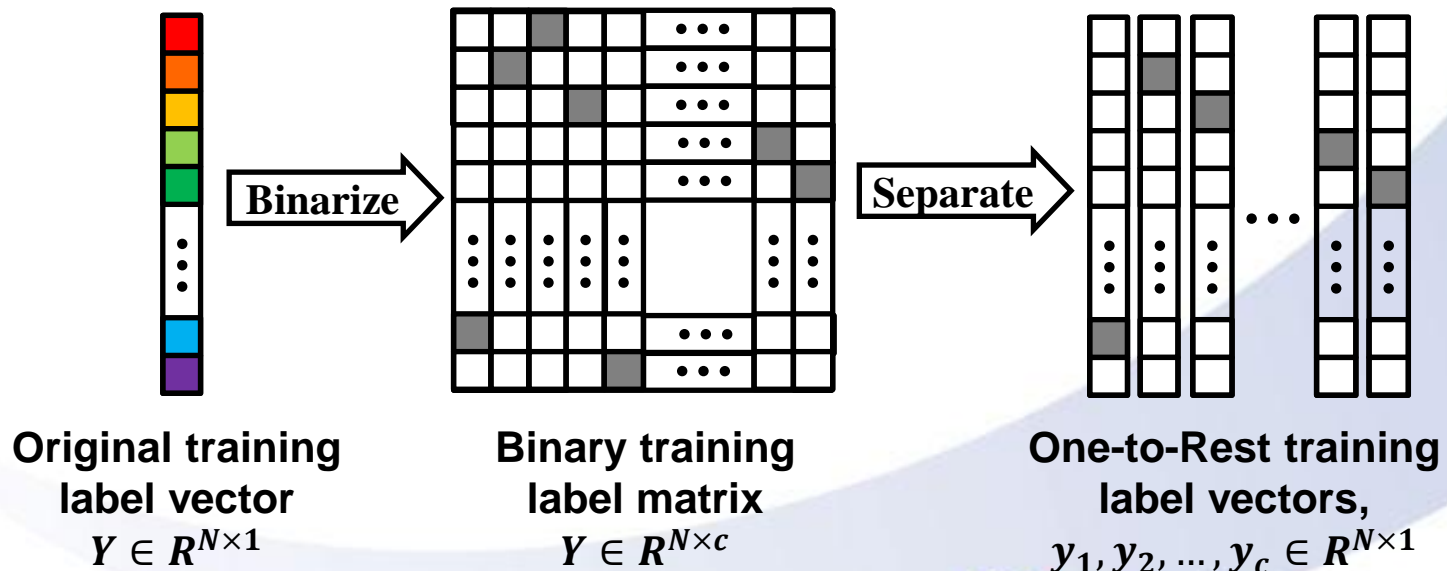
[1] R. Wang, H. Guo, L. S. Davis, and Q. Dai. Covariance discriminative learning: A natural and efficient approach to image set classification. CVPR, 2012.

# Our method

- Classification

- One-to-Rest PLS

- Suppose there are  $c$  categories and  $N$  training samples, we train  $c$  One-to-Rest PLS classifiers to predict each class simultaneously.
    - Effectively to handle the hard classes, e.g. “Sad” vs. “Disgust”

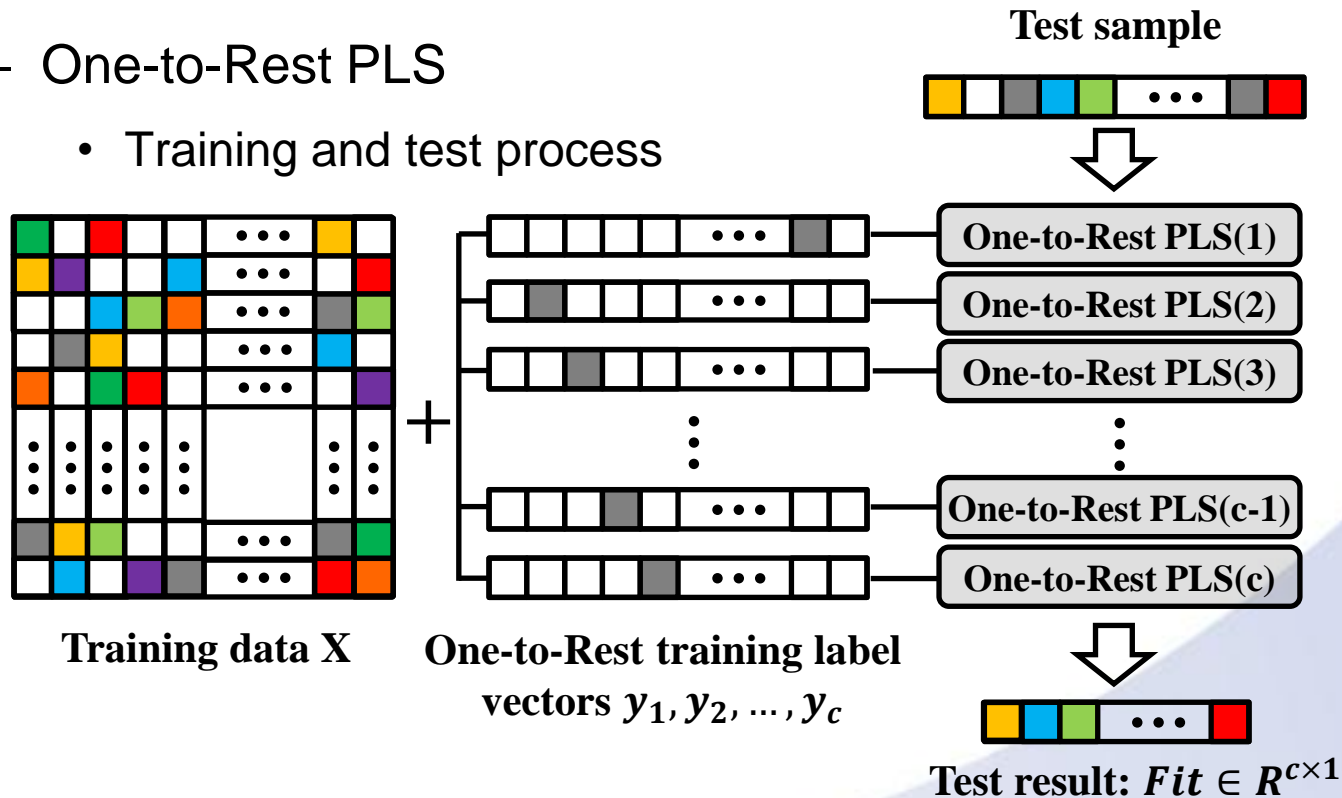


# Our method

- Classification

- One-to-Rest PLS

- Training and test process



# Our method

- Classification

- Video-Audio fusion for final test output

- For a given test video, using the  $c$  PLS classifiers for video and audio respectively, we obtain two prediction vectors

$$Fit^{video}, Fit^{audio} \in R^{c \times 1}.$$

- We conduct a linear fusion at decision level using weighted parameter  $\lambda$

$$Fit^{fusion} = (1 - \lambda) Fit^{video} + \lambda Fit^{audio}.$$

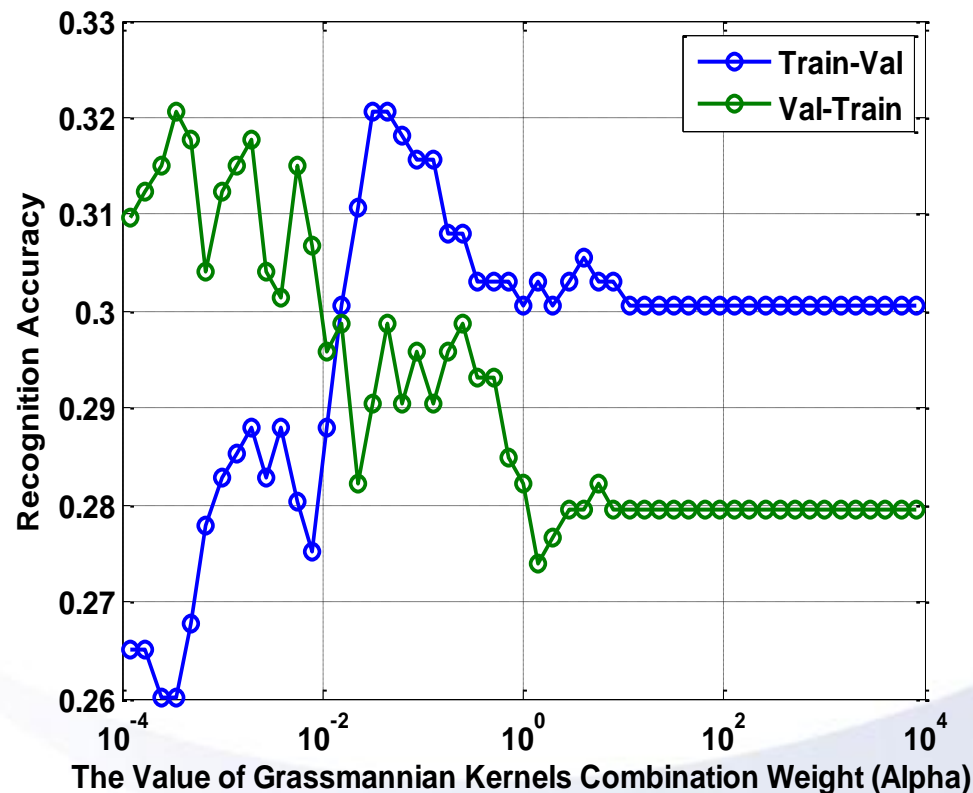
- The category corresponding to the maximum value in  $Fit^{fusion}$  is determined to be the recognition result.

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# Experiments

- Discussion of Parameters
  - The fusion weights of Grassmannian kernels



$$k_{ij}^{[com]} = k_{ij}^{[proj]} + \alpha k_{ij}^{[CC]}$$

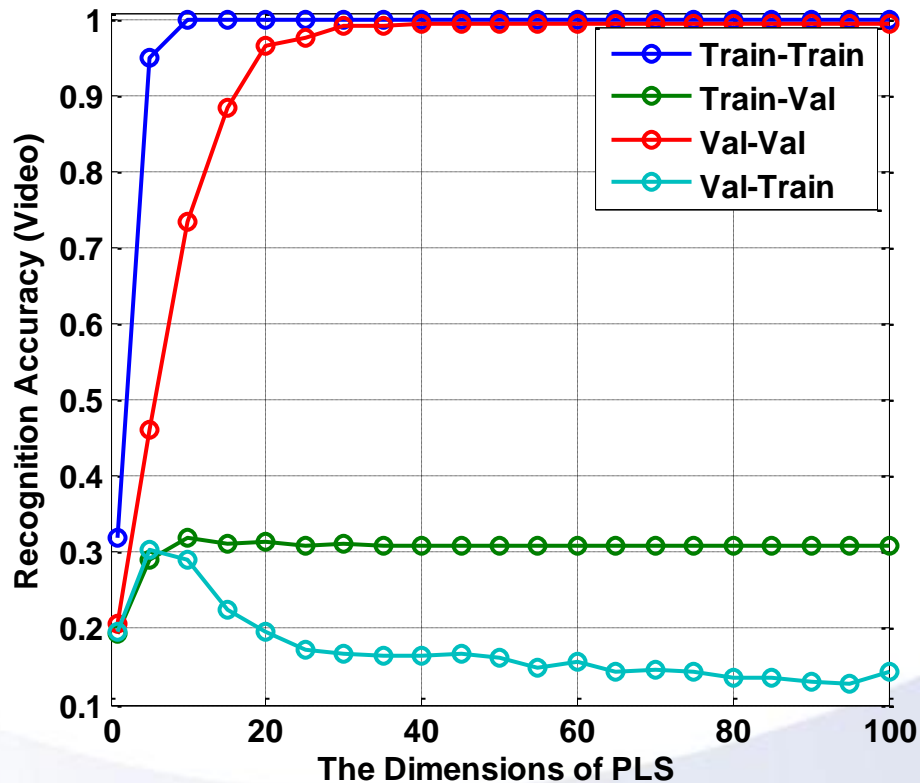
**Train-Val:** @  $\alpha = 2^{-6}, 2^{-5}$

**Val-Train:** @  $\alpha = 2^{-10}$



# Experiments

- Discussion of Parameters
  - The dimension of One-to-Rest PLS (video)

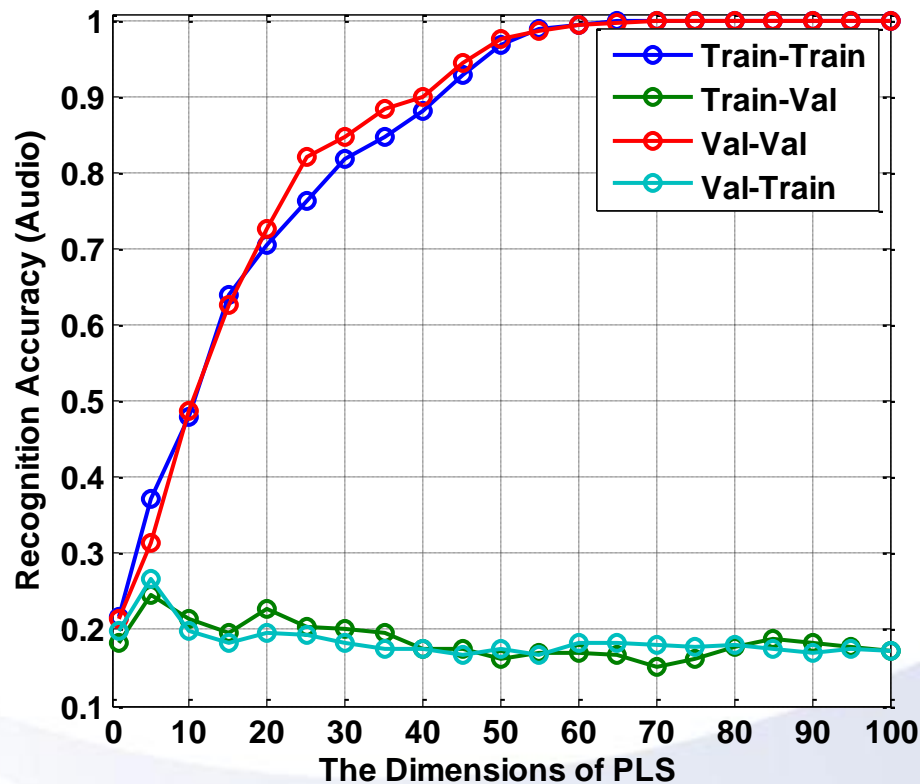


**Train-Val: @ $dim = 10$**

**Val-Train: @ $dim = 5$**

# Experiments

- Discussion of Parameters
  - The dimension of One-to-Rest PLS (audio)

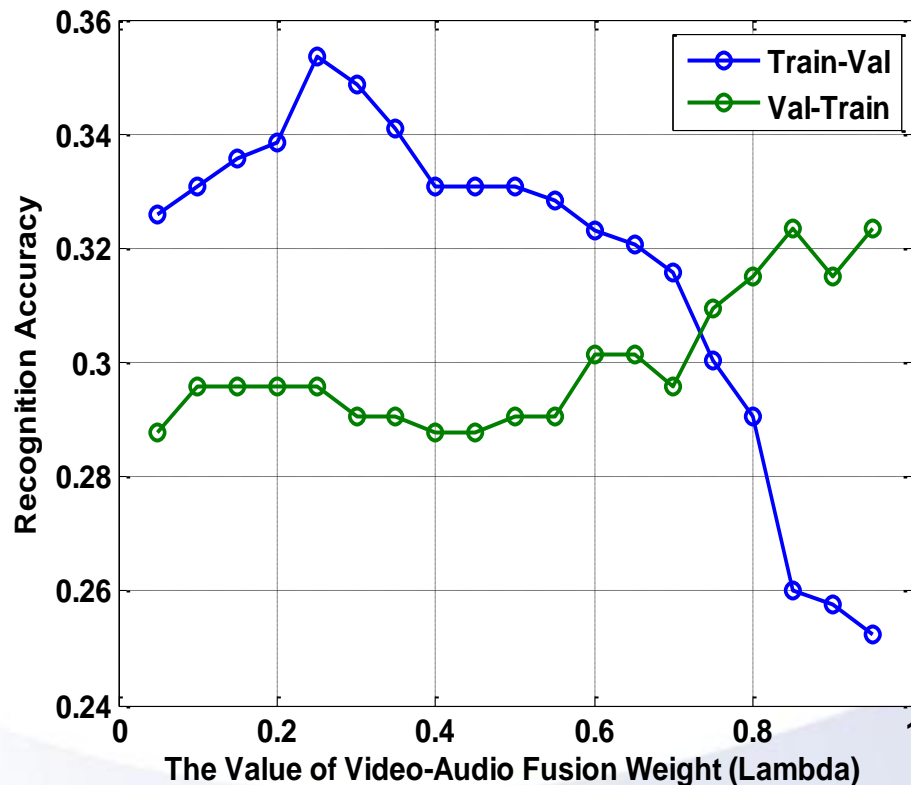


**Train-Val: @ $dim = 5$**

**Val-Train: @ $dim = 5$**

# Experiments

- Discussion of Parameters
  - The fusion weights of video and audio modalities



$$Fit^{fusion} = (1 - \lambda) Fit^{video} + \lambda Fit^{audio}$$

**Train-Val: @ $\lambda = 0.25$**

**Val-Train: @ $\lambda = 0.85$**

# Experiments

- Results comparison

| Performance Comparison |              | Audio only      | Video only                             |  | Audio + Video        |                 |                       |                       |
|------------------------|--------------|-----------------|--|--|----------------------|-----------------|-----------------------|-----------------------|
|                        |              |                 |  |  | Original data        |                 |                       | Purified data         |
|                        |              | One-to-Rest PLS | Grassmannian Discriminant Analysis [6] | Grassmannian Kernels + One-to-Rest PLS | Feature-level fusion |                 | Decision-level fusion | Decision-level fusion |
|                        |              |                 |  |  | Multi-class LR       | One-to-Rest PLS | One-to-Rest PLS       |                       |
| <i>Ours</i>            | <i>Val</i>   | 24.49 %         | 30.81%                                 | 32.07%                                 | 22.48%               | 24.24%          | 34.34%                | 35.86%                |
|                        | <i>Test*</i> | --              | 24.04%                                 | --                                     | --                   | 26.28%          | 33.01%                | 34.61%                |
| <i>Baseline</i>        | <i>Val</i>   | 19.95%          | 27.27%                                 |  | 22.22%               |                 |                       |                       |
|                        | <i>Test</i>  | 22.44%          | 22.75%                                 |  | 27.56%               |                 |                       |                       |

[6] M. Harandi, C. Sanderson, S. Shirazi, B.C. Lovell. Graph embedding discriminant analysis on Grassmannian manifolds for improved image set matching. CVPR, 2011.

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# Conclusion

- Key points of the current method
  - PCA-based **data purifying** to filter out mis-alignment faces
  - **Linear subspace modeling** of video data variations
  - Multiple video features fusion by **Grassmannian kernels combination**
  - **Multi-modality fusion** at decision level of video and audio
- Issues to further address
  - Exploration of **video temporal dynamics** information
  - More sophisticated **video modeling**
  - More effective fusion at **feature level**
  - ...

**Thank you.**  
**Question?**