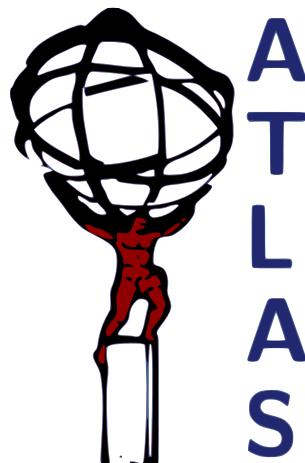


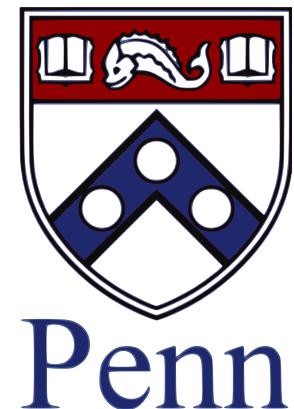
# Searching for new physics in high-mass ditau events at ATLAS



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# Outline

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## 1. Introduction

Standard Model, LHC, ATLAS

## 2. TRT commissioning

threshold calibration, hit efficiency

## 3. Tau performance

reconstruction, cut-based ID, pile-up robustness

## 4. SM $Z \rightarrow \tau\tau$

selection design, observation, cross section

## 5. $Z' \rightarrow \tau\tau$

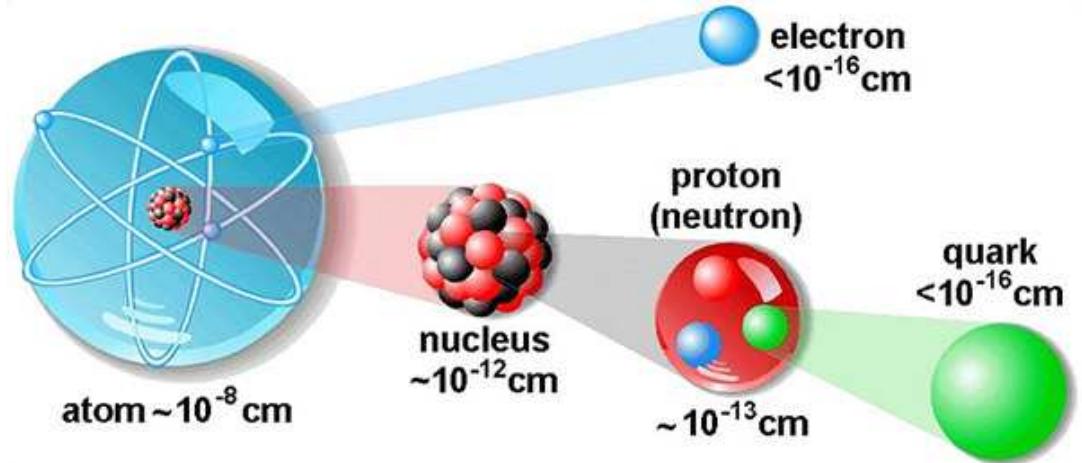
fake factor method, exclusion

# Particle Physics

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Fundamental questions  
of particle physics:

1. *What is matter?*
2. *How does it interact?*



Four fundamental forces at low energies:

- |                     |  |
|---------------------|--|
| 1. Gravity          | - very weak, no complete quantum theory      |
| 2. Electromagnetism | - binds atoms, chemistry                     |
| 3. Strong force     | - nuclear range, binds nuclei                |
| 4. Weak force       | - nuclear range, radioactivity, solar fusion |

# Standard Model

- In QFT, **fields** are actually what is fundamental, and particles are localized excitations in the fields.

- **Gauge symmetries** determine the character of the forces between fermion fields through gauge bosons.

- The SM gauge group is  $SU(3)_C \times SU(2)_L \times U(1)_Y$



**Strong  
force**

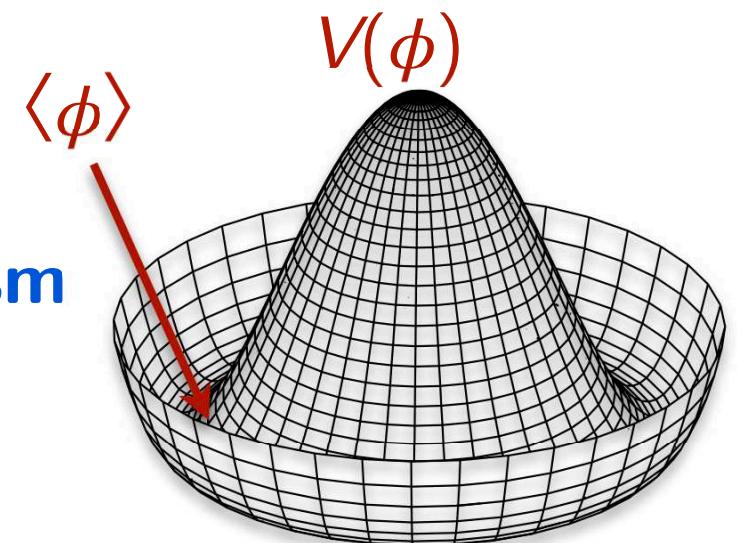


**Higgs mechanism**

**EM + weak forces**

Fermions			Bosons	Force carriers
Quarks	$u$ up	$c$ charm	$t$ top	
	$d$ down	$s$ strange	$b$ bottom	$Z$ $Z$ boson
Leptons	$\nu_e$ electron neutrino	$\nu_\mu$ muon neutrino	$\nu_\tau$ tau neutrino	$W$ $W$ boson
	e electron	$\mu$ muon	$\tau$ tau	$g$ gluon

Higgs boson



July 4, 2012

# CERN announces the discovery of a new particle by ATLAS and CMS, consistent with the Higgs boson



The New York Times

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NEW YORK, THURSDAY, JULY 5, 2012

\$2.50

ROMNEY NOW SAYS  
HEALTH MANDATE  
BY OBAMA IS A TAX  
SHUTS DOWN CRITICISM

More Aligns Him With  
Conservative Voices  
Within His Party

By JONATHAN W. PETERS  
WOLFGANG, AUSTRIA — Most Austrians here seem to be relieved that President Obama's health care mandate was to fail a test, shifting his political character from a moderate to a conservative, and aligning himself with the conservative members of his party.



July 5 cover of the New York Times:  
***Physicists Find Elusive Particle  
Seen as Key to the Universe***

# Why the Standard Model?

- Why the **gauge group**  $SU(3)_C \times SU(2)_L \times U(1)_Y$ ?
- Why are there **3 generations** of quarks and leptons?
- Why are lepton and hadron charges quantized in the same units? Why the existing **hypercharges**?

$$Q_{\text{EM}} = T_{3L} + Y/2$$

*Is it because...*

- ***the gauge group of Nature is actually bigger?***

$$SO(10) \rightarrow SU(5) \times U(1)$$

Georgi-Glashow

$$SO(10) \rightarrow SU(4)_C \times SU(2)_L \times SU(2)_R$$

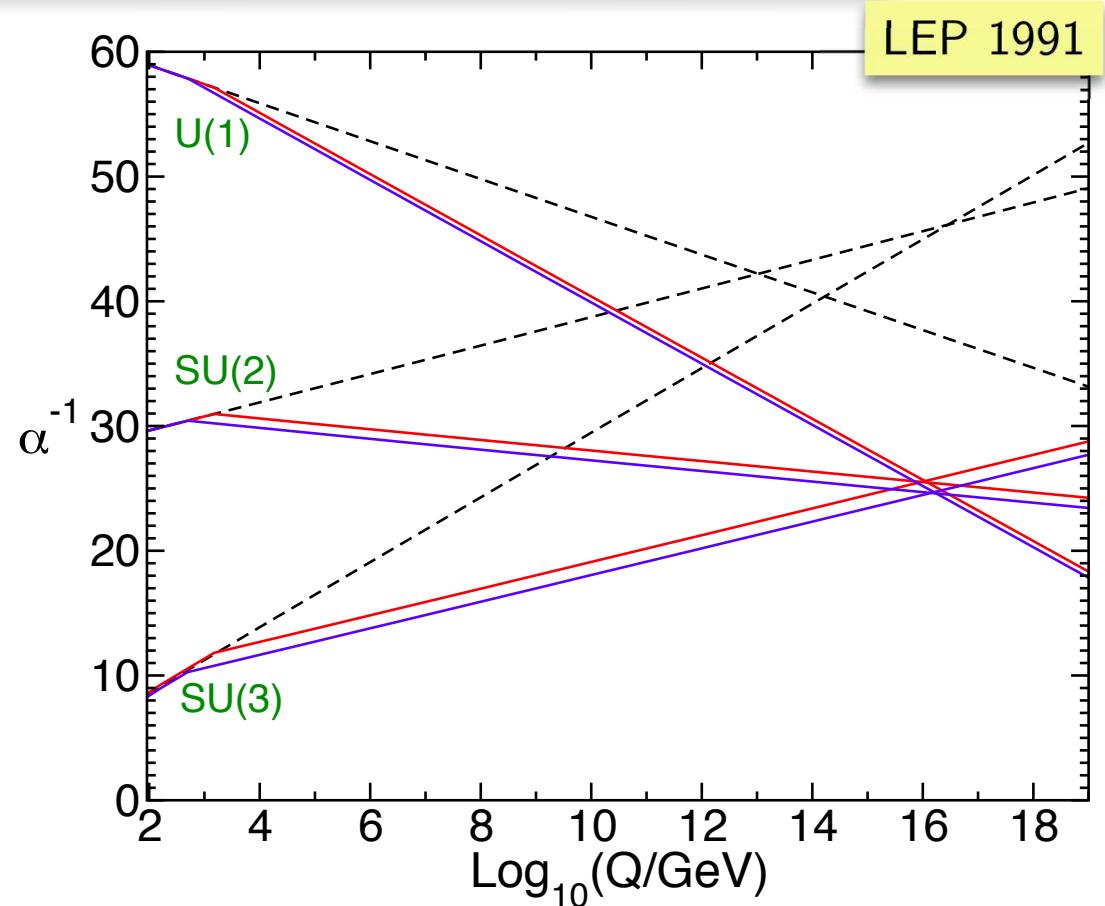
Pati-Salam

1974

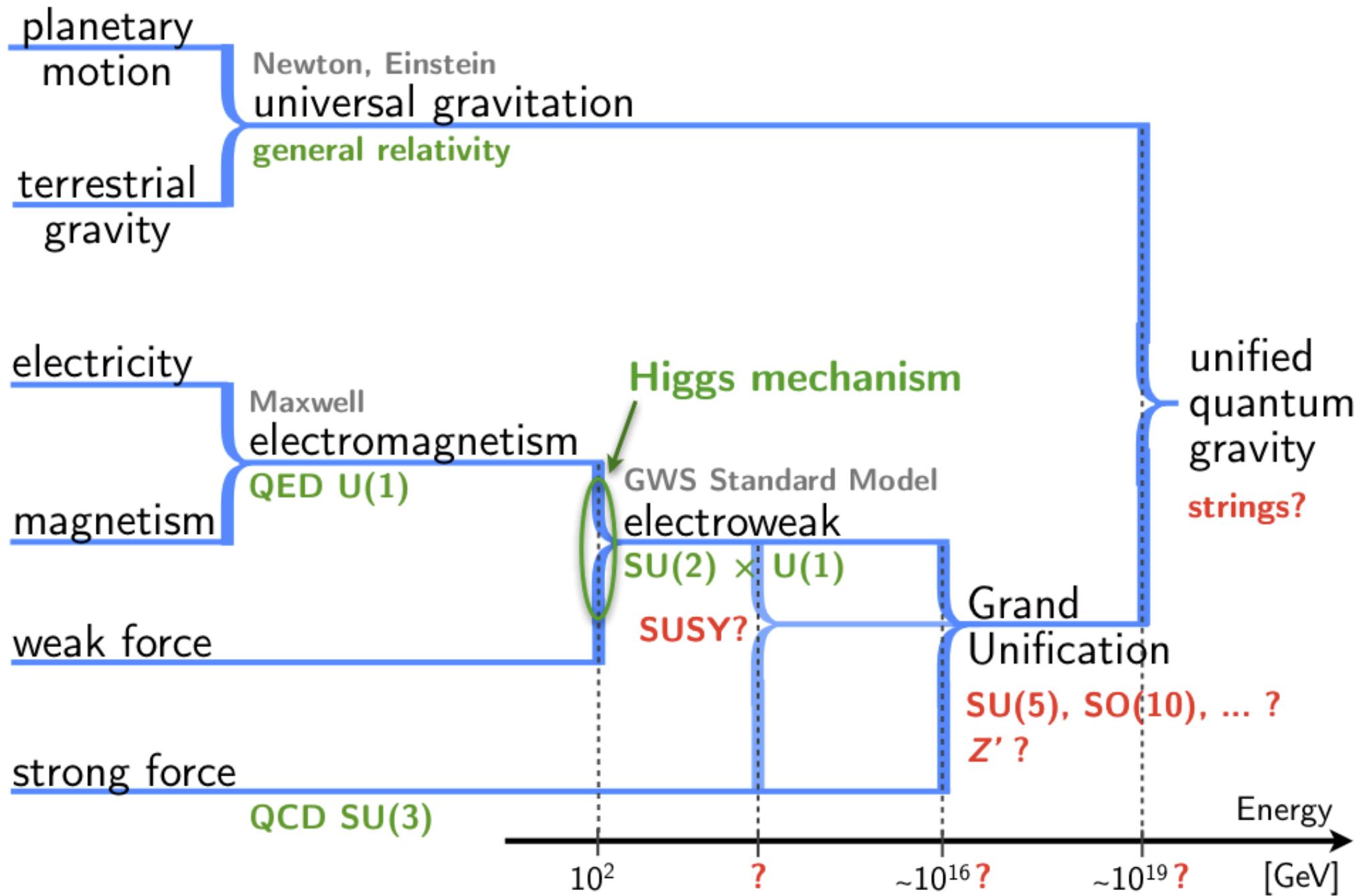
- e.g. Pati-Salam  $SO(10)$ :  $Q_{\text{EM}} = T_{3L} + T_{3R} + 1/2(B - L)$
- ***Could it be that the SM is the product of a larger symmetry breaking process than just electroweak symmetry breaking?***

# Running of the couplings

- After precision measurements of the SM couplings at LEP, one could run the couplings according to the RGEs to higher energies.
- The SM ***couplings apparently converge***, motivating the possibility of grand unification.
- Moreover, the unification seems to require **Supersymmetry**, but the extrapolation is over  $10^{14}$  orders, and we need more experimental clues.
- Z' bosons occur in theories with additional U(1) symmetries.**
- Best limits on  $Z' \rightarrow ee/\mu\mu$  are**
  - $M > 2.86 \text{ TeV ATLAS [ATLAS-CONF-2013-017]}$**
  - $M > 2.96 \text{ TeV CMS [CMS-PAS-EXO-12-061]}$**
- Important to test the couplings to all lepton flavors.**

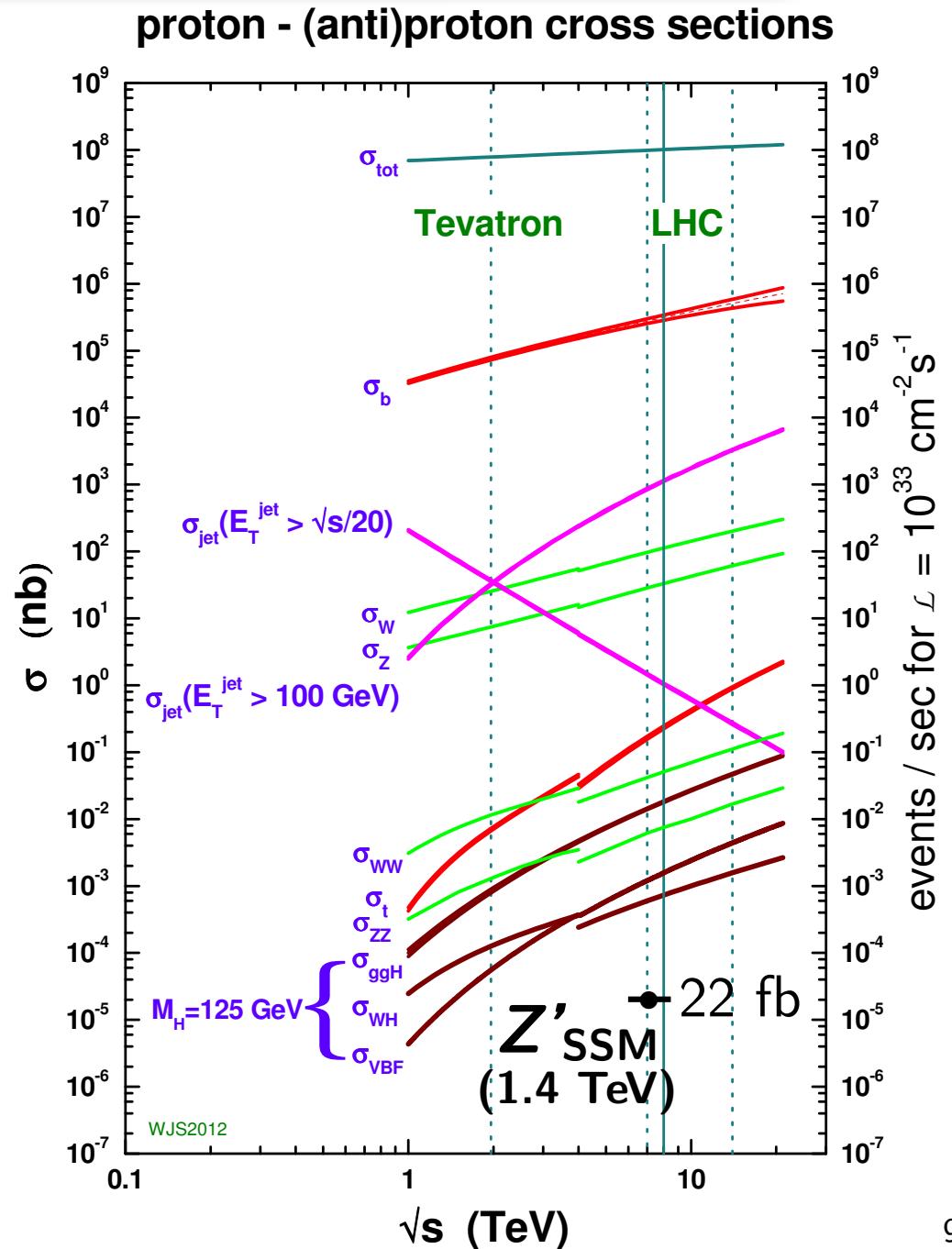


# Unification



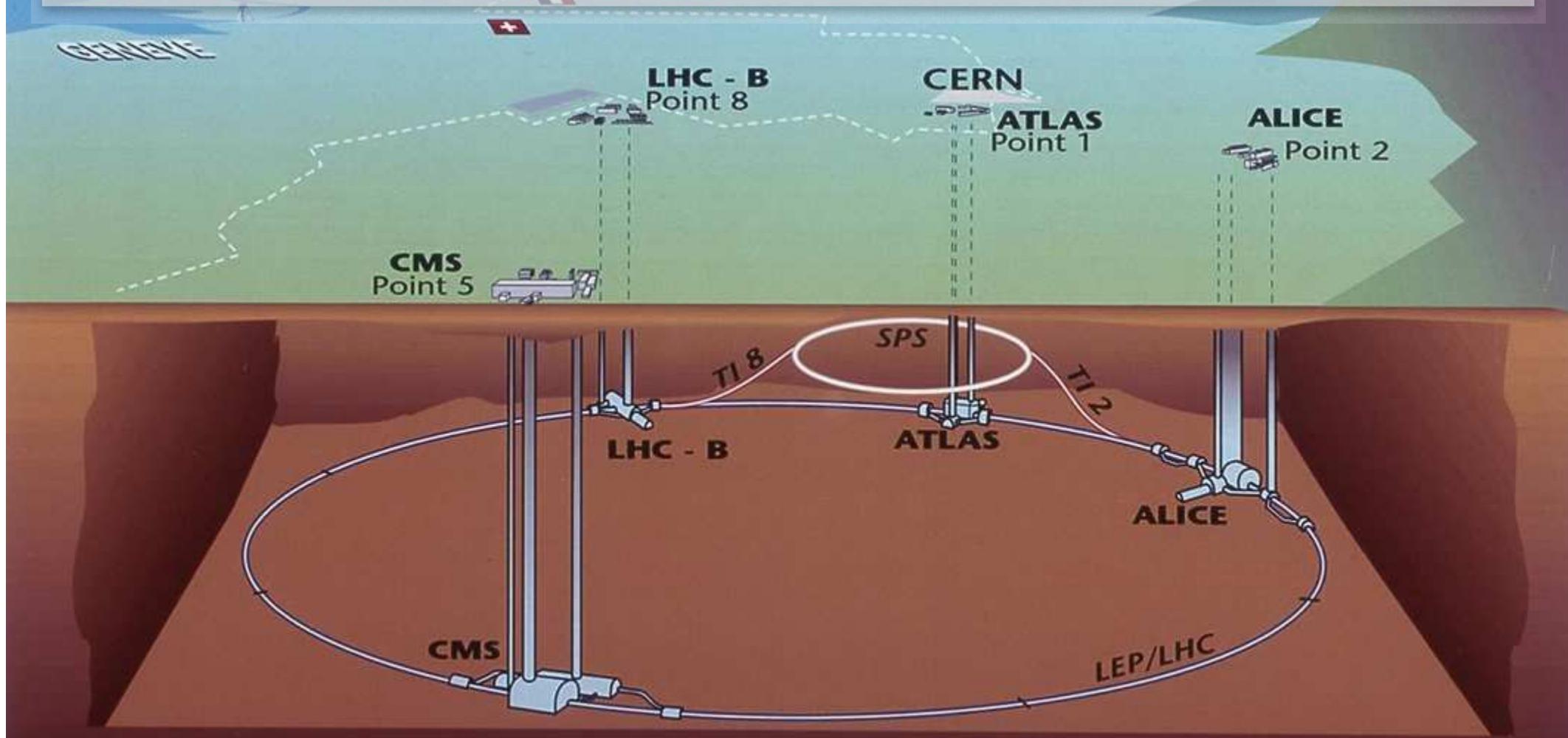
# We need high energies

- To probe physics at the TeV scale and beyond we need a high-energy collider.
- The cross section  $\Rightarrow$  production rate grows significantly with the collision energy,  $\sqrt{s}$ .
- $W, Z, \text{top, Higgs, } Z', \dots$



# Overall view of the LHC experiments.

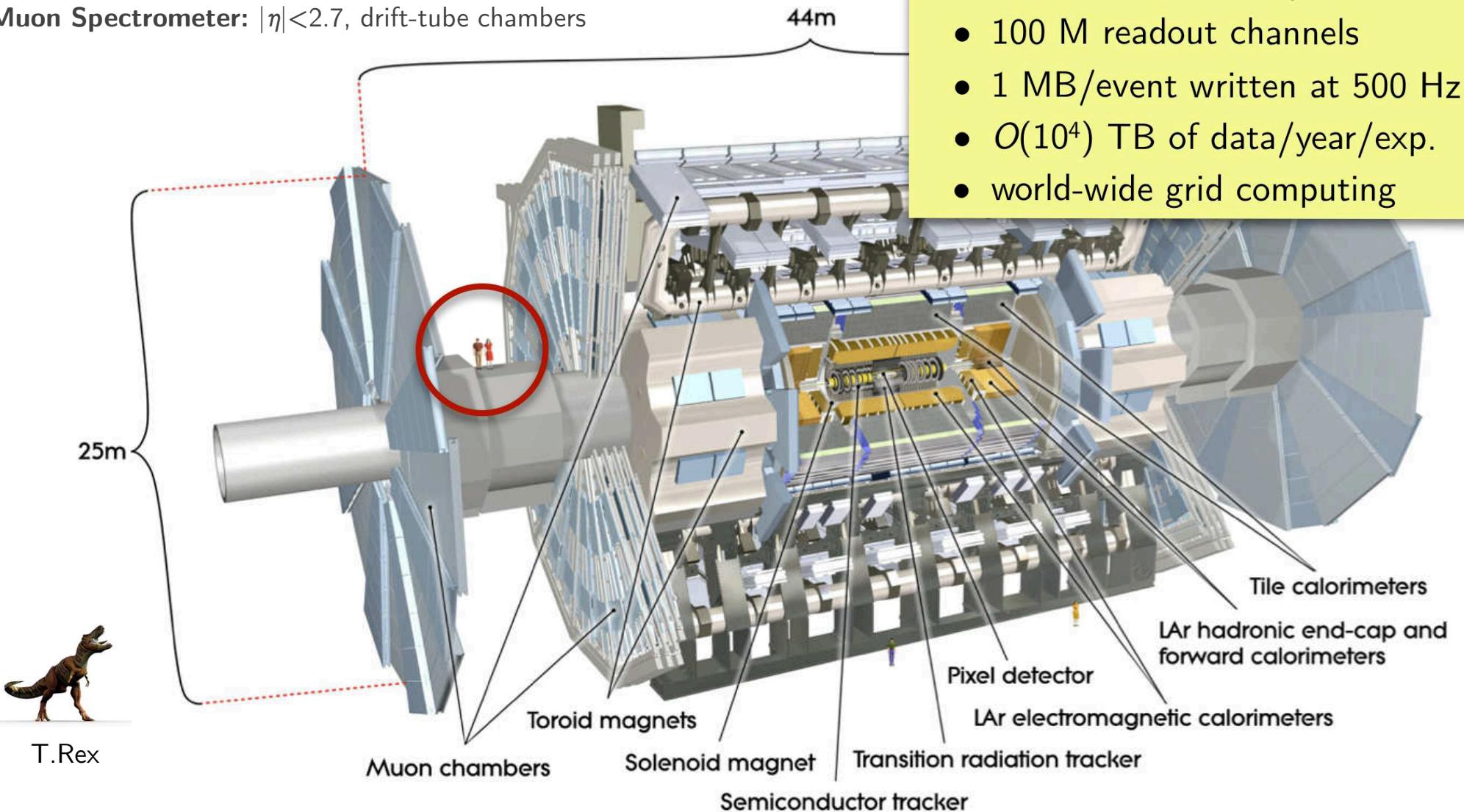
- 27 km circumference
- 1232 dipoles: 15 m , 8.3 T
- 100 tons liquid He, 1.9 K
- p-p collisions at  $\sqrt{s} = 7\text{-}8 \text{ TeV}$
- inst. luminosity =  $10^{32}\text{-}10^{34} \text{ cm}^{-2}\text{s}^{-1}$
- $10^{11}$  protons / bunch
- 1000 bunches/ beam
- 20 MHz , 50 ns bunch spacing
- 1-40 interactions / crossing
- $0.5 \times 10^9$  interactions / sec



# ATLAS Detector

Magnets: 2T solenoid, 4T toroid barrel and end-caps

Muon Spectrometer:  $|\eta| < 2.7$ , drift-tube chambers



Tracking:  $|\eta| < 2.5$ ,  $B=2\text{T}$ , precise tracking and vertexing, Si pixels, strips, and TRT straws, TR electron ID

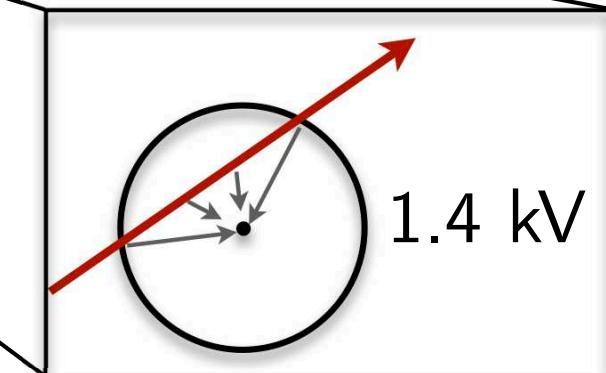
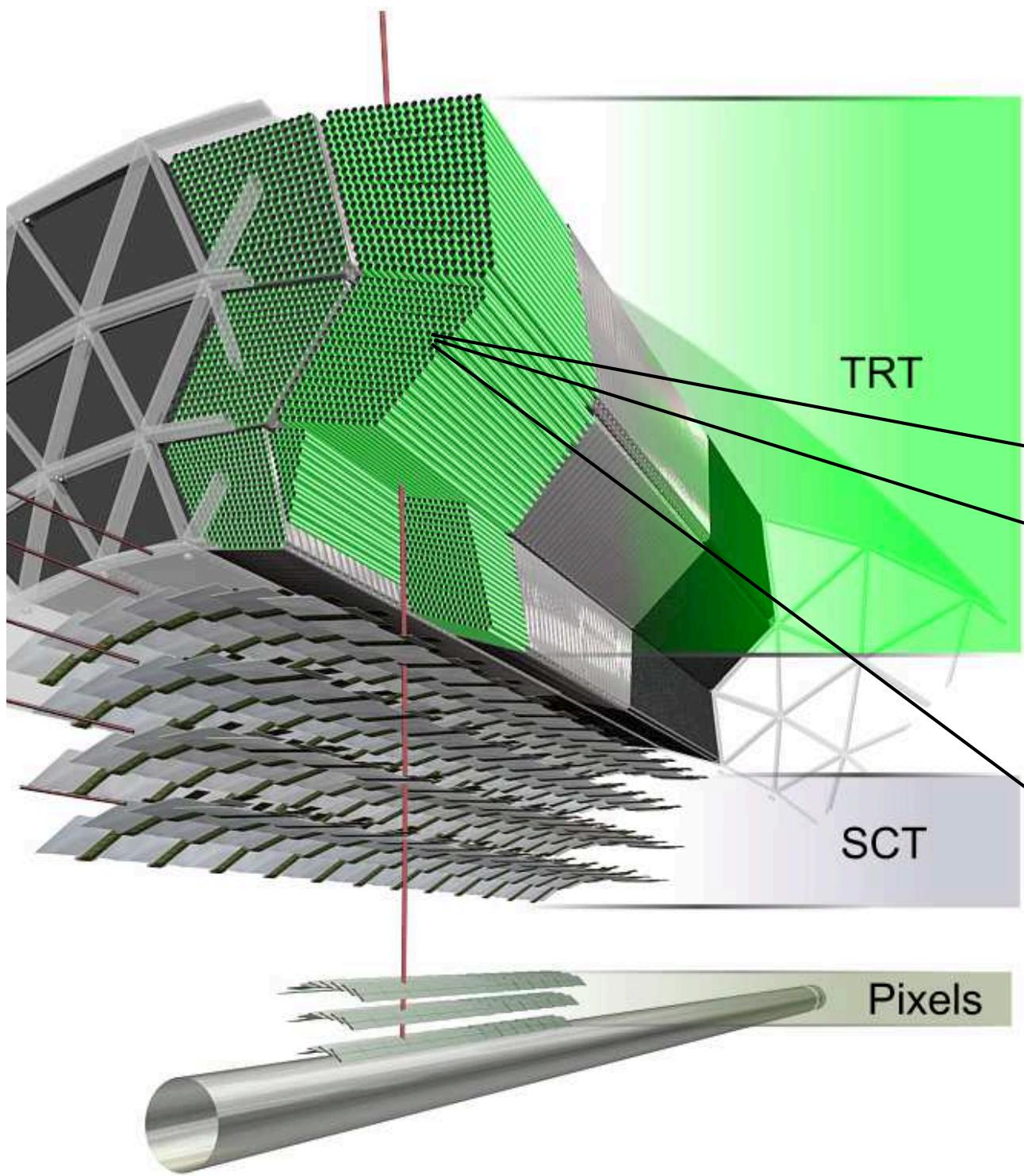
Electromagnetic Calorimeter:  $|\eta| < 3.2$ , 3+1 layers corrugated layers of lead and LAr

Hadronic Calorimeter:  $|\eta| < 5$ , Central: iron/scintillator tiles, Forward: copper/tungsten-LAr

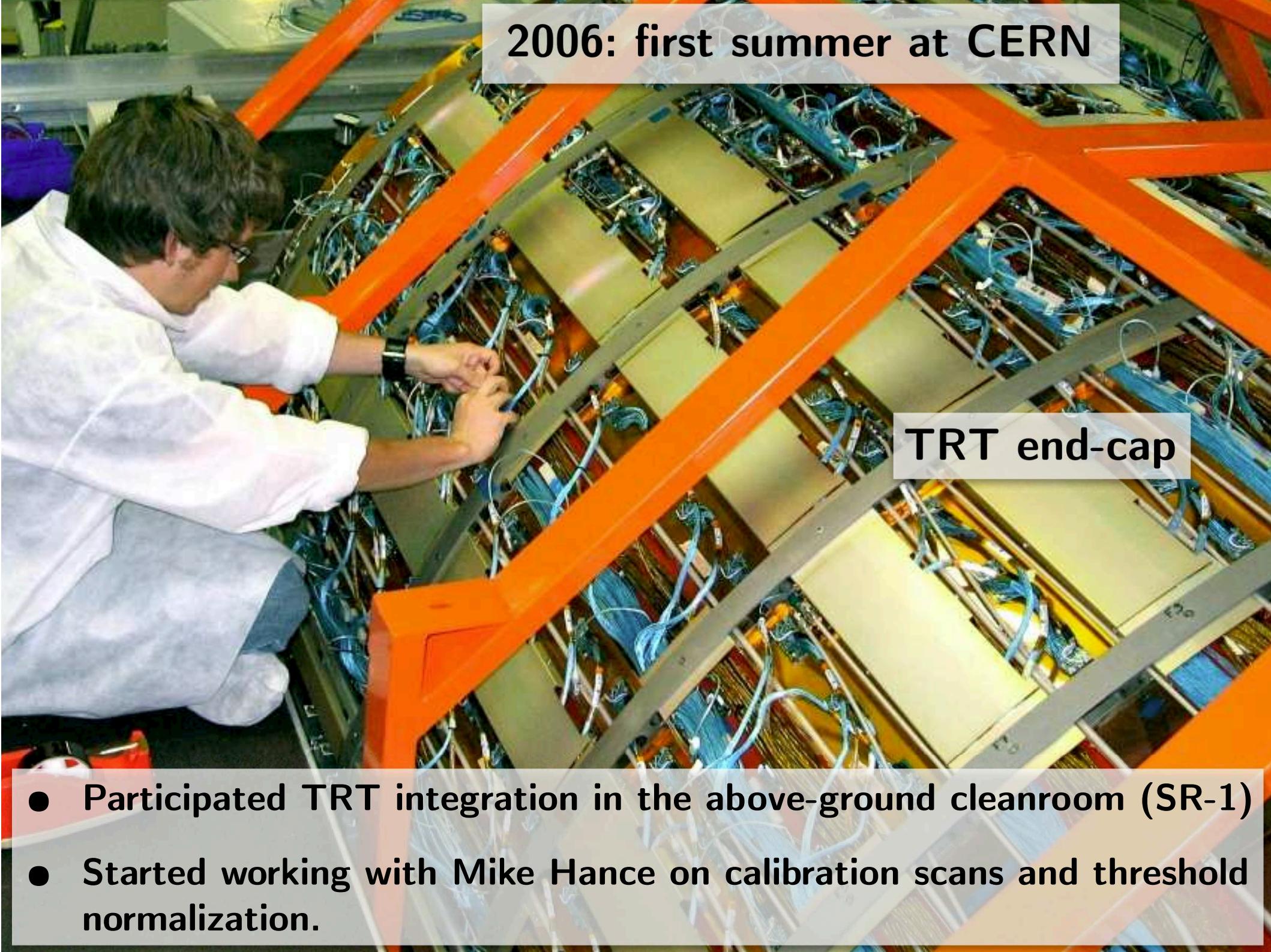
Both ATLAS and CMS have:

- 3000 scientists, 170+ institutions
- tracking, calorimetry, muon spec.
- 100 M readout channels
- 1 MB/event written at 500 Hz
- $O(10^4)$  TB of data/year/exp.
- world-wide grid computing

# Transition Radiation Tracker



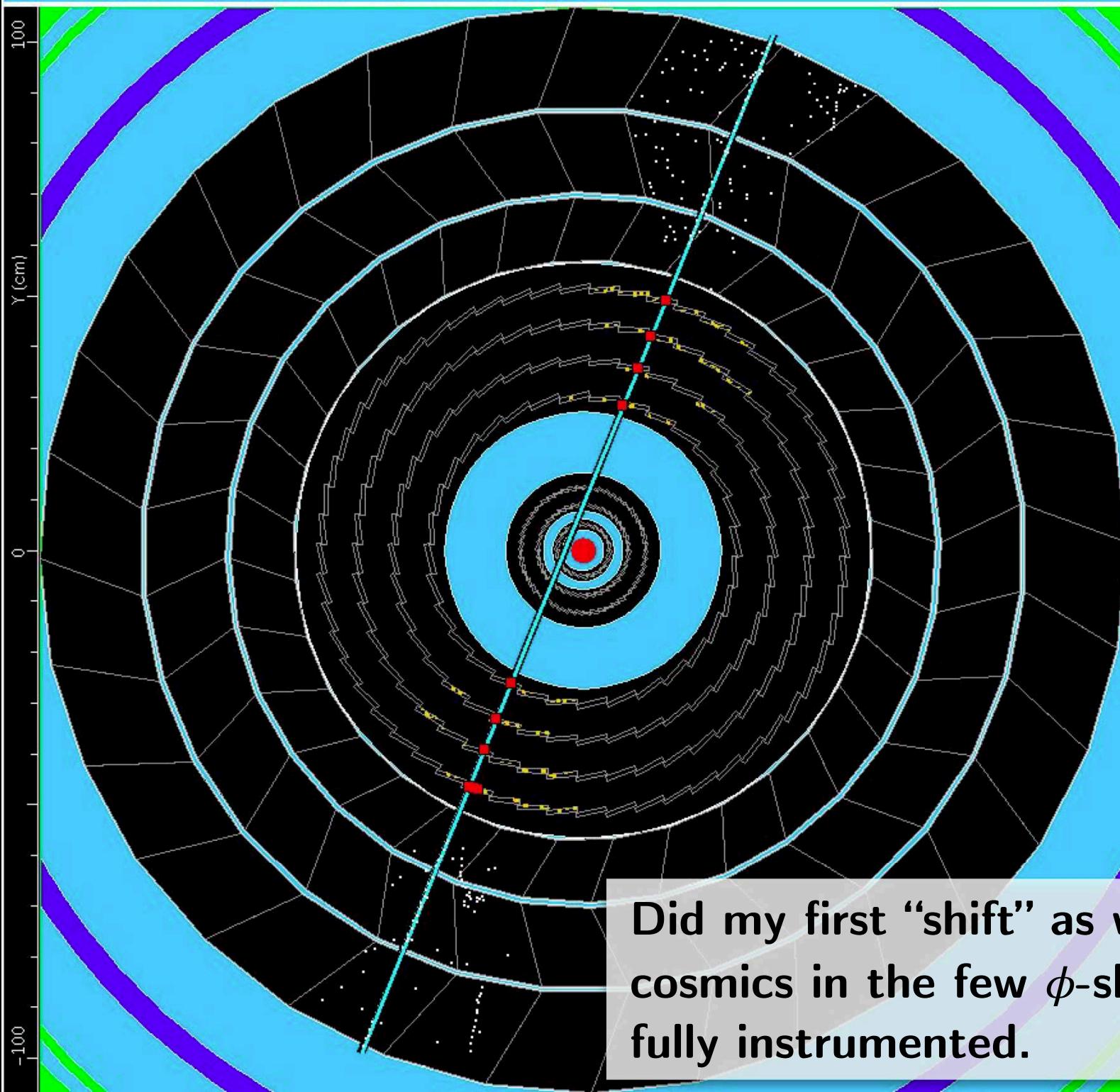
- Outer-most sub-detector of the ATLAS tracker
- 350,000 drift-tube straws = active channels
- length of pulse in time sensitive to local radius:  $R(t)$
- 120  $\mu\text{m}$  resolution

A photograph showing a person in a white lab coat and grey trousers working on a large, complex detector assembly. The detector is composed of many rectangular modules with blue and green wiring. Orange structural beams support the assembly. The person is focused on connecting wires between the modules.

2006: first summer at CERN

TRT end-cap

- Participated TRT integration in the above-ground cleanroom (SR-1)
- Started working with Mike Hance on calibration scans and threshold normalization.

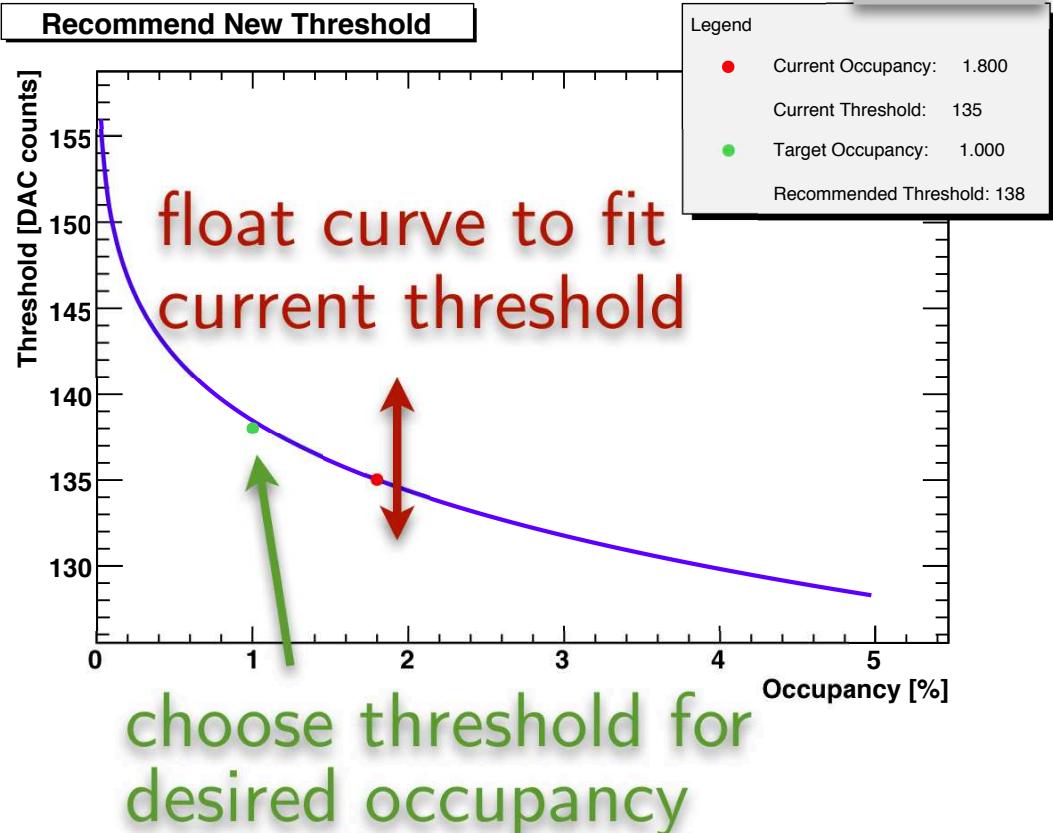
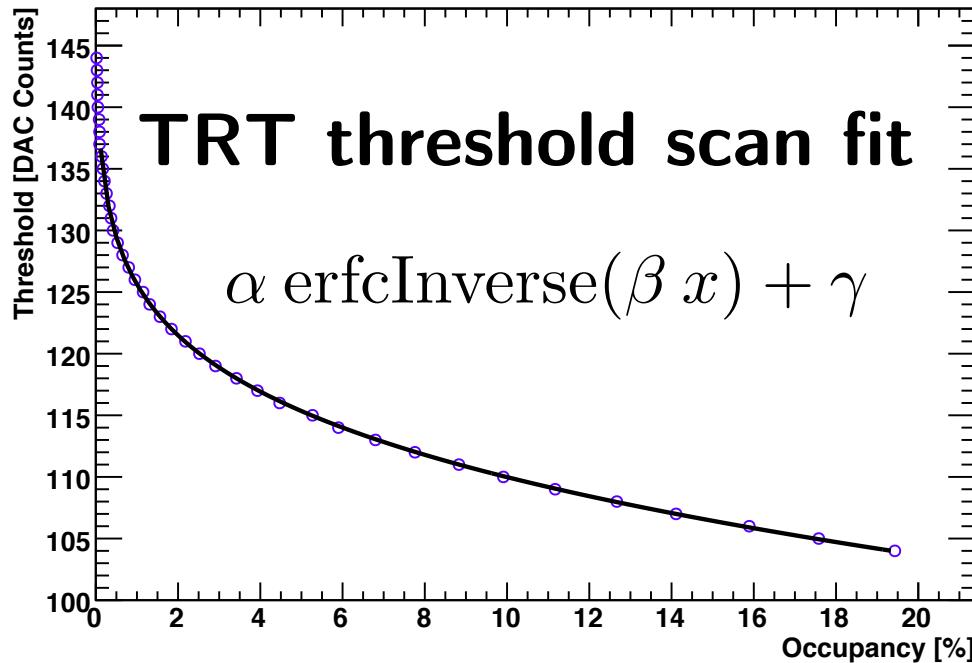


2006

Did my first “shift” as we saw the first  
cosmics in the few  $\phi$ -slices that were  
fully instrumented.

# TRT threshold calibration

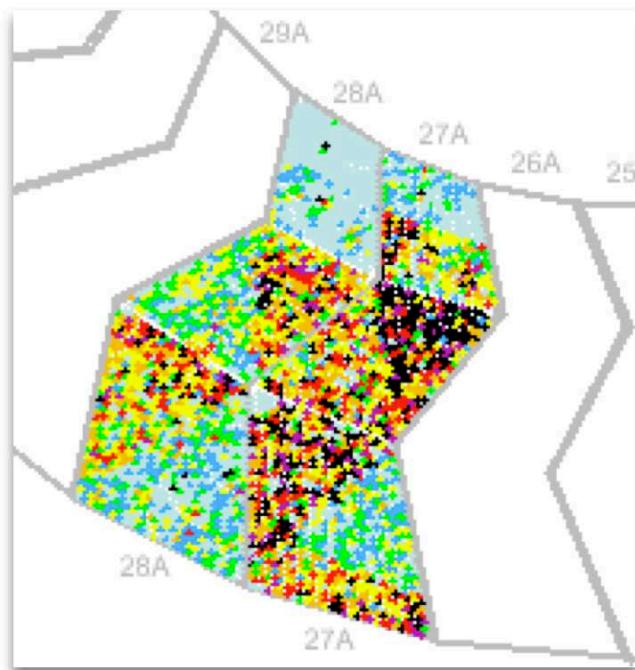
2008



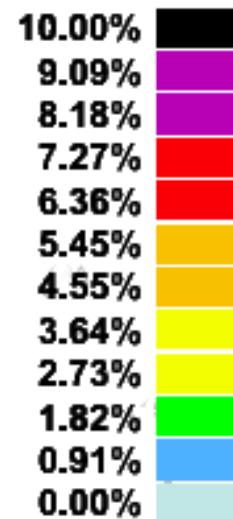
- Fit a parametrization to the data from a scan varying the analog-to-digital thresholds in the discriminator chips on the TRT front-end.
- Wrote an algorithm for calibrating the thresholds *channel-by-channel* to reach a uniform noise occupancy by floating the functional form.

# TRT threshold calibration

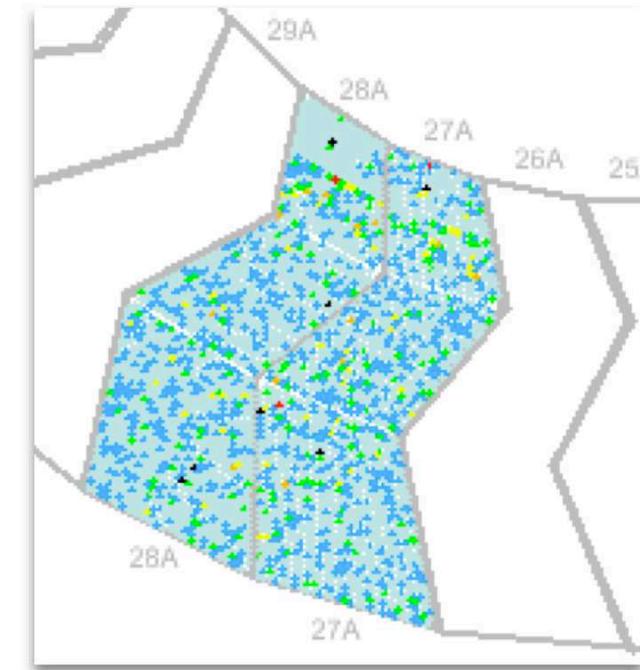
Before



noise  
occupancy

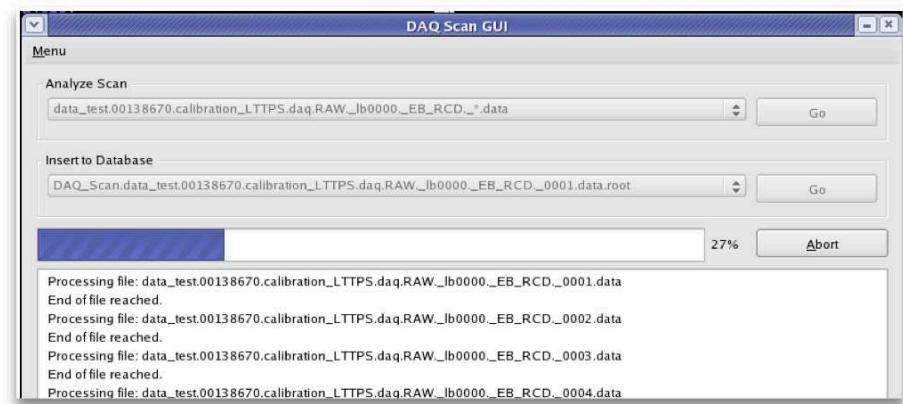


After



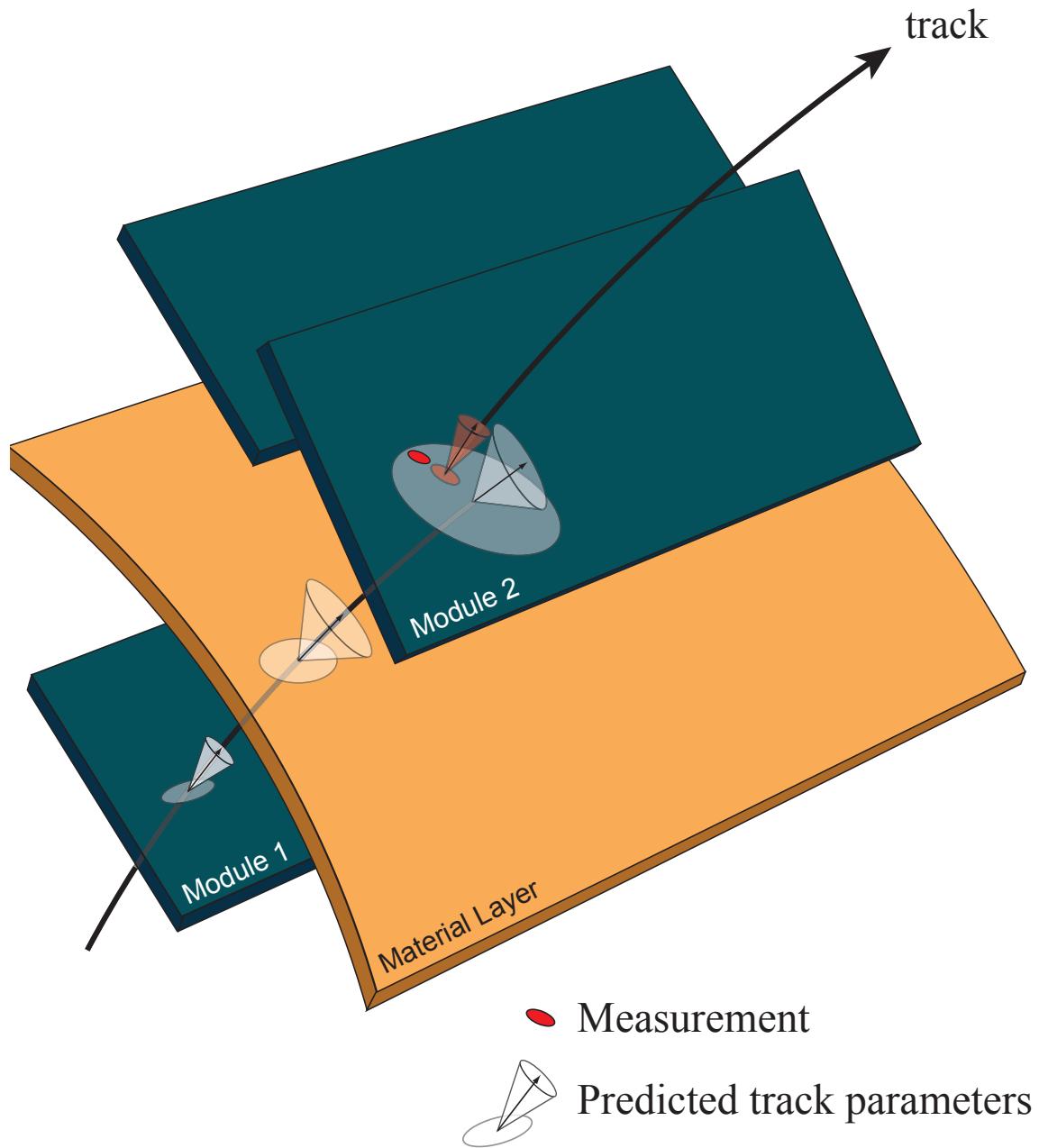
2008

- Developed a GUI making it easy for shifters to archive scans to a database for monitoring long-term detector health.
- Still used in the regularly scheduled calibration periods between beam fills.
- Supported TRT as part of DAQ on-call team.



# TRT hit efficiency

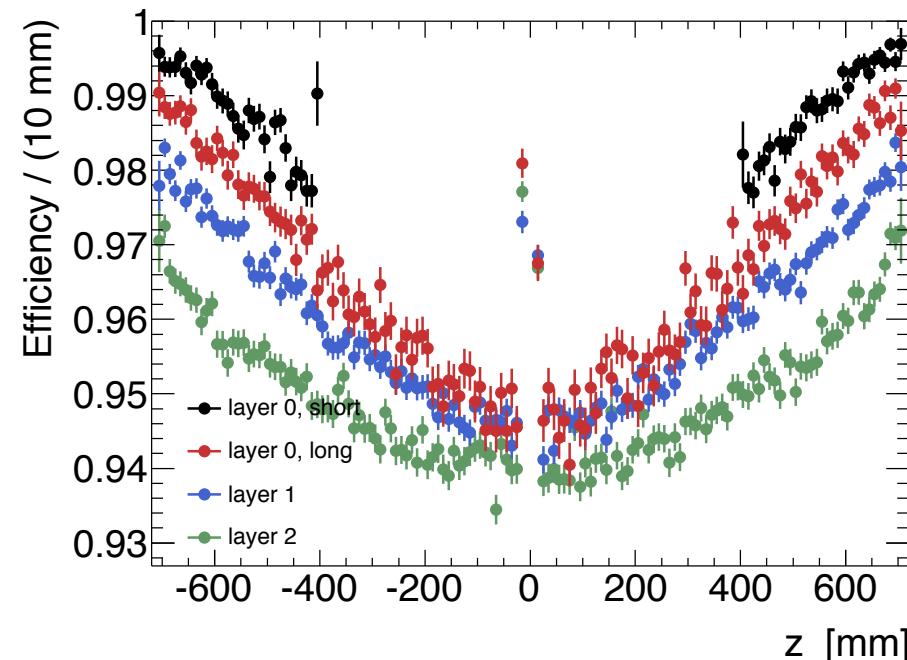
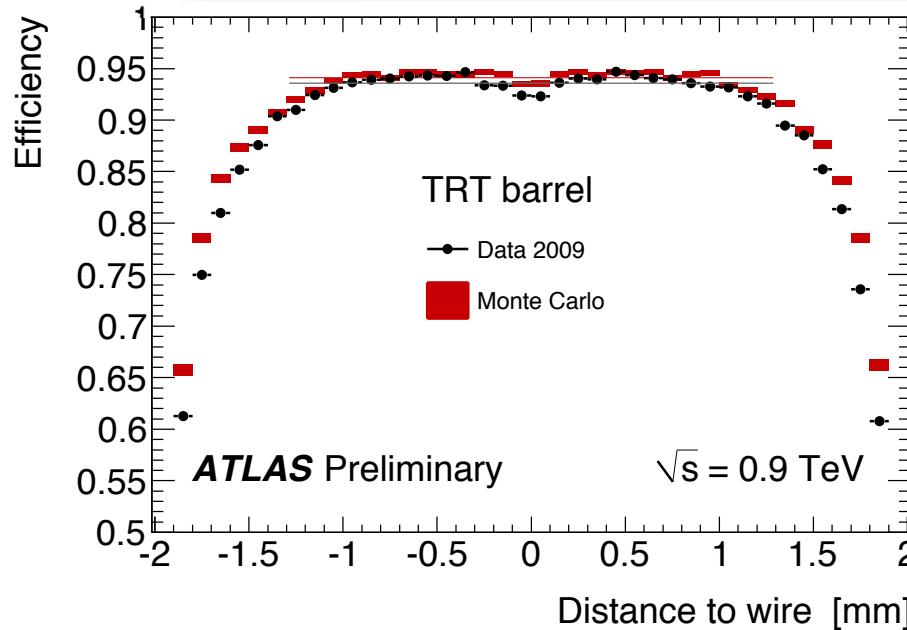
'09-'10



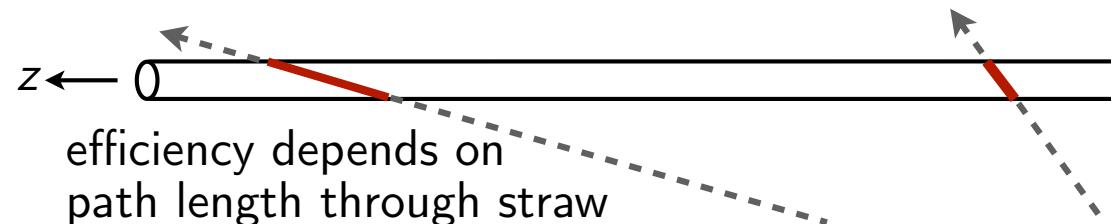
- $\varepsilon(x) = \frac{n_{\text{hits}}(x)}{n_{\text{hits}}(x) + n_{\text{holes}}(x)}$
- **Hits** are easy to count directly from the data.
- **Holes** are counted by extrapolating along a track to determine which straws were crossed.
- **Extrapolation tools** use a detailed description of detector material to stochastically model bremsstrahlung and multiple scattering.

# TRT hit efficiency

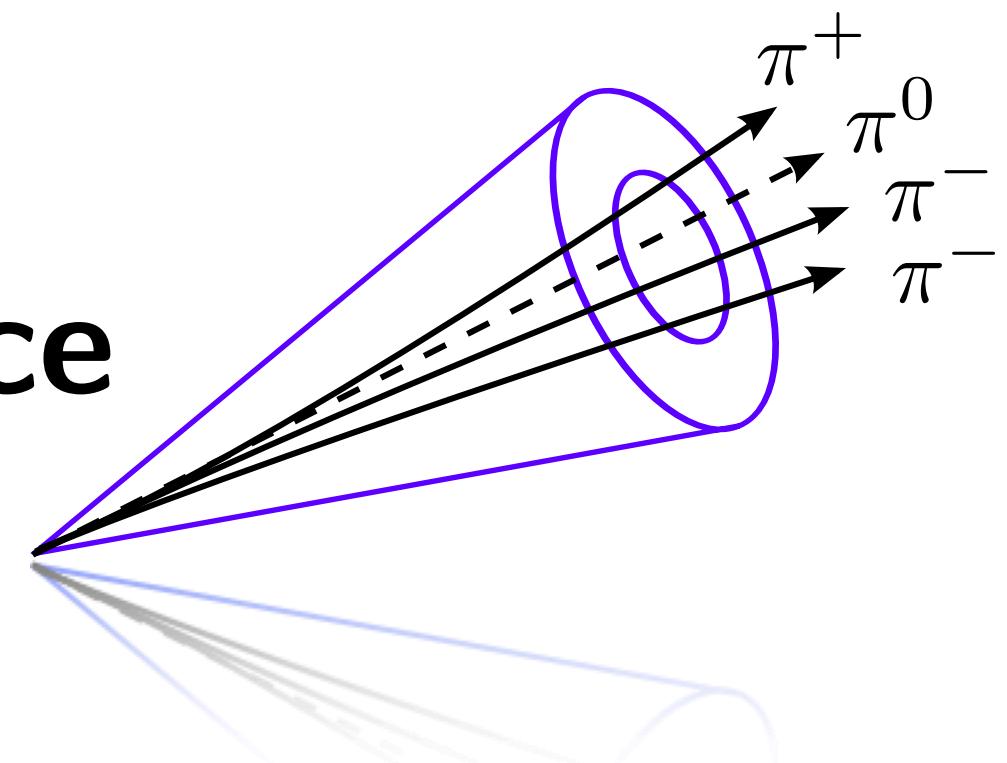
'09-'10



- I wrote an algorithm that uses extrapolator tools to calculate the TRT straw-hit efficiency.
- Gives an important data/MC comparison to test the TRT digitization, the step in the MC production where the response detector and electronics are simulated.
- Published in the first JHEP paper*** documenting the ATLAS detector performance with the first 900 GeV collision from 2009.



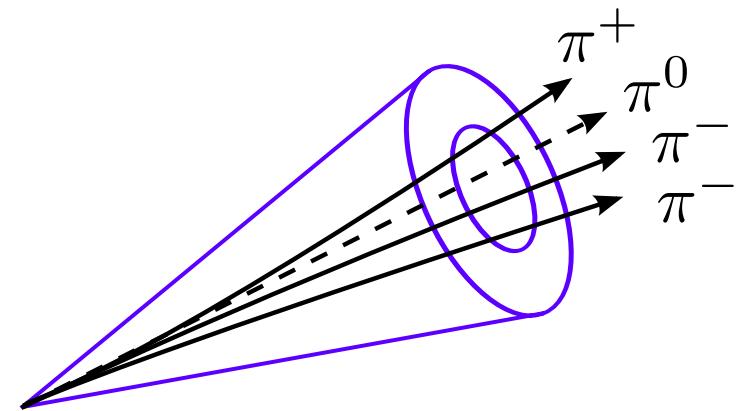
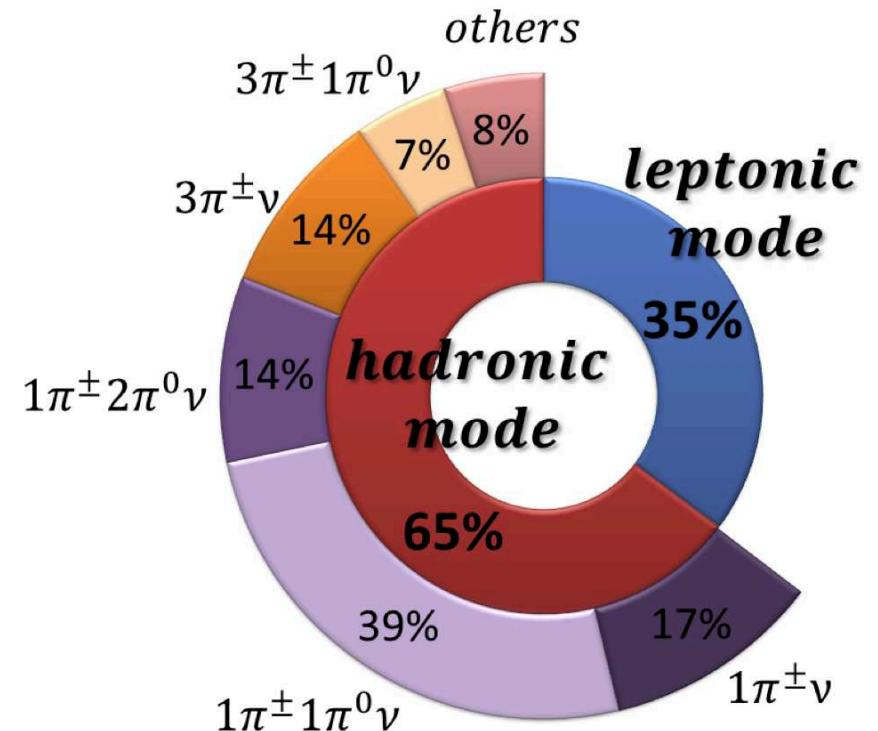
# Tau performance

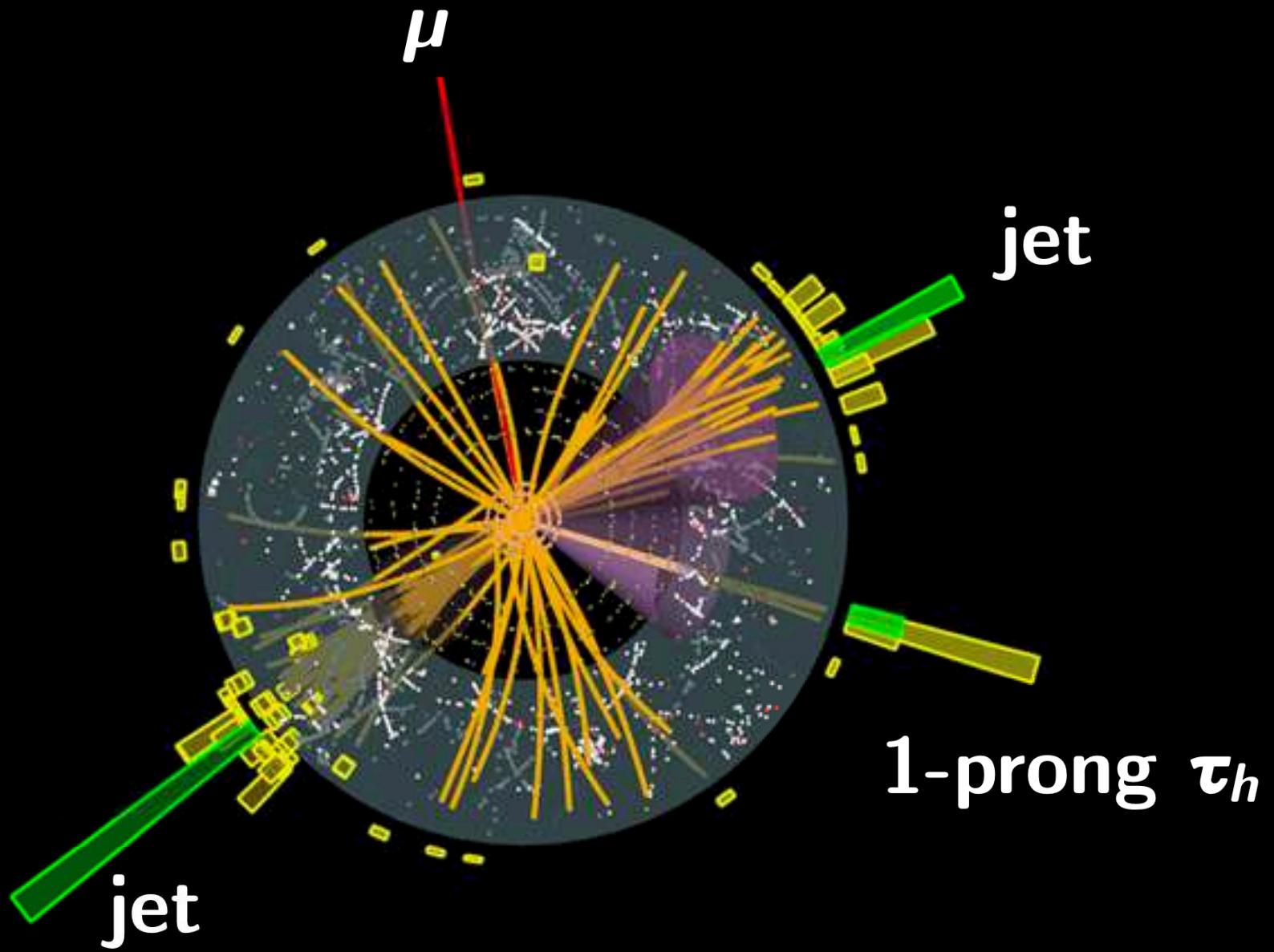


# What's a tau?

- Only lepton massive enough to decay hadronically.
- Decay in beam pipe:  $c\tau \approx 87 \mu\text{m}$
- 65% hadronic
  - 50% 1-prong, 15% 3-prong.
- **Signature:** narrow jet with 1 or 3 tracks, possibly additional EM clusters.
- **Challenge:** large multijet background at hadron colliders.
- **Importance:** often preferred coupling to new physics:

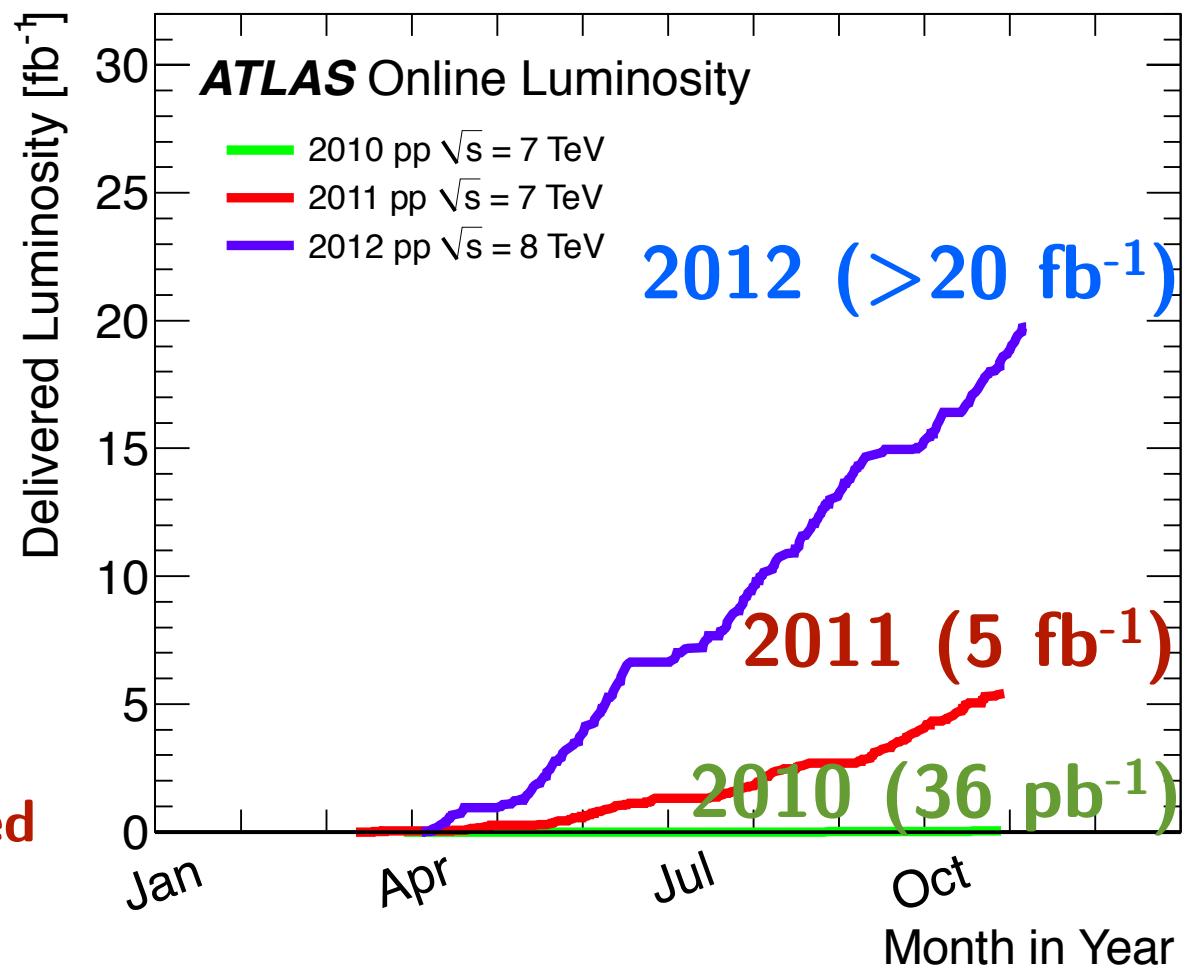
**SM**  $H \rightarrow \tau\tau$ ,  $H^+ \rightarrow \tau^+\nu$ ,  $Z' \rightarrow \tau\tau$ ,  
high- $\tan\beta$  **SUSY**, ...





# Timeline of taus at ATLAS

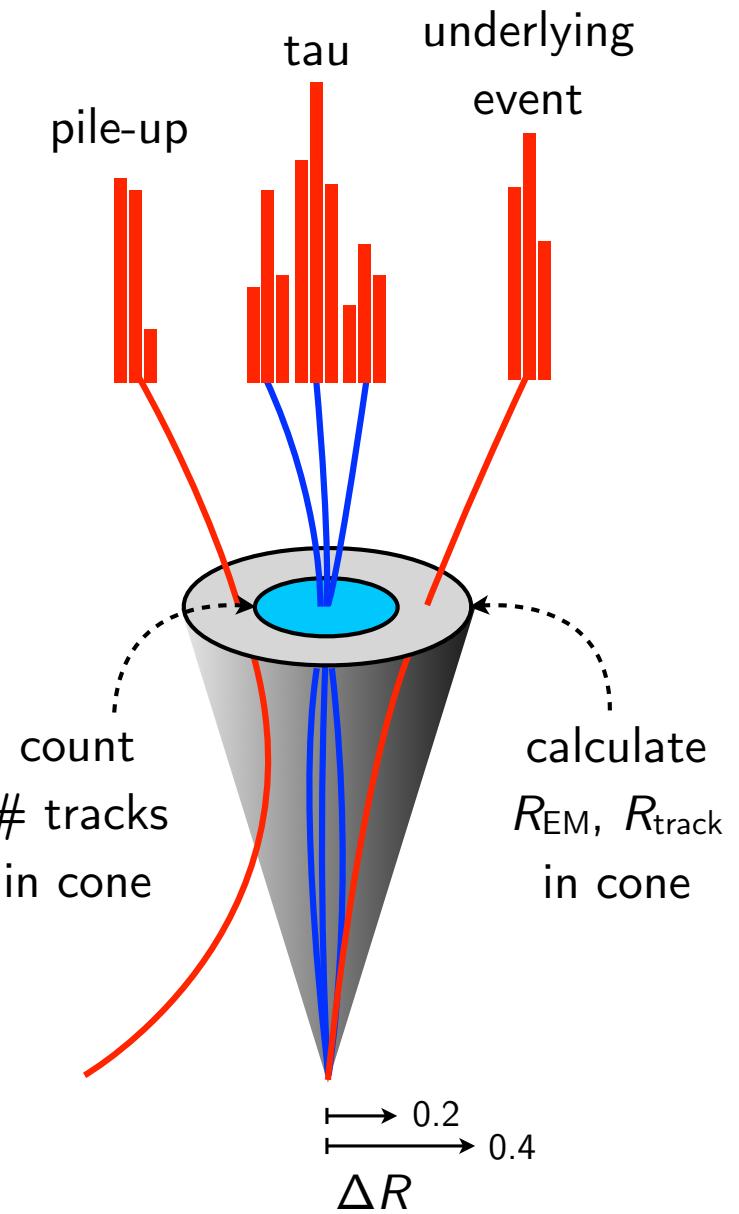
- Nov 2010: Obs. of  $W \rightarrow \tau\nu$  ( $546 \text{ nb}^{-1}$ )
- Feb 2011: Obs. of  $Z \rightarrow \tau\tau$  ( $8.5 \text{ pb}^{-1}$ )
- July 2011:  $W \rightarrow \tau\nu$  and  $Z \rightarrow \tau\tau$  cross section measurements ( $36 \text{ pb}^{-1}$ )
- Feb 2012:  $Z \rightarrow \tau\tau$  cross section ( $1.5 \text{ fb}^{-1}$ )
- June 2012: SM  $H \rightarrow \tau\tau$  excluded  $3-4 \times \text{SM}$  at  $m_H \approx 125 \text{ GeV}$  [[arXiv:1206.5971](https://arxiv.org/abs/1206.5971)]
- Several other analyses:  
MSSM  $H \rightarrow \tau\tau$ ,  $t\bar{t}$  with  $\tau$ ,  $H^+ \rightarrow \tau\nu$ ,  $Z' \rightarrow \tau\tau$ , SUSY  $\tau + \text{MET}$ , ...



- Now eagerly waiting to see if  $H \rightarrow \tau\tau$  will be excluded at  $1 \times \text{SM}$  this year?

# Tau reconstruction

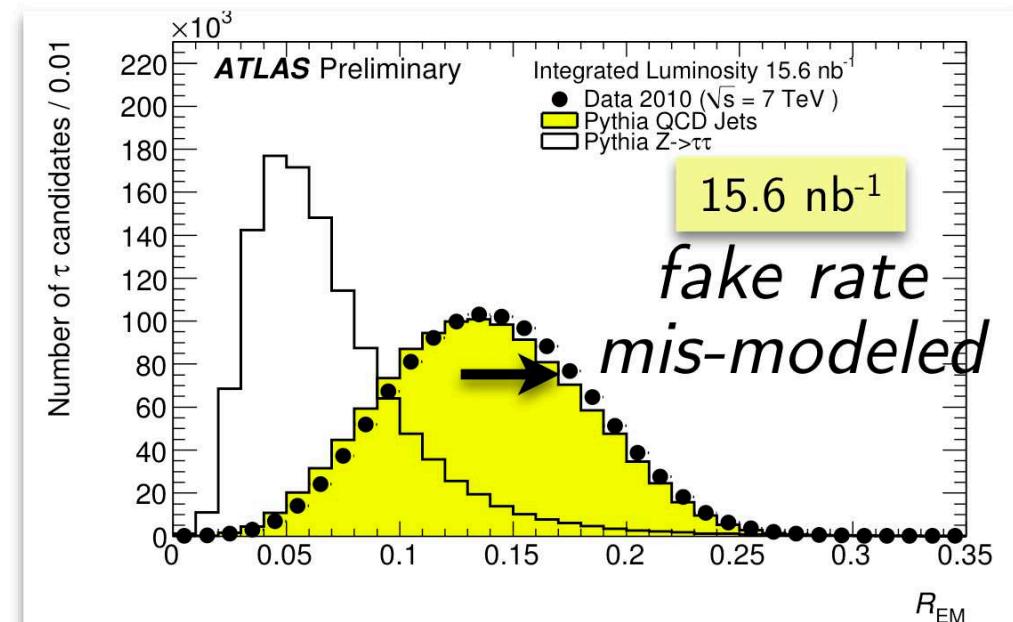
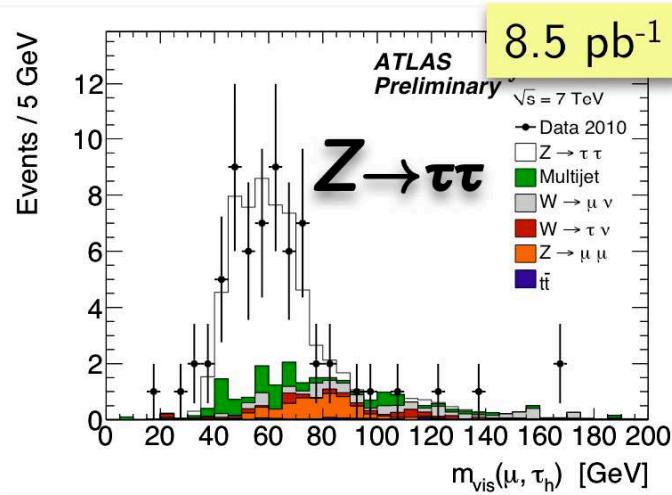
1. **Seeded by anti- $k_t$  jets ( $R=0.4$ )** of 3-D topological calorimeter clusters.
2. **Define the four-momentum** as the jet-axis with a tau-specific calibration.
3. **Associate tracks** with the jet that are consistent with the chosen vertex.
4. **Calculate discriminating variables** from the combined calorimeter and tracking information, later used to identify hadronic tau decays with BDT and likelihood based discriminants.



# Cut-based tau ID

2010

- My timing with the development of tau reconstruction software and the arrival of first collision data allowed me to contribute to the ***first data/MC comparisons*** of tau ID variables and ***develop the first cut-based ID*** used with ATLAS data.
- Prefers narrow calorimeter deposits and closely associated tracks.
- Used in the ***first observation*** of  $W \rightarrow \tau\nu$  and  $Z \rightarrow \tau\tau$ .



## Safe Cut Variables

- ①  $R_{\text{EM}} = \frac{\sum \Delta R E_T}{\sum E_T}$ , summed over cells from the first 3 layers of the EM calorimeter within  $\Delta R < 0.4$ .
- ②  $R_{\text{track}} = \frac{\sum \Delta R p_T}{\sum p_T}$ , summed over tracks associated to the tau within  $\Delta R < 0.2$ .
- ③  $f_{\text{trk},1} = \frac{p_T(\text{lead track})}{p_T(\tau_h)}$ , the transverse momentum fraction of the leading track.

# $E_T$ -parametrized ID

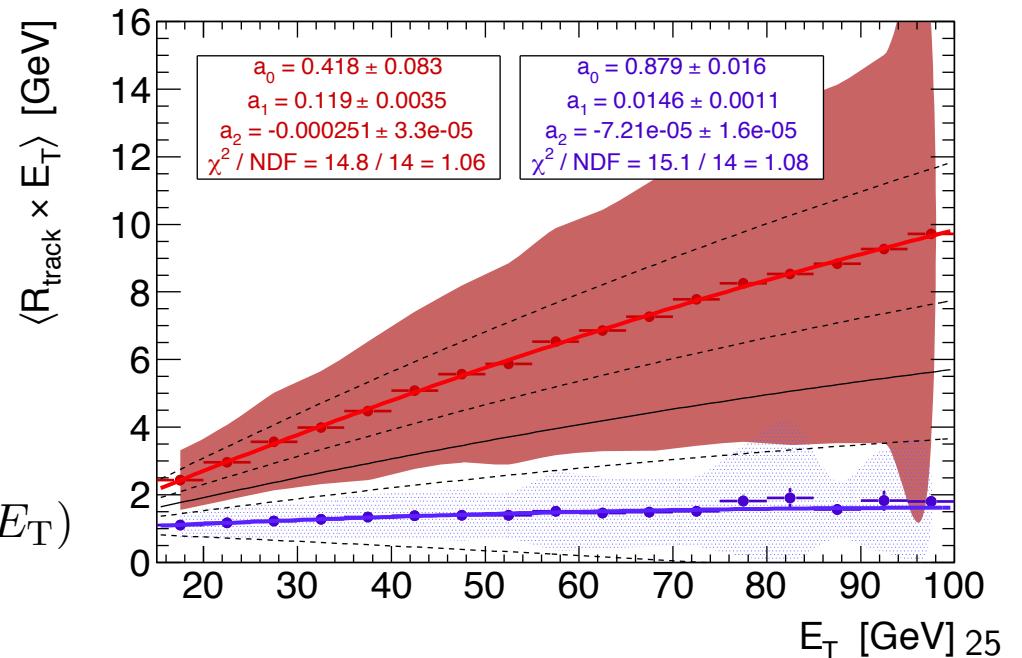
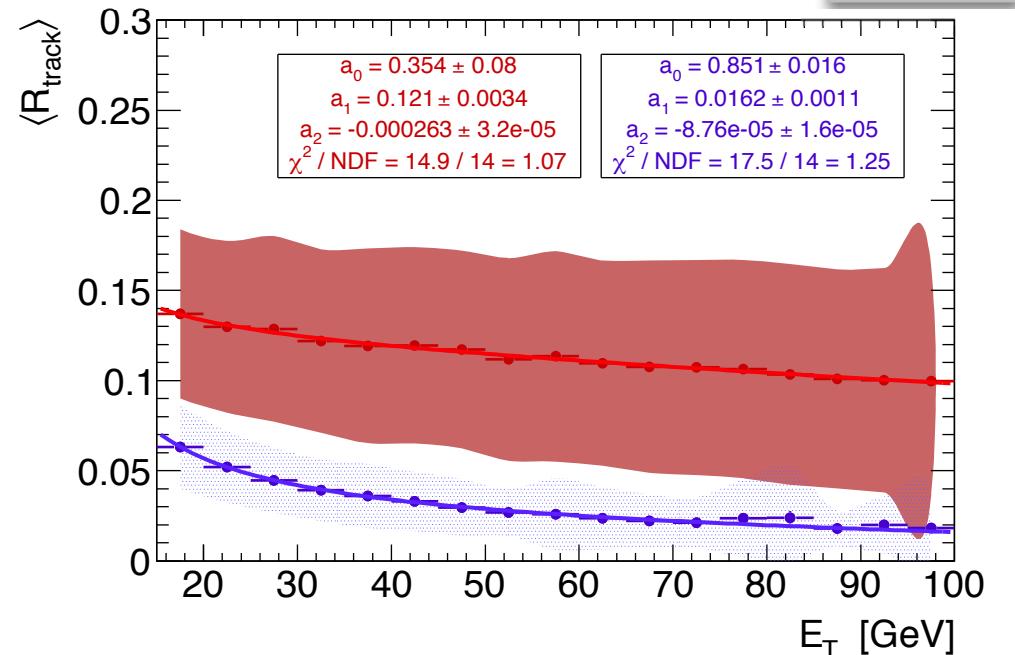
2010

- Lorentz boost implies  $R$  should collimate as

$$R(E_T) \propto \frac{1}{E_T}$$

- Multiplying by  $E_T$  flattens out  $E_T$ -dependence.
- Example plots here are for 3-prong, but the  $\pi^0$ s of 1-prong taus also collimate.
- Construct a smooth family of curves between the signal and background that have efficiency that is approximately flat in  $E_T$ .

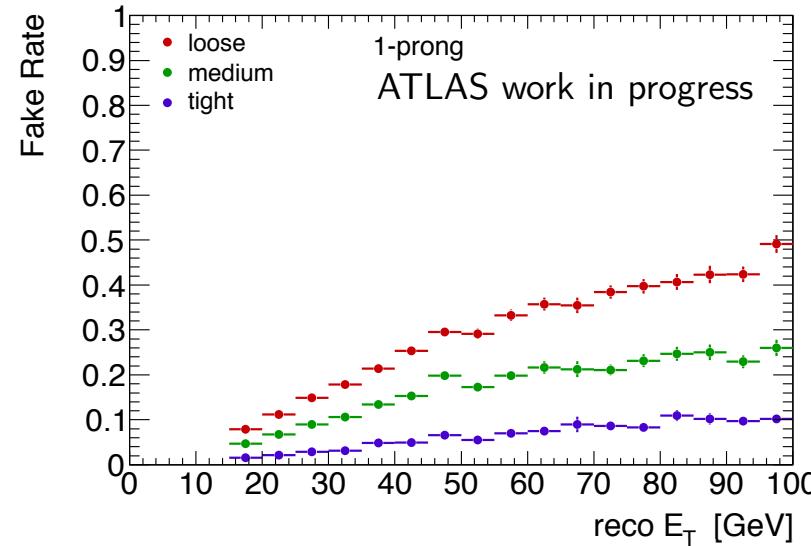
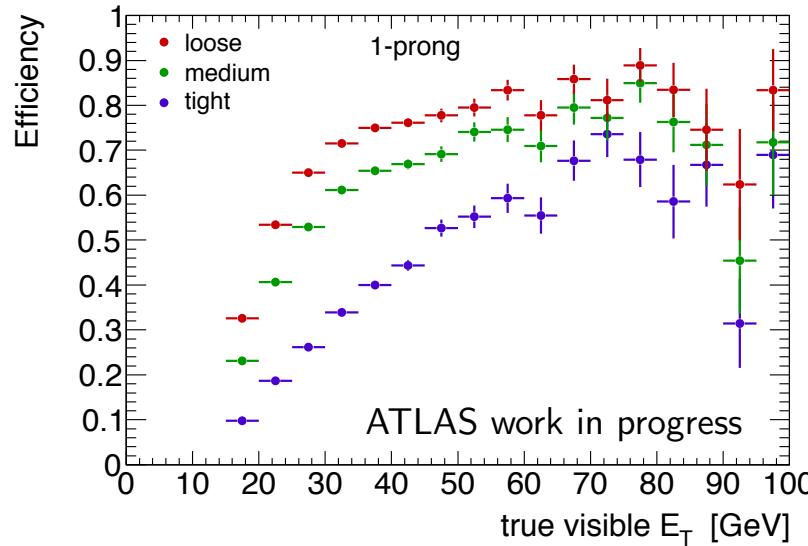
$$R_{\text{cut}}(E_T; x_{\text{cut}}) E_T = (1 - x_{\text{cut}}) f_{\text{sig}}(E_T) + x_{\text{cut}} f_{\text{bkg}}(E_T)$$



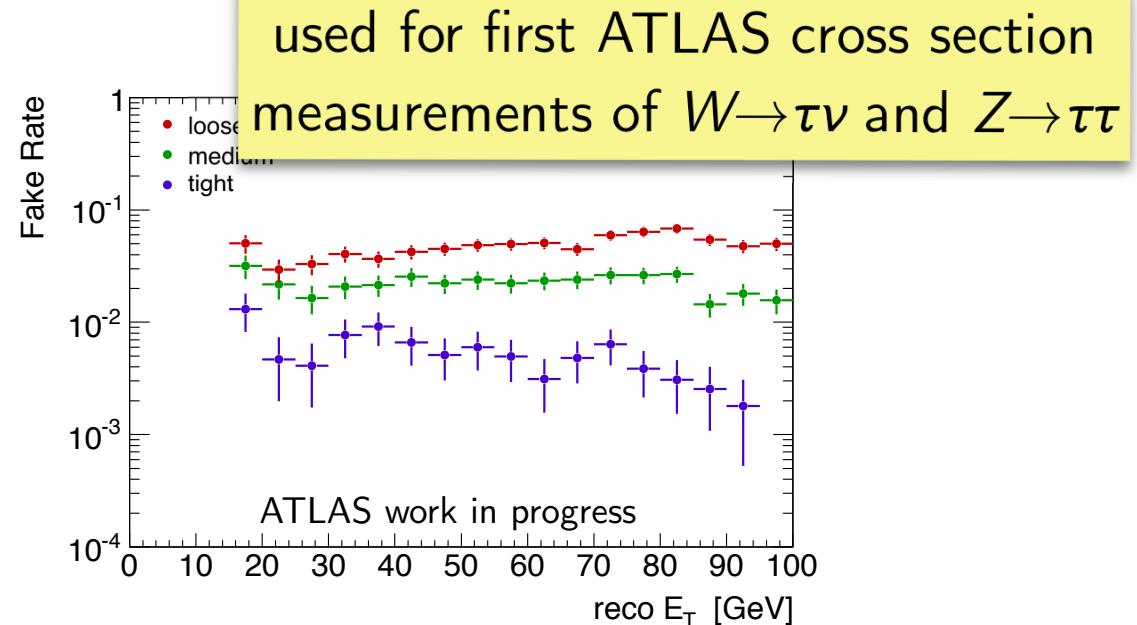
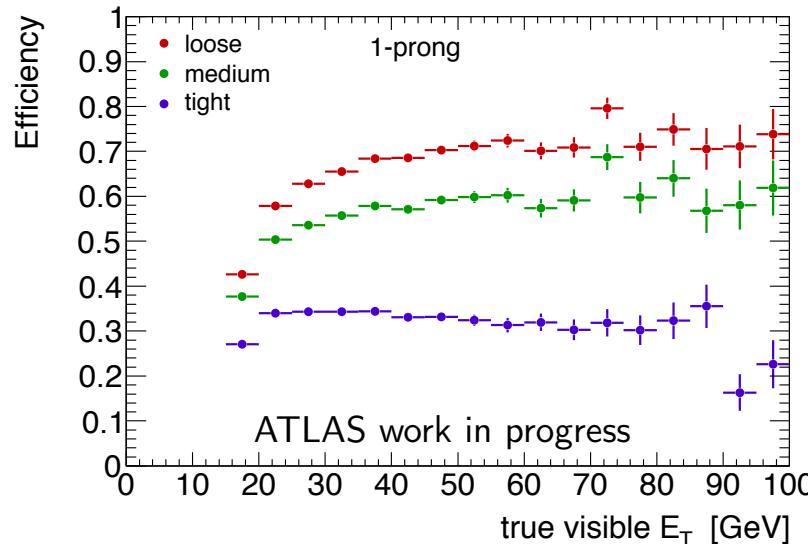
# Efficiency / Rejection

2010

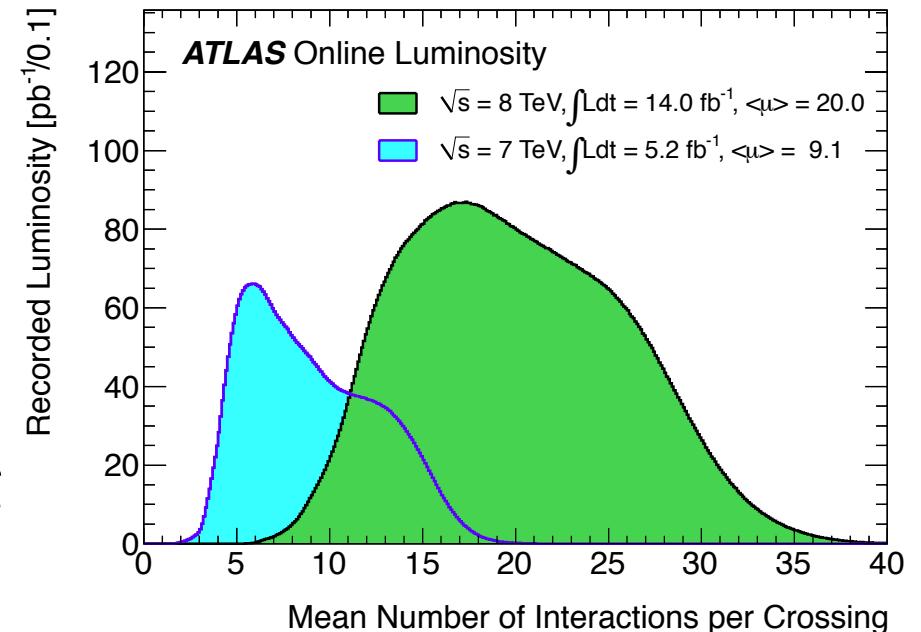
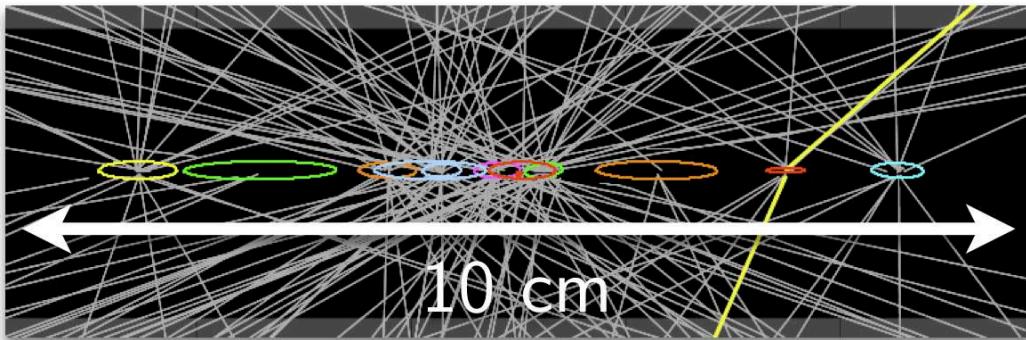
## Simple cuts



## $E_T$ -parametrized cuts



# Pile-up



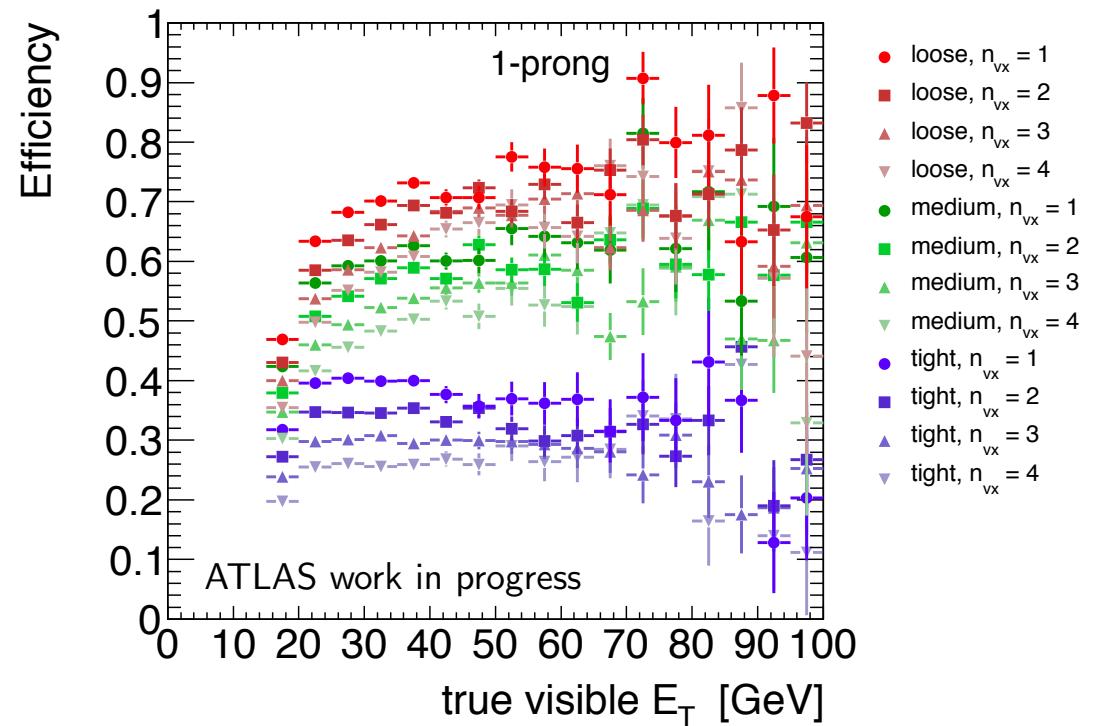
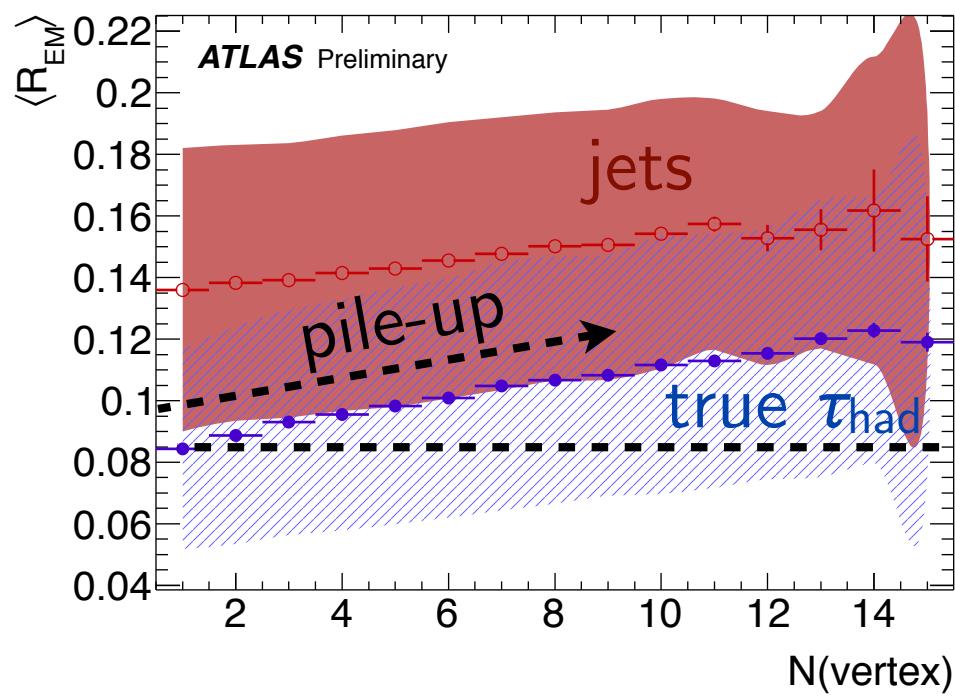
- 1-40 pile-up interactions / crossing
- The additional tracks and clusters from pile-up are especially challenging for tau identification, which discriminates hadronic tau decays from jets with isolation-related track and calorimeter quantities.
- Efforts in 2011-2012 involved re-defining or adding corrections to identification variables to be more robust against the increasing pile-up.

# Identification and pile-up

2011

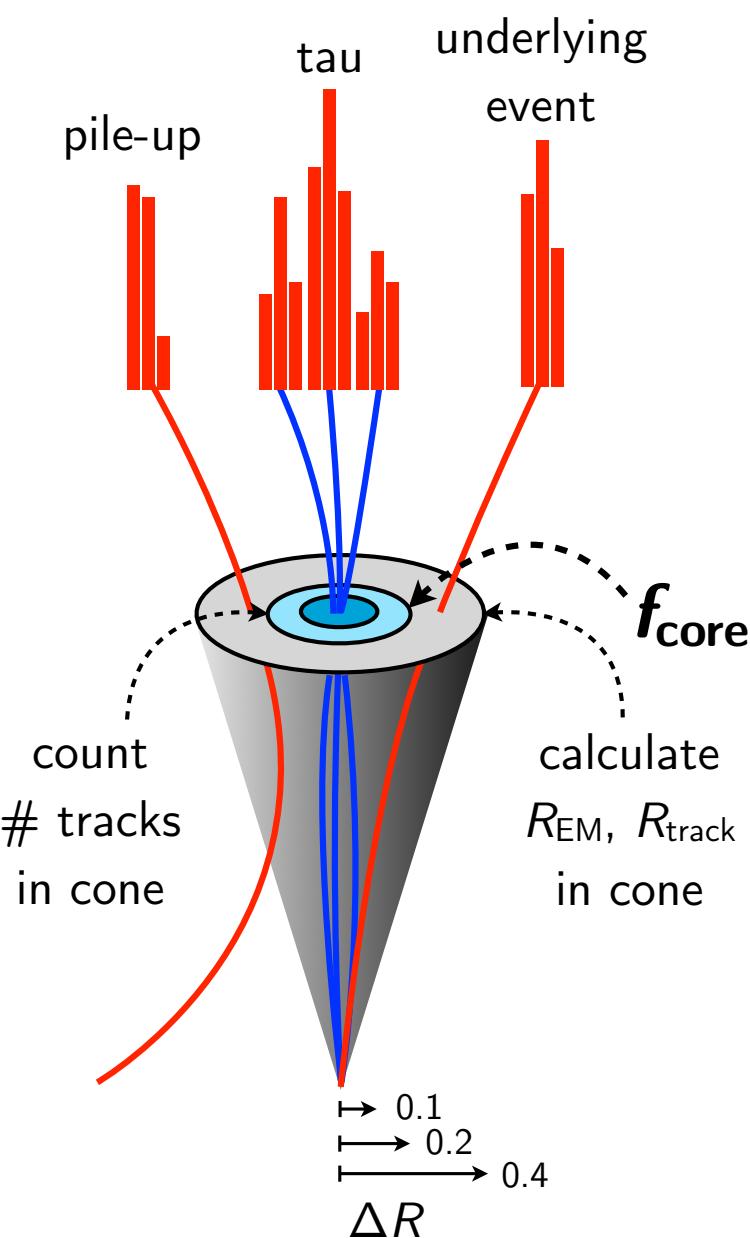
- Important offline variable in 2010-2011:  
***EM radius*** - “width of jet in calorimeter”
- Strong pile-up dependence*** due to using calorimeter deposits in the wide cone:  $\Delta R < 0.4$ .

$$R_{\text{EM}} = \frac{\sum_{\{\Delta R < 0.4\}} E_{\text{T}}^{\text{EM}}(\text{cell}) \Delta R(\text{cell}, \text{jet})}{\sum_{\{\Delta R < 0.4\}} E_{\text{T}}^{\text{EM}}(\text{cell})}$$



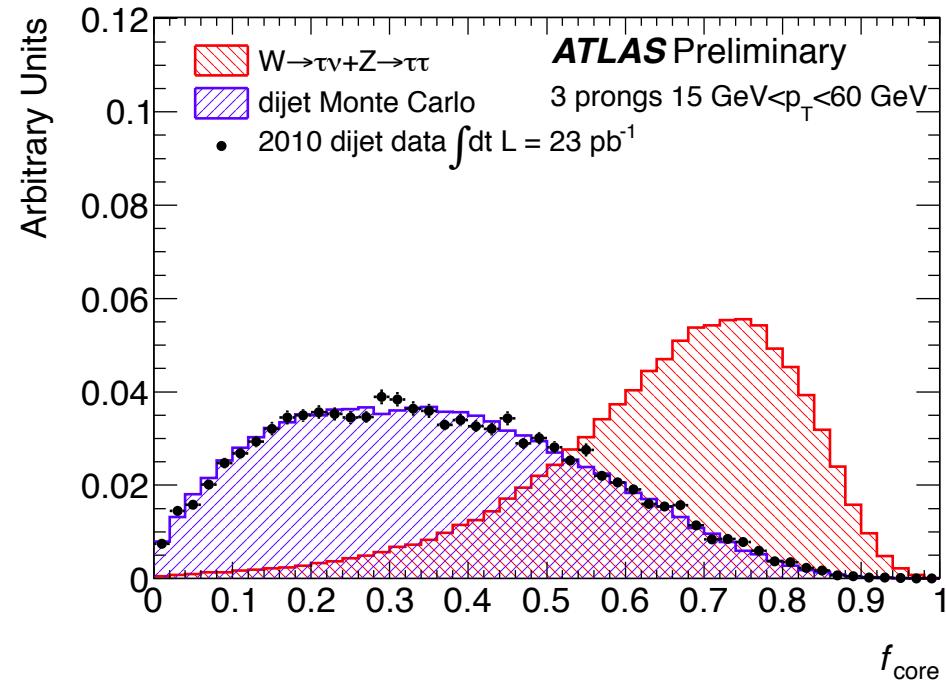
# Pile-up robust variables

2011



- Beginning in 2012, the core energy fraction is used instead of  $R_{\text{EM}}$ , which has less pile-up dependence by using the ratio of energies in smaller  $\Delta R$  cones of 0.1 and 0.2.

$$f_{\text{core}} = \frac{\sum_{\{\Delta R < 0.1\}} E_{\text{T}}^{\text{EM}}(\text{cell})}{\sum_{\{\Delta R < 0.2\}} E_{\text{T}}^{\text{EM}}(\text{cell})}$$



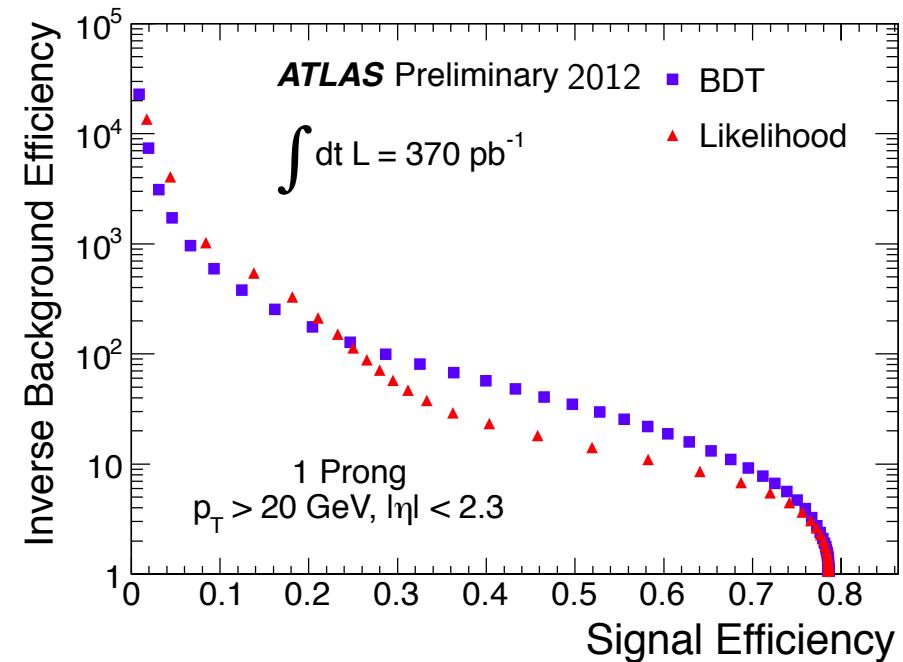
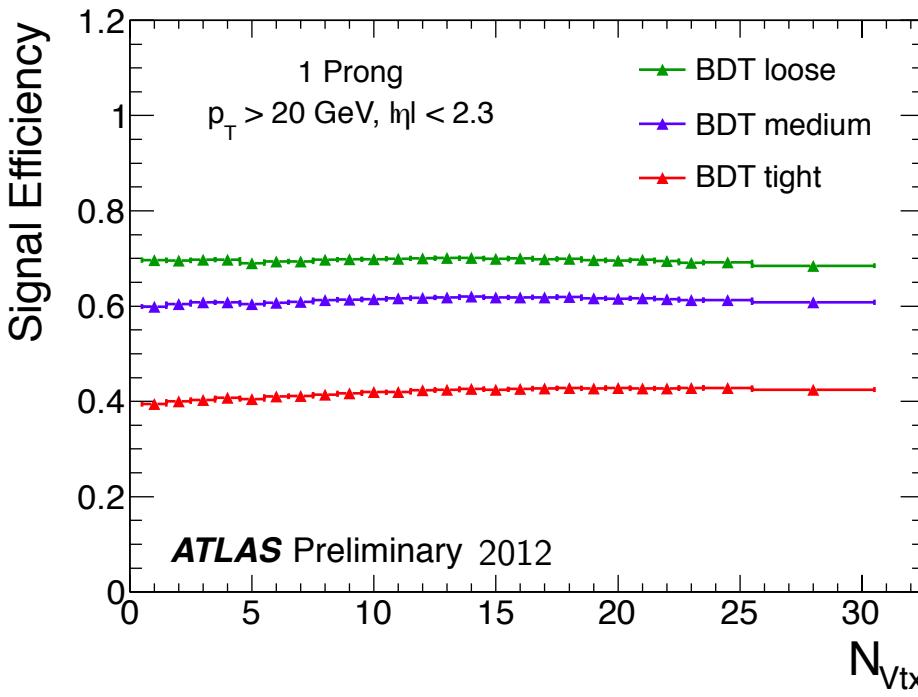
# Pile-up corrections

2011

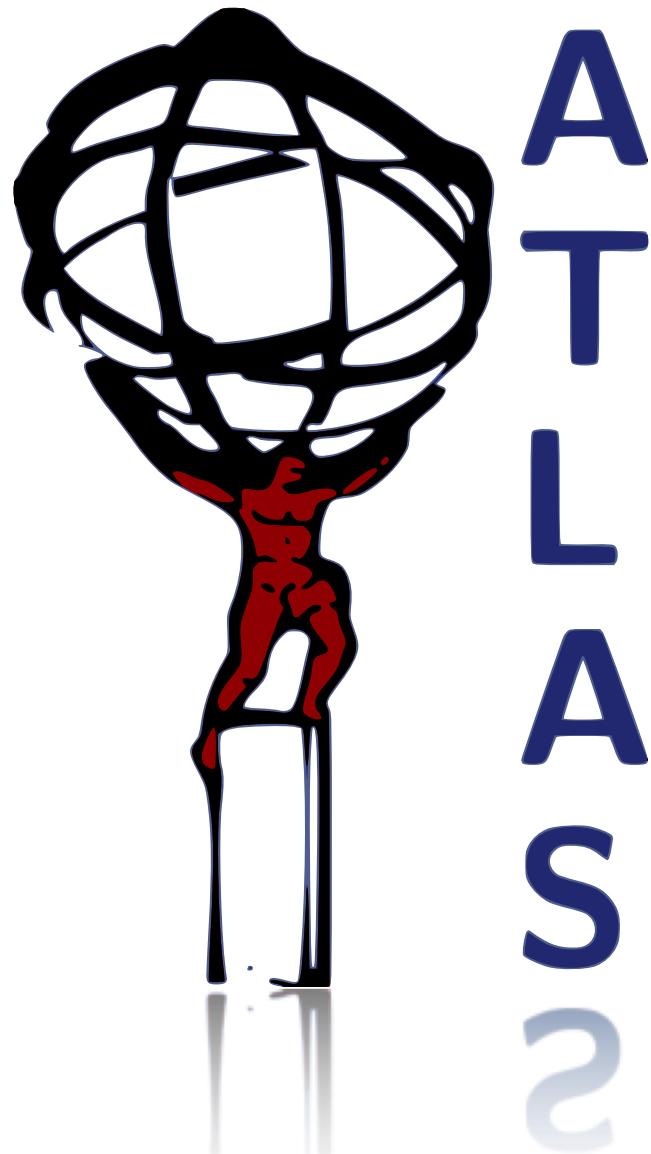
- Also beginning in 2012, the variables with the largest pile-up dependence ( $f_{\text{core}}$  and  $f_{\text{track}}$ ) are corrected with terms that are linear in the number of reconstructed vertices.

$$f_{\text{core}} = \frac{\sum_{\{\Delta R < 0.1\}} E_{\text{T}}^{\text{EM}}(\text{cell})}{\sum_{\{\Delta R < 0.2\}} E_{\text{T}}^{\text{EM}}(\text{cell})} + (0.3\%/\text{vertex}) \times N(\text{vertex})$$

- Tight/Medium/Loose working points of the BDT and LLH are defined ( $\approx 40\%, 60\%, 70\%$  efficient), optimized as function of  $p_{\text{T}}$  and in separate  $N(\text{vertex})$  categories.



**SM**  $Z \rightarrow \tau\tau$



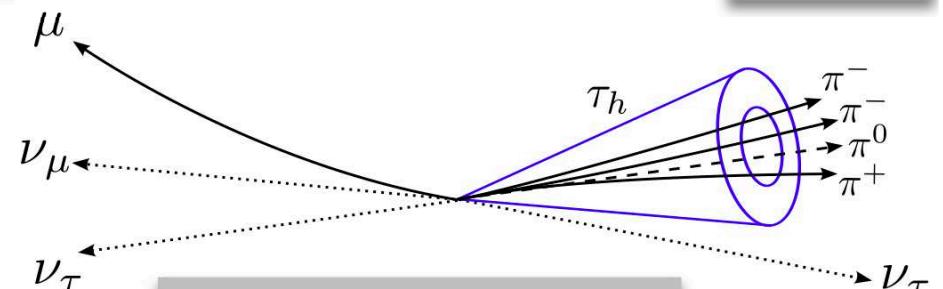
# $Z \rightarrow \tau\tau$ studies

'09-'11

- Focus on lep-had final state. Trigger on  $e$  or  $\mu$ .
- Able to select  $Z \rightarrow \tau\tau$  control sample for ***studying tau ID***.
- Important to establish understanding of this ***irreducible background to new physics*** with taus:  $H \rightarrow \tau\tau$  and  $Z' \rightarrow \tau\tau$  searches.
- Complicated background composition.*** Multijet,  $W$ +jet, and  $Z$ +jet backgrounds all compete at the same order.

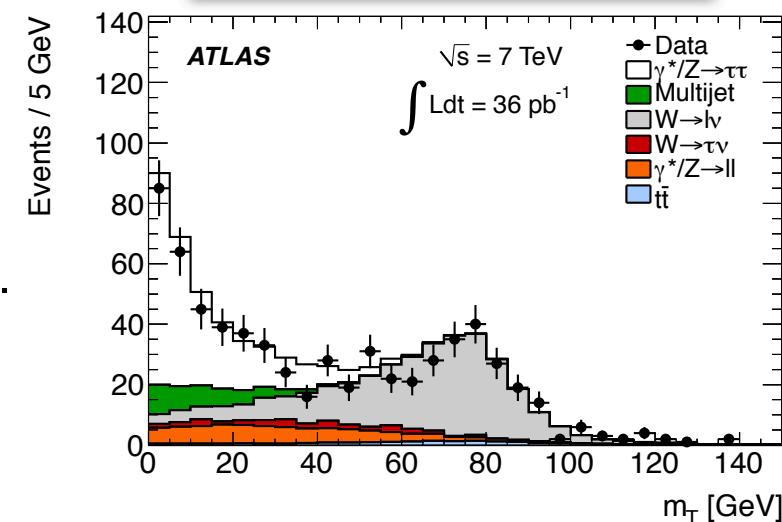
## Data-driven background estimates:

- Jet fake rate mis-modeled in ATLAS Monte Carlo.  $W$ +jet background normalized with high- $m_T$  control region.
- Multijet background modeled from same-sign data.



## Event selection

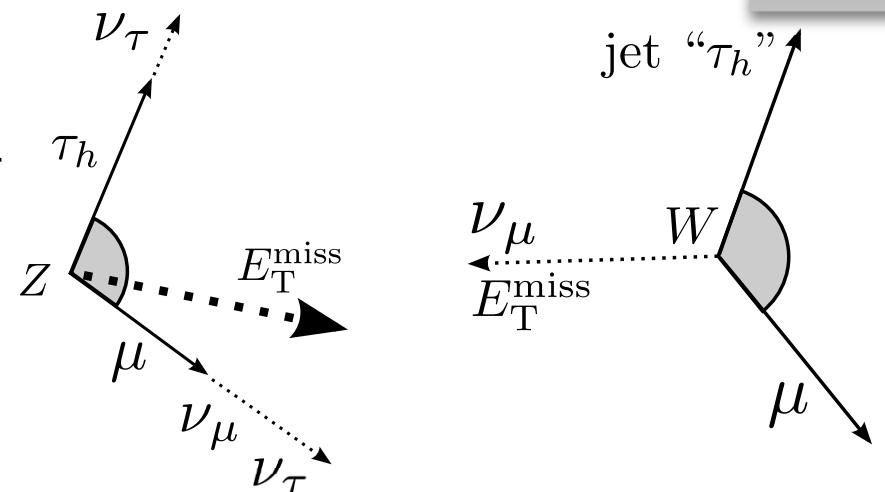
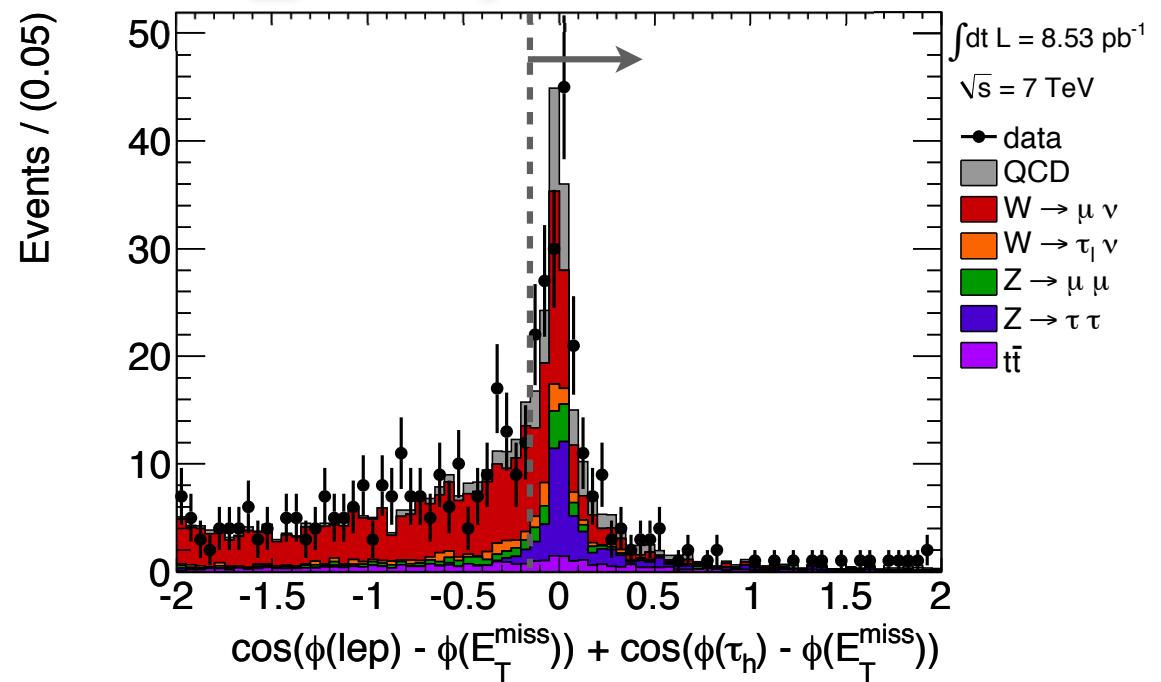
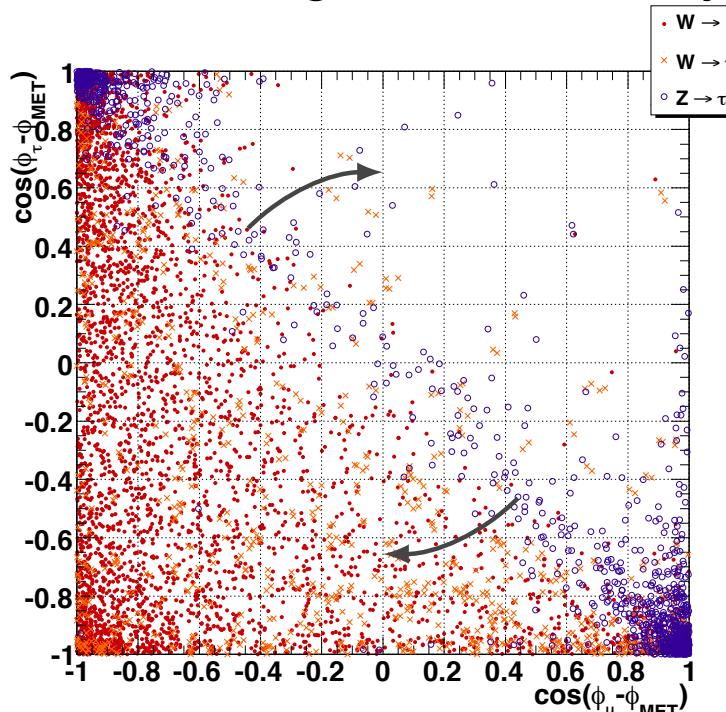
- dilepton veto
- $\sum \cos \Delta\phi < -0.15$
- $m_T(\ell, E_T^{\text{miss}}) < 50 \text{ GeV}$
- $m_{\text{vis}} = 35 - 75 \text{ GeV}$
- $N_{\text{track}}(\tau_h) = 1 \text{ or } 3$
- $|\text{charge}(\tau_h)| = 1$
- Opposite sign  $\tau_h$  and  $\ell$



# $\sum \cos\Delta\phi$ for suppressing W+jet

'09-'10

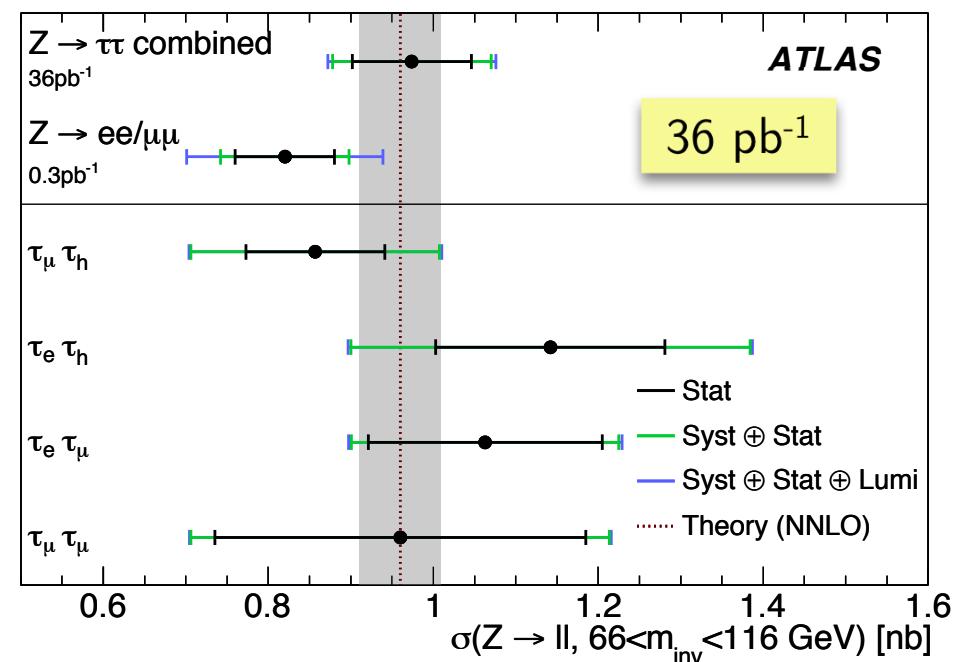
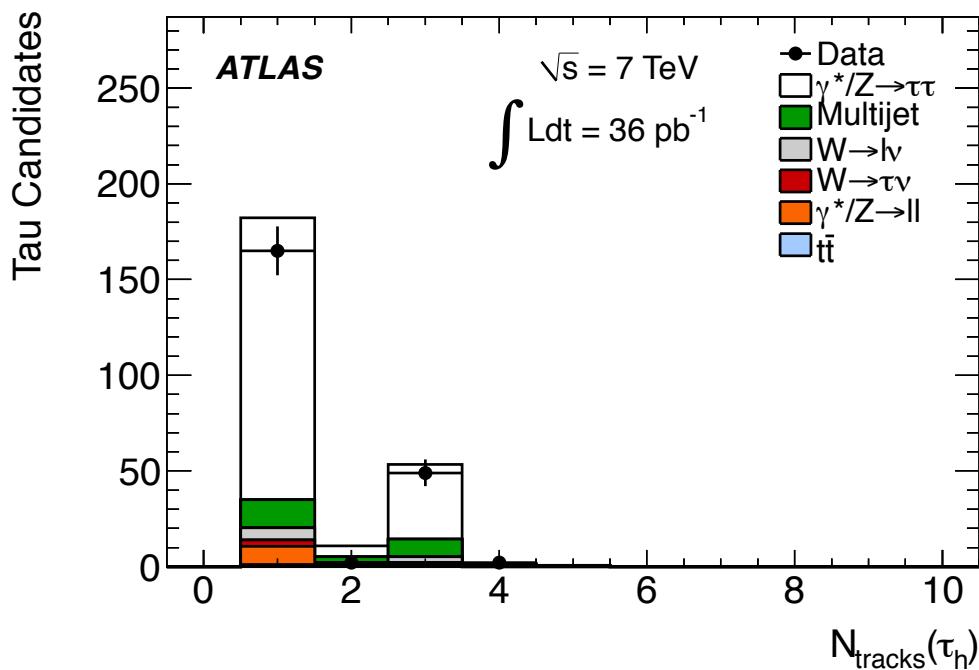
- I introduced a new variable for rejecting the  $W + \text{jet}$  background.
- Exploits the correlation of the direction of the  $E_T^{\text{miss}}$  and the decay products.
- Essentially require the  $E_T^{\text{miss}}$  to be between instead of away.
- Only dependent on  $E_T^{\text{miss}}$  direction and not the magnitude  $\Rightarrow$  less systematics.



$$\sum \cos\Delta\phi > -0.15$$

# $Z \rightarrow \tau\tau$ cross section

'10-'11



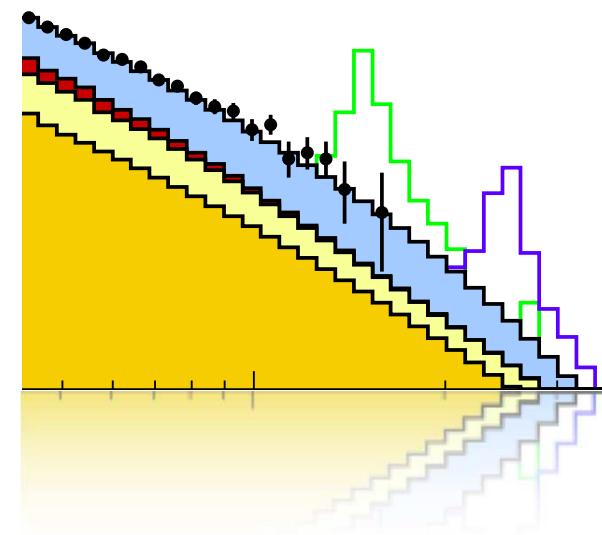
- Claimed observation of  $Z \rightarrow \tau\tau$  with  $8.5 \text{ pb}^{-1}$ .
- Measured cross section to 10% with  $36 \text{ pb}^{-1}$ , consistent with SM.
- Published in PRD.***

$$\sigma_{\text{combined}} = 0.97 \pm 0.07(\text{stat.}) \pm 0.07(\text{sys.}) \pm 0.03(\text{lumi.}) \text{ nb}$$

$$\sigma_{\text{theory}} = 0.96 \pm 0.05 \text{ nb at NNLO}$$

# New physics:

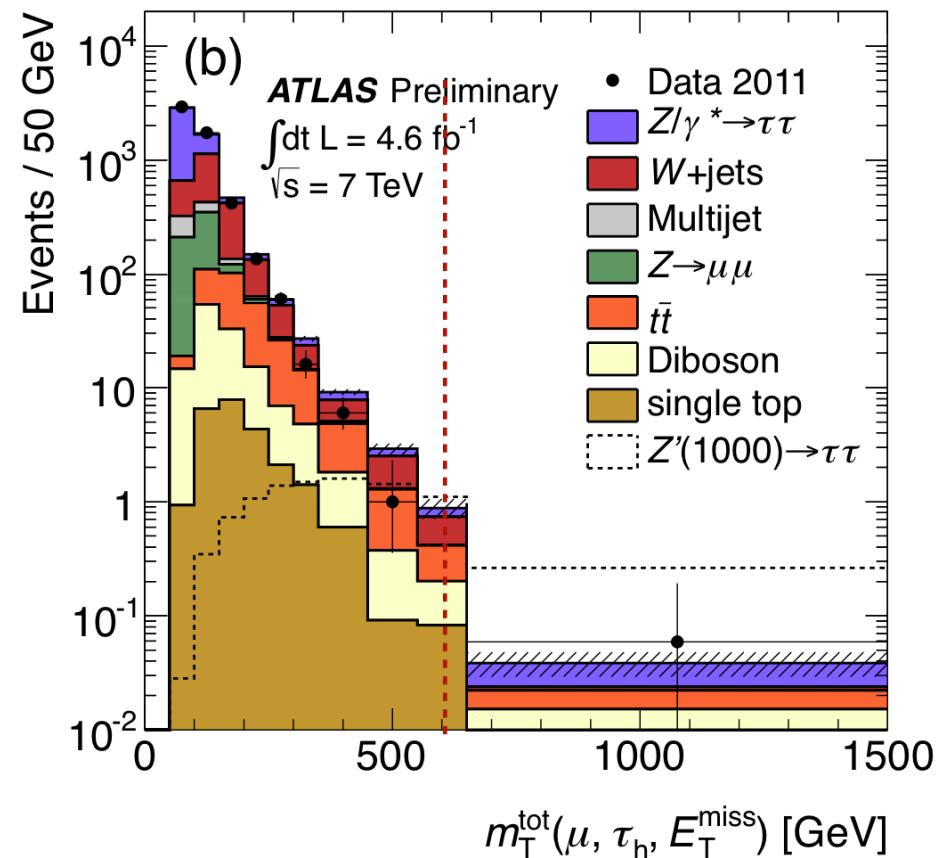
$Z' \rightarrow \tau\tau$



- Select OS back-to-back tau decays.
- Count high-mass events.

## Event selection

- $p_T(\mu) > 25, p_T(\tau_h) > 35$  GeV
- 1-prong  $\tau_h$
- $|\Delta\phi(e, \tau_h)| > 2.7$
- opposite sign  $\mu$  and  $\tau_h$
- $m_T(e, \tau_h, E_T^{\text{miss}}) > 600$  GeV

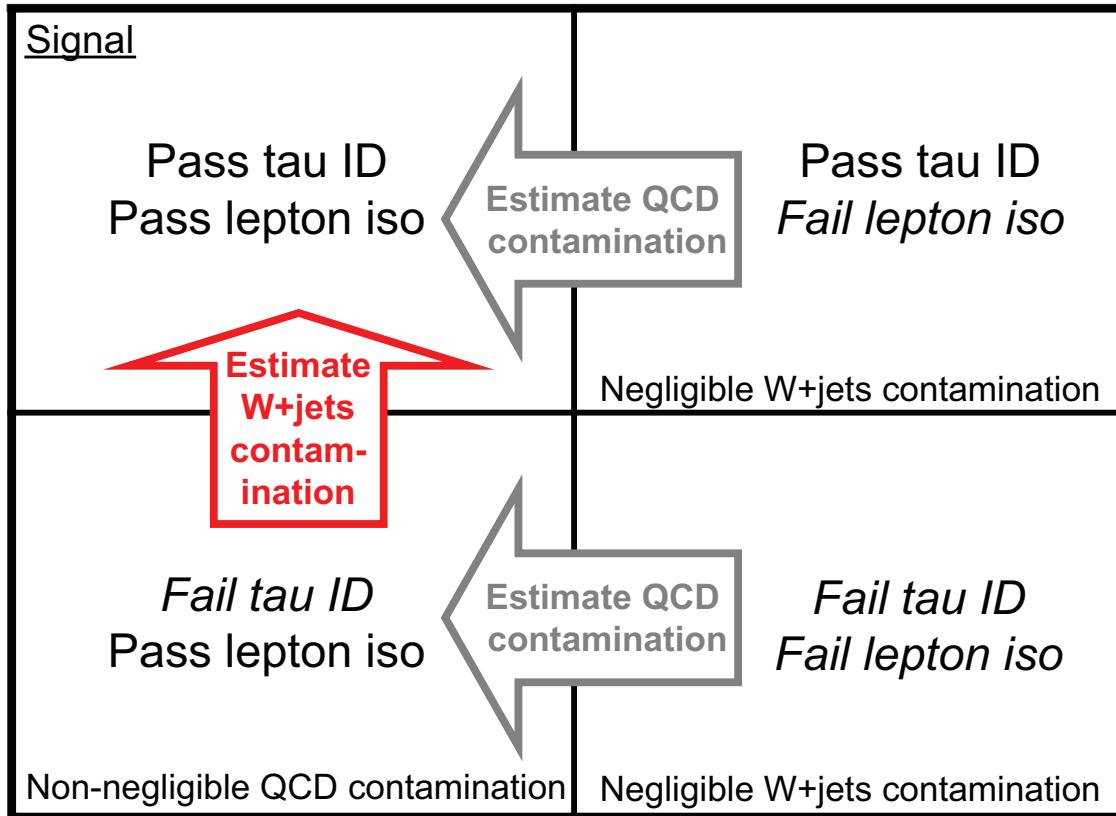


- Fake factor methods used to model multijet and  $W+\text{jet}$  backgrounds
- Need to be modeled in data-driven ways for two reasons:
  1. populate the model in the high-mass tail
  2. jet  $\rightarrow \tau_h$  fake rate is mis-modeled in Monte Carlo.

total SM =  $1.4 \pm 0.4$  events  
 $Z'(1000) = 5.5 \pm 0.7$

# Double fake factor procedure

'11-'12



- The multijet contamination is estimated from the rate of non-isolated leptons, in both the signal region that passes tau ID, and the sample that fails.
- Then, the corrected number of tau candidates failing ID are weighted to predict the W+jet background.
- This way, *the corrections are small at each step.*

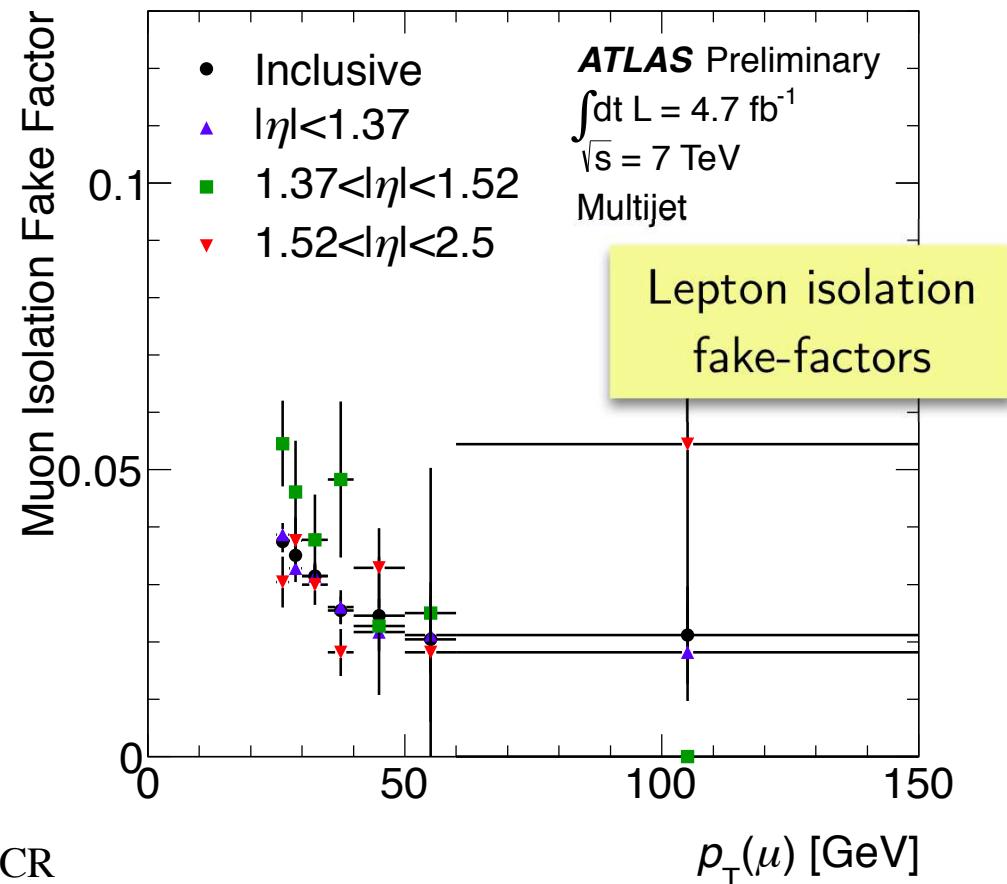
# Multijet background estimation

'11-'12

## Multijet control region

- no isolation
- $E_T^{\text{miss}} < 30 \text{ GeV}$
- $m_T(\mu, E_T^{\text{miss}}) < 30 \text{ GeV}$
- In the control region, divide leptons into pass and fail isolation.
- Define fake factor:

$$f_{\mu-\text{iso}}(p_T, \eta) \equiv \frac{N^{\text{pass } \mu-\text{iso}}(p_T, \eta)}{N^{\text{fail } \mu-\text{iso}}(p_T, \eta)} \Big|_{\text{multijet-CR}}$$



$$N_{\text{multijet}}(p_T, \eta, x) = f_{\mu-\text{iso}}(p_T, \eta) \cdot N_{\text{multijet}}^{\text{fail } \mu-\text{iso}}(p_T, \eta, x)$$

$$= f_{\mu-\text{iso}}(p_T, \eta) \cdot (N_{\text{data}}^{\text{fail } \mu-\text{iso}}(p_T, \eta, x) - N_{\text{MC}}^{\text{fail } \mu-\text{iso}}(p_T, \eta, x))$$

# $W$ +jet background estimation

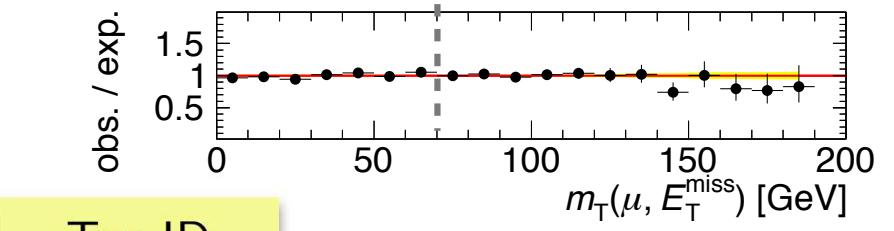
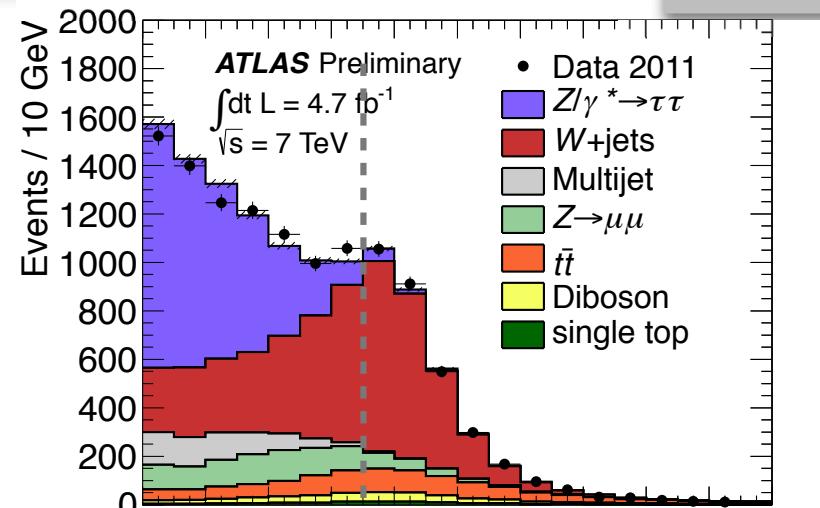
'11-'12

## $W$ +jet control region

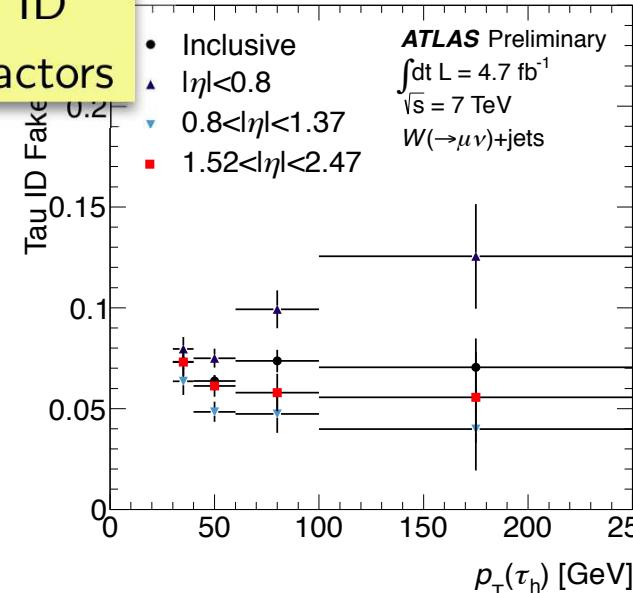
- $m_T(\mu, E_T^{\text{miss}}) = 70\text{-}200 \text{ GeV}$
  - isolated lepton
  - In a  $W$ +jet control region, divide tau candidates into pass and fail identification.
  - Define fake factor:
- $$f_\tau(p_T, \eta) \equiv \frac{N^{\text{pass } \tau\text{-ID}}(p_T, \eta)}{N^{\text{fail } \tau\text{-ID}}(p_T, \eta)} \Big|_{W\text{-CR}}$$
- Predict the number of  $W/Z$ +jet events:

$$N_{W/Z+\text{jet}}(p_T, \eta, x) = f_\tau(p_T, \eta) \cdot N_{W/Z+\text{jet}}^{\text{fail } \tau\text{-ID}}(p_T, \eta, x)$$

$$= f_\tau(p_T, \eta) \cdot (N_{\text{data}}^{\text{fail } \tau\text{-ID}}(p_T, \eta, x) - N_{\text{multijet}}^{\text{fail } \tau\text{-ID}}(p_T, \eta, x) - N_{\text{MC}}^{\text{fail } \tau\text{-ID}}(p_T, \eta, x))$$

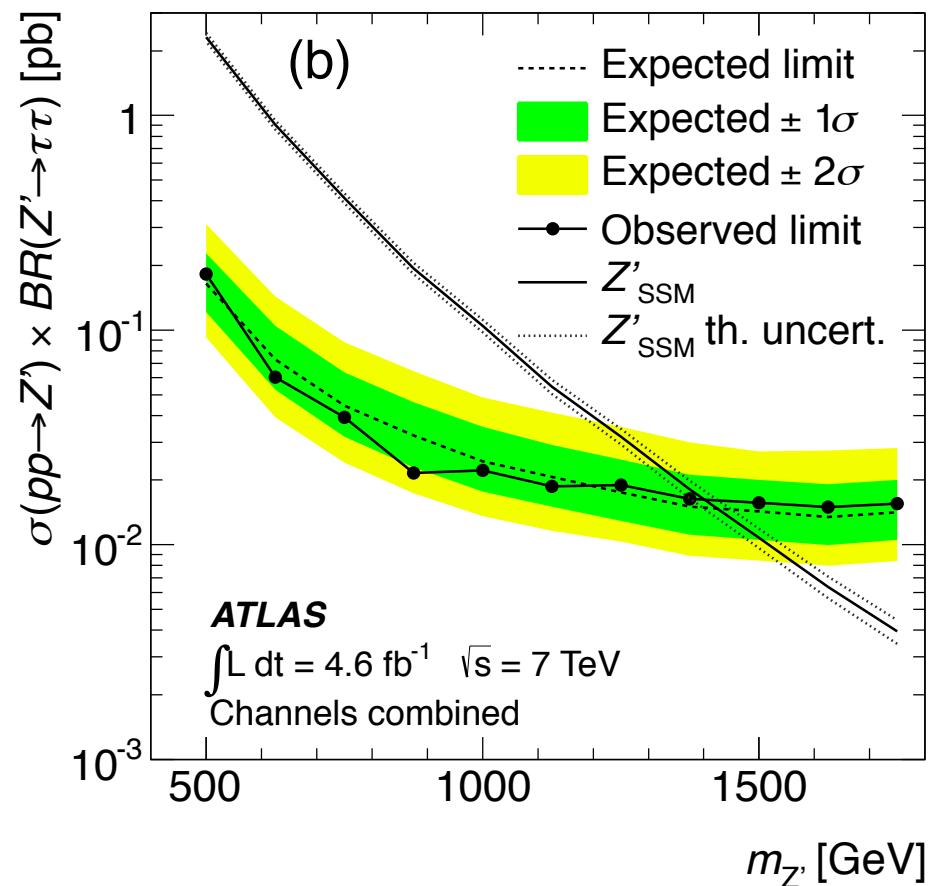
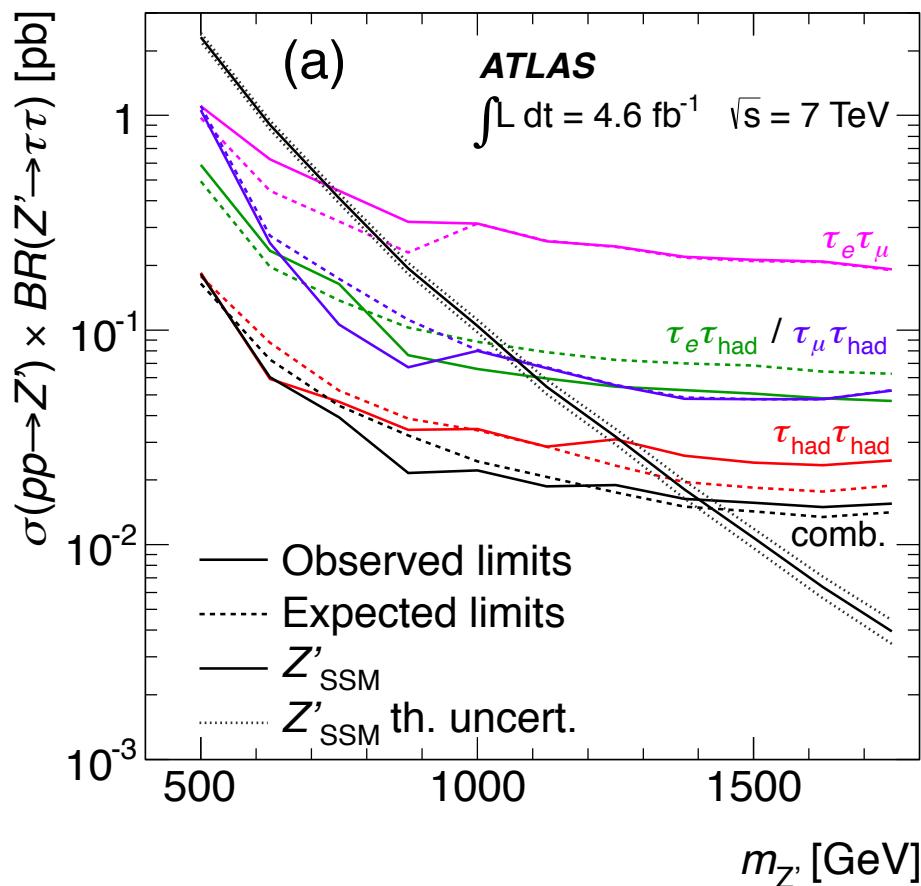


Tau ID  
fake-factors



# Combined limit

'11-'12



ATLAS  $Z'$  SSM Exclusions: observed (expected) @ 95% CL

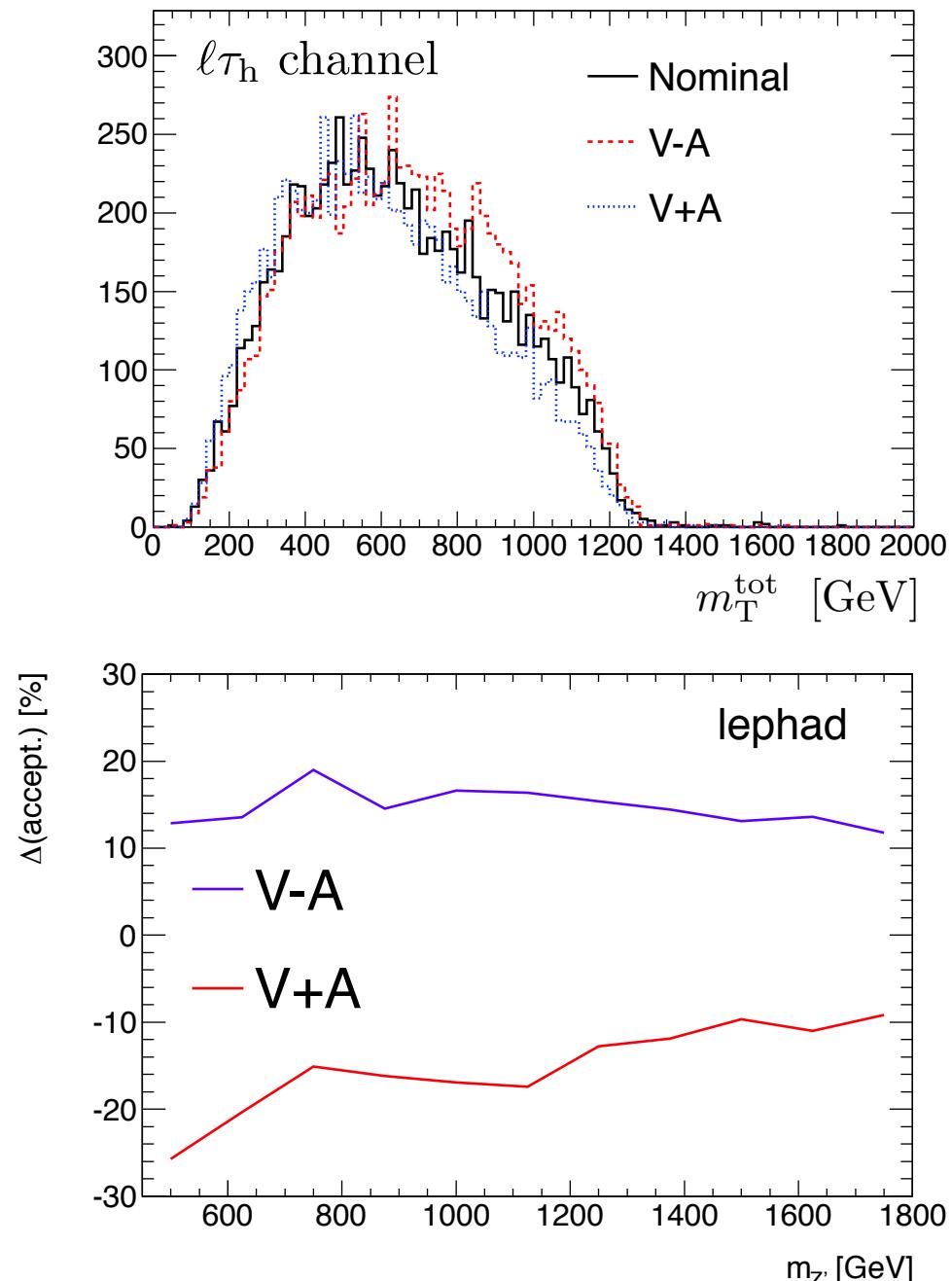
- $\tau_h \tau_h$ : 1.26 (1.35) TeV
- $\mu \tau_h$ : 1.07 (1.06) TeV
- $e \tau_h$ : 1.10 (1.03) TeV
- $e \mu$ : 0.72 (0.82) TeV

combined: 1.40 (1.42) TeV

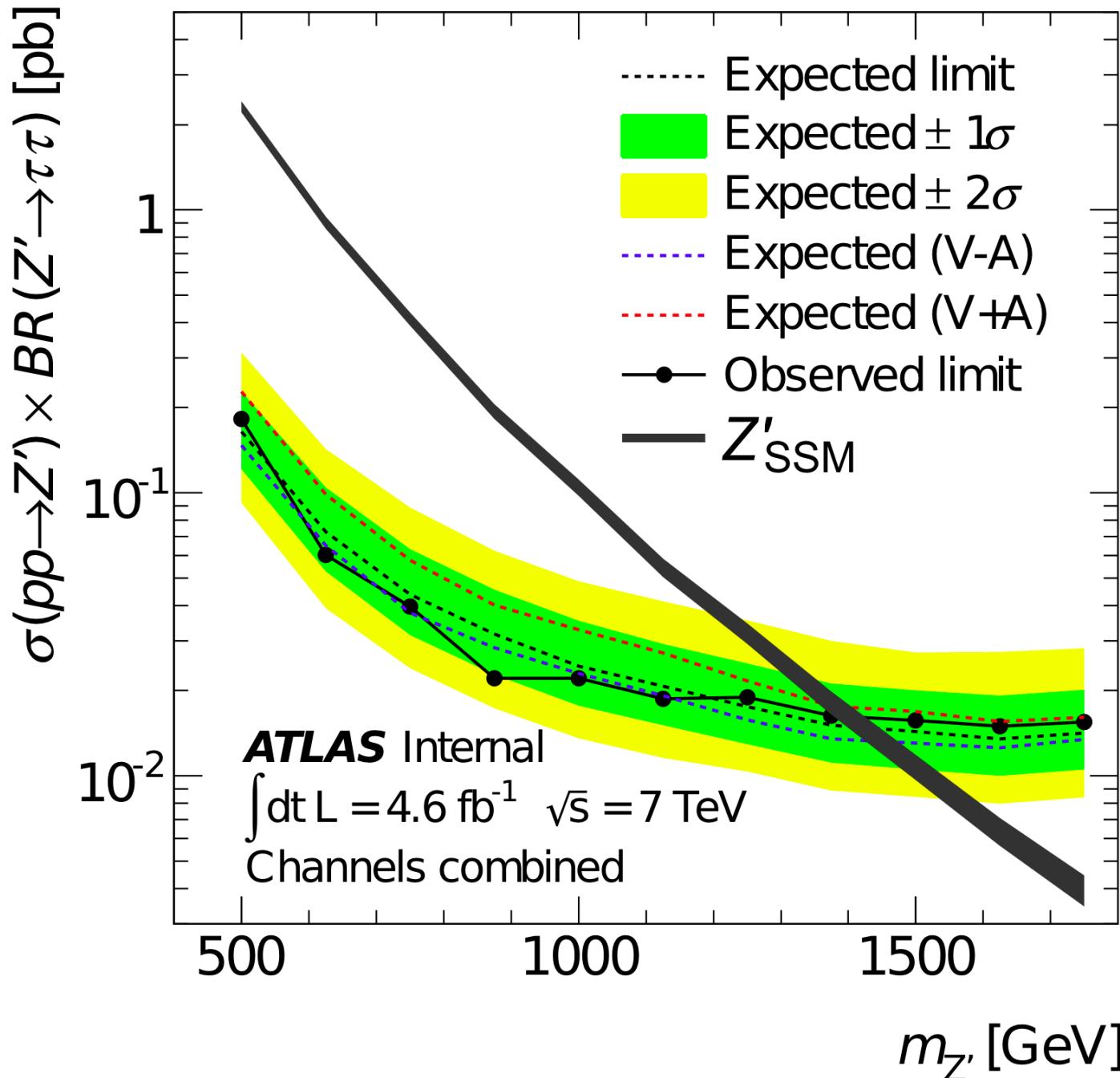
**Published in PLB**

# Model dependence

- $Z'$ <sub>SSM</sub> has the same chiral couplings as the  $Z$  of the SM, but with a higher mass.
- The visible momentum fraction in hadronic tau decays can depend on the handedness of the couplings because it decays left-handed through a  $W$ .
- To probe the dependence on the limit, we tested two extreme cases:
  1. V-A pure left
  2. V+A pure right



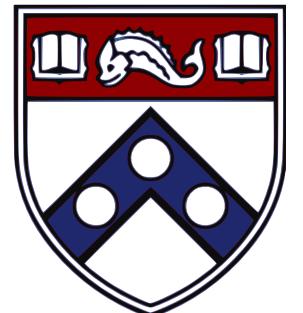
# Model dependence



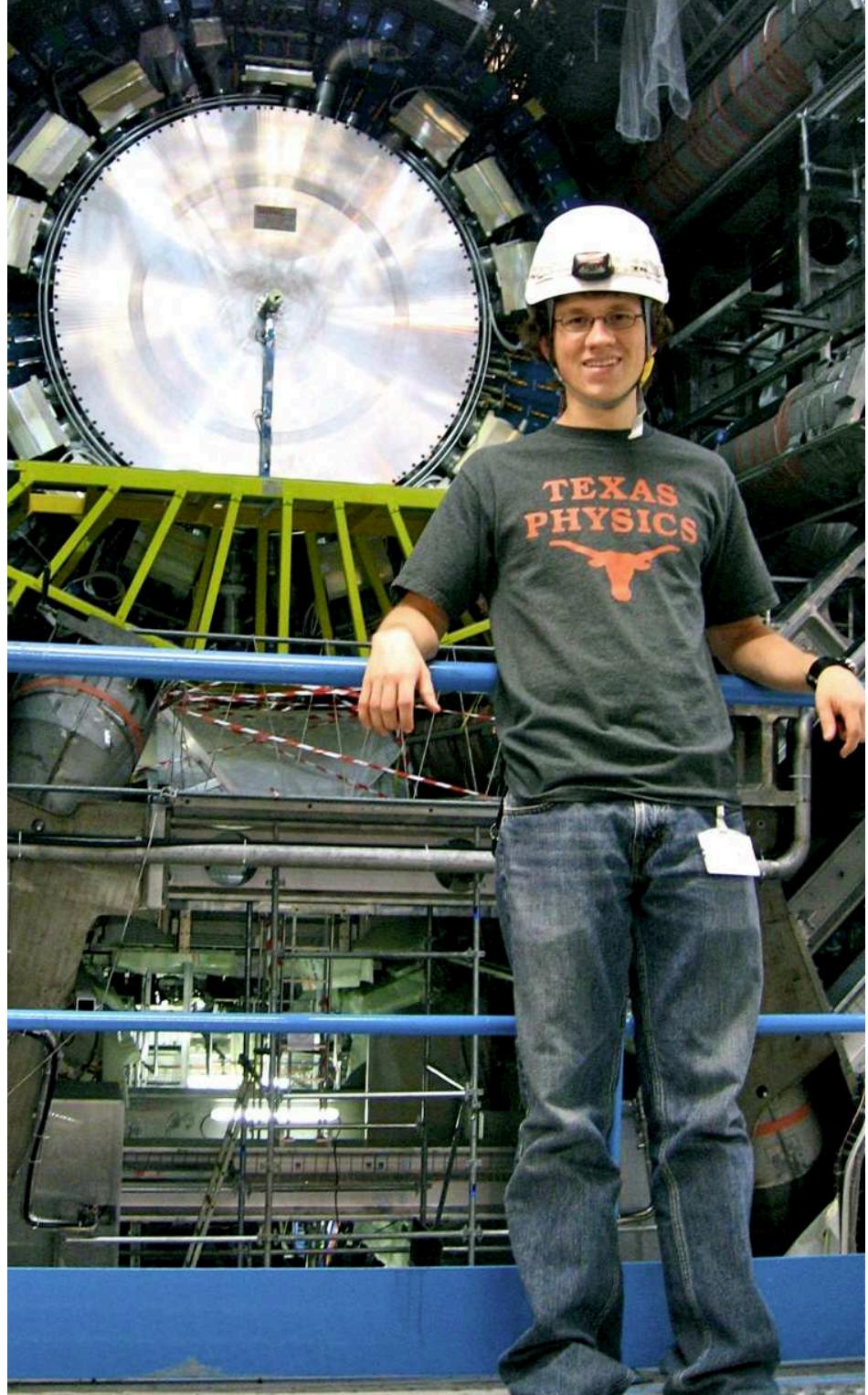
# Conclusions

- It's been an exciting time to be a student.
- Had a lot of fun learning experimental skills during the turn-on of the LHC.
- Helped commission thresholds and hit efficiency for the TRT.
- Developed cut-based tau identification.
- Studied pile-up robustness for taus.
- Measured SM  $Z \rightarrow \tau\tau$  cross section.
- Searched for new physics in high-mass ditau events.
- *Excited that discoveries at the LHC could just be beginning.*

I will always be  
indebted to Penn for  
enabling me and  
teaching me so much.

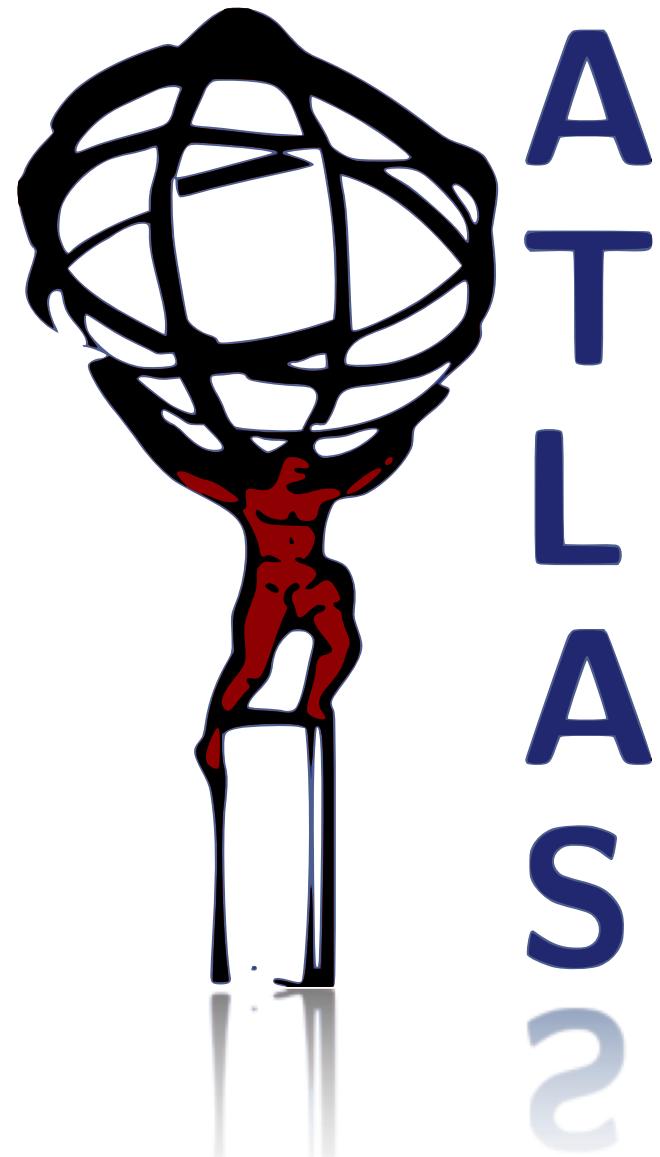


Penn

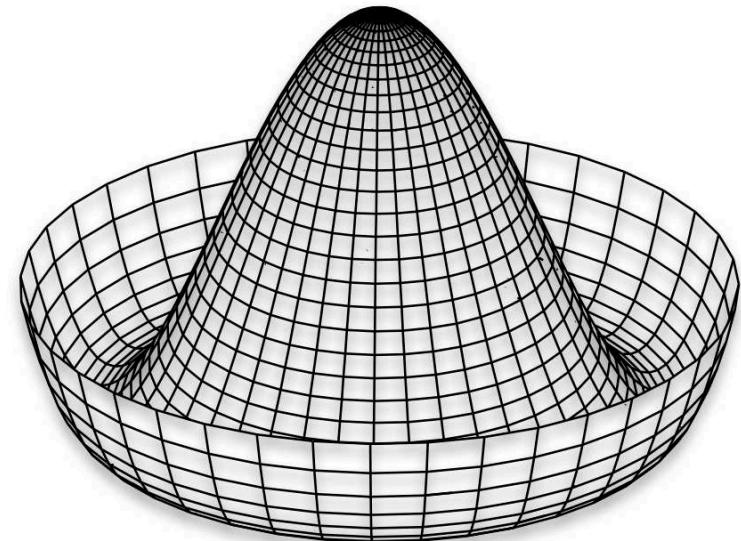


Ryan Reece (Penn)

Back up



# **Review of Higgs search results**



July 4, 2012

CERN announces the discovery of a new particle by ATLAS and CMS, consistent with the Higgs boson



The New York Times

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NEW YORK, THURSDAY, JULY 5, 2012

\$2.50

ROMNEY NOW SAYS  
HEALTH MANDATE  
BY OBAMA IS A TAX  
SHUTS DOWN CRITICISM

More Aligns Him With  
Conservative Voices  
Within His Party

By JONATHAN W. PETERS  
WOLFGANG, AUSTRIA — Most Austrians here seem to be relieved that President Obama's health care mandate was to fail a test, shifting his political character from a moderate to a conservative and aligning himself with the conservative members of his party.

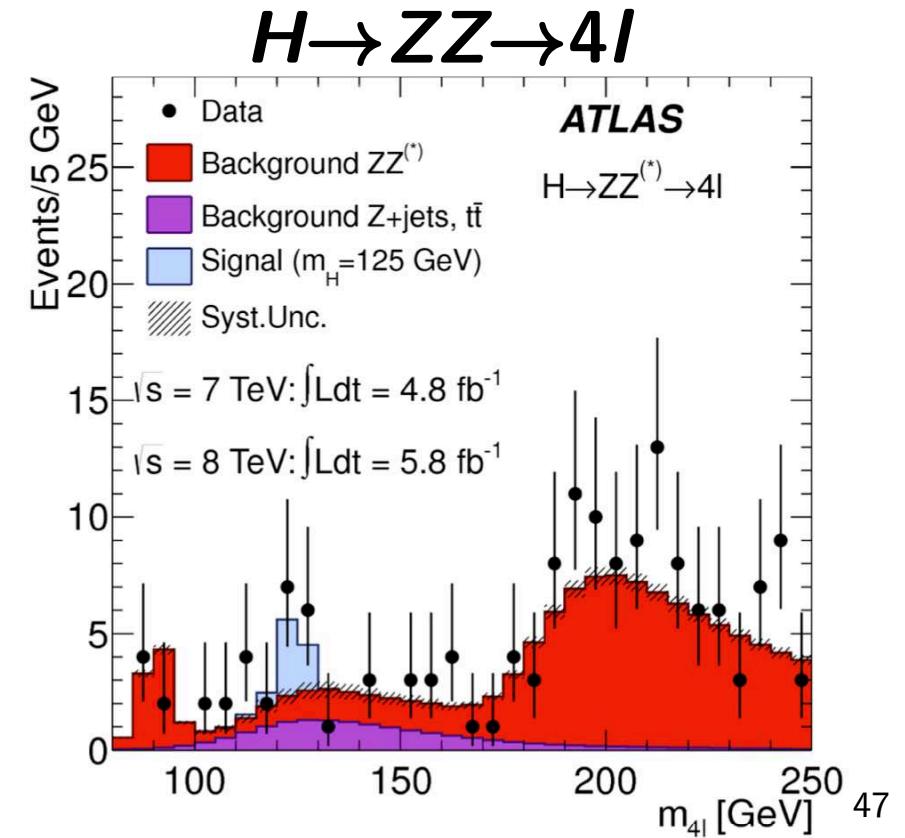
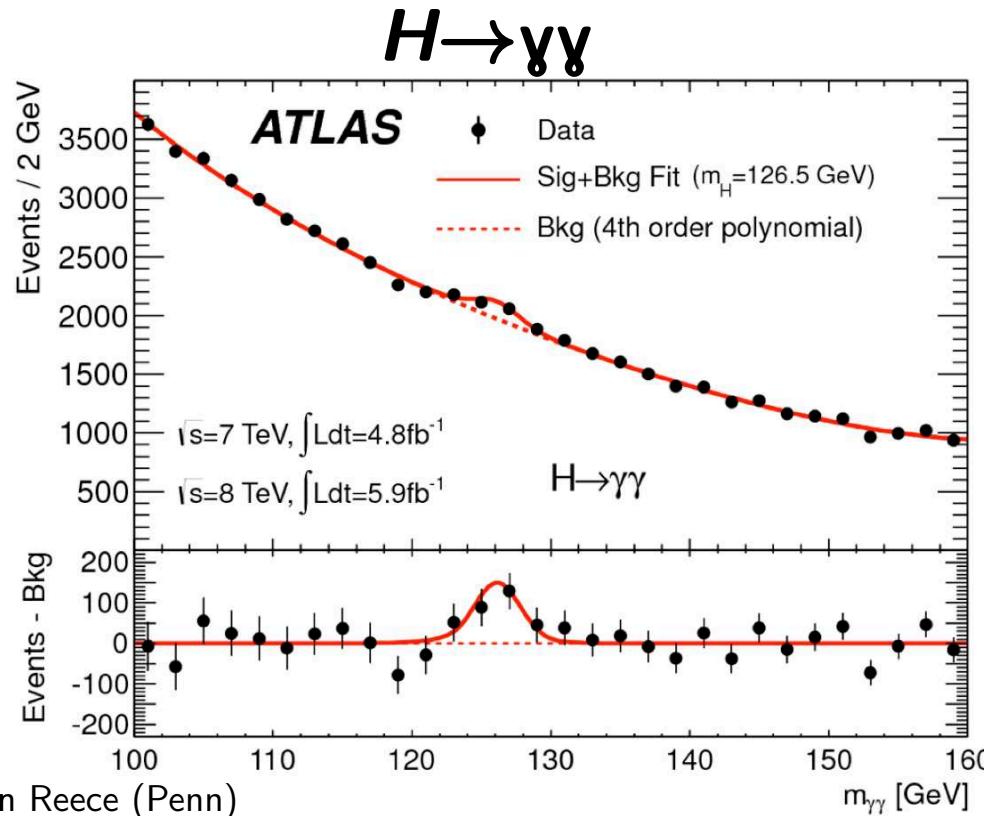
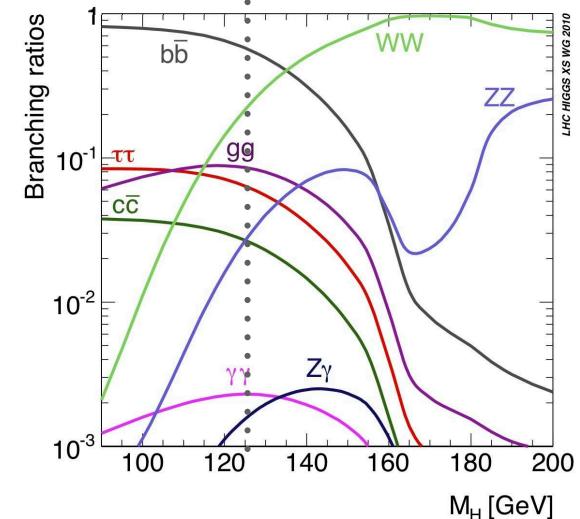


July 5 cover of the New York Times:  
*Physicists Find Elusive Particle  
Seen as Key to the Universe*

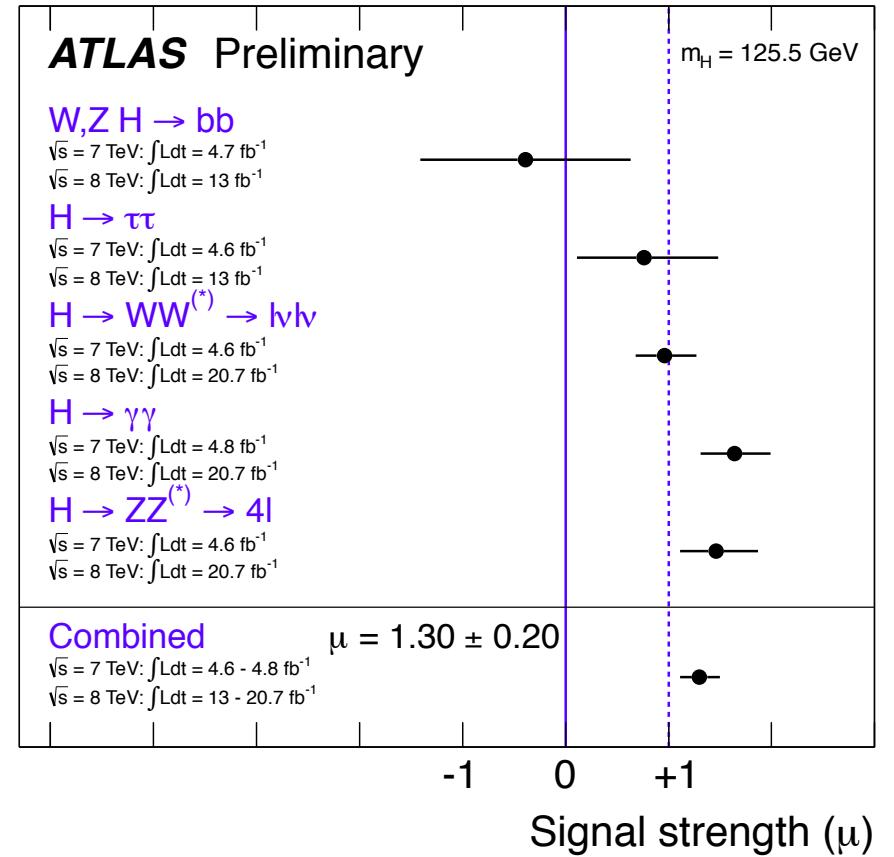
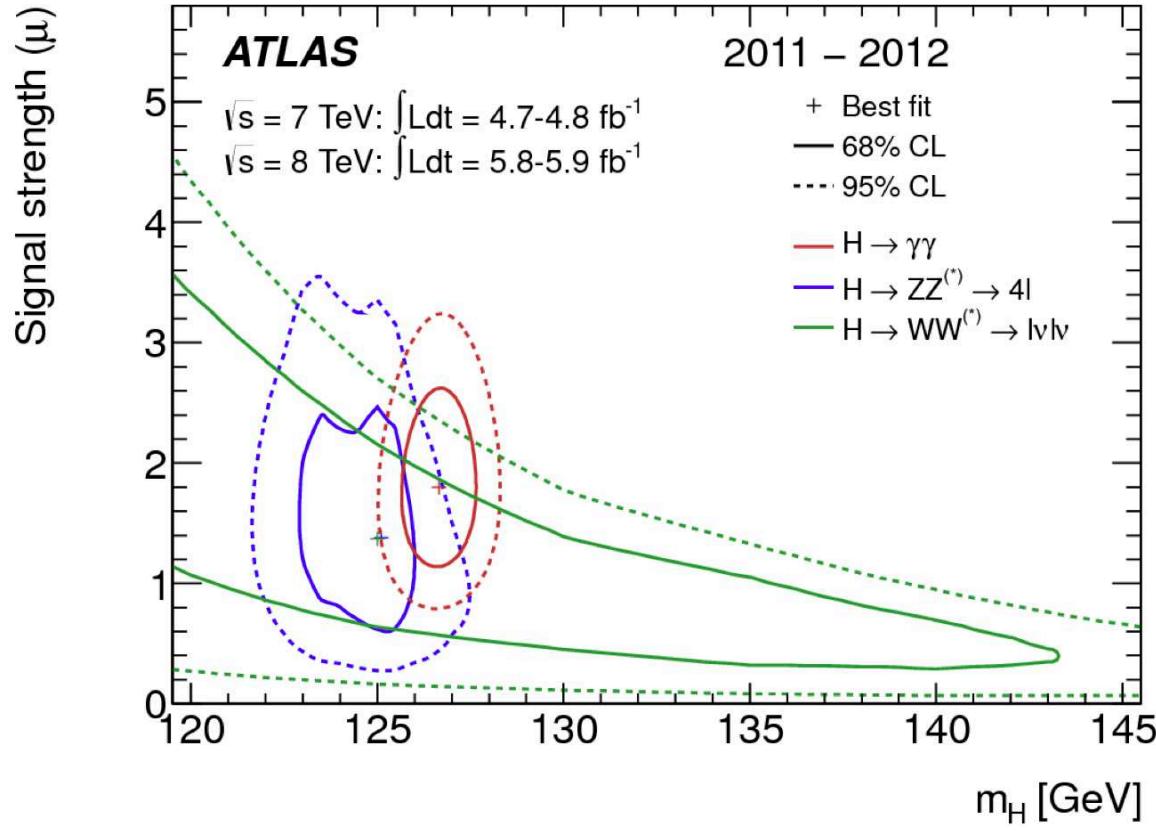
# Current Higgs results

- Two channels with precise mass measurements:  
 $H \rightarrow \gamma\gamma$  and  $H \rightarrow ZZ \rightarrow 4l$ .
- $H \rightarrow WW$  observes a broad but clear excess.

channel	bb	$\tau\tau$	WW	ZZ	$\gamma\gamma$
BR	58%	6%	22%	3%	0.2%

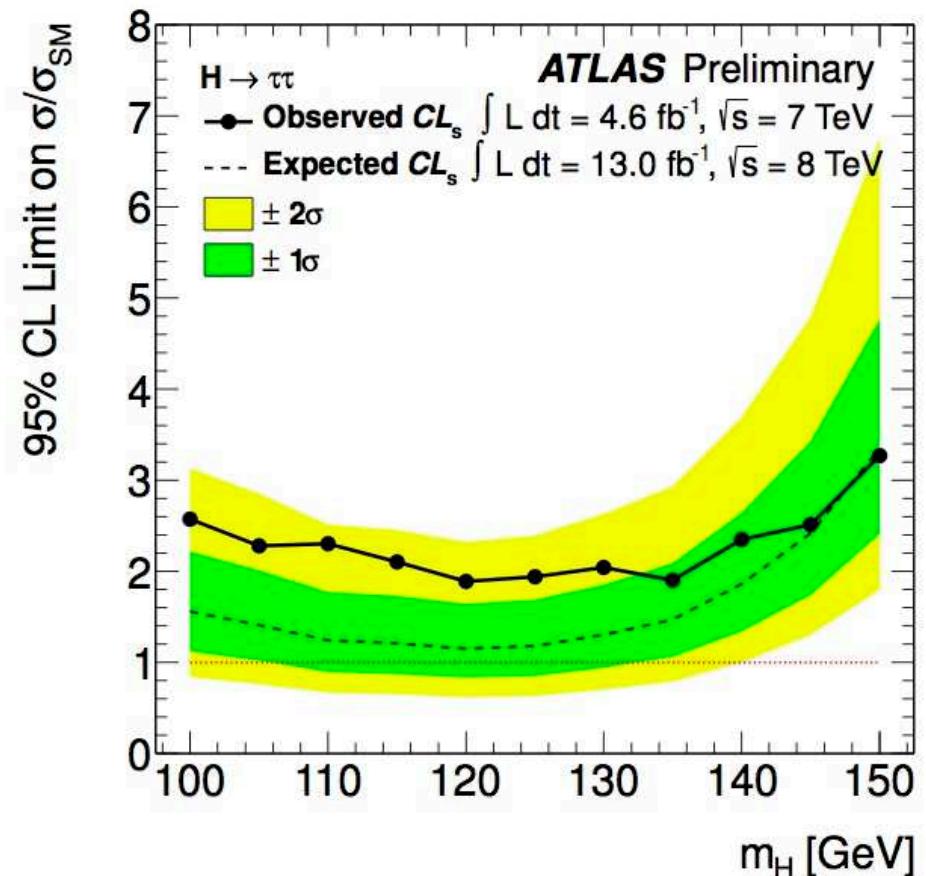
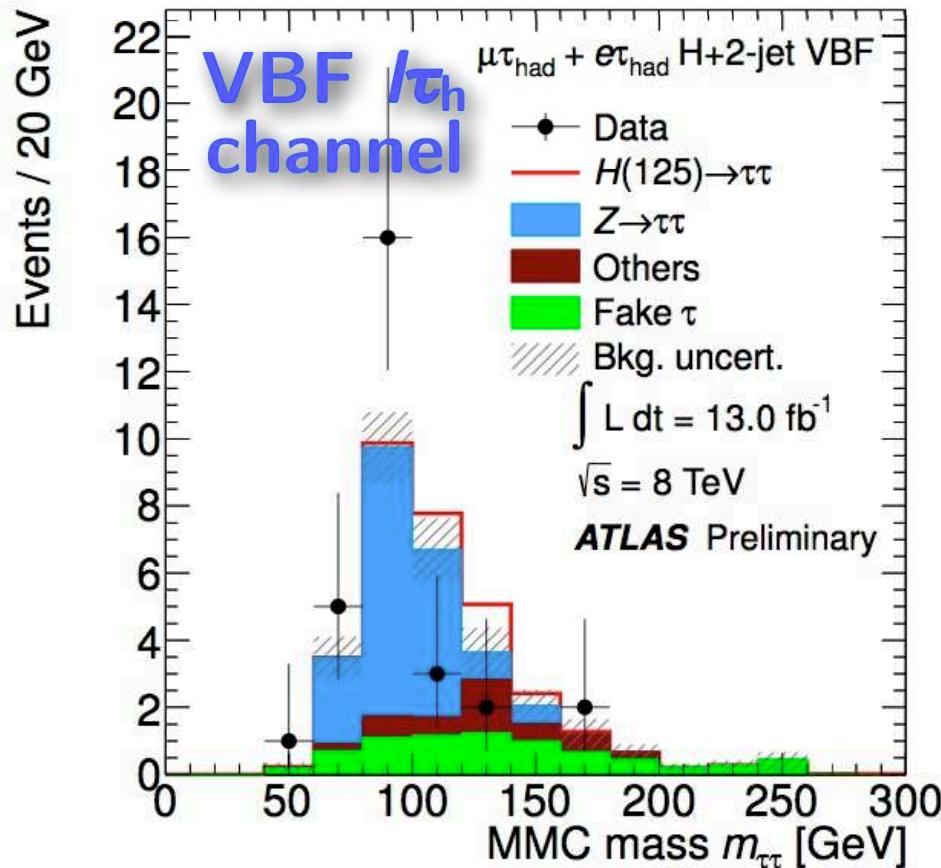


# Current Higgs results

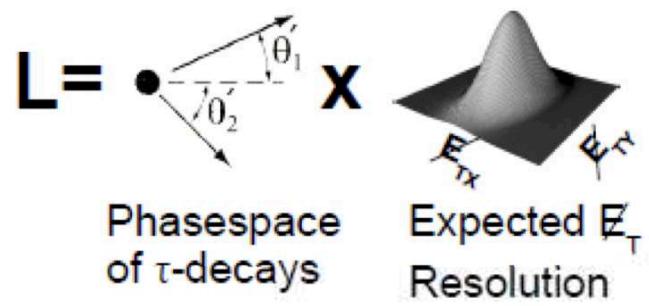


- The measurements in the  $\gamma\gamma/ZZ/WW$  channels are consistent with a new neutral boson with  $m \approx 126 \text{ GeV}$ .
- Interestingly, both ATLAS and CMS observe the signal strength in the  $\gamma\gamma$  channel to be higher than the SM over  $1\sigma$ , but still consistent with the SM.
- $H \rightarrow \tau\tau$  and  $H \rightarrow b\bar{b}$  are approaching sensitivity.

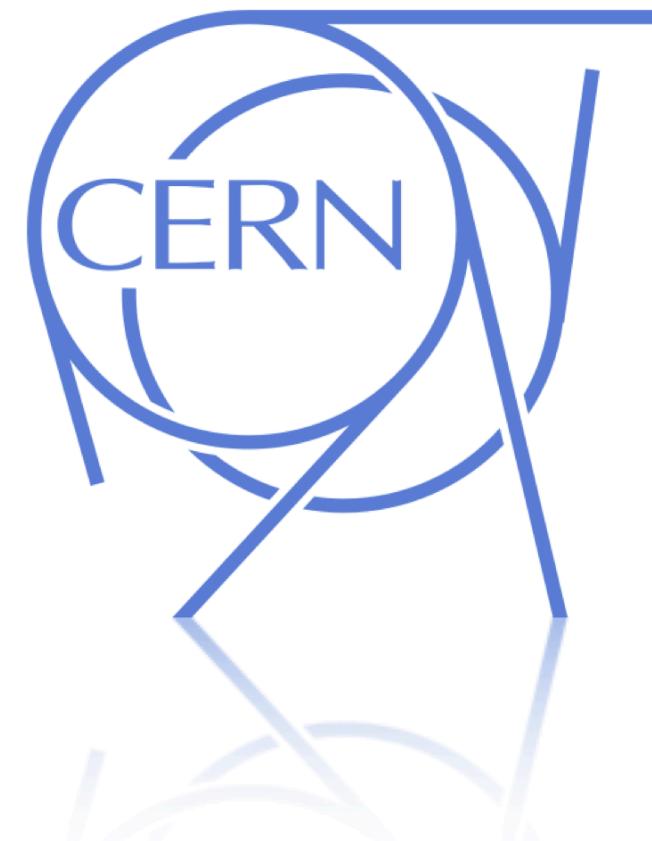
# Current $H \rightarrow \tau\tau$ result

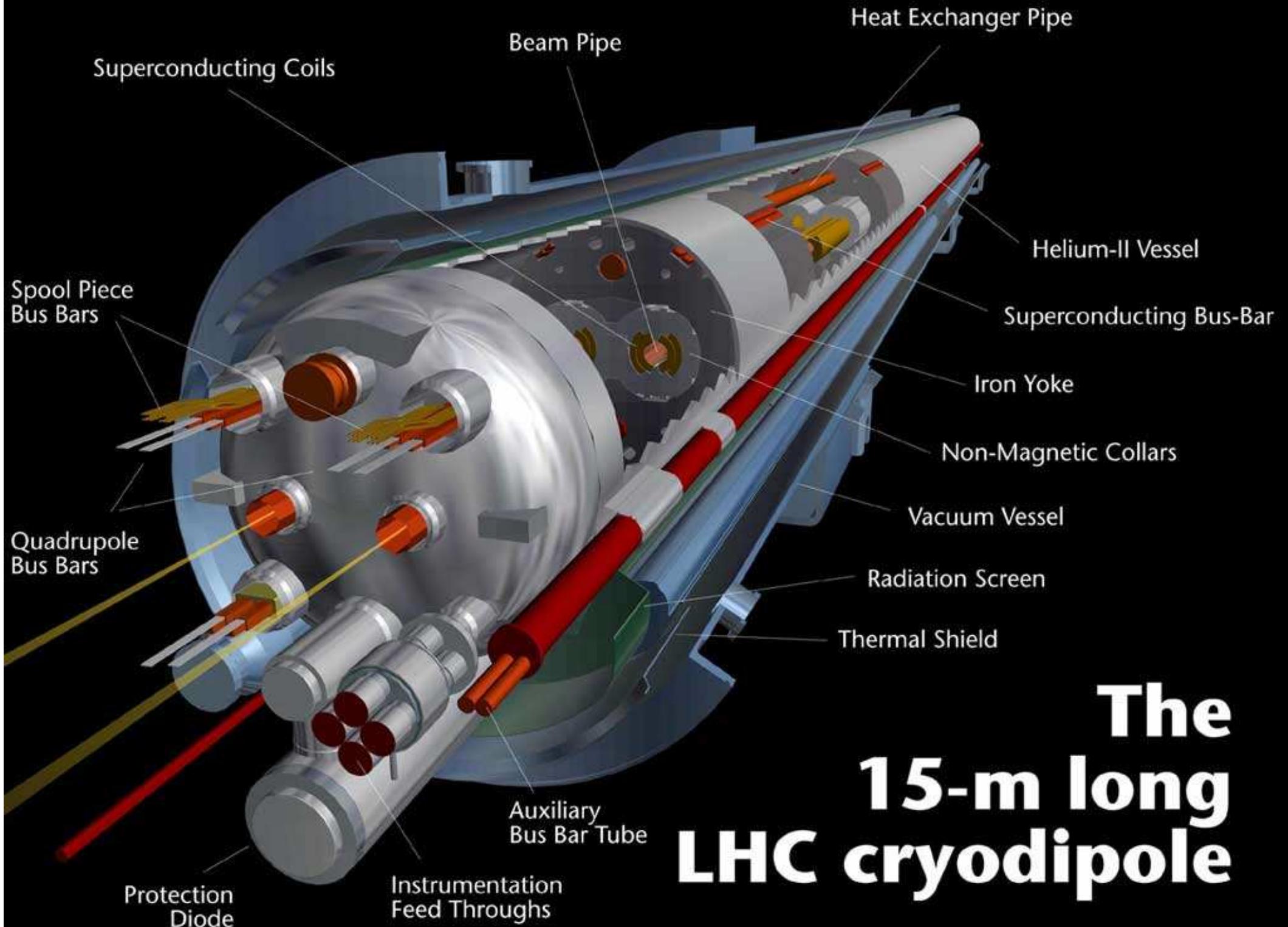


- A lot of shared experience between  $Z/Z'/H \rightarrow \tau\tau$  analyses.
- Uses similar  $\sum \Delta\phi$  cut for suppressing  $W+\text{jet}$ .
- Uses fake factor method for predicting fake backgrounds.
- Eagerly approaching sensitivity to  $1 \times \text{SM } H \rightarrow \tau\tau$ .
- $21.7 \text{ fb}^{-1}$  collected this year.



# **The LHC, ATLAS, and CMS**





# The 15-m long LHC cryodipole

# ATLAS

25m

## ATLAS:

- 2T solenoid, 4T air-core toroid
- 3-layer Pb-LAr samp. EM-cal

## CMS:

- 3.8T solenoid
- PbWO<sub>4</sub> crystal EM-cal

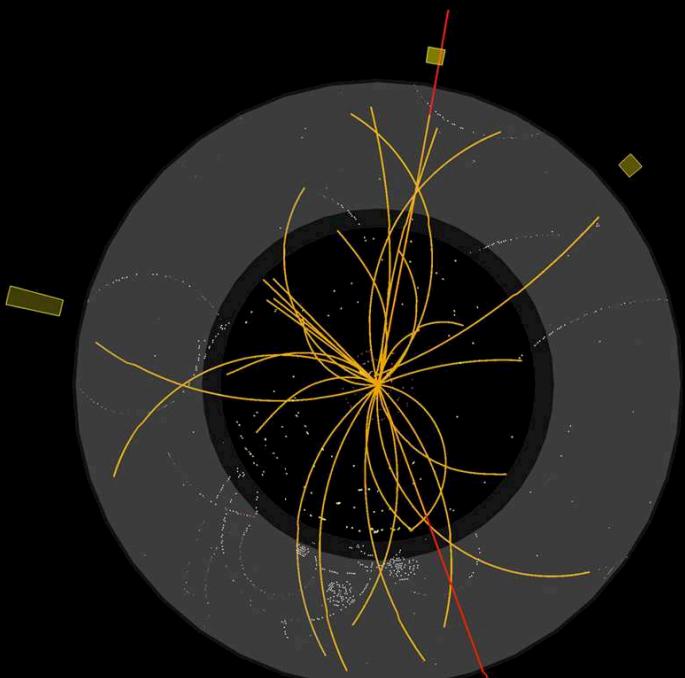
## Each experiment has:

- 3000 scientists, 170+ institutions
- tracking, calorimetry, muon spec.
- 100 M readout channels
- 1 MB/event written at 500 Hz
- $O(10^4)$  TB of data/year/exp.
- world-wide grid computing

# CMS

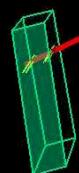


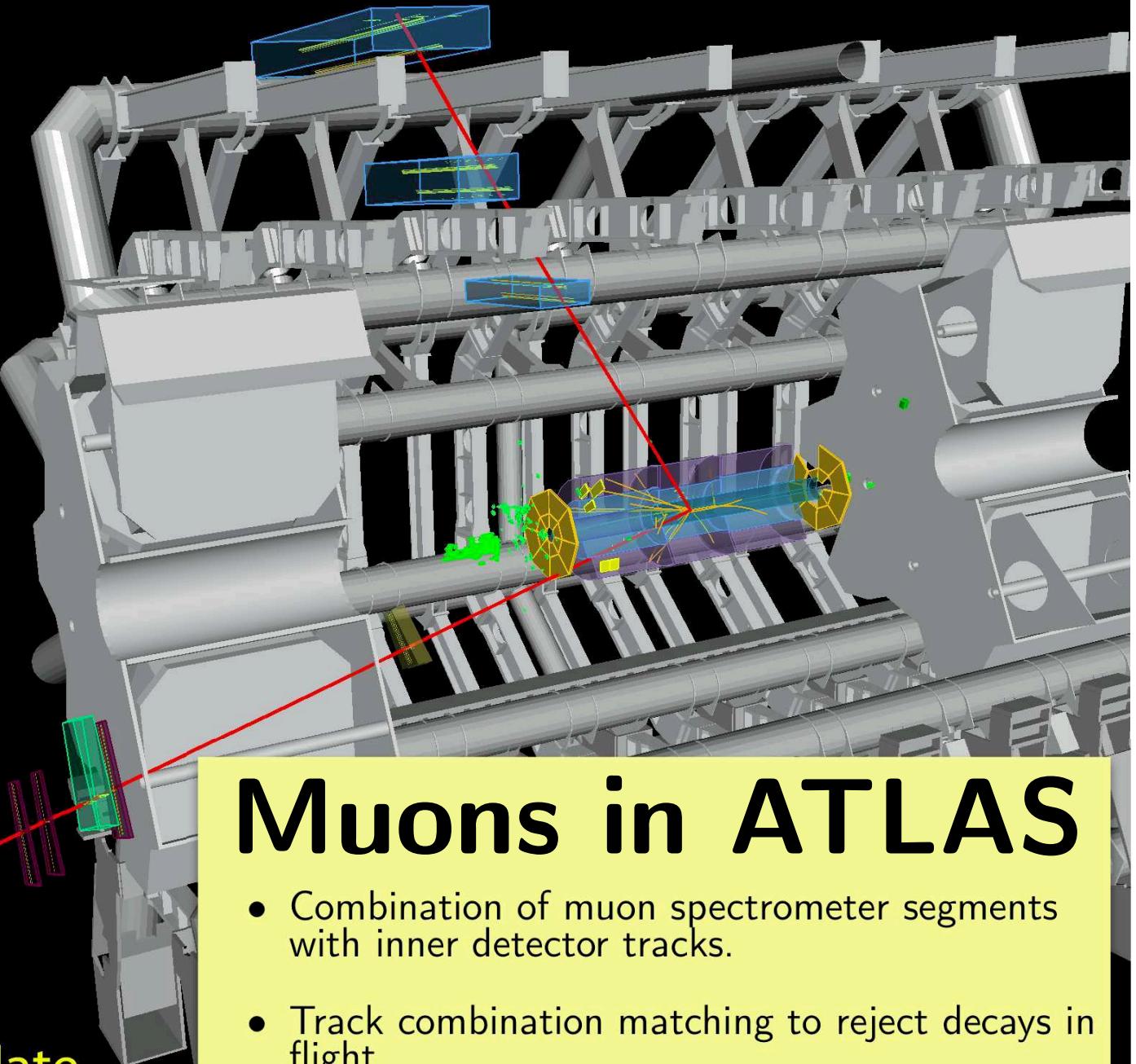
Run: 154822, Event: 14321500  
 Date: 2010-05-10 02:07:22 CEST



$p_T(\mu^-) = 27 \text{ GeV}$   $\eta(\mu^-) = 0.7$   
 $p_T(\mu^+) = 45 \text{ GeV}$   $\eta(\mu^+) = 2.2$

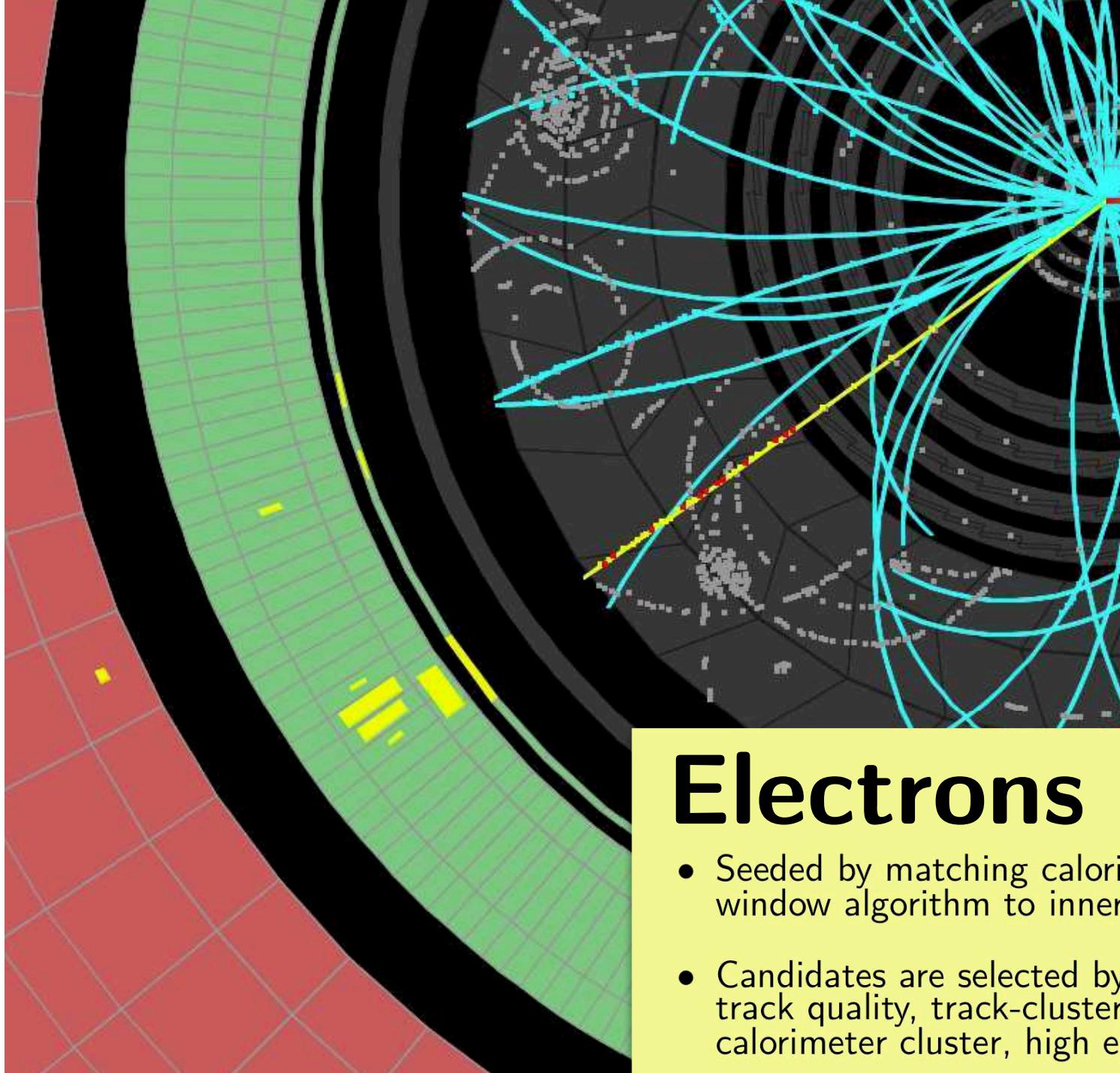
$M_{\mu\mu} = 87 \text{ GeV}$

 **Z $\rightarrow\mu\mu$  candidate  
in 7 TeV collisions**



## Muons in ATLAS

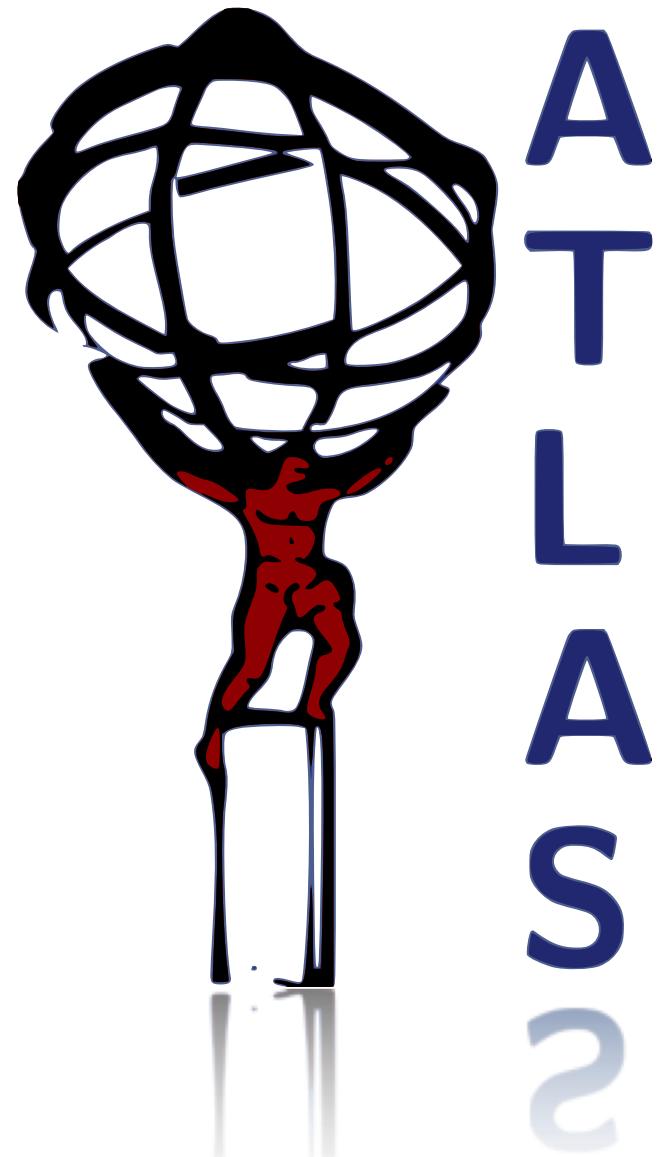
- Combination of muon spectrometer segments with inner detector tracks.
- Track combination matching to reject decays in flight.
- Impact parameter constraints to reject cosmic muons.



# Electrons in ATLAS

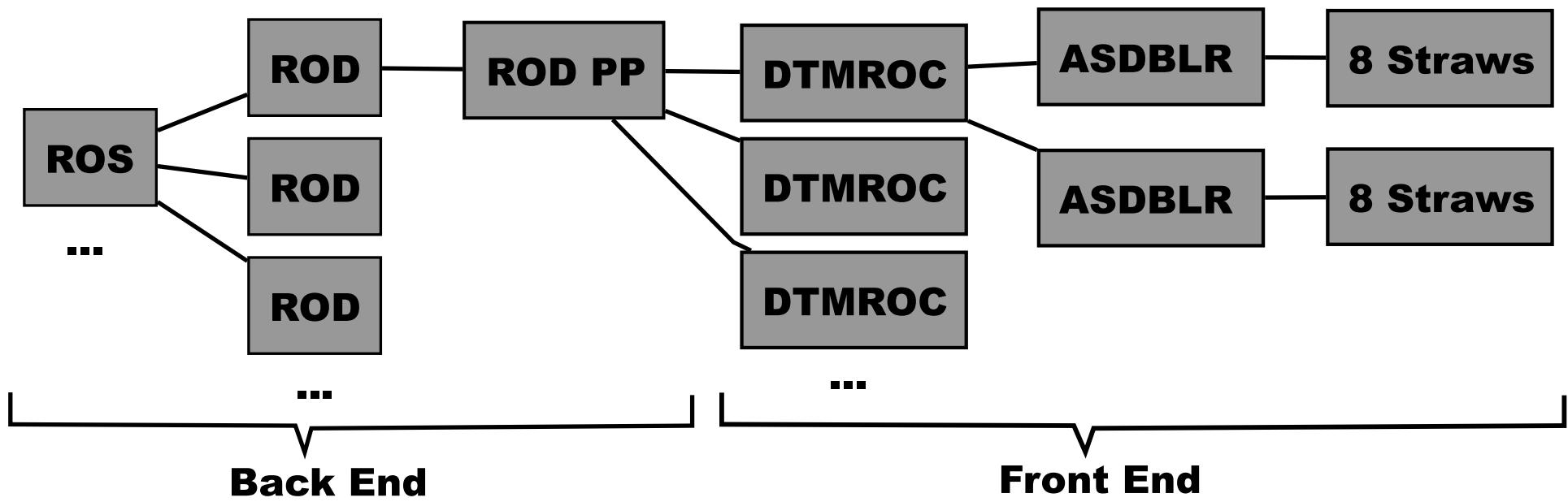
- Seeded by matching calorimeter clusters from a sliding-window algorithm to inner detector tracks.
- Candidates are selected by:  
track quality, track-cluster matching, narrow  
calorimeter cluster, high electromagnetic fraction
- Tight candidates have cuts on  $E/p$  and high thresholds  
hits from the transition radiation in the TRT.

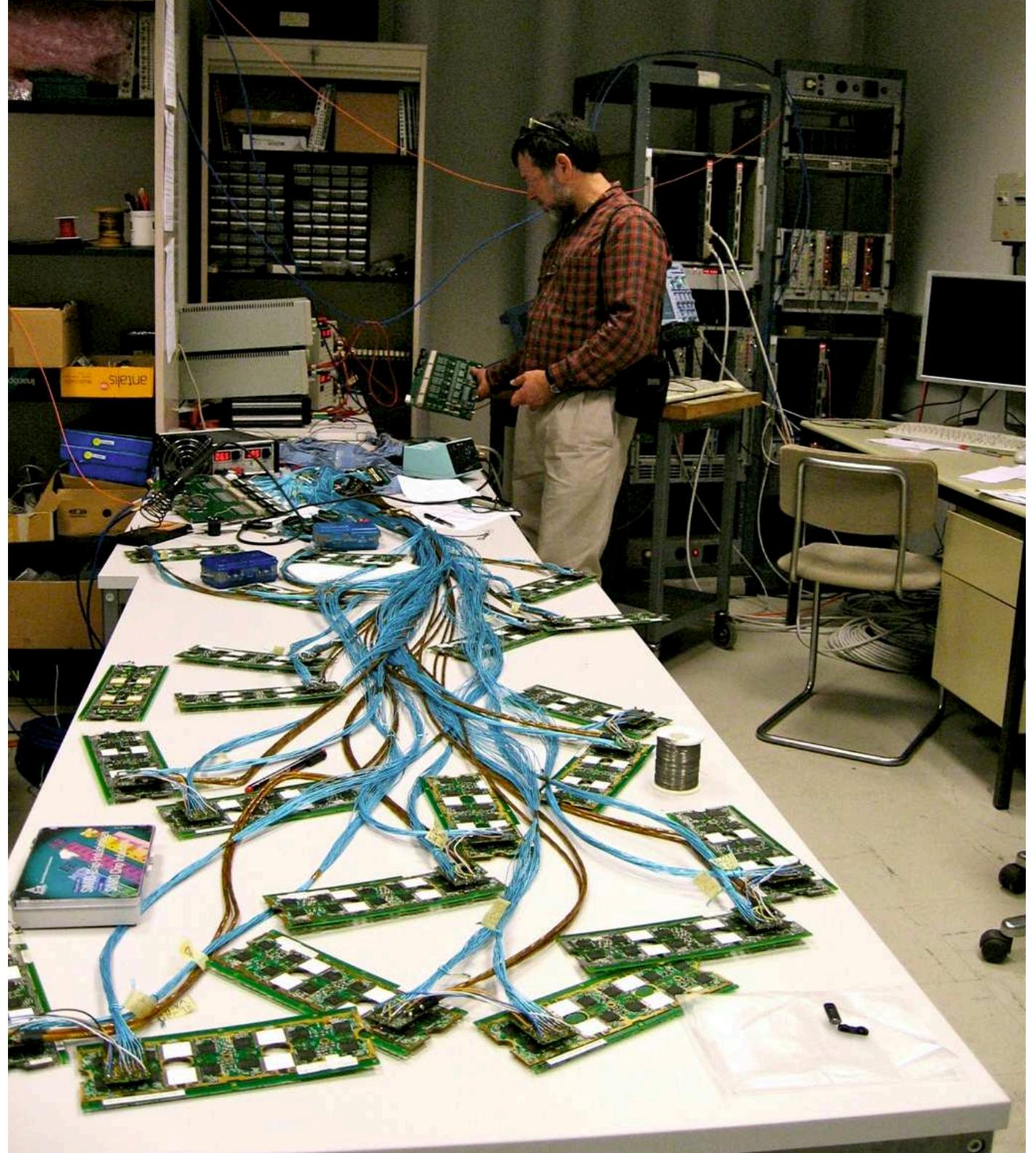
# Transition Radiation Tracker



# TRT Read-out

---

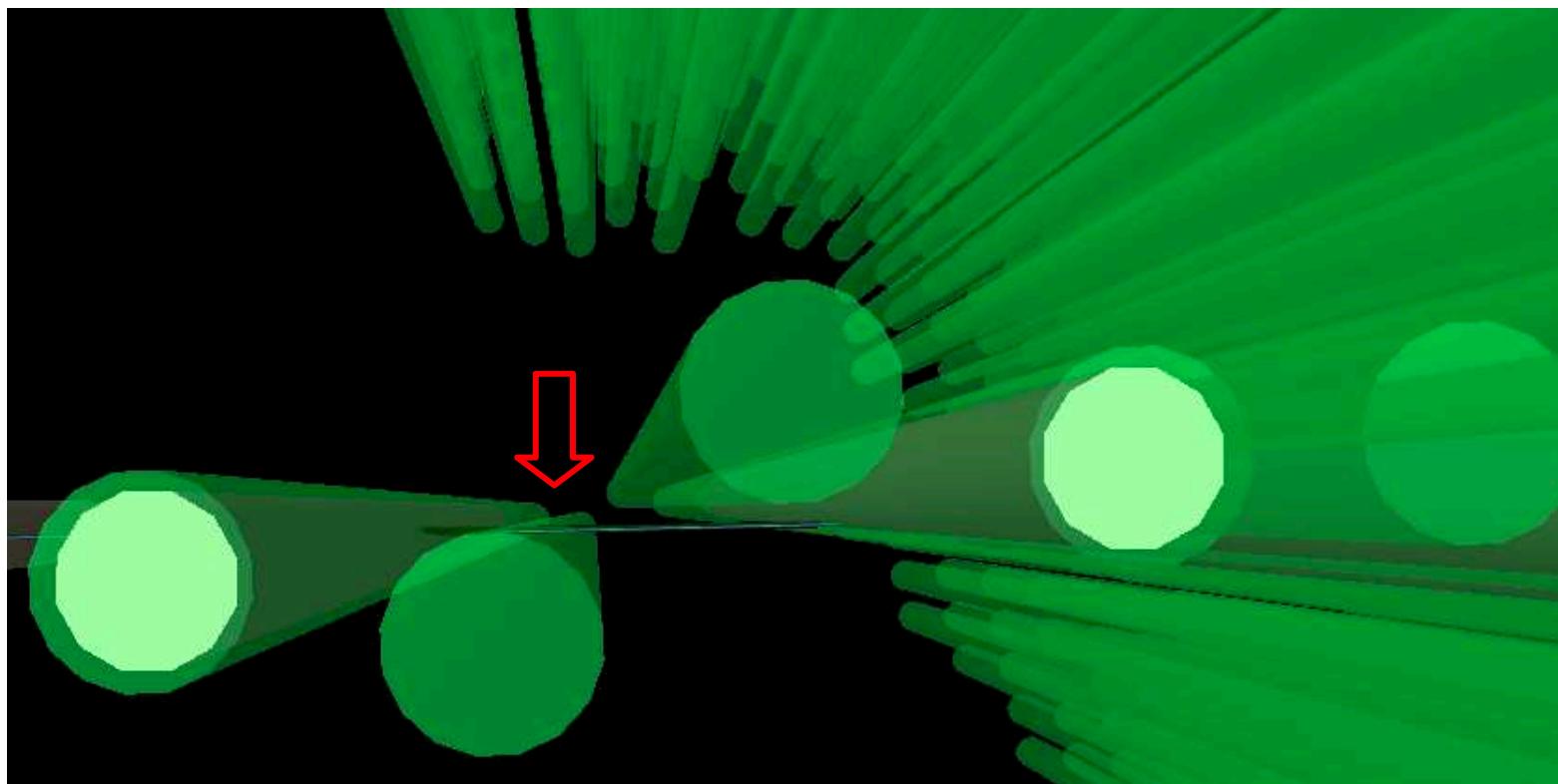




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# TRT hit efficiency

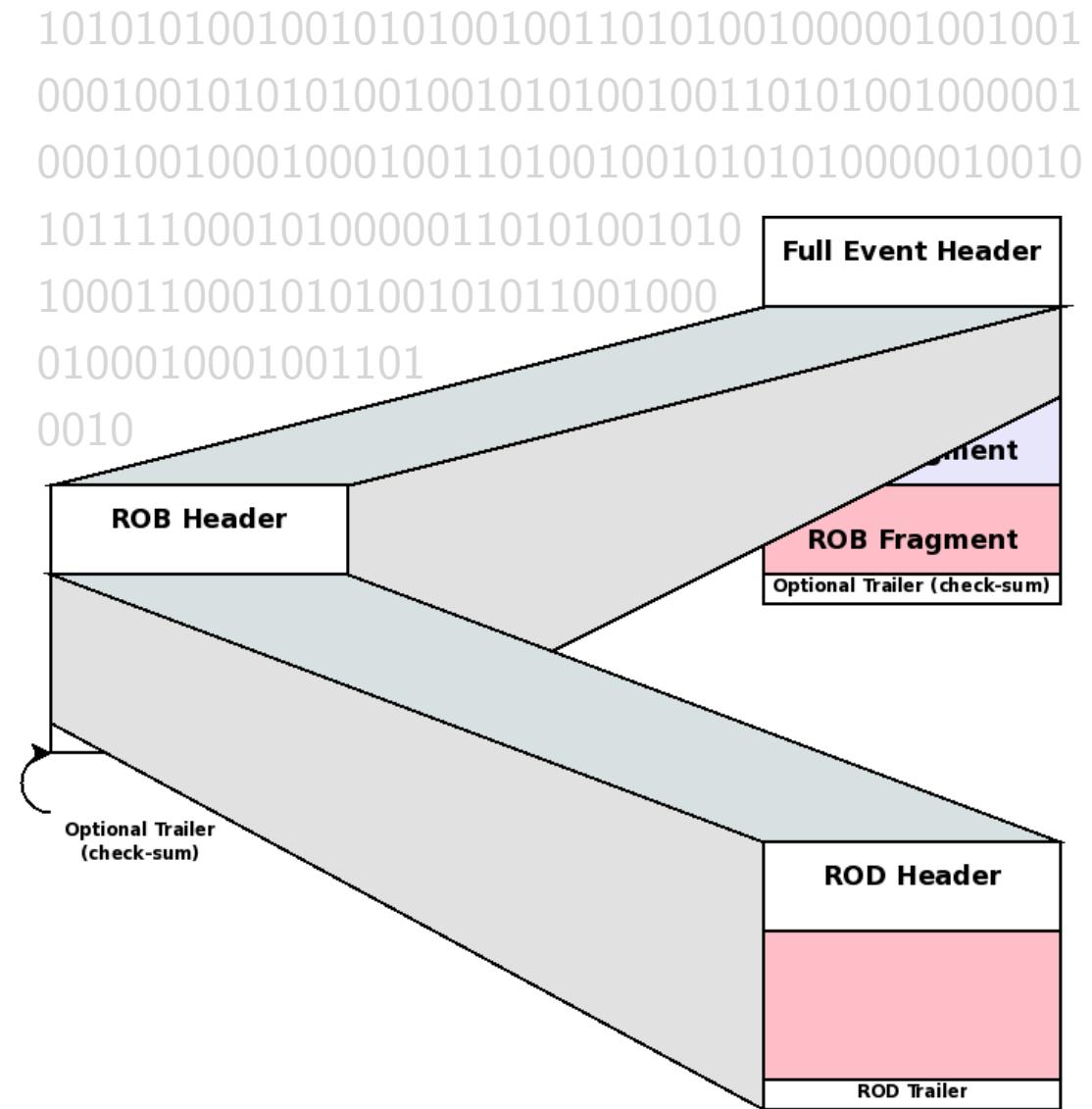
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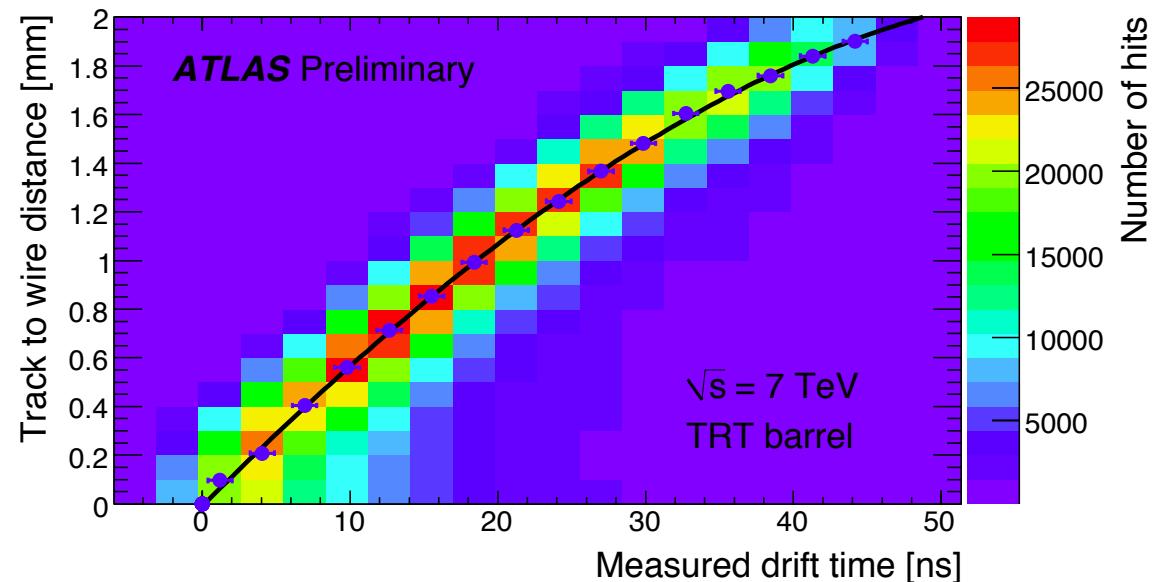
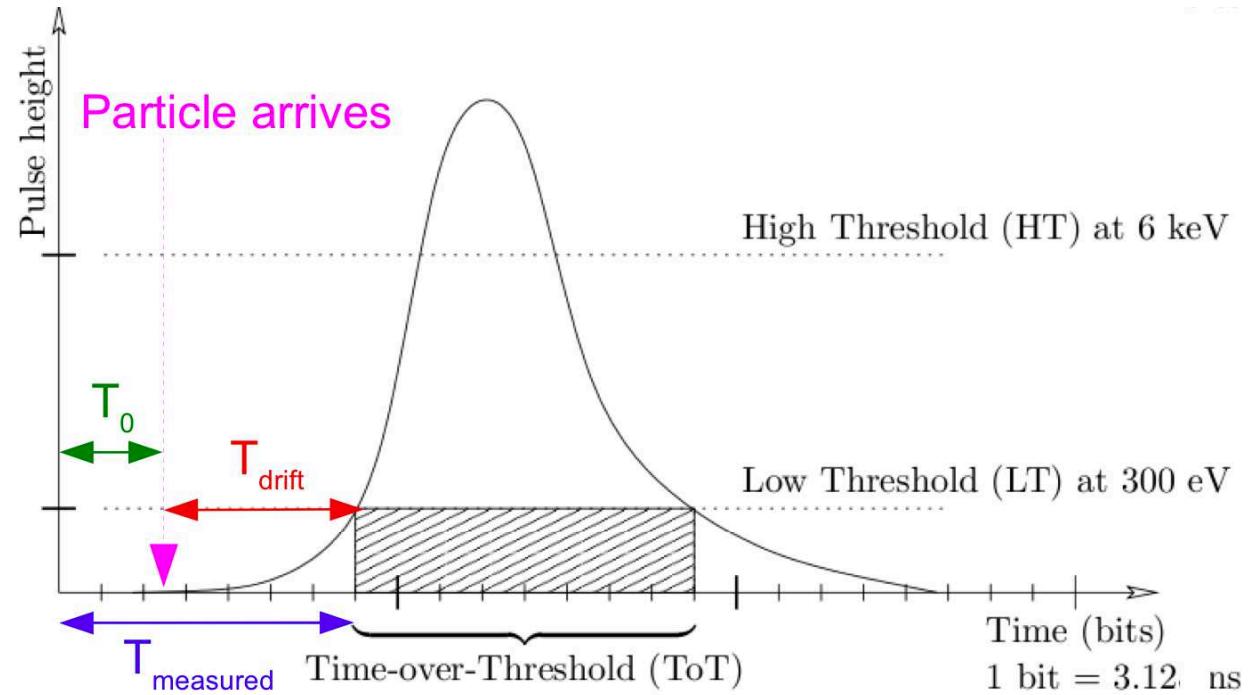
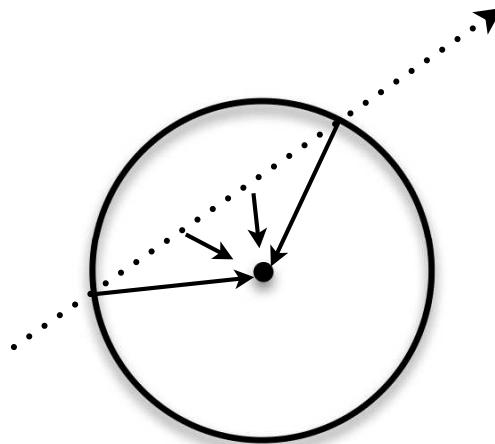
# Parsing ATLAS raw data

2007

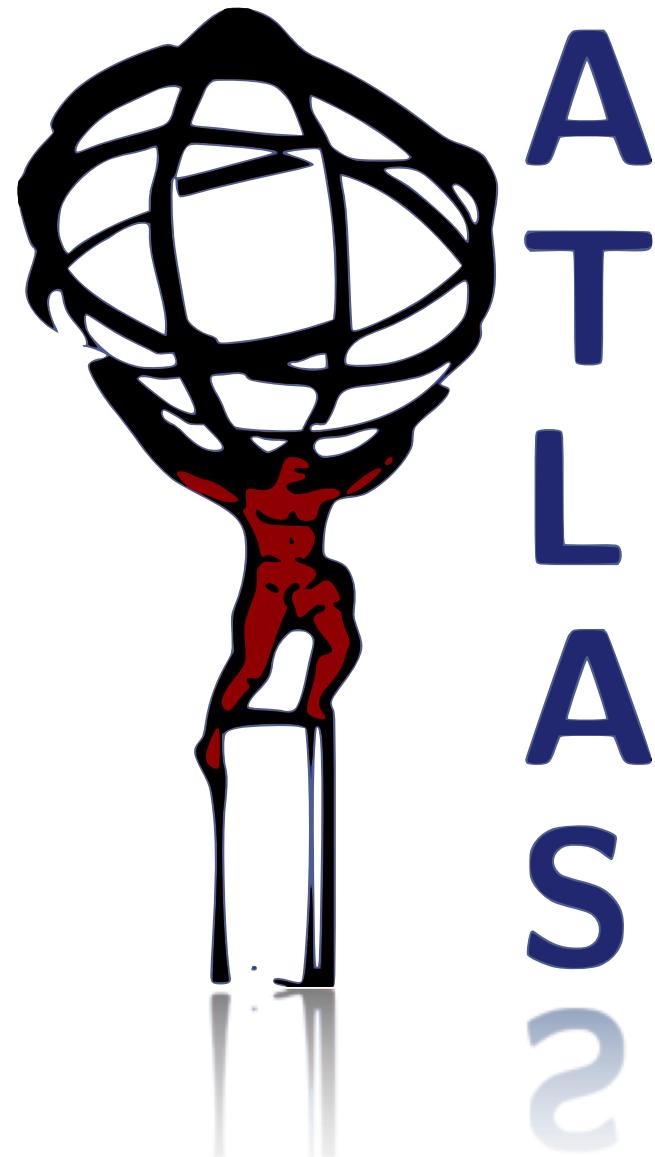
- Official libraries for parsing the ATLAS raw data were not finalized.
- Groups doing commissioning were still writing their own tools.
- My first software project was to write a library for parsing the ATLAS raw data for TRT commissioning purposes.
- Later, I used it to parse TRT threshold scans.



# TRT Straw Hits



$Z' \rightarrow \tau\tau$



# Event summary

---

	$\tau_{\text{had}} \tau_{\text{had}}$	$\tau_\mu \tau_{\text{had}}$	$\tau_e \tau_{\text{had}}$	$\tau_e \tau_\mu$
$m_{Z'} \text{ [GeV]}$	1250	1000	1000	750
$m_T^{\text{tot}} \text{ threshold [GeV]}$	700	600	500	350
$Z/\gamma^* \rightarrow \tau\tau$	$0.73 \pm 0.23$	$0.36 \pm 0.06$	$0.57 \pm 0.11$	$0.55 \pm 0.07$
$W + \text{jets}$	$< 0.03$	$0.28 \pm 0.22$	$0.8 \pm 0.4$	$0.33 \pm 0.10$
$Z(\rightarrow \ell\ell) + \text{jets}$	$< 0.01$	$< 0.1$	$< 0.01$	$0.06 \pm 0.02$
$t\bar{t}$	$< 0.02$	$0.33 \pm 0.15$	$0.13 \pm 0.09$	$0.97 \pm 0.22$
Diboson	$< 0.01$	$0.23 \pm 0.07$	$0.06 \pm 0.03$	$1.69 \pm 0.24$
Single top	$< 0.01$	$0.19 \pm 0.18$	$< 0.1$	$< 0.1$
Multijet	$0.24 \pm 0.15$	$< 0.01$	$< 0.1$	$< 0.01$
Total expected background	$0.97 \pm 0.27$	$1.4 \pm 0.4$	$1.6 \pm 0.5$	$3.6 \pm 0.4$
Events observed	2	1	0	5
Expected signal events	$6.3 \pm 1.1$	$5.5 \pm 0.7$	$5.0 \pm 0.5$	$6.72 \pm 0.26$
Signal efficiency (%)	4.3	1.1	1.0	0.4

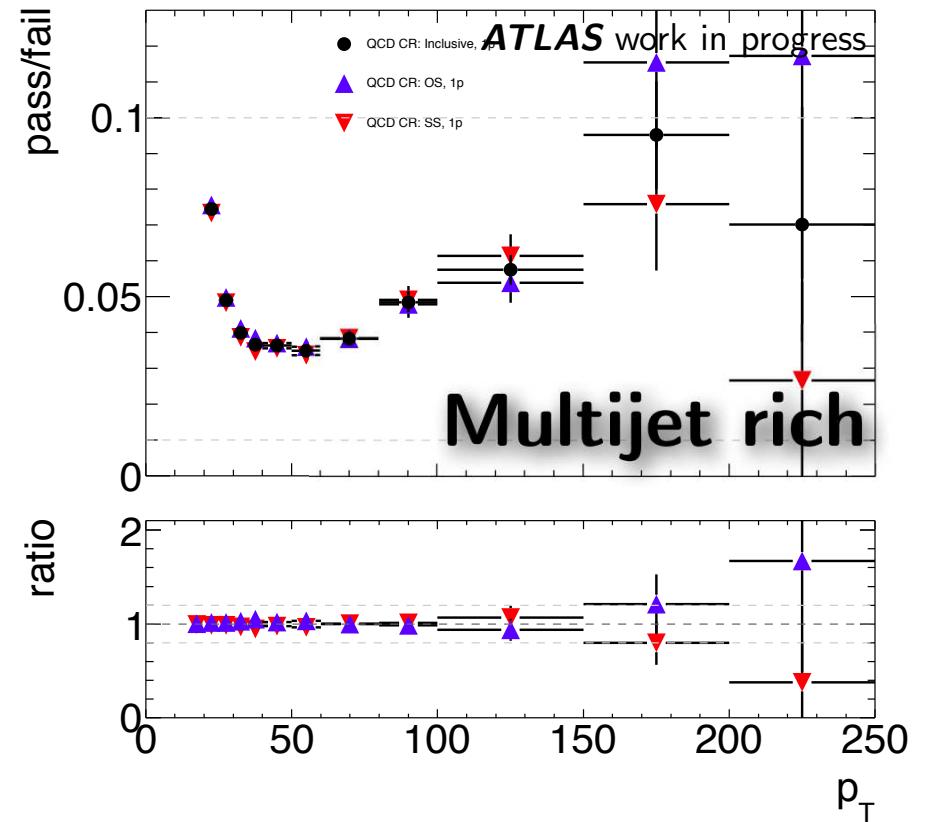
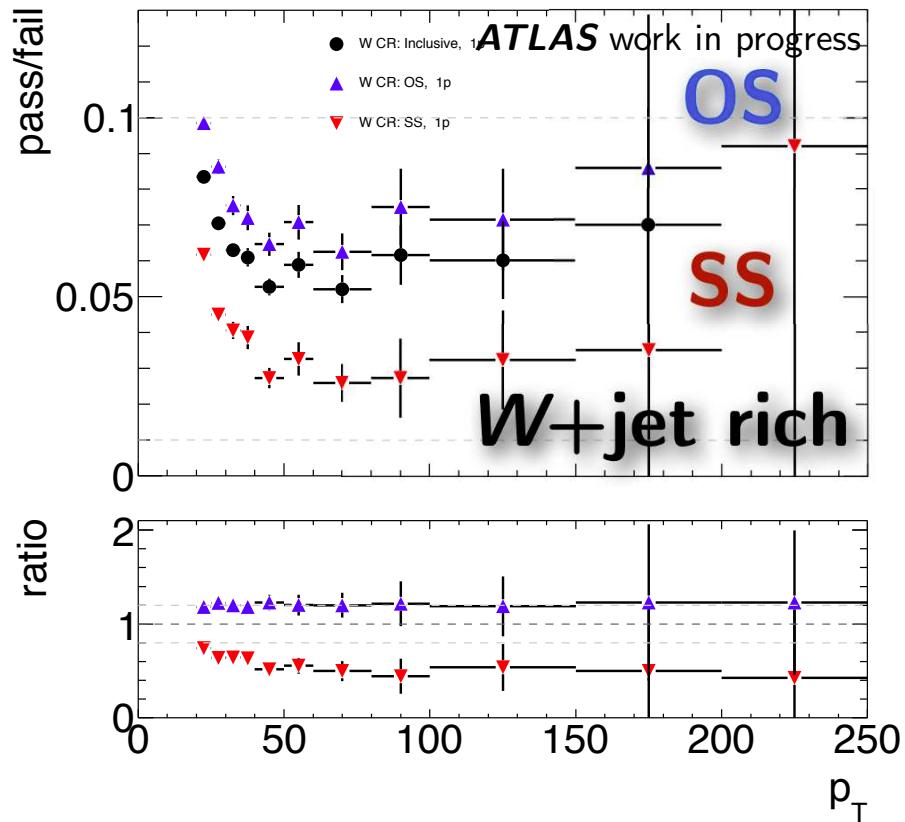
# Systematics

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Uncertainty [%]	Signal				Background			
	hh	$\mu h$	eh	$e\mu$	hh	$\mu h$	eh	$e\mu$
Stat. uncertainty	1	2	2	3	5	20	23	7
Eff. and fake rate	16	10	8	1	12	16	4	3
Energy scale and res.	5	7	6	2	$^{+22}_{-11}$	3	8	5
Theory cross section	8	6	6	5	9	4	4	5
Luminosity	4	4	4	4	2	2	2	4
Data-driven methods	—	—	—	—	$^{+21}_{-11}$	6	16	—

Table 2: Uncertainties on the estimated signal and total background contributions in percent for each channel. The following signal masses, chosen to be close to the region where the limits are set, are used: 1250 GeV for  $\tau_{\text{had}}\tau_{\text{had}}$  (hh); 1000 GeV for  $\tau_{\mu}\tau_{\text{had}}$  ( $\mu h$ ) and  $\tau_e\tau_{\text{had}}$  (eh); and 750 GeV for  $\tau_e\tau_{\mu}$  ( $e\mu$ ). A dash denotes that the uncertainty is not applicable. The statistical uncertainty corresponds to the uncertainty due to limited sample size in the MC and control regions.

# Observed variance in fake-rates

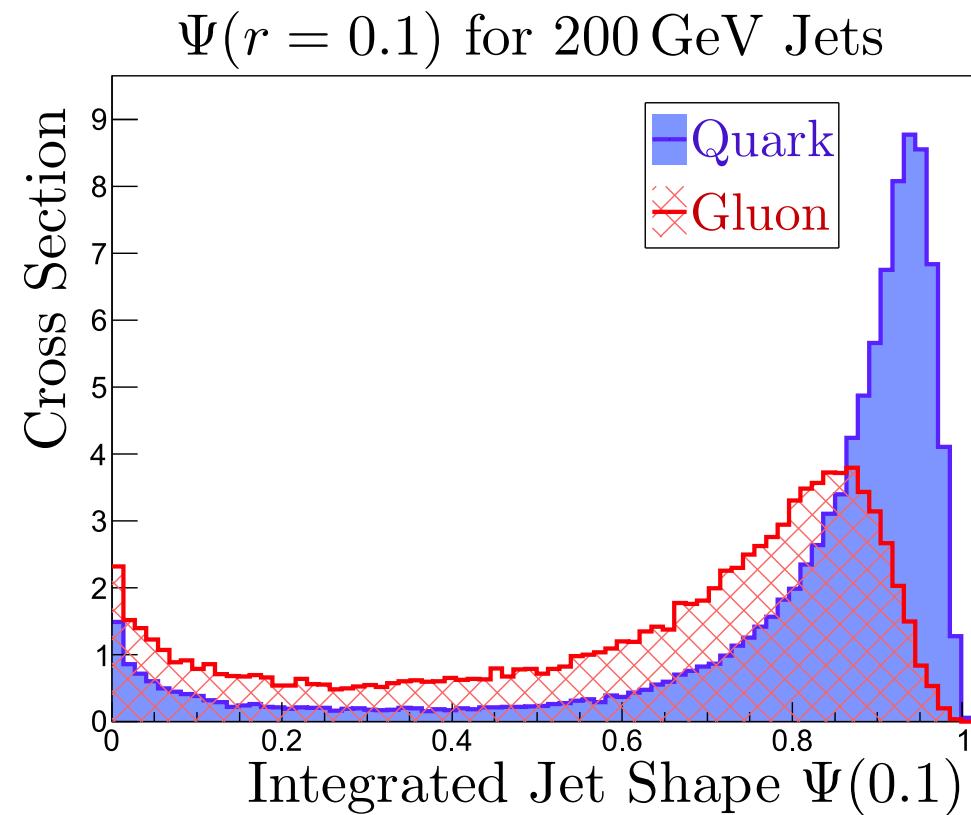


- Hypothesis: quarks vs gluons (BDTMedium)
- Divide the issue into two questions:
  1. *Why do quarks and gluons have different tau fake-rates?*
  2. *How does the quark/gluon fraction vary among samples?*

# Jet width for quark/gluons

Why do quarks and gluons have different tau fake-rates?

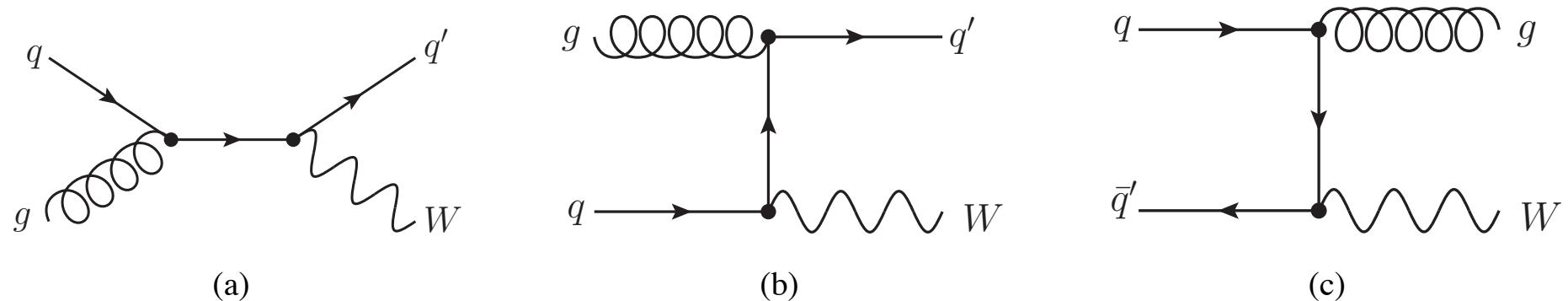
- $\Psi(r) = \text{fraction of jet energy within } \Delta R < r.$
- Quark jets are more narrow than gluon jets of the same energy.
- Tau identification prefers narrow candidates.
- This is consistent with samples of quark-enriched jets, like  $W+\text{jet}$ , having higher fake-rates.



# OS vs SS $W+\text{jet}$

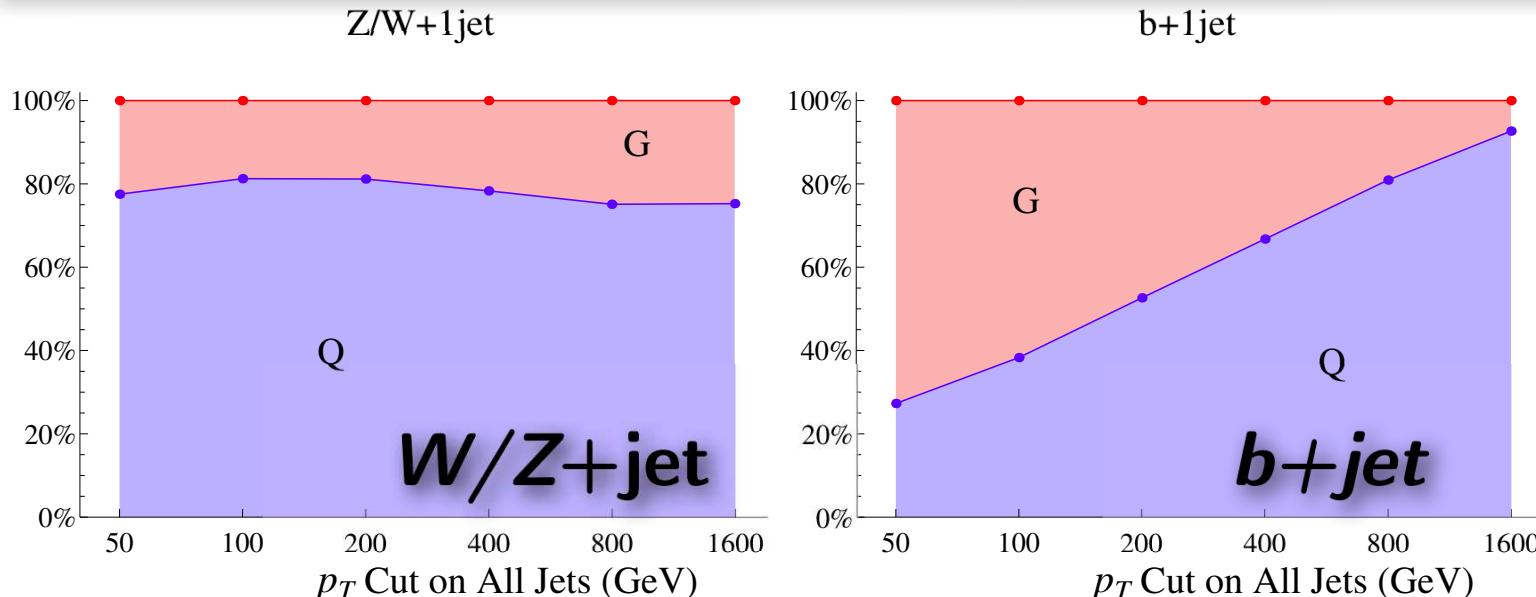
How does the quark/gluon fraction vary among samples?

Leading order  $W+\text{jet}$  production:

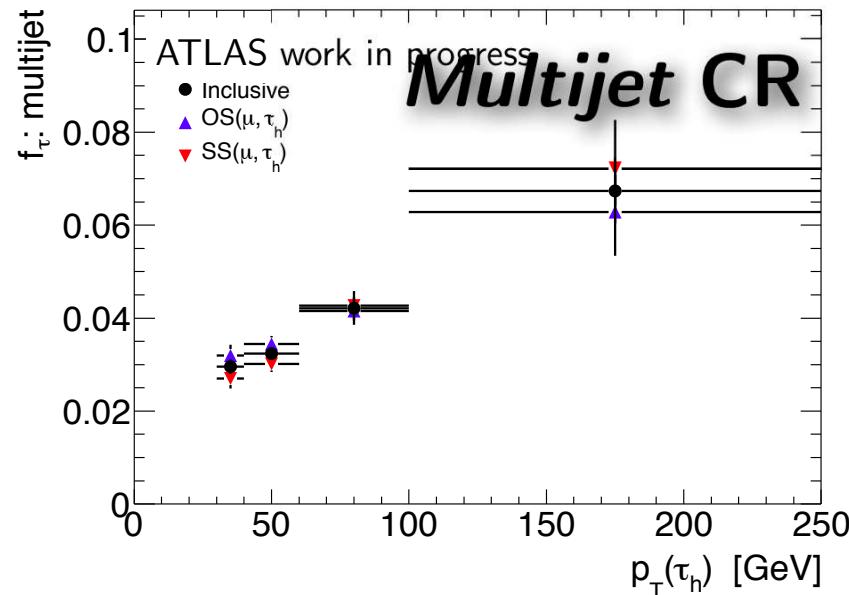
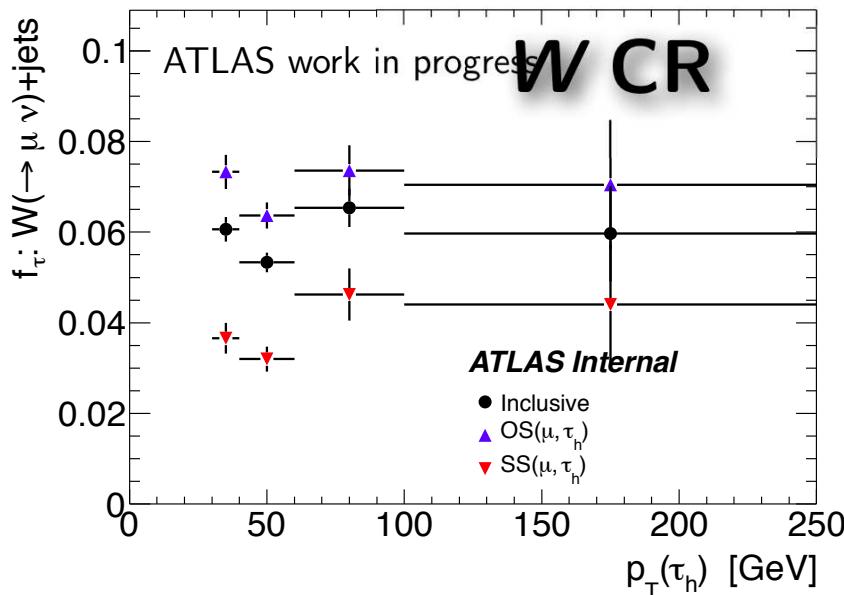


- The charge of the quark should correlate with the reconstructed charge of the tau candidate, therefore (a) and (b) preferably produce opposite sign  $W+\text{jet}$  events.
- OS and SS will have different quark/gluon fractions.

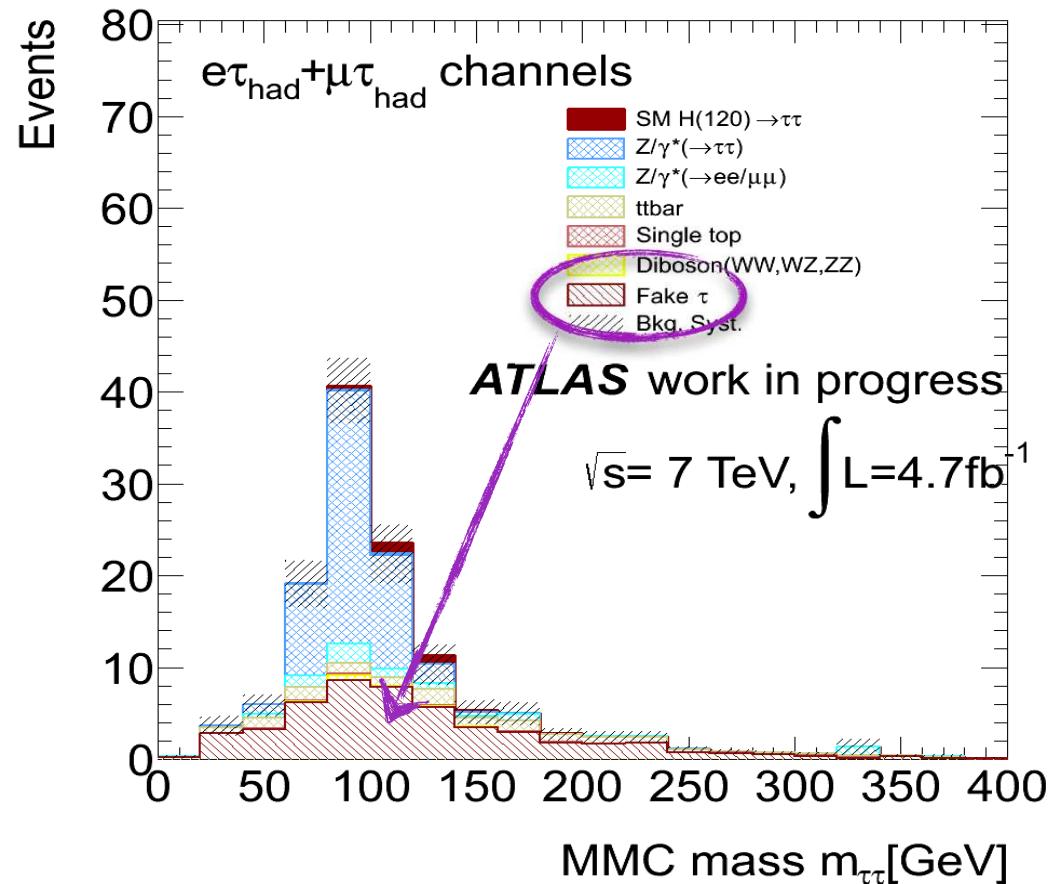
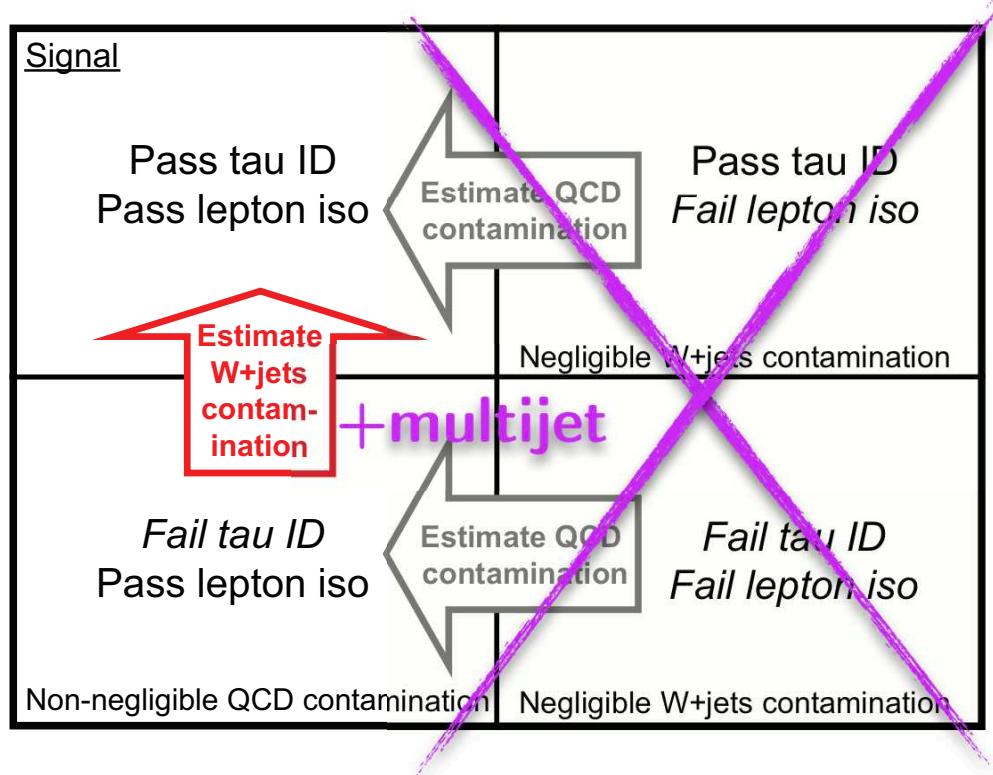
# Fake factors more quark-like at high- $p_T$



J. Gallicchio, M. Schwartz. "Pure Samples of Quark and Gluon Jets at the LHC". arXiv:1104.1175



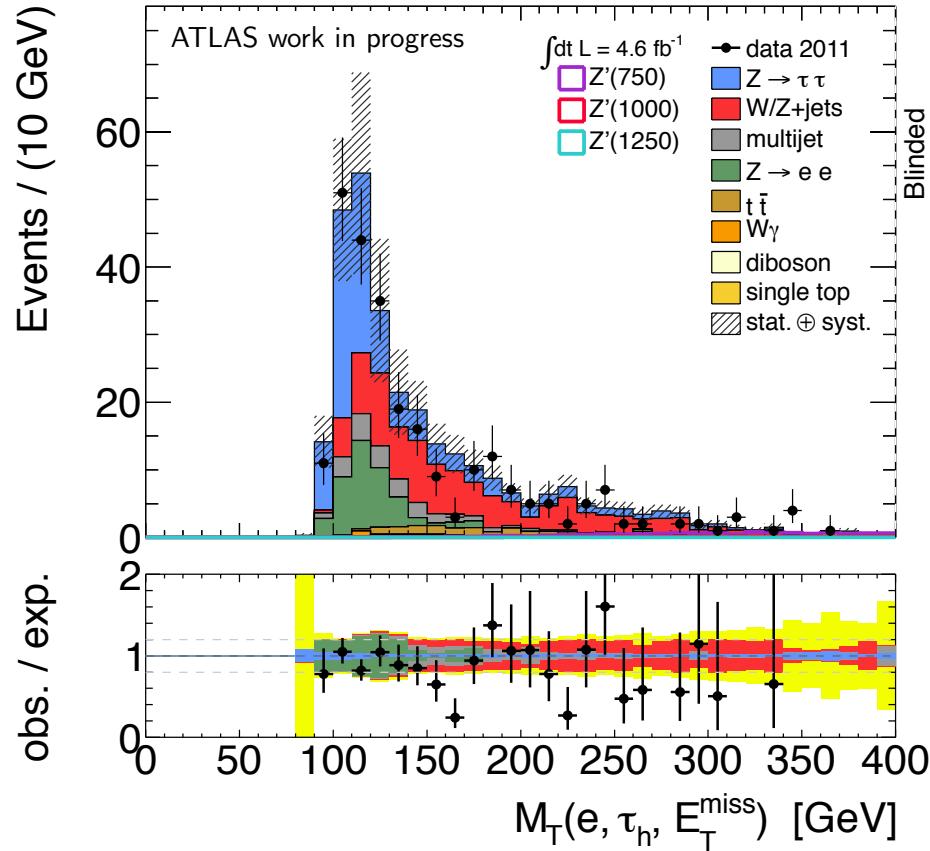
# Cross-check: single fake factor method



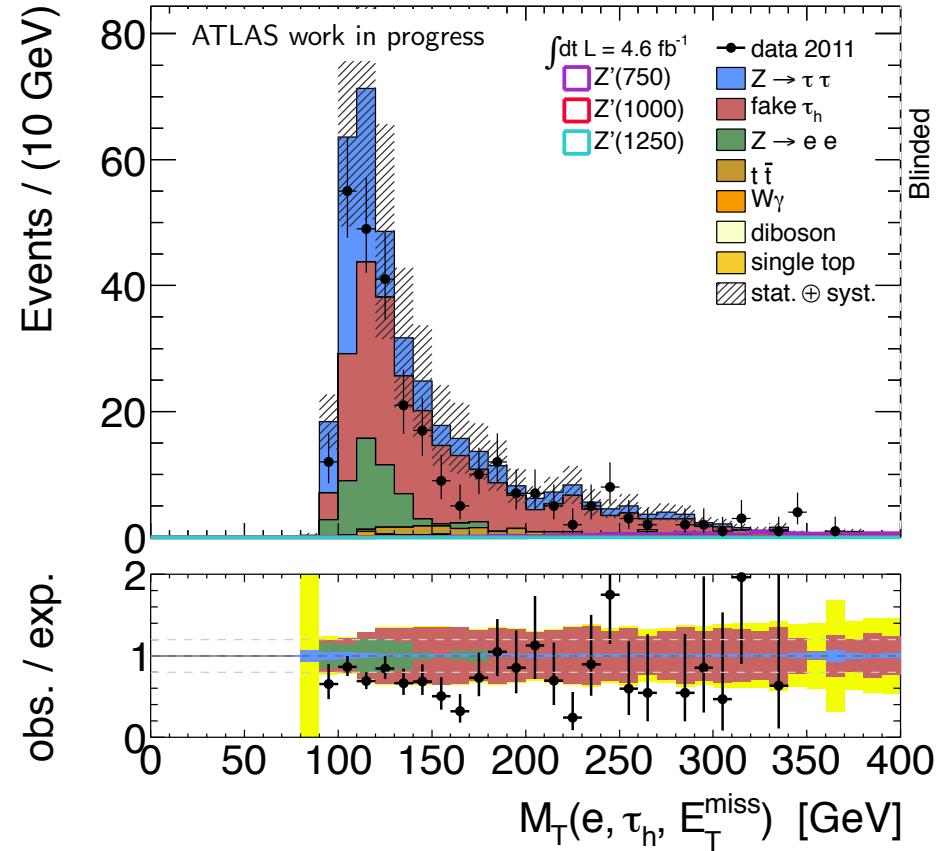
- Avoid issues of subtracting multijet from W/Z+jets background
- $H \rightarrow \tau\tau$  uses a fake factor method covering all tau fakes from W/Z+jets *and* multijet
- instead of estimating multijet independently with isolation fake factors

# Cross-check: single fake factor method

## double fake factor



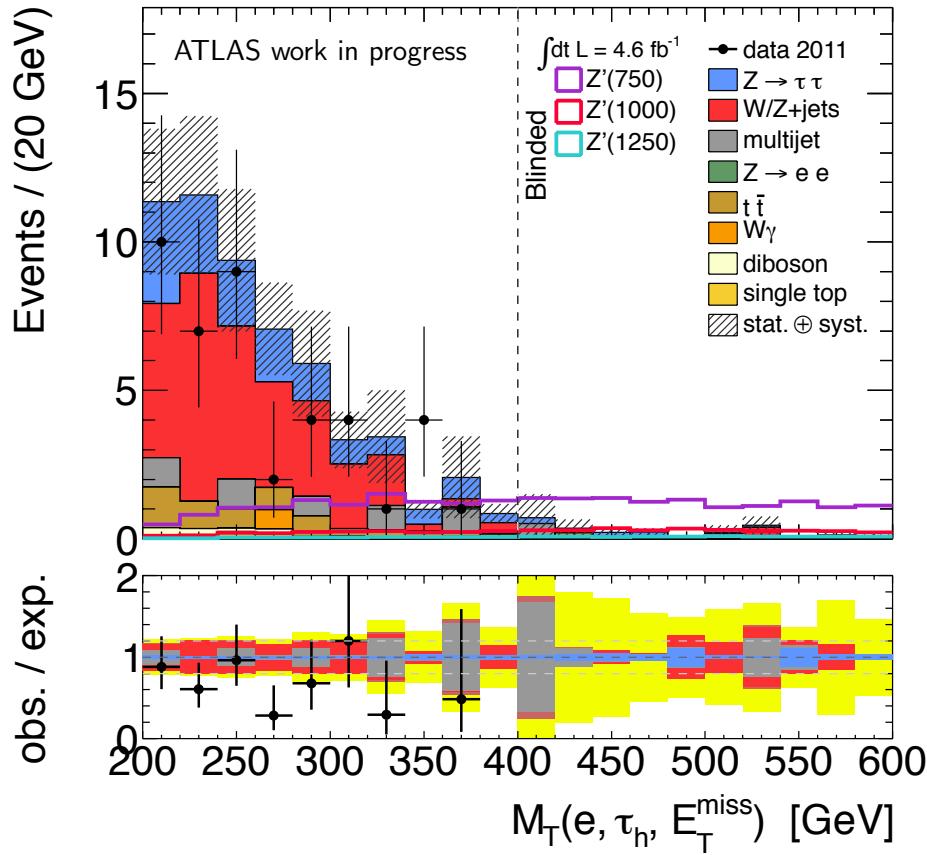
## single fake factor



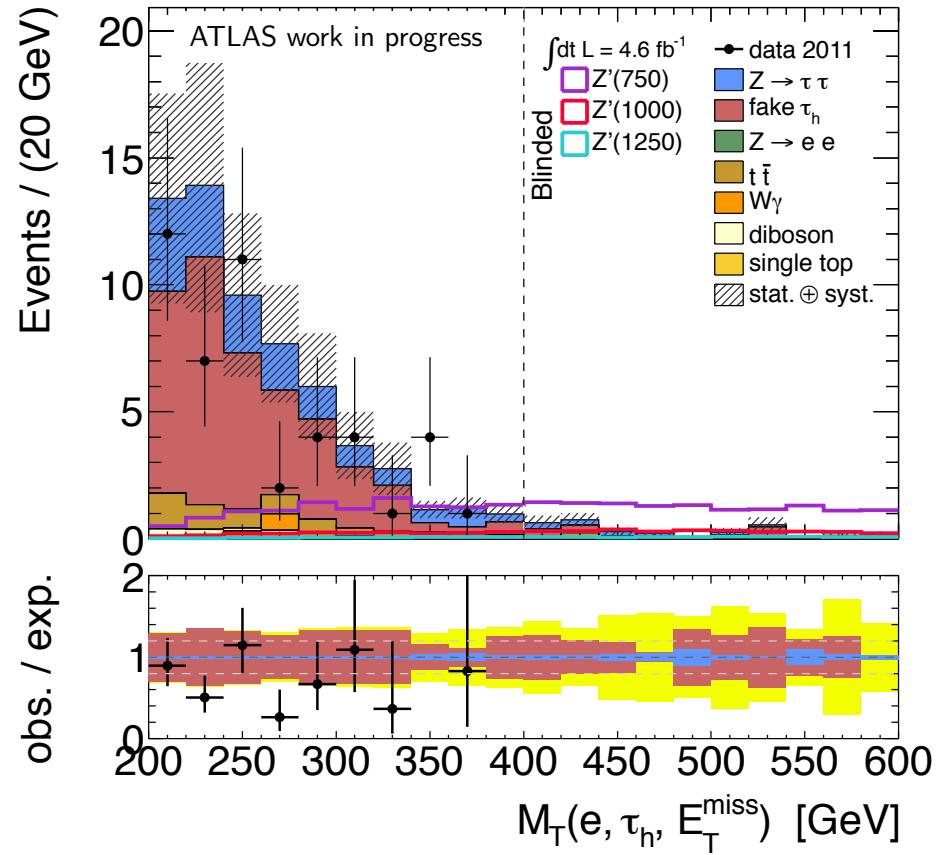
- as expected, the single fake factor method overestimates in regions where the multijet contamination is large

# Cross-check: single fake factor method

## double fake factor



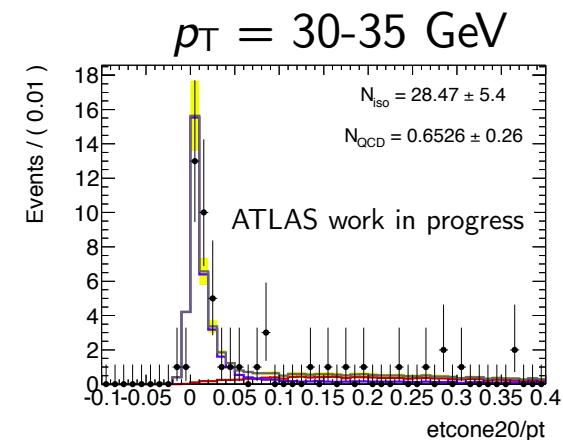
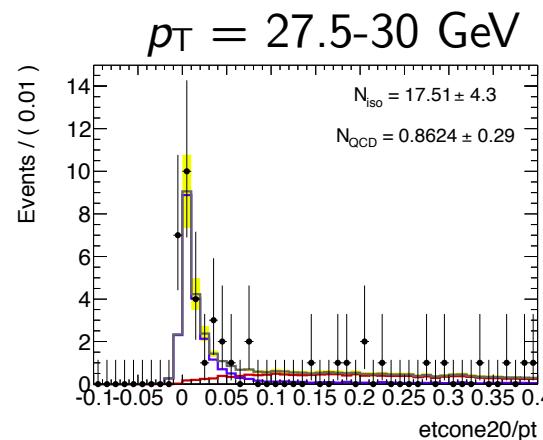
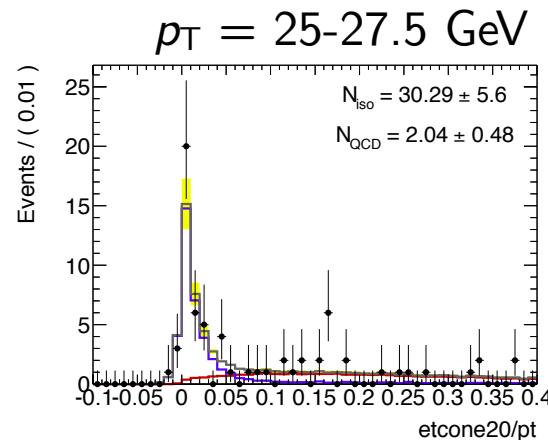
## single fake factor



	double fake factor			single fake factor	
	W/Z+jets	multijet	total	fake $\tau_h$	
$M_T > 400 \text{ GeV}$	0.8(6)	0.3(3)	1.1(4)		1.3(4)
$M_T > 500 \text{ GeV}$	0.8(4)	< 0.1	0.8(4)		0.9(4)

# Lepton isolation fitting

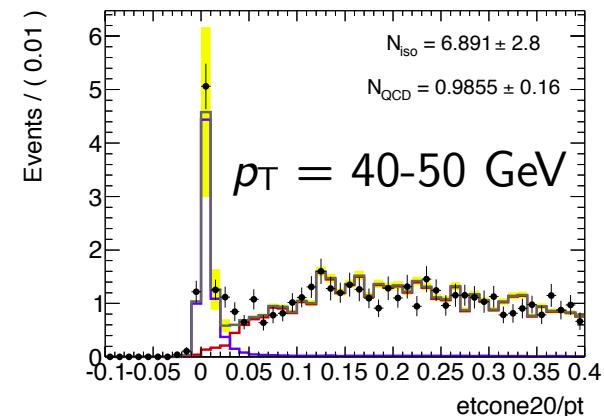
- Used to cross-check the fake-factor QCD estimate.
- Similar in concept: predict normalization from the rate of non-isolated leptons.
- Fit calorimeter isolation with data-driven templates from QCD and W+jet control regions. Do fits separately in 6  $p_T$  bins:



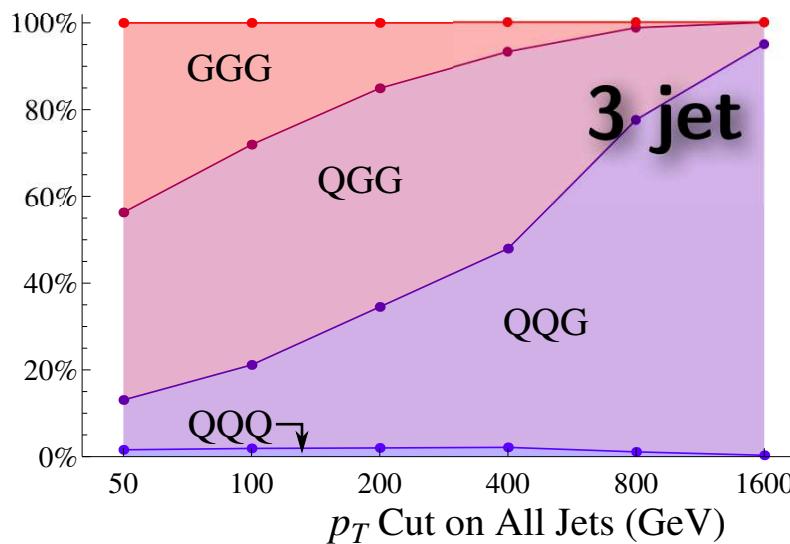
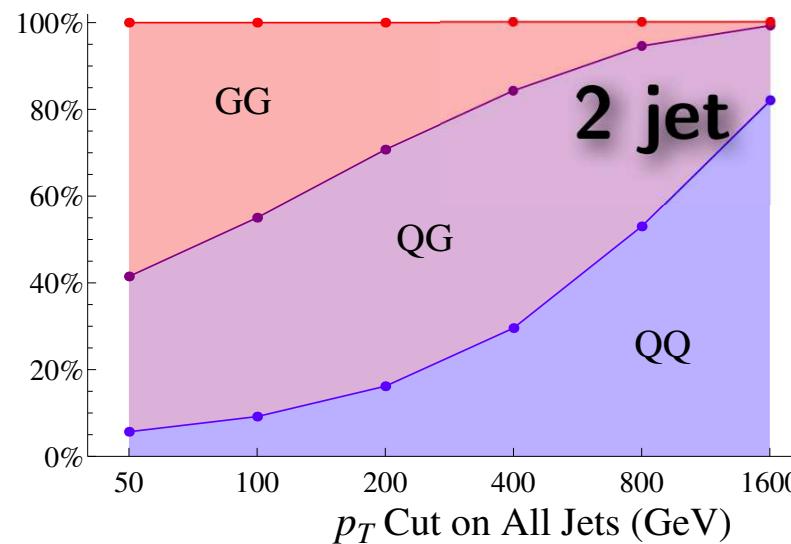
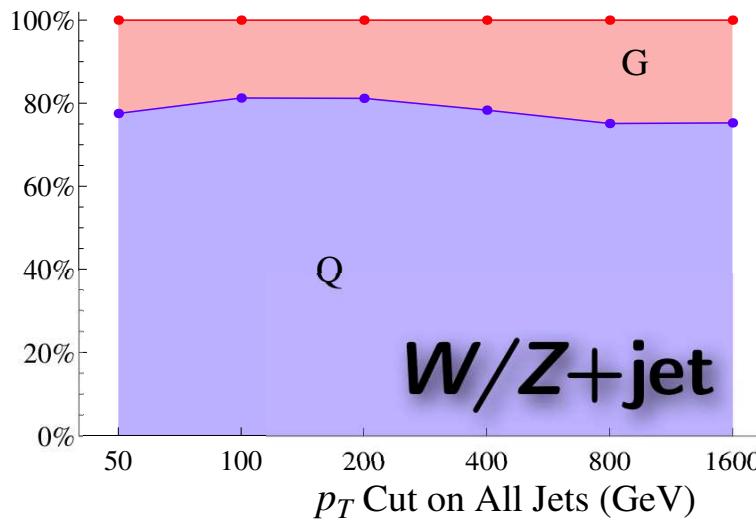
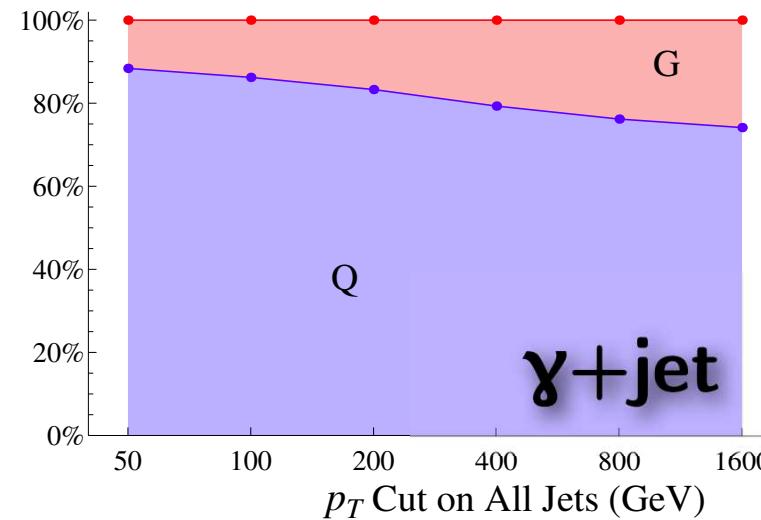
**Agrees with the lepton isolation fake-factor method:**

**Fits work in failing tau ID region as well:**

	fake-factor	fitting
baseline	6.3(6)	4.3(4)
$p_T(\tau_h) > 80 \text{ GeV}$	2.7(3)	1.8(2)
$p_T(\mu) > 40 \text{ GeV}$	0.29(8)	0.31(8)
$m_{\text{eff}}(\mu, \tau_h, E_T^{\text{miss}}) > 400 \text{ GeV}$	0.05(3)	0.05(3)

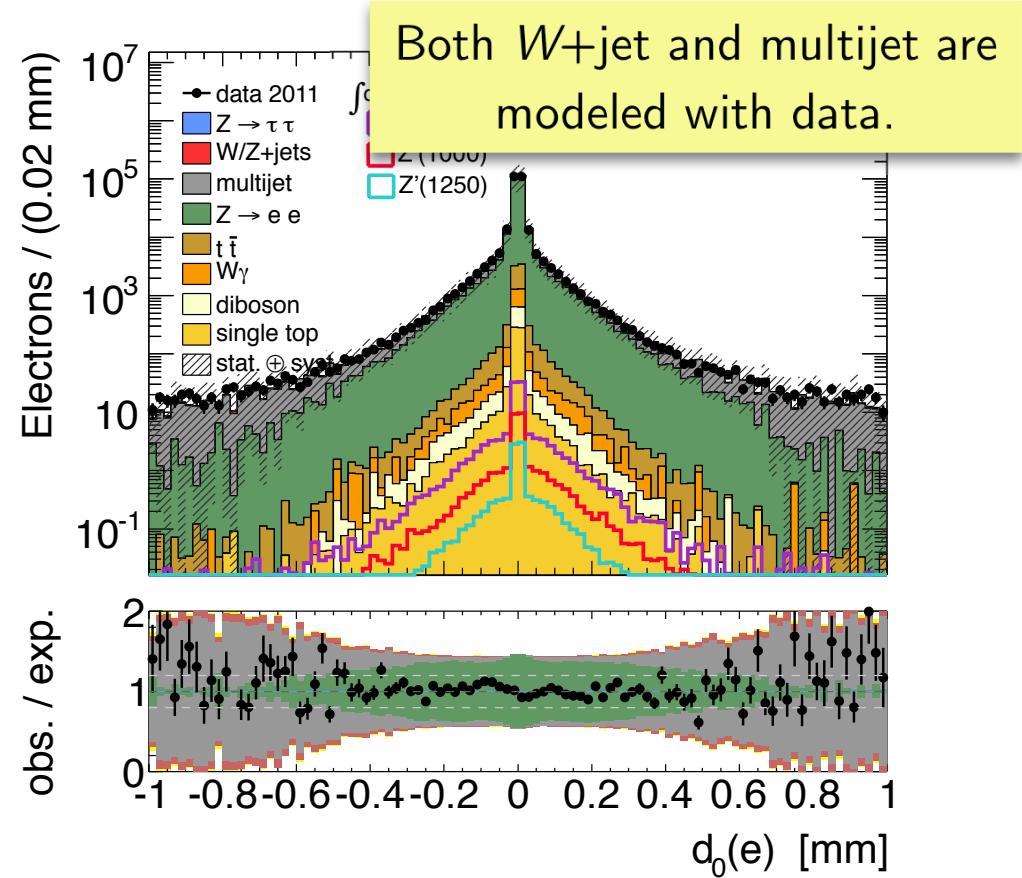
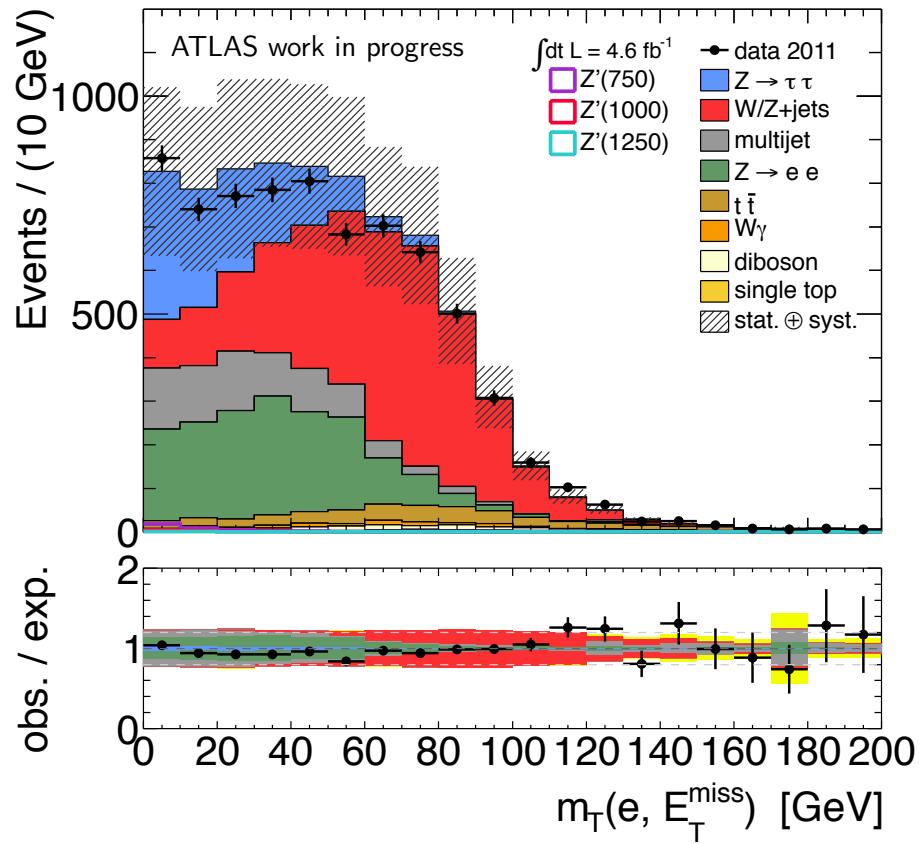


# Madgraph predicted Quark/Gluon



J. Gallicchio, M. Schwartz. "Pure Samples of Quark and Gluon Jets at the LHC". arXiv:1104.1175

# Control plots



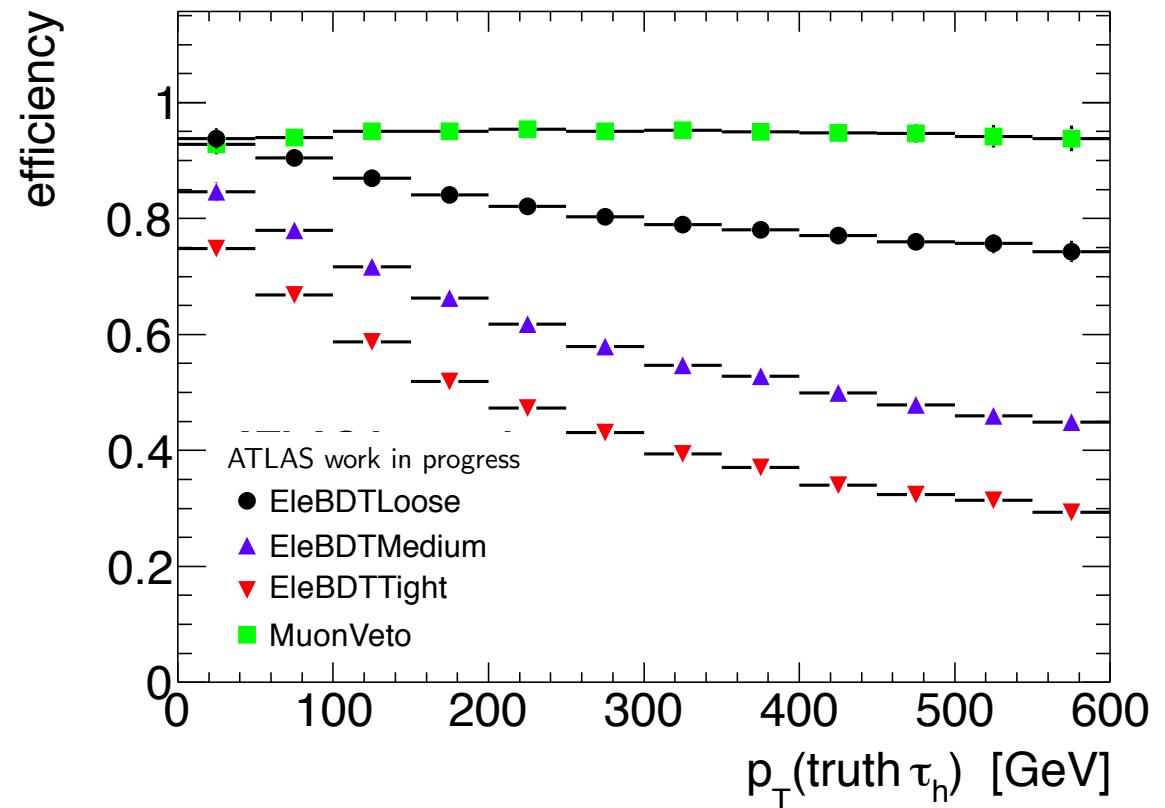
**Figure 28:** (left) The distribution of the transverse mass of the combination of the selected electron and the  $E_T^{\text{miss}}$ ,  $m_T(e, E_T^{\text{miss}})$ . in events with exactly one selected electron, no additional preselected electrons or muons, and exactly one selected 1-prong tau. (right) The distribution of the electron impact parameter,  $d_0$ , in events with exactly one selected electron, no additional preselected electrons or muons, and exactly one 1-prong tau candidate (without ID).

# Electron veto

*from tau WG  $Z \rightarrow ee$  tag-and-probe with 2.6/fb from 2011*

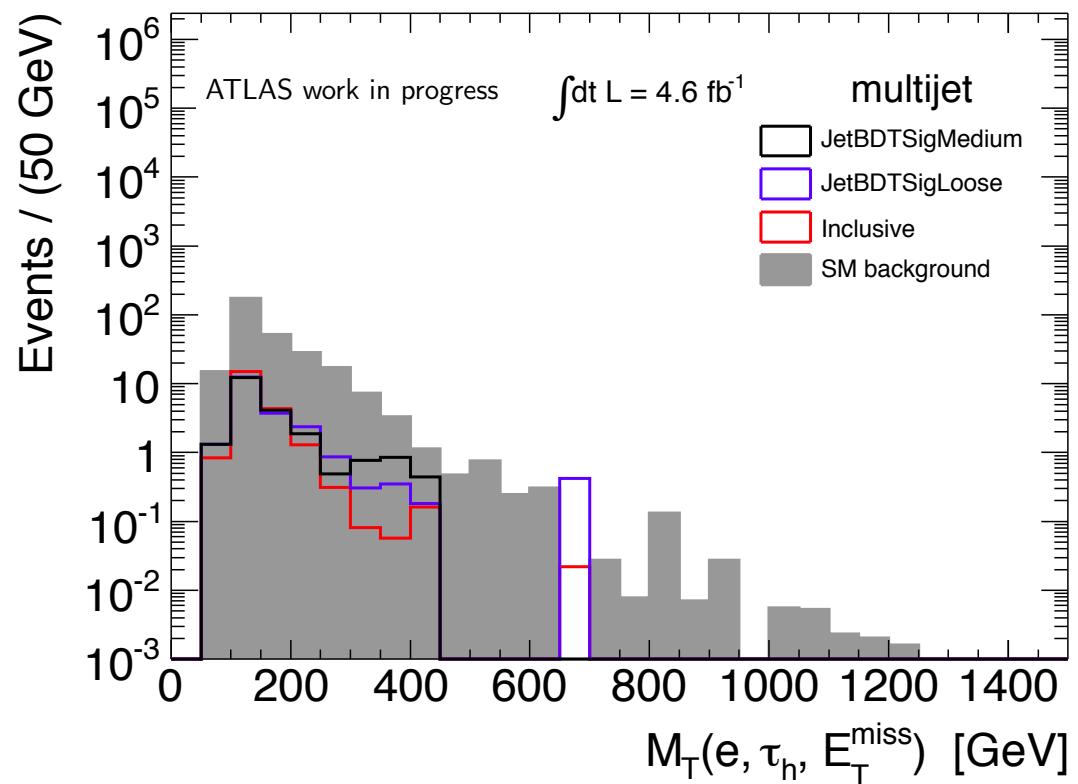
	$ \eta  < 1.37$	$1.37 <  \eta  < 1.52$	$1.52 <  \eta  < 2.0$	$2.0 <  \eta $
BDT medium e-veto	1.64(0.81)	1.0(1.0)	0.71(0.63)	2.90(1.42)
BDT loose e-veto	1.21(0.30)	0.96(0.46)	0.59(0.21)	1.76(0.55)

- above are the scale factors and errors for the EleBDTMedium e-veto
- These SF have huge  $\approx 100\%$  uncertainties, and we've also previously shown that this veto is inefficient at high  $p_T$ .

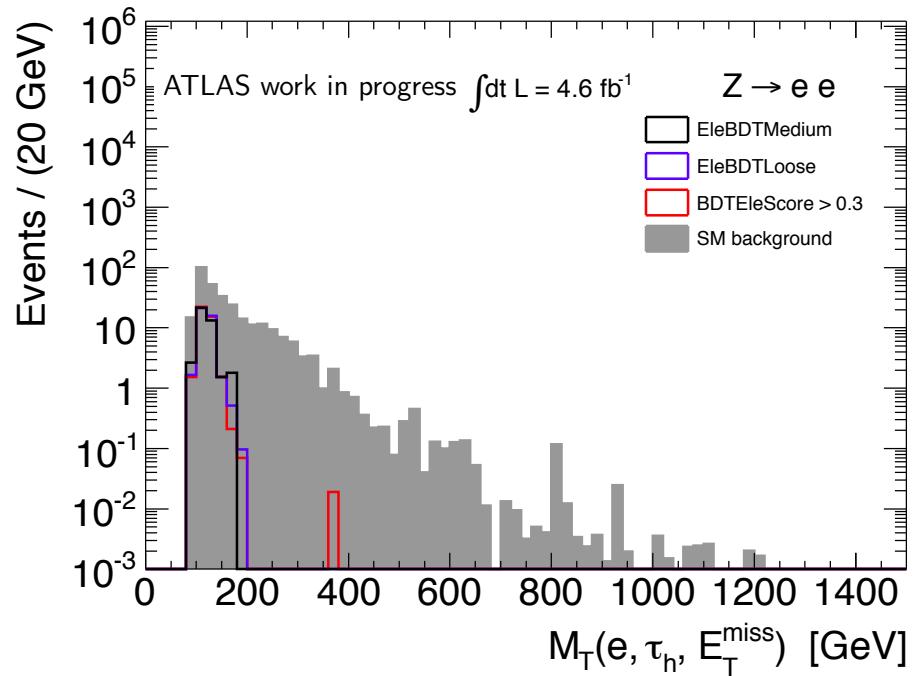
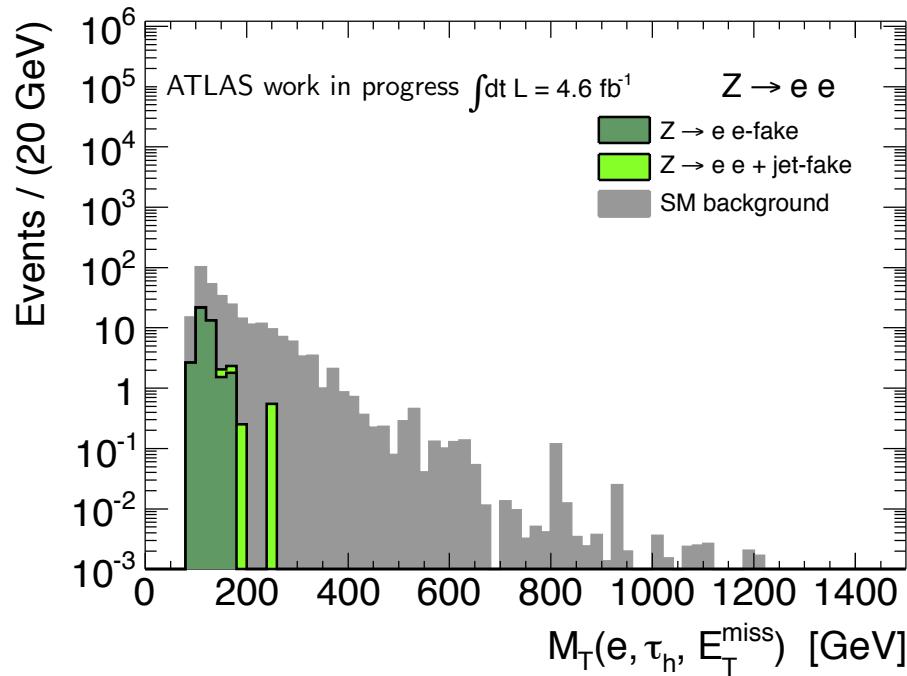


# Multijets background

- Loosened tau ID requirement in the anti-isolated lepton + tau data sample used to model the multijet background.
- Sample with tau ID is already multijet dominated. Loosening tau ID improves stats.
- Shapes are statistically consistent. Inclusive shape scaled to the prediction with medium tau ID to give the estimate in the tail.



# $Z \rightarrow ee + \text{jets background}$

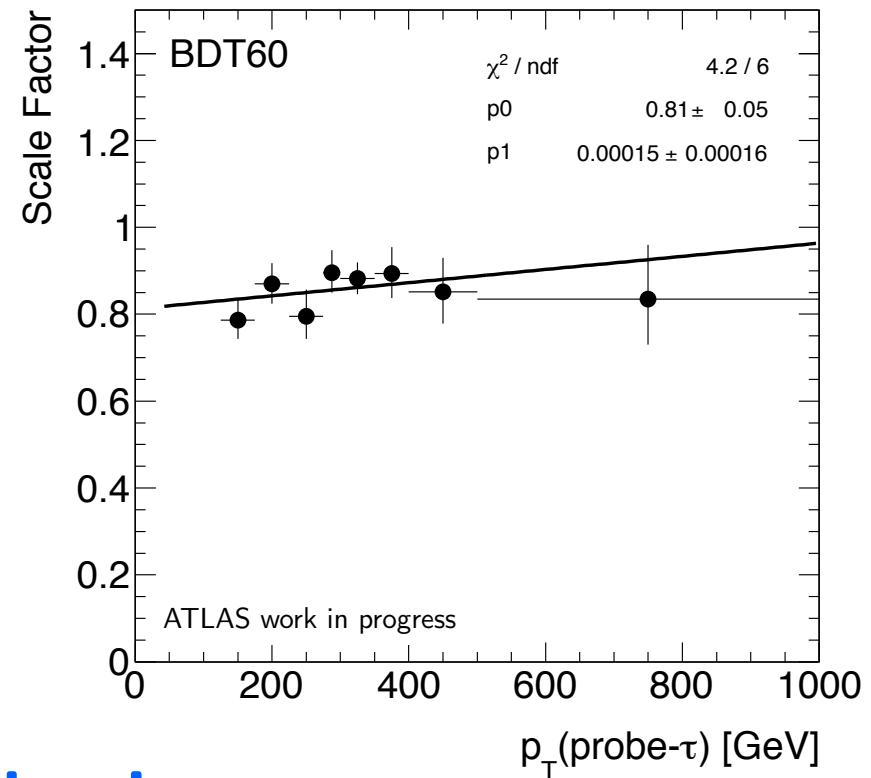


- Categorize Monte Carlo events by electron or jet faking tau.
- Loosen electron veto in Monte Carlo sample matched to electron fakes.
- Shapes are consistent, and only driven by the  $Z \rightarrow ee$  kinematics.
- $Z \rightarrow ee$  with e-faking tau is negligible
- $Z \rightarrow ee + \text{jet-fake}$  covered with the data-driven W/Z+jet tau fake factor method.

# High- $p_T$ tau efficiency systematic

- The dominant systematic uncertainty for the  $Z'$  signal and the  $Z \rightarrow \tau\tau$  background.
- Low  $p_T$  uncertainty of 4% taken from the Tau WG blessed  $Z \rightarrow \tau\tau$  tag-and-probe.
- No high- $p_T$  control sample of true taus.
- Assume mis-modeling comes from either:
  1. tau kinematics (TAUOLA)
  2. detector response to high- $p_T$  pions ←**dominant**
- Instead of using true taus, measure the trend in the scale factor for fakes from dijet events.

- $p_T \leq 100 \text{ GeV}$ :  $\Delta\epsilon = 4\%$  (taken from the  $Z \rightarrow \tau\tau$  measurement)
- $p_T > 100 \text{ GeV}$ :  $\Delta\epsilon = 4 + 0.016(p_T - 100)\%$ , with  $p_T$  in GeV (taken from the dijets measurement).

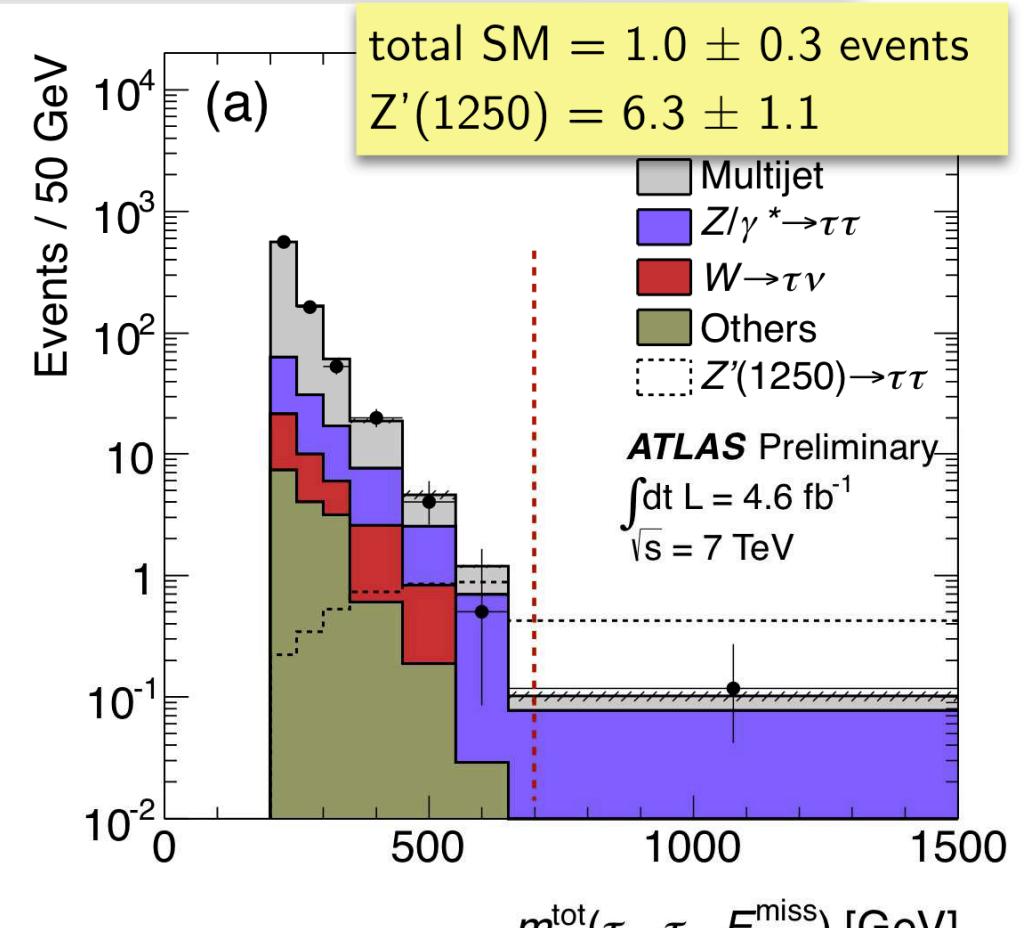


# $Z' \rightarrow \tau\tau \rightarrow \tau_h\tau_h$

- New gauge bosons predicted in many GUTs with additional U(1).
- Best limit on  $m(Z' \rightarrow ee/\mu\mu) > 2.3$  TeV from CMS [arxiv:1206.1849].
- Important to test the couplings to all lepton flavors.

## Event selection

- 2 BDT loose 1 or 3-prong taus with  $p_T(\tau_h) > 50$  GeV
- opposite sign
- $|\Delta\phi(e, \tau_h)| > 2.7$
- $m_T(\tau_h, \tau_h, E_T^{\text{miss}}) > 700$  GeV

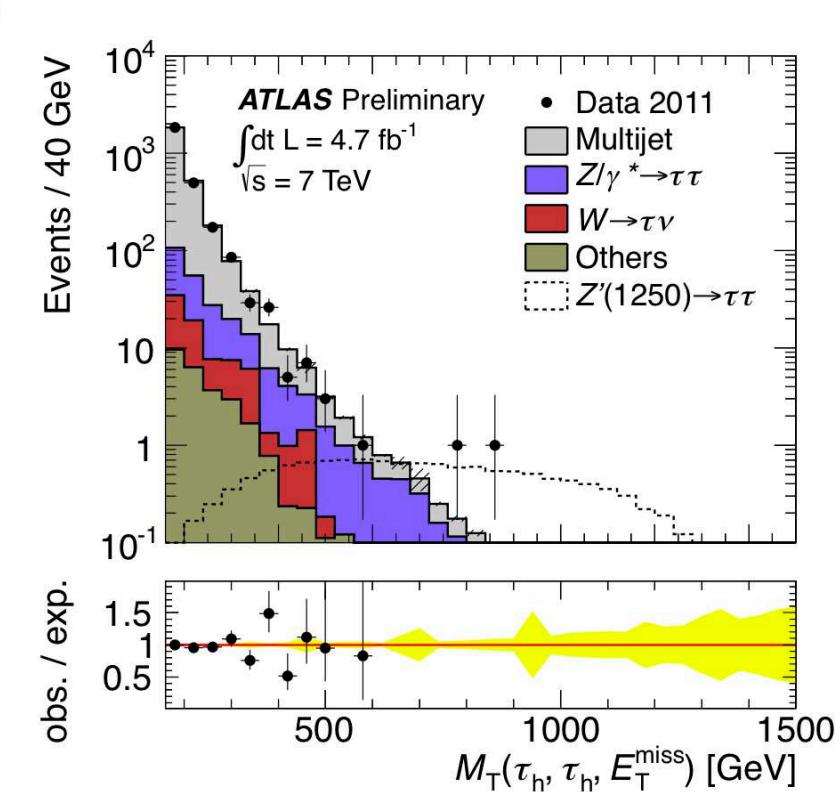
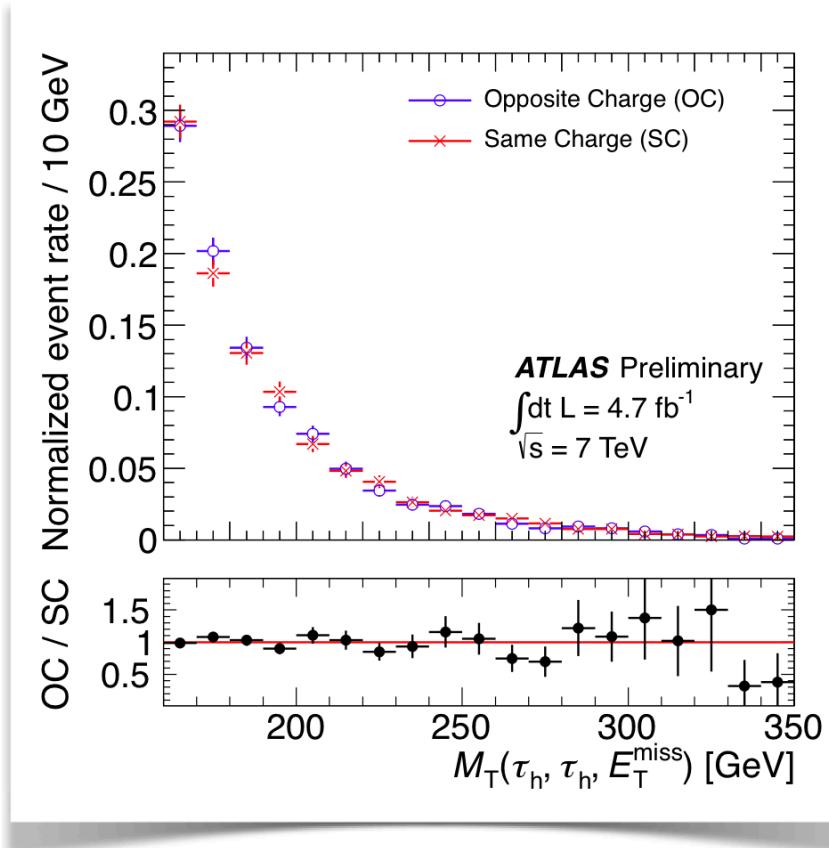


- Tau ID efficiency uncert.  $\approx 11\%$  on the signal. (4% from  $Z \rightarrow \tau\tau$  tag-and-probe)
- Jet/tau energy scale uncert.  $\approx +22/-11\%$
- Multijet modeled by fitting the shape of the SS data. uncert.  $\approx +21/-11\%$

# Data-driven multijet

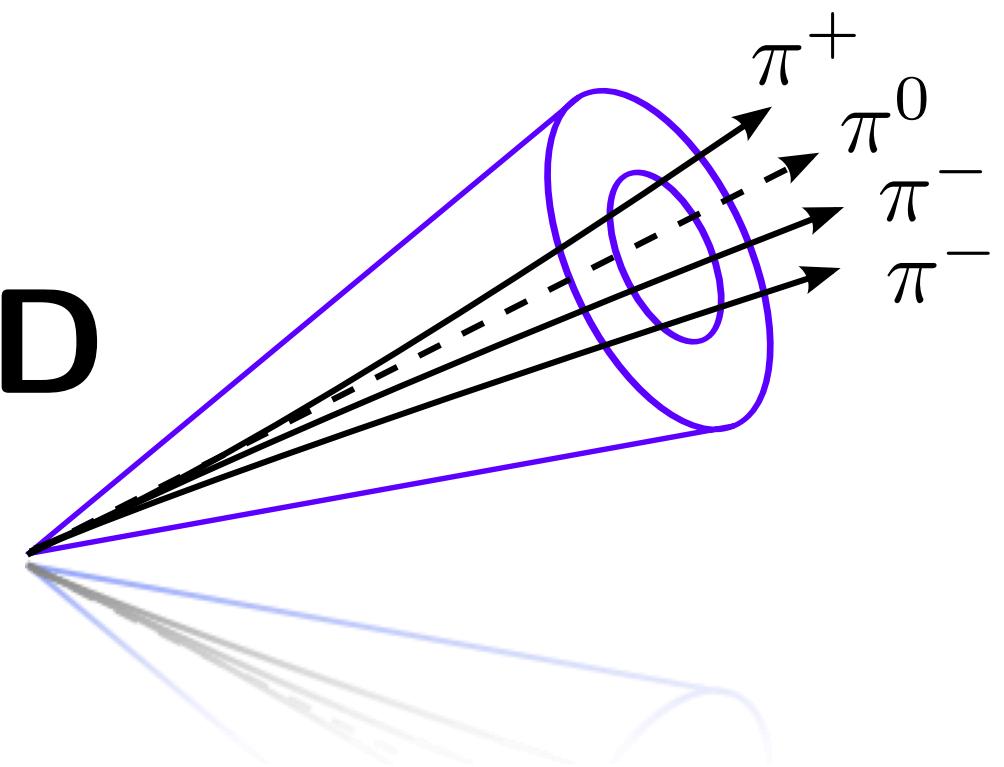
Fit same-sign (SS) data with dijet function:

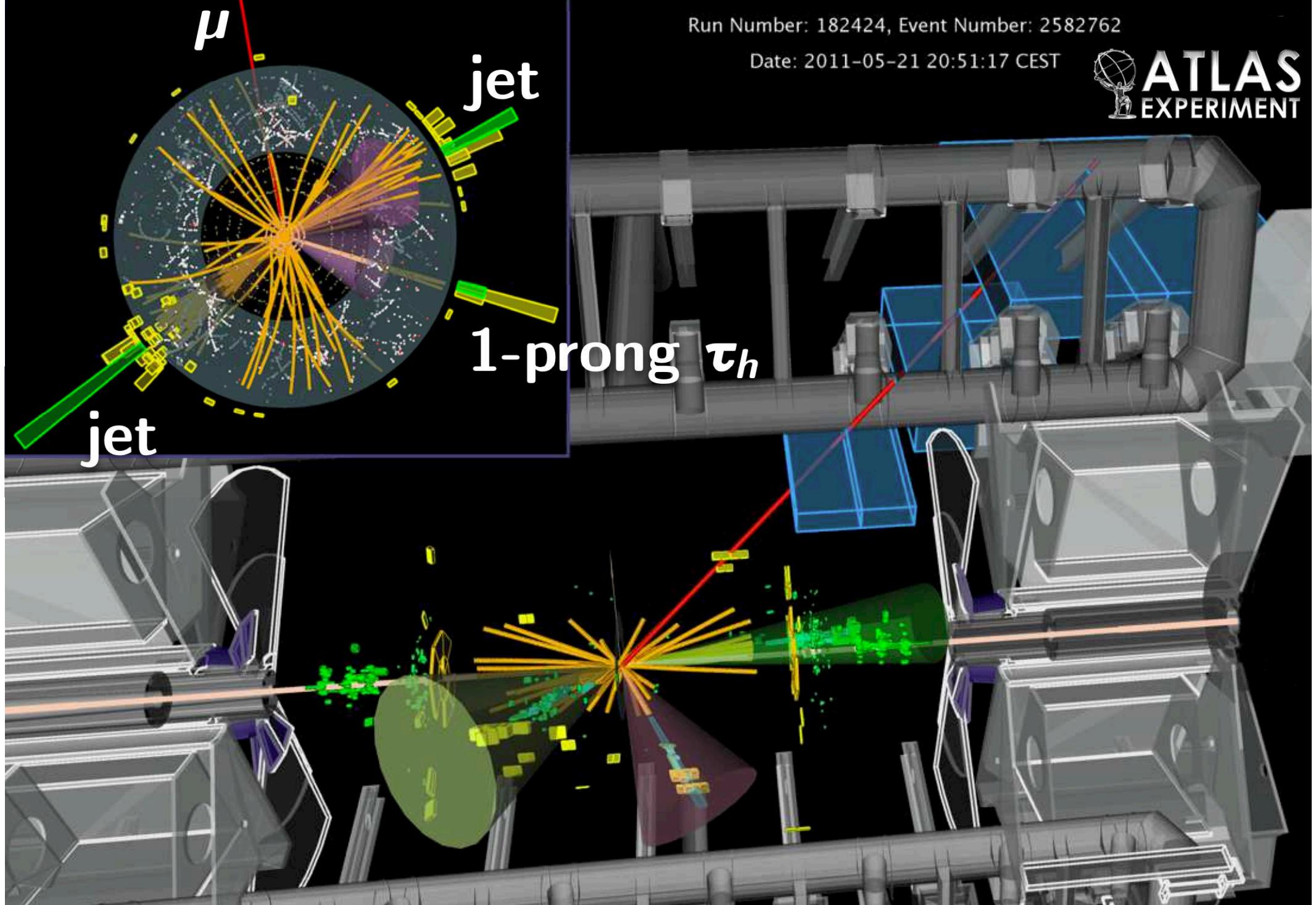
$$f(M_T|p_0, p_1, p_2) = p_0 \cdot M_T^{p_1 + p_2 \log M_T}.$$



- OS/SS shapes agree well
- normalize in OS sideband with  $200 < M_T < 250 \text{ GeV}$

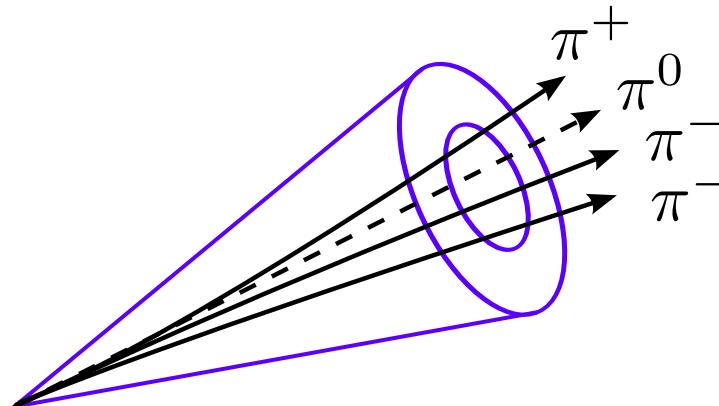
# ATLAS Tau ID



 $t\bar{t} \rightarrow b\bar{b}(\mu\nu)(\tau_h\nu)$  candidate

# Phenomenology of tau decays

$\tau^- \rightarrow e^- \bar{\nu}_e \nu_\tau$	17.8%	leptonic 35.2%
$\mu^- \bar{\nu}_\mu \nu_\tau$	17.4%	
$\pi^- \pi^0 \nu_\tau$	25.5%	
$\pi^- \nu_\tau$	10.9%	
$\pi^- 2\pi^0 \nu_\tau$	9.3%	1 prong 49.5%
$K^- (N\pi^0) (NK^0) \nu_\tau$	1.5%	
$\pi^- 3\pi^0 \nu_\tau$	1.0%	
$\pi^- \pi^- \pi^+ \nu_\tau$	9.0%	
$\pi^- \pi^- \pi^+ \pi^0 \nu_\tau$	4.6%	3 prong 15.2%



# Current tau identification variables

---

1. Core energy fraction\*

$$f_{\text{core}} = \frac{\sum_{\{\Delta R < 0.1\}} E_{\text{T}}^{\text{EM}}(\text{cell})}{\sum_{\{\Delta R < 0.2\}} E_{\text{T}}^{\text{EM}}(\text{cell})}$$

2. Leading track momentum fraction\*

3. Track radius  $R_{\text{track}} = \frac{\sum_{\{\Delta R < 0.4\}} p_{\text{T}}(\text{track}) \Delta R(\text{track}, \text{jet})}{\sum_{\{\Delta R < 0.4\}} p_{\text{T}}(\text{track})}$

4. Number of isolation tracks  $N_{\text{trk}}^{0.2 < \Delta R < 0.4}$

5. Leading track impact parameter significance  $S_{\text{lead track}} = \frac{d_0}{\sigma_{d_0}}$

6. Transverse flight path significance  $S_{\text{T}}^{\text{flight}} = \frac{L_{\text{T}}^{\text{flight}}}{\sigma_{L_{\text{T}}^{\text{flight}}}}$

7. Mass of track system

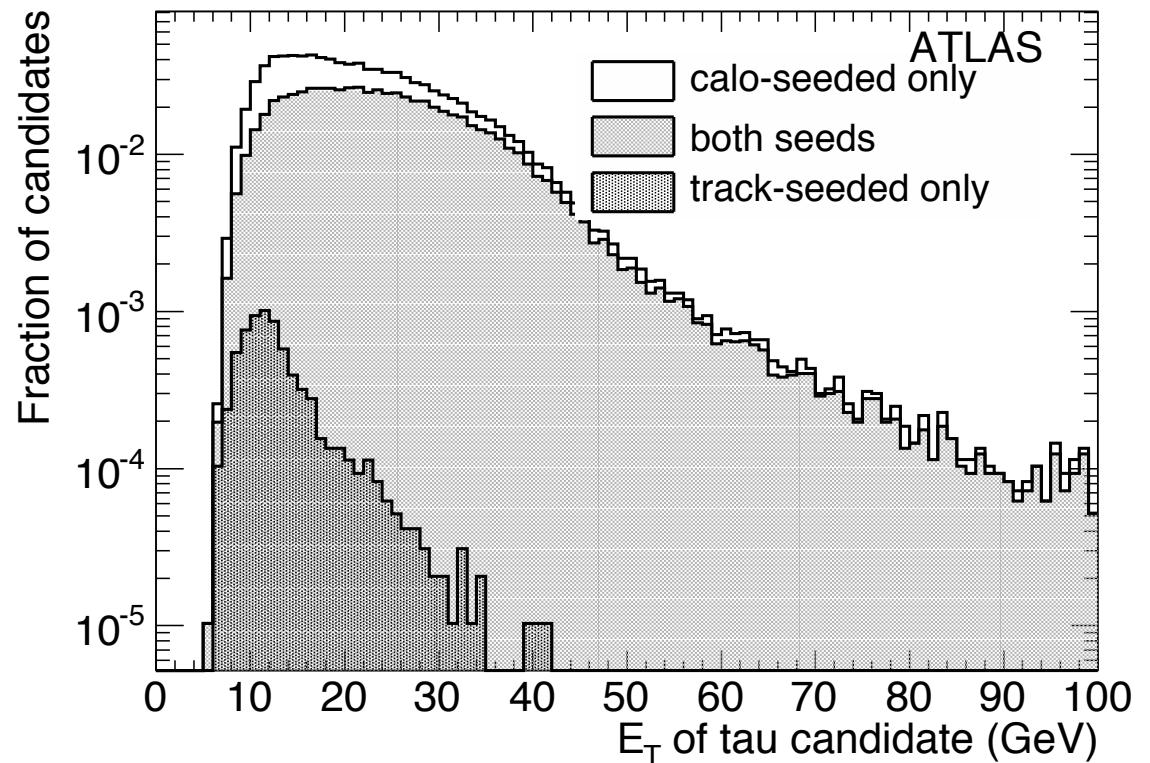
8. Maximum  $\Delta R$  between jet-axis and core tracks

\*has pile-up correction term linear in  $N(\text{vertex})$

# Seeds of reconstruction

Once upon a time, there were two tau reconstruction algorithms. 2009

1. **tauRec** - seeded by  
 $p_T > 10$  GeV anti- $k_T$   
0.4 topo-jets.  
“calo-seeded”
2. **tau1p3p** - seeded by  
 $p_T > 6$  GeV inner  
detector tracks.  
“track-seeded”



Since virtually all candidates have a calo-seed, we effectively merged the variable calculation of both algorithms, using only calo-seeds.

“Performance of the tau reconstruction and identification algorithm with 14.2.20 and mc08”

[ATL-COM-PHYS-2009-229]

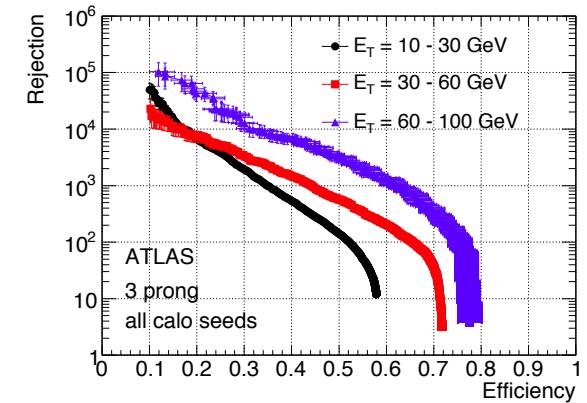
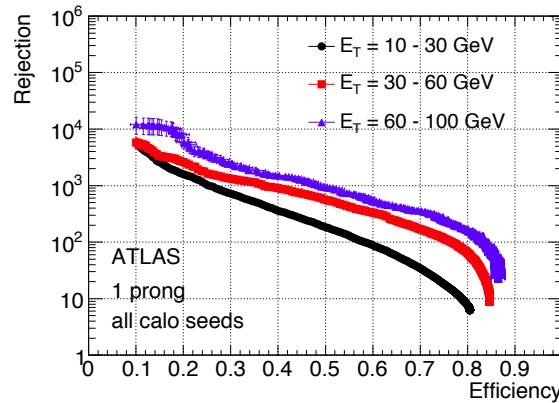
# Early MV identification

- **Jet-tau discrimination**

2009

Prefers narrow calorimeter jets, likelihood-based discriminant.

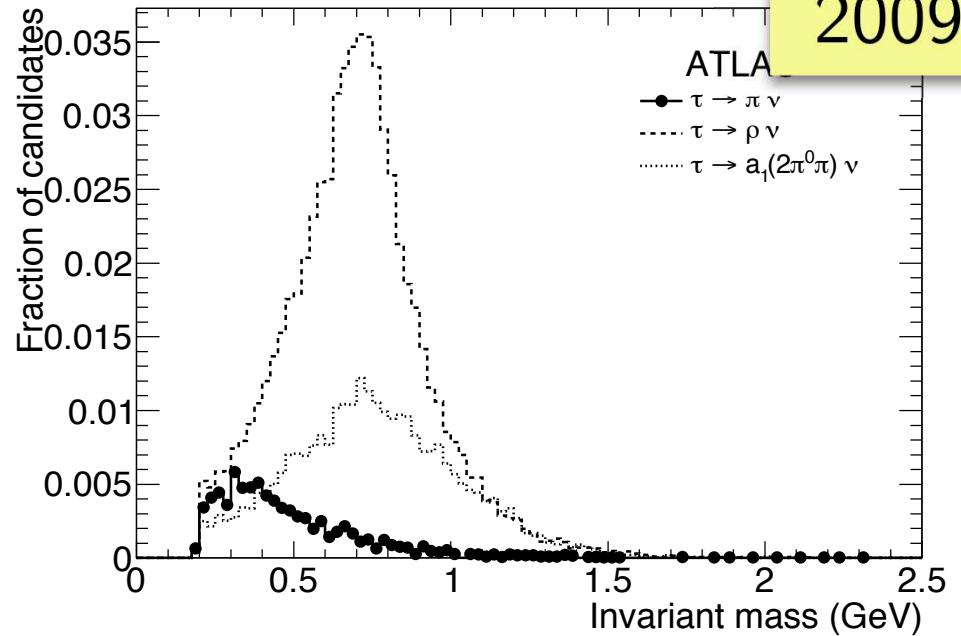
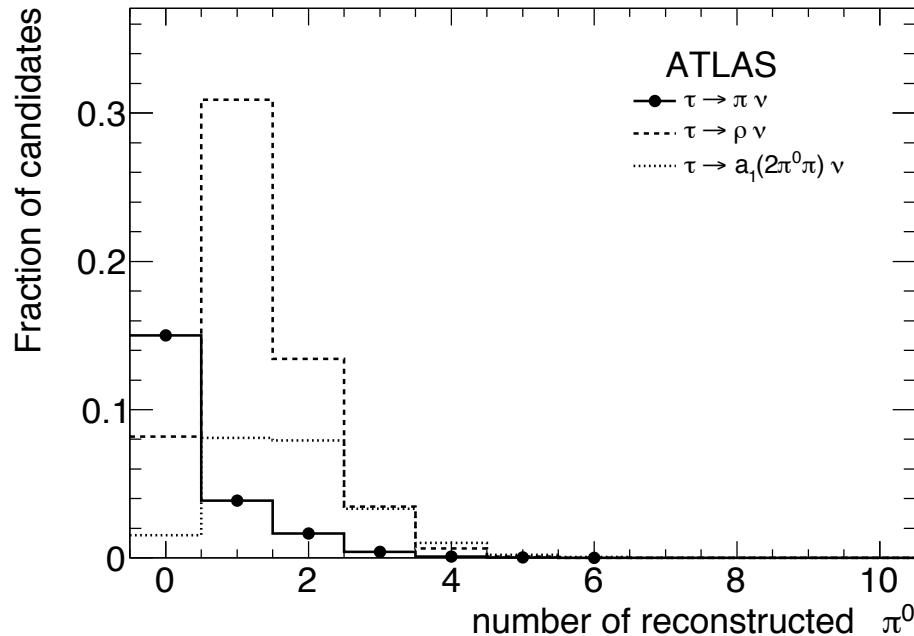
$$R_{\text{EM}} = \frac{\sum_i^{\Delta R_i < 0.4} E_{T,i}^{\text{EM}} \Delta R_i}{\sum_i^{\Delta R_i < 0.4} E_{T,i}^{\text{EM}}},$$



- **Electron-tau discrimination**

Candidate	IsEle(%)			IsEle_eg(%)		
	Overall	1P	3P	Overall	1P	3P
$\tau$ from $W \rightarrow \tau\nu$	93.2	92.7	95.3	99.8	99.8	99.8
$\tau$ from $A \rightarrow \tau\tau$	93.3	92.5	96.3	99.9	98.8	99.5
Electron from $W \rightarrow e\nu$	2.8	2.4	0.1	14.8	13.4	0.3
Electron from $A \rightarrow \tau\tau$	5.9	4.5	0.5	18.0	15.8	0.8

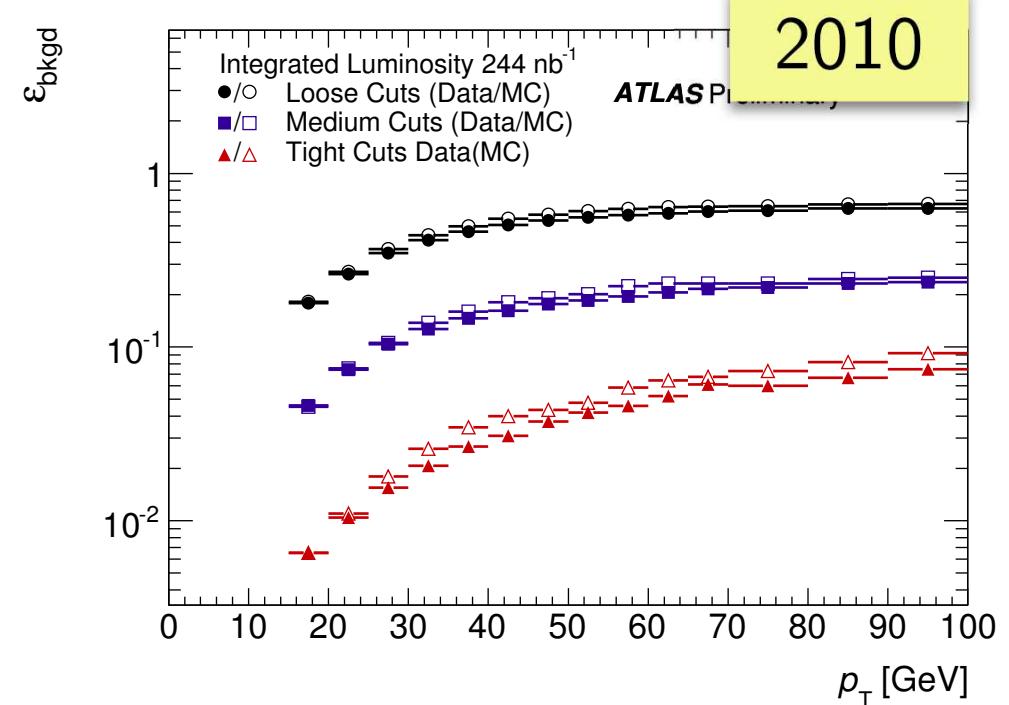
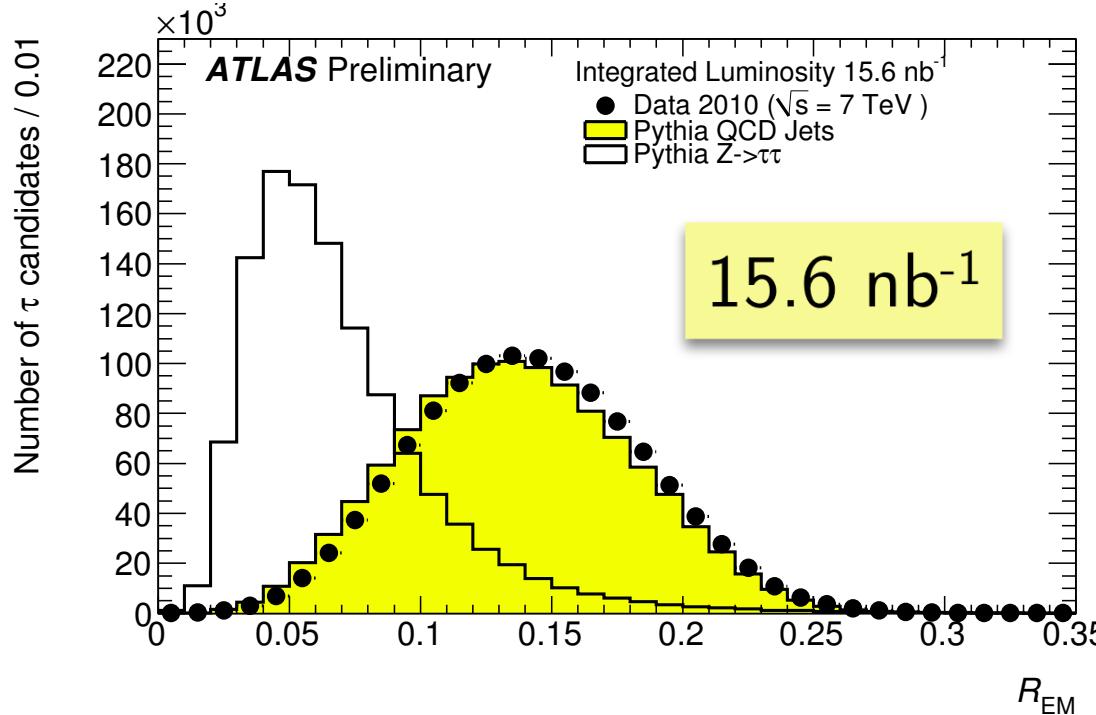
# Early sub-structure studies



2009

- Monte Carlo based substructure studies
- Cell-based shower-shape subtraction  $\pi^0$  reconstruction.
- Still unvalidated with data.

# First data



- First comparisons of background distributions and the QCD fake-rate between data and Monte Carlo.
- Already see that MC over-estimates the jet fake-rate.  $\Rightarrow k_W \approx 0.5$

“Reconstruction of hadronic tau candidates in QCD events at ATLAS with 7 TeV pp collisions”

[ATLAS-CONF-2010-059]

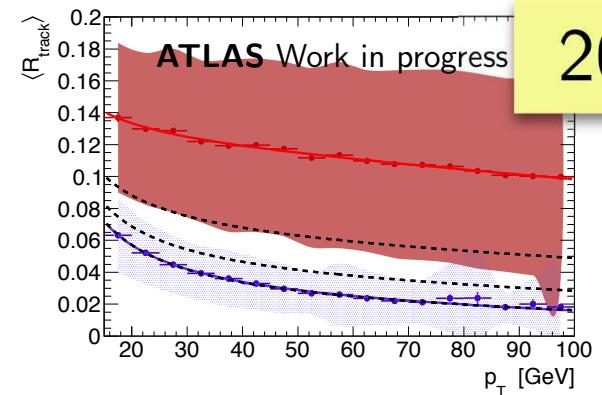
“Tau Reconstruction and Identification Performance in ATLAS”

[ATLAS-CONF-2010-086] 88

# Tau discriminants

- **Cuts**

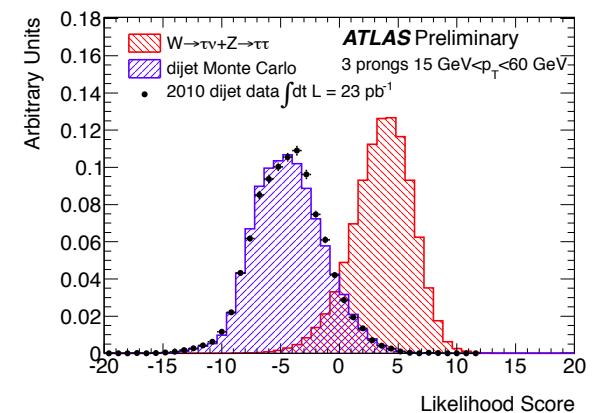
$p_T$ -parametrized cuts on  $R_{\text{EM}}$  and  $R_{\text{track}}$ , and a cut on  $f_{\text{track}}$ .



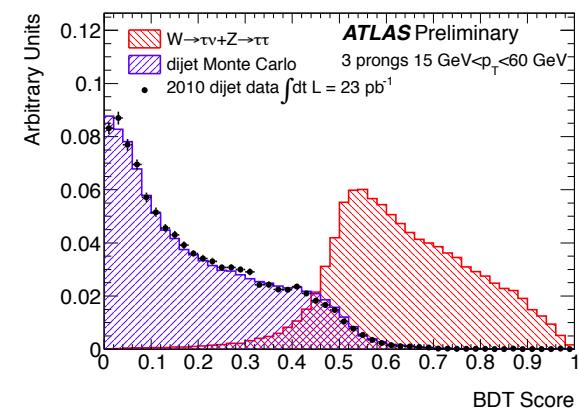
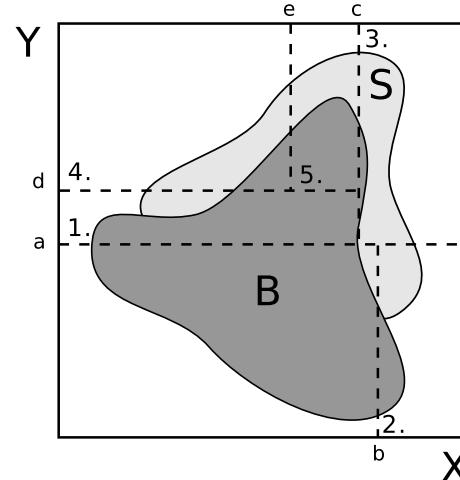
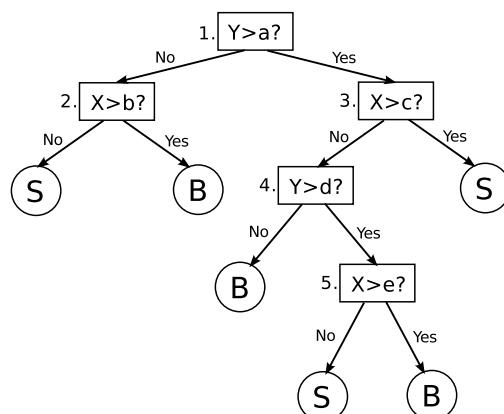
2010

- **Projective likelihood**

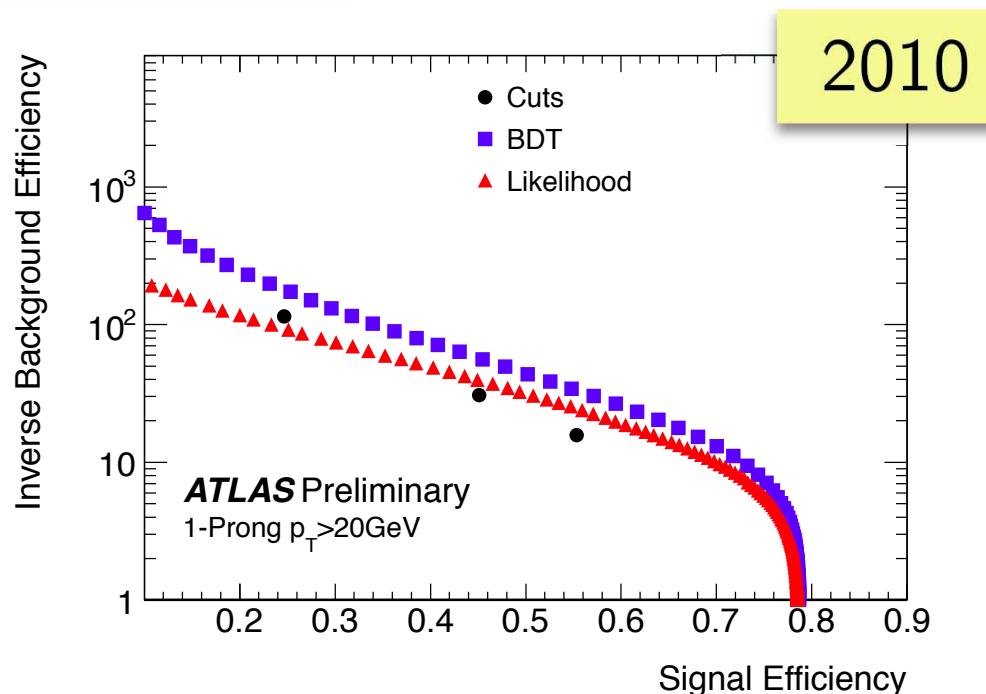
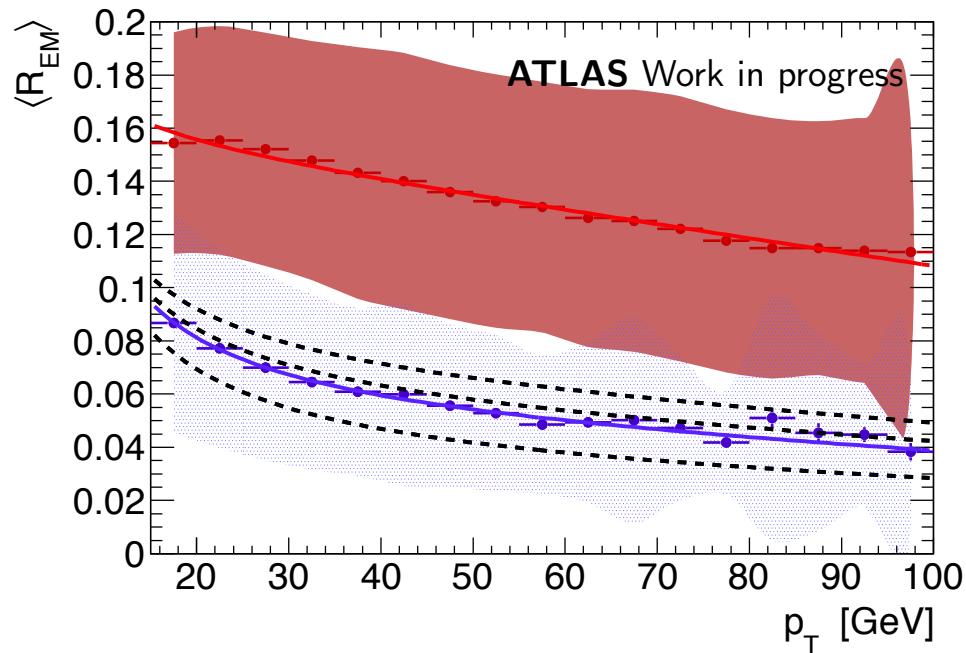
$$d = \ln \left( \frac{L_S}{L_B} \right) = \sum_{i=1}^N \ln \left( \frac{p_i^S(x_i)}{p_i^B(x_i)} \right)$$



- **Boosted decision trees (BDT)**



# Maturing of discriminants

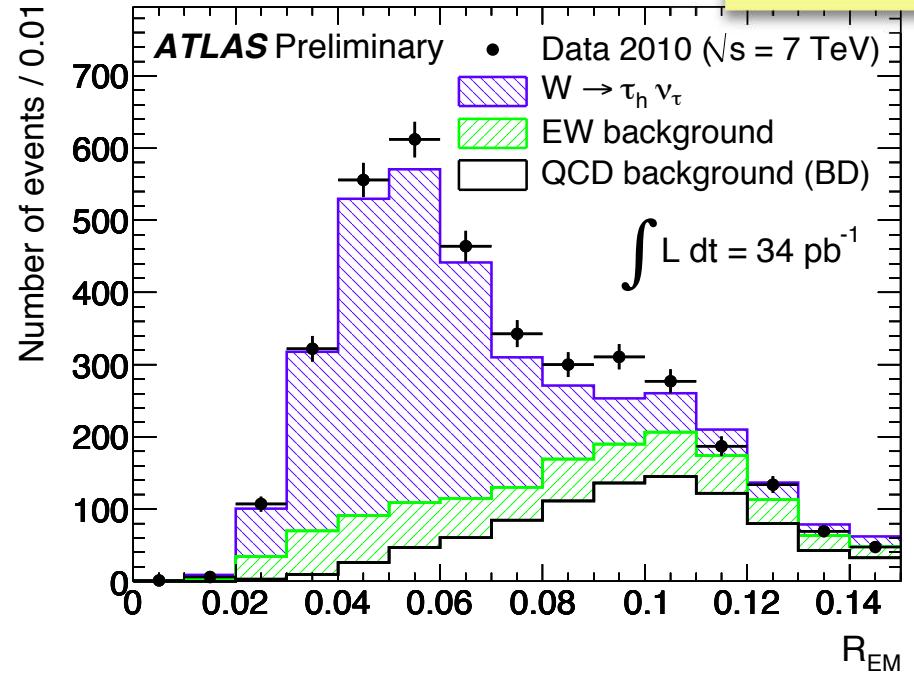
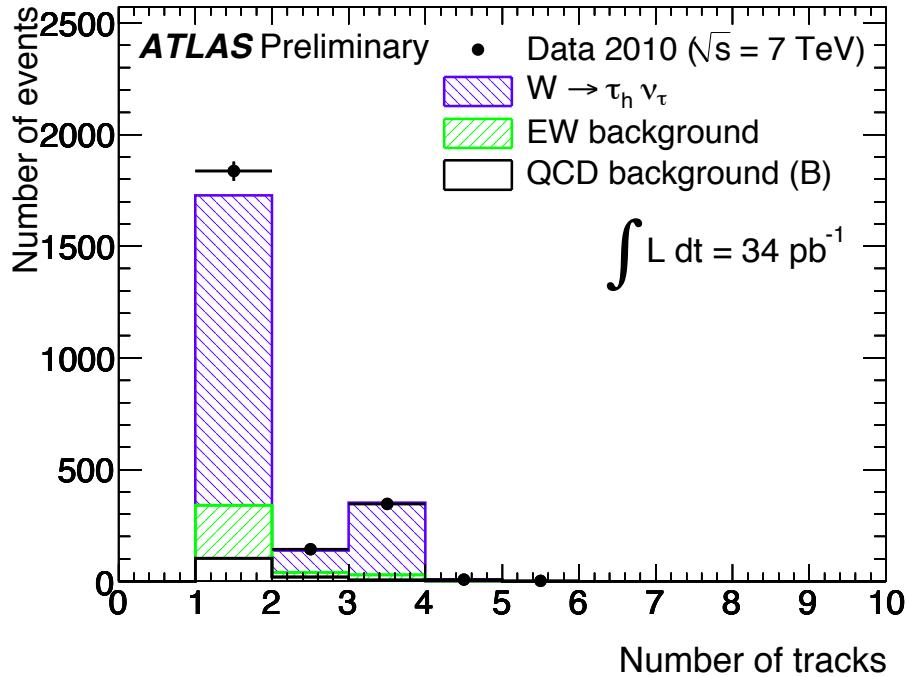


- Cuts are  $p_T$ -parametrized to account for the Lorentz collimation of boosted taus.
- Experience grows with LLH and BDT discriminants, which become the preferred discriminants in 2011.

"Reconstruction, Energy Calibration, and Identification of Hadronically Decaying Tau Leptons in the ATLAS Experiment" [ATLAS-CONF-2011-077, ATL-PHYS-INT-2011-068]

# Seeing first hadronic taus

2010



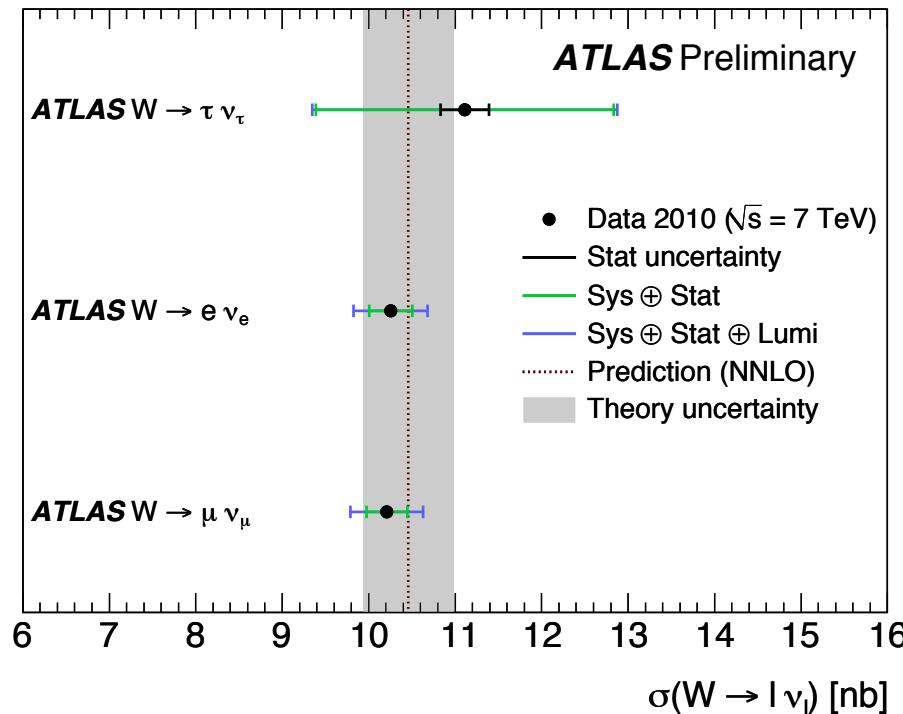
- Nov 2010: Observation of  $W \rightarrow \tau_h \nu$  [ATLAS-CONF-2010-097]
- Feb 2011: Observation of  $Z \rightarrow \tau_h \tau_l$  [ATLAS-CONF-2011-010]

# $W \rightarrow \tau\nu$ cross section

$$\sigma(W \rightarrow \tau\nu) = 11.1 \pm 0.3(\text{stat.}) \pm 1.7(\text{sys.}) \pm 0.4(\text{lumi.}) \text{ nb}$$

2010

$$\sigma_{\text{theory}} = 10.46 \pm 0.52 \text{ nb at NNLO}$$



## Dominant systematics

- $\tau_h$  efficiency 10.3%
- $\tau_h$  energy scale 8.0%
- $\tau_h + \text{MET trigger}$  efficiency 7.0%
- luminosity 3.4%
- acceptance 2.3%

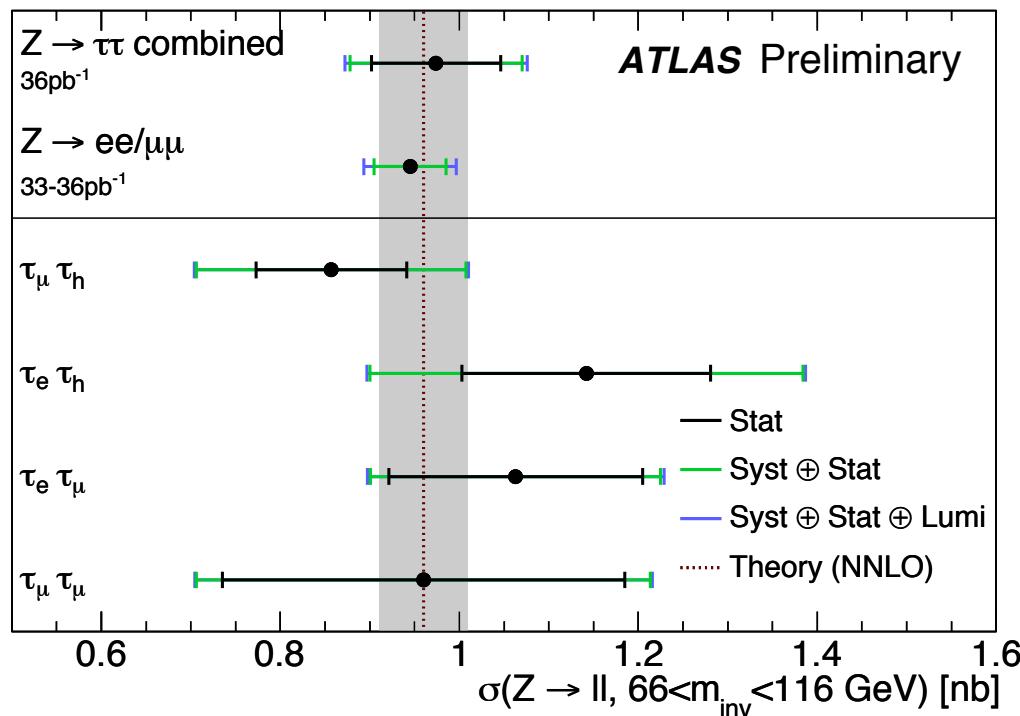
"Measurement of the  $W \rightarrow \tau\nu$  cross section in pp collisions at  $\sqrt{s} = 7$  TeV with the ATLAS experiment"  
[arXiv:1108.4101]

# $Z \rightarrow \tau\tau$ cross section

2011

$$\sigma_{\text{combined}} = 0.97 \pm 0.07(\text{stat.}) \pm 0.07(\text{sys.}) \pm 0.03(\text{lumi.}) \text{ nb}$$

$$\sigma_{\text{theory}} = 0.96 \pm 0.05 \text{ nb at NNLO}$$



## Dominant systematics

- $\tau_h$  energy scale 11%
- $\tau_h$  efficiency 8.6%
- $\mu$  efficiency 8.6%
- $e$  efficiency 3-10%
- acceptance 3%
- luminosity 3.4%

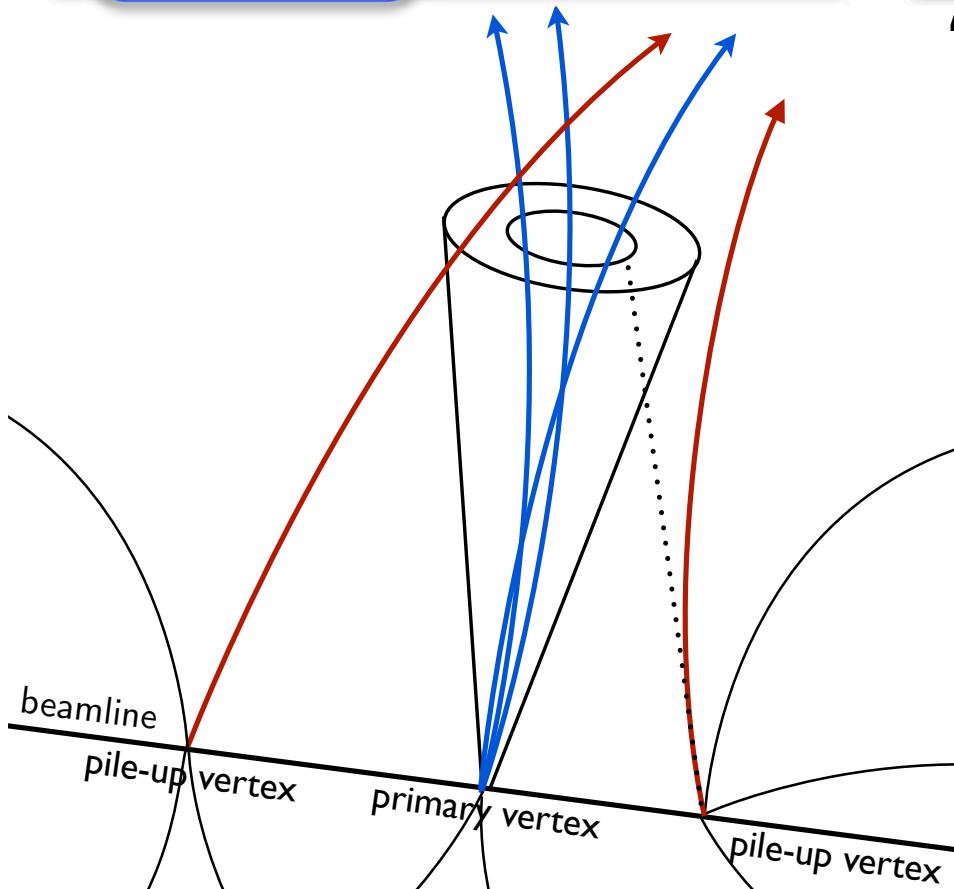
"Measurement of the  $Z \rightarrow \tau\tau$  cross section in pp collisions at  $\sqrt{s} = 7 \text{ TeV}$  with the ATLAS detector"  
[arXiv:1108.2016]

# Tau vertex association

## Tau track selection

- $p_T > 1 \text{ GeV}$ ,
- Number of pixel hits  $\geq 2$ ,
- Number of pixel hits + number of SCT hits  $\geq 7$ ,
- $|d_0| < 1.0 \text{ mm}$ ,
- $|z_0 \sin \theta| < 1.5 \text{ mm}$ ,

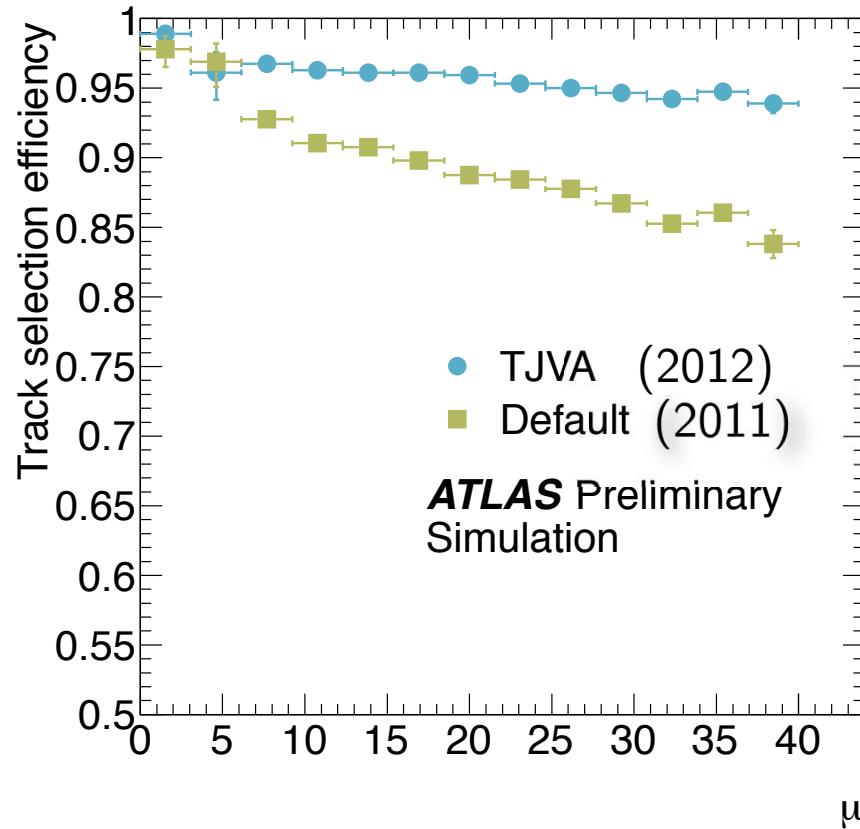
- The  $d_0$  and  $z_0$  requirements depend on the choice of vertex.
- Beginning in 2012, choose the vertex with the highest JVF for that tau candidate.



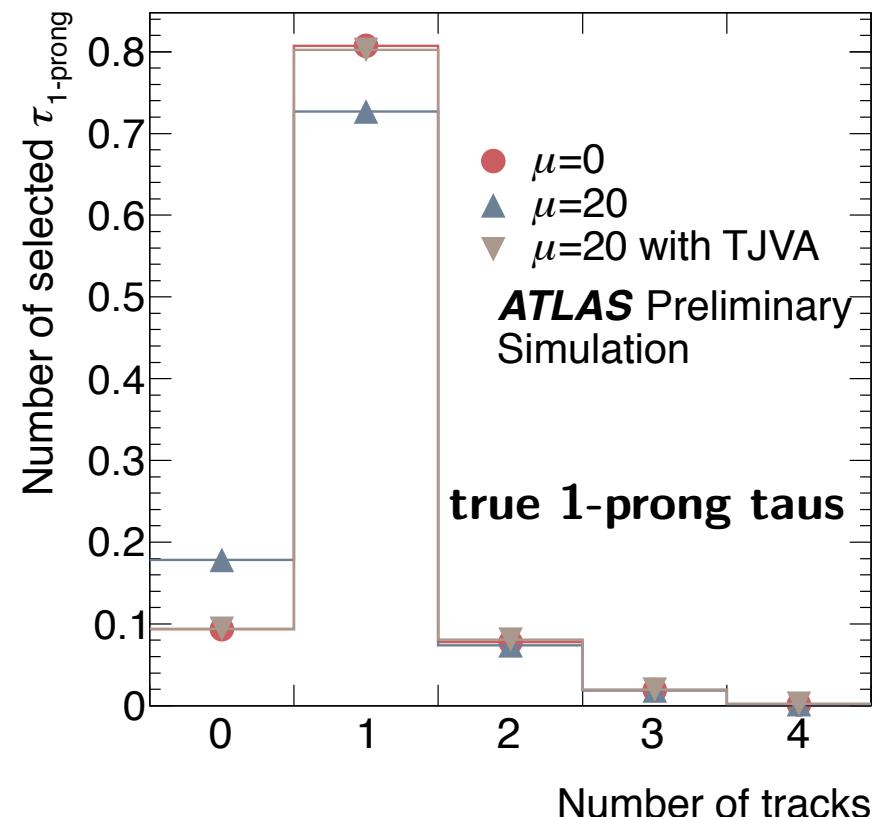
“Jet Vertex Fraction”  
 $\text{JVF}(\text{jet}, \text{vertex}) =$

$$\frac{\left( \sum_{\substack{\text{tracks matched} \\ \text{to jet and vertex}}} p_T(\text{track}) \right)}{\left( \sum_{\substack{\text{tracks matched} \\ \text{to jet}}} p_T(\text{track}) \right)}$$

# Track selection efficiency



**ATLAS Preliminary  
Simulation**



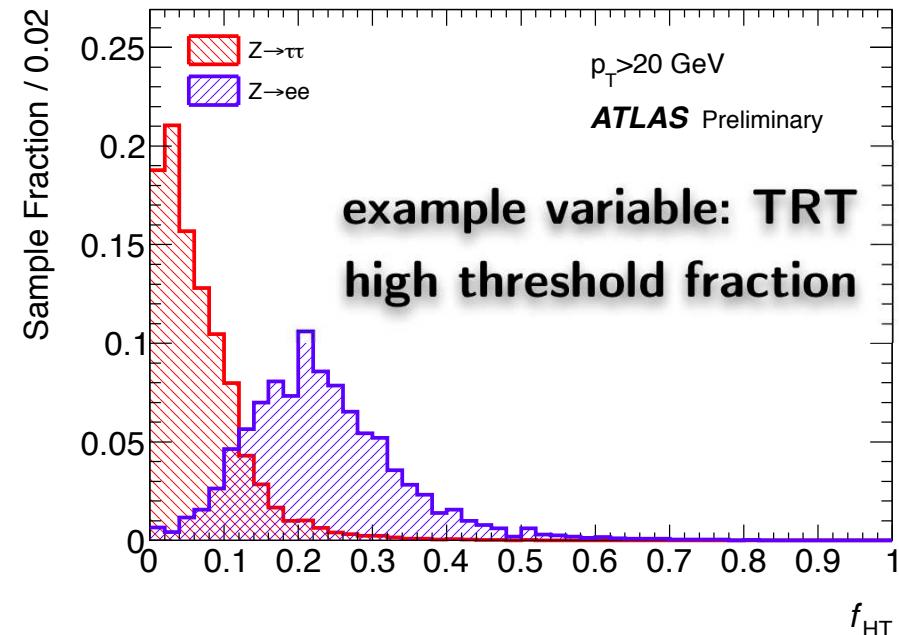
**ATLAS Preliminary  
Simulation**

**true 1-prong taus**

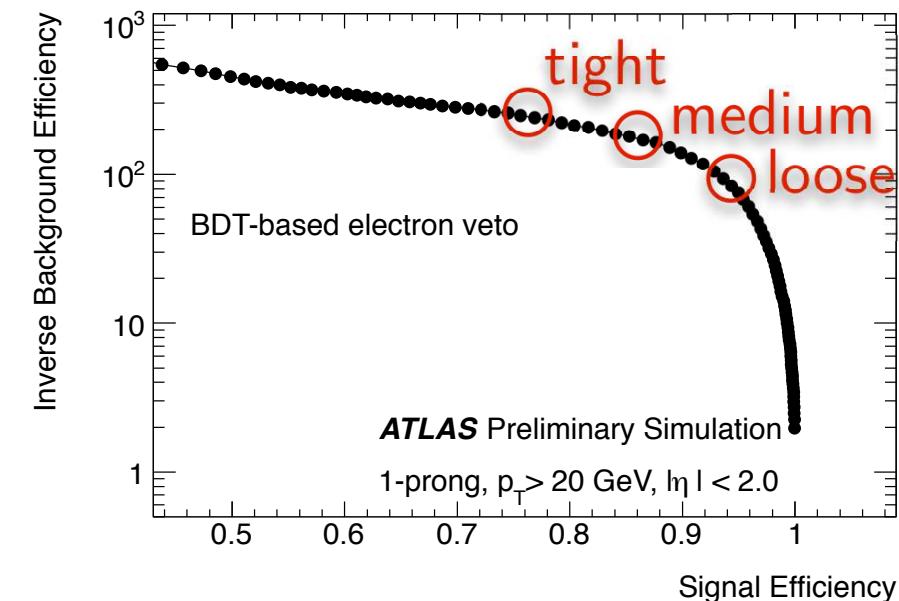
- In 2011, the track selection for tau candidates cut on the  $d_0$  and  $z_0$  with respect to the vertex with the highest  $\sum p_T^2$ .
- Selecting the vertex with the highest JVF recovers efficiency in high pile-up (Tau Jet Vertex Association).

# Electron veto

- Electrons provide a track and calorimeter deposit that can fake hadronic tau decay identification.
- ATLAS provides a BDT to discriminate electrons from tau candidates, even after removing overlaps with selected electrons.
- Tight/Medium/Loose working points are defined ( $\approx 75\%$ ,  $85\%$ ,  $95\%$  efficient).
- In 2012, the BDT is being re-optimized to have better efficiency at high- $p_T$ .



**example variable: TRT  
high threshold fraction**



# Tau triggering

## 1. Level 1: (latency 2.5 $\mu$ s)

Coarse EM+Had calorimeter trigger towers

$\Delta\eta \times \Delta\phi = 0.1 \times 0.1$ . Candidate passing thresholds on the sum of energies:

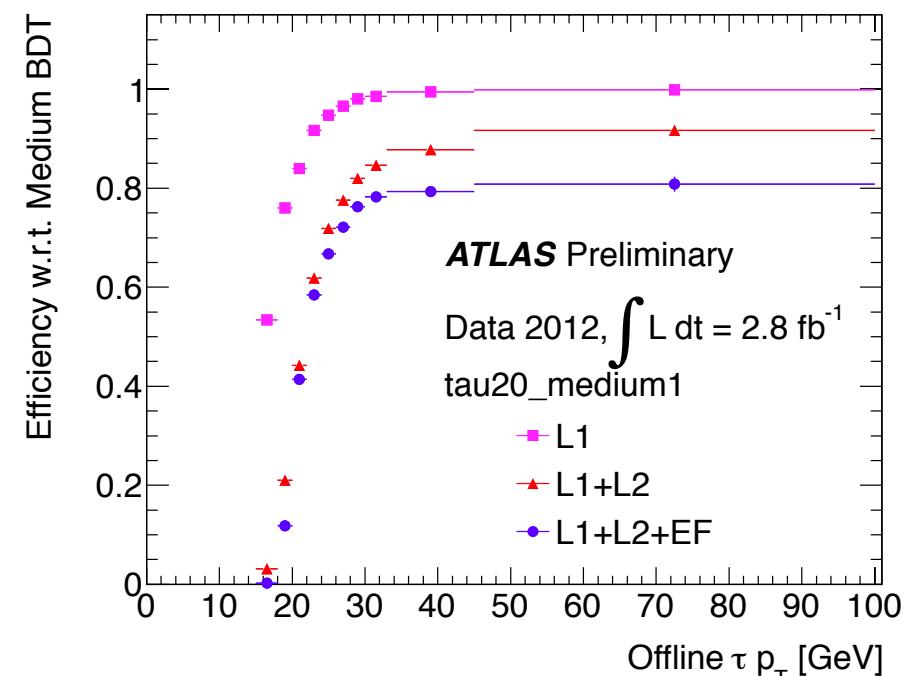
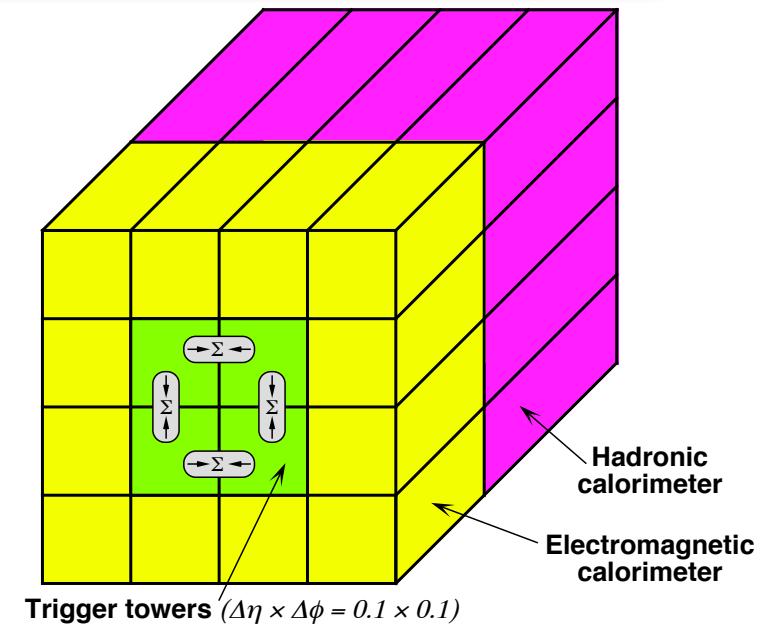
1. highest  $2 \times 1$  towers
2. surrounding  $4 \times 4$  isolation ring

## 2. Level 2: (latency 40 ms)

Fast tracking. Region-of-interest (RoI) calculation of track- and calorimeter-based ID variables. Similar selection to offline cut-based ID.

## 3. Event Filter: (latency 4 s)

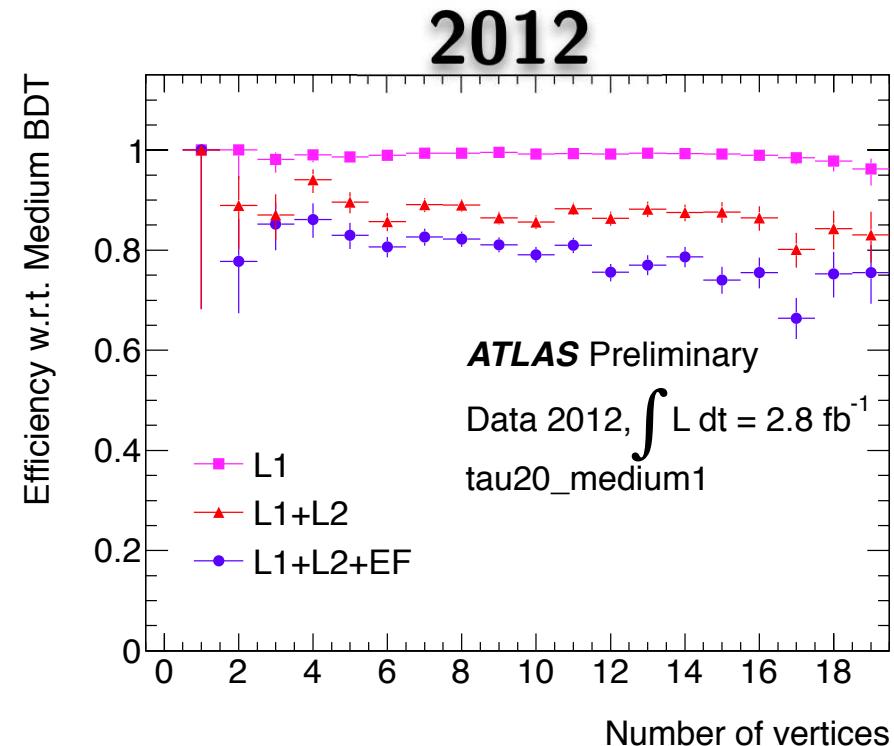
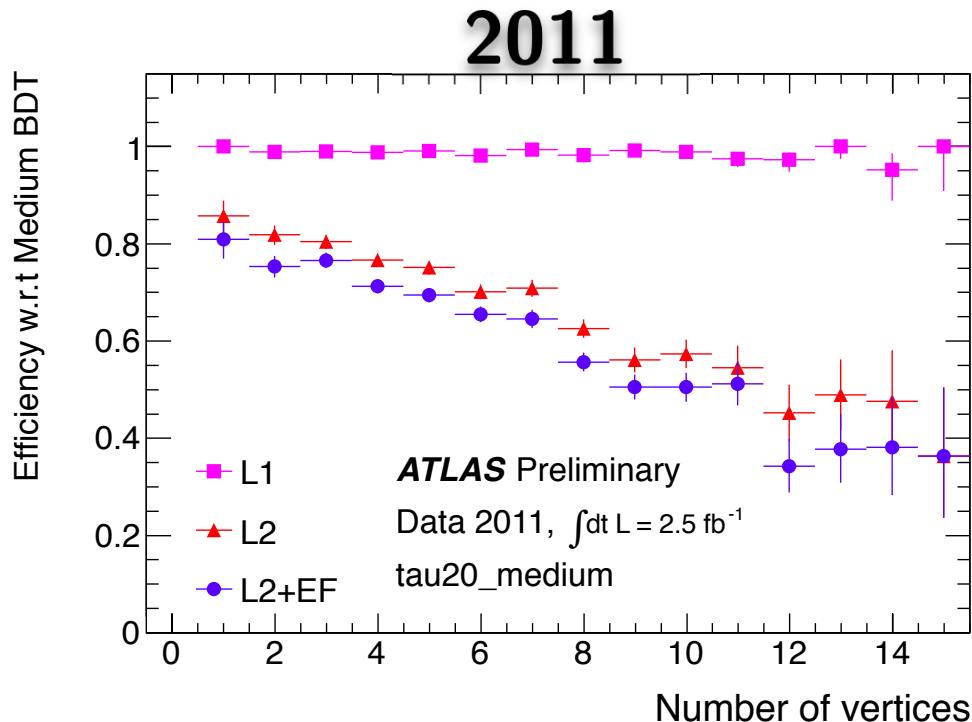
Beginning in 2012, started using the offline BDT algorithm at the EF trigger.



# L2 pile-up robustness

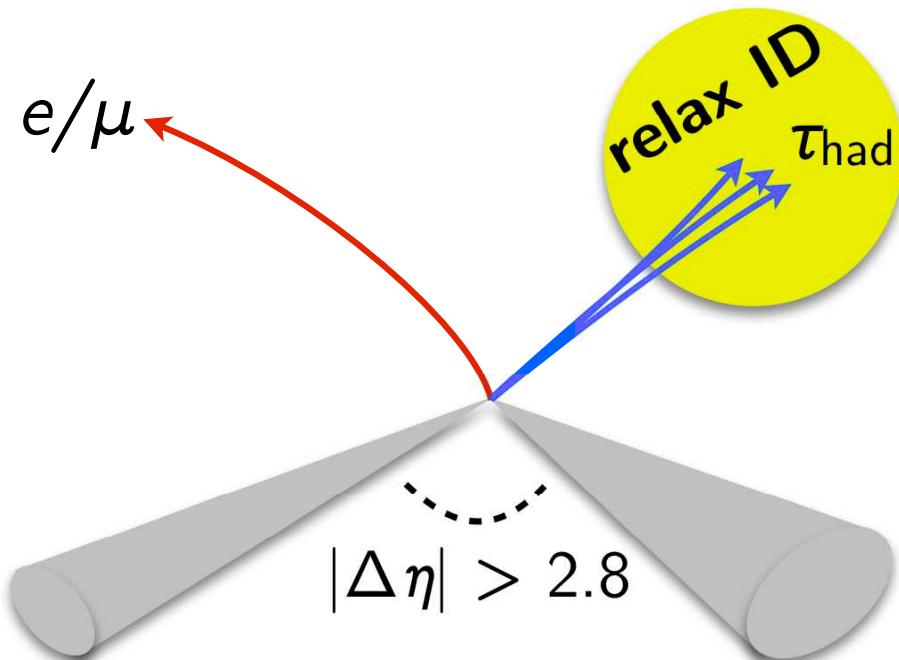
Example improvements to variable definitions to lessen sensitivity to pile-up:

- Smaller  $\Delta R$  cone for calculating EM radius  $0.4 \rightarrow 0.2$
- Select tracks within  $\Delta z < 2$  mm of the highest- $p_T$  track within the RoI (cannot vertex at L2).



# VBF triggers

- New VBF triggers *relax tau identification* required at L2 and the EF by adding requirements for forward jets.
- This increases the control sample of tau candidates that will fail identification, used to ***estimate the fake contribution***.
- Being evaluated for the  $H \rightarrow \tau\tau \rightarrow \text{lep} + \tau_{\text{had}}$  search.



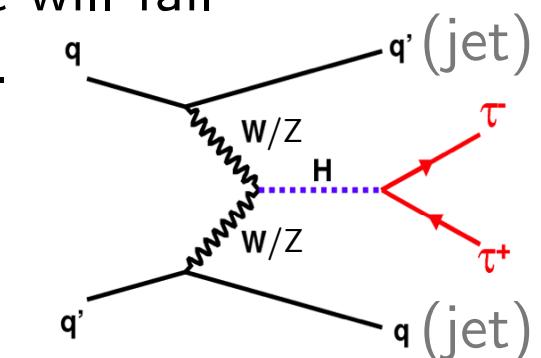
New as of periods G1, H2

## VBF reqs:

- 2 L2 jets  $p_T > 15 \text{ GeV}$ ,  $|\Delta\eta| > 2.5$
- 2 EF jets  $p_T > 25 \text{ GeV}$ ,  $|\Delta\eta| > 2.8$ ,  
 $M_{jj} > 400 \text{ GeV}$

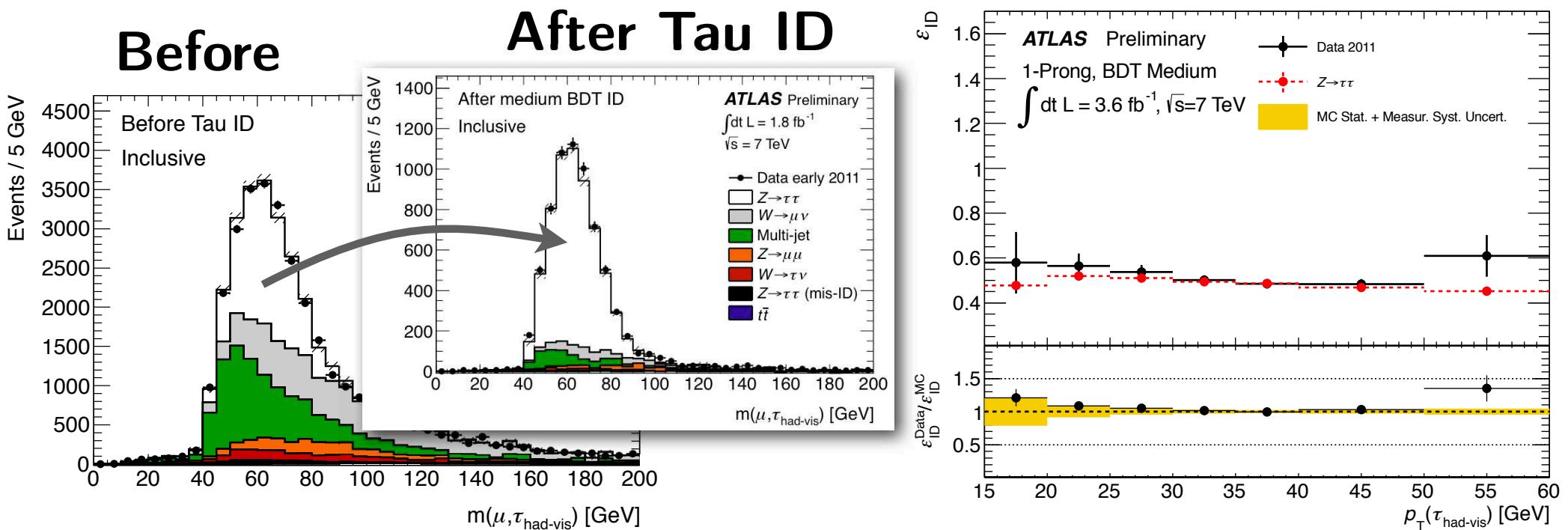
## Trigger menu

$\tau_{\text{had}} + \mu$	$\tau_{\text{had}} + e$
tau20_medium1_mu15	tau20Ti_medium1_e18vh_medium1
mu15_vbf_L1TAU8_MU10	e18vh_medium1_vbf_2L1TAU11I_EM14VH



# Identification efficiency

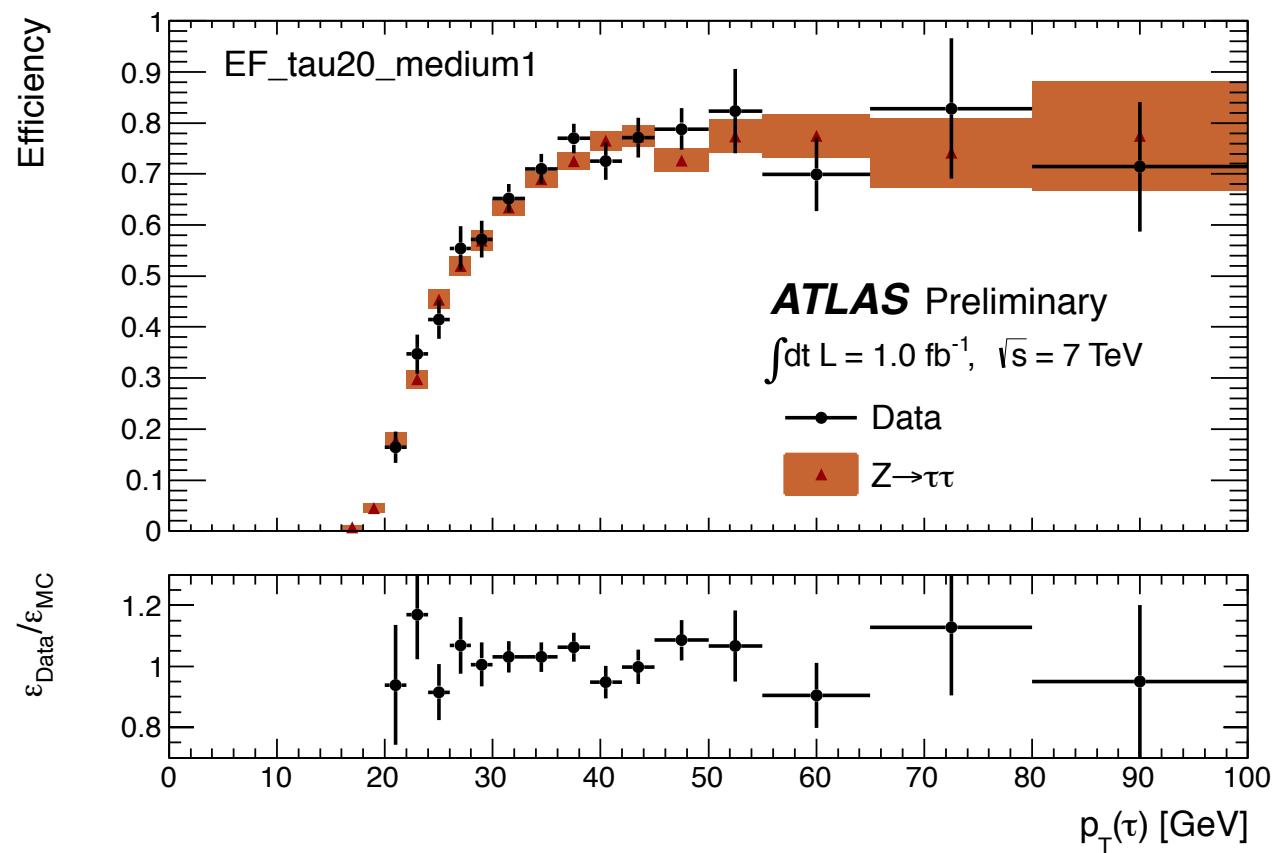
- **Tag-and-probe:** selecting a sample of a known composition without some ID, so one can probe its efficiency.
- For the case of tau ID, select  $Z \rightarrow \tau\tau \rightarrow \mu\tau_h 3\nu$  by triggering on the muon and selecting events with muon + tau candidate.



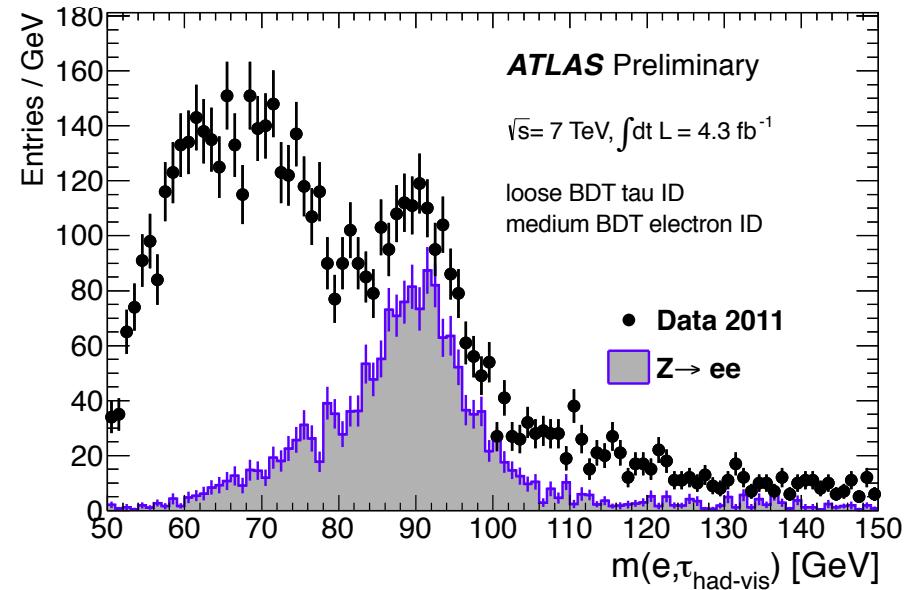
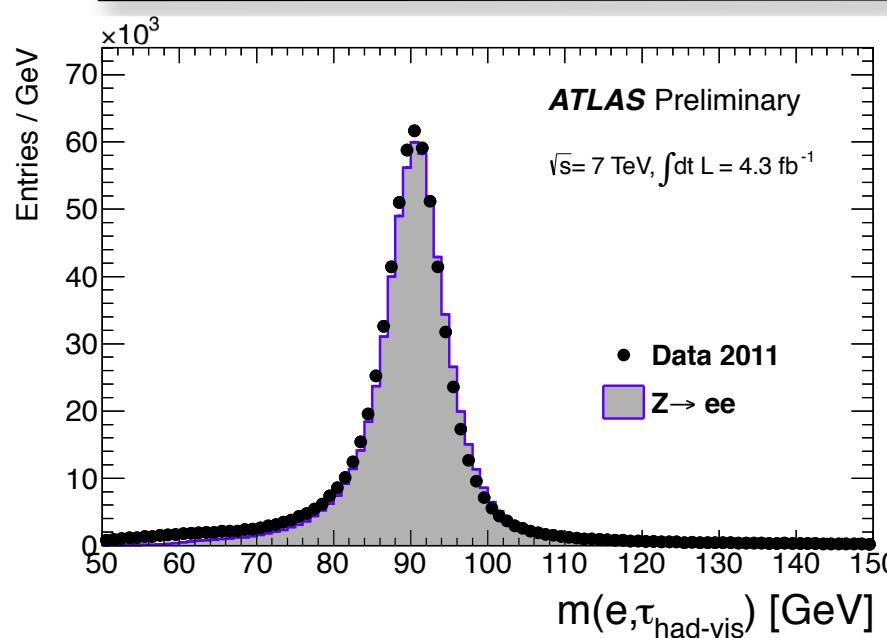
- Scale factor  $\approx 1$ , known to a few percent, 2-3% (1-prong), 5-6% multi-prong.

# Trigger efficiency

- The same  $Z \rightarrow \tau\tau \rightarrow \mu\tau_h 3\nu$  tag-and-probe sample is used to measure the efficiency of the tau triggers.
- Known to  $O(5\%)$  in the turn-on.
- Improving with statistics in 2012.



# Electron veto fake rate



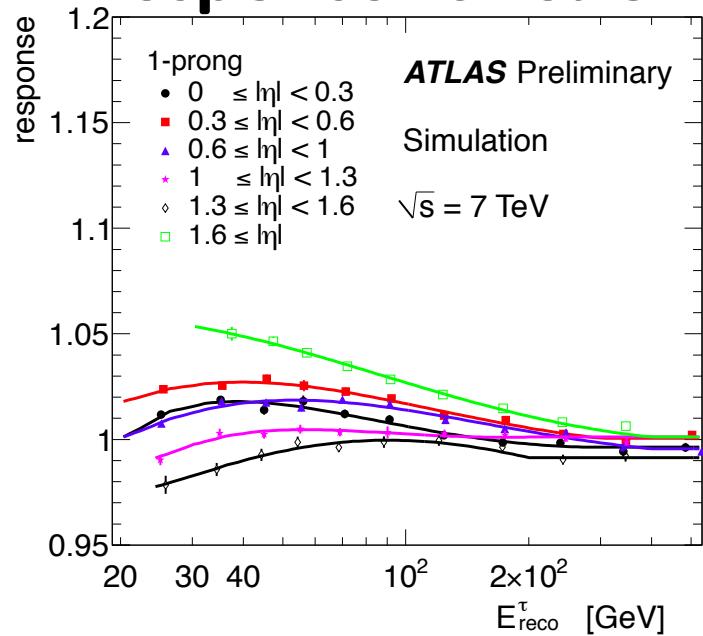
- Tag e + tau candidates
- Probe the e-veto efficiency after removing overlap with selected electrons.
- Statistically limited by the sample that pass the veto, giving uncertainties  $\approx 50\text{-}100\%$ .
- Improving with the data added in 2012.

data/MC scale factor and uncertainty from Z → ee tag-and-probe with 2.6/fb from 2011

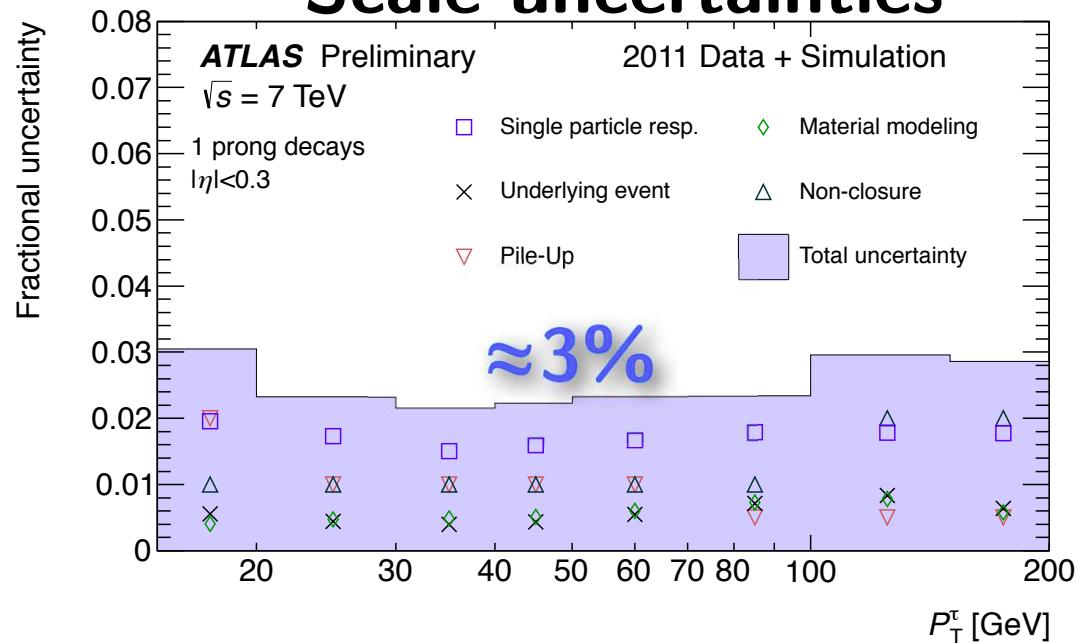
electron BDT veto	$ \eta_{\text{trk}}  < 1.37$	$1.37 <  \eta_{\text{trk}}  < 1.52$	$1.52 <  \eta_{\text{trk}}  < 2.00$	$ \eta_{\text{trk}}  > 2.00$
loose	$0.96 \pm 0.22$	$0.8 \pm 0.3$	$0.47 \pm 0.14$	$1.7 \pm 0.4$
medium	$1.3 \pm 0.5$	-	$0.5 \pm 0.4$	$2.8 \pm 1.3$

# Energy scale

## Response functions



## Scale uncertainties



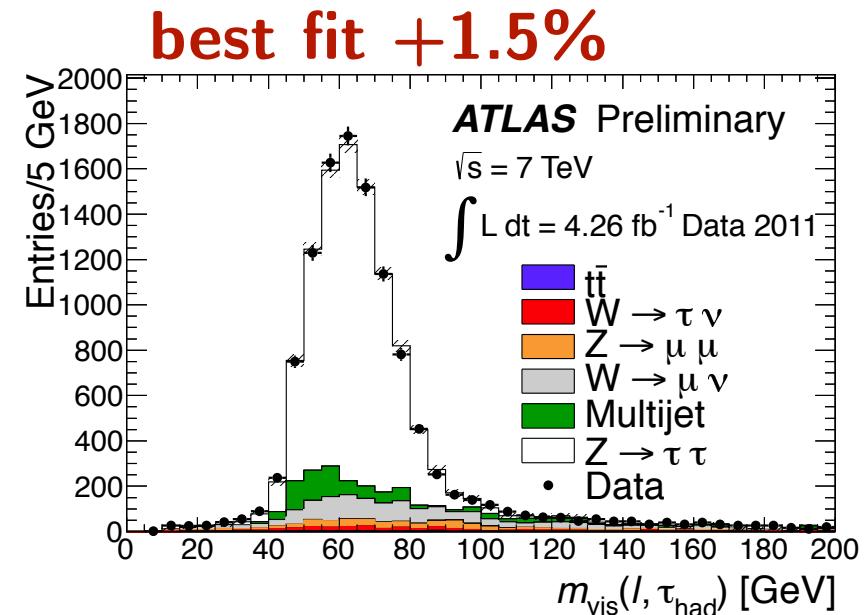
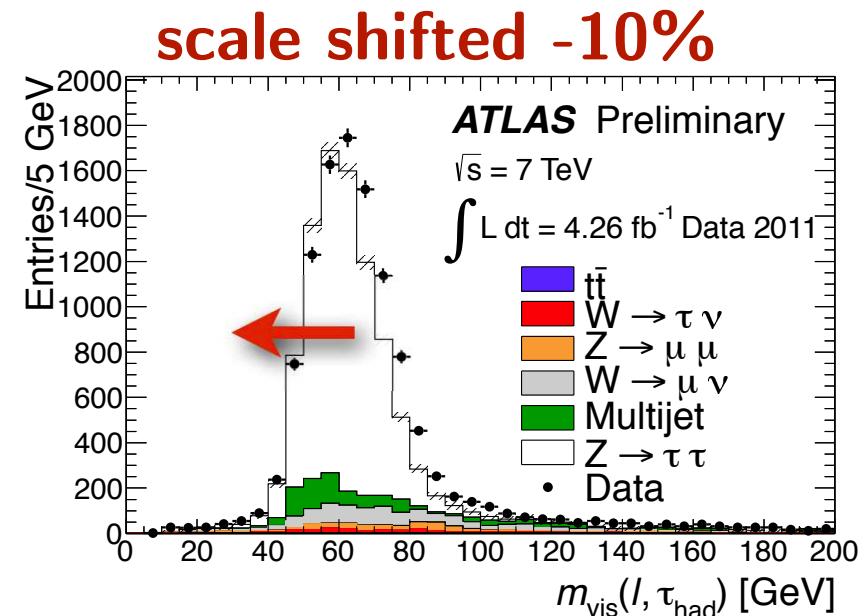
- Tau candidates are first brought from the EM to the Jet Energy Scale with LC calibration of the clusters within  $\Delta R < 0.2$  (from 0.4 to be pile-up robust).
- Then response functions are calibrated with tau Monte Carlo to make final corrections of a few percent.
- Uncertainties are determined by smearing the Monte Carlo truth according the tau decays true composition, using uncertainties constrained by single particle response measurements (CTB,  $E/p$ ,  $Z \rightarrow ee/\pi^0$ -resp.)

# Energy scale cross check

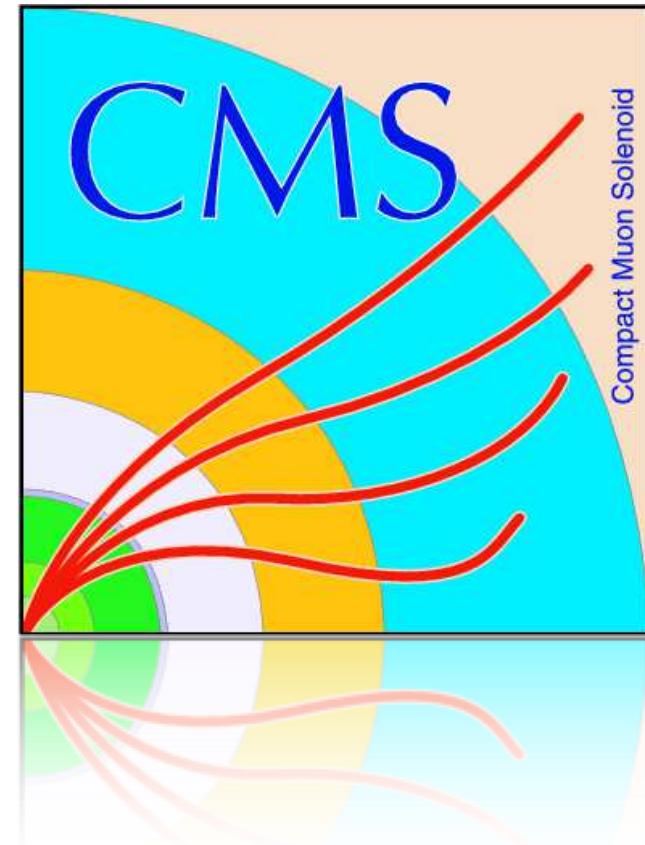
- Tau energy scale is manually shifted in the modeling.
- Median of the visible mass peak is used to decide which scale matches the data.
- Toy experiments are used to estimate the uncertainty.

$ \eta $	best scale	uncert.
0.0-0.8	-1.5%	3.3%
0.8-2.5	+1.5%	2.8%

- Scale consistent with 1 within single-particle-response uncertainties  $\approx 3\%$ .
- May become primary method with more data.

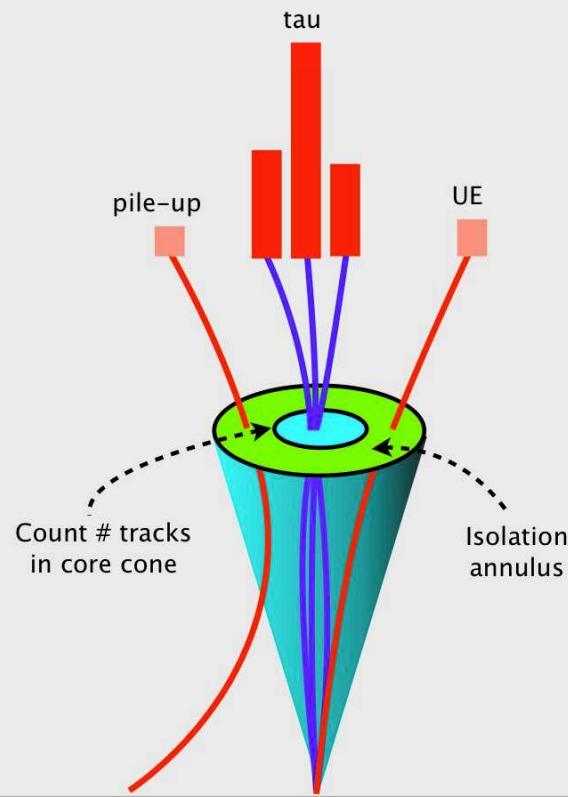


# CMS Tau ID

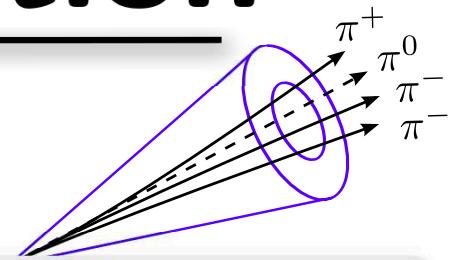


# High- $p_T$ $\tau_h$ reconstruction

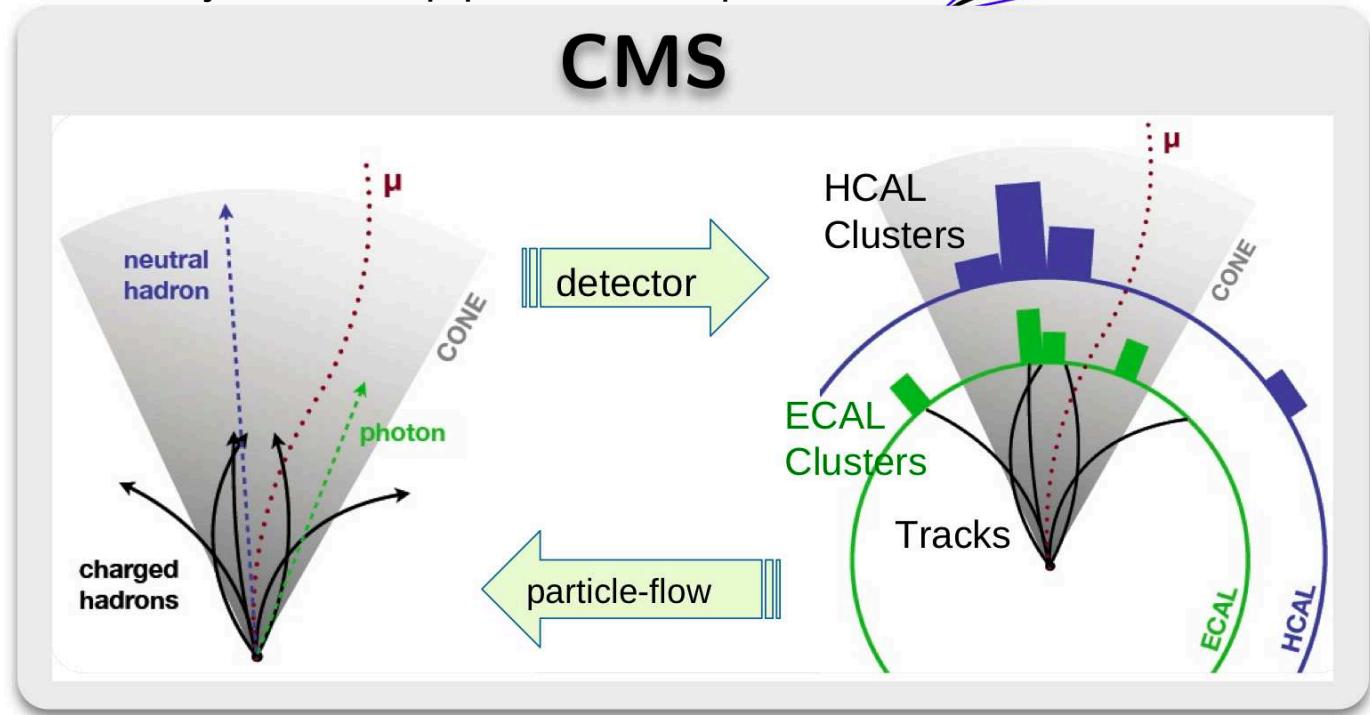
ATLAS



- Hadronic decays dominantly to 1 or 3  $\pi^\pm$  and possibly a few additional  $\pi^0$ s
- Decay in beam-pipe:  $c\tau \approx 87 \mu\text{m}$



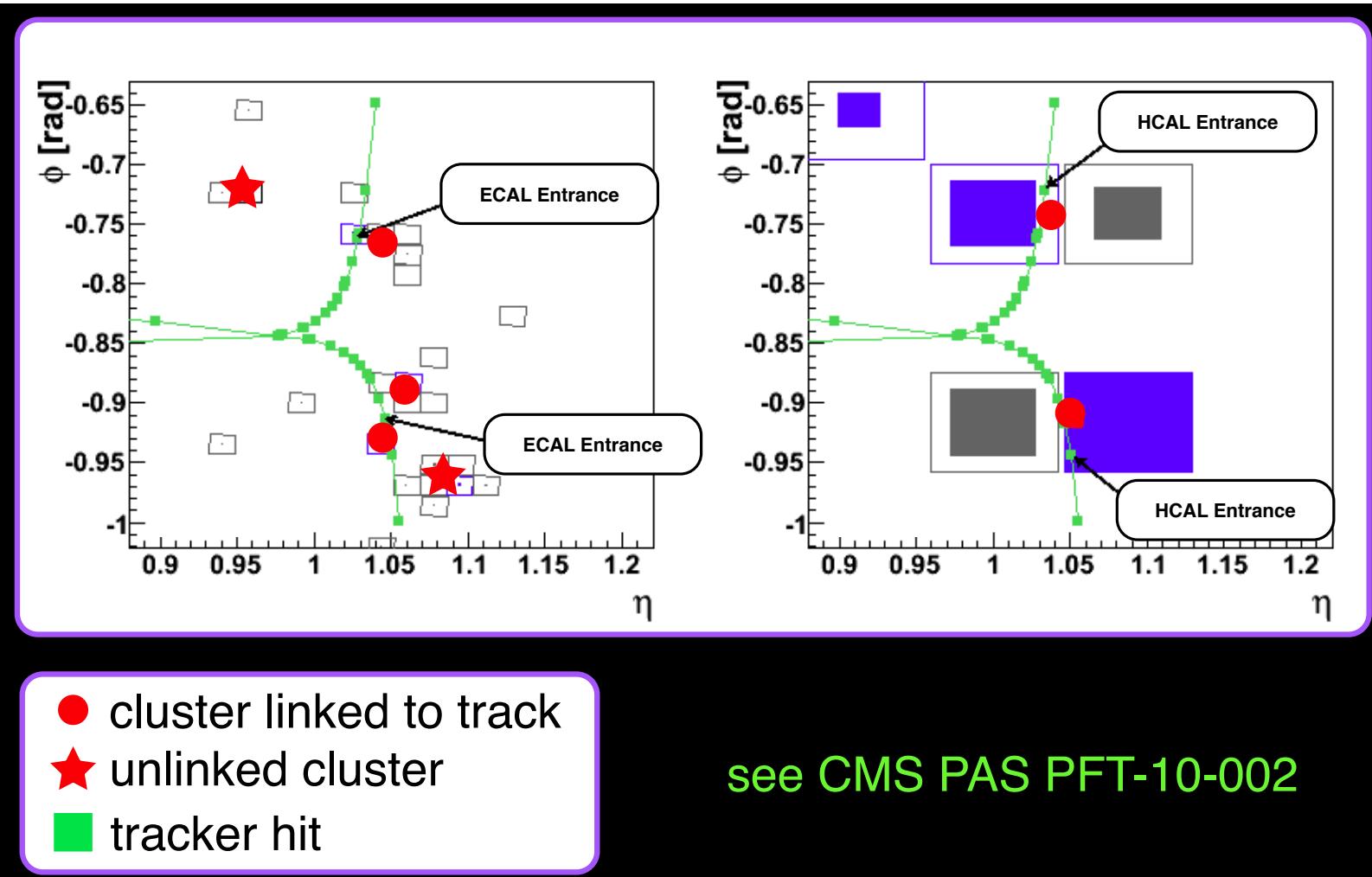
CMS



- $\tau_h$  reco seeded by calorimeter jets
- associate tracks in  $\Delta R < 0.2$ , select 1 or 3
- combine calorimeter and tracking information in a BDT or likelihood discriminant, preferring narrow clustering, hadronic activity

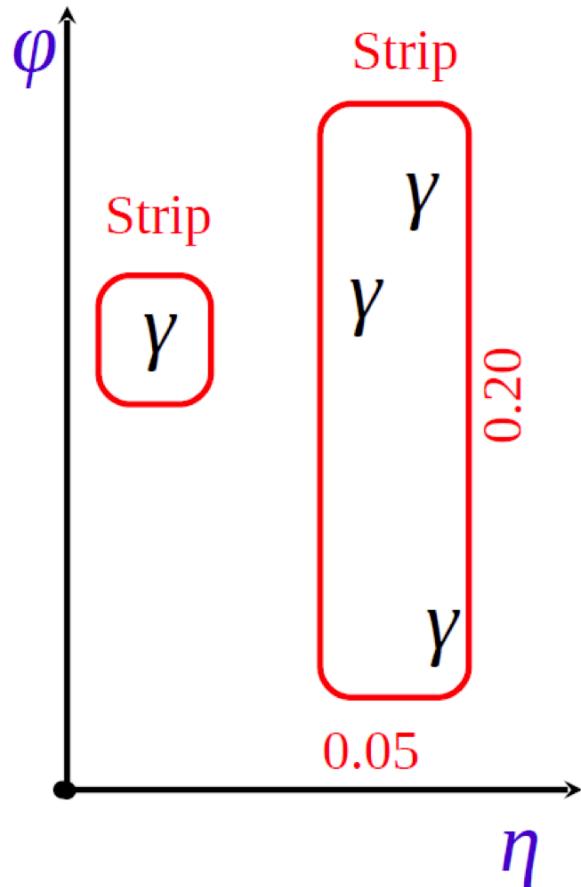
- particle-flow reconstructs constituent 4-vectors
- $\tau_h$  reco seeded by particle-flow hadrons
- Hadron Plus Strip (HPS) algorithm for counting  $\pi^0$ s
- isolation cone for rejecting QCD jets

# CMS Particle Flow



- Matches track to clusters to form charged and neutral PF objects.
- PF objects are used as input for all CMS tau reconstruction.

# CMS: Hadron Plus Strip (HPS)



Build all possible taus  
that have a ‘tau-like’ multiplicity  
from the seed jet

$$\begin{aligned} &\pi^+ \\ &\pi^+ \pi^0 \\ &\pi^+ \pi^+ \pi^- \end{aligned}$$

tau that is ‘most isolated’  
with compatible  $m_{\text{vis}}$   
is the final tau candidate  
associated to the seed jet

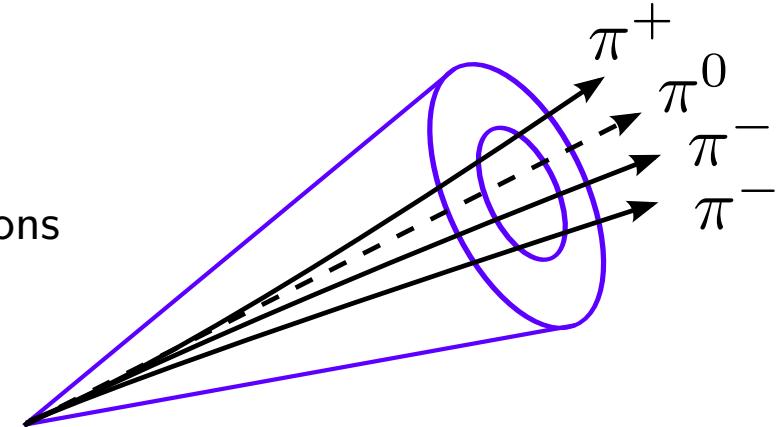
Discrimination with calorimeter based isolation  $\Delta R < 0.5$ .

[CMS PAS TAU-11-001]

# CMS: Tau Neural Classifier (TaNC)

- Uses a *shrinking core-cone*:

- $\Delta R(\text{photons}) < 0.15$  for photons
- $\Delta R(\text{charged}) < (5 \text{ GeV})/E_T$  for charged hadrons
- $\Delta R(\text{charged}) < \Delta R(\text{isolation}) < 0.5$



- Immediately discarded if the candidate doesn't match an expected tau decay mode.

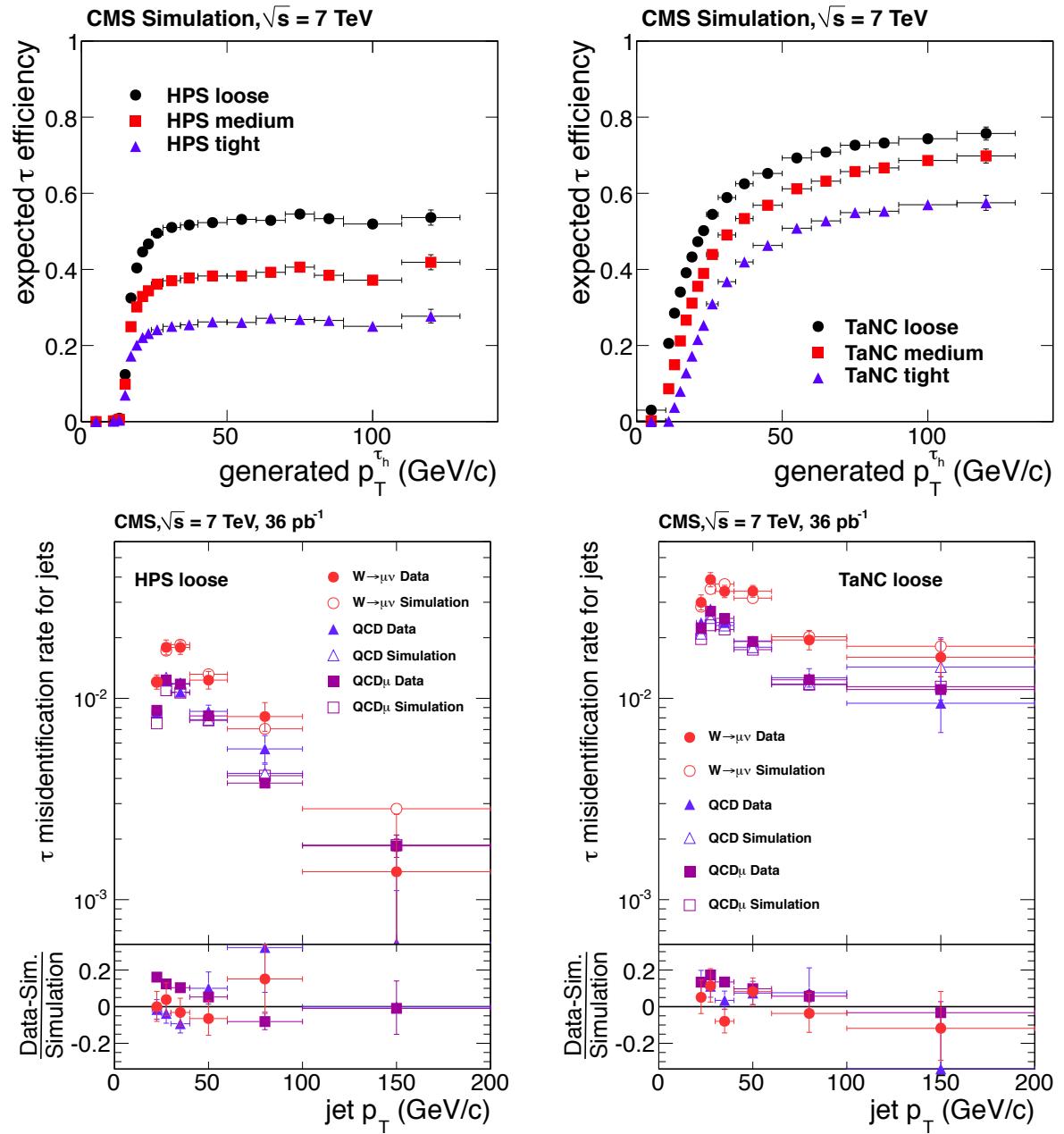
Decay mode	Resonance	Mass ( $\text{MeV}/c^2$ )	Branching fraction (%)
$\tau^- \rightarrow h^- \nu_\tau$			11.6%
$\tau^- \rightarrow h^- \pi^0 \nu_\tau$	$\rho^-$	770	26.0%
$\tau^- \rightarrow h^- \pi^0 \pi^0 \nu_\tau$	$a_1^-$	1200	9.5%
$\tau^- \rightarrow h^- h^+ h^- \nu_\tau$	$a_1^-$	1200	9.8%
$\tau^- \rightarrow h^- h^+ h^- \pi^0 \nu_\tau$			4.8%

- Dedicated Neural-net classifier for each decay mode

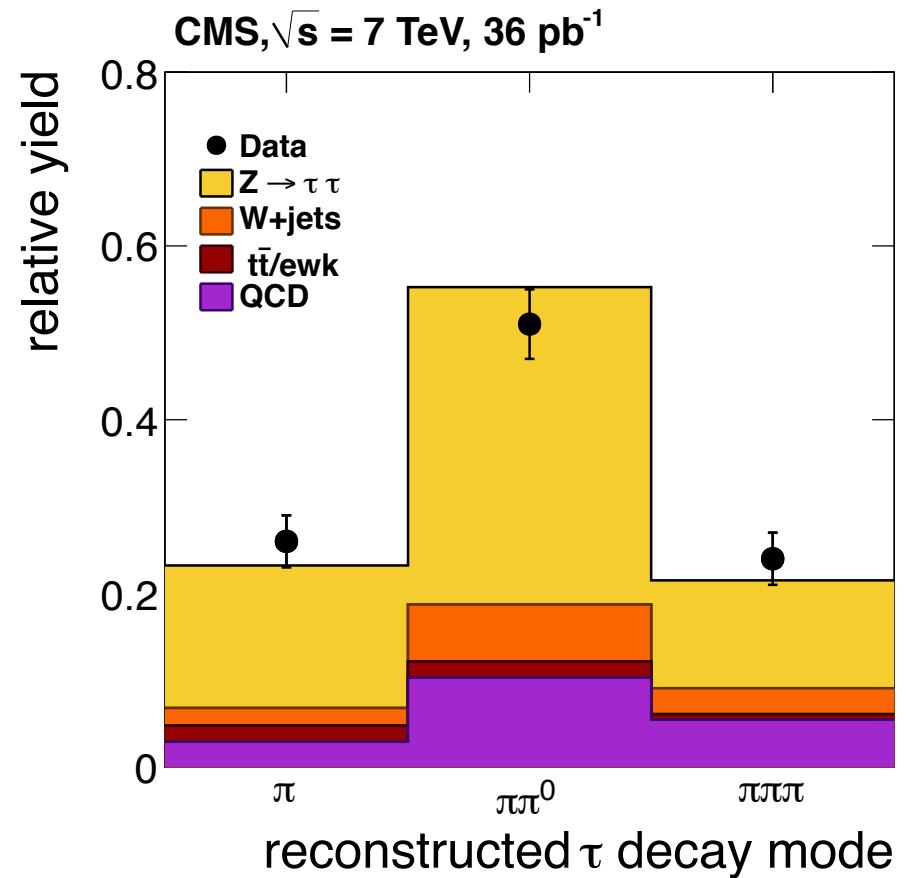
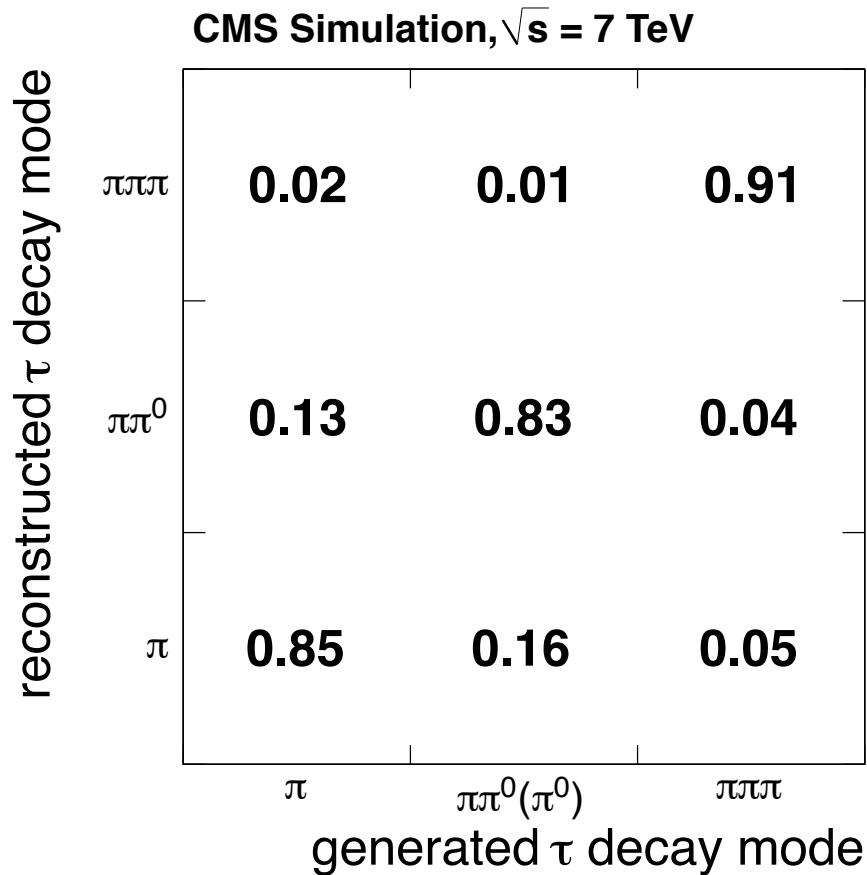
[CMS PAS TAU-11-001]

# CMS Performance

- Not trivial to compare ATLAS and CMS tau performance because we bin fake-rates in  $N(\text{track})$  instead of categorizing the decay mode.



# CMS decay mode ID



# Calorimeter granularity

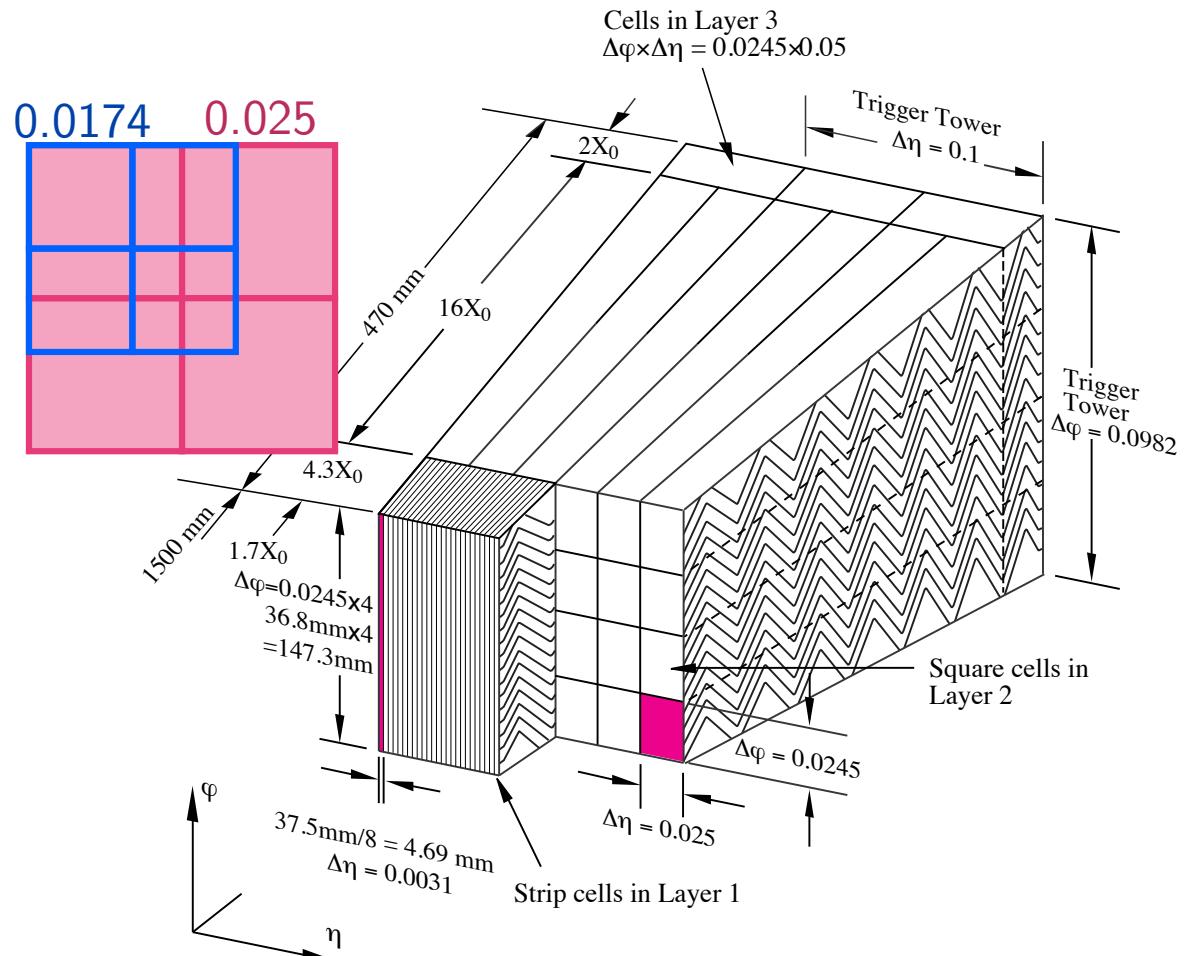
## ATLAS

- $B = 2.0 \text{ T}$
- $\Delta\eta \times \Delta\phi = 0.025 \times 0.0245$
- $R = 0.4$  anti- $k_T$  topo-jets

## CMS

- $B = 3.8 \text{ T}$
- $\Delta\eta \times \Delta\phi = 0.0174 \times 0.0174$
- $R = 0.5$  anti- $k_T$  PF-jets

## ATLAS Barrel EM Calorimeter



*Granularity could fundamentally limit our capacity to reconstruct sub-structure /  $\pi^0$ s.*