

# ATLAS, data reduction, and epistemology: *a tour of some statistical claims in particle physics*



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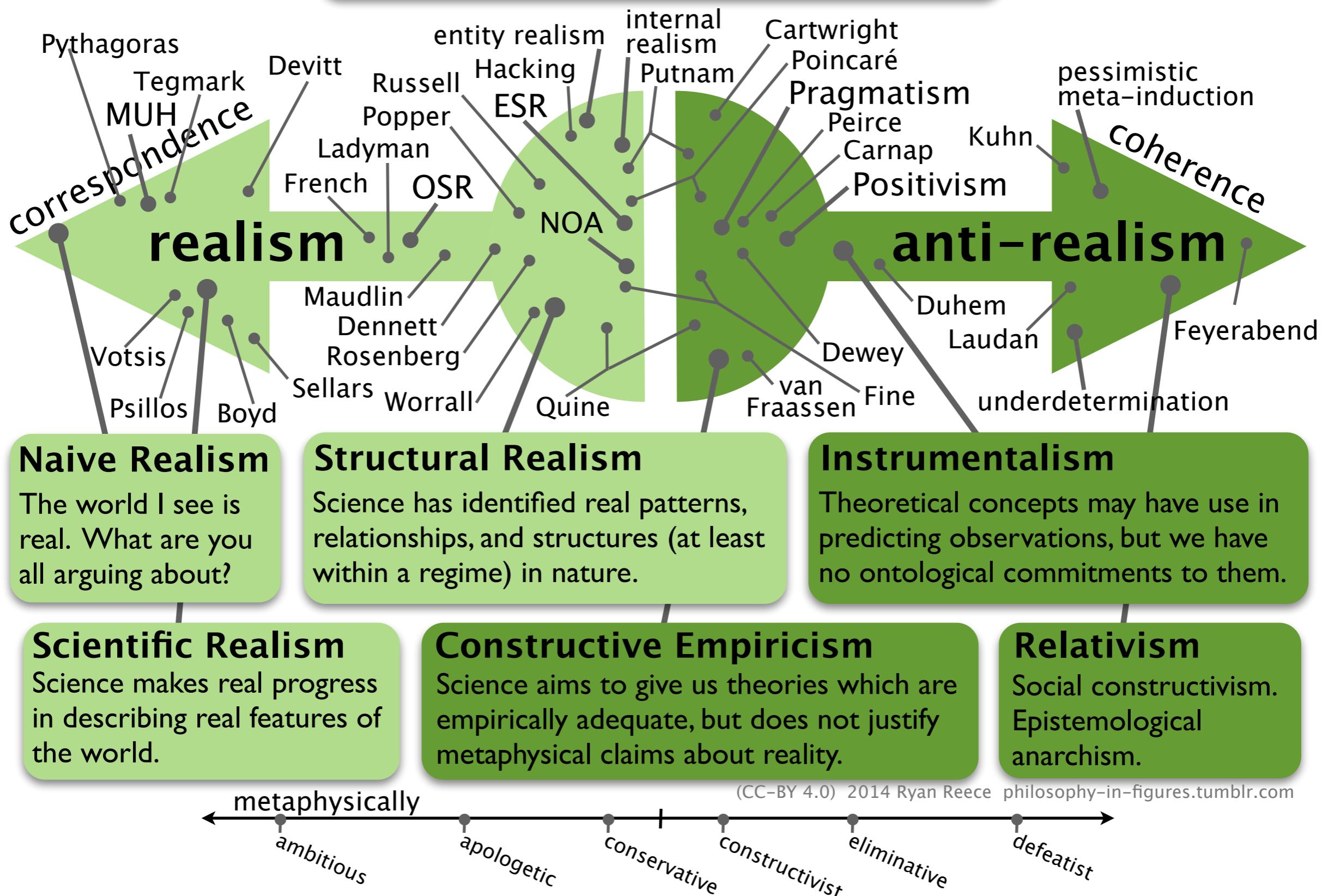
UNIVERSITY OF CALIFORNIA  
**SANTA CRUZ**

# Outline

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1. Preface on scientific realism
2. Introduction to particle physics
3. Statistical inference and ATLAS data reduction
4. Implications of machine learning
5. Summary

# philosophy of science

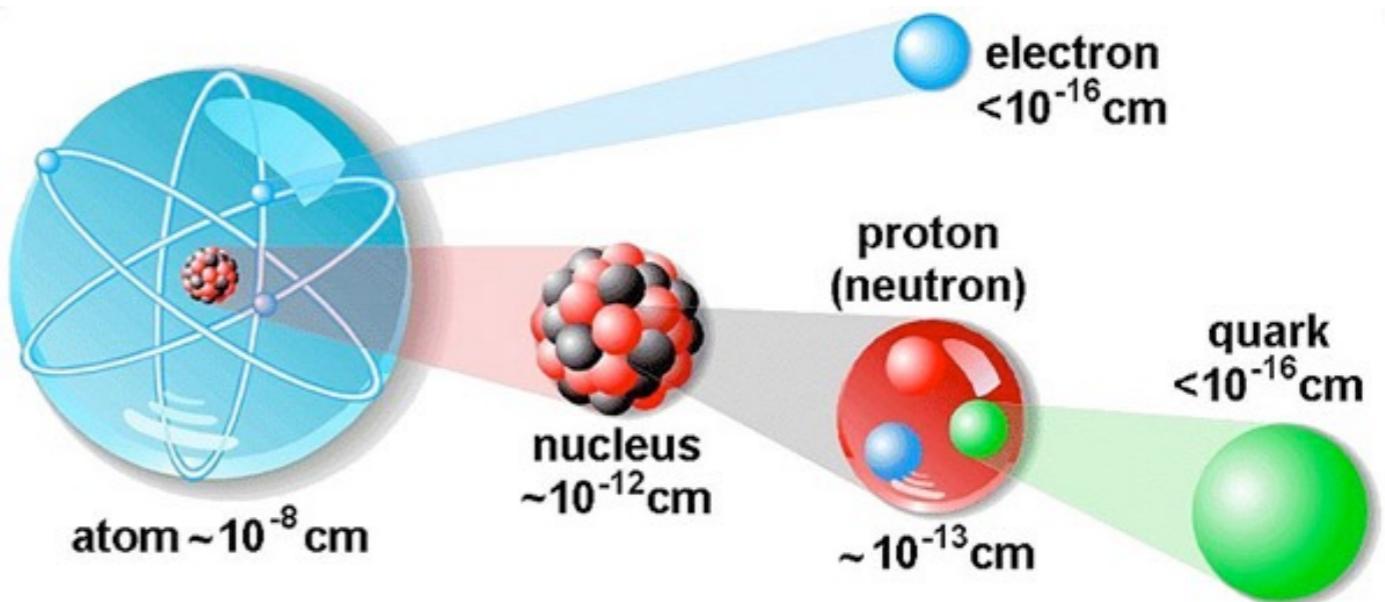


# **Introduction to particle physics**

# Particle Physics

Fundamental questions  
of particle physics:

1. *What is matter?*
2. *How does it interact?*

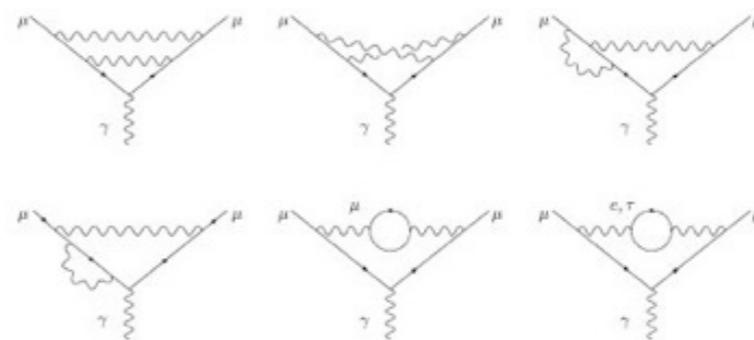


Four fundamental forces at low energies:

1. Gravity
  - very weak, no complete quantum theory
2. Electromagnetism
  - binds atoms, chemistry
3. Strong force
  - nuclear range, binds nuclei
4. Weak force
  - nuclear range, radioactivity, solar fusion

# Quantum Field Theory (QFT)

- Every type of matter/energy has a corresponding field.
- In QFT, *fields* are (effectively) what is fundamental, and particles are quantized and often localized excitations in the fields.
- To satisfy relativity, they are the representation of the Poincare group: scalars, vectors, spinors, tensors.
- Non-trivial aspects of QFT have been tested to better than a part per million, e.g. the anomalous magnetic moments of electrons and muons.
- Very impressively, empirically adequate: arguably best tested science.



$$a_\mu (\text{exp}) = 11 659 208 (6) \times 10^{-10} (0.5 \text{ ppm})$$



# The Standard Model

- **Gauge symmetries** determine the character of the forces between fermion fields through exchanging gauge bosons.
- Bosons and chiral fermions develop mass terms that still preserve the gauge symmetries of the Lagrangian through the **Higgs mechanism** (proposed in 1964).
- The SM gauge group is

$$\mathbf{SU(3)_C \times SU(2)_L \times U(1)_Y}$$

Strong force

Electroweak force

Higgs mechanism,  
EW symmetry breaking

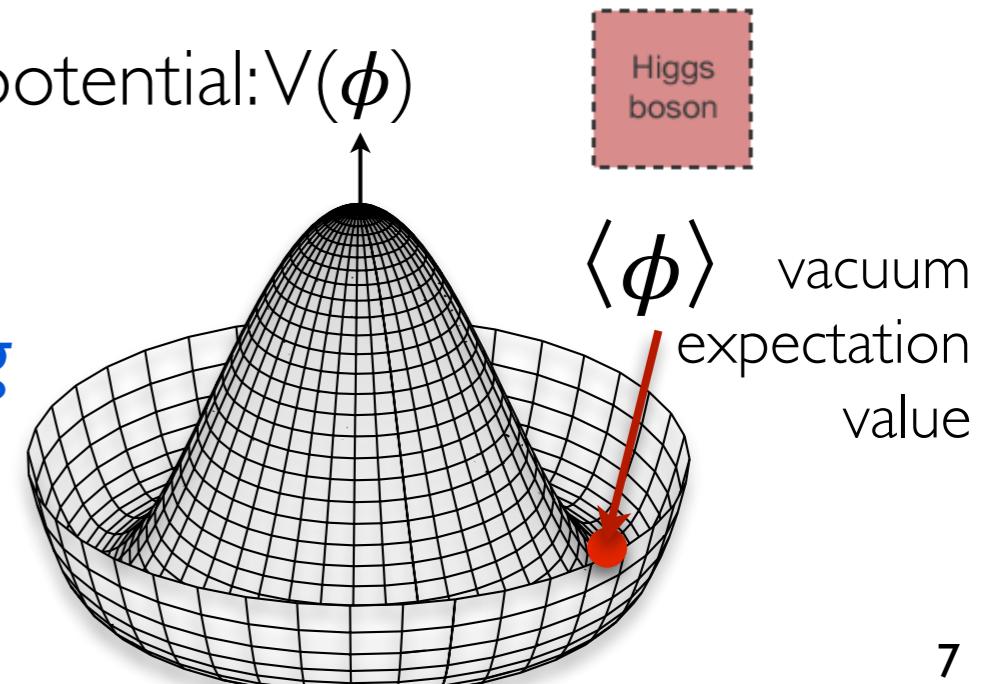
Electromagnetic  
+ weak forces



Glashow, Salam, Weinberg (Nobel Prize 1979)

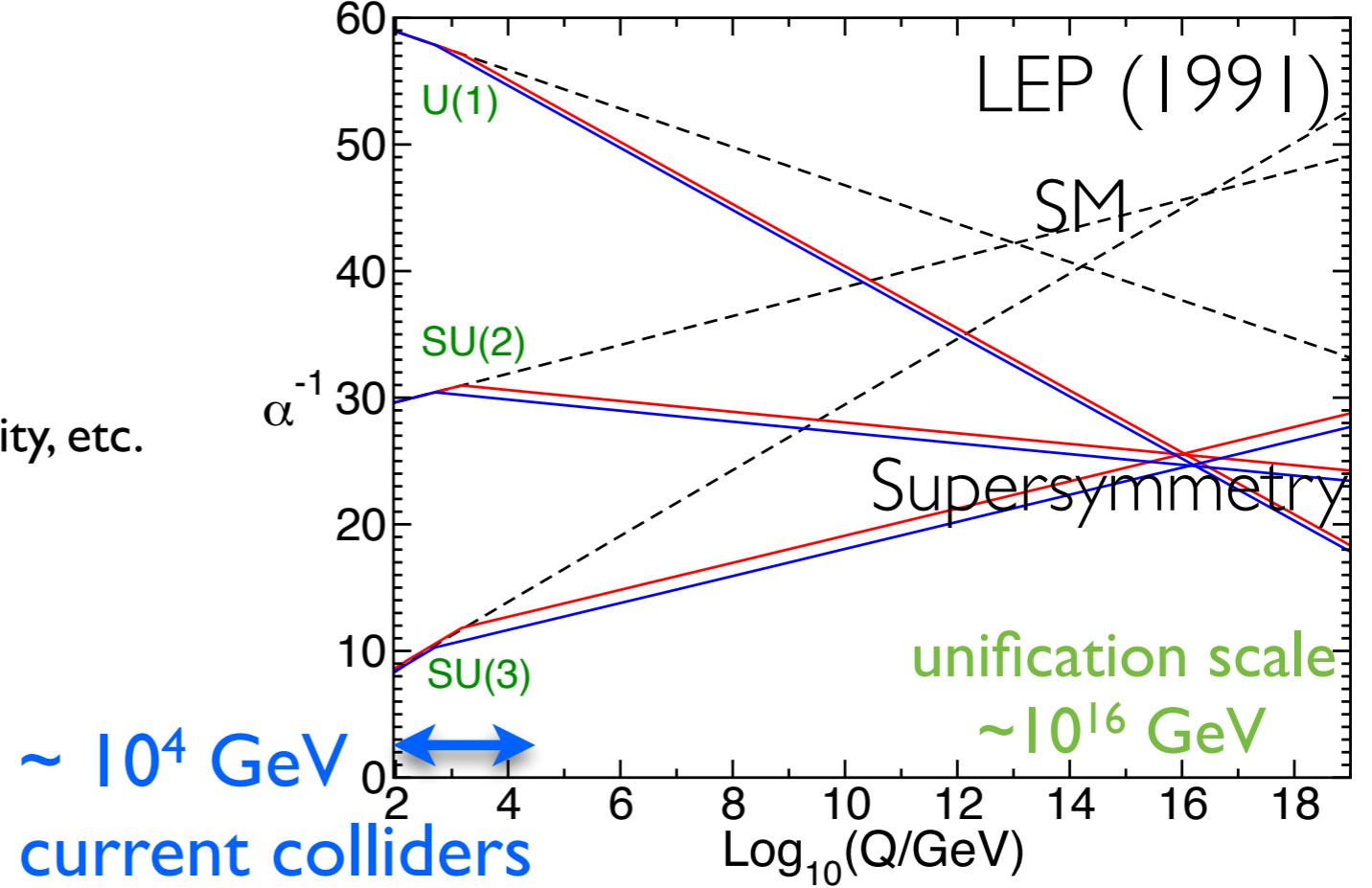
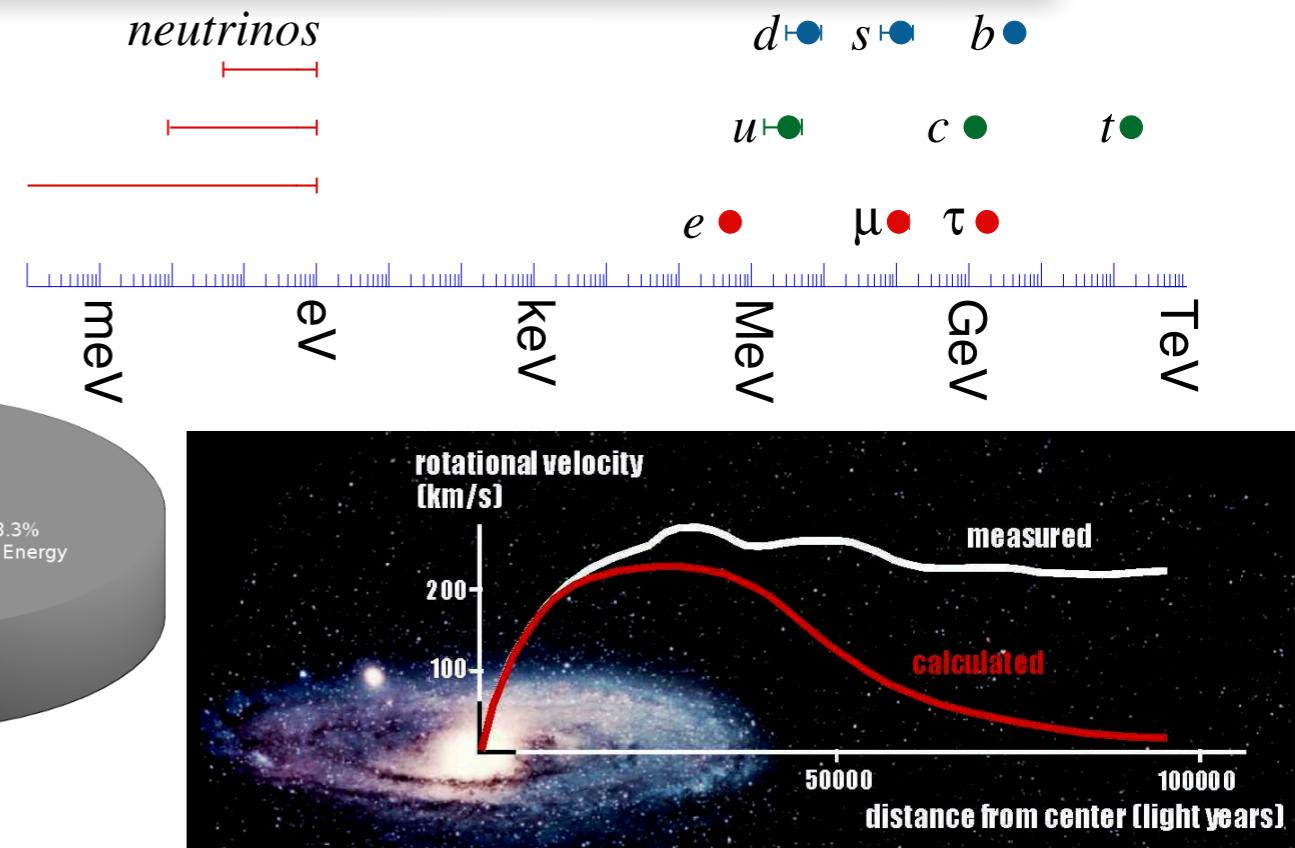
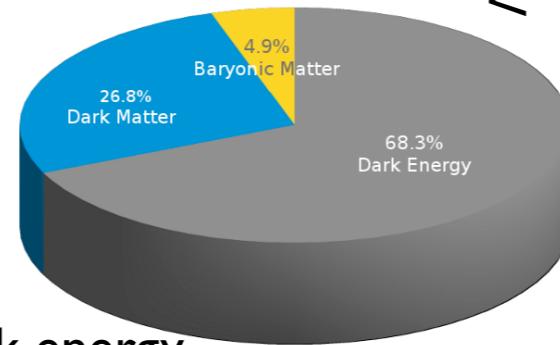
	Fermions			Bosons
Quarks	$u$ up	$c$ charm	$t$ top	$\gamma$ photon
	$d$ down	$s$ strange	$b$ bottom	$Z$ Z boson
Leptons	$\nu_e$ electron neutrino	$\nu_\mu$ muon neutrino	$\nu_\tau$ tau neutrino	$W$ W boson
	e electron	$\mu$ muon	$\tau$ tau	$g$ gluon

Higgs potential:  $V(\phi)$

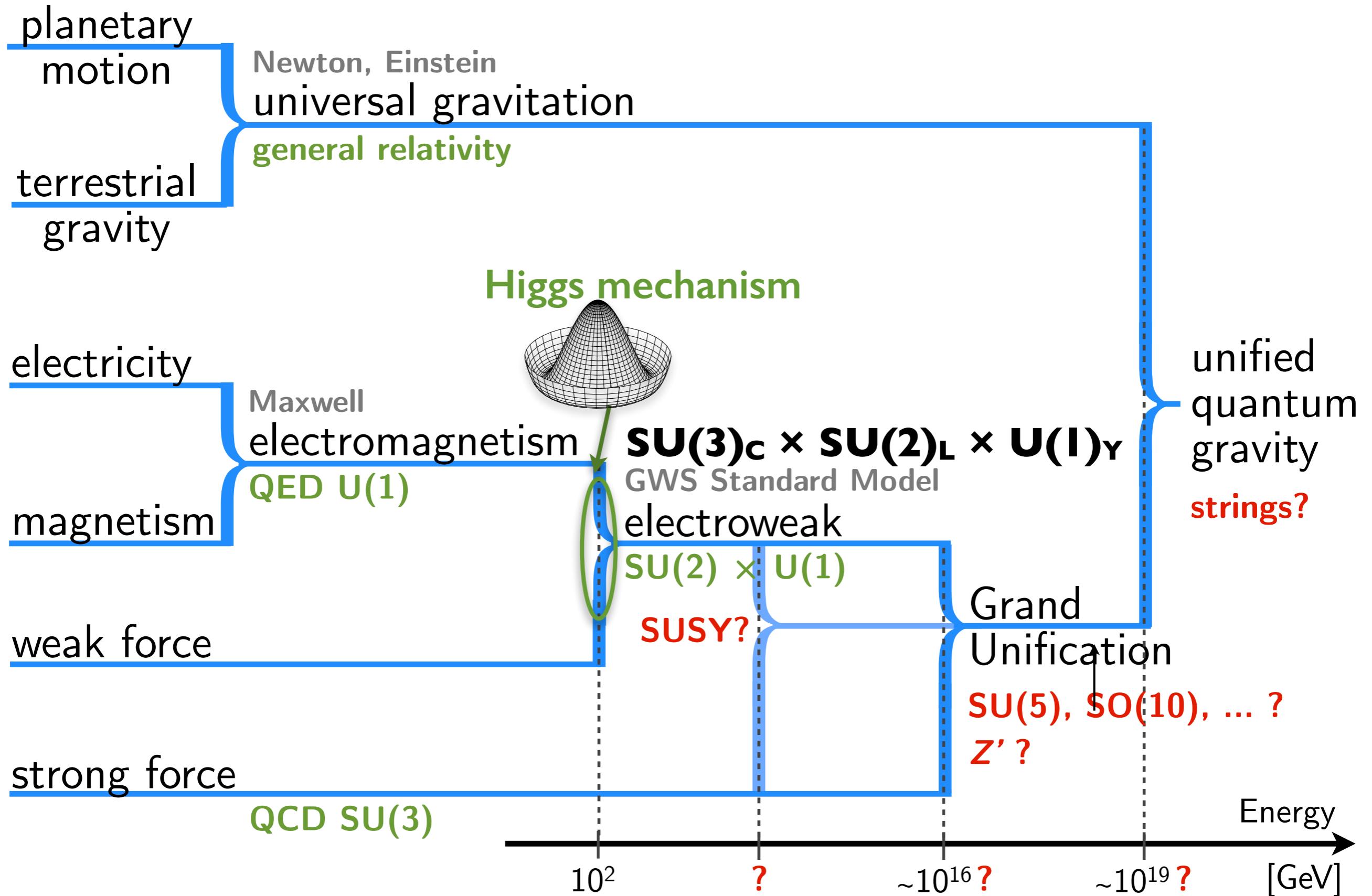


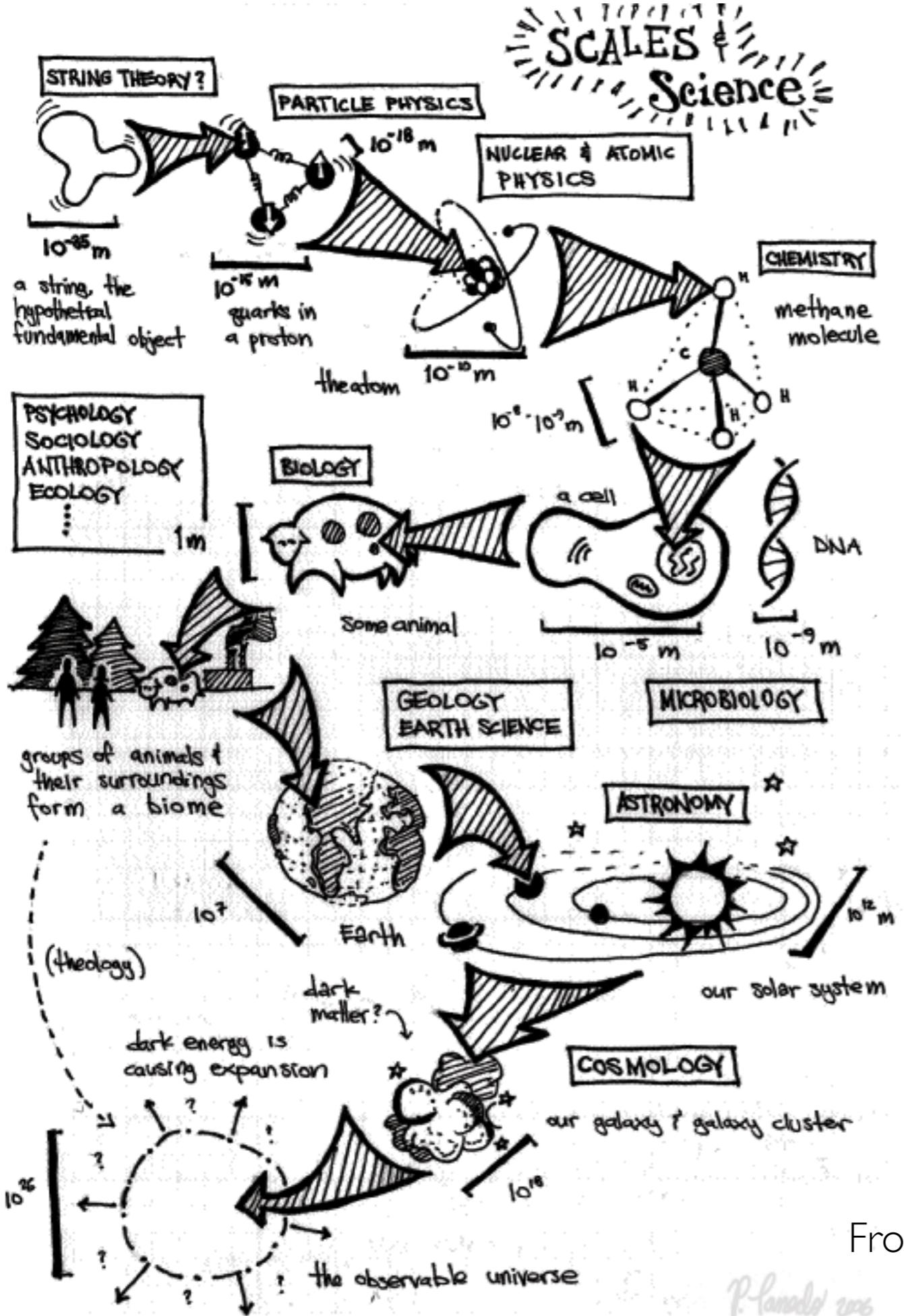
# Unanswered problems in particle physics

- Ad hoc features
  - Why  $SU(3) \times SU(2) \times U(1)$ ?
  - Neutrino mixing and masses (Dirac or Majorana)
  - Matter-antimatter asymmetry
  - Strong CP-problem
- Dark matter and dark energy
  - 5% SM, 27% dark matter, 68% dark energy
- Hierarchy problem(s)
  - $m_{\text{Higgs}} \text{ vs } m_{\text{Planck}}$
  - quark masses range:  $10^5$ , leptons:  $10^9$
- Fine-tuning:
  - EW-scale, flatness problem, vacuum stability, etc.
- Unification? Supersymmetry?
- Why did the early universe have such low entropy?



# Unification?

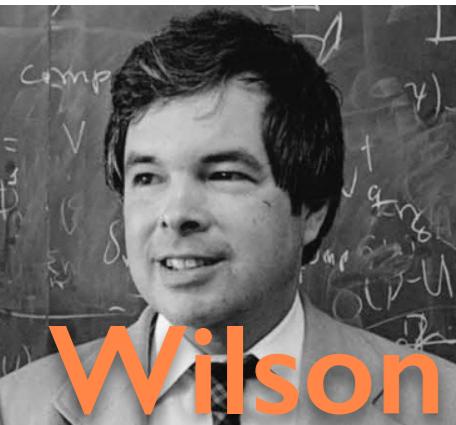




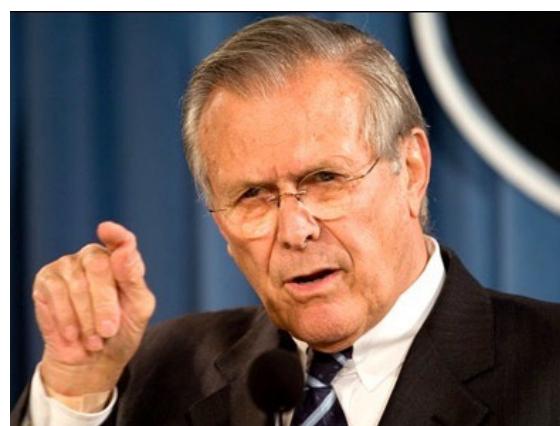
# Effective Theories

*Effective theories emerge at different scales and nest into different regimes which have some autonomy of description.*

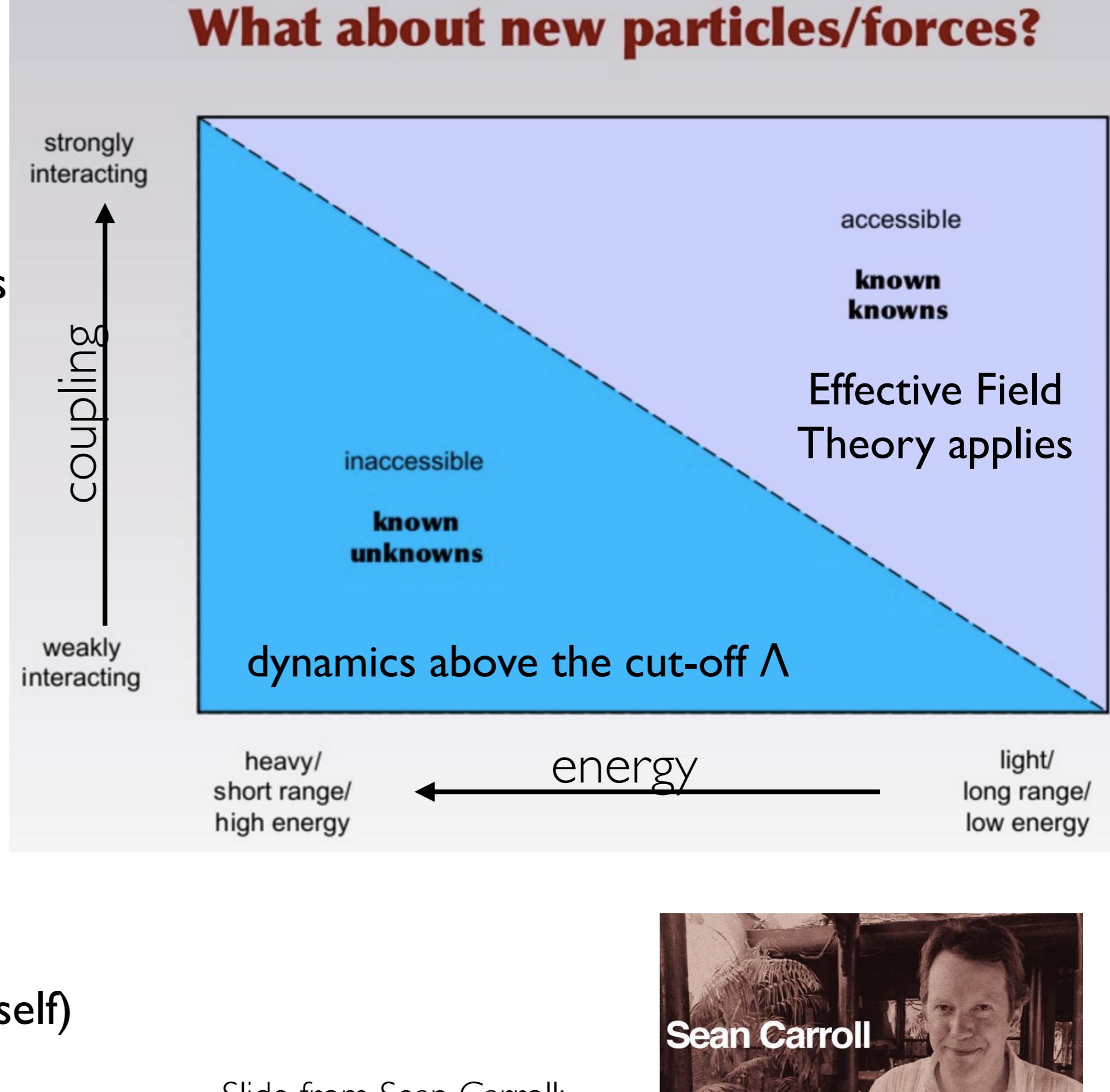
From: Flip Tanedo (2009). [Quantum Diaries blog](#):  
“My research [Part 2] effective theories.”



**Wilson**  
Effective Field Theories have a regime of applicability: below a high-energy cut-off,  $\Lambda$ .



Donald Rumsfeld's  
• known knowns  
• known unknowns  
• unknown unknowns  
(violations of QFT itself)

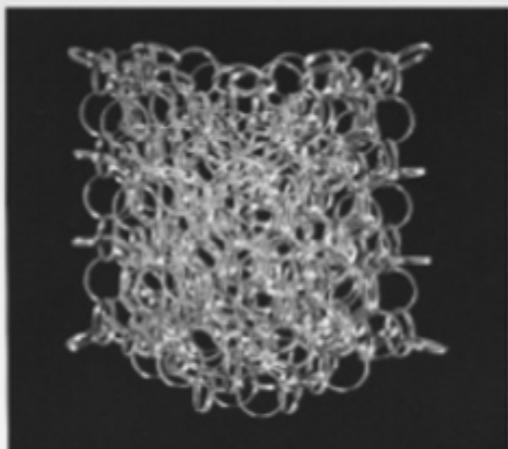


Slide from Sean Carroll:  
“Quantum Field Theory and the Limits of Knowledge”

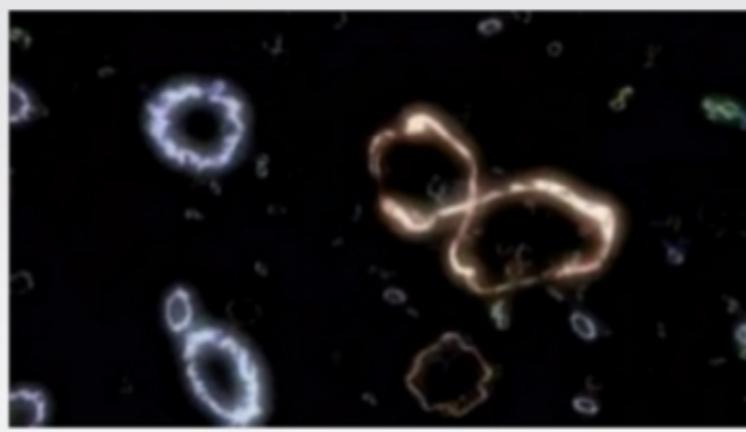
# Multiple realizability

A given effective field theory with cutoff  $\Lambda$  could have many “ultraviolet completions” at higher energies.

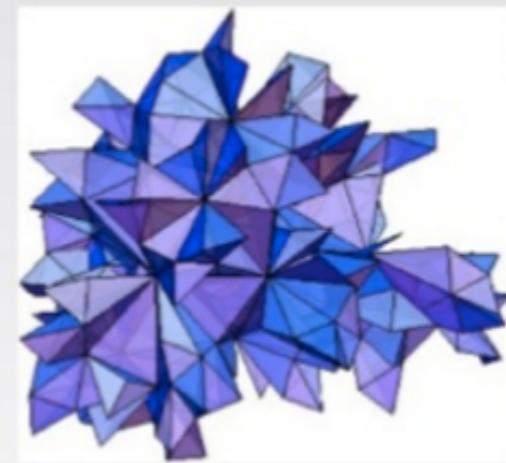
That's why it's hard to do experiments relevant to quantum gravity: we expect  $\Lambda \sim E_{\text{planck}} \sim 10^{15} E_{\text{LHC}}$ .



loop quantum gravity



string theory



dynamical triangulations

Accepting the *empirical adequacy* or *structural realism* of QFT in a regime does not commit one to any “fundamental” ontology.

Slide from Sean Carroll:

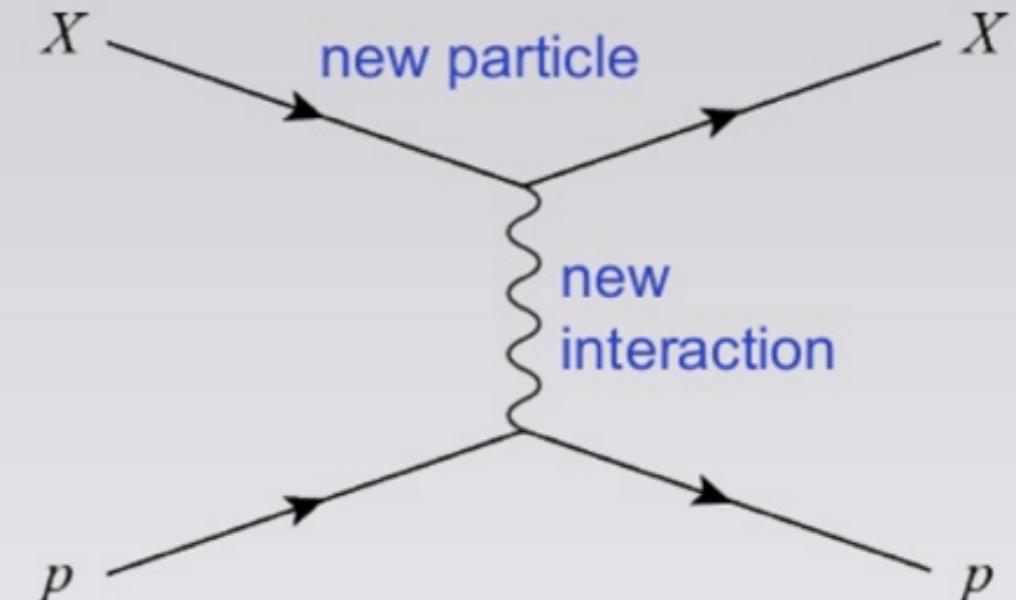
“Quantum Field Theory and the Limits of Knowledge”



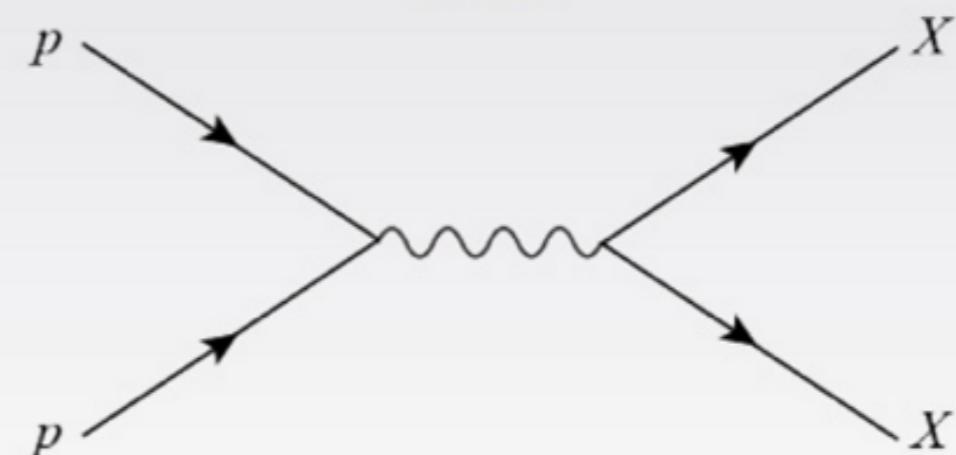
Sean Carroll

# **QFT puts very tight constraints on new phenomena.**

If a new particle can interact with ordinary particles:



Then that particle can be created in high-energy collisions.



“Crossing symmetry.”

Slide from Sean Carroll:

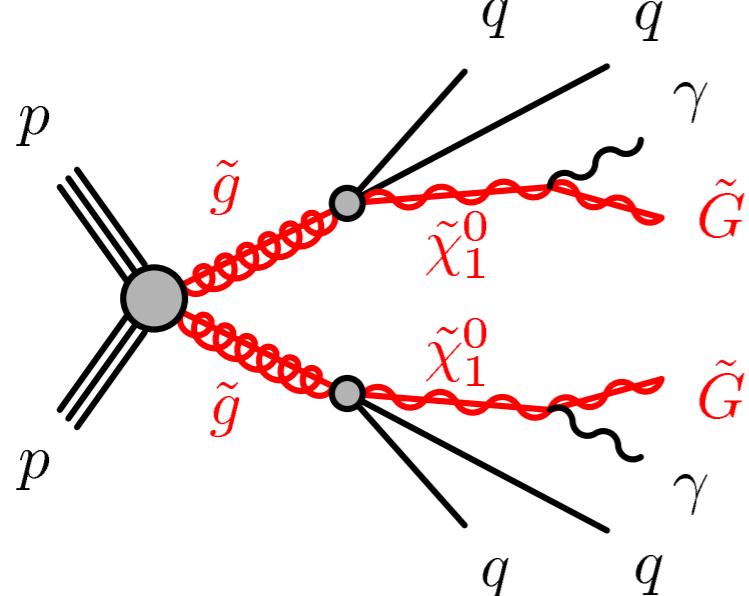
“Quantum Field Theory and the Limits of Knowledge”



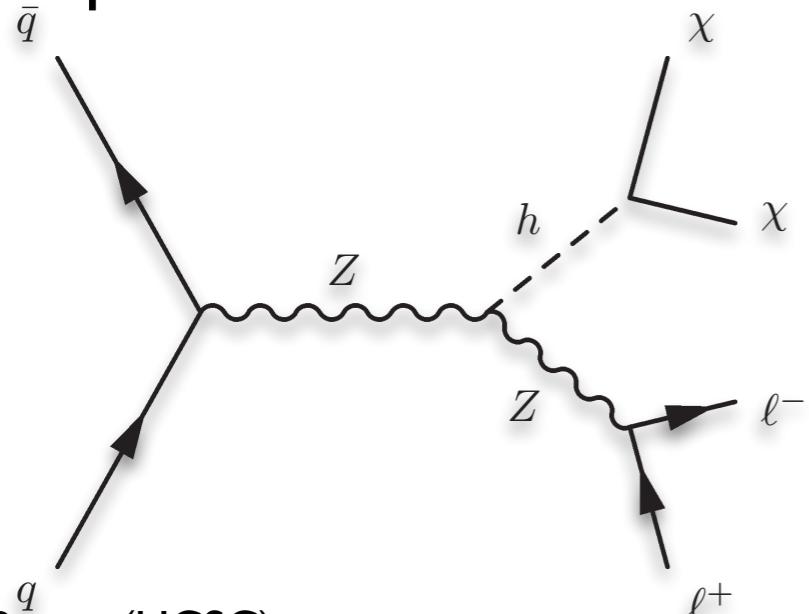
**Sean Carroll**

# Example limits from ATLAS

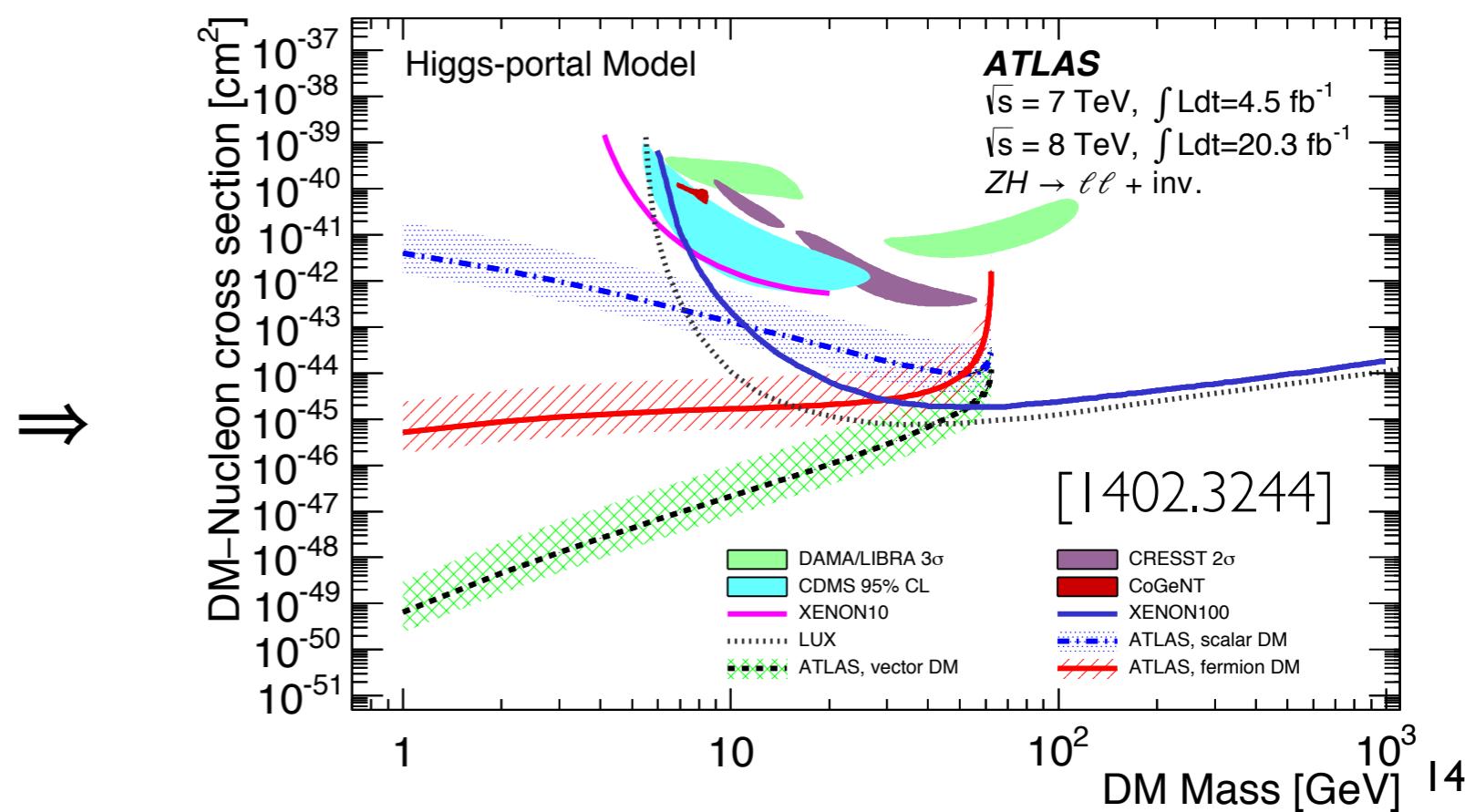
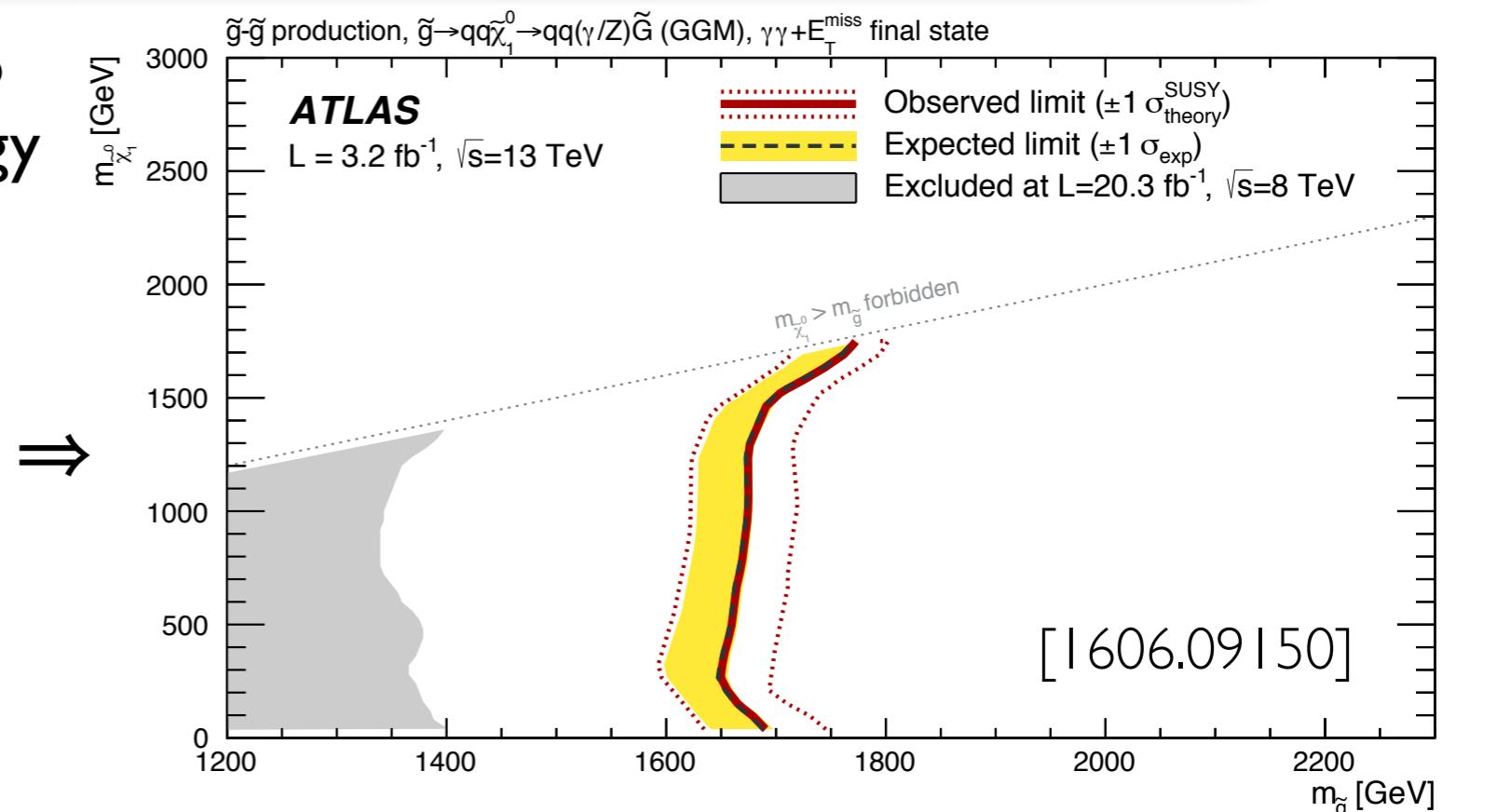
Search for SUSY gluino-neutralino decays to diphoton + missing energy



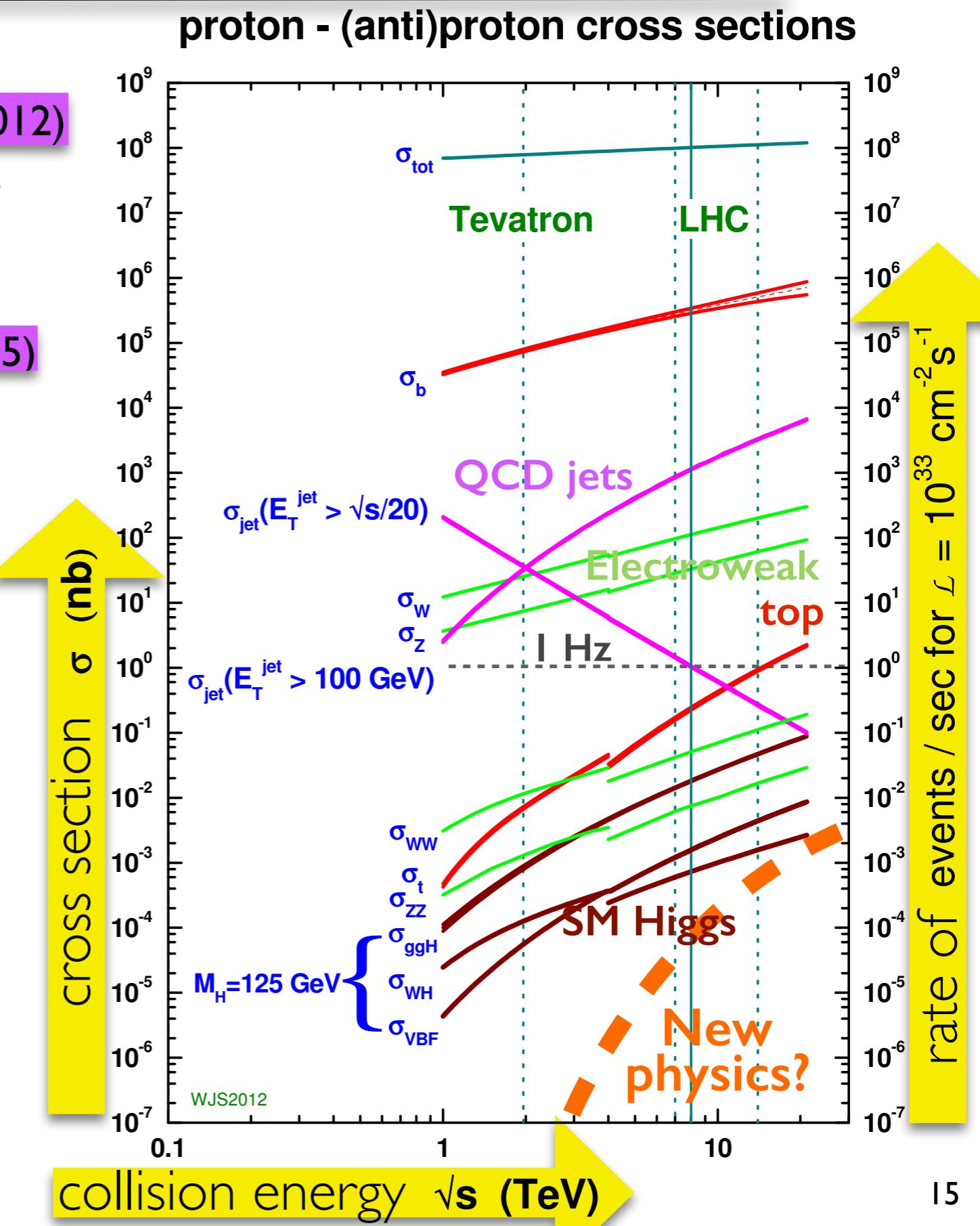
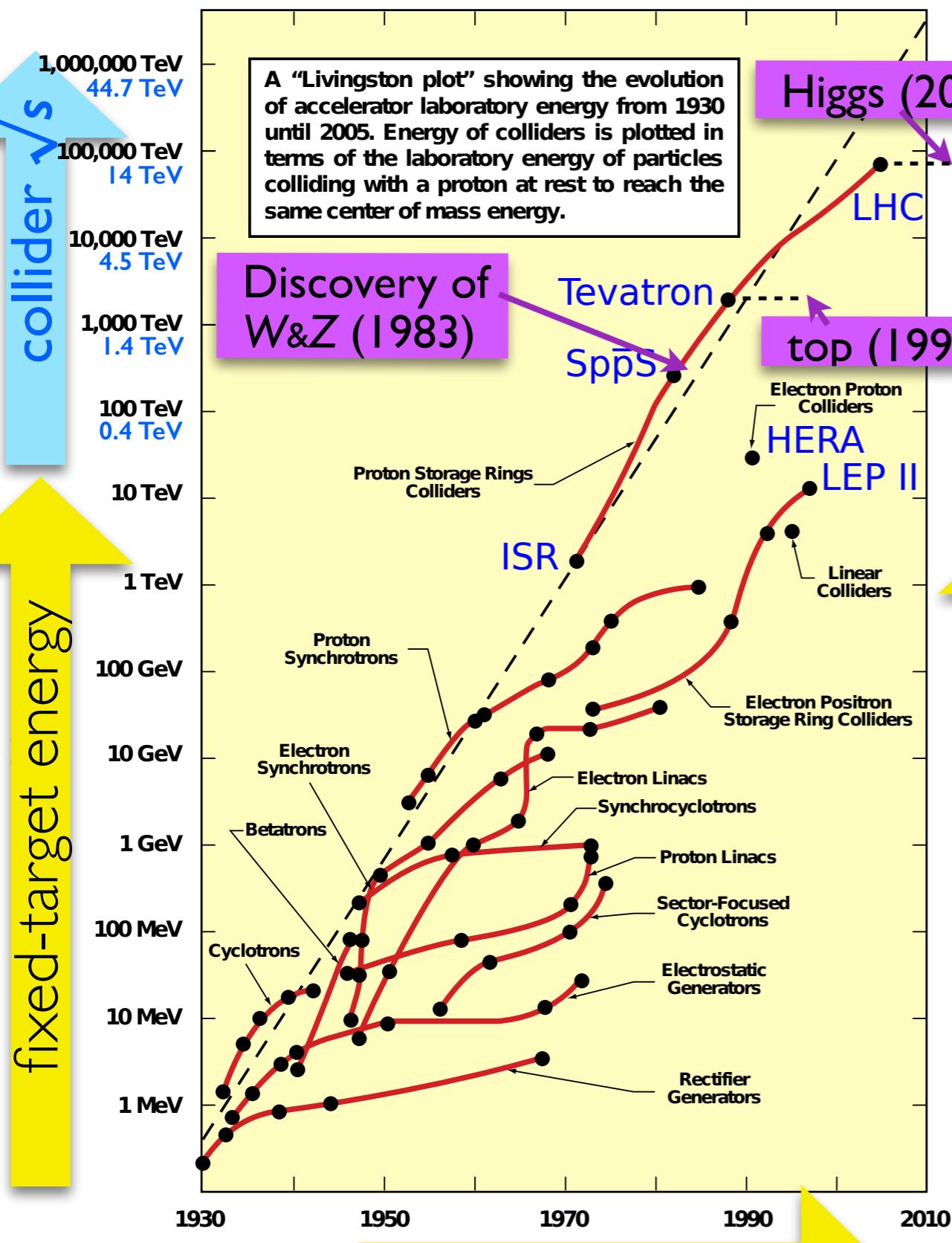
Search for Higgs decaying to additional invisible modes: Higgs portal to dark matter?



Ryan Reece (UCSC)



# We need high energies

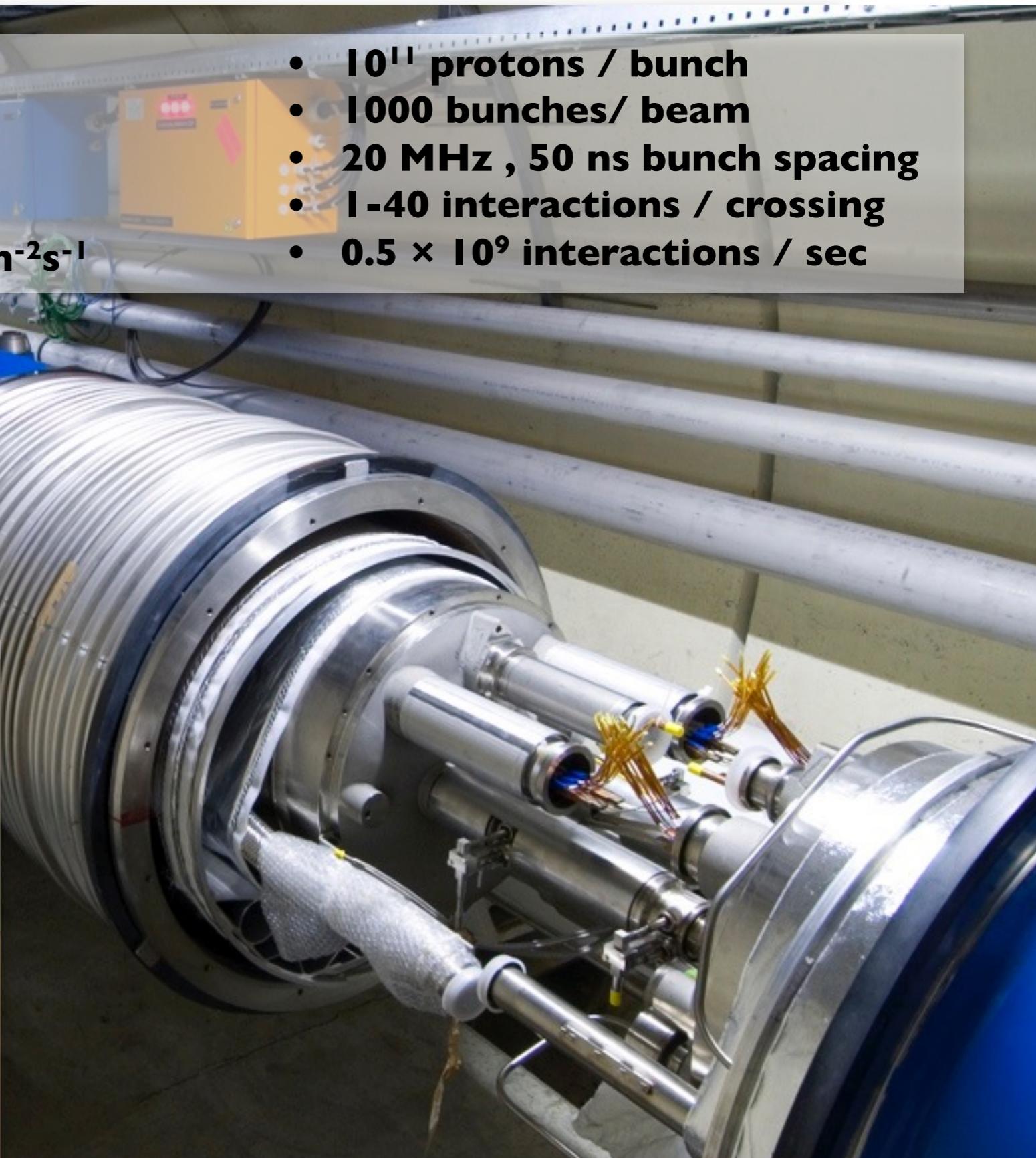
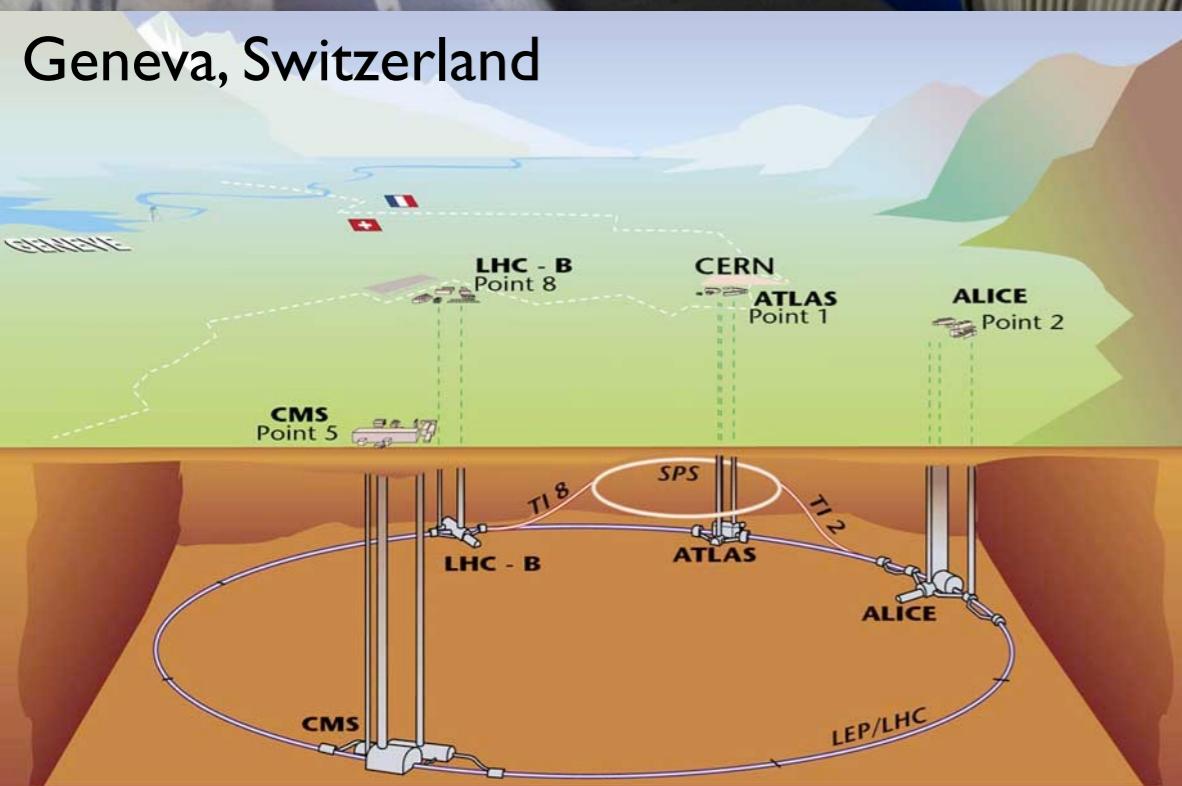


# Large Hadron Collider

- 27 km circumference
- 1232 dipoles: 15 m , 8.3 T
- 100 tons liquid He, 1.9 K
- p-p collisions at  $\sqrt{s} = 7\text{-}8 \text{ TeV}$
- inst. luminosity =  $10^{32}\text{-}10^{34} \text{ cm}^{-2}\text{s}^{-1}$

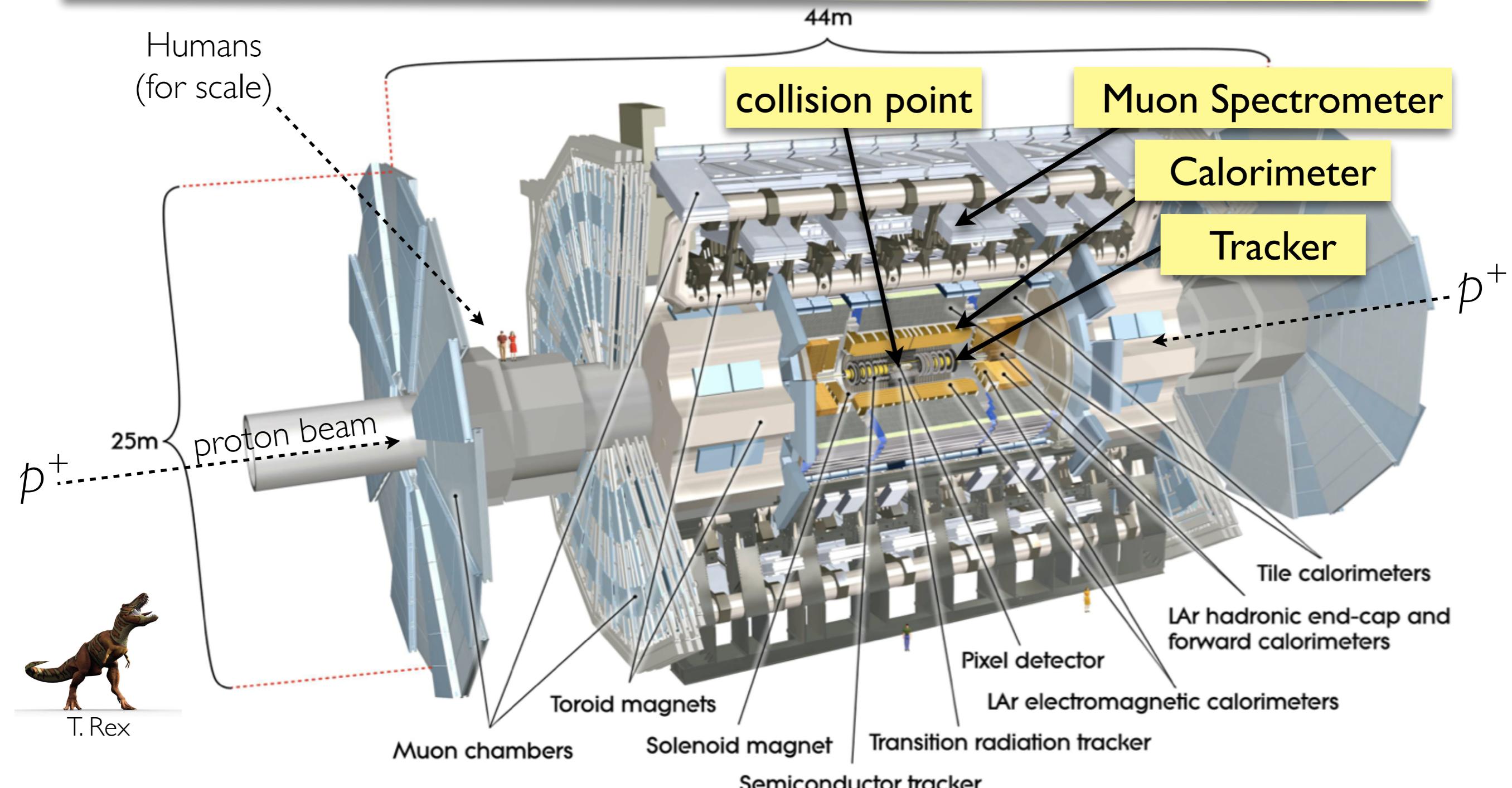
- $10^{11}$  protons / bunch
- 1000 bunches/ beam
- 20 MHz , 50 ns bunch spacing
- 1-40 interactions / crossing
- $0.5 \times 10^9$  interactions / sec

Geneva, Switzerland

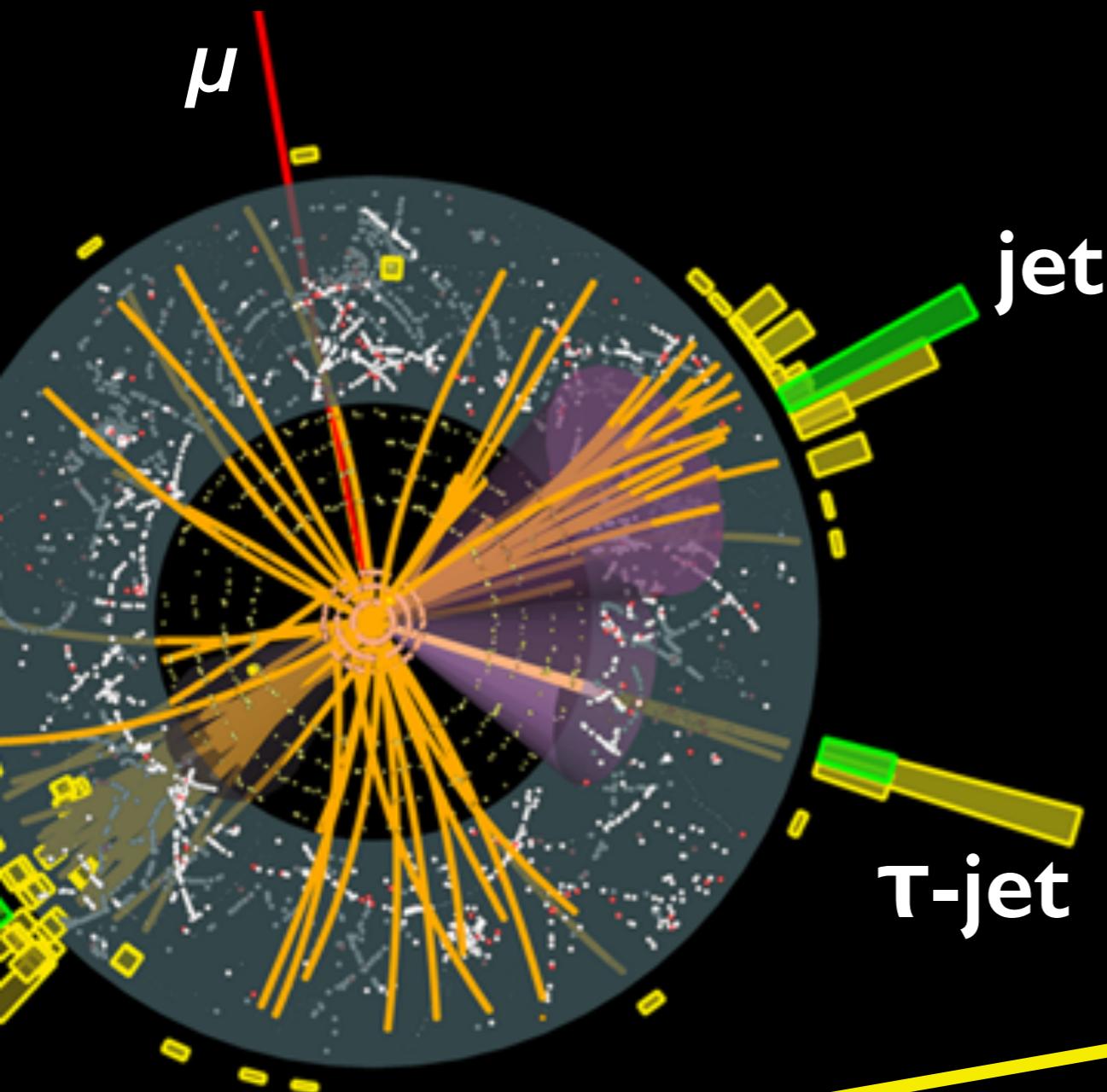


# ATLAS Detector

ATLAS is a 7 story tall, 100 megapixel “camera”, taking 3-D pictures of proton-proton collisions 40 million times per second, saving 10 million GB of data per year, using a world-wide computing grid with over 100,000 CPUs. The collaboration involves more than 3000 scientists and engineers.



# What do we reconstruct?



- muons
- electrons & photons
- jets of hadrons
- $\tau$ - and  $b$ -tagged jets
- missing energy

## How do we search?

ATLAS Physics Groups

SM  
 $W, Z, \text{top}, \dots$

Higgs  
 $H \rightarrow \gamma\gamma, ZZ, WW, \dots$

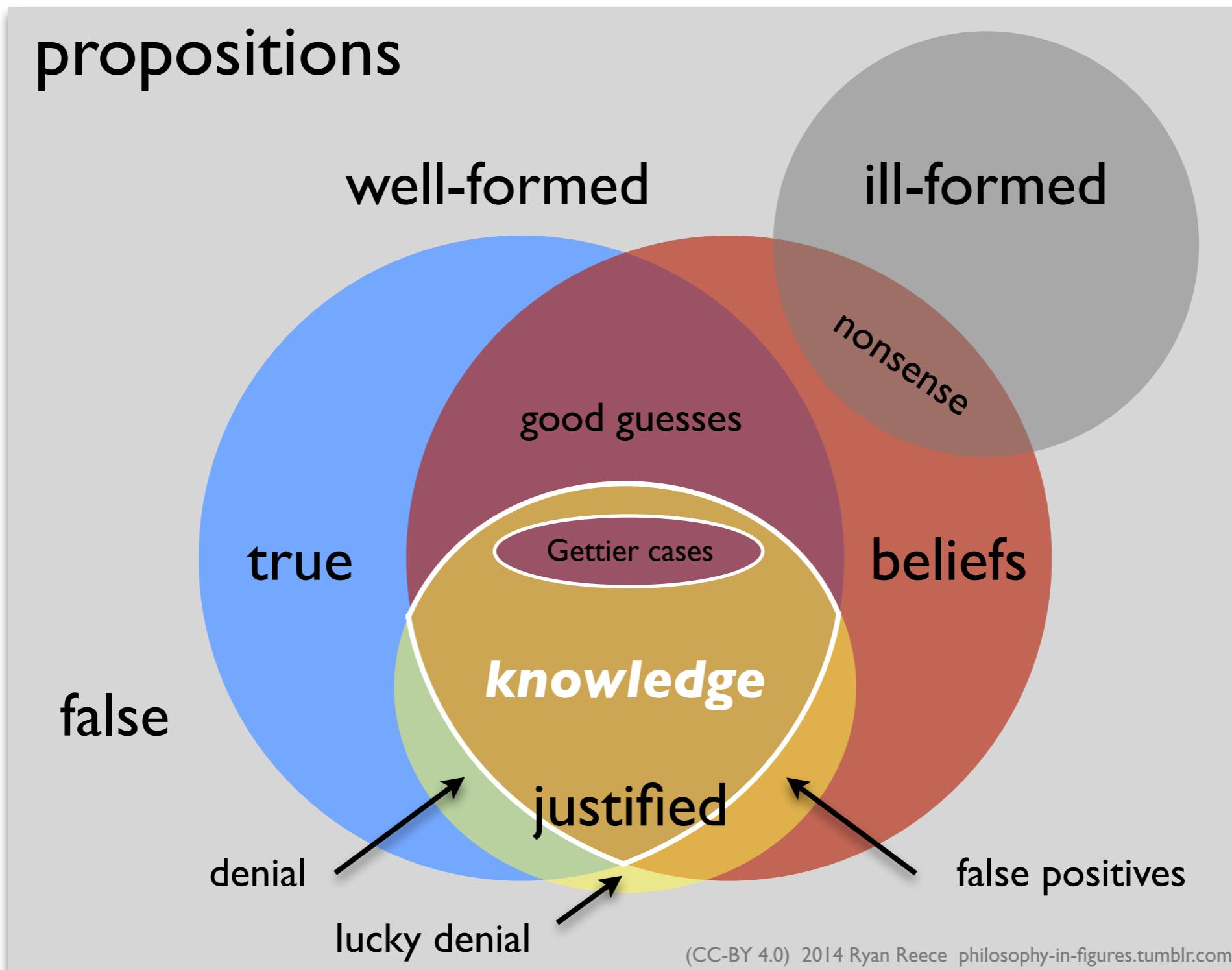
SUSY  
 $l+jets, \gamma+jets, \dots$

Exotics  
 $Z', W', \dots$

Currently ATLAS has published 579+ papers

# **Statistical inference**

# Knowledge = JTB-G



# Problem of induction

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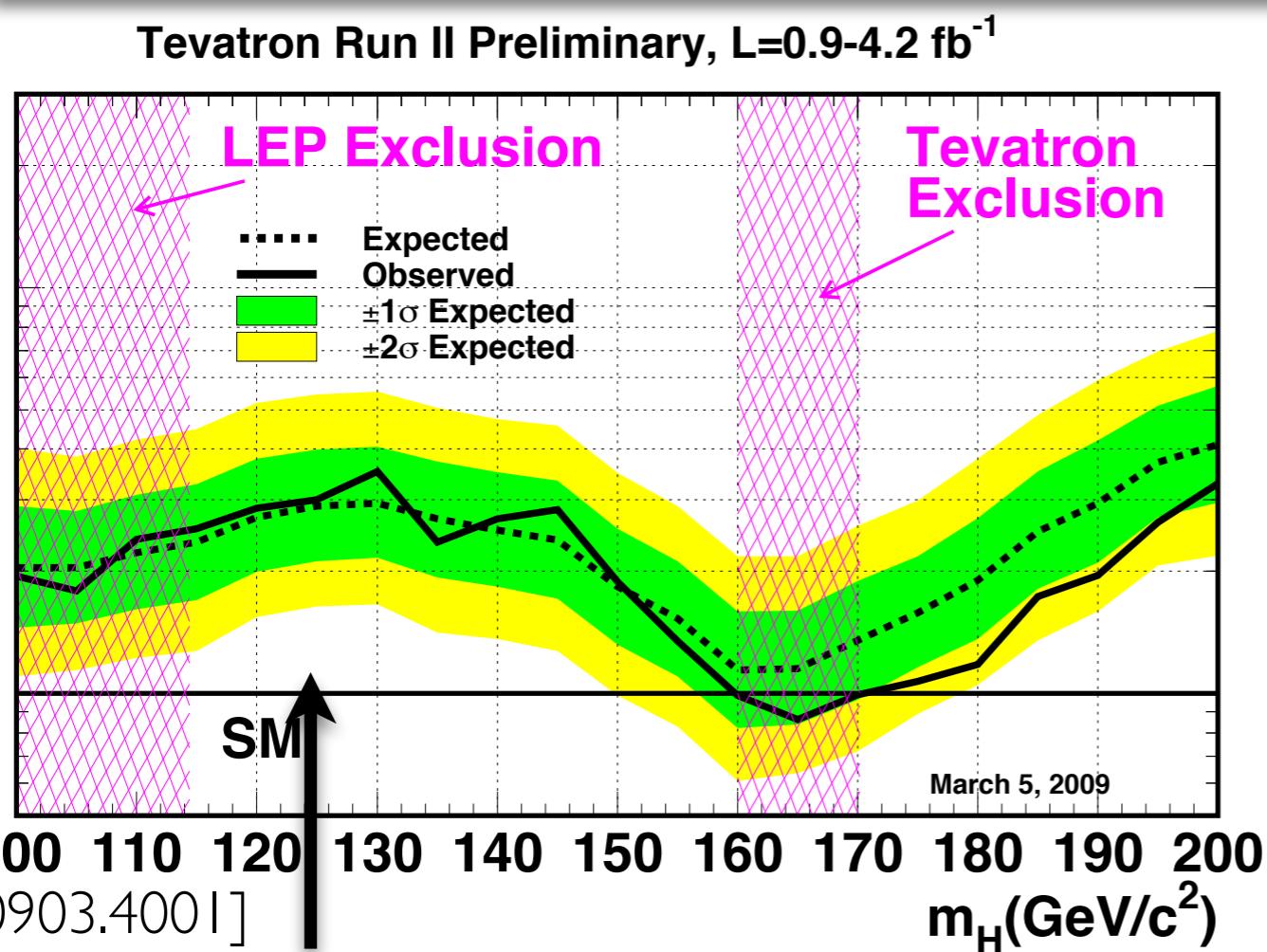
- Our justification can be
  - **deductive**: following by definition (logic/mathematics)
  - **inductive**: generalizing a universal based on limited data
- Induction is always susceptible possible “black swans”.
- Later, 20th century positivism can largely be seen as a project staying true to the epistemological methods of science, but without the statistical confidence to make claims about the reality of their models (metaphysics).



David Hume (1711-1776)

# A main goal of this talk

I want to facilitate an appreciation for statistical confidence intervals like below, and try to touch ground with how LHC physicists go from collecting and reducing data to performing a statistical test.

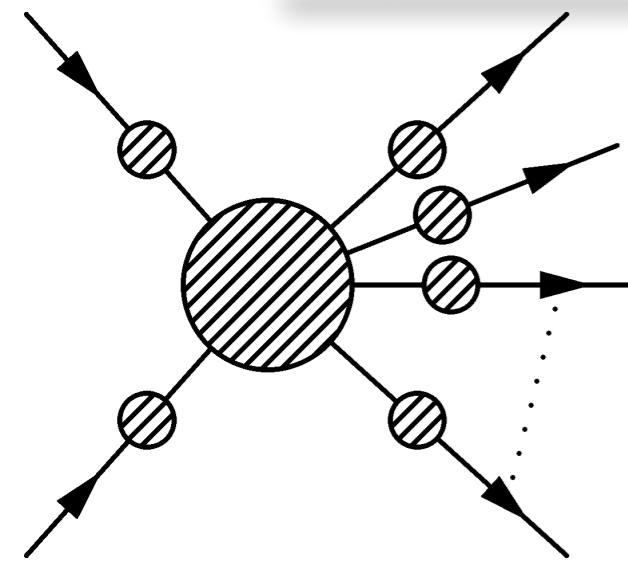


Lot's of important details glossed over. Great pedagogical documents on statistics:

- ▶ Cranmer, K. "Practical Statistics for the LHC". [arxiv:1503.07622]
- ▶ Cowan, G. (1998). *Statistical Data Analysis*.
- ▶ ATLAS Higgs Combination [arxiv:1207.0319]
- ▶ Cranmer et al. [arxiv:1007.1727]

Higgs was later found in 2012 by  
ATLAS and CMS with  $m_H \approx 125 \text{ GeV}$

# Scattering cross sections



At colliders, it can be shown that the differential rate of any given process factors as

$$dN = \varepsilon L dt d\sigma$$

= (efficiency) (luminosity) d(time) d(cross section)

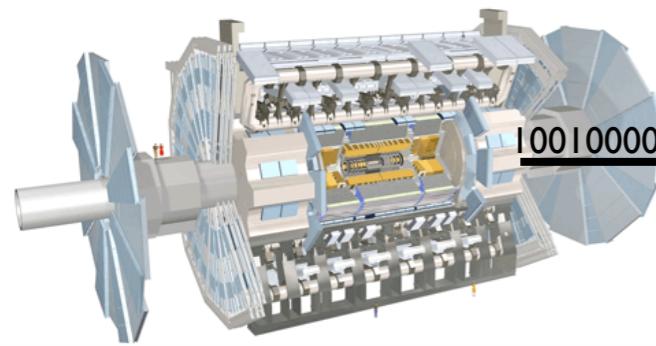
QFT shows that the **cross section** can be calculated in terms of a **matrix element**.

$$d\sigma = \prod_f \left( \frac{d^3 p_f}{(2\pi)^3 2 E_f} \right) \frac{|\mathcal{M}|^2}{4 E_1 E_2 |v_1 - v_2|} (2\pi)^4 \delta^4(p_1 + p_2 - \sum_f p_f)$$

The number of expected events can be calculated by integrating the differential cross section over the running of the experiment.

$$N = \int dt L \int d\sigma \varepsilon$$
$$= \left( \int dt L \right) A C \sigma$$

= (integrated luminosity) (acceptance) (efficiency) (cross section)

**ATLAS****3-level trigger**
 $40 \text{ MHz} \rightarrow 100 \text{ kHz}$   
 $\rightarrow 10 \text{ kHz} \rightarrow 1 \text{ kHz}$ 
**Trigger  
& DAQ**

raw data

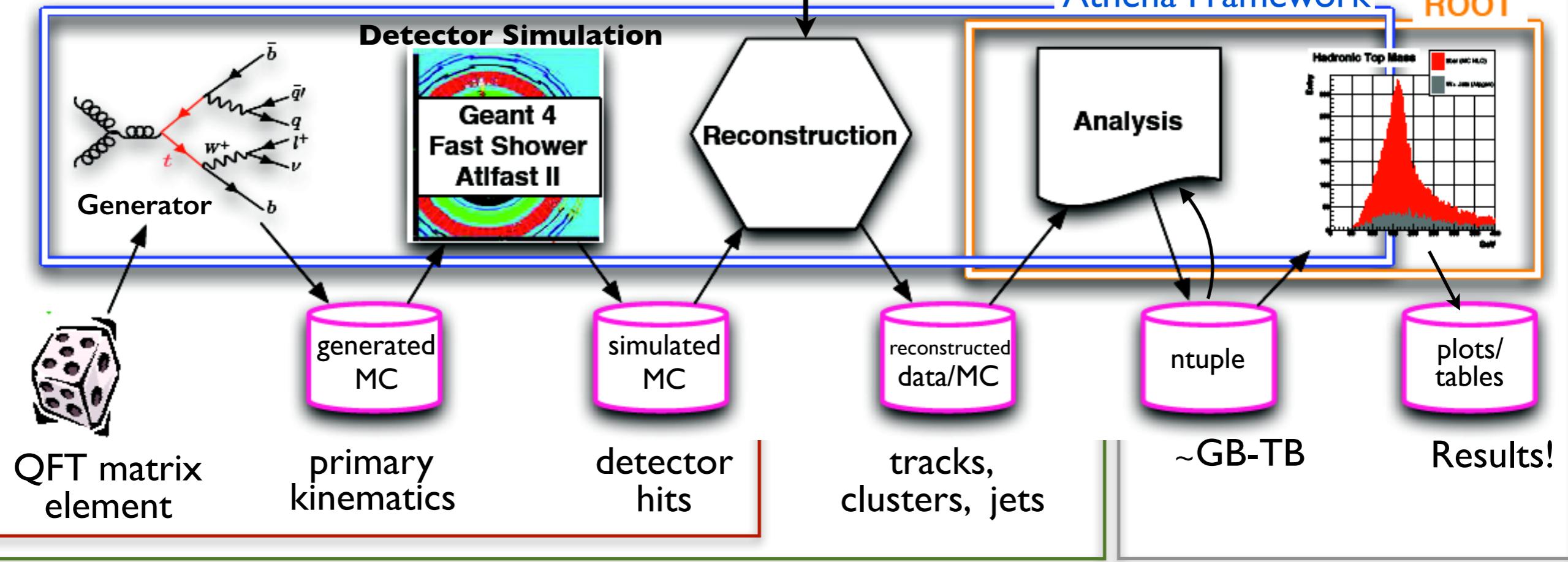
 $\sim 10 \text{ PB/year}$ 

# ATLAS Data Flow

Worldwide LHC Computing Grid

Monte Carlo production

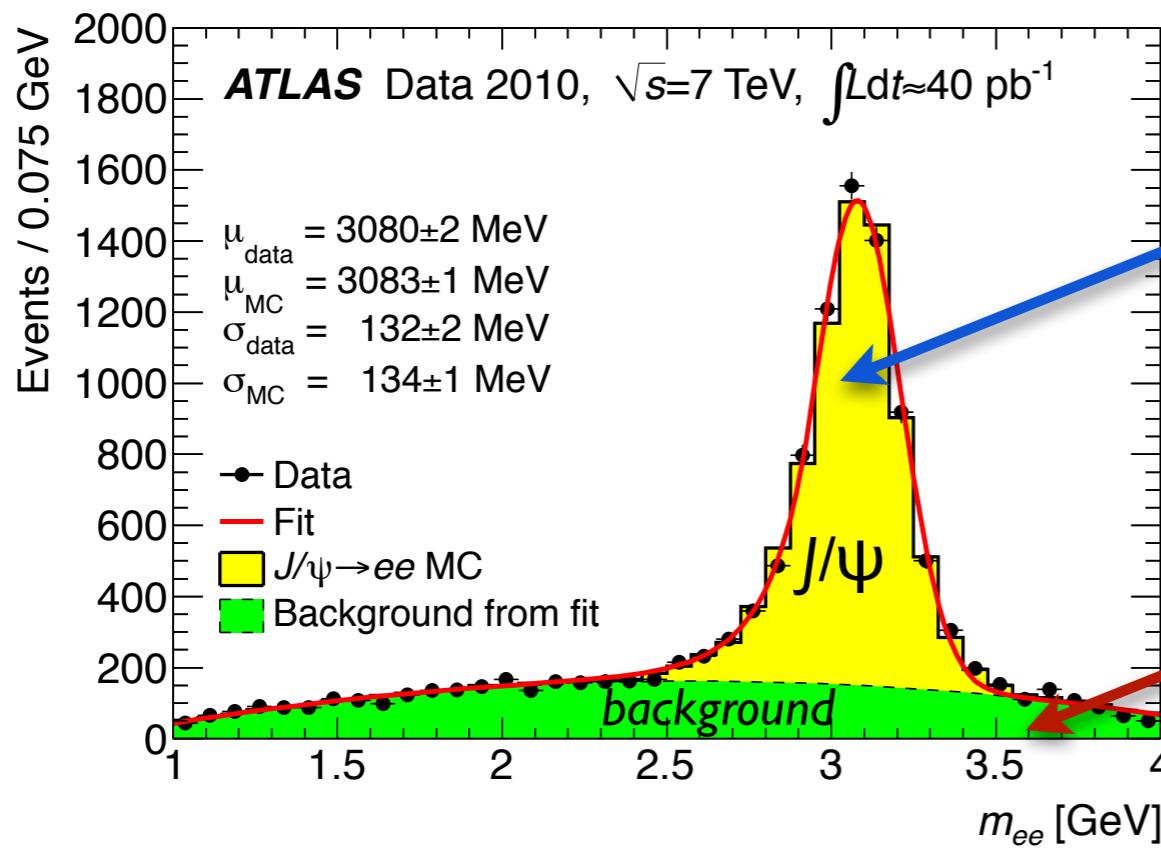
Local resources

*ab initio* simulation

# Building a model

$$N(\text{expected}) = \underbrace{N(\text{correct-ID})}_{\text{Bottom-up}} + \underbrace{N(\text{fake})}_{\text{Top-down, "data-driven"}}$$

- Bottom-up
- well-identified objects have scale factors from control regions
- estimated with detailed Monte Carlo simulation
- Top-down, “data-driven”
- various magic with data depending on the analysis and your creativity
- side-band fit
- fake-factor method



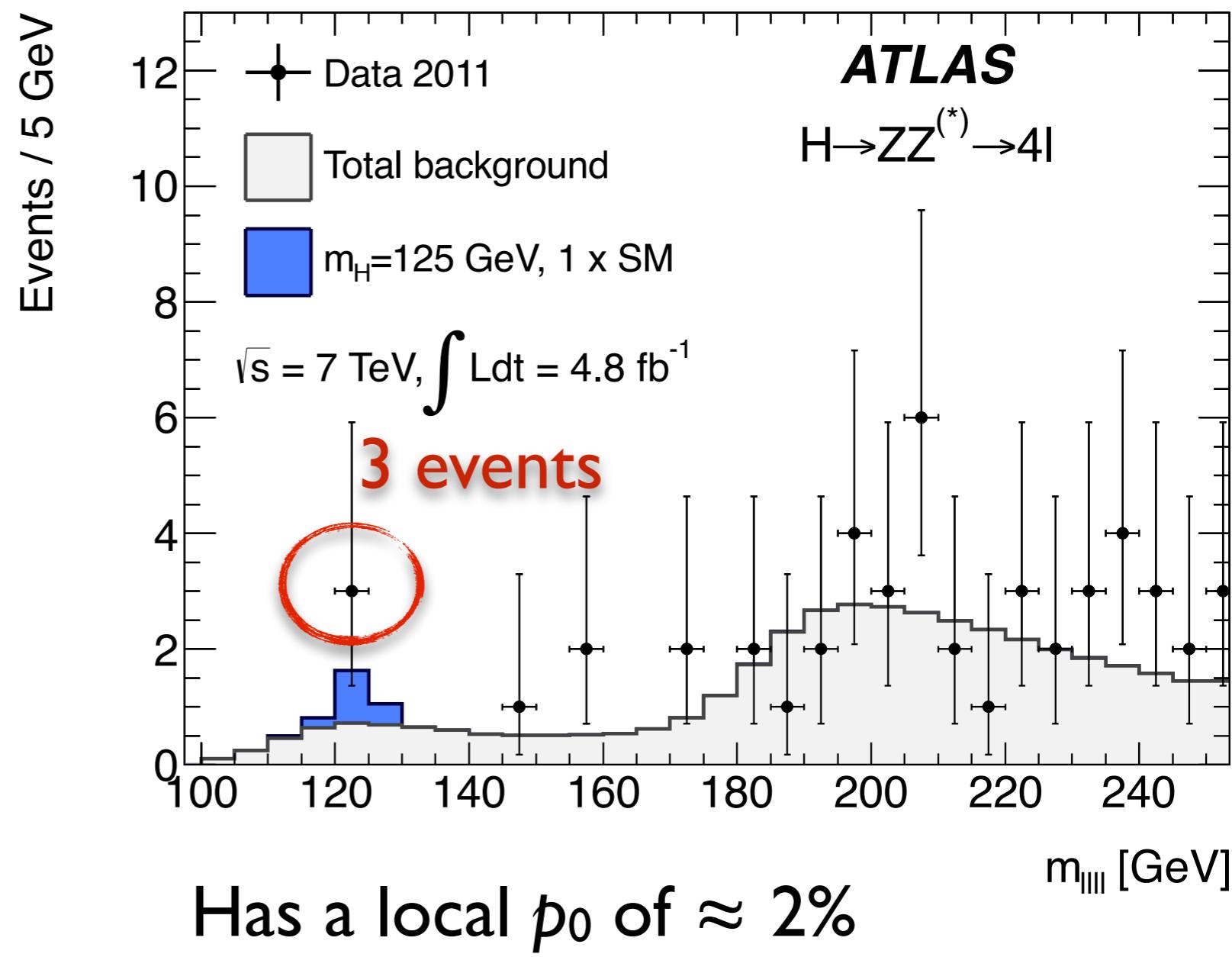
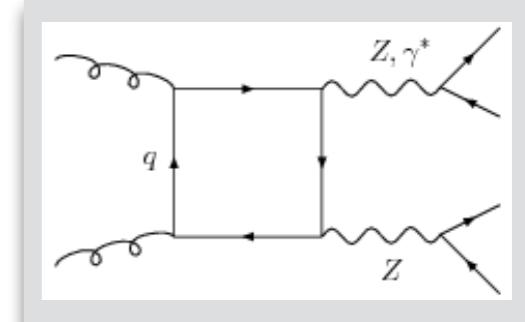
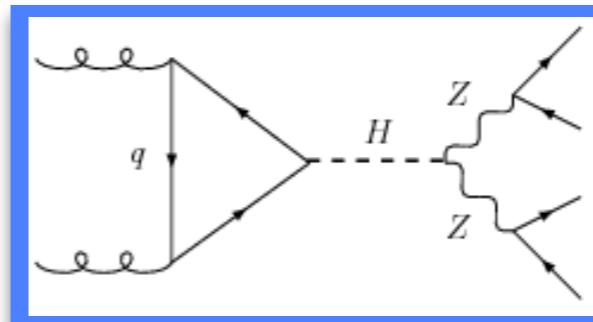
Bottom-up  
Monte Carlo

Data-driven  
side-band fit

# Is this significant?

*Statistical (and philosophical) questions:*

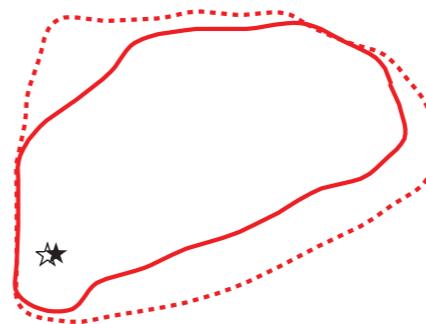
- How can we be precise and rigorous about how confident we are that a model is wrong?
  - ▶ *Hypothesis testing*
- How can we calculate the best-fit estimate of some parameter?
  - ▶ *Point estimation and confidence intervals*



# Confidence Intervals

- A *frequentist confidence interval* is constructed such that, given the model, if the experiment were repeated, each time creating an interval, 95% (or other CL) of the intervals would contain the true population parameter (i.e. the interval has  $\approx 95\%$  coverage).

- ▶ They can be one-sided exclusions, e.g.  $m(Z') > 2.0 \text{ TeV}$  at 95% CL
- ▶ Two-sided measurements, e.g.  $m_H = 125.1 \pm 0.2 \text{ GeV}$  at 68% CL
- ▶ Contours in 2 or more parameters



- This *is not the same* as saying “*There is a 95% probability that the true parameter is in my interval*”. Any probability assigned to a parameter strictly involves a *Bayesian prior probability*.
- Bayes’ theorem:  $P(\text{Theory} | \text{Data}) \propto P(\text{Data} | \text{Theory}) P(\text{Theory})$

“likelihood”

“prior”

# Statistical model

“Marked Poisson” Probability model (PDF):

$$\mathcal{D} = \{x_1, \dots, x_n\}$$

data      #expected  
↓            ↓  
 $f(\mathcal{D}|\nu, \alpha) = \text{Pois}(n|\nu) \prod_{e=1}^n f(x_e|\alpha)$

events      observable  
 $n$             ↓  
histograms

“Likelihood”  
 $L(\alpha)$   
function of params  
with data fixed

{ $\alpha$ } parameters include:

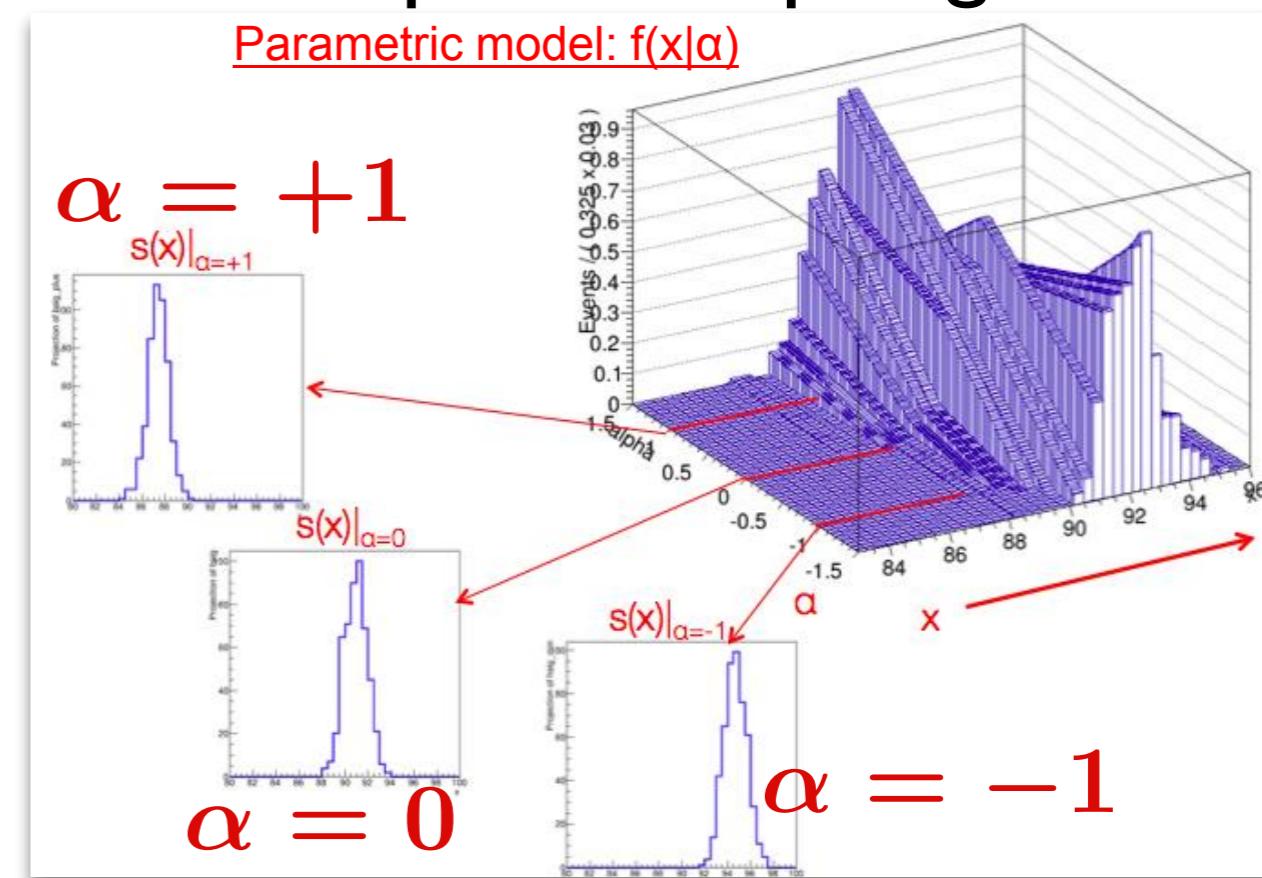
1. parameters of interest { $\mu$ }:

- ▶ e.g. Higgs mass ( $m_H$ ) and signal strength ( $\mu$ )  
 $\mu=0$  no signal,  $\mu=1$  nominal signal

2. nuisance parameters { $\theta$ }:

systematic uncertainties to be “profiled” away by maximizing  $L$  for a given  $\mu$ .

- ▶ e.g. luminosity uncert., jet-energy scale, electron energy scale, electron identification efficiency, etc.

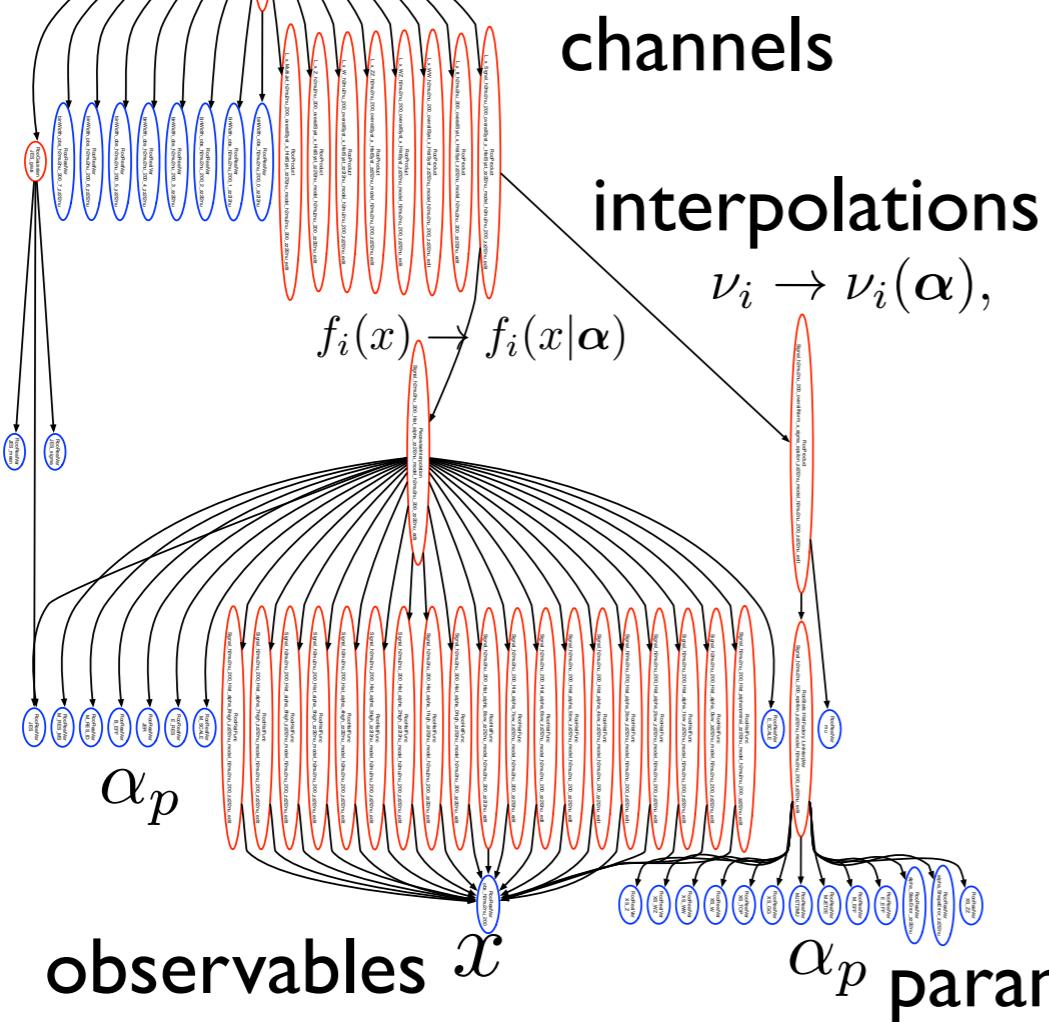


# Statistical model

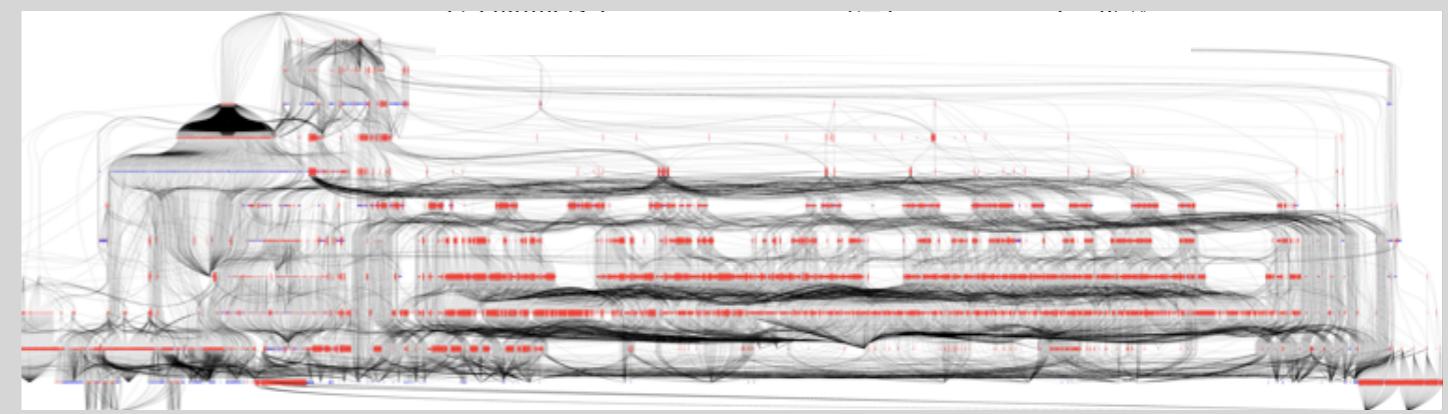
General probability model with many channels and constraints:

$$f_{\text{tot}}(\mathcal{D}_{\text{sim}}, \mathcal{G} | \alpha) = \prod_{c \in \text{channels}} \left[ \text{Pois}(n_c | \nu_c(\alpha)) \prod_{e=1}^{n_c} f_c(x_{ce} | \alpha) \right] \cdot \prod_{p \in \mathbb{S}} f_p(a_p | \alpha_p)$$

joint probability model

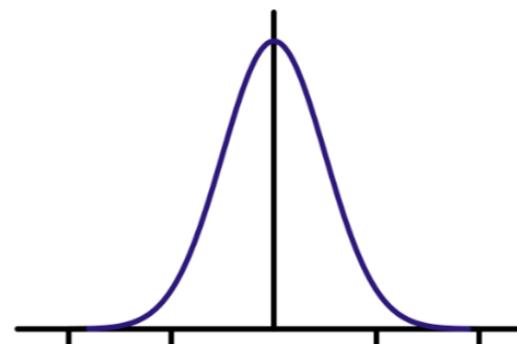


At the time of the Higgs discovery (2012) combined model had 100 channels & 500+ nuisance params.



# Maximum Likelihood Estimate

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Consider an experiment with  $N$  repeated measurements that are Gaussian distributed. The likelihood function is therefore

$$L = \prod_{i=1}^N \frac{1}{\sigma \sqrt{2\pi}} \exp\left(-\frac{(x_i - \mu)^2}{2\sigma^2}\right)$$

The MLE for the mean,  $\mu$ , can be found by maximizing the likelihood function, or equivalently, its natural logarithm.

$$\ln L = -N \ln(\sigma \sqrt{2\pi}) - \sum_{i=1}^N \frac{(x_i - \mu)^2}{2\sigma^2}$$

$$0 = \frac{\partial \ln L}{\partial \mu} = \sum_{i=1}^N \frac{(x_i - \mu)}{\sigma^2}$$

$$\Rightarrow \hat{\mu} = \frac{1}{N} \sum_{i=1}^N x_i$$

# Statistical point estimation

“Profiling”

Calculate the profile likelihood ratio:

Similar to the Likelihood, but does not depend on the nuisance parameters.

$$\lambda(\mu) = \frac{L(\mu, \hat{\theta})}{L(\hat{\mu}, \hat{\theta})}$$

$\hat{\cdot}$  = Maximum Likelihood Estimates  
 $\hat{\hat{\cdot}}$  = MLE for given  $\mu$

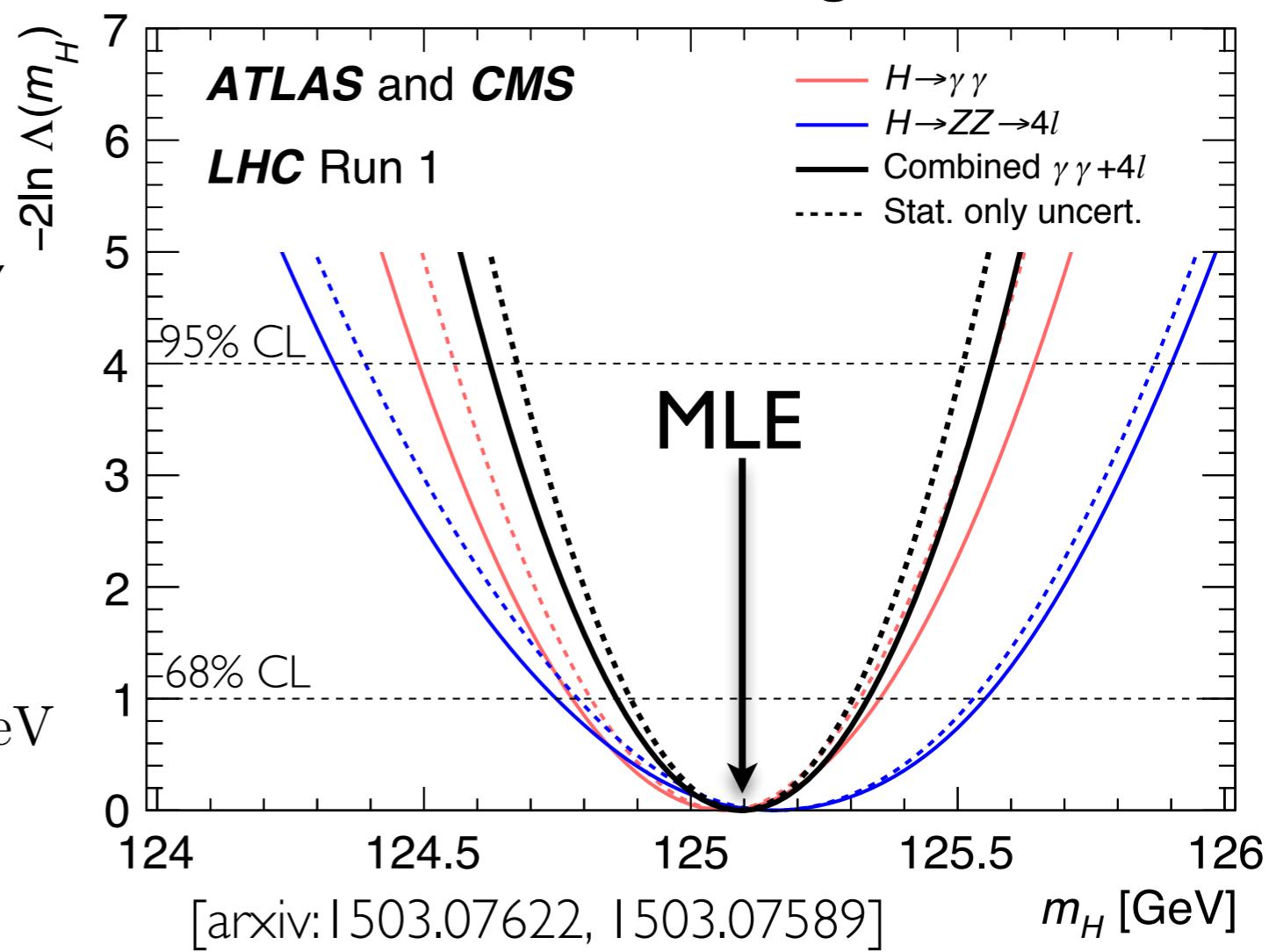
## Maximum Likelihood Estimate

Maximize  $\lambda(\mu)$

⇒ minimize  $-\ln \lambda(\mu)$

e.g. Best-fit Higgs mass

$m_H = 125.09 \pm 0.21 \text{ (stat.)} \pm 0.11 \text{ (syst.) GeV}$



[arxiv:1503.07622, 1503.07589]

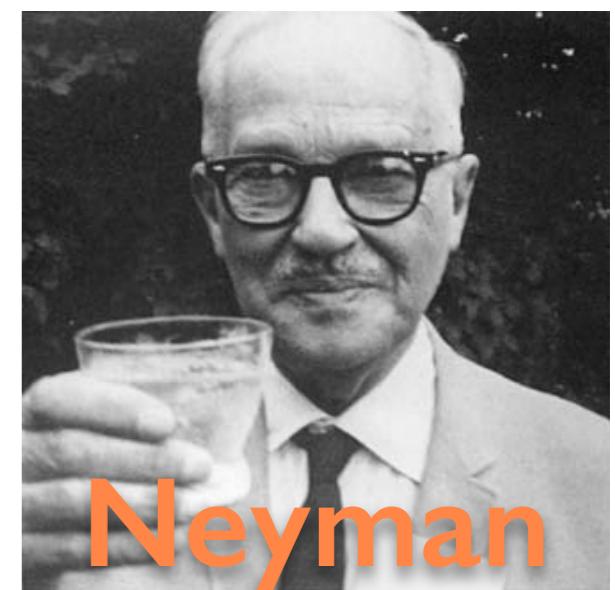
$m_H$  [GeV]

# Hypothesis testing

- Null hypothesis,  $H_0$ : the SM
- Alternative hypothesis,  $H_1$ : some new physics
- Type-I error:  
false positive rate ( $\alpha$ )
- Type-II error:  
false negative rate ( $\beta$ )
- Power:  $1-\beta$
- Want to maximize power for a fixed false positive rate
- Particle physics has a tradition of claiming discovery at  $5\sigma \Rightarrow p_0 = 2.9 \times 10^{-7} = 1$  in 3.5 million, and presents exclusion with  $p_0 = 5\%$ , (95% CL “coverage”).
- Neyman-Pearson lemma (1933):  
the most powerful test for fixed  $\alpha$  is the likelihood ratio:

		Null hypothesis ( $H_0$ ) is	
		Valid/True	Invalid/False
Judgment of Null Hypothesis ( $H_0$ )	Reject	Type I error (False Positive, $\alpha$ )	Correct inference (True Positive, $1-\beta$ )
	Fail to reject	Correct inference (True Negative, $1-\alpha$ )	Type II error (False Negative, $\beta$ )
Type I = True $H_0$ but reject it (False Positive)			
Type II = False $H_0$ but fail to reject it (False Negative)			

$$\frac{L(x|H_0)}{L(x|H_1)} > k_\alpha$$



Neyman

# July 4, 2012 CERN announces the discovery of a new particle by ATLAS and CMS, consistent with the Higgs boson

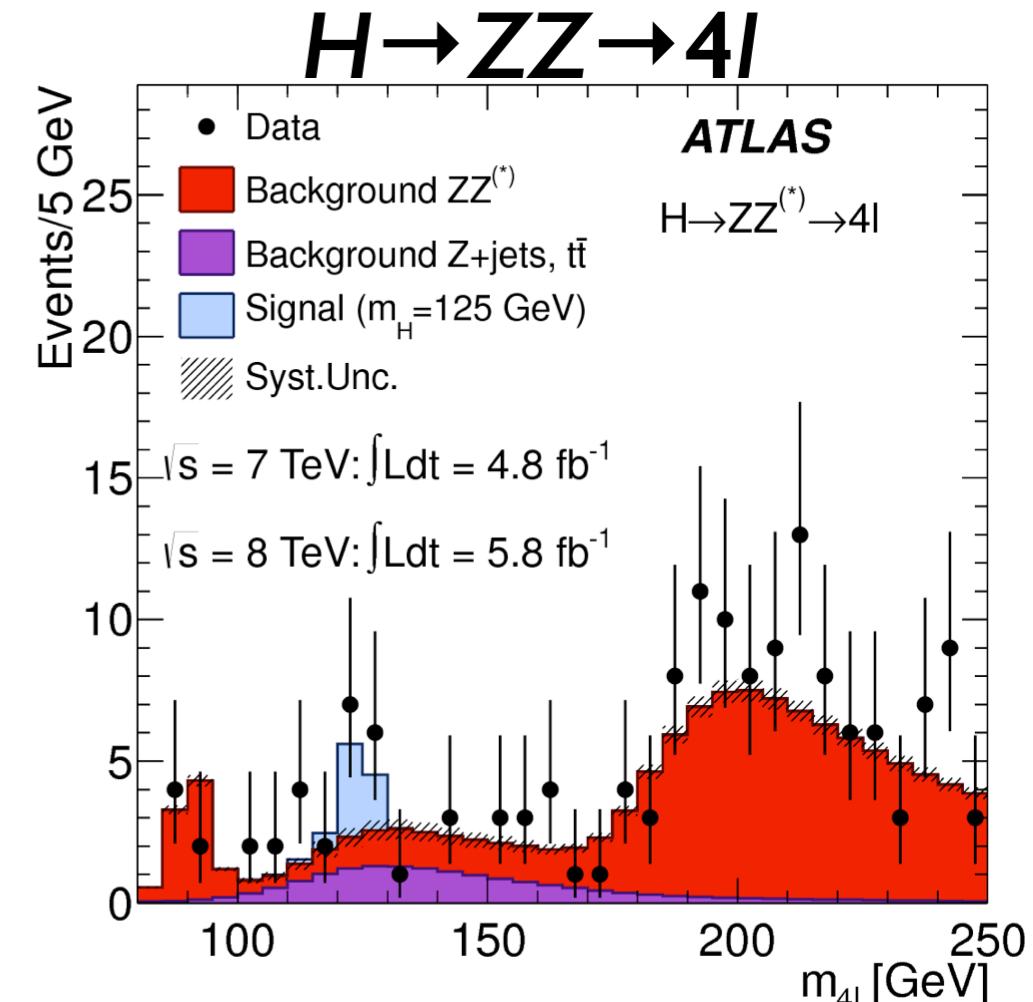
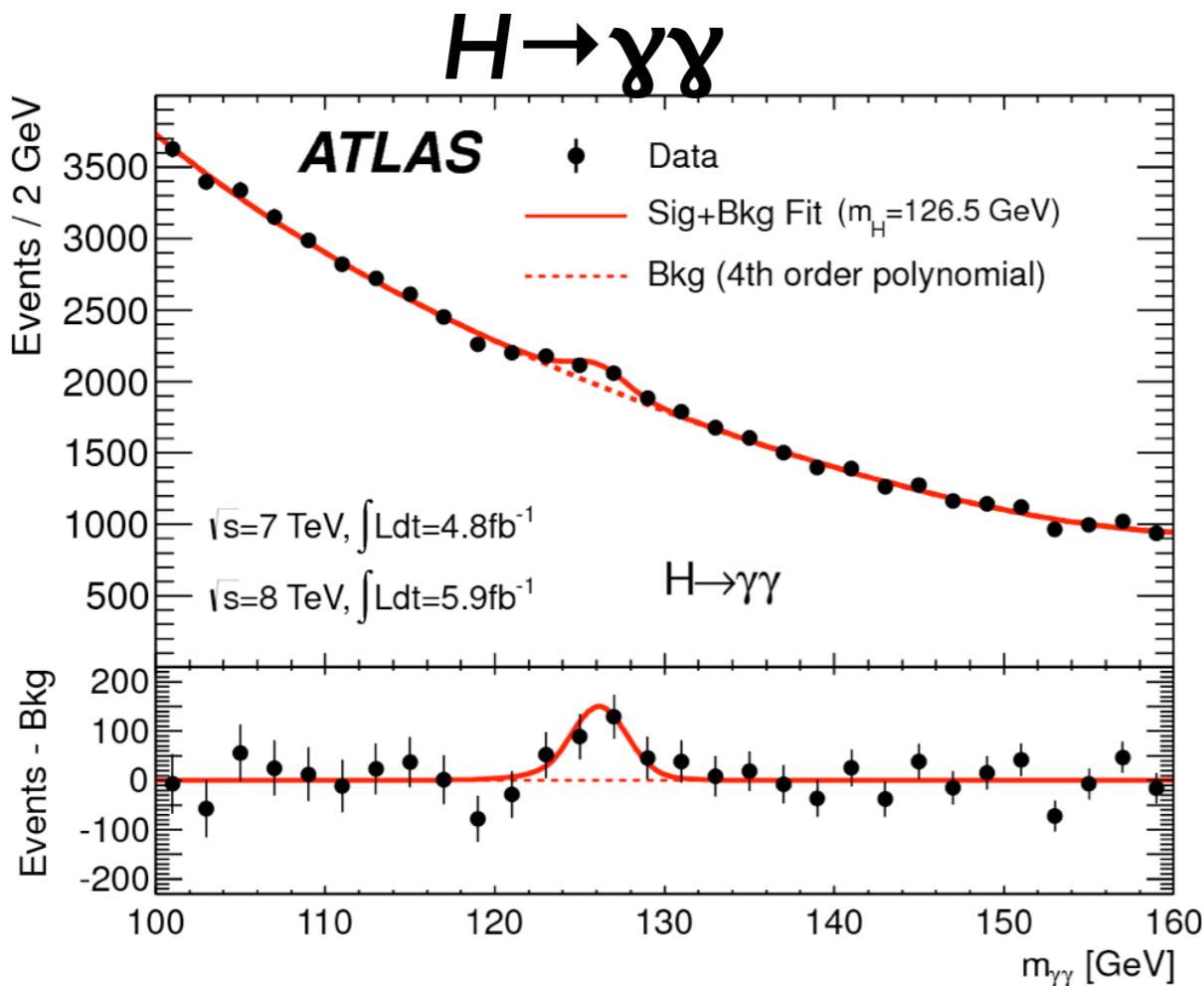
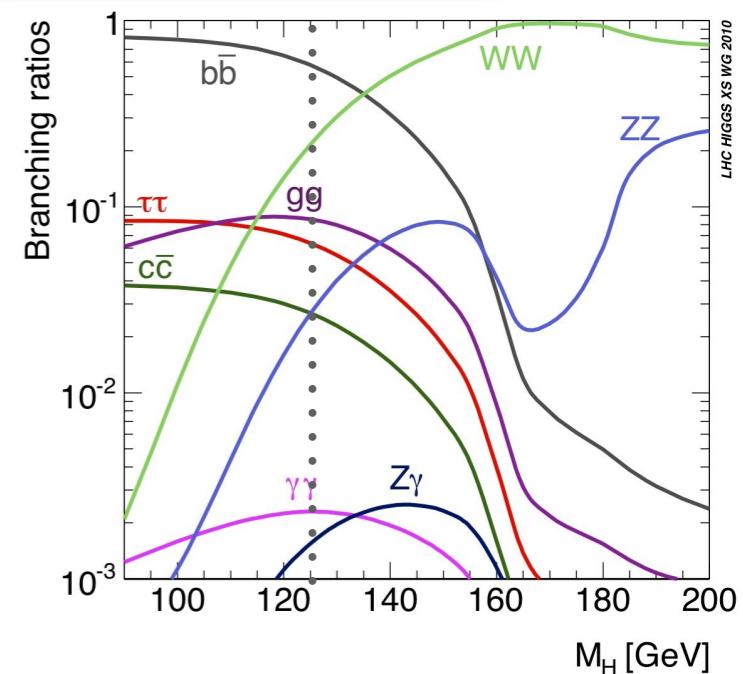


July 5 cover of the New York Times:  
“**Physicists Find Elusive Particle Seen  
as Key to the Universe**”

# Higgs discovery

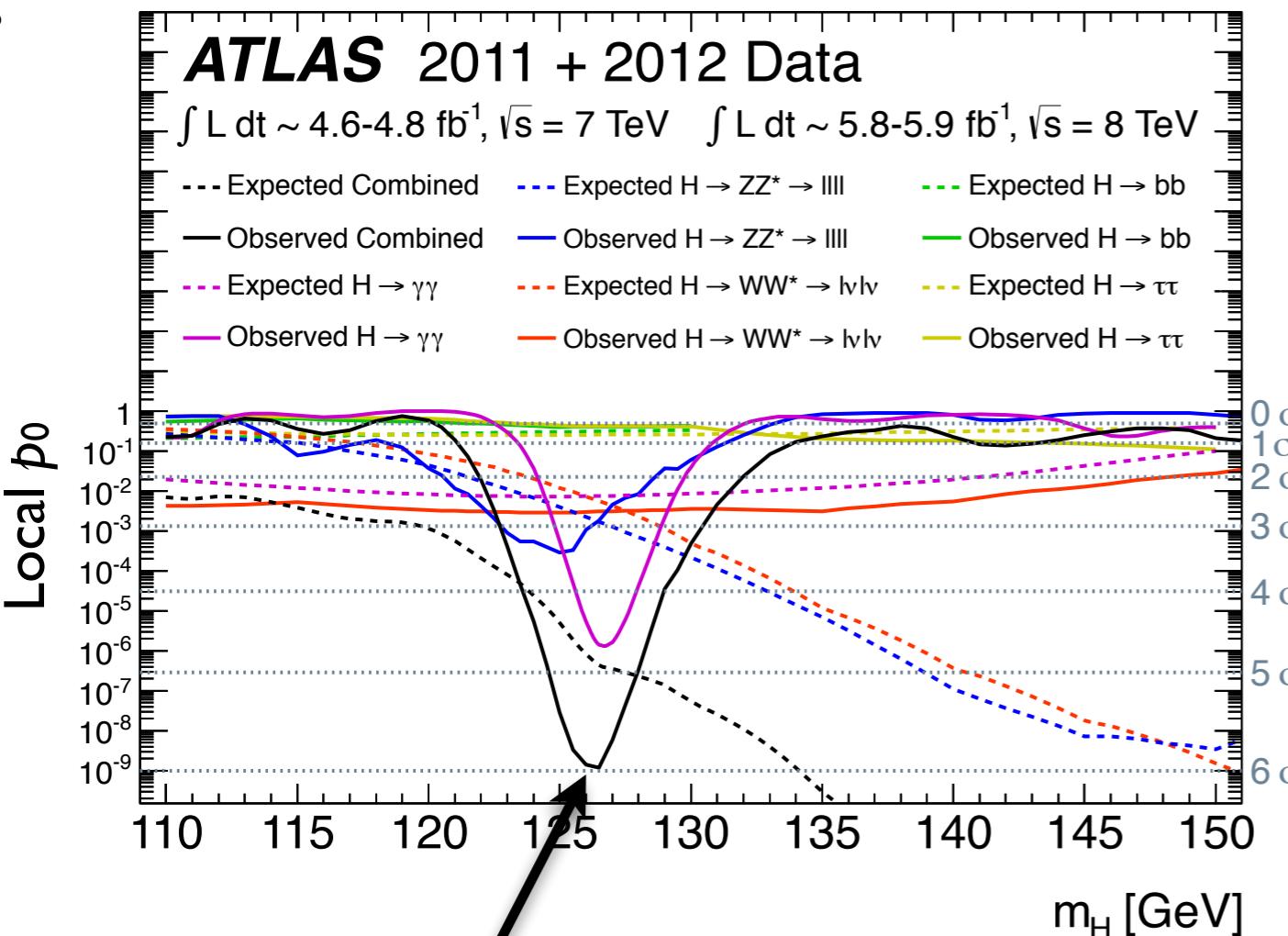
- Two channels with precise mass measurements:  
 $H \rightarrow \gamma\gamma$  and  $H \rightarrow ZZ \rightarrow 4l$ .
- $H \rightarrow WW$  observes a broad but clear excess.

channel	bb	$\tau\tau$	WW	ZZ	$\gamma\gamma$
BR	58%	6%	22%	3%	0.2%



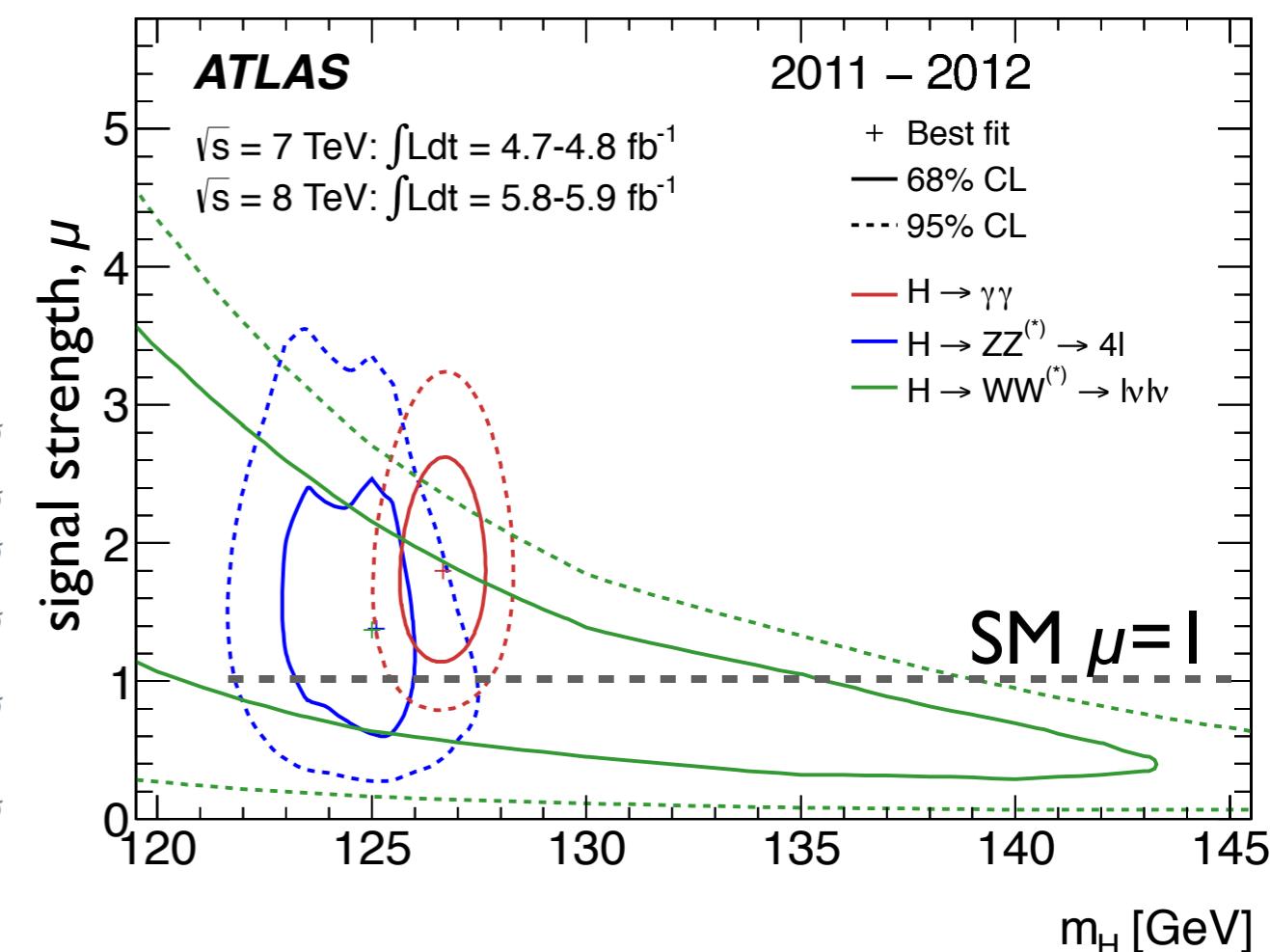
# Higgs Confidence

Inconsistent with background only



- Local  $p_0 = 1.7 \times 10^{-9}$ , corresponding to 5.9

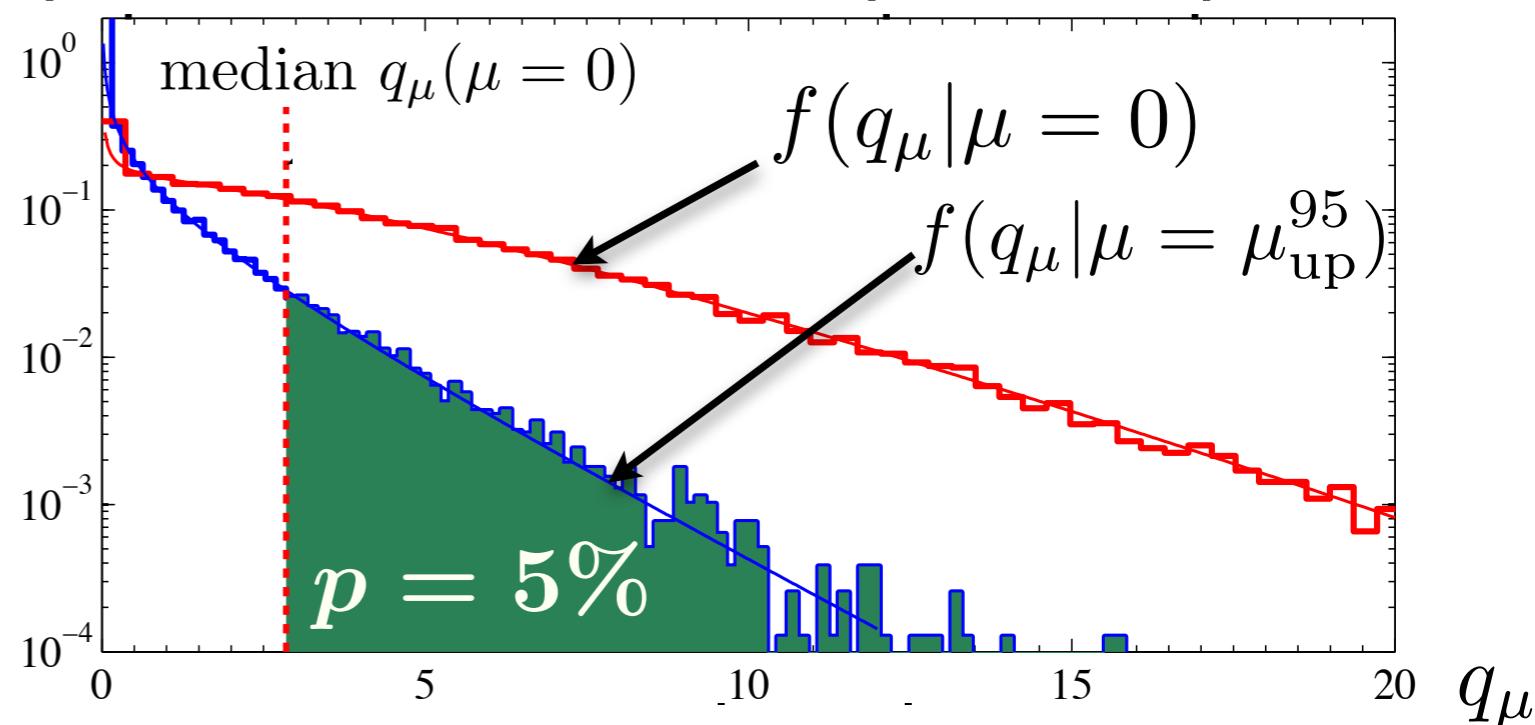
Consistent with SM Higgs



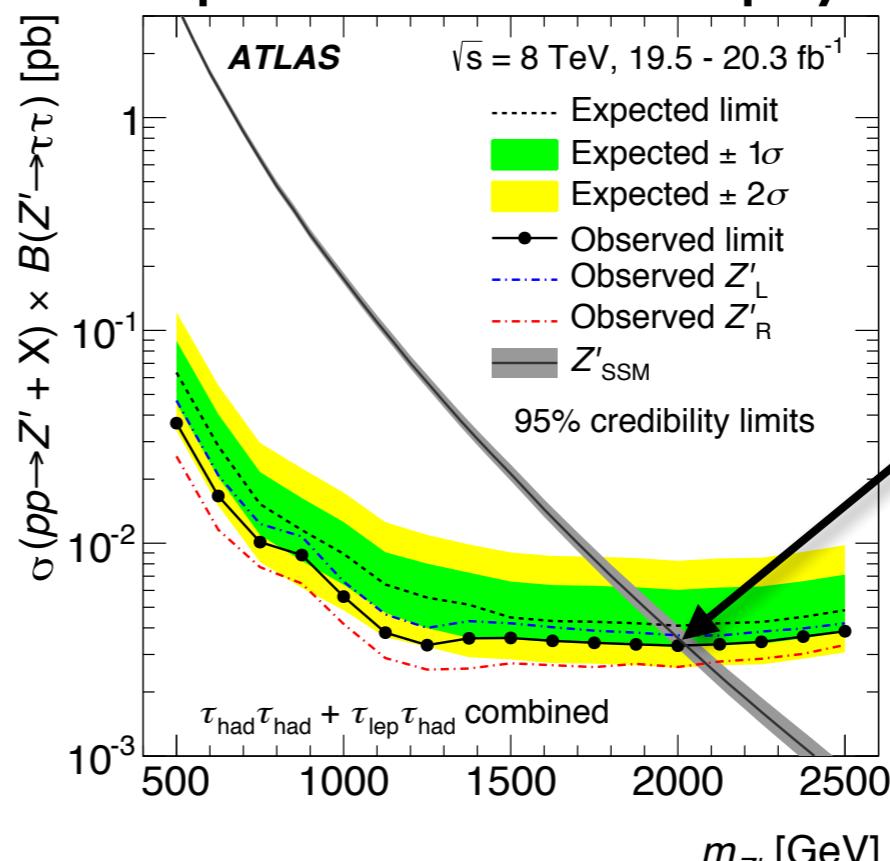
# Excluding instead of discovering

- Construct test statistic:  
$$q_\mu = -2\ln(\lambda(\mu))$$
- Wilks' theorem: asymptotic to a  $\chi^2$  distribution, larger values indicate greater incompatibility.
- Throw Monte Carlo pseudo experiments to find  $\mu_{\text{up}}^{95}$  which has a p-value of 5%.
- If this signal strength were there, only 5% of experiments would have higher  $q_\mu$ .  $\Rightarrow$  95% CL or  $2\sigma$

Sample the test statistic with pseudo experiments



Example limit on new physics:  $Z' \rightarrow \tau\tau$



(my PhD thesis)

excludes  
 $m(Z'_{\text{SSM}}) < 2.02$   
TeV @ 95% CL

# ATLAS SUSY Searches\* - 95% CL Lower Limits

Status: August 2016

ATLAS Preliminary

$\sqrt{s} = 7, 8, 13 \text{ TeV}$

Model	$e, \mu, \tau, \gamma$	Jets	$E_T^{\text{miss}}$	$\int \mathcal{L} dt [\text{fb}^{-1}]$	Mass limit	$\sqrt{s} = 7, 8 \text{ TeV}$	$\sqrt{s} = 13 \text{ TeV}$	Reference
Inclusive Searches	MSUGRA/CMSSM	0-3 $e, \mu/1-2 \tau$	2-10 jets/3 $b$	Yes	20.3	$\tilde{q}, \tilde{g}$	<b>1.85 TeV</b>	$m(\tilde{q})=m(\tilde{g})$
	$\tilde{q}\tilde{q}, \tilde{q} \rightarrow q\tilde{\chi}_1^0$	0	2-6 jets	Yes	13.3	$\tilde{q}$	<b>1.35 TeV</b>	$m(\tilde{\chi}_1^0) < 200 \text{ GeV}, m(\text{1st gen. } \tilde{q})=m(\text{2nd gen. } \tilde{q})$
	$\tilde{q}\tilde{q}, \tilde{q} \rightarrow q\tilde{\chi}_1^0$ (compressed)	mono-jet	1-3 jets	Yes	3.2	$\tilde{q}$	<b>608 GeV</b>	$m(\tilde{q}) < 5 \text{ GeV}$
	$\tilde{g}\tilde{g}, \tilde{g} \rightarrow q\tilde{\chi}_1^0$	0	2-6 jets	Yes	13.3	$\tilde{g}$	<b>1.86 TeV</b>	$m(\tilde{\chi}_1^0)=0 \text{ GeV}$
	$\tilde{g}\tilde{g}, \tilde{g} \rightarrow q\tilde{q}\tilde{\chi}_1^{\pm} \rightarrow qqW^{\pm}\tilde{\chi}_1^0$	0	2-6 jets	Yes	13.3	$\tilde{g}$	<b>1.83 TeV</b>	$m(\tilde{\chi}_1^0) < 400 \text{ GeV}, m(\tilde{\chi}^{\pm})=0.5(m(\tilde{\chi}_1^0)+m(\tilde{g}))$
	$\tilde{g}\tilde{g}, \tilde{g} \rightarrow q\tilde{q}(\ell\ell/\nu\nu)\tilde{\chi}_1^0$	3 $e, \mu$	4 jets	-	13.2	$\tilde{g}$	<b>1.7 TeV</b>	$m(\tilde{\chi}_1^0) < 400 \text{ GeV}$
	$\tilde{g}\tilde{g}, \tilde{g} \rightarrow qqWZ\tilde{\chi}_1^0$	2 $e, \mu$ (SS)	0-3 jets	Yes	13.2	$\tilde{g}$	<b>1.6 TeV</b>	$m(\tilde{\chi}_1^0) < 500 \text{ GeV}$
	GMSB ( $\tilde{\ell}$ NLSP)	1-2 $\tau + 0-1 \ell$	0-2 jets	Yes	3.2	$\tilde{g}$	<b>2.0 TeV</b>	$c\tau(\text{NLSP}) < 0.1 \text{ mm}$
	GGM (bino NLSP)	2 $\gamma$	-	Yes	3.2	$\tilde{g}$	<b>1.65 TeV</b>	$m(\tilde{\chi}_1^0) < 950 \text{ GeV}, c\tau(\text{NLSP}) < 0.1 \text{ mm}, \mu < 0$
	GGM (higgsino-bino NLSP)	$\gamma$	1 $b$	Yes	20.3	$\tilde{g}$	<b>1.37 TeV</b>	$m(\tilde{\chi}_1^0) > 680 \text{ GeV}, c\tau(\text{NLSP}) < 0.1 \text{ mm}, \mu > 0$
	GGM (higgsino NLSP)	$\gamma$	2 jets	Yes	13.3	$\tilde{g}$	<b>1.8 TeV</b>	$m(\text{NLSP}) > 430 \text{ GeV}$
Gravitino LSP	2 $e, \mu$ (Z)	2 jets	Yes	20.3	$\tilde{g}$	<b>900 GeV</b>	$m(\tilde{G}) > 1.8 \times 10^{-4} \text{ eV}, m(\tilde{g})=m(\tilde{q})=1.5 \text{ TeV}$	
	0	mono-jet	Yes	20.3	$F^{1/2} \text{ scale}$	<b>865 GeV</b>		
$3^{\text{rd}}$ gen. $\tilde{g}$ med.	$\tilde{g}\tilde{g}, \tilde{g} \rightarrow bb\tilde{\chi}_1^0$	0	3 $b$	Yes	14.8	$\tilde{g}$	<b>1.89 TeV</b>	$m(\tilde{\chi}_1^0)=0 \text{ GeV}$
	$\tilde{g}\tilde{g}, \tilde{g} \rightarrow t\bar{t}\tilde{\chi}_1^0$	0-1 $e, \mu$	3 $b$	Yes	14.8	$\tilde{g}$	<b>1.89 TeV</b>	$m(\tilde{\chi}_1^0)=0 \text{ GeV}$
	$\tilde{g}\tilde{g}, \tilde{g} \rightarrow b\tilde{\chi}_1^{\pm}$	0-1 $e, \mu$	3 $b$	Yes	20.1	$\tilde{g}$	<b>1.37 TeV</b>	$m(\tilde{\chi}_1^0) < 300 \text{ GeV}$
$3^{\text{rd}}$ gen. direct production	$\tilde{b}_1\tilde{b}_1, \tilde{b}_1 \rightarrow b\tilde{\chi}_1^0$	0	2 $b$	Yes	3.2	$\tilde{b}_1$	<b>840 GeV</b>	$m(\tilde{\chi}_1^0) < 100 \text{ GeV}$
	$\tilde{b}_1\tilde{b}_1, \tilde{b}_1 \rightarrow t\bar{t}\tilde{\chi}_1^{\pm}$	2 $e, \mu$ (SS)	1 $b$	Yes	13.2	$\tilde{b}_1$	<b>325-685 GeV</b>	$m(\tilde{\chi}_1^0) < 150 \text{ GeV}, m(\tilde{\chi}^{\pm})=m(\tilde{\chi}_1^0)+100 \text{ GeV}$
	$\tilde{t}_1\tilde{t}_1, \tilde{t}_1 \rightarrow b\tilde{\chi}_1^{\pm}$	0-2 $e, \mu$	1-2 $b$	Yes	4.7/13.3	$\tilde{t}_1$	<b>117-170 GeV</b>	$m(\tilde{\chi}_1^{\pm}) = 2m(\tilde{\chi}_1^0), m(\tilde{\chi}_1^0)=55 \text{ GeV}$
	$\tilde{t}_1\tilde{t}_1, \tilde{t}_1 \rightarrow Wb\tilde{\chi}_1^0$ or $t\tilde{\chi}_1^0$	0-2 $e, \mu$	0-2 jets/1-2 $b$	Yes	4.7/13.3	$\tilde{t}_1$	<b>90-198 GeV</b>	$m(\tilde{\chi}_1^0)=1 \text{ GeV}$
	$\tilde{t}_1\tilde{t}_1, \tilde{t}_1 \rightarrow c\tilde{\chi}_1^0$	0	mono-jet	Yes	3.2	$\tilde{t}_1$	<b>90-323 GeV</b>	$m(\tilde{t}_1)-m(\tilde{\chi}_1^0)=5 \text{ GeV}$
	$\tilde{t}_1\tilde{t}_1$ (natural GMSB)	2 $e, \mu$ (Z)	1 $b$	Yes	20.3	$\tilde{t}_1$	<b>150-600 GeV</b>	$m(\tilde{\chi}_1^0) > 150 \text{ GeV}$
	$\tilde{t}_2\tilde{t}_2, \tilde{t}_2 \rightarrow \tilde{t}_1 + Z$	3 $e, \mu$ (Z)	1 $b$	Yes	13.3	$\tilde{t}_2$	<b>290-700 GeV</b>	$m(\tilde{\chi}_1^0) < 300 \text{ GeV}$
	$\tilde{t}_2\tilde{t}_2, \tilde{t}_2 \rightarrow \tilde{t}_1 + h$	1 $e, \mu$	6 jets + 2 $b$	Yes	20.3	$\tilde{t}_2$	<b>320-620 GeV</b>	$m(\tilde{\chi}_1^0)=0 \text{ GeV}$
EW direct	$\tilde{\ell}_{\text{L,R}}\tilde{\ell}_{\text{L,R}}, \tilde{\ell} \rightarrow \ell\tilde{\chi}_1^0$	2 $e, \mu$	0	Yes	20.3	$\tilde{\ell}$	<b>90-335 GeV</b>	$m(\tilde{\chi}_1^0)=0 \text{ GeV}$
	$\tilde{\chi}_1^{\pm}\tilde{\chi}_1^{\mp}, \tilde{\chi}_1^{\pm} \rightarrow \tilde{\ell}\nu(\ell\tilde{\nu})$	2 $e, \mu$	0	Yes	13.3	$\tilde{\chi}_1^{\pm}$	<b>640 GeV</b>	$m(\tilde{\chi}_1^0)=0 \text{ GeV}, m(\tilde{\ell}, \tilde{\nu})=0.5(m(\tilde{\chi}_1^{\pm})+m(\tilde{\chi}_1^0))$
	$\tilde{\chi}_1^{\pm}\tilde{\chi}_1^{\mp}, \tilde{\chi}_1^{\pm} \rightarrow \tilde{\tau}\nu(\tau\tilde{\nu})$	2 $\tau$	-	Yes	14.8	$\tilde{\chi}_1^{\pm}$	<b>580 GeV</b>	$m(\tilde{\chi}_1^0)=0 \text{ GeV}, m(\tilde{\tau}, \tilde{\nu})=0.5(m(\tilde{\chi}_1^{\pm})+m(\tilde{\chi}_1^0))$
	$\tilde{\chi}_1^{\pm}\tilde{\chi}_2^0 \rightarrow \tilde{\ell}_L\nu\tilde{\ell}_L(\ell\tilde{\nu}), \ell\tilde{\nu}\tilde{\ell}_L\ell(\tilde{\nu}\nu)$	3 $e, \mu$	0	Yes	13.3	$\tilde{\chi}_1^{\pm}, \tilde{\chi}_2^0$	<b>1.0 TeV</b>	$m(\tilde{\chi}_1^{\pm})=m(\tilde{\chi}_2^0), m(\tilde{\chi}_1^0)=0, m(\tilde{\ell}, \tilde{\nu})=0.5(m(\tilde{\chi}_1^{\pm})+m(\tilde{\chi}_1^0))$
	$\tilde{\chi}_1^{\pm}\tilde{\chi}_2^0 \rightarrow W\tilde{\chi}_1^0Z\tilde{\chi}_1^0$	2-3 $e, \mu$	0-2 jets	Yes	20.3	$\tilde{\chi}_1^{\pm}, \tilde{\chi}_2^0$	<b>425 GeV</b>	$m(\tilde{\chi}_1^{\pm})=m(\tilde{\chi}_2^0), m(\tilde{\chi}_1^0)=0, \tilde{\ell} \text{ decoupled}$
	$\tilde{\chi}_1^{\pm}\tilde{\chi}_2^0 \rightarrow W\tilde{\chi}_1^0h\tilde{\chi}_1^0, h \rightarrow b\bar{b}/WW/\tau\tau/\gamma\gamma$	$e, \mu, \gamma$	0-2 $b$	Yes	20.3	$\tilde{\chi}_1^{\pm}, \tilde{\chi}_2^0$	<b>270 GeV</b>	$m(\tilde{\chi}_1^{\pm})=m(\tilde{\chi}_2^0), m(\tilde{\chi}_1^0)=0, \tilde{\ell} \text{ decoupled}$
	$\tilde{\chi}_2^0\tilde{\chi}_3^0, \tilde{\chi}_2^0\tilde{\chi}_3^0 \rightarrow \tilde{\ell}_R\ell$	4 $e, \mu$	0	Yes	20.3	$\tilde{\chi}_{2,3}^0$	<b>635 GeV</b>	$m(\tilde{\chi}_2^0)=m(\tilde{\chi}_3^0), m(\tilde{\chi}_1^0)=0, m(\tilde{\ell}, \tilde{\nu})=0.5(m(\tilde{\chi}_2^0)+m(\tilde{\chi}_1^0))$
	GGM (wino NLSP) weak prod.	1 $e, \mu + \gamma$	-	Yes	20.3	$\tilde{W}$	<b>115-370 GeV</b>	$c\tau < 1 \text{ mm}$
Long-lived particles	GGM (bino NLSP) weak prod.	2 $\gamma$	-	Yes	20.3	$\tilde{W}$	<b>590 GeV</b>	$c\tau < 1 \text{ mm}$
	Direct $\tilde{\chi}_1^{\pm}\tilde{\chi}_1^{\mp}$ prod., long-lived $\tilde{\chi}_1^{\pm}$	Disapp. trk	1 jet	Yes	20.3	$\tilde{\chi}_1^{\pm}$	<b>270 GeV</b>	$m(\tilde{\chi}_1^{\pm})-m(\tilde{\chi}_1^0) \sim 160 \text{ MeV}, \tau(\tilde{\chi}_1^{\pm})=0.2 \text{ ns}$
	Direct $\tilde{\chi}_1^{\pm}\tilde{\chi}_1^{\mp}$ prod., long-lived $\tilde{\chi}_1^{\pm}$	dE/dx trk	-	Yes	18.4	$\tilde{\chi}_1^{\pm}$	<b>495 GeV</b>	$m(\tilde{\chi}_1^{\pm})-m(\tilde{\chi}_1^0) \sim 160 \text{ MeV}, \tau(\tilde{\chi}_1^{\pm}) < 15 \text{ ns}$
	Stable, stopped $\tilde{g}$ R-hadron	0	1-5 jets	Yes	27.9	$\tilde{g}$	<b>850 GeV</b>	$m(\tilde{\chi}_1^0)=100 \text{ GeV}, 10 \mu\text{s} < \tau(\tilde{g}) < 1000 \text{ s}$
	Stable $\tilde{g}$ R-hadron	trk	-	-	3.2	$\tilde{g}$	<b>1.58 TeV</b>	$m(\tilde{\chi}_1^0)=100 \text{ GeV}, \tau > 10 \text{ ns}$
	Metastable $\tilde{g}$ R-hadron	dE/dx trk	-	-	3.2	$\tilde{g}$	<b>1.57 TeV</b>	$10 < \tan\beta < 50$
	GMSB, stable $\tilde{\tau}, \tilde{\chi}_1^0 \rightarrow \tilde{\tau}(\tilde{e}, \tilde{\mu})+\tau(e, \mu)$	1-2 $\mu$	-	-	19.1	$\tilde{\chi}_1^0$	<b>537 GeV</b>	$1 < \tau(\tilde{\chi}_1^0) < 3 \text{ ns}, \text{ SPS8 model}$
	GMSB, $\tilde{\chi}_1^0 \rightarrow \gamma\tilde{G}$ , long-lived $\tilde{\chi}_1^0$	2 $\gamma$	-	Yes	20.3	$\tilde{\chi}_1^0$	<b>440 GeV</b>	$7 < c\tau(\tilde{\chi}_1^0) < 740 \text{ mm}, m(\tilde{g})=1.3 \text{ TeV}$
RPV	$\tilde{g}\tilde{g}, \tilde{\chi}_1^0 \rightarrow ee\bar{v}/e\bar{\nu}\mu\bar{\nu}$	displ. ee/e $\mu/\mu\nu$	-	-	20.3	$\tilde{\chi}_1^0$	<b>1.0 TeV</b>	$6 < c\tau(\tilde{\chi}_1^0) < 480 \text{ mm}, m(\tilde{g})=1.1 \text{ TeV}$
	$\tilde{g}\tilde{g}, \tilde{\chi}_1^0 \rightarrow Z\tilde{G}$	displ. vtx + jets	-	-	20.3	$\tilde{\chi}_1^0$	<b>1.0 TeV</b>	
	LFV $pp \rightarrow \tilde{\nu}_\tau + X, \tilde{\nu}_\tau \rightarrow e\mu/e\tau/\mu\tau$	$e\mu, e\tau, \mu\tau$	-	-	3.2	$\tilde{\nu}_\tau$	<b>1.9 TeV</b>	$\lambda'_{311}=0.11, \lambda_{132/133/233}=0.07$
	Bilinear RPV CMSSM	2 $e, \mu$ (SS)	0-3 $b$	Yes	20.3	$\tilde{q}, \tilde{g}$	<b>1.45 TeV</b>	$m(\tilde{q})=m(\tilde{g}), c\tau_{LSP} < 1 \text{ mm}$
	$\tilde{\chi}_1^{\pm}\tilde{\chi}_1^{\mp}, \tilde{\chi}_1^{\pm} \rightarrow W\tilde{\chi}_1^0, \tilde{\chi}_1^0 \rightarrow ee\bar{v}, e\bar{\nu}\mu\bar{\nu}$	4 $e, \mu$	-	Yes	13.3	$\tilde{\chi}_1^{\pm}$	<b>1.14 TeV</b>	$m(\tilde{\chi}_1^0) > 400 \text{ GeV}, \lambda_{12k} \neq 0 \text{ (} k = 1, 2 \text{)}$
	$\tilde{\chi}_1^{\pm}\tilde{\chi}_1^{\mp}, \tilde{\chi}_1^{\pm} \rightarrow W\tilde{\chi}_1^0, \tilde{\chi}_1^0 \rightarrow \tau\tau\nu_e, e\tau\nu_\tau$	3 $e, \mu + \tau$	-	Yes	20.3	$\tilde{\chi}_1^{\pm}$	<b>450 GeV</b>	$m(\tilde{\chi}_1^0) > 0.2 \times m(\tilde{\chi}_1^{\pm}), \lambda_{133} \neq 0$
	$\tilde{g}\tilde{g}, \tilde{g} \rightarrow q\bar{q}\tilde{\chi}_1^0, \tilde{\chi}_1^0 \rightarrow q\bar{q}$	0	4-5 large- $R$ jets	-	14.8	$\tilde{g}$	<b>1.08 TeV</b>	$BR(t)=BR(b)=BR(c)=0\%$
	$\tilde{g}\tilde{g}, \tilde{g} \rightarrow q\bar{q}\tilde{\chi}_1^0, \tilde{\chi}_1^0 \rightarrow q\bar{q}$	0	4-5 large- $R$ jets	-	14.8	$\tilde{g}$	<b>1.55 TeV</b>	$m(\tilde{\chi}_1^0)=800 \text{ GeV}$
Other	$\tilde{g}\tilde{g}, \tilde{g} \rightarrow t\bar{t}\tilde{\chi}_1^0, \tilde{\chi}_1^0 \rightarrow q\bar{q}$							

# Systematics

## measurement uncertainty

$$X \pm (\text{Stat} \oplus \text{Syst}_1 \oplus \text{Syst}_2 \oplus \text{Syst}_3)$$

$$\propto \frac{1}{\sqrt{N}}$$

How unlucky could this be?

$$\propto \frac{1}{\sqrt{N}}$$

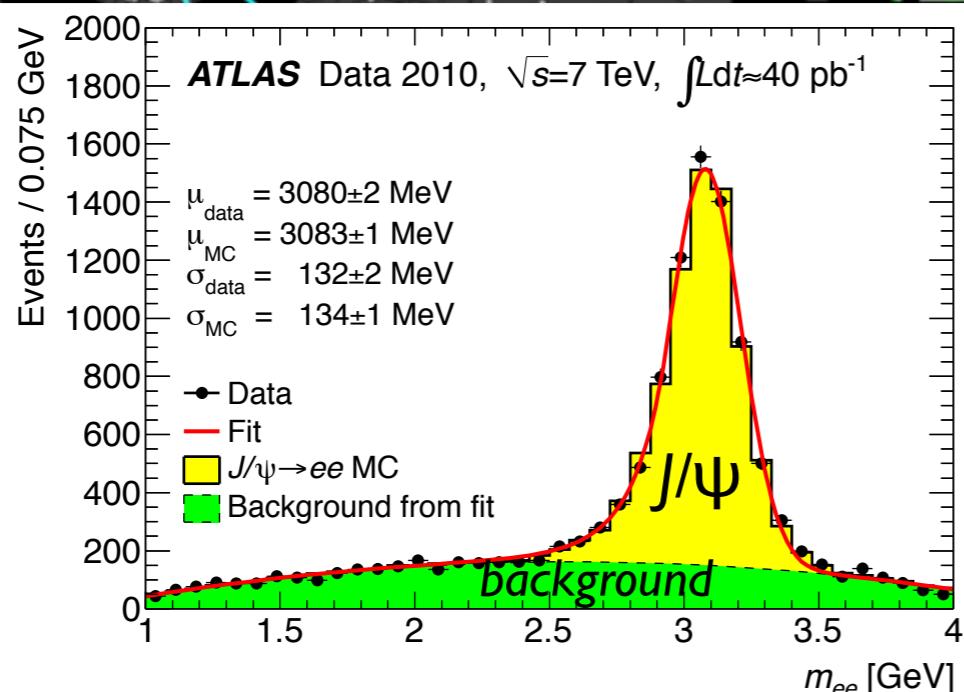
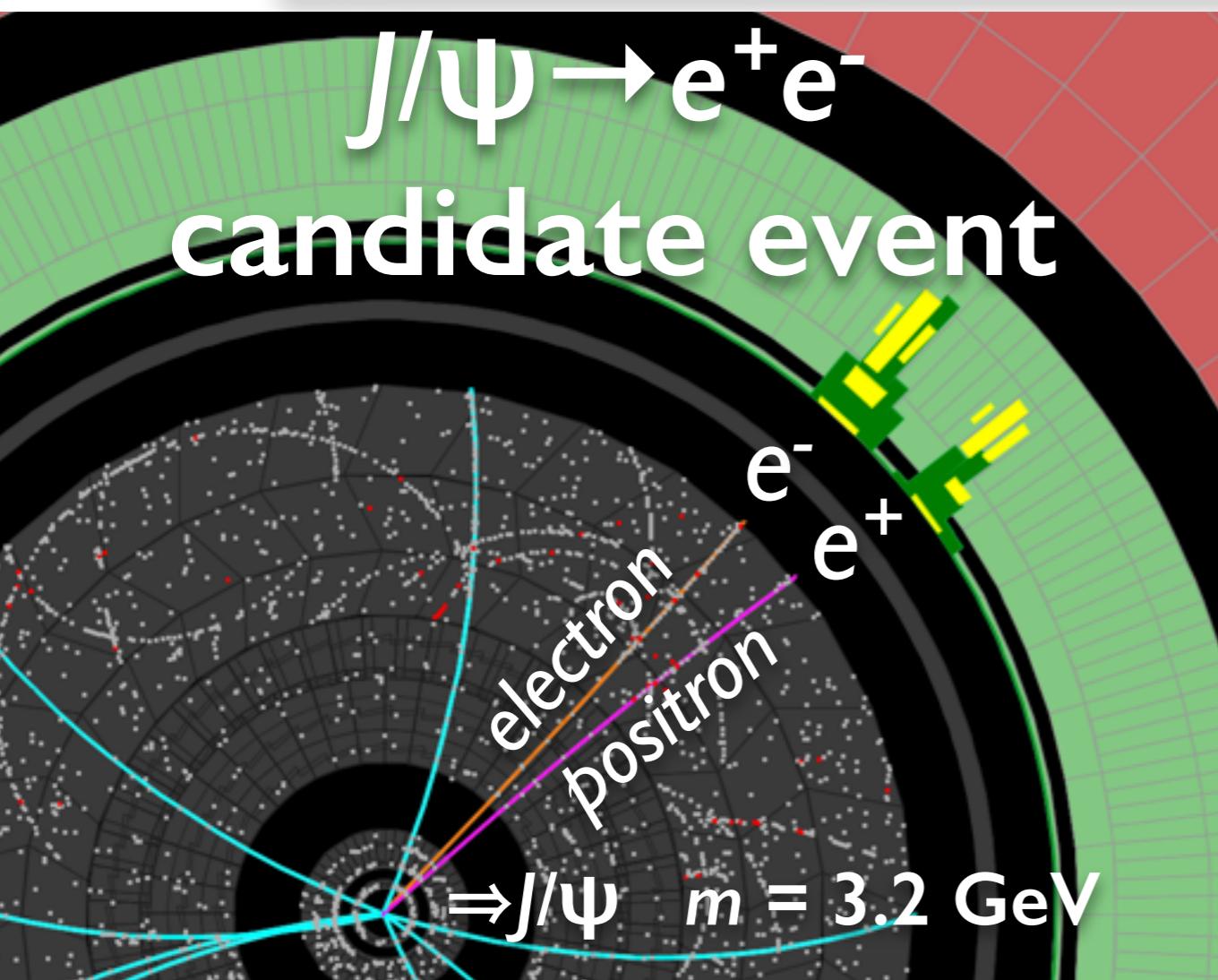
How biased could this be?

does not scale with more data

- **statistical uncertainty:** Poisson uncertainty that scales as  $1/\sqrt{N}$  (for large  $N$ ).
- **class-1 systematic:** constrained in auxillary measurements in the same dataset, scales as  $1/\sqrt{N}$  (for large  $N$ ).
- **class-2 systematic:** an uncertainty from an independent measurement that you do not control.
- **class-3 systematic:** something not accounted for in this model (hopefully negligible).

Classification proposed by Sinervo (PhyStat2003) (CC-BY 4.0) 2016 Ryan Reece philosophy-in-figures.tumblr.com

# Real Patterns



## What is an electron?

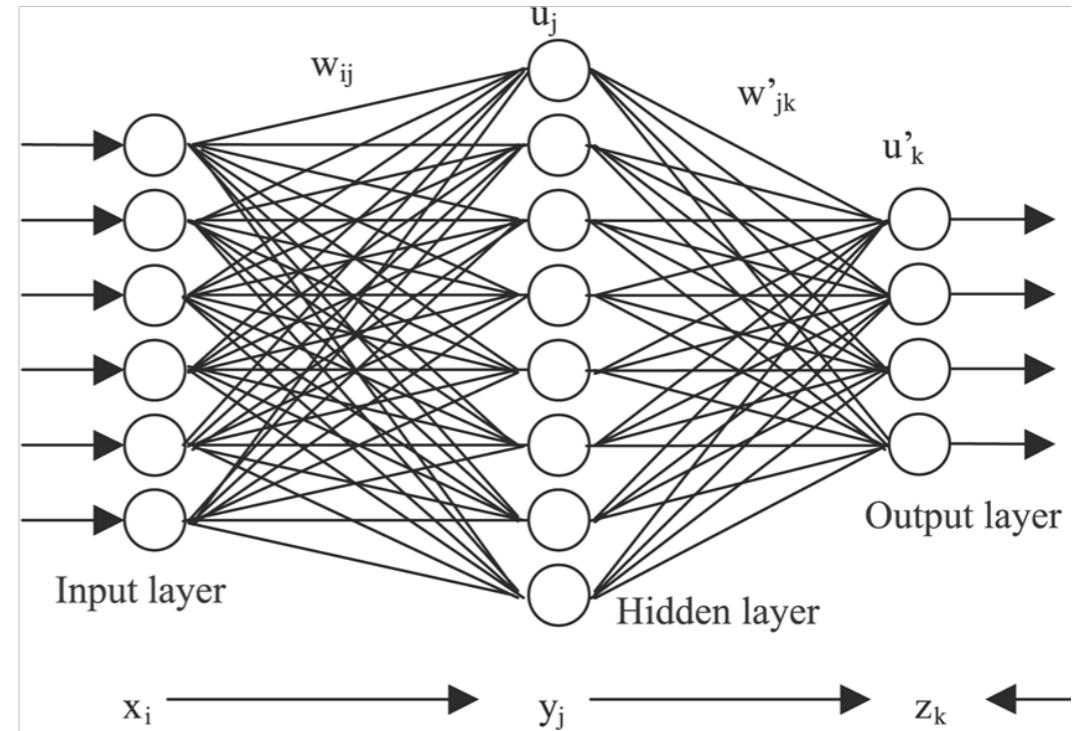
- An excitation in a **Dirac spinor field** representation of  $SU(2) \times U(1)$ , the “Platonic electron”.
- A **software object** with a reconstructed track and calorimeter deposit, passing some selection cuts, the “pragmatist electron”.
- A **set of voltages** and timings read-out from the detector, the “Ramsified electron”.

→ Reality has a hierarchy of onion layers, but it has **real patterns** (Dennett 1991).

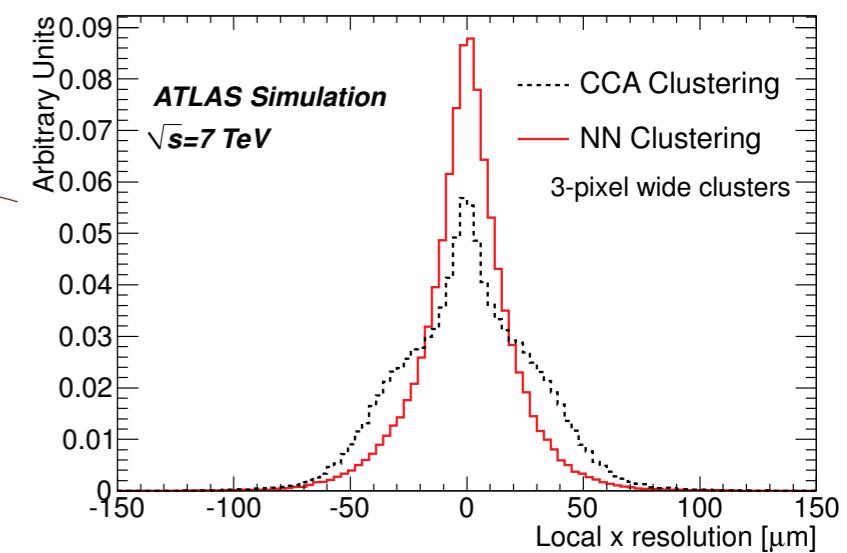
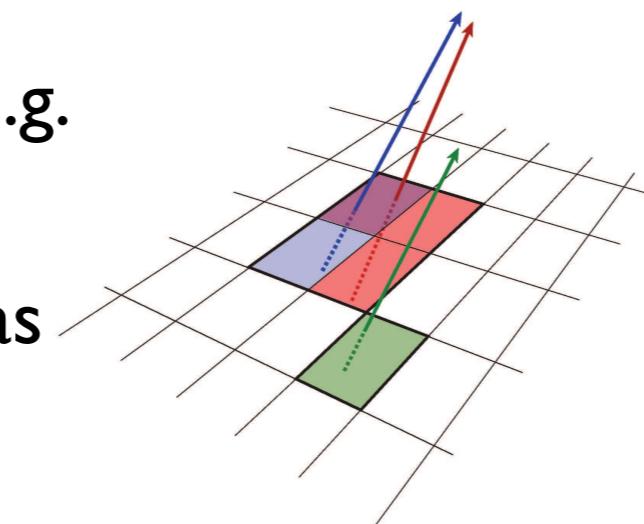
# **Machine Learning**

# Neural Networks

- Inspired by the biological cortex
- Can be used for *classification* or *regression* with many input variables.
- Using NNs and other MVAs has been common in HEP for years, for pattern recognition, particle ID, event selection...
- In the past, always used shallow NNs.
- ATLAS uses NNs in many places, e.g. pixel clustering.
- Jet tagging for taus and b-quarks has used NNs in many iterations.



## ATLAS pixel clustering with NNs



[1406.7690]

# Examples of CNNs

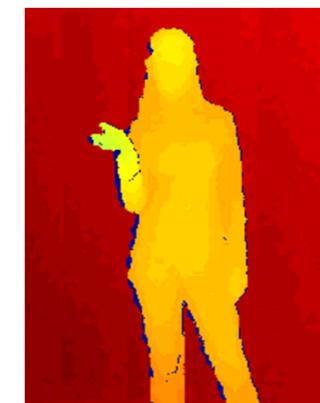
- In 1990s, Yann LeCun pioneered Convolutional Neural Nets (CNN) and used them for Optical Character Recognition.
- Now it is standard in image recognition and captioning, NLP, computer vision, etc.



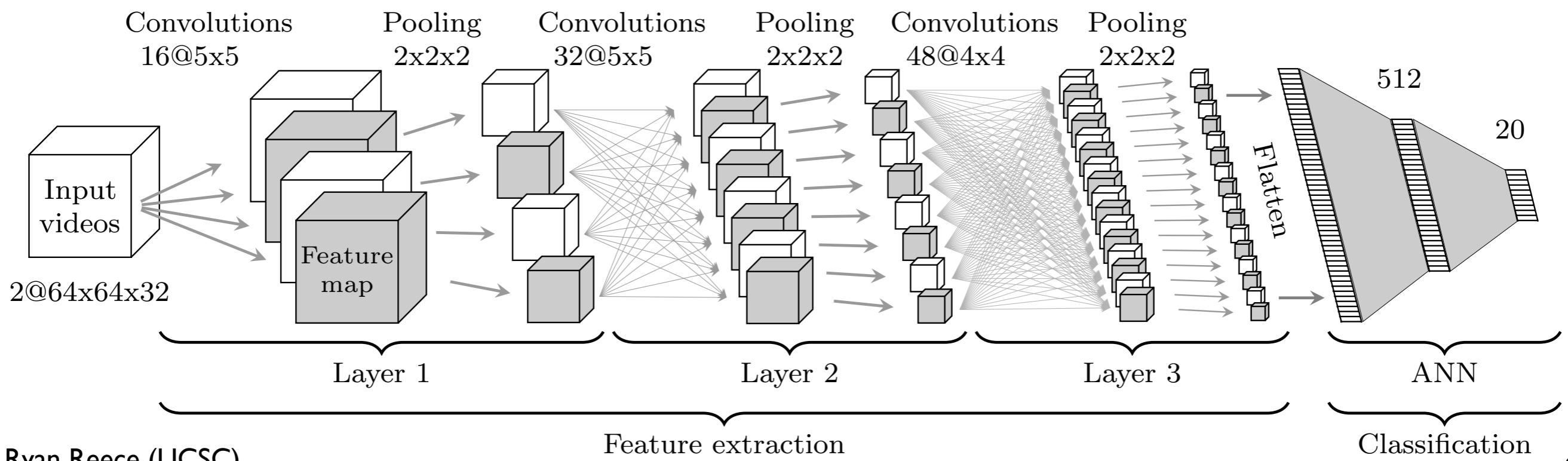
Pigou et al. (2014). Sign Language Recognition using Convolutional Neural Networks.



(a) RGB

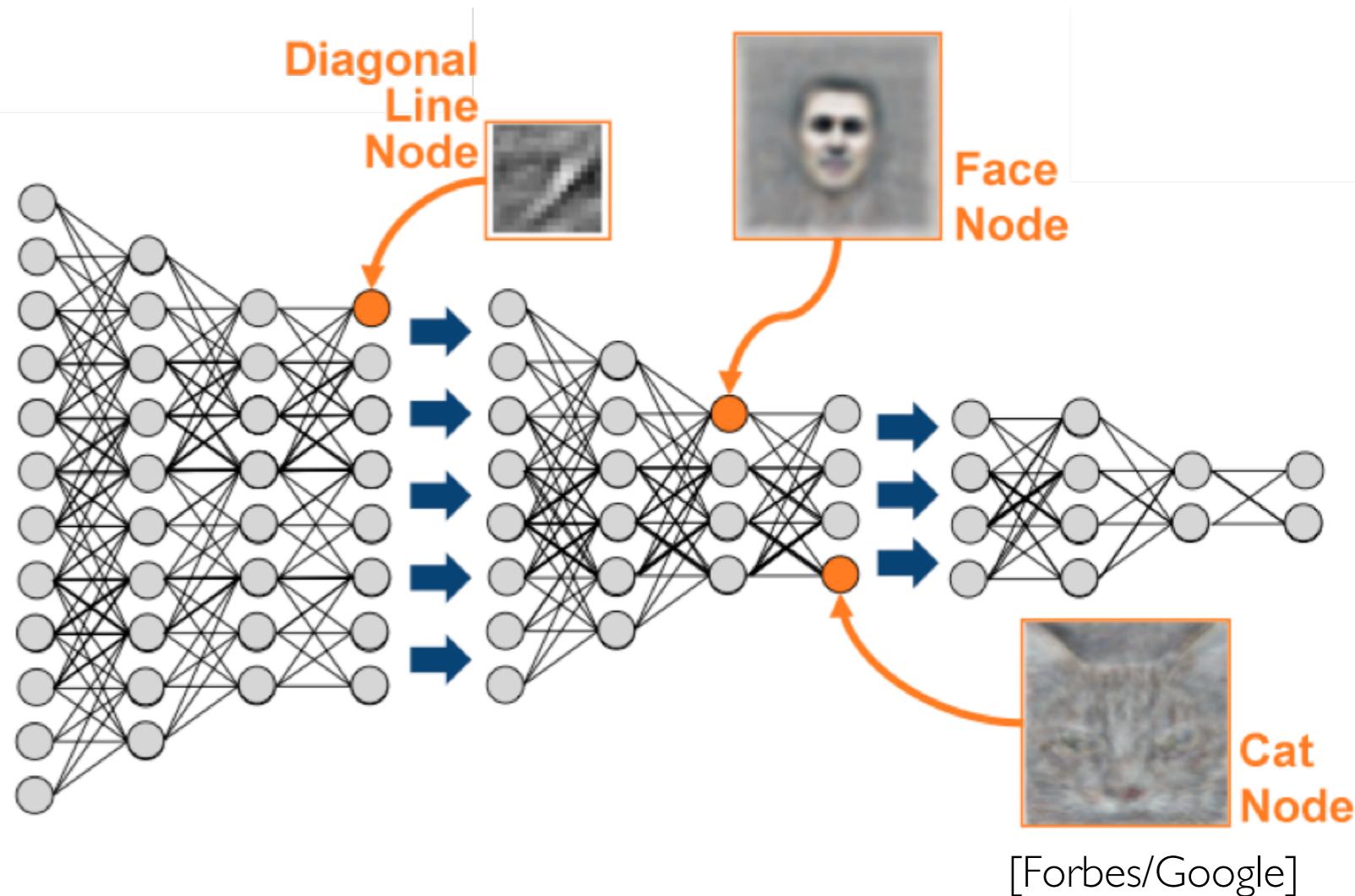


(b) Depth map



# Why go deep?

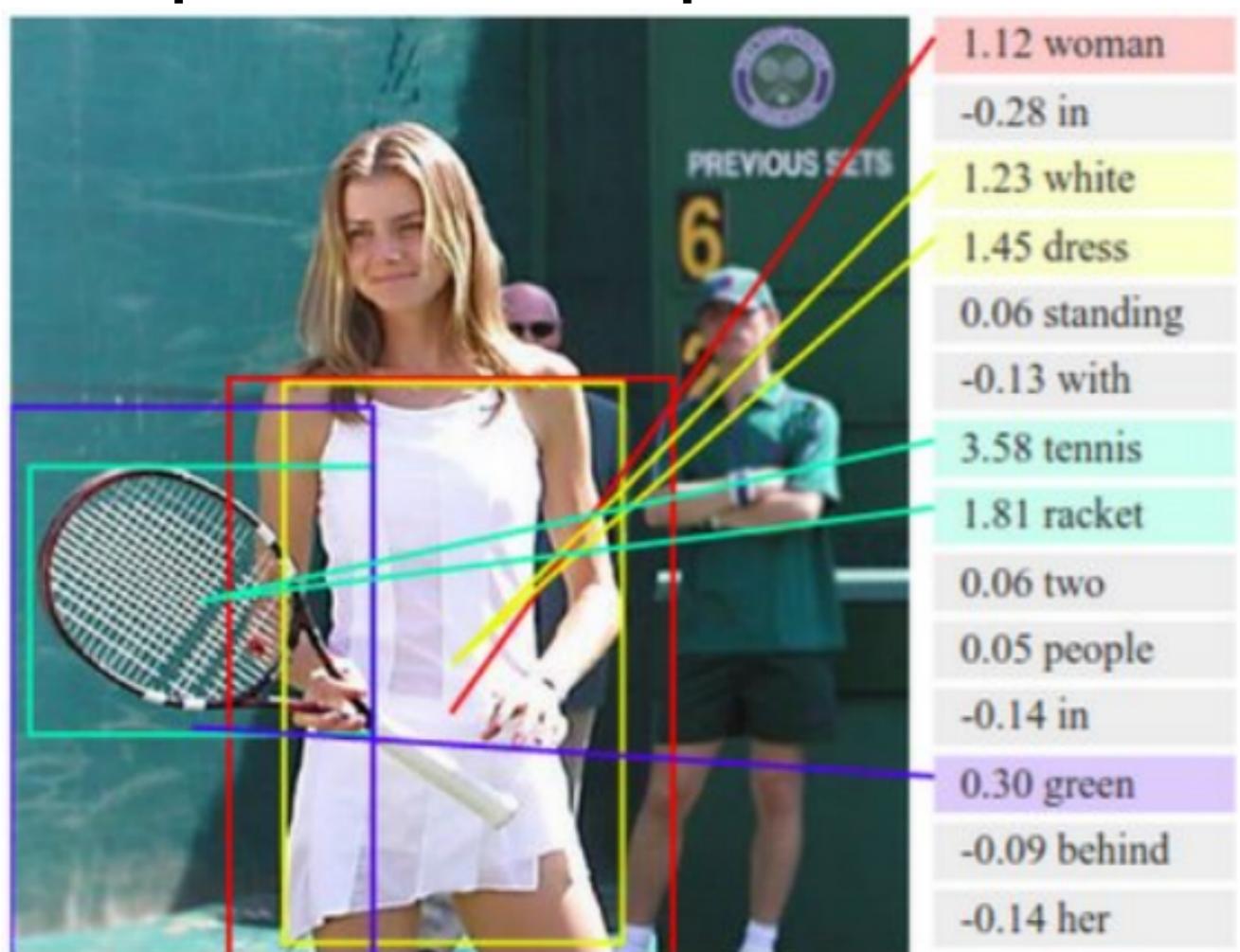
- Multiple layers allow for *feature extraction*.
- “Vanishing gradient problem” → hard to train many layers.
- Now in “Deep Learning Renaissance”



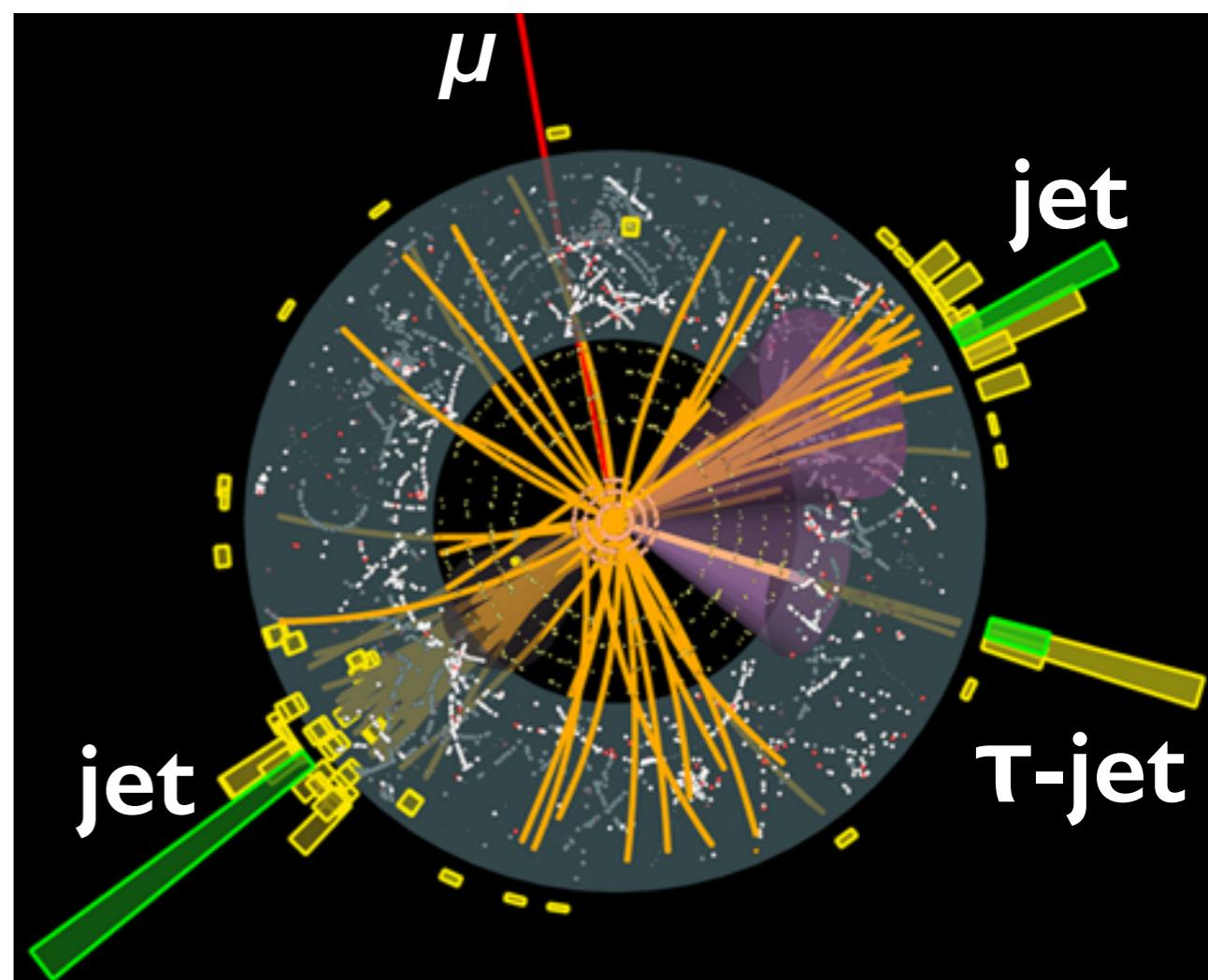
1. Better training: techniques and tools (e.g. smarter NN structures).
2. Better hardware: multicore, GPUs, bigger data centers, cloud computing, coming: neuromorphic computing.
3. More training: bigger datasets, search, the internet, open science.

# Deep learning future?

Google ImageNet  
competition example

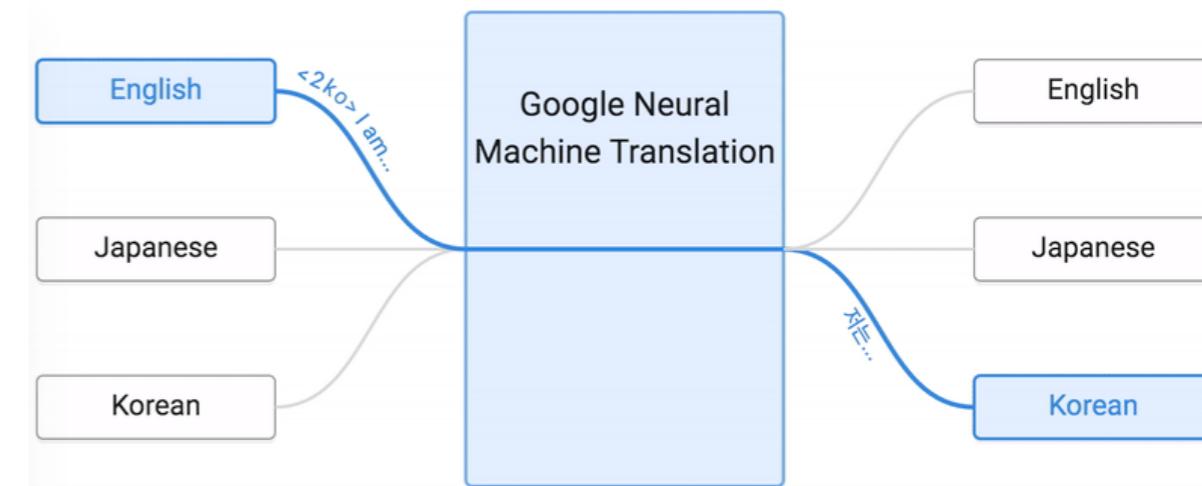


DNN future of ATLAS?



# Natural Kinds?

- Seems like the possible uniqueness of the latent space representations (the features discovered by DNNs) says something interesting about the issue of **natural kinds**, how to carve nature at its joints.
  - ▶ *Opposite sentiments shared by:*  
Bensusan, H. (Sussex) (2014). What can inductive machines suggest about the realism debate?  
Hennig, C. (UCL) (2015). What are the true clusters?
- What do results in machine translation say about arguments for the **inscrutability of reference?**
  - ▶ “Zero-Shot Translation with Google’s Multilingual Neural Machine Translation System” <https://research.googleblog.com/2016/11/zero-shot-translation-with-googles.html>
- I doubt one could rename-away the Higgs field, for example, being the only scalar field in the Standard Model.
  - ▶ My thoughts after reading: Button and Walsh. (2015). “Ideas and Results in Model Theory: Reference, Realism, Structure and Categoricity”. arxiv:1501.00472.

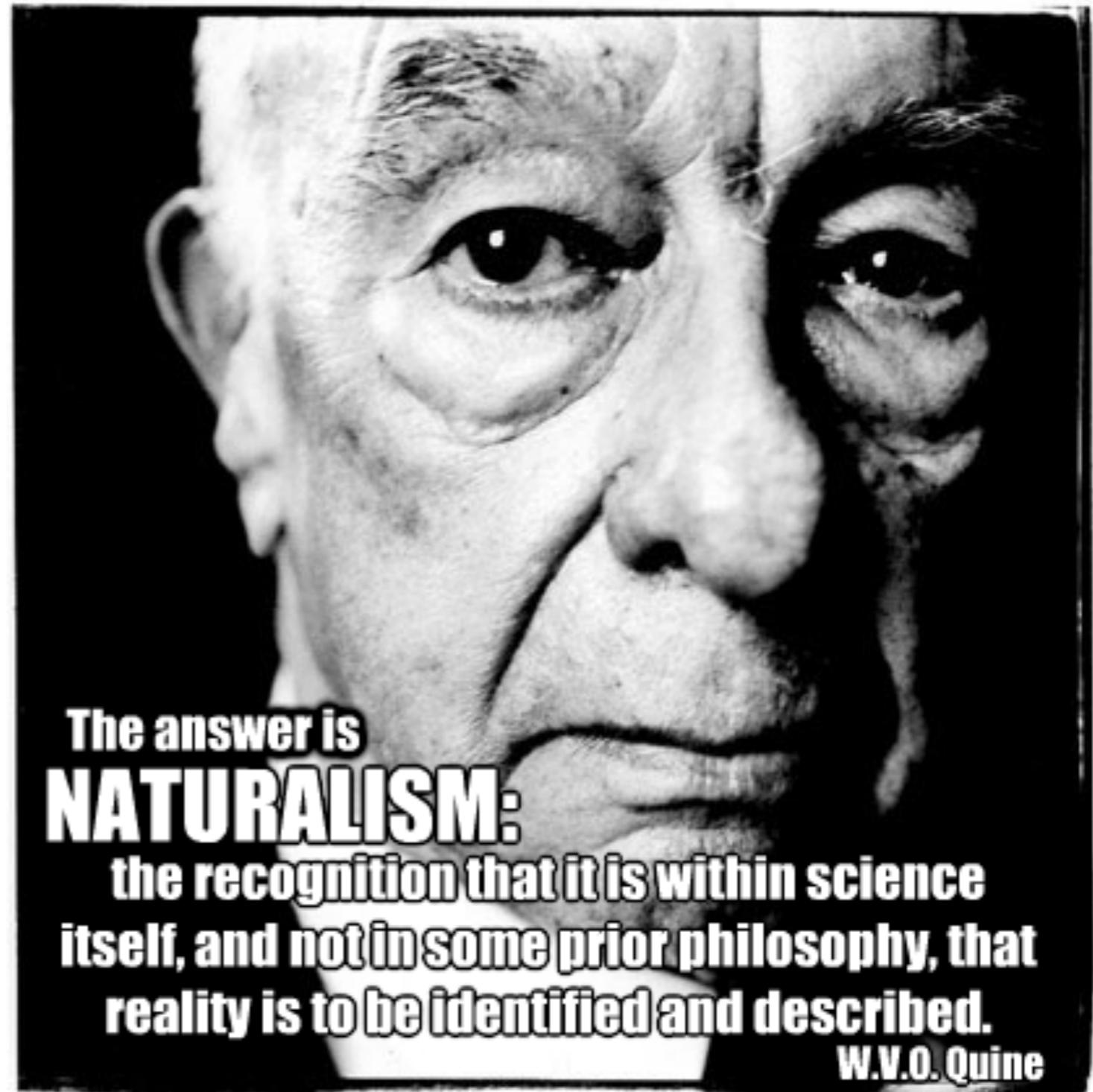


# Summary

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- Particle physics probes very deep questions about what the world is made of, how it works, and how it got here.
- QFT is arguably the most impressive reductionist framework in science.
- Unlike previous eras of parts of physics seeming “near complete”, QFT should be viewed as an *Effective Field Theory*.
- Experimental particle physics has consistently pushed the bounds of computing, and lead the big-data explosion until the 2000s.
- Physicists have learned to statistically justify their claims, and have often lead in developing statistical theory and methods.
- There are arguably *Natural Kind* characterizations of the degrees of freedom in nature, non-arbitrary choices in modeling the data.
- Realist cases can be made for the Standard Model, atoms, genes etc. despite what theory changes come in other regimes (structural realism, rainforest realism, Ladyman & Ross (2007) *Every Thing Must Go*).
- Discoveries in particle physics have the potential to explain the existence of dark matter and reveal details about the early universe.
- Machine learning is revolutionizing how induction can be automated. What does ML say about the realism debate?

# Back up slides

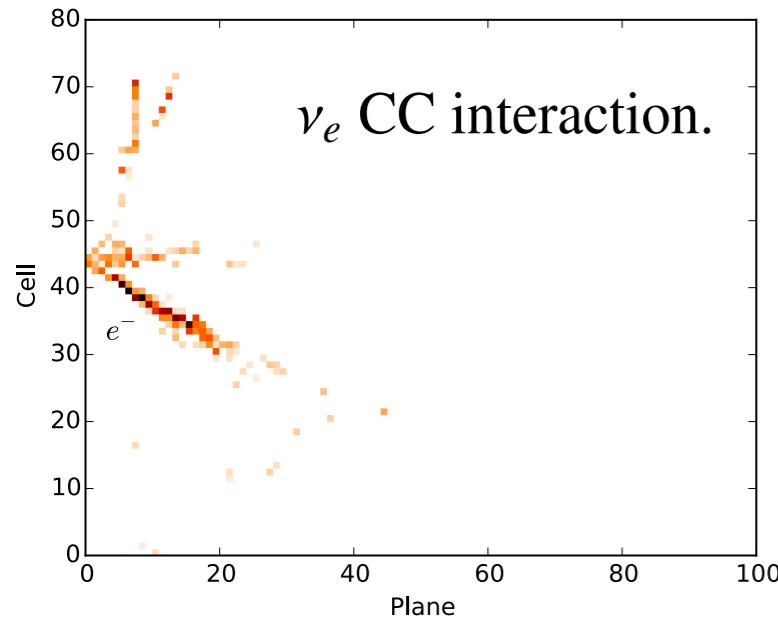


The answer is  
**NATURALISM:**  
the recognition that it is within science  
itself, and not in some prior philosophy, that  
reality is to be identified and described.

W.V.O. Quine

# Deep Learning in HEP

- Deep learning does best with raw data and when there are unexploited features.
  - raw channels → *tagging*
  - basic kinematics → *features*
- Aurisano *et al.* (2016). A Convolutional Neural Network Neutrino Event Classifier. [1604.01444]



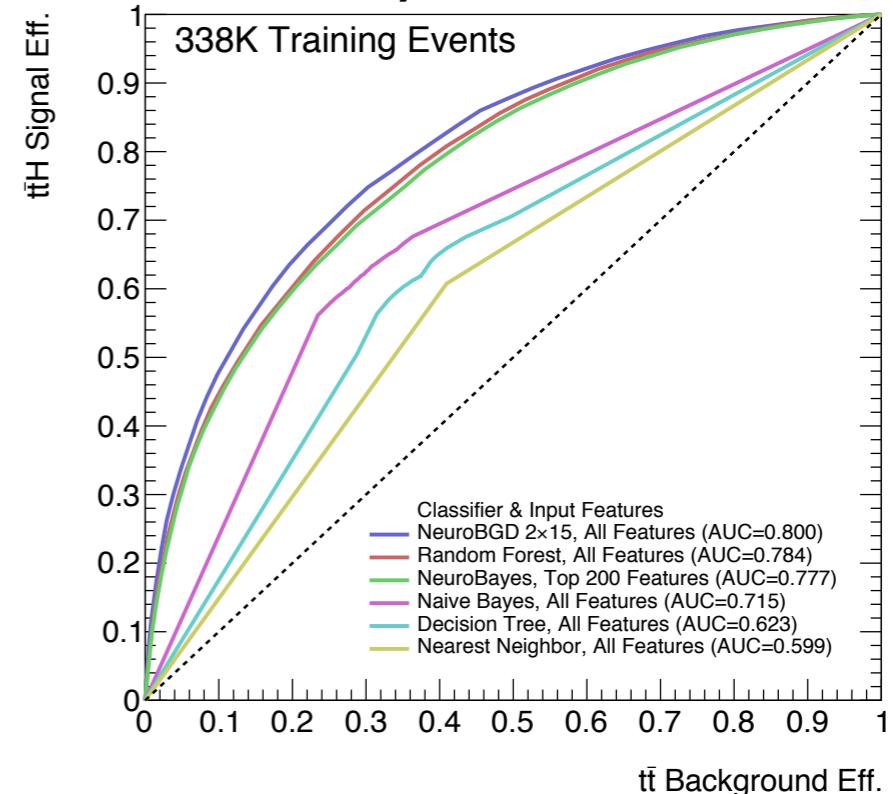
out performs NOvA's conventional reconstruction

- Baldi *et al.* (2014). Searching for Exotic Particles in High-Energy Physics with Deep Learning. [1402.4735]



- Baldi *et al.* (2015). Enhanced Higgs to  $\tau^+\tau^-$  Search with Deep Learning. [1410.3469]

- Santos *et al.* (2016). Machine learning techniques in searches for  $t\bar{t}h$  in the  $h \rightarrow bb$  decay channel. [1610.03088]

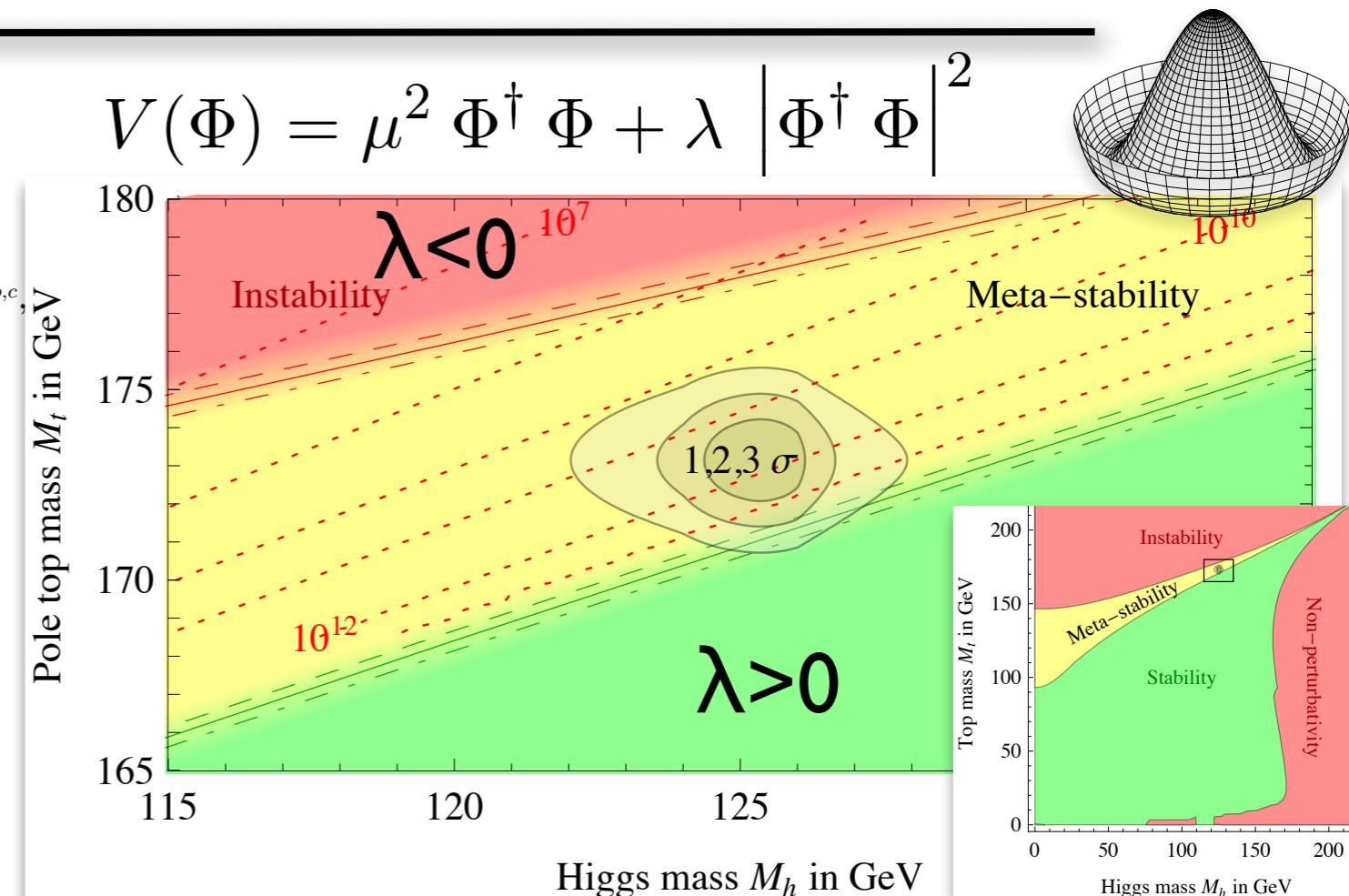


# Naturalness or multiverse?

## Higgs mass and vacuum stability in the Standard Model at NNLO

Giuseppe Degrassi<sup>a</sup>, Stefano Di Vita<sup>a</sup>, Joan Elias-Miró<sup>b</sup>, José R. Espinosa<sup>b,c</sup>,  
Gian F. Giudice<sup>d</sup>, Gino Isidori<sup>d,e</sup>, Alessandro Strumia<sup>g,h</sup>

“If the LHC finds Higgs couplings deviating from the SM prediction and new degrees of freedom at the TeV scale, then the most important question will be to see if a consistent and natural (in the technical sense) explanation of EW breaking emerges from experimental data. But if the LHC discovers that the Higgs boson is not accompanied by any new physics, then it will be much harder for theorists to unveil the underlying organizing principles of nature. The multiverse, although being a stimulating physical concept, is discouragingly difficult to test from an empirical point of view. The measurement of the Higgs mass may provide a precious handle to gather some indirect information.”



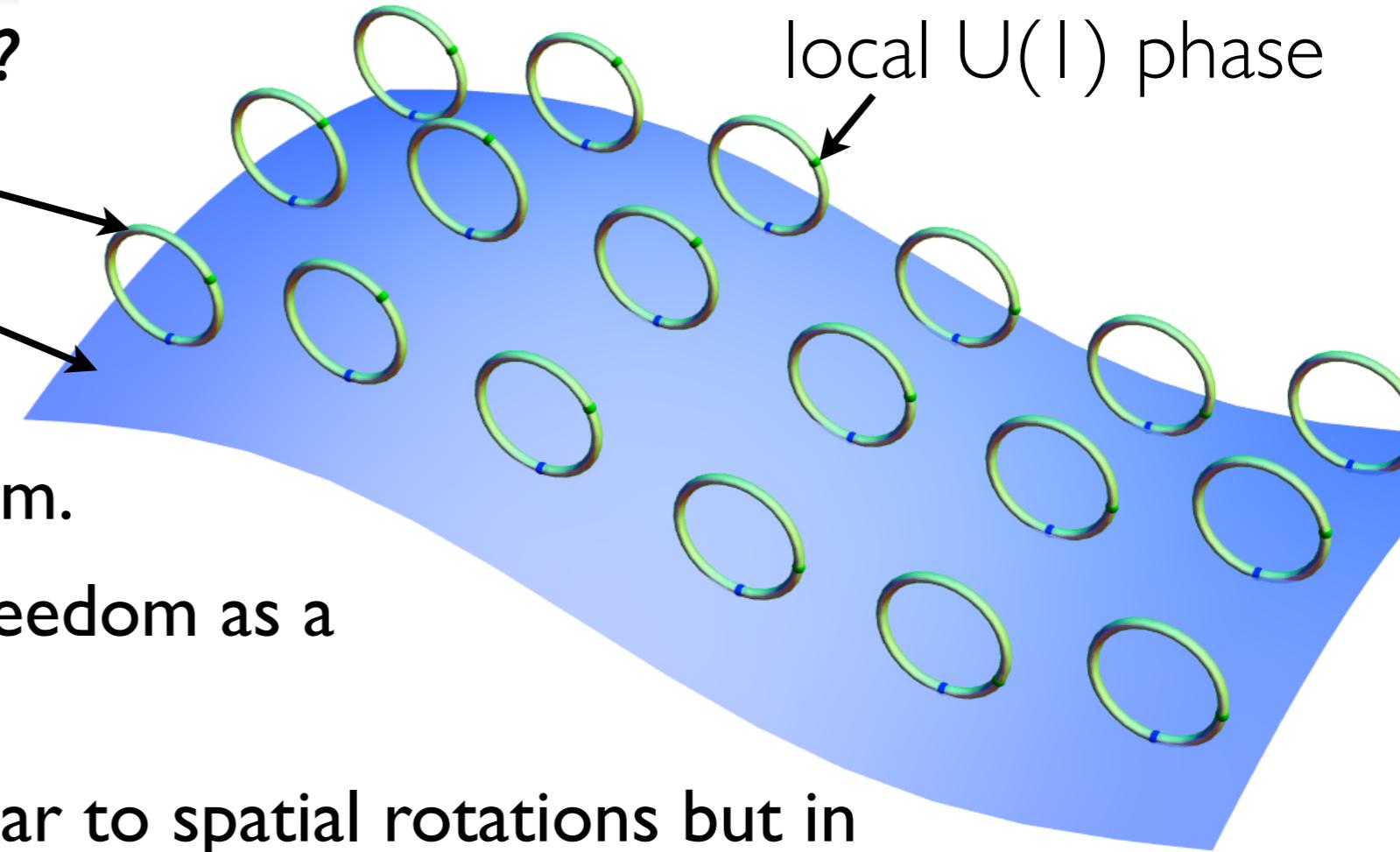
# Gauge invariance is deep!

Why do gauge theories work?

Internal gauge space

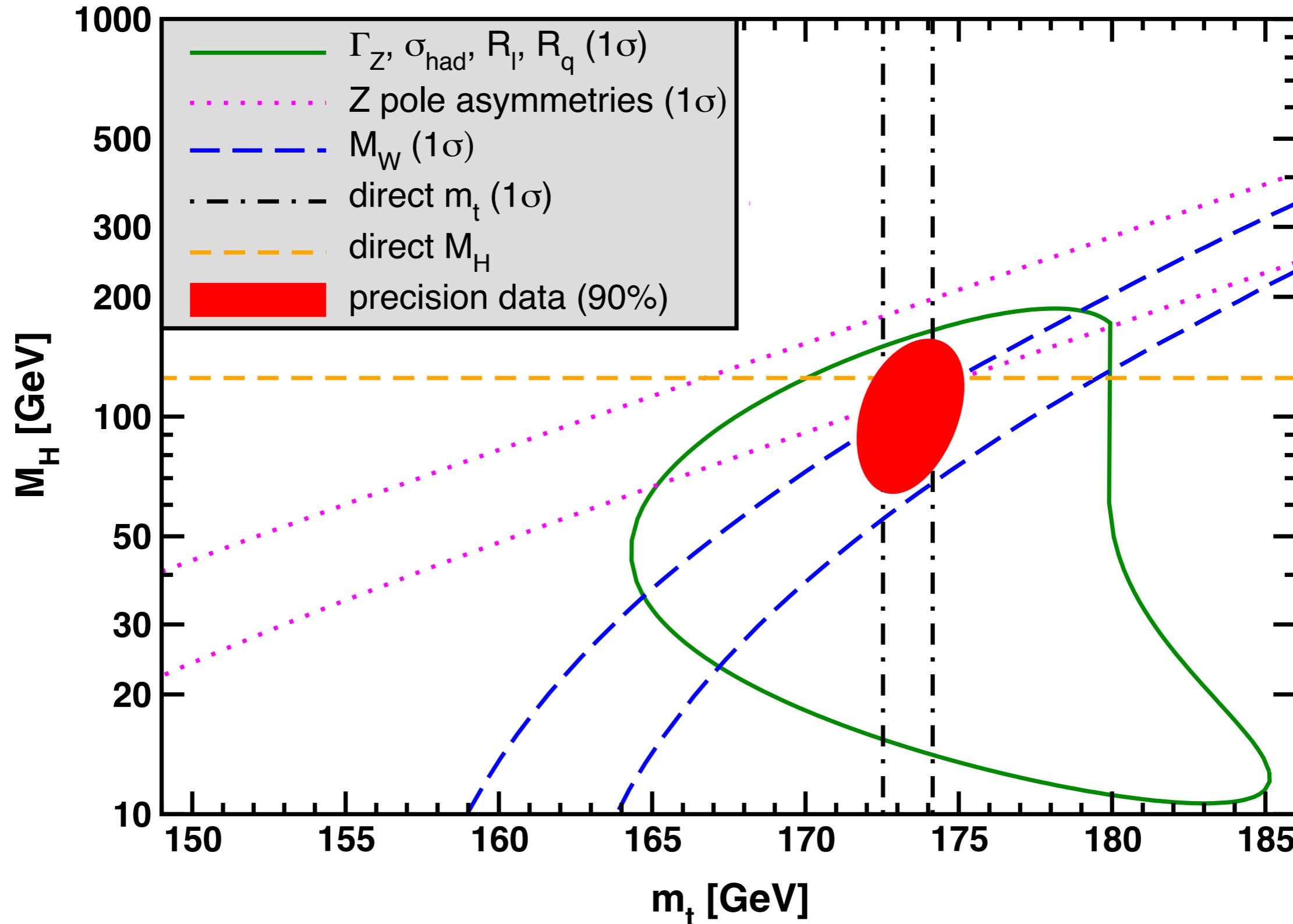
Spacetime

local  $U(1)$  phase



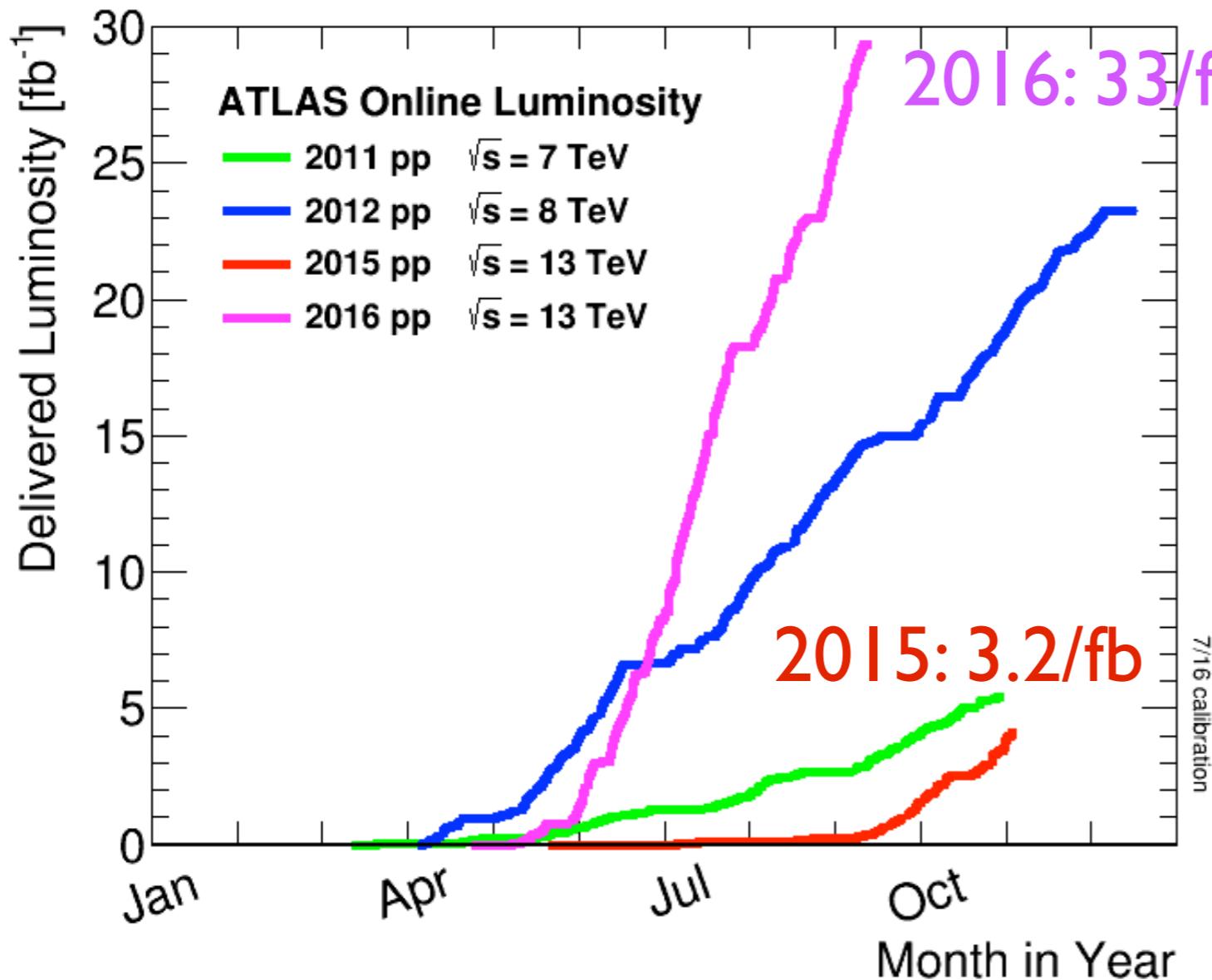
- Loyalty to the gauge principle motivated the Higgs mechanism.
- Some have described gauge freedom as a “redundancy of description”.
- But it is also a symmetry, similar to spatial rotations but in the *internal space of the field*.
- Can be rotated *locally*, independently at every spacetime point.
- What does it mean for the laws of nature to be describable by the continuous symmetries of Lie groups?
- What does it mean that the state of the universe can be represented as an element of a complex vector space, a Hilbert space?

# The SM is over constrained

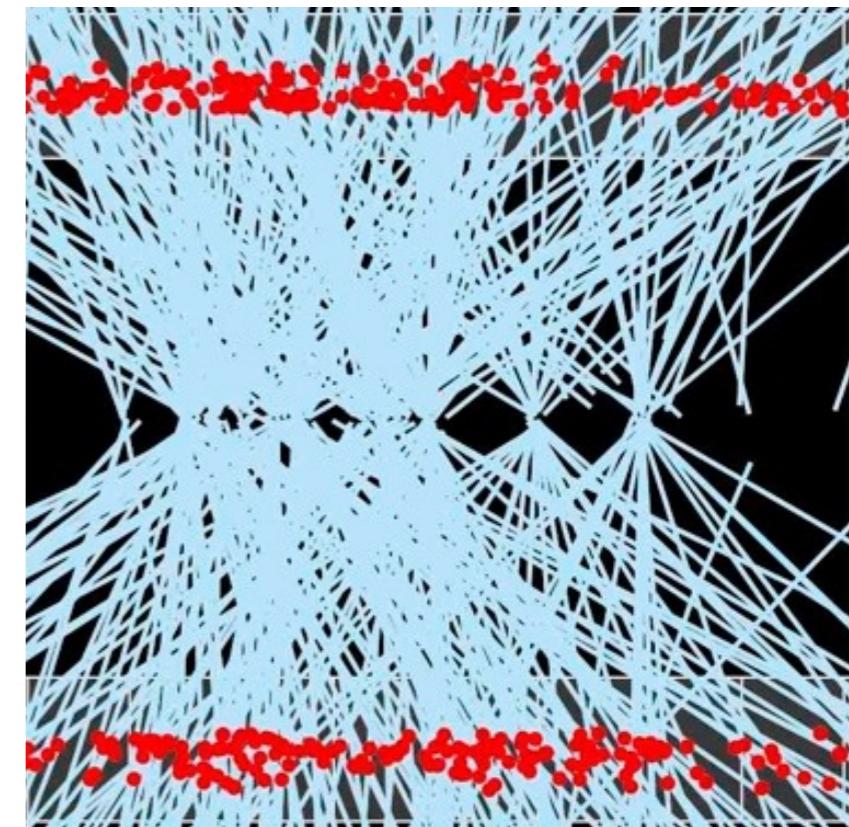


# Datasets

The LHC has performed extremely well!!



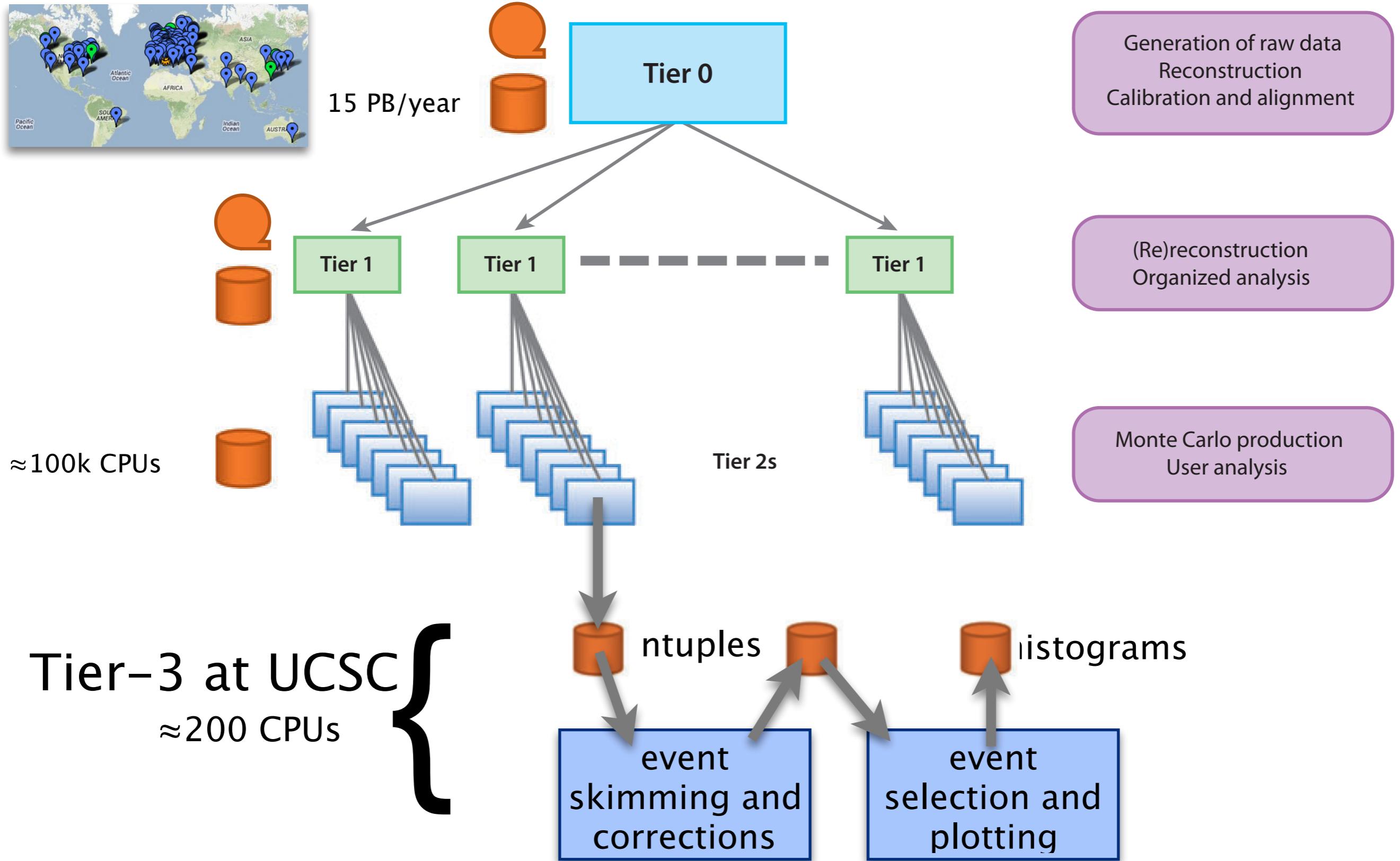
Recently broke inst. lumi. records  $> 10^{34} \text{ cm}^{-2}\text{s}^{-1}$



Typically 20-40 vertices per bunch crossing

Latest analyses combine collision data at  $\sqrt{s}=13\text{TeV}$  collected in the years 2015 and 2016, giving a total integrated lumi  $\approx 13\text{-}15 \text{ fb}^{-1}$ .

# Computing infrastructure



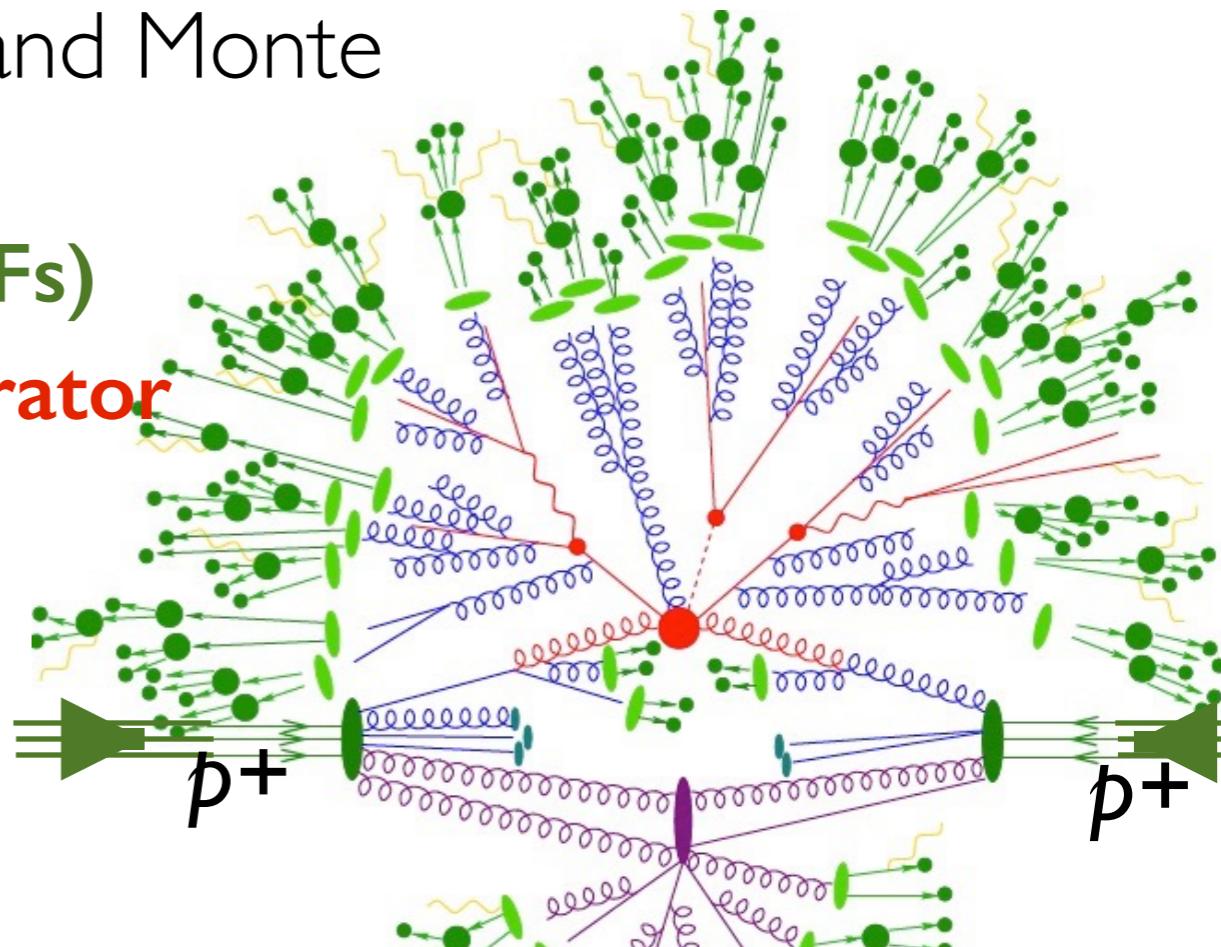
# Matrix element

Hard-scatter matrix elements are calculated from a perturbative sum of Feynman graphs.

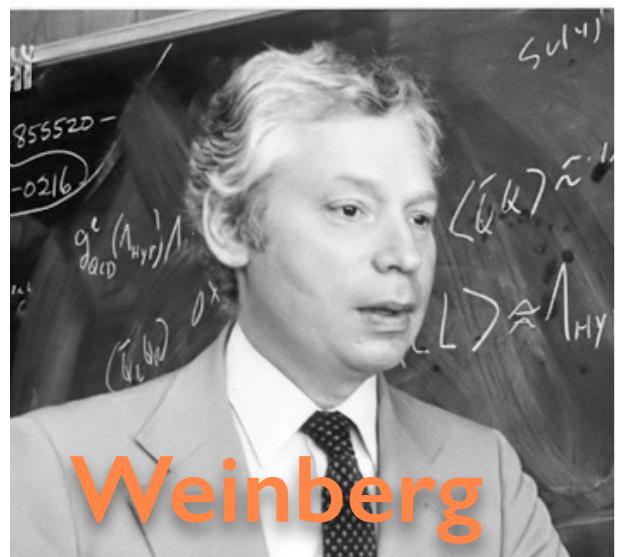
$$|\mathcal{M}|^2(p^+ \rightarrow \text{jet} + \text{photon}) = \left| \sum \left( \begin{array}{c} \text{Feynman Graphs} \\ \text{Diagram 1} \\ \text{Diagram 2} \\ \vdots \\ \text{Diagram n} \end{array} \right) \right|^2$$

The strong force further complicates things by confining quarks in hadrons. Theorists and Monte Carlo simulations factor the problem:

- “**Parton Distribution Functions**” (PDFs)
- “**Hard-scatter**” matrix element generator
- “**Parton shower**”,  
**Bremsstrahlung**,  
**Initial/final-state radiation**
- “**Hadronization**”



# Symmetry-first physics



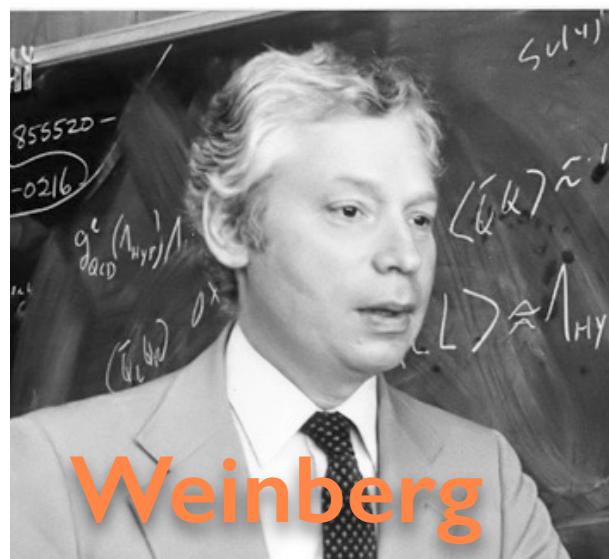
“Why do we enumerate possible theories by giving their Lagrangians rather than by writing down Hamiltonians? ... that **symmetries** imply the existence of Lie algebras of suitable quantum operators, and you need these Lie algebras to make sensible quantum theories. ... if you start with a **Lorentz invariant Lagrangian density** then because of Noether’s theorem the **Lorentz invariance of the S-matrix is automatic.**”

--

Weinberg, S. (1996). What is quantum field theory, and what did we think it is?

⇒ QFT is naturally relativistic if one requires that the Poincaré algebra be satisfied.

# Effective Field Theories



“it is very likely that *any quantum theory* that at sufficiently low energy and large distances looks Lorentz invariant and satisfies the cluster decomposition principle will also at sufficiently low energy *look like a quantum field theory*. ...

This leads us to the idea of **effective field theories**. When you use quantum field theory to study low-energy phenomena, then according to the folk theorem you’re not really making any assumption that could be wrong, unless of course Lorentz invariance or quantum mechanics or cluster decomposition is wrong, provided you don’t say specifically what the Lagrangian is. As long as you let it be the most general possible Lagrangian consistent with the symmetries of the theory, you’re simply writing down the most general theory you could possibly write down.”

--

Weinberg, S. (1996). What is quantum field theory, and what did we think it is?

⇒ QFT is a way of parametrizing effective, local degrees of freedom.

# Data science workflow

Data science done well looks easy after your data is clean.

- I. Define the question of interest

**SM and BSM physics**

■ **HEP/ATLAS equivalent**

2. Get the data

**dq2/rucio, Globus GridFTP**

3. **Clean and correct the data**

**GRLs, CP tools, RootCore, SUSYTools, QuickAna**

4. Explore the data

**ROOT, event loops, histograms**

5. Fit statistical models

**RooFit, RooStats, HistFitter, CLs/Bayesian methods**

6. Communicate the results

**talks, notes, publications, arxiv**

7. Make your analysis reproducible

**CDS, SVN, HEPData, RECAST**

*Data cleaning can  
be a significant  
part of the  
analysis effort!*

taken from: <http://simplystatistics.org/2015/03/17/data-science-done-well-looks-easy-and-that-is-a-big-problem-for-data-scientists/>