
MSR Approaches

Defect prediction

Change analysis and prediction

Empirical studies

Expertise & bug triaging

Visual evolution analysis

Human factors

Defect prediction

Change analysis and
prediction

Empirical studies

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Human factors analysis

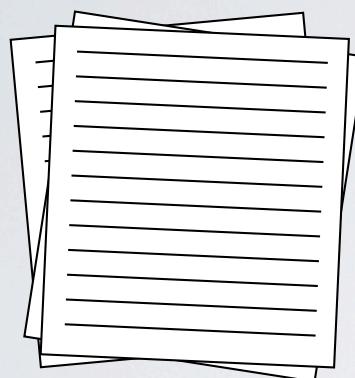
Defect prediction

- ▶ Goal: Optimize available QA resources by
 - ▶ reducing code review cost
 - ▶ identifying defect-prone components
 - ▶ ranking component according to defect proneness

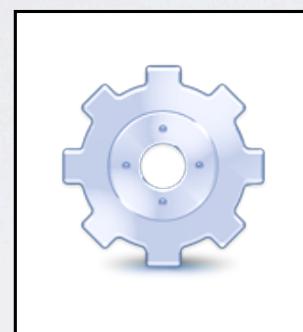
Defect prediction in a nutshell

Coarse grained prediction: module/
binary/subsystem

Fine grained prediction: class/file



Prediction
data



Prediction
model

Classification

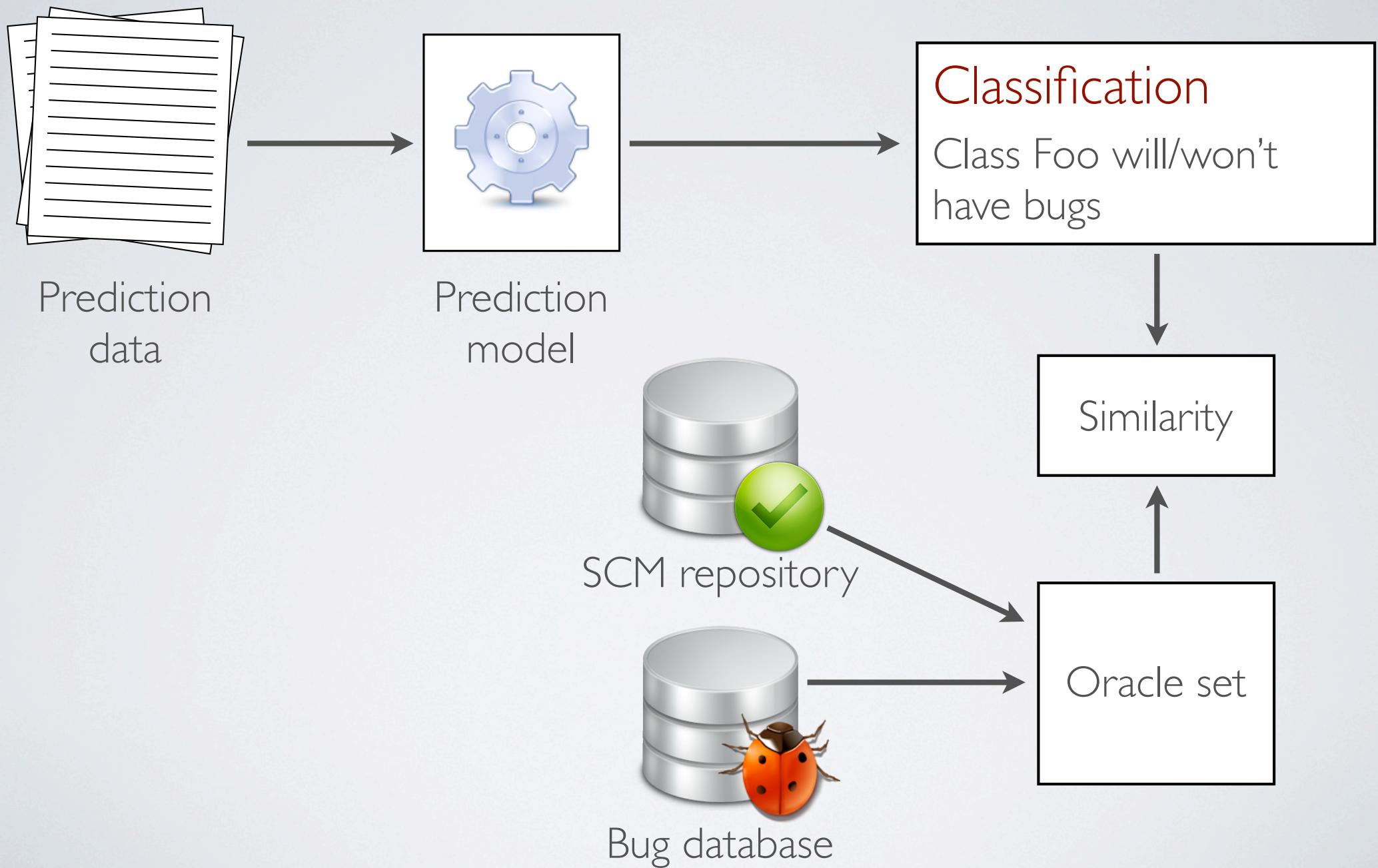
Class Foo will/won't
have bugs

Correct?

Ranking

Class Foo will have
more bugs than class Bar

How to verify the prediction



Verify the prediction: time perspective

Prediction goal
↓

Post release defects



Correct?

```
for(int j=0; j<i; j++) {
    UCJi = dataUC[i];
    UCJj = dataUC[j];
    if(UCJi.compare(x)>0) {
        /* exchange */
        dataUC[i] = dataUC[j];
        /* sort the data */
        data[i-1] = data[i];
        data[i] = UCJi;
        dataUC[i-1] = UCJj;
        dataUC[i] = UCJMi;
    }
}
```

Past

Time
→

“Future”

Release X



Evaluating defect prediction approaches

$$\text{Accuracy} = \frac{\text{Size(Correctly classified artifacts)}}{\text{Size(All artifacts)}}$$

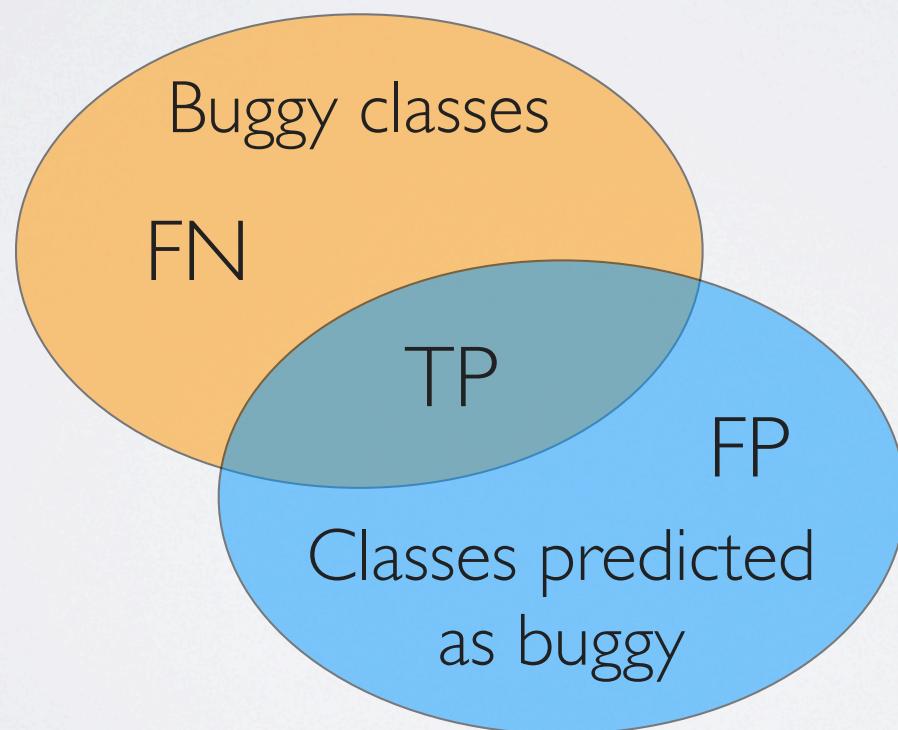
- ▶ Defects distribution typically follows the Pareto law
 - ▶ 80% of defects are found in 20% of artifacts
 - ▶ A trivial model that predicts all artifact as defect prone would provide a very good accuracy

Evaluating classification

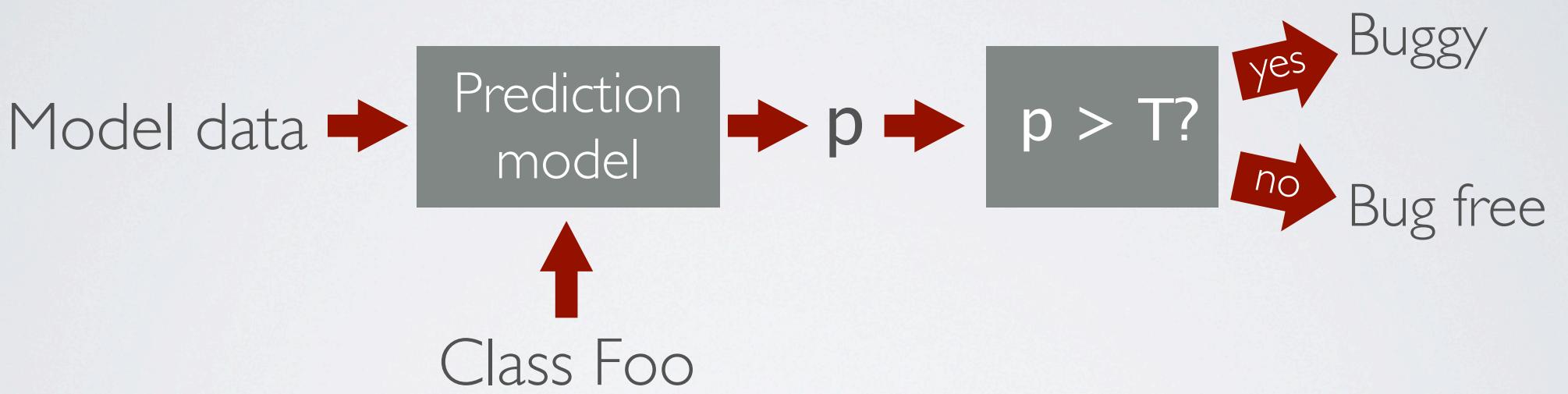
Precision & recall

How
small FP is

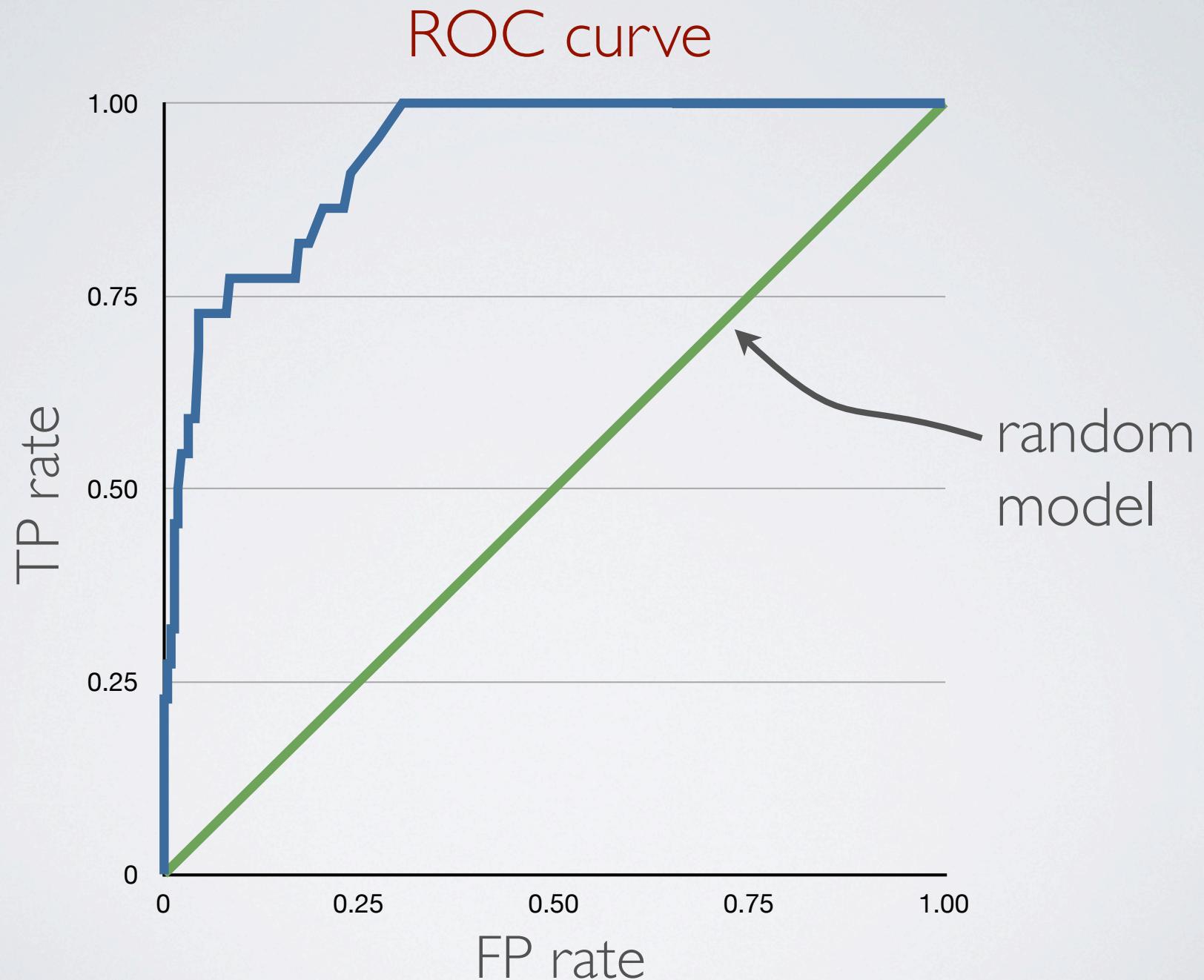
How
small FN is



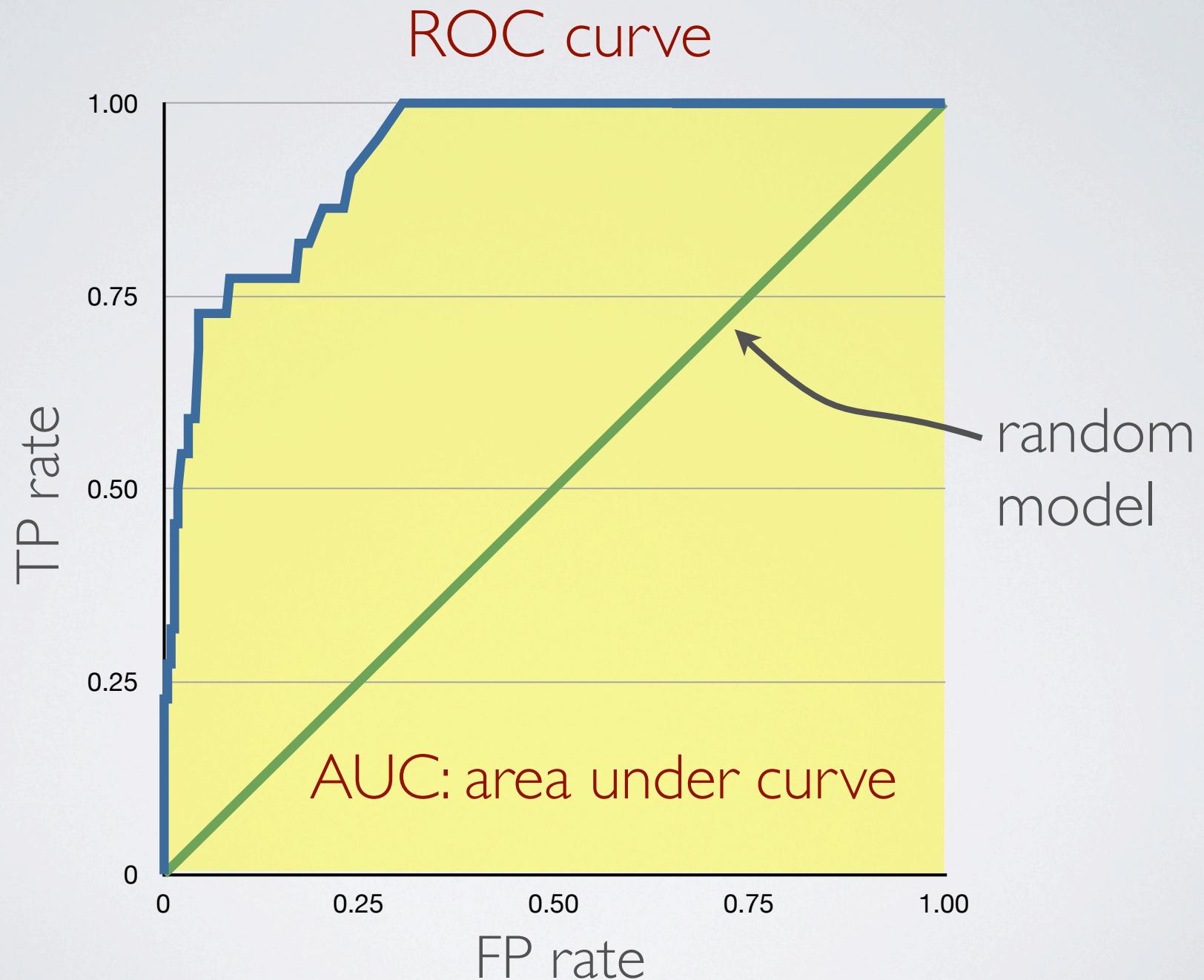
Evaluating classification



Evaluating classification

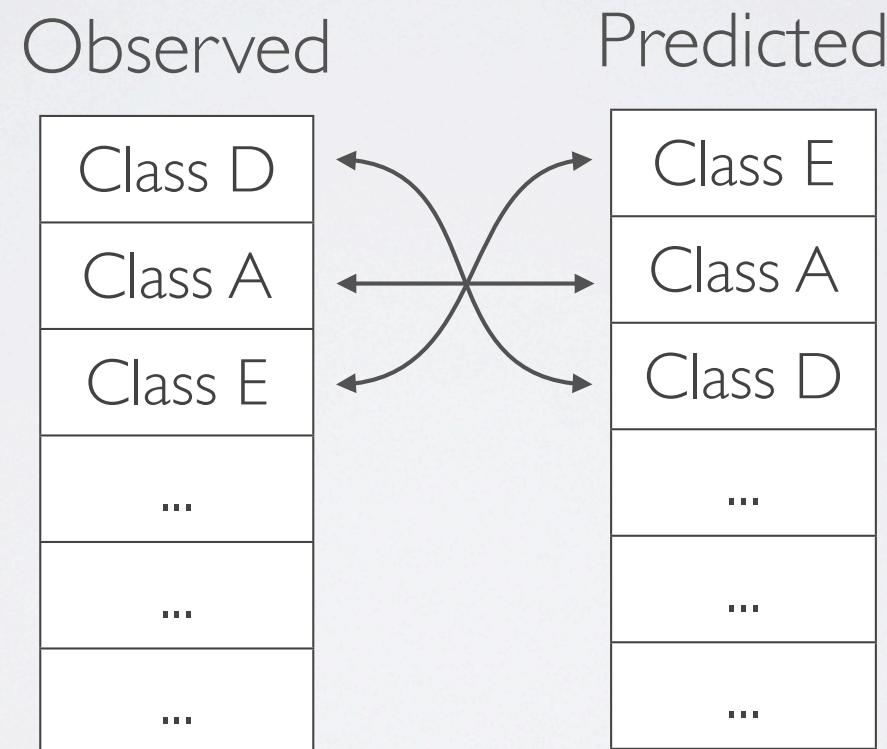


Evaluating classification



Evaluating ranking

Spearman's correlation coefficient



Evaluating ranking

Spearman's correlation
coefficient

Observed

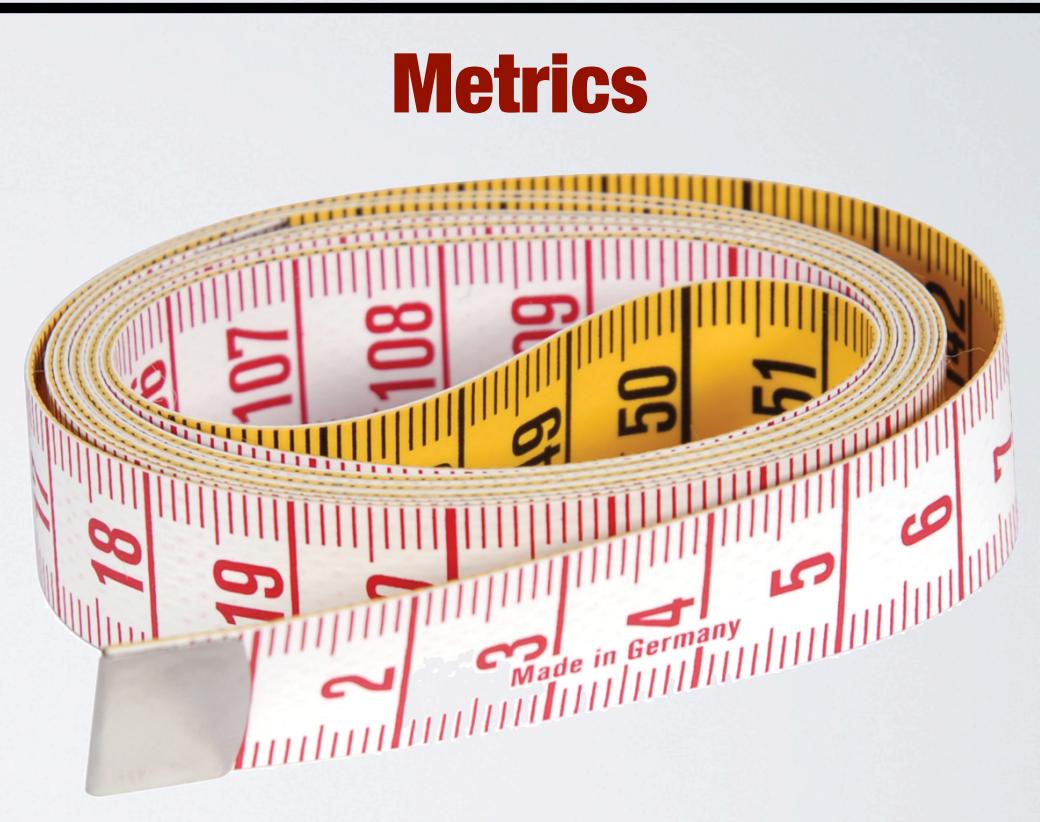
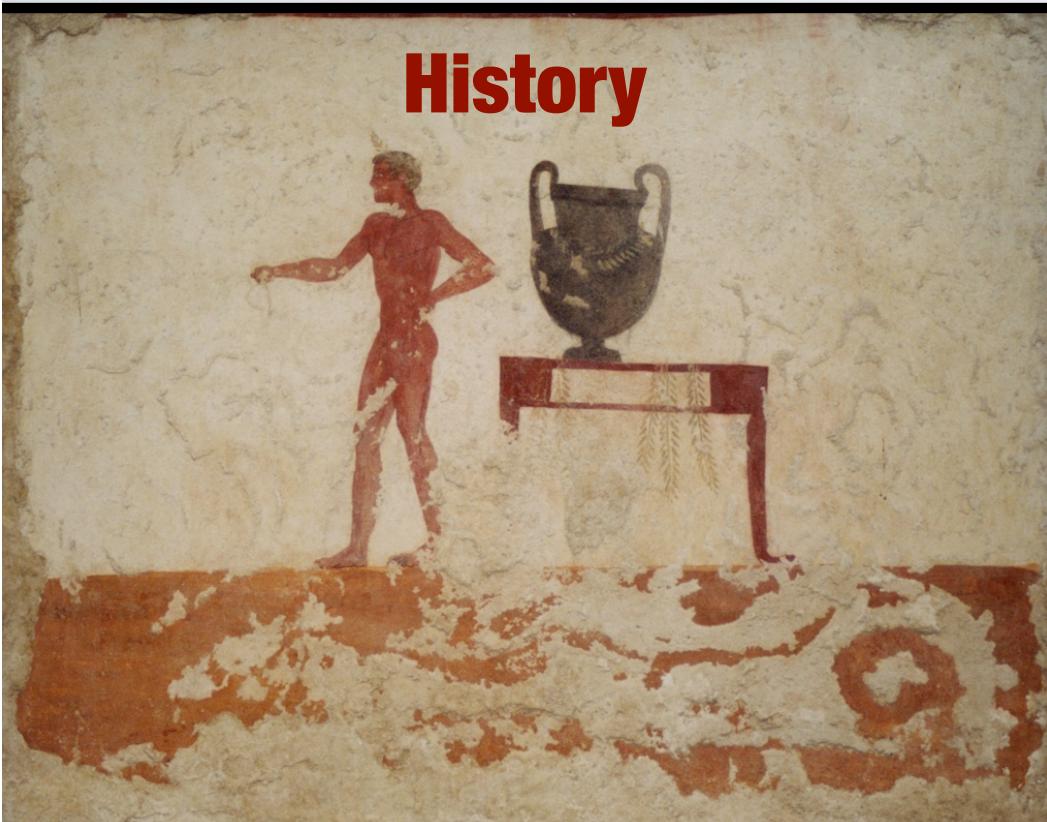
Class D
Class A
Class E
...
...
...

Predicted

Class E
Class A
Class D
...
...
...

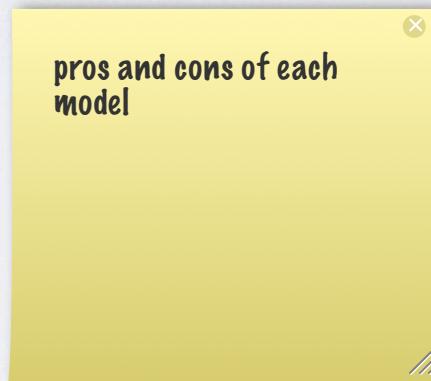


Approaches are based on:



Typical prediction models

- ▶ Linear or logistic regression
- ▶ Decision trees - Random Forest
- ▶ Naïve Bayes
- ▶ SVM



Predicting defects with LOC

- ▶ A number of studies demonstrated that LOC is highly correlated with defects and can be used as a predictor for future defects
- ▶ The hypothesis is that larger (or more complex) artifacts are more likely to introduce defects

Basili et al., *A validation of object-oriented design metrics as quality indicators*, TSE 1996

Graves et al., *Predicting Fault Incidence Using Software Change History*, TSE 2000

Gyimóthy et al., *Empirical validation of object-oriented metrics on open source software for fault prediction*, TSE 2005

Ostrand et al., *Predicting the Location and Number of Faults in Large Software Systems*, TSE 2005

Predicting defects with changes

- ▶ Code churn and number of changes were largely employed as predictors for defects
- ▶ The hypothesis is that frequently modified artifacts are more likely to introduce defects

Khoshgoftaar et al., Detection of software modules with high debug code churn in a very large legacy system, ISSRE 1996

Graves et al., *Predicting fault incidence using software change history*, TSE 2000

Nagappan and Ball, *Use of Relative Code Churn Measures to Predict System Defect Density*, ICSE 2005

Moser et al., *A comparative analysis of the efficiency of change metrics and static code attributes for defect prediction*, ICSE 2008

Hassan , *Predicting faults using the complexity of code changes*, ICSE 2009

Predicting Defects for Eclipse

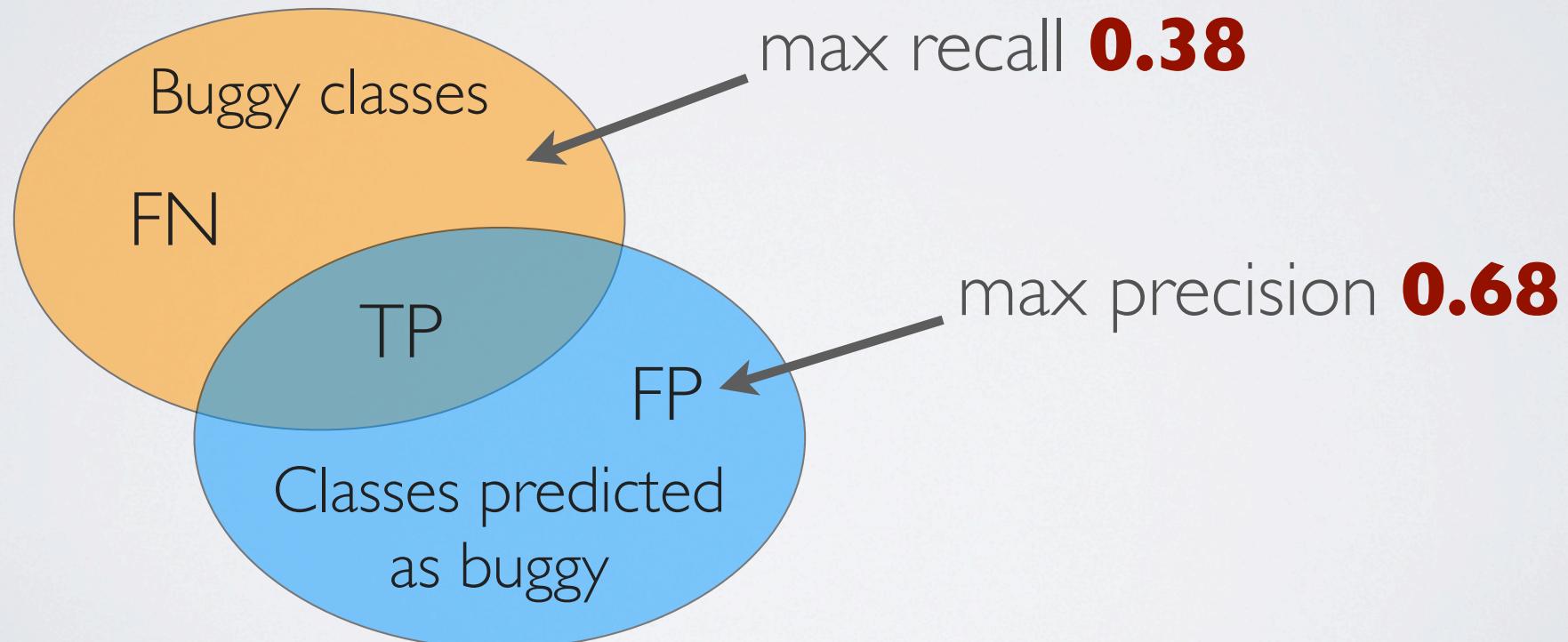


- ▶ Classification and ranking on 3 versions of Eclipse

Predicting Defects for Eclipse



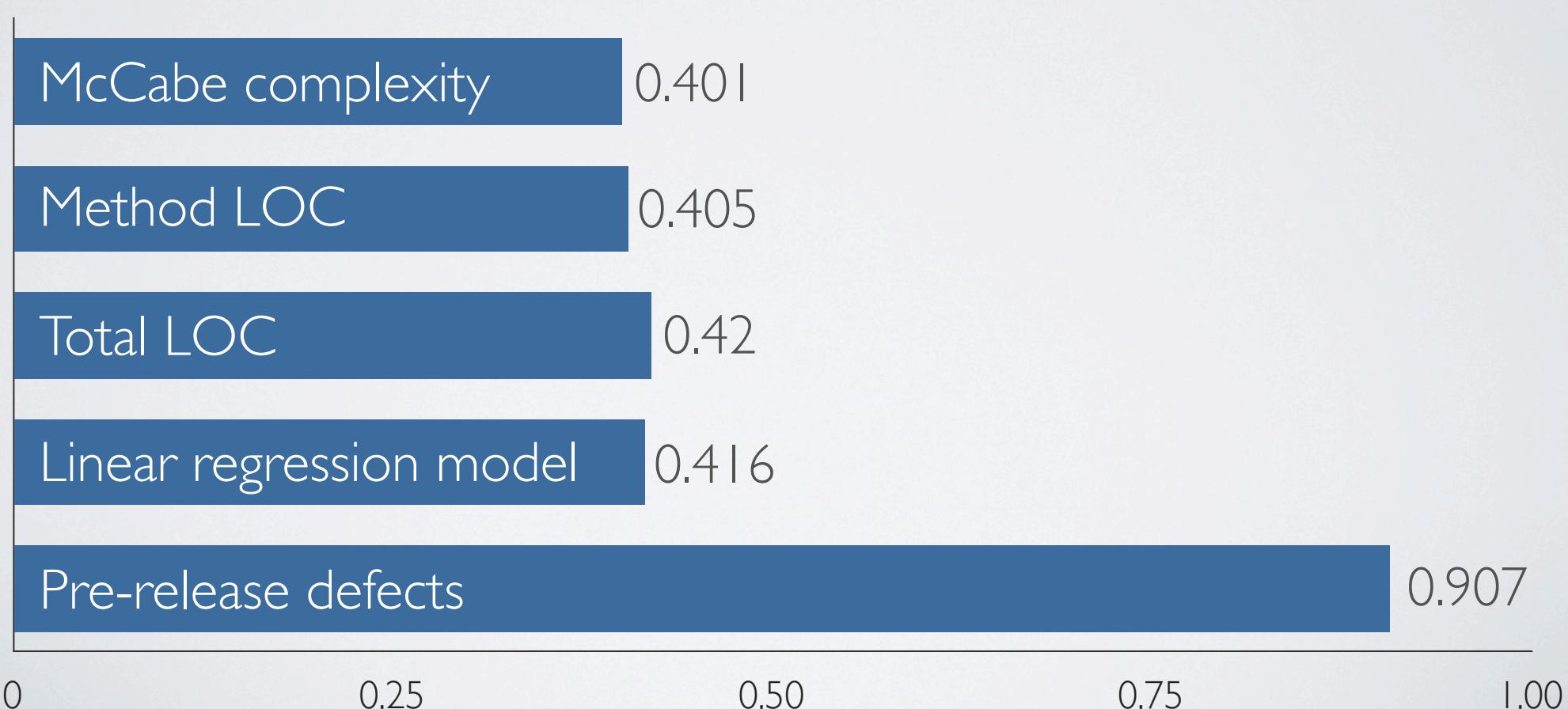
- ▶ Classification and ranking on 3 versions of Eclipse
- ▶ Classification with logistic regression



Predicting Defects for Eclipse



- ▶ Classification and ranking on 3 versions of Eclipse
- ▶ Ranking (Spearman's correlation)



Predicting Defects for Eclipse

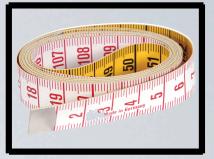


- ▶ Classification and ranking on 3 versions of Eclipse
- ▶ Ranking (Spearman's correlation)

**Temporal locality
previous defects is a good
predictor for future defects***

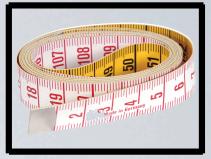


Mining metrics to predict component failures



- ▶ Classification and ranking on 5 Microsoft products
- ▶ Complexity metrics do correlate with defects
- ▶ There is no unique set of metrics that predicts defects in all projects
- ▶ Metrics can be combined (linear/logistic regression) to predict defect but
 - ▶ A predictor obtained from one project is not applicable to another project

Mining metrics to predict component failures

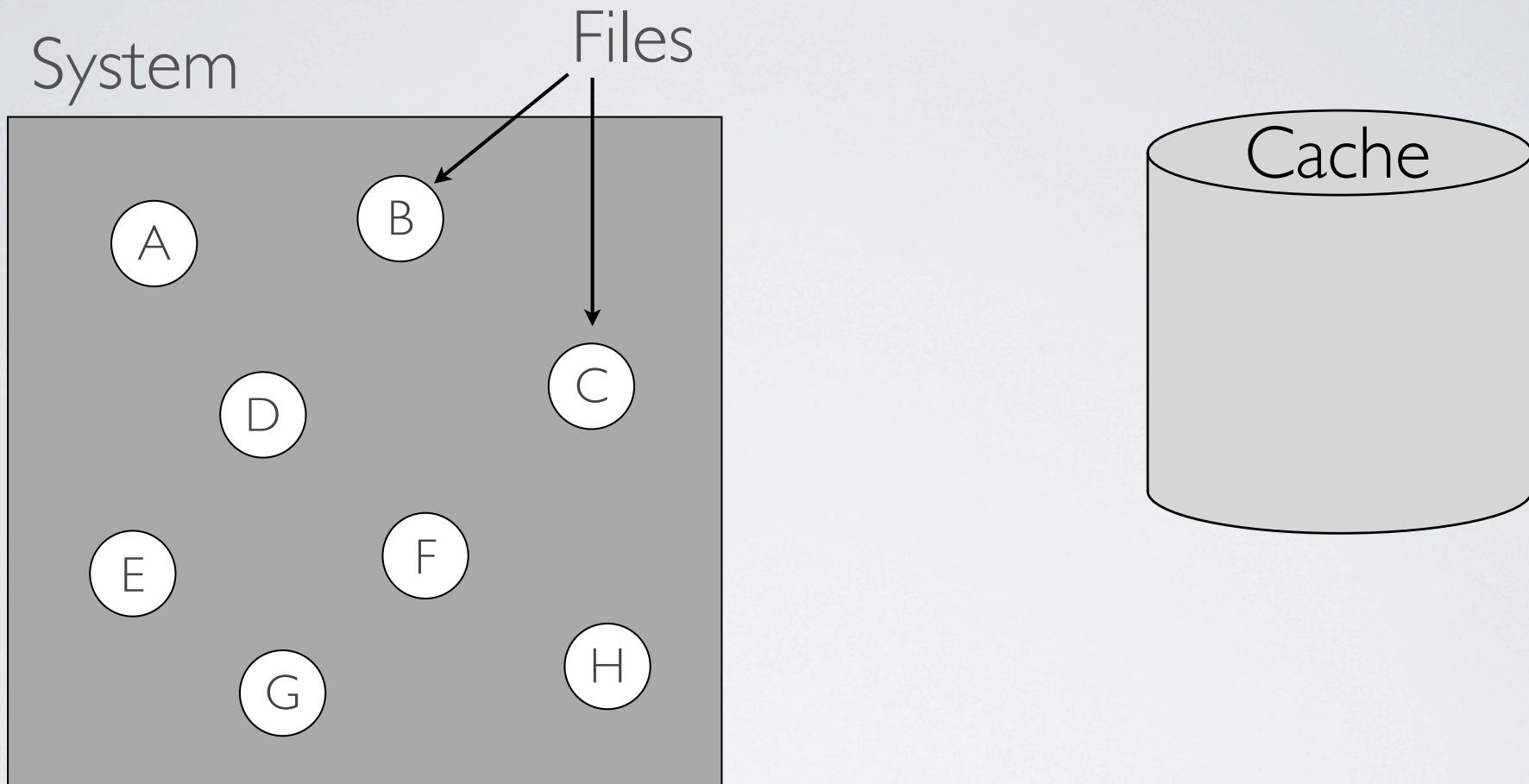


- ▶ Classification and ranking on 5 Microsoft products
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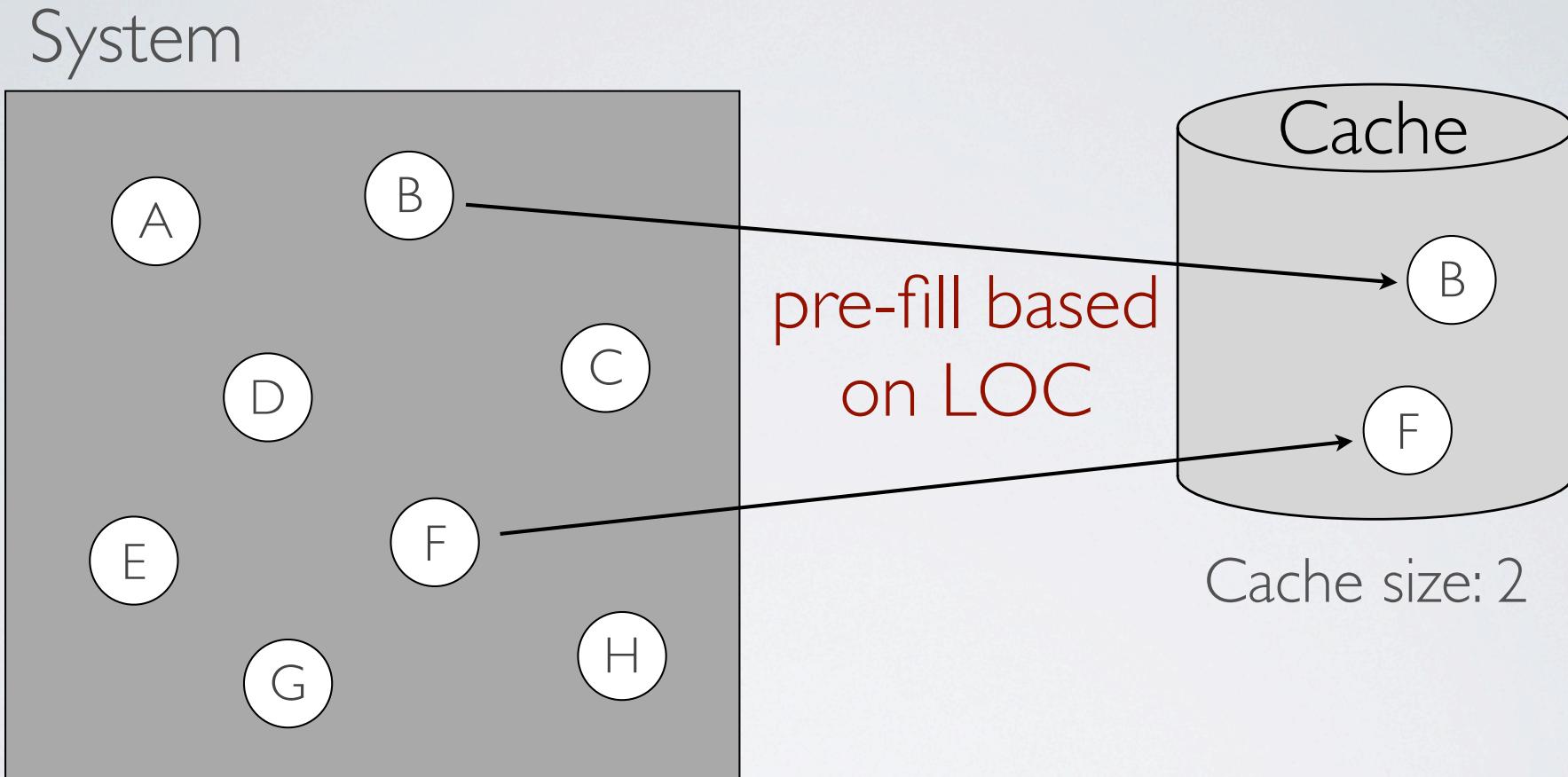
Predicting defects with BugCache

- ▶ Online prediction approach based on 5 principles:
 1. Spatial locality: bug-prone artifacts are changed together
 2. Temporal locality: artifacts which exhibit bugs in the past are likely to exhibit them also in the future
 3. Bugs are found in new artifacts
 4. Bugs are found in frequently modified artifacts
 5. Bugs are found in large artifacts

Predicting defects with BugCache

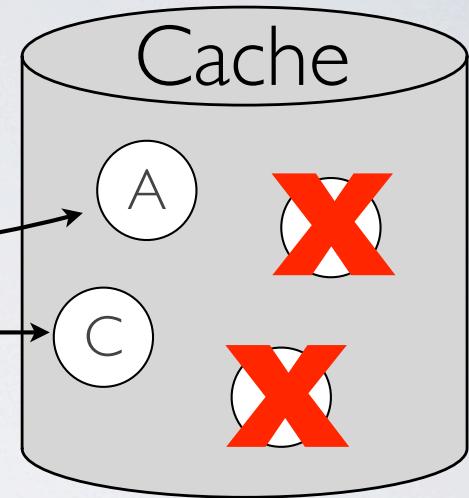
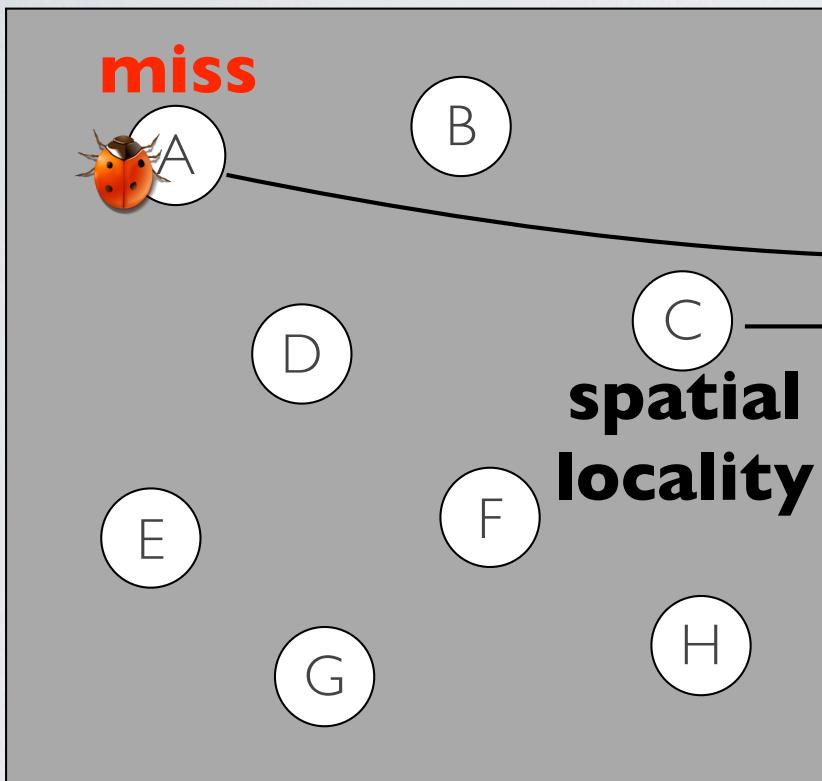


Predicting defects with BugCache



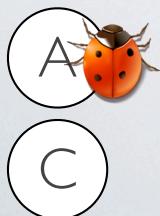
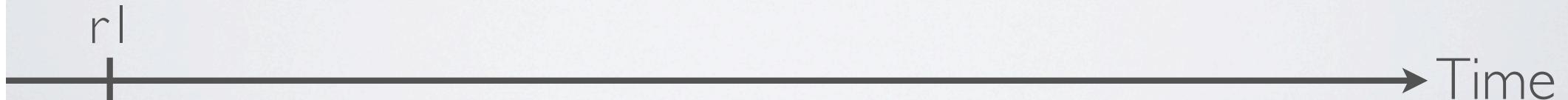
Predicting defects with BugCache

System



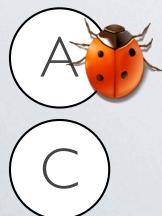
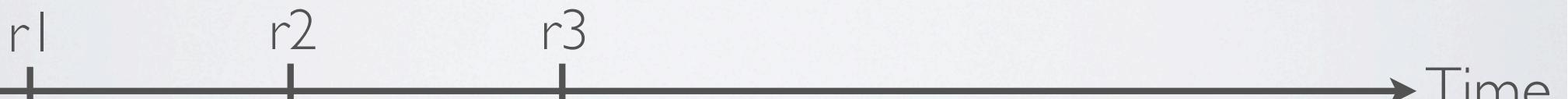
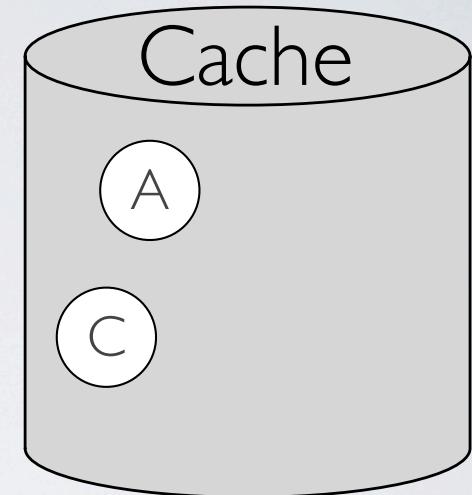
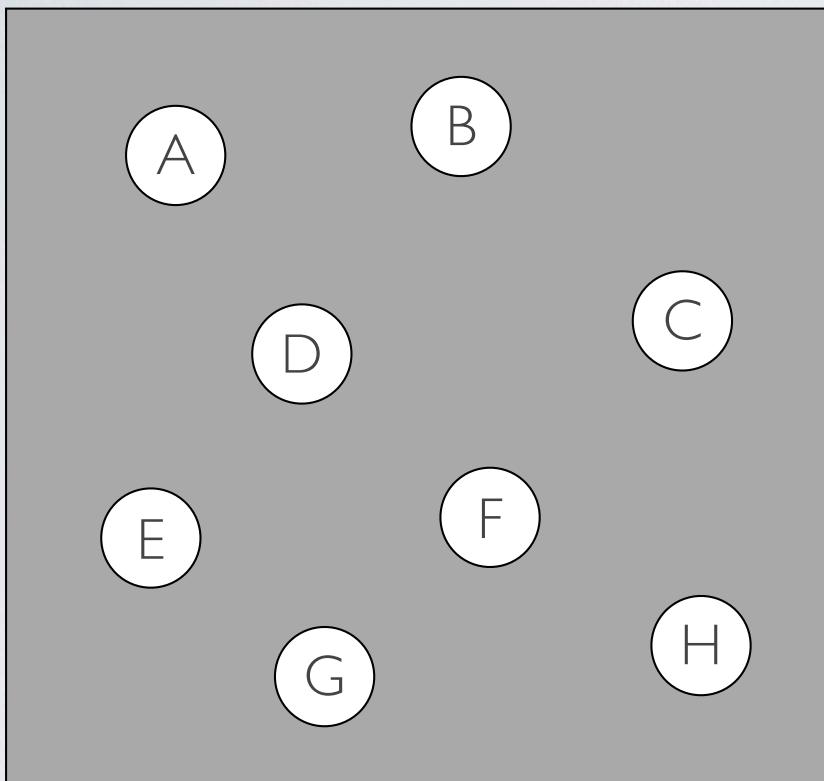
Cache size: 2

rl



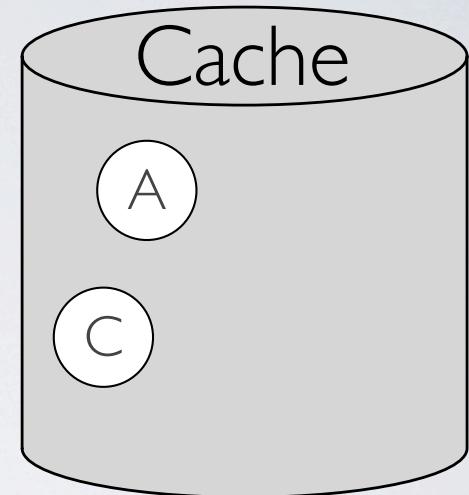
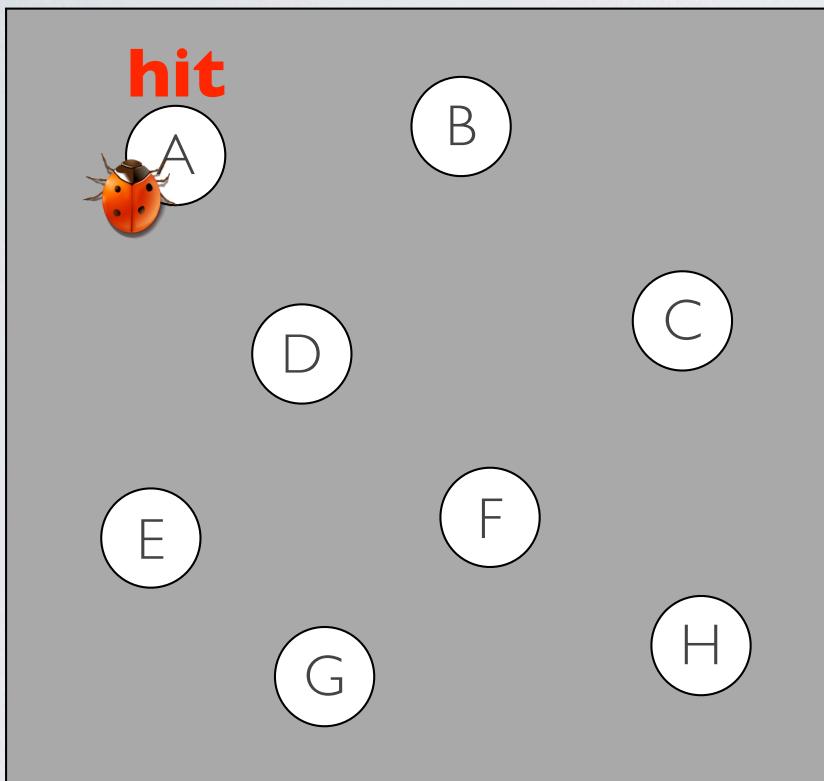
Predicting defects with BugCache

System



Predicting defects with BugCache

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Cache size: 2

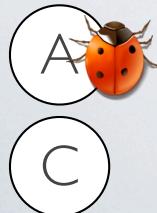
r1

r2

r3

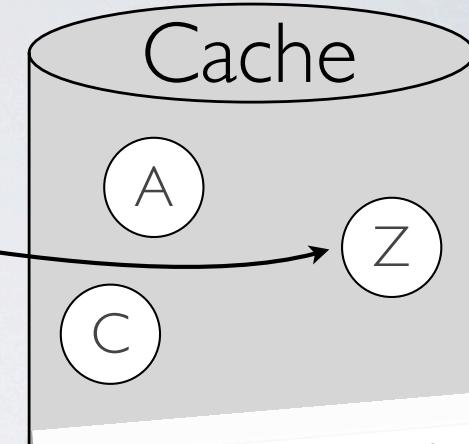
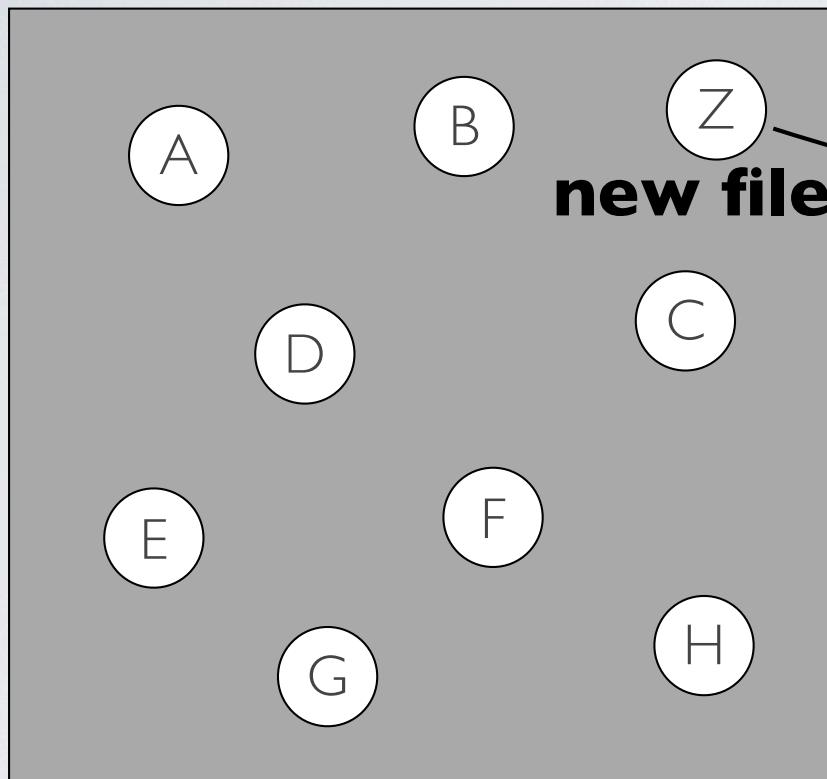
r4

Time

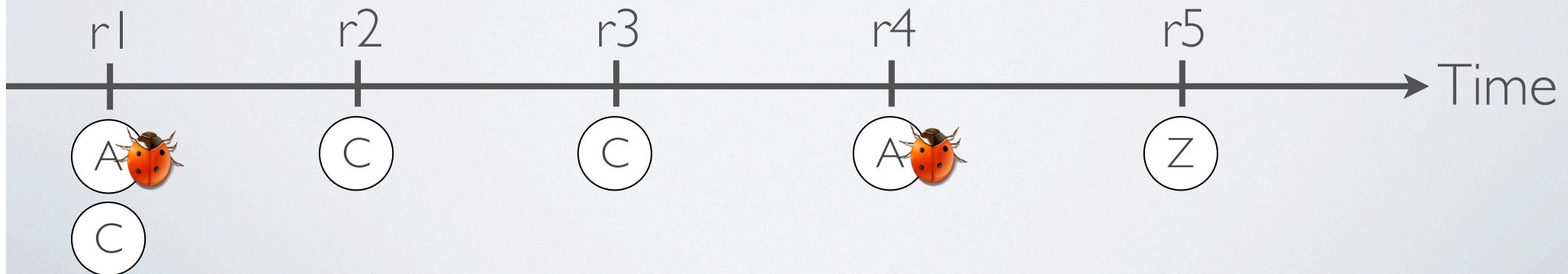


Predicting defects with BugCache

System

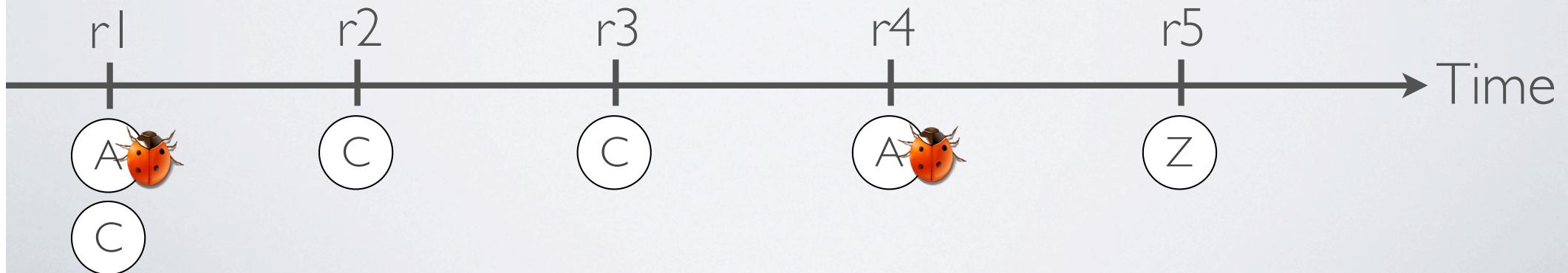


which one to remove?



Replacement policy

- ▶ Least recently used: the files that have the least recently found defects (C)
- ▶ Least frequently changed (A)
- ▶ Least frequent defects (C)



Updating the cache

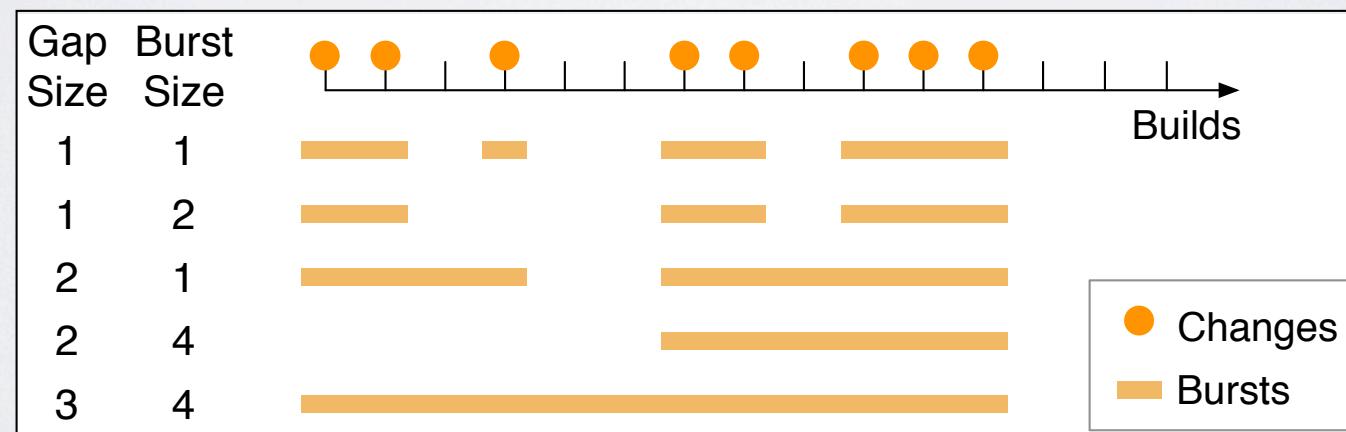
- ▶ At every iteration, the cache is updated based on:
 - ▶ misses: files which exhibit bugs are added to the cache
 - ▶ pre-fetching:
 - ▶ adding changed files
 - ▶ adding new files
 - ▶ removing deleted files

Evaluation

- ▶ BugCache evaluated on 7 open source projects
- ▶ Cache size: 10% of the system files
- ▶ This 10% files account for 73% - 95% of all defects
- ▶ Previous work, with 10% of files, covered up to 78%

Predicting defects with change burst

- ▶ Change burst is one of the best performing approaches
 - ▶ The hypothesis is that “burst” of changes are very likely to introduce defects



Change burst performances on Windows Vista

	Precision	Recall
Pre-Release Defects	73.8%	62.9%
Test coverage	83.8%	54.4%
Dependencies	74.4%	69.9%
Code complexity	79.3%	66.0%
Code churn	78.6%	79.9%
Organizational structure	86.2%	84.0%
Change burst	91.1%	92.0%

Performances on other systems

- ▶ On Windows Server 2003 change burst is among the best predictors
 - ▶ precision 74.4% - recall 88.0%
- ▶ On Eclipse 2.0 change burst has the same performance as change metrics
 - ▶ precision 67.0% - recall 51.0%
- ▶ Change burst needs a controlled change process to be applied
 - ▶ A process in which changes are committed only when expected to keep the product stable
 - ▶ In Eclipse, instead, anyone can commit any change at any time

why so “bad”?

Prediction performances on Windows Vista

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Test coverage	83.8%	54.4%
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**Defects are not
all the same!**

Defects are not all the same

- ▶ Different defects have different cost
- ▶ If the goal of defect prediction is to optimize QA resources, the cost of QA activity per defect should be taken into account
- ▶ The QA cost should be part of the prediction model
- ▶ Effort-aware defect prediction

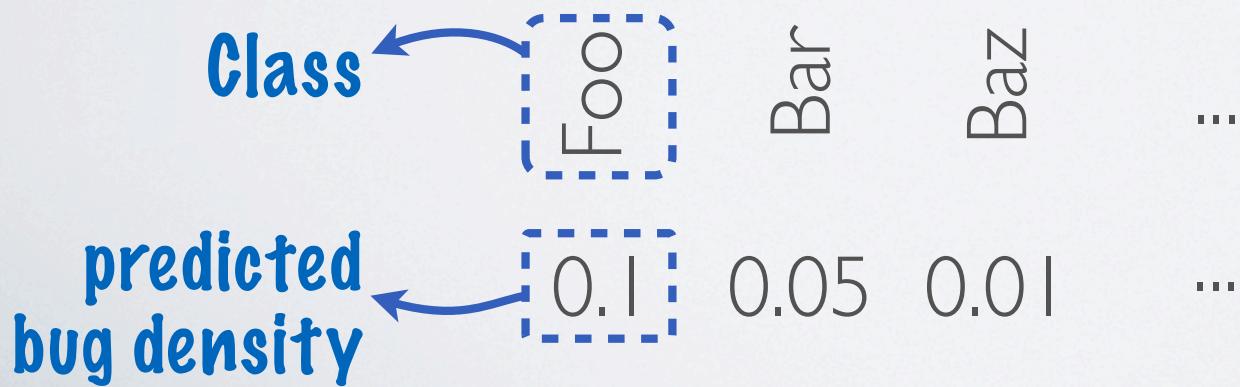
Effort-aware prediction performance

Mende and Koschke, *Revisiting the Evaluation of Defect Prediction Models*, PROMISE 2009



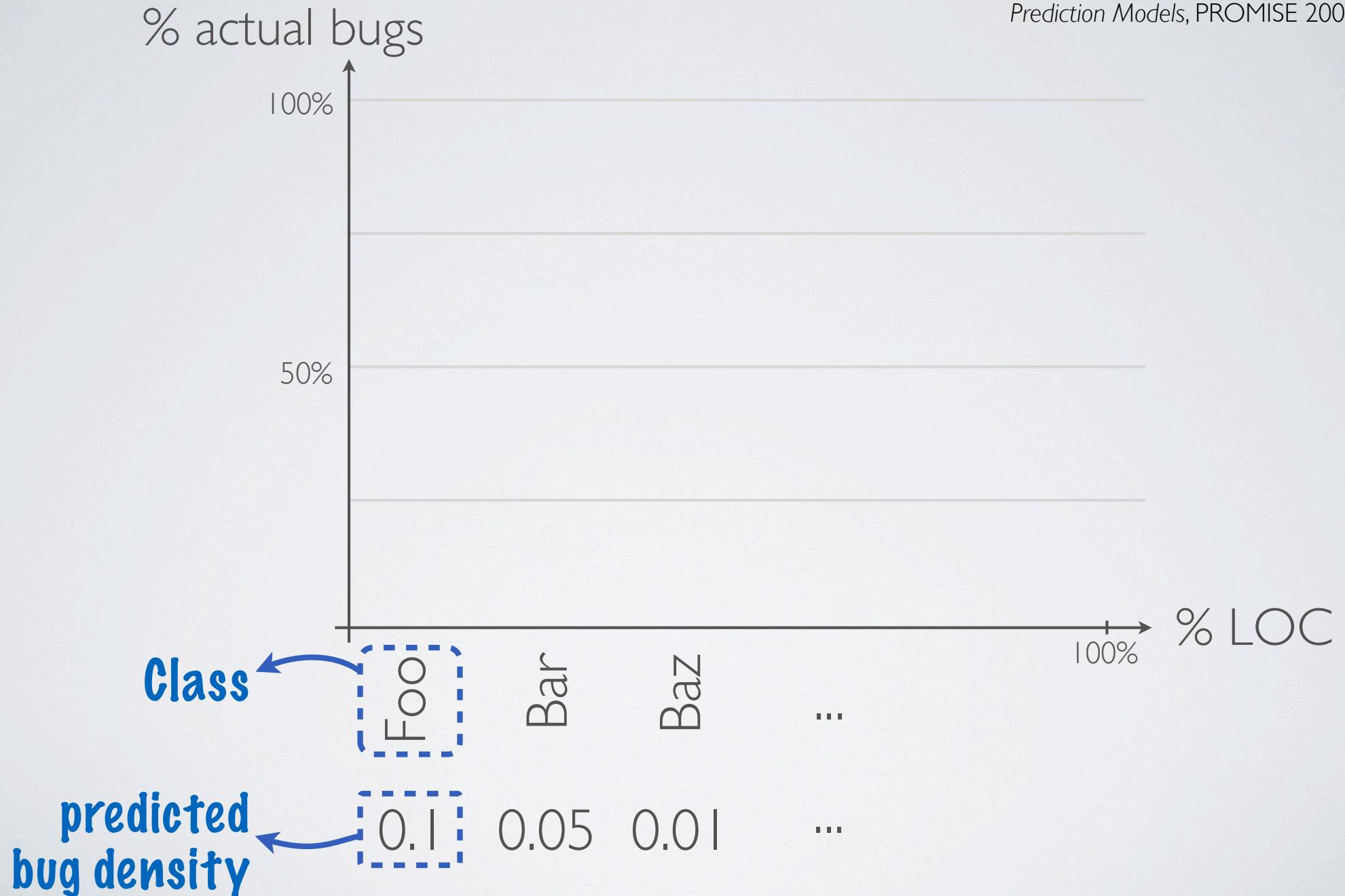
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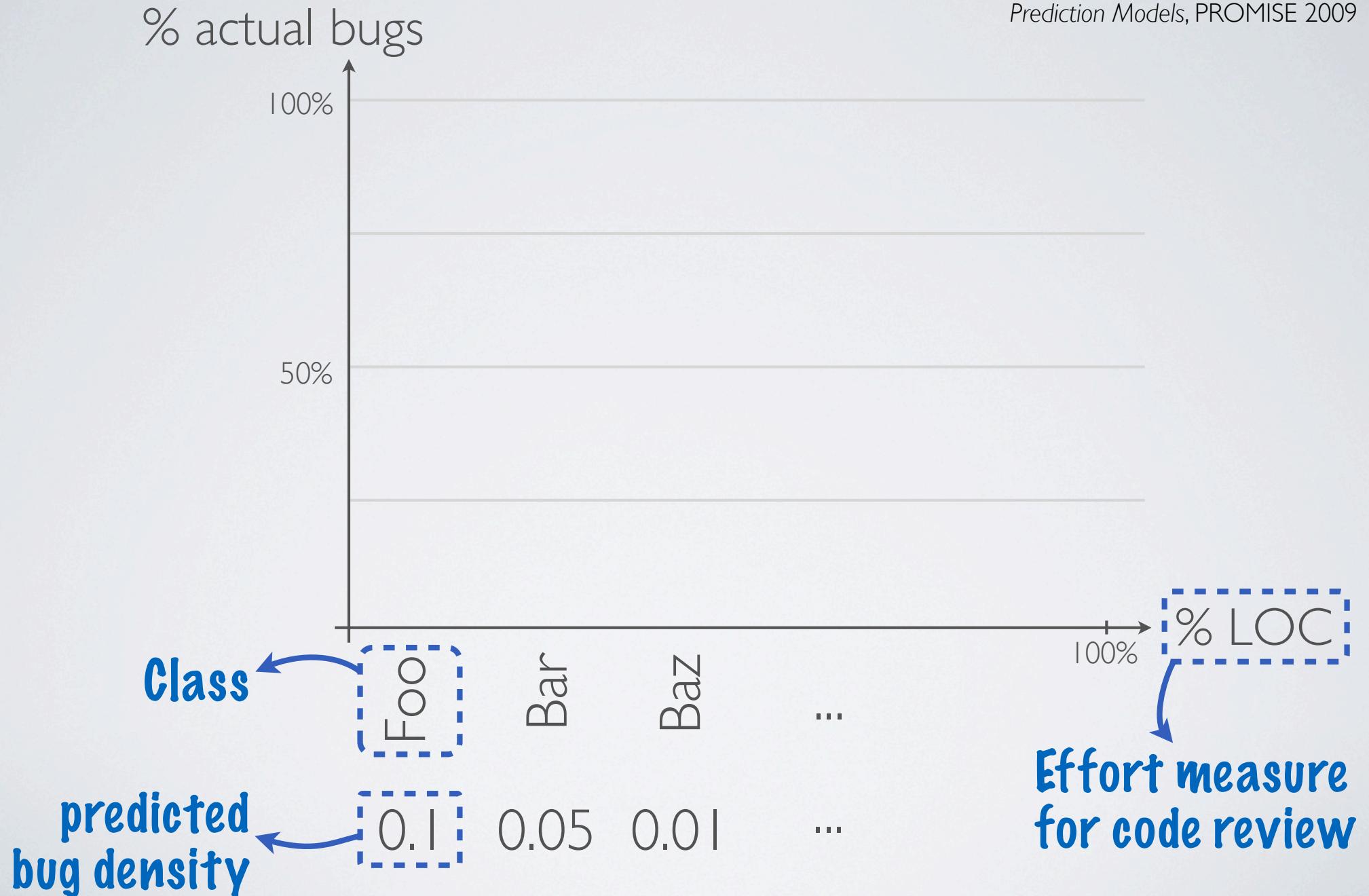
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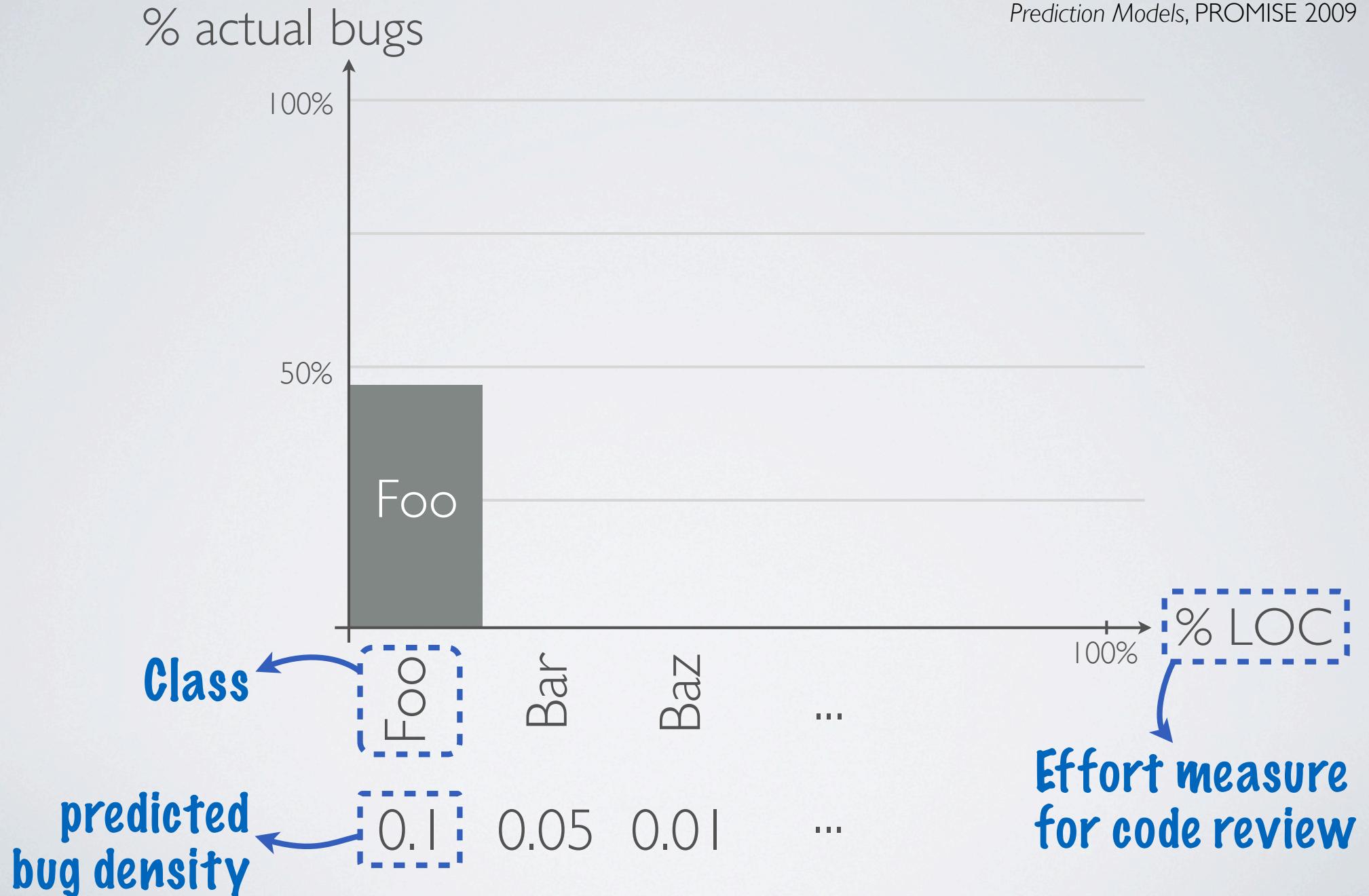
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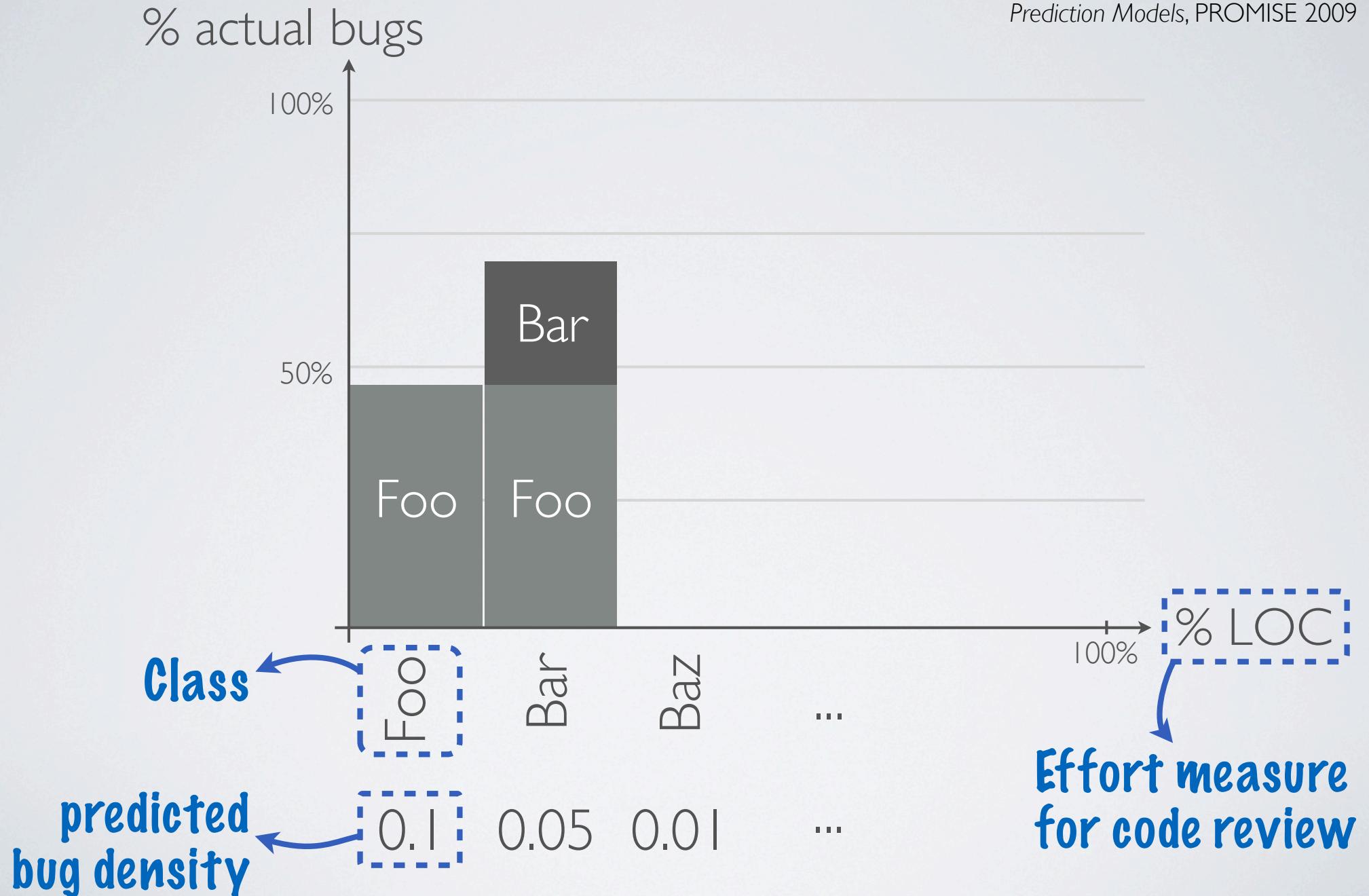
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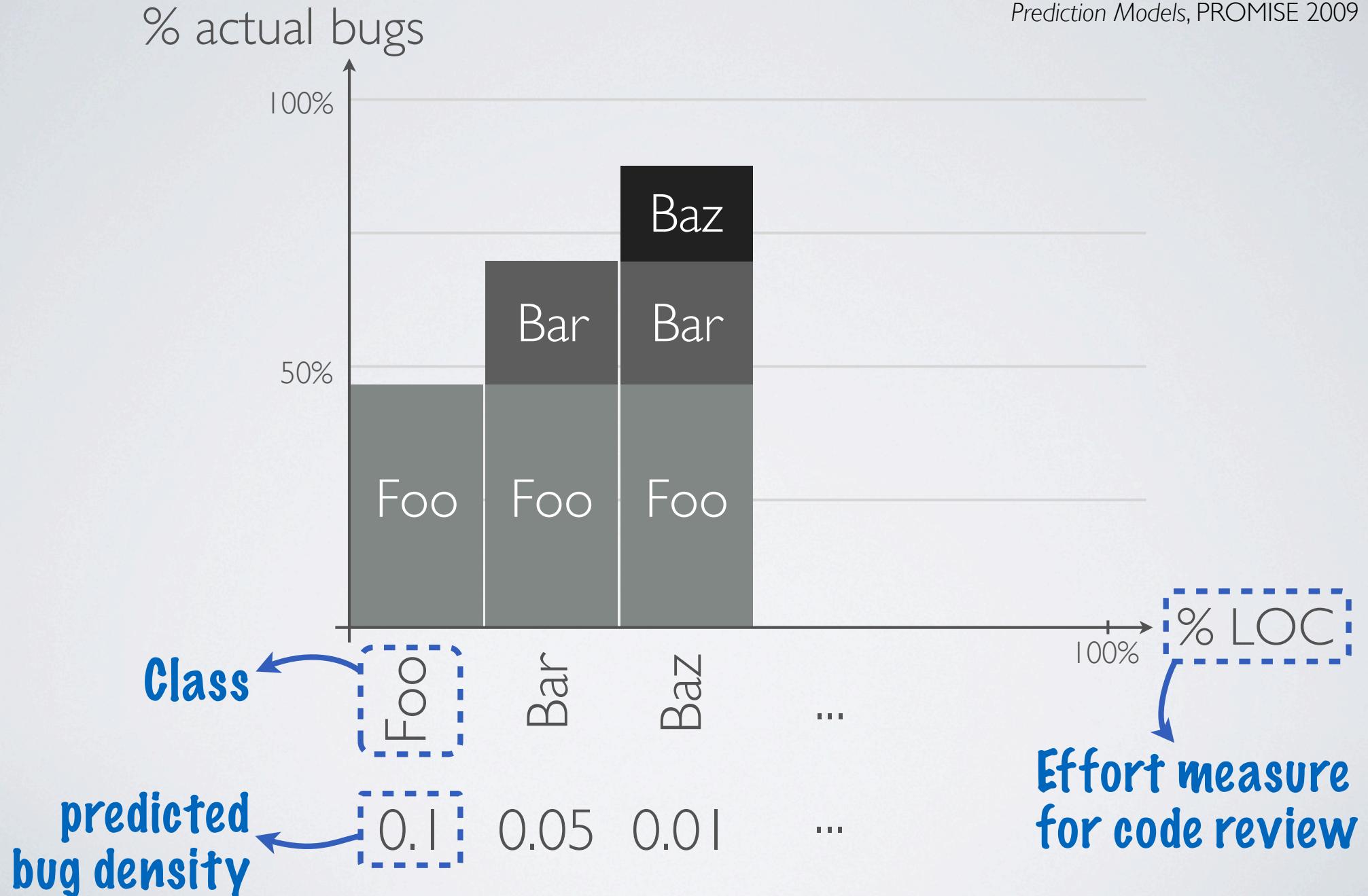
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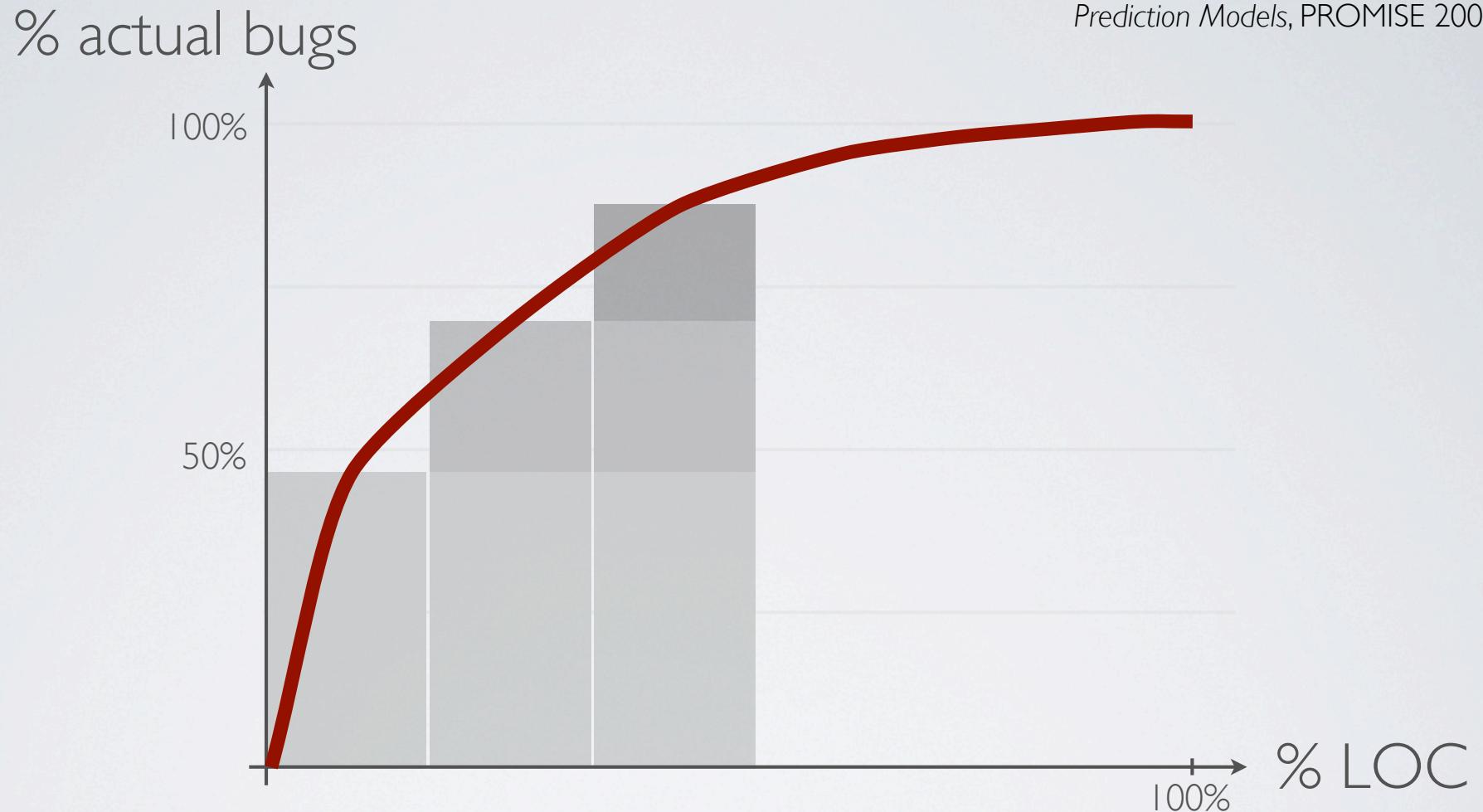
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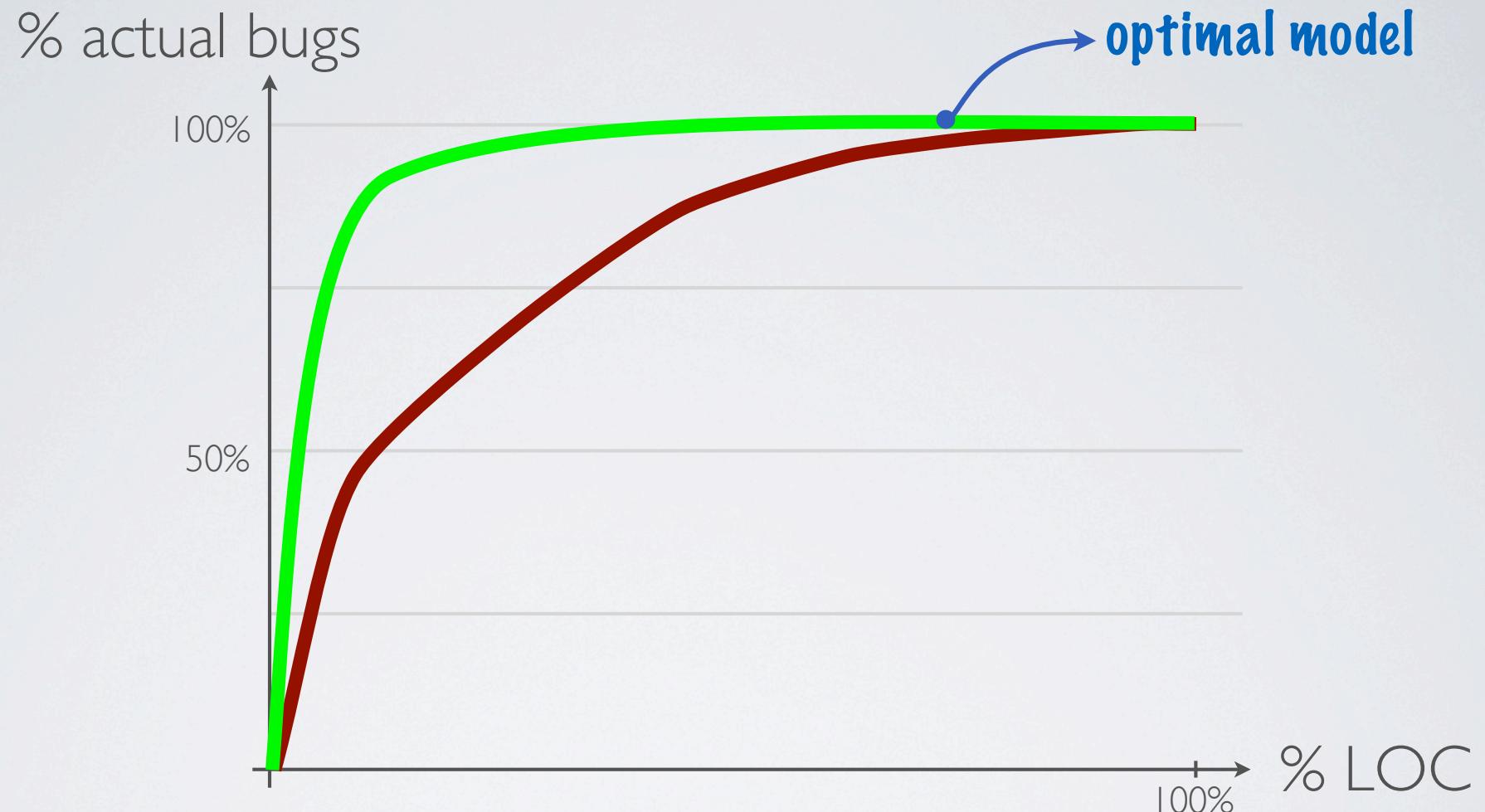


Effort-aware prediction performance

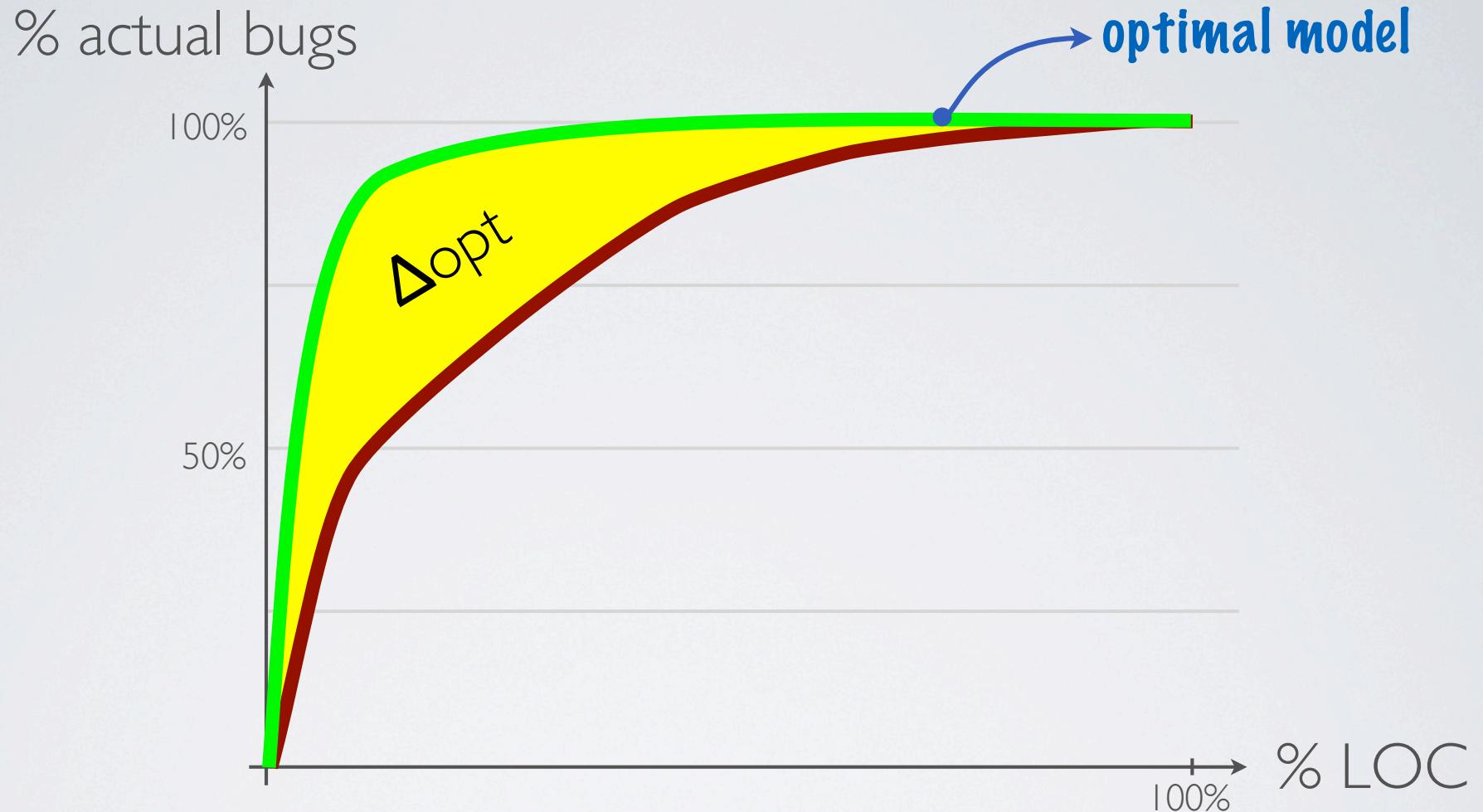
Mende and Koschke, Revisiting the Evaluation of Defect Prediction Models, PROMISE 2009



Effort-aware prediction performance



Effort-aware prediction performance



similar to AUC ← $P_{\text{opt}} = 1 - \Delta_{\text{opt}}$

Comparison with effort-unaware measures

- ▶ Evaluation on 13 datasets from NASA
- ▶ Prediction models performing well when evaluated without the effort, have bad effort-aware performance
- ▶ All models are far from an optimal effort-aware prediction

Other work on effort-aware defect prediction

- ▶ Arisholm and Briand, *Predicting fault-prone components in a java legacy system*, ISESE 2006
- ▶ Koru et al., *Modeling the effect of size on defect proneness for open-source software*, PROMISE 2007
- ▶ Koru et al., *Theory of relative defect proneness*, Empirical Software Engineering 2008
- ▶ Arisholm et al., *A systematic and comprehensive investigation of methods to build and evaluate fault prediction models*, Journal of System and Software 2010
- ▶ Kamei et al., *Revisiting common bug prediction findings using effort aware models*, ICSM 2010
- ▶ Menzies et al., *Defect prediction from static code features: current results, limitations, new approaches*, Automated Software Engineering 2010

Defect prediction approaches are difficult to compare

- ▶ Applied on different systems
- ▶ Different granularity levels (file, class, module, package, binary, subsystem)
- ▶ Different evaluation performances

An extensive comparison of defect prediction approaches

- ▶ Different approaches based on code metrics, change metrics, previous defects, entropy of changes, entropy of code metrics, churn of code metrics
- ▶ Applied on 5 different systems
- ▶ Different scenarios (classification, ranking, effort-aware ranking) and different models (logistic/linear regression, decision trees, Naïve Bayes)
- ▶ The best performing approach varies from system to system, and from scenario to scenario:
 - ▶ It is very difficult to show that an approach is better than another one in a **statistical significant** manner (across different systems)

In conclusion

- ▶ As statistical significance is hard, when not impossible, to obtain, one should fine-tune a defect predictor to the specific task at hand
- ▶ Benchmarks are crucial for defect prediction, as they allow the comparison of approaches
 - ▶ PROMISE benchmark
 - ▶ D'Ambros et al. benchmark

PROMISE data » Data Repository

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Search

Welcome to the Promise Data Repository!

Category	Number of Datasets
DefectPrediction	56
EffortEstimation	11
General	8
Model-BasedSE	6
TextMining	9

In 2006, the repository held 23 data sets.

In 2008, at last update, the repository holds 141 data sets in the following areas:

- [Defect Prediction \(96\)](#)
- [Effort Estimation \(for Bug Fixes\) \(1\)](#)
- [Effort Prediction \(18\)](#)
- [General \(9\)](#)
- [Model-based SE \(8\)](#)
- [Text Mining \(10\)](#)

Further contributions are always welcome in the above areas, or any other.

Why so much data? Firstly, there is the open source effect: public code and public logs means more data sets.

News

- All presentations online
- Pictures – PROMISE 2008
- Promise Presentations Online
- Workshop Updates
- 2008 Workshop Updated

The screenshot shows a web browser window with the URL <http://bug.inf.usi.ch/> in the address bar. The page title is "Bug prediction dataset". Below the title, a sub-header says "Evaluate your bug prediction approach on our benchmark". There are three tabs: "Description" (selected), "Download", and "Contact".

What is it?

The bug prediction dataset is a collection of models and metrics of software systems and their histories. The goal of such a dataset is to allow people to compare different bug prediction approaches and to evaluate whether a new technique is an improvement over existing ones. In particular, the dataset contains the data needed to:

1. run a prediction technique based on source code metrics and/or historical measures and/or process information (cvs logs data);
2. compute the performance of the prediction by comparing its results with an oracle set, i.e., the number post release defects reported in bug tracking system.

The dataset is designed to perform bug prediction at the class level. However package or subsystem information can be derived by aggregating class data, since per each class it is specified the package that contains it.

What does it contain?

The bug prediction dataset contains data about the following software systems:

1. Eclipse JDT Core
2. Eclipse PDE UI
3. Equinox Framework
4. Lucene
5. Mylyn

For each system the dataset includes the following pieces of information:

1. Biweekly versions of the systems parsed (with the [inFusion](#) tool) into object-oriented models, provided as [mse](#) files;
2. Historical information extracted from the cvs change log, including reconstructed transaction and links from transactions to model classes;
3. Value of 15 metrics computed from cvs change log data, for each class of the systems;
4. Values of 17 source code metrics (CK + 11 object oriented metrics), for each version of each class;
5. Categorized (with severity and priority) post-release defect counts for each class.

The data is available for download in the [Download](#) page.

Reference the dataset

If you use this dataset for your research, please reference the following paper:

An Extensive Comparison of Bug Prediction Approaches
Marco D'Ambros, Michele Lanza, Romain Robbes
In Proceedings of [MSR 2010](#) (7th IEEE Working Conference on Mining Software Repositories), pp. 31 - 41. IEEE CS Press, 2010.

[Download bibtex](#)

Defect prediction

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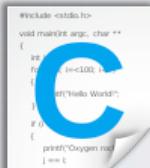
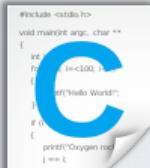
Change analysis and prediction

- ▶ Goal: Analyze software repository data to:
 - ▶ support change propagation and predict future changes
 - ▶ detect design problems (e.g. architecture decay)

Typical change prediction scenario



Experienced
developer



→
Time

Typical change prediction scenario

Experienced developer



```
#include <stdio.h>
void main(int argc, char **argv)
{
    int i;
    for(i = 0; i < 100; i++)
        printf("Hello World!");
    if(0)
        printf("Oxygen mod");
    else;
}
```

```
#include <stdio.h>
void main(int argc, char **argv)
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    else;
```

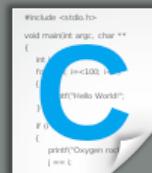
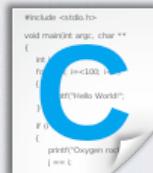
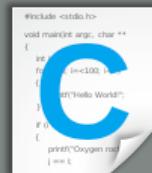
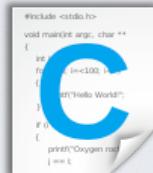


Time →
Time

Typical change prediction scenario



Experienced
developer



→
Time

Typical change prediction scenario



Experienced
developer

```
#include <stdio.h>
void main(int argc, char **argv)
{
    int i;
    for(i = 0; i < 100; i++)
        printf("Hello World!");
    if(0)
        printf("Oxygen rock");
    i == 5;
}
```

```
#include <stdio.h>
void main(int argc, char **argv)
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```



Time

Typical change prediction scenario



Experienced developer



New developer

```
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}
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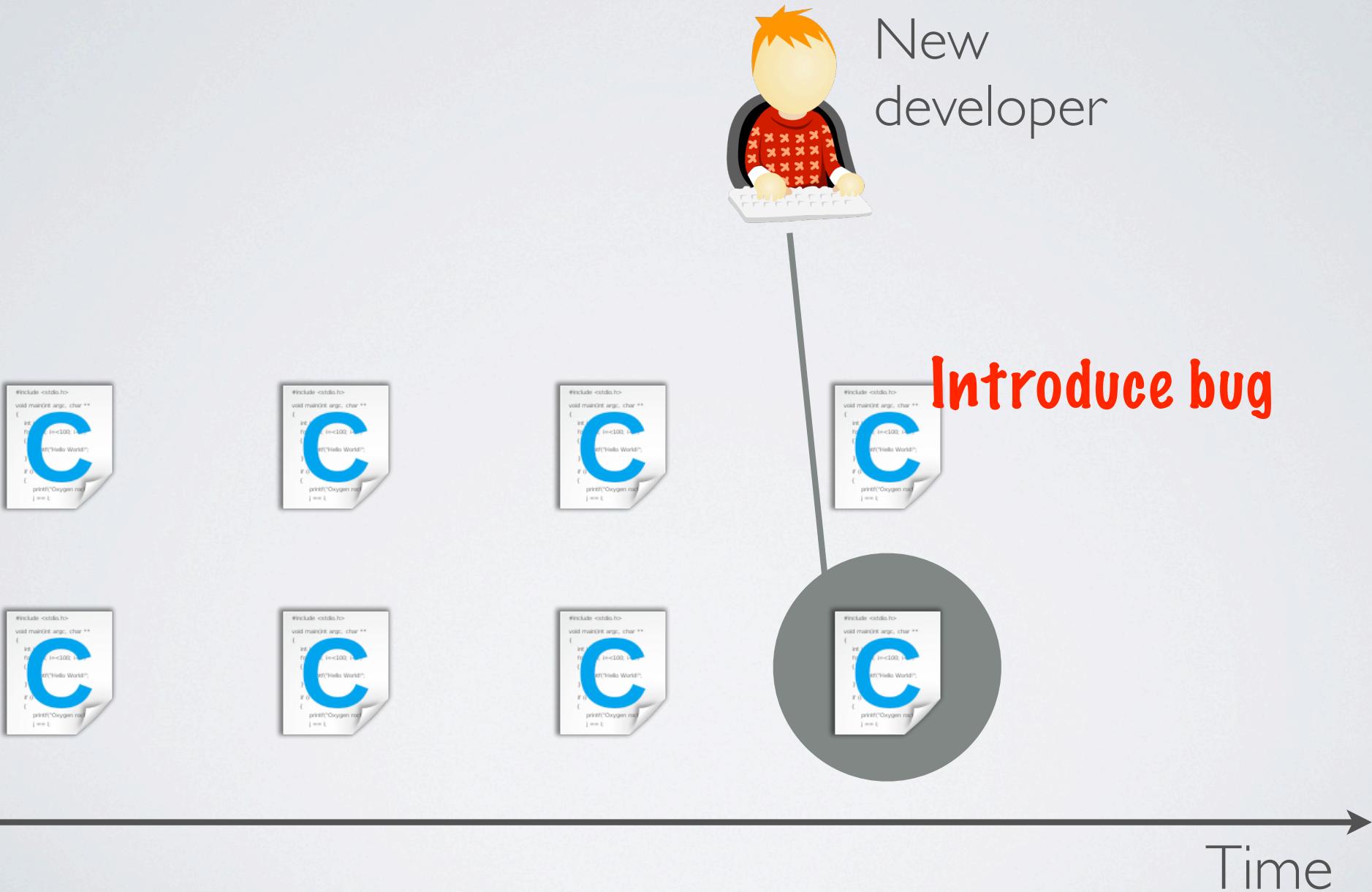
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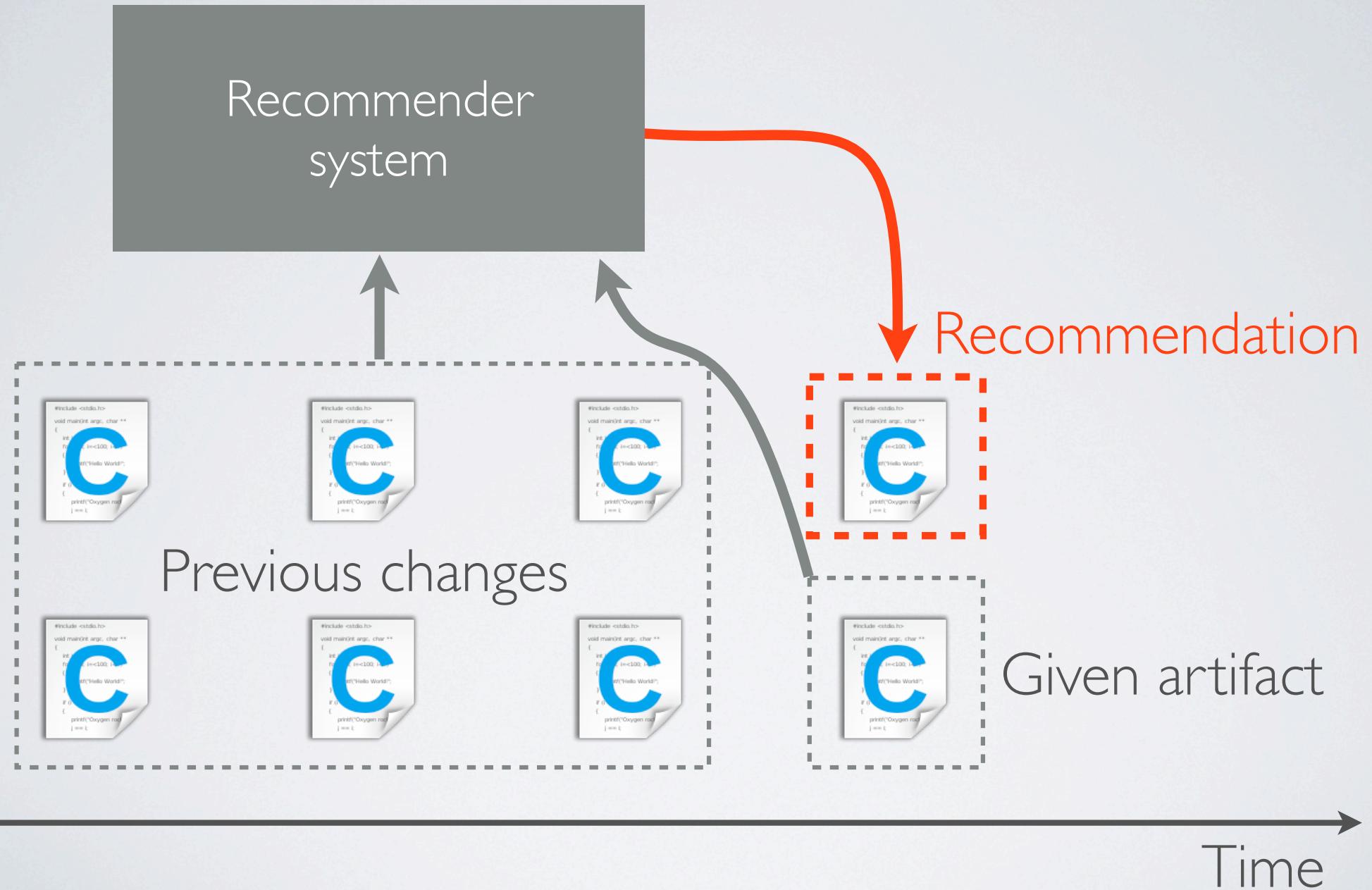


Time

Typical change prediction scenario

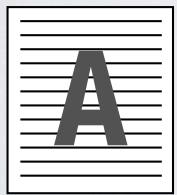
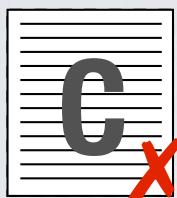


Typical change prediction scenario



Evaluation of a change predictor through development replay

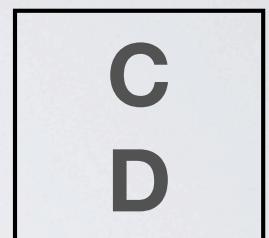
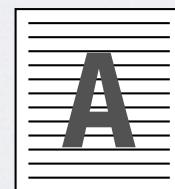
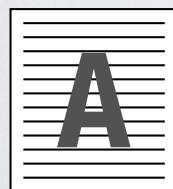
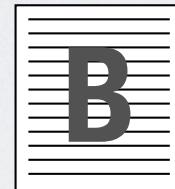
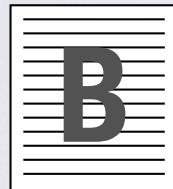
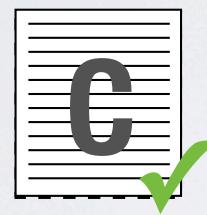
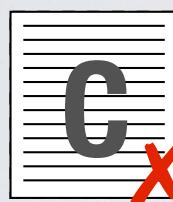
Recommender



Time →

Evaluation of a change predictor through development replay

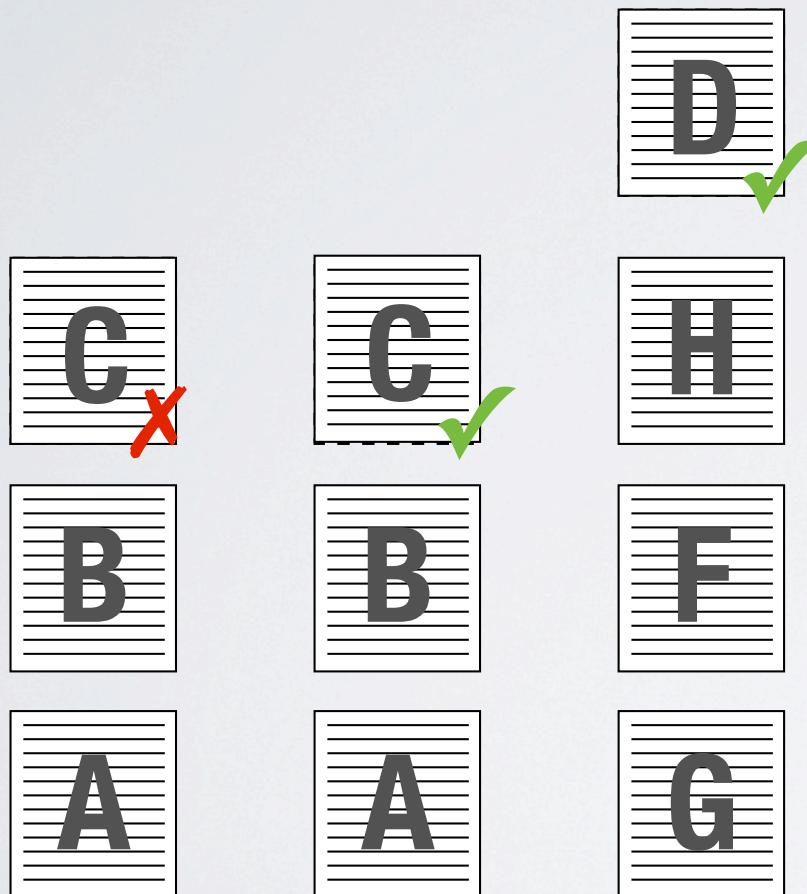
Recommender



Time →

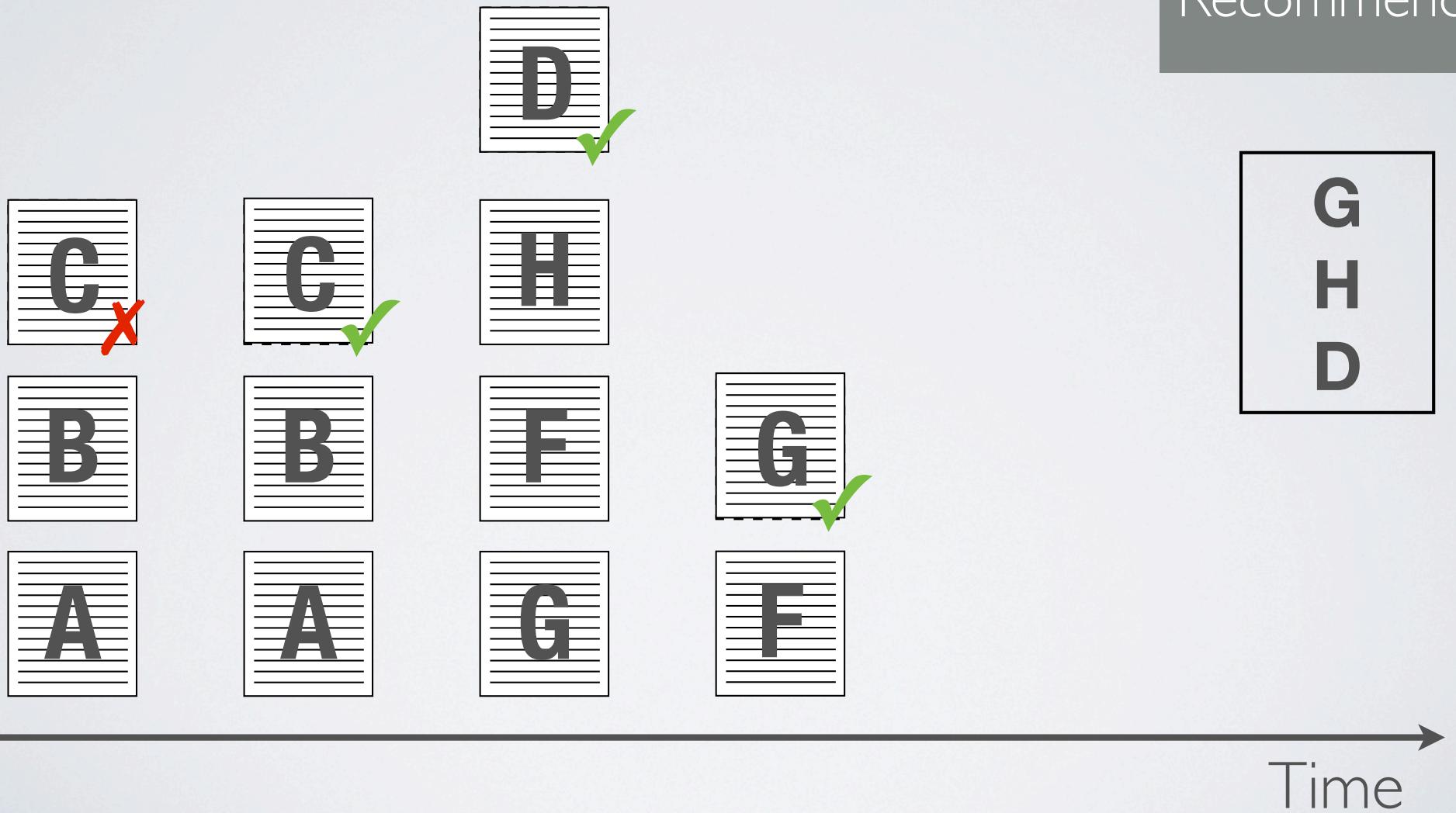
Evaluation of a change predictor through development replay

Recommender



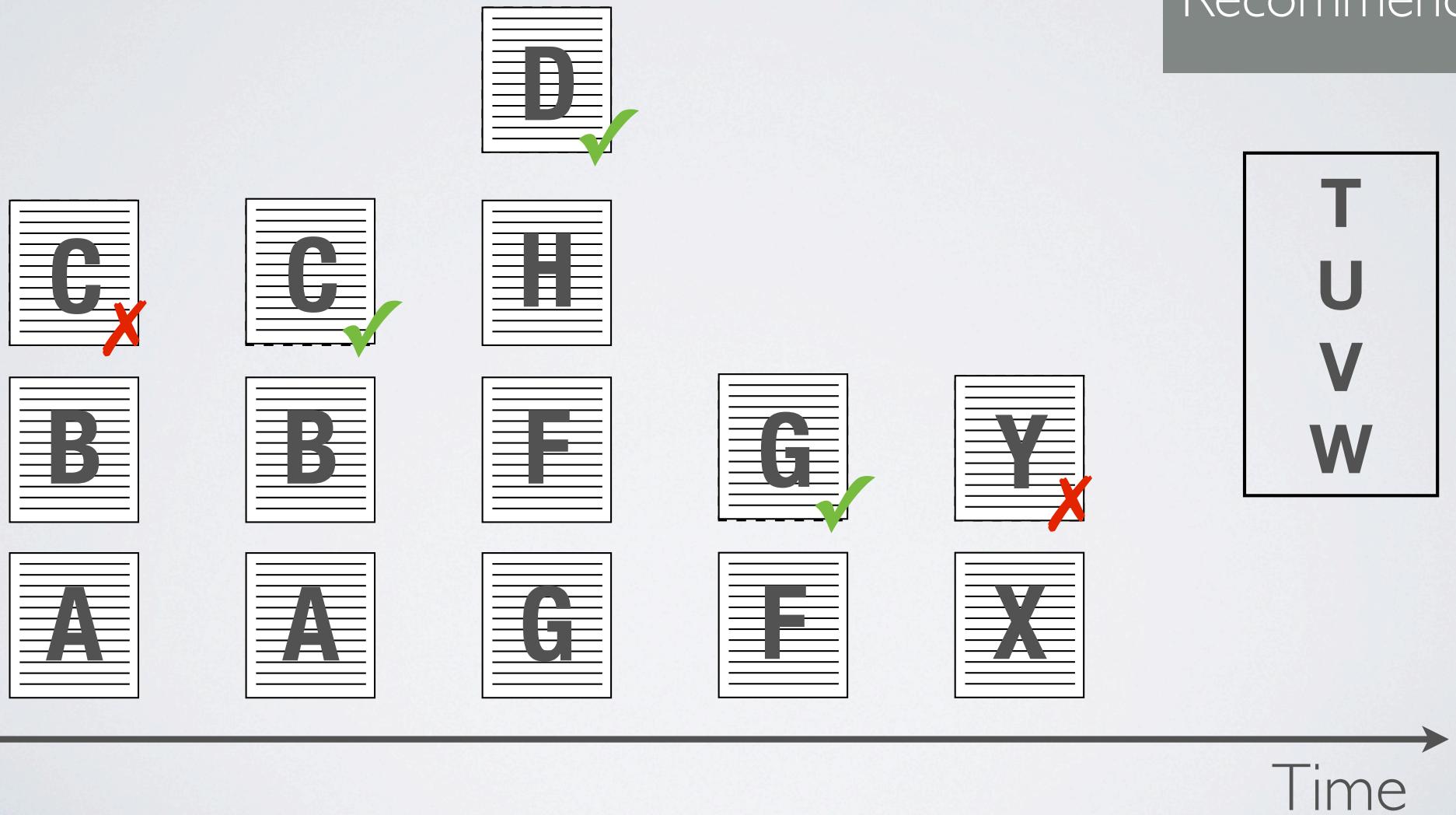
Evaluation of a change predictor through development replay

Recommender



Evaluation of a change predictor through development replay

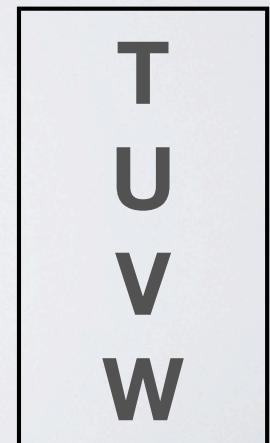
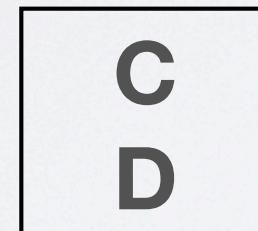
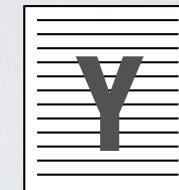
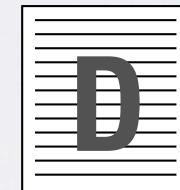
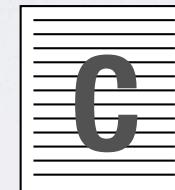
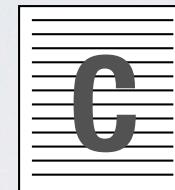
Recommender



Performance evaluation with precision and recall

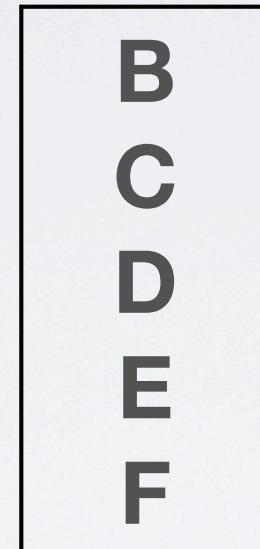
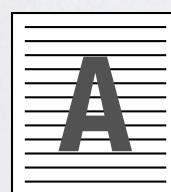
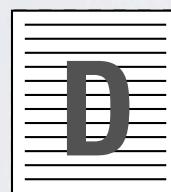
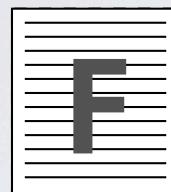
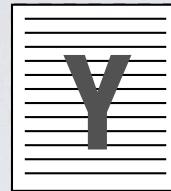
Precision 0 0.5 0.25 0.33 0

Recall 0 | | | 0



Alternative evaluation scenario—Navigation

Recommender



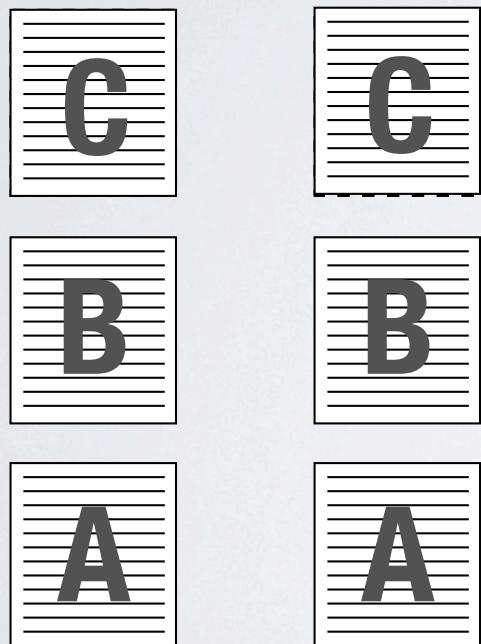
Precision = 0.4
Recall = 0.66

How to choose the entities to predict?

- ▶ There is no way to know which entity was changed first from versioning system data.
- ▶ The entity to predict (prevention scenario), or the starting entity (navigation scenario), are chosen randomly
- ▶ The simplifying assumptions imply that the ordering of selections and queries to a heuristic are immaterial

Association rule mining for change prediction

- ▶ Extract association rules from the list of transactions
- ▶ Recommend rules with high confidence and support



Confidence = 0.75
Support = 3

Results

	Navigation				Prevention		
	Support Count 1	3	0.1	0.9			
Project	Fb	R_M	P_M	L_3	Fb	R_M	P_M
ECLIPSE	0.80	0.36	0.29	0.57	0.04	0.83	0.68
GCC	0.76	0.59	0.35	0.88	0.21	0.97	0.95
GIMP	0.77	0.48	0.28	0.92	0.10	0.94	0.88
JBOSS	0.74	0.36	0.19	0.51	0.03	0.56	0.50
JEDIT	0.95	0.41	0.31	0.88	0.03	0.41	0.37
KOFFICE	0.87	0.45	0.30	0.70	0.04	0.77	0.76
POSTGRES	0.95	0.37	0.29	0.72	0.05	0.72	0.63
PYTHON	0.73	0.46	0.34	0.61	0.04	0.87	0.82
Average	0.82	0.44	0.29	0.72	0.07	0.76	0.70

- ▶ Feedback: % of queries with recommendations
- ▶ Likelihood: % of queries with a result in top 3

Types of recommendations

Interestingness	Description
surprising	cross-language
surprising	duplicate code bases
neutral	distant instance creation/being created and call dependence
neutral	distant inheritance
obvious	header-implementation
obvious	interface-implementation
obvious	direct inheritance
obvious	direct call dependence
obvious	same package with less than 20 files

If some recommendation are “obvious”, others due, to implicit dependencies or code duplication, are much harder to find otherwise

Comparison with structural coupling

- ▶ Historical Co-change (HIS): entities changing at the same time in the past
- ▶ Code Structure (CUD): static dependencies between entities (Call, Use, Define)
- ▶ Code Layout (FIL): containment relationships
- ▶ Developer Information (DEV): entities changed by the same developer

Project	DEV		HIS		CUD		FIL	
	Recall	Precision	Recall	Precision	Recall	Precision	Recall	Precision
NetBSD	0.74	0.01	0.87	0.06	0.37	0.02	0.79	0.16
FreeBSD	0.68	0.02	0.87	0.06	0.40	0.02	0.82	0.11
OpenBSD	0.71	0.02	0.82	0.08	0.38	0.01	0.80	0.14
Postgres	0.78	0.01	0.86	0.05	0.47	0.02	0.77	0.12
GCC	0.79	0.01	0.94	0.03	0.46	0.02	0.96	0.06
All	0.73	0.01	0.87	0.06	0.39	0.02	0.81	0.13
Average	0.74	0.01	0.87	0.06	0.42	0.02	0.83	0.12
F-measure	0.02		0.11		0.04		0.21	

Historical co-change is the best indicator of change propagation

- ▶ FREQFIL(80,10): return co-changing entities changing 80% of the time with the initial entities, and entities in the same file, changing at least 70% of the time with the initial entities.

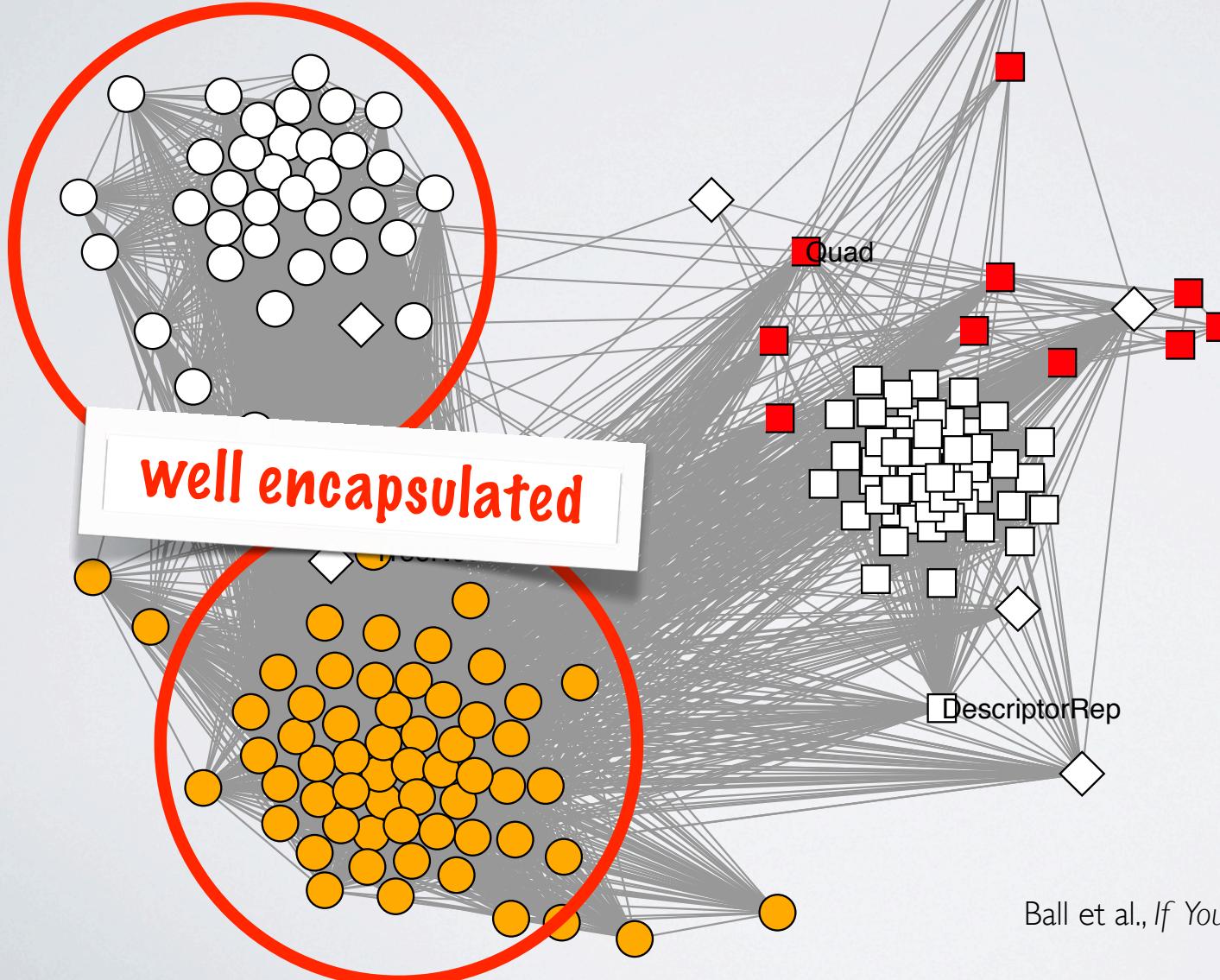
Project	FREQFIL(80, 10)		FREQCUD(70, 10)	
	<i>Recall</i>	<i>Precision</i>	<i>Recall</i>	<i>Precision</i>
NetBSD	0.52	0.51	0.43	0.47
FreeBSD	0.50	0.49	0.40	0.45
OpenBSD	0.50	0.49	0.40	0.46
Postgres	0.48	0.48	0.43	0.44
GCC	0.54	0.48	0.41	0.48
All	0.51	0.49	0.42	0.46
Average	0.51	0.49	0.42	0.46
<i>F-measure</i>	0.50		0.44	

Change (or logical) coupling

- ▶ Two files (classes) are logically coupled if they were frequently modified together
- ▶ Two modules are logically coupled if the files they contain are logically coupled
- ▶ Logical couplings
 - ▶ reveal hidden dependencies not inferable from the source code
 - ▶ detect modules that should undergo restructuring
 - ▶ identify design problems such as architecture decay
 - ▶ pinpoint misplaced artifacts and modularization problems
 - ▶ are based on minimal amount of data, rather than MLOC

First work on change coupling

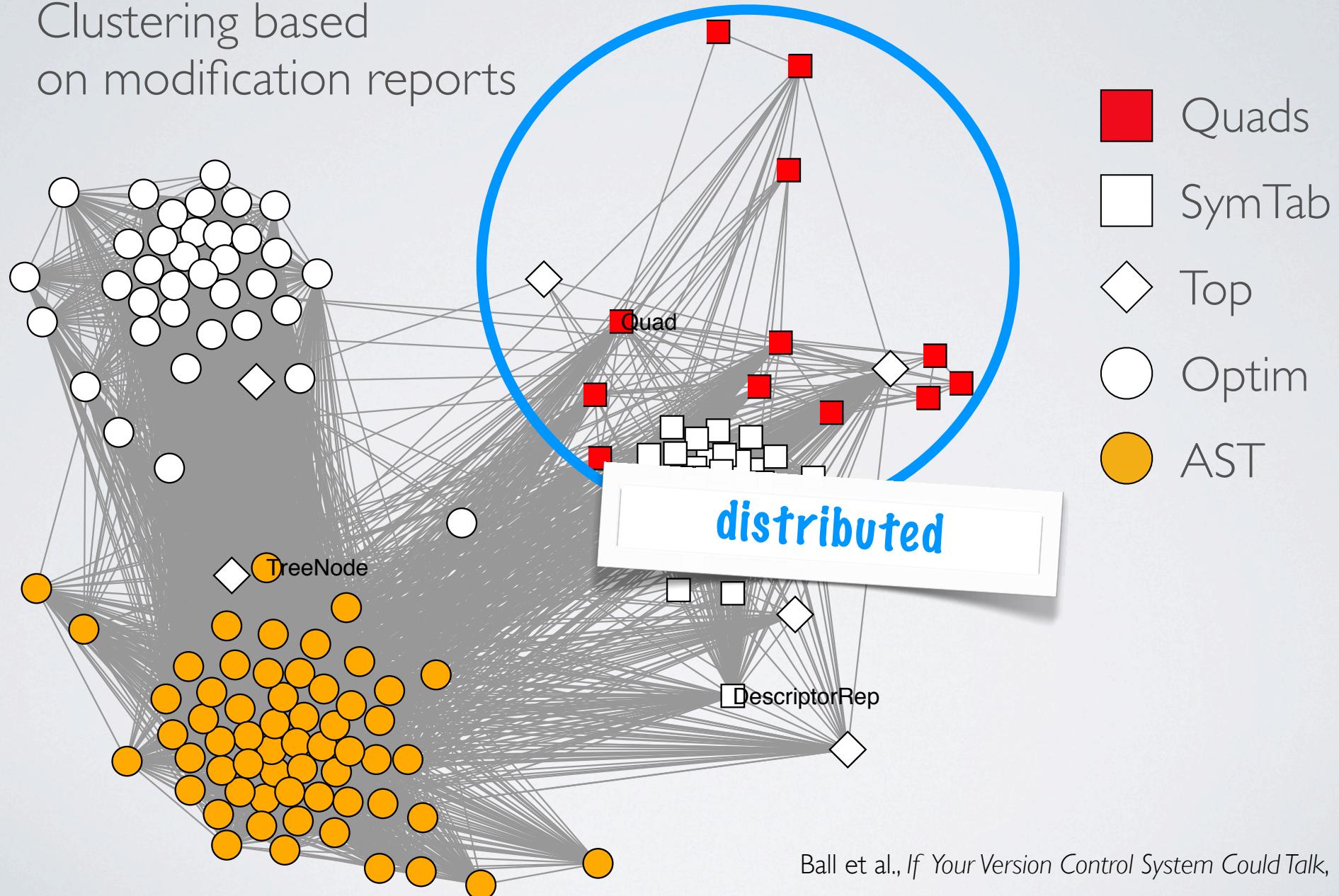
Clustering based
on modification reports



Ball et al., If Your Version Control System Could Talk, 1997

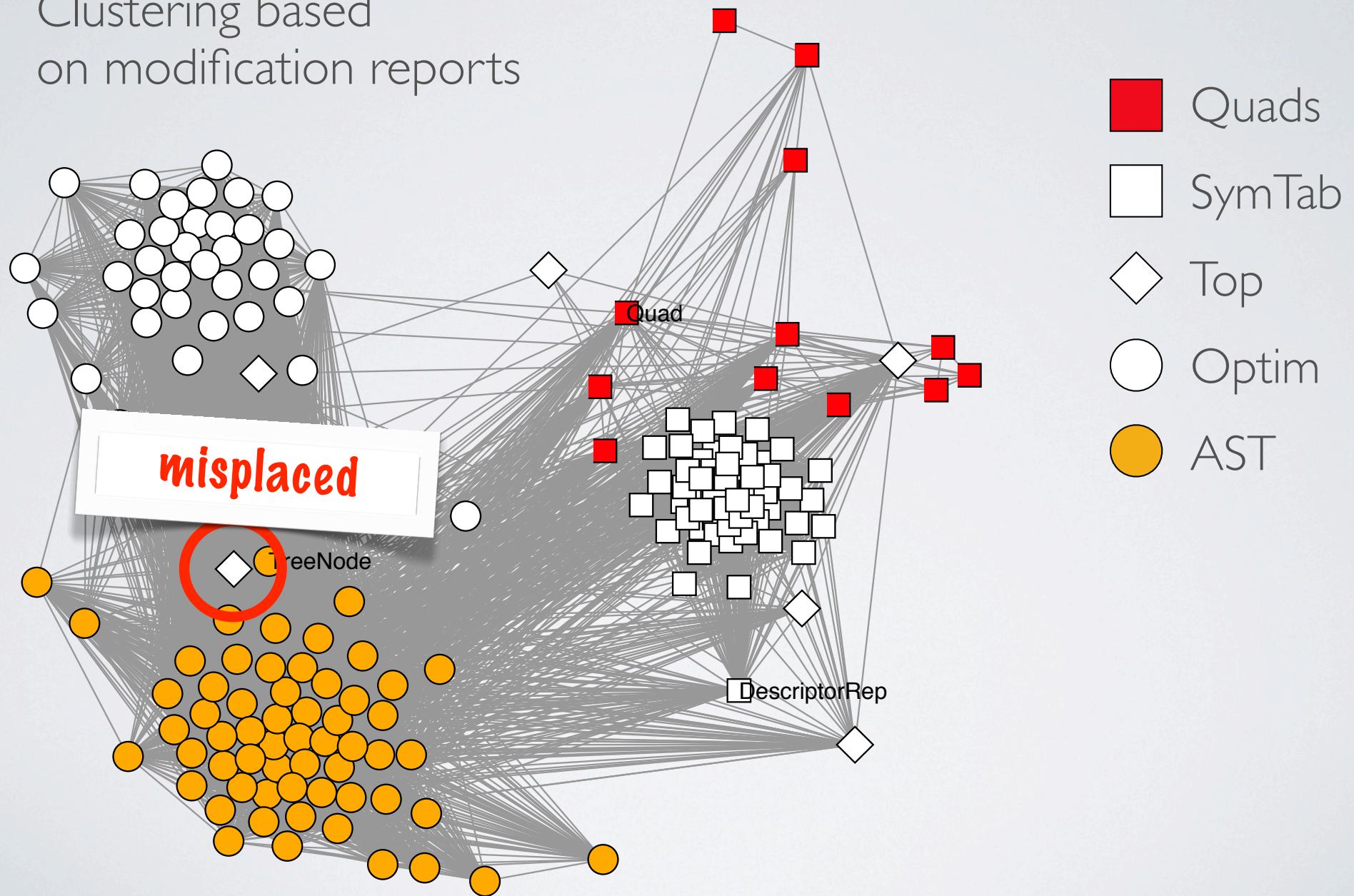
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Clustering based
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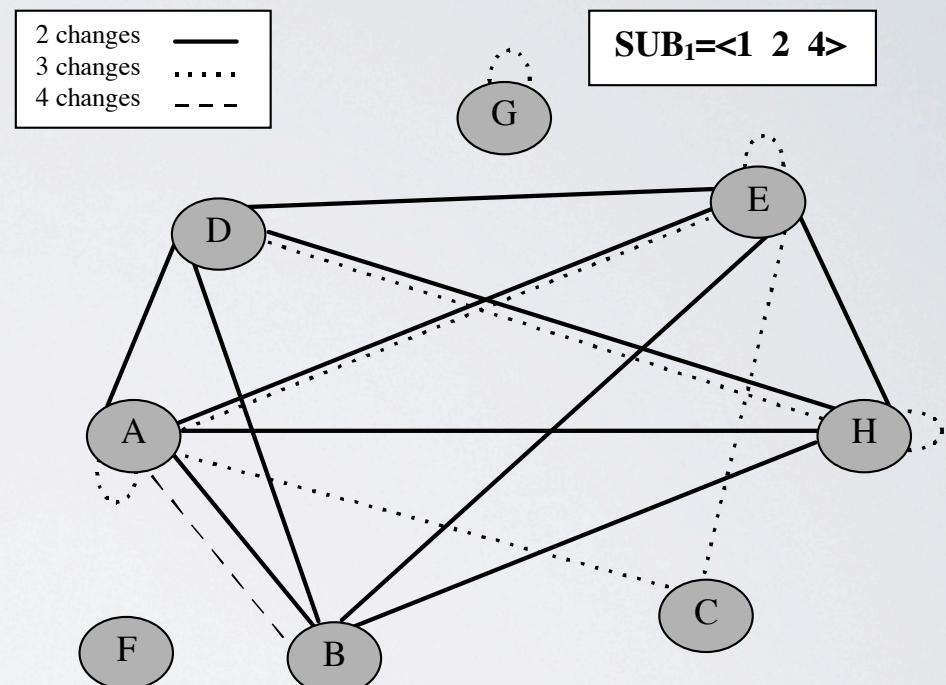
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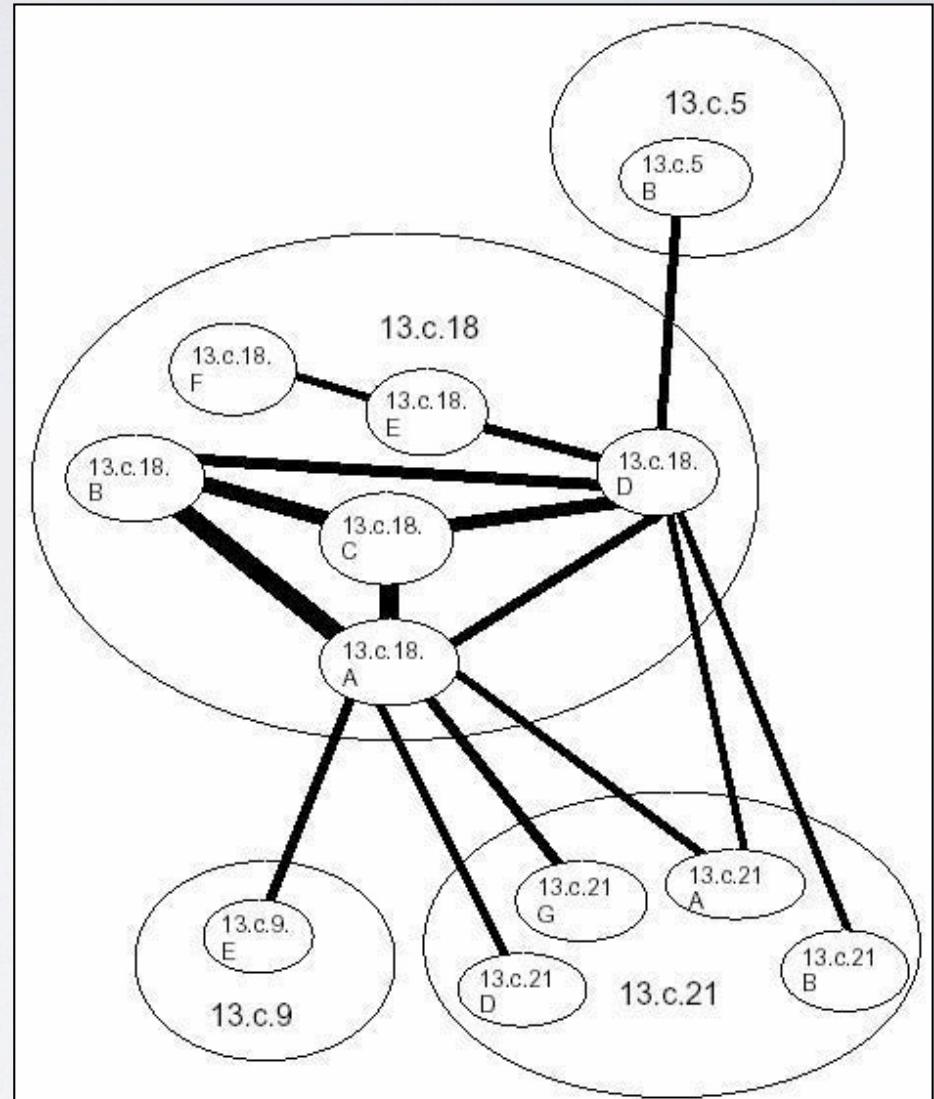
Definition of logical coupling

- ▶ Gall et al.* identified “logical” couplings among system modules across several releases of a large industrial telecommunication system



Finer grained logical coupling

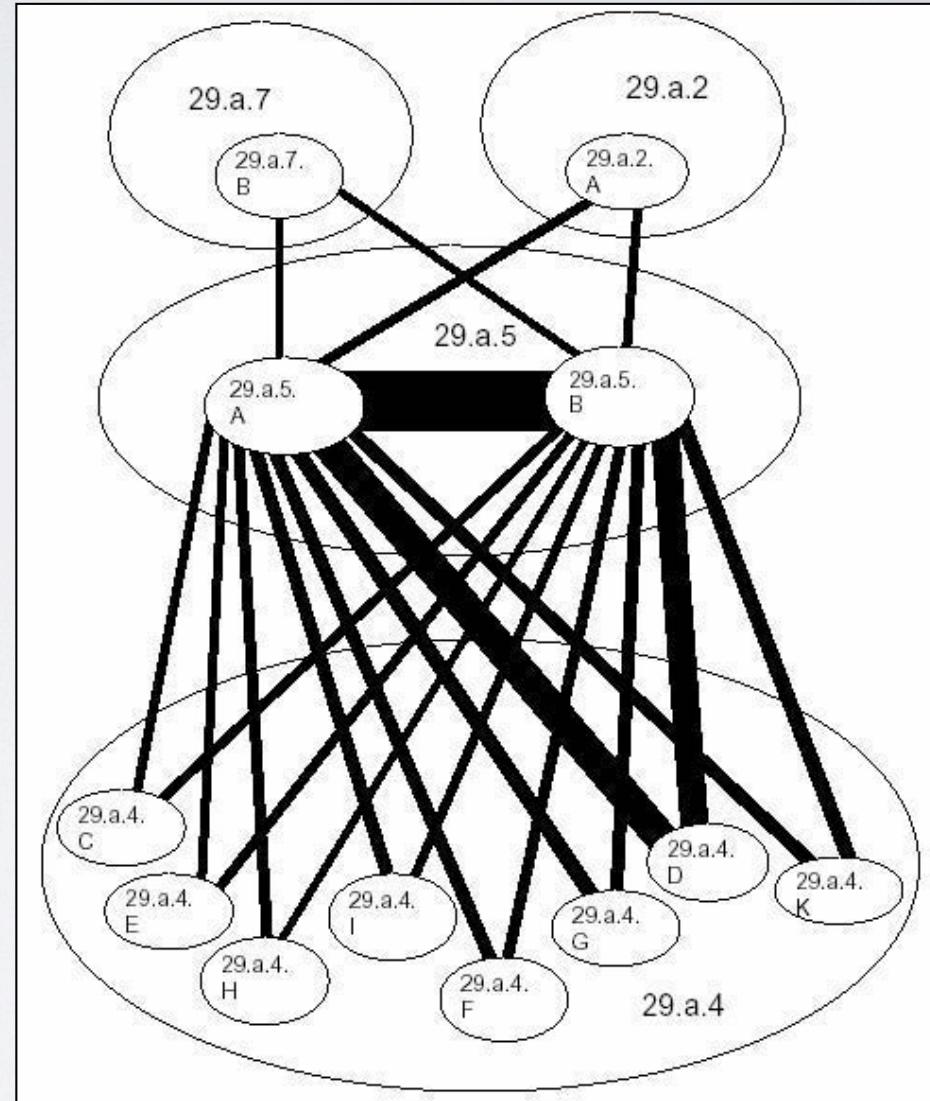
- ▶ Couplings among submodules and classes
- ▶ To answer questions such as:
 - ▶ Which parts of the software system have been changed together most often?
 - ▶ How many classes are involved in an external coupling with a particular module?
 - ▶ Is the internal or the external coupling stronger?
 - ▶ Do some modules have significantly more relations than other modules?



Gall et al., CVS Release History Data for Detecting Logical Couplings, IWPSE 2003

Finer grained logical coupling

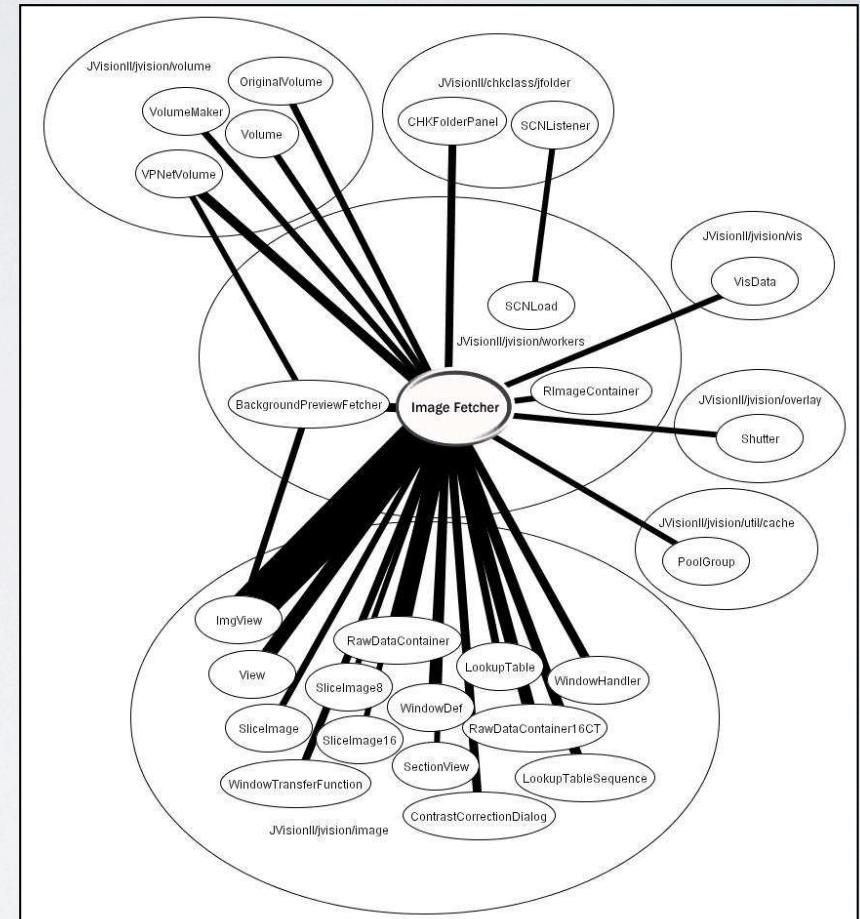
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Gall et al., CVS Release History Data for Detecting Logical Couplings, IWPSE 2003

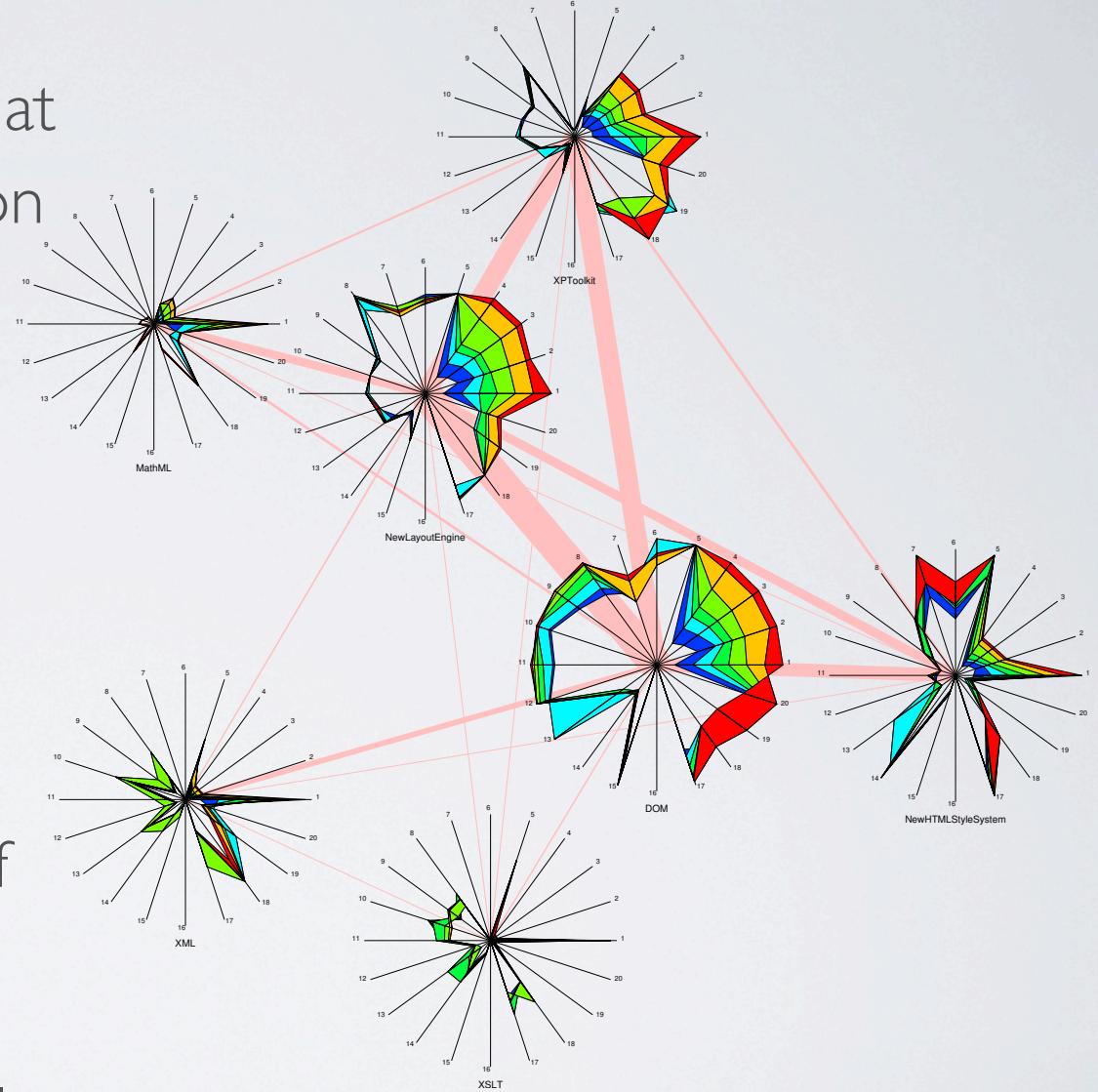
Change smells

- ▶ Change smells are similar to Fowler's bad smells, but based on change coupling data
 - ▶ Man in the middle
 - ▶ Data container
- ▶ Applied refactorings on detected change smells
- ▶ Analyzed the evolution of the system after applying the refactorings and showed that the evolvability of the system improved



Change coupling + metrics

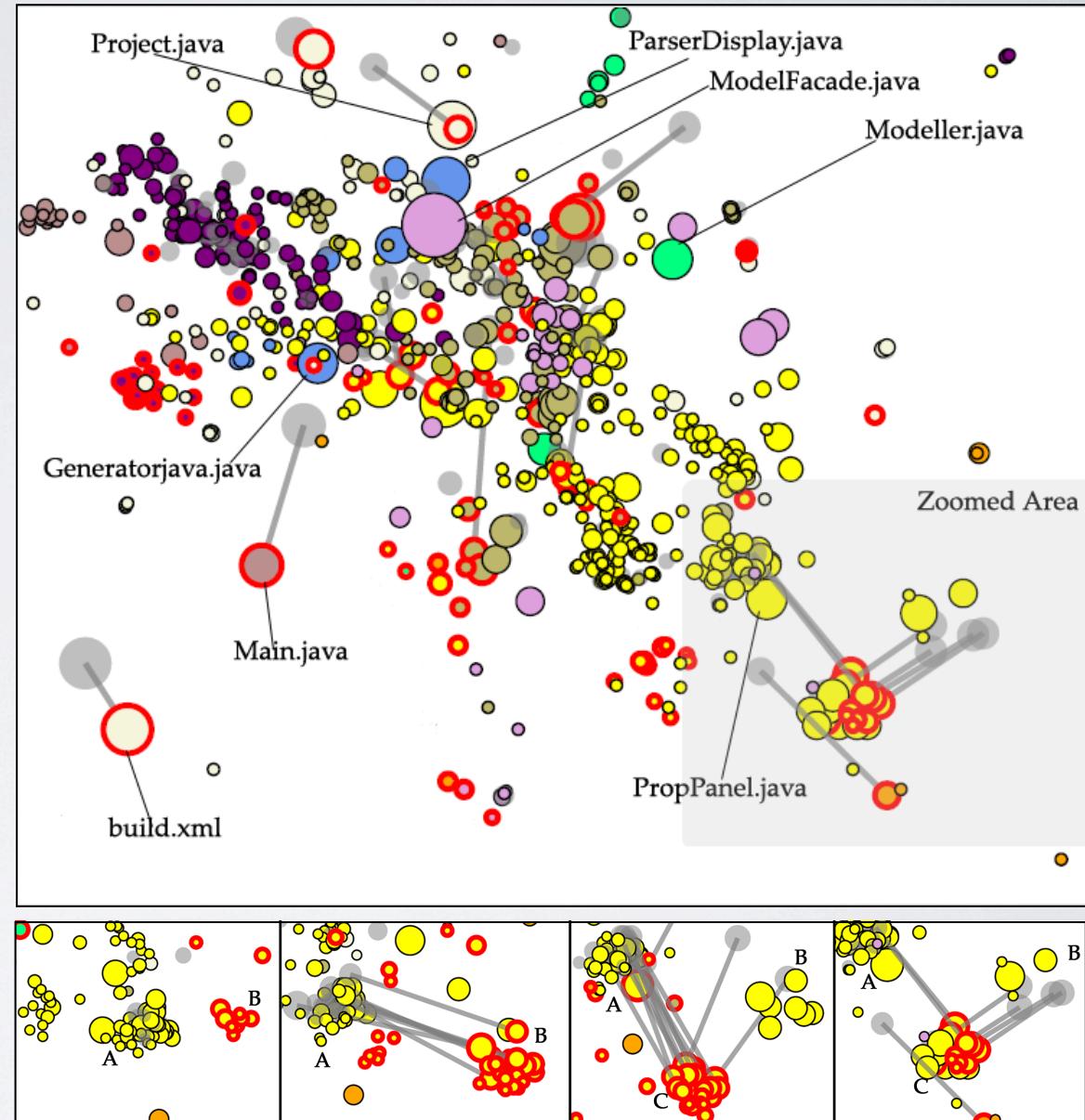
- ▶ Modules represented as Kiviat diagrams, mapping metrics on them
- ▶ Modules linked by change coupling
- ▶ Useful to:
 - ▶ Assess maintenance cost of modules
 - ▶ Study the stability of modules



Pinzger et al., Visualizing multiple evolution metrics,
SoftVis 2005

Animated clustering of change coupling data

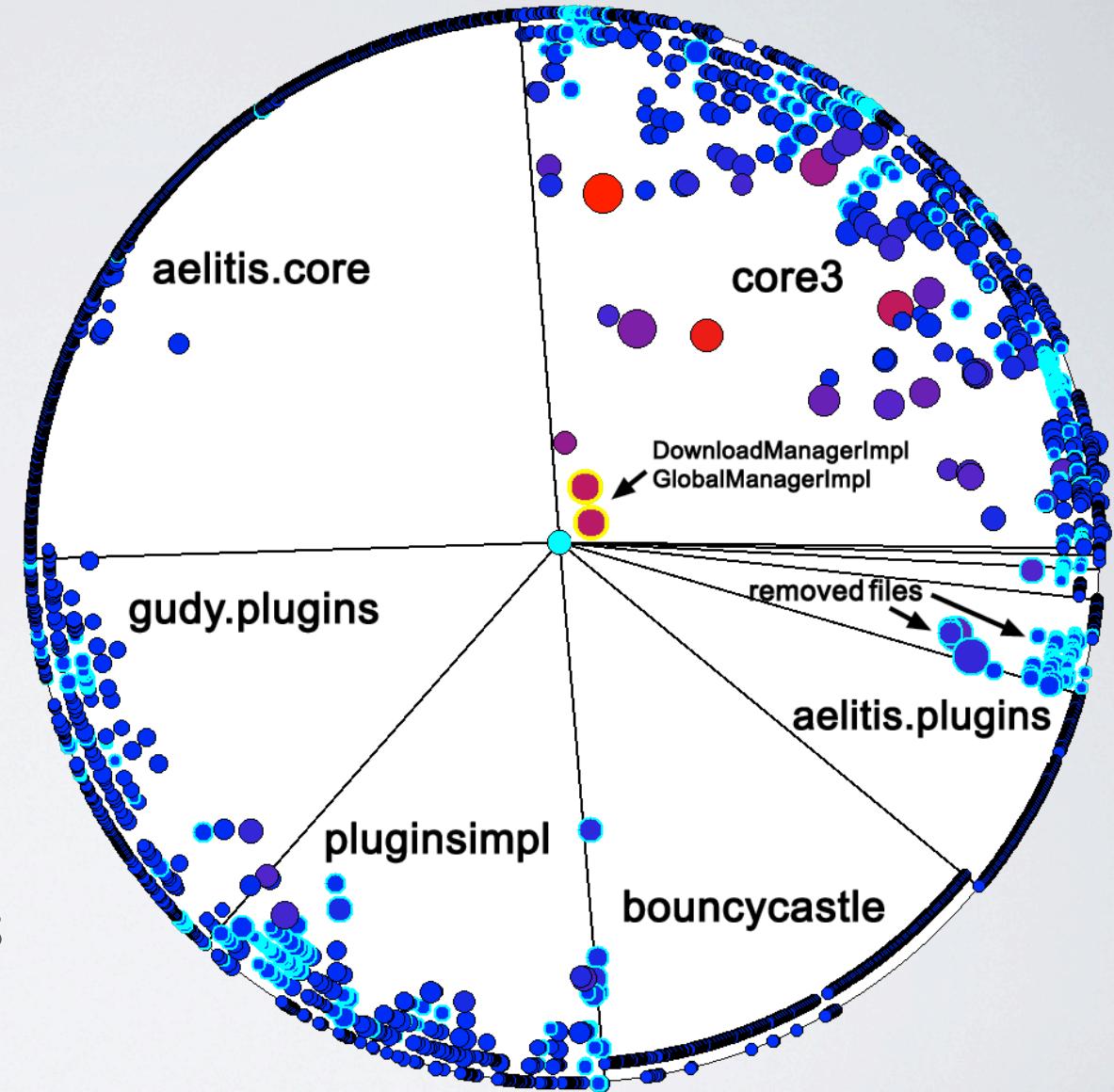
- ▶ Animated clustering is useful for:
 - ▶ understanding decay symptoms (since when did they exist?)
 - ▶ highlighting refactoring candidates (cross-cutting artifacts)
 - ▶ spotting good structure (artifacts that always change together)



Beyer and Hassan, *Animated visualization of software history using evolution storyboards*, WCRE 2006

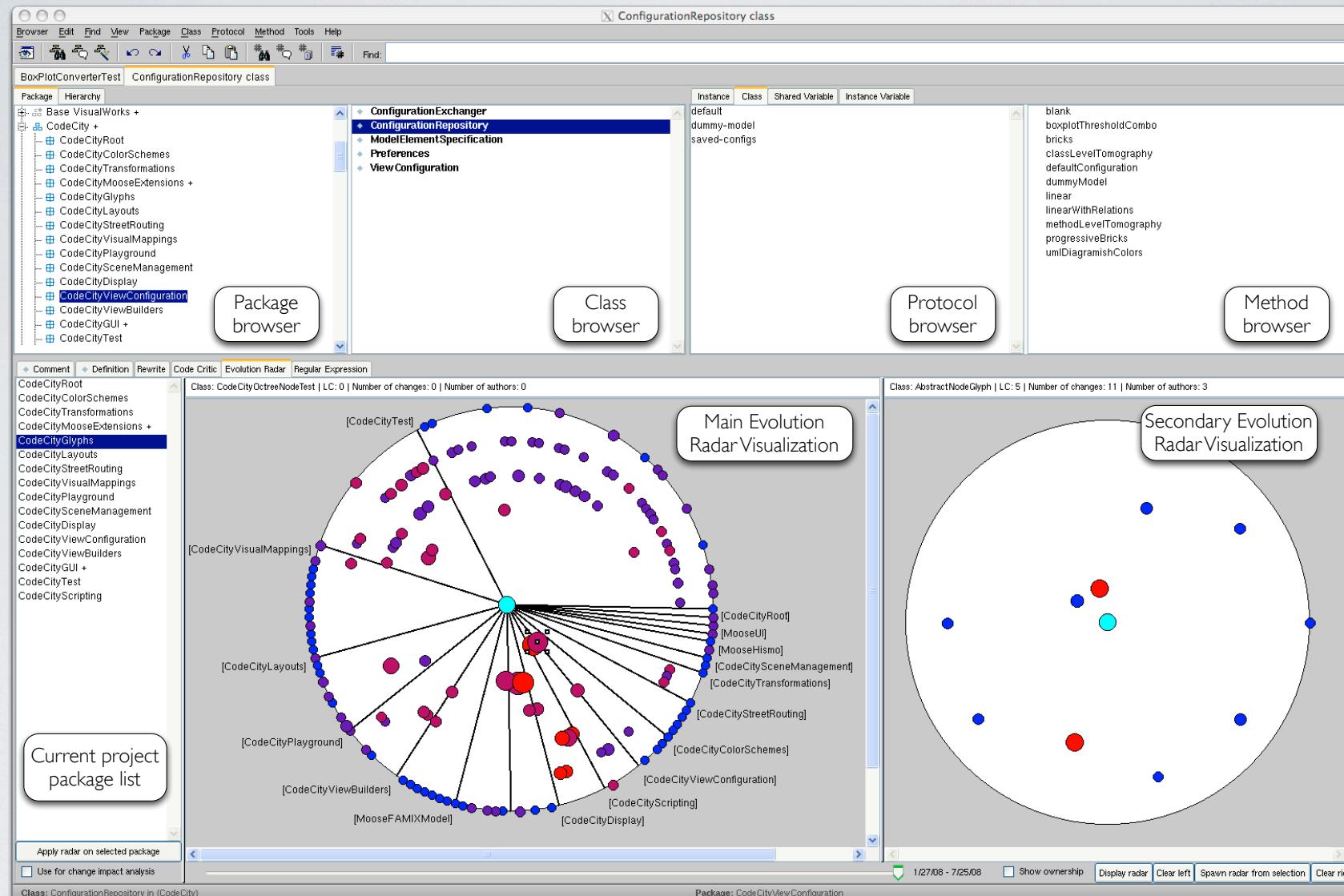
Integrated change coupling data

- ▶ File- and module-level change coupling
- ▶ The evolution radar supports:
 - ▶ assessing architecture decay
 - ▶ change impact analysis
 - ▶ redocumentation and restructuring



D'Ambros et al., Visualizing Co-Change Information with the Evolution Radar, TSE 2009

Integrated change coupling IDE integration



- ▶ redocumentation and restructuring

D'Ambros et al., *Visualizing Co-Change Information with the Evolution Radar*, TSE 2009

Improving detection of change coupling

- ▶ Several heuristics are employed
 - ▶ Time window size
 - ▶ Weighting changes in the past
 - ▶ How many artifacts are changed together (e.g. filter out license update)
 - ▶ How much is “frequently”?

Improvement with DTW

- ▶ The authors defined the “Synchrony” pattern for files that change together similarly in the time dimension
- ▶ To detect the synchrony pattern they used DTW
 - ▶ Dynamic time warping (**DTW**) measures the similarity between two sequences which may vary in time or speed ("warping" the sequences non-linearly in the time dimension)
 - ▶ In our context the sequences are series of changes to software artifacts

Improvement with DTW

- ▶ DTW provides an improvement in precision and recall with respect to previous approaches (e.g., Zimmermann et al. TSE 2005)
- ▶ External precision (evaluated by system experts) 78.98%, external recall 76.89%.
- ▶ Internal precision on Mozilla 73.58%, recall 67.61%

Improvement with macro co-change

- ▶ Macro co-changes (MCCs): artifacts that co-change with large intervals
- ▶ Dephase macro co-changes (DMCCs): MCC that always happen with the same shifts in time
- ▶ Detection of (D)MCCs
 - ▶ for each artifact a bit vector is created, where each bit represents a time interval
 - ▶ 1 → the artifact was changed during the corresponding time interval
 - ▶ 0 → no modifications
 - ▶ (D)MCC are identified based on the Hamming distance among bit vectors
- ▶ Evaluation on 4 long-lived open source systems
 - ▶ quantitative, comparing the approach with association rules in terms of precision and recall
 - ▶ qualitatively, by using bug tracking systems and mailing lists to confirm couplings

Change analysis and prediction

- ▶ Goal: Analyze software repository data to:
 - ▶ support change propagation and predict future changes
 - ▶ detect design problems (e.g. architecture decay)

Defect prediction
**Change analysis and
prediction**

Empirical studies

**Expertise & bug
triaging**

Visual evolution

Human factors analysis

Empirical studies

- ▶ Goal: Analyze software repositories data to:
 - ▶ empirically validate/invalidate common wisdom
 - ▶ investigate a particular phenomenon
 - ▶ provide actionable suggestions based on empirical evidence

Growth of OSS

- ▶ Previous studies* indicated that the growth of software systems tend to decrease as they get larger
- ▶ Godfrey and Tu empirically investigated this assumption on the Linux Kernel**

*Gall et al., *Software evolution observations based on product release history*, ICSM 1997

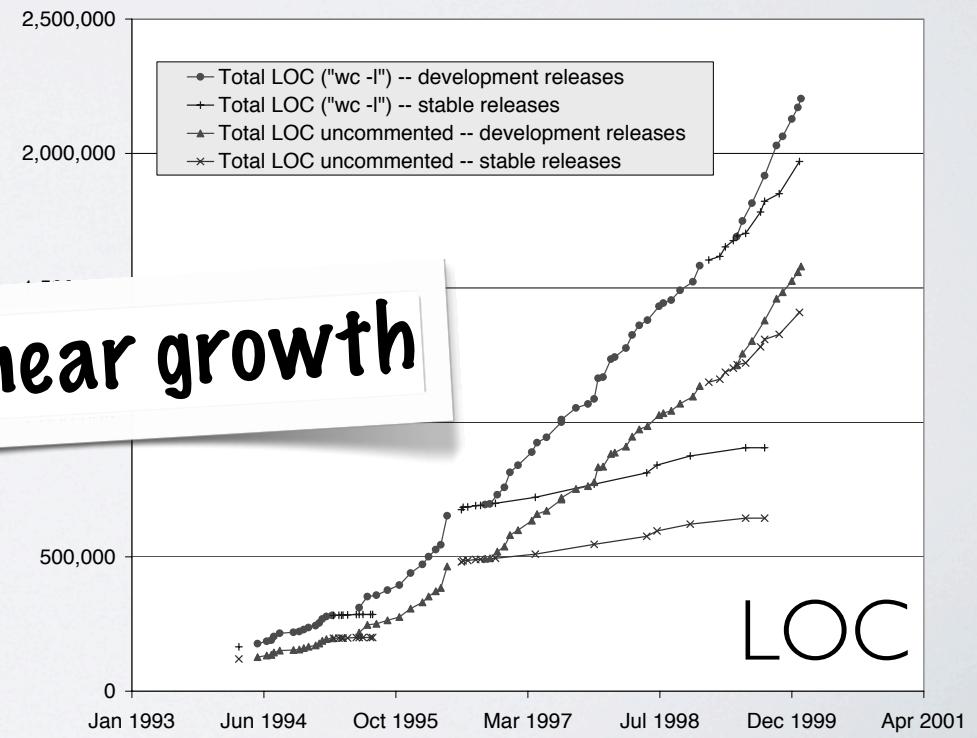
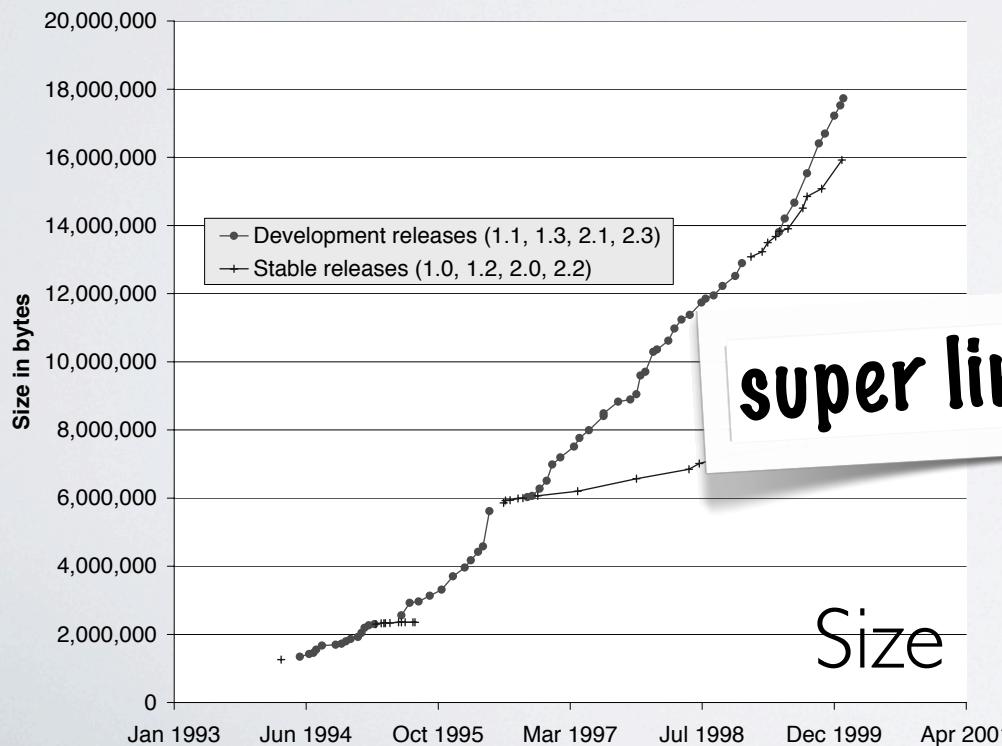
*Lehman et al., *Implications of evolution metrics on software maintenance*, ICSM 1998

*Turski, *Reference model for smooth growth of software systems*, TSE 1996

**Godfrey and Tu, *Evolution in open source software: A case study*, ICSM 2000

Growth of OSS

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How can such a large system grow so fast?

- ▶ The answer is in the data
 - ▶ >50% of code is device drivers, which are independent
 - ▶ The same features are implemented multiple times for different CPU/architectures
 - ▶ The core of the Linux kernel is much smaller than the code base

Open source (OSS) vs commercial software

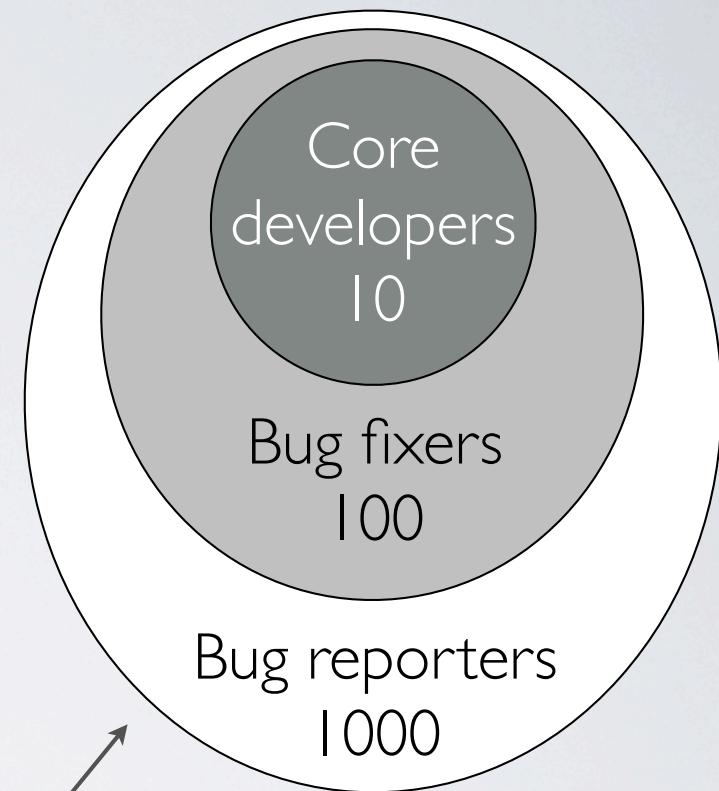
- ▶ Common wisdom: OSS can compete successfully with traditional commercial development methodologies
- ▶ Mockus et al. empirically verified this wisdom on Apache
- ▶ They collected developer mailing lists, code change history and problem reports
 - ▶ Differently from other MSR approaches, all the data was extracted from email archives (emails were automatically generated for each commit and for each bug report)

Most influential paper award

Mockus et al., A case study of open source software development: the Apache server, ICSE 2000

Findings: OSS development process

- ▶ OSS have a core of 10-15 developers which produces 80% of the code
 - ▶ For projects so large that 15 people cannot handle the code base, satellite projects are created (e.g. Apache-SSL)
- ▶ Projects that do not follow this model are likely to fail, because there are not enough people to find/fix bugs



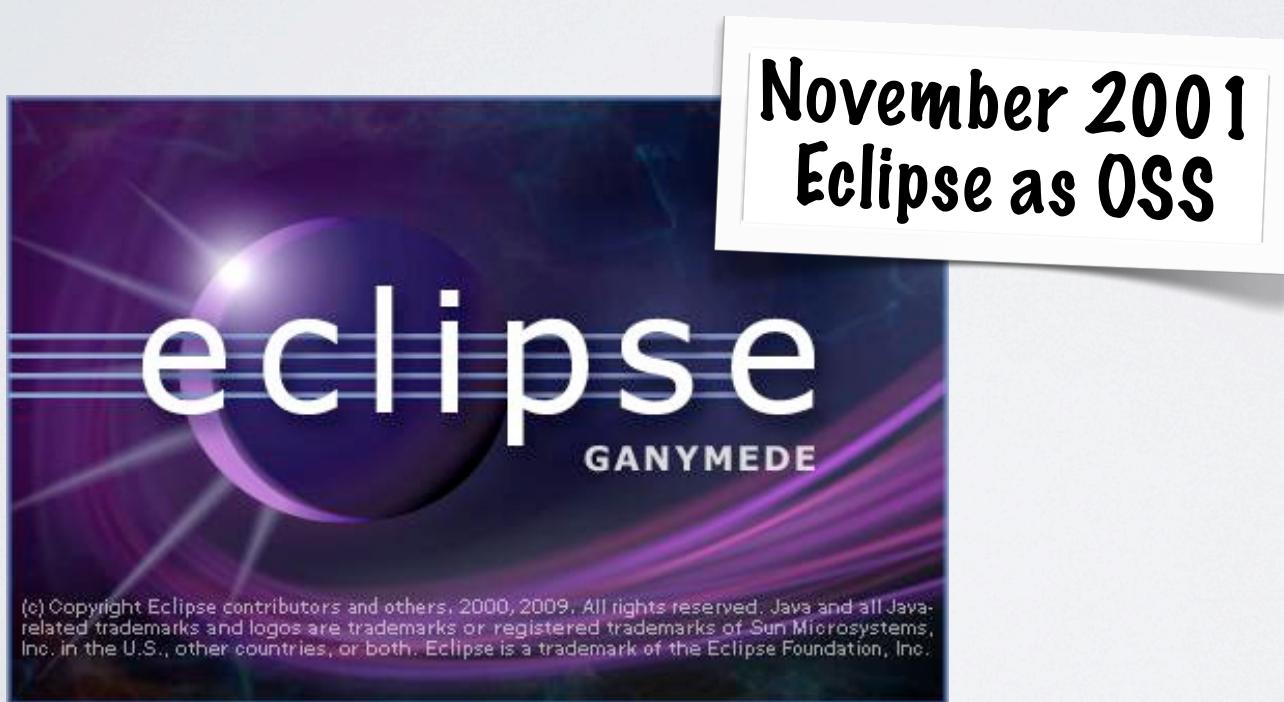
Findings: Detecting and fixing bugs

- ▶ In OSS defect density is lower than in commercial software (given the same level of testing)
- ▶ Developers are also users
 - ▶ Avoiding the lack of domain knowledge
- ▶ OSS has a faster responses to users' problems
 - ▶ Patches can be distributed as soon as they are developed (not bundled into new releases / service packs)

Conclusion

- ▶ Commercial and OSS practices might be fruitfully hybridized

June 2000



November 2001 Eclipse as OSS

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Clones and software quality

- ▶ Clones are considered bad smells which indicate poor maintainability*:
 - ▶ clones can silently replicate hidden bugs**
 - ▶ cloning is often performed hastily without a full understanding of the cloned code
- ▶ Rahman et al. empirically assess whether clones decrease software quality by making the code more defect prone⁺

*Fowler et al., *Refactoring: Improving the Design of Existing Code*, Addison-Wesley 1999

**Jiang et al., *Context-based detection of clone-related bugs*, ESEC/FSE 2007

+Rahman et al., *Clones: What is that Smell?*, MSR 2010

Clones and software quality

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Gimp



Nautilus



Evolution

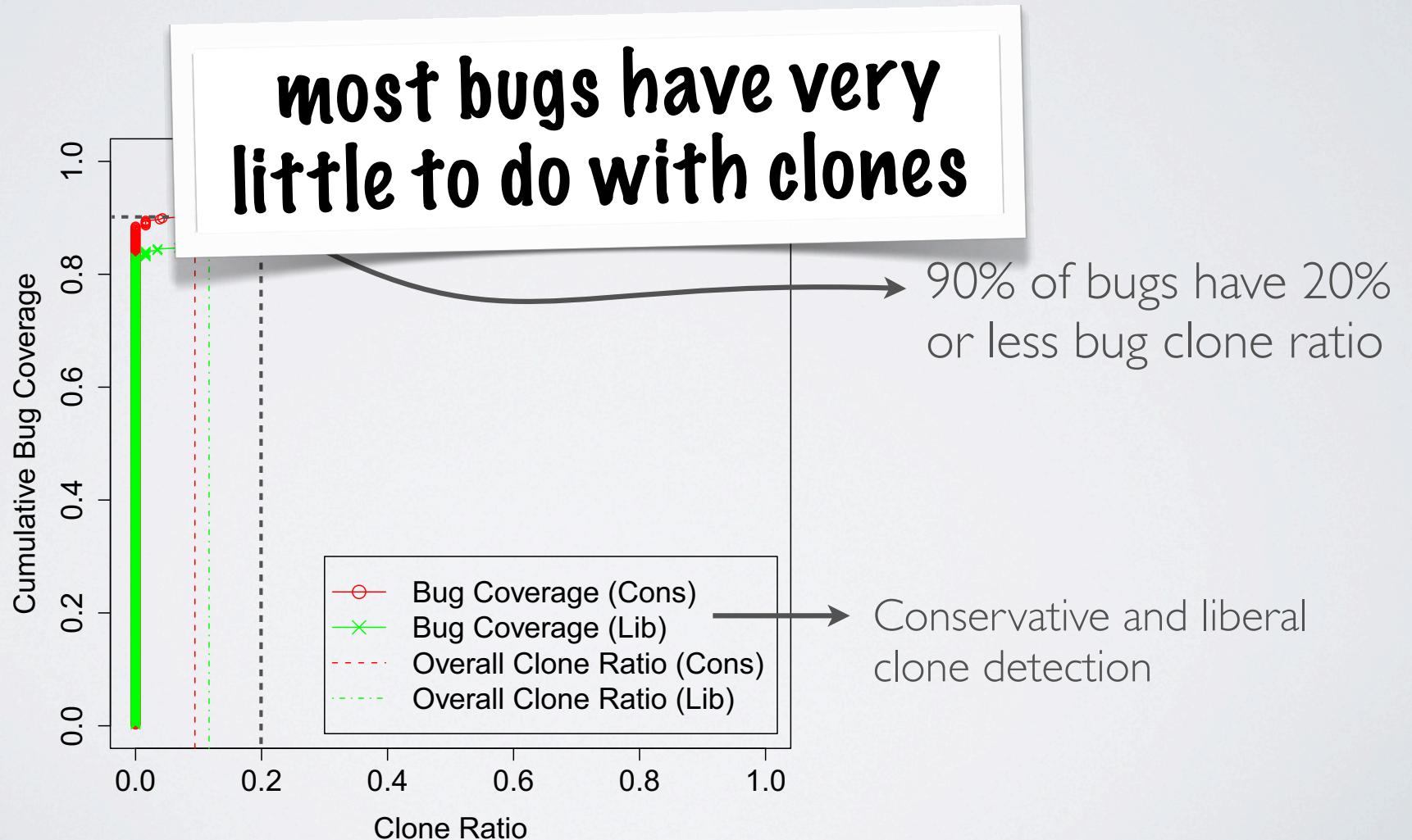


HTTPD

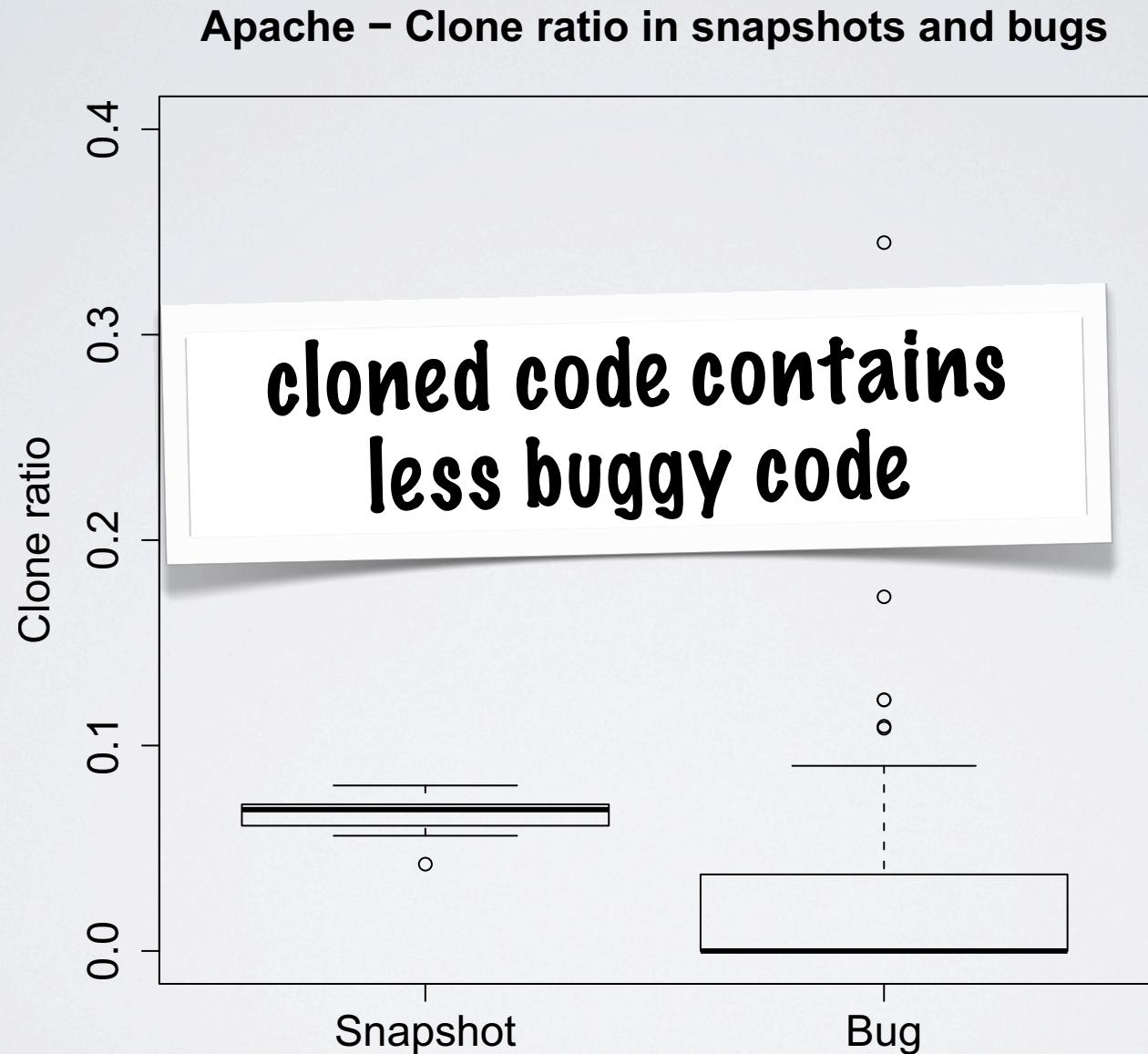
⁺Rahman et al., *Clones: What is that Smell?*, MSR 2010

Does cloned code contribute to bugs?

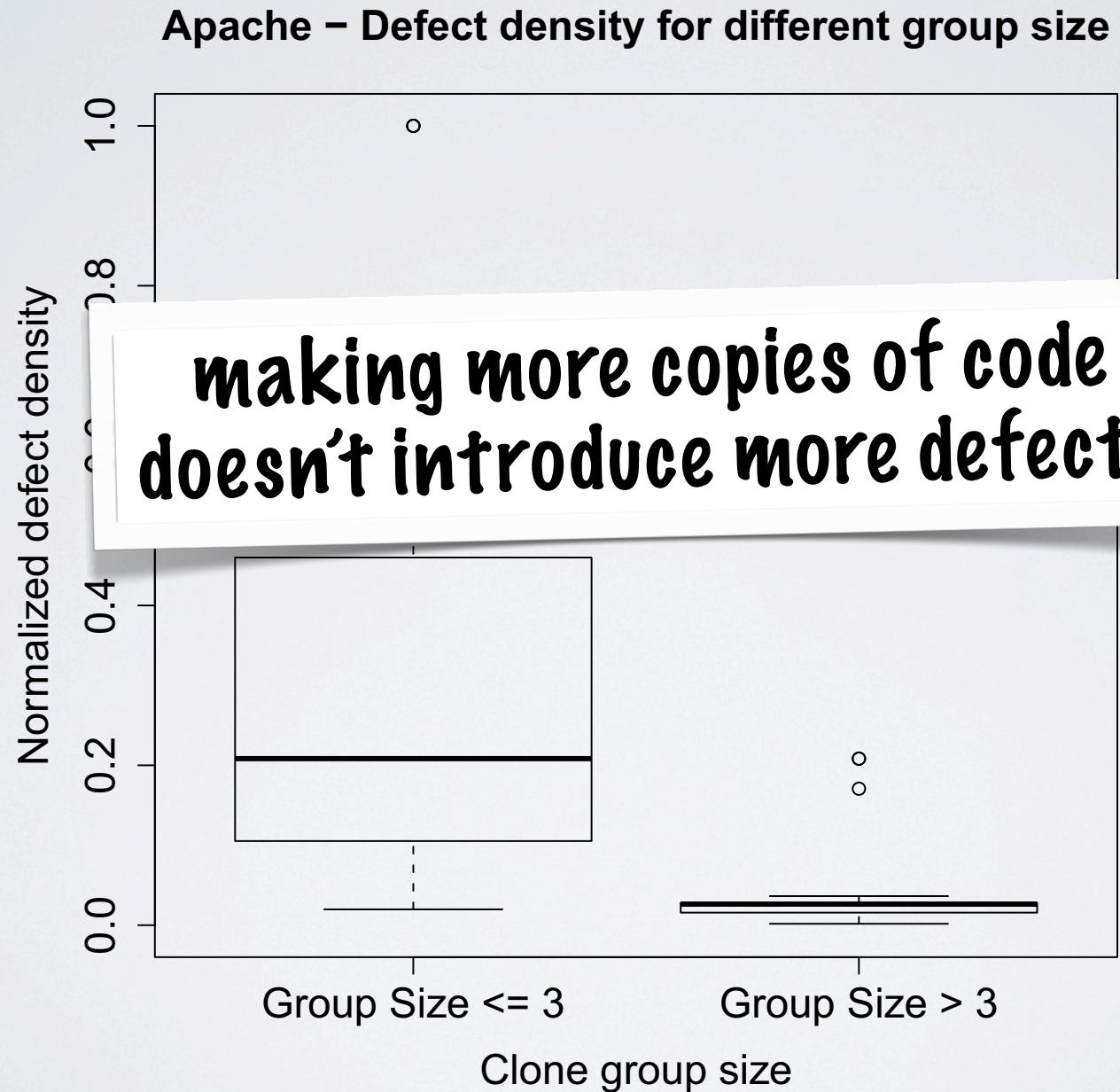
bug clone ratio = $\frac{\text{buggy code part of clones}}{\text{buggy code}}$



Do clones occur more often in buggy code?



Are larger clone groups more buggy?



Effects of distributed development on software quality*

- ▶ Distributed development is more challenging and riskier than collocated development for several reasons*: delayed feedback, restricted communication, difficulty of synchronous communication, inconsistent build environments, lack of trust and confidence between sites, etc.
- ▶ Bird et al. studied the effects of distributed development on software quality, measured in terms of post-release failures, on Windows Vista**
 - ▶ **Distributed binaries**: when at least 25% of the commits came from locations other than where binary's owner resides
 - ▶ **Collocated binaries**: produced by collocated teams (the rest)
 - ▶ The distribution of post-release failures is studied in the two populations

**Bird et al., *Does distributed development affect software quality? an empirical case study of windows vista*, ICSE 2009

*Olson and Olson, *Distance matters*, Human- Computer Interaction 2000

Results

- ▶ There is a statistically significant (Mann-Whitney test) difference between the two populations for the average number of post-release failures per binary
- ▶ Since the difference was small (~8%), a further investigation was performed
 - ▶ By controlling for team size, it was shown that the difference becomes negligible
 - ▶ Hypothesis: binaries that are selected for distributed development are smaller and/or less complex
 - ▶ No significant difference was found between distributed and collocated binaries in terms of several properties (e.g., code metrics, code churn, test coverage)

Possible explanations

- ▶ Sites were not in competition
- ▶ Cultural barriers were alleviated because a number of engineers from the US site visited the Indian one for an extended period of time
- ▶ Lack of communication was mitigated by the fact that Vista developers made heavy use of synchronous communication daily (employees stayed at work late or arrived early for a status conference call)
- ▶ The same production tools (configuration management and build system) are used throughout all Microsoft sites
- ▶ Distributed ownership was minimized since Microsoft enforces strong code ownership
- ▶ Common release dates and milestones
- ▶ The organizational structure spans geographical locations at low levels

Effects of code ownership on software quality

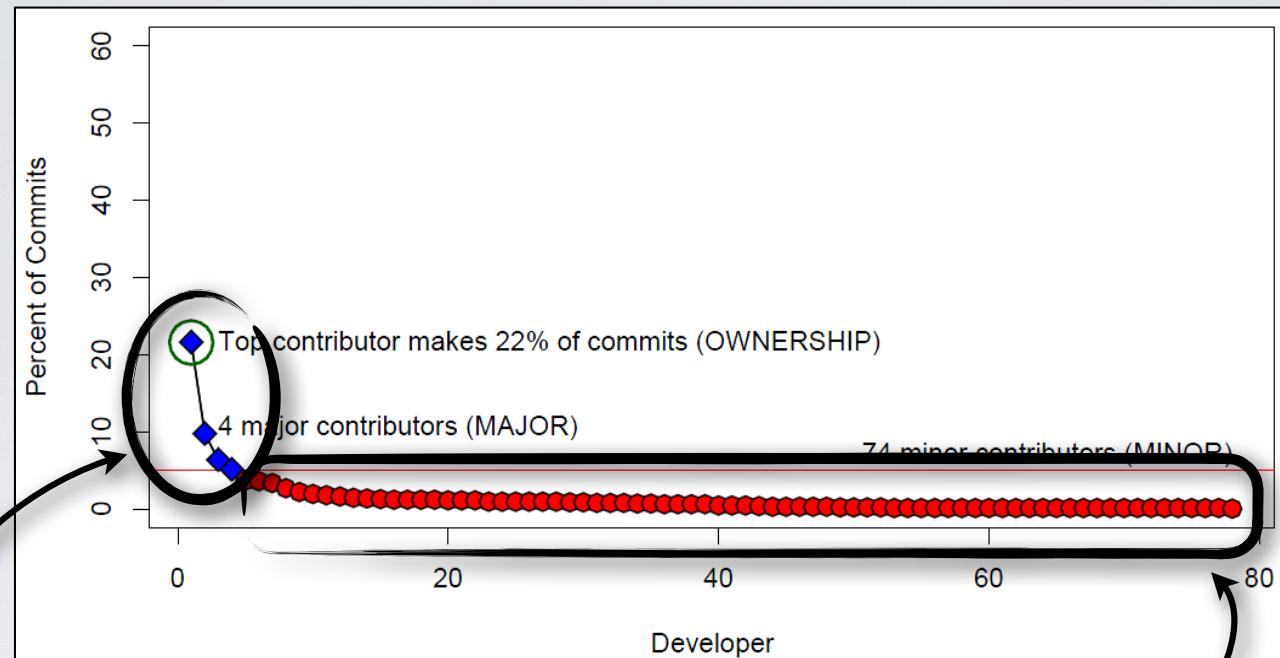
- ▶ The number of people working on a software artifact is correlated with the number of bugs affecting it*
- ▶ Why and how?
- ▶ Bird et al. empirically analyzed the relationship between various ownership measures and bugs in Windows Vista and Windows 7**
- ▶ For a software artifact they defined
 - ▶ major contributor: >5% of commits
 - ▶ minor contributor: <5% of commits

*Bird et al., Does distributed development affect software quality? an empirical case study of windows vista, ICSE 2009

**Nagappan et al., The influence of organizational structure on software quality: an empirical case study, ICSE 2008

**Bird et al., Don't Touch My Code! Examining the Effects of Ownership on Software Quality, ESEC/FSE 2011

Effects of code ownership on software quality

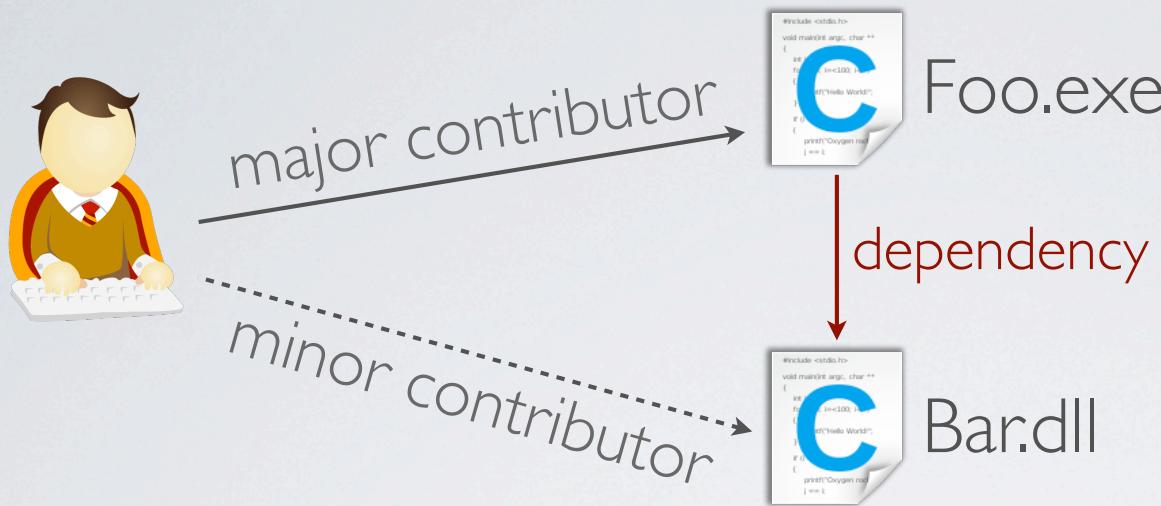


- ▶ For a software artifact they defined
 - ▶ **major** contributor: >5% of commits
 - ▶ **minor** contributor: <5% of commits

The level of ownership affects software quality

- ▶ Software artifacts with many minor contributors are more defect-prone
 - ▶ Also if controlling for well known quality factors such as size and complexity
- ▶ Software artifacts with high ownership are less defect-prone

Minor contributors are the key



- ▶ Minor contributors to an artifact are often major contributors to another artifact
- ▶ The contributions of minor contributors are likely to introduce defects
- ▶ Actionable conclusions:
 - ▶ Changes performed by minor contributors should be carefully reviewed
 - ▶ Minor contributors should ask the desired changes to be implemented by major contributors

Effects of change coupling on software quality

- ▶ Change coupling was considered harmful as it leads to architecture decay
- ▶ D'Ambros et al. empirically investigated the impact of change coupling on a tangible effect of low software quality, i.e., the presence of software defects
 - ▶ is change coupling correlated with software defects?
 - ▶ can we use change coupling data for defect prediction?

Measuring change coupling



Distribution

Number of coupled classes



Force

Number of co-changes



Time decay

Changes far in the past count less

Is change coupling correlated with defects?



Distribution



Force



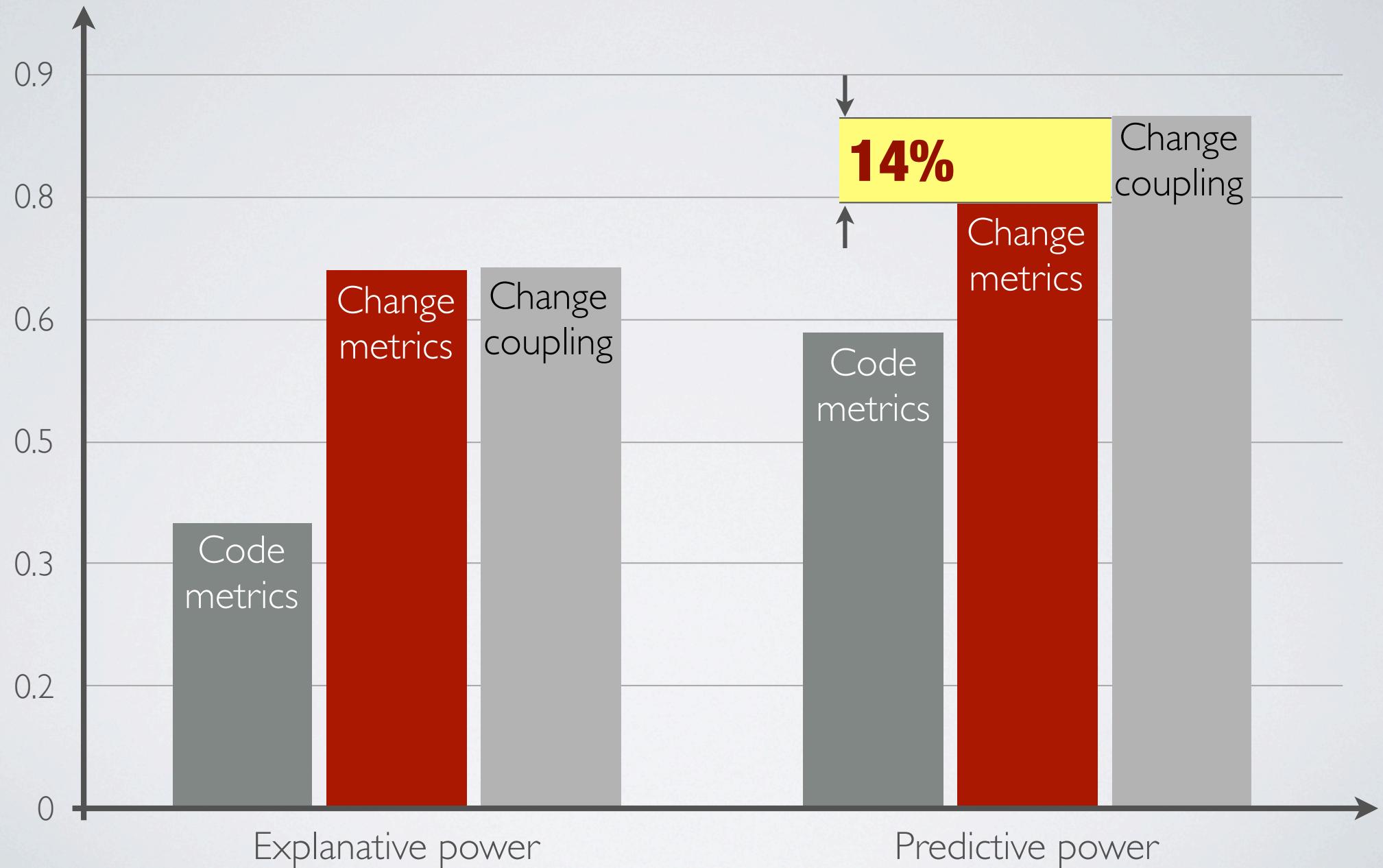
Time decay

Change coupling strongly
correlates with software defects

0.8+

Spearman's correlation

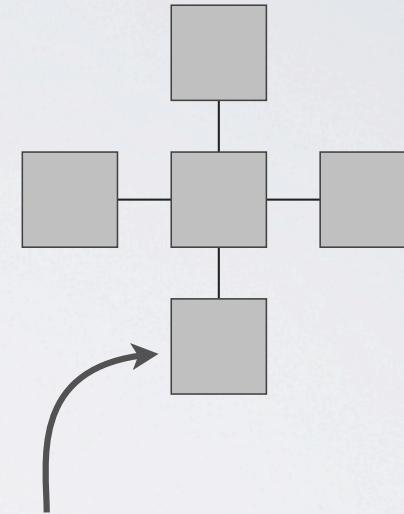
Can we use change coupling for defect prediction?



Effects of design flaws on software quality

- ▶ Common wisdom: design flaws are a symptom of low software quality
- ▶ D'Ambros et al. empirically studied the relationship between software defects and
 - ▶ the presence of a catalog of design flaws
 - ▶ the addition of a catalog of design flaws over a system's evolution

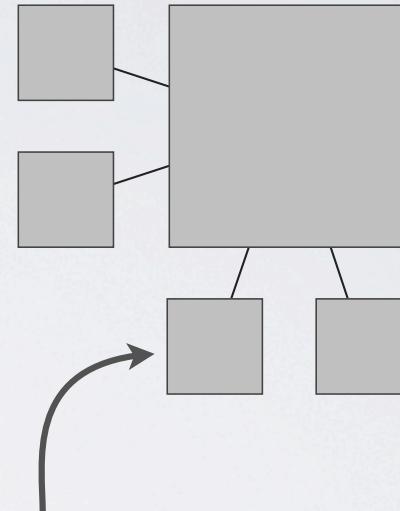
Design flaws



Class (Size \propto responsibility)

Design guideline: A class should have one responsibility

Design flaws

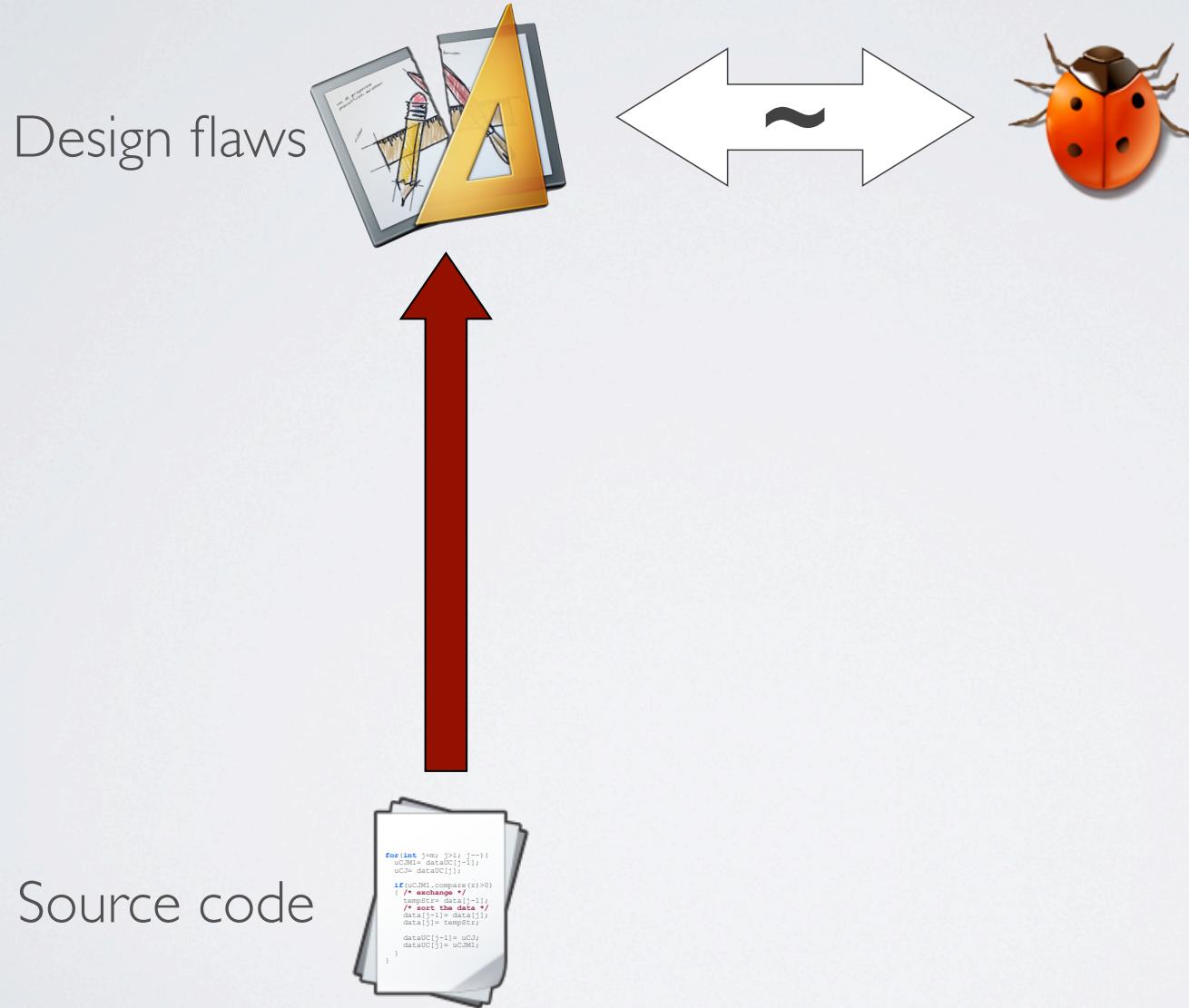


Class (Size \propto responsibility)

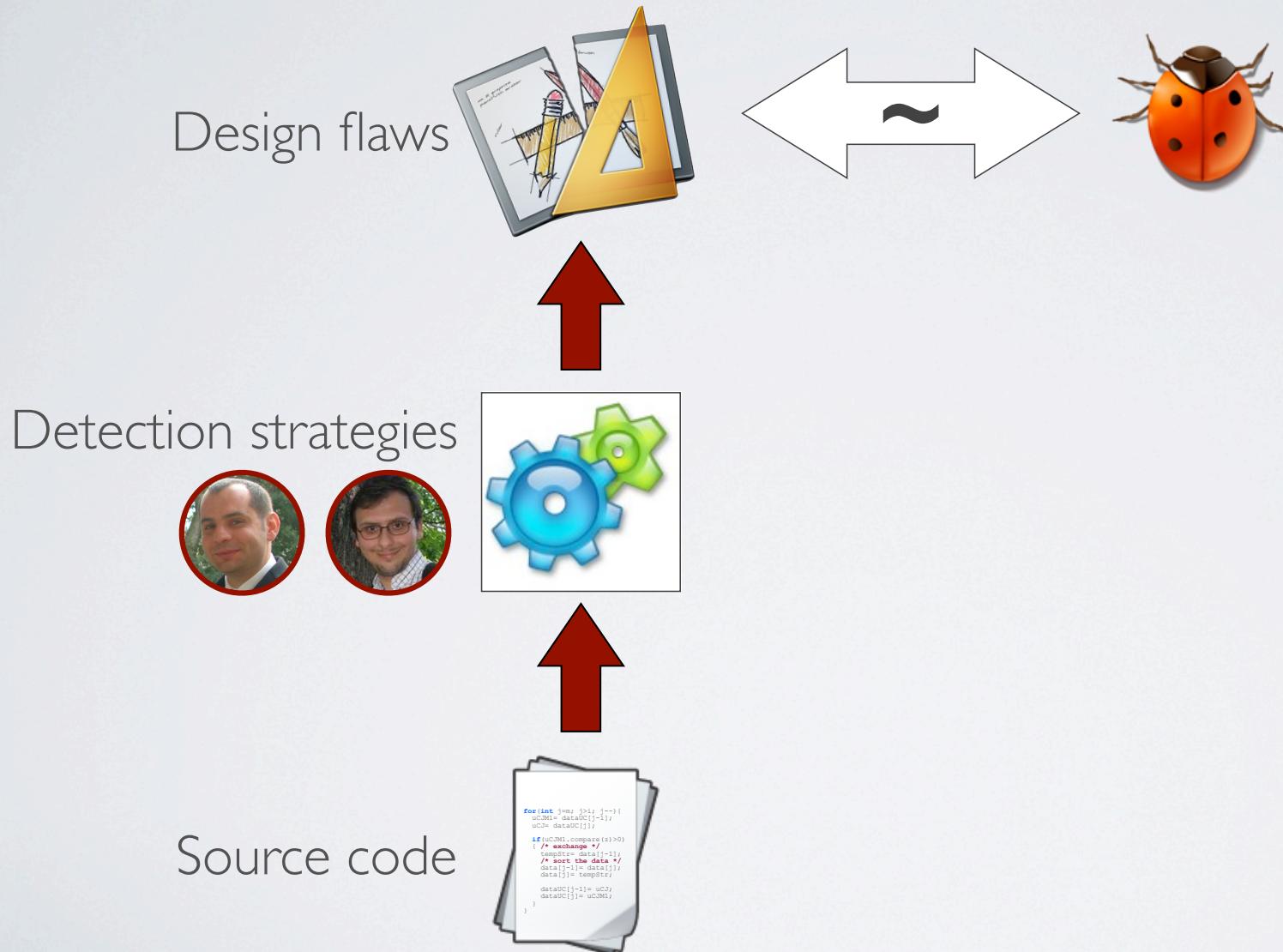
~~Design guideline: A class should have one responsibility~~

Violated

Analyzing design flaws



Analyzing design flaws



Analyzing design flaw deltas



```
for(int i=m; i>i; i--){  
    uc2m1= data[i-1];  
    uc2m2= data[0];  
  
    if(uc2m1.compare(z1)>0)  
        /* exchange */  
        data[i-1]= data[i];  
        data[i]= temp1;  
  
    data[0]=uc2m2;  
    data[1]=uc2m1;  
}
```

2 weeks



```
for(int i=m; i>i; i--){  
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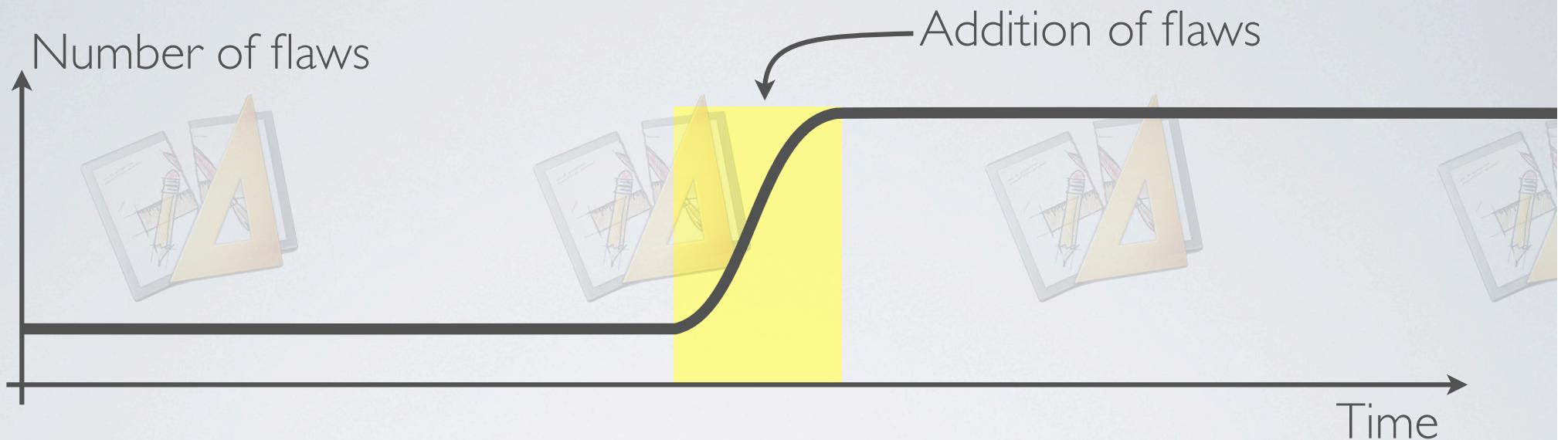
2 weeks



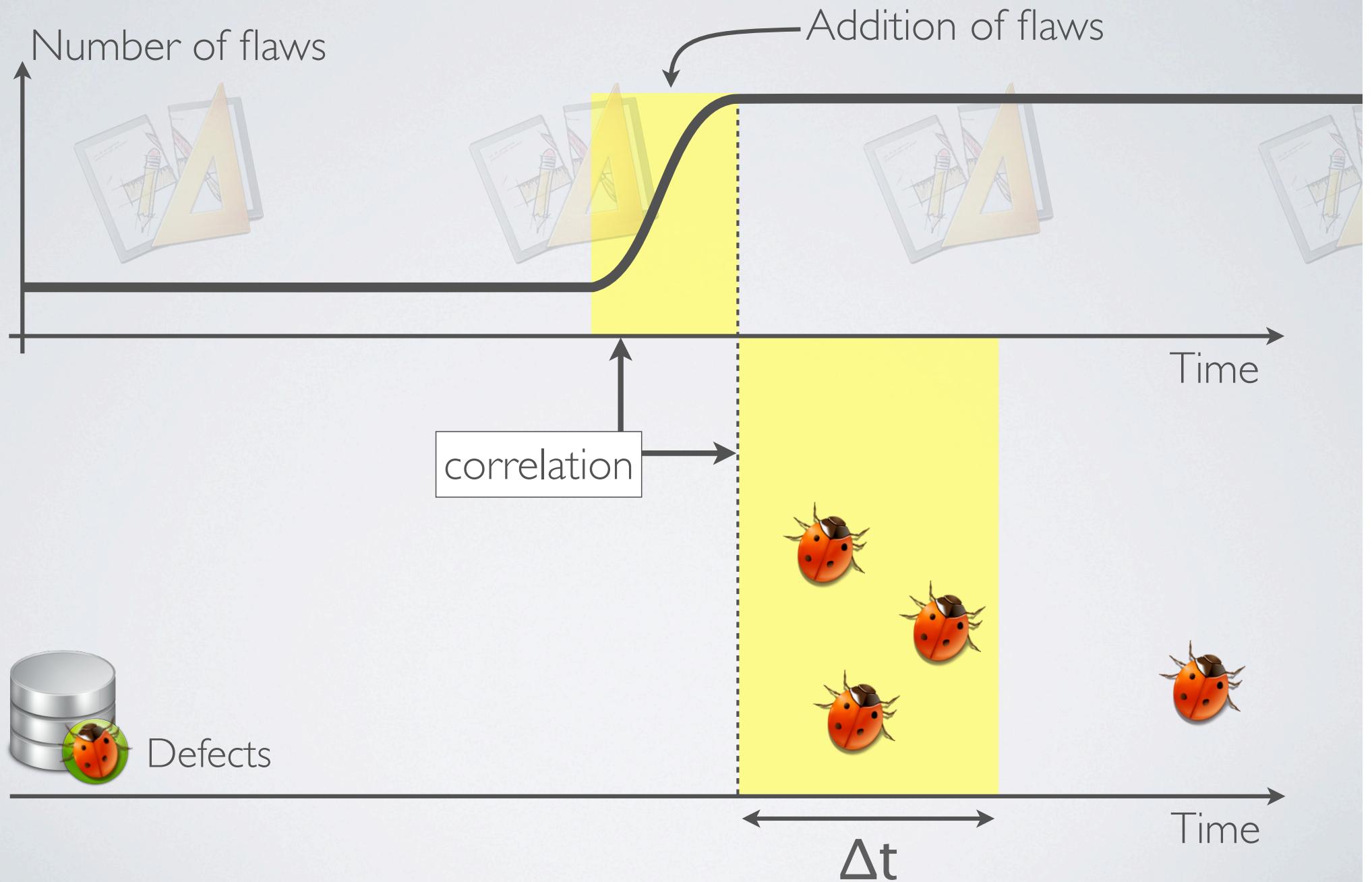
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    data[1]=uc2m1;  
}
```

Time

Analyzing design flaw deltas



Analyzing design flaw deltas



Analyzing design flaw deltas

Flaw presence correlation
0.4+

No flaw correlates more than others
consistently across systems

0.6+

Flaw addition correlation

Empirical studies

- ▶ Goal: Analyze software repositories data to:
 - ▶ empirically validate/invalidate common wisdom
 - ▶ investigate a particular phenomenon
 - ▶ provide actionable suggestions based on empirical evidence

Defect prediction

Change analysis and prediction

Empirical studies

Expertise & bug triaging

Visual evolution

Human factors analysis

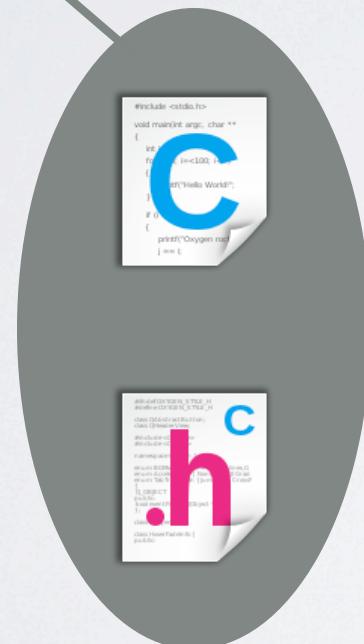
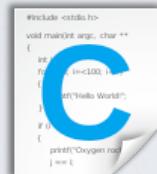
Expertise & bug triaging

- ▶ Goal: Study historical data to:
 - ▶ find experts of a particular software artifact
 - ▶ support bug triaging

Finding experts for a software artifact

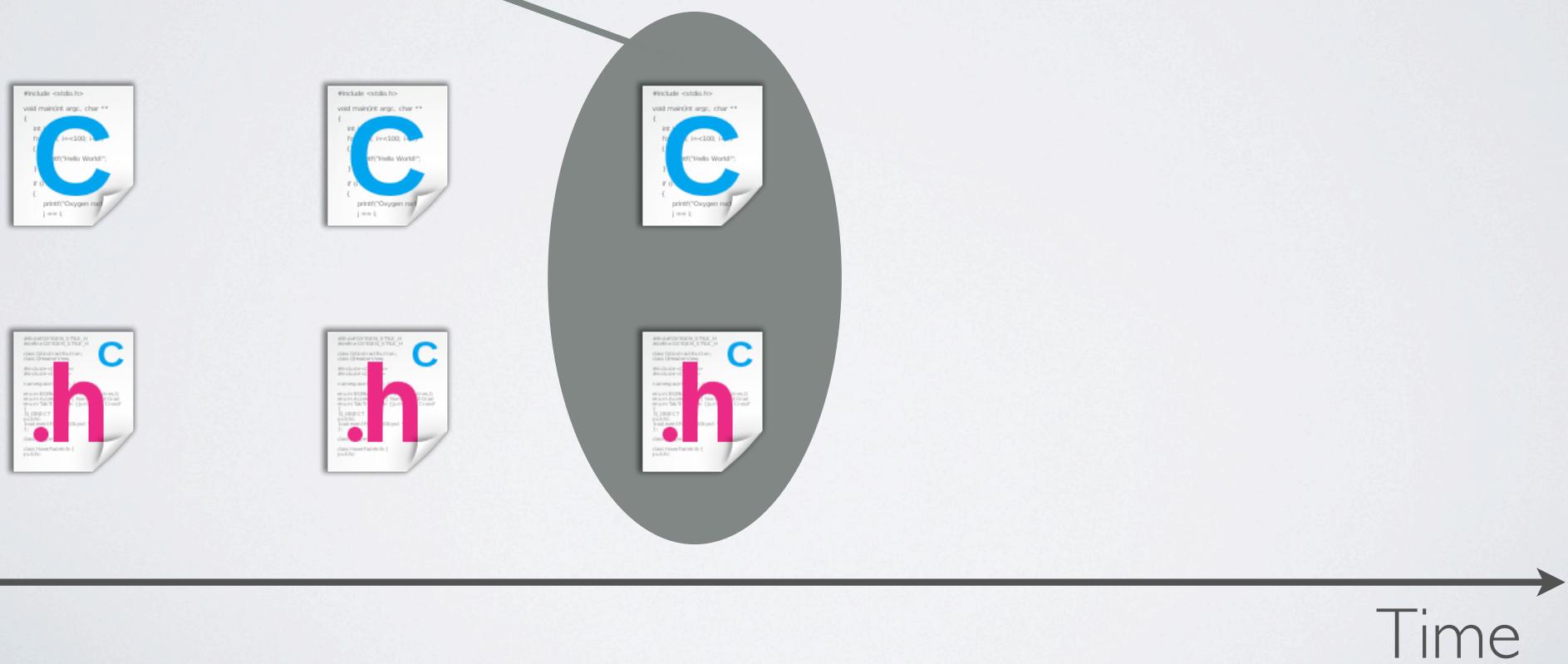


Finding experts for a software artifact

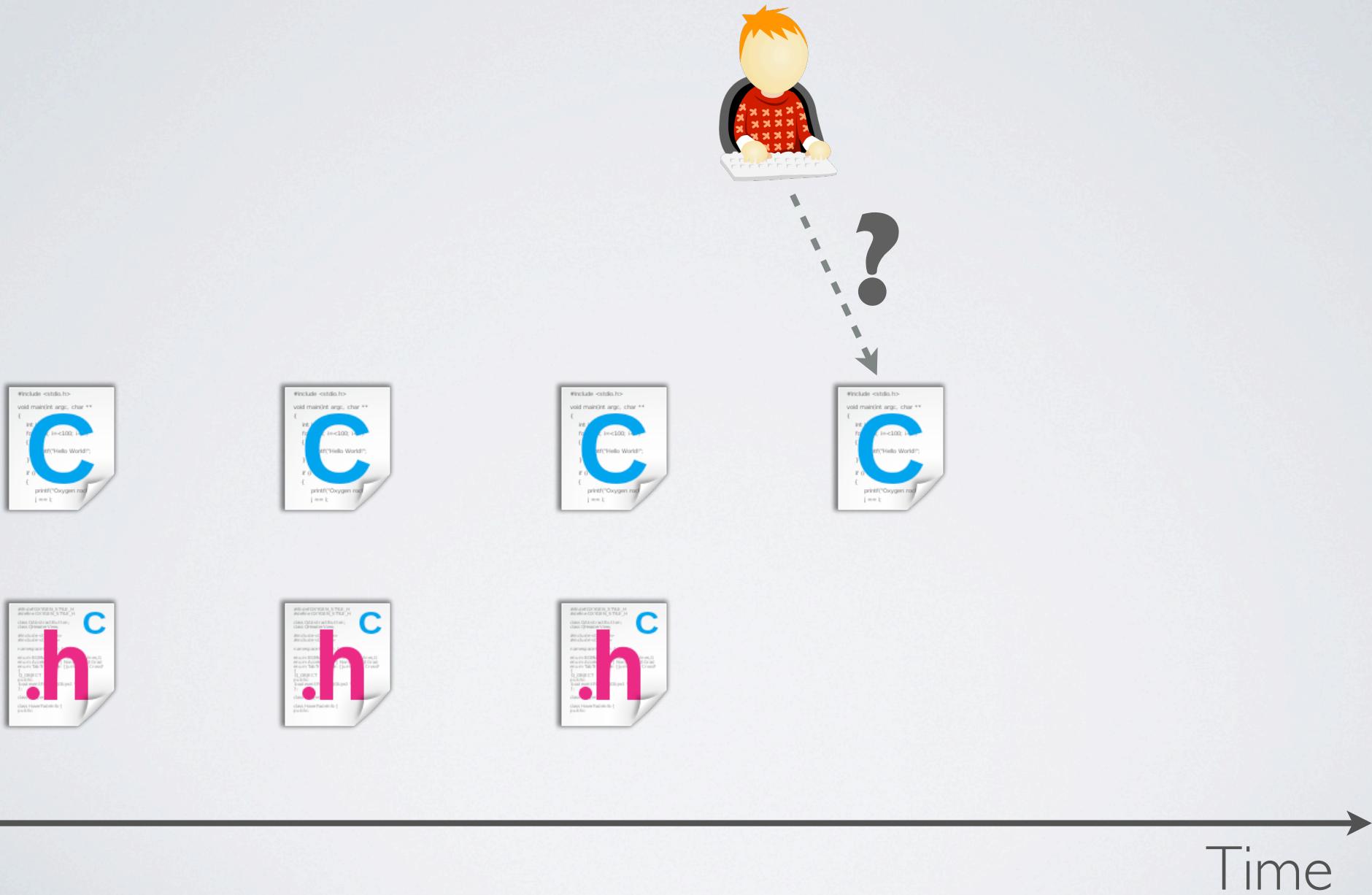


Time →
Time

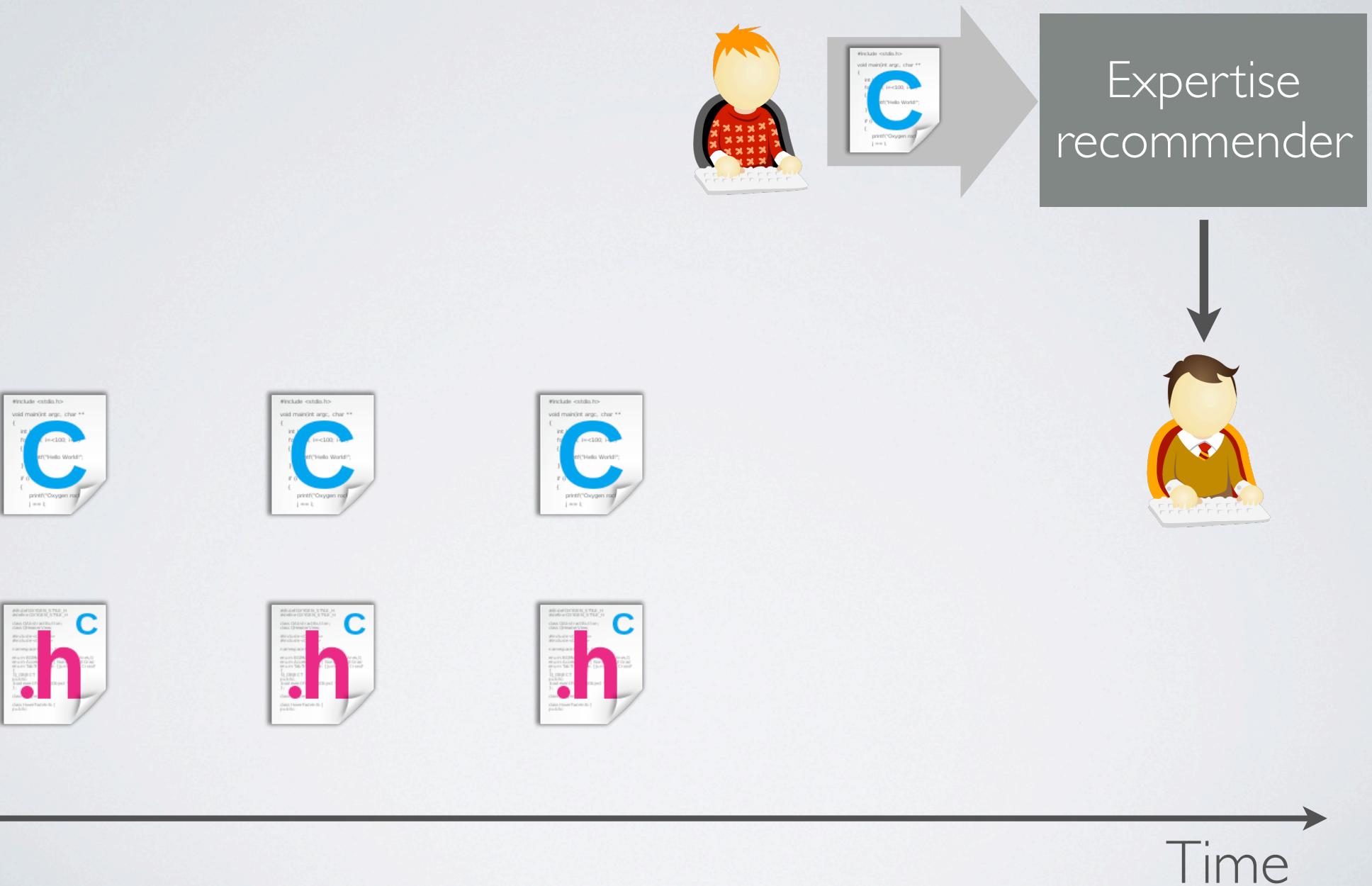
Finding experts for a software artifact



Finding experts for a software artifact



Finding experts for a software artifact



The line 10 rule

- ▶ The most basic and famous heuristics for expertise detection
- ▶ Look into the SCM for the last person who modified an artifact
- ▶ This person is the one that has the code “freshest” in mind
- ▶ This heuristic is considered good enough to find help about a piece of code
- ▶ The name stems from an SCM that stores the author who did the commit in line 10 of the commit’s log message

The Expertise Recommender (ER)

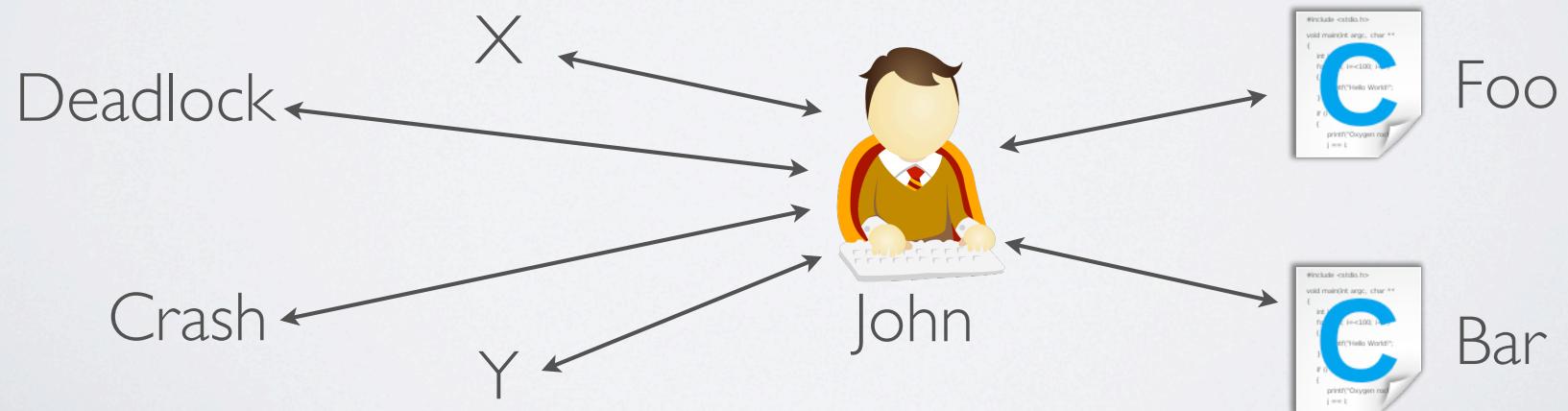
- ▶ The ER combines the line 10 rule with “tech support heuristic” mined from a support database

customer	problem symptoms	software modules	solved by
X	Crash	Foo	John
Y	Deadlock	Bar	John

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Providing recommendation with ER

- ▶ Given a problem symptom, it looks for similar problems and recommend people who solved them in the past
- ▶ The ER system enriches the line 10 and tech support heuristics with social network information
 - ▶ it recommends only known people
 - ▶ it recommends only people from the same department

The Expertise Browser (ExB)

- ▶ Main features
 - ▶ extraction of developers' experience distribution over a system (i.e., highly specialized vs broad)
 - ▶ identification of where contributions occurred
 - ▶ discrimination of various kind of experience (e.g., tool, language, release)
- ▶ The ExB is based on basic units of experience called experience atoms (EA)

Experience Atoms (EA)

- ▶ EAs are created by mining the SCM for the author of each file revision and the changes made to the file
- ▶ EAs are associated with multiple domains
 - ▶ the file containing a modification
 - ▶ the technology used
 - ▶ the purpose of the change
 - ▶ the release of the software
- ▶ By counting EAs, for each domain in question, the ExB computes the experience in the area in question

The Emergent Expertise Locator (EEL)

- ▶ Improvement of previous approaches based on co-change information
- ▶ EEL extracts the emergent team structure from the use of the files by developers
- ▶ It improves the Expertise Browser approach by considering the relationship between files changed together
- ▶ Based on the Expertise Matrix

The Expertise Matrix

	File1	File2	File3
File1	-	7	4
File2	7	-	3
File3	4	3	-

File dependency
matrix (F_D)

The Expertise Matrix

File1 and File2 changed together 7 times

	File1	File2	File3
File1	-	7	4
File2	7	-	3
File3	4	3	-

File dependency
matrix (F_D)

The Expertise Matrix

	File1	File2	File3
File1	-	7	4
File2	7	-	3
File3	4	3	-

File dependency
matrix (F_D)

	File1	File2	File3
Author1	3	5	2
Author2	7	4	10

File authorship
matrix (F_A)

The Expertise Matrix

	File1	File2	File3
File1	-	7	4
File2	7	-	3
File3	4	3	-

File dependency matrix (F_D)

Author1 changed file2 twice



	File1	File2	File3
Author1	3	5	2
Author2	7	4	10

File authorship matrix (F_A)

The Expertise Matrix

$$C = (F_A \ F_D) \ F_A^T$$

	Author1	Author2	Author3
Author1	-	3	2
Author2	3	-	
Author3	2	1	-

 → Amount of expertise that author2 has to author3

Usage expertise

- ▶ What do we do for files with little or no history?
- ▶ Underlying idea: developers gain expertise not only by changing methods, but also by calling (using) them
- ▶ Schuler and Zimmermann mined CVS commits data to extract (by comparing pairs of versions):
 - ▶ changed methods (implementation expertise)
 - ▶ inserted methods calls (usage expertise)
 - ▶ getter/setter methods and Java API calls are ignored

Building expertise profiles

- ▶ Implementation and usage expertise form expertise profile

Ten most frequently changed		Ten most frequently used	
createPartControl	185	addSelectionListener	72
aboutToStart	163	openError	57
createControl	148	addModifyListener	35
rerunTest	143	refreshStatus	31
menuAboutToShow	142	addTest	29
testFailed	136	worked	26
testReran	117	asyncExec	24
testRunEnded	114	handleFieldChanged	24
showFailure	113	postRefresh	24
endTest	109	findType	21

Profile of Erich Gamma

Example usage of expertise profiles

- ▶ Which ECLIPSE developers have experience in using the SQL part of the JAVA SDK?

Q = methods that are contained in the `java.sql` package

U_d = methods most frequently used by developer d

Developer d	$ U_d \cap Q $
aweinand	18
c...	11
dj...	10
blokowski	9
othomann	8
dorme	7
dbaeumer	7

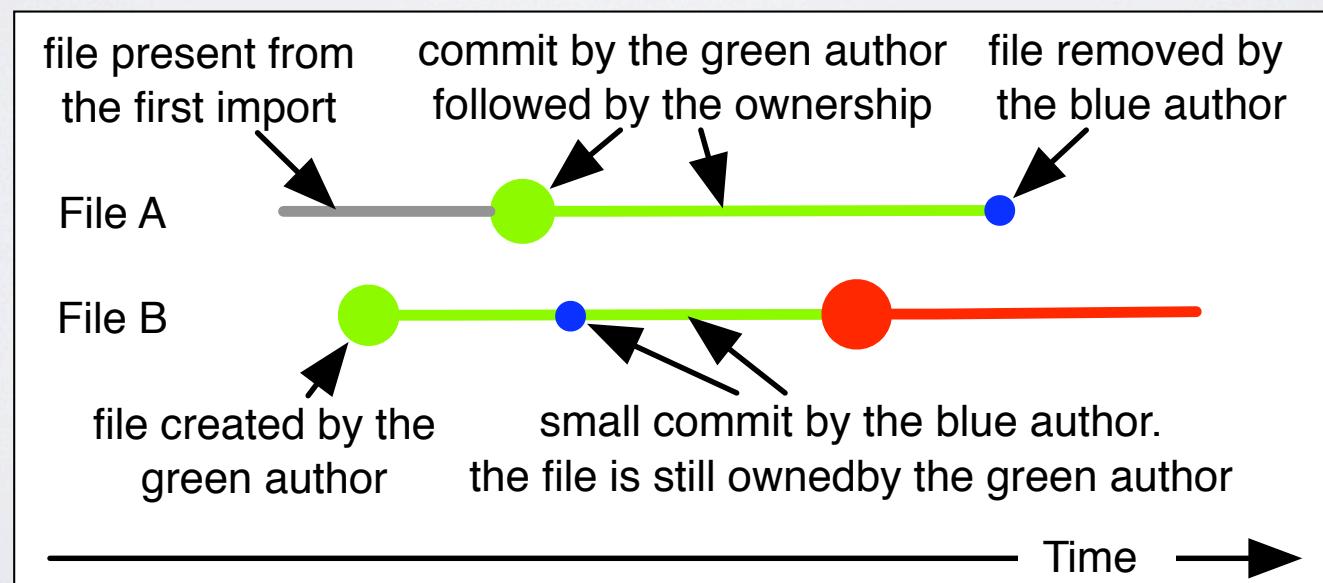


Refining and visualizing code ownership

- ▶ Line ownership: a developer owns a line of code if he was the last one that committed a change to that line
- ▶ File ownership: a developer owns a file if he/she owns the largest part of it (in terms of lines)

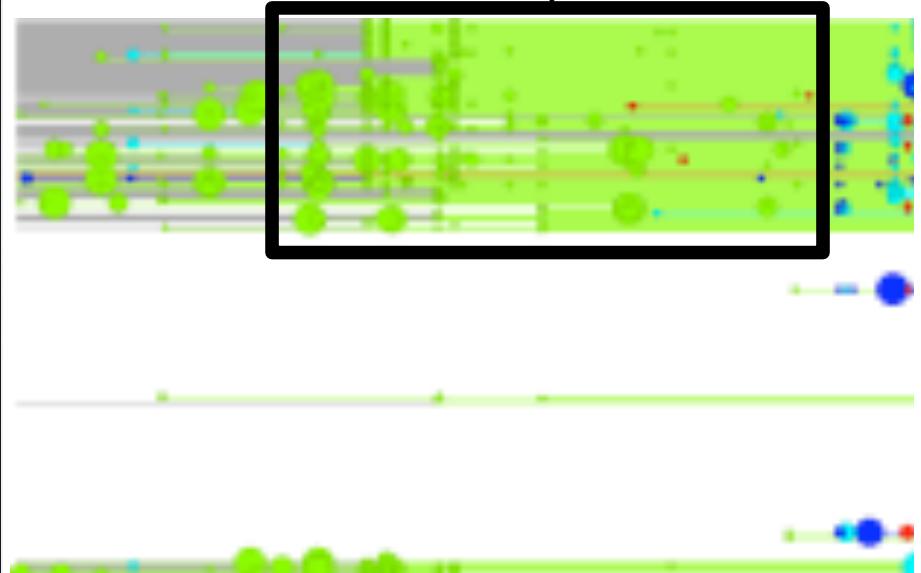
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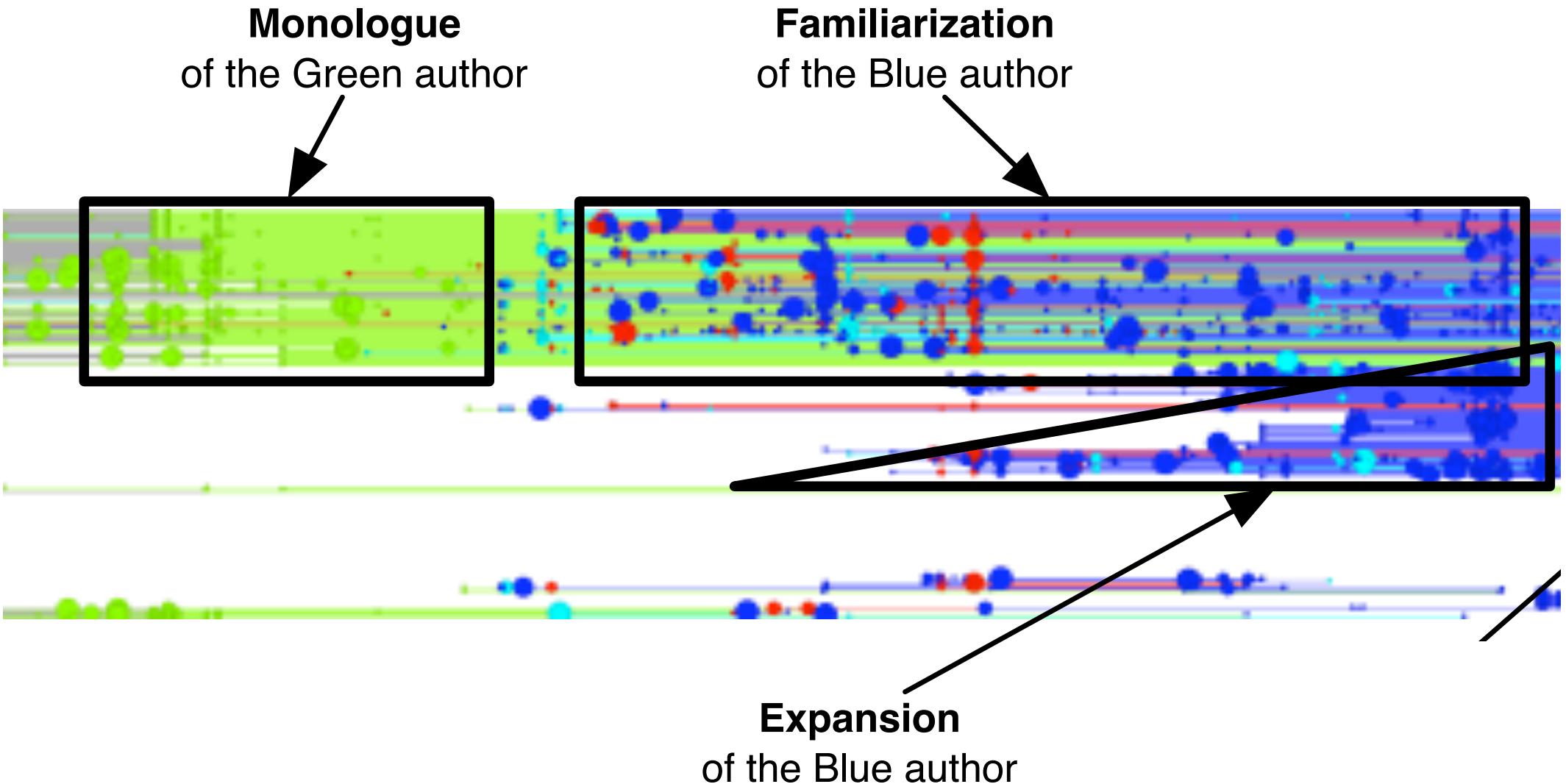


Visualization based development patterns

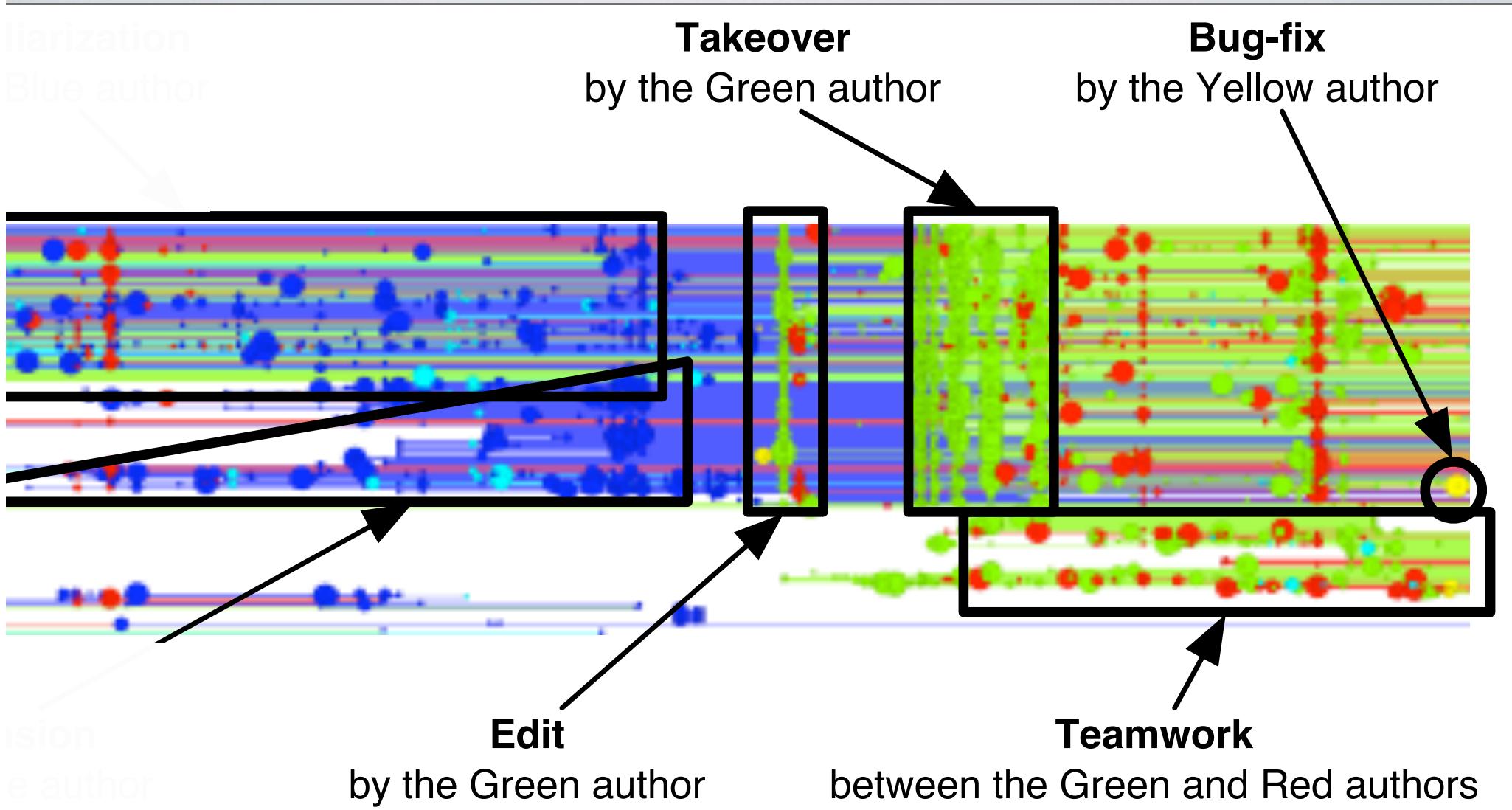
Monologue
of the Green author



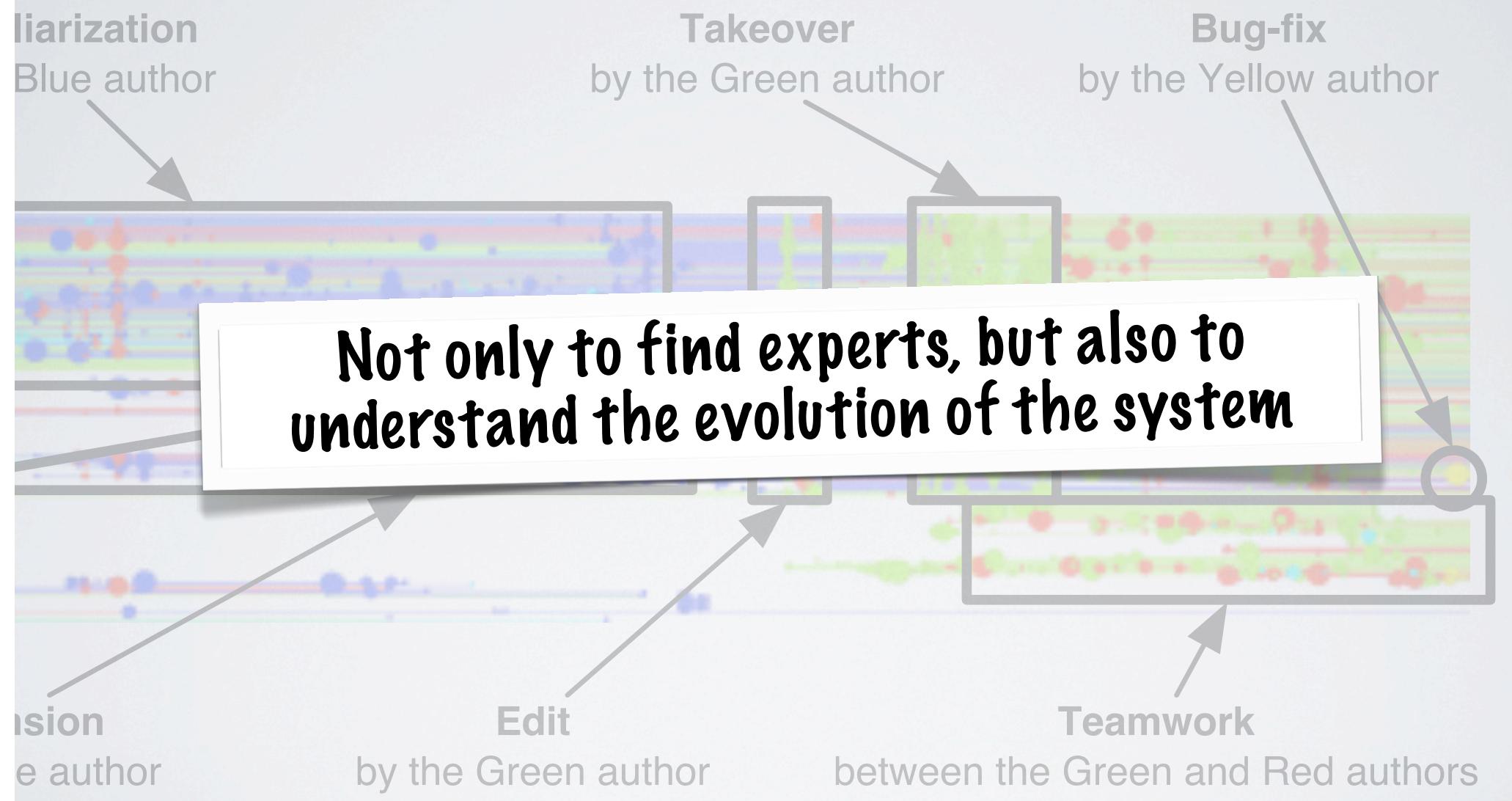
Visualization based development patterns



Visualization based development patterns

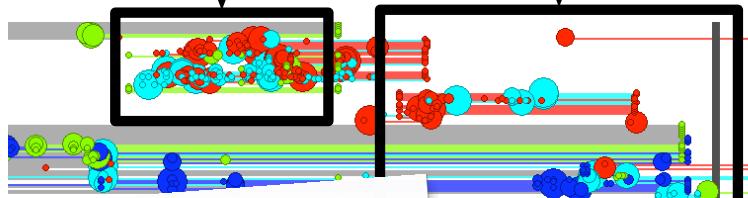


Visualization based development patterns



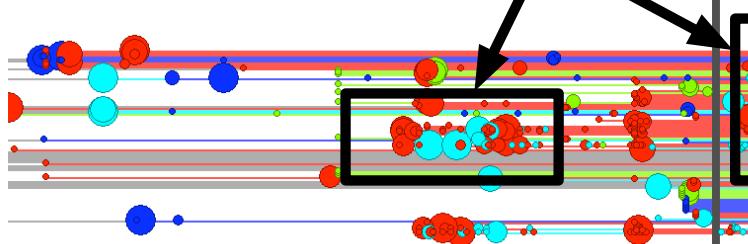
R1: Teamwork

R2: Cleaning

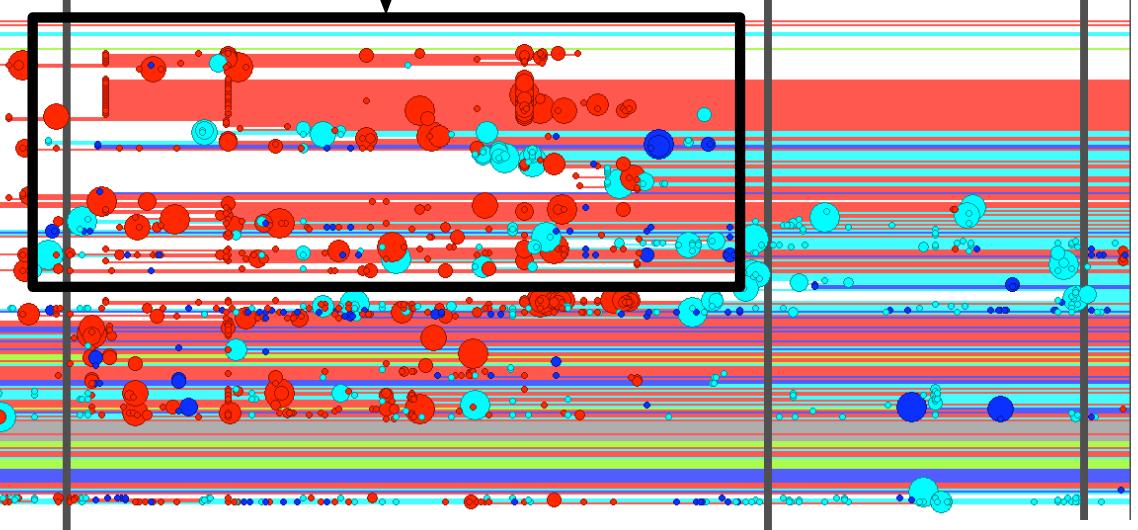


Java files

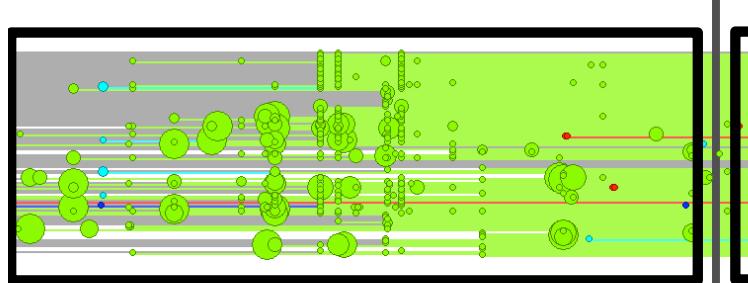
R3-4: Teamwork



R9: Expansion



R11: Edit



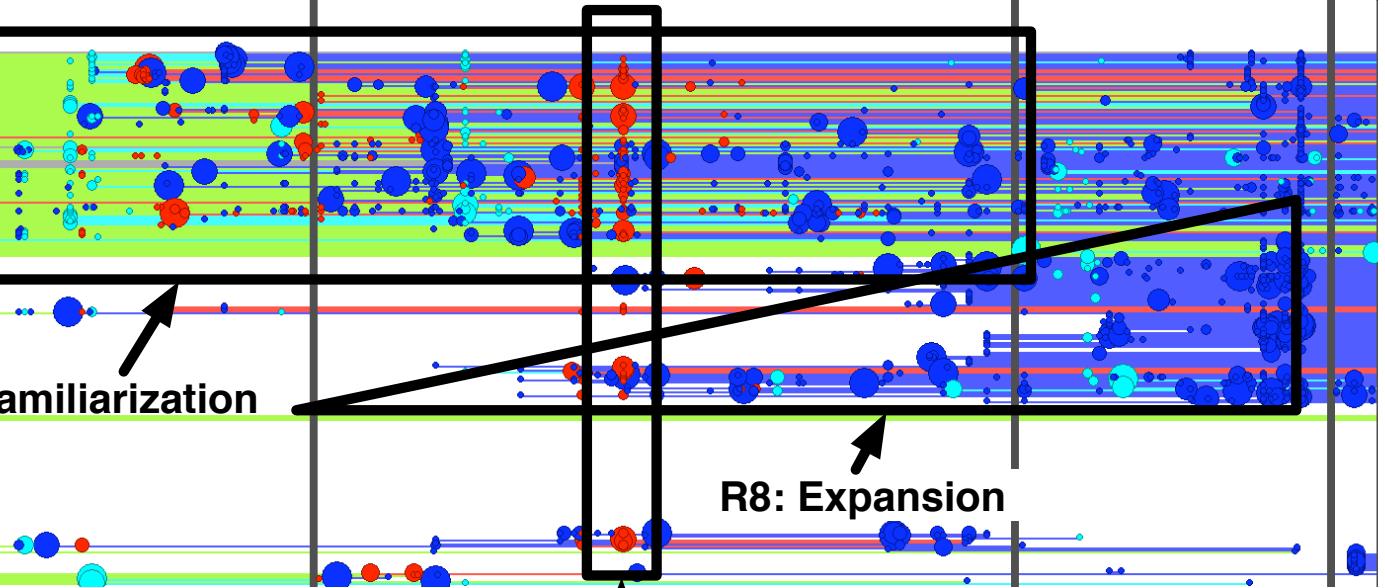
R5: Monologue



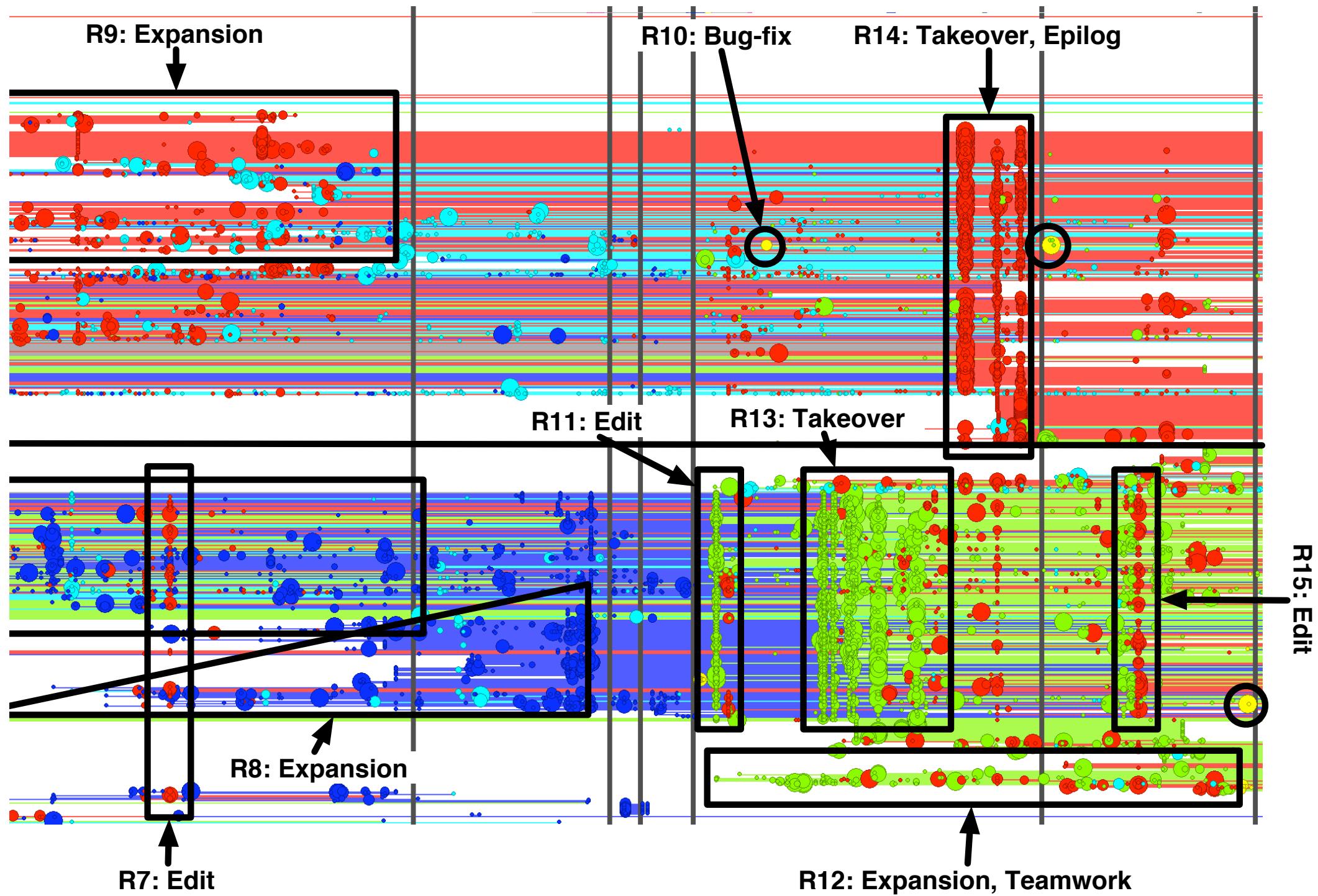
JSP files

R6: Familiarization

R7: Edit

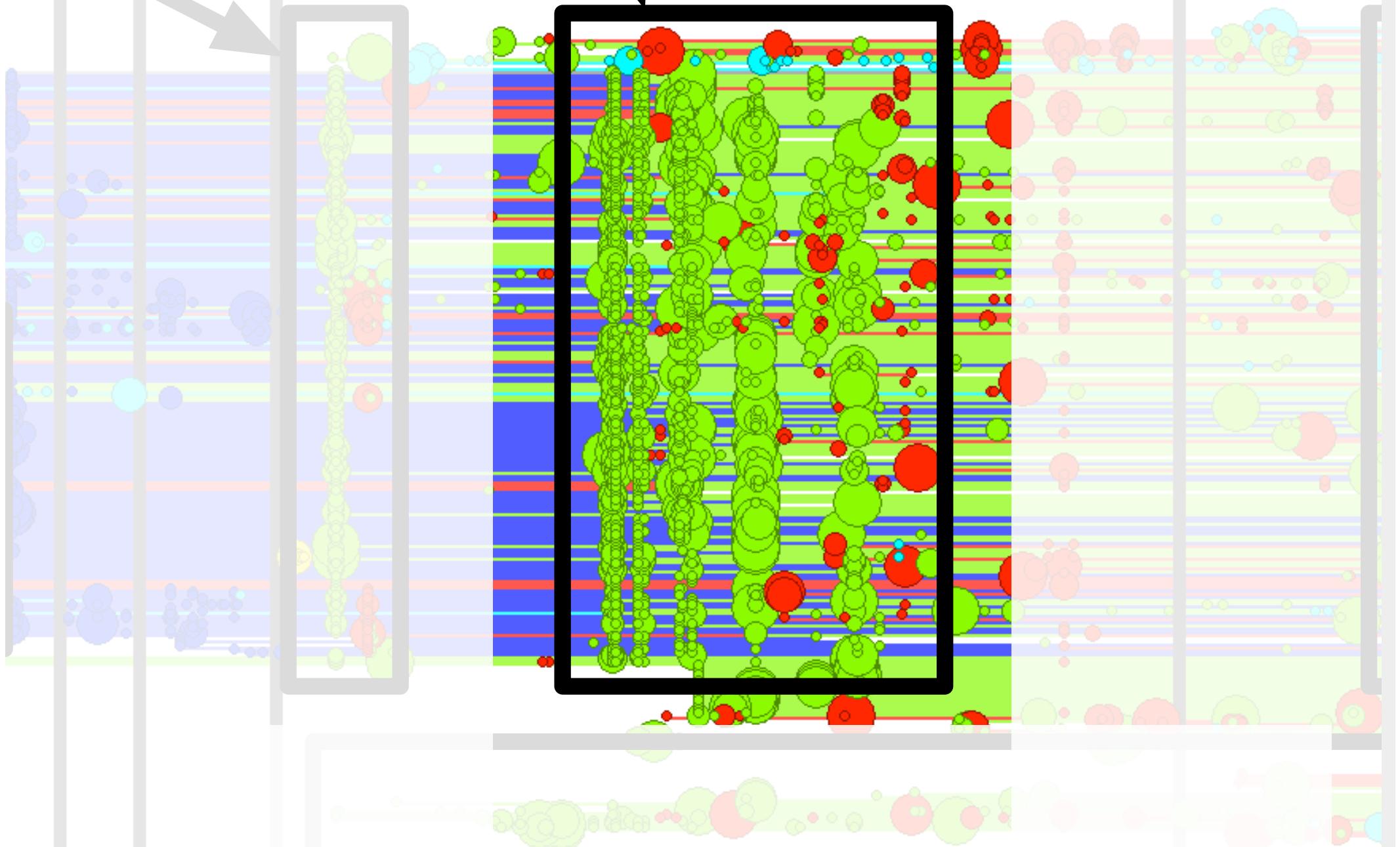


R8: Expansion



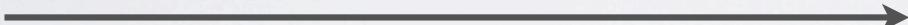
Edit

R13: Takeover



Bug triaging

Developers

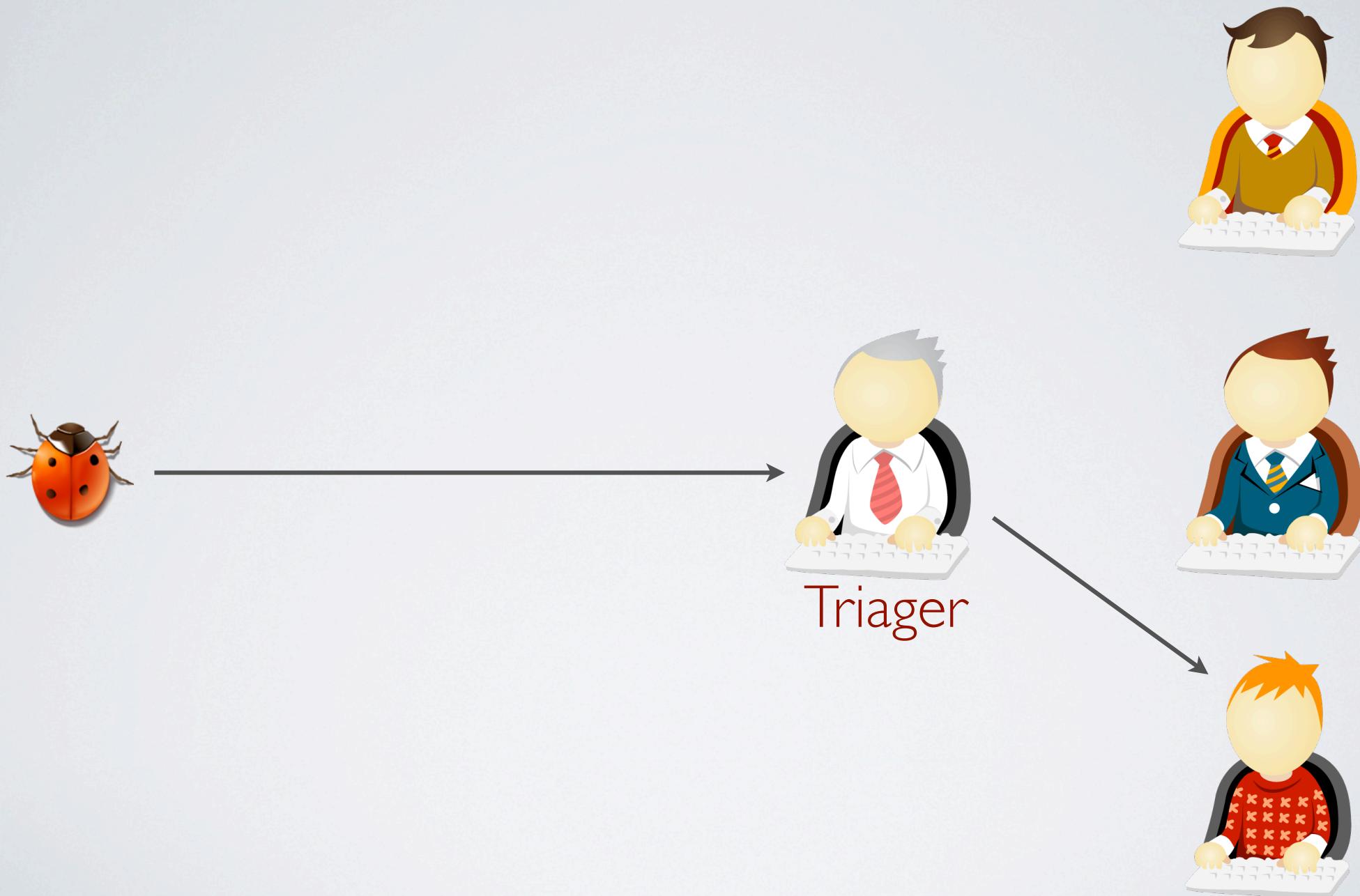


Triager



Bug triaging

Developers



Semi-automated bug triaging

Developers



Bug triaging
algorithm

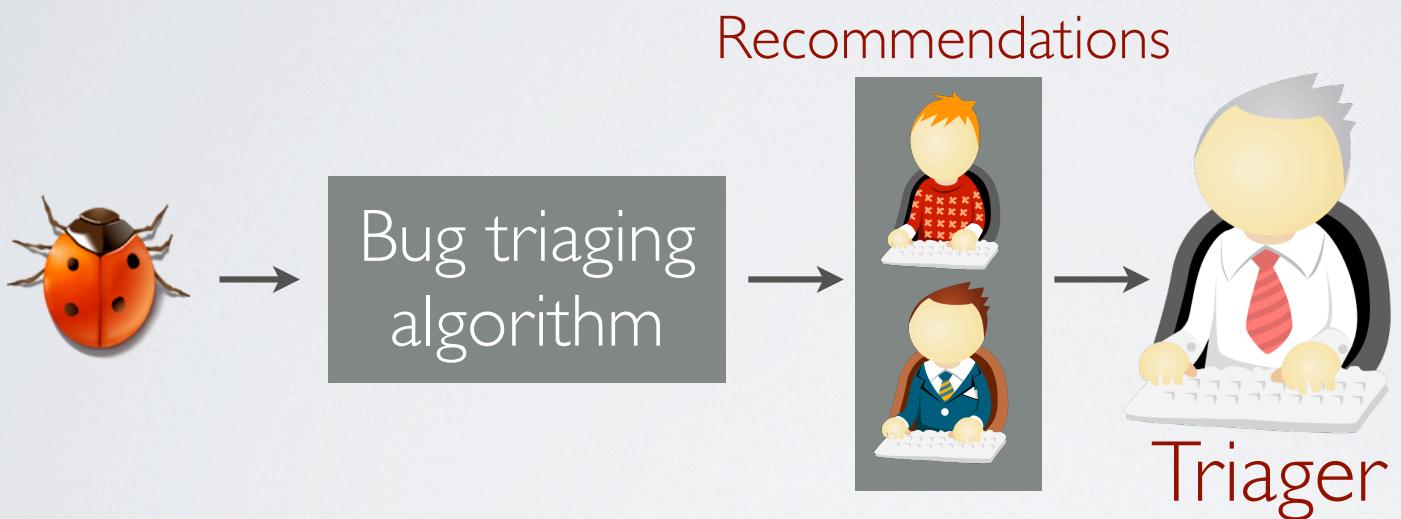


Triager



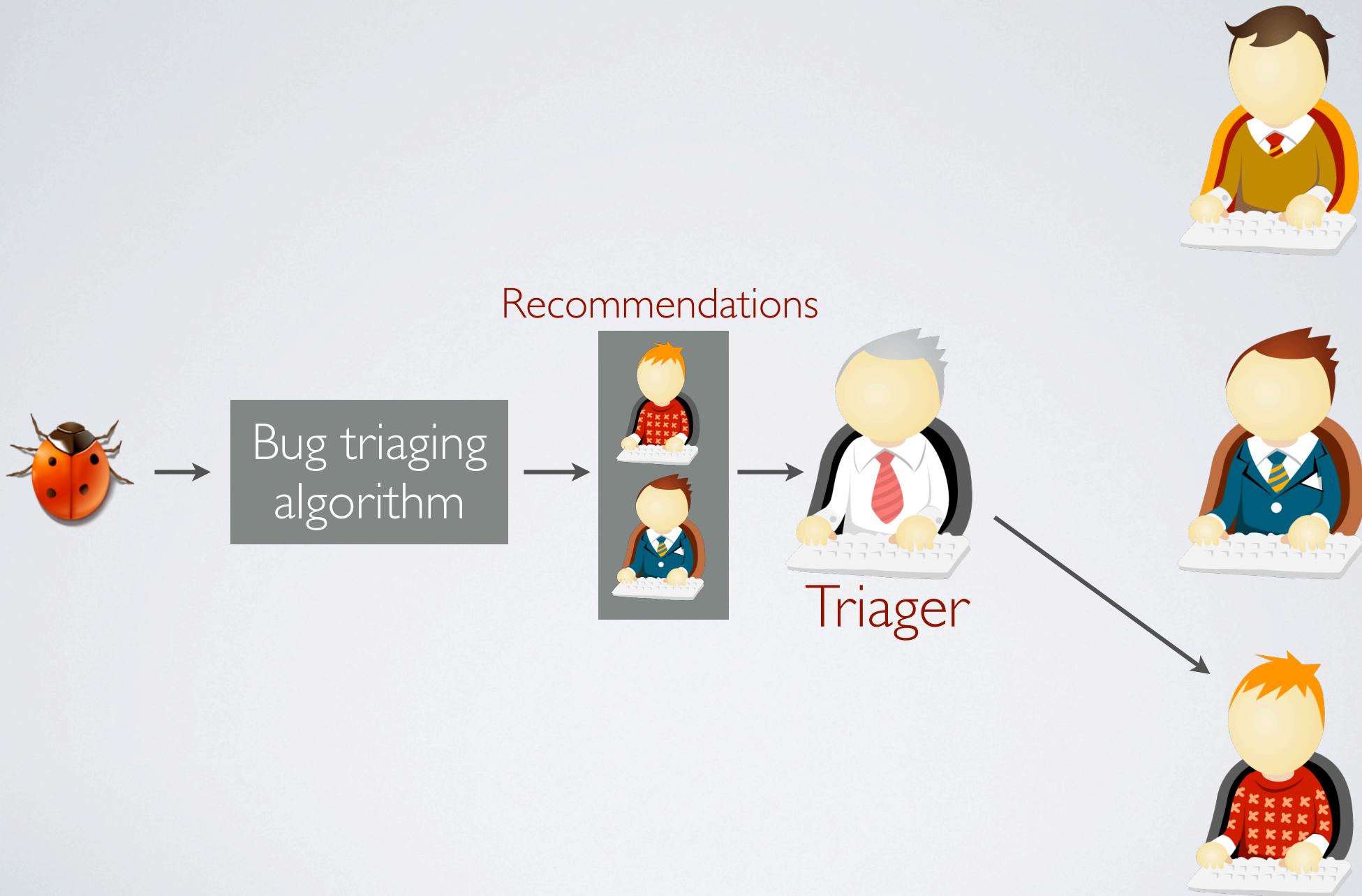
Semi-automated bug triaging

Developers



Semi-automated bug triaging

Developers



Semi-automated bug triaging

- ▶ Anvik et al. proposed a 4 steps approach
 - I. Summary and full text description of bug report are extracted, preprocessed (e.g. removing stop-words) and a feature vector indicating the frequency of the terms in the text is created
 - 2. For each bug report, the developer who fixed it is detected
 - ▶ This does not always correspond to the “assigned-to” field of the bug report (e.g. new and unassigned bugs are assigned to a default email address, for bugs with trivial resolution the “assign-to” is often never changed)
 - ▶ A series of project specific heuristics are used for detecting the “assigned-to”
 - ▶ For example, if a report is resolved as FIXED, it was fixed by whoever submitted the last approved patch

Semi-automated bug triaging

- ▶ Anvik et al. proposed a 4 steps approach
 - 3. A set of bug reports is selected for training the model, filtering out reports for which
 - ▶ the heuristics cannot provide a useful “assigned-to”
 - ▶ the developer is no longer involved in the project, or he/she has fixed too few bugs
 - 4. Apply machine learning algorithms on the training set
 - ▶ Support Vector Machines (SVM), Naïve Bayes and decision trees (C4.5) are tested
 - ▶ SVM provides the best results

Semi-automated bug triaging

- ▶ Anvik et al. proposed a 4 steps approach
 - 3. A set of bug reports is selected for training the model, filtering out reports for which
 - ▶ the heuristics cannot provide a useful “assigned-to”

A bug is assigned to a developer who fixed bugs with similar properties (e.g. description)

- 4. Apply machine learning algorithms on the training set
 - ▶ Support Vector Machines (SVM), Naïve Bayes and decision trees (C4.5) are tested
 - ▶ SVM provides the best results

Triaging results

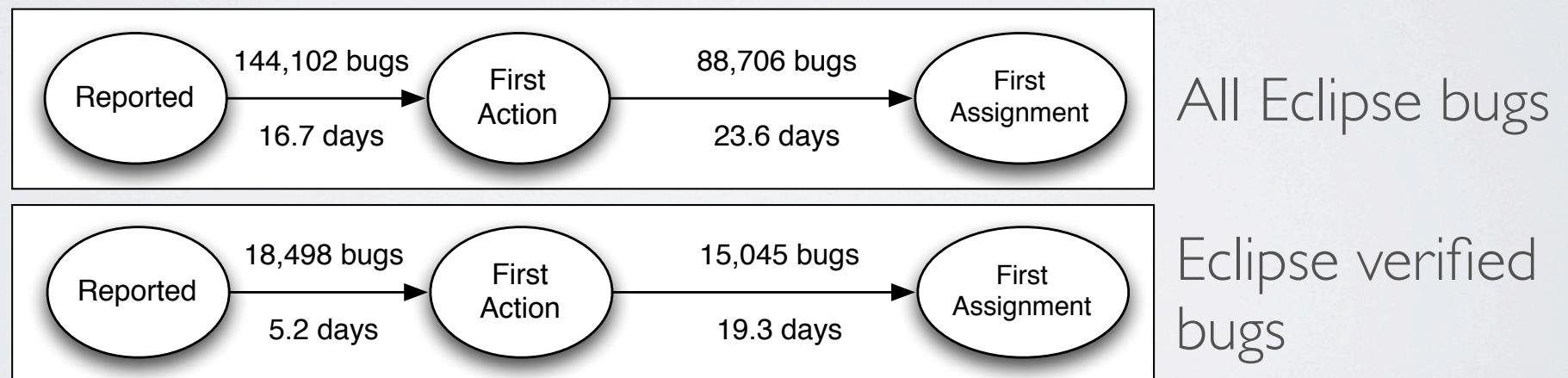
- ▶ Approach evaluated on bug reports from Firefox and Eclipse
 - ▶ Precision: ~50% with maximum 64% on Firefox
 - ▶ Recall: ~10% with maximum 16% on Eclipse
- ▶ Approach tested on GCC
 - ▶ Precision only 6%... why?
 - ▶ Unbalanced data: one developer dominates the bug resolution activity
 - ▶ The heuristics to detect the “assigned-to” are not accurate enough

Improving Bug Triage with Bug Tossing Graphs

- ▶ **Tossing:** reassignment of a bug
- ▶ Work motivated by empirical observations on 445,000 bug reports from Mozilla and Eclipse

Improving Bug Triage with Bug Tossing Graphs

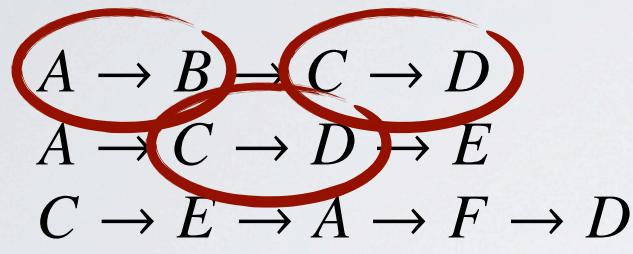
- ▶ **Tossing:** reassignment of a bug
- ▶ Work motivated by empirical observations on 445,000 bug reports from Mozilla and Eclipse
- ▶ Assigning bugs takes long



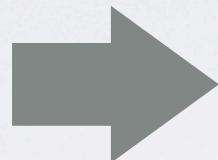
- ▶ 37% (Mozilla) - 44% (Eclipse) of bugs are tossed

Modeling tossing graph with Markov chain

- ▶ Assumption: it does not matter for tossing decisions whether someone received a bug from Alice or Bob



Tossing path



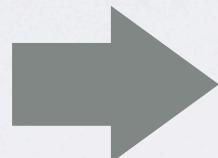
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 $C \rightarrow D (2)$, $A \rightarrow C (1)$,
 $D \rightarrow E (1)$, $C \rightarrow E (1)$,
 $E \rightarrow A (1)$, $A \rightarrow F (1)$,
 $F \rightarrow D (1)$

Modeling tossing graph with Markov chain

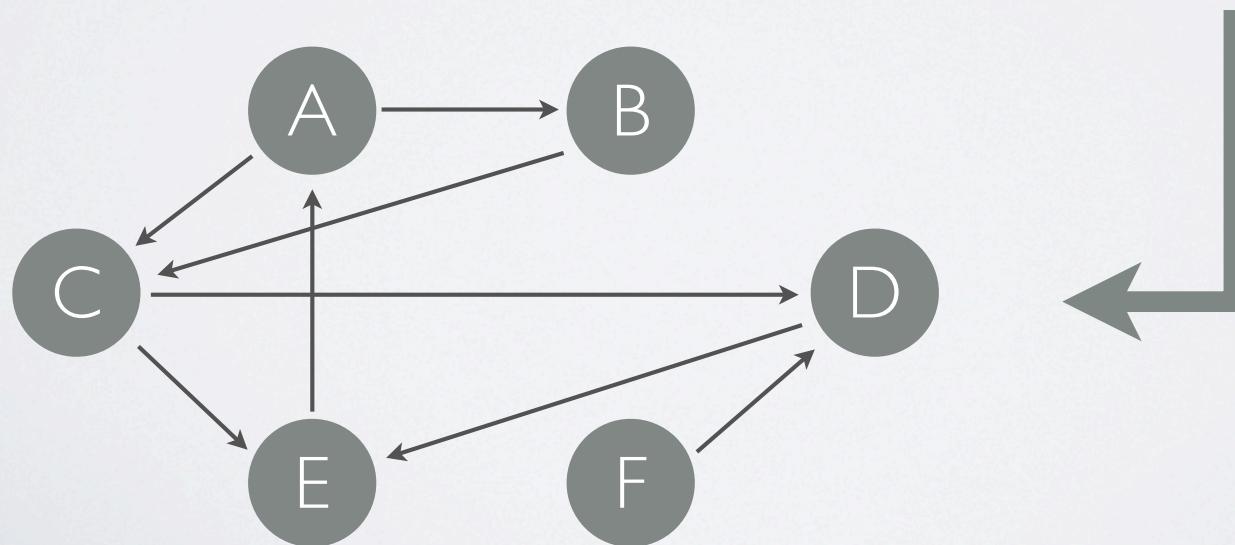
- ▶ Assumption: it does not matter for tossing decisions whether someone received a bug from Alice or Bob

$A \rightarrow B \rightarrow C \rightarrow D$
 $A \rightarrow C \rightarrow D \rightarrow E$
 $C \rightarrow E \rightarrow A \rightarrow F \rightarrow D$

Tossing path



$A \rightarrow B (1), B \rightarrow C (1),$
 $C \rightarrow D (2), A \rightarrow C (1),$
 $D \rightarrow E (1), C \rightarrow E (1),$
 $E \rightarrow A (1), A \rightarrow F (1),$
 $F \rightarrow D (1)$

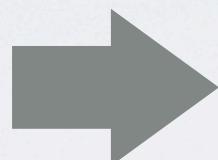


Modeling tossing graph with Markov chain

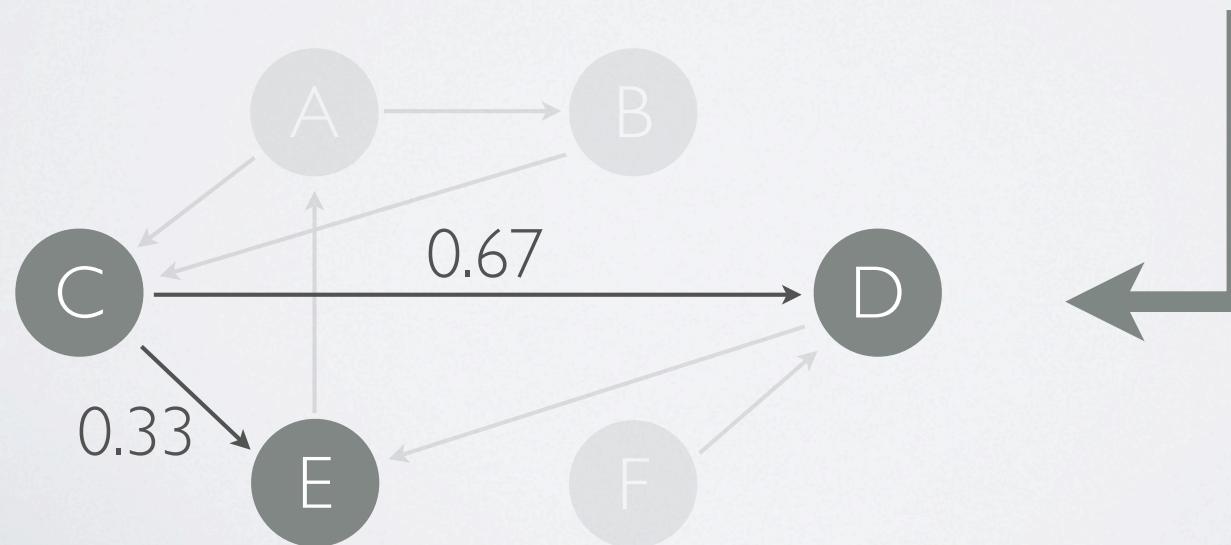
- ▶ Assumption: it does not matter for tossing decisions whether someone received a bug from Alice or Bob

$A \rightarrow B \rightarrow C \rightarrow D$
 $A \rightarrow C \rightarrow D \rightarrow E$
 $C \rightarrow E \rightarrow A \rightarrow F \rightarrow D$

Tossing path

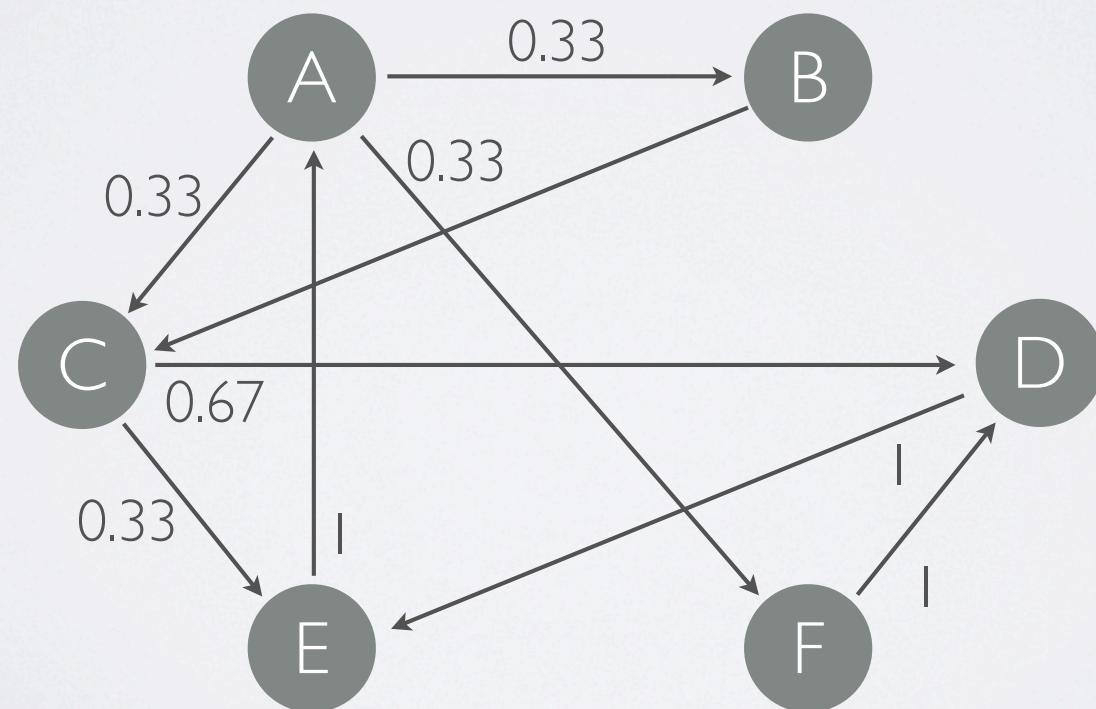


$A \rightarrow B (1), B \rightarrow C (1),$
 $C \rightarrow D (2) \quad A \rightarrow C (1),$
 $D \rightarrow E (1), C \rightarrow E (1),$
 $E \rightarrow A (1), A \rightarrow F (1),$
 $F \rightarrow D (1)$



Minimum support and transaction probability

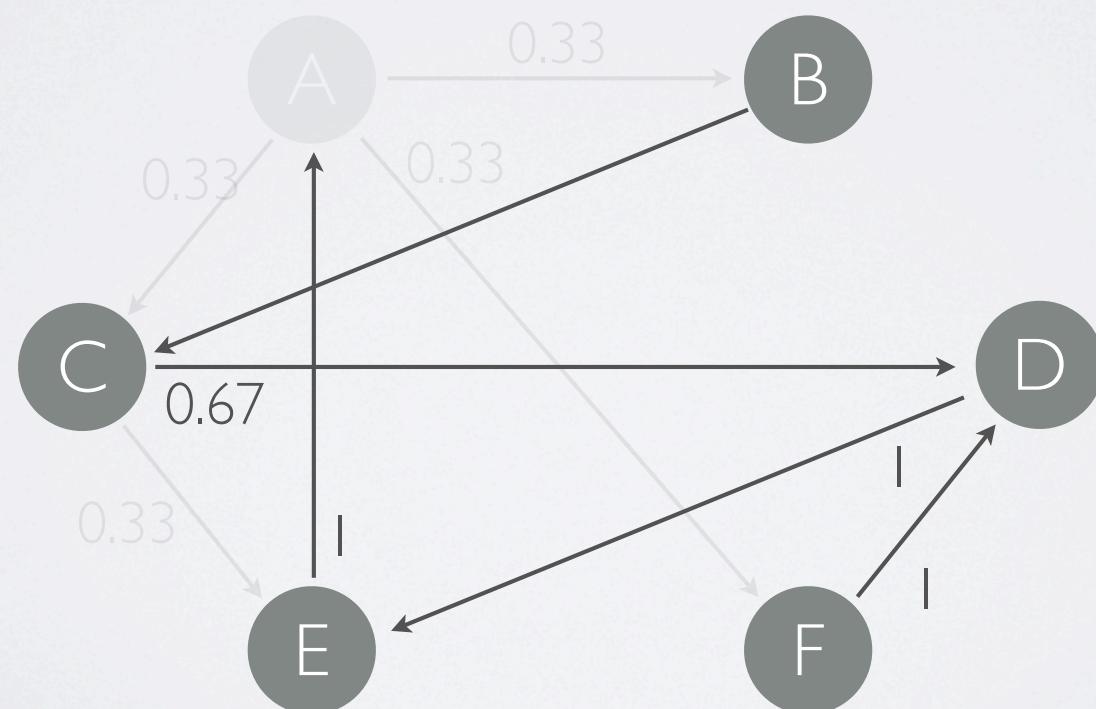
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 $C \rightarrow D$ (2), $A \rightarrow C$ (1),
 $D \rightarrow E$ (1), $C \rightarrow E$ (1),
 $E \rightarrow A$ (1), $A \rightarrow F$ (1),
 $F \rightarrow D$ (1)



Minimum support and transaction probability

$A \rightarrow B$ (1), $B \rightarrow C$ (1),
 $C \rightarrow D$ (2), $A \rightarrow C$ (1),
 $D \rightarrow E$ (1), $C \rightarrow E$ (1),
 $E \rightarrow A$ (1), $A \rightarrow F$ (1),
 $F \rightarrow D$ (1)

Minimum probability: 0.5



Minimum support and transaction probability

$A \rightarrow B$ (1), $B \rightarrow C$ (1),
 $C \rightarrow D$ (2), $A \rightarrow C$ (1),
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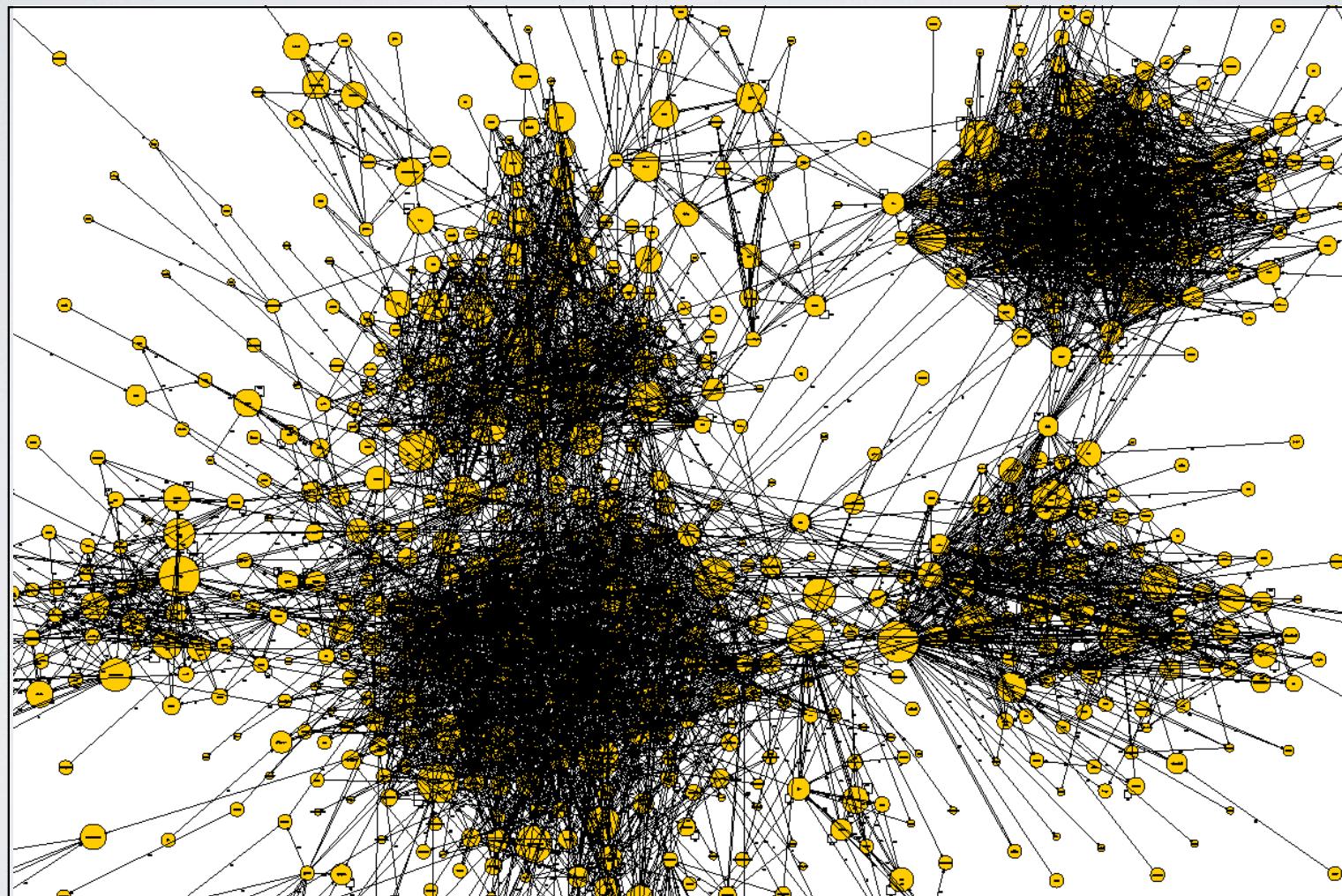
Minimum support: 2



Minimum support and transaction probability

Min support: 0.5

Min probability: 0.5



Eclipse

Improving bug triaging with tossing information



Bug triaging
algorithm



Suggested developers

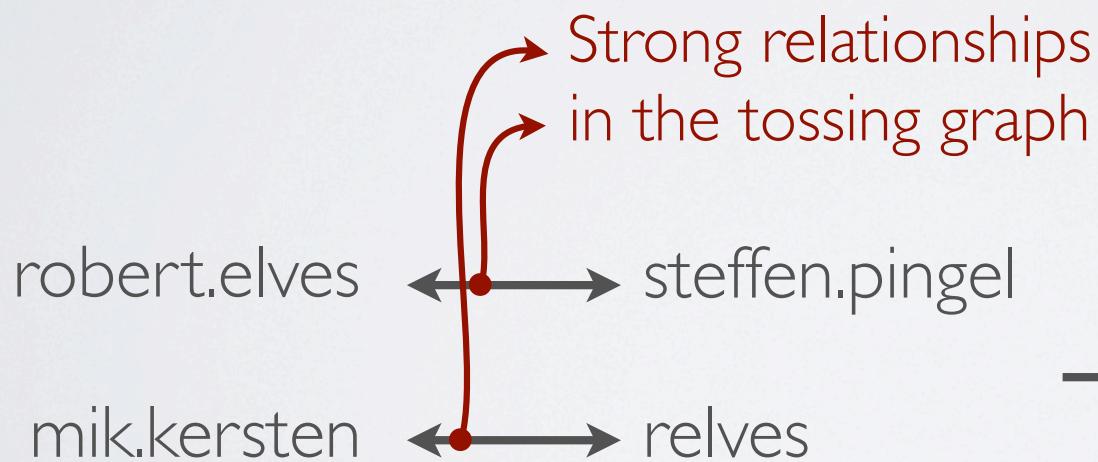
- 1 robert.elves
- 2 mik.kersten
- 3 wuamy
- 4 susan_franklin
- 5 nitind

Improving bug triaging with tossing information



Suggested developers

- 1 robert.elves
- 2 mik.kersten
- 3 wuamy
- 4 susan_franklin
- 5 nitind



- 1 robert.elves
- 2 steffen.pingel
- 3 mik.kersten
- 4 relves
- 5 wuamy

Triaging improvement

Program	ML algorithm	Selection	Accuracy (%)		Improvement
			ML only	ML + tossing graph	
Eclipse	Naïve Bayes	first 2	43.70	44.71	1.01
		first 3	49.87	53.15	3.27
		first 4	56.42	59.95	3.53
		first 5	60.71	63.48	2.77
	Bayesian Network	first 2	57.91	58.29	0.38
		first 3	66.71	68.47	1.76
		first 4	69.47	71.48	2.01
		first 5	75.88	77.14	1.26
Mozilla	Naïve Bayes	first 2	33.41	56.39	22.98
		first 3	45.39	63.82	22.43
		first 4	52.10	63.82	22.72
		first 5	59.00	71.48	22.48
	Bayesian Network	first 2	40.00	40.00	12.05
		first 3	50.00	50.00	11.29
		first 4	55.00	55.00	12.05
		first 5	59.53	70.82	11.29

Improvement up to 23%
Accuracy up to 71%

Expertise & bug triaging

- ▶ Goal: Study historical data to:
 - ▶ find experts of a particular software artifact
 - ▶ support bug triaging

Defect prediction

Change analysis and
prediction

Empirical studies

Expertise & bug
triaging

Visual evolution

Human factors **analysis**

Visual Evolution Analysis

- ▶ Goal: Visualize evolutionary data to:
 - ▶ understanding a software system's evolution
 - ▶ support decision making
 - ▶ detect design problems (e.g. architecture decay)

Software visualization

“the use of the crafts of typography, graphic design, animation, and cinematography with modern human-computer interaction and computer graphics technology to facilitate both the human understanding and effective use of computer software ,”

—Stasko et al.

Visualization taxonomy - visualized data

	Data	Goal
Static	information extracted by static analysis of the software	support the understanding of the structure of the system
Dynamic	information derived from instrumenting the execution of a program	support the understanding of the behavior of the system
Evolutionary	information extracted from the evolution of a software system	support the analysis of the causes of problems in the software and the prediction of future changes or problems

Visualization taxonomy - visualized data

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Visualization taxonomy - abstraction level

Code	visualization of lines of code
Design	visualization of self contained pieces of code, such as classes
Architecture	visualization of system's modules and their inter-relationships

Visual evolution analysis summary

	Multiple snapshots	Versioning system log files	Integrated data sources
Code	<ul style="list-style-type: none">• Code Flows	<ul style="list-style-type: none">• SeeSoft	<ul style="list-style-type: none">• Augur• CVSscan
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Visual evolution analysis references

- ▶ **Code flows** - Telea and Auber, *Code Flows: Visualizing structural evolution of source code*, Computer Graphics Forum 2008.
- ▶ **SeeSoft** - Ball and Eick, *Software visualization in the large*, IEEE Computer, 1996
- ▶ **Augur** - Froehlich and Dourish, *Unifying artifacts and activities in a visual tool for distributed software development teams*, ICSE 2004
- ▶ **CVSscan** - Voinea et al., *CVSscan: visualization of code evolution*, SoftVis 2005
- ▶ **Extension of CodeCrawler** (Lanza and Ducasse, TSE 2003) - Gîrba et al., *Characterizing the evolution of class hierarchies*, CSMR 2005
- ▶ **The Evolution Matrix** - Lanza, *The evolution matrix: Recovering software evolution using software visualization techniques*, IWPS 2001
- ▶ **BEAGLE** - Tu and Godfrey, *An integrated approach for studying architectural evolution*. IWPC 2002
- ▶ **CodeCity** - Wettel and Lanza, *Visual Exploration of Large-Scale System Evolution*, WCRE 2008
- ▶ **3dSoftVis** - Gall et al., *Visualizing software release histories: The use of color and third dimension*, ICSM 1999

Visual evolution analysis references

- ▶ Mehdi Jazayeri, *On architectural stability and evolution*, Reliable Software Technologies-Ada-Europe 2002
- ▶ Revision towers - Taylor and Munro, *Revision towers*, VISSOFT 2002
- ▶ Van Rysselberghe and Demeyer, *Studying software evolution information by visualizing the change history*, ICSM 2004
- ▶ Evolution Spectrographs - Wu et al., *Exploring software evolution using spectrographs*, WCRE 2004
- ▶ Chronia - Gîrba et al., *How developers drive software evolution*, IWPS 2005
- ▶ Evograph - Fischer and Gall, *Evograph: A lightweight approach to evolutionary and structural analysis of large software systems*, WCRE 2006
- ▶ GEVOL - Collberg et al., *A system for graph-based visualization of the evolution of software*, SoftVis 2003
- ▶ CVSgrab - Voinea and Telea, *An open framework for CVS repository querying, analysis and visualization*, MSR 2006
- ▶ Tesseract - Sarma et al., *Tesseract: Interactive visual exploration of socio-technical relationships in software development*, ICSE 2009

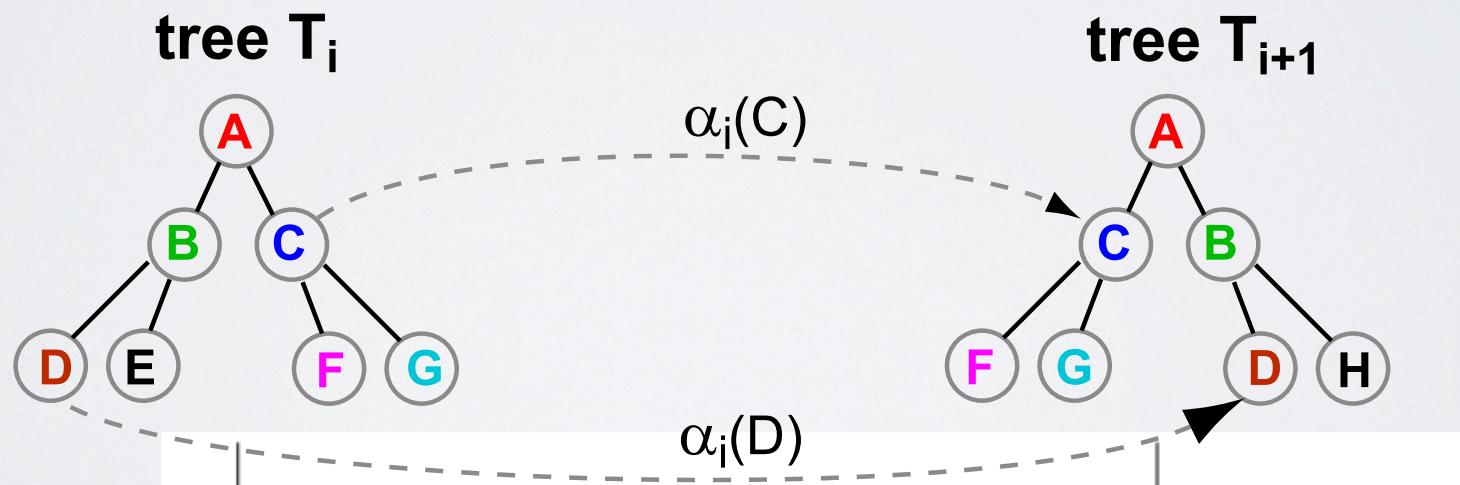
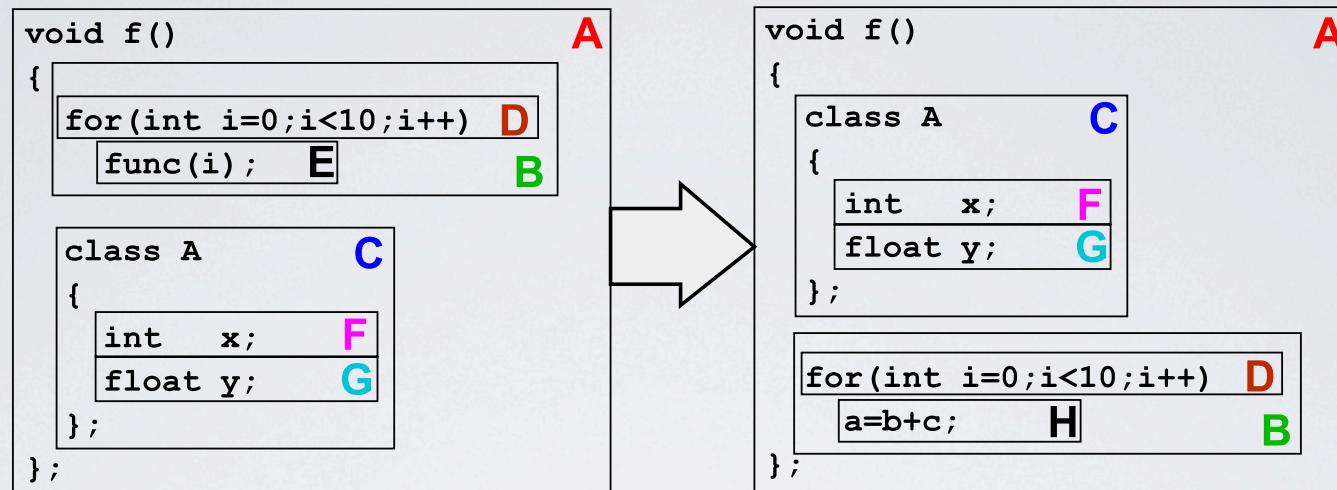
Visual evolution analysis references

- ▶ **Intellisense** - Holmes and Begel, Deep Intellisense: A tool for rehydrating evaporated information, MSR 2008
- ▶ **ReVis** - Pinzger et al., Visualizing multiple evolution metrics, SoftVis 2005
- ▶ **YARN** - Hindle et al., YARN: Animating software evolution, VISSOFT 2007
- ▶ **SPO** - Lungu et al., Reverse Engineering Super-Repositories, WCRE 2007
- ▶ **Complicity** - Neu et al., *Telling Stories about GNOME with Complicity*, VISSOFT 2011
- ▶ Ball et al., If Your Version Control System Could Talk, 1997
- ▶ Gall et al., Detection of Logical Coupling Based on Product Release History, ICSM 1998
- ▶ Gall et al., CVS Release History Data for Detecting Logical Couplings, IWPSE 2003
- ▶ Ratzinger et al., Improving evolvability through refactoring, MSR 2005
- ▶ Beyer and Hassan, Animated visualization of software history using evolution storyboards, WCRE 2006
- ▶ D'Ambros et al., Visualizing Co-Change Information with the Evolution Radar, TSE 2009

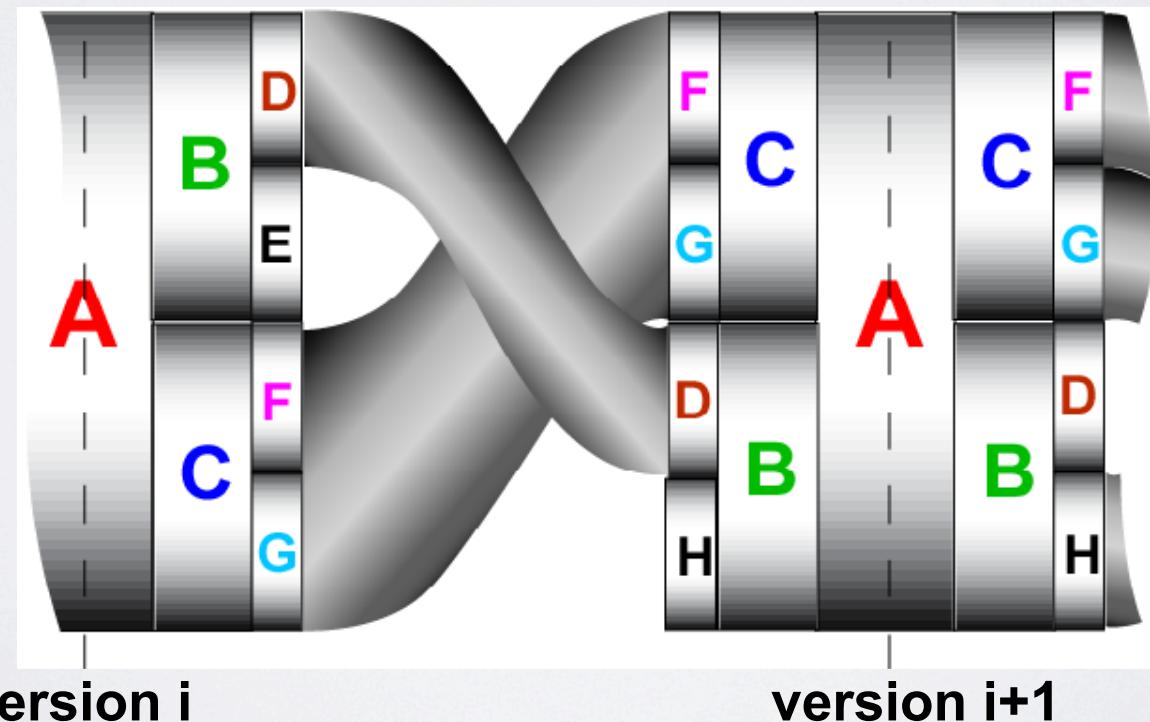
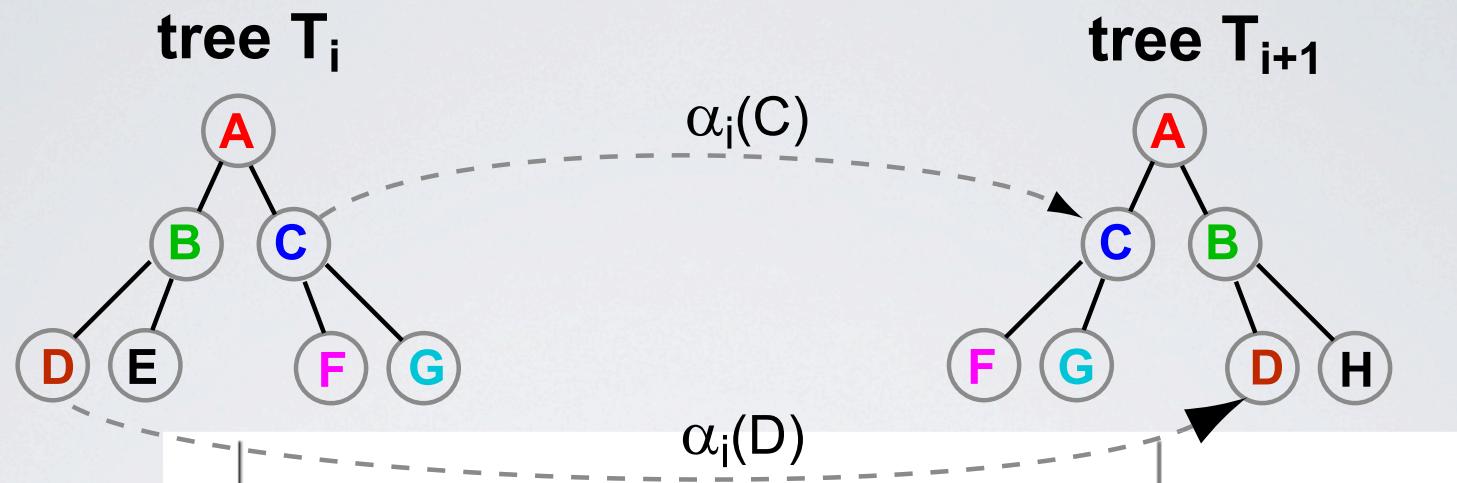
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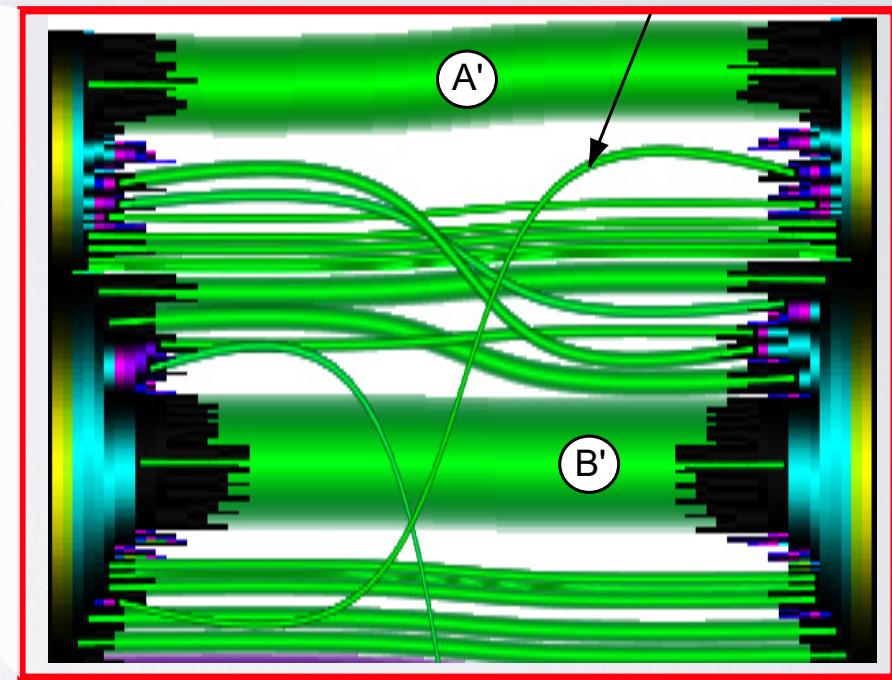
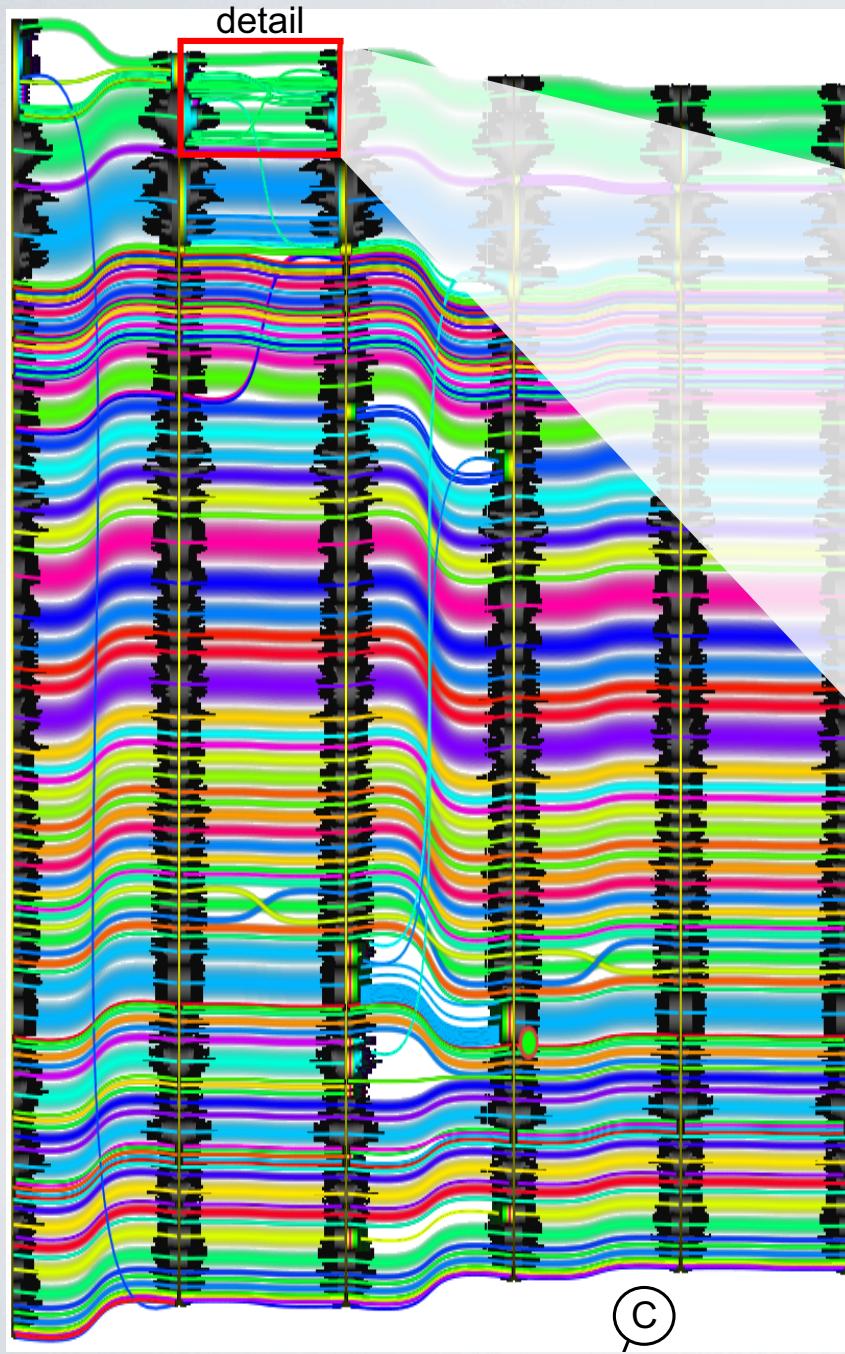
Code Flows principles



Code Flows principles



Code Flows example



Visual evolution analysis summary

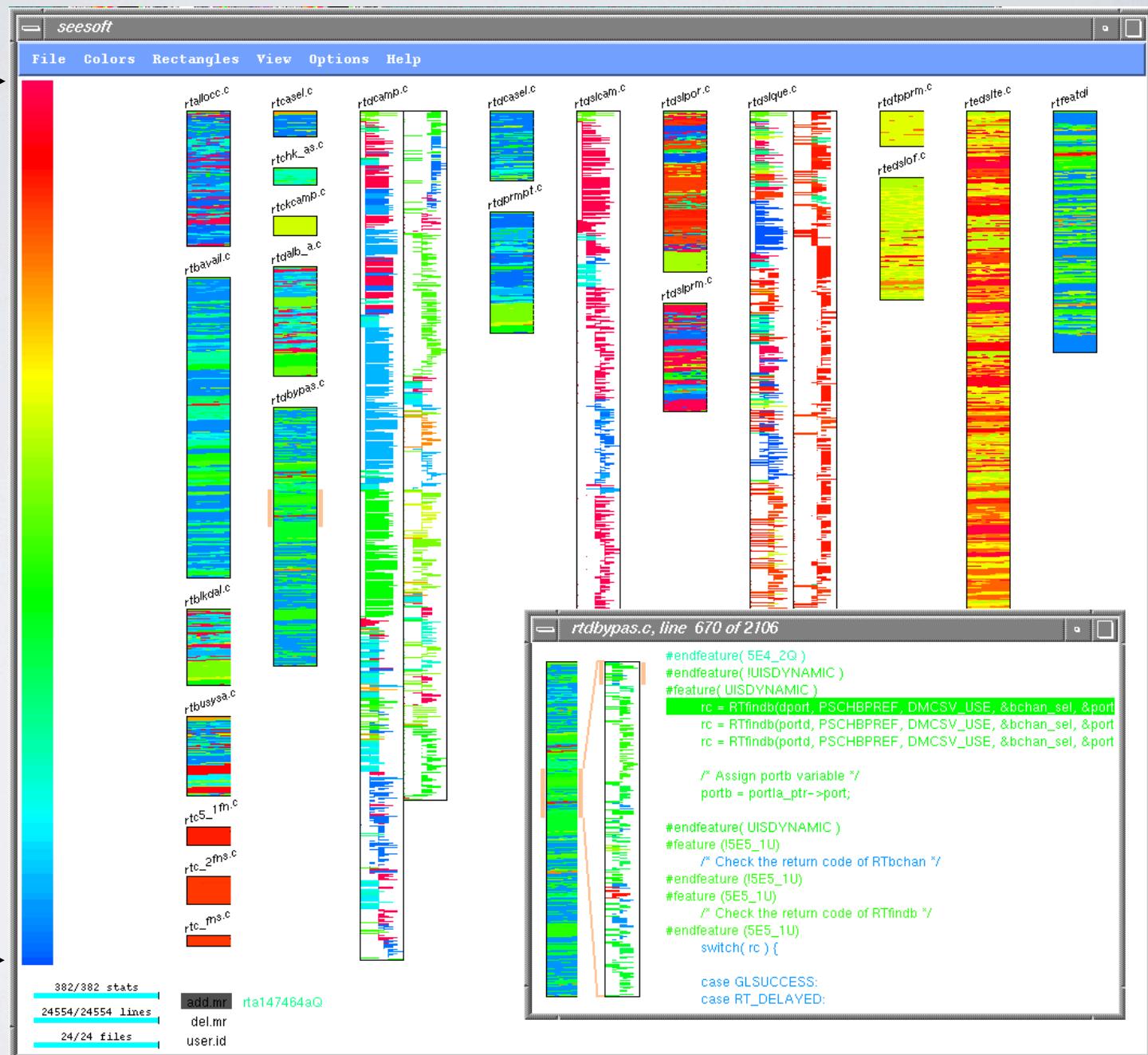
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SeeSoft example - Code age (1996!)

New lines →



Old lines →

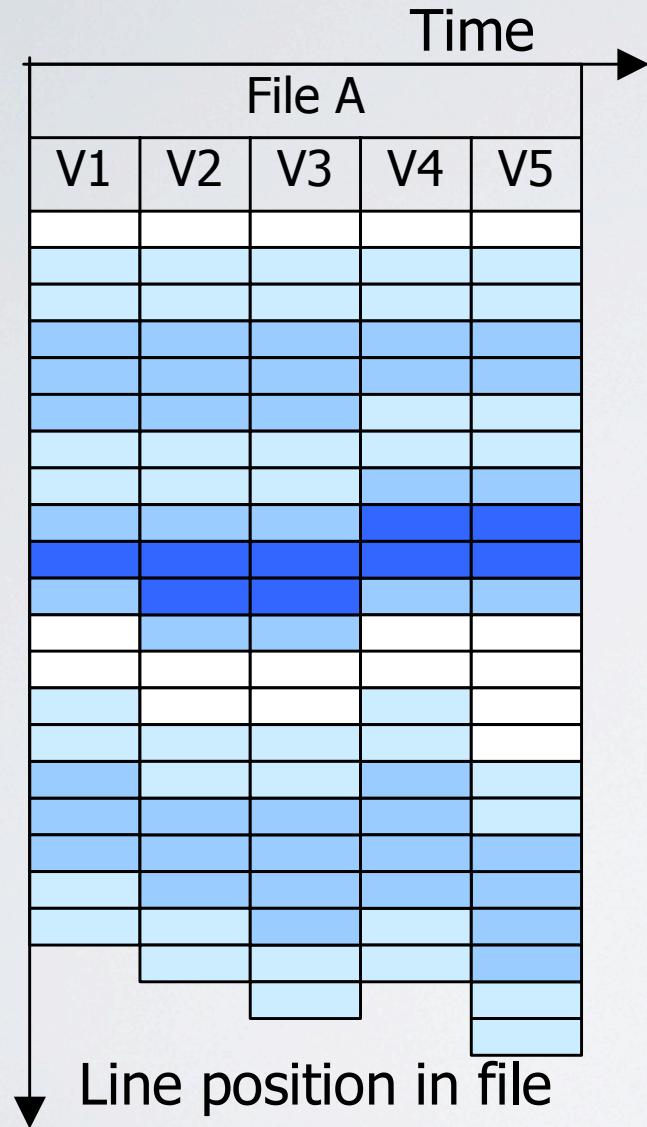
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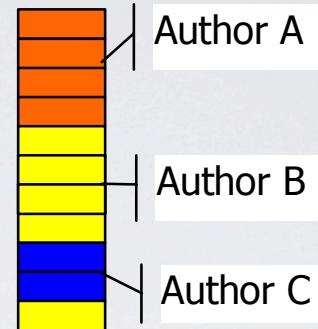
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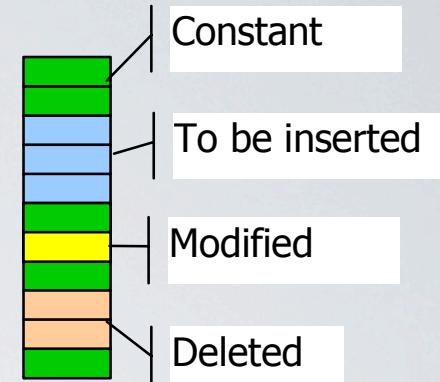
CVSScan principles



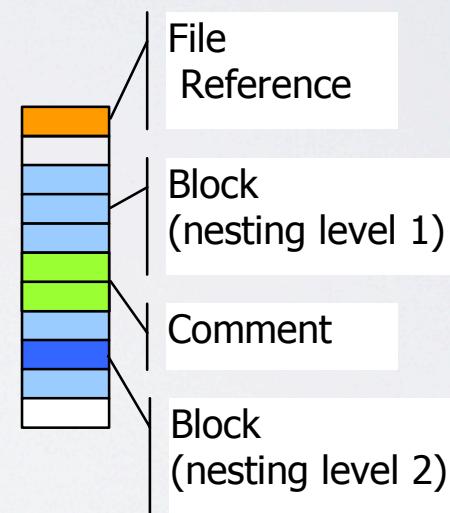
Layout



Author



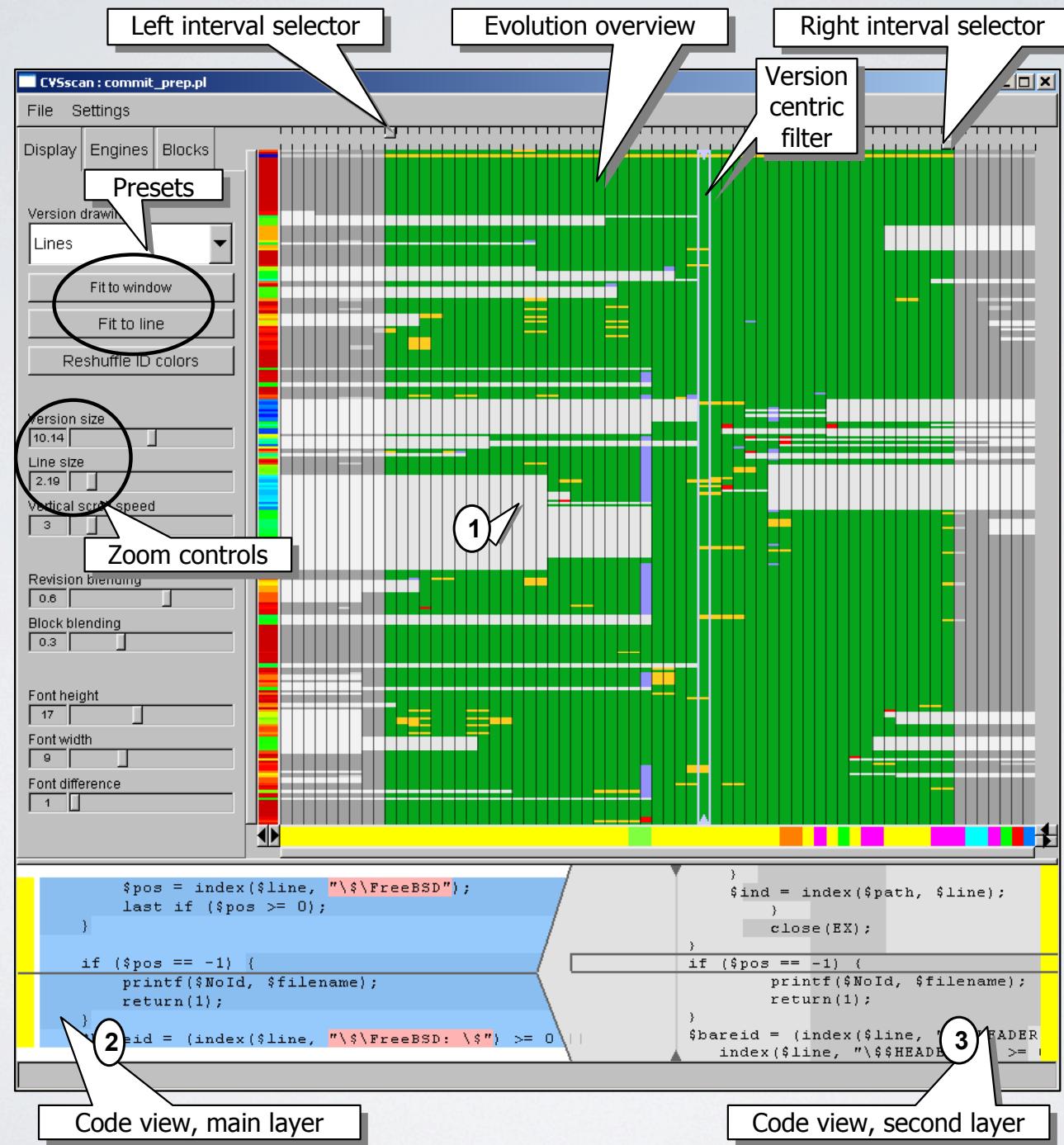
Line status



Construct

Color mappings

CVSScan example



Visual evolution analysis summary

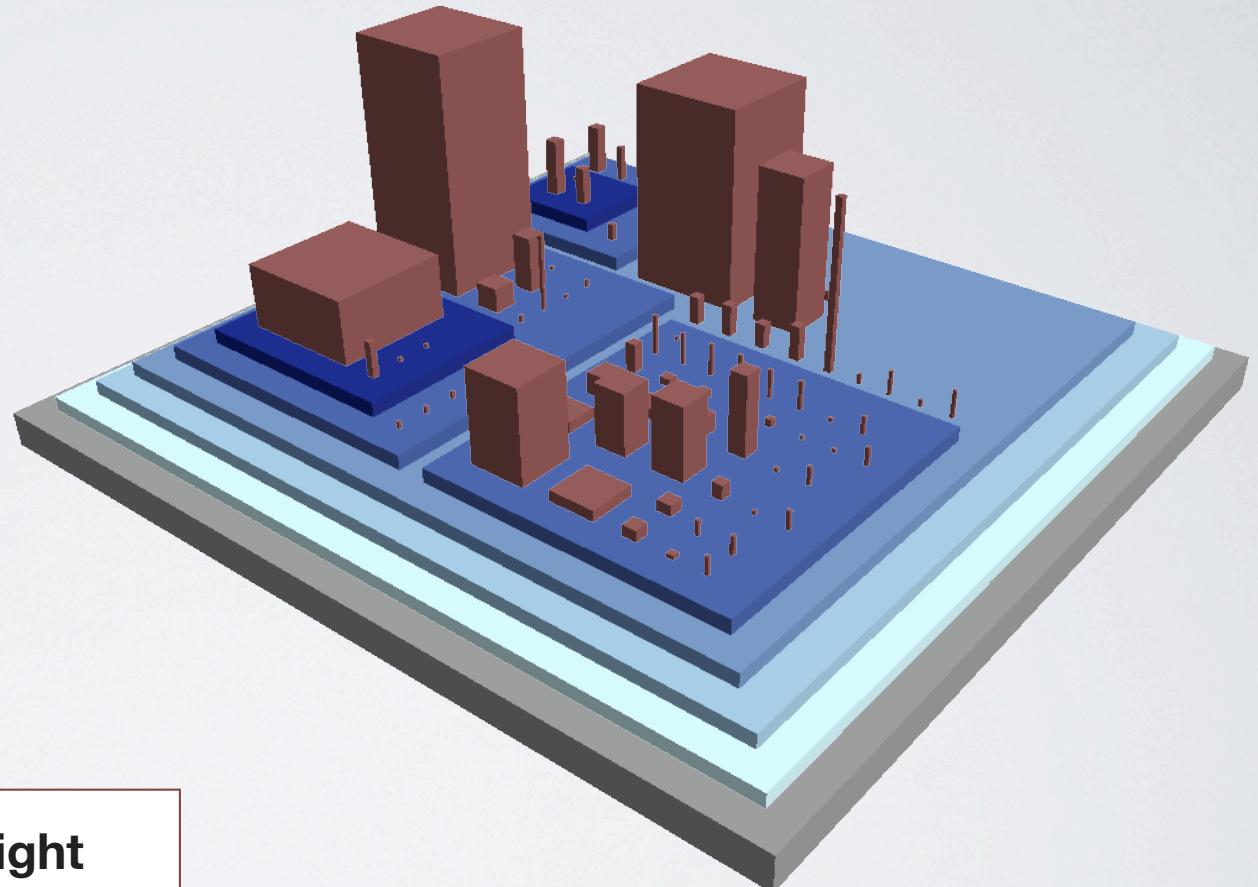
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CodeCity - principles

domain mapping	
class	building
package	district
system	city
nesting level	color
number of methods (NOM)	height
number of attributes (NOA)	base size



CodeCity - age map

veteran

new-born

N

1

1

1

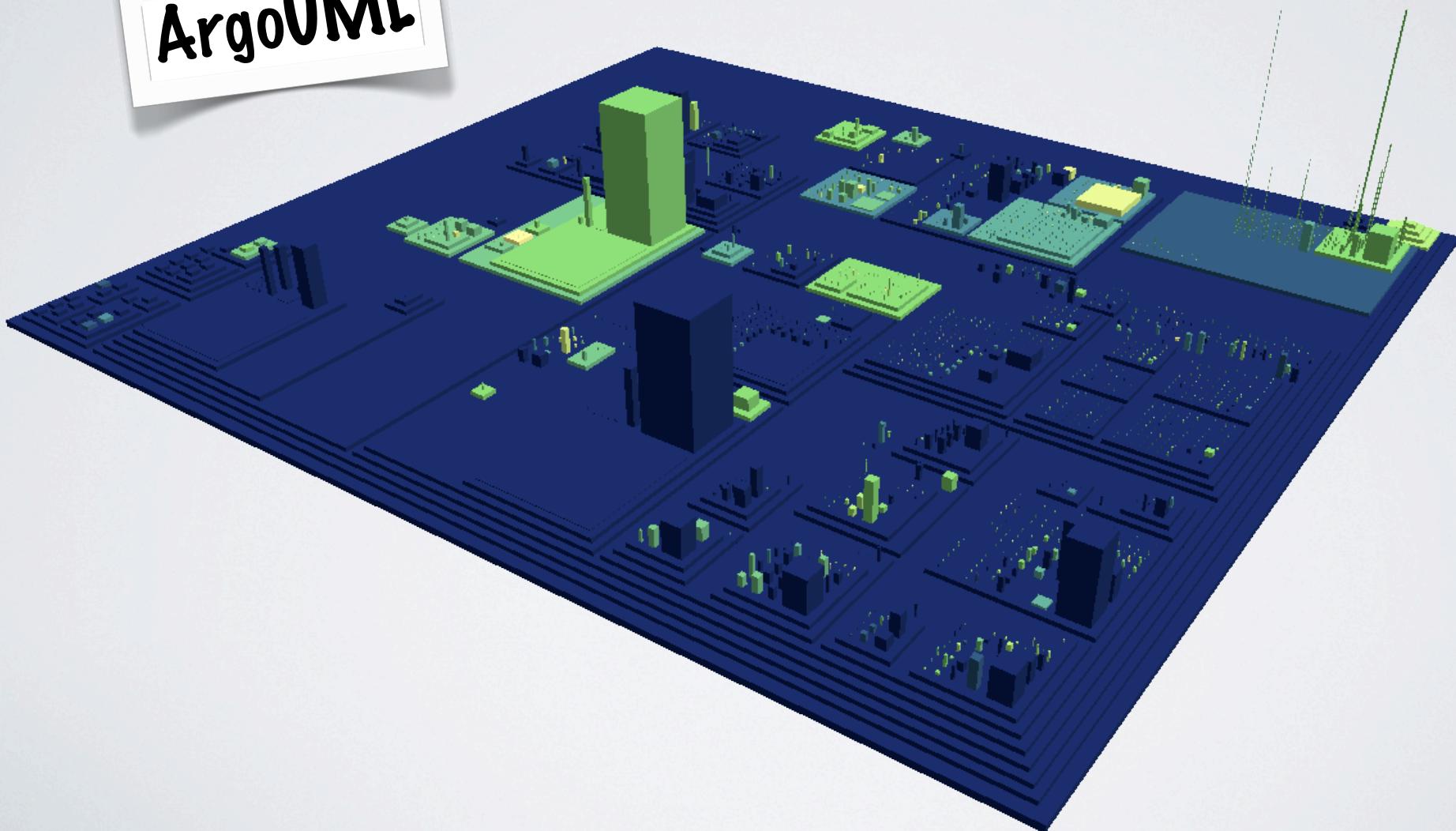
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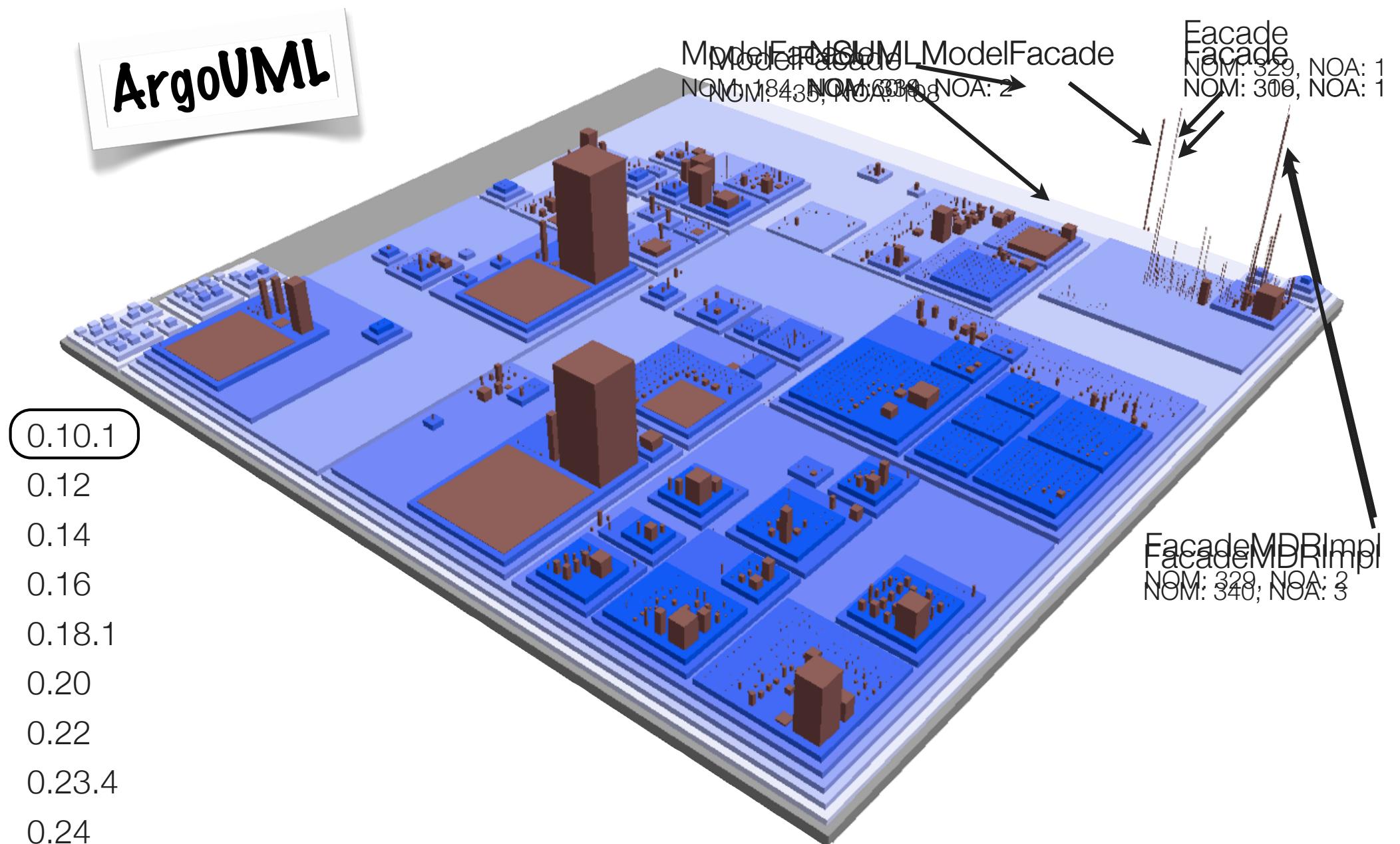
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age (number of survived versions)

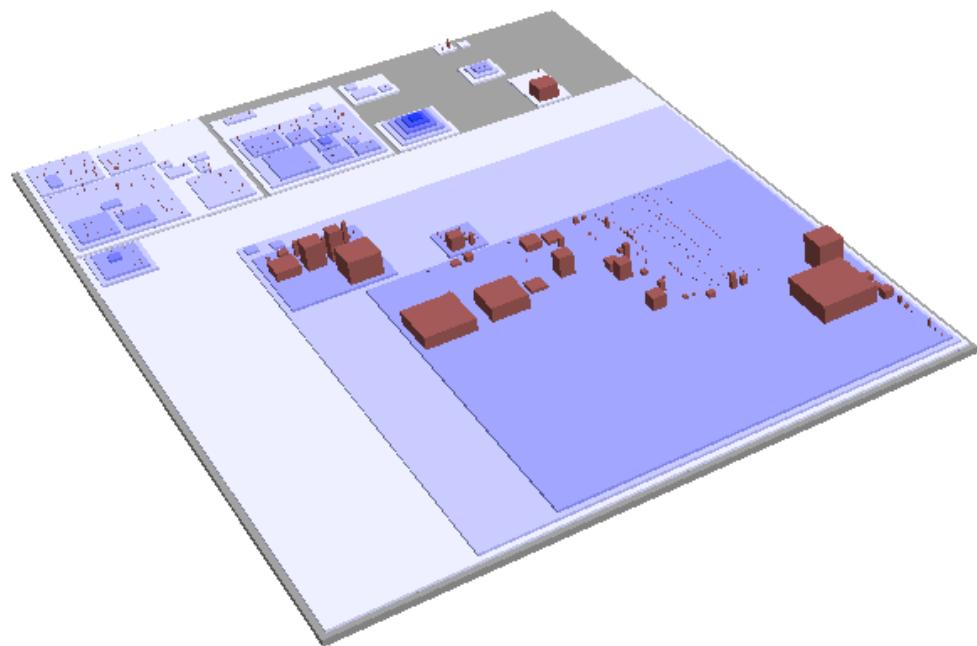


ArgoUML

CodeCity - time travel



CodeCity - The story of JMol

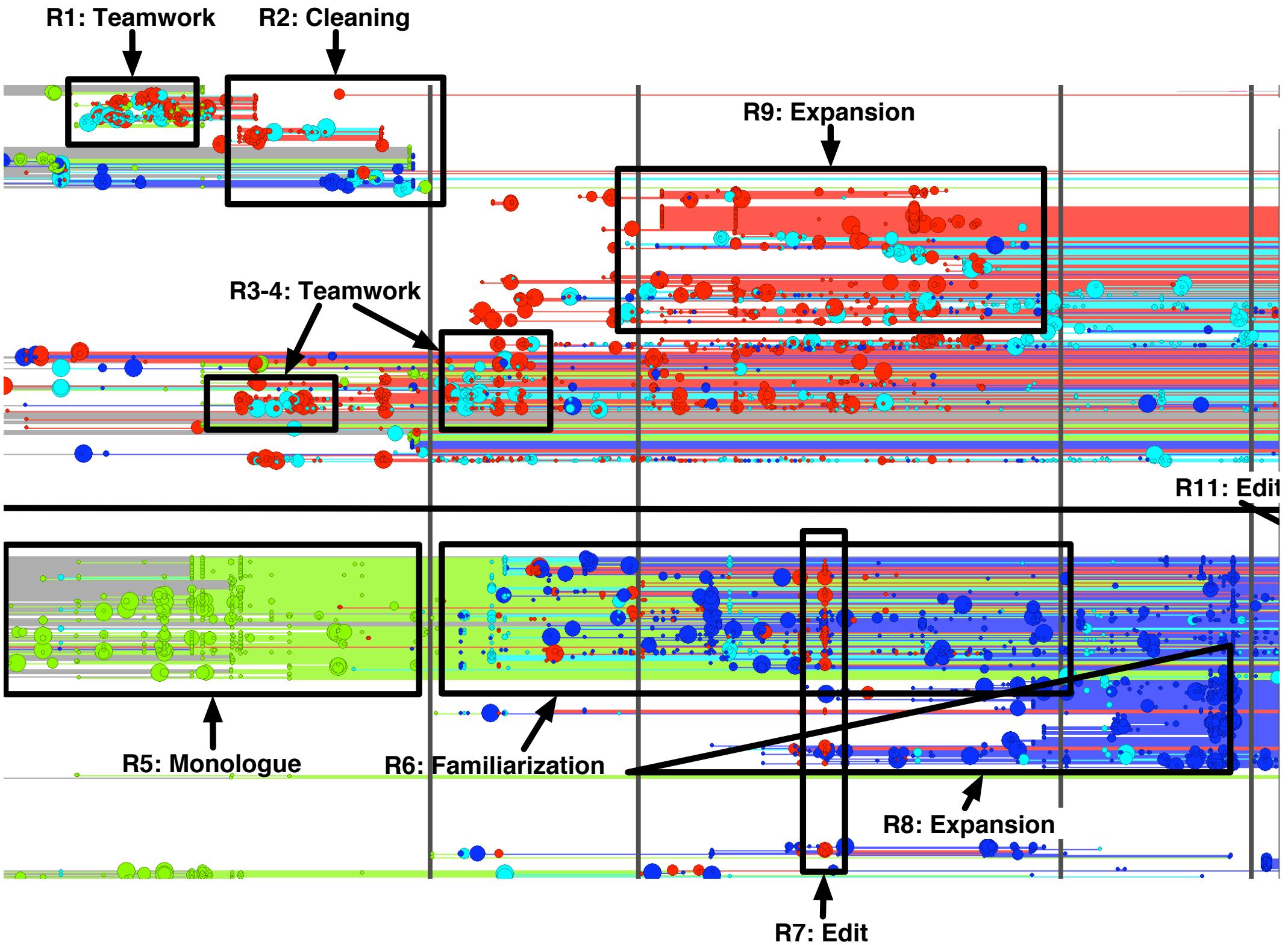


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Tesseract

commits
emails

activity

Project: gnome/rhythmbox | DoubleClick node to drill down upon all selected.

Search:

Files

File name

- widgets/rb-property-view.c
- widgets/rb-query-creator.c
- player/rb-recorder-gst.c
- shell/rb-shell.c
- shell/rb-remote.c
- widgets/rb-rating-helper.c
- player/rb-recorder.h
- iradio/rb-station-properties-dialog.c

Developers

Name

- Stephen Walth
- Lynda Finney
- Alicia Dimaggio
- Tony Theriault
- Billy Mick
- Glenda Whyte

Issues

Severity: Enhancement Trivial Minor Normal Major Critical Blocker

defects

160
0

2005-05-03 2005-05-14 2005-05-25 2005-05-05 2005-05-16 2005-06-27 2005-07-08 2005-07-19 2005-07-30 2005-08-10 2005-08-21

Bug ID	Start	End	Severity	Priority	Status	Resolution	Description
9027	2004-11-22	2005-08-22	normal	Normal	RESOLVED	FIXED	default ordering of tracks in smart playlists
9028	2004-11-22	2005-08-26	enhancement	Normal	RESOLVED	FIXED	Add "minutes" type to automatic playlist limits
9030	2004-08-26	2005-06-06	critical	High	RESOLVED	OBSOLETE	Crash when resuming play

file network

developers network

The screenshot displays the Tesseract application interface. At the top, there's a timeline showing activity from 2002-03-02 to 2006-08-05. Below the timeline are three main sections: 'Files' (listing files like rb-property-view.c and rb-recorder-gst.c), 'Developers' (listing names like Stephen Walth and Lynda Finney), and 'Issues' (a chart showing defect counts over time and a table of bug reports). Overlaid on these sections are several hand-drawn labels: 'activity' points to the timeline; 'file network' points to the graph of connected file nodes; 'developers network' points to the graph of developer nodes; and 'defects' points to the chart in the Issues section. A note at the top says 'DoubleClick node to drill down upon all selected.'

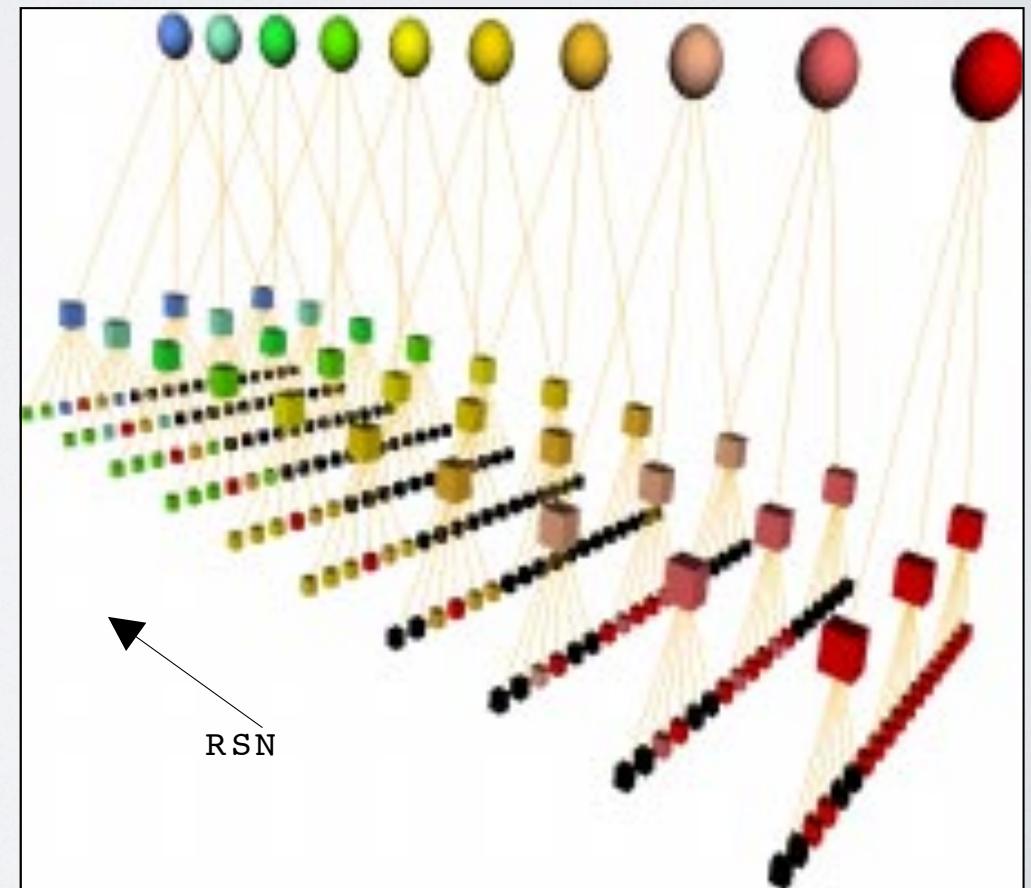
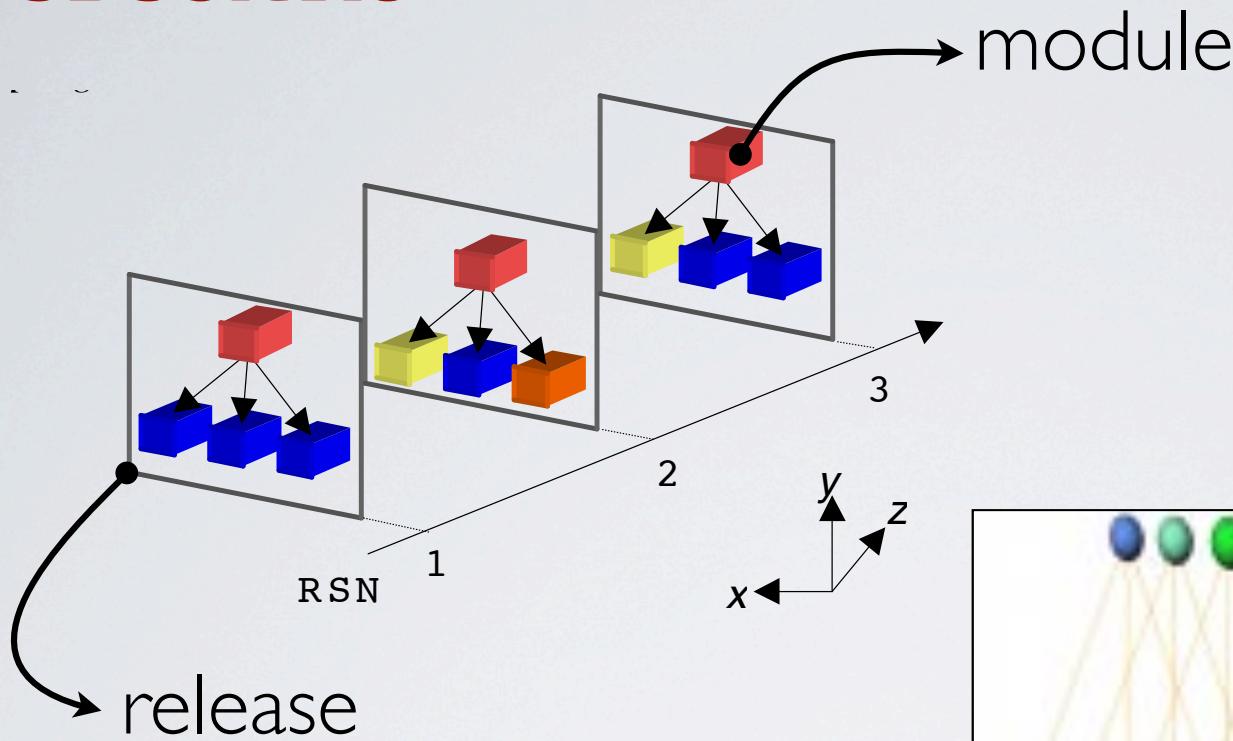
Visual evolution analysis summary

	Multiple snapshots	Versioning system log files	Integrated data sources
Code	<ul style="list-style-type: none">• Code Flows 	<ul style="list-style-type: none">• SeeSoft 	<ul style="list-style-type: none">• Augur• CVSscan 
Design	<ul style="list-style-type: none">• CodeCrawler• The Evolution Matrix• BEAGLE• CodeCity 	<ul style="list-style-type: none">• Revision Towers• Rysselberghe and Demeyer 2004• Evolution Spectrographs• Chronia • Evolens• Change coupling (previous slides)	<ul style="list-style-type: none">• BugCrawler• EvoGraph• Gevol• CVSgrab• Tesseract • Deep Intellisense
Architecture	<ul style="list-style-type: none">• 3DSoftVis• Jazayeri 2002	<ul style="list-style-type: none">• Change coupling (previous slides)• SPO• Complicity	<ul style="list-style-type: none">• RelVis• Yarn

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3DSoftVis



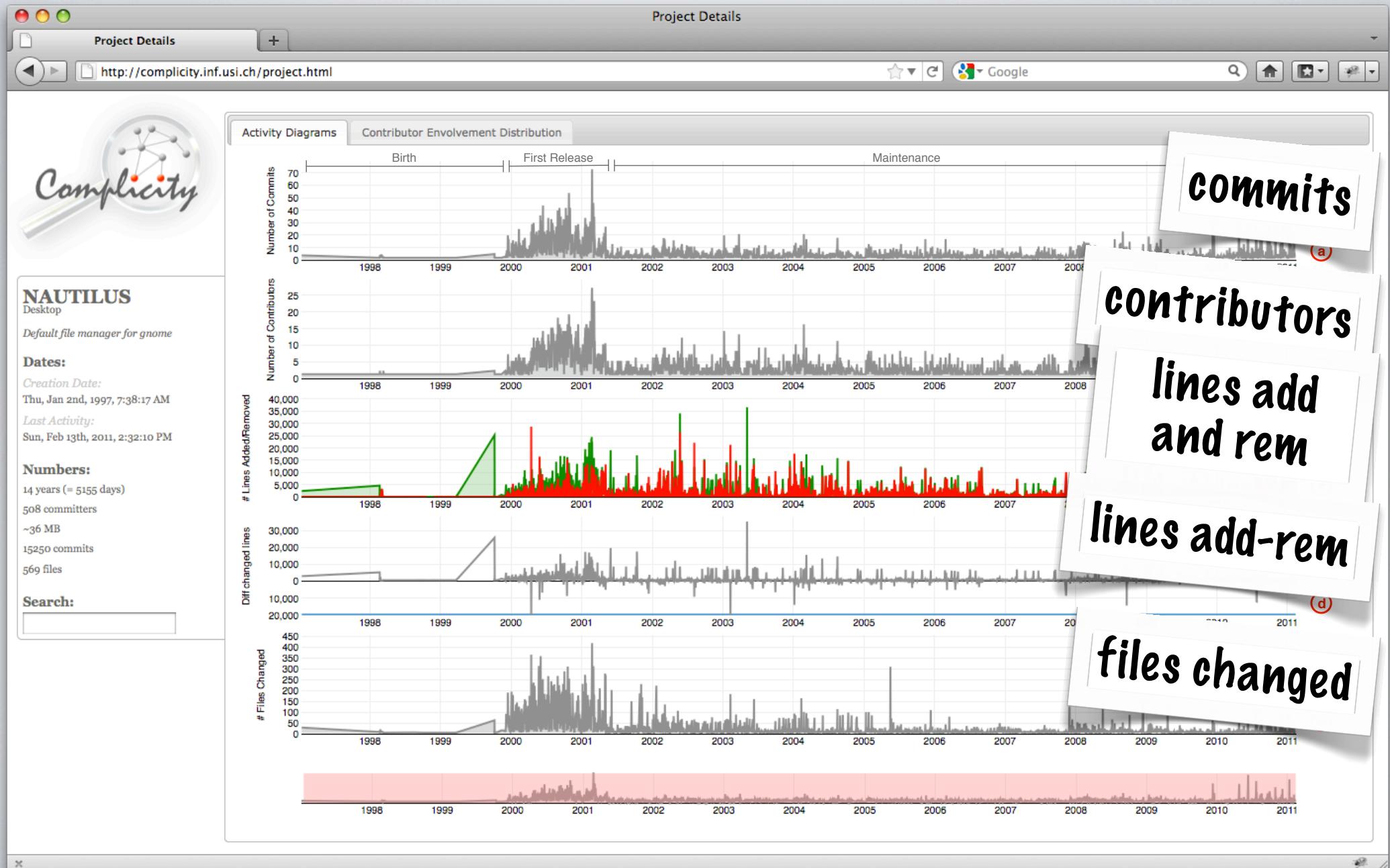
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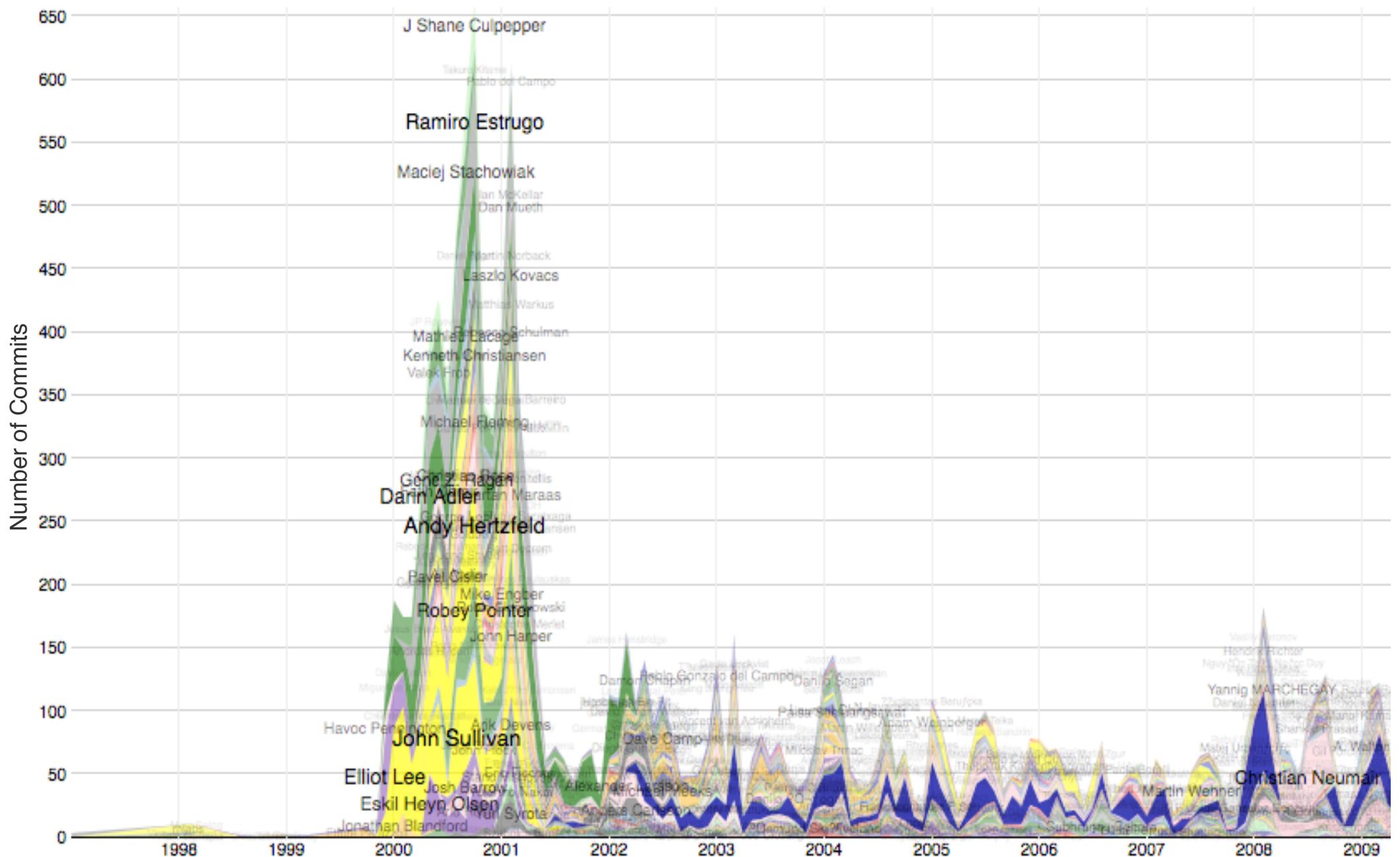
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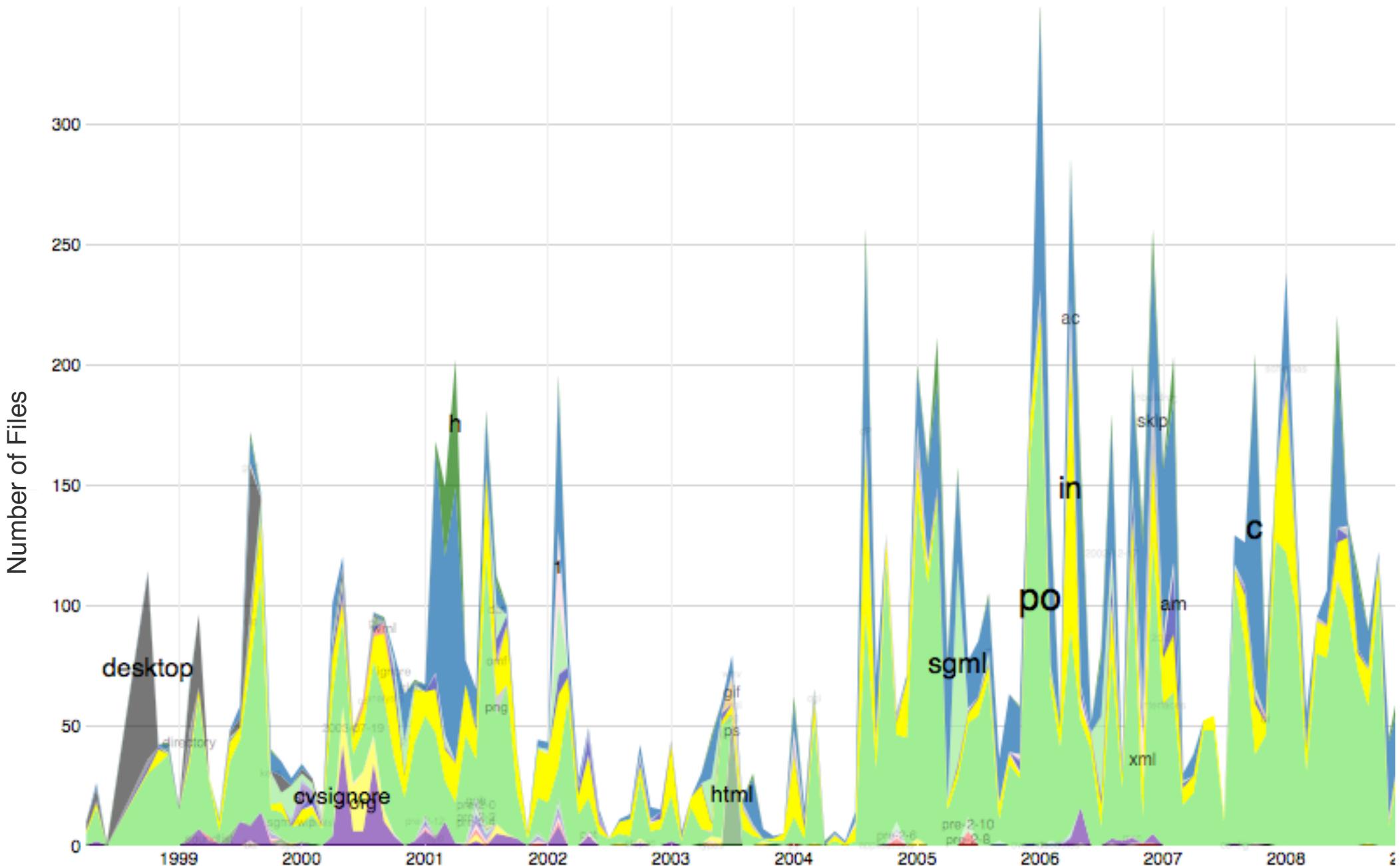
Complicity - Visualizing software ecosystem



Complicity - contributors involvement



Complicity - expertise view



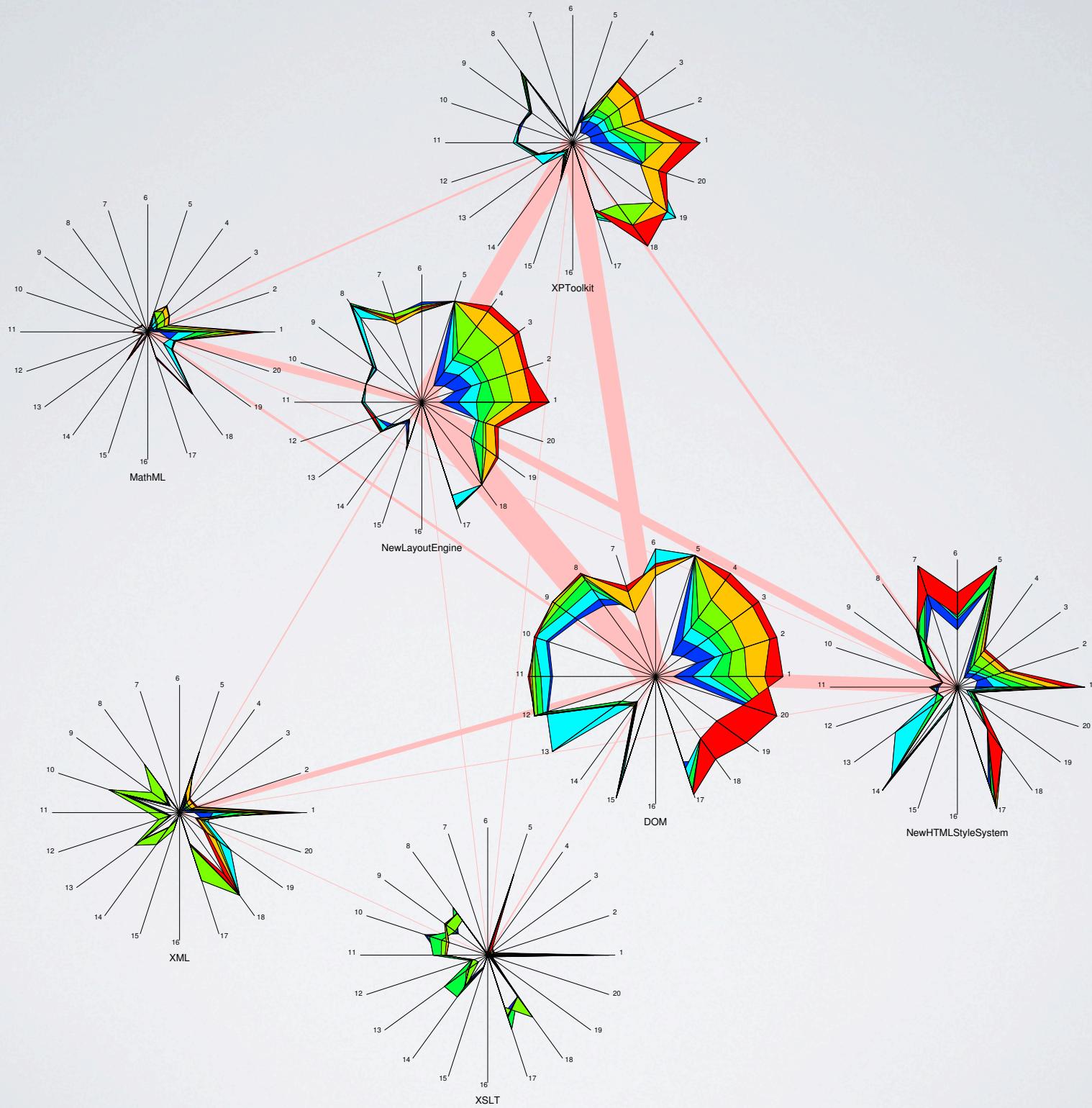
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RelVis



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Visual Evolution Analysis

- ▶ Goal: Visualize evolutionary data to:
 - ▶ understanding a software system's evolution
 - ▶ support decision making
 - ▶ detect design problems (e.g. architecture decay)

Defect prediction

Change analysis and
prediction

Empirical studies

Expertise & bug
triaging

Visual evolution
analysis

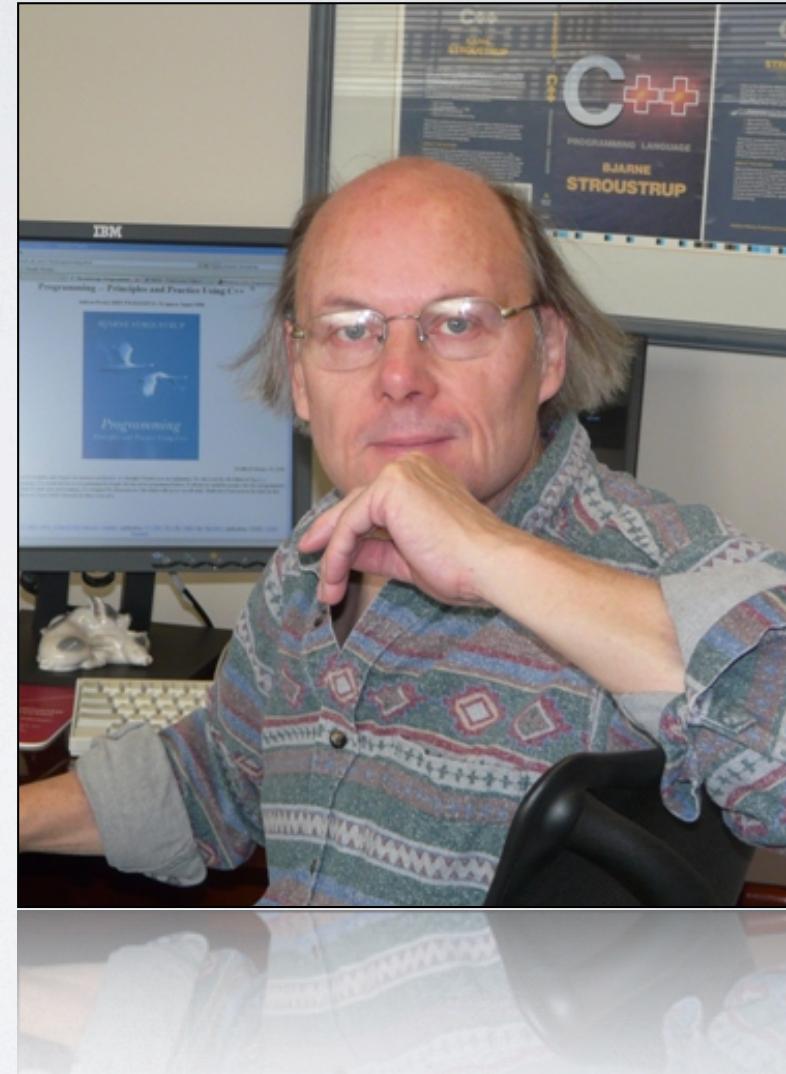
Human factors

Human factors

- ▶ Goal: Extract, measure and analyze communication and organizational information to
 - ▶ improve previous MSR techniques
 - ▶ support software maintenance and development
 - ▶ perform empirical studies

“Design and programming are human activities; forget that and all is lost ,”

— Bjarne Stroustrup

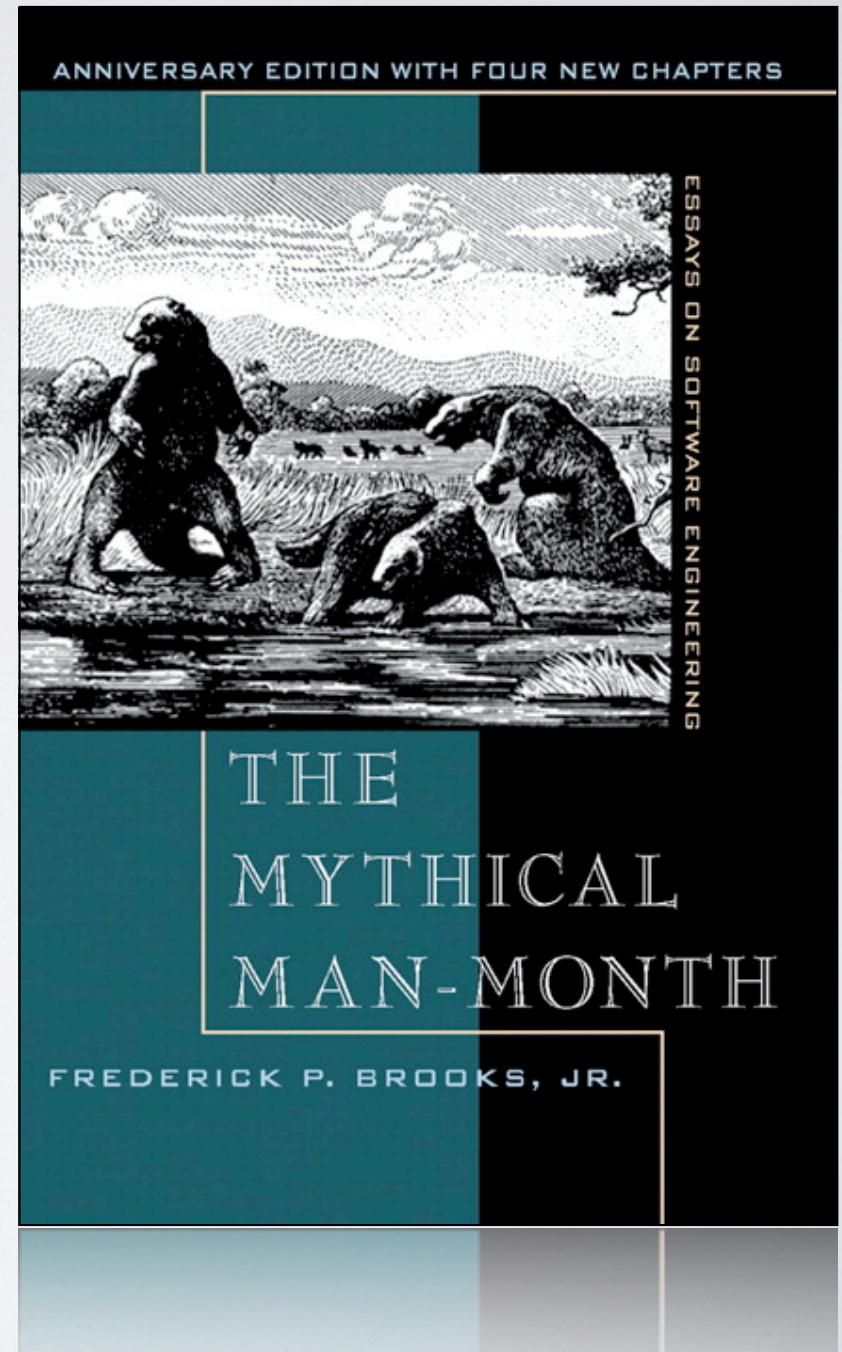


Impact of the communication structure

“Any organization that designs a system will produce a design whose structure is a copy of the organization’s communication structure”

“A software system whose structure closely matches its organization’s communication structure works “better” than a subsystem whose structure differs from its organization’s communication structure”

— Melvin Conway, 1968



Empirical investigation of Conway's law

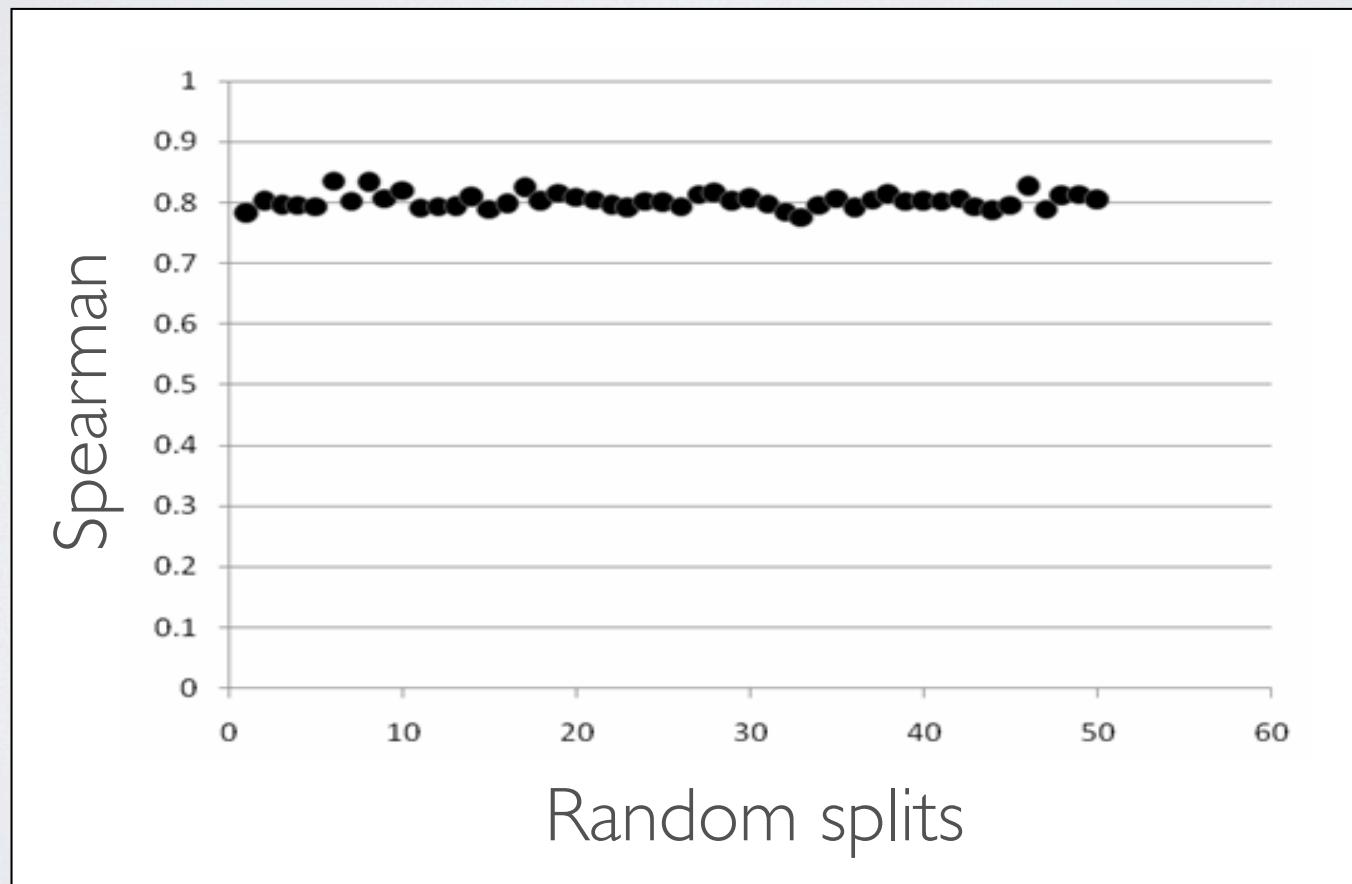
- ▶ Nagappan et al. proposed a metric scheme to quantify organizational complexity, measuring issues such as:
 - ▶ organizational distance of the developers
 - ▶ the amount of multi-tasking developers are doing across organizations
 - ▶ the amount of change to a component within the context of that organization
- ▶ They then investigated (on Windows Vista) whether this metrics are correlated with software quality

Organizational metrics

- ▶ Number of (ex-)engineers: how many engineers “touched” a binary and are still employed by the company (have left the company)
- ▶ Edit frequency: how many times an artifact was edited
- ▶ Depth of master ownership: the level of ownership of the binary depending on the number of edits done
- ▶ Level of Organizational Code Ownership: the percent of edits from the organization that contains the binary
- ▶ Organization Intersection Factor: the number of different organizations that contribute greater than 10% of edits
- ▶ ...

Using organizational metrics to predict defects

- ▶ Predicting post-release defects on Windows Vista at the binary level



Using communication metrics to predict defects

- ▶ Predicting post-release defects on Windows Vista at the binary level

Model	Precision	Recall
Code Churn	78.6%	79.9%
Code Complexity	79.3%	66.0%
Dependencies	74.4%	69.9%
Code Coverage	83.8%	54.4%
Pre-Release Bugs	73.8%	62.9%
Organizational Structure	86.2%	84.0%

Using communication metrics to predict defects

- Predicting post-release defects on Windows Vista at the binary level

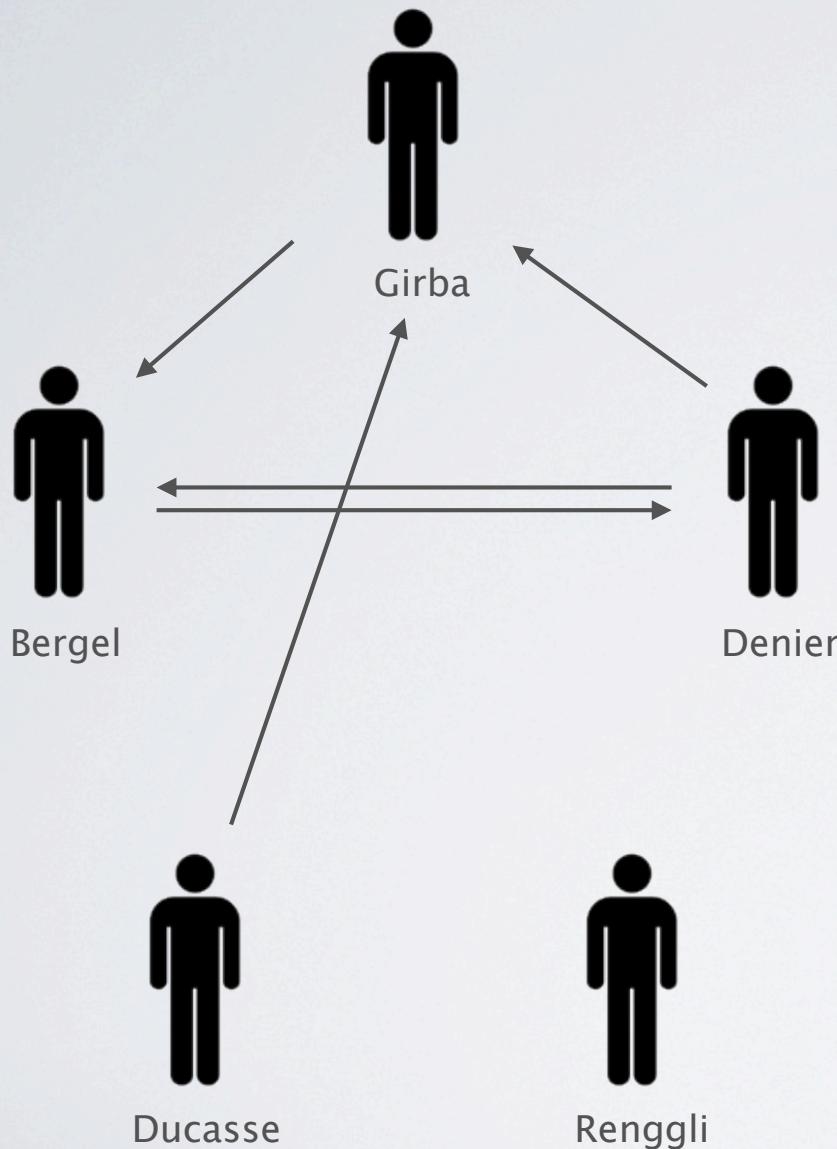
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Organizational Structure	86.2%	84.0%

Organizational metrics are far better predictors of defects than source code metrics

Learning from OSS

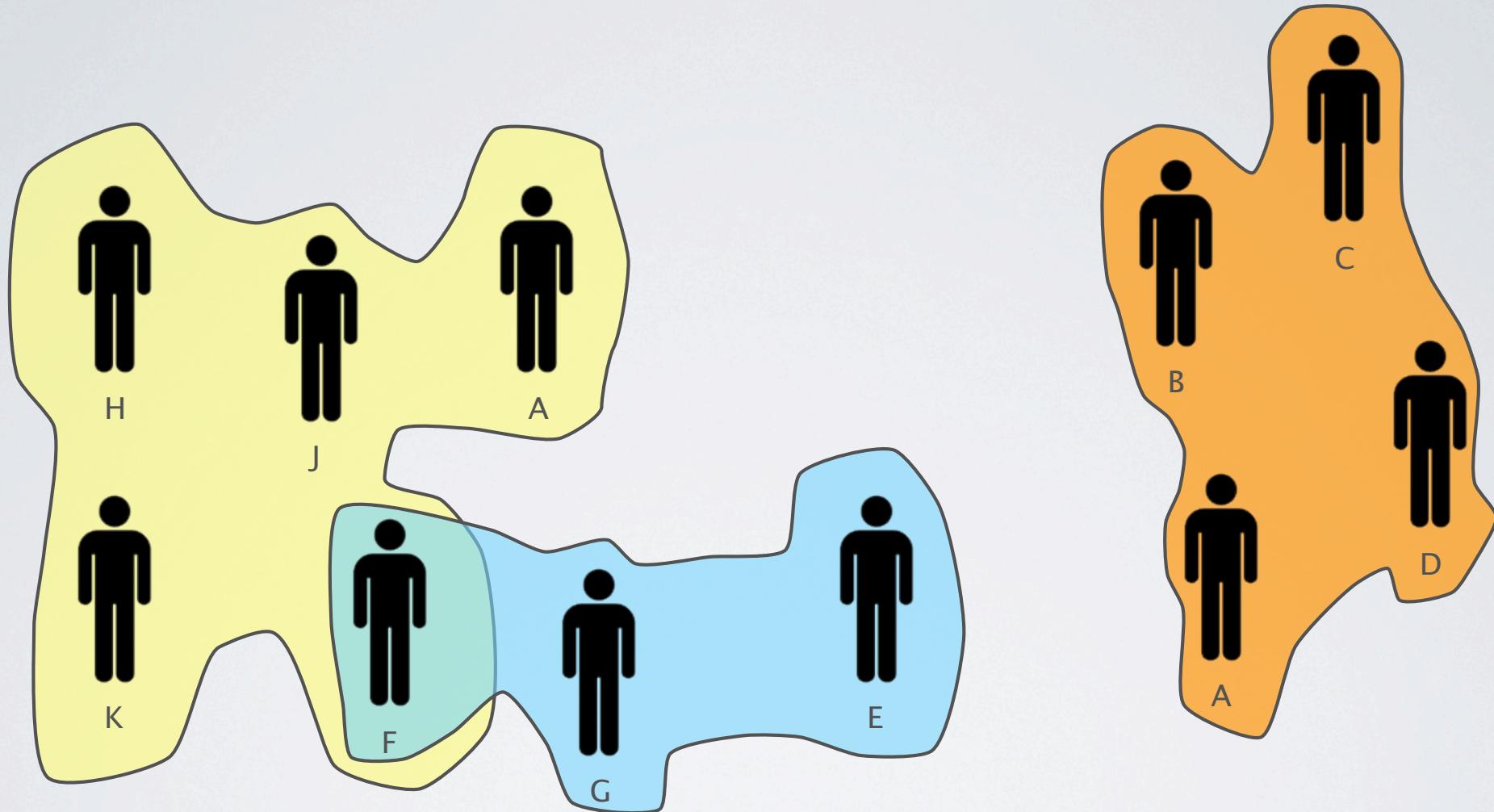
- ▶ Traditional commercial software projects have a carefully structured and hierarchical team organization
- ▶ In contrast, OSS projects have no pre-designed organizational structure, but they are large and successful
 - ▶ Do they have some latent structure of their own?
 - ▶ Are there dynamic, self- organizing subgroups that spontaneously form and evolve?
- ▶ Bird et al. answered these questions for 5 successful OSS (httpd, Ant, Python, Perl, PostgreSQL)

Extracting the social structure



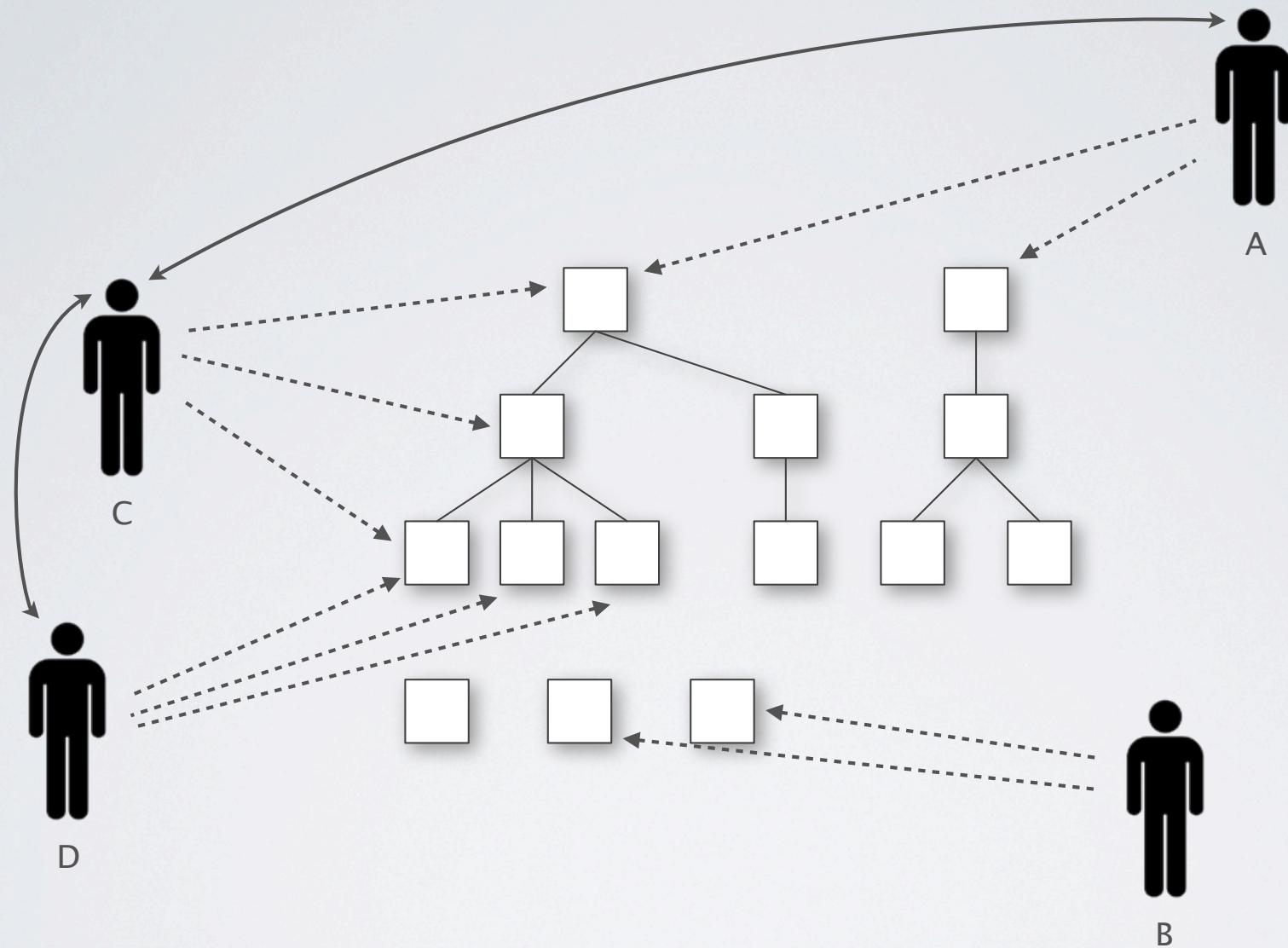
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Extracting the social structure



The social structure is well modularized

Extracting the technical structure



The technical structure is well modularized

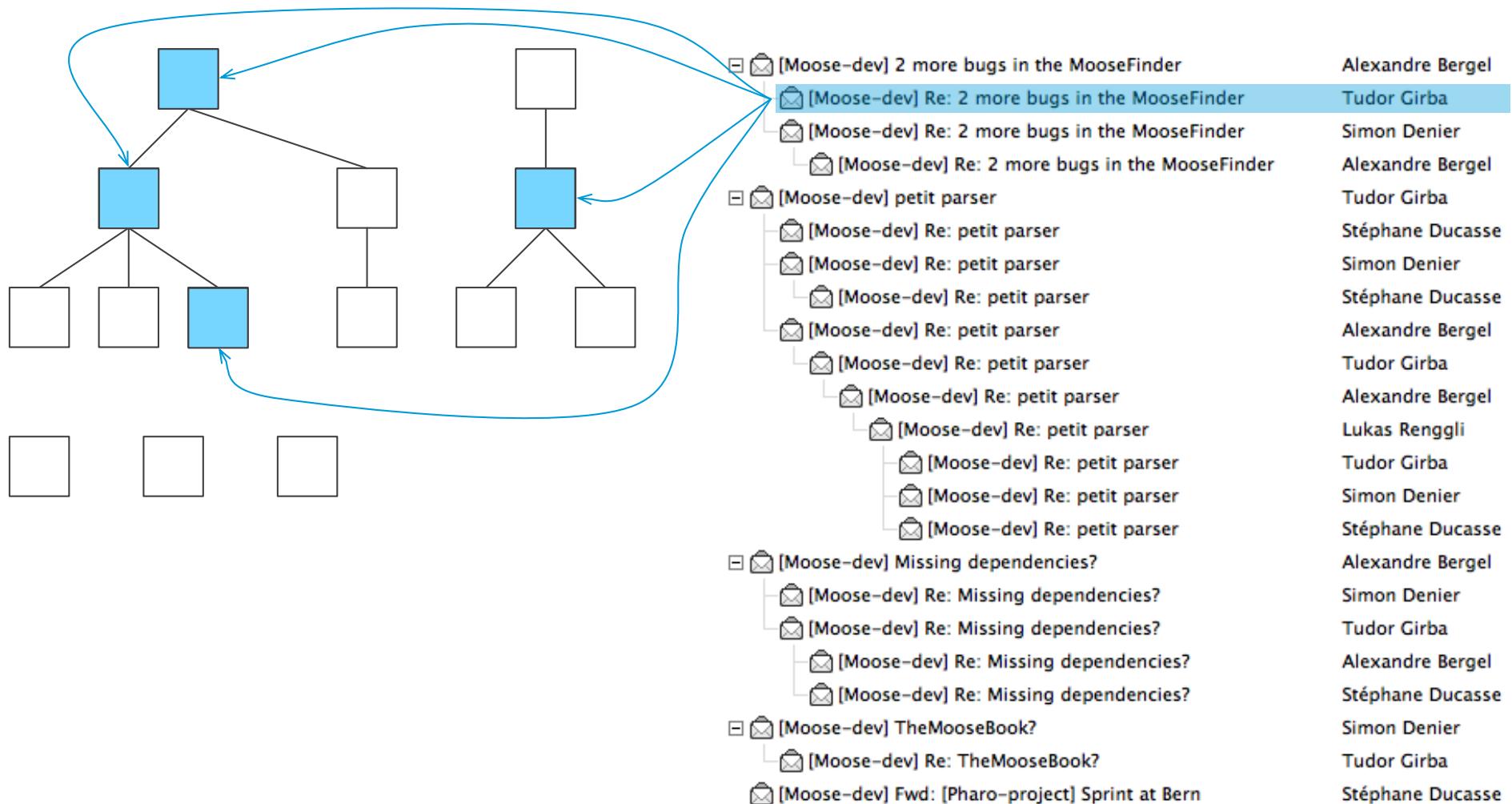
Conclusion

- ▶ OSS projects are well modularized and the technical structure reflects the social one
 - ▶ Evidence of strong community structure existed in all projects
 - ▶ The structure was more modular when discussion focused directly on source code artifacts
 - ▶ The division of the project into subcommunities is also representative of the collaboration behavior

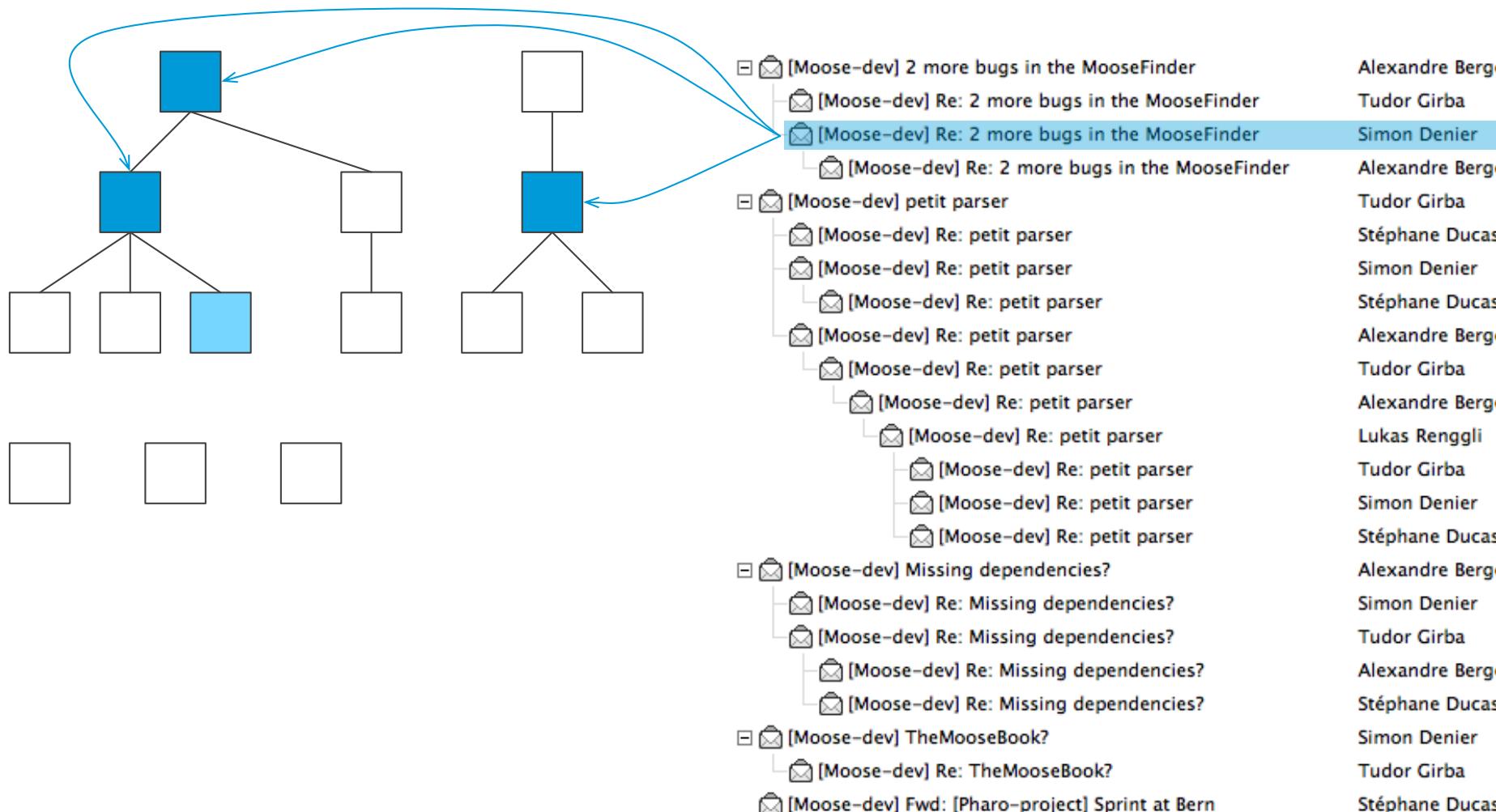
Are popular classes more defect prone?

- ▶ Assumption: software artifacts that are frequently discussed in development mailing list are more defect prone
- ▶ Bacchelli et al. investigated this assumption on 4 OSS (Equinox, Lucene, Maven, JackRabbit)

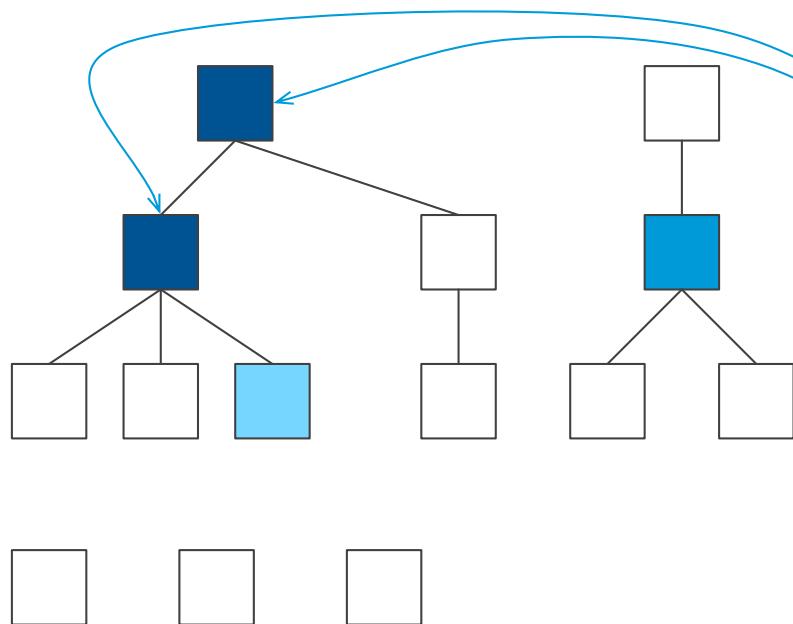
Measuring the popularity of classes



Measuring the popularity of classes

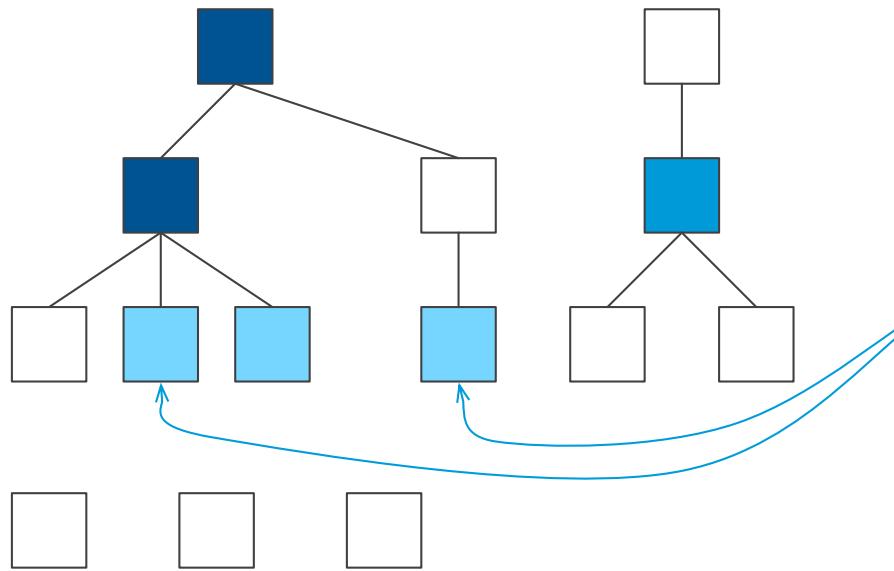


Measuring the popularity of classes



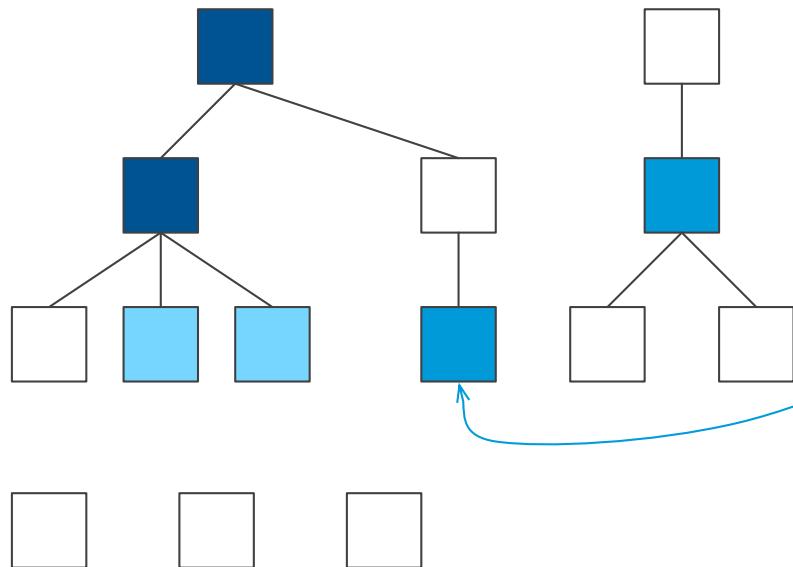
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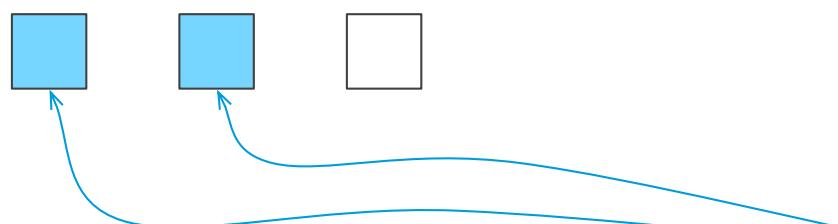
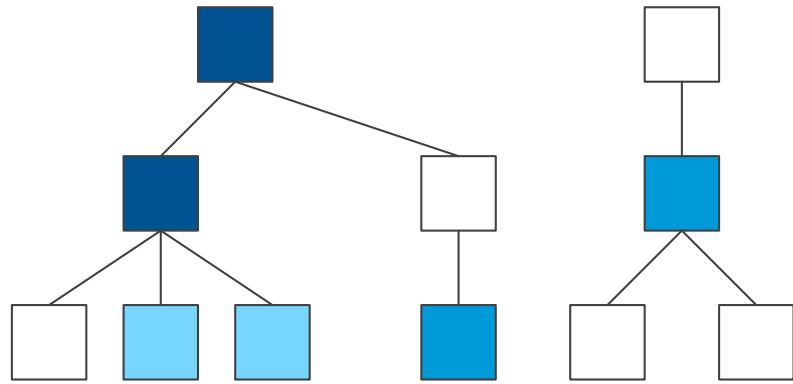
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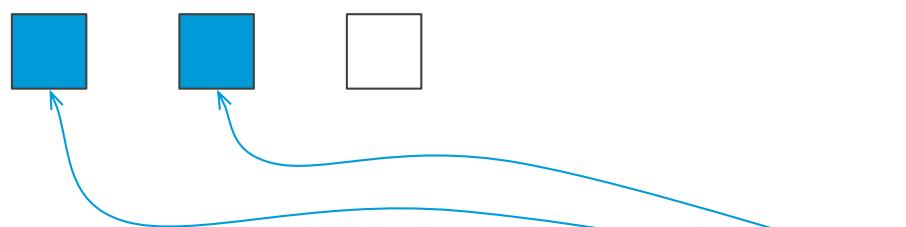
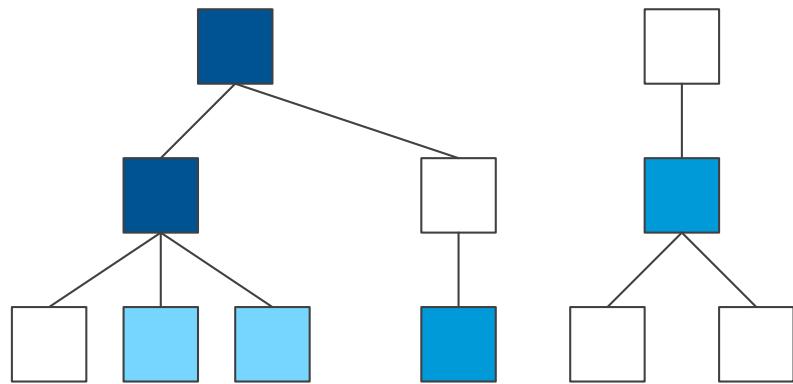
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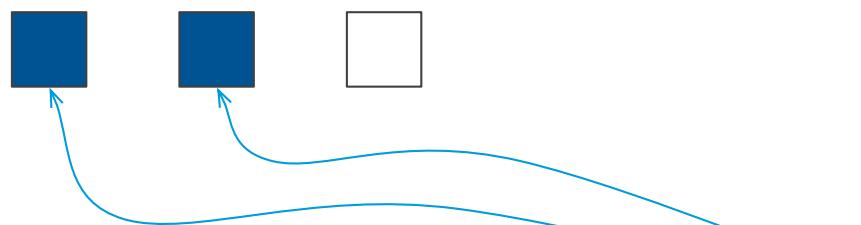
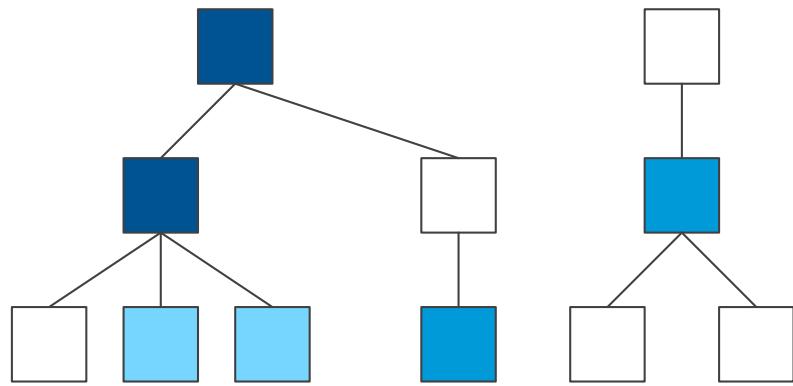
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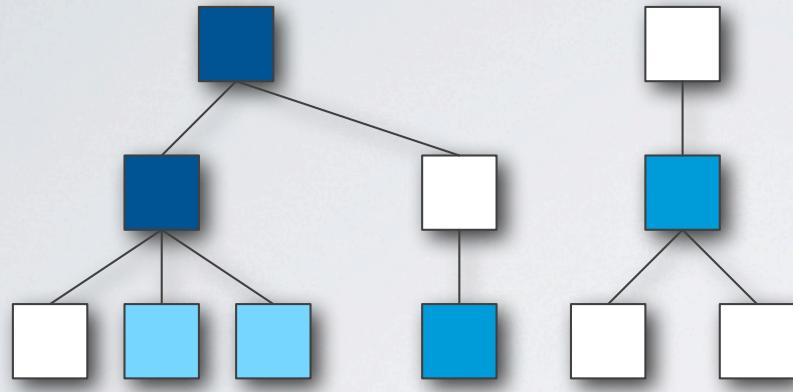
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✉ [Moose-dev] Re: 2 more bugs in the MooseFinder	Alexandre Bergel
✉ [Moose-dev] petit parser	Tudor Girba
✉ [Moose-dev] Re: petit parser	Stéphane Ducasse
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✉ [Moose-dev] TheMooseBook?	Simon Denier
✉ [Moose-dev] Re: TheMooseBook?	Tudor Girba
✉ [Moose-dev] Fwd: [Pharo-project] Sprint at Bern	Stéphane Ducasse

Measuring the popularity of classes

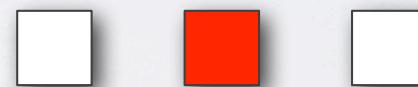
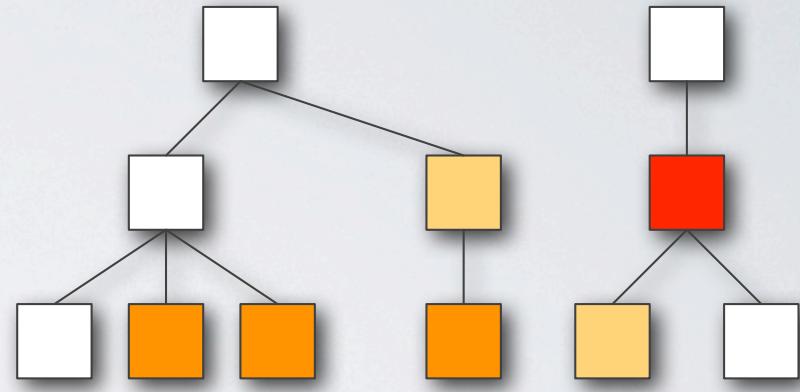


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Using popularity metrics to predict defects

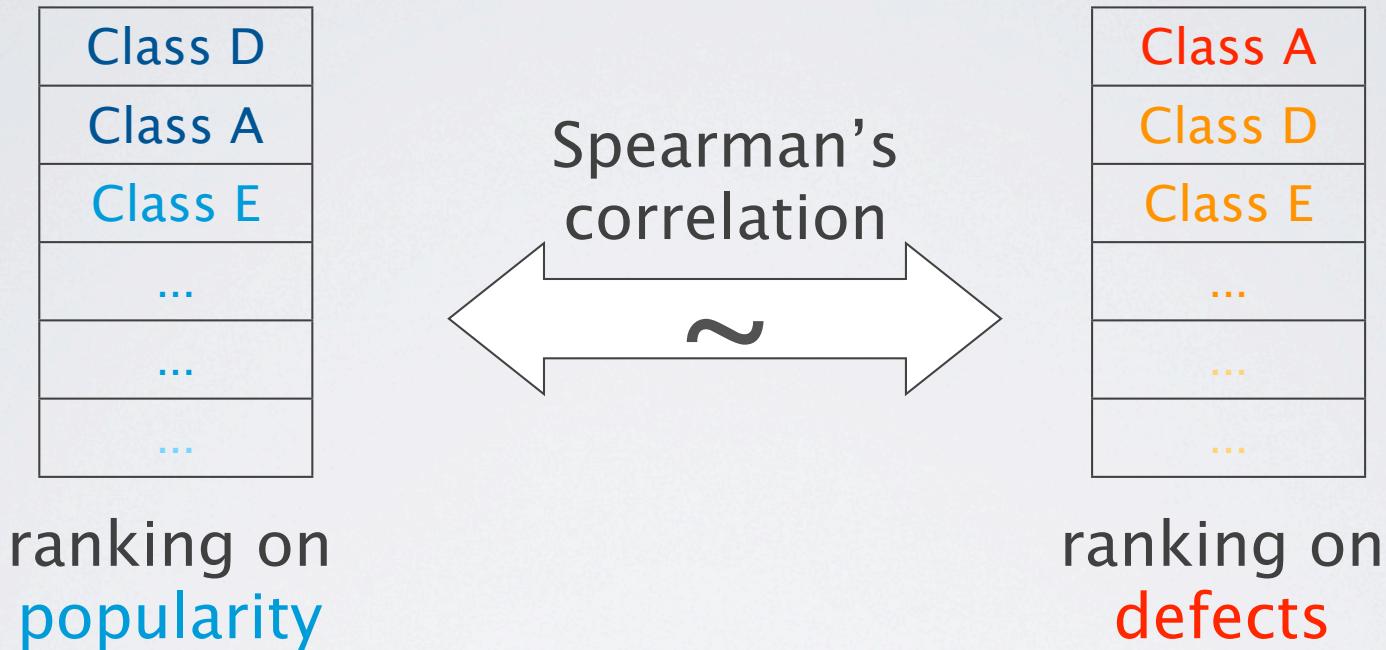


popularity of classes

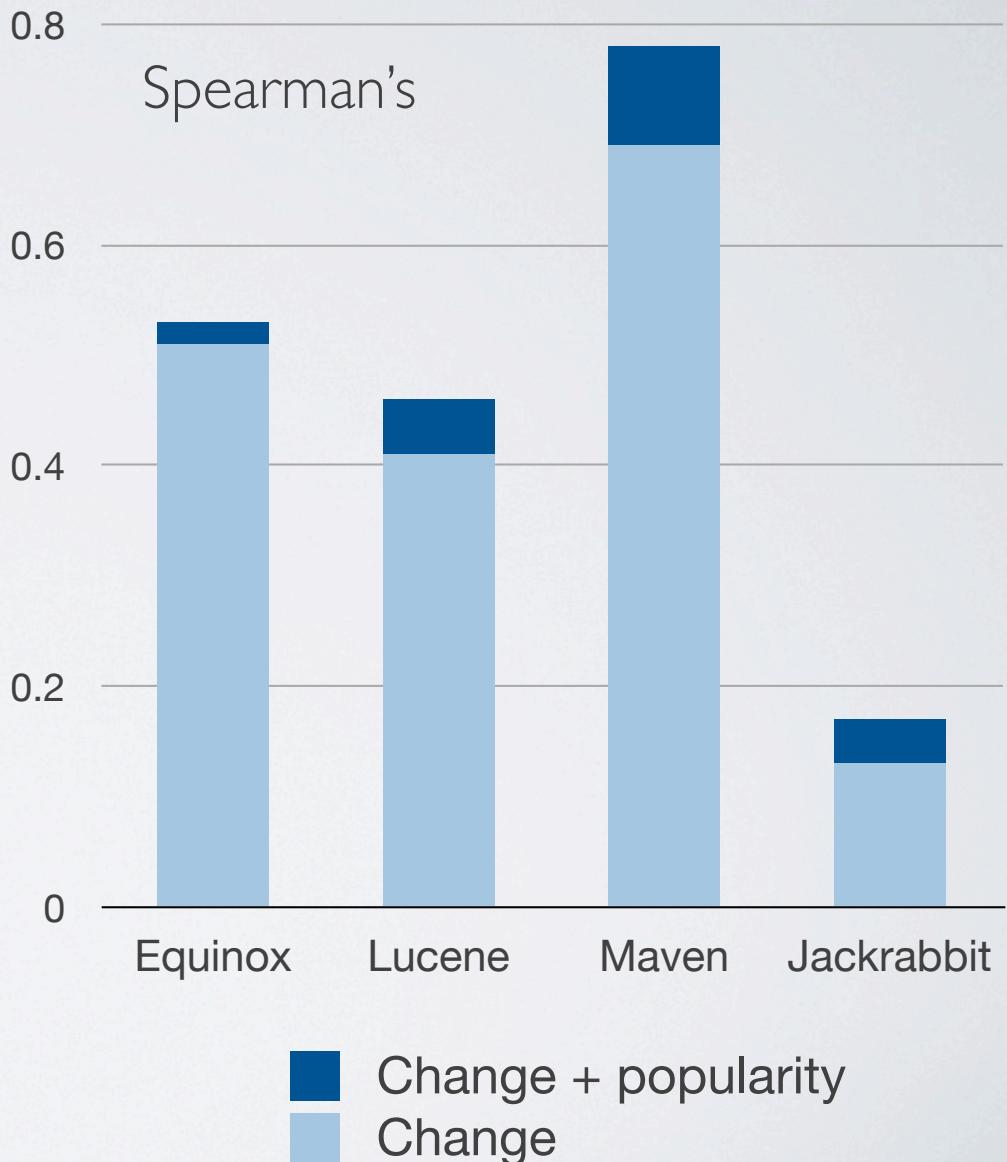
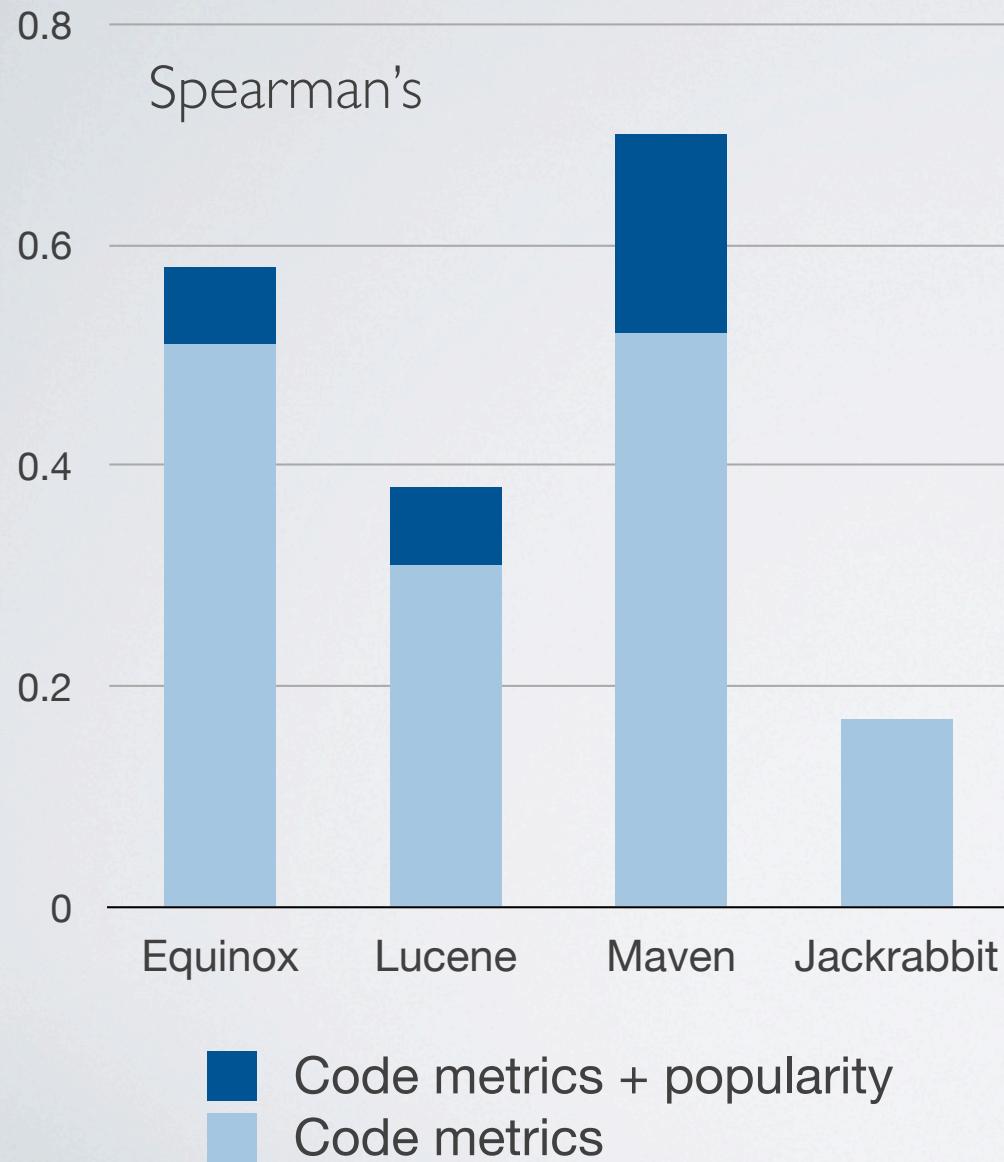


number of defects

Using popularity metrics to predict defects



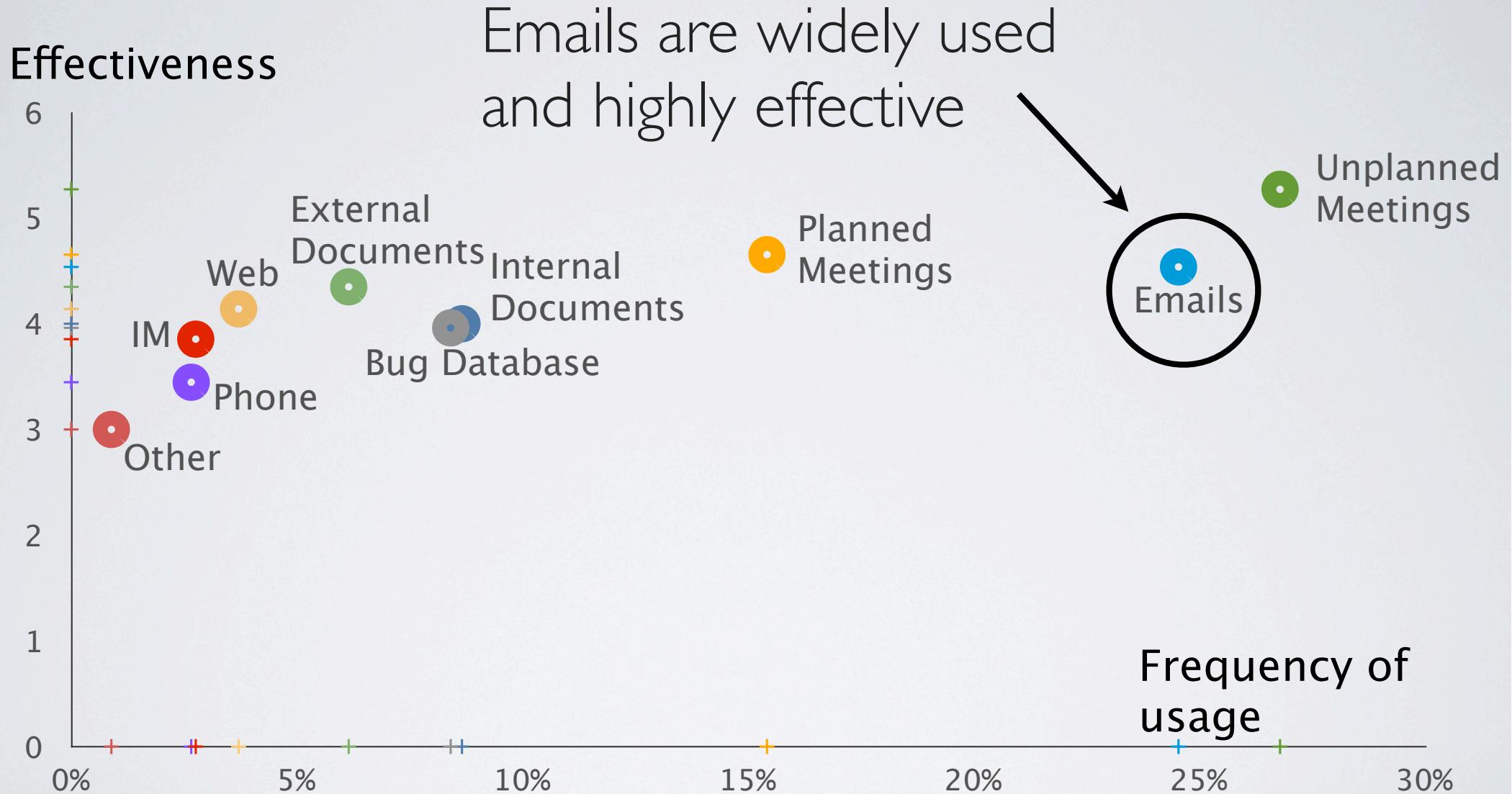
Popularity metrics improve defect prediction



Emails are important for software development

- ▶ The analysis of “facts” (e.g. SCM meta-data) points us to code entities that change the most
- ▶ The analysis of “documents” (e.g. email) helps us to understand why they are changed

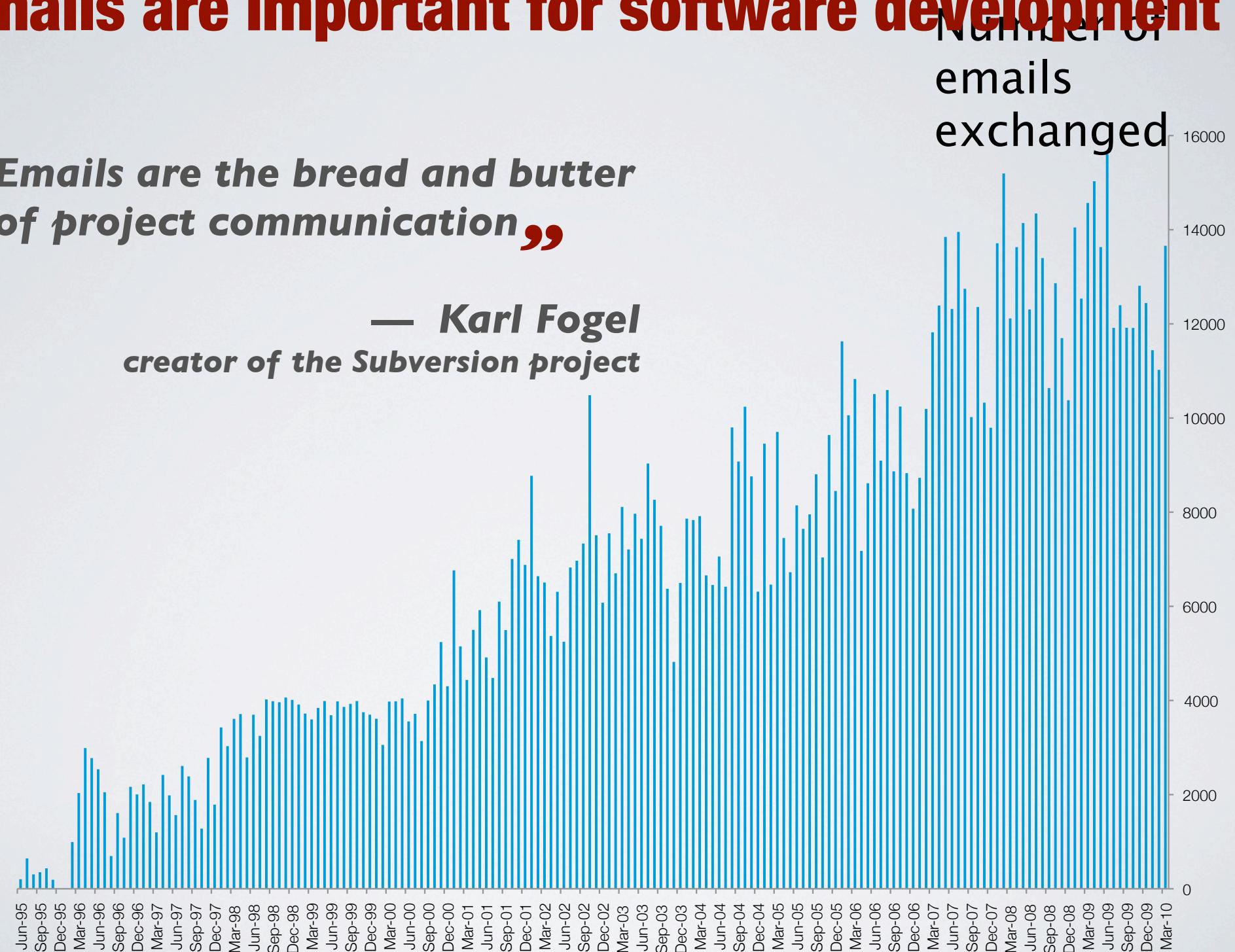
Emails are important for software development



Emails are important for software development

“Emails are the bread and butter of project communication”

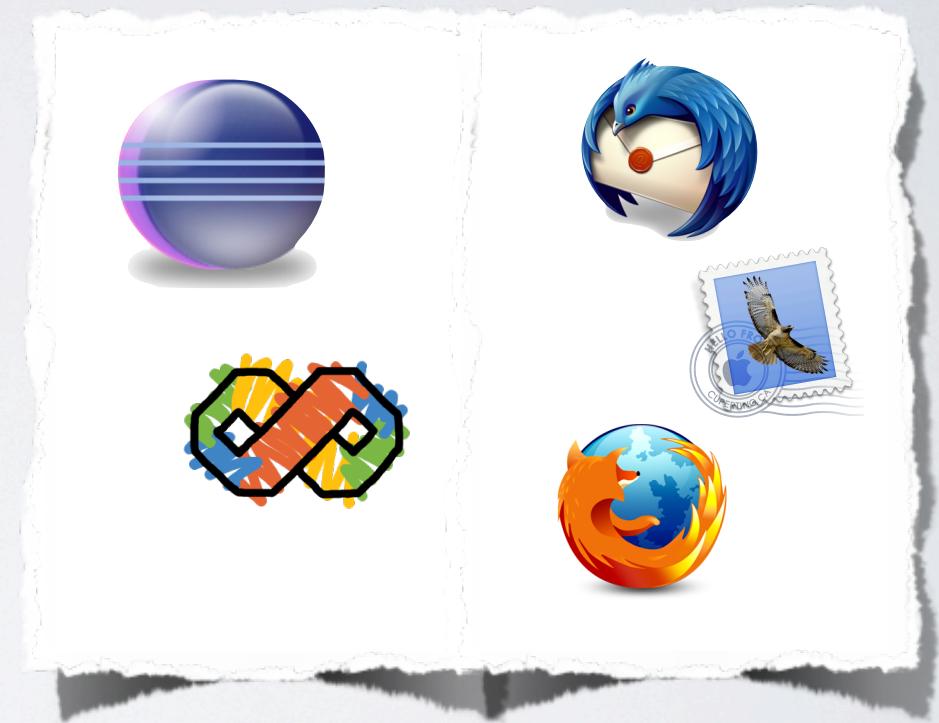
— Karl Fogel
creator of the Subversion project



Emails are difficult to use

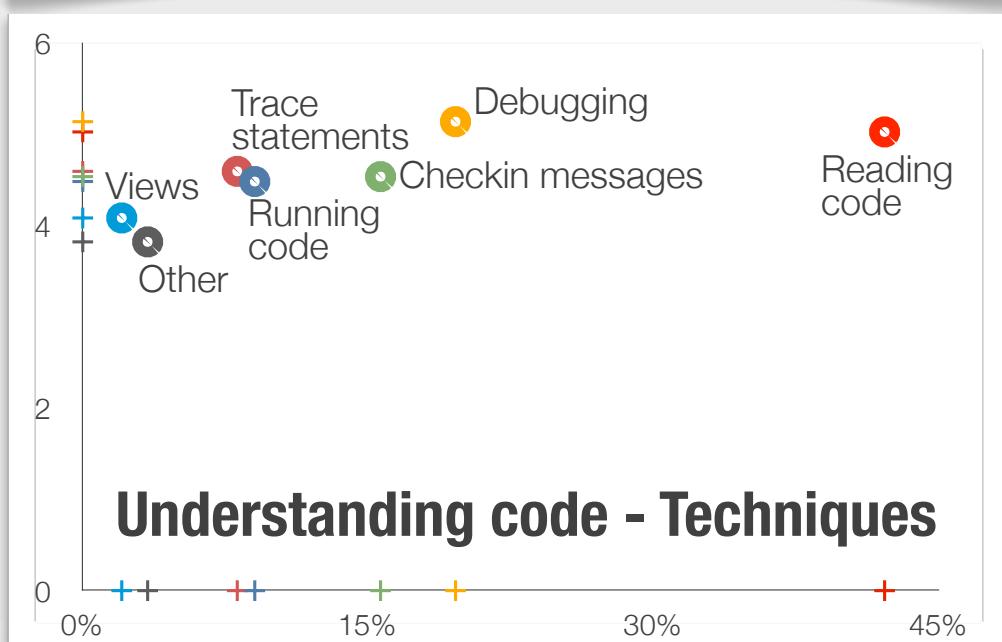
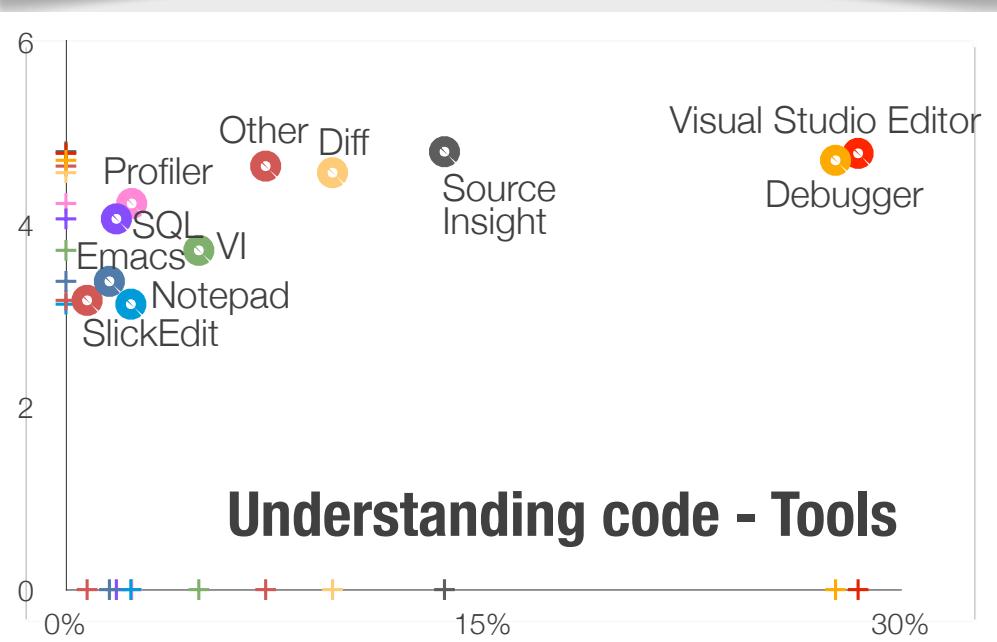
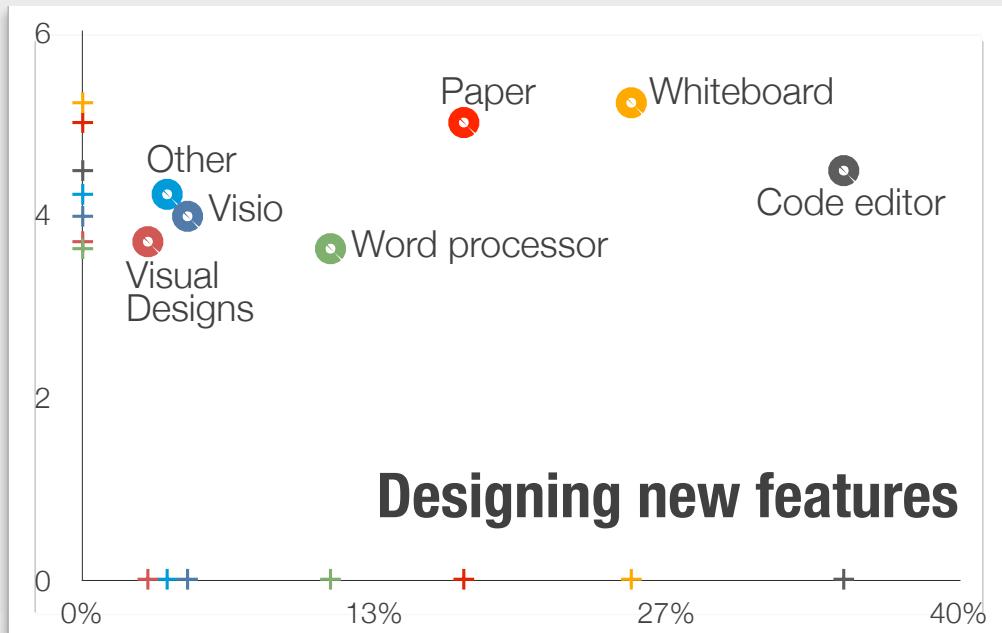
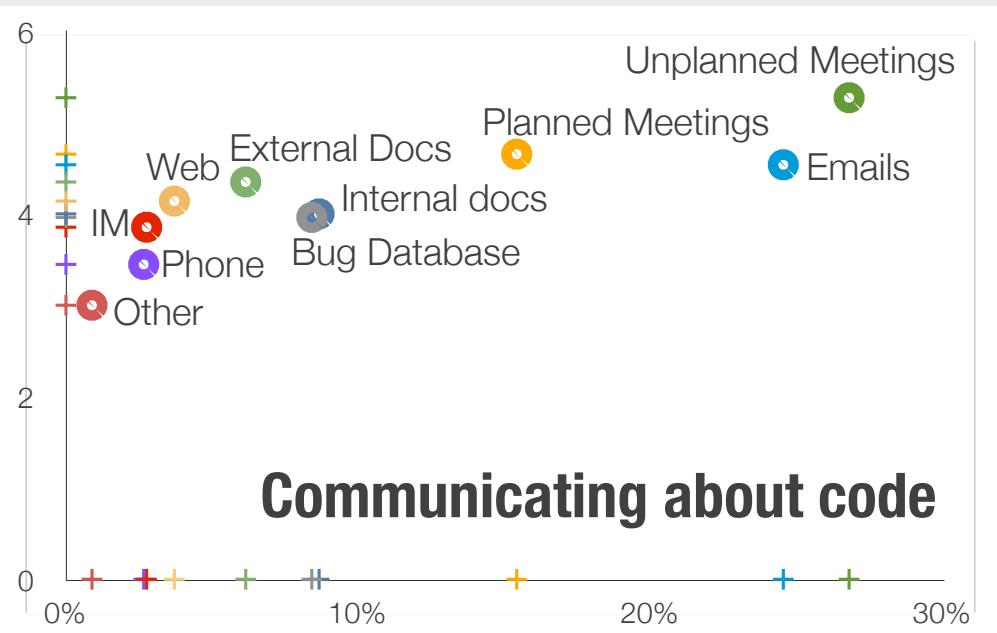


Information overload

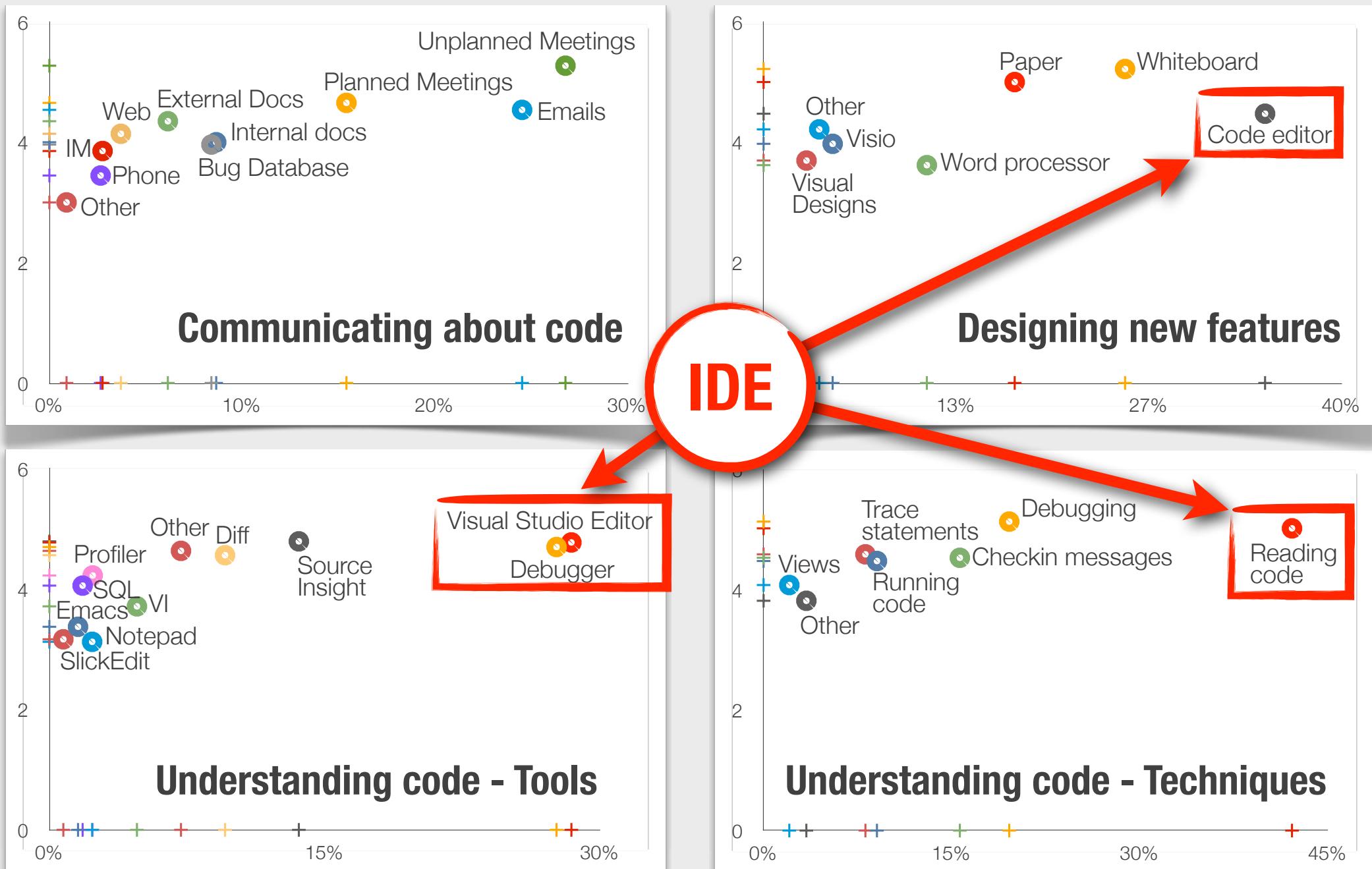


Separation from
actual development

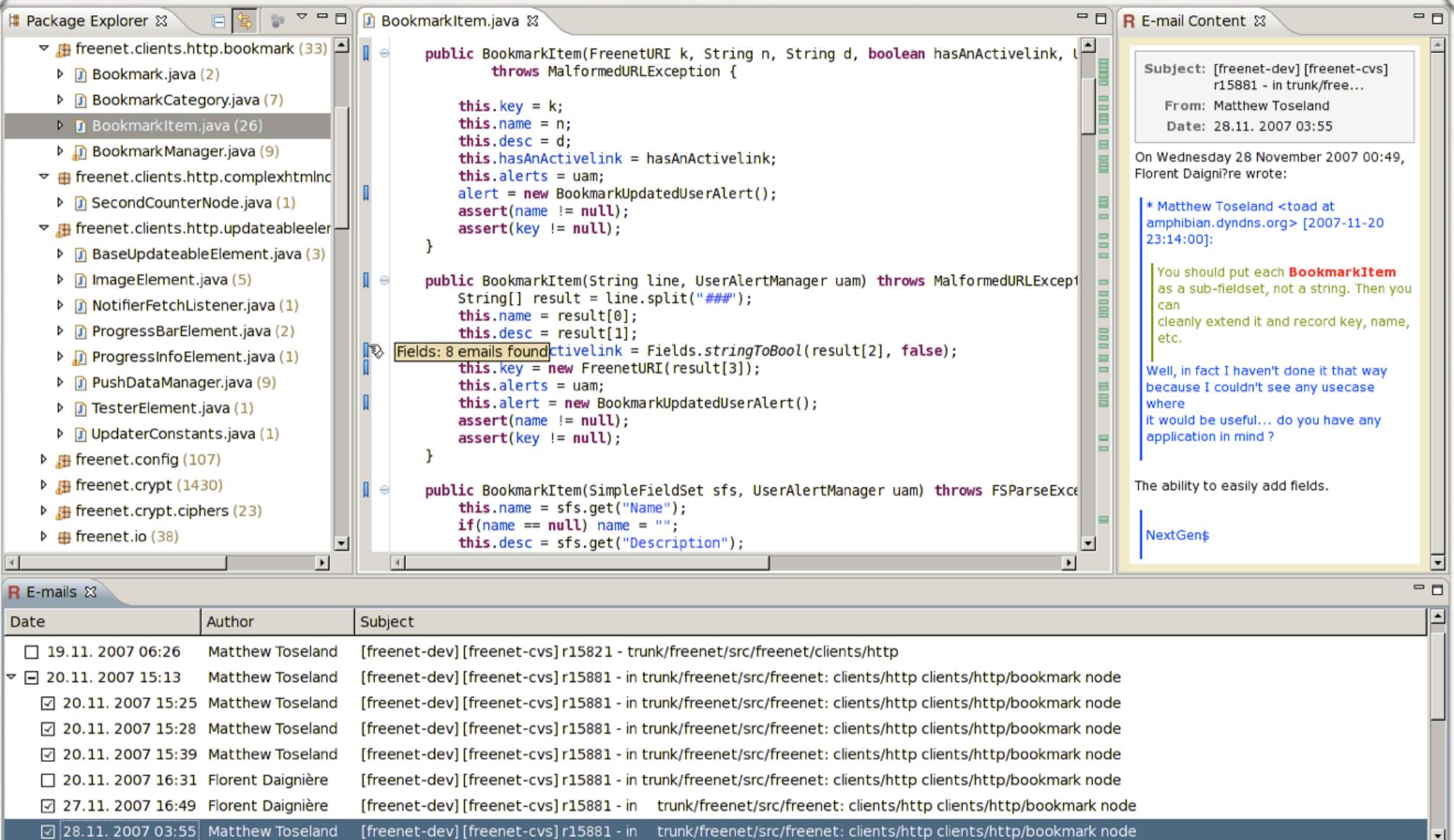
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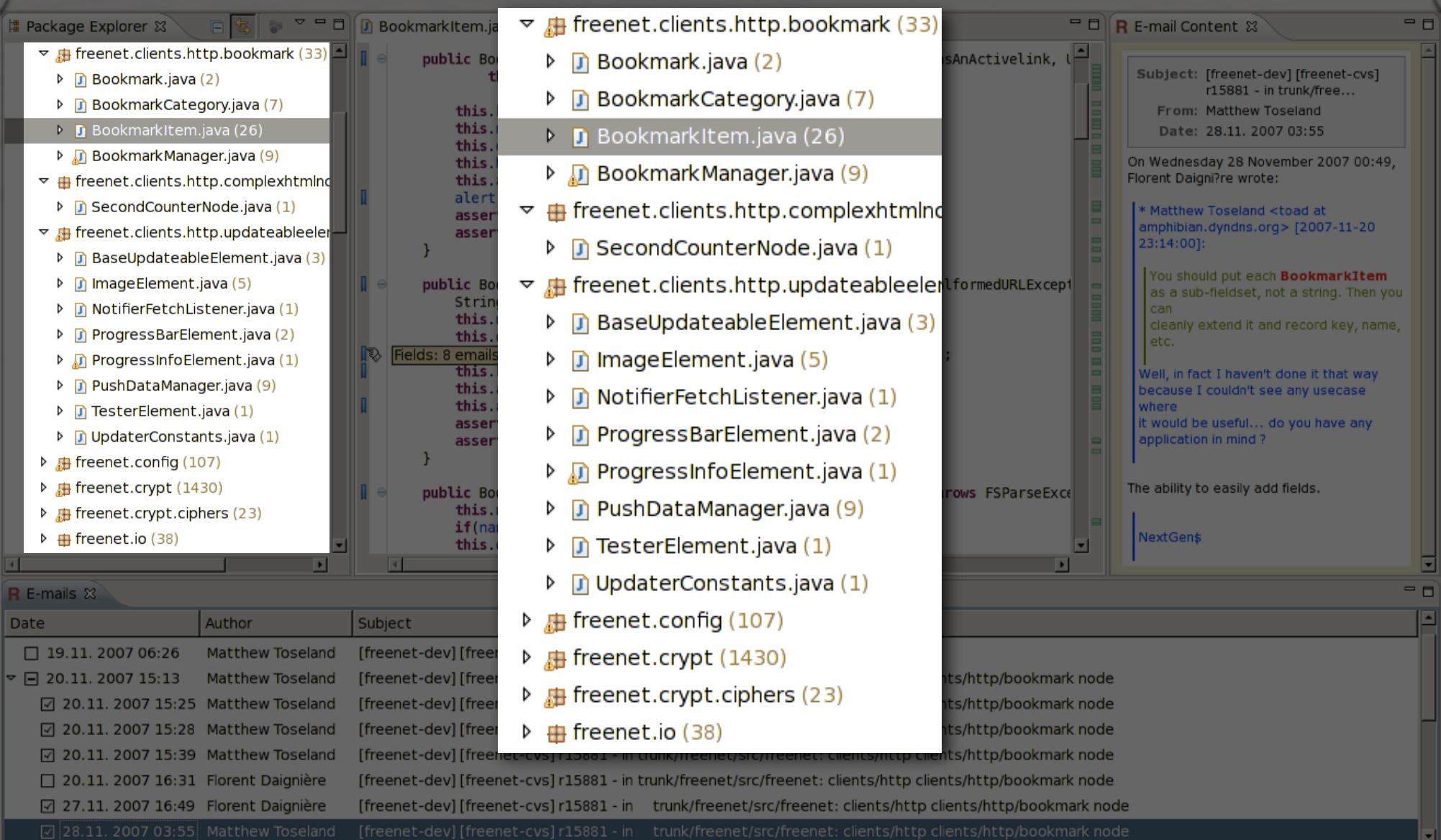
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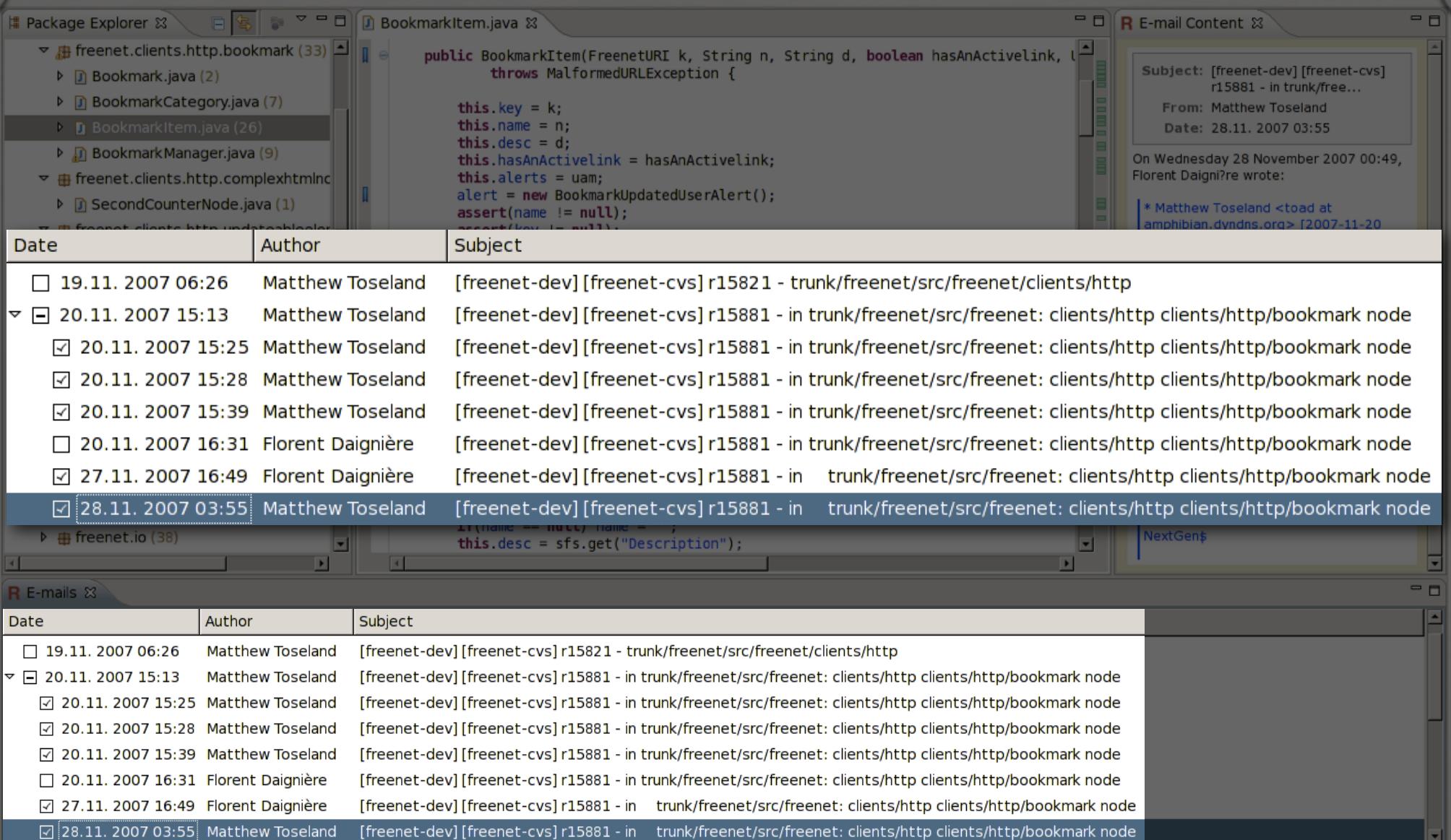
Integrating emails into the IDE



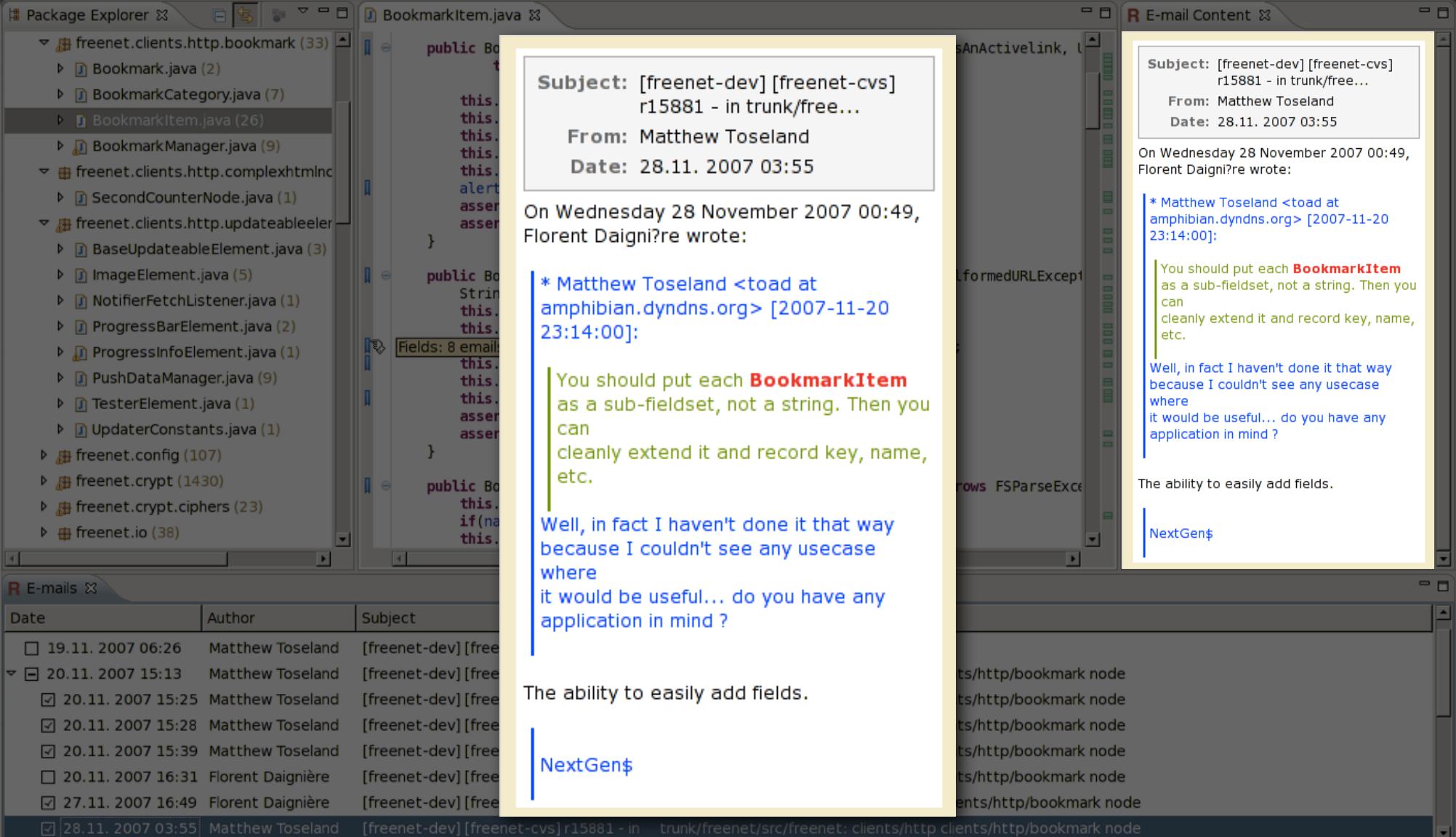
Integrating emails into the IDE



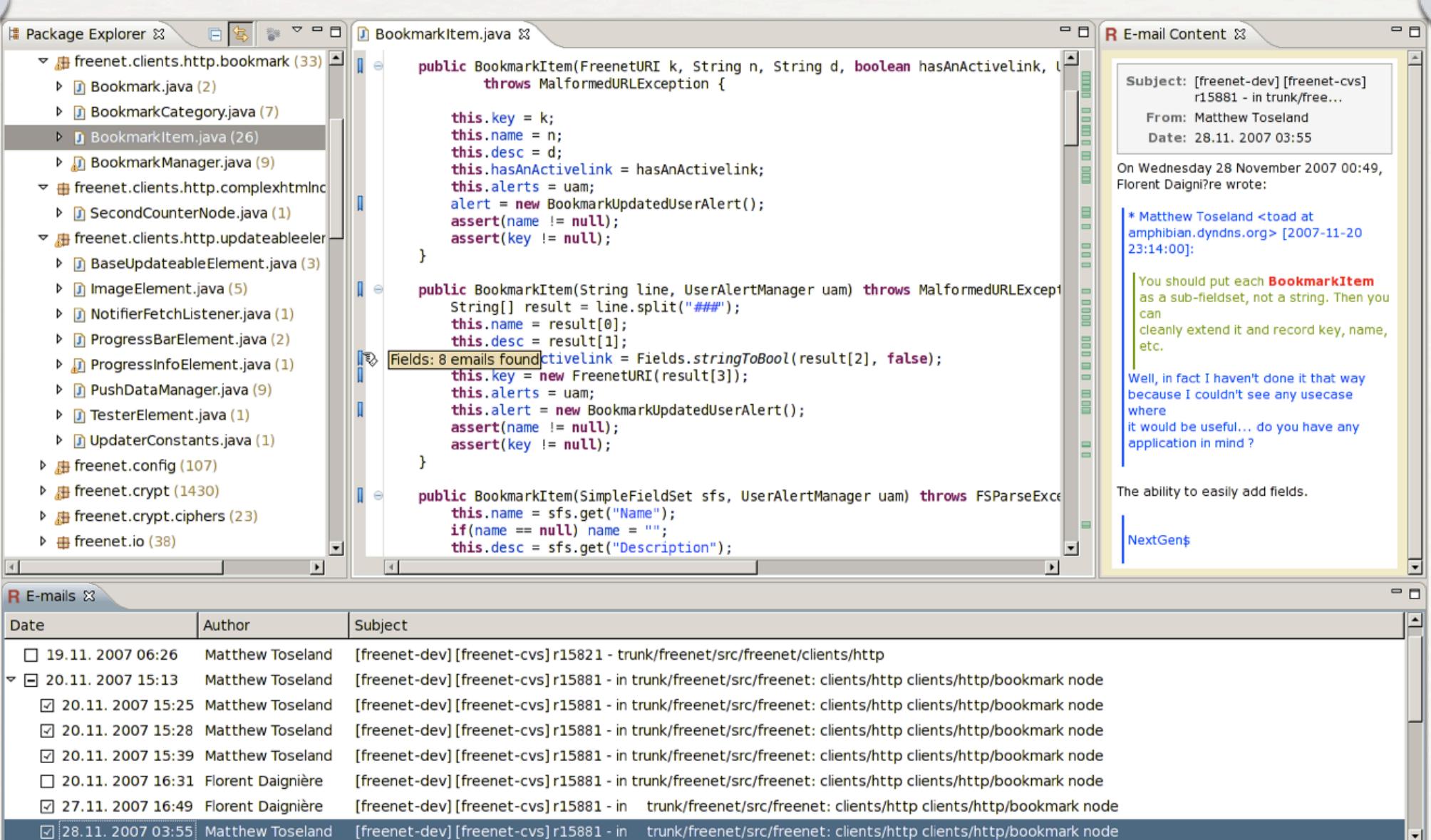
Integrating emails into the IDE



Integrating emails into the IDE



Integrating emails into the IDE



Using REMail for program comprehension

- ▶ Finding entry points in a software system
- ▶ Conducting evolution analysis
- ▶ Improving expert finding techniques
- ▶ Recovering additional documentation about systems' entities

Improving expert finding techniques



ExceptionMonitor



SCM Data



Revision	Date	Author
995,776	Oct 2010	elecharny
900,040	Jan 2010	elecharny
783,334	Jan 2009	elecharny
774,593	May 2009	elecharny
678,335	Jul 2008	mwebb
671,827	Jun 2008	jvermillard
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Improving expert finding techniques



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Experts:

Improving expert finding techniques



ExceptionMonitor



Email Data

Date	Author	Subject
<input checked="" type="checkbox"/> 16.06. 2006 19:24	John Preston	Addition of SocketConnector method to handle socks proxies.
► <input checked="" type="checkbox"/> 01.11. 2006 01:39	Hieu Phan Thanh	RE: [MINA 0.8.3] Could not stop listening on Port
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karasul

irving

Experts: elecharny mwebb jvermillard trustin

Recovering additional documentation



CircularQueue

“unbounded circular queue based on array.”

—**CircularQueue**, code comment

Recovering additional documentation



CircularQueue

“Even though ConcurrentLinkedQueue performs bad comparing to synchronized CircularQueue, the former is not only a comparable data structure, but it’s also thread safe, and tested. [We should] remove the CircularQueue from the code base.”

— About CircularQueue, [email thread](#)

Suggesting important email discussions

- ▶ Development emails are important, but since they come in sheer volume, it is easy to miss important discussions
- ▶ Ibrahim, et al. tackled this problem by
 - ▶ investigating which are the main factors that encourage developers to contribute to mailing lists
 - ▶ building models that identify discussion threads to which a developer should contribute

Analyzed factors categorized in 4 dimensions

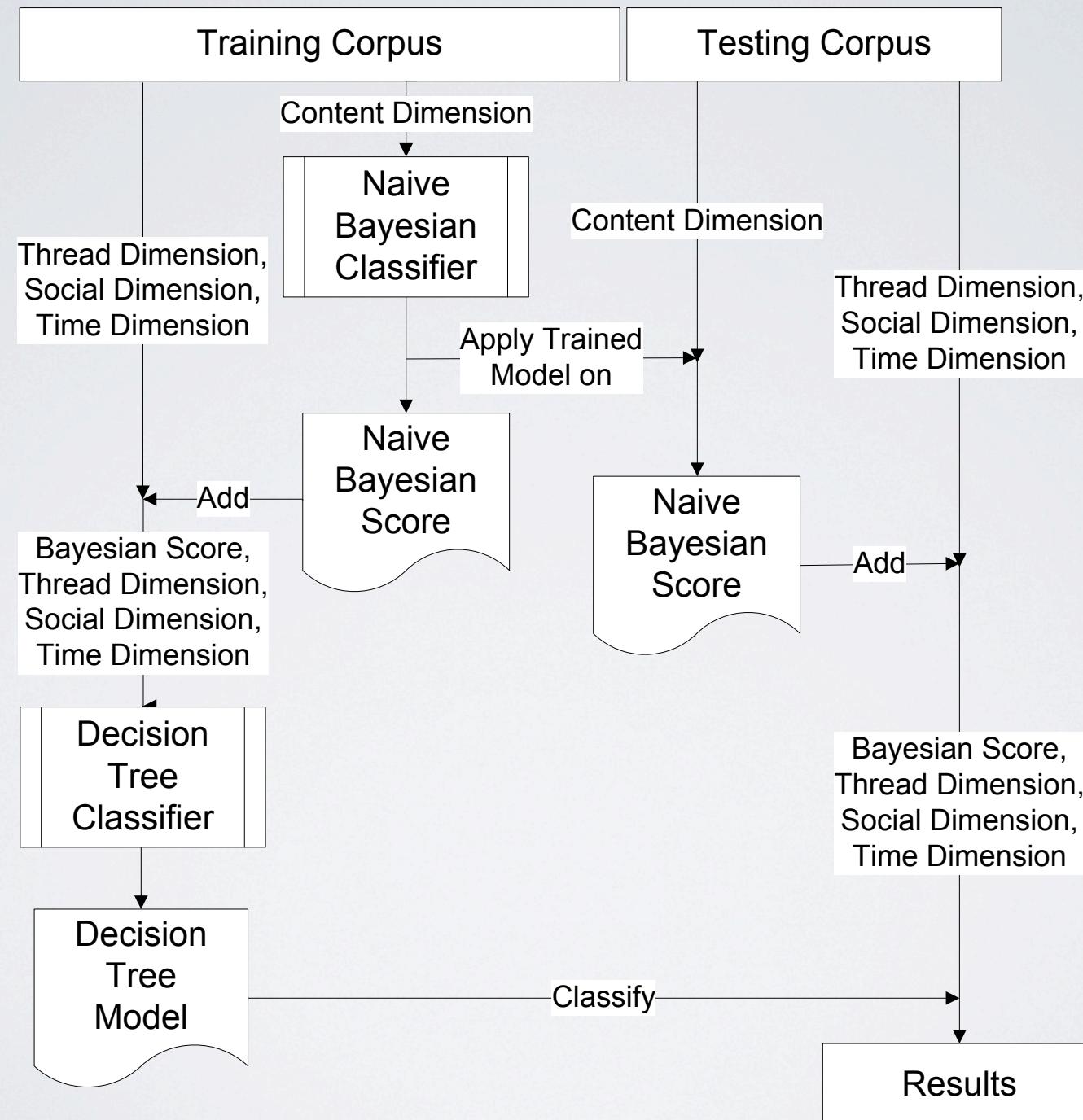
- ▶ Thread: length (#emails) and size (#words) of the thread
- ▶ Social: do I know the starter of the thread? And the last who posted? How many threads did I contribute to?
- ▶ Time: when the emails were posted to the thread
- ▶ Content: content of the thread

Analyzed factors categorized in 4 dimensions

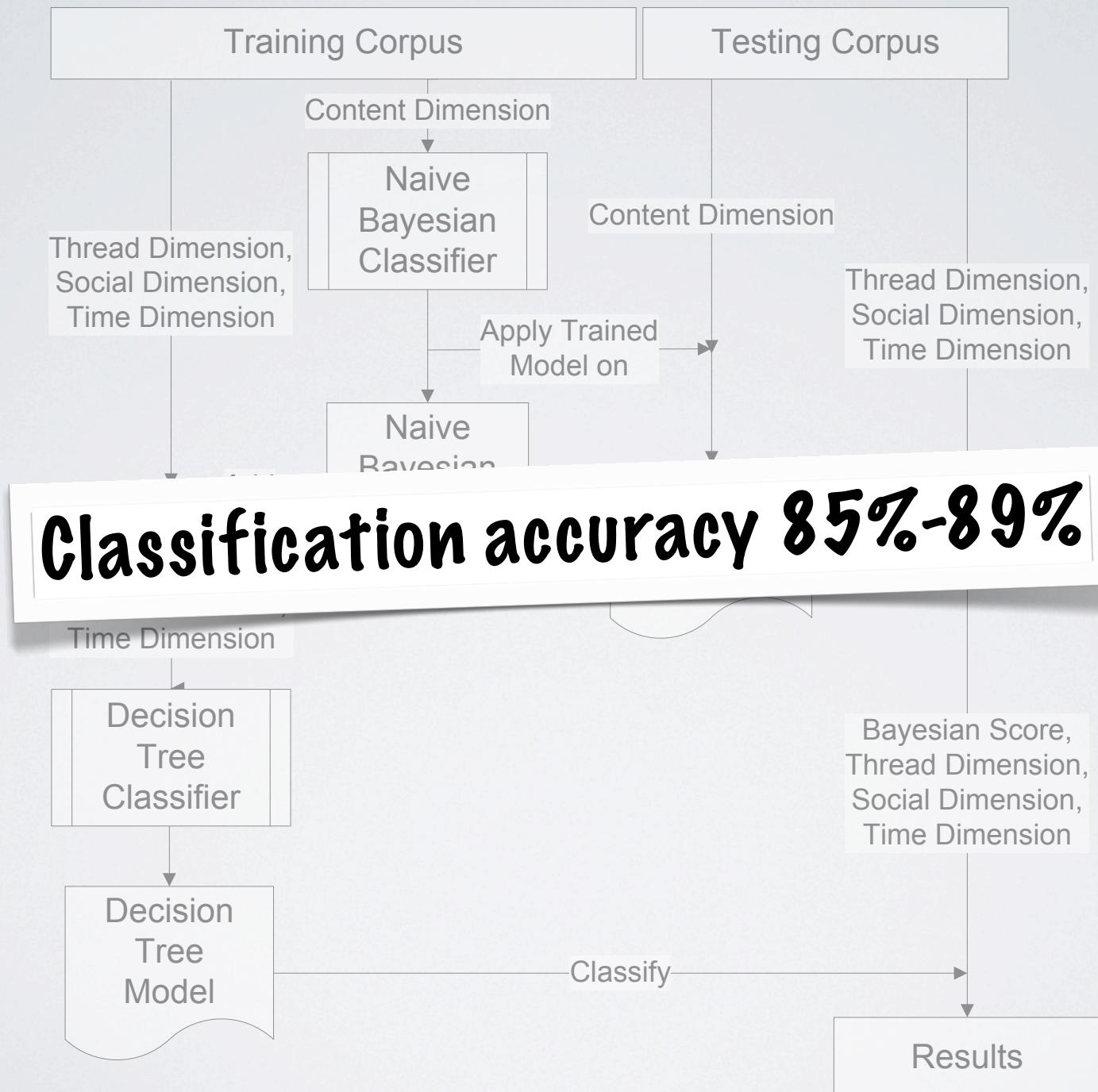
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Most important factors

Identifying relevant discussion threads



Identifying relevant discussion threads



Human factors

- ▶ Goal: Extract, measure and analyze communication and organizational information to
 - ▶ improve previous MSR techniques
 - ▶ support software maintenance and development
 - ▶ perform empirical studies

Defect prediction

Change analysis and prediction

Empirical studies

Expertise & bug triaging

Visual evolution analysis

Human factors

MSR Approaches
