A machine learning approach to battery cycle lifetime prediction and classification using early cycle data

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Abstract

This work applies machine learning algorithms to analyze early cycle data for 124 commercial lithium iron phosphate cells and predict the battery's cycle life. Principal component analysis (PCA) is conducted to search for redundant information which may simply be adding additional noise. We find that the first principal component is a good indicator of a cell's cycle life. We then develop three linear regression models; the best model predicts the cell's cycle life with a mean percent error of 30% using data from only the first 5 cycles. We also demonstrate a logistic regression model that is able to classify the cells into low- and high-lifetime groups with an accuracy of 90.3%, and a multiclass one-versus-rest logistic regression model which is able to classify the cells into 4 groups with an accuracy of 71%.

Introduction

Batteries have become an integral part of many industries today and are a crucial energy storage technology as we transition to distributed electricity generation from renewable resources. 1,2 Thus, many researchers have been developing methods to improve the performance of current battery technologies. One of the most time-intensive parts of battery research is battery diagnostics, since batteries need to be cycled thousands of times under various conditions in order to prove their robustness. Cell lifetimes are also predicted using physical and empirical models which can take months to develop, and are highly dependent on battery chemistries and degradation mechanisms.^{3,4} Thus, the ability to accurately predict the performance of a battery given the first hundred cycles has the power to greatly speed up the cell development process, as researchers would quickly be able to separate promising batteries from the rest. Such a model would also be extremely generalizable since it does not depend on battery chemistry or an understanding of complex degradation mechanisms. A recent paper by Severson, et al. has developed a powerful model which has been able to predict cell cycle lifetimes using data from the first 100 cycles⁵. This model was proven to be significantly more accurate than other models, with a mean percent error of 10.7%, for the most comprehensive model⁵. Our study will use the publicly available data provided by Severson, et al. and go one step further by developing a model that will predict the lifetime of batteries from just the first five cycles, as well as classify batteries into several groups using early cycle data.

Methods	_
This data set consists of 124 commercially available lithium	T
iron phosphate/ graphite cells which were cycled using varying	ir
fast-charging protocols but discharged using identical conditions. ⁵ The	fe
data were obtained from Severson et al. ⁵ We use the same features	

Category	Description	Number
	Minimum	0
	Mean	1
$\Delta Q(V)$ features	Variance	2
	Skewness	3
	Kurtosis	4
	Slope of the linear fit to the capacity fade curve	5
	Intercept of the linear fit to the capacity fade curve	6
Discharge features	Discharge capacity, cycle 2	7
	Difference between max discharge capacity and cycle 2	8
	Discharge capacity, cycle n	9
	Average charge time, first 5 cycles	10
	Maximum temperature, cycles 2 to n	11
	Minimum temperature, cycles 2 to n	12
Other features	Internal resistance, cycle 2	13
	Minimum internal resistance, cycles 2 to n	14
	Internal resistance, difference between cycle n and cycle 2	15

Table 1. Features used in this paper. n indicates that cycle n was used to compute the feature.

outlined in Supplementary Table 1 and Supplementary Note 1 of ref. 1; however, we note that the $\Delta Q(V=2V)$ feature and the temperature integral feature are omitted, since the authors did not detail how these features were computed. We also consider early cycle data, so two of these features are omitted since they do not have a meaningful analog when applied to the first several cycles¹. Consequently, our data set consists of 124 samples with 16 features each. The feature sets used in this paper are outlined in Table 1. These features were chosen by Severson et al. from domain knowledge of lithium-ion batteries since they depend on neither cell chemistry nor specific degradation mechanisms.⁵ The features are further divided into 3 categories: discharge voltage curve evolution ($\Delta Q(V)$) features, discharge capacity fade curve (discharge) features, and other features. Our work aims to use this early cycle data to accurately predict the cycle lifetime of cells as

well as classify the cells into groups based on their lifetime. To quantitatively predict cell lifetimes, a principal component analysis was performed on the data, and a linear regression model was fitted to the first several principal components. To classify cells, we present two models: First, a logistic regression model is used to classify the cells into low-lifetime and high-lifetime groups. Next, a one-versus-rest (OVR) scheme using four separate logistic regression models is used to classify the cells into four categories. The data are scaled and standardized, and for both the regression model and the classification models, the data are randomly split into a training set and test set (75/25 train/test split) prior to any analysis. These models were developed in python using scikit-learn machine learning library.⁶

Results and Discussion

Principal Component Regression

Since the data provided contained many features, we predicted that some of these features might be highly correlated or redundant with other features. In order to provide insight to the level of noise in the data, we conducted principle component analysis (PCA). Upon breaking the data into its principle components, we plotted the fraction of total variance captured by each principal component (fig. 1). As expected several of the columns seem to be quite derivative of the others. This redundancy can often amplify the noise in the data; thus, we drop the last couple of principal components in further analysis.

Next, we were curious if the first couple of principal components were good indicators of the longevity of a battery.

We thus plotted the principal components against each other to see if any of the batteries started to form individual clusters (fig. 2). Although the batteries did not appear to cluster, indicating no correlation between the principal components, we did notice that PC1 was effectively dividing the top tier batteries from the bottom tier batteries. Negative PC1 values consisted primarily of the bottom 50% of batteries while positive PC1 values consisted primarily of the top 50% of batteries life-cycles. This indicated that PC1 might capture certain variables in the data that are good predictors of the battery cycle-life.

0.35 - 0.30 - 0.30 - 0.25 - 0.25 - 0.25 - 0.05 - 0.05 - 0.05 - 0.00 - 0.1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16

Principle Component (PC) Number

Figure 1: Total Fractional Variance of Each PC

The fraction of total variance for each consecutive principal component decreases, as expected from singular value decomposition. The last few principal components contribute to very little variance in the data. These extra features can potentially add extra noise to the data. This noise can then lead to larger errors in model development.

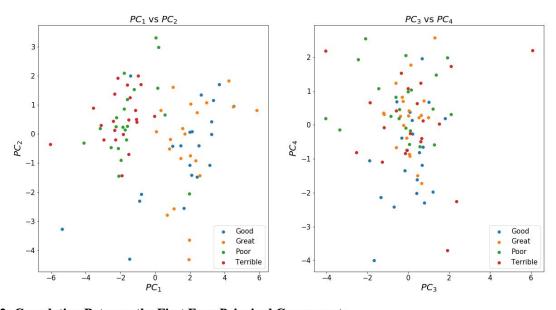


Figure 2: Correlation Between the First Four Principal Components

a) The scatterplot for PC1 vs. PC2 indicates that PC1 differentiates between the top and bottom 50% of battery cycle life. PC2 does not seem to cluster based on battery cycle life. b) The scatterplot for PC3 vs. PC4 indicates the lack of correlation between the two principal components.

To understand what features were heavily weighted in the first principle components, we plotted the weight of each feature on the first principle component (fig. 3). One key feature in PC1 was the variance of the discharge voltage curve evolution $var(\Delta Q(V))$. This aligns with the findings of Severson et al. Their simplest model (involving only the $var(\Delta Q(V))$ feature) was proven to be quite predictive of a cell's lifetime.

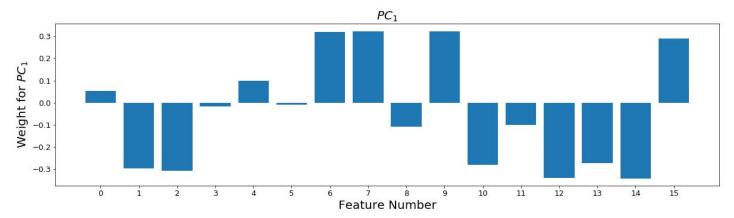


Figure 3: Contributions of Each Feature to PC1

The contribution of each feature to PC1 indicates that the $var(\Delta Q(V))$ and $mean(\Delta Q(V))$ are two important features in calculating the first principal component. We hypothesize that these features will have the greatest predictive power. A description of each feature number can be found in Table 1.

Understanding the physical intuitions, behind the different principle components, we created 3 distinct linear regression models. The first model used the first 4 principle components of the original data, as they explained the majority of the variance in the data set. The second model simply used and the third simply used them as their features. The root mean squared and percent errors were chosen as the statistics to evaluate the accuracy of our model (Eq. 1).

$$RMSE = \sqrt{\frac{1}{n}\Sigma(y_i - \widehat{y}_i)^2} \qquad \% \ err = \frac{1}{n}\Sigma\frac{|y_i - \widehat{y}_i|}{y_i} * 100 \qquad \text{Eq. 1}$$

A summary of the RMSE and percent errors for each model are shown in Table 2. The variance and mean of were shown to be the best features in predicting cell life-cycles. While the PCA model had the lowest train error, it had the highest testing error. This hinted that even with simply four features, the model was starting to overfit by a large amount. After comparing our results to the results presented by Severson et al. we noticed very similar trends in our analysis. Our model accuracy was consistently worse than the paper's but this is expected as we are only looking at data with the first 5 cycles. As we input data from more cycles in the model, the accuracy of the mode will increase as well. Thus, it is up to the user of these models to determine the optimal tradeoff between testing time and model accuracy.

	RMSE (cycles)		Percent Error (%)	
	Train	Test	Train	Test
PCA Model	320	470	23.3	37.5
Variance Model	344	452	30.3	30.0
Mean Model	352	446	34.1	30.0

Table 2: Performance Summary for Three Different Models

The RMSE and percent errors for each of the different models show similar trends as shown by Severson et al.

Classification

We also consider classification models to be used in scenarios in which predictions are required after fewer cycles, but prediction accuracy is less critical. To this end, we use the same features used to fit the principal component regression (PCR) model, but we compute these features using data from the first ten cycles. Data from the first 5 cycles and first 10 were tested, as Severson et al. indicate that the first 10 or fewer cycles can be considered "early cycles," and using data from the first 10 cycles proved to be more accurate. These results are summarized in Table 3. Two classification models are presented: a binary logistic regression model which classifies the batteries into low-lifetime and high-lifetime groups based on the 50th percentile (788 cycles) of the training data, and a multiclass OVR logistic regression model which classifies the batteries into four groups based on the 25th (495 cycles), 50th (788 cycles), and 75th percentile (966 cycles) of the training data. Bootstrap aggregating (bagging) using 25 base estimators is applied to both models to avoid overfitting and thus increase generalizability. A random forest model was also briefly considered for classification, but it exhibited severe overfitting was deemed unsuitable for this application.

	First 5 Cycles				First 10 Cycles			
- -	Binary		OVR		Binary		OVR	
- -	Train	Test	Train	Test	Train	Test	Train	Test
Variance	54.8%	61.3%	34.4%	12.9%	55.9%	48.4%	29.0%	22.6%
$\Delta Q(V)$	64.5%	67.7%	45.2%	38.7%	83.9%	77.4%	59.1%	58.1%
Discharge	78.5%	64.5%	53.8%	38.7%	86.0%	90.3%	63.4%	61.3%
Full	89.2%	83.2%	72.0%	48.4%	91.4%	90.3%	75.3%	71.0%

Table 3. Performance of the classification models when using different subsets of early-cycle data

Binary Classifier

The binary classifier performs poorly when fit to only the variance of $\Delta Q_{10\text{-}2}(V)$, as illustrated in fig. 4, with a test accuracy of 48.4% and an area under the receiver operator characteristic (ROC) curve (AUC) of 0.469. This is contrary to the results presented by Severson et al., as they presented a model that was able to obtain up to 97.5% test accuracy using variance of $\Delta Q_{5\text{-}4}(V)$ as the only feature. After analyzing their data, we found that they did not use a random split to separate the data into training and test sets, and in fact their secondary test set consisted of a disproportionately large number of high-lifetime cells. This may have artificially inflated their test accuracy.

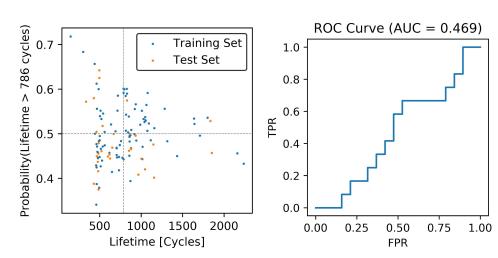


Figure 4. Performance of the binary classifier fitted to only the variance of $\Delta Q_{10-2}(V)$. (a) Decision boundary using a probability threshold of 0.5 and a lifetime cutoff of 786 cycles Data points in the upper left and lower right quadrants are misclassified. (b) ROC curve for this classifier.

However, the classifier performs much better when it considers the full set of features, as illustrated in fig. 5, with a test accuracy of 90.3% and an AUC of 0.939. This accuracy represents a misclassification of just 3 cells.

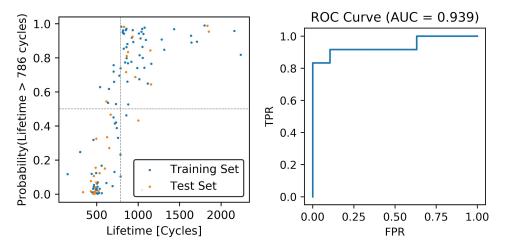


Figure 5. Performance of the binary classifier fitted to the full set of 16 features (a) Decision boundary using a probability threshold of 0.5 and a lifetime cutoff of 786 cycles Data points in the upper left and lower right quadrants are misclassified. (b) ROC curve for this classifier.

The test accuracy decreases when the model considers the full feature set, which suggests that the model is overfitting to the training data, so we suggest that the binary classifier only consider the $\Delta Q_{10-2}(V)$ features and discharge features. The performance of the model when fit to various feature sets is summarized in fig. 6.

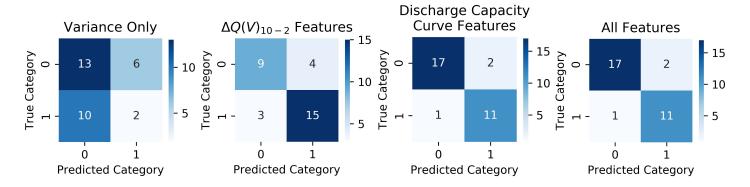


Figure 6. Confusion matrices for the binary classifier fitted to various feature sets

Multiclass Classifier

Similarly, the OVR classifier performs poorly when fit to only the variance of $\Delta Q_{10-2}(V)$, with a test accuracy of 22.6%. When fit to the full feature set, the classifier performs significantly better when fit to the full feature set, with a test accuracy of 71%. This represents a misclassification of just 9 cells, so we believe this classifier is a promising multiclass classifier if it can be fit to a larger data set. Further domain knowledge may also be required to determine if using quantiles is in fact an appropriate method for calculating the cutoffs between lifetime groups

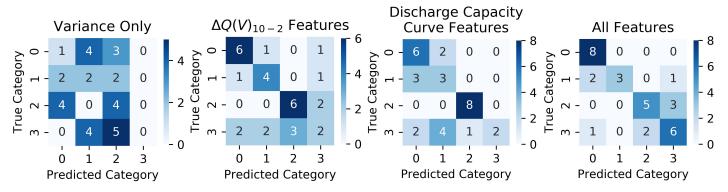


Figure 7. Confusion matrices for the OVR classifier fitted to various feature sets

Conclusion

We have demonstrated a principal component regression model which is able to quantitatively predict cell cycle lifetimes with a mean percent error of 30% using data from the first 5 cycles. We have also presented a binary classifier which classifies cells into low- high-lifetime groups with an accuracy of 90.3%, and a multiclass classifier which classifies cells into 4 groups with an accuracy of 71%. These models are a promising, highly generalizable method for predicting cycle lifetimes from commonly used early-cycle diagnostic data. However, this work was performed using a very limited data set, so further work should be done using a larger data set and different types of batteries to better assess the validity and utility of these models.

References

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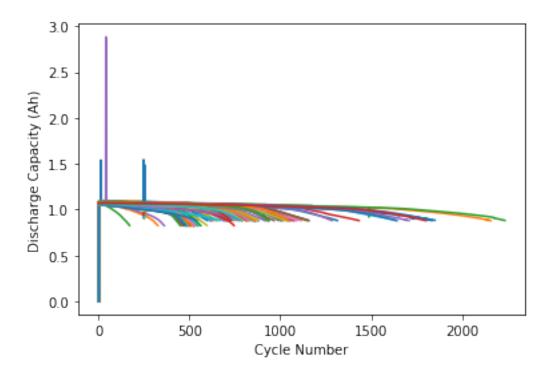
Data Processing

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1 Load Data

```
[1]: import numpy as np
     import matplotlib.pyplot as plt
     import pandas as pd
     from scipy import stats
     import pickle
[2]: batch1 = pickle.load(open(r'./Data/batch1.pkl', 'rb'))
     #remove batteries that do not reach 80% capacity
     del batch1['b1c8']
     del batch1['b1c10']
     del batch1['b1c12']
     del batch1['b1c13']
     del batch1['b1c22']
[3]: numBat1 = len(batch1.keys())
     numBat1
[3]: 41
[4]: batch2 = pickle.load(open(r'./Data/batch2.pkl','rb'))
[5]: # There are four cells from batch1 that carried into batch2, we'll remove the
     →data from batch2
     # and put it with the correct cell from batch1
     batch2_keys = ['b2c7', 'b2c8', 'b2c9', 'b2c15', 'b2c16']
     batch1_keys = ['b1c0', 'b1c1', 'b1c2', 'b1c3', 'b1c4']
     add_len = [662, 981, 1060, 208, 482];
[6]: for i, bk in enumerate(batch1_keys):
         batch1[bk]['cycle_life'] = batch1[bk]['cycle_life'] + add_len[i]
         for j in batch1[bk]['summary'].keys():
             if j == 'cycle':
                 batch1[bk]['summary'][j] = np.hstack((batch1[bk]['summary'][j],__
      →batch2[batch2_keys[i]]['summary'][j] + len(batch1[bk]['summary'][j])))
             else:
```

```
batch1[bk]['summary'][j] = np.hstack((batch1[bk]['summary'][j],__
       →batch2[batch2_keys[i]]['summary'][j]))
          last_cycle = len(batch1[bk]['cycles'].keys())
          for j, jk in enumerate(batch2[batch2 keys[i]]['cycles'].keys()):
              batch1[bk]['cycles'][str(last_cycle + j)] =
       →batch2[batch2_keys[i]]['cycles'][jk]
 [7]: del batch2['b2c7']
      del batch2['b2c8']
      del batch2['b2c9']
      del batch2['b2c15']
      del batch2['b2c16']
 [8]: numBat2 = len(batch2.keys())
      numBat2
 [8]: 43
 [9]: batch3 = pickle.load(open(r'./Data/batch3.pkl','rb'))
      # remove noisy channels from batch3
      del batch3['b3c37']
      del batch3['b3c2']
      del batch3['b3c23']
      del batch3['b3c32']
      del batch3['b3c38']
      del batch3['b3c39']
[10]: numBat3 = len(batch3.keys())
      numBat3
[10]: 40
[11]: numBat = numBat1 + numBat2 + numBat3
      numBat
[11]: 124
[12]: bat_dict = {**batch1, **batch2, **batch3}
[13]: for i in bat_dict.keys():
          plt.plot(bat_dict[i]['summary']['cycle'], bat_dict[i]['summary']['QD'])
      plt.xlabel('Cycle Number')
      plt.ylabel('Discharge Capacity (Ah)')
[13]: Text(0, 0.5, 'Discharge Capacity (Ah)')
```



1.0.1 Train and Test Split

If you are interested in using the same train/test split as the paper, use the indices specified below

```
[14]: test_ind = np.hstack((np.arange(0,(numBat1+numBat2),2),83))
train_ind = np.arange(1,(numBat1+numBat2-1),2)
secondary_test_ind = np.arange(numBat-numBat3,numBat);
```

2 EDA / Cleaning

```
[17]: cellid = 'b1c0'
    cell = bat_dict[cellid]
    cell_cycle = bat_dict[cellid]['cycles']
    cell_summary = bat_dict[cellid]['summary']

[18]: cell.keys()

[18]: dict_keys(['cycle_life', 'charge_policy', 'summary', 'cycles'])

[19]: summary_keys = list(cell_summary.keys())
    cycle_keys = list(cell_cycle['0'].keys())
    print(summary_keys)
```

```
print(cycle_keys)
```

```
['IR', 'QC', 'QD', 'Tavg', 'Tmin', 'Tmax', 'chargetime', 'cycle']
['I', 'Qc', 'Qd', 'Qdlin', 'T', 'Tdlin', 'V', 'dQdV', 't']
```

2.1 Linear Regression Features

2.1.1 ΔQ features

```
[20]: delq_mean = np.zeros(len(bat_dict))
    delq_min = np.zeros(len(bat_dict))
    delq_var = np.zeros(len(bat_dict))
    delq_skew = np.zeros(len(bat_dict))

delq_kurtosis = np.zeros(len(bat_dict))

for n, cell in enumerate(bat_dict.values()):
    cell = cell['cycles']
    delq_arr = cell['100']['Qdlin'] - cell['10']['Qdlin']
    delq_mean[n] = np.mean(delq_arr)
    delq_min[n] = np.min(delq_arr)
    delq_var[n] = np.var(delq_arr, ddof=1)
    delq_skew[n] = stats.skew(delq_arr)
    delq_kurtosis[n] = stats.kurtosis(delq_arr)
```

2.1.2 Discharge capacity fade curve features

```
[21]: slope cycle2 = np.zeros(len(bat dict))
      intercept_cycle2 = np.zeros(len(bat_dict))
      slope cycle91 = np.zeros(len(bat dict))
      intercept_cycle91 = np.zeros(len(bat_dict))
      qd_cycle2 = np.zeros(len(bat_dict))
      del_qd = np.zeros(len(bat_dict))
      qd_cycle100 = np.zeros(len(bat_dict))
      for n, cell in enumerate(bat_dict.values()):
          cell = cell['summary']
          x = np.arange(2,101)
          q = cell['QD'][2:101]
          slope_cycle2[n], intercept_cycle2[n], r_value, p_value, std_err = stats.
       →linregress(x, q)
          x = np.arange(91,101)
          q = cell['QD'][91:101]
          slope_cycle91[n], intercept_cycle91[n], r_value, p_value, std_err = stats.
       \rightarrowlinregress(x, q)
          qd_cycle2[n] = cell['QD'][2]
```

```
del_qd[n] = max(cell['QD']) - cell['QD'][2]
qd_cycle100[n] = cell['QD'][100]
```

2.1.3 Other Features

```
ir_cycle2 = np.zeros(len(bat_dict))
    chargetime = np.zeros(len(bat_dict))
    del_ir = np.zeros(len(bat_dict))
    ir_min = np.zeros(len(bat_dict))
    temp_min = np.zeros(len(bat_dict))

    temp_max = np.zeros(len(bat_dict))

for n, cell in enumerate(bat_dict.values()):
        cell = cell['summary']
        ir_cycle2[n] = cell['IR'][2]
        del_ir[n] = cell['IR'][100] - cell['IR'][2]
        ir_min[n] = min(cell['IR'][2:100])
        chargetime[n] = np.mean(cell['chargetime'][2:7])
        temp_min[n] = min(cell['Tmin'][2:100])

[23]: temp_integral = np.zeros(len(bat_dict))
```

```
temp_integral = np.zeros(len(bat_dict))
delq_2v = np.ones(len(bat_dict))
```

2.1.4 Build feature matrix

```
[24]: (20, 124)
```

```
[25]: feature_df = pd.DataFrame(all_features)
    feature_df.columns=bat_dict.keys()
    feature_df.head()
```

```
0 -2.050261 -2.045150 -1.986994 -1.703321 -1.837397 -1.583636 -1.414229
      1 - 2.553712 - 2.428027 - 2.383163 - 2.104748 - 2.202790 - 1.937981 - 1.791400
      2 -5.051092 -5.135342 -4.951448 -4.385913 -4.604345 -4.155398 -3.770848
      3 -0.138643 -0.499000 -0.359039 -0.322093 -0.456945 -0.849869 -0.405104
      4 -0.040960 0.016426 0.069572 0.050851 0.133910 0.076409 0.082792
             b1c7
                       b1c9
                                 b1c11 ...
                                               b3c33
                                                         b3c34
                                                                    b3c35
                                                                              b3c36 \
      0 -1.428857 -1.528095 -1.598897 ... -1.687756 -1.661724 -1.628372 -1.627409
      1 - 1.784616 - 1.913511 - 2.045593 ... -2.078782 - 2.013579 - 1.996052 - 1.989872
      2 -3.821400 -3.978210 -4.098637 ... -4.370155 -4.292966 -4.217803 -4.236271
      3 -0.510463 -0.337653 -0.217875 ... -0.415844 -0.778340 -0.528778 -0.657779
      4 \quad 0.046264 \quad 0.080953 \quad 0.073224 \quad ... \quad 0.015549 \quad 0.062758 \quad 0.057702 \quad 0.047144
            b3c40
                                            b3c43
                       b3c41
                                 b3c42
                                                      b3c44
                                                                 b3c45
      0 -1.655369 -1.608813 -2.233379 -1.699730 -1.584652 -1.771168
      1 -2.039456 -1.995593 -3.171143 -2.150195 -1.945603 -2.135138
      2 -4.309271 -4.198915 -4.384516 -4.151722 -4.132646 -4.504979
      3 -0.480042 -0.511520 0.281782 -0.477362 -0.665259 -0.493829
      4 0.022415 0.040280 0.437447 -0.283129 0.090173 0.069021
      [5 rows x 124 columns]
[26]: feature_df.to_csv('featurematrix_regression.csv')
```

b1c3

b1c4

b1c5

2.2 Classification Features

2.2.1 ΔQ Features

[25]:

b1c0

b1c1

b1c2

```
delq_mean = np.zeros(len(bat_dict))
  delq_min = np.zeros(len(bat_dict))
  delq_var = np.zeros(len(bat_dict))
  delq_skew = np.zeros(len(bat_dict))

delq_kurtosis = np.zeros(len(bat_dict))

for n, cell in enumerate(bat_dict.values()):
    cell = cell['cycles']
    delq_arr = cell['20']['Qdlin'] - cell['2']['Qdlin']
    delq_mean[n] = np.mean(delq_arr)
    delq_min[n] = np.min(delq_arr)
    delq_var[n] = np.var(delq_arr, ddof=1)
    delq_skew[n] = stats.skew(delq_arr)
    delq_kurtosis[n] = stats.kurtosis(delq_arr)
```

2.2.2 Discharge capacity fade curve features

2.2.3 Other Features

```
[39]: ir_cycle2 = np.zeros(len(bat_dict))
    chargetime = np.zeros(len(bat_dict))
    del_ir = np.zeros(len(bat_dict))
    ir_min = np.zeros(len(bat_dict))
    temp_min = np.zeros(len(bat_dict))

    temp_max = np.zeros(len(bat_dict))

for n, cell in enumerate(bat_dict.values()):
        cell = cell['summary']
        ir_cycle2[n] = cell['IR'][20]
        del_ir[n] = cell['IR'][20] - cell['IR'][2]
        ir_min[n] = min(cell['IR'][:21])
        chargetime[n] = np.mean(cell['chargetime'][:21])
        temp_min[n] = min(cell['Tmin'][:21])
        temp_max[n] = max(cell['Tmax'][:21])
```

2.2.4 Build and export feature matrix

```
[40]: delq_features = np.vstack((delq_min, delq_mean, delq_var, delq_skew, delq_kurtosis))

delq_features = np.log10(np.abs(delq_features))

discharge_features = np.vstack((slope_cycle2, intercept_cycle2, qd_cycle2, del_qd, qd_cycle5))
```

```
other_features = np.vstack((chargetime, temp_max, temp_min, ir_cycle2, ir_min,_
       →del_ir))
      all_features = np.vstack((delq_features, discharge_features, other_features))
      all_features.shape
[40]: (16, 124)
[41]: feature_df = pd.DataFrame(all_features)
      feature_df.columns=bat_dict.keys()
      feature df.head()
[41]:
             b1c0
                       b1c1
                                 b1c2
                                            b1c3
                                                      b1c4
                                                                b1c5
                                                                          b1c6 \
      0 -4.523449 -3.926048 -3.685959 -4.960902 -3.800357 -4.103946 -4.040327
      1 - 2.727409 - 2.614204 - 2.730973 - 2.615284 - 2.667526 - 2.597706 - 2.593257
      2 -5.536965 -5.329131 -5.352623 -5.169849 -5.335286 -5.566559 -5.492307
      3 \quad 0.475910 \quad 0.487980 \quad 0.574914 \quad 0.316841 \quad 0.171448 \quad -0.428845 \quad 0.161704
      4 1.050214 1.078159 1.216015 0.602496 0.171331 -0.839865 0.664793
             b1c7
                       b1c9
                                b1c11 ...
                                              b3c33
                                                        b3c34
                                                                  b3c35
                                                                            b3c36 \
      0 -4.838352 -4.251121 -3.711445 ... -4.330283 -3.729600 -4.230939 -4.666520
      1 - 2.769604 - 2.638674 - 2.699830 \dots - 2.829509 - 2.931252 - 2.945310 - 2.859951
      2 -5.837231 -5.485907 -5.515717 ... -5.699849 -5.969588 -5.800616 -5.840052
      3 0.219657 0.191106 0.057883 ... 0.350240 0.258793 0.414233 0.335193
      4 0.718708 0.467162 -0.130905 ... 0.770106 0.708900 0.869710 0.786164
            b3c40
                                b3c42
                      b3c41
                                           b3c43
                                                     b3c44
                                                               b3c45
      0 -5.226915 -4.021168 -4.006196 -3.805935 -5.007420 -5.415515
      1 -2.610444 -2.656759 -2.692621 -2.337513 -2.838654 -2.792955
      2 -5.354198 -5.377132 -5.466114 -4.465251 -5.981095 -5.649811
      3 0.226461 0.314611 0.230280 0.295607 0.041934 0.273017
      4 0.493240 0.651329 0.529454 0.456407 0.387399 0.570330
      [5 rows x 124 columns]
[42]: feature_df.to_csv('featurematrix_classification3.csv')
     2.3 Cell Lifetimes
[34]: lifetimes = np.zeros(len(bat_dict))
      for n, cell in enumerate(bat_dict.values()):
          lifetimes[n] = cell['cycle_life'].flatten()[0]
[35]: lifetime_df = pd.DataFrame(lifetimes).T
      lifetime_df.columns = bat_dict.keys()
      lifetime_df
```

```
[35]: b1c0 b1c1 b1c2 b1c3 b1c4 b1c5 b1c6 b1c7 b1c9 \
0 1852.0 2160.0 2237.0 1434.0 1709.0 1074.0 636.0 870.0 1054.0

b1c11 ... b3c33 b3c34 b3c35 b3c36 b3c40 b3c41 b3c42 b3c43 \
0 788.0 ... 1284.0 1158.0 1093.0 923.0 796.0 786.0 1642.0 1046.0

b3c44 b3c45
0 940.0 1801.0

[1 rows x 124 columns]

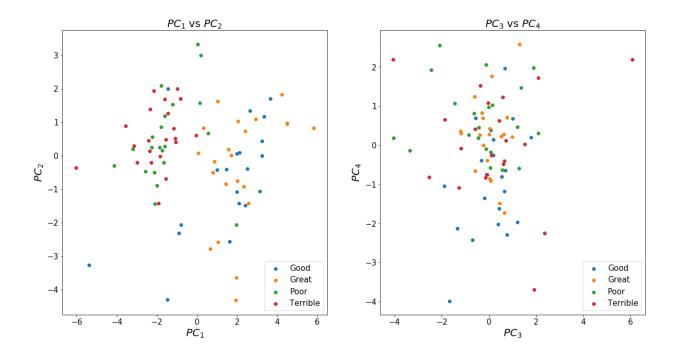
[]: lifetime_df.to_csv('lifetimematrix.csv')
```

Final Project Analysis

```
import numpy as np
In [1]:
        import pandas as pd
        import matplotlib.pyplot as plt
        import seaborn as sns
        from sklearn.decomposition import PCA
        from sklearn import linear model as lm
        from sklearn.linear model import Lasso, LassoCV
        from sklearn.pipeline import Pipeline
In [2]: # Importing Processed Data
        # Rows are samples, Columns are features
        Feature = pd.read csv('featurematrix classification.csv')
        Lifetime = pd.read csv('lifetimematrix.csv')
        X train = Feature.iloc[:,1:85].T
        X test = Feature.iloc[:,85:].T
        Y train = Lifetime.iloc[:,1:85].T
        Y test = Lifetime.iloc[:,85:].T
        # Standardizing Data
        X train = ((X train-X train.mean())/X train.std()).fillna(0)
        X test = ((X test-X test.mean())/X_test.std()).fillna(0)
In [3]: per 25 = np.percentile(Y train, 25)
        per 50 = np.percentile(Y train, 50)
        per 75 = np.percentile(Y train, 75)
        print('Terrible Batteries: # of cycles < %d' %(per 25))</pre>
        print('Poor Batteries: %d < # of cycles < %d' %(per 25, per 50))</pre>
        print('Good Batteries: %d < # of cycles < %d' %(per 50, per 75))</pre>
        print('Great Batteries: # of cycles > %d' %(per 75))
        Terrible Batteries: # of cycles < 480
        Poor Batteries: 480 < # of cycles < 547
        Good Batteries: 547 < # of cycles < 801
        Great Batteries: # of cycles > 801
```

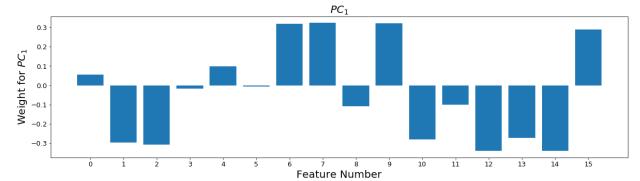
```
In [4]: classified = np.array([])
    for i in np.arange(Y_train.shape[0]):
        if Y_train.iloc[i,0] < per_25:
            classified = np.append(classified, 1)
        elif Y_train.iloc[i,0] < per_50:
            classified = np.append(classified, 2)
        elif Y_train.iloc[i,0] < per_75:
            classified = np.append(classified, 3)
        else:
            classified = np.append(classified, 4)
        classified = np.append(classified, columns=['Number'])</pre>
```

```
In [5]: # Performing PCA Analysis, and Plotting PC1 vs PC2
        u, s, vt = np.linalq.svd(X train, full matrices = False)
        P = u @ np.diag(s)
        first 4 pcs = pd.DataFrame(P[:,0:4], columns=['PC 1', 'PC 2', 'PC 3',
        'PC 4'])
        pcaDF = pd.concat([first 4 pcs, classified], axis=1)
        pcaDF = pcaDF.replace({'Number': {1: 'Terrible', 2: 'Poor', 3: 'Good',
        4: 'Great'}})
        # Plotting Solutions
        fig = plt.figure(figsize=(20, 10)) # Initialize Figure Size
        plt.subplot(1, 2, 1) # PC1 vs PC2
        groups = pcaDF.groupby("Number")
        for name, group in groups:
            plt.scatter(group["PC 1"], group["PC 2"], marker="o", label=name);
        plt.legend(loc="lower right", fontsize = 15);
        plt.title('$PC 1$ vs $PC 2$', fontsize=20);
        plt.xticks(fontsize=15);
        plt.yticks(fontsize=15);
        plt.xlabel('$PC 1$', fontsize=20)
        plt.ylabel('$PC 2$', fontsize=20)
        plt.subplot(1, 2, 2) # PC3 vs PC4
        for name, group in groups:
            plt.scatter(group["PC 3"], group["PC 4"], marker="o", label=name);
        plt.legend(loc="lower right", fontsize = 15);
        plt.title('$PC 3$ vs $PC 4$', fontsize=20);
        plt.xlabel('$PC_3$', fontsize=20);
        plt.ylabel('$PC 4$', fontsize=20);
        plt.xticks(fontsize=15);
        plt.yticks(fontsize=15);
        plt.savefig('PCA Analysis.png')
```



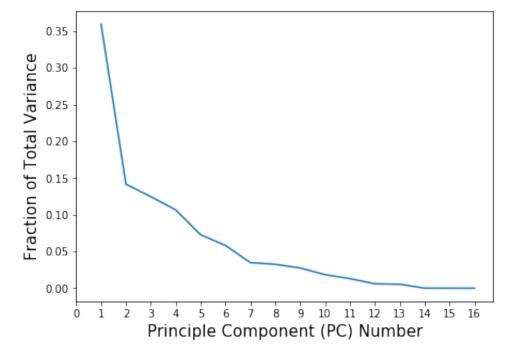
PC1 seems to be the best indicator of the quality of the batteries. PC1 seems to differentiate beetweeen top and botton tier batteries. PC3 and PC4 do not seem to be correlated, and are poor differentiators of the quality of the battery.

```
In [6]: # Contributions of each feature results on PC1
plt.figure(figsize=(20, 5))
plt.bar(X_train.columns, vt[0, :])
plt.xticks(X_train.columns, fontsize=13);
plt.yticks(fontsize=13);
plt.title('$PC_1$', fontsize=20);
plt.xlabel('Feature Number', fontsize=20);
plt.ylabel('Weight for $PC_1$', fontsize=20);
plt.savefig('PCA_Weights.png')
```



Important features include minimum, mean, variance, value at 2V, intercept, and difference between max

```
In [7]: plt.figure(figsize=(7, 5))
    plt.plot(np.arange(1,P.shape[1]+1), s**2 / sum(s**2));
    plt.xlabel('Principle Component (PC) Number', fontsize=15);
    plt.ylabel('Fraction of Total Variance', fontsize=15);
    plt.xticks(np.arange(17), fontsize=10);
    plt.yticks(fontsize=10);
    plt.savefig('Total_Variance.png')
```



Many of the features are redundant information and may be adding noise. We thus remove some PCs

```
In [8]: updated X train = pd.DataFrame(P[:,0:4], columns=['PC 1', 'PC 2', 'PC
        3', 'PC 4'])
        # Fitting PCA Model
        linear model = lm.LinearRegression(fit intercept=True)
        linear model.fit(updated X train, Y train)
        y fitted PC = linear model.predict(updated X train)
        predictions = pd.concat([Y train.reset index(), pd.DataFrame(y fitted
        PC, columns=['Prediction PCA'])],
                                axis=1).drop(columns=['index']).rename(columns
        ={0: 'Actual'})
        # Fitting Minimum Model
        min X train = X train.iloc[:,0:1]
        linear model.fit(min X train, Y train)
        y fitted min = linear model.predict(min X train)
        predictions = pd.concat([predictions, pd.DataFrame(y fitted min, colum
        ns=['Prediction Min'])], axis=1)
        # Fitting Mean Model
        mean X train = X train.iloc[:,1:2]
        linear model.fit(mean X train, Y train)
        y fitted mean = linear model.predict(mean X train)
        predictions = pd.concat([predictions, pd.DataFrame(y fitted mean, colu
        mns=['Prediction Mean'])], axis=1)
        # Fitting Var Model
        var X train = X train.iloc[:,2:3]
        linear model.fit(var X train, Y train)
        y fitted var = linear model.predict(var X train)
        predictions = pd.concat([predictions, pd.DataFrame(y fitted var, colum
        ns=['Prediction Var'])], axis=1)
        predictions
```

Out[8]:

	Actual	Prediction_PCA	Prediction_Min	Prediction_Mean	Prediction_Var
0	1852.0	708.452752	719.979797	687.491749	706.857706
1	2160.0	705.635007	692.115612	678.572147	677.750750
2	2237.0	704.894401	681.963947	706.713154	665.000561
3	1434.0	838.213840	672.688072	678.098768	659.163152
4	1709.0	807.203715	679.398015	691.272738	713.290582
79	462.0	599.754395	663.306219	767.193036	667.399796
80	457.0	542.535440	658.363161	650.363750	604.317061
81	487.0	555.274071	656.996922	636.084880	587.791450
82	429.0	612.643307	663.831292	669.951039	641.358228
83	713.0	582.379474	667.603085	681.581823	692.434874

84 rows × 5 columns

```
In [9]: # Finding RMSE and % error for each linear fit.
        def rmse(predicted, actual):
            return np.sqrt(np.mean((actual - predicted)**2))
        def per err(predicted, actual):
            return np.mean(np.abs(actual - predicted)/actual*100)
        errors = np.array([0])
        per_error = np.array([0])
        for i in np.arange(1, predictions.shape[1]):
            errors = np.append(errors, rmse(predictions.iloc[:,i], predictions
        .iloc[:,0]))
            per error = np.append(per error, per err(predictions.iloc[:,i], pr
        edictions.iloc[:,0]))
        comb errors = np.vstack((errors, per error))
        Error_Table = pd.DataFrame(comb_errors, columns=predictions.columns).r
        ename(index={0: 'RMSE', 1: 'Percent Error'})
        Error Table
```

Out[9]:

	Actual	Prediction_PCA	Prediction_Min	Prediction_Mean	Prediction_Var
RMSE	0.0	319.686179	359.312852	351.552979	344.455040
Percent Error	0.0	23.322014	37.772958	34.095084	30.300166

```
In [10]: # Performing Same analysis on Test Data
         # Performing PCA Analysis, and Plotting PC1 vs PC2
         u, s, vt = np.linalg.svd(X test, full matrices = False)
         P = u @ np.diag(s)
         updated X test = pd.DataFrame(P[:,0:4], columns=['PC 1', 'PC 2', 'PC 3
         ', 'PC 4'])
         # Fitting PCA Model
         linear model = lm.LinearRegression(fit intercept=True)
         linear model.fit(updated X train, Y train)
         y fitted PC = linear model.predict(updated X test)
         predictions = pd.concat([Y test.reset index(), pd.DataFrame(y fitted P
         C, columns=['Prediction PCA'])],
                                 axis=1).drop(columns=['index']).rename(columns
         ={0: 'Actual'})
         # Fitting Minimum Model
         min X test = X test.iloc[:,0:1]
         linear model.fit(min X train, Y train)
         y fitted min = linear model.predict(min X test)
         predictions = pd.concat([predictions, pd.DataFrame(y fitted min, colum
         ns=['Prediction Min'])], axis=1)
         # Fitting Mean Model
         mean X test = X test.iloc[:,1:2]
         linear model.fit(mean X train, Y train)
         y fitted mean = linear model.predict(mean X test)
         predictions = pd.concat([predictions, pd.DataFrame(y fitted mean, colu
         mns=['Prediction Mean'])], axis=1)
         # Fitting Var Model
         var X test = X test.iloc[:,2:3]
         linear model.fit(var X train, Y train)
         y fitted var = linear model.predict(var X test)
         predictions = pd.concat([predictions, pd.DataFrame(y fitted var, colum
         ns=['Prediction Var'])], axis=1)
         errors = np.array([0])
         per error = np.array([0])
         for i in np.arange(1, predictions.shape[1]):
             errors = np.append(errors, rmse(predictions.iloc[:,i], predictions
         .iloc[:,0]))
             per error = np.append(per error, per err(predictions.iloc[:,i], pr
         edictions.iloc[:,0]))
         comb errors = np.vstack((errors, per error))
         Error_Table = pd.DataFrame(comb_errors, columns=predictions.columns).r
         ename(index={0: 'RMSE', 1: 'Percent Error'})
         Error Table
```

Out[10]:

	Actual	Prediction_PCA	Prediction_Min	Prediction_Mean	Prediction_Var
RMSE	0.0	470.470354	438.501156	446.348893	451.673564
Percent Error	0.0	37.527539	28.947113	29.963096	30.004618

In []:

Logistic Regression Classifier

May 10, 2020

```
import numpy as np
import pandas as pd
import matplotlib.pyplot as plt
from scipy import stats
import seaborn as sns
from sklearn.linear_model import LogisticRegression
from sklearn.linear_model import LogisticRegressionCV
from sklearn.multiclass import OneVsRestClassifier
from sklearn import metrics
from sklearn import model_selection
from sklearn import preprocessing
from sklearn.decomposition import PCA
from sklearn.ensemble import BaggingClassifier
```

1 Load Data

```
[2]: # load feature matrix
     feature_df = pd.read_csv('../data/featurematrix_classification2.csv')
     feature df.drop(columns='Unnamed: 0', inplace=True)
     # feature_df = feature_df.drop([5,16]).reset_index(drop=True)
     feature_df.head()
[2]:
            b1c0
                      b1c1
                                b1c2
                                          b1c3
                                                    b1c4
                                                              b1c5
                                                                         b1c6
     0 -4.181804 -3.969042 -2.788997 -4.700016 -4.132990 -3.905395 -4.466846
     1 - 2.584744 - 2.540101 - 2.697642 - 2.572648 - 2.527145 - 2.452704 - 2.438709
     2 -5.514008 -5.519434 -5.647117 -5.553178 -5.307388 -5.250376 -5.173391
     3 0.207469 -0.149255 -0.407511 -0.504164 0.055138 -0.295200
     4 0.770794 0.457356 0.370178 -0.298181 0.157237 -0.434155
                                                                    0.665555
            b1c7
                      b1c9
                               b1c11 ...
                                            b3c33
                                                      b3c34
                                                                b3c35
                                                                           b3c36 \
     0 -4.970406 -3.884769 -3.948446 ... -4.045138 -3.987800 -5.067957 -4.643561
     1 - 2.730548 - 2.442842 - 2.425090 ... - 2.531871 - 2.639872 - 2.657420 - 2.534023
     2 -5.874992 -5.128251 -4.781530 ... -4.983046 -5.217281 -5.163107 -4.986688
     3 -0.163842 0.077411 0.405436 ... 0.410522 0.419143 0.452853 0.445606
     4 0.428794 0.325888 0.850656 ... 0.837771 0.884494 0.929203 0.930771
```

```
0 -4.675587 -4.547703 -3.900646 -2.415616 -4.571223 -5.265527
    1 - 2.347406 - 2.421160 - 2.535104 - 4.090369 - 2.594459 - 2.581789
    2 -4.734173 -4.722736 -5.167258 -5.719654 -5.365331 -5.094440
    3 0.341317 0.363618 0.266625 -0.138596 0.263355 0.413564
    4 0.733024 0.724385 0.640506 -1.321118 0.664487 0.861365
    [5 rows x 124 columns]
[3]: # load lifetime matrix
    lifetime_df = pd.read_csv('../data/lifetimematrix.csv')
    lifetime_df.drop(columns='Unnamed: 0', inplace=True)
    lifetime_df
[3]:
         b1c0
                 b1c1
                         b1c2
                                 b1c3
                                         b1c4
                                                 b1c5
                                                        b1c6
                                                               b1c7
                                                                       b1c9 \
    0 1852.0 2160.0 2237.0 1434.0 1709.0 1074.0 636.0
                                                              870.0 1054.0
                                  b3c35 b3c36 b3c40 b3c41
       b1c11 ...
                  b3c33
                          b3c34
                                                               b3c42
                                                                       b3c43 \
    0 788.0 ... 1284.0 1158.0 1093.0 923.0 796.0 786.0
                                                              1642.0 1046.0
       b3c44
              b3c45
    0 940.0 1801.0
    [1 rows x 124 columns]
[4]: # convert data to numpy arrays/matrices
    features = np.array(feature_df).T
    lifetimes = np.array(lifetime_df).flatten()
[5]: features.shape, lifetimes.shape
[5]: ((124, 16), (124,))
[6]: # standardize data
    features = preprocessing.scale(features, axis=0)
```

b3c43

b3c44

b3c45

b3c40

b3c41

b3c42

2 Test/Train Split

```
[7]: # 75/25 train/test split

xtrain, xtest, ytrain, ytest = model_selection.train_test_split(features, u)

→lifetimes, test_size=0.25)

[8]: # # test/train indices specified in the original paper

# train_idx = np.arange(84)

# test_idx = np.arange(84, 124)

[9]: # xtrain, ytrain = features[train_idx,:], lifetimes[train_idx]

# xtest, ytest = features[test_idx,:], lifetimes[test_idx]

[10]: xtrain.shape, ytrain.shape, xtest.shape, ytest.shape

[10]: ((93, 16), (93,), (31, 16), (31,))
```

3 Feature Sets

4 Lifetime Classification Encoder

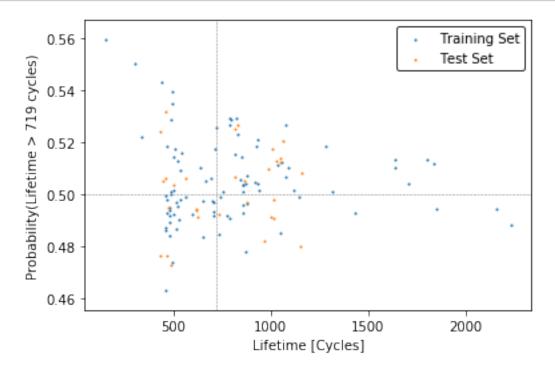
```
[14]: # Classifies batteries into lifetime categories based on the specified number
      \rightarrow thresholds
      def classify_lifetimes(yvals, thresholds):
          thresh = np.array(thresholds).flatten()
          thresh = np.append(thresh, max(yvals))
          classes = np.array([])
          for y in yvals:
              for i in range(len(thresh)):
                  if y <= thresh[i]:</pre>
                      classes = np.append(classes, i)
                      break
          return classes
      # Calculates the cutoffs for a given number of quantiles
      def get_percentiles(yvals, n):
          percentiles = np.arange(0, 1, 1/n)*100
          percentiles = percentiles[1:]
          return np.percentile(yvals, percentiles)
```

5 Binary Logistic Regression Model

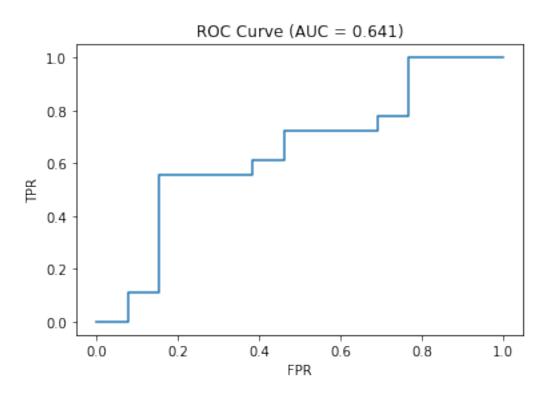
[15]: xtrain_curr, xtest_curr = xtrain_var, xtest_var

5.1 Variance Only

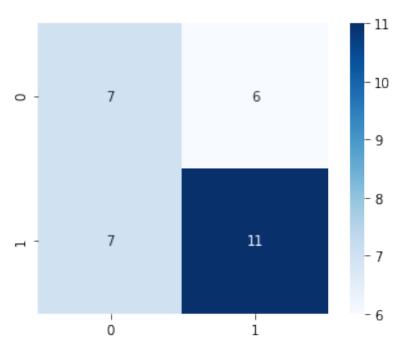
```
threshold = get_percentiles(ytrain, 2)
      ytrain_class = classify_lifetimes(ytrain, threshold)
      ytest_class = classify_lifetimes(ytest, threshold)
      print(f'Lifetime Cutoff: {threshold}\n')
      model = BaggingClassifier(LogisticRegression(), n_estimators=25, oob_score=True)
      model.fit(xtrain_curr, ytrain_class)
      ytrain pred = model.predict(xtrain curr)
      ytrain_score = model.predict_proba(xtrain_curr)[:,1]
      ytest pred = model.predict(xtest curr)
      ytest_score = model.predict_proba(xtest_curr)[:,1]
      acc_var_train = metrics.accuracy_score(ytrain_class, ytrain_pred)
      acc_var = metrics.accuracy_score(ytest_class, ytest_pred)
      conf_var_train = metrics.confusion_matrix(ytrain_class, ytrain_pred)
      conf_var = metrics.confusion_matrix(ytest_class, ytest_pred)
      auc_var_train = metrics.roc_auc_score(ytrain_class, ytrain_score)
      auc_var = metrics.roc_auc_score(ytest_class, ytest_score)
      print(f'Training Accuracy: {acc_var_train}')
      print(f'Test Accuracy: {acc_var}')
      print(f'Training AUC: {auc_var_train}')
      print(f'Test AUC {auc_var}')
     Lifetime Cutoff: [719.]
     Training Accuracy: 0.6344086021505376
     Test Accuracy: 0.5806451612903226
     Training AUC: 0.5781683626271971
     Test AUC 0.641025641025641
[16]: \# fiq, ax = plt.subplots(fiqsize=(3,3), dpi=600)
      fig,ax = plt.subplots()
      ax.scatter(ytrain, ytrain_score, s=1, label='Training Set')
      ax.scatter(ytest, ytest_score, s=1, label='Test Set')
      ax.axhline(0.5, c='grey', ls='--', lw=0.5)
      ax.axvline(int(threshold[0]), c='grey', ls='--', lw=0.5)
      ax.set_xlabel('Lifetime [Cycles]')
      ax.set_ylabel(f'Probability(Lifetime > {int(threshold[0])} cycles)')
      ax.legend(edgecolor='k');
```



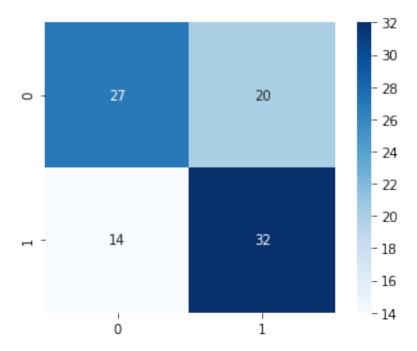
```
[17]: fpr, tpr, thresholds = metrics.roc_curve(ytest_class, ytest_score)
# fig, ax = plt.subplots(figsize=(3,3), dpi=600)
fig, ax = plt.subplots()
ax.plot(fpr, tpr)
ax.set_title(f'ROC Curve (AUC = {np.round(auc_var, 3)})')
ax.set_xlabel('FPR')
ax.set_ylabel('TPR');
# plt.savefig('../figures/set2_roc_var.png', bbox_inches='tight')
```





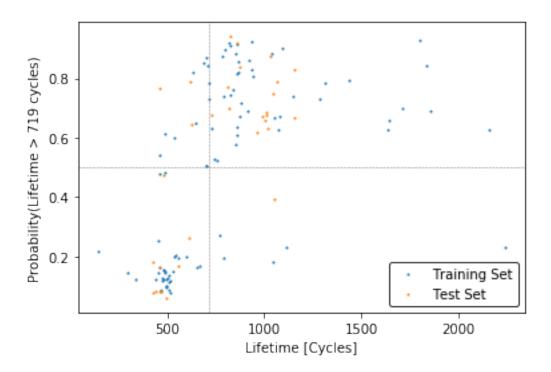


[19]: fig, ax = plt.subplots()
sns.heatmap(conf_var_train, annot=True, square=True, cmap='Blues', ax=ax);

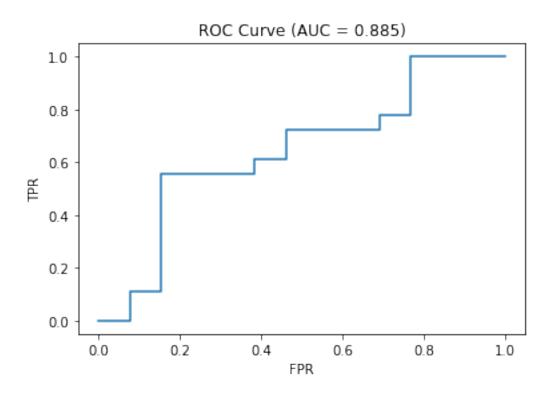


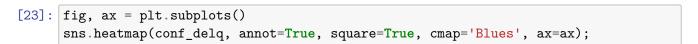
5.2 $\Delta Q(V)$ Features

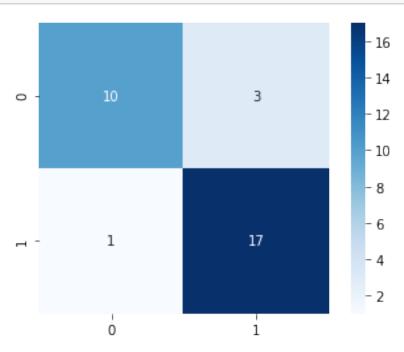
```
[20]: xtrain_curr, xtest_curr = xtrain_delq, xtest_delq
      threshold = get_percentiles(ytrain, 2)
      ytrain_class = classify_lifetimes(ytrain, threshold)
      ytest_class = classify_lifetimes(ytest, threshold)
      print(f'Lifetime Cutoff: {threshold}\n')
      model = BaggingClassifier(LogisticRegression(), n_estimators=25, oob_score=True)
      model.fit(xtrain_curr, ytrain_class)
      ytrain_pred = model.predict(xtrain_curr)
      ytrain_score = model.predict_proba(xtrain_curr)[:,1]
      ytest_pred = model.predict(xtest_curr)
      ytest_score = model.predict_proba(xtest_curr)[:,1]
      acc_delq_train = metrics.accuracy_score(ytrain_class, ytrain_pred)
      acc delg = metrics.accuracy score(ytest class, ytest pred)
      conf_delq_train = metrics.confusion_matrix(ytrain_class, ytrain_pred)
      conf_delq = metrics.confusion_matrix(ytest_class, ytest_pred)
      auc_delq_train = metrics.roc_auc_score(ytrain_class, ytrain_score)
      auc_delq = metrics.roc_auc_score(ytest_class, ytest_score)
      print(f'Training Accuracy: {acc_delq_train}')
      print(f'Test Accuracy: {acc_delq}')
      print(f'Training AUC: {auc_delq_train}')
      print(f'Test AUC {auc_delq}')
     Lifetime Cutoff: [719.]
     Training Accuracy: 0.8172043010752689
     Test Accuracy: 0.8709677419354839
     Training AUC: 0.8802035152636448
     Test AUC 0.8846153846153846
[21]: \# fig, ax = plt.subplots(figsize=(3,3), dpi=600)
      fig,ax = plt.subplots()
      ax.scatter(ytrain, ytrain_score, s=1, label='Training Set')
      ax.scatter(ytest, ytest_score, s=1, label='Test Set')
      ax.axhline(0.5, c='grey', ls='--', lw=0.5)
      ax.axvline(int(threshold[0]), c='grey', ls='--', lw=0.5)
      ax.set_xlabel('Lifetime [Cycles]')
      ax.set_ylabel(f'Probability(Lifetime > {int(threshold[0])} cycles)')
      ax.legend(edgecolor='k');
      # plt.savefiq('../figures/set2 decision_delq.pnq', bbox_inches='tight')
```



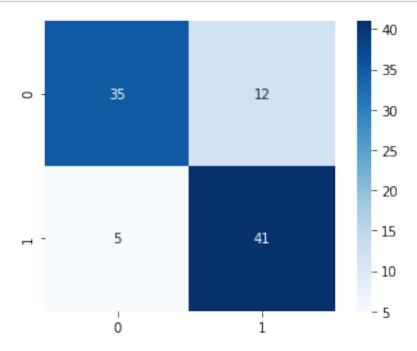
```
[22]: # fpr, tpr, thresholds = metrics.roc_curve(ytest_class, ytest_score)
# fig, ax = plt.subplots(figsize=(3,3), dpi=600)
fig, ax = plt.subplots()
ax.plot(fpr, tpr)
ax.set_title(f'ROC Curve (AUC = {np.round(auc_delq, 3)})')
ax.set_xlabel('FPR')
ax.set_ylabel('TPR');
# plt.savefig('../figures/set2_roc_delq.png', bbox_inches='tight')
```





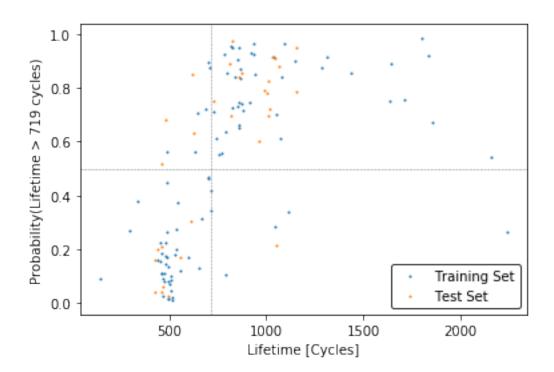


[24]: fig, ax = plt.subplots()
sns.heatmap(conf_delq_train, annot=True, square=True, cmap='Blues', ax=ax);

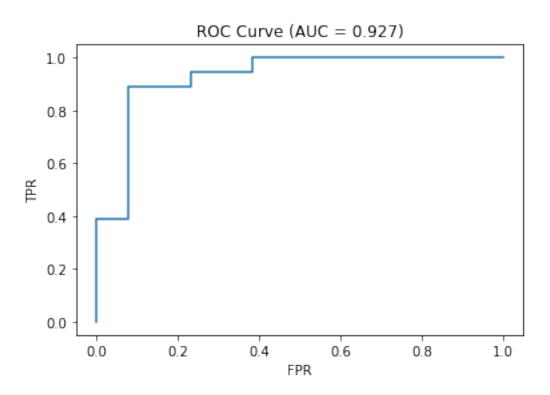


5.3 Discharge Curve Features

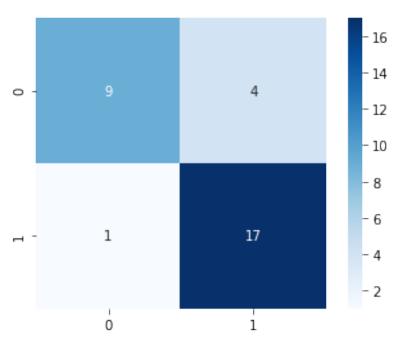
```
[25]: xtrain_curr, xtest_curr = xtrain_fade, xtest_fade
      threshold = get_percentiles(ytrain, 2)
      ytrain_class = classify_lifetimes(ytrain, threshold)
      ytest_class = classify_lifetimes(ytest, threshold)
      print(f'Lifetime Cutoff: {threshold}\n')
      model = BaggingClassifier(LogisticRegression(), n_estimators=25, oob_score=True)
      model.fit(xtrain_curr, ytrain_class)
      ytrain pred = model.predict(xtrain curr)
      ytrain_score = model.predict_proba(xtrain_curr)[:,1]
      ytest_pred = model.predict(xtest_curr)
      ytest_score = model.predict_proba(xtest_curr)[:,1]
      acc_fade_train = metrics.accuracy_score(ytrain_class, ytrain_pred)
      acc_fade = metrics.accuracy_score(ytest_class, ytest_pred)
      conf fade train = metrics.confusion_matrix(ytrain_class, ytrain_pred)
      conf_fade = metrics.confusion_matrix(ytest_class, ytest_pred)
      auc_fade_train = metrics.roc_auc_score(ytrain_class, ytrain_score)
      auc_fade = metrics.roc_auc_score(ytest_class, ytest_score)
      print(f'Training Accuracy: {acc_fade_train}')
      print(f'Test Accuracy: {acc fade}')
      print(f'Training AUC: {auc_fade_train}')
      print(f'Test AUC {auc_fade}')
     Lifetime Cutoff: [719.]
     Training Accuracy: 0.8924731182795699
     Test Accuracy: 0.8387096774193549
     Training AUC: 0.9255319148936171
     Test AUC 0.9273504273504274
[26]: # fig, ax = plt.subplots(figsize=(3,3), dpi=600)
      fig,ax = plt.subplots()
      ax.scatter(ytrain, ytrain_score, s=1, label='Training Set')
      ax.scatter(ytest, ytest_score, s=1, label='Test Set')
      ax.axhline(0.5, c='grey', ls='--', lw=0.5)
      ax.axvline(int(threshold[0]), c='grey', ls='--', lw=0.5)
      ax.set_xlabel('Lifetime [Cycles]')
      ax.set_ylabel(f'Probability(Lifetime > {int(threshold[0])} cycles)')
      ax.legend(edgecolor='k');
      # plt.savefig('../figures/set2_decision_fade.png', bbox_inches='tight')
```



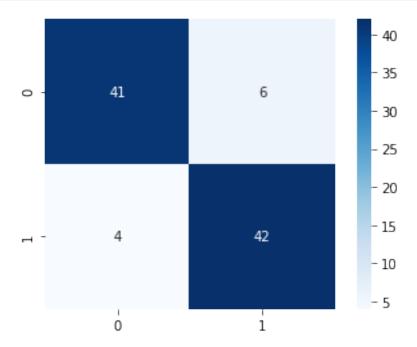
```
[27]: fpr, tpr, thresholds = metrics.roc_curve(ytest_class, ytest_score)
# fig, ax = plt.subplots(figsize=(3,3), dpi=600)
fig, ax = plt.subplots()
ax.plot(fpr, tpr)
ax.set_title(f'ROC Curve (AUC = {np.round(auc_fade, 3)})')
ax.set_xlabel('FPR')
ax.set_ylabel('TPR');
# plt.savefig('../figures/set2_roc_fade.png', bbox_inches='tight')
```





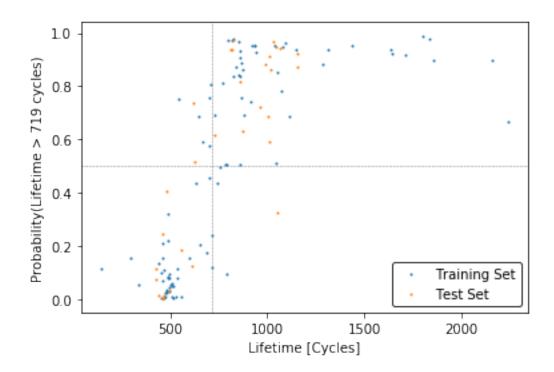


[29]: fig, ax = plt.subplots()
sns.heatmap(conf_fade_train, annot=True, square=True, cmap='Blues', ax=ax);

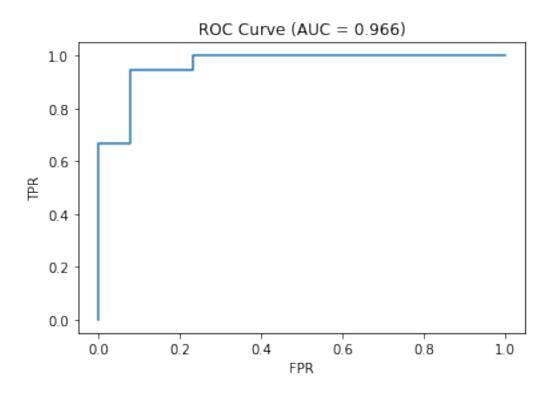


5.4 All Features

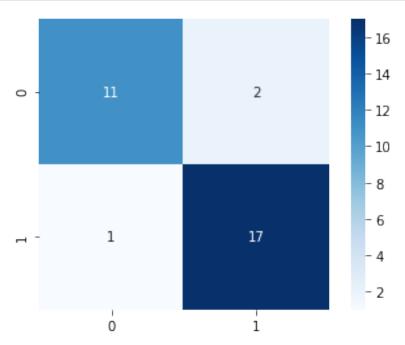
```
[30]: xtrain_curr, xtest_curr = xtrain, xtest
      threshold = get_percentiles(ytrain, 2)
      ytrain_class = classify_lifetimes(ytrain, threshold)
      ytest_class = classify_lifetimes(ytest, threshold)
      print(f'Lifetime Cutoff: {threshold}\n')
      model = BaggingClassifier(LogisticRegression(), n_estimators=25, oob_score=True)
      model.fit(xtrain_curr, ytrain_class)
      ytrain_pred = model.predict(xtrain_curr)
      ytrain_score = model.predict_proba(xtrain_curr)[:,1]
      ytest_pred = model.predict(xtest_curr)
      ytest_score = model.predict_proba(xtest_curr)[:,1]
      acc_full_train = metrics.accuracy_score(ytrain_class, ytrain_pred)
      acc_full = metrics.accuracy_score(ytest_class, ytest_pred)
      conf_full_train = metrics.confusion_matrix(ytrain_class, ytrain_pred)
      conf_full = metrics.confusion_matrix(ytest_class, ytest_pred)
      auc_full_train = metrics.roc_auc_score(ytrain_class, ytrain_score)
      auc_full = metrics.roc_auc_score(ytest_class, ytest_score)
      print(f'Training Accuracy: {acc_full_train}')
      print(f'Test Accuracy: {acc_full}')
      print(f'Training AUC: {auc full train}')
      print(f'Test AUC {auc_full}')
     Lifetime Cutoff: [719.]
     Training Accuracy: 0.9032258064516129
     Test Accuracy: 0.9032258064516129
     Training AUC: 0.9629972247918595
     Test AUC 0.9658119658119657
[31]: \# fig, ax = plt.subplots(figsize=(3,3), dpi=600)
      fig,ax = plt.subplots()
      ax.scatter(ytrain, ytrain_score, s=1, label='Training Set')
      ax.scatter(ytest, ytest_score, s=1, label='Test Set')
      ax.axhline(0.5, c='grey', ls='--', lw=0.5)
      ax.axvline(int(threshold[0]), c='grey', ls='--', lw=0.5)
      ax.set_xlabel('Lifetime [Cycles]')
      ax.set_ylabel(f'Probability(Lifetime > {int(threshold[0])} cycles)')
      ax.legend(edgecolor='k');
      # plt.savefig('../figures/set2_decision_full.png', bbox_inches='tight')
```



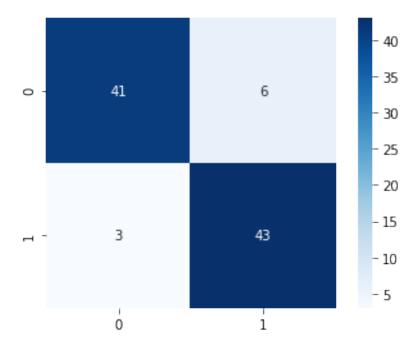
```
[32]: fpr, tpr, thresholds = metrics.roc_curve(ytest_class, ytest_score)
# fig, ax = plt.subplots(figsize=(3,3), dpi=600)
fig, ax = plt.subplots()
ax.plot(fpr, tpr)
ax.set_title(f'ROC Curve (AUC = {np.round(auc_full, 3)})')
ax.set_xlabel('FPR')
ax.set_ylabel('TPR');
# plt.savefig('../figures/set2_roc_full.png', bbox_inches='tight')
```



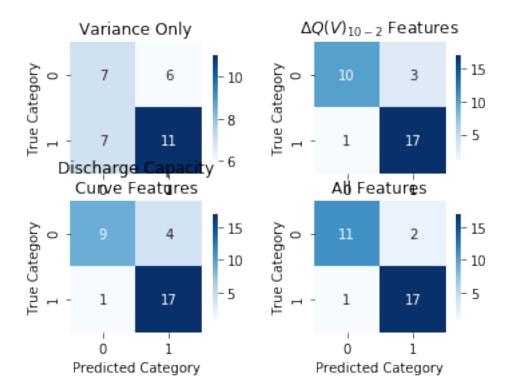




[34]: fig, ax = plt.subplots()
sns.heatmap(conf_full_train, annot=True, square=True, cmap='Blues', ax=ax);



6 Figures



```
for a, t, c in zip(ax.flatten(), titles, confusions):
    sns.heatmap(c, annot=True, square=True, cmap='Blues', cbar_kws={'shrink':0.
    →7}, ax=a)
    a.set_title(t)
    a.set_xlabel('Predicted Category')
    a.set_ylabel('True Category')

# plt.savefig('../figures/set2_confusion2_logistic.png')
```



One vs Rest Classifier

May 10, 2020

```
import numpy as np
import pandas as pd
import matplotlib.pyplot as plt
from scipy import stats
import seaborn as sns
from sklearn.linear_model import LogisticRegression
from sklearn.linear_model import LogisticRegressionCV
from sklearn.multiclass import OneVsRestClassifier
from sklearn import metrics
from sklearn import model_selection
from sklearn import preprocessing
from sklearn.ensemble import BaggingClassifier
```

1 Load Data

```
[2]: # load feature matrix
     feature_df = pd.read_csv('../data/featurematrix_classification2.csv')
     feature_df.drop(columns='Unnamed: 0', inplace=True)
     # feature_df = feature_df.drop([5,16]).reset_index(drop=True)
     feature df.head()
[2]:
            b1c0
                      b1c1
                                b1c2
                                          b1c3
                                                    b1c4
                                                               b1c5
     0 -4.181804 -3.969042 -2.788997 -4.700016 -4.132990 -3.905395 -4.466846
     1 - 2.584744 - 2.540101 - 2.697642 - 2.572648 - 2.527145 - 2.452704 - 2.438709
     2 -5.514008 -5.519434 -5.647117 -5.553178 -5.307388 -5.250376 -5.173391
     3 0.207469 -0.149255 -0.407511 -0.504164 0.055138 -0.295200 0.186631
     4 0.770794 0.457356 0.370178 -0.298181 0.157237 -0.434155 0.665555
            b1c7
                      b1c9
                               b1c11
                                            b3c33
                                                      b3c34
                                                                 b3c35
                                                                           b3c36 \
     0 -4.970406 -3.884769 -3.948446
                                      ... -4.045138 -3.987800 -5.067957 -4.643561
     1 - 2.730548 - 2.442842 - 2.425090 \dots - 2.531871 - 2.639872 - 2.657420 - 2.534023
     2 -5.874992 -5.128251 -4.781530
                                      ... -4.983046 -5.217281 -5.163107 -4.986688
     3 -0.163842 0.077411 0.405436
                                      ... 0.410522 0.419143 0.452853 0.445606
     4 0.428794 0.325888 0.850656
                                      ... 0.837771 0.884494
                                                             0.929203 0.930771
           b3c40
                     b3c41
                               b3c42
                                         b3c43
                                                   b3c44
                                                             b3c45
```

```
0 -4.675587 -4.547703 -3.900646 -2.415616 -4.571223 -5.265527
    1 -2.347406 -2.421160 -2.535104 -4.090369 -2.594459 -2.581789
    2 -4.734173 -4.722736 -5.167258 -5.719654 -5.365331 -5.094440
    3 0.341317 0.363618 0.266625 -0.138596 0.263355
                                                        0.413564
    4 0.733024 0.724385 0.640506 -1.321118 0.664487 0.861365
    [5 rows x 124 columns]
[3]: # load lifetime matrix
    lifetime_df = pd.read_csv('.../data/lifetimematrix.csv')
    lifetime_df.drop(columns='Unnamed: 0', inplace=True)
    lifetime_df
[3]:
         b1c0
                 b1c1
                         b1c2
                                 b1c3
                                         b1c4
                                                b1c5
                                                       b1c6
                                                              b1c7
                                                                      b1c9 \
    0 1852.0 2160.0 2237.0 1434.0 1709.0 1074.0 636.0 870.0 1054.0
       b1c11 ... b3c33
                          b3c34
                                  b3c35 b3c36 b3c40 b3c41
                                                              b3c42
                                                                      b3c43 \
    0 788.0 ... 1284.0 1158.0 1093.0 923.0 796.0 786.0 1642.0 1046.0
       b3c44
               b3c45
    0 940.0 1801.0
    [1 rows x 124 columns]
[4]: # convert data to numpy arrays/matrices
    features = np.array(feature_df).T
    lifetimes = np.array(lifetime_df).flatten()
[5]: features.shape, lifetimes.shape
[5]: ((124, 16), (124,))
[6]: # standardize data
    features = preprocessing.scale(features, axis=0)
```

2 Test/Train Split

```
[7]: # 75/25 train/test split
xtrain, xtest, ytrain, ytest = model_selection.train_test_split(features, u)
→lifetimes, test_size=0.25)

[8]: # train_idx = np.arange(84)
# test_idx = np.arange(84,124)

[9]: # xtrain, ytrain = features[train_idx,:], lifetimes[train_idx]
# xtest, ytest = features[test_idx,:], lifetimes[test_idx]

[10]: xtrain.shape, ytrain.shape, xtest.shape, ytest.shape

[10]: ((93, 16), (93,), (31, 16), (31,))
```

3 Feature Sets

```
[11]: xtrain_var = xtrain[:,2].reshape(-1,1)
    xtest_var = xtest[:,2].reshape(-1,1)
    xtrain_var.shape, xtest_var.shape

[11]: ((93, 1), (31, 1))

[12]: xtrain_delq, xtest_delq = xtrain[:,:5], xtest[:,:5]
    xtrain_delq.shape, xtest_delq.shape

[12]: ((93, 5), (31, 5))

[13]: xtrain_fade, xtest_fade = xtrain[:,:10], xtest[:,:10]
    xtrain_fade.shape, xtest_fade.shape

[13]: ((93, 10), (31, 10))
```

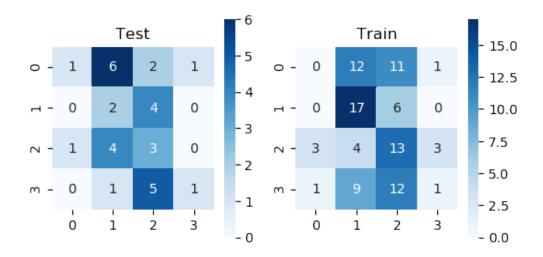
4 Lifetime Classification Encoder

```
[14]: # Classifies batteries into lifetime categories based on the specified number
      \rightarrow thresholds
      def classify_lifetimes(yvals, thresholds):
          thresh = np.array(thresholds).flatten()
          thresh = np.append(thresh, max(yvals))
          classes = np.array([])
          for y in yvals:
              for i in range(len(thresh)):
                  if y <= thresh[i]:</pre>
                      classes = np.append(classes, i)
                      break
          return classes
      # Calculates the cutoffs for a given number of quantiles
      def get_percentiles(yvals, n):
          percentiles = np.arange(0, 1, 1/n)*100
          percentiles = percentiles[1:]
          return np.percentile(yvals, percentiles)
```

5 One vs. Rest Logistic Regression

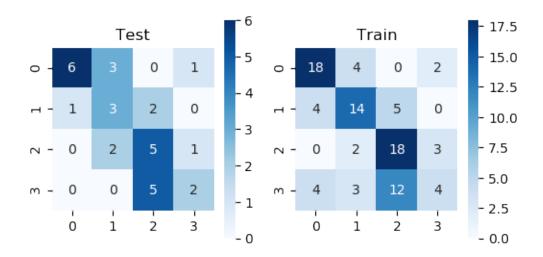
5.1 Variance only

```
[15]: num_cat=4
[16]: xtrain_curr, xtest_curr = xtrain_var, xtest_var
     threshold = get_percentiles(ytrain, num_cat)
     ytrain_class = classify_lifetimes(ytrain, threshold)
     ytest_class = classify_lifetimes(ytest, threshold)
     print(f'Lifetime Cutoffs: {threshold}\n')
     model =
      →BaggingClassifier(OneVsRestClassifier(LogisticRegression(max_iter=1000)), ___
      →n_estimators=25, oob_score=True)
     model.fit(xtrain curr, ytrain class)
     ytrain_pred = model.predict(xtrain_curr)
     ytrain_score = model.predict_proba(xtrain_curr)
     ytest pred = model.predict(xtest curr)
     ytest_score = model.predict_proba(xtest_curr)
     acc_var_train = metrics.accuracy_score(ytrain_class, ytrain_pred)
     acc_var = metrics.accuracy_score(ytest_class, ytest_pred)
     conf_var_train = metrics.confusion_matrix(ytrain_class, ytrain_pred)
     conf_var = metrics.confusion_matrix(ytest_class, ytest_pred)
     # auc_var_ovr_train = metrics.roc_auc_score(ytrain_class, ytrain_score)
      # auc_var_ovr = metrics.roc_auc_score(ytest_class, ytest_score)
     print(f'Training Accuracy: {acc_var_train}')
     print(f'Test Accuracy: {acc_var}')
      # # print(f'Training AUC: {auc_full_train}')
      # print(f'Test AUC {auc_full}')
     Lifetime Cutoffs: [502. 742. 966.]
     Test Accuracy: 0.22580645161290322
[17]: fig, ax = plt.subplots(nrows=1, ncols=2, figsize=(6,3), dpi=100)
     sns.heatmap(conf var, annot=True, square=True, cmap='Blues', ax=ax[0]);
     sns.heatmap(conf_var_train, annot=True, square=True, cmap='Blues', ax=ax[1]);
     ax[0].set title('Test')
     ax[1].set_title('Train');
```



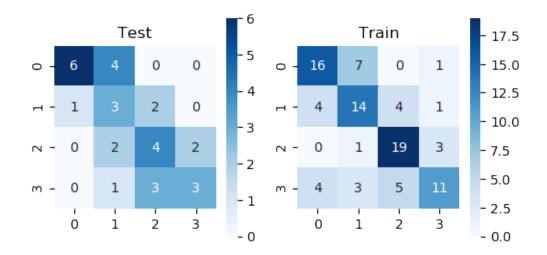
5.2 $\Delta Q(V)$ Features

```
[18]: xtrain_curr, xtest_curr = xtrain_delq, xtest_delq
      threshold = get_percentiles(ytrain, num_cat)
      ytrain_class = classify_lifetimes(ytrain, threshold)
      ytest_class = classify_lifetimes(ytest, threshold)
      print(f'Lifetime Cutoffs: {threshold}\n')
      model =
       →BaggingClassifier(OneVsRestClassifier(LogisticRegression(max_iter=1000)), ___
       →n_estimators=25, oob_score=True)
      model.fit(xtrain_curr, ytrain_class)
      ytrain_pred = model.predict(xtrain_curr)
      ytrain_score = model.predict_proba(xtrain_curr)
      ytest_pred = model.predict(xtest_curr)
      ytest_score = model.predict_proba(xtest_curr)
      acc_delq_train = metrics.accuracy_score(ytrain_class, ytrain_pred)
      acc_delq = metrics.accuracy_score(ytest_class, ytest_pred)
      conf_delq_train = metrics.confusion_matrix(ytrain_class, ytrain_pred)
      conf delq = metrics.confusion matrix(ytest class, ytest pred)
      # auc_var_ovr_train = metrics.roc_auc_score(ytrain_class, ytrain_score)
      # auc var ovr = metrics.roc auc score(ytest class, ytest score)
      print(f'Training Accuracy: {acc_delq_train}')
      print(f'Test Accuracy: {acc_delq}')
      # # print(f'Training AUC: {auc_full_train}')
      # print(f'Test AUC {auc_full}')
     Lifetime Cutoffs: [502, 742, 966.]
     Training Accuracy: 0.5806451612903226
     Test Accuracy: 0.5161290322580645
[19]: fig, ax = plt.subplots(nrows=1, ncols=2, figsize=(6,3), dpi=100)
      sns.heatmap(conf_delq, annot=True, square=True, cmap='Blues', ax=ax[0]);
      sns.heatmap(conf_delq_train, annot=True, square=True, cmap='Blues', ax=ax[1]);
      ax[0].set_title('Test')
      ax[1].set_title('Train');
```



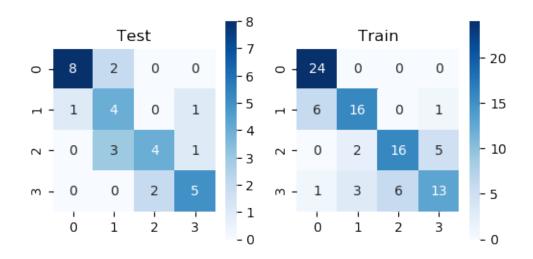
5.3 Discharge Curve Features

```
[20]: xtrain_curr, xtest_curr = xtrain_fade, xtest_fade
      threshold = get_percentiles(ytrain, num_cat)
      ytrain_class = classify_lifetimes(ytrain, threshold)
      ytest_class = classify_lifetimes(ytest, threshold)
      print(f'Lifetime Cutoffs: {threshold}\n')
      model =
      →BaggingClassifier(OneVsRestClassifier(LogisticRegression(max_iter=1000)), __
      →n_estimators=25, oob_score=True)
      model.fit(xtrain_curr, ytrain_class)
      ytrain_pred = model.predict(xtrain_curr)
      ytrain_score = model.predict_proba(xtrain_curr)
      ytest_pred = model.predict(xtest_curr)
      ytest_score = model.predict_proba(xtest_curr)
      acc_fade_train = metrics.accuracy_score(ytrain_class, ytrain_pred)
      acc_fade = metrics.accuracy_score(ytest_class, ytest_pred)
      conf_fade_train = metrics.confusion_matrix(ytrain_class, ytrain_pred)
      conf_fade = metrics.confusion_matrix(ytest_class, ytest_pred)
      # auc_var_ovr_train = metrics.roc_auc_score(ytrain_class, ytrain_score)
      # auc var ovr = metrics.roc auc score(ytest class, ytest score)
      print(f'Training Accuracy: {acc_fade_train}')
      print(f'Test Accuracy: {acc fade}')
      # # print(f'Training AUC: {auc_full_train}')
      # print(f'Test AUC {auc_full}')
     Lifetime Cutoffs: [502, 742, 966.]
     Training Accuracy: 0.6451612903225806
     Test Accuracy: 0.5161290322580645
[21]: fig, ax = plt.subplots(nrows=1, ncols=2, figsize=(6,3), dpi=100)
      sns.heatmap(conf_fade, annot=True, square=True, cmap='Blues', ax=ax[0]);
      sns.heatmap(conf_fade_train, annot=True, square=True, cmap='Blues', ax=ax[1]);
      ax[0].set title('Test')
      ax[1].set title('Train');
```

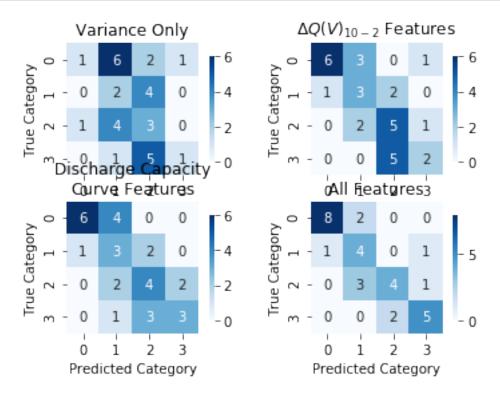


5.4 All Features

```
[22]: xtest.shape
[22]: (31, 16)
[23]: xtrain curr, xtest curr = xtrain, xtest
      threshold = get_percentiles(ytrain, num_cat)
      ytrain_class = classify_lifetimes(ytrain, threshold)
      ytest_class = classify_lifetimes(ytest, threshold)
      print(f'Lifetime Cutoffs: {threshold}\n')
      model =
       →BaggingClassifier(OneVsRestClassifier(LogisticRegression(max_iter=1000)), ___
      →n_estimators=25, oob_score=True)
      model.fit(xtrain curr, ytrain class)
      ytrain_pred = model.predict(xtrain_curr)
      ytrain score = model.predict proba(xtrain curr)
      ytest_pred = model.predict(xtest_curr)
      ytest_score = model.predict_proba(xtest_curr)
      acc_full_train = metrics.accuracy_score(ytrain_class, ytrain_pred)
      acc_full = metrics.accuracy_score(ytest_class, ytest_pred)
      conf full train = metrics.confusion_matrix(ytrain_class, ytrain_pred)
      conf_full = metrics.confusion_matrix(ytest_class, ytest_pred)
      # auc_var_ovr_train = metrics.roc_auc_score(ytrain_class, ytrain_score)
      # auc_var_ovr = metrics.roc_auc_score(ytest_class, ytest_score)
      print(f'Training Accuracy: {acc_full_train}')
      print(f'Test Accuracy: {acc_full}')
      # # print(f'Training AUC: {auc_full_train}')
      # print(f'Test AUC {auc full}')
     Lifetime Cutoffs: [502. 742. 966.]
     Training Accuracy: 0.7419354838709677
     Test Accuracy: 0.6774193548387096
[24]: fig, ax = plt.subplots(nrows=1, ncols=2, figsize=(6,3), dpi=100)
      sns.heatmap(conf_full, annot=True, square=True, cmap='Blues', ax=ax[0]);
      sns.heatmap(conf_full_train, annot=True, square=True, cmap='Blues', ax=ax[1]);
      ax[0].set_title('Test')
      ax[1].set_title('Train');
```



6 Figures



```
[26]: # fig, ax = plt.subplots(nrows=1, ncols=2, figsize=(6,3), dpi=600, 

→ gridspec_kw={'wspace':0.4, 'hspace':0.4})

fig, ax = plt.subplots(nrows=1, ncols=2)

confusions = [conf_var, conf_full]

titles = ['Variance Only', 'All Features']
```

```
for a, t, c in zip(ax.flatten(), titles, confusions):
    sns.heatmap(c, annot=True, square=True, cmap='Blues', cbar_kws={'shrink':0.
    →7}, ax=a)
    a.set_title(t)
    a.set_xlabel('Predicted Category')
    a.set_ylabel('True Category')

# plt.savefig('../figures/set2_confusion2_ovr.png')
```

