

Image Registration With Fourier-Based Image Correlation: A Comprehensive Review of Developments and Applications

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Abstract—Fourier-based image correlation is a powerful area-based image registration technique, which involves aligning images based on a translation model or similarity model by means of the image information and operation in the frequency domain. In recent years, Fourier-based image correlation has made significant progress and attracted extensive research interest in a variety of applications, especially in the field of photogrammetry and remote sensing, leading to the development of a number of subpixel methods that have improved the accuracy and robustness. However, to date, a detailed review of the literature related to Fourier-based image correlation is still lacking. In this review, we aim at providing a comprehensive overview of the fundamentals, developments, and applications of image registration with Fourier-based image correlation methods. Specifically, this review introduces the principal laws underlying these methods, presents a survey of the existing subpixel methods calculated both in the spatial domain and in the frequency domain, summarizes the major applications from three aspects, and discusses the challenges and possible directions of future research. This review is expected to be beneficial for researchers working in the relevant fields to obtain an insight into

the current state of the art, to develop new variants, to explore potential applications, and to suggest promising future trends of image registration with Fourier-based image correlation.

Index Terms—Fourier-based image correlation, Fourier-Mellin (FM) transform, image registration, phase correlation (PC), photogrammetry and remote sensing, subpixel image matching.

I. INTRODUCTION

IMAGE registration is the process of overlaying images of the same scene, which can be generally divided into two categories: Feature-based methods and area-based methods [1]. The feature-based methods are normally performed in three steps, i.e., feature detection [2], feature description [3], and feature matching [4]. The salient features, including corner, blob, region, edge, line segment, and shape, are initially extracted from images, and matched using similarity measures and spatial relationships calculated from their descriptors or geometric attributes to establish the geometric correspondence between images. The area-based methods directly utilize the intensity information to match areas or regions, which have advantages in precision, distribution, stability, and other aspects. The focus of the area-based methods is the similarity measure, and the commonly used similarity measures include normalized cross correlation (NCC) [5], sum of squared differences [6], mutual information [7], cross-cumulative residual entropy [8], etc. Fourier-based image correlation is a specific type of area-based image registration technique, which involves aligning images based on a translation model or similarity model by means of the image information and operation in the frequency domain.

Image registration with Fourier-based image correlation possesses the merits of high theoretical accuracy, high computational efficiency, and insensitivity to the frequency-dependent noise and intensity contrast. As a result, it has received a lot of attention in the computer vision, photogrammetry, and remote sensing communities. Due to its remarkable advantages, Fourier-based image correlation has been recommended in many review papers and books concerning image registration [1], [9]–[13]. Since the earliest use of the fast Fourier transform (FFT) for image registration and the introduction of the phase correlation (PC) method in the 1970s [14], [15], Fourier-based image correlation has experienced rapid development, and a

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large number of new methods and refinements have since been proposed to enhance the accuracy, robustness, and efficiency. In addition, Fourier-based image correlation has played an important role in various applications, and has an extensive range of potential applications, especially in the field of photogrammetry and remote sensing.

However, while much recent effort has focused on improving the performance and implementing the related applications, less attention has been paid to a unified review of these developments and applications. To the best of our knowledge, no thorough review of Fourier-based image correlation has been written to summarize and discuss this developing topic, except for some partial performance evaluations, such as [16] and [17]. Therefore, it is imperative to survey the current literature to provide guidance for future researches on this topic.

In this review, we provide a comprehensive overview of image registration with Fourier-based image correlation methods, introduce the principal laws underlying these methods, present a survey of the existing subpixel methods, summarize the major applications, and discuss the challenges and possible directions of future researches. This review is intended to not only investigate the current state of Fourier-based image correlation, but also serve as a basis and a starting point for future work, while pointing out the corresponding challenges and tendencies. The ultimate result will be beneficial to the subsequent developments and applications of image registration with Fourier-based image correlation.

The rest of this review is organized as follows. In Section II, we make a brief description of the basic principle of Fourier-based image correlation and the extension to rotation-scaling-translation image registration. Section III investigates and reviews the existing subpixel methods in detail. Section IV presents the major applications of Fourier-based image correlation from three aspects. Challenges and future trends are then discussed in Section V. Finally, the conclusions are drawn in Section VI.

II. BACKGROUND

A. Correlation Form

Fourier-based image correlation methods implement correlation-like registration of two images in the frequency domain by means of FFT. These methods can be generally divided into two main types of correlation forms, i.e., cross correlation in the frequency domain (CCF) and PC [9], [11]. The major difference between these two types lies in whether or not the approximate normalization in the frequency domain is performed by calculating the phase information [18].

1) *Cross Correlation in the Frequency Domain*: Cross correlation is a basic statistical approach for finding the degree of similarity between two images or templates. From the well-known *correlation theorem* (or *convolution theorem*), the cross correlation can be alternatively achieved in the Fourier domain [11]. The *correlation theorem* states that the Fourier transform (FT) of the correlation of two images is the product of the FT of one image and the complex conjugate of the FT of the other [9]. If we assume that two image functions $f(x, y)$ and $g(x, y)$

that are related by shifts Δx and Δy in the row and column directions are expressed as $g(x, y) = f(x - \Delta x, y - \Delta y)$, then the correlation function of CCF is given by

$$CC = F^{-1}(F(u, v)^* G(u, v)) \quad (1)$$

where $F(u, v)$ and $G(u, v)$ are the corresponding FT of $f(x, y)$ and $g(x, y)$, F^{-1} denotes the inverse FT, and $*$ denotes the complex conjugate. The performance of CCF is equivalent to computing the cross correlation in the spatial domain, while the use of the FFT routine avoids the iterative search of the traditional correlation process and enables the rapid computation of correlations. However, CCF does not involve any kind of normalization and thus tends to be sensitive to the intensity variations. In addition, the frequency domain analysis of CCF implies that the phase difference term that contains the translational information is weighted by the magnitudes of the two images. Due to the low-pass property of natural images, the weighting operation often results in a correlation function with broad peaks of large magnitude and an incorrect dominant peak [19]. Therefore, an effective CCF method requires the integration of a certain type of normalization or image representation.

2) *Phase Correlation*: Although conventional NCC can be extended to a Fourier-based calculation by means of multiple CCF operations [11], it is still somewhat complex and inefficient. A common way of approximating normalization in the Fourier domain is to perform PC [15], which considers only the phase information and is therefore insensitive to image content.

PC is based on the translation property of FT, which states that a shift of two relevant images in the spatial domain is transformed into the frequency domain as phase differences. The correlation function of PC can be computed from the inverse FT of the normalized cross-power spectrum matrix Q , which can be expressed by

$$\begin{aligned} PC &= F^{-1}(Q(u, v)) = F^{-1} \left\{ \frac{F(u, v)^* G(u, v)}{|F(u, v)^* G(u, v)|} \right\} \\ &= F^{-1} \{ \exp(-i(u\Delta x + v\Delta y)) \} \end{aligned} \quad (2)$$

where $i = \sqrt{-1}$. There are several advantages of PC over the classical image correlation methods [1], [9], [18], [20].

- 1) Similar to CCF, the computational efficiency is high with the help of FFT.
- 2) The property of solely using the phase information decreases the dependency on image intensity and content, and makes the PC invariant to global linear variations in contrast and brightness.
- 3) The obtained correlation peak is sharper, which enables the peak location to be more accurate and reliable.
- 4) As the phase differences at every frequency contribute equally, corruptions limited to a small range of frequencies will not alter the dominant phase difference and will not influence the peak location.

The PC is therefore robust to the frequency-dependent noise, large intensity differences, and time-varying illumination disturbances. On the other hand, the frequency-independent white noise, aliasing, and deformations that spread across all frequencies can make the PC estimates inaccurate. In this case, effective

preprocessing operations or robustness measures are needed to handle the influence of these corruptions. In addition, the PC method can be interpreted from the point of view of the matched filtering techniques as symmetric phase-only matched filtering [11], [21], [22].

Further studies into other properties and improvements of the PC method have been presented. For instance, Alliney and Morandi [23] improved the computational efficiency by projecting the images into each direction and matching the projections using one-dimensional (1-D) FT. A shape adaptive transform was developed to tackle the issue of still background in motion estimation [24], [25]. Discrete cosine transform-based PC methods were proposed in [26] and [27] to reduce the computational effort, especially for image and video coding applications. Blur-invariant PC methods were proposed in [28]–[30] for centrally symmetric blurs, N -fold rotational symmetric blurs, and N -fold dihedral blurs, respectively. Argyriou [31] proposed a novel PC method operated on the hexagonal lattice. Konstantinidis *et al.* [32] integrated the notion of motion magnification in the PC procedure. In [33] and [34], the illumination robustness of PC was investigated through mathematical analysis and image matching experiments. The statistical analysis of the correlation function of PC was performed [35]–[37]. In [38], biological interpretation and analysis of the role of PC in visual information processing, visual illusion, and pattern recognition were provided. For multichannel color images, vector correlation techniques were proposed in [39] and [40], based on hypercomplex (quaternion) FT [41].

B. Pixel-Level Correlation

The integer solution of Fourier-based image correlation can be achieved in a similar way to the classic cross-correlation method. A peak can be determined within the correlation surface after the inverse FT, whose discrete coordinates correspond to the integer-pixel shifts between two images. It is worth noting that, according to the sampling theorem, the maximum measurable range of the physical shifts from image correlation is half of the correlation window, in the case of no zero padding. The cases for which the locations of the correlation peaks are larger than half of the correlation window represent shifts in the inverse direction.

Concerning the PC method, it is simpler to locate the peak of the correlation surface since the inverse FT of the normalized cross-power spectrum matrix Q in (2) theoretically corresponds to a Dirac delta function centered at the unknown displacement $(\Delta x, \Delta y)$, in the case of integer-pixel shifts, i.e.,

$$\begin{aligned} \text{PC} &= F^{-1}(Q(u, v)) = F^{-1}\{\exp(-i(u\Delta x + v\Delta y))\} \\ &= \delta(x - \Delta x, y - \Delta y). \end{aligned} \quad (3)$$

When dealing with discrete images, the Dirac delta function is replaced by a unit impulse function. In the presence of noise, dissimilar parts, or other corruptions, the value of the peak will decrease, but will still be adequately distinct.

Fig. 1 shows an example to demonstrate the advantages of the PC method. Fig. 1(c) and (d) is the correlation surfaces calculated from the PC and classic NCC of two satellite images with displacements along both directions, as shown in Fig. 1(a)

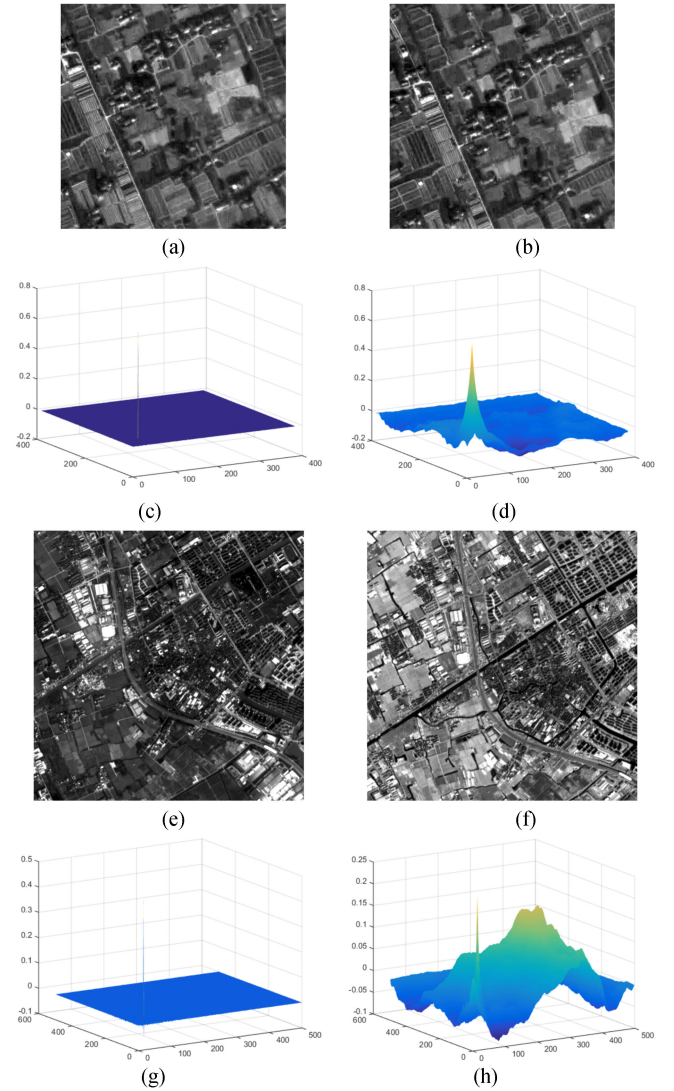


Fig. 1. Example of pixel-level image correlation. (a) and (b) Satellite images with displacements along both directions. (c) and (d) Correlation surfaces calculated from the PC and NCC of the images in (a) and (b), respectively. (e) and (f) Displaced satellite images with different spectral bands. (g) and (h) Correlation surfaces calculated from the PC and NCC of the images in (e) and (f), respectively.

and (b), respectively. An obvious peak can be seen from the correlation surface to obtain the displacements between the two images. The PC method provides a much sharper peak at the corresponding point of the displacements and is approximately zero at the other locations. Fig. 1(g) and (h) is the correlation surfaces calculated from the PC and NCC of two displaced satellite images with different spectral bands, as shown in Fig. 1(e) and (f), respectively. The NCC method yields several broad peaks and a main peak, which may become unstable, whereas the PC method still generates a distinct sharp peak, although the value of the peak is slightly decreased.

C. Image Registration With Fourier-Mellin (FM) Transform

The above-mentioned introduction mainly focuses on image registration for translation estimation. In order to deal with more

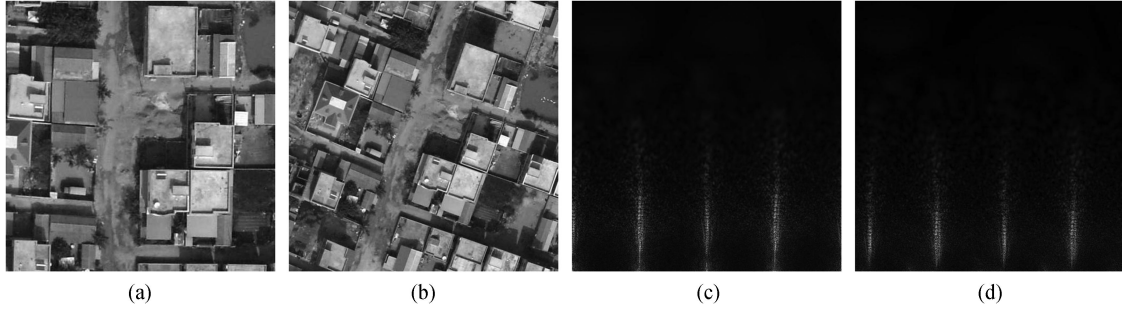


Fig. 2. Example of FM transform. (a) and (b) Two images with rotational, scaling, and translational transformation. (c) and (d) Corresponding FM transforms of (a) and (b), respectively. The rotation angle and scaling factor can be solved from the translational relationship between two log-polar representations.

general image transformation, Fourier-based image correlation has been extended to align images with rotational differences [42], as well as images with both rotational and scaling differences [22], [43]. The extensions rely on the Fourier rotational property and the Fourier scaling property, which is a two-step process to first determine the rotation angle and isotropic scaling factor, and then determine the translational shift. The former method requires the exhaustive search for all possible rotation angles, which is computationally costly. The latter two methods are realized by means of translation-invariant FM transform, which corresponds to the log-polar mapping of the spectral magnitude, achieving a higher computational efficiency in image registration applications [11].

As in the PC method, the translational information of images is encoded only in the phase of FT. Therefore, if the phase information is removed from FT, the scaling and rotational information for images can be retained in the spectral magnitude, without being dependent on the translational information. We consider two image functions $f(x, y)$ and $g(x, y)$ that are related by translation $(\Delta x, \Delta y)$, rotation θ_0 , and isotropic scaling s as

$$g(x, y) = f(s(x \cos \theta_0 + y \sin \theta_0) - \Delta x, s(-x \sin \theta_0 + y \cos \theta_0) - \Delta y). \quad (4)$$

According to the shift, rotational, and scaling properties of FT, the corresponding FTs of the two images are related by [22]

$$G(u, v) = s^{-2} F[s^{-1}(u \cos \theta_0 + v \sin \theta_0), s^{-1}(-u \sin \theta_0 + v \cos \theta_0)] \exp[-i\phi_g(u, v)] \quad (5)$$

where $\phi_g(u, v)$ is the spectral phase of $g(x, y)$. Taking the magnitude in both parts of (5) can separate the translational information:

$$M_G(u, v) = s^{-2} M_F[s^{-1}(u \cos \theta_0 + v \sin \theta_0), s^{-1}(-u \sin \theta_0 + v \cos \theta_0)] \quad (6)$$

where M_G and M_F are the magnitudes of G and F . Applying the log-polar mapping of the magnitudes (i.e., FM transform) can represent the rotation and scaling estimation as translation estimation in an equivalent coordinate system:

$$M_{Glp}(\lambda, \theta) = s^{-2} M_{Fip}(\lambda - \log s, \theta - \theta_0) \quad (7)$$

where M_{Glp} and M_{Fip} are the log-polar representations of M_G and M_F . Therefore, the rotation angle and isotropic

scaling factor can be estimated using the Fourier-based image correlation method introduced above. After compensating for the rotation and scaling, the translation can be subsequently determined by again implementing a Fourier-based image correlation method. See Fig. 2 for an example of FM transform.

Image registration with FM transform decouples the rotation, scaling, and translation, and can be applied in the case of large motions, without prior knowledge. Further analysis and refinements of this kind of image registration methods have been reported. Stone *et al.* [44] investigated the factors that degrade the precision of image registration based on Fourier-based correlation and demonstrated constructive techniques for reducing the rotationally dependent aliasing, such as cutting off low frequencies, as well as windowing the image to remove the high frequencies. Reddy and Chatterji [43] handled this issue by using a simple high-pass emphasis filter. In addition, the conventional calculation methods of polar/log-polar FTs can be conducted using either image domain warping and then two-dimensional (2-D) FT calculation, or interpolation of the 2-D discrete FT in the Fourier domain [45]. Larger interpolation errors for the low-frequency components are induced by Cartesian-to-log-polar conversion in the FM transform, as log-polar representation is extremely dense in the low frequency [19]. In order to evaluate the polar/log-polar FT efficiently and accurately and reduce the interpolation errors, new sampling schemes and algorithms have been proposed in recent years, including pseudo-polar FT [45], pseudo-log-polar FT [46], polar FT [47], multilayer fractional FT [48], multilayer pseudo-polar fractional FT [49], exact polar FT [50], multilayer polar FT [51], and so on. Keller *et al.* [52] proposed the angular difference function to estimate the rotation angle using pseudo-polar FT without any interpolation step. Tzimiropoulos *et al.* [19] circumvented the problems related to the interpolation errors, edge effects, and aliasing, by alternatively using gradient-based correlation schemes, which can realize Fourier-based image registration with large scaling differences and with higher computational efficiency. Fujisawa and Ikehara [53] applied Radon transform to the frequency domain and the spatial domain of images for rotation and scale estimation. Furthermore, the PC method has been applied to recover the translational component after compensation for the affine matrix in the frequency domain methods for affine transformation estimation [54], [55]. Extensions to 3-D frequency domain volume registration based on Fourier-based image correlation and FM transform have been presented [56]–[61].

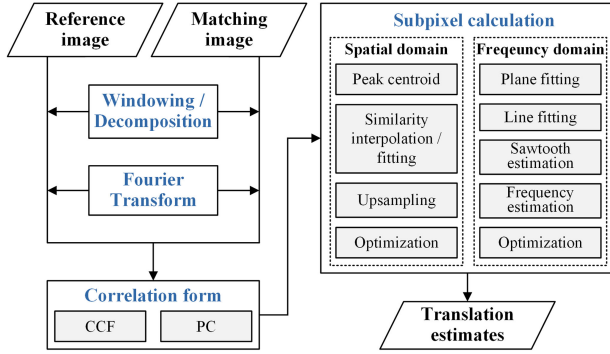


Fig. 3. Overall workflow of subpixel Fourier-based image correlation methods.

III. SUBPIXEL METHODS

Foroosh *et al.* [20] derived the analytical expressions for the PC assuming that images with subpixel shifts were originally displaced by integer values on a high-resolution grid, followed by downsampling. In the case of subpixel shifts, the signal power in the PC is not concentrated in a single peak, but rather in several coherent peaks, with the most eminent ones largely adjacent to each other, which implies that PC leads to a downsampled 2-D Dirichlet kernel. Therefore, further processing is required for subpixel Fourier-based image correlation methods. A wide variety of subpixel methods have been proposed over the years, with the advances on different aspects. In this section, we comprehensively investigate and review the existing subpixel Fourier-based image correlation methods presented in the literature.

The subpixel Fourier-based image correlation methods are generally classified into two categories, which can be implemented either in the spatial domain by means of the sharp correlation peak or in the frequency domain by means of the phase differences. In general, in order to reduce the influence of edge effects due to the periodicity assumption of discrete FT, the windowing functions [62] or an image decomposition [63] are used to the input images prior to the image correlation. Fig. 3 shows the overall workflow of subpixel Fourier-based image correlation methods.

A summary of various subpixel Fourier-based image correlation methods according to two subpixel calculation categories is given in Table I. The subpixel calculation methods in the spatial domain include peak centroid, similarity interpolation/fitting, upsampling, and nonlinear optimization, while the subpixel calculation methods directly in the frequency domain include plane fitting, line fitting, sawtooth signal estimation, frequency estimation, and optimization. In the following, the typical alternatives for these two categories of methods are introduced in detail.

A. Subpixel Calculation in the Spatial Domain

Subpixel calculation in the spatial domain is realized by determining the precise subpixel location of the correlation peak after the inverse FT though interpolation, fitting, or other approaches with a certain range of neighbors, which is similar to the subpixel methods for traditional image correlation and similarity-based matching. The subpixel shift is estimated by assuming that

the correlation function is continuous and the 2-D correlation surface or 1-D correlation curve approximately conforms to a certain function, or by interpolating the image intensity or the cross-power spectrum to a desired subpixel resolution.

When the shift between two images is noninteger, the energy of the correlation peak spreads across the adjacent pixels. Therefore, an intuitive and straightforward way of subpixel calculation in the spatial domain is by applying the peak centroid, which simply calculates the centroid (or weighted average) of a local neighborhood around the integer-valued peak location. The centroid estimation is based on the fact that the centroid of a symmetric object is equal to the position of the object. However, this is true only on the integer-pixel and half-pixel positions for the discrete correlation, which causes the estimations to be strongly biased toward integer values [64]. For Fourier-based image correlation, the peak centroid method was utilized in [65]–[67] to estimate the subpixel displacement.

Concerning the similarity interpolation/fitting methods, the challenge is that it is usually hard to find a reasonable model that can perfectly describe the discrete correlation surface, especially in the case of real applications. According to the fact that the correlation surface around its peak often approaches a bell shape, a number of models have been tested in empirical and theoretical researches, particular in particle image velocimetry and digital image correlation [68], [69]. The adopted peak fitting models for subpixel Fourier-based image correlation include the quadratic function, the Gaussian function, the Dirichlet function, the sinc function, the modified sinc function, and the modified Mexican hat wavelet (MHW).

The most commonly used fitting model is the quadratic function, namely 1-D parabola fitting and 2-D paraboloid fitting. Applying a quadratic model is theoretically justified only for correlation based on the sum of squared differences. However, it is still used as an approximation in many methods [18], [70]–[72] to estimate the subpixel shifts, due to its closed formula and simple implementation. If we denote a parabolic function as $\tilde{c}(x) = a_0x^2 + a_1x + a_2$, then the subpixel peak location Δx is directly determined using the integer-valued main peak x_0 and its two nearest neighbors as

$$\Delta x = x_0 + \frac{c_{-1} - c_{+1}}{2(c_{-1} - 2c_0 + c_{+1})} \quad (8)$$

where $c_0 = c(x_0)$, $c_{-1} = c(x_0 - 1)$, $c_{+1} = c(x_0 + 1)$, and c denotes the 1-D variable-separable discrete correlation curve, vertically as well as horizontally. If we denote a paraboloid function as $\tilde{C}(x, y) = a_0x^2 + a_1y^2 + a_2xy + a_3x + a_4y + a_5$, then the subpixel peak location $(\Delta x, \Delta y)$ can be obtained based on six coefficients a_i ($i = 0, \dots, 5$) as

$$\begin{aligned} \Delta x &= \frac{a_2a_4 - 2a_1a_3}{4a_0a_1 - a_2^2} \\ \Delta y &= \frac{a_2a_3 - 2a_0a_4}{4a_0a_1 - a_2^2} \end{aligned} \quad (9)$$

where the coefficients can be computed using the integer-valued peak (x_0, y_0) and its five selected nearest neighbors, or using least-squares fitting given a larger size of neighbors centered at (x_0, y_0) [16].

TABLE I
SUMMARY OF VARIOUS SUBPIXEL FOURIER-BASED IMAGE CORRELATION METHODS ACCORDING TO TWO SUBPIXEL CALCULATION CATEGORIES

Subpixel category	Solutions and models	Methods and References
Spatial domain	Peak centroid	Michel and Rignot [65], Druckmüller [66], Caron <i>et al.</i> [67]
	Quadratic function	Tian and Huhns [70], Abdou [71], Argyriou and Vlachos [72], Heid and Käab [18]
	Gaussian function	Abdou [71], Eckstein <i>et al.</i> [73], Ren <i>et al.</i> [74], Li <i>et al.</i> [75], Lynch and Devaney [76]
	Similarity interpolation / fitting	
	sinc derivation	Foroosh <i>et al.</i> [20], Nagashima <i>et al.</i> [77], Chen and Yap [78], Ren <i>et al.</i> [79], Ma <i>et al.</i> [80]
	Dirichlet function	Takita <i>et al.</i> [81], Takita <i>et al.</i> [82], Chen <i>et al.</i> [83]
	Modified sinc function	Argyriou and Vlachos [84], Wang and Yan [85]
	Modified Mexican hat wavelet	Tzimiropoulos <i>et al.</i> [86], Argyriou and Tzimiropoulos [87], Ye <i>et al.</i> [88]
	Upsampling	
	Zero-padding	Young and Driggers [89], Zhang <i>et al.</i> [90], Alba <i>et al.</i> [16]
Frequency domain	Matrix multiplication	Guizar-Sicairos <i>et al.</i> [91], Wang <i>et al.</i> [92], Yousef <i>et al.</i> [93]
	Optimization	Roesgen [94], Guizar-Sicairos <i>et al.</i> [91], Alba <i>et al.</i> [16]
	Plane fitting	Stone <i>et al.</i> [95], Malcolm <i>et al.</i> [96], Averbuch and Keller [97], Vandewalle <i>et al.</i> [98], Liu and Yan [99], González <i>et al.</i> [100], Morgan <i>et al.</i> [101], He <i>et al.</i> [102], Tong <i>et al.</i> [103], HajiRassouliha <i>et al.</i> [62], Ye <i>et al.</i> [104]
	Line fitting	Hoge [105], Foroosh and Balci [106], Hoge and Westin [107], Keller and Averbuch [108], San José Estépar <i>et al.</i> [109], Tong <i>et al.</i> [110], Wang <i>et al.</i> [111], Chen <i>et al.</i> [112], Dong <i>et al.</i> [113], Ye <i>et al.</i> [88]
	Sawtooth signal estimation	Balci and Foroosh [114], Balci and Foroosh [115], Zuo <i>et al.</i> [116]
	Frequency estimation	Xu and Varshney [117]
	Optimization	Kim and Su [118], Abdou [71], Van Puymbroeck <i>et al.</i> [119], Leprince <i>et al.</i> [120]

The Gaussian function is also popular for fitting the correlation surface. By assuming that the two dimensions are separable and orthogonal, some methods [71], [73]–[76] adopt a 1-D Gaussian function to fit the subpixel peak. The 1-D Gaussian function is defined as

$$\tilde{c}(x) = a_0 \exp \left\{ \frac{-(x - a_1)^2}{a_2^2} \right\} \quad (10)$$

where a_0 and a_2 are the parameters that control the height and width of the Gaussian function, and a_1 is the center position of the peak. Using the integer-valued peak x_0 and its two nearest neighbors, the subpixel peak location Δx is given by

$$\Delta x = a_1 = \frac{2x_0(T+1) + (T+1)}{2(T-1)} \quad (11)$$

$$T = \frac{\ln(c_{-1}) - \ln(c_0)}{\ln(c_0) - \ln(c_{+1})}.$$

As mentioned above, Foroosh *et al.* [20] derived analytical expressions for the cross-power spectrum of downsampled images and showed that PC yields a 2-D Dirichlet kernel after the

inverse FT:

$$C(x, y) = \frac{1}{WH} \frac{\sin(\pi(Mx - x_p))}{\sin(\pi(Mx - x_p)/W)} \frac{\sin(\pi(Ny - y_p))}{\sin(\pi(Ny - y_p)/H)} \quad (12)$$

where x_p and y_p denote the integer shifts before downsampling, W and H are the image width and height before downsampling, and M and N are the downsampling scales. The Dirichlet function in (12) can be approximated by a sinc function as

$$\tilde{C}(x, y) \simeq \frac{\sin(\pi(Mx - x_p))}{\pi(Mx - x_p)} \frac{\sin(\pi(Ny - y_p))}{\pi(Ny - y_p)}. \quad (13)$$

Accordingly, using the integer-valued main peak (x_0, y_0) and one concentrated side peak in each direction (x_s, y_0) and (x_0, y_s) , where $x_s = x_0 \pm 1$ and $y_s = y_0 \pm 1$, a closed-form solution for the subpixel shifts $(\Delta x, \Delta y)$ can be provided as

$$\Delta x = \frac{x_p}{M} = \frac{\pm R_x x_0 - x_s}{\pm R_x - 1}, \quad R_x = \frac{C(x_0, y_0)}{C(x_s, y_0)}$$

$$\Delta y = \frac{y_p}{N} = \frac{\pm R_y y_0 - y_s}{\pm R_y - 1}, \quad R_y = \frac{C(x_0, y_0)}{C(x_0, y_s)}. \quad (14)$$

There are two solutions in each direction obtained from the above equation, and the correct solution meets the condition that $\Delta x - x_0$ or $\Delta y - y_0$ is in the interval $[-1, 1]$ and has the same sign as $x_s - x_0$ or $y_s - y_0$.

Ren *et al.* [79] indicated that Foroosh's method insufficiently takes into account the interference term, and using only one-sided information is sensitive to noise. Therefore, a refinement that integrates the differences between the two-sided neighbors has been proposed as

$$\Delta x = \frac{\text{sgn}(D_x)}{1 + C(0, 0)/|D_x|}, \quad D_x = C(1, 0) - C(-1, 0)$$

$$\Delta y = \frac{\text{sgn}(D_y)}{1 + C(0, 0)/|D_y|}, \quad D_y = C(0, 1) - C(0, -1) \quad (15)$$

where sgn denotes the sign function, and $C(0, 0)$, $C(1, 0)$, $C(-1, 0)$, $C(0, 1)$, and $C(0, -1)$ are the correlation values at the main peak and side peaks. In addition, higher-order statistics and a higher-order Taylor expansion for approximating the sinc function were employed to enhance the performance under noisy conditions [78].

Differing from the analytical derivations of Foroosh's method, some methods [81]–[83] obtain the subpixel shifts by straightforward fitting of the 2-D Dirichlet function:

$$C(x, y) \simeq \frac{\alpha}{WH} \frac{\sin(\pi(x - \Delta x))}{\sin(\pi(x - \Delta x)/W)} \frac{\sin(\pi(y - \Delta y))}{\sin(\pi(y - \Delta y)/H)} \quad (16)$$

where $\alpha \leq 1$ denotes the correlation peak value, which implies the matching reliability, and Δx and Δy represent the subpixel peak location. The parameters $(\alpha, \Delta x, \Delta y)$ can be solved by nonlinear regression with a set of points including the integer-valued main peak and its neighbors.

On the basis of Foroosh's method [20] and Takita's method [81], a so-called peak evaluation formula (PEF) derived from the 1-D sinc function fitting was introduced in [77], through which the subpixel shifts can be achieved from multiple tri-tuples consisting of the main peak and its corresponding surrounding points. After approximating (16) to a sinc function and separating it into a 1-D case, the correlation peak model is given by

$$c(x) = \beta \frac{\sin(\pi(x - \Delta x))}{\pi(x - \Delta x)}. \quad (17)$$

Therefore, the correlation values of a tri-tuple $(p - d, p, p + d)$ satisfy the following formula:

$$(p - d - \Delta x) \cdot c(p - d) + (p + d - \Delta x) \cdot c(p + d) = 2(p - \Delta x) \cdot \cos(\pi d) \cdot c(p) \quad (18)$$

where p denotes the reference point, and d denotes the distance. The equation given above can be rewritten as

$$v(p, d) = u(p, d) \cdot \Delta x$$

$$u(p, d) = c(p - d) + c(p + d) - 2\cos(\pi d) \cdot c(p)$$

$$v(p, d) = (p - d) \cdot c(p - d) + (p + d) \cdot c(p + d) - 2p \cdot \cos(\pi d) \cdot c(p). \quad (19)$$

By selecting different reference points p and distances d , multiple tri-tuples can be generated to estimate the subpixel shifts through the equation given above, without the need of an iterative process.

In [84], a modified esinc function was proposed to fit the exact subpixel peak location, which is defined as

$$\text{esinc}(x) = \exp(-x^2) \frac{\sin \pi x}{\pi x}. \quad (20)$$

The esinc function utilizes Gaussian weighting of a conventional sinc function, which can better approximate the noisy correlation surface. Considering the magnitude, scale, and shift changes, the 1-D correlation curve is represented in the form of $a_0 \text{esinc}(a_1(x - a_2))$ as

$$c(x) = a_0 \exp(-(a_1(x - a_2))^2) \frac{\sin \pi(x - a_2)}{\pi(x - a_2)}. \quad (21)$$

The unknown parameters (a_0, a_1, a_2) can be calculated by least squares fitting using the correlation values of the main peak and its nearest neighbor on each side, where parameter a_2 corresponds to the subpixel peak location.

Another modified 1-D sinc function, namely the msinc function, was created considering the influence of noise [85]. The correlation curve is approximated by the msinc function as

$$c(x) = a_0 \frac{\sin \pi(x - a_1)}{\pi(x - a_1)} + a_2. \quad (22)$$

Similarly, the unknown parameters (a_0, a_1, a_2) can be obtained using the correlation values of the main peak and its neighbors, where parameter a_1 corresponds to the subpixel peak location.

In [86], through analyzing the structure of the correlation function, a modification of the MHW was adopted as a 1-D fitting kernel to provide accurate estimates of the subpixel shifts, which is given by

$$c(x) = a_0 \{1 - (a_1(x - \Delta x))^2\} \frac{1}{\sqrt{2\pi}a_2} \exp\left(\frac{-(x - \Delta x)^2}{2a_2^2}\right). \quad (23)$$

The kernel parameter $(\Delta x, a_0, a_1, a_2)$ can be numerically solved in a nonlinear least-squares sense using a set of correlation samples.

Besides similarity interpolation/fitting, Fourier-based image correlation can also perform subpixel calculation via intensity interpolation, in a similar way to the conventional area based image matching methods, which interpolate the image to a desired resolution prior to image correlation [69]. However, this approach is too computationally expensive, especially for large-scale applications. With regard to Fourier-based image correlation, we can alternatively realize a computationally efficient implementation of subpixel shift estimation by upsampling the computed cross-power spectrum, since the correlation of two upsampled images is equivalent to upsampling the correlation of two original images [89], [121]. In order to reduce the computational burden and memory requirement, a local upsampling strategy should be performed, which oversamples

the cross-power spectrum in a tiny neighborhood around the initial peak location estimate.

Upsampling the cross-power spectrum in the frequency domain can be implemented by either zero padding or matrix-multiply discrete FT. It is noted that zero padding the frequency signal is equivalent to interpolating the corresponding time signal. In [16], [89], and [90], zero padding was used to acquire an upsampled correlation for subpixel estimation. On the other hand, using an implementation based on matrix-multiply discrete FT can achieve similar performance to zero padding, and is more suitable for local upsampling with lower computational complexity. Therefore, a number of methods [91]–[93] have adopted matrix multiplication-based upsampling to interpolate the local discrete correlation surface. In addition, an iterative search scheme can be applied to further improve the computational efficiency, which gradually reduces the neighborhood size and upsampling factor to refine the new estimate [91].

Another feasible alternative for subpixel calculation in the spatial domain is the direct search for the real peak location of the correlation function through nonlinear optimization [16], [91], [94]. The correlation function, which is defined as the inverse FT of the cross-power spectrum, can be expressed by

$$C(x, y) = \sum_{u=0}^{W-1} \sum_{v=0}^{H-1} Q(u, v) \exp \left\{ i2\pi \left(\frac{ux}{W} + \frac{vy}{H} \right) \right\}. \quad (24)$$

In order to find the extrema of $C(x, y)$, its derivative is given by

$$\begin{aligned} \nabla C(x, y) &= \left[\frac{\partial C}{\partial x}(x, y), \frac{\partial C}{\partial y}(x, y) \right] \\ \frac{\partial C}{\partial x}(x, y) &= -\frac{2\pi}{W} \sum_{u=0}^{W-1} \sum_{v=0}^{H-1} u \text{Im} \\ &\quad \times \left\{ Q(u, v) \exp \left\{ i2\pi \left(\frac{ux}{W} + \frac{vy}{H} \right) \right\} \right\} \\ \frac{\partial C}{\partial y}(x, y) &= -\frac{2\pi}{H} \sum_{u=0}^{W-1} \sum_{v=0}^{H-1} v \text{Im} \\ &\quad \times \left\{ Q(u, v) \exp \left\{ i2\pi \left(\frac{ux}{W} + \frac{vy}{H} \right) \right\} \right\} \end{aligned} \quad (25)$$

where Im denotes the operator that takes the imaginary part. The subpixel peak location can be solved by finding the zeros of $\nabla C(x, y)$. Alternatively, we can approximate it by minimizing the real-valued magnitude of the gradient [16]. This sets up the following nonlinear optimization problem:

$$(\Delta x, \Delta y) = \text{argmin} \|\nabla C(x, y)\|^2. \quad (26)$$

An appropriate starting point for the optimization is provided by the integer-valued peak location. It has been suggested that applying the Nelder–Mead method to solve the problem is better as it avoids the computation of the second-order derivative of $C(x, y)$ that has an increased high-frequency content [16].

B. Subpixel Calculation in the Frequency Domain

Subpixel calculation in the frequency domain is free of the inverse FT process, and it explicitly finds the best approximation to the phase difference between two images considering the translation property of FT.

In continuous cases, according to the analytical expression of the normalized cross-power spectrum in (2) associated with Euler's formula, the phase difference, which is defined as the phase angle of the cross-power spectrum, is given by

$$\varphi(u, v) = \angle Q(u, v) = -(u\Delta x + v\Delta y) \quad (27)$$

which represents a 2-D plane defined by the shift parameters through the origin of the u - v coordinates. As a result, the subpixel shifts Δx and Δy can be computed as the slopes along the two frequency axes by finding the best plane fitting of the phase difference [95], [96], [98].

If the interference term is ignored, the normalized cross-power spectrum matrix is theoretically a rank-one matrix, since each element $Q(u, v)$ is separable, such that

$$\begin{aligned} Q(u, v) &= \exp(-i(u\Delta x + v\Delta y)) \\ &= \exp(-iu\Delta x) \exp(-iv\Delta y) = q_x(u) q_y(v). \end{aligned} \quad (28)$$

This implies that the problem of determining the subpixel shifts can be simplified by finding the optimum rank-one approximation of the normalized cross-power spectrum matrix. In [105], the best low-rank approximation was achieved by the singular value decomposition (SVD) method according to the Eckart–Young–Mirsky theorem. The subpixel shifts in two directions can be subsequently recovered by independent line fitting of the phase angle of the left and right domain singular vectors. High-order SVD can be adopted for multidimensional datasets [107], [109]. In [112] and [113], the low-rank matrix factorization with a mixture of Gaussian model and maximum principal component analysis was, respectively, utilized to consider more complicated noise and computational efficiency. Alternatively, it was found that the subspace approximation is not really required, and the subpixel shifts can be estimated by directly fitting the rows and columns of the phase difference matrix [106]. However, the Eigen-filtering nature of SVD makes the estimation less sensitive to noise.

In discrete cases, the phase difference is 2π wrapped in two dimensions in practice. As a result, 2-D phase unwrapping is required before the plane fitting process, and 1-D phase unwrapping is required before the line fitting process, when determining shifts of higher than half a pixel. It is worth noting that 2-D phase unwrapping is a notoriously ill-posed and time-consuming problem. Fortunately, the unwrapping of a 2-D phase difference matrix has been found to be separable, and it can be performed by two independent and consecutive 1-D unwrappings along two directions [106]. Moreover, weighted phase unwrapping can be employed to reduce the influence of noise [111]. It was stated in [100] that phase unwrapping is not a mandatory step when using robust model estimation. However, the addition of the unwrapping process can generate more effective and stable estimates, especially in the case of noisy conditions and larger displacements.

The discrete phase difference matrix has been demonstrated to be a 2-D periodic sawtooth signal [114], [115]. Note that the numbers of repeated cycles along each row and each column of the phase difference matrix correspond to the shift parameters. For this reason, the subpixel shifts can be estimated by finding how many cycles of the sawtooth phase difference fit in the range $[0, 2\pi]$ along each frequency axis, without the need for the unwrapping process. This problem can be solved by a constrained least squares estimation, either using a set of zero crossings of the phase difference matrix and Hough transform [115], or by imposing a rank constraint for the phase difference and a shape constraint for the gradient filter [114]. In [116], the phase of the cross-power spectrum difference was calculated, which was shown to be a discrete 2-D periodic binary stripe signal. Similarly, the subpixel shifts can be determined by counting the number of cycles of the phase matrix of the cross-power spectrum difference along each axis, using the phase discontinuities and Hough transform.

In addition, determining the subpixel shifts can be considered as an instantaneous frequency estimation problem of the cross-power spectrum in the frequency domain [119]. For instance, a subspace-based frequency estimation method was applied in [117] for subpixel Fourier-based image correlation, which calculates the subpixel shifts by applying the multiple signal classifier algorithm to the correlation matrix of data along each axis.

Nonlinear optimization is another typical approach for subpixel calculation. In the frequency domain, the objective function can be formulated by means of the measured cross-power spectrum $Q(u, v)$ and the theoretical one $S(u, v) = \exp(-i(u\Delta x + v\Delta y))$. For instance, an optimization-based method was proposed based on the Hermitian inner product [71], [119]. The projection of Q onto the continuous space defined by the theoretical normalized cross-power spectrum is given by

$$\begin{aligned} P_{Q,S}(\Delta x, \Delta y) &= \sum_u \sum_v Q(u, v) S^*(u, v) \\ &= \sum_u \sum_v Q(u, v) \exp(i(u\Delta x + v\Delta y)). \end{aligned} \quad (29)$$

The subpixel shifts are estimated by maximizing the modulus $|MP_{Q,S}(\Delta x, \Delta y)|$, where M is a binary mask to filter out some corrupted frequencies. In [120], an improved method was provided, which minimizes the Frobenius norm of the difference between the measured and theoretical cross-power spectrum. The cost function is defined as

$$\begin{aligned} \phi(\Delta x, \Delta y) &= \sum_{u=-\pi}^{\pi} \sum_{v=-\pi}^{\pi} W(u, v) \\ &\quad \cdot |Q(u, v) - \exp(-i(u\Delta x + v\Delta y))|^2 \end{aligned} \quad (30)$$

where W is a certain frequency weighting or masking matrix. It can be verified that minimizing $\phi(\Delta x, \Delta y)$ is equivalent to maximizing the real part of $MP_{Q,S}(\Delta x, \Delta y)$, if using the same mask. The optimization problem can be solved by a gradient

descent algorithm with appropriate initialization, e.g., the two-point step size algorithm.

C. Summary

A simulated correlation test with ground truth was carried out to investigate the performance of subpixel Fourier-based image correlation methods. Twenty one synthetic image pairs were generated from bicubic interpolation of a reference image with subpixel shifts ranging from -1 to 1 pixels by a step of 0.1 . It is noted that a certain amount of aliasing is introduced in the resampled images since the ideal interpolation is not realized, which leads to correlation biases for different subpixel methods. Subpixel shifts were calculated using correlation windows of 64×64 pixels scanning the images with a constant step of 32 pixels in each direction. Eleven representative subpixel methods, including peak centroid, parabola fitting, Gaussian fitting, 2-D sinc derivation (Foroosh) [20], 1-D sinc derivation (PEF) [77], modified sinc function (esinc) [84], modified MHW [86], upsampling [91], plane fitting [104], line fitting [110], and optimization [120], are compared. Two hundred and ten thousand correlation measures are gathered in total for each method. The performance is assessed by examining the absolute errors between the estimates and the ground truth. The experimental results are shown in Fig. 4 in the form of boxplots with the mean absolute error below the labels.

In summary, the subpixel Fourier-based image correlation methods calculated in the spatial domain is normally more computationally efficient, but less robust, while the subpixel methods calculated in the frequency domain is normally more accurate, but more time consuming. The performance of the subpixel calculation in the spatial domain is highly dependent on the data quality, the interpolation algorithm, and the approximation degree of the fitting model to the actual peak shape. The peak centroid method and simple interpolation methods suffer from the systematic errors and are sensitive to the practical noises. The subpixel resolution and computational time of upsampling method is determined by the upsampling factor. Using the specifically designed fitting models and nonlinear optimization can improve the performance to some extent. In contrast, the subpixel Fourier-based image correlation methods calculated in the frequency domain avoid inverse transforming the cross-power spectrum and directly rely on the mathematical expression of phase difference. Aliasing, noise, and other artifacts, which are mainly located at the high-frequency components in the Fourier domain, become dispersed in the spatial domain [106]. Therefore, avoiding the inverse FT process contributes to dealing with these artifacts, in a theoretical sense. Several additional measures, such as frequency masking [95], [120] and robust estimation [110], [114], can be easily implemented in the workflow to enhance the robustness and accuracy under uncontrolled conditions. But these measures also inevitably cost more computation time. The selection of an appropriate subpixel Fourier based image correlation method in the real applications is driven by implementation detail, matching strategy, image quality and scene condition, as well as requirements in accuracy, robustness, resolution, and efficiency.

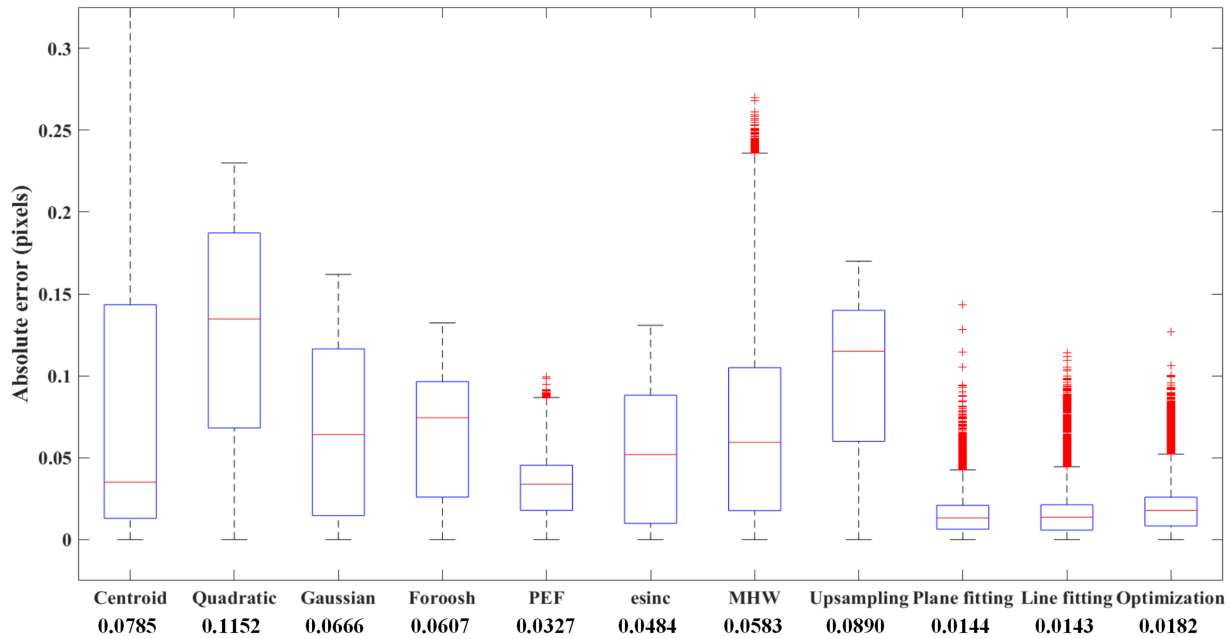


Fig. 4. Boxplots of the absolute errors for different subpixel methods. The mean absolute error of each method is listed below the labels.

IV. MAJOR APPLICATIONS

Advances in algorithms with better performances have promoted the broader application of Fourier-based image correlation methods. Generally speaking, there are three different ways of utilizing Fourier-based image correlation in applications: 1) evaluation of similarity to provide a good similarity measure between images, which is required in several recognition and classification tasks; 2) global image registration, which involves aligning two corresponding images with a similarity transformation by means of FM transform; and 3) local displacement estimation, which involves calculating accurate shift values between patches at some key points, or in a dense manner. A broad range of applications and extensions can benefit from Fourier-based image correlation methods, especially with higher accuracy, robustness, and computational efficiency. In this review, we present a brief overview of these applications from three aspects: 1) image registration extension; 2) close-range imagery and signals; and 3) remote sensing imagery. Table II shows a nonexhaustive list of the major applications in these three aspects.

A. Image Registration Extension

Image registration with Fourier-based image correlation and FM transform can recover a rotation-scale-translation model between images. The most direct applications are developing hybrid registration frameworks associated with other registration algorithms and aligning specific types of images.

The roles of image registration with Fourier-based image correlation and FM transform in a hybrid registration framework lie in two aspects. On the one hand, global registration with FM transform is free of iteration, and can thus directly work in the presence of large motions. More importantly, its

computational cost is independent of the transformation value and scene complexity. Therefore, it can be complementary to other algorithms to realize efficient image registration. For instance, it can provide a suitable initial approximation, and thus facilitate the convergence for the iteration-based registration algorithms, such as the Keren algorithm [122], [123], the inverse-compositional Gauss–Newton algorithm [124], and the Lucas-Kanade algorithm [125], [126]. It can also provide an initial predicted location, and thus decrease the search range for feature-based matching [127], [128]. On the other hand, template matching based on Fourier-based image correlation can achieve accurate and efficient translation estimation, which is conducive to finding the corresponding feature points and can improve the precision of feature-based matching [129].

In addition, as image registration using Fourier-based image correlation and FM transform is robust to radiometric difference and noise, it has been widely adopted to register different types of 2-D or 3-D images, such as medical images [109], [130], solar coronal images [66], sonar images [131], [132], InSAR images [133], hyperspectral images [134], and multisource remote sensing images [99], [135].

B. Close-Range Imagery and Signals

Fourier-based image correlation methods have been commonly used to process close-range imagery and signals for different purposes, due to their compelling advantages on accuracy and efficiency over the traditional spatial correlation methods. In the field of biometric recognition and matching [136], PC and its extensions have been extensively adopted to measure the global similarity between images in various biometric authentication tasks, such as fingerprint matching [137], iris recognition [138], finger knuckle print recognition [139], face recognition

TABLE II
LIST OF APPLICATIONS USING FOURIER-BASED IMAGE CORRELATION

Application aspect	Application description	Examples
Image registration with Fourier-based correlation and FM transform	FM transform & Keren algorithm	Li [122], Zhou <i>et al.</i> [123]
	FM transform & inverse-compositional Gauss-Newton algorithm	Pan <i>et al.</i> [124]
	FM transform & Lucas-Kanade algorithm	Douini <i>et al.</i> [125], Li [126]
	FM transform & feature-based matching	Zhao <i>et al.</i> [127], Li <i>et al.</i> [128], Li and Man [129]
	InSAR image registration	Abdelfattah and Nicolas [133]
	Hyperspectral image registration	Erives and Fitzgerald [134], Ordóñez <i>et al.</i> [194], [195]
	Medical image registration	Wilson and Theriot [130], San José Estépar <i>et al.</i> [109], Kolar <i>et al.</i> [196], Vaillant <i>et al.</i> [197]
	Multi-sensor image coregistration	Liu and Yan [99], Scheffler <i>et al.</i> [135], Skakun <i>et al.</i> [198], Henke <i>et al.</i> [199]
	Solar coronal image registration	Druckmüller [66]
	Sonar image registration	Bülow and Birk [131], Li <i>et al.</i> [200], Hurtós <i>et al.</i> [132]
Close-range imagery and signals	Biometric recognition and matching	Ito <i>et al.</i> [137], Miyazawa <i>et al.</i> [138], Zhang <i>et al.</i> [139], Rizo-Rodriguez <i>et al.</i> [140], Mohd Asaari <i>et al.</i> [141], Rida <i>et al.</i> [142], Kumar [143]
	Disparity (3D) acquisition	Takita <i>et al.</i> [82], Muquit <i>et al.</i> [201], Chen <i>et al.</i> [83]
	Geophysical processing	Moriya [144], Wu <i>et al.</i> [202], Tomar <i>et al.</i> [145]
	Auto-focusing	Jang <i>et al.</i> [146]
	Digital image stabilization	Ertürk [147], Kumar <i>et al.</i> [203]
	Duplication detection	Wu <i>et al.</i> [148], Shao <i>et al.</i> [204]
	Image processing and analysis	Image watermarking Zheng <i>et al.</i> [149], Kang <i>et al.</i> [205], Ouyang <i>et al.</i> [206]
		Nonuniformity correction Zuo <i>et al.</i> [150], Liu and Xie [207], Caron <i>et al.</i> [67]
		Super-resolution Vandewalle <i>et al.</i> [98], Young and Driggers [89]
		Symmetry detection Keller and Shkolnisky [151], Tzimiropoulos <i>et al.</i> [208]
	Video processing and analysis	Cut detection Vlachos [152], Urhan <i>et al.</i> [209]
		Video alignment Dai <i>et al.</i> [153], Jia <i>et al.</i> [210]
		Video coding Mittal <i>et al.</i> [211], Ismail <i>et al.</i> [212], Paul <i>et al.</i> [154]
		Video quality measurement Barkowsky <i>et al.</i> [155]
	Motion estimation and tracking (others)	Electron backscatter diffraction measurement Miyamoto <i>et al.</i> [156], Riedl and Wendrock [213]
		Laboratory seismic measurement Caniven <i>et al.</i> [214], Rubino <i>et al.</i> [157]
		Medical image analysis Kelly <i>et al.</i> [158], de Oliveira <i>et al.</i> [215], Krafft <i>et al.</i> [216]
		MEMS measurement Serio <i>et al.</i> [217], Teyssieux <i>et al.</i> [159]
		Micro/Nano analysis Yang <i>et al.</i> [160], Recknagel and Rothe [218], Cizmar <i>et al.</i> [219], Marturi <i>et al.</i> [161]
		Particle image velocimetry Roesgen [94], Thomas <i>et al.</i> [162], Eckstein and Vlachos [220], Raben <i>et al.</i> [221]

(CONTINUED.) LIST OF APPLICATIONS USING FOURIER-BASED IMAGE CORRELATION

Remote sensing imagery	Structural dynamics measurement	Hild <i>et al.</i> [222], Sawada and Sakamoto [223], Feng <i>et al.</i> [163], Wang <i>et al.</i> [224]
	Visual compass/odometry	Morbidi and Caron [164], Birem <i>et al.</i> [225]
	Visual tracking	Zhang <i>et al.</i> [165]
	GCP acquisition	Leprince <i>et al.</i> [120], Jiang <i>et al.</i> [170], Tang <i>et al.</i> [226]
	Hyperspectral image processing	Ertürk and Ertürk [167], Demir and Ertürk [168], Çeşmeci and Güllü [169]
	Narrow baseline stereo	Morgan <i>et al.</i> [101], Li <i>et al.</i> [75], Ma <i>et al.</i> [80], Ye <i>et al.</i> [227]
	CIAS/OC-Glacier	Dehecq <i>et al.</i> [173], Han <i>et al.</i> [228], Schaffer <i>et al.</i> [229], Sakakibara and Sugiyama [230]
	COSI-Corr-Earthquake	Taylor <i>et al.</i> [172], Avouac <i>et al.</i> [231], Elliott <i>et al.</i> [232], Socquet <i>et al.</i> [233]
	COSI-Corr-Glacier	Scherler <i>et al.</i> [174], Gantayat <i>et al.</i> [234], Armstrong <i>et al.</i> [235], Shen <i>et al.</i> [236]
	Surface dynamics monitoring	Lucieer <i>et al.</i> [176], Stumpf <i>et al.</i> [237], Turner <i>et al.</i> [238], Bontemps <i>et al.</i> [239]
	COSI-Corr-Sand dune	Necsoiu <i>et al.</i> [178], Bridges <i>et al.</i> [179], Ayoub <i>et al.</i> [240], Michel <i>et al.</i> [241]
	COSI-Corr-Others	de Michele <i>et al.</i> [180], [181]
	Others	Van Puymbroeck <i>et al.</i> [119], González <i>et al.</i> [100], Thomas <i>et al.</i> [182], Berg and Eriksson [183], Lacroix <i>et al.</i> [177], Fang <i>et al.</i> [175]
	Attitude jitter detection and analysis	Iwasaki [184], Mumtaz and Palmer [242], Tong <i>et al.</i> [185], [243], Ye <i>et al.</i> [244]
	Attitude model validation	Pan <i>et al.</i> [186]
	Georeferencing improvement	Pardo-Pascual <i>et al.</i> [187], Mumtaz <i>et al.</i> [188], Almonacid-Caballer <i>et al.</i> [245]
	Misalignment analysis and correction (others)	Kääb and Leprince [189], Wan <i>et al.</i> [190], Skakun <i>et al.</i> [246]
	Motion/Change detection	Kääb and Leprince [189], Wan <i>et al.</i> [190], Skakun <i>et al.</i> [246]
	On-orbit calibration	Leprince <i>et al.</i> [191], Yokoya <i>et al.</i> [192]
	Orthoimage assessment	Dong <i>et al.</i> [193]

[140], finger vein recognition [141], gait recognition [142], and palmprint recognition [143]. In the field of geophysical processing, Fourier-based image correlation has been explored to identify similar microseismic events by evaluating the waveform similarity of time-frequency representations [144] and to measure the subsample vertical and horizontal displacement components in time-lapse seismic [145].

Furthermore, a variety of tasks in image and video processing and analysis benefit from the use of Fourier-based image correlation methods. The exemplary tasks introduced in the literature include auto focusing [146], digital image stabilization [147], duplication detection [148], image watermarking [149], nonuniformity correction [150], super resolution [98], symmetry detection [151], cut detection [152], video alignment [153], video coding [154], and video quality measurement [155]. Besides, some studies have adopted Fourier-based image correlation methods in a dense manner to estimate the disparity between stereo images and implement 3-D measurement [82], [83]. There have also been many studies that have focused on using Fourier-based image correlation methods to achieve motion estimation and tracking in diverse research areas. The prominent

application scenarios include electron backscatter diffraction measurement [156]; laboratory seismic measurement [157]; medical image analysis [158]; microelectromechanical system (MEMS) measurement [159]; micro/nano analysis, including drift compensation [160] and nanopositioning [161]; particle image velocimetry [162]; structural dynamics measurement [163]; visual compass [164]; and visual tracking [165].

C. Remote Sensing Imagery

With the development of sensor technology and the growing volume of ground observation data, image registration with Fourier-based image correlation has drawn more and more attention in the remote sensing community. In addition to the global alignment of remote sensing images, Fourier-based image correlation methods can provide precise displacement estimation and reliable similarity evaluation in remote sensing applications. In the field of hyperspectral image processing [166], the peak value of the PC has been successfully used as a similarity measure for different tasks, such as segmentation [167], redundancy removal [168], and classification [169].

Most of the applications in remote sensing rely on dense matching based on Fourier-based image correlation methods in order to obtain detailed information from the remote sensing imagery. The application scenarios include, but are not limited to ground control point (GCP) acquisition, narrow baseline stereo, surface dynamics monitoring, and misalignment analysis and correction. In contrast to the conventional GCP acquisition by field survey, some studies have applied high-accuracy PC methods to efficiently obtain an adequate number of GCPs from reference imagery and topographic data, which is of great importance for the subsequent processing [120], [170]. Compared with the traditional wide baseline stereo configuration, narrow baseline stereo has the advantages of a lower possibility of occlusion, low illumination variation, and more similar viewing geometries, but places a higher demand on the precision of the subpixel image matching. In this case, subpixel Fourier-based image correlation methods, especially PC methods, tend to be a suitable choice and have been applied in several studies of precise disparity estimation from narrow baseline stereo [75], [80], [101]. With the increasing availability of high-quality remote sensing images, a growing number of studies are focusing on the monitoring of surface changes due to geologic processes, climate change, or anthropic activity by comparing pairs of repeat images acquired on different dates [120]. Due to the limited resolution of remote sensing imagery, precise image matching is a core component in such applications and largely determines the final performance. Subpixel Fourier-based image correlation has gradually become a principal tool for ground deformation measurement in recent years. For example, Leprince *et al.* [120] proposed an optimization-based subpixel PC method and developed a complete software package called Co-registration of Optically Sensed Images and Correlation (COSI-Corr), with the objective of retrieving ground surface deformation from multitemporal images. Heid and Kääb [18] explored and evaluated the use of orientation correlation (OC) [171] in applications of glacier surface velocity measurement, and developed free software called Correlation Image Analysis Software (CIAS). On this basis, various subpixel Fourier-based image correlation methods have been successfully adopted to investigate the surface dynamics resulting from diverse natural phenomena not only on Earth, but also on Mars and other planets, such as earthquakes [100], [119], [172], ice flows [173]–[175], landslides [176], [177], sand dune migration [178], [179], ocean waves [180], volcanic eruptions [181], and sea ice [182], [183]. In addition, the high-accuracy misalignments acquired by matching a remote sensing image with a near-simultaneous image or a reference image using subpixel Fourier-based image correlation methods can retrieve a large amount of useful information. All this information reflects not only the quality of the sensor and the geometric processing, but also the motion state of the target and platform. Therefore, subpixel Fourier-based image correlation methods play an important role in a variety of misalignment analysis and correction tasks for different purposes, such as attitude jitter detection and analysis [184], [185], attitude model validation [186], georeferencing improvement [187], [188], motion detection of target [189], and vision-based localization of platform [190],

on-orbit calibration [191], [192], and orthoimage assessment [193].

V. CHALLENGES AND FUTURE TRENDS

Although substantial progress has been achieved in Fourier-based image correlation in the past years, there is still room for improvement, and many issues require further investigation. Several challenges and potential future directions are discussed below.

- 1) *High-accuracy and high-efficiency subpixel methods:* In general, it is a tradeoff problem between accuracy and efficiency. It is therefore encouraging to develop subpixel methods with high performance in practical challenges, and to reduce the computational cost of the best-performing methods. This will significantly facilitate the remote sensing applications with large-scale and high-resolution imagery.
- 2) *Handling the issue of small window size:* Following Heisenberg's uncertainty principle, Fourier-based image correlation methods are hypothesized to perform worse than the spatial domain correlation methods on small window sizes [18]. This issue has also been found in practical applications [247]. A possible way to address this issue is using a suitable image representation considering overlapping neighboring information [87]. Further study into improving the performance in the case of small window sizes is highly recommended. With the small window size for dense matching and motion estimation, more details can be preserved by Fourier-based image correlation, and the result resolution can be increased.
- 3) *Effective task-oriented matching frameworks for different applications:* The rapid expansion of image data and the remarkable progress of the subpixel methods offer more potential applications to Fourier-based image correlation. However, different tasks and scenarios have different requirements. In addition to the methods, further improvements should also concentrate on developing advanced task-oriented matching frameworks, including algorithm implementation, hierarchical and adaptive matching strategies, as well as intelligent preprocessing and postprocessing procedures. Several matching frameworks have already been proposed in some previous works, such as [75], [82], [83], and [248]. In order to ensure satisfactory performance, specific matching frameworks for diverse applications in photogrammetry, remote sensing, and other fields should be further designed.
- 4) *Quantitative comparison in algorithms, implementations, and processing frameworks:* It is worth carrying out the performance evaluation with public benchmark datasets for diverse applications, such as alignment, motion monitoring, disparity estimation, and similarity measurement. The comparative analysis provides more possibilities to boost the performance and robustness of Fourier-based image correlation in real cases, and to explore the systematic error characteristics, e.g., interpolation artifacts

and pixel locking effects [110]. On the other hand, this would also contribute to the selection of optimal algorithms, implementations and processing frameworks in different applications as well as offer opportunities for new applications [189].

VI. CONCLUSION

Image registration with Fourier-based image correlation has become a vital tool in various research fields and has been broadly used in many different applications due to its compelling advantages and promising capabilities. In recent years, it has attracted considerable attention and made significant progress, especially for subpixel methods. Therefore, in this review, we have extensively summarized and investigated most of the essential works in the literature related to the existing Fourier-based image correlation methods and applications. This gathered analysis will allow us to obtain an insight into the current state of the art, to develop new variants, to explore potential applications, and to suggest promising future trends.

The basic principles underlying Fourier-based image correlation methods and the extension to rotation-scaling-translation image registration with FM transform have been introduced. To provide a common context for the various subpixel methods, the state-of-the-art subpixel Fourier-based image correlation methods have been surveyed according to two categories, i.e., subpixel calculation in the spatial domain and in the frequency domain. The typical solutions and models in each category have been outlined and discussed. In addition, the recent advances of Fourier-based image correlation methods have greatly promoted a wide variety of applications. We have briefly reviewed the major applications from three aspects: Image registration extension, close-range imagery and signals, and remote sensing imagery.

Several challenges and emerging trends for improving the performance and efficiency in the applications have been discussed. It is expected that future developments and applications of image registration with Fourier-based image correlation will continue to be put forward in the upcoming years.

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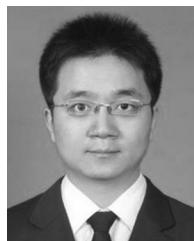


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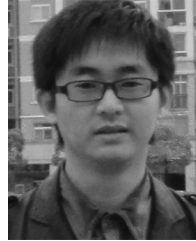
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