

# NPTEL Week 4 Live Sessions

on Deep Learning (noc24\_ee04)

A course offered by: Prof. Prabir Kumar Biswas, IIT Kharagpur

- Python coding for SVM, KNN
- Week 3 quiz solution
- Week 4 practice questions



By

**Arka Roy**

NPTEL PMRF TA

Prime Minister's Research Fellow

Department of Electrical Engineering, IIT Patna

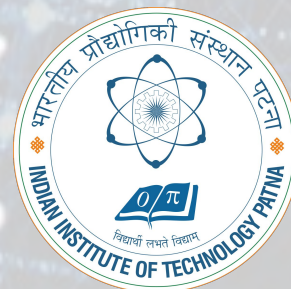
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# Content of the live session

1. Python coding for week-3 content
2. Quiz solution of week 3
3. Solving numerical problems from week 4

→ KNN  
→ SVM.

CIFAR-10

MNIST

IRIS



① Find the scalar projection of vector  $b = \langle -3, 2 \rangle$  onto vector  $a = \langle 1, 1 \rangle$ ?

- a. 0
- b.  $\frac{1}{\sqrt{2}}$
- c.  $\frac{-1}{\sqrt{2}}$
- d.  $\frac{-1}{2}$

Diagram showing vector  $\vec{a}$  and vector  $\vec{b}$ . The scalar projection of  $\vec{b}$  onto  $\vec{a}$  is shown as the length of the projection of  $\vec{b}$  onto  $\vec{a}$ .

$$\left( \frac{\vec{a} \cdot \vec{b}}{\|\vec{a}\|^2} \right) \cdot \vec{a} = \text{scalar projection}$$

$$= \frac{-3 \times 1 + 2 \times 1}{\sqrt{1^2 + 1^2}} = \frac{-1}{\sqrt{2}}$$

② Suppose there is a feature vector represented as  $[1, 4, 3]$ . What is the distance of this feature vector from the separating plane  $x_1 + 2x_2 - 2x_3 + 3 = 0$ . Choose the correct option.

- a. 1
- b. 5
- c. 3
- d. 2

Feature vector  $\vec{v} = [1, 4, 3]$ .

plane eq:  $x_1 + 2x_2 - 2x_3 + 3 = 0$ .

$W = [1 \ 2 \ -2]$   $b = 3$ .

Distance =  $\frac{|1 + (2 \times 4) - (2 \times 3) + 3|}{\sqrt{1^2 + 2^2 + 2^2}} = \frac{1 + 8 - 6 + 3}{\sqrt{4 + 4 + 1}} = \frac{6}{3} = 2$

$W^t X + b = 0$

Diagram showing vector  $\vec{v}$  and its projection onto the plane. The projection is labeled  $\vec{v} + b \cdot \frac{\vec{W}}{\|\vec{W}\|}$ .

Decision surface.

10 Find the distance of the 3D point,  $\vec{P} = (-2, 4, 1)$  from the plane defined by  $2x + 3y + 6z + 7 = 0$ ?

plane.

- a. 3
- b. 4
- c. 0
- d.  $\infty$  (infinity)

$$\vec{W} = [2 \ 3 \ 6]$$

$$\text{Bias} = 7$$

~~$$4 + 9 + 36$$~~  
~~$$= 49$$~~

$$\frac{\vec{W} \cdot \vec{P} + \text{bias}}{\|\vec{W}\|}$$

$$= \frac{(2 \times -2) + (3 \times 4) + (6 \times 1) + 7}{\sqrt{2^2 + 3^2 + 6^2}}$$

$$= \frac{-4 + 12 + 6 + 7}{\sqrt{49}} = \frac{21}{7} = 3$$



If we employ SVM to realize two input logic gates, then which of the following will be true?

- The weight vector for AND gate and OR gate will be same.
- The margin for AND gate and OR gate will be same.
- Both the margin and weight vector will be same for AND gate and OR gate.
- None of the weight vector and margin will be same for AND gate and OR gate.

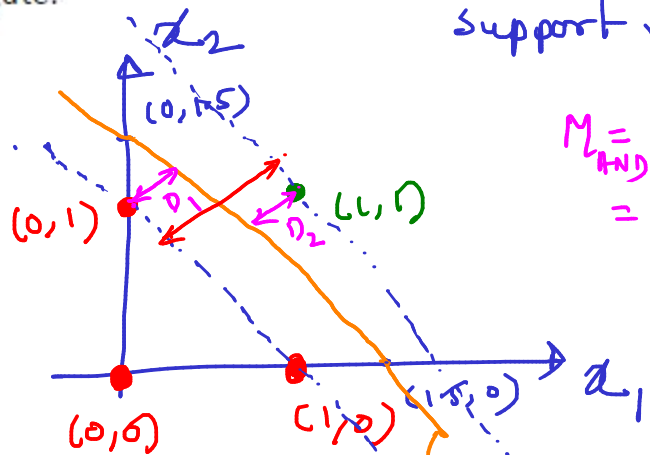
→ Maximize the classification with maximized Margin value.

SVM decision boundary.

AND Gate: →

Feature		Label/class.
$x_1$	$x_2$	$y$
0	0	0
0	1	0
1	0	0
1	1	1

$W_{AND} = [1, 1, -1.5]$



Support vectors are =  $(1, 1), (0, 1), (1, 0)$

$M_{AND} = D_1 + D_2$

= orthogonal dist  $(0, 1)$  to Decision Surface +  $(1, 1)$  to Decision surface

$$D_1 = \frac{|0 + 1 - 1.5|}{\sqrt{1^2 + 1^2}} = \frac{1}{2\sqrt{2}}$$

$$D_2 = \frac{|1 + 1 - 1.5|}{\sqrt{1^2 + 1^2}} = \frac{1}{2\sqrt{2}}$$

$M = \frac{1}{\sqrt{2}}$

⇒  $x_1 + x_2 = 1.5$

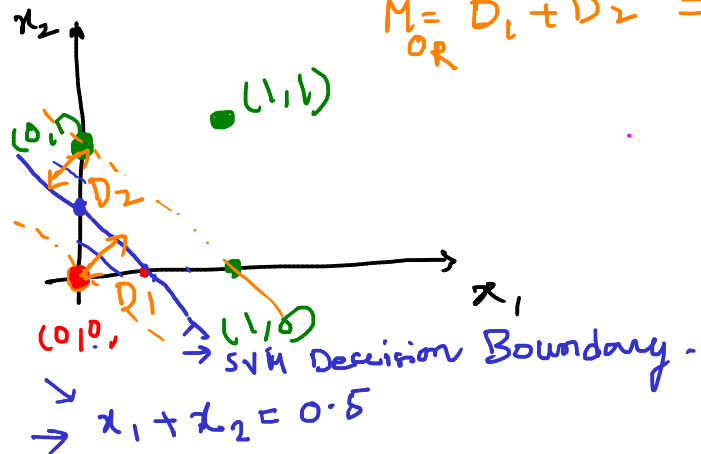
⇒  $\frac{x_1}{1.5} + \frac{x_2}{1.5} = 1$

→  $x + y = 1$

If we employ SVM to realize two input logic gates, then which of the following will be true?

$x_1$	$x_2$	$z$
0	0	0
0	1	1
1	0	1
1	1	1

- a. ~~The weight vector for AND gate and OR gate will be same.~~
- b. ☒ The margin for AND gate and OR gate will be same.
- c. ~~Both the margin and weight vector will be same for AND gate and OR gate.~~
- d. ~~None of the weight vector and margin will be same for AND gate and OR gate.~~



$$W_{OR} = [1, 1, -0.5]$$

$$M_{OR} = D_1 + D_2 = \frac{|(0 \times 1) + (0 \times 1) - 0.5|}{\sqrt{1^2 + 1^2}} + \frac{|(0 \times 1) + (1 \times 1) - 0.5|}{\sqrt{1^2 + 1^2}}$$

$$= \frac{1}{2\sqrt{2}} + \frac{1}{2\sqrt{2}} = \frac{1}{\sqrt{2}}$$

$$M_{AND} = M_{OR}$$

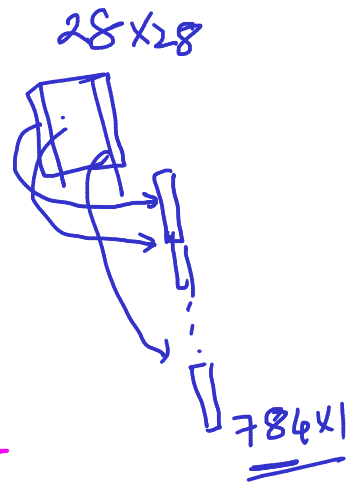
$$W_{OR} \neq W_{AND}$$

Diagram illustrating the margin calculation for a linear classifier. A decision boundary is shown, separating two classes. The weight vector  $w$  is perpendicular to the boundary. The margin is the distance from the boundary to the nearest data point. The formula for the margin is given as:

$$\text{Margin} = \frac{2}{\|w\|}$$

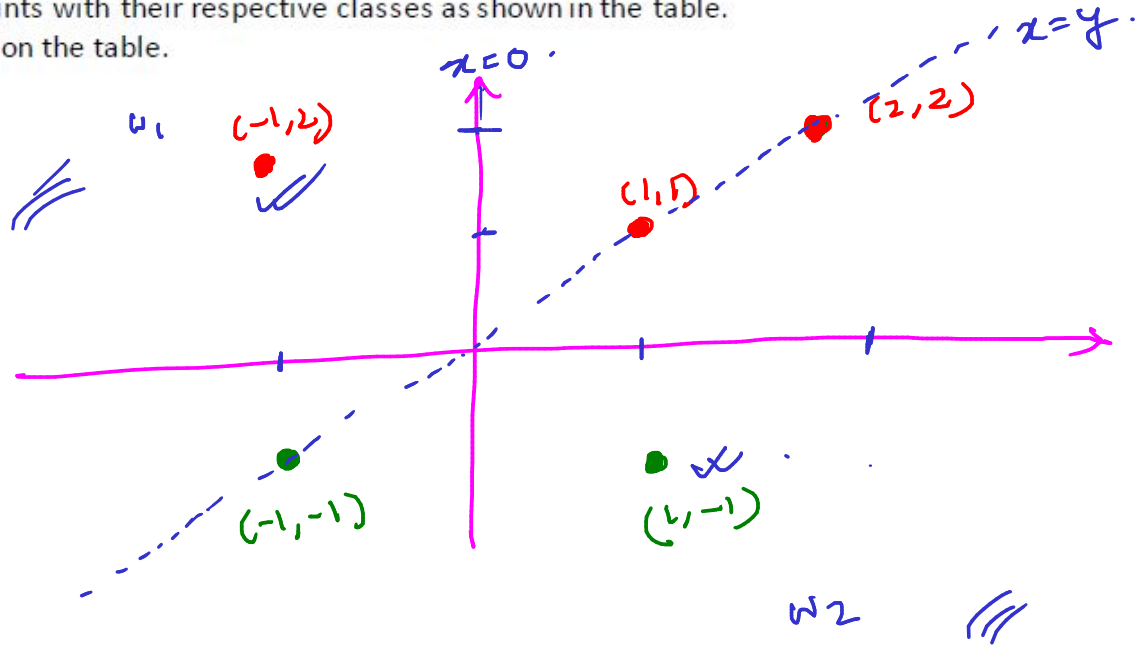
The condition for a point  $x_i$  to be correctly classified is:

$$y_i (w^T x_i + b) \geq 1$$



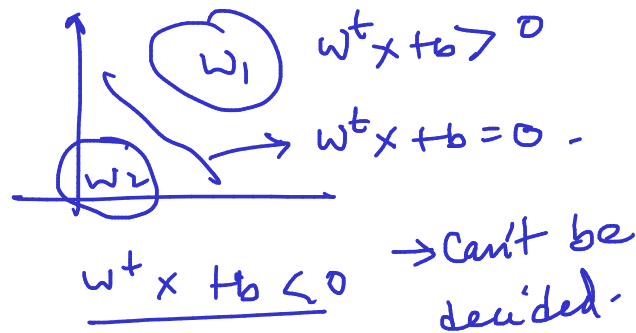
Suppose we have the below set of points with their respective classes as shown in the table.  
Answer the following question based on the table.

X	Y	Class Label
1	1	+1
-1	-1	-1
2	2	+1
-1	2	+1
1	-1	-1



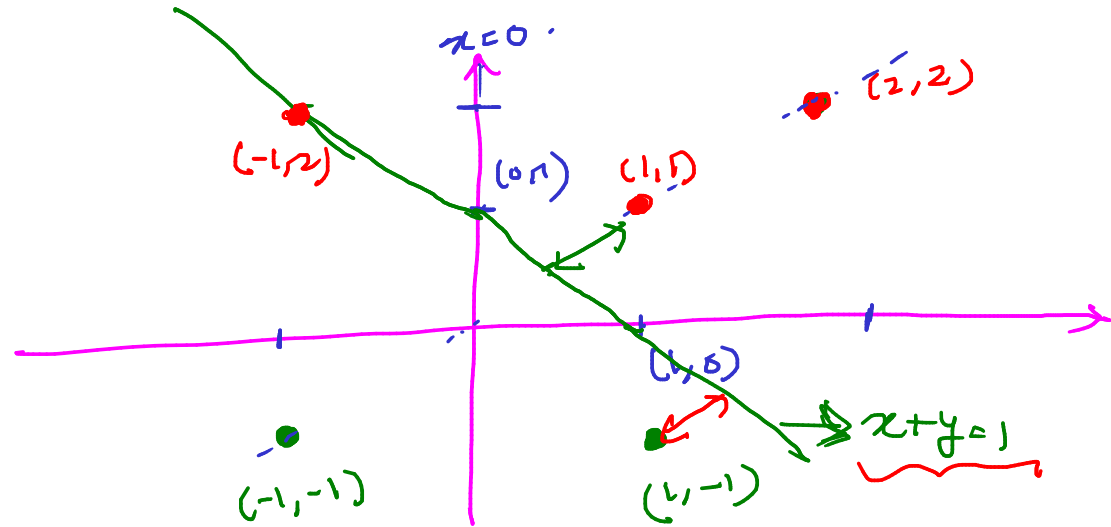
What can be a possible decision boundary of the SVM for the given points?

- a.  $y = 0$
- b.  $x = 0$  ✗
- c.  $x = y$  ✗
- d.  $x + y = 1$



Suppose we have the below set of points with their respective classes as shown in the table.  
Answer the following question based on the table.

X	Y	Class Label
1	1	+1
-1	-1	-1
2	2	+1
-1	2	+1
1	-1	-1



$$\begin{aligned}
 M &= \frac{|x| + |x| - 1}{\sqrt{1^2 + 1^2}} + \frac{|-1 - 1|}{\sqrt{1^2 + 1^2}} \\
 &= \frac{1}{\sqrt{2}} + \frac{1}{\sqrt{2}} = \frac{2}{\sqrt{2}} = \sqrt{2} \\
 &= \underline{\underline{1.414}}
 \end{aligned}$$

What can be a possible decision boundary of the SVM for the given points?

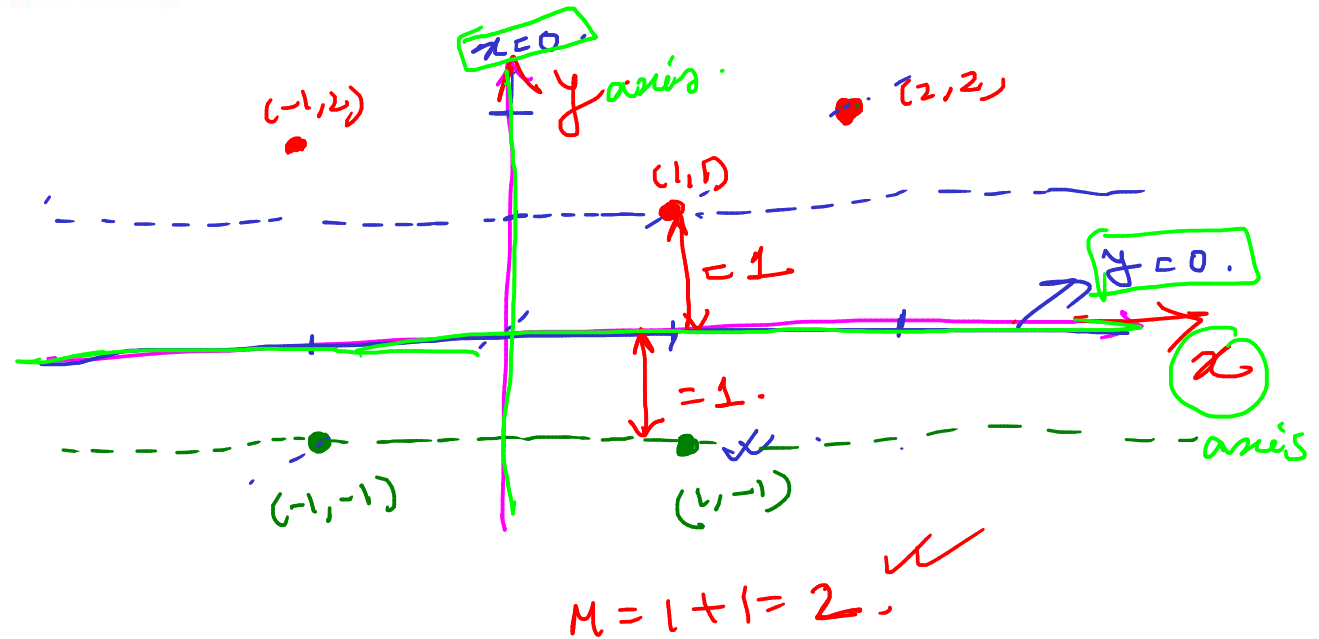
- a.  $y = 0$
- b.  $x = 0$
- c.  $x = y$
- d.  $x + y = 1$

$$-1 + 2 = 1$$



Suppose we have the below set of points with their respective classes as shown in the table.  
Answer the following question based on the table.

X	Y	Class Label
1	1	+1
-1	-1	-1
2	2	+1
-1	2	+1
1	-1	-1

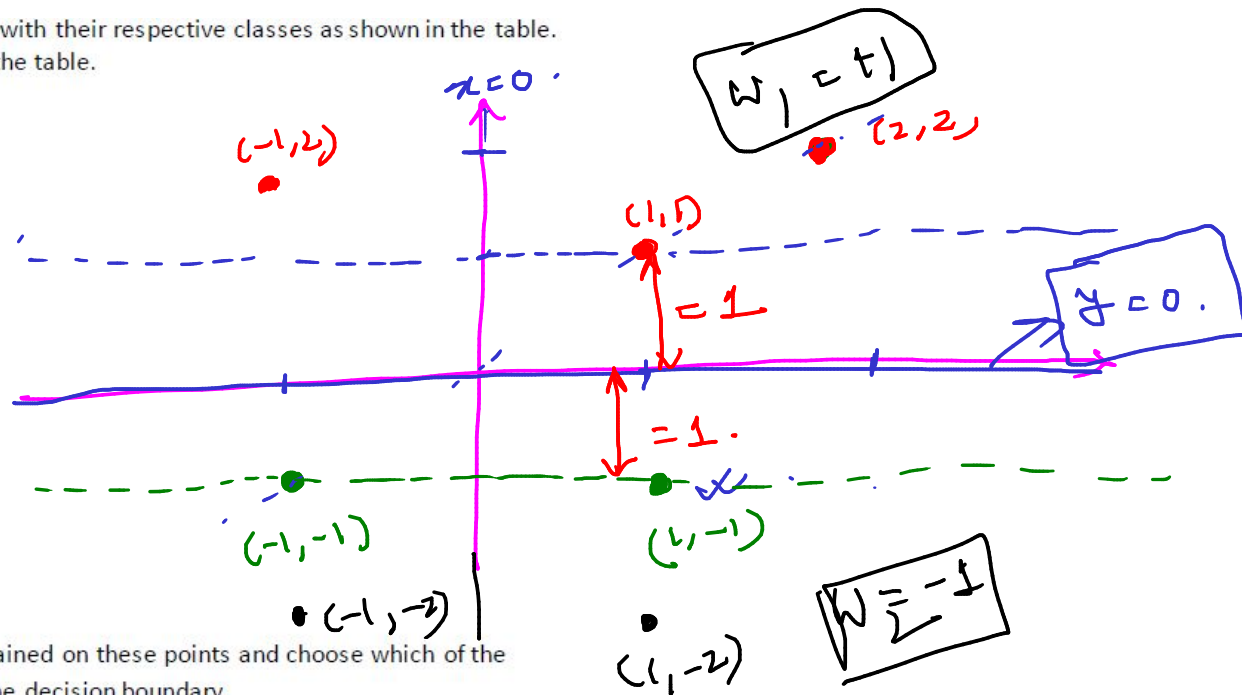


What can be a possible decision boundary of the SVM for the given points?

- a.  $y = 0$  ✓
- b.  $x = 0$
- c.  $x = y$
- d.  $x + y = 1$

Suppose we have the below set of points with their respective classes as shown in the table.  
Answer the following question based on the table.

X	Y	Class Label
1	1	+1
-1	-1	-1
2	2	+1
-1	2	+1
1	-1	-1



Find the decision boundary of the SVM trained on these points and choose which of the following statements are true based on the decision boundary.

- ☒ i) The point  $(-1, -2)$  is classified as -1
  - ☐ ii) The point  $(-1, -2)$  is classified as +1
  - ☒ iii) The point  $(1, -2)$  is classified as -1
  - ☐ iv) The point  $(1, -2)$  is classified as +1
- a. Only statement ii is true  
☒ b. Both statements i and iii are true  
 c. Both statements i and iv are true  
 d. Both statements ii and iii are true

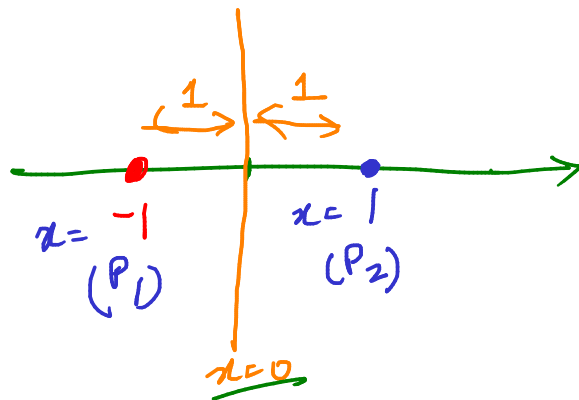
Suppose we have one feature  $x \in \mathbb{R}$  and binary class  $y$ . The dataset consists of 3 points:  $p_1: (x_1, y_1) = (-1, -1)$ ,  $p_2: (x_2, y_2) = (1, 1)$ ,  $p_3: (x_3, y_3) = (3, 1)$ . Which of the following true with respect to SVM?

$$x \in \mathbb{R} \quad (1)$$

$$y \in [-1, 1]$$

- a. Maximum margin will increase if we remove the point  $p_2$  from the training set.  $M=4$
- b. Maximum margin will increase if we remove the point  $p_3$  from the training set.  $M=2$
- c. Maximum margin will remain same if we remove the point  $p_2$  from the training set.
- d. None of the above.

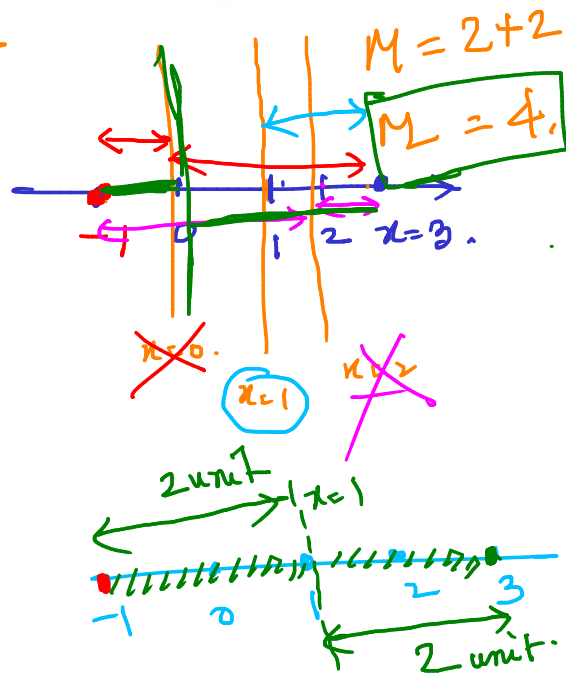
Point	Feature		Class.
	$x$	$y$	
$p_1$	-1	-1	Red
$p_2$	1	1	
$p_3$	3	1	Blue



$$M = 1 + 1 = 2.$$

$$M = 2$$

(A)



Choose the correct option regarding classification using SVM for two classes

Statement i: While designing an SVM for two classes, the equation  $y_i(a^t x_i + b) \geq 1$  is used to choose the separating plane using the training vectors.

Statement ii: During inference, for an unknown vector  $x_j$ , if  $y_j(a^t x_j + b) \geq 0$ , then the vector can be assigned class 1.

Statement iii: During inference, for an unknown vector  $x_j$ , if  $(a^t x_j + b) > 0$ , then the vector can be assigned class 1.

Statement iv: While designing an SVM for two classes, the equation  $y_i(a^t x_i + b) \geq 1$  is used to choose the separating plane using the training vectors.

Training -  
Test -  
Inference

- a. Only Statement i is true
- b. Both Statements ii and iii are true
- c. Both Statements i and ii are true
- d. Both Statements iii and iv are true

Training:-  
 $y_i(a^t x_i + b) \geq 1$

Testing:-

$a^t x + b > 0$   
f.w)

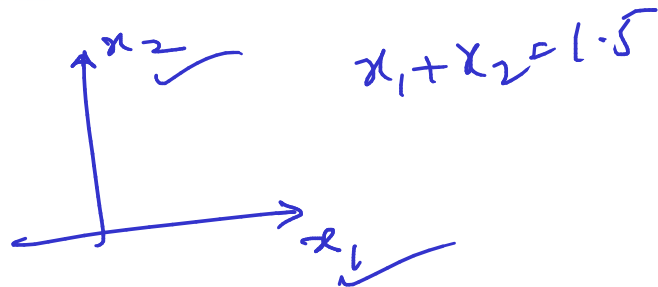
$a^t x + b < 0$   
w2.

$y_i$  if  $\downarrow 1, \uparrow -1$   
 $y_i(a^t x + b) \geq 1$  f.w)  
 $a^t x + b < 1$  w2

$a^t x + b = -2$   
 $x-1 = 2 \geq 1$   
 $y_i(a^t x_i + b) \geq 1$

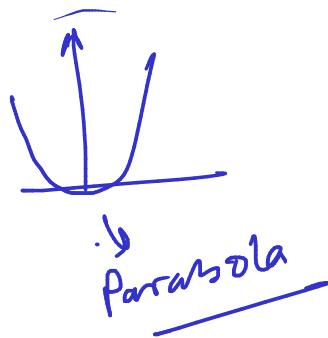
The shape of the loss landscape during optimization of SVM resembles to which structure?

- a. Linear
- b. Ellipsoidal
- c. Non-convex with multiple possible local minimum
- d. Paraboloid



$$\text{Margin} = \frac{2}{\|w\|}$$

$$\text{constraint: } - y_i(w^T x_i + b) \geq 1$$



$$w = [1 \ 1] \quad \underline{784}$$

$$b = 1.5$$

→ Lagrangian:  $\mathcal{L}(d)$

$$\frac{\partial \mathcal{L}}{\partial b}, \frac{\partial \mathcal{L}}{\partial w}$$

$$\mathcal{L}(d) = \sum_i d_i d_i^2$$

$$y = x^2$$



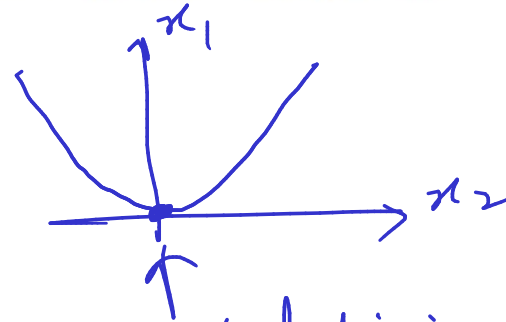
How many local minimum can be encountered while solving the optimization for maximizing margin for SVM?

a. 2

~~b. 1~~

c.  $\infty$  (infinite)

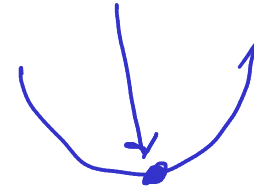
d. 0



Global Minima = local minima



Minima

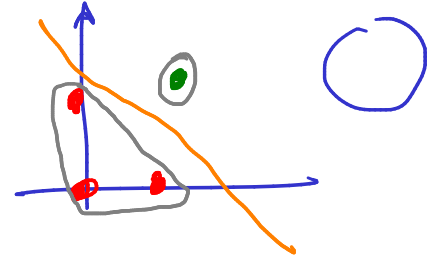


Which of the following cannot be realized with single layer perceptron (only input and output layer)?

- a. AND
- b. OR
- c. NAND
- d. XOR

Linearly separable Case  $\rightarrow$  single Neuron.

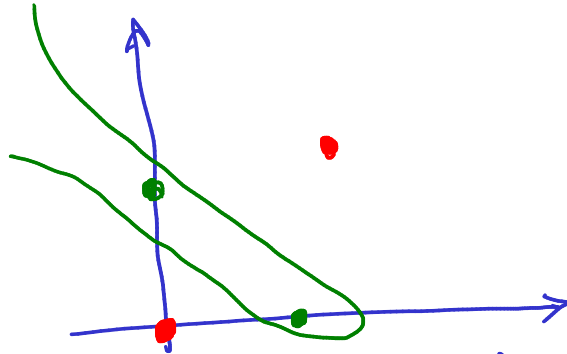
AND



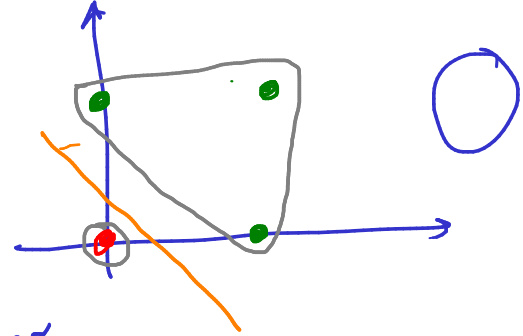
Nonlinear  $\rightarrow$  More than one Neuron

OR

XOR ✓



line  $\rightarrow$  Nonlinear Decision Boundary.



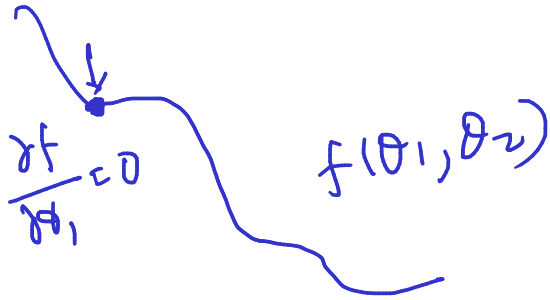
For a function  $f(\theta_0, \theta_1)$ , if  $\theta_0$  and  $\theta_1$  are initialized at a local minimum, then what should be the values of  $\theta_0$  and  $\theta_1$  after a single iteration of gradient descent:

$$f(\theta_1, \theta_0)$$

- a.  $\theta_0$  and  $\theta_1$  will update as per gradient descent rule
- b.  $\theta_0$  and  $\theta_1$  will remain same
- c. Depends on the values of  $\theta_0$  and  $\theta_1$
- d. Depends on the learning rate

$$\theta(k+1) \leftarrow \theta(k) - \eta \cdot \frac{\partial f}{\partial \theta}$$

learning rate



$$\theta_1(k+1) = \theta_1(k) - \eta \frac{\partial f}{\partial \theta_1}$$

$$\theta_1(k+1) = \theta_1(k)$$

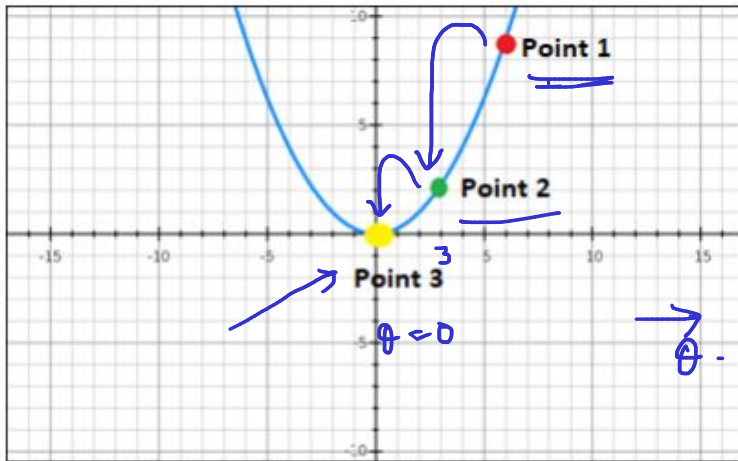
same.

$$\theta_0(k+1) = \theta_0(k) - \eta \frac{\partial f}{\partial \theta_0}$$

$$\theta_0(k+1) = \theta_0(k)$$

same.

Suppose for a cost function  $J(\theta) = 0.25\theta^2$  as shown in graph below, refer to this graph and choose the correct option regarding the Statements given below.  $\theta$  is plotted along horizontal axis.



$$J(\theta) = 0.25\theta^2$$

$$\theta(k+1) = \theta(k) - \eta \frac{\partial J(\theta)}{\partial \theta}$$

$$\frac{\partial J(\theta)}{\partial \theta} = 0.25 \times 2\theta$$

$$\frac{\partial J(\theta)}{\partial \theta} = 0.5\theta$$

$$\frac{\partial J}{\partial \theta} \propto \theta$$

Weight update  $\propto \theta$

Statement i: The magnitude of weight update at the green point is higher than the magnitude of weight update at yellow point.

Statement ii: The magnitude of weight update at the green point is higher than the magnitude of weight update at red point.

- a. Only Statement i is true
- b. Only Statement ii is true
- c. Both Statement i and ii are true
- d. None of them are true

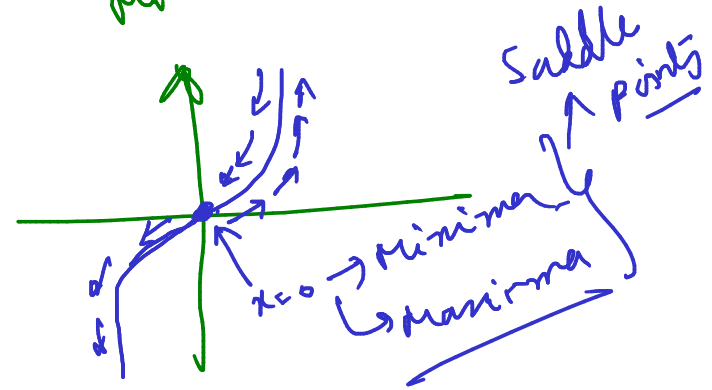
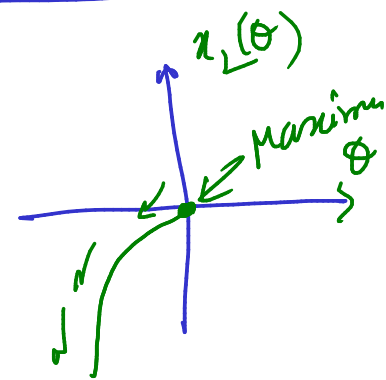
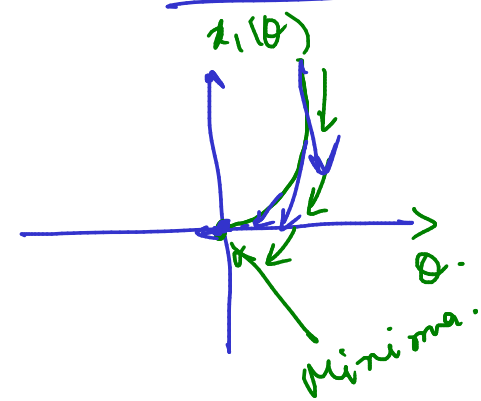
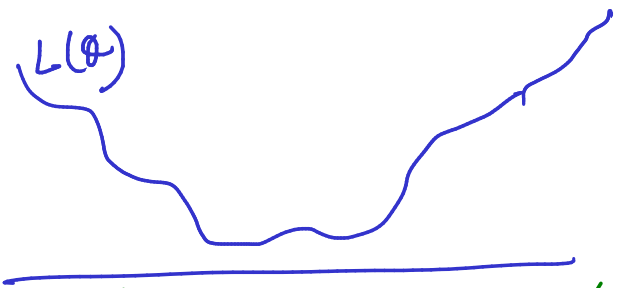
$\theta_{\text{Point 1}} > \theta_{\text{Point 2}} > \theta_{\text{Point 3}}$   
 Weight update point (1 > 2 > 3)

Choose the correct option. Gradient of a continuous and differentiable function is:

- ☒ i) is zero at a minimum
- ☒ ii) is non-zero at a maximum
- ☒ iii) is zero at a saddle point
- ☒ iv) magnitude decreases as you get closer to the minimum

- a. Only option (i) is correct
- ☒ b. Options (i), (iii) and (iv) are correct
- c. Options (i) and (iv) are correct
- d. Only option (ii) is correct

$$\frac{\partial L(\theta)}{\partial \theta} = 0 \quad \left| \begin{array}{l} \text{minima} \quad \text{maxima} \end{array} \right.$$





Input to SoftMax activation function is  $[3, 1, 2]$ . What will be the output?

- a.  $[0.58, 0.11, 0.31]$
- b.  $[0.43, 0.24, 0.33]$
- c.  $[0.60, 0.10, 0.30]$
- d.  $[0.67, 0.09, 0.24]$

$$V = [3, 1, 2]$$

$$O_i = \frac{e^{v_i}}{\sum_j e^{v_j}}$$

$$= \frac{e^{v_1}}{e^{v_1} + e^{v_2} + e^{v_3}}$$

$$O_2, O_3 =$$

$$\text{Softmax}(V) \\ V = \begin{bmatrix} 3 \\ 1 \\ 2 \end{bmatrix}_{3 \times 1} \rightarrow \begin{bmatrix} O_1 \\ O_2 \\ O_3 \end{bmatrix}_{3 \times 1} = O$$

$$= \frac{e^3}{e^3 + e^1 + e^1} \approx 0.665 \\ \approx 0.67$$

$$O_1 = \frac{e^{v_1}}{\sum_{i=1}^3 e^{v_i}}$$

Which of the following options is true?

- a. In Stochastic Gradient Descent, a small batch of sample is selected randomly instead of the whole data set for each iteration. Too large update of weight values leading to faster convergence
- b. In Stochastic Gradient Descent, the whole data set is processed together for update in each iteration.
- c. Stochastic Gradient Descent considers only one sample for updates and has noisier updates.
- d. Stochastic Gradient Descent is a non-iterative process

SG  $\rightarrow$  for each sample -  
FB  $\rightarrow$  updating for the whole dataset/full batch -  
MB  $\rightarrow$  Mini batch weight update

What are the steps for using a gradient descent algorithm?

1. Calculate error between the actual value and the predicted value
2. Re-iterate until you find the best weights of network
3. Pass an input through the network and get values from output layer
4. Initialize random weight and bias
5. Go to each neurons which contributes to the error and change its respective values to reduce the error

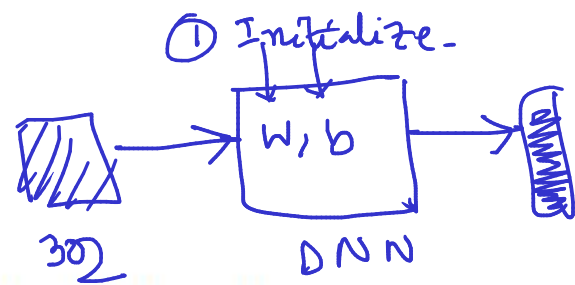
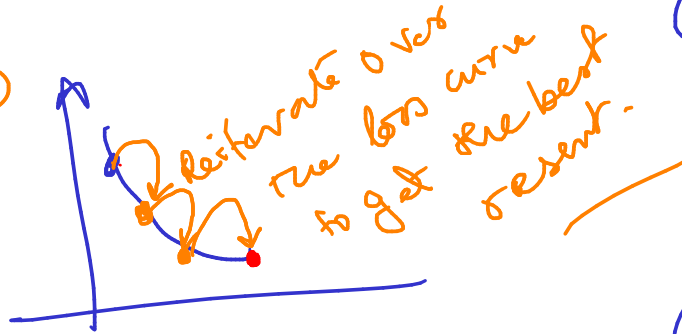
a. 1, 2, 3, 4, 5

b. 5, 4, 3, 2, 1

c. 3, 2, 1, 5, 4

d. 4, 3, 1, 5, 2

4 → 3 → 1 → 5 → 2



② Pass input and get o/p

③ Loss value Calculate.

④ Gradient, Gradient descent rule, update the weights of the neurons → Reduce the loss.

⑤

$J(\theta) = 2\theta^2 - 2\theta + 2$  is a given cost function? Find the correct weight update rule for gradient descent optimization at step  $t+1$ ? Consider,  $\alpha=0.01$  to be the learning rate.

a.  $\theta_{t+1} = \theta_t - 0.01(2\theta - 1)$

b.  $\theta_{t+1} = \theta_t + 0.01(2\theta - 1)$

c.  $\theta_{t+1} = \theta_t - (2\theta - 1)$

☒ d.  $\theta_{t+1} = \theta_t - 0.02(2\theta - 1)$

$$J(\theta) = 2\theta^2 - 2\theta + 2$$

$$\alpha = 0.01$$

$(t+1)^{\text{th}}$  iteration  $\rightarrow$

$$\theta(t+1) = \theta(t) - \alpha \cdot \frac{\partial J(\theta)}{\partial \theta}$$

$$\theta(t+1) = \theta(t) - 0.01 \times 2 \times (2\theta - 1)$$

$$\theta(t+1) = \theta(t) - 0.02(2\theta - 1)$$

$$\frac{\partial J}{\partial \theta} = 4\theta - 2 = 2(2\theta - 1)$$