

# A Framework for Improvement of Railway Timetabling

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# Outline

- 1 Introduction
- 2 Reinforcement Learning
- 3 Results

# Section

## 1 Introduction

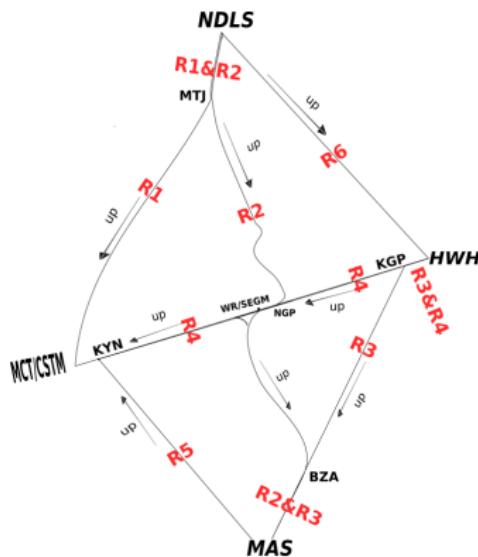
## 2 Reinforcement Learning

## 3 Results

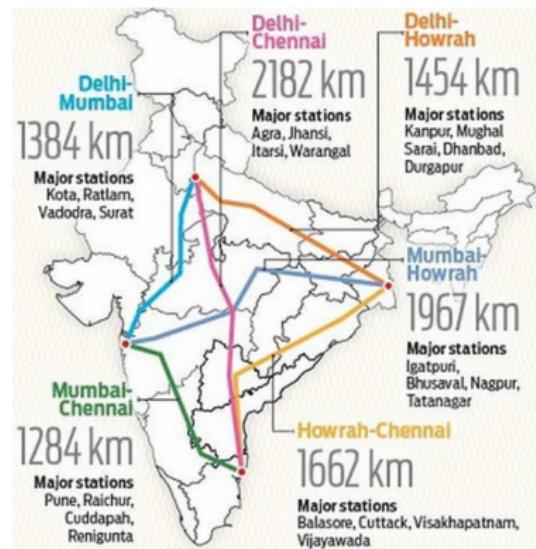
## GQD Network and its importance

- Golden quadrilateral and diagonals (GQD)
  - Not the complete Indian Railways (IR) network
  - 15% of network route share; **60% of total traffic**
  - Economically connects the four major metros via high-speed services
  - Consists of trains of mainly two categories, **coaching trains**, and **freight trains**.
  - Coaching trains have a predefined **order of priority** based on which an overtake of one coaching train over another is decided
  - Freight trains have the least priority in comparison to trains of other categories (including coaching trains)
  - A timetabling is **first completed** on the GQD network

## GQD network



**Figure:** GQD network as graph, with junction and end stations labelled. (source: [1])



**Figure:** GQD network marked on Indian map.  
(source: [2])

## Significance of Project

- Only a fraction of GQD has sections with permissible speed  $\geq 130$  kmph
  - High **operating ratio** of Indian Railways
  - Ease of **scheduling new trains**



Figure: Indian Railways operating ratio between 2014 to 2020 as per data from [3]

# Significance of Project

- Increase **average speed** of trains
- Improve the total **utilization** of the network

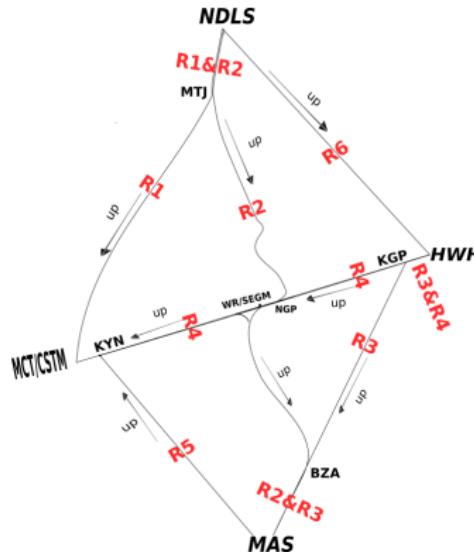
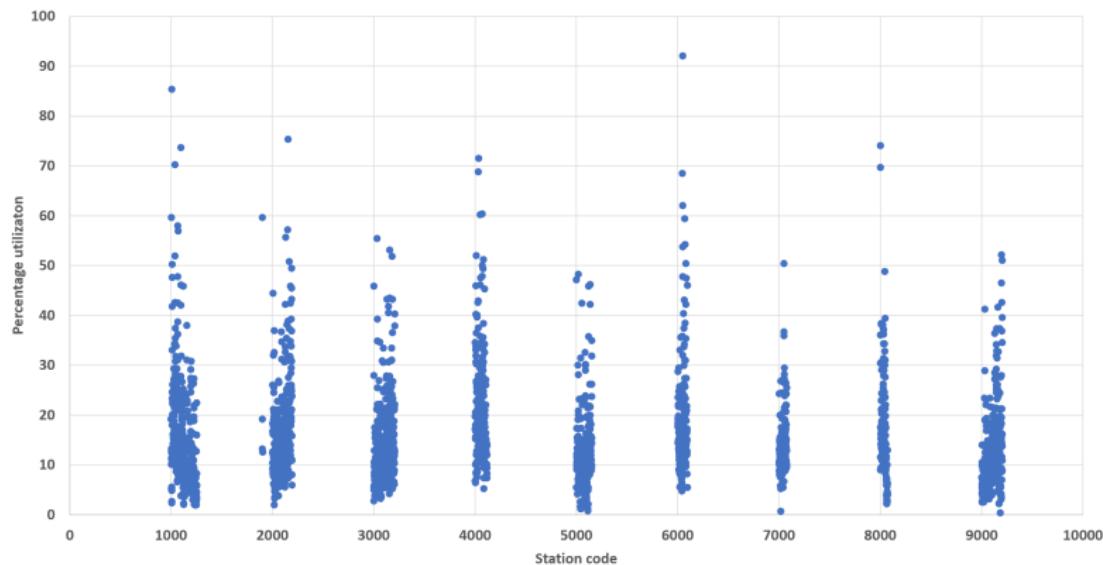


Figure: GQD network as graph, with junction and end stations labelled. (source: [1])

# Utilization of Stations



**Figure:** Percentage utilization at various stations in ZBTT proposed timetable (*November, 2020*). The stations are encoded with station codes that range from 1000 to 9000

# Requirement of Freight Corridor



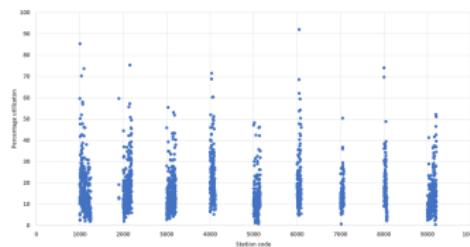
Figure: Eastern and Western Dedicated Freight Corridors Source: [2]

# Performance metrics

- Delay of a train
- Priority weighted delay

$$\text{Priority weighted delay of } i^{\text{th}} \text{ train} = \frac{\text{Delay of } i^{\text{th}} \text{ train}}{\text{priority of } i^{\text{th}} \text{ train}}$$

- Utilization of network



**Figure:** Percentage utilization at various stations in ZBTT proposed timetable (November,2020). The stations are encoded with station codes that range from 1000 to 9000

# Existing solution

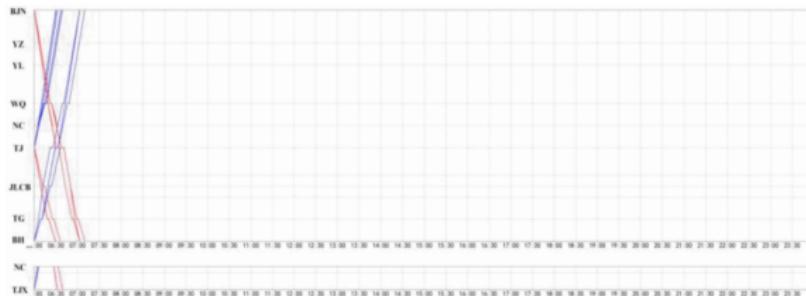


Figure: Initial schedule in [4]

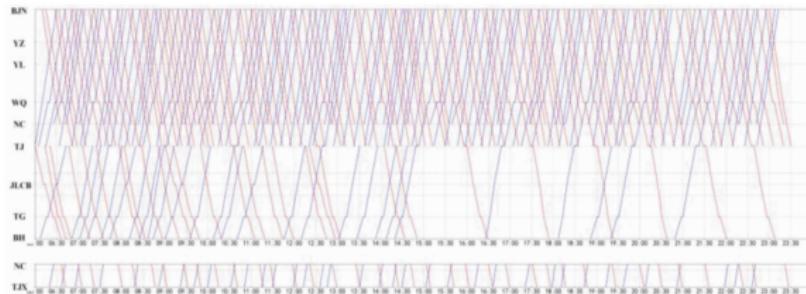


Figure: Final schedule in [4]

# Existing solution

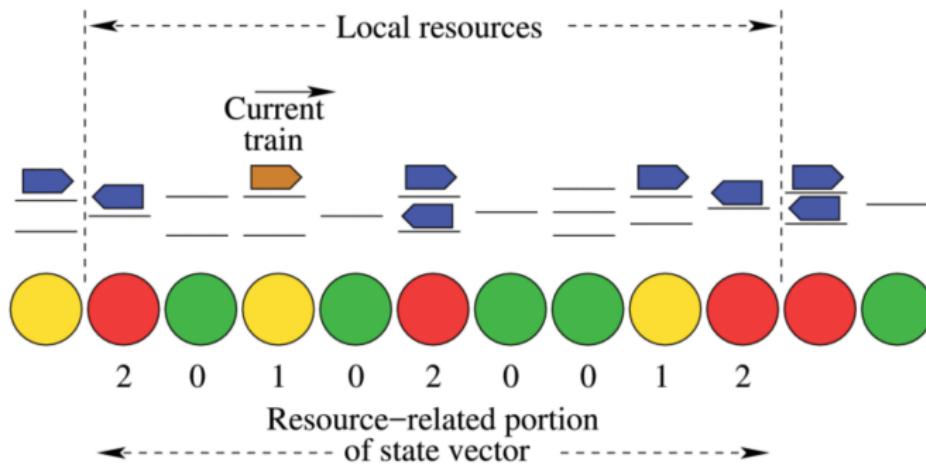


Figure: Building the state vector for trains [5]

# Section

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# Reinforcement Learning example

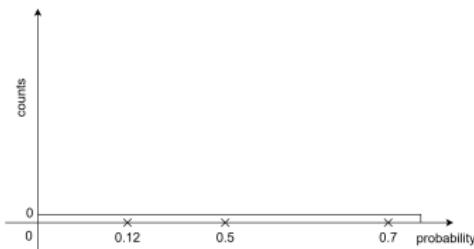


Figure: No estimation performed

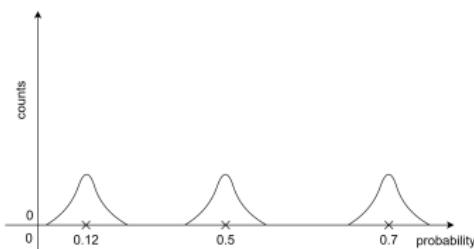


Figure: Estimation without reward maximization

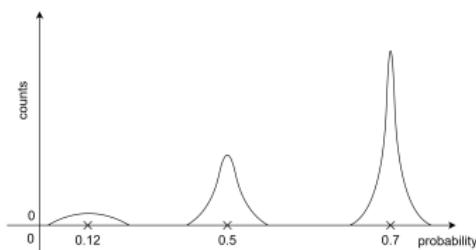


Figure: Estimation with reward maximization

# Framework

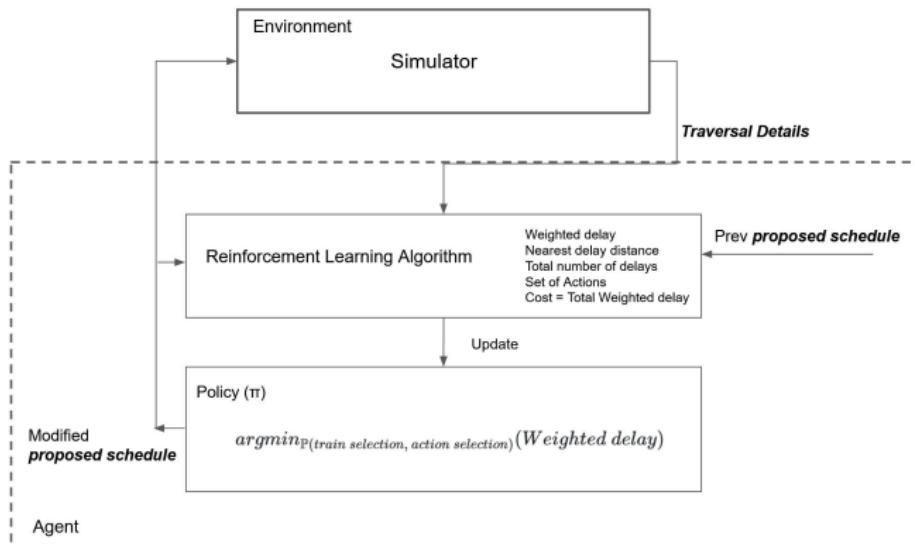


Figure: A block diagram showing a learning agent in feedback to the simulator

# State of an Output Timetable

	minimum_tt(mins)	simulation_tt(mins)	traversal_time_delay(mins)	weighted_delay(mins)	_delay_distance(per cent delay_time)(number_of_delays)		
10002	942.55	942.55	0.00	0.00			0.00
10003	979.55	988.85	9.29	9.29	0.00	7.00	8.00
10005	937.55	995.95	58.39	58.39	0.00	13.00	34.00
10007	945.55	1024.19	78.64	78.64	0.00	20.00	50.00
10009	942.55	1028.46	85.91	85.91	0.00	27.00	49.00
10010	942.55	1033.06	90.51	90.51	0.00	34.00	59.00
10017	1052.85	1061.39	8.54	8.54	0.00	40.00	7.00
10018	1052.85	1075.09	22.24	22.24	0.00	47.00	19.00
10001	982.06	1089.44	107.38	53.69	0.00	54.00	53.00
10004	982.06	1097.27	115.21	57.60	0.00	61.00	58.00
10006	982.06	1104.14	122.09	61.04	0.00	67.00	71.00
10008	982.06	1110.00	127.95	63.97	0.00	74.00	74.00
10011	982.06	1115.78	133.72	66.86	0.00	81.00	75.00
10012	914.86	914.86	0.00	0.00			0.00
10013	648.16	648.16	0.00	0.00			0.00
10019	648.16	661.75	13.59	6.79	49.93	6.00	10.00
10020	982.06	1121.55	139.50	69.75	0.00	88.00	76.00
10014	290.22	457.78	167.56	55.85	75.02	76.00	3.00
10015	331.49	331.49	0.00	0.00			0.00
10016	1134.55	1337.25	202.70	67.57	39.72	25.00	4.00
12001	1502.20	1502.97	0.76	0.19	0.00	94.00	1.00
12002	1502.20	1516.83	14.63	3.66	0.00	101.00	13.00
12003	1502.20	1528.21	26.00	6.50	0.00	108.00	21.00
12004	1502.20	1541.13	38.93	9.73	0.00	115.00	27.00
12005	1502.20	1551.09	48.89	12.22	0.00	122.00	34.00
12006	1502.20	1561.87	59.67	14.92	0.00	129.00	37.00
12007	1502.20	1572.16	69.95	17.49	0.00	136.00	41.00
12008	1502.20	1582.76	80.55	20.14	0.00	143.00	44.00
12009	1502.20	1592.05	89.84	22.46	0.00	150.00	49.00

Figure: A state of the system containing 29 trains

# State of an Output Timetable

- Minimum Traversal Time
- Simulated Traversal Time

Simulated Traversal Time  $\geq$  Minimum Traversal Time

Traversal Time Delay = Simulated Traversal Time – Minimum Traversal Time

$$\text{Weighted Delay} = \frac{\text{Traversal Time Delay}}{\text{Priority of train}}$$

- Nearest Delay Distance
- Nearest Delay Time
- Total Number of Delays

# Determining Minimum Traversal Time

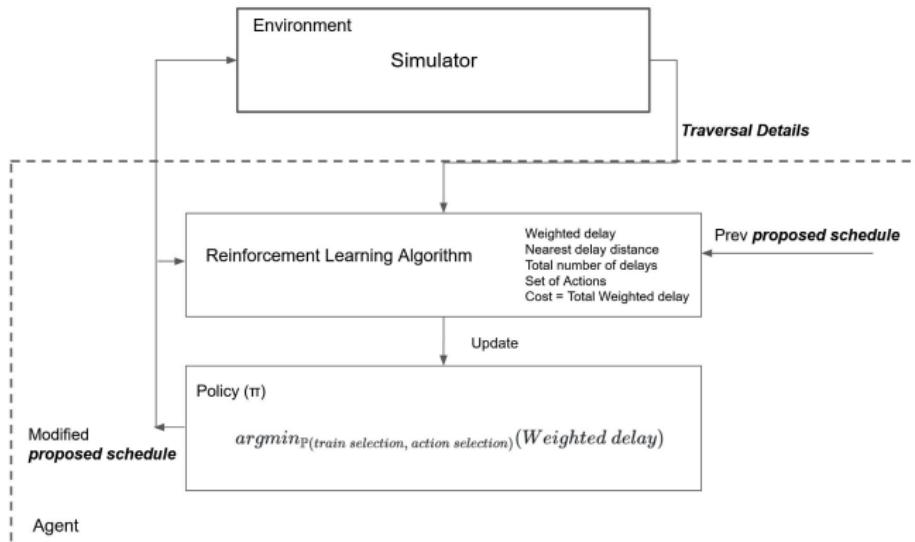


Figure: A block diagram showing a learning agent in feedback to the simulator

# State of an Output Timetable

	minimum_tt(mins)	simulation_tt(mins)	traversal_time_delay(mins)	weighted_delay(mins)	_delay_distance(per cent delay time)	_delay_time(number of delays)
10002	942.55	942.55	0.00	0.00		0.00
10003	979.55	988.85	9.29	9.29	0.00	8.00
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12009	1502.20	1592.05	89.84	22.46	0.00	150.00

Figure: A state of the system containing 29 trains

# Actions

Proposed starting time = Previous starting time + Traversal Time Delay

$$\text{Proposed starting time} = \text{Previous starting time} + [w_1 \quad w_2 \quad w_3] \begin{bmatrix} \sum_{0\%}^{40\%} \text{Delay} \\ \sum_{40\%}^{80\%} \text{Delay} \\ \sum_{80\%}^{100\%} \text{Delay} \end{bmatrix}$$

Proposed starting time = Previous starting time - Traversal Time Delay

$$\text{Proposed starting time} = \text{Previous starting time} - [w_1 \quad w_2 \quad w_3] \begin{bmatrix} \sum_{0\%}^{40\%} \text{Delay} \\ \sum_{40\%}^{80\%} \text{Delay} \\ \sum_{80\%}^{100\%} \text{Delay} \end{bmatrix}$$

$$\text{chosen train} = \arg \max_{train} \left( \sum_{stations} \text{traversal time delay} \right)$$

$$\text{chosen train} = \arg \max_{train} \left( \sum_{stations} \text{weighted delay} \right)$$

$$\text{chosen train} = \arg \max_{train} (n(\text{delay}))$$

# State of a Learning Agent

Train_Action	0	1	2	4997	4998	4999
(0, 0)	1	1	2	504	504	504
(0, 1)	1	1	1	330	330	330
(0, 2)	1	1	1	125	125	125
(0, 3)	1	1	1	18	18	18
(1, 0)	1	1	1	29	29	29
(1, 1)	1	1	1	336	336	336
(1, 2)	1	1	1	36	36	36
(1, 3)	2	2	2	207	207	207
(2, 0)	1	1	1	731	731	732
(2, 1)	1	1	1	67	67	67
(2, 2)	1	1	1	137	137	137
(2, 3)	1	1	1	19	19	19

**Figure:** An example of the agent learning the best actions after 5000 iterations among the available 12 actions using PIA algorithm

$$T(s, a, s' \mid \text{exploitation}) = \mathcal{P}(\text{action}_1, \text{action}_2, \dots, \text{action}_{12})$$

# Markov Decision Process

- A state  $S$ , is sufficient to define the environment state and there is nothing else affecting how environment behaves
- Once an proposed schedule data is considered and an action is chosen, the next state  $s_n$  does not require the information regarding any of the previous states and actions  $((s_0, a_0), (s_1, a_1), (s_2, a_2), (s_3, a_3), \dots, (s_{n-2}, a_{n-2}))$  and hence the simulation-feedback process can be considered as a Markov decision process

$$\mathcal{P} \left( \text{next timetable}(s_n) \middle| (s_0, a_0), (s_1, a_1), (s_2, a_2), \dots \right) = \mathcal{P} \left( (s_n) \middle| (s_{n-1}, a_{n-1}) \right)$$

$$T(s') \leftarrow T(s), a, \text{cost}$$

# Data-flow diagram

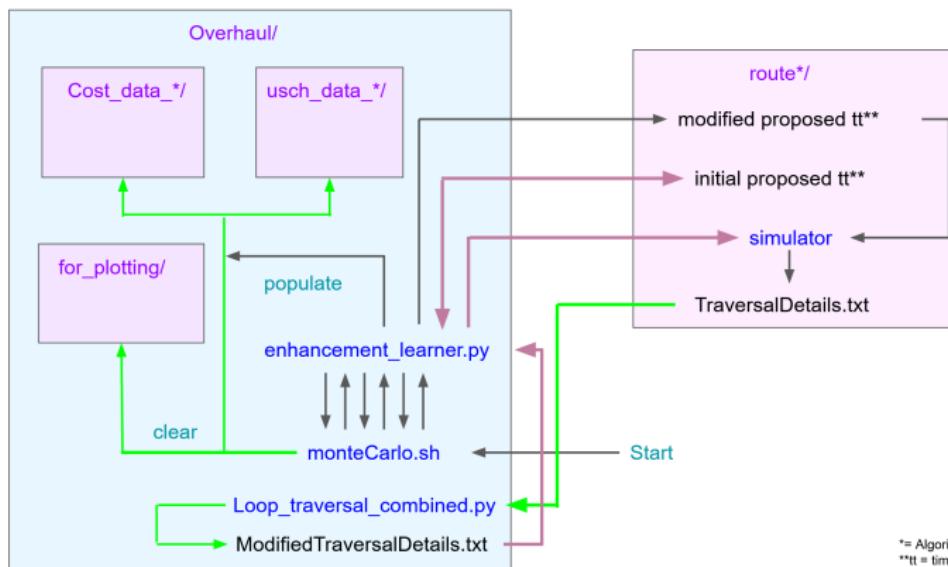


Figure: Framework used for testing various algorithms

# Parallel execution of Code

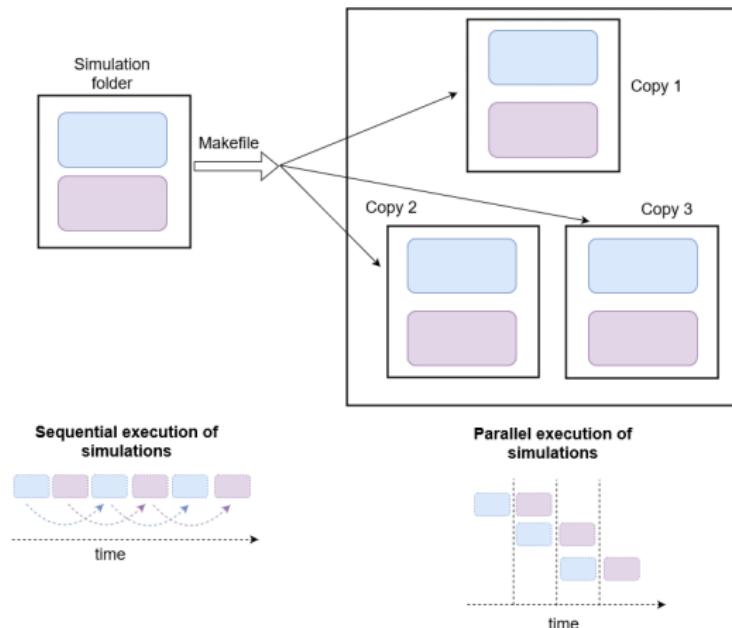


Figure: Parallel execution of simulations

# Section

1 Introduction

2 Reinforcement Learning

3 Results

# PI Algorithm

Initialization

$$V(s) \leftarrow U[0, 1]$$

Policy evaluation

**while**  $N \neq 0$  **do**

**if**  $U(0, 1) < \alpha$  **then**  
         $a \leftarrow \text{Rand}\pi(s);$

**else**  
         $a \leftarrow \max(\mathcal{P}(\pi(s)));$

**end**

$N \leftarrow N - 1;$

**if**  $\Delta C < 0$  **then**  
         $V(s) \leftarrow a + 1;$

**end**

**end**

# PIA results

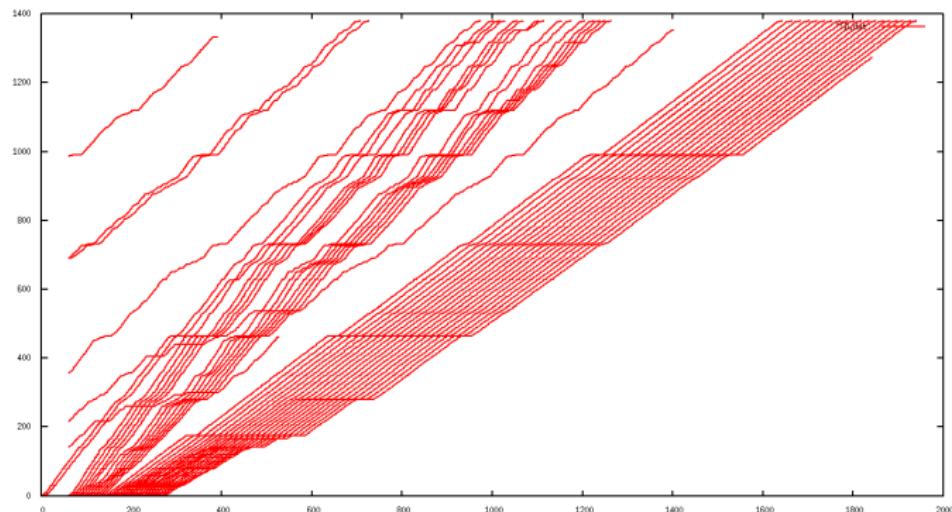


Figure: Initial proposed schedule

Priority Weighted Delay (Cost) = 1352 minutes

# PIA results

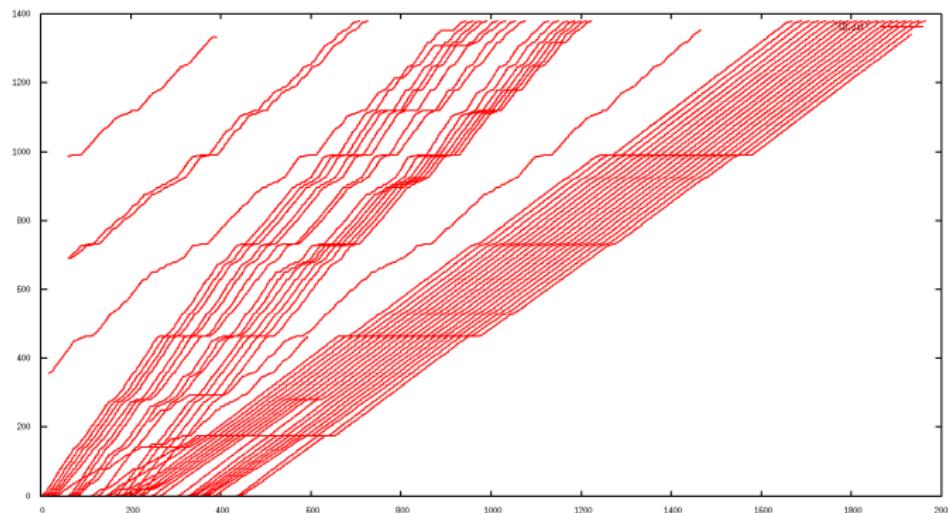


Figure: Distance vs time graph after 30 iterations of PIA

Priority Weighted Delay (Cost) = 832 minutes

# PIA results comparison

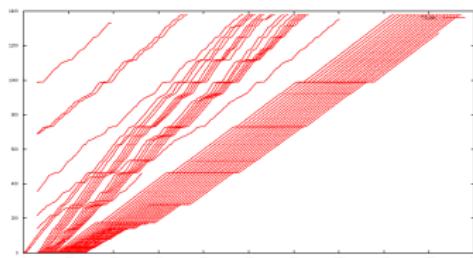


Figure: Initial proposed schedule

Priority Weighted Delay (Cost) =  
1352 minutes

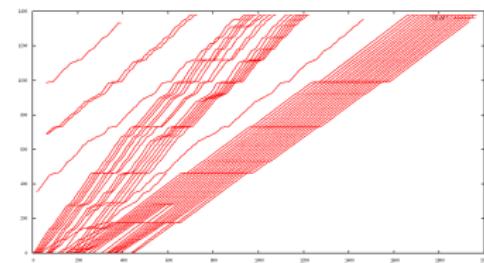


Figure: Distance vs time graph after 30 iterations  
of PIA

Priority Weighted Delay (Cost) =  
832 minutes

# PIA results

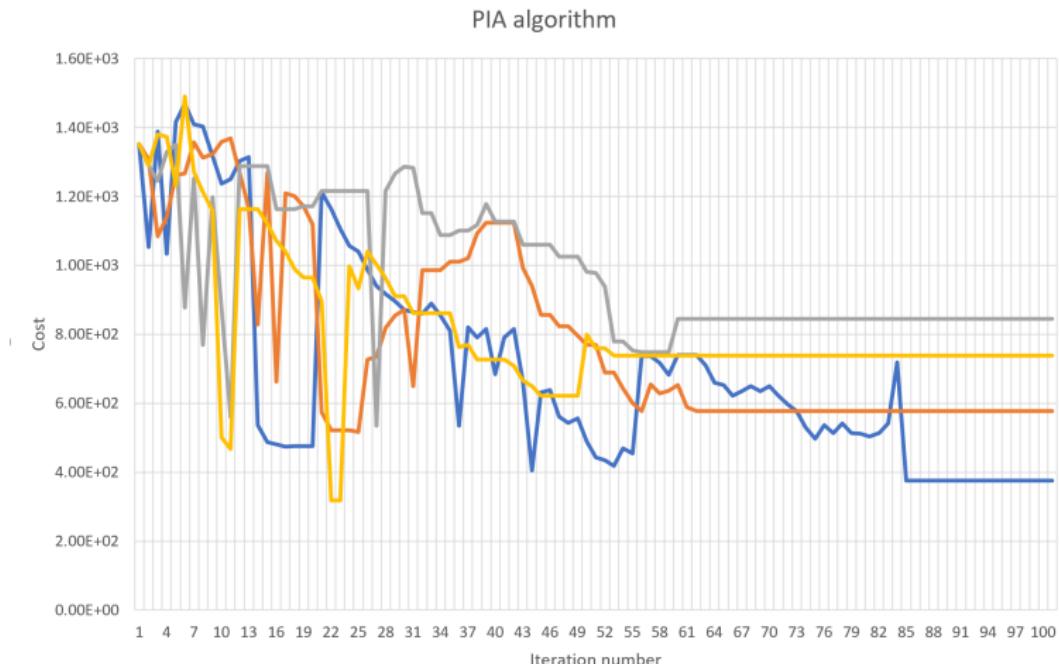


Figure: Comparison of 4 different PIA simulations

# SA Algorithm

Initialization

$$V(s) \leftarrow U[0, 1] \quad T \leftarrow T_0$$

Policy evaluation

**while**  $N \neq 0$  **do**

$$T \leftarrow T/N$$

**if**  $U(0, 1) < \alpha$  **then**

$$| \quad a \leftarrow \text{Rand}\pi(s);$$

**else**

$$| \quad a \leftarrow \max(\mathcal{P}(\pi(s)));$$

**end**

$$N \leftarrow N - 1;$$

$$D \leftarrow C - C_{\text{previous}};$$

$$M \leftarrow e^{(-D/CT)};$$

**if**  $\Delta C < 0$  or  $\text{Rand} < M$  **then**

$$| \quad V(s) \leftarrow a + 1;$$

**end**

**end**

# SA results

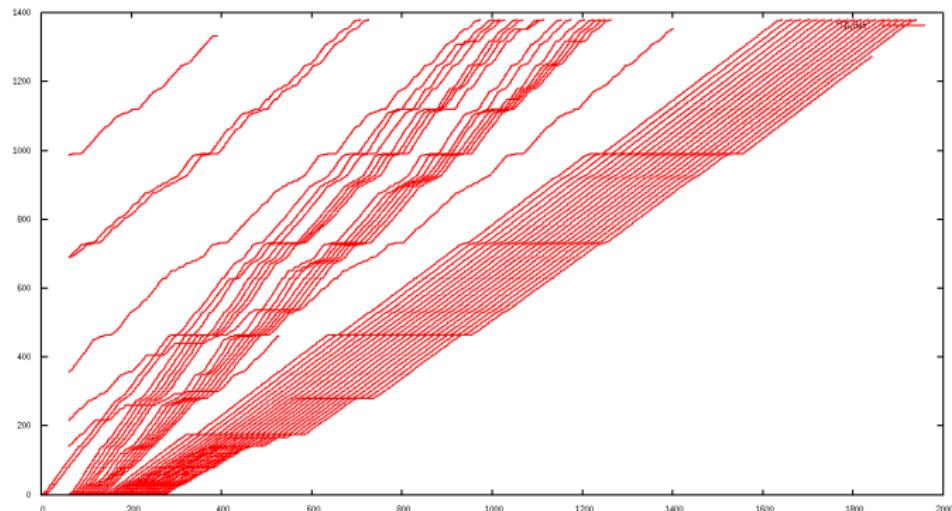


Figure: Initial proposed schedule

Priority Weighted Delay (Cost) = 1352 minutes

# SA results

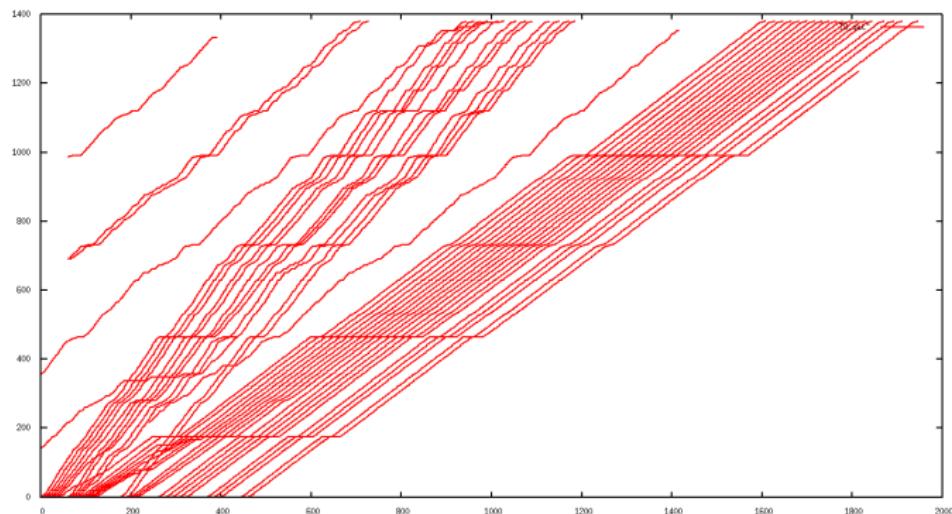


Figure: Distance vs Time graph after 30 iterations of SA

Priority Weighted Delay (Cost) = 572 minutes

# SA results

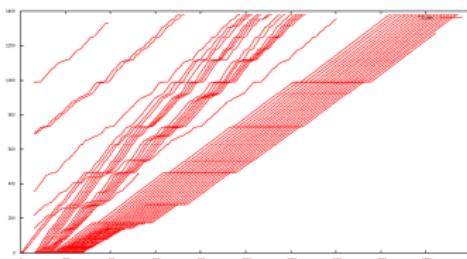


Figure: Initial proposed schedule

Priority Weighted Delay (Cost) =  
1352 minutes

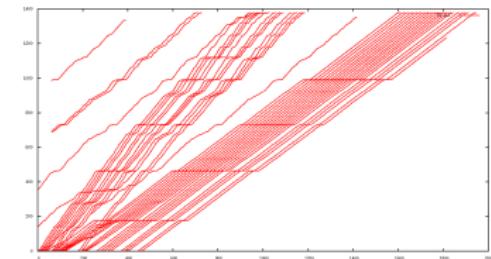


Figure: Distance vs Time graph after 30 iterations of SA

Priority Weighted Delay (Cost) =  
572 minutes

# SA results

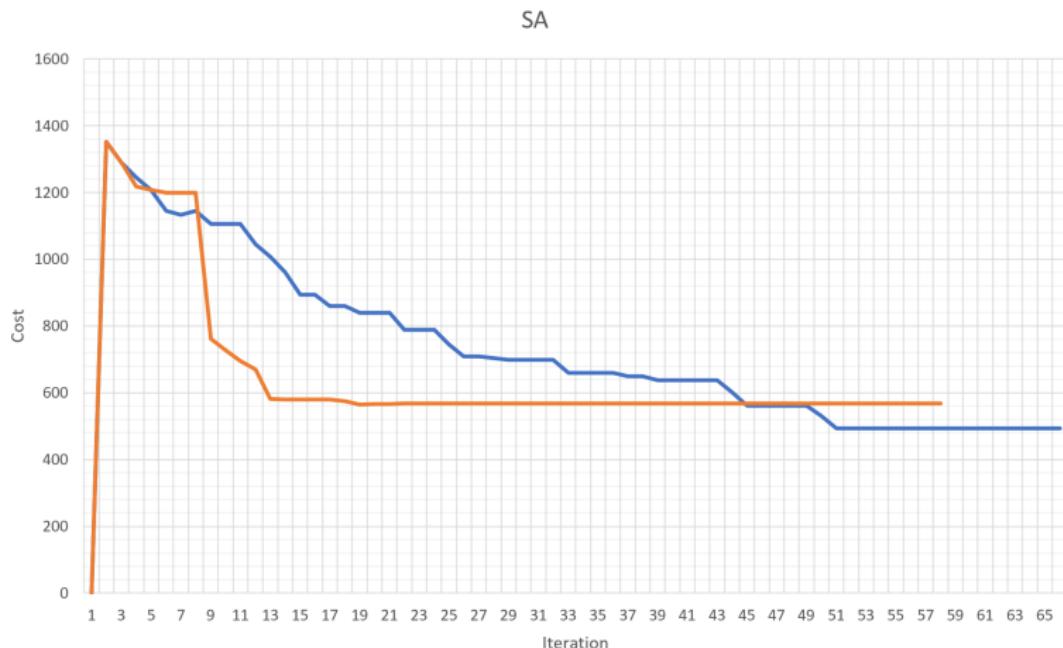


Figure: Comparison of 2 different SA simulations

# Results

TrainNo.	Initial	Final	TrainNo.	Initial	Final
11012001	60	1335	11010001	60	879
11012002	60	966	11010002	60	20
11012003	60	724	11010003	60	8
11012004	60	982	11010004	60	371
11012005	60	882	11010005	60	229
11012006	60	1258	11010006	60	787
11012007	60	1241	11010007	60	0
11012008	60	1682	11010008	60	456
11012009	60	852	11010009	60	455
11012010	60	1386	11010010	60	43
11012011	60	821	11010011	60	289
11012012	60	1122	11010012	60	1102
11012013	60	1299	11010013	60	60
11012014	60	1188	11010014	60	556
11012015	60	1144	11010015	60	60
11012016	60	1003	11010017	60	60
11012017	60	1308	11010018	60	96
11012018	60	909	11010019	60	74
11012019	60	1516	11010020	60	268
11012020	60	1495			

Figure: Initial and Final starting times of proposed schedule for 5000 iterations of PIA

TrainNo	Initial	Final	TrainNo	Initial	Final
11012001	60	155	11010001	60	276
11012002	60	410	11010002	60	60
11012003	60	408	11010003	60	60
11012004	60	447	11010004	60	316
11012005	60	512	11010005	60	2
11012006	60	186	11010006	60	230
11012007	60	466	11010007	60	275
11012008	60	475	11010009	60	226
11012009	60	528	11010010	60	0
11012010	60	519	11010011	60	315
11012011	60	462	11010012	60	0
11012012	60	600	11010013	60	60
11012013	60	588	11010015	60	60
11012014	60	309	11010016	60	472
11012016	60	339	11010017	60	60
11012017	60	275	11010018	60	60
11012018	60	302	11010019	60	60
11012019	60	384	11010020	60	388
11012020	60	362			

Figure: Initial and Final starting times of proposed schedule for 5000 iterations of SA

# Summary

In this thesis,

- A framework was generated to modify the existing timetable through multiple stochastic paths to generate multiple timetables using an existing railway network simulator
- A metric that can be used for comparing two versions of timetables has been used to execute two learning algorithms (namely PIA and SA)

# Future work

- Degree of **centrality** associated with nodes of a graph  $\Rightarrow$  The bottleneck nodes/sections will be given more weightage in the penalty/cost function
- **Modifying** the starting times of **multiple** of trains in one epoch
- Unrealistic starting times  $\Rightarrow$  regularization in the cost function
- Actor-critic based neural network  $\Rightarrow$  estimate the non-linear relation between features of train (priority, net delay, nearest delay etc.) and the amount of delay/pre-pone time
- Careful characterization rewards for freight windows as it complements the existing reward procedure
- Implement SQL database for exploratory analysis
- The arrival/departure of coaching trains must not be between 12 am and 5 am
- Consider maintenance block timings for feedback

# Thank You!

# References I

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