

Korevaar–Schoen p -energy forms and associated p -energy measures on fractals

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Abstract We construct good p -energy forms on metric measure spaces as point-wise subsequential limits of Besov-type p -energy functionals under certain geometric/analytic conditions. Such forms are often called *Korevaar–Schoen p -energy forms* in the literature. As an advantage of our approach, the associated p -energy measures are obtained and investigated. We also prove that our construction is applicable to the settings of Kigami [*Mem. Eur. Math. Soc.* **5** (2023)] and Cao–Gu–Qiu [*Adv. Math.* **405** (2022), no. 108517], yields Korevaar–Schoen p -energy forms comparable to the p -energy forms constructed in these papers, and can be further modified in the case of self-similar sets to obtain self-similar p -energy forms keeping most of the good properties of Korevaar–Schoen ones.

Key words: Korevaar–Schoen p -energy form, p -energy measure, generalized p -contraction property, p -resistance form, self-similar set, self-similar p -energy form

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Contents

1	Introduction	2
2	p -Energy forms and generalized p -contraction property	7
3	Construction and properties of Korevaar–Schoen p -energy forms	8
4	Associated p -energy measures and chain rule	19

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5	p -Energy forms on p -conductively homogeneous spaces	33
5.1	p -Conductively homogeneous spaces	33
5.2	Localized energy estimates	40
5.3	Weak monotonicity and Poincaré inequality	44
5.4	Self-similar p -energy forms based on Korevaar–Schoen p -energy forms	47
6	p -Resistance forms on p.c.f. self-similar structures	53
6.1	Geometry under the p -resistance metric	53
6.2	Estimates on self-similar p -energy measures and weak monotonicity	59
	References	63

1 Introduction

In this article, assuming that (K, d) is a locally compact separable metric space and that m is a Radon measure (i.e., a Borel measure finite on any compact subset) on K with full topological support (i.e., strictly positive on any non-empty open subset), we consider *Korevaar–Schoen-type* p -energy forms on (K, d, m) , where $p \in (1, \infty)$. Namely, we are concerned with a functional

$$E_{p,s}(u) := \limsup_{r \downarrow 0} \int_K \int_{B_d(x,r)} \frac{|u(x) - u(y)|^p}{r^{sp}} m(dy) m(dx), \quad u \in L^p(K, m),$$

where $B_d(x, r) := \{y \in K \mid d(x, y) < r\}$ and $f_A(\cdot) dm := \frac{1}{m(A)} \int_A (\cdot) dm$ for a Borel subset A of K with $m(A) \in (0, \infty)$. Here $s \in (0, \infty)$ is a parameter controlling the smoothness of functions. In the classical settings, the n -dimensional Euclidean space $(K, d, m) = (\mathbb{R}^n, |\cdot|, dx)$ for example, the choice $s = 1$ is natural. Indeed, one can show (see, e.g., [33, Corollary 6.3] and [17, Theorem 7.13]; see also [15, Theorem 3.5] for a related result) that there exists $C \in (0, \infty)$ such that the distributional gradient ∇u of any Sobolev function $u \in W^{1,p}(\mathbb{R}^n)$ satisfies

$$C^{-1} \int_{\mathbb{R}^n} |\nabla u|^p dx \leq \limsup_{r \downarrow 0} \int_{\mathbb{R}^n} \int_{|y-x|<r} \frac{|u(x) - u(y)|^p}{r^p} dy dx \leq C \int_{\mathbb{R}^n} |\nabla u|^p dx.$$

In particular, the domain of the functional $E_{p,1}$ is given by the $(1, p)$ -Sobolev space $W^{1,p}(\mathbb{R}^n)$ in this case. Note that the functional $E_{p,1}$ can be considered as a variant of the functional considered by Korevaar and Schoen in [31], where they constructed a $(1, p)$ -Sobolev space $W^{1,p}(\Omega, X)$ of maps from a domain Ω in a Riemannian manifold to a complete metric space X . On the basis of an idea in [31], Koskela and MacManus [32] introduced a $(1, p)$ -Sobolev space $\mathcal{L}^{1,p}$ on any metric measure space satisfying the volume doubling property and the Poincaré inequality (in terms of weak upper gradients), a so-called *PI-space*, as the domain of a functional similar to $E_{p,1}$, and showed that $\mathcal{L}^{1,p}$ coincides with the $(1, p)$ -Sobolev spaces introduced by Hajłasz [16] and Hajłasz–Koskela [18]; see [32, Theorem 4.5]. For any PI-space (K, d, m) , one can show (see, e.g., [33, Corollary 6.3] and [19, Corollary 10.4.6]) that $\mathcal{L}^{1,p} = \{u \in L^p(K, m) \mid E_{p,1}(u) < \infty\}$, and it turns out that the exponent $s = 1$ is critical in the sense that for every $s > 1$, any function

$u \in L^p(K, m)$ with $E_{p,s}(u) < \infty$ is constant m -a.e. if K is connected. (See [19, Chapter 10] for various ways to define $(1, p)$ -Sobolev spaces on (K, d, m) and relations among them.) Recently, for more general (K, d, m) which may not be a PI-space, Baudoin [5] proposed to define a $(1, p)$ -Sobolev space $\text{KS}^{1,p}$ as the domain $\{u \in L^p(K, m) \mid E_{p,s}(u) < \infty\}$ of $E_{p,s}$ with $s = s_p$, where s_p is the *critical L^p -Besov exponent* defined by

$$s_p := \sup\{s \in (0, \infty) \mid E_{p,s}(u) < \infty \text{ for some non-constant } u \in L^p(K, m)\},$$

and discussed some properties of $\text{KS}^{1,p}$ such as Sobolev-type embeddings.

The aim of this article is to construct as nice a p -energy form $\mathcal{E}_p^{\text{KS}}$ comparable to E_{p,s_p} as possible. Such $\mathcal{E}_p^{\text{KS}}$ is desired to satisfy at least the following *generalized p -contraction property* (see Definition 2.2): if $q_1 \in (0, p]$, $q_2 \in [p, \infty]$, $n_1, n_2 \in \mathbb{N}$ and $T = (T_1, \dots, T_{n_2}): \mathbb{R}^{n_1} \rightarrow \mathbb{R}^{n_2}$ satisfies $T(0) = 0$ and $\|T(x) - T(y)\|_{\ell^{q_2}} \leq \|x - y\|_{\ell^{q_1}}$ for any $x, y \in \mathbb{R}^{n_1}$, then for any $\mathbf{u} = (u_1, \dots, u_{n_1}) \in (\text{KS}^{1,p})^{n_1}$,

$$T(\mathbf{u}) \in (\text{KS}^{1,p})^{n_2} \text{ and } \left\| (\mathcal{E}_p^{\text{KS}}(T_l(\mathbf{u}))^{1/p})_{l=1}^{n_2} \right\|_{\ell^{q_2}} \leq \left\| (\mathcal{E}_p^{\text{KS}}(u_k)^{1/p})_{k=1}^{n_1} \right\|_{\ell^{q_1}}. \quad (1.1)$$

The property (1.1) has been introduced in [23] as arguably the strongest possible form of contraction properties of L^p -like energy forms. As revealed in [23], (1.1) plays important roles in developing *nonlinear potential theory* in general frameworks including typical self-similar fractals, on which one can construct p -energy forms via discrete approximations as established in [20, 29, 38, 7, 35] (see also [13] for a different approach). A problem with $E_{p,s}$ is that $E_{p,s}$ may not satisfy (1.1) because of the operation of taking limsup. To avoid this issue, we would like to take a limit (in some sense) of the Besov-type functionals

$$E_{p,s}(u, r) := \int_K \int_{B_d(x,r)} \frac{|u(x) - u(y)|^p}{r^{sp}} m(dy) m(dx) \quad (1.2)$$

as $r \downarrow 0$. This strategy does not work for all $s \in (0, \infty)$, but does work in the critical case $s = s_p$ in the presence of the following *weak monotonicity* type estimate, which turns out to hold in many situations: there exists a constant $C \in [1, \infty)$ such that for any $u \in L^p(K, m)$ with $\sup_{r>0} E_{p,s_p}(u, r) < \infty$,

$$\sup_{r>0} E_{p,s_p}(u, r) \leq C \liminf_{r \downarrow 0} E_{p,s_p}(u, r). \quad (1.3)$$

This condition (1.3) was introduced in [5] (see Example 3.14). Our first main result, Theorem 3.8, gives a desired p -energy form $\mathcal{E}_p^{\text{KS}}$ as a subsequential limit of $\{E_{p,s_p}(\cdot, r)\}_{r>0}$ under the assumption of (1.3). More precisely, in Theorem 3.8, we establish a subsequential limit of the energy functionals given by

$$\int_K \int_{B_d(x,r)} \frac{\text{sgn}(u(x) - u(y)) |u(x) - u(y)|^{p-1} (v(x) - v(y))}{r^{s_p p}} m(dy) m(dx),$$

that is, we directly construct a two-variable version $\mathcal{E}_p^{\text{KS}}(u; v)$, which is the counterpart of

$$(u, v) \mapsto \int_{\mathbb{R}^n} |\nabla u|^{p-2} \langle \nabla u, \nabla v \rangle dx \quad (1.4)$$

in the Euclidean case, where $\langle \cdot, \cdot \rangle$ denotes the inner product on \mathbb{R}^n . An advantage of our construction is that we can obtain a good quantitative estimate on the continuity of $\mathcal{E}_p^{\text{KS}}(u; v)$ with respect to the nonlinear part u . Namely, unlike our earlier result in [23] (see (2.12) below), the present construction of $\mathcal{E}_p^{\text{KS}}$ allows us to achieve the best Hölder continuity exponent as expected from the formal expression (1.4), i.e., to show that there exists a constant $C \in (0, \infty)$ such that for any $u_1, u_2, v \in \text{KS}^{1,p}$,

$$|\mathcal{E}_p^{\text{KS}}(u_1; v) - \mathcal{E}_p^{\text{KS}}(u_2; v)| \leq C \left[\max_{i \in \{1,2\}} \mathcal{E}_p^{\text{KS}}(u_i) \right]^{\frac{(p-2)^+}{p}} \mathcal{E}_p^{\text{KS}}(u_1 - u_2)^{\frac{(p-1) \wedge 1}{p}} \mathcal{E}_p^{\text{KS}}(v)^{\frac{1}{p}}$$

(see (3.12)), which is not known for the p -energy forms constructed in the preceding works [20, 29, 38, 13, 7, 35]. See Section 3 for details.

Another superiority of our direct approach is that we can introduce the p -energy measures associated with $\mathcal{E}_p^{\text{KS}}$. Roughly speaking, for each $u \in \text{KS}^{1,p}$, the p -energy measure $\Gamma_p^{\text{KS}}\langle u \rangle$ is a Radon measure on K playing the same role as $|\nabla u|^p dx$ in the Euclidean case. Since we have no counterpart of $|\nabla u|$, it is highly non-trivial to construct $\Gamma_p^{\text{KS}}\langle u \rangle$; indeed, it is not known how to construct canonical p -energy measures associated with a given p -energy form without relying on the self-similarity of the underlying space and the p -energy form (see [29, p. 113] and [35, Problem 12.5]). However, our construction of $\mathcal{E}_p^{\text{KS}}$ allows us to employ a naive approach as described below. From the Leibniz and chain rules for the usual gradient operator ∇ on \mathbb{R}^n , we easily see that for any $\varphi, u \in C^1(\mathbb{R}^n)$,

$$\varphi |\nabla u|^p = |\nabla u|^{p-2} \langle \nabla u, \nabla(u\varphi) \rangle - \left(\frac{p-1}{p} \right)^{p-1} \left| \nabla(|u|^{\frac{p}{p-1}}) \right|^{p-2} \langle \nabla(|u|^{\frac{p}{p-1}}), \nabla \varphi \rangle.$$

Since $\mathcal{E}_p^{\text{KS}}(u; v)$ is expected to be the counterpart of $\int_{\mathbb{R}^n} |\nabla u|^{p-2} \langle \nabla u, \nabla v \rangle dx$, the p -energy measure $\Gamma_p^{\text{KS}}\langle u \rangle$ of $u \in \text{KS}^{1,p}$ associated with $\mathcal{E}_p^{\text{KS}}$ should be characterized as a unique Radon measure on K such that for any $\varphi \in \text{KS}^{1,p} \cap C_c(K)$,

$$\int_K \varphi d\Gamma_p^{\text{KS}}\langle u \rangle = \mathcal{E}_p^{\text{KS}}(u; u\varphi) - \left(\frac{p-1}{p} \right)^{p-1} \mathcal{E}_p^{\text{KS}}(|u|^{\frac{p}{p-1}}; \varphi) =: \Psi_{p,u}^{\text{KS}}(\varphi). \quad (1.5)$$

In fact, in the case $p = 2$, this is exactly the same as the definition of energy measures in the theory of regular symmetric Dirichlet forms (see [12, (3.2.14)]). By virtue of our direct construction, we can show that $\Psi_{p,u}^{\text{KS}}$ is a bounded positive linear functional on $\text{KS}^{1,p} \cap C_c(K)$ and we obtain $\Gamma_p^{\text{KS}}\langle u \rangle$ by applying the Riesz–Markov–Kakutani representation theorem under the assumption that $\text{KS}^{1,p} \cap C_c(K)$ is dense in $C_c(K)$ with respect to the uniform norm. We also establish some basic properties of $\Gamma_p^{\text{KS}}\langle u \rangle$

like the generalized p -contraction property and the chain rule. See Section 4 for details.

As mentioned above, our construction of $\mathcal{E}_p^{\text{KS}}(u; v)$ and $\Gamma_p^{\text{KS}}\langle u \rangle$ relies heavily on the assumption of the weak monotonicity estimate (1.3), and fortunately it turns out that (1.3) holds in many situations. As proved in [5, Theorem 5.1] (see also [33, Corollary 6.3]), (1.3) holds on any PI-spaces. Besides, (1.3) has been proved for the Vicsek set and for the Sierpiński gasket in [5, Theorems 6.2 and 6.4], for nested fractals in [14, 8], for generalized Sierpiński carpets with p strictly greater than the Ahlfors regular conformal dimension in [39], and in a general setting including the Sierpiński carpet with any $p \in (1, \infty)$ in [35, Theorem 7.1]. See also [21] for related results for the Sierpiński gasket. As extensions of these results, we present two general settings where we can show (1.3). The first one described in Section 5 (see Assumptions 5.19 and 5.35) is based on the notion of p -conductive homogeneity due to [29], and includes the settings of [29, Theorems 3.21 and 4.6] except that we need to assume the Ahlfors regularity of m , which is not assumed in [29, Theorem 3.21]. (This setting is very similar to that in [35, Section 7], although there are indeed slight differences between the setting of discrete approximations of (K, d) in [29] and that in [35].) In particular, *all* the examples of self-similar sets in [29, Sections 4.4–4.6] and those planned to be treated in [30] fall within the framework of our main results in Section 5 (see also Remark 5.15-(2)). The second one presented in Section 6 (see Assumption 6.1) treats the case of *post-critically finite self-similar structures*. In particular, by virtue of the work [7], this framework includes all *affine nested fractals*, which were covered only partially in [29] (see Remark 6.2-(3)).

Very recently, for any $p \in [1, \infty)$, Alonso-Ruiz and Baudoin [4] constructed p -energy forms and p -energy measures on PI-spaces as Γ -limits of $E_{p,1}$ and $\bar{\Gamma}$ -limits of localized versions of $E_{p,1}$, respectively. Their framework is very different from ours although we do not deal with the case $p = 1$. Indeed, $s_p = 1$ on PI-spaces while $s_p > 1$ on generalized Sierpiński carpets and some Sierpiński gaskets as proved in [23, Section 9]. Also, our construction of p -energy measures enables us to prove some fundamental properties of them, which were not shown in [4].

This article is organized as follows. In Section 2, we introduce the notion of p -energy form and the generalized p -contraction property and recall some basic consequences of this property, following [23]. In Section 3, we present basic notation related to the Besov-type functionals (1.2) and, under the assumptions of (1.3) and some mild conditions, we construct a good p -energy form $\mathcal{E}_p^{\text{KS}}$ as a subsequential pointwise limit of $\{E_{p,s_p}(\cdot, r)\}_{r>0}$. We also recall the notion of p -resistance form and present a sufficient condition for $\mathcal{E}_p^{\text{KS}}$ to be a p -resistance form in the end of Section 3. Section 4 is devoted to discussions on the p -energy measures associated with $\mathcal{E}_p^{\text{KS}}$. (More precisely, we prove these results in Sections 3 and 4 in a synthetic way for a more general family of kernels.) In Section 5, we first recall from [29] the setting of p -conductively homogeneous compact metric spaces and then verify (1.3) for them under some geometric assumptions. In Section 6, we show (1.3) for post-critically finite self-similar structures under the assumption of the existence of nice self-similar p -resistance forms. In Sections 5 and 6, we also show *localized energy estimates*, some estimates on localized versions $\int_E f_{B_d(x,r)} \frac{|u(x)-u(y)|^p}{r^{ps_p}} m(dy)m(dx)$

of $E_{p,s_p}(u)$ for any Borel subset E of K , and that our construction can be further modified in the case of self-similar sets to obtain self-similar p -energy forms keeping most of the good properties of Korevaar–Schoen ones.

Notation Throughout this paper, we use the following notation and conventions.

- (1) For $[0, \infty]$ -valued quantities A and B , we write $A \lesssim B$ to mean that there exists an implicit constant $C \in (0, \infty)$ depending on some unimportant parameters such that $A \leq CB$. We write $A \asymp B$ if $A \lesssim B$ and $B \lesssim A$.
- (2) For a set A , we let $\#A \in \mathbb{N} \cup \{0, \infty\}$ denote the cardinality of A .
- (3) We set $\sup \emptyset := 0$ and $\inf \emptyset := \infty$. We write $a \vee b := \max\{a, b\}$, $a \wedge b := \min\{a, b\}$ and $a^+ := a \vee 0$ for $a, b \in [-\infty, \infty]$, and we use the same notation also for $[-\infty, \infty]$ -valued functions and equivalence classes of them. All numerical functions in this paper are assumed to be $[-\infty, \infty]$ -valued.
- (4) Let X be a non-empty set. We define $\text{id}_X: X \rightarrow X$ by $\text{id}_X(x) := x$, $\mathbf{1}_A = \mathbf{1}_A^X \in \mathbb{R}^X$ for $A \subseteq X$ by $\mathbf{1}_A(x) := \mathbf{1}_A^X(x) := \begin{cases} 1 & \text{if } x \in A, \\ 0 & \text{if } x \notin A, \end{cases}$ and set $\|u\|_{\sup} := \|u\|_{\sup, X} := \sup_{x \in X} |u(x)|$ for $u: X \rightarrow [-\infty, \infty]$.
- (5) We define $\text{sgn}: \mathbb{R} \rightarrow \mathbb{R}$ by $\text{sgn}(a) := \mathbf{1}_{(0, \infty)}(a) - \mathbf{1}_{(-\infty, 0)}(a)$.
- (6) Let X be a topological space. The Borel σ -algebra of X is denoted by $\mathcal{B}(X)$, the closure of $A \subseteq X$ in X by \overline{A}^X , and we say that $A \subseteq X$ is *relatively compact* in X if and only if \overline{A}^X is compact. We set $C(X) := \{u \in \mathbb{R}^X \mid u \text{ is continuous}\}$, $\text{supp}_X[u] := \overline{X \setminus u^{-1}(0)}^X$ for $u \in C(X)$, $C_b(X) := \{u \in C(X) \mid \|u\|_{\sup} < \infty\}$, $C_c(X) := \{u \in C(X) \mid \text{supp}_X[u] \text{ is compact}\}$, and $C_0(X) := \overline{C_c(X)}^{C_b(X)} = \{u \in C(X) \mid u^{-1}(\mathbb{R} \setminus (-\varepsilon, \varepsilon)) \text{ is compact for any } \varepsilon \in (0, \infty)\}$, where $C_b(X)$ is equipped with the uniform norm $\|\cdot\|_{\sup}$.
- (7) Let X be a topological space having a countable open base. For a Borel measure m on X and a Borel measurable function $f: X \rightarrow [-\infty, \infty]$ or an m -equivalence class f of such functions, we let $\text{supp}_m[f]$ denote the support of the measure $|f| dm$, that is, the smallest closed subset F of X such that $\int_{X \setminus F} |f| dm = 0$.
- (8) Let (X, d) be a metric space. We set $B_d(x, r) := \{y \in X \mid d(x, y) < r\}$ for $(x, r) \in X \times (0, \infty)$, $(A)_{d,r} := \bigcup_{x \in A} B_d(x, r)$ for $A \subseteq X$ and $r \in (0, \infty)$, and $\text{dist}_d(A, B) := \inf\{d(x, y) \mid x \in A, y \in B\}$ for $A, B \subseteq X$.
- (9) Let (X, \mathcal{B}, m) be a measure space. We set $\int_A f dm := \frac{1}{m(A)} \int_A f dm$ for $f \in L^1(X, m)$ and $A \in \mathcal{B}$ with $m(A) \in (0, \infty)$, and set $m|_A := m|_{\mathcal{B}|_A}$ for $A \in \mathcal{B}$, where $\mathcal{B}|_A := \{B \cap A \mid B \in \mathcal{B}\}$. When m is σ -finite, the product measure space of (X, \mathcal{B}, m) and itself is denoted by $(X \times X, \mathcal{B} \otimes \mathcal{B}, m \times m)$.

2 p -Energy forms and generalized p -contraction property

In this section, following [23], we recall the generalized p -contraction property and some basic consequences of it. Throughout this section, we fix $p \in (1, \infty)$, a measure space (K, \mathcal{B}, m) , a linear subspace \mathcal{F} of $L^0(K, m) := L^0(K, \mathcal{B}, m)$, where

$$L^0(K, \mathcal{B}, m) := \{\text{the } m\text{-equivalence class of } u \mid u: K \rightarrow \mathbb{R}, u \text{ is } \mathcal{B}\text{-measurable}\},$$

and a functional $\mathcal{E}: \mathcal{F} \rightarrow [0, \infty)$ which is p -homogeneous, i.e., satisfies $\mathcal{E}(au) = |a|^p \mathcal{E}(u)$ for any $(a, u) \in \mathbb{R} \times \mathcal{F}$. (Note that the pair (\mathcal{B}, m) is arbitrary. In the case where $\mathcal{B} = 2^K$ and m is the counting measure on K , we have $L^0(K, \mathcal{B}, m) = \mathbb{R}^K$.)

Let us recall the definitions of a p -energy form and the generalized p -contraction property introduced in [23].

Definition 2.1 (p -Energy form; [23, Definition 3.1]) The pair $(\mathcal{E}, \mathcal{F})$ is said to be a p -energy form on (K, m) if and only if $\mathcal{E}^{1/p}$ is a seminorm on \mathcal{F} .

Definition 2.2 (Generalized p -contraction property; [23, Definition 2.1]) The pair $(\mathcal{E}, \mathcal{F})$ is said to satisfy the *generalized p -contraction property*, $(GC)_p$ for short, if and only if the following hold: if $n_1, n_2 \in \mathbb{N}$, $q_1 \in (0, p]$, $q_2 \in [p, \infty]$ and $T = (T_1, \dots, T_{n_2}): \mathbb{R}^{n_1} \rightarrow \mathbb{R}^{n_2}$ satisfies

$$T(0) = 0 \quad \text{and} \quad \|T(x) - T(y)\|_{\ell^{q_2}} \leq \|x - y\|_{\ell^{q_1}} \quad \text{for any } x, y \in \mathbb{R}^{n_1}, \quad (2.1)$$

then for any $\mathbf{u} = (u_1, \dots, u_{n_1}) \in \mathcal{F}^{n_1}$ we have

$$T(\mathbf{u}) \in \mathcal{F}^{n_2} \quad \text{and} \quad \left\| (\mathcal{E}(T_l(\mathbf{u}))^{1/p})_{l=1}^{n_2} \right\|_{\ell^{q_2}} \leq \left\| (\mathcal{E}(u_k)^{1/p})_{k=1}^{n_1} \right\|_{\ell^{q_1}}. \quad (GC)_p$$

See [23, Sections 2 and 3] for details on consequences of $(GC)_p$. Here, in Propositions 2.3, 2.4 and 2.5, we recall some results from [23] that will be used in this paper.

Proposition 2.3 ([23, Proposition 2.2]) Suppose that $(\mathcal{E}, \mathcal{F})$ satisfies $(GC)_p$.

- (1) $\mathcal{E}^{1/p}$ satisfies the triangle inequality. In particular, \mathcal{E} is convex on \mathcal{F} .
- (2) Let $\varphi \in C(\mathbb{R})$ satisfy $\varphi(0) = 0$ and $|\varphi(t) - \varphi(s)| \leq |t - s|$ for any $s, t \in \mathbb{R}$. Then $\varphi(u) \in \mathcal{F}$ and $\mathcal{E}(\varphi(u)) \leq \mathcal{E}(u)$ for any $u \in \mathcal{F}$. Furthermore, for any $u \in \mathcal{F} \cap L^\infty(K, m)$ and $\Phi \in C^1(\mathbb{R})$ with $\Phi(0) = 0$, we have $\Phi(u) \in \mathcal{F}$ and

$$\mathcal{E}(\Phi(u)) \leq \sup\{|\Phi'(t)|^p \mid t \in \mathbb{R}, |t| \leq \|u\|_{L^\infty(K, m)}\} \mathcal{E}(u). \quad (2.2)$$

- (3) For any $u, v \in \mathcal{F}$, we have $u \wedge v, u \vee v \in \mathcal{F}$ and

$$\mathcal{E}(u \vee v) + \mathcal{E}(u \wedge v) \leq \mathcal{E}(u) + \mathcal{E}(v). \quad (2.3)$$

- (4) For any $u, v \in \mathcal{F} \cap L^\infty(K, m)$, we have $uv \in \mathcal{F} \cap L^\infty(K, m)$ and

$$\mathcal{E}(uv)^{1/p} \leq \|v\|_{L^\infty(K, m)} \mathcal{E}(u)^{1/p} + \|u\|_{L^\infty(K, m)} \mathcal{E}(v)^{1/p}. \quad (2.4)$$

(5) If $p \in (1, 2]$, then for any $u, v \in \mathcal{F}$,

$$2(\mathcal{E}(u)^{1/(p-1)} + \mathcal{E}(v)^{1/(p-1)})^{p-1} \leq \mathcal{E}(u+v) + \mathcal{E}(u-v) \leq 2(\mathcal{E}(u) + \mathcal{E}(v)). \quad (2.5)$$

If $p \in [2, \infty)$, then for any $u, v \in \mathcal{F}$,

$$2(\mathcal{E}(u)^{1/(p-1)} + \mathcal{E}(v)^{1/(p-1)})^{p-1} \geq \mathcal{E}(u+v) + \mathcal{E}(u-v) \geq 2(\mathcal{E}(u) + \mathcal{E}(v)). \quad (2.6)$$

Proposition 2.4 ([23, Proposition 3.5]) Suppose that $(\mathcal{E}, \mathcal{F})$ satisfies $(\text{GC})_p$. Then for any $u, v \in \mathcal{F}$,

$$\mathcal{E}(u+v) + \mathcal{E}(u-v) - 2\mathcal{E}(u) \leq 2((p-1) \wedge 1) \left(\mathcal{E}(u)^{\frac{1}{p-1}} + \mathcal{E}(v)^{\frac{1}{p-1}} \right)^{(p-2)^+} \mathcal{E}(v)^{1 \wedge \frac{1}{p-1}}. \quad (2.7)$$

In particular, in view of the convexity of \mathcal{E} from Proposition 2.3-(1), $\mathbb{R} \ni t \mapsto \mathcal{E}(u+tv) \in [0, \infty)$ is differentiable and

$$\lim_{s \rightarrow 0} \sup_{h \in \mathcal{F}; \mathcal{E}(h) \leq 1} \left| \frac{\mathcal{E}(u+sh) - \mathcal{E}(u)}{s} - \frac{d}{dt} \mathcal{E}(u+th) \Big|_{t=0} \right| = 0. \quad (2.8)$$

Proposition 2.5 ([23, Theorem 3.6]) Suppose that $(\mathcal{E}, \mathcal{F})$ satisfies $(\text{GC})_p$. For any $u, v \in \mathcal{F}$, we define

$$\mathcal{E}(u; v) := \frac{1}{p} \frac{d}{dt} \mathcal{E}(u+tv) \Big|_{t=0}, \quad (2.9)$$

which exists by Proposition 2.4. Then for any $u, u_1, u_2, v \in \mathcal{F}$, $\mathcal{E}(u; \cdot) : \mathcal{F} \rightarrow \mathbb{R}$ is linear, $\mathcal{E}(u; u) = \mathcal{E}(u)$, $\mathcal{E}(au; v) = \text{sgn}(a) |a|^{p-1} \mathcal{E}(u; v)$ for any $a \in \mathbb{R}$,

$$\mathcal{E}(u; h) = 0 \quad \text{and} \quad \mathcal{E}(u+h; v) = \mathcal{E}(u; v) \quad \text{for any } h \in \mathcal{E}^{-1}(0), \quad (2.10)$$

$$|\mathcal{E}(u; v)| \leq \mathcal{E}(u)^{(p-1)/p} \mathcal{E}(v)^{1/p}, \quad (2.11)$$

$$|\mathcal{E}(u_1; v) - \mathcal{E}(u_2; v)| \leq c_p \left[\max_{i \in \{1, 2\}} \mathcal{E}(u_i) \right]^{\frac{p-1-\alpha_p}{p}} \mathcal{E}(u_1 - u_2)^{\alpha_p/p} \mathcal{E}(v)^{1/p} \quad (2.12)$$

for $\alpha_p := \frac{(p-1) \wedge 1}{p}$ and some $c_p \in (0, \infty)$ determined solely and explicitly by p .

Notation Throughout this paper, for any p -energy form $(\mathcal{E}, \mathcal{F})$ on (K, m) satisfying $(\text{GC})_p$ and any $u, v \in \mathcal{F}$, we let $\mathcal{E}(u; v) \in \mathbb{R}$ denote the element given by (2.9).

3 Construction and properties of Korevaar–Schoen p -energy forms

In this section, we show the existence of *Korevaar–Schoen p -energy forms*, i.e., pointwise subsequential limits of the Besov-type p -energy functionals (1.2) under the assumption of the weak monotonicity estimate (1.3), and give some basic properties

of the limit p -energy forms. To be precise, we will prove these results for a more general *family of kernels* in a synthetic way in order to apply the results in this section to construct self-similar p -energy forms later in Sections 5 and 6.

Throughout this section, we fix a separable metric space (K, d) with $\#K \geq 2$ and a σ -finite Borel measure m on K with full topological support. Under this setting, the map from $C(K)$ to $L^0(K, m)$ defined by taking $u \in C(K)$ to its m -equivalence class is injective and hence gives a canonical embedding of $C(K)$ into $L^0(K, m)$ as a subalgebra, and we will consider $C(K)$ as a subset of $L^0(K, m)$ through this embedding without further notice.

We also fix $p \in (1, \infty)$ throughout this section unless otherwise stated. We will state some definitions and statements below for any $p \in [1, \infty)$, but on each such occasion we will explicitly declare that we let $p \in [1, \infty)$.

First, we introduce a function space determined by a family of kernels $\{k_r\}_{r>0}$.

Definition 3.1 Let $p \in [1, \infty)$ and let $\mathbf{k} = \{k_r\}_{r>0}$ be a sequence of $[0, \infty]$ -valued Borel measurable functions on $K \times K$ with $k_r(x, y) = k_r(y, x)$ for $m \times m$ -a.e. $(x, y) \in K \times K$ and $\text{ess sup}_{x \in K} \|k_r(x, \cdot)\|_{L^1(K, m)} < \infty$ ¹. We define a linear subspace $B_{p, \infty}^{\mathbf{k}}$ of $L^p(K, m)$ by

$$B_{p, \infty}^{\mathbf{k}} := \left\{ f \in L^p(K, m) \left| \sup_{r>0} \int_K \int_K |f(x) - f(y)|^p k_r(x, y) m(dy) m(dx) < \infty \right. \right\} \quad (3.1)$$

and equip $B_{p, \infty}^{\mathbf{k}}$ with the norm $\|\cdot\|_{B_{p, \infty}^{\mathbf{k}}}$ defined by

$$\|f\|_{B_{p, \infty}^{\mathbf{k}}} := \|f\|_{L^p(K, m)} + \left(\sup_{r>0} \int_K \int_K |f(x) - f(y)|^p k_r(x, y) m(dy) m(dx) \right)^{1/p}.$$

Also, we define $J_{p, r} : L^p(K, m) \rightarrow [0, \infty)$ by

$$J_{p, r}^{\mathbf{k}}(f) := \int_K \int_K |f(x) - f(y)|^p k_r(x, y) m(dy) m(dx), \quad f \in L^p(K, m).$$

In the rest of this section, we fix a family of kernels $\mathbf{k} = \{k_r\}_{r>0}$ as in Definition 3.1. To state some basic properties of $J_{p, r}^{\mathbf{k}}$, let us recall the reverse Minkowski inequality (see, e.g., [1, Theorem 2.13]).

Proposition 3.2 (Reverse Minkowski inequality) *Let (Y, \mathcal{A}, μ) be a measure space² and let $r \in (0, 1]$. Then for any \mathcal{A} -measurable functions $f, g : Y \rightarrow [0, \infty]$,*

$$\left(\int_Y f^r d\mu \right)^{1/r} + \left(\int_Y g^r d\mu \right)^{1/r} \leq \left(\int_Y (f + g)^r d\mu \right)^{1/r}. \quad (3.2)$$

¹ Thanks to this condition, we easily see that $\int_K \int_K |f(x) - f(y)|^p k_r(x, y) m(dy) m(dx) < \infty$ for any $r \in (0, \infty)$ and any $f \in L^p(K, m)$.

² In the book [1], the reverse Minkowski inequality is stated and proved only for the L^r -space over non-empty open subsets of the Euclidean space equipped with the Lebesgue measure, but the same proof works for any measure space.

For ease of notation, we define $\gamma_p : \mathbb{R} \rightarrow \mathbb{R}$ by

$$\gamma_p(a) := \operatorname{sgn}(a) |a|^{p-1}.$$

The following proposition is elementary.

Proposition 3.3 *For any $r \in (0, \infty)$, $(J_{p,r}^k, L^p(K, m))$ is a p -energy form on (K, m) satisfying $(\text{GC})_p$, and for any $f, g \in L^p(K, m)$,*

$$J_{p,r}^k(f; g) = \int_K \int_K \gamma_p(f(x) - f(y))(g(x) - g(y)) k_r(x, y) m(dy) m(dx). \quad (3.3)$$

Proof. Suppose that $T = (T_1, \dots, T_{n_2}) : \mathbb{R}^{n_1} \rightarrow \mathbb{R}^{n_2}$ satisfies (2.1) and that $q_2 < \infty$. Then for any $\mathbf{u} = (u_1, \dots, u_{n_1}) \in L^p(K, m)^{n_1}$ and any $r \in (0, \infty)$,

$$\begin{aligned} & \sum_{l=1}^{n_2} J_{p,r}^k(T_l(\mathbf{u}))^{q_2/p} \\ & \stackrel{(3.2)}{\leq} \left(\int_K \int_K \left[\sum_{l=1}^{n_2} |T_l(\mathbf{u}(xm)) - T_l(\mathbf{u}(y))|^{q_2} \right]^{p/q_2} k_r(x, y) m(dy) m(dx) \right)^{q_2/p} \\ & \stackrel{(2.1)}{\leq} \left(\int_K \int_K \left[\sum_{k=1}^{n_1} |u_k(x) - u_k(y)|^{q_1} \right]^{p/q_1} k_r(x, y) m(dy) m(dx) \right)^{q_2/p} \\ & \stackrel{(*)}{\leq} \left(\sum_{k=1}^{n_1} \left(\int_K \int_K |u_k(x) - u_k(y)|^p k_r(x, y) m(dy) m(dx) \right)^{q_1/p} \right)^{q_2/q_1} \\ & = \left(\sum_{k=1}^{n_1} J_{p,r}^k(u_k)^{q_1/p} \right)^{q_2/q_1}. \end{aligned} \quad (3.4)$$

Here we used the triangle inequality for the norm of $L^{p/q_1}(K \times K, m_r(dxdy))$ in (*), where $m_r(dxdy) := k_r(x, y) m(dy) m(dx)$. The proof for the case $q_2 = \infty$ is similar, so $(J_{p,r}^k, L^p(K, m))$ is a p -energy form on (K, m) satisfying $(\text{GC})_p$. The equality (3.3) follows from the dominated convergence theorem. \square

Similarly, we can show the next proposition.

Proposition 3.4 *For $r \in (0, \infty)$ and $f \in L^p(K, m)$, define $N_{p,r}^k(f) := \|f\|_{L^p(K, m)}^p + J_{p,r}^k(f)$. Then $(N_{p,r}^k, L^p(K, m))$ is a p -energy form on (K, m) satisfying $(\text{GC})_p$. In particular, for any $f, g \in L^p(K, m)$ with $N_{p,r}^k(f) \vee N_{p,r}^k(g) \leq 1$,*

$$N_{p,r}^k(f + g) \leq \left(2^{p \vee \frac{p}{p-1}} - N_{p,r}^k(f - g) \right)^{(p-1) \wedge 1}. \quad (3.5)$$

Proof. A similar estimate as (3.4) shows that $(N_{p,r}^k, L^p(K, m))$ is a p -energy form on (K, m) satisfying $(GC)_p$. The desired estimate (3.5) immediately follows from Proposition 2.3-(5). \square

Let us introduce a couple of important conditions on k .

Definition 3.5 (1) We say that $k = \{k_r\}_{r>0}$ is *asymptotically local* if and only if there exists $\{\delta(r)\}_{r>0} \subseteq (0, \infty)$ such that $\lim_{r \downarrow 0} \delta(r) = 0$ and

$$\lim_{r \downarrow 0} \int_K \int_{K \setminus B_d(x, \delta(r))} k_r(x, y) m(dy) m(dx) = 0. \quad (3.6)$$

(2) Let $p \in [1, \infty)$. We say that $(WM)_{p,k}$ holds if and only if there exists $C \in [1, \infty)$ such that

$$\sup_{r>0} J_{p,r}^k(f) \leq C \liminf_{r \downarrow 0} J_{p,r}^k(f) \quad \text{for any } f \in B_{p,\infty}^k. \quad (WM)_{p,k}$$

The next theorem states that the normed space $B_{p,\infty}^k$ equipped with $\|\cdot\|_{B_{p,\infty}^k}$ is a nice Banach space. Our proof is very similar to that for the case of the $(1, p)$ -Korevaar–Schoen–Sobolev space $KS^{1,p}$ given in [5, Theorems 3.1 and 4.4]. We present a complete proof here to make this paper self-contained.

Theorem 3.6 *For any $p \in [1, \infty)$, the normed space $B_{p,\infty}^k$ is a Banach space. Moreover, if $p \in (1, \infty)$ and $(WM)_{p,k}$ holds, then $B_{p,\infty}^k$ is reflexive and separable.*

Proof. Let $\{f_n\}_{n \in \mathbb{N}}$ be a Cauchy sequence in $B_{p,\infty}^k$. Then there exists a L^p -limit $f \in L^p(K, m)$ of $\{f_n\}_{n \in \mathbb{N}}$. For any $\varepsilon > 0$ there exists $N \in \mathbb{N}$ such that $\|f_n - f_{n'}\|_{B_{p,\infty}^k} < \varepsilon$ for any $n, n' \geq N$. By using Fatou's lemma, we see that $J_{p,r}^k(f - f_n) \leq \varepsilon^p$ for any $n \geq N$ and hence

$$J_{p,r}^k(f)^{1/p} \leq J_{p,r}^k(f - f_n)^{1/p} + J_{p,r}^k(f_n)^{1/p} \leq \varepsilon + \sup_{n \in \mathbb{N}} \|f_n\|_{B_{p,\infty}^k}.$$

Therefore, $f \in B_{p,\infty}^k$ and $\{f_n\}_n$ converges to f in $B_{p,\infty}^k$, i.e., $B_{p,\infty}^k$ is a Banach space.

Next we assume that $p \in (1, \infty)$ and that $(WM)_{p,k}$ holds. Then $\|f\|_{B_{p,\infty}^k} := (\|f\|_{L^p(K, m)}^p + \limsup_{r \downarrow 0} J_{p,r}^k(f))^{1/p}$ is a norm on $B_{p,\infty}^k$ that is equivalent to $\|\cdot\|_{B_{p,\infty}^k}$. We will show that $\|\cdot\|_{B_{p,\infty}^k}$ is uniformly convex (see [10, Definition 1] for the definition) and thus $B_{p,\infty}^k$ is reflexive by the Milman–Pettis theorem (see, e.g., [19, Theorem 2.49]). Let $\varepsilon > 0$ and $f, g \in B_{p,\infty}^k$ with $\|f\|_{B_{p,\infty}^k} \vee \|g\|_{B_{p,\infty}^k} < 1$ and $\|f - g\|_{B_{p,\infty}^k} > \varepsilon$. By [5, Lemma 4.11], it suffices to find $\delta \in (0, \infty)$ that is independent of f, g such that $\|f + g\|_{B_{p,\infty}^k} \leq 2(1 - \delta)$. Choose $r_0 \in (0, \infty)$ so that

$$\|f\|_{L^p(K, m)}^p + J_{p,r}^k(f) < 1, \quad \|g\|_{L^p(K, m)}^p + J_{p,r}^k(g) < 1 \quad \text{for any } r \in (0, r_0).$$

Since $(WM)_{p,k}$ implies that

$$\begin{aligned}
\varepsilon^p &< \|f - g\|_{L^p(K, m)}^p + \limsup_{r \downarrow 0} J_{p, r}^k(f - g) \\
&\leq C \left(\|f - g\|_{L^p(K, m)}^p + \liminf_{r \downarrow 0} J_{p, r}^k(f - g) \right),
\end{aligned}$$

there exists $r_1 \in (0, \infty)$ such that

$$\|f - g\|_{L^p(K, m)}^p + J_{p, r}^k(f - g) > C^{-1} \varepsilon^p \quad \text{for any } r \in (0, r_1).$$

Hence, for any $r \in (0, r_0 \wedge r_1)$, by using (3.5), we see that

$$\|f + g\|_{L^p(K, m)}^p + J_{p, r}^k(f + g) < \left[2^{p \vee \frac{p}{p-1}} - C^{-1} \varepsilon^p \right]^{(p-1) \wedge 1},$$

which implies $\|f + g\|_{L^p(K, m)}^p + J_{p, r}^k(f + g) \leq 2^p(1 - \delta)$ for some $\delta \in (0, \infty)$ depending only on p, C, ε . The desired uniform convexity is proved.

Since $L^p(K, m)$ is separable and the inclusion map of $B_{p, \infty}^k$ into $L^p(K, m)$ is a continuous linear injection, $B_{p, \infty}^k$ is separable by [2, Proposition 4.1]. \square

To obtain the local Hölder continuity with exponent $(p - 1) \wedge 1$ of the Korevaar–Schoen p -energy forms (see Theorem 3.8-(d) below), we will need the following elementary inequality (see also [34, Proof of Corollary 5.8]).

Lemma 3.7 *For any $a, b \in \mathbb{R}$,*

$$|\gamma_p(a) - \gamma_p(b)| \leq \begin{cases} ((p - 1) \vee 2^{p-1}) |a - b|^{p-1} & \text{if } p \in (1, 2], \\ (p - 1)(|a|^{p-2} \vee |b|^{p-2}) |a - b| & \text{if } p \in (2, \infty). \end{cases}$$

Proof. The desired estimate is evident when $|a| \wedge |b| = 0$, so we can assume that $0 < |b| \leq |a|$ by exchanging a and b if necessary. The proof is divided into the following five cases.

Case 1: $p \in (1, 2]$ and $ab < 0$.

We can assume that $b < 0 < a$ by considering $-a, -b$ instead of a, b respectively if necessary. Note that $|a| \leq |a - b|$. We see that

$$|\gamma_p(a) - \gamma_p(b)| = a^{p-1} - (-b)^{p-1} \leq 2|a|^{p-1} \leq 2|a - b|^{p-1}.$$

Case 2: $p \in (1, 2]$, $ab > 0$ and $|a - b| \leq |b|$.

By the same reason as the previous case, we can assume that $a \geq b > 0$. Noting that $|a - b|^{p-2} \geq |b|^{p-2}$, we have

$$\begin{aligned}
|\gamma_p(a) - \gamma_p(b)| &= a^{p-1} - b^{p-1} = (p - 1) \int_b^a t^{p-2} dt \\
&\leq (p - 1) |b|^{p-2} |a - b| \leq (p - 1) |a - b|^{p-1}.
\end{aligned}$$

Case 3: $p \in (1, 2]$, $ab > 0$ and $|a - b| \geq |b|$.

Similar to the previous cases, we can assume that $a \geq b > 0$. Then $|a - b| \geq |b|$ is equivalent to $b \in (0, a/2]$, whence $\frac{a}{2} \leq a - b = |a - b|$. Now we see that

$$|\gamma_p(a) - \gamma_p(b)| = a^{p-1} - b^{p-1} \leq a^{p-1} \leq 2^{p-1} |a - b|^{p-1}.$$

Case 4: $p \in (2, \infty)$ and $ab < 0$.

In this case, we have $|b|^{p-2} \leq |a|^{p-2}$ by $p-2 \geq 0$. We can assume that $b < 0 < a$ similarly to Case 1. Then

$$|\gamma_p(a) - \gamma_p(b)| = |a|^{p-2} a - |b|^{p-2} b \leq |a|^{p-2} a - |a|^{p-2} b = |a|^{p-2} |a - b|.$$

Case 5: $p \in (2, \infty)$ and $ab > 0$.

Similar to Cases 2 and 3, we can assume that $a \geq b > 0$. Then

$$|\gamma_p(a) - \gamma_p(b)| = a^{p-1} - b^{p-1} = (p-1) \int_b^a t^{p-2} dt \leq (p-1) |a|^{p-2} |a - b|.$$

The above five cases complete the proof. \square

Now we can state and prove the first main theorem of this paper as follows. Recall that we have fixed $p \in (1, \infty)$.

Theorem 3.8 *Suppose that $(\text{WM})_{p,k}$ holds. Then any sequence $\{\tilde{r}_n\}_{n \in \mathbb{N}} \subseteq (0, \infty)$ with $\tilde{r}_n \rightarrow 0$ has a subsequence $\{r_n\}_{n \in \mathbb{N}}$ such that the following limit exists in $[0, \infty)$ for any $f \in B_{p,\infty}^k$:*

$$\mathcal{E}_p^k(f) := \lim_{n \rightarrow \infty} J_{p,r_n}^k(f). \quad (3.7)$$

Moreover, for any such $\{r_n\}_{n \in \mathbb{N}}$, the functional $\mathcal{E}_p^k: B_{p,\infty}^k \rightarrow [0, \infty)$ defined by (3.7) satisfies the following properties:

(a) $(\mathcal{E}_p^k, B_{p,\infty}^k)$ is a p -energy form on (K, m) such that

$$C^{-1} \sup_{r>0} J_{p,r}^k(f) \leq \mathcal{E}_p^k(f) \leq C \liminf_{r \downarrow 0} J_{p,r}^k(f) \quad \text{for any } f \in B_{p,\infty}^k, \quad (3.8)$$

where $C \in (0, \infty)$ is the same as in $(\text{WM})_{p,k}$. In particular, $\mathbf{1}_K \in B_{p,\infty}^k$ and $\mathcal{E}_p^k(\mathbf{1}_K) = 0$ if $m(K) < \infty$.

(b) $(\mathcal{E}_p^k, B_{p,\infty}^k)$ satisfies $(\text{GC})_p$. Furthermore, for any $f, g \in B_{p,\infty}^k$, $\{J_{p,r_n}^k(f; g)\}_{n \in \mathbb{N}}$ is convergent in \mathbb{R} and

$$\mathcal{E}_p^k(f; g) = \lim_{n \rightarrow \infty} J_{p,r_n}^k(f; g). \quad (3.9)$$

(c) (Function-wise generalized p -contraction property) *Let $n_1, n_2 \in \mathbb{N}$, $q_1 \in (0, p]$, $q_2 \in [p, \infty]$, $\mathbf{u} = (u_1, \dots, u_{n_1}) \in (B_{p,\infty}^k)^{n_1}$ and $\mathbf{v} = (v_1, \dots, v_{n_2}) \in L^p(K, m)^{n_2}$. If*

$$\|\mathbf{v}(x) - \mathbf{v}(y)\|_{\ell^{q_2}} \leq \|\mathbf{u}(x) - \mathbf{u}(y)\|_{\ell^{q_1}} \quad \text{for } m \times m\text{-a.e. } (x, y) \in K \times K, \quad (3.10)$$

then $\mathbf{v} \in (B_{p,\infty}^{\mathbf{k}})^{n_2}$ and

$$\left\| (\mathcal{E}_p^{\mathbf{k}}(v_l)^{1/p})_{l=1}^{n_2} \right\|_{\ell^{q_2}} \leq \left\| (\mathcal{E}_p^{\mathbf{k}}(u_k)^{1/p})_{k=1}^{n_1} \right\|_{\ell^{q_1}}. \quad (3.11)$$

- (d) (Local Hölder continuity) *There exists $C_p \in (0, \infty)$ determined solely and explicitly by p such that for any $f_1, f_2, g \in B_{p,\infty}^{\mathbf{k}}$,*

$$|\mathcal{E}_p^{\mathbf{k}}(f_1; g) - \mathcal{E}_p^{\mathbf{k}}(f_2; g)| \leq C_p \left[\max_{i \in \{1,2\}} \mathcal{E}_p^{\mathbf{k}}(f_i) \right]^{\frac{(p-2)^+}{p}} \mathcal{E}_p^{\mathbf{k}}(f_1 - f_2)^{\frac{(p-1) \wedge 1}{p}} \mathcal{E}_p^{\mathbf{k}}(g)^{\frac{1}{p}}. \quad (3.12)$$

- (e) (Strong locality) *Suppose that \mathbf{k} is asymptotically local.*

- (i) *Let $f_1, f_2, g \in B_{p,\infty}^{\mathbf{k}}$. If $\text{supp}_m[f_1 - a_1 \mathbf{1}_K] \cap \text{supp}_m[f_2 - a_2 \mathbf{1}_K] = \emptyset$ and either $\text{supp}_m[f_1 - a_1 \mathbf{1}_K]$ or $\text{supp}_m[f_2 - a_2 \mathbf{1}_K]$ is compact for some $a_1, a_2 \in \mathbb{R}$, then*

$$\mathcal{E}_p^{\mathbf{k}}(f_1 + f_2 + g) + \mathcal{E}_p^{\mathbf{k}}(g) = \mathcal{E}_p^{\mathbf{k}}(f_1 + g) + \mathcal{E}_p^{\mathbf{k}}(f_2 + g), \quad (3.13)$$

$$\mathcal{E}_p^{\mathbf{k}}(f_1 + f_2; g) = \mathcal{E}_p^{\mathbf{k}}(f_1; g) + \mathcal{E}_p^{\mathbf{k}}(f_2; g). \quad (3.14)$$

- (ii) *Let $f_1, f_2, g \in B_{p,\infty}^{\mathbf{k}}$. If $\text{supp}_m[f_1 - f_2 - a \mathbf{1}_K] \cap \text{supp}_m[g - b \mathbf{1}_K] = \emptyset$ and either $\text{supp}_m[f_1 - f_2 - a \mathbf{1}_K]$ or $\text{supp}_m[g - b \mathbf{1}_K]$ is compact for some $a, b \in \mathbb{R}$, then*

$$\mathcal{E}_p^{\mathbf{k}}(f_1; g) = \mathcal{E}_p^{\mathbf{k}}(f_2; g) \quad \text{and} \quad \mathcal{E}_p^{\mathbf{k}}(g; f_1) = \mathcal{E}_p^{\mathbf{k}}(g; f_2). \quad (3.15)$$

- (f) (Invariance) *Let $T: K \rightarrow K$ be Borel measurable and preserve m , i.e., satisfy $T^{-1}(A) \in \mathcal{B}(K)$ and $m(T^{-1}(A)) = m(A)$ for any $A \in \mathcal{B}(K)$. If \mathbf{k} is T -invariant, i.e., $k_r(T(x), T(y)) = k_r(x, y)$ for $m \times m$ -a.e. $(x, y) \in K \times K$ for each $r \in (0, \infty)$, then $f \circ T \in B_{p,\infty}^{\mathbf{k}}$ and $\mathcal{E}_p^{\mathbf{k}}(f \circ T) = \mathcal{E}_p^{\mathbf{k}}(f)$ for any $f \in B_{p,\infty}^{\mathbf{k}}$.*

Definition 3.9 (\mathbf{k} -Korevaar–Schoen p -energy form) Suppose that $(\text{WM})_{p,\mathbf{k}}$ holds. For each sequence $\{r_n\}_{n \in \mathbb{N}} \subseteq (0, \infty)$ as in Theorem 3.8, the p -energy form $(\mathcal{E}_p^{\mathbf{k}}, B_{p,\infty}^{\mathbf{k}})$ on (K, m) defined by (3.7) is called the \mathbf{k} -Korevaar–Schoen p -energy form on (K, m) along $\{r_n\}_{n \in \mathbb{N}}$.

Remark 3.10 Advantages of our p -energy form $(\mathcal{E}_p^{\mathbf{k}}, B_{p,\infty}^{\mathbf{k}})$ on (K, m) are (c) and (d). The estimate (3.12) with the Hölder continuity exponent $(p-1) \wedge 1$ is not known for the p -energy forms constructed in [7, 20, 29, 35, 38]. (As stated in Proposition 2.5, the existence of the derivative as in (2.9) and its local Hölder continuity (2.12) with exponent $\frac{(p-1) \wedge 1}{p}$ for p -energy forms satisfying $(\text{GC})_p$ have been proved in [23].) We also do not know whether (c) holds for the p -energy forms constructed in [7, 20, 29, 35, 38].

Proof of Theorem 3.8. Fix a sequence of positive numbers $\{\tilde{r}_n\}_{n \in \mathbb{N}}$ with $\tilde{r}_n \rightarrow 0$. Since $B_{p,\infty}^{\mathbf{k}}$ is separable by Theorem 3.6, there exists a countable dense subset \mathcal{C} of $B_{p,\infty}^{\mathbf{k}}$. A standard diagonal argument yields a subsequence $\{r_n\}_{n \in \mathbb{N}}$ of $\{\tilde{r}_n\}_{n \in \mathbb{N}}$

so that $\lim_{n \rightarrow \infty} J_{p,r_n}^k(u)$ exists in \mathbb{R} for any $u \in \mathcal{C}$. Let $\varepsilon > 0$, $f \in B_{p,\infty}^k$ and pick $f_* \in \mathcal{C}$ satisfying $\sup_{r>0} J_{p,r}^k(f - f_*)^{1/p} < \varepsilon$. Then for any $k, l \in \mathbb{N}$, by using the triangle inequality for $J_{p,r}^k(\cdot)^{1/p}$,

$$\begin{aligned} & \left| J_{p,r_k}^k(f)^{1/p} - J_{p,r_l}^k(f)^{1/p} \right| \\ & \leq J_{p,r_k}^k(f - f_*)^{1/p} + \left| J_{p,r_k}^k(f_*)^{1/p} - J_{p,r_l}^k(f_*)^{1/p} \right| + J_{p,r_l}^k(f - f_*)^{1/p} \\ & \leq 2\varepsilon + \left| J_{p,r_k}^k(f_*)^{1/p} - J_{p,r_l}^k(f_*)^{1/p} \right|. \end{aligned}$$

Letting $k \wedge l \rightarrow \infty$ in this inequality, we obtain

$$\limsup_{k \wedge l \rightarrow \infty} \left| J_{p,r_k}^k(f)^{1/p} - J_{p,r_l}^k(f)^{1/p} \right| \leq 2\varepsilon,$$

which proves that $\{J_{p,r_n}^k(f)\}_{n \in \mathbb{N}}$ is a Cauchy sequence in $[0, \infty)$ and hence is convergent in $[0, \infty)$. Now we define $\mathcal{E}_p^k : B_{p,\infty}^k \rightarrow [0, \infty)$ by $\mathcal{E}_p^k(f) := \lim_{n \rightarrow \infty} J_{p,r_n}^k(f)$.

Clearly, $(\mathcal{E}_p^k, B_{p,\infty}^k)$ is a p -energy form on (K, m) satysfying (3.8) by (WM) $_{p,k}$. Let us show (b), (c), (d) and (e) because the other properties (a) and (f) are immediate from the expression of $J_{p,r}^k(\cdot)$ and the definition of \mathcal{E}_p^k .

(b),(c): Obviously, (c) implies (GC) $_p$ for $(\mathcal{E}_p^k, B_{p,\infty}^k)$, so we first show (c). For simplicity, we consider the case $q_2 < \infty$ (the case $q_2 = \infty$ is similar). Let $\mathbf{u} = (u_1, \dots, u_{n_1}) \in (B_{p,\infty}^k)^{n_1}$ and $\mathbf{v} = (v_1, \dots, v_{n_2}) \in L^p(K, m)^{n_2}$ satisfy (3.10). Then the same argument as in (3.4) shows that for any $r \in (0, \infty)$,

$$\sum_{l=1}^{n_2} J_{p,r}^k(v_l)^{q_2/p} \leq \left(\sum_{k=1}^{n_1} J_{p,r}^k(u_k)^{q_1/p} \right)^{q_2/q_1}, \quad (3.16)$$

which implies that $v_l \in B_{p,\infty}^k$ for any $l \in \{1, \dots, n_2\}$. Using (3.7) to take the limit of (3.16) with $r = r_n$ as $n \rightarrow \infty$, we obtain $\sum_{l=1}^{n_2} \mathcal{E}_p^k(v_l)^{q_2/p} \leq (\sum_{k=1}^{n_1} \mathcal{E}_p^k(u_k)^{q_1/p})^{q_2/q_1}$. This completes the proof of (c).

Next we prove (3.9). We know that \mathcal{E}_p^k is Fréchet differentiable on $B_{p,\infty}^k$ by (2.8) in Proposition 2.4. Also, by combining (2.7) (in Proposition 2.4) for $J_{p,r}^k$ and the convexity of $t \mapsto J_{p,r}^k(f + tg)$, we see that for any $t \in (0, 1)$,

$$\begin{aligned} & \left| \frac{J_{p,r}^k(f + tg) - J_{p,r}^k(f)}{t} - p J_{p,r}^k(f; g) \right| \\ & = \left| \frac{J_{p,r}^k(f + tg) - J_{p,r}^k(f)}{t} - \frac{d}{dt} J_{p,r}^k(f + tg) \right|_{t=0} \\ & \leq \frac{J_{p,r}^k(f + tg) + J_{p,r}^k(f - tg) - 2J_{p,r}^k(f)}{t} \stackrel{(2.7)}{\leq} O_t(f; g), \end{aligned}$$

where $O_t(f; g) = C_{p,f,g} t^{(p-1) \wedge \frac{1}{p-1}}$ for some constant $C_{p,f,g}$ which depends only on $p, |f|_{B_{p,\infty}^k}$ and $|g|_{B_{p,\infty}^k}$. Hence we see that

$$\begin{aligned} & \limsup_{n \rightarrow \infty} |\mathcal{E}_p^k(f; g) - J_{p,r_n}^k(f; g)| \\ & \leq \lim_{n \rightarrow \infty} \left| \mathcal{E}_p^k(f; g) - \frac{1}{p} \cdot \frac{J_{p,r_n}^k(f + tg) - J_{p,r_n}^k(f)}{t} \right| + \frac{1}{p} O_t(f; g) \\ & = \left| \mathcal{E}_p^k(f; g) - \frac{1}{p} \cdot \frac{\mathcal{E}_p^k(f + tg) - \mathcal{E}_p^k(f)}{t} \right| + \frac{1}{p} O_t(f; g) \xrightarrow[t \downarrow 0]{} 0, \end{aligned}$$

which shows (3.9).

(d): This is immediate from (3.3), Hölder's inequality, Lemma 3.7 and (3.9).

(e): Note that (3.13) with $f_2 - f_1, tg, f_1$ for $t \in \mathbb{R} \setminus \{0\}$ in place of f_1, f_2, g and (3.9) together imply the former equality in (3.15), which in turn with $g, 0, f_1 - f_2$ in place of f_1, f_2, g yields the latter by the linearity of $\mathcal{E}_p^k(g; \cdot)$. Also, (3.14) follows by applying (3.13) with g replaced by tg for $t \in \mathbb{R} \setminus \{0\}$ and by 0, taking the differences of both sides of the resulting equalities, dividing both sides by t and then letting $t \rightarrow 0$ on the basis of (3.9). (See [23, Section 3] for the details of these arguments.) Hence it suffices to prove (3.13). For simplicity, for $u \in L^p(K, m)$ and $E \in \mathcal{B}(K)$, define

$$\tilde{J}_{p,r}^k(u | E) := \int_E \int_{B_d(x, \delta(r))} |u(x) - u(y)|^p k_r(x, y) m(dy) m(dx),$$

and set $A_i := \text{supp}_m[f_i - a_i \mathbf{1}_K]$ for $i \in \{1, 2\}$. We also set $\tilde{J}_{p,r}^k(u) := \tilde{J}_{p,r}^k(u | K)$. Note that there exists $r_0 \in (0, \infty)$ such that $\text{dist}_d(A_1, A_2) > 2\delta(r)$ for any $r \in (0, r_0)$ since either A_1 or A_2 is compact. Set $N_r := K \setminus ((A_1)_{d, \delta(r)} \cup (A_2)_{d, \delta(r)})$ for $r \in (0, \infty)$. Then for any $r \in (0, r_0)$,

$$\begin{aligned} & \tilde{J}_{p,r}^k(f_1 + f_2 + g) + \tilde{J}_{p,r}^k(g) \\ & = \tilde{J}_{p,r}^k(f_1 + g | (A_1)_{d, \delta(r)}) + \tilde{J}_{p,r}^k(f_2 + g | (A_2)_{d, \delta(r)}) + \tilde{J}_{p,r}^k(g | N_r) + \tilde{J}_{p,r}^k(g) \\ & = \tilde{J}_{p,r}^k(f_1 + g | (A_1)_{d, \delta(r)}) + \tilde{J}_{p,r}^k(g | (A_2)_{d, \delta(r)} \cup N_r) \\ & \quad + \tilde{J}_{p,r}^k(f_2 + g | (A_2)_{d, \delta(r)}) + \tilde{J}_{p,r}^k(g | (A_1)_{d, \delta(r)} \cup N_r) \\ & = \tilde{J}_{p,r}^k(f_1 + g) + \tilde{J}_{p,r}^k(f_2 + g). \end{aligned} \tag{3.17}$$

Noting that $\lim_{n \rightarrow \infty} \tilde{J}_{p,r_n}^k(u) = \mathcal{E}_p^k(u)$ for any $u \in B_{p,\infty}^k \cap L^\infty(K, m)$ by (3.7) and the asymptotic locality of k , we obtain (3.13) by letting $r := r_n$ and $n \rightarrow \infty$ in (3.17) provided $f_1, f_2, g \in B_{p,\infty}^k \cap L^\infty(K, m)$. Finally, since $(-n) \vee (u \wedge n) \in B_{p,\infty}^k \cap L^\infty(K, m)$, $\lim_{n \rightarrow \infty} \mathcal{E}_p^k(u - (-n) \vee (u \wedge n)) = 0$ (see [23, Section 3] for details) and $\text{supp}_m[u - c \mathbf{1}_K] = \text{supp}_m[(-n) \vee (u \wedge n) - c \mathbf{1}_K]$ for any $u \in B_{p,\infty}^k$ and any $(n, c) \in \mathbb{N} \times \mathbb{R}$ with $n > |c|$, (3.13) extends to the remaining case $\{f_1, f_2, g\} \not\subseteq L^\infty(K, m)$ by the triangle inequality for $\mathcal{E}_p^k(\cdot)^{1/p}$, completing the proof. \square

Next we would like to state further properties of k -Korevaar–Schoen p -energy forms in the “strongly p -recurrent” case. To this end, we recall the notion of p -resistance form introduced in [23] (see [25, 27] for the theory for the case $p = 2$).

Definition 3.11 (p -Resistance form) Let K be a non-empty set. The pair $(\mathcal{E}, \mathcal{F})$ of $\mathcal{F} \subseteq \mathbb{R}^K$ and $\mathcal{E}: \mathcal{F} \rightarrow [0, \infty)$ is said to be a p -resistance form on K if and only if it satisfies the following conditions (RF1) $_p$ –(RF5) $_p$:

- (RF1) $_p$ \mathcal{F} is a linear subspace of \mathbb{R}^K (containing $\mathbb{R}\mathbf{1}_K$) and $\mathcal{E}(\cdot)^{1/p}$ is a seminorm on \mathcal{F} satisfying $\{u \in \mathcal{F} \mid \mathcal{E}(u) = 0\} = \mathbb{R}\mathbf{1}_K$.
- (RF2) $_p$ The quotient normed space $(\mathcal{F}/\mathbb{R}\mathbf{1}_K, \mathcal{E}^{1/p})$ is a Banach space.
- (RF3) $_p$ If $x \neq y \in K$, then there exists $u \in \mathcal{F}$ such that $u(x) \neq u(y)$.
- (RF4) $_p$ For any $x, y \in K$,

$$R_{\mathcal{E}}(x, y) := R_{(\mathcal{E}, \mathcal{F})}(x, y) := \sup \left\{ \frac{|u(x) - u(y)|^p}{\mathcal{E}(u)} \mid u \in \mathcal{F} \setminus \mathbb{R}\mathbf{1}_K \right\} < \infty. \quad (3.18)$$

- (RF5) $_p$ $(\mathcal{E}, \mathcal{F})$ satisfies (GC) $_p$.

We also need to recall the following standard notions on the metric d and the measure m .

Definition 3.12 Let $Q \in (0, \infty)$.

- (1) The metric d is said to be *metric doubling* if and only if for any $\delta \in (0, 1)$ there exists $N \in \mathbb{N}$ such that for any $(x, r) \in K \times (0, \infty)$ we can find $\{x_j\}_{j=1}^N \subseteq K$ so that $B_d(x, r) \subseteq \bigcup_{j=1}^N B_d(x_j, \delta r)$.
- (2) The measure m is said to be *volume doubling with growth exponent Q* (with respect to the metric d) if and only if there exists $C_D \in (0, \infty)$ such that

$$m(B_d(x, s)) \leq C_D \left(\frac{s}{r}\right)^Q m(B_d(x, r)) < \infty \quad \text{for any } x \in K \text{ and any } 0 < r \leq s. \quad (3.19)$$

- (3) The measure m is said to be *Q -Ahlfors regular* (with respect to the metric d) if and only if there exists $C_{AR} \in [1, \infty)$ such that

$$C_{AR}^{-1} s^Q \leq m(B_d(x, s)) \leq C_{AR} s^Q \quad \text{for any } (x, s) \in K \times (0, \text{diam}(K, d)). \quad (3.20)$$

It is well known that the Q -Ahlfors regularity of m implies that the volume doubling property of m with growth exponent Q , and that the volume doubling property of m with respect to d implies the metric doubling property of d .

Now we give a sufficient condition for a k -Korevaar–Schoen p -energy form $(\mathcal{E}_p^k, B_{p, \infty}^k)$ on (K, m) to be a p -resistance form on K .

Proposition 3.13 Suppose that there exist $Q, \beta_p \in (0, \infty)$ with $\beta_p > Q$ such that the following hold:

- (i) The measure m satisfies $m(K) < \infty$ and is volume doubling with growth exponent $Q \in (0, \infty)$.

- (ii) $(\text{WM})_{p,k}$ holds.
- (iii) $\{u \in B_{p,\infty}^k \mid \sup_{r>0} J_{p,r}^k(u) = 0\} = \mathbb{R}\mathbf{1}_K$.
- (iv) $B_{p,\infty}^k \subseteq C(K)$, and there exists $C \in (0, \infty)$ such that for any $f \in B_{p,\infty}^k$ and any $x, y \in K$,

$$|f(x) - f(y)| \leq C d(x, y)^{(\beta_p - Q)/p} \sup_{r>0} J_{p,r}^k(f)^{1/p}, \quad x, y \in K. \quad (3.21)$$

- (v) There exists $C \in (0, \infty)$ such that for any $(x, s) \in K \times (0, \infty)$ with $B_d(x, s) \neq K$,

$$\inf \left\{ \sup_{r>0} J_{p,r}^k(f) \mid f \in C(K), \text{supp}_K[f] \subseteq B_d(x, 2s), f \geq 1 \text{ on } B_d(x, s) \right\} \leq C \frac{m(B_d(x, s))}{s^{\beta_p}}. \quad (3.22)$$

Then any k -Korevaar–Schoen p -energy form $(\mathcal{E}_p^k, B_{p,\infty}^k)$ on (K, m) , which exists by (ii) and Theorem 3.8, is a p -resistance form on K . If in addition m is Q -Ahlfors regular, then there exist $\alpha_0, \alpha_1 \in (0, \infty)$ such that for any such $(\mathcal{E}_p^k, B_{p,\infty}^k)$,

$$\alpha_0 d(x, y)^{\beta_p - Q} \leq R_{\mathcal{E}_p^k}(x, y) \leq \alpha_1 d(x, y)^{\beta_p - Q} \quad \text{for any } x, y \in K. \quad (3.23)$$

Proof. Let $(\mathcal{E}_p^k, B_{p,\infty}^k)$ be a k -Korevaar–Schoen p -energy form on (K, m) . We shall show that $(\mathcal{E}_p^k, B_{p,\infty}^k)$ is a p -resistance form on K . $(\text{RF1})_p$ and $(\text{RF5})_p$ are clear from Theorem 3.8 and (iii). The condition (3.22) immediately implies $(\text{RF3})_p$. By (3.21) and the lower inequality in (3.8) we have $R_{\mathcal{E}_p^k}(x, y) \lesssim d(x, y)^{\beta_p - Q}$ for any $x, y \in K$, whence $(\text{RF4})_p$ and the upper estimate in (3.23) hold. In particular, $\sup_{x,y \in K} R_{\mathcal{E}_p^k}(x, y) < \infty$. To prove $(\text{RF2})_p$, we see from (3.21) that for any $f \in B_{p,\infty}^k$,

$$\begin{aligned} \int_K \left| f(x) - \int_K f \, dm \right|^p m(dx) &\leq \int_K \int_K |f(x) - f(y)|^p m(dy) m(dx) \\ &\lesssim \left(\sup_{x,y \in K} R_{\mathcal{E}_p^k}(x, y) \right) \mathcal{E}_p^k(f) m(K). \end{aligned} \quad (3.24)$$

Let $\{f_n\}_{n \in \mathbb{N}} \subseteq B_{p,\infty}^k$ be a Cauchy sequence in $(B_{p,\infty}^k / \mathbb{R}\mathbf{1}_K, \mathcal{E}_p^k(\cdot)^{1/p})$ with $\int_K f_n \, dm = 0$. Then (3.24) implies that $\{f_n\}_{n \in \mathbb{N}}$ is a Cauchy sequence in $L^p(K, m)$, and thus $\{f_n\}_{n \in \mathbb{N}}$ is a Cauchy sequence in $B_{p,\infty}^k$. Since $B_{p,\infty}^k$ is a Banach space by Theorem 3.6, we conclude that $(B_{p,\infty}^k / \mathbb{R}\mathbf{1}_K, \mathcal{E}_p^k(\cdot)^{1/p})$ is also a Banach space.

Next we show the lower estimate in (3.23) under the assumption that m is Q -Ahlfors regular. Let $x, y \in K$ and let $s > 0$ satisfy $d(x, y) > 2s \geq 2^{-1}d(x, y)$. Then $B_d(x, s) \neq \emptyset$. By (3.22), there exists $f \in B_{p,\infty}^k \cap C(K)$ such that $\text{supp}_K[f] \subseteq B_d(x, s)$, $f \geq 1$ on $B_d(x, 2s)$ and $\mathcal{E}_p^k(f) \leq C_1 s^{Q - \beta_p}$, where $C_1 \in (0, \infty)$ depends only on C in (3.22) and C_{AR} in (3.20). Hence we have

$$R_{\mathcal{E}_p^k}(x, y) \geq \mathcal{E}_p^k(f)^{-1} \geq C_1^{-1} s^{\beta_p - Q} \gtrsim d(x, y)^{\beta_p - Q}. \quad \square$$

Example 3.14 (Korevaar–Schoen–Sobolev space) In addition to the setting specified at the beginning of this section, we suppose that K is connected and that $m(B_d(x, r)) < \infty$ for any $(x, r) \in K \times (0, \infty)$. For $s > 0$, define $\mathbf{k}^s = \{k_r^s\}_{r>0}$ by

$$k_r^s(x, y) := \frac{\mathbf{1}_{B_d(x, r)}(y)}{r^{ps}m(B_d(x, r))}, \quad x, y \in K. \quad (3.25)$$

Clearly, \mathbf{k}^s is asymptotically local. We define the *Besov–Lipschitz space* $B_{p, \infty}^s$ by $B_{p, \infty}^s := B_{p, \infty}^{\mathbf{k}^s}$. Then the *critical L^p -Besov exponent* s_p of (K, d, m) is defined as

$$s_p := \sup\{s > 0 \mid B_{p, \infty}^s \text{ contains a non-constant function}\}. \quad (3.26)$$

We call $\text{KS}^{1, p} := B_{p, \infty}^{s_p}$ the $(1, p)$ -Korevaar–Schoen–Sobolev space on (K, d, m) . We also write $\text{KS}^{1, p}(K, d, m)$ for $\text{KS}^{1, p}$ when we would like to clarify the underlying metric measure space (K, d, m) . If m is Q -Ahlfors regular with respect to d for some $Q \in (0, \infty)$, then $\mathbf{k}^{s_p, Q} = \{k_r^{s_p, Q}\}_{r>0}$ given by

$$k_r^{s_p, Q}(x, y) := r^{-ps_p - Q} \mathbf{1}_{B_d(x, r)}(y), \quad x, y \in K,$$

which again is obviously asymptotically local, also corresponds to the $(1, p)$ -Korevaar–Schoen–Sobolev space, i.e., $B_{p, \infty}^{\mathbf{k}^{s_p, Q}} = \text{KS}^{1, p}$. If $(\text{WM})_{p, \mathbf{k}^{s_p}}$ holds, then we write $\mathcal{E}_p^{\text{KS}}$ instead of $\mathcal{E}_p^{\mathbf{k}^{s_p}}$ and call each \mathbf{k}^{s_p} -Korevaar–Schoen p -energy form $(\mathcal{E}_p^{\text{KS}}, \text{KS}^{1, p})$ on (K, m) a *Korevaar–Schoen p -energy form* on (K, d, m) .

It is not easy to verify $(\text{WM})_{p, \mathbf{k}}$ and (3.22) in general; see Sections 5 and 6 for some settings in which we can prove $(\text{WM})_{p, \mathbf{k}}$ and (3.22). On the other hand, a reasonable sufficient condition for (3.21) is known. In fact, if m is volume doubling with growth exponent $Q \in (0, \infty)$ and $ps_p > Q$, then (3.21) holds for $\text{KS}^{1, p}$; see, e.g., [3, Theorem 5.1] or [5, Theorem 3.2].

4 Associated p -energy measures and chain rule

Next in this section, we introduce the p -energy measures associated with a given \mathbf{k} -Korevaar–Schoen p -energy form $(\mathcal{E}_p^{\mathbf{k}}, B_{p, \infty}^{\mathbf{k}})$, and show their basic properties.

Throughout this section, as in the previous section, we fix $p \in (1, \infty)$, a separable metric space (K, d) with $\#K \geq 2$ and a σ -finite Borel measure m on K with full topological support. In addition, we suppose that (K, d) is locally compact. We also fix a family of kernels $\mathbf{k} = \{k_r\}_{r>0}$ as in Definition 3.1, suppose that \mathbf{k} is asymptotically local and that $(\text{WM})_{p, \mathbf{k}}$ holds, and fix an arbitrary sequence $\{r_n\}_{n \in \mathbb{N}} \subseteq (0, \infty)$ as in Theorem 3.8, so that we have the \mathbf{k} -Korevaar–Schoen p -energy form $(\mathcal{E}_p^{\mathbf{k}}, B_{p, \infty}^{\mathbf{k}})$ on (K, m) along $\{r_n\}_{n \in \mathbb{N}}$ defined by (3.7). For ease of notation, we set

$$m_n(dx dy) := k_{r_n}(x, y)m(dy)m(dx).$$

For each $u \in B_{p,\infty}^k \cap L^\infty(K, m)$, define a linear map $\Psi_p^k(u; \cdot) : B_{p,\infty}^k \cap L^\infty(K, m) \rightarrow \mathbb{R}$ by, for each $\varphi \in B_{p,\infty}^k \cap L^\infty(K, m)$,

$$\Psi_p^k(u; \varphi) := \mathcal{E}_p^k(u; u\varphi) - \left(\frac{p-1}{p}\right)^{p-1} \mathcal{E}_p^k(|u|^{\frac{p}{p-1}}; \varphi). \quad (4.1)$$

(Note that $u\varphi, |u|^{\frac{p}{p-1}} \in B_{p,\infty}^k$ by Theorem 3.8-(b) and Proposition 2.3-(4),(2).)

Theorem 4.1 *Let $u \in B_{p,\infty}^k \cap C_b(K)$ and $\varphi \in B_{p,\infty}^k \cap L^\infty(K, m)$. If $\{u, \varphi\} \cap C_c(K) \neq \emptyset$, then*

$$\Psi_p^k(u; \varphi) = \lim_{n \rightarrow \infty} \int_K \int_K |u(x) - u(y)|^p \varphi(x) k_{r_n}(x, y) m(dy) m(dx), \quad (4.2)$$

$$|\Psi_p^k(u; \varphi)| \leq \|\varphi\|_{L^\infty(K, m)} \mathcal{E}_p^k(u). \quad (4.3)$$

In particular, if in addition $\varphi \geq 0$, then $\Psi_p^k(u; \varphi) \geq 0$.

Proof. First, we observe that

$$\begin{aligned} \Psi_{p,n}^k(u; \varphi) &:= J_{p,r_n}^k(u; u\varphi) - \left(\frac{p-1}{p}\right)^{p-1} J_{p,r_n}^k(|u|^{\frac{p}{p-1}}; \varphi) \\ &= \int_{K \times K} \left[|u(x) - u(y)|^p \varphi(x) + \gamma_p(u(x) - u(y)) \cdot (\varphi(x) - \varphi(y)) u(y) \right. \\ &\quad \left. - \left(\frac{p-1}{p}\right)^{p-1} \gamma_p(|u(x)|^{\frac{p}{p-1}} - |u(y)|^{\frac{p}{p-1}}) \cdot (\varphi(x) - \varphi(y)) \right] m_n(dxdy). \end{aligned} \quad (4.4)$$

Define $F_n \in \mathcal{B}(K \times K)$ by

$$F_n := \{(x, y) \in K \times K \mid d(x, y) < \delta(r_n), \varphi(x) - \varphi(y) \neq 0, \varphi(x) \neq 0, \varphi(y) \neq 0\},$$

and set

$$\begin{aligned} I_{p,n}^k(u; \varphi) &:= \int_{F_n} \left[|u(x) - u(y)|^p \varphi(x) + \gamma_p(u(x) - u(y)) \cdot (\varphi(x) - \varphi(y)) u(y) \right. \\ &\quad \left. - \left(\frac{p-1}{p}\right)^{p-1} \gamma_p(|u(x)|^{\frac{p}{p-1}} - |u(y)|^{\frac{p}{p-1}}) \cdot (\varphi(x) - \varphi(y)) \right] m_n(dxdy). \end{aligned}$$

Note that $\lim_{n \rightarrow \infty} (\Psi_{p,n}^k(u; \varphi) - I_{p,n}^k(u; \varphi)) = 0$ by (3.6) and $\|u\|_{\sup} \vee \|\varphi\|_{L^\infty(K, m)} < \infty$. Since $\overline{F_n}^{K \times K}$ is compact for sufficiently large $n \in \mathbb{N}$ when $\varphi \in B_{p,\infty}^k \cap C_c(K)$, u is uniformly continuous on F_n for such n . By combining this observation with the uniform continuity of $t \mapsto |t|^{1/(p-1)} \operatorname{sgn}(t)$ on $u(K)$ and (3.6), for any $\varepsilon > 0$, we can find $N \in \mathbb{N}$ such that

$$\begin{aligned} & \left| \frac{p-1}{p} \left(|u(x)|^{\frac{p}{p-1}} - |u(y)|^{\frac{p}{p-1}} \right) - (u(x) - u(y)) |u(y)|^{\frac{1}{p-1}} \operatorname{sgn}(u(y)) \right| \\ & \leq \left| \int_{u(y)}^{u(x)} \left[|t|^{\frac{1}{p-1}} \operatorname{sgn}(t) - |u(y)|^{\frac{1}{p-1}} \operatorname{sgn}(u(y)) \right] dt \right| \leq \varepsilon |u(x) - u(y)|, \end{aligned} \quad (4.5)$$

for any $(x, y) \in \bigcup_{n \geq N} F_n$. Using (4.5), Lemma 3.7 and Hölder's inequality, we can find $C_{p,u} \in (0, \infty)$ depending only on $p, \|u\|_{\sup}$ and $\mathcal{E}_p^k(u)$ such that

$$\begin{aligned} & \sup_{n \geq N} \left| \int_{F_n} \left[\gamma_p(u(x) - u(y)) \cdot (\varphi(x) - \varphi(y)) u(y) \right. \right. \\ & \quad \left. \left. - \left(\frac{p-1}{p} \right)^{p-1} \gamma_p \left(|u(x)|^{\frac{p}{p-1}} - |u(y)|^{\frac{p}{p-1}} \right) \cdot (\varphi(x) - \varphi(y)) \right] m_n(dxdy) \right| \\ & \leq C_{p,u} \varepsilon^{\frac{(p-1) \wedge 1}{p}} \mathcal{E}_p^k(u)^{\frac{(p-1) \wedge 1}{p}} \mathcal{E}_p^k(\varphi)^{\frac{1}{p}} =: C_{p,u,\varphi} \varepsilon^{\frac{(p-1) \wedge 1}{p}}. \end{aligned}$$

Therefore, (4.4) implies that for any $n \geq N$,

$$\begin{aligned} & \left| \Psi_{p,n}^k(u; \varphi) - \int_{K \times K} |u(x) - u(y)|^p \varphi(x) m_n(dxdy) \right| \\ & \leq \left| \Psi_{p,n}^k(u; \varphi) - I_{p,n}^k(u; \varphi) \right| + \int_{F_n^c} |u(x) - u(y)|^p \varphi(x) m_n(dxdy) + C_{p,u,\varphi} \varepsilon^{\frac{(p-1) \wedge 1}{p}}, \end{aligned}$$

which together with $\lim_{n \rightarrow \infty} \Psi_{p,n}^k(u; \varphi) = \Psi_p^k(u; \varphi)$ and (3.6) yields (4.2). Then the estimate (4.3) is clear from (4.2). \square

By Theorem 4.1, we can associate to the functional $\Psi_p^k(u; \cdot)$ a unique Radon measure $\Gamma_p^k\langle u \rangle$ on K under the additional assumption that $B_{p,\infty}^k \cap C_c(K)$ is dense in $(C_c(K), \|\cdot\|_{\sup})$, as follows.

Theorem 4.2 *Suppose that $B_{p,\infty}^k \cap C_c(K)$ is dense in $(C_c(K), \|\cdot\|_{\sup})$. Let $u \in B_{p,\infty}^k \cap C_b(K)$. Then there exists a unique positive Radon measure $\Gamma_p^k\langle u \rangle$ on K such that for any $\varphi \in B_{p,\infty}^k \cap C_c(K)$,*

$$\int_K \varphi d\Gamma_p^k\langle u \rangle = \mathcal{E}_p^k(u; u\varphi) - \left(\frac{p-1}{p} \right)^{p-1} \mathcal{E}_p^k(|u|^{\frac{p}{p-1}}; \varphi). \quad (4.6)$$

Moreover, $\Gamma_p^k\langle u \rangle(K) \leq \mathcal{E}_p^k(u) < \infty$, and for any $\varphi \in C_0(K)$,

$$\int_K \varphi d\Gamma_p^k\langle u \rangle = \lim_{n \rightarrow \infty} \int_K \int_K |u(x) - u(y)|^p \varphi(x) k_{r_n}(x, y) m(dy) m(dx). \quad (4.7)$$

Definition 4.3 (p -Energy measure associated with a k -Korevaar–Schoen p -energy form $(\mathcal{E}_p^k, B_{p,\infty}^k)$) Suppose that $B_{p,\infty}^k \cap C_c(K)$ is dense in $(C_c(K), \|\cdot\|_{\sup})$,

and let $u \in B_{p,\infty}^k \cap C_b(K)$. The positive Radon measure $\Gamma_p^k \langle u \rangle$ on K as in Theorem 4.2 is called the *p-energy measure of u associated with $(\mathcal{E}_p^k, B_{p,\infty}^k)$* .

Proof of Theorem 4.2. By virtue of (4.3), we can extend $\Psi_p^k(u; \cdot)$ to a bounded linear functional on $C_0(K)$ in a standard way as follows. Let $u \in B_{p,\infty}^k \cap C_b(K)$, let $\varphi \in C_0(K)$ and choose $\{\varphi_j\}_{j \in \mathbb{N}} \subseteq B_{p,\infty}^k \cap C_c(K)$ so that $\lim_{j \rightarrow \infty} \|\varphi - \varphi_j\|_{\sup} = 0$. Then $\{\Psi_p^k(u; \varphi_j)\}_{j \in \mathbb{N}}$ is a Cauchy sequence in \mathbb{R} since $|\Psi_p^k(u; \varphi_j) - \Psi_p^k(u; \varphi_{j'})| \leq \|\varphi_j - \varphi_{j'}\|_{\sup} \mathcal{E}_p^k(u)$ for any $j, j' \in \mathbb{N}$ by (4.3). Now we define $\tilde{\Psi}_p^k(u; \varphi) := \lim_{j \rightarrow \infty} \Psi_p^k(u; \varphi_j)$, which does not depend on the choice of $\{\varphi_j\}_{j \in \mathbb{N}}$. Clearly, we have $|\tilde{\Psi}_p^k(u; \varphi)| \leq \|\varphi\|_{\sup} \mathcal{E}_p^k(u)$. If $\varphi \geq 0$, then we obtain $\tilde{\Psi}_p^k(u; \varphi) \geq 0$ by considering $\{\varphi_j^+\}_j$ instead of $\{\varphi_j\}_j$. By applying the Riesz–Markov–Kakutani representation theorem (see, e.g., [36, Theorems 2.14 and 2.18]), there exists a unique positive Radon measure $\Gamma_p^k \langle u \rangle$ on K satisfying

$$\tilde{\Psi}_p^k(u; \psi) = \int_K \psi d\Gamma_p^k \langle u \rangle \quad \text{for any } \psi \in C_c(K). \quad (4.8)$$

In particular, $\Gamma_p^k \langle u \rangle$ satisfies (4.6) for any $\varphi \in B_{p,\infty}^k \cap C_c(K)$ by (4.8) and (4.1).

Next, to show the claimed uniqueness of $\Gamma_p^k \langle u \rangle$ and $\Gamma_p^k \langle u \rangle(K) \leq \mathcal{E}_p^k(u)$, let μ be a positive Radon measure on K satisfying (4.6) with μ in place of $\Gamma_p^k \langle u \rangle$ for any $\varphi \in B_{p,\infty}^k \cap C_c(K)$. Then for any compact subset F of K , noting (3.1) and the assumption that $B_{p,\infty}^k \cap C_c(K)$ is dense in $(C_c(K), \|\cdot\|_{\sup})$, we can choose $\varphi \in B_{p,\infty}^k \cap C_c(K)$ so that $\mathbf{1}_F \leq \varphi \leq \mathbf{1}_K$ on K , hence $\mu(F) \leq \int_K \varphi d\mu = \Psi_p^k(u; \varphi) \leq \mathcal{E}_p^k(u)$ by (4.3) and thus $\mu(K) \leq \mathcal{E}_p^k(u) < \infty$. In particular, $C_0(K) \ni \psi \mapsto \int_K \psi d\mu$ is a bounded linear functional on $C_0(K)$ which coincides with $\Psi_p^k(u; \cdot)$ on $B_{p,\infty}^k \cap C_c(K)$ and thus with $\tilde{\Psi}_p^k(u; \cdot)$ on $C_0(K)$, and therefore $\mu = \Gamma_p^k \langle u \rangle$ by the uniqueness of a positive Radon measure on K satisfying (4.8).

Lastly, we shall prove (4.7). Note that (4.7) is true for $\varphi \in B_{p,\infty}^k \cap C_c(K)$ by (4.2) in Theorem 4.1. As in the first paragraph of this proof, let $\varphi \in C_0(K)$ and choose $\{\varphi_j\}_{j \in \mathbb{N}} \subseteq B_{p,\infty}^k \cap C_c(K)$ so that $\lim_{j \rightarrow \infty} \|\varphi - \varphi_j\|_{\sup} = 0$. Let $\varepsilon > 0$ and choose $N \in \mathbb{N}$ so that $\|\varphi - \varphi_j\|_{\sup} \mathcal{E}_p^k(u) < \varepsilon$ for any $j \geq N$. Then, for any $n \in \mathbb{N}$ and any $j \geq N$,

$$\begin{aligned} & \left| \int_K \varphi d\Gamma_p^k \langle u \rangle - \int_{K \times K} |u(x) - u(y)|^p \varphi(x) m_n(dx dy) \right| \\ & \leq \left| \tilde{\Psi}_p^k(u; \varphi) - \tilde{\Psi}_p^k(u; \varphi_j) \right| + \left| \tilde{\Psi}_p^k(u; \varphi_j) - \Psi_{p,n}^k(u; \varphi_j) \right| \\ & \quad + \|\varphi - \varphi_j\|_{\sup} \int_{K \times K} |u(x) - u(y)|^p m_n(dx dy) \\ & \leq 2\varepsilon + \left| \Psi_p^k(u; \varphi_j) - \Psi_{p,n}^k(u; \varphi_j) \right|, \end{aligned}$$

where $\Psi_{p,n}^k(u; \cdot)$ is the same as in (4.4). Hence we have

$$\limsup_{n \rightarrow \infty} \left| \int_K \varphi d\Gamma_p^k \langle u \rangle - \int_{K \times K} |u(x) - u(y)|^p \varphi(x) m_n(dx dy) \right| \leq 2\varepsilon,$$

which proves (4.7). \square

In the rest of this section, we always suppose in addition that $B_{p,\infty}^k \cap C_c(K)$ is dense in $(C_c(K), \|\cdot\|_{\sup})$.

Note that both the boundedness and the continuity of u are essential in Theorem 4.2; the former is required for the right-hand side of (4.6) to make sense, and the latter has been used heavily in the proof of Theorem 4.1 above. Next we would like to extend $\Gamma_p^k \langle u \rangle$ to a wider range of u . Let us use the following notation for simplicity.

Definition 4.4 We define closed linear subspaces $\mathcal{D}_{p,\infty}^{k,b}$ and $\mathcal{D}_{p,\infty}^{k,c}$ of $B_{p,\infty}^k$ by

$$\mathcal{D}_{p,\infty}^{k,b} := \overline{B_{p,\infty}^k \cap C_b(K)}^{B_{p,\infty}^k} \quad \text{and} \quad \mathcal{D}_{p,\infty}^{k,c} := \overline{B_{p,\infty}^k \cap C_c(K)}^{B_{p,\infty}^k}. \quad (4.9)$$

By virtue of the expression (4.2), we can show the generalized p -contraction property $(GC)_p$ for $(\int_K \varphi d\Gamma_p^k \langle \cdot \rangle, B_{p,\infty}^k \cap C_b(K))$ for any $\varphi \in C_c(K)$ with $\varphi \geq 0$, which further allows us to extend $\Gamma_p^k \langle u \rangle$ canonically to $u \in \mathcal{D}_{p,\infty}^{k,b}$.

Theorem 4.5 For any $u \in \mathcal{D}_{p,\infty}^{k,b}$, there exists a unique positive Radon measure $\Gamma_p^k \langle u \rangle$ on K such that for any $\{u_n\}_{n \in \mathbb{N}} \subseteq B_{p,\infty}^k \cap C_b(K)$ with $\lim_{n \rightarrow \infty} \mathcal{E}_p^k(u - u_n) = 0$ and any Borel measurable function $\varphi: K \rightarrow [0, \infty)$ with $\|\varphi\|_{\sup} < \infty$,

$$\int_K \varphi d\Gamma_p^k \langle u \rangle = \lim_{n \rightarrow \infty} \int_K \varphi d\Gamma_p^k \langle u_n \rangle, \quad (4.10)$$

and $\Gamma_p^k \langle u \rangle$ further satisfies $\Gamma_p^k \langle u \rangle(K) \leq \mathcal{E}_p^k(u)$. Moreover, for each such φ , $(\int_K \varphi d\Gamma_p^k \langle \cdot \rangle, \mathcal{D}_{p,\infty}^{k,b})$ is a p -energy form on (K, m) satisfying $(GC)_p$.

Proof. First, for any $\varphi \in C_c(K)$ with $\varphi \geq 0$, we will show that $(\int_K \varphi d\Gamma_p^k \langle \cdot \rangle, B_{p,\infty}^k \cap C_b(K))$ satisfies $(GC)_p$. Throughout this proof, we fix $n_1, n_2 \in \mathbb{N}$, $q_1 \in (0, p]$, $q_2 \in [p, \infty]$ and $T = (T_1, \dots, T_{n_2}): \mathbb{R}^{n_1} \rightarrow \mathbb{R}^{n_2}$ satisfying (2.1). Let us consider the case $q_2 < \infty$ since the proof for the case $q_2 = \infty$ is similar. Let $\mathbf{u} = (u_1, \dots, u_{n_1}) \in (B_{p,\infty}^k \cap C_b(K))^{n_1}$. Note that $T_l(\mathbf{u}) \in B_{p,\infty}^k \cap C_b(K)$ for each $l \in \{1, \dots, n_2\}$. For any $n \in \mathbb{N}$, we see that

$$\begin{aligned} & \sum_{l=1}^{n_2} \left(\int_{K \times K} |T_l(\mathbf{u}(x)) - T_l(\mathbf{u}(y))|^p \varphi(x) m_n(dx dy) \right)^{q_2/p} \\ & \stackrel{(3.2)}{\leq} \left(\int_{K \times K} \left[\sum_{l=1}^{n_2} |T_l(\mathbf{u}(x)) - T_l(\mathbf{u}(y))|^{q_2} \right]^{p/q_2} \varphi(x) m_n(dx dy) \right)^{q_2/p} \\ & \stackrel{(2.1)}{\leq} \left(\int_{K \times K} \left[\sum_{k=1}^{n_1} |u_k(x) - u_k(y)|^{q_1} \right]^{p/q_1} \varphi(x) m_n(dx dy) \right)^{q_2/p} \end{aligned}$$

$$\stackrel{(*)}{\leq} \left(\sum_{k=1}^{n_1} \left(\int_{K \times K} |u_k(x) - u_k(y)|^p \varphi(x) m_n(dx dy) \right)^{q_1/p} \right)^{q_2/q_1},$$

where we used the triangle inequality for the norm of $L^{p/q_1}(K \times K, m_n)$ in (*). By letting $n \rightarrow \infty$, we obtain from (4.7) that

$$\left\| \left(\int_K \varphi d\Gamma_p^k \langle T_l(\mathbf{u}) \rangle \right)^{1/p} \right\|_{l=1}^{n_2} \leq \left\| \left(\int_K \varphi d\Gamma_p^k \langle u_k \rangle \right)^{1/p} \right\|_{k=1}^{n_1}. \quad (4.11)$$

Next we will extend (4.11) to any Borel measurable function $\varphi: K \rightarrow [0, \infty]$. Let us start with the case $\varphi = \mathbf{1}_A$, where $A \in \mathcal{B}(K)$. By [36, Theorem 2.18], there exist sequences $\{K_n\}_{n \in \mathbb{N}}$ and $\{U_n\}_{n \in \mathbb{N}}$ such that $K_n \subseteq A \subseteq U_n$, K_n is compact, U_n is open and $\lim_{n \rightarrow \infty} \max_{v \in \{T_l(\mathbf{u})\}_{l \in \mathbb{N}} \cup \{u_k\}_{k \in \mathbb{N}}} \Gamma_p^k \langle v \rangle (U_n \setminus K_n) = 0$. By Urysohn's lemma, we can pick $\varphi_n \in C_c(K)$ so that $0 \leq \varphi_n \leq 1$, $\varphi_n|_{K_n} = 1$ and $\text{supp}_K[\varphi_n] \subseteq U_n$. Applying (4.11) for φ_n , we obtain

$$\left\| \left(\Gamma_p^k \langle T_l(\mathbf{u}) \rangle (K_n)^{1/p} \right)^{n_2} \right\|_{l=1} \leq \left\| \left(\Gamma_p^k \langle u_k \rangle (U_n)^{1/p} \right)^{n_1} \right\|_{k=1}.$$

By letting $n \rightarrow \infty$, we get (4.11) with $\varphi = \mathbf{1}_A$. Using the reverse Minkowski inequality on $\ell^{q_1/p}$ and the Minkowski inequality on $\ell^{q_2/p}$ (see also [23, Proof of Proposition 2.9-(a)], where $(\text{GC})_p$ is shown to be stable under addition), we see that (4.11) holds also for any non-negative Borel measurable simple function φ on K . We get the desired extension, (4.11) for any Borel measurable function $\varphi: K \rightarrow [0, \infty]$, by the monotone convergence theorem.

Now let us extend p -energy measures. In the rest of this proof, let $\varphi: K \rightarrow [0, \infty)$ be a Borel measurable function such that $\|\varphi\|_{\text{sup}} < \infty$. Let $u \in \mathcal{D}_{p,\infty}^{k,b}$ and $\{u_n\}_{n \in \mathbb{N}} \subseteq B_{p,\infty}^k \cap C_b(K)$ satisfy $\lim_{n \rightarrow \infty} \mathcal{E}_p^k(u - u_n) = 0$. By Proposition 2.3-(1) for $(\int_K \varphi d\Gamma_p^k \langle \cdot \rangle, B_{p,\infty}^k \cap C_b(K))$, for any $n, n' \in \mathbb{N}$,

$$\left| \left(\int_K \varphi d\Gamma_p^k \langle u_n \rangle \right)^{1/p} - \left(\int_K \varphi d\Gamma_p^k \langle u_{n'} \rangle \right)^{1/p} \right| \leq \|\varphi\|_{\text{sup}}^{1/p} \mathcal{E}_p^k(u_n - u_{n'})^{1/p},$$

which implies that the limit $\lim_{n \rightarrow \infty} \int_K \varphi d\Gamma_p^k \langle u_n \rangle =: I_u(\varphi)$ exists in \mathbb{R} and it is independent of the choice of $\{u_n\}_n$. In addition, by letting $n' \rightarrow \infty$ in the estimate above, we have that

$$\left| \left(\int_K \varphi d\Gamma_p^k \langle u_n \rangle \right)^{1/p} - I_u(\varphi)^{1/p} \right| \leq \|\varphi\|_{\text{sup}}^{1/p} \mathcal{E}_p^k(u_n - u)^{1/p}. \quad (4.12)$$

Also, it is clear that $0 \leq I_u(\varphi) \leq \|\varphi\|_{\text{sup}} \mathcal{E}_p^k(u)$ and that I_n is linear in the sense that $I_u(\sum_{k=1}^N a_k \varphi_k) = \sum_{k=1}^N a_k I_u(\varphi_k)$ for any $N \in \mathbb{N}$, $(a_k)_{k=1}^N \subseteq [0, \infty)$ and Borel measurable functions $\varphi_k: K \rightarrow [0, \infty)$ with $\|\varphi_k\|_{\text{sup}} < \infty$, $k \in \{1, \dots, N\}$. Now we define $\Gamma_p^k \langle u \rangle(A) := I_u(\mathbf{1}_A) \in [0, \infty)$ for $A \in \mathcal{B}(K)$, and show that $\Gamma_p^k \langle u \rangle$ is a finite

Borel measure on K . Clearly, $\Gamma_p^k \langle u \rangle$ is finitely additive and $\Gamma_p^k \langle u \rangle(K) \leq \mathcal{E}_p^k(u) < \infty$. Hence it suffices to prove the countable additivity of $\Gamma_p^k \langle u \rangle$. By (4.12), for any $\varepsilon > 0$ there exists $N_0 \in \mathbb{N}$ such that $\sup_{A \in \mathcal{B}(K)} |\Gamma_p^k \langle u \rangle(A)^{1/p} - \Gamma_p^k \langle u_n \rangle(A)^{1/p}| < \varepsilon$ for any $n \geq N_0$. Let $\{A_k\}_{k \in \mathbb{N}} \subseteq \mathcal{B}(K)$ be a sequence of disjoint Borel sets, and set $B_N := \bigcup_{k=N+1}^{\infty} A_k$ for each $N \in \mathbb{N}$. Then we see that for any $N \in \mathbb{N}$ and any $n \geq N_0$,

$$\left| \Gamma_p^k \langle u \rangle \left(\bigcup_{k \in \mathbb{N}} A_k \right) - \sum_{k=1}^N \Gamma_p^k \langle u \rangle(A_k) \right|^{1/p} = \Gamma_p^k \langle u \rangle(B_N)^{1/p} \leq \varepsilon + \Gamma_p^k \langle u_n \rangle(B_N)^{1/p},$$

whence $\lim_{N \rightarrow \infty} |\Gamma_p^k \langle u \rangle(\bigcup_{k \in \mathbb{N}} A_k) - \sum_{k=1}^N \Gamma_p^k \langle u \rangle(A_k)| = 0$, proving the desired countable additivity.

Before showing (4.10), i.e., $I_u(\varphi) = \int_K \varphi d\Gamma_p^k \langle u \rangle$, we will extend (4.11) to the pair $(\int_K \varphi d\Gamma_p^k \langle \cdot \rangle, \mathcal{D}_{p,\infty}^{k,b})$. To this end, we need to show that for any $\{u_n\}_{n \in \mathbb{N}} \subseteq B_{p,\infty}^k \cap C_b(K)$ converging weakly in $B_{p,\infty}^k$ to $u \in \mathcal{D}_{p,\infty}^{k,b}$ as $n \rightarrow \infty$,

$$\int_K \varphi d\Gamma_p^k \langle u \rangle \leq \liminf_{n \rightarrow \infty} \int_K \varphi d\Gamma_p^k \langle u_n \rangle. \quad (4.13)$$

By extracting a subsequence of $\{u_n\}_n$ if necessary, we can assume that the limit $\lim_{n \rightarrow \infty} \int_K \varphi d\Gamma_p^k \langle u_n \rangle$ exists. By Mazur's lemma (see, e.g., [19, p. 19]), there exist $N(n) \in \mathbb{N}$ and $\{\alpha_{n,k}\}_{k=n}^{N(n)} \subseteq [0, 1]$ with $N(n) > n$ and $\sum_{k=n}^{N(n)} \alpha_{n,k} = 1$ for each $n \in \mathbb{N}$ such that $v_n := \sum_{k=n}^{N(n)} \alpha_{n,k} u_k$ converges to u in $B_{p,\infty}^k$ as $n \rightarrow \infty$. We see from $(GC)_p$ and Proposition 2.3-(1) for $(\int_K \varphi d\Gamma_p^k \langle \cdot \rangle, B_{p,\infty}^k \cap C_b(K))$ that

$$\left(\int_K \varphi d\Gamma_p^k \langle v_n \rangle \right)^{1/p} \leq \sum_{k=n}^{N(n)} \alpha_{n,k} \left(\int_K \varphi d\Gamma_p^k \langle u_k \rangle \right)^{1/p},$$

which implies (4.13) by letting $n \rightarrow \infty$. With this preparation, let us show that the pair $(\int_K \varphi d\Gamma_p^k \langle \cdot \rangle, \mathcal{D}_{p,\infty}^{k,b})$ satisfies $(GC)_p$. Let $\mathbf{u} = (u_1, \dots, u_{n_1}) \in (\mathcal{D}_{p,\infty}^{k,b})^{n_1}$. For each $k \in \{1, \dots, n_1\}$, fix $\{u_{k,n}\}_{n \in \mathbb{N}} \subseteq B_{p,\infty}^k \cap C_b(K)$ so that $\lim_{n \rightarrow \infty} \|u_k - u_{k,n}\|_{B_{p,\infty}^k} = 0$. Set $\mathbf{u}_n := (u_{1,n}, \dots, u_{n_1,n})$. By $(GC)_p$ for $(\mathcal{E}_p^k, B_{p,\infty}^k)$ (see Theorem 3.8-(b)) and (2.1), we know that $\{T_l(\mathbf{u}_n)\}_n$ is bounded in $B_{p,\infty}^k$ and that $\lim_{n \rightarrow \infty} \|T_l(\mathbf{u}_n) - T_l(\mathbf{u})\|_{L^p} = 0$. Since $B_{p,\infty}^k$ is reflexive (see Theorem 3.6) and $B_{p,\infty}^k$ is continuously embedded in $L^p(K, m)$, we see that $T_l(\mathbf{u}) \in \mathcal{D}_{p,\infty}^{k,b}$ and that there exists a subsequence $\{T_l(\mathbf{u}_{n_j})\}_j$ such that $T_l(\mathbf{u}_{n_j})$ weakly converges to $T_l(\mathbf{u})$ in $B_{p,\infty}^k$ as $j \rightarrow \infty$ for any $l \in \{1, \dots, n_2\}$. By (4.13), we see that

$$\left\| \left(\left(\int_K \varphi d\Gamma_p^k \langle T_l(\mathbf{u}) \rangle \right)^{1/p} \right)_{l=1}^{n_2} \right\|_{\ell^{q_2}} \leq \left(\sum_{l=1}^{n_2} \liminf_{j \rightarrow \infty} \left(\int_K \varphi d\Gamma_p^k \langle T_l(\mathbf{u}_{n_j}) \rangle \right)^{1/p} \right)^{1/q_2}$$

$$\begin{aligned}
&\leq \liminf_{j \rightarrow \infty} \left(\sum_{l=1}^{n_2} \left(\int_K \varphi d\Gamma_p^{\mathbf{k}} \langle T_l(\mathbf{u}_{n_j}) \rangle \right)^{1/p} \right)^{1/q_2} \\
&\leq \liminf_{j \rightarrow \infty} \left(\sum_{k=1}^{n_1} \left(\int_K \varphi d\Gamma_p^{\mathbf{k}} \langle u_{k,n_j} \rangle \right)^{1/p} \right)^{1/q_1} \\
&= \left\| \left(\int_K \varphi d\Gamma_p^{\mathbf{k}} \langle u_k \rangle \right)^{1/p} \right\|_{k=1}^{n_1} \Big\|_{\ell^{q_1}}
\end{aligned}$$

if $q_2 < \infty$. The case $q_2 = \infty$ is similar, so $(\int_K \varphi d\Gamma_p^{\mathbf{k}} \langle \cdot \rangle, \mathcal{D}_{p,\infty}^{\mathbf{k},b})$ satisfies $(\text{GC})_p$.

Finally, we can prove (4.10). Let $\{u_n\}_{n \in \mathbb{N}} \subseteq B_{p,\infty}^{\mathbf{k}} \cap C_b(K)$ be a sequence satisfying $\lim_{n \rightarrow \infty} \mathcal{E}_p^{\mathbf{k}}(u - u_n) = 0$. By Proposition 2.3-(1) for $(\int_K \varphi d\Gamma_p^{\mathbf{k}} \langle \cdot \rangle, \mathcal{D}_{p,\infty}^{\mathbf{k},b})$, we have

$$\left| \left(\int_K \varphi d\Gamma_p^{\mathbf{k}} \langle u \rangle \right)^{1/p} - \left(\int_K \varphi d\Gamma_p^{\mathbf{k}} \langle u_n \rangle \right)^{1/p} \right| \leq \|\varphi\|_{\sup}^{1/p} \mathcal{E}_p^{\mathbf{k}}(u - u_n)^{1/p},$$

which together with (4.12) implies that

$$\begin{aligned}
&\left| I_u(\varphi)^{1/p} - \left(\int_K \varphi d\Gamma_p^{\mathbf{k}} \langle u \rangle \right)^{1/p} \right| \\
&\leq \left| I_u(\varphi)^{1/p} - \left(\int_K \varphi d\Gamma_p^{\mathbf{k}} \langle u_n \rangle \right)^{1/p} \right| + \left| \left(\int_K \varphi d\Gamma_p^{\mathbf{k}} \langle u_n \rangle \right)^{1/p} - \left(\int_K \varphi d\Gamma_p^{\mathbf{k}} \langle u \rangle \right)^{1/p} \right| \\
&\leq 2 \|\varphi\|_{\sup}^{1/p} \mathcal{E}_p^{\mathbf{k}}(u - u_n)^{1/p} \xrightarrow{n \rightarrow \infty} 0.
\end{aligned}$$

Hence we obtain (4.10). \square

Thanks to Proposition 2.3-(5) for $(\int_K \varphi d\Gamma_p^{\mathbf{k}} \langle \cdot \rangle, \mathcal{D}_{p,\infty}^{\mathbf{k},b})$, we can show the next result. See [23, Theorem 4.5 and Proposition 4.6] for further details on $\Gamma_p^{\mathbf{k}} \langle u; v \rangle$ in the theorem below.

Theorem 4.6 *Let $u, v \in \mathcal{D}_{p,\infty}^{\mathbf{k},b}$. Define $\Gamma_p^{\mathbf{k}} \langle u; v \rangle: \mathcal{B}(K) \rightarrow \mathbb{R}$ by*

$$\Gamma_p^{\mathbf{k}} \langle u; v \rangle(A) := \frac{1}{p} \frac{d}{dt} \Gamma_p^{\mathbf{k}} \langle u + tv \rangle(A) \Big|_{t=0} \quad \text{for } A \in \mathcal{B}(K). \quad (4.14)$$

Then $\Gamma_p^{\mathbf{k}} \langle u; v \rangle$ is a signed Borel measure on K and satisfies $\Gamma_p^{\mathbf{k}} \langle u; u \rangle = \Gamma_p^{\mathbf{k}} \langle u \rangle$. Moreover, for any $u, v \in \mathcal{D}_{p,\infty}^{\mathbf{k},b}$ and any Borel measurable functions $\varphi, \psi: K \rightarrow [0, \infty]$,

$$\int_K \varphi d\Gamma_p^{\mathbf{k}} \langle u; \cdot \rangle: \mathcal{D}_{p,\infty}^{\mathbf{k},b} \rightarrow \mathbb{R} \text{ is the Fréchet derivative of } \frac{1}{p} \int_K \varphi d\Gamma_p^{\mathbf{k}} \langle \cdot \rangle \text{ at } u \quad (4.15)$$

provided $\|\varphi\|_{\sup} < \infty$, and

$$\int_K \varphi \psi d|\Gamma_p^k \langle u; v \rangle| \leq \left(\int_K \varphi^{\frac{p}{p-1}} d\Gamma_p^k \langle u \rangle \right)^{\frac{p-1}{p}} \left(\int_K \psi^p d\Gamma_p^k \langle v \rangle \right)^{\frac{1}{p}}. \quad (4.16)$$

Proof. It is proved in [23, Theorem 4.5] that $\Gamma_p^k \langle u; v \rangle$ is a signed measure. The statements (4.15) and (4.16) follow from [23, Propositions 4.6 and 4.8]. \square

As an important consequence of the strong locality of $(\mathcal{E}_p^k, B_{p,\infty}^k)$ obtained in Theorem 3.8-(e), the inequality $\Gamma_p^k \langle u \rangle(K) \leq \mathcal{E}_p^k(u)$ in Theorems 4.2 and 4.5 turns out to be an equality as long as $u \in \mathcal{D}_{p,\infty}^{k,c}$. Namely, we have the following proposition, which is the counterpart for $(\mathcal{E}_p^k, B_{p,\infty}^k)$ of the well-known equality [12, Lemma 3.2.3] for the strongly local part of a regular symmetric Dirichlet form.

Proposition 4.7 *If $u, v \in \mathcal{D}_{p,\infty}^{k,c}$, then $\Gamma_p^k \langle u; v \rangle(K) = \mathcal{E}_p^k(u; v)$.*

Proof. Since $(\Gamma_p^k \langle \cdot \rangle(K), \mathcal{D}_{p,\infty}^{k,b})$ and $(\mathcal{E}_p^k, B_{p,\infty}^k)$ satisfy $(GC)_p$ by Theorems 4.5 and 3.8-(b), thanks to the linearity of $\mathcal{E}(u; \cdot)$, (2.11) and (2.12) from Proposition 2.5 for $(\mathcal{E}, \mathcal{F}) = (\Gamma_p^k \langle \cdot \rangle(K), \mathcal{D}_{p,\infty}^{k,b})$, $(\mathcal{E}_p^k, B_{p,\infty}^k)$ it suffices to consider the case $u, v \in B_{p,\infty}^k \cap C_c(K)$. We first show that $\Gamma_p^k \langle u \rangle(K) = \mathcal{E}_p^k(u)$ for any $u \in B_{p,\infty}^k \cap C_c(K)$. Since K is locally compact and we assume that $B_{p,\infty}^k \cap C_c(K)$ is dense in $(C_c(K), \|\cdot\|_{\sup})$, by using Proposition 2.3-(2), we can find an open neighborhood U of the compact subset $\text{supp}_K[u]$ of K and $\varphi \in B_{p,\infty}^k \cap C_c(K)$ so that $0 \leq \varphi \leq 1$ and $\varphi(x) = 1$ for any $x \in U$. Then $\text{supp}_K[u] \cap \text{supp}_K[\varphi - \mathbf{1}_K] = \emptyset$. By Theorem 3.8-(e), we have $\mathcal{E}_p^k(u; u\varphi - u) = 0$ and $\mathcal{E}_p^k(|u|^{\frac{p}{p-1}}; \varphi) = 0$. In particular, by (4.6),

$$\Gamma_p^k \langle u \rangle(K) \geq \int_K \varphi \Gamma_p^k \langle u \rangle = \mathcal{E}_p^k(u),$$

whence we have $\Gamma_p^k \langle u \rangle(K) = \mathcal{E}_p^k(u)$.

Next let $u, v \in B_{p,\infty}^k \cap C_c(K)$. The argument in the previous paragraph implies that for any $t \in (0, 1)$,

$$\frac{\Gamma_p^k \langle u + tv \rangle(K) - \Gamma_p^k \langle u \rangle(K)}{t} = \frac{\mathcal{E}_p^k(u + tv) - \mathcal{E}_p^k(u)}{t}.$$

By letting $t \downarrow 0$ in this equality, we have $\Gamma_p^k \langle u; v \rangle(K) = \mathcal{E}_p^k(u; v)$ by (4.14) and (3.9). \square

We also have the following expression of $\int_K \varphi d\Gamma_p^k \langle u; v \rangle$ if $\varphi \in C_c(K)$. In particular, we can deduce the analogs of Theorem 3.8-(c),(d) for $(\int_K \varphi d\Gamma_p^k \langle \cdot \rangle, \mathcal{D}_{p,\infty}^{k,b})$.

Theorem 4.8 *For any $u, v \in \mathcal{D}_{p,\infty}^{k,b}$ and any $\varphi \in C_c(K)$,*

$$\begin{aligned} & \int_K \varphi d\Gamma_p^k \langle u; v \rangle \\ &= \lim_{n \rightarrow \infty} \int_K \int_K \gamma_p(u(x) - u(v))(v(x) - v(y)) \varphi(x) k_{r_n}(x, y) m(dy) m(dx). \end{aligned} \quad (4.17)$$

In particular, the following hold:

- (a) Let $n_1, n_2 \in \mathbb{N}$, $q_1 \in [1, p]$, $q_2 \in [p, \infty]$, $\mathbf{u} = (u_1, \dots, u_{n_1}) \in (\mathcal{D}_{p,\infty}^{\mathbf{k},b})^{n_1}$, $\mathbf{v} = (v_1, \dots, v_{n_2}) \in L^0(K, m)^{n_2}$, and let $\psi: K \rightarrow [0, \infty]$ be Borel measurable. If there exist m -versions of \mathbf{u} and \mathbf{v} such that $\|\mathbf{v}(x)\|_{\ell^{q_2}} \leq \|\mathbf{u}(x)\|_{\ell^{q_1}}$ and $\|\mathbf{v}(x) - \mathbf{v}(y)\|_{\ell^{q_2}} \leq \|\mathbf{u}(x) - \mathbf{u}(y)\|_{\ell^{q_1}}$ for any $(x, y) \in K \times K$, then $\mathbf{v} \in (\mathcal{D}_{p,\infty}^{\mathbf{k},b})^{n_2}$ and

$$\left\| \left(\left(\int_K \psi d\Gamma_p^{\mathbf{k}} \langle v_l \rangle \right)^{1/p} \right)_{l=1}^{n_2} \right\|_{\ell^{q_2}} \leq \left\| \left(\left(\int_K \psi d\Gamma_p^{\mathbf{k}} \langle u_k \rangle \right)^{1/p} \right)_{k=1}^{n_1} \right\|_{\ell^{q_1}}. \quad (4.18)$$

- (b) For any $u_1, u_2, v \in \mathcal{D}_{p,\infty}^{\mathbf{k},b}$ and any Borel measurable function $\psi: K \rightarrow [0, \infty)$ with $\|\psi\|_{\sup} < \infty$,

$$\begin{aligned} & \left| \int_K \psi d\Gamma_p^{\mathbf{k}} \langle u_1; v \rangle - \int_K \psi d\Gamma_p^{\mathbf{k}} \langle u_2; v \rangle \right| \\ & \leq C_p \left[\max_{i \in \{1,2\}} \int_K \psi d\Gamma_p^{\mathbf{k}} \langle u_i \rangle \right]^{\frac{(p-2)^+}{p}} \left(\int_K \psi d\Gamma_p^{\mathbf{k}} \langle u_1 - u_2 \rangle \right)^{\frac{(p-1) \wedge 1}{p}} \left(\int_K \psi d\Gamma_p^{\mathbf{k}} \langle v \rangle \right)^{\frac{1}{p}}, \end{aligned} \quad (4.19)$$

where C_p is the constant in Theorem 3.8.

Proof. Throughout this proof, we fix $\varphi \in C_c(K)$. We first show (4.17) in the case $u = v$. Define

$$\mathcal{I}_\varphi^n \langle f \rangle := \int_{K \times K} |f(x) - f(y)|^p \varphi(x) m_n(dx dy) \quad \text{for } n \in \mathbb{N} \text{ and } f \in \mathcal{D}_{p,\infty}^{\mathbf{k},b}.$$

Fix $\{u_k\}_{k \in \mathbb{N}} \subseteq B_{p,\infty}^{\mathbf{k}} \cap C_b(K)$ satisfying $\lim_{k \rightarrow \infty} \|u - u_k\|_{B_{p,\infty}^{\mathbf{k}}} = 0$. We easily have $|\mathcal{I}_\varphi^n \langle u \rangle^{1/p} - \mathcal{I}_\varphi^n \langle u_k \rangle^{1/p}| \leq \mathcal{I}_\varphi^n \langle u - u_k \rangle^{1/p} \leq C^{1/p} \|\varphi\|_{\sup} \mathcal{E}_p^{\mathbf{k}}(u - u_k)^{1/p}$, where $C \in (0, \infty)$ is the constant in (3.8). By (4.10) and Proposition 2.3-(1) for $(\int_K \varphi d\Gamma_p^{\mathbf{k}} \langle \cdot \rangle, \mathcal{D}_{p,\infty}^{\mathbf{k},b})$, we see that for any $n, k \in \mathbb{N}$,

$$\begin{aligned} & \left| \left(\int_K \varphi d\Gamma_p^{\mathbf{k}} \langle u \rangle \right)^{1/p} - \mathcal{I}_\varphi^n \langle u \rangle^{1/p} \right| \\ & \leq \left| \left(\int_K \varphi d\Gamma_p^{\mathbf{k}} \langle u \rangle \right)^{1/p} - \left(\int_K \varphi d\Gamma_p^{\mathbf{k}} \langle u_k \rangle \right)^{1/p} \right| + \left| \left(\int_K \varphi d\Gamma_p^{\mathbf{k}} \langle u_k \rangle \right)^{1/p} - \mathcal{I}_\varphi^n \langle u_k \rangle^{1/p} \right| \\ & \quad + \left| \mathcal{I}_\varphi^n \langle u \rangle^{1/p} - \mathcal{I}_\varphi^n \langle u_k \rangle^{1/p} \right| \\ & \leq (1 + C^{1/p}) \|\varphi\|_{\sup}^{1/p} \mathcal{E}_p^{\mathbf{k}}(u - u_k)^{1/p} + \left| \left(\int_K \varphi d\Gamma_p^{\mathbf{k}} \langle u_k \rangle \right)^{1/p} - \mathcal{I}_\varphi^n \langle u_k \rangle^{1/p} \right|. \end{aligned}$$

Since $\lim_{n \rightarrow \infty} \left| \left(\int_K \varphi d\Gamma_p^k \langle u_k \rangle \right)^{1/p} - \mathcal{I}_\varphi^n \langle u_k \rangle^{1/p} \right| = 0$ by (4.2) and $k \in \mathbb{N}$ is arbitrary, we conclude that $\lim_{n \rightarrow \infty} \mathcal{I}_\varphi^n \langle u \rangle = \int_K \varphi d\Gamma_p^k \langle u \rangle$.

Next we consider the general case $u \neq v$. By Proposition 2.4 and the convexity of $t \mapsto \mathcal{I}_\varphi^n \langle u + tg \rangle$, for any $t \in (0, 1)$ and $n \in \mathbb{N}$,

$$\left| \frac{\mathcal{I}_\varphi^n \langle u + tv \rangle - \mathcal{I}_\varphi^n \langle u \rangle}{t} - \frac{d}{dt} \mathcal{I}_\varphi^n \langle u + tv \rangle \right|_{t=0} \leq \|\varphi\|_{\sup} O_t(u; v), \quad (4.20)$$

where $O_t(u; v) = C_{p,u,v} t^{(p-1) \wedge \frac{1}{p-1}}$ for some constant $C_{p,u,v} \in (0, \infty)$ which depends only on p , $\mathcal{E}_p^k(u)$ and $\mathcal{E}_p^k(v)$. Now we obtain (4.17) by noting that

$$\frac{d}{dt} \mathcal{I}_\varphi^n \langle u + tg \rangle \Big|_{t=0} = \int_{K \times K} \gamma_p(u(x) - u(v))(v(x) - v(y)) \varphi(x) m_n(dx dy)$$

and taking suitable limits in (4.20).

Let us show (a) and (b).

(a): By Theorem 4.5, $(\mathcal{E}_p^k, \mathcal{D}_{p,\infty}^{k,b})$ is a p -energy form on (K, m) satisfying $(GC)_p$. For each $l \in \{1, \dots, n_2\}$, by the argument in [23, Proof of Corollary 2.4-(c)], we can find a 1-Lipschitz map $T_l: (\mathbb{R}^{n_1}, \|\cdot\|_{\ell^{q_1}}) \rightarrow \mathbb{R}$ satisfying $T_l(0) = 0$ and $T_l(u(x)) = v_l(x)$ for any $x \in K$. By applying $(GC)_p$, we have $v_l \in \mathcal{D}_{p,\infty}^{k,b}$ and hence $v \in (\mathcal{D}_{p,\infty}^{k,b})^{n_2}$. Then the inequality (4.18) in the case $\psi \in C_c(K)$ is immediate from (4.17), and we can further extend (4.18) to general ψ in exactly the same way as the second paragraph of the proof of Theorem 4.5.

(b): The estimate (4.19) in the case $\psi \in C_c(K)$ is immediate from (4.17). We can easily extend it to the desired case since $C_c(K)$ is dense in $L^1(K, \mu)$ for the finite Borel measure μ on K given by

$$\mu := |\Gamma_p^k \langle u_1; v \rangle| + |\Gamma_p^k \langle u_2; v \rangle| + \Gamma_p^k \langle u_1 \rangle + \Gamma_p^k \langle u_2 \rangle + \Gamma_p^k \langle u_1 - u_2 \rangle + \Gamma_p^k \langle v \rangle. \quad \square$$

The next theorem states the chain rule for our p -energy measures.

Theorem 4.9 (Chain rule) *Let $n \in \mathbb{N}$, $u \in B_{p,\infty}^k \cap C_b(K)$, $v = (v_1, \dots, v_n) \in (B_{p,\infty}^k \cap C_b(K))^n$, $\Phi \in C^1(\mathbb{R})$, $\Psi \in C^1(\mathbb{R}^n)$ and suppose that $\Phi(0) = \Psi(0) = 0$. Then $\Phi(u), \Psi(v) \in B_{p,\infty}^k \cap C_b(K)$ and*

$$d\Gamma_p^k \langle \Phi(u); \Psi(v) \rangle = \sum_{k=1}^n \gamma_p(\Phi'(u)) \partial_k \Psi(v) d\Gamma_p^k \langle u; v_k \rangle. \quad (4.21)$$

Proof. It is immediate from Theorem 3.8-(b) (see also Proposition 2.3-(2)) that $\Phi(u), \Psi(v) \in B_{p,\infty}^k \cap C_b(K)$. Note that

$$d\mu := d|\Gamma_p^k \langle \Phi(u); \Psi(v) \rangle| + \sum_{k=1}^n |\gamma_p(\Phi'(u)) \partial_k \Psi(v)| d|\Gamma_p^k \langle u; v_k \rangle|$$

defines a finite Borel measure μ on K by (4.16). Since $C_c(K)$ is dense in $L^1(K, \mu)$, it suffices to prove that for any $\varphi \in C_c(K)$,

$$\int_K \varphi d\Gamma_p^k \langle \Phi(u); \Psi(v) \rangle = \sum_{k=1}^n \int_K \varphi \gamma_p(\Phi'(u)) \partial_k \Psi(v) d\Gamma_p^k \langle u; v_k \rangle.$$

Let $\varphi \in C_c(K)$ and define $F_n \in \mathcal{B}(K \times K)$, $n \in \mathbb{N}$, by

$$F_n := \{(x, y) \in K \times K \mid d(x, y) < \delta(r_n), \varphi(x) \neq 0\}.$$

Note that $\overline{F_n}^{K \times K}$ is a compact subset of $K \times K$ for sufficiently large $n \in \mathbb{N}$ since $\varphi \in C_c(K)$, $\lim_{n \rightarrow 0} \delta(r_n) = 0$ and (K, d) is locally compact. Set

$$a_n := \int_{F_n} \gamma_p(\Phi(u(x)) - \Phi(u(y))) (\Psi(v(x)) - \Psi(v(y))) \varphi(x) m_n(dx dy)$$

and

$$b_n := \sum_{k=1}^n \int_{F_n} \gamma_p(\Phi'(u(x))) \partial_k \Psi(v(x)) \cdot \gamma_p(u(x) - u(y)) (v(x) - v(y)) \varphi(x) m_n(dx dy).$$

By Theorem 4.8 and (3.6), it suffices to show $\lim_{n \rightarrow \infty} |a_n - b_n| = 0$. To estimate $|a_n - b_n|$, we introduce

$$c_n := \int_{F_n} \gamma_p(\Phi'(u(x))) \cdot \gamma_p(u(x) - u(y)) (v(x) - v(y)) \varphi(x) m_n(dx dy).$$

We will show that $\lim_{n \rightarrow \infty} |a_n - c_n| = \lim_{n \rightarrow \infty} |b_n - c_n| = 0$. Note that

$$\Phi(u(y)) - \Phi(u(x)) = [u(y) - u(x)] (\Phi'(u(x)) + e_{\Phi, u}(x, y)),$$

where we set $e_{\Phi, u}(x, y) := \int_0^1 [\Phi'(u(x) + t(u(y) - u(x))) - \Phi'(u(x))] dt$. Let $\varepsilon > 0$. Since Φ' is continuous, $\|u\|_{\sup} < \infty$ and u is uniformly continuous on F_n for large enough $n \in \mathbb{N}$, we can find $N_1 \in \mathbb{N}$ so that $|e_{\Phi, u}(x, y)| < \varepsilon$ for any $(x, y) \in \bigcup_{n \geq N_1} F_n$. By Lemma 3.7, there exists $C_p \in (0, \infty)$ depending only on p such that for any $n \geq N_1$ and $(x, y) \in \bigcup_{n \geq N_1} F_n$,

$$\begin{aligned} & |\gamma_p(\Phi(u(x)) - \Phi(u(y))) - \gamma_p(\Phi'(u(x))) \cdot \gamma_p(u(x) - u(y))| \\ & \leq C_p \varepsilon^{(p-1) \wedge 1} A_{u, \Phi}(x, y)^{(p-2)^+} |u(x) - u(y)|^{(p-1) \wedge 1}, \end{aligned}$$

where $A_{u, \Phi}(x, y) := |\Phi(u(y)) - \Phi(u(x))| \vee |\Phi'(u(x))(u(y) - u(x))|$. By Hölder's inequality, we have

$$\sup_{n \geq N_1} |a_n - c_n|$$

$$\leq C_p \varepsilon^{(p-1) \wedge 1} \left[C_{\Phi, u} (\|\Phi(u)\|_{B_{p, \infty}^k} + \|u\|_{B_{p, \infty}^k}) \right]^{(p-2)^+} \|u\|_{B_{p, \infty}^k}^{(p-1) \wedge 1} \|v\|_{B_{p, \infty}^k},$$

where $C_{\Phi, u} := 1 + \|\Phi'\|_{\sup, [-\|u\|_{\sup}, \|u\|_{\sup}]}$. In particular, we get $\lim_{n \rightarrow \infty} |a_n - c_n| = 0$.

Similarly, we can find $N_2 \in \mathbb{N}$ so that for any $(x, y) \in \bigcup_{n \geq N_2} F_n$,

$$\left| (\Psi(v(x)) - \Psi(v(y))) - \sum_{k=1}^n \partial_k \Psi(v(x)) (v(x) - v(y)) \right| \leq \varepsilon |v(x) - v(y)|.$$

Then we easily see that

$$\sup_{n \geq N_2} |b_n - c_n| \leq \varepsilon \|\Phi'\|_{\sup, [-\|u\|_{\sup}, \|u\|_{\sup}]}^{p-1} \|u\|_{B_{p, \infty}^k}^{p-1} \|v\|_{B_{p, \infty}^k},$$

whence $\lim_{n \rightarrow \infty} |b_n - c_n| = 0$. \square

The following *image density property* of p -energy measures is a consequence of the chain rule. We note that the proof below does not rely on specific representations of Γ_p^k like (4.7) and (4.17).

Theorem 4.10 (Image density property) *For any $u \in B_{p, \infty}^k \cap C_b(K)$, the Borel measure $\Gamma_p^k \langle u \rangle \circ u^{-1}$ on \mathbb{R} defined by $\Gamma_p^k \langle u \rangle \circ u^{-1}(A) := \Gamma_p^k \langle u \rangle(u^{-1}(A))$, $A \in \mathcal{B}(\mathbb{R})$, is absolutely continuous with respect to the 1-dimensional Lebesgue measure on \mathbb{R} .*

Proof. This is proved, on the basis of Theorem 4.9, in exactly the same way as [38, Proposition 7.6], which is a simple adaptation of [9, Theorem 4.3.8], but we present the details because in [38] the underlying topological space K is assumed to be a generalized Sierpiński carpet, a self-similar compact set in the Euclidean space. It suffices to prove that $\Gamma_p^k \langle u \rangle \circ u^{-1}(F) = 0$ for any $u \in B_{p, \infty}^k \cap C_b(K)$ and any compact subset F of \mathbb{R} such that $\mathcal{L}^1(F) = 0$, where \mathcal{L}^1 denotes the 1-dimensional Lebesgue measure on \mathbb{R} . Let $\{\varphi_n\}_{n \in \mathbb{N}} \subseteq C_c(\mathbb{R})$ satisfy $|\varphi_n| \leq 1$, $\lim_{n \rightarrow \infty} \varphi_n(x) = \mathbf{1}_F(x)$ for any $x \in \mathbb{R}$ and

$$\int_0^\infty \varphi_n(t) dt = \int_{-\infty}^0 \varphi_n(t) dt = 0 \quad \text{for any } n \in \mathbb{N}.$$

We define $\Phi_n(x) := \int_0^x \varphi_n(t) dt$, $x \in \mathbb{R}$, and $u_n := \Phi_n \circ u$ for any $n \in \mathbb{N}$. Then we easily see that $\Phi_n \in C^1(\mathbb{R}) \cap C_c(\mathbb{R})$, $\Phi_n(0) = 0$, and $\Phi'_n = \varphi_n$ for any $n \in \mathbb{N}$. Also, u_n converges to 0 in $L^p(K, m)$ as $n \rightarrow \infty$ by the dominated convergence theorem. By Proposition 2.3-(2), we deduce that $\{u_n\}_{n \in \mathbb{N}}$ is bounded in $B_{p, \infty}^k$. Since $B_{p, \infty}^k$ is reflexive by Theorem 3.6 and $B_{p, \infty}^k$ is continuously embedded in $L^p(K, m)$, there exists a subsequence $\{u_{n_k}\}_{k \in \mathbb{N}}$ weakly converging to 0 in $B_{p, \infty}^k$. By Mazur's lemma, there exist $N(l) \in \mathbb{N}$ and $\{a_{l, k}\}_{k=l}^{N(l)} \subseteq [0, 1]$ with $N(l) > l$ and $\sum_{k=l}^{N(l)} a_{l, k} = 1$ for each $l \in \mathbb{N}$ such that $\sum_{k=l}^{N(l)} a_{l, k} u_{n_k}$ converges to 0 in $B_{p, \infty}^k$ as $l \rightarrow \infty$. Let us define $\Psi_l \in C^1(\mathbb{R})$ by $\Psi_l := \sum_{k=l}^{N(l)} a_{l, k} \Phi_{n_k}$. Then $\Psi_l(0) = 0$, $\Psi'_l \rightarrow \mathbf{1}_F$ and, by Fatou's lemma, Theorem 4.9 and Proposition 4.7,

$$\begin{aligned}
\Gamma_p^k \langle u \rangle \circ u^{-1}(F) &= \int_{\mathbb{R}} \lim_{l \rightarrow \infty} |\Psi'_l(t)|^p (\Gamma_p^k \langle u \rangle \circ u^{-1})(dt) \\
&\leq \liminf_{l \rightarrow \infty} \int_K |\Psi'_l(u(x))|^p \Gamma_p^k \langle u \rangle(dx) \\
&= \liminf_{l \rightarrow \infty} \Gamma_p^k \langle \Psi_l(u) \rangle(K) = \liminf_{l \rightarrow \infty} \mathcal{E}_p^k(\Psi_l(u)) = 0,
\end{aligned}$$

which completes the proof. \square

Now we can obtain the strongest possible forms of the strong locality of $\Gamma_p^k \langle \cdot; \cdot \rangle$ as in the following theorem, which is an easy consequence of Theorem 4.10, the triangle inequality for $\Gamma_p^k \langle \cdot \rangle^{1/p}$ and (4.14).

Theorem 4.11 (Strong locality of p -energy measures) *Let $u, u_1, u_2, v \in B_{p,\infty}^k \cap C_b(K)$, $a, a_1, a_2, b \in \mathbb{R}$ and $A \in \mathcal{B}(K)$.*

- (a) *If $A \subseteq u^{-1}(a)$, then $\Gamma_p^k \langle u \rangle(A) = 0$.*
- (b) *If $A \subseteq (u - v)^{-1}(a)$, then $\Gamma_p^k \langle u \rangle(A) = \Gamma_p^k \langle v \rangle(A)$.*
- (c) *If $A \subseteq u_1^{-1}(a_1) \cup u_2^{-1}(a_2)$, then*

$$\Gamma_p^k \langle u_1 + u_2 + v \rangle(A) + \Gamma_p^k \langle v \rangle(A) = \Gamma_p^k \langle u_1 + v \rangle(A) + \Gamma_p^k \langle u_2 + v \rangle(A), \quad (4.22)$$

$$\Gamma_p^k \langle u_1 + u_2; v \rangle(A) = \Gamma_p^k \langle u_1; v \rangle(A) + \Gamma_p^k \langle u_2; v \rangle(A). \quad (4.23)$$

- (d) *If $A \subseteq (u_1 - u_2)^{-1}(a) \cup v^{-1}(b)$, then*

$$\Gamma_p^k \langle u_1; v \rangle(A) = \Gamma_p^k \langle u_2; v \rangle(A) \quad \text{and} \quad \Gamma_p^k \langle v; u_1 \rangle(A) = \Gamma_p^k \langle v; u_2 \rangle(A). \quad (4.24)$$

Proof. This is proved, on the basis of Theorem 4.10, in exactly the same way as [23, Proof of Theorem 5.13], but we present the details because in the proof in [23] the underlying topological space K is assumed to be a self-similar (compact) set.

(a): This is immediate from $\mathcal{L}^1(\{a\}) = 0$ and Theorem 4.10.

(b): This follows from (a) and the triangle inequality for $\Gamma_p^k \langle \cdot \rangle(A)^{1/p}$ implied by Theorem 4.5 and Proposition 2.3-(1).

(c): Setting $A_1 := A \cap u_1^{-1}(a_1)$ and $A_2 := A \setminus A_1$, we have $A_i \subseteq u_i^{-1}(a_i)$ for $i \in \{1, 2\}$ by $A \subseteq u_1^{-1}(a_1) \cup u_2^{-1}(a_2)$, and see therefore from (b) that

$$\begin{aligned}
&\Gamma_p^k \langle u_1 + u_2 + v \rangle(A) + \Gamma_p^k \langle v \rangle(A) \\
&= \Gamma_p^k \langle u_2 + v \rangle(A_1) + \Gamma_p^k \langle u_1 + v \rangle(A_2) + \Gamma_p^k \langle v \rangle(A) \\
&= \Gamma_p^k \langle u_2 + v \rangle(A_1) + \Gamma_p^k \langle v \rangle(A_2) + \Gamma_p^k \langle u_1 + v \rangle(A_2) + \Gamma_p^k \langle v \rangle(A_1) \\
&= \Gamma_p^k \langle u_2 + v \rangle(A) + \Gamma_p^k \langle u_1 + v \rangle(A),
\end{aligned}$$

proving (4.22). Then (4.23) follows, in the same way as the proof of (3.14) in Theorem 3.8, by applying (4.22) with v replaced by tv for $t \in \mathbb{R} \setminus \{0\}$ and by 0, taking the differences of both sides of the resulting equalities, dividing both sides by t and then letting $t \rightarrow 0$ on the basis of (4.14).

(d): In the same way as the proof of (3.15) in Theorem 3.8, (4.22) with $u_2 - u_1, tv, u_1$ for $t \in \mathbb{R} \setminus \{0\}$ in place of u_1, u_2, v and (4.14) together imply the former equality in (4.24), which in turn with $v, 0, u_1 - u_2$ in place of u_1, u_2, v yields the latter by the linearity of $\Gamma_p^k \langle v; \cdot \rangle(A)$. \square

Using Theorem 4.11, we can extend Proposition 4.7 as follows.

Corollary 4.12 *Let $u, v \in \mathcal{D}_{p,\infty}^{k,b}$. If $\{u, v\} \cap \mathcal{D}_{p,\infty}^{k,c} \neq \emptyset$, then $\Gamma_p^k \langle u; v \rangle(K) = \mathcal{E}_p^k(u; v)$.*

Proof. Similar to the proof of Proposition 4.7, it suffices to consider the case $u, v \in B_{p,\infty}^k \cap C_b(K)$ with $\{u, v\} \cap B_{p,\infty}^k \cap C_c(K) \neq \emptyset$. Let $f, g \in \{u, v\}$ satisfy $\{f, g\} = \{u, v\}$ and $f \in B_{p,\infty}^k \cap C_c(K)$. Similar to the proof of Proposition 4.7, we can find an open neighborhood U of the compact subset $\text{supp}_K[f]$ of K and $\varphi \in B_{p,\infty}^k \cap C_c(K)$ so that $0 \leq \varphi \leq 1$ and $\varphi(x) = 1$ for any $x \in U$. Then $\text{supp}_K[f] \cap \text{supp}_K[g(\varphi - \mathbf{1}_K)] = \emptyset$, so we have

$$\mathcal{E}_p^k(u; v) = \begin{cases} \mathcal{E}_p^k(f; g\varphi) & \text{if } f = u, \\ \mathcal{E}_p^k(g\varphi; f) & \text{if } f = v, \end{cases}$$

by Theorem 3.8-(e) and

$$\Gamma_p^k \langle u; v \rangle(K) = \begin{cases} \Gamma_p^k \langle f; g\varphi \rangle(K) & \text{if } f = u, \\ \Gamma_p^k \langle g\varphi; f \rangle(K) & \text{if } f = v, \end{cases}$$

by Theorem 4.11-(c),(d). Since $f, g\varphi \in B_{p,\infty}^k \cap C_c(K)$, we obtain $\Gamma_p^k \langle u; v \rangle(K) = \mathcal{E}_p^k(u; v)$ by Proposition 4.7. \square

5 p -Energy forms on p -conductively homogeneous spaces

In this section, we verify $(\text{WM})_{p,k}$ for a family of kernels k corresponding to the $(1, p)$ -Korevaar–Schoen–Sobolev space (see Example 3.14) on p -conductively homogeneous compact metric spaces equipped with Ahlfors regular measures. We also show some estimates on localized versions of Korevaar–Schoen p -energy forms, and construct, on the basis of Korevaar–Schoen p -energy forms, self-similar p -energy forms on p -conductively homogeneous self-similar sets as well. We refer to [29, Sections 4.3–4.6] for many concrete examples covered by this framework.

5.1 p -Conductively homogeneous spaces

Let us recall the notation and terminology in [28, 29] by following [23, Section 8.1]. We fix a locally finite (non-directed) infinite tree (T, E_T) in the usual sense (see [29, Definition 2.1] for example), and fix a *root* $\phi \in T$ of T . (Here T is the set of vertices

and E_T is the set of edges.) For any $w \in T \setminus \{\phi\}$, we use $\overline{\phi w}$ to denote the unique simple path in T from ϕ to w .

Definition 5.1 ([29, Definition 2.2])

(1) For $w \in T$, define $\pi: T \rightarrow T$ by

$$\pi(w) := \begin{cases} w_{n-1} & \text{if } w \neq \phi \text{ and } \overline{\phi w} = (w_0, \dots, w_n), \\ \phi & \text{if } w = \phi. \end{cases}$$

Set $S(w) := \{v \in T \mid \pi(v) = w\} \setminus \{w\}$. Moreover, for $k \in \mathbb{N}$, we define $S^k(w)$ inductively as

$$S^{k+1}(w) = \bigcup_{v \in S(w)} S^k(v).$$

For $A \subseteq T$, define $S^k(A) := \bigcup_{w \in A} S^k(w)$.

- (2) For $w \in T$ and $n \in \mathbb{N} \cup \{0\}$, define $|w| := \min\{n \geq 0 \mid \pi^n(w) = \phi\}$ and $T_n := \{w \in T \mid |w| = n\}$.
- (3) Define $\Sigma := \{(\omega_n)_{n \geq 0} \mid \omega_n \in T_n \text{ and } \omega_n = \pi(\omega_{n+1}) \text{ for all } n \in \mathbb{N} \cup \{0\}\}$. For $\omega = (\omega_n)_{n \geq 0} \in \Sigma$, we write $[\omega]_n$ for $\omega_n \in T_n$. For $w \in T$, define $\Sigma_w := \{(\omega_n)_{n \geq 0} \in \Sigma \mid \omega_{|w|} = w\}$. For $A \subseteq T$, define $\Sigma_A := \bigcup_{w \in A} \Sigma_w$.

We introduce a partition parametrized by a rooted tree (see [28, Definition 2.2.1] and [37, Lemma 3.6]).

Definition 5.2 (Partition parametrized by a tree) Let K be a compact metrizable topological space without isolated points. A family of non-empty compact subsets $\{K_w\}_{w \in T}$ of K is called a *partition of K parametrized by the rooted tree (T, E_T, ϕ)* if and only if it satisfies the following conditions:

- (P1) $K_\phi = K$ and for any $w \in T$, $\#K_w \geq 2$ and $K_w = \bigcup_{v \in S(w)} K_v$.
- (P2) For any $w \in \Sigma$, $\bigcap_{n \geq 0} K_{[\omega]_n}$ is a single point.

In the rest of this section, we fix a compact metrizable topological space without isolated points K , a locally finite rooted tree (T, E_T, ϕ) satisfying $\#\{v \in T \mid \{v, w\} \in E_T\} \geq 2$ for any $w \in T$, a partition $\{K_w\}_{w \in T}$ parametrized by (T, E_T, ϕ) , a metric d on K with $\text{diam}(K, d) = 1$, and a Borel probability measure m on K . In the following definition, we collect some basic pieces of the notation used in [28, 29].

Definition 5.3 For $n \in \mathbb{N} \cup \{0\}$ and $A \subseteq T_n$, define

$$E_n^* := \{\{v, w\} \mid v, w \in T_n, v \neq w, K_v \cap K_w \neq \emptyset\},$$

and $E_n^*(A) = \{\{v, w\} \in E_n^* \mid v, w \in A\}$. Let d_n be the graph distance of (T_n, E_n^*) . For $M \in \mathbb{N} \cup \{0\}$, $w \in T_n$ and $x \in K$, define

$$\Gamma_M(w) := \{v \in T_n \mid d_n(v, w) \leq M\} \quad \text{and} \quad U_M(x; n) := \bigcup_{w \in T_n, x \in K_w} \bigcup_{v \in \Gamma_M(w)} K_v.$$

To state geometric assumptions in [29], we need the following definition (see [28, Definitions 2.2.1 and 3.1.15].)

- Definition 5.4** (1) The partition $\{K_w\}_{w \in T}$ is said to be *minimal* if and only if $K_w \setminus \bigcup_{v \in T_{|w|} \setminus \{w\}} K_v \neq \emptyset$ for any $w \in T$.
 (2) The partition $\{K_w\}_{w \in T}$ is said to be *uniformly finite* if and only if $\sup_{w \in T} \# \Gamma_1(w) < \infty$.

We also use the following notation for simplicity.

Definition 5.5 For $n \in \mathbb{N} \cup \{0\}$ and $U \subseteq K$, define $T_n[U] := \{w \in T_n \mid K_w \cap U \neq \emptyset\}$.

Now we describe basic geometric conditions in [29]. The conditions (1), (2) and (5.6) in (3) below are important to follow the rest of this paper.

Assumption 5.6 ([29, Assumption 2.15]) Let (K, \mathcal{O}) be a connected compact metrizable space, $\{K_w\}_{w \in T}$ a partition parametrized by the rooted tree (T, ϕ) , d a metric on K that is compatible with the topology \mathcal{O} and $\text{diam}(K, d) = 1$ and m a Borel probability measure on K . There exist $M_* \in \mathbb{N}$ and $r_* \in (0, 1)$ such that the following conditions (1)–(5) hold.

- (1) K_w is connected for any $w \in T$, $\{K_w\}_{w \in T}$ is minimal and uniformly finite, and $\inf_{m \geq 0} \min_{w \in T_m} \#S(w) \geq 2$.
 (2) There exist $c_i > 0$, $i \in \{1, \dots, 5\}$, such that the following conditions (2A)–(2C) are true.

(2A) For any $w \in T$,

$$c_1 r_*^{|w|} \leq \text{diam}(K_w, d) \leq c_2 r_*^{|w|}. \quad (5.1)$$

(2B) For any $n \in \mathbb{N}$ and $x \in K$,

$$B_d(x, c_3 r_*^n) \subseteq U_{M_*}(x; n) \subseteq B_d(x, c_4 r_*^n). \quad (5.2)$$

(2C) For any $n \in \mathbb{N}$ and $w \in T_n$, there exists $x \in K_w$ satisfying

$$K_w \supseteq B_d(x, c_5 r_*^n). \quad (5.3)$$

- (3) There exist $m_1 \in \mathbb{N}$, $\gamma_1 \in (0, 1)$ and $\gamma \in (0, 1)$ such that

$$m(K_w) \geq \gamma m(K_{\pi(w)}) \quad \text{for any } w \in T, \quad (5.4)$$

and

$$m(K_v) \leq \gamma_1 m(K_w) \quad \text{for any } w \in T \text{ and } v \in S^{m_1}(w). \quad (5.5)$$

Furthermore, m is volume doubling with respect to d and

$$m(K_w) = \sum_{v \in S(w)} m(K_v) \quad \text{for any } w \in T. \quad (5.6)$$

- (4) There exists $M_0 \geq M_*$ such that for any $w \in T$, $k \geq 1$ and any $v \in S^k(w)$,

$$\Gamma_{M_*}(v) \cap S^k(w) \subseteq \left\{ v' \in T_{|v|} \mid \begin{array}{l} \text{there exist } l \leq M_0 \text{ and } (v_0, \dots, v_l) \in S^k(w)^{l+1} \\ \text{such that } (v_{j-1}, v_j) \in E_{|v|}^* \text{ for any } j \in \{1, \dots, l\} \end{array} \right\}.$$

(5) For any $w \in T$, $\pi(\Gamma_{M_*+1}(w)) \subseteq \Gamma_{M_*}(\pi(w))$.

Note that if a Borel probability measure m on K satisfies (5.6), then we have

$$m(K_v \cap K_w) = 0 \quad \text{for any } v, w \in T \text{ with } v \neq w \text{ and } |v| = |w|; \quad (5.7)$$

see [23, Proposition 8.7] for a proof of this fact.

Next we introduce conductance, neighbor disparity constants and the notion of p -conductive homogeneity in Definitions 5.9, 5.7 and 5.10. We also recall the notion of a covering system in Definition 5.8, which is used in the definition of neighbor disparity constants. See [29, Sections 2.2, 2.3 and 3.3] for further details on these topics. In the rest of this section, we fix $p \in (1, \infty)$ unless otherwise stated. We will state some definitions and statements below for any $p \in [1, \infty)$, but on each such occasion we will explicitly declare that we let $p \in [1, \infty)$.

Definition 5.7 ([29, Definitions 2.17 and 3.4]) Let $p \in [1, \infty)$, $n \in \mathbb{N} \cup \{0\}$ and $A \subseteq T_n$.

(1) Define $\mathcal{E}_{p,A}^n: \mathbb{R}^A \rightarrow [0, \infty)$ by

$$\mathcal{E}_{p,A}^n(f) := \sum_{\{u,v\} \in E_n^*(A)} |f(u) - f(v)|^p, \quad f \in \mathbb{R}^A.$$

We write $\mathcal{E}_p^n(f)$ for $\mathcal{E}_{p,T_n}^n(f)$.

(2) For $A_0, A_1 \subseteq A$, define $\text{cap}_p^n(A_0, A_1; A)$ by

$$\text{cap}_p^n(A_0, A_1; A) := \inf \{ \mathcal{E}_{p,A}^n(f) \mid f \in \mathbb{R}^A, f|_{A_i} = i \text{ for } i \in \{0, 1\} \}.$$

(3) (Conductance constant) For $A_1, A_2 \subseteq A$ and $k \in \mathbb{N} \cup \{0\}$, define

$$\mathcal{E}_{p,k}(A_1, A_2, A) := \text{cap}_p^{n+k}(S^k(A_1), S^k(A_2); S^k(A)).$$

For $M \in \mathbb{N}$, define $\mathcal{E}_{M,p,k} := \sup_{w \in T} \mathcal{E}_{p,k}(\{w\}, T_{|w|} \setminus \Gamma_M(w), T_{|w|})$.

Definition 5.8 ([29, Definitions 2.26-(3) and 2.29]) Let $N_T, N_E \in \mathbb{N}$.

(1) Let $n \in \mathbb{N} \cup \{0\}$ and $A \subseteq T_n$. A collection $\{G_i\}_{i=1}^k$ with $G_i \subseteq T_n$ is called a *covering of $(A, E_n^*(A))$ with covering numbers (N_T, N_E)* if and only if $A = \bigcup_{i=1}^k G_i$, $\max_{x \in A} \#\{i \mid x \in G_i\} \leq N_T$ and for any $(u, v) \in E_n^*(A)$, there exists $l \leq N_E$ and $\{w(1), \dots, w(l+1)\} \subseteq A$ such that $w(1) = u$, $w(l+1) = v$ and $(w(i), w(i+1)) \in \bigcup_{j=1}^k E_n^*(G_j)$ for any $i \in \{1, \dots, l\}$.

(2) Let $\mathcal{J} \subseteq \bigcup_{n \in \mathbb{N} \cup \{0\}} \{A \mid A \subseteq T_n\}$. The collection \mathcal{J} is called a *covering system with covering number (N_T, N_E)* if and only if the following conditions are satisfied:

(i) $\sup_{A \in \mathcal{J}} \#A < \infty$.

- (ii) For any $w \in T$ and $k \in \mathbb{N}$, there exists a finite subset $\mathcal{N} \subseteq \mathcal{J} \cap T_{|w|+k}$ such that \mathcal{N} is a covering of $(S^k(w), E_{|w|+k}^*(S^k(w)))$ with covering numbers (N_T, N_E) .
- (iii) For any $G \in \mathcal{J}$ and $k \in \mathbb{N} \cup \{0\}$, if $G \subseteq T_n$, then there exists a finite subset $\mathcal{N} \subseteq \mathcal{J} \cap T_{n+k}$ such that \mathcal{N} is a covering of $(S^k(G), E_{n+k}^*(S^k(G)))$ with covering numbers (N_T, N_E) .

The collection \mathcal{J} is simply said to be a *covering system* if \mathcal{J} is a covering system with covering numbers (N_T, N_E) for some $(N_T, N_E) \in \mathbb{N}^2$.

Definition 5.9 ([29, Definitions 2.26 and 2.29]) Let $p \in [1, \infty)$, $n \in \mathbb{N}$ and $A \subseteq T_n$.

- (1) For $k \in \mathbb{N} \cup \{0\}$ and $f: T_{n+k} \rightarrow \mathbb{R}$, define $P_{n,k}f: T_n \rightarrow \mathbb{R}$ by

$$(P_{n,k}f)(w) := \frac{1}{\sum_{v \in S^k(w)} m(K_v)} \sum_{v \in S^k(w)} f(v)m(K_v), \quad w \in T_n.$$

(Note that $P_{n,k}f$ depends on the measure m .)

- (2) (Neighbor disparity constant) For $k \in \mathbb{N} \cup \{0\}$, define

$$\sigma_{p,k}(A) := \sup_{f: S^k(A) \rightarrow \mathbb{R}} \frac{\mathcal{E}_{p,A}^n(P_{n,k}f)}{\mathcal{E}_{p,S^k(A)}^{n+k}(f)}.$$

- (3) Let $\mathcal{J} \subseteq \bigcup_{n \geq 0} \{A \mid A \subseteq T_n\}$ be a covering system. Define

$$\sigma_{p,k,n}^{\mathcal{J}} := \max\{\sigma_{p,k}(A) \mid A \in \mathcal{J}, A \subseteq T_n\} \quad \text{and} \quad \sigma_{p,k}^{\mathcal{J}} := \sup_{n \in \mathbb{N} \cup \{0\}} \sigma_{p,k,n}^{\mathcal{J}}.$$

Definition 5.10 ([29, Definition 3.4]) Let $p \in [1, \infty)$. The compact metric space K (with a partition $\{K_w\}_{w \in T}$ and a measure m) is said to be *p -conductively homogeneous* if and only if there exists a covering system \mathcal{J} such that

$$\sup_{k \in \mathbb{N} \cup \{0\}} \sigma_{p,k}^{\mathcal{J}} \mathcal{E}_{M^*,p,k} < \infty. \quad (5.8)$$

Theorem 5.11 (A part of [29, Theorem 3.30]) Let $p \in [1, \infty)$ and suppose that Assumption 5.6 holds. If K is p -conductively homogeneous, then there exist $c_1, c_2, \sigma_p \in (0, \infty)$ and a covering system \mathcal{J} such that for any $k \in \mathbb{N} \cup \{0\}$,

$$c_1 \sigma_p^{-k} \leq \mathcal{E}_{M^*,p,k} \leq c_2 \sigma_p^{-k} \quad \text{and} \quad c_1 \sigma_p^k \leq \sigma_{p,k}^{\mathcal{J}} \leq c_2 \sigma_p^k. \quad (5.9)$$

The following weak monotonicity is a key consequence of the p -conductive homogeneity.

Lemma 5.12 (Weak monotonicity) Let $p \in [1, \infty)$ and suppose that Assumption 5.6 holds. If K is p -conductively homogeneous, then there exists $C \in (0, \infty)$ such that for any $k, l \in \mathbb{N}$, any $A \subseteq T_k$ and any $f \in L^1(K, m)$,

$$\sigma_p^k \mathcal{E}_{p,A}^k(P_k f) \leq C \sigma_p^{k+l} \mathcal{E}_{p,S^l(A)}^{k+l}(P_{k+l} f), \quad (5.10)$$

where σ_p is the constant in (5.9).

Proof. This follows immediately by combining [29, Lemma 2.27] and (5.9). \square

We also recall the ‘‘Sobolev space’’ \mathcal{W}^p introduced in [29, Lemma 3.13].

Definition 5.13 Let $p \in [1, \infty)$. Suppose that Assumption 5.6 holds and that K is p -conductively homogeneous. Let σ_p be the constant in (5.9).

- (1) For $n \in \mathbb{N} \cup \{0\}$, $w \in T_n$, $E \in \mathcal{B}(K)$ with $E \supseteq K_w$ and $f \in L^1(E, m|_E)$, define $P_n f(w) := \int_{K_w} f dm$.
- (2) We define $\mathcal{N}_p: L^p(K, m) \rightarrow [0, \infty]$ and $\mathcal{W}^p \subseteq L^p(K, m)$ by

$$\mathcal{N}_p(f) := \left(\sup_{n \in \mathbb{N} \cup \{0\}} \sigma_p^n \mathcal{E}_p^n(P_n f) \right)^{1/p}, \quad f \in L^p(K, m),$$

$$\mathcal{W}^p := \{f \in L^p(K, m) \mid \mathcal{N}_p(f) < \infty\}.$$

Note that $\mathcal{N}_p(f) = 0$ if and only if f is constant on K (see [23, Section 8.1] for details). We also equip \mathcal{W}^p with the norm $\|\cdot\|_{\mathcal{W}^p}$ defined by

$$\|f\|_{\mathcal{W}^p} := \left(\|f\|_{L^p(K, m)}^p + \mathcal{N}_p(f)^p \right)^{1/p}, \quad f \in \mathcal{W}^p.$$

- (3) For $n \in \mathbb{N} \cup \{0\}$, $A \subseteq T_n$, $E \in \mathcal{B}(K)$ with $E \supseteq \bigcup_{w \in A} K_w$ and $f \in L^1(E, m|_E)$, we define

$$\tilde{\mathcal{E}}_{p,A}^n(f) := \sigma_p^n \mathcal{E}_{p,A}^n(P_n f).$$

We also set $\tilde{\mathcal{E}}_p^n(f) := \tilde{\mathcal{E}}_{p,T_n}^n(f)$ for $f \in L^1(K, m)$.

Now we can introduce a framework to construct a p -resistance form on K .

Assumption 5.14 Let $(K, d, \{K_w\}_{w \in T}, m)$ satisfy Assumption 5.6. In addition, $(K, d, \{K_w\}_{w \in T}, m, p)$ satisfies the following conditions:

- (1) The measure m is Ahlfors regular with respect to d . (Recall (3.20).)
- (2) K is p -conductively homogeneous.
- (3) $\sigma_p > 1$, where σ_p is the constant in (5.9).

Remark 5.15 (1) By [28, Theorem 4.6.9], Assumption 5.14-(3) is equivalent to $p > \dim_{\text{ARC}}(K, d)$, where $\dim_{\text{ARC}}(K, d)$ denotes the Ahlfors regular conformal dimension of (K, d) . (See, e.g., [29, (1.1)] for the definition of $\dim_{\text{ARC}}(K, d)$.)

(2) It is highly non-trivial in general to verify that a given compact metric space K is p -conductively homogeneous. In [29, Sections 4.3–4.6] and [30], the p -conductive homogeneity for $p > \dim_{\text{ARC}}(K, d)$ has been proved for various large classes of self-similar sets K in \mathbb{R}^n equipped with the Euclidean metric d .

In the following theorem, we recall a fundamental result on \mathcal{W}^p .

Theorem 5.16 ([29, Lemmas 3.16, 3.19, 3.24 and Theorem 3.22], [23, Theorem 8.16]) *Let $p \in [1, \infty)$. Suppose that $(K, d, \{K_w\}_{w \in T}, m)$ satisfies Assumption 5.6 and that K is p -conductively homogeneous. Then \mathcal{W}^p equipped with the norm $\|\cdot\|_{\mathcal{W}^p}$ is a Banach space. If $p > 1$, then \mathcal{W}^p is reflexive and separable. If $p > \dim_{\text{ARC}}(K, d)$, or equivalently $\sigma_p > 1$, then $\mathcal{W}^p \subseteq C(K)$ and \mathcal{W}^p is dense in $(C(K), \|\cdot\|_{\text{sup}})$.*

Let us introduce an important value, p -walk dimension, to describe the main result in this section.

Definition 5.17 Suppose that Assumption 5.6 holds, that m is Ahlfors regular and that K is p -conductively homogeneous. Let $r_* \in (0, 1)$ be the constant in (5.1), let σ_p be the constant in (5.9) and let d_f be the Hausdorff dimension of (K, d) . Define

$$d_{w,p} := d_f + \frac{\log \sigma_p}{\log r_*^{-1}}. \quad (5.11)$$

We call $d_{w,p}$ the p -walk dimension of $(K, d, \{K_w\}_{w \in T}, m)$.

The next lemma states a suitable capacity upper bound in this framework.

Lemma 5.18 *Suppose that $(K, d, \{K_w\}_{w \in T}, m, p)$ satisfies Assumption 5.14. Then there exists $C \in (0, \infty)$ such that for any $(x, s) \in K \times (0, 1]$,*

$$\inf \left\{ \mathcal{N}_p(f)^p \mid f \in \mathcal{W}^p, f|_{B_d(x,s)} = 1, \text{supp}_K[f] \subseteq B_d(x, 2s) \right\} \leq C s^{d_f - d_{w,p}}. \quad (5.12)$$

Proof. Let $r_* \in (0, 1)$ and $M_* \in \mathbb{N}$ be the constants in Assumption 5.6. Let $s > 0$ and let $n \in \mathbb{N}$ satisfy

$$c_2(M_* + 1)r_*^n < 2s \leq c_2(M_* + 1)r_*^{n-1},$$

where c_2 is the constant in (5.1). Let $x \in K$ and set $T_n(x, s) := T_n[B_d(x, s)]$ for simplicity. Thanks to the metric doubling property of (K, d) , we have $\#T_n(x, s) \lesssim 1$. By [29, Lemma 3.18] and its proof, for any $w \in T_n(x, s)$ there exists $h_{M_*,w} \in \mathcal{W}^p$ such that $h_{M_*,w}|_{K_w} \equiv 1$, $\text{supp}_K[h_{M_*,w}] \subseteq U_{M_*}(w)$ and $\mathcal{N}_p(h_{M_*,w})^p \lesssim \sigma_p^n$. Let $\psi_{x,s} := \sum_{w \in T_n(x,s)} h_{M_*,w} \in \mathcal{W}^p$. Then $\psi_{x,s}|_{B_d(x,s)} \geq 1$, $\text{supp}_K[\psi_{x,s}] \subseteq B_d(x, 2s)$ and $\mathcal{N}_p(\psi_{x,s})^p \lesssim \sigma_p^n = r_*^{n(d_f - d_{w,p})} \lesssim s^{d_f - d_{w,p}}$. Since $\mathcal{N}_p(\psi_{x,s} \wedge 1)^p \lesssim \mathcal{N}_p(\psi_{x,s})^p$ by [29, Theorem 3.21], we obtain (5.12). \square

We also consider the following setting to deal with the case $p \leq \dim_{\text{ARC}}(K, d)$.

Assumption 5.19 Let $(K, d, \{K_w\}_{w \in T}, m)$ satisfy Assumption 5.6. In addition, $(K, d, \{K_w\}_{w \in T}, m, p)$ satisfies the following conditions:

- (1) The measure m is Ahlfors regular with respect to d .
- (2) K is p -conductively homogeneous.
- (3) There exists $C \in (0, \infty)$ such that for any $(x, s) \in K \times (0, 1]$,

$$\inf \left\{ \mathcal{N}_p(f)^p \mid f \in \mathcal{W}^p \cap C(K), f|_{B_d(x,s)} = 1, \text{supp}_K[f] \subseteq B_d(x, 2s) \right\}$$

$$\leq C s^{d_f - d_{w,p}}. \quad (5.13)$$

Note that Assumption 5.14 implies Assumption 5.19 by Lemma 5.18.

The same argument as in [35, Lemma 6.26] yields a good partition of unity under Assumption 5.19 as given in Lemma 5.20 and thus we obtain the regularity of \mathcal{W}^p in Corollary 5.21.

Lemma 5.20 *Suppose that Assumption 5.19 holds. Let $\varepsilon \in (0, 1)$ and let V be a maximal ε -net of (K, d) . Then there exists a family of functions $\{\psi_z\}_{z \in V}$ that satisfies the following properties:*

- (i) $\sum_{z \in V} \psi_z \equiv 1$.
- (ii) $\psi_z \in \mathcal{W}^p \cap C(K)$, $0 \leq \psi_z \leq 1$, $\psi_z|_{B_d(z, \varepsilon/4)} \equiv 1$ and $\text{supp}_K[\psi_z] \subseteq B_d(z, 5\varepsilon/4)$ for any $z \in V$.
- (iii) If $z \in V$ and $z' \in V \setminus \{z\}$, then $\psi_{z'}|_{B_d(z, \varepsilon/4)} \equiv 0$.
- (iv) There exists $C \in (0, \infty)$ such that $N_p(\psi_z)^p \leq C \varepsilon^{d_f - d_{w,p}}$ for any $z \in V$.

Corollary 5.21 *Suppose that Assumption 5.19 holds. Then $\mathcal{W}^p \cap C(K)$ is dense in $(C(K), \|\cdot\|_{\text{sup}})$.*

5.2 Localized energy estimates

In this subsection, we show localized energy estimates on Korevaar–Schoen p -energy forms, which will imply $(\text{WM})_{p,k}$ with the family of kernels k^{s_p} (recall (3.25)) and the equality $s_p = d_{w,p}/p$. Estimates in this subsection are very similar to [35, Section 7] although the setting of “partitions” in [35] is slightly different from ours.

We start with the following lemma, which gives a Poincaré-type estimate.

Lemma 5.22 *Suppose that Assumption 5.19 holds. Then there exists a constant $C \in (0, \infty)$ such that for any $f \in L^p(K, m)$ and any $w \in T$,*

$$\int_{K_w} |f(x) - f_{K_w}|^p m(dx) \leq C r_*^{|w|d_{w,p}} \liminf_{n \rightarrow \infty} \tilde{\mathcal{E}}_{p, S^n(w)}^{n+|w|}(f). \quad (5.14)$$

Proof. Let $f \in L^p(K, m)$, $w \in T$ and set $k := |w|$. For $n \in \mathbb{N}$, define $Q_n f \in L^p(K, m)$ by

$$Q_n f := \sum_{v \in T_n} P_n f(v) \mathbf{1}_{K_v}.$$

Then we can see that $\|Q_n f - f\|_{L^p(K, m)} \rightarrow 0$ as $n \rightarrow \infty$ (see [23, Proof of Theorem 8.19]). For any $n \in \mathbb{N}$, we see from (5.7) and $\|Q_n f - f\|_{L^p(K, m)} \rightarrow 0$ that

$$\begin{aligned} & \frac{1}{m(K_w)} \sum_{v \in S^n(w)} |f_{K_v} - f_{K_w}|^p m(K_v) \\ &= \frac{1}{m(K_w)} \sum_{v \in S^n(w)} \int_{K_v} |Q_{n+k} f(x) - f_{K_w}|^p m(dx) \end{aligned}$$

$$= \int_{K_w} |Q_{n+k}f(x) - f_{K_w}|^p m(dx) \xrightarrow{n \rightarrow \infty} \int_{K_w} |f(x) - f_{K_w}|^p m(dx). \quad (5.15)$$

By [29, (5.11) in Theorem 5.11] and (5.9), there exists $C \in (0, \infty)$ which is independent of f and n such that

$$\frac{1}{m(K_w)} \sum_{v \in S^n(w)} |f_{K_v} - f_{K_w}|^p m(K_v) \leq C r_*^{k(d_w, p - d_f)} \tilde{\mathcal{E}}_{p, S^n(w)}^{n+k}(f). \quad (5.16)$$

We obtain (5.14) by combining (5.15), (5.16), (5.3) and the Ahlfors regularity of m . \square

The next proposition shows an upper bound on localized Korevaar–Schoen energy functionals.

Proposition 5.23 *Suppose that Assumption 5.19 holds. Then there exists $C \in (0, \infty)$ such that for any $E \in \mathcal{B}(K)$, any open neighborhood E' of \overline{E}^K and any $f \in L^p(E', m|_{E'})$,*

$$\begin{aligned} \limsup_{r \downarrow 0} \int_E \int_{B_d(x, r)} \frac{|f(x) - f(y)|^p}{r^{d_w, p}} m(dy) m(dx) \\ \leq C \limsup_{r \downarrow 0} \liminf_{n \rightarrow \infty} \tilde{\mathcal{E}}_{p, T_n[(E)_{d, r}]}^n(f), \end{aligned} \quad (5.17)$$

Furthermore, with $C \in (0, \infty)$ the same as in (5.17), for any $f \in L^p(K, m)$,

$$\sup_{r > 0} \int_K \int_{B_d(x, r)} \frac{|f(x) - f(y)|^p}{r^{d_w, p}} m(dy) m(dx) \leq C \mathcal{N}_p(f)^p. \quad (5.18)$$

Proof. Let $r_* \in (0, 1)$ and $M_* \in \mathbb{N}$ be the constants in Assumption 5.6. Let $r > 0$ and choose $n(r) \in \mathbb{N}$ satisfying $c_3 r_*^{n(r)+1} < r \leq c_3 r_*^{n(r)}$, where c_3 is the constant in (5.2). Then, for any $w \in T_{n(r)}$ and $x \in K_w$, we have $B_d(x, r) \subseteq U_{M_*}(x; n(r)) \subseteq U_{M_*+1}(w)$. Let $f \in L^p(E', m|_{E'})$, where E' is an open neighborhood of \overline{E}^K . Set $c := (M_* + 2)c_2(c_3 r_*)^{-1} \in (0, \infty)$, where c_2 is the constant in (5.1). Then, by (5.1), $\bigcup_{w \in T_{n(r)}[E]} S^k(\Gamma_{M_*+1}(w)) \subseteq T_{k+n(r)}[(E)_{d, cr}]$ for any $k \in \mathbb{N}$ and there exists $r_0 \in (0, \infty)$ such that $(E)_{d, cr} \subseteq E'$ for any $r \in (0, r_0)$. By using $|f(x) - f(y)|^p \leq |f(x) - f_{K_w}|^p + |f(y) - f_{K_w}|^p + |f_{K_w} - f_{K_v}|^p$ and Lemma 5.22, we see that for any $r \in (0, r_0)$,

$$\begin{aligned} & \int_E \int_{B_d(x, r)} \frac{|f(x) - f(y)|^p}{r^{d_w, p}} m(dy) m(dx) \\ & \leq r_*^{-n(r)d_f} \sum_{w \in T_{n(r)}[E], v \in \Gamma_{M_*+1}(w)} \int_{K_w} \int_{K_v} \frac{|f(x) - f(y)|^p}{r^{d_w, p}} m(dy) m(dx) \\ & \lesssim \sum_{w \in T_{n(r)}[E], v \in \Gamma_{M_*+1}(w)} \left(\liminf_{k \rightarrow \infty} \tilde{\mathcal{E}}_{p, S^k(v)}^{k+n(r)}(f) + \liminf_{k \rightarrow \infty} \tilde{\mathcal{E}}_{p, S^k(w)}^{k+n(r)}(f) \right) \end{aligned}$$

$$\begin{aligned}
& + \sum_{w \in T_{n(r)}[E], v \in \Gamma_{M_{s+1}}(w)} \sigma_p^{n(r)} |f_{K_v} - f_{K_w}|^p \\
& \lesssim \sum_{w \in T_{n(r)}[E]} \liminf_{k \rightarrow \infty} \tilde{\mathcal{E}}_{p, S^k(\Gamma_{M_{s+1}}(w))}^{k+n(r)}(f) + \sum_{w \in T_{n(r)}[E]} \tilde{\mathcal{E}}_{p, \Gamma_{M_{s+1}}(w)}^{n(r)}(f). \quad (5.19)
\end{aligned}$$

Since the partition $\{K_w\}_{w \in T}$ is uniformly finite, we have

$$\begin{aligned}
\sum_{w \in T_{n(r)}[E]} \liminf_{k \rightarrow \infty} \tilde{\mathcal{E}}_{p, S^k(\Gamma_{M_{s+1}}(w))}^{k+n(r)}(f) & \leq \liminf_{k \rightarrow \infty} \sum_{w \in T_{n(r)}[E]} \tilde{\mathcal{E}}_{p, S^k(\Gamma_{M_{s+1}}(w))}^{n+n(r)}(f) \\
& \lesssim \liminf_{k \rightarrow \infty} \tilde{\mathcal{E}}_{p, T_k[(E)_{d,cr}]}^k(f). \quad (5.20)
\end{aligned}$$

We also have from Lemma 5.12 that

$$\sum_{w \in T_{n(r)}[E]} \tilde{\mathcal{E}}_{p, \Gamma_{M_{s+1}}(w)}^{n(r)}(f) \lesssim \tilde{\mathcal{E}}_{p, T_{n(r)}[(E)_{d,cr}]}^{n(r)}(f) \lesssim \liminf_{n \rightarrow \infty} \tilde{\mathcal{E}}_{p, T_n[(E)_{d,cr}]}^n(f). \quad (5.21)$$

By (5.19), (5.20) and (5.21), there exists $C \in (0, \infty)$ (depending only on the constants associated with Assumption 5.6) such that for any $r \in (0, r_0)$,

$$\int_E \int_{B_d(x,r)} \frac{|f(x) - f(y)|^p}{r^{d_{w,p}}} m(dy) m(dx) \leq C \liminf_{n \rightarrow \infty} \tilde{\mathcal{E}}_{p, T_n[(E)_{d,cr}]}^n(f), \quad (5.22)$$

whence we obtain (5.17) by letting $r \downarrow 0$ in (5.22). If $f \in L^p(K, m)$, then we have (5.18) by letting $E := K$ in (5.22). \square

Before proving inequalities in the converse direction matching (5.17) and (5.18), let us introduce a localized version of \mathcal{W}^p .

Definition 5.24 Let U be a non-empty open subset of K . We define a linear subspace $\mathcal{W}_{\text{loc}}^p(U)$ of $L^0(U, m|_U)$ by

$$\mathcal{W}_{\text{loc}}^p(U) := \left\{ f \in L^0(U, m|_U) \mid \begin{array}{l} f = f^\# \text{ m-a.e. on } V \text{ for some } f^\# \in \mathcal{W}^p \text{ for} \\ \text{each relatively compact open subset } V \text{ of } U \end{array} \right\}.$$

A lower bound on localized Korevaar–Schoen energy functionals can be shown in a similar way as [5, Theorem 5.2].

Proposition 5.25 Suppose that Assumption 5.19 holds. Then there exists $C \in (0, \infty)$ such that for any $E \subseteq K$, any open neighborhood E' of \bar{E}^K and any $u \in \mathcal{W}_{\text{loc}}^p(E')$,

$$\limsup_{n \rightarrow \infty} \tilde{\mathcal{E}}_{p, T_n[E]}^n(u) \leq C \lim_{\delta \downarrow 0} \liminf_{r \downarrow 0} \int_{(E)_{d,\delta}} \int_{B_d(x,r)} \frac{|u(x) - u(y)|^p}{r^{d_{w,p}}} m(dy) m(dx). \quad (5.23)$$

Furthermore, with $C \in (0, \infty)$ the same as in (5.23), for any $f \in L^p(K, m)$,

$$\mathcal{N}_p(f)^p \leq C \liminf_{r \downarrow 0} \int_K \int_{B_d(x,r)} \frac{|f(x) - f(y)|^p}{r^{d_{w,p}}} m(dy) m(dx). \quad (5.24)$$

Proof. Let $r \in (0, 1)$, let N_r be a maximal r -net of (K, d) , and let $\{\psi_{z,r}\}_{z \in N_r}$ be a partition of unity as given in Lemma 5.20. Define $A_r : L^p(K, m) \rightarrow \mathcal{W}^p \cap C(K)$ by $A_r f := \sum_{z \in N_r} f_{B_d(z, r/4)} \psi_{z,r}$ for $f \in L^p(K, m)$. Then we can easily see that $\lim_{r \rightarrow 0} \|A_r f - f\|_{L^p(K, m)} = 0$ and $\sup_{r > 0} \|A_r\|_{L^p(K, m) \rightarrow L^p(K, m)} < \infty$. For any large $n \in \mathbb{N}$ so that $4c_2 r_*^n < r$, where c_2 is the constant in (5.1), a similar argument as in [35, Lemma 7.4] shows that there exists $C_1 > 0$ depending only on the constants associated with Assumption 5.6 such that

$$\begin{aligned} & \tilde{\mathcal{E}}_{p, T_n[B_d(z, 5r/4)]}^n(A_r f) \\ & \leq C_1 \sum_{w \in N_r \cap B_d(z, 11r/4)} \int_{B_d(w, 3r)} \int_{B_d(x, 9r)} \frac{|f(x) - f(y)|^p}{r^{d_{w,p}}} m(dy) m(dx). \end{aligned} \quad (5.25)$$

Let us fix $\delta > 0$ and define $N_r(E) := \{z \in N_r \mid E \cap B_d(z, r) \neq \emptyset\}$. Then, for any small enough $r > 0$ so that $r < \delta/7$, we have $E \subseteq \bigcup_{z \in N_r(E)} B_d(z, 5r/4)$ and

$$\bigcup_{z \in N_r(E)} \bigcup_{w \in N_r \cap B_d(z, 11r/4)} B_d(w, 3r) \subseteq (E)_{d, \delta},$$

whence we see that for any $f \in L^p(K, m)$,

$$\begin{aligned} & \tilde{\mathcal{E}}_{p, T_n[E]}^n(A_r f) \\ & \leq \sum_{z \in N_r(E)} \tilde{\mathcal{E}}_{p, T_n[B_d(z, 5r/4)]}^n(A_r f) \\ & \stackrel{(5.25)}{\leq} C_1 \sum_{z \in N_r(E)} \sum_{w \in N_r \cap B_d(z, 11r/4)} \int_{B_d(w, 3r)} \int_{B_d(x, 9r)} \frac{|f(x) - f(y)|^p}{r^{d_{w,p}}} m(dy) m(dx) \\ & \lesssim \int_{(E)_{d, \delta}} \int_{B_d(x, 9r)} \frac{|f(x) - f(y)|^p}{r^{d_{w,p}}} m(dy) m(dx), \end{aligned} \quad (5.26)$$

where we used the metric doubling property of (K, d) in the last inequality. (Here, we consider small enough $r > 0$ so that $r < \delta/7$ and large enough $n \in \mathbb{N}$ so that $4c_2 r_*^n < r$.)

To prove the desired estimate (5.23) for $u \in \mathcal{W}_{\text{loc}}^p(E')$, we fix a relatively compact open subset V of E' and $u^\# \in \mathcal{W}^p$ satisfying $V \supseteq \overline{E}^K$, $u^\# \in \mathcal{W}^p$ and $u = u^\#$ m -a.e. on V . Also, fix a sequence $\{r_k\}_{k \in \mathbb{N}} \subseteq (0, \infty)$ such that $r_k \downarrow 0$ as $k \rightarrow \infty$ and

$$\lim_{k \rightarrow \infty} r_k^{-d_{w,p}} J_{p, r_k}(u^\# \mid (E)_{d, \delta}) = \liminf_{r \downarrow 0} r^{-d_{w,p}} J_{p, r}(u^\# \mid (E)_{d, \delta}) \leq N_p(u^\#) < \infty,$$

where $J_{p, r}(g \mid A) := \int_A \int_{B_d(x, r)} \frac{|g(x) - g(y)|^p}{r^{d_{w,p}}} m(dy) m(dx)$ for $g \in L^p(K, m)$ and $A \in \mathcal{B}(K)$. Set $u_k := A_{r_k/9} u^\#$ for each $k \in \mathbb{N}$. By combining (5.26) with $E = K$ and (5.18), for all large $k \in \mathbb{N}$, we have

$$\mathcal{N}_p(u_k)^p \lesssim \int_K \int_{B_d(x, r_k)} \frac{|u^\#(x) - u^\#(y)|^p}{r_k^{d_{w,p}}} m(dy) m(dx) \lesssim \mathcal{N}_p(u^\#)^p < \infty, \quad (5.27)$$

which implies that $\{u_k\}_{k \in \mathbb{N}}$ is bounded in \mathcal{W}^p . Since \mathcal{W}^p is reflexive by Theorem 5.16, we can assume that u_k converges weakly in \mathcal{W}^p to some function $u_\infty \in \mathcal{W}^p$ as $k \rightarrow \infty$. Since \mathcal{W}^p is continuously embedded in $L^p(K, m)$, we have $u_\infty = u^\#$. Hence, by Mazur's lemma and (5.26), we obtain

$$\limsup_{n \rightarrow \infty} \tilde{\mathcal{E}}_{p, T_n[E]}^n(u^\#) \leq \lim_{\delta \downarrow 0} \liminf_{r \downarrow 0} r^{-d_{w,p}} J_{p,r}(u^\# | (E)_{d,\delta}). \quad (5.28)$$

Note that, by (5.1), $\bigcup_{w \in T_n[E]} K_w \subseteq V$ for all large enough $n \in \mathbb{N}$ and $(E)_{d,r+\delta} \subseteq V$ for all small enough $\delta, r \in (0, \infty)$. For such n, δ and r , we have $\tilde{\mathcal{E}}_{p, T_n[E]}^n(u^\#) = \tilde{\mathcal{E}}_{p, T_n[E]}^n(u)$ and $J_{p,r}(u^\# | (E)_{d,\delta}) = J_{p,r}(u | (E)_{d,\delta})$, whence we obtain (5.23).

We next consider the case $E = K$. Let $f \in L^p(K, m)$ and set $J_{p,r}(f) := J_{p,r}(f|K)$ for $r > 0$. Similar to the previous case, we assume that $\{r_k\}_{k \in \mathbb{N}}$ is a sequence of positive numbers such that $r_k \downarrow 0$ as $k \rightarrow \infty$ and

$$\lim_{k \rightarrow \infty} r_k^{-d_{w,p}} J_{p,r_k}(f) = \liminf_{r \downarrow 0} r^{-d_{w,p}} J_{p,r}(f) < \infty,$$

which together with (5.26) implies that $\{A_{r_k/9} f\}_{k \in \mathbb{N}}$ is a bounded sequence in \mathcal{W}^p . A similar argument using Mazur's lemma as in the previous paragraph yields (5.24). \square

5.3 Weak monotonicity and Poincaré inequality

Now we can prove the main theorem of this section, which solves a part of [29, Section 6.3, Problem 4], as follows.

Theorem 5.26 *Suppose that $(K, d, \{K_w\}_{w \in T}, m, p)$ satisfies Assumption 5.19, let s_p and $\text{KS}^{1,p}$ be as defined in Example 3.14, and define $\mathbf{k} = \{k_r\}_{r>0}$ by*

$$k_r(x, y) := \frac{\mathbf{1}_{B_d(x,r)}(y)}{r^{d_{w,p}} m(B_d(x, r))}, \quad x, y \in K. \quad (5.29)$$

Then $s_p = d_{w,p}/p$, $\mathcal{W}^p = \text{KS}^{1,p}$, $\mathcal{W}^p \cap C(K)$ is dense in \mathcal{W}^p , and $(\text{WM})_{p,\mathbf{k}}$ holds. Moreover, there exists $C \in [1, \infty)$ such that

$$C^{-1} \sup_{r>0} J_{p,r}^{\mathbf{k}}(f) \leq \mathcal{N}_p(f)^p \leq C \liminf_{r \downarrow 0} J_{p,r}^{\mathbf{k}}(f) \quad \text{for any } f \in L^p(K, m). \quad (5.30)$$

Proof. By (5.18) and (5.24), we have $\mathcal{W}^p = B_{p,\infty}^{d_{w,p}/p}$ and (5.30). (Recall Example 3.14 for the definition of $B_{p,\infty}^s$.) In particular, $s_p \geq d_{w,p}/p$. To show the converse, let $s > d_{w,p}/p$ and let $f \in \mathcal{W}^p \setminus \mathbb{R}\mathbf{1}_K$. (Note that \mathcal{W}^p contains a non-constant

function by (5.12).) Let $A_r : L^p(K, m) \rightarrow \mathcal{W}^p \cap C(K)$ be the same operator as in the proof of Proposition 5.25 for each $r \in (0, 1)$. Then, by (5.26) with $E = K$,

$$\frac{r^{d_{w,p}}}{r^{s_p}} \tilde{\mathcal{E}}_p^n(A_r f) \leq C \int_K \int_{B_d(x, 9r)} \frac{|f(x) - f(y)|^p}{r^{s_p}} m(dy) m(dx) \quad (5.31)$$

for any $n \in \mathbb{N}$ and $r \in (0, 1)$ with $4c_2 r_*^n < r$, where c_2 is the constant in (5.1) and $C > 0$ is a constant independent of f , r , and n . As in the proof of [29, Theorem 3.21], let $\{\tilde{\mathcal{E}}_p^{n_k}\}_{k \in \mathbb{N}}$ be a Γ -converging subsequence of $\{\tilde{\mathcal{E}}_p^n\}_{n \in \mathbb{N}}$ and define $\widehat{\mathcal{E}}_p$ as its Γ -limit. Since $\widehat{\mathcal{E}}_p$ is lower semicontinuous with respect to the $L^p(K, m)$ -topology (see [11, Proposition 6.8]) and $\widehat{\mathcal{E}}_p \asymp \mathcal{N}_p(\cdot)^p$ (see [29, pp. 45–46]), we see that

$$0 < \mathcal{N}_p(f)^p \lesssim \widehat{\mathcal{E}}_p(f) \leq \liminf_{r \downarrow 0} \widehat{\mathcal{E}}_p(A_r f) \leq \liminf_{r \downarrow 0} \liminf_{k \rightarrow \infty} \tilde{\mathcal{E}}_p^{n_k}(A_r f),$$

which together with (5.31) and $\lim_{r \rightarrow 0} r^{d_{w,p} - s_p} = \infty$ implies that $f \notin B_{p,\infty}^s$. Since $s > d_{w,p}/p$ is arbitrary, we conclude that $d_{w,p}/p \geq s_p$. In particular, we obtain $\mathcal{W}^p = \text{KS}^{1,p}$ and $(\text{WM})_{p,k}$. The inclusion $\mathcal{W}^p \subseteq \overline{\mathcal{W}^p \cap C(K)}^{\mathcal{W}^p}$ follows from (5.27) and Mazur's lemma, so we complete the proof. \square

Corollary 5.27 *Suppose that $(K, d, \{K_w\}_{w \in T}, m, p)$ satisfies Assumption 5.14. Then any Korevaar–Schoen p -energy form $(\mathcal{E}_p^{\text{KS}}, \mathcal{W}^p)$ on (K, d, m) , which exists by Theorems 5.26 and 3.8 (recall Example 3.14), is a p -resistance form on K , and there exist $\alpha_0, \alpha_1 \in (0, \infty)$ such that for any such $(\mathcal{E}_p^{\text{KS}}, \mathcal{W}^p)$,*

$$\alpha_0 d(x, y)^{d_{w,p} - d_f} \leq R_{\mathcal{E}_p^{\text{KS}}}(x, y) \leq \alpha_1 d(x, y)^{d_{w,p} - d_f} \quad \text{for any } x, y \in K. \quad (5.32)$$

Proof. Define $\mathbf{k} = \{k_r\}_{r>0}$ by (5.29). Then by Theorem 5.26, Lemma 5.18 and [5, Theorem 3.2], the assumptions of Proposition 3.13 with $d_f, d_{w,p}$ in place of Q, β_p hold under Assumption 5.14, so $(\mathcal{E}_p^{\text{KS}}, \mathcal{W}^p)$ is a p -resistance form on K . The estimate (5.32) follows from the d_f -Ahlfors regularity of m and Proposition 3.13. \square

We also have a Poincaré-type inequality in terms of the localized versions of $(\mathcal{E}_p^k, \mathcal{W}^p)$. (For the Vicsek set, such a Poincaré-type inequality was proved in [6, Corollary 4.2].)

Proposition 5.28 *Suppose that $(K, d, \{K_w\}_{w \in T}, m, p)$ satisfies Assumption 5.19. Then there exist $C \in (0, \infty)$ and $A \in [1, \infty)$ such that for any $(z, s) \in K \times (0, 1]$ and any $f \in \mathcal{W}_{\text{loc}}^p(B_d(z, As))$,*

$$\begin{aligned} & \int_{B_d(z, s)} |f(y) - f_{B_d(z, s)}|^p m(dy) \\ & \leq C s^{d_{w,p}} \liminf_{r \downarrow 0} \int_{B_d(z, As)} \int_{B_d(x, r)} \frac{|f(x) - f(y)|^p}{r^{d_{w,p}}} m(dy) m(dx). \end{aligned} \quad (5.33)$$

Proof. Throughout this proof, $M_* \in \mathbb{N}$ and $r_* \in (0, 1)$ are the same constants as in Assumption 5.6. We assume that $f \in \mathcal{W}^p$ for simplicity. Let $(z, s) \in K \times (0, 1]$ and

choose $n \in \mathbb{N}$ satisfying $c_3 r_*^n \geq s > c_3 r_*^{n+1}$, where c_3 is the constant in (5.2). Let $f \in L^p(K, m)$ and set $\Gamma_{M_*}(z; n) := \{v \in T \mid v \in \Gamma_{M_*}(w) \text{ for some } w \in T_n \text{ with } z \in K_w\}$. Then we see that

$$\begin{aligned}
& \int_{U_{M_*}(z; n)} |f(y) - f_{U_{M_*}(x; n)}|^p m(dy) \\
& \leq \sum_{w \in \Gamma_{M_*}(z; n)} \int_{K_w} |f(y) - f_{U_{M_*}(x; n)}|^p m(dy) \\
& \leq 2^{p-1} \sum_{w \in \Gamma_{M_*}(z; n)} \left(\int_{K_w} |f(y) - f_{K_w}|^p m(dy) + m(K_w) |f_{K_w} - f_{U_{M_*}(x; n)}|^p \right) \\
& \lesssim \sum_{w \in \Gamma_{M_*}(z; n)} \left(s^{d_{w,p}} \liminf_{k \rightarrow \infty} \tilde{\mathcal{E}}_{p, S^k(w)}^{n+k}(f) + s^{d_{\Gamma}} |f_{K_w} - f_{U_{M_*}(z; n)}|^p \right). \quad (5.34)
\end{aligned}$$

Since $\min_{v \in \Gamma_{M_*}(z; n)} f_{K_v} \leq f_{U_{M_*}(z; n)} \leq \max_{v \in \Gamma_{M_*}(z; n)} f_{K_v}$, for any $w \in \Gamma_{M_*}(z; n)$ there exists $w' \in \Gamma_{M_*}(z; n) \setminus \{w\}$ such that $|f_{K_w} - f_{U_{M_*}(z; n)}| \leq |f_{K_w} - f_{K_{w'}}|$, which together with Hölder's inequality yields that

$$|f_{K_w} - f_{U_{M_*}(z; n)}|^p \lesssim \mathcal{E}_{p, \Gamma_{2M_*}(w)}^n(f) \lesssim s^{d_{w,p} - d_{\Gamma}} \liminf_{k \rightarrow \infty} \tilde{\mathcal{E}}_{p, S^k(\Gamma_{2M_*}(w))}^{n+k}(f), \quad (5.35)$$

where we used (5.9) and [29, (2.17)] in the last inequality. Note that $\sup_{v \in T} \#\Gamma_M(w) \leq L_*^M$ by (5.2) and the volume doubling property of m . This observation together with (5.34) and (5.35) implies that

$$\begin{aligned}
& \int_{U_{M_*}(z; n)} |f(y) - f_{U_{M_*}(x; n)}|^p m(dy) \\
& \lesssim s^{d_{w,p}} \liminf_{k \rightarrow \infty} \sum_{w \in \Gamma_{M_*}(z; n)} \tilde{\mathcal{E}}_{p, S^k(\Gamma_{2M_*}(w))}^{n+k}(f) \lesssim s^{d_{w,p}} \liminf_{k \rightarrow \infty} \tilde{\mathcal{E}}_{p, T_k[B_d(z, As/2)]}^k(f) \\
& \stackrel{(5.23)}{\lesssim} s^{d_{w,p}} \liminf_{r \downarrow 0} \int_{B_d(z, As)} \oint_{B_d(x, r)} \frac{|f(y) - f(x)|^p}{r^{d_{w,p}}} m(dy) m(dx),
\end{aligned}$$

which yields (5.33) in the case $f \in \mathcal{W}^p$ since

$$\int_{U_{M_*}(z; n)} |f(y) - f_{U_{M_*}(x; n)}|^p m(dy) \gtrsim \int_{B_d(z, s)} |f(y) - f_{B_d(z, s)}|^p m(dy).$$

The case $f \in \mathcal{W}_{\text{loc}}^p(B_d(x, A's))$, where $A' > A$ (set, e.g., $A' = 2A$), is similar. \square

5.4 Self-similar p -energy forms based on Korevaar–Schoen p -energy forms

In this subsection, we construct a self-similar p -energy form by improving [29, Theorem 4.6]. We need some preparations before constructing such a good self-similar p -energy form. We first review basic notation and terminology on self-similar structures. In particular, we recall the notion of a post-critically finite self-similar structure introduced by Kigami [24], which is mainly dealt with in the next section. See [25, Section 1] and [26, Chapter 1] for further details. Throughout this section, we fix a compact metrizable space K , a finite set S with $\#S \geq 2$ and a continuous injective map $F_i: K \rightarrow K$ for each $i \in S$. We set $\mathcal{L} := (K, S, \{F_i\}_{i \in S})$.

Definition 5.29 (1) Let $W_0 := \{\emptyset\}$, where \emptyset is an element called the *empty word*, let $W_n := S^n = \{w_1 \dots w_n \mid w_i \in S \text{ for } i \in \{1, \dots, n\}\}$ for $n \in \mathbb{N}$ and let $W_* := \bigcup_{n \in \mathbb{N} \cup \{0\}} W_n$. For $w \in W_*$, the unique $n \in \mathbb{N} \cup \{0\}$ with $w \in W_n$ is denoted by $|w|$ and called the *length of w* .

(2) We set $\Sigma := S^{\mathbb{N}} = \{\omega_1 \omega_2 \omega_3 \dots \mid \omega_i \in S \text{ for } i \in \mathbb{N}\}$, which is always equipped with the product topology of the discrete topology on S , and define the *shift map* $\sigma: \Sigma \rightarrow \Sigma$ by $\sigma(\omega_1 \omega_2 \omega_3 \dots) := \omega_2 \omega_3 \omega_4 \dots$. For $i \in S$ we define $\sigma_i: \Sigma \rightarrow \Sigma$ by $\sigma_i(\omega_1 \omega_2 \omega_3 \dots) := i \omega_1 \omega_2 \omega_3 \dots$. For $\omega = \omega_1 \omega_2 \omega_3 \dots \in \Sigma$ and $n \in \mathbb{N} \cup \{0\}$, we write $[\omega]_n := \omega_1 \dots \omega_n \in W_n$.

(3) For $w = w_1 \dots w_n \in W_*$, we set $F_w := F_{w_1} \circ \dots \circ F_{w_n}$ ($F_\emptyset := \text{id}_K$), $K_w := F_w(K)$, $\sigma_w := \sigma_{w_1} \circ \dots \circ \sigma_{w_n}$ ($\sigma_\emptyset := \text{id}_\Sigma$) and $\Sigma_w := \sigma_w(\Sigma)$.

(4) Let $w, v \in W_*$, $w = w_1 \dots w_{n_1}$, $v = v_1 \dots v_{n_2}$. We define $wv \in W_*$ by $wv := w_1 \dots w_{n_1} v_1 \dots v_{n_2}$ ($w\emptyset := w$, $\emptyset v := v$). We write $w \leq v$ if and only if $w = v\tau$ for some $\tau \in W_*$.

(5) A finite subset Λ of W_* is called a *partition* of Σ if and only if $\Sigma_w \cap \Sigma_v = \emptyset$ for any $w, v \in \Lambda$ with $w \neq v$ and $\Sigma = \bigcup_{w \in \Lambda} \Sigma_w$.

(6) Let Λ_1, Λ_2 be partitions of Σ . We say that Λ_1 is a *refinement* of Λ_2 , and write $\Lambda_1 \leq \Lambda_2$, if and only if for each $w^1 \in \Lambda_1$ there exists $w^2 \in \Lambda_2$ such that $w^1 \leq w^2$.

Definition 5.30 $\mathcal{L} = (K, S, \{F_i\}_{i \in S})$ is called a *self-similar structure* if and only if there exists a continuous surjective map $\chi: \Sigma \rightarrow K$ such that $F_i \circ \chi = \chi \circ \sigma_i$ for any $i \in S$. Note that such χ , if it exists, is unique and satisfies $\{\chi(\omega)\} = \bigcap_{n \in \mathbb{N}} K_{[\omega]_n}$ for any $\omega \in \Sigma$.

Definition 5.31 Let $\mathcal{L} = (K, S, \{F_i\}_{i \in S})$ be a self-similar structure.

(1) We define the *critical set* $C_{\mathcal{L}}$ and the *post-critical set* $\mathcal{P}_{\mathcal{L}}$ of \mathcal{L} by

$$C_{\mathcal{L}} := \chi^{-1}(\bigcup_{i,j \in S, i \neq j} K_i \cap K_j) \quad \text{and} \quad \mathcal{P}_{\mathcal{L}} := \bigcup_{n \in \mathbb{N}} \sigma^n(C_{\mathcal{L}}).$$

\mathcal{L} is called *post-critically finite, p.-c.f.* for short, if and only if $\mathcal{P}_{\mathcal{L}}$ is a finite set.

(2) We set $V_0 := \chi(\mathcal{P}_{\mathcal{L}})$, $V_n := \bigcup_{w \in W_n} F_w(V_0)$ for $n \in \mathbb{N}$ and $V_* := \bigcup_{n \in \mathbb{N} \cup \{0\}} V_n$.

The set V_0 should be considered as the “*boundary*” of the self-similar set K ; indeed, $K_w \cap K_v = F_w(V_0) \cap F_v(V_0)$ for any $w, v \in W_*$ with $\Sigma_w \cap \Sigma_v = \emptyset$ by [25,

Proposition 1.3.5-(2)]. According to [25, Lemma 1.3.11], $V_{n-1} \subseteq V_n$ for any $n \in \mathbb{N}$, and V_* is dense in K if $V_0 \neq \emptyset$.

Definition 5.32 (Self-similar measure) Let $\mathcal{L} = (K, S, \{F_i\}_{i \in S})$ be a self-similar structure and let $(\theta_i)_{i \in S} \in (0, 1)^S$ satisfy $\sum_{i \in S} \theta_i = 1$. A Borel probability measure m on K is said to be a *self-similar measure on \mathcal{L} with weight $(\theta_i)_{i \in S}$* if and only if the following equality (of Borel measures on K) holds:

$$m = \sum_{i \in S} \theta_i (F_i)_* m. \quad (5.36)$$

Next we introduce the notion of self-similarity for p -energy forms and p -resistance forms.

Definition 5.33 (Self-similar p -energy/ p -resistance form) Let $\mathcal{L} = (K, S, \{F_i\}_{i \in S})$ be a self-similar structure and let m be a Radon measure on K with full topological support. Let $(\rho_{p,s})_{s \in S} \in (0, \infty)^S$ and define $\rho_{p,w} := \rho_{p,w_1} \cdots \rho_{p,w_n}$ for each $w = w_1 \cdots w_n \in W_*$. A p -energy form $(\mathcal{E}_p, \mathcal{F}_p)$ on (K, m) (with $\mathcal{F}_p \subseteq L^p(K, m)$) is called a *self-similar p -energy form on (\mathcal{L}, m) with weight $(\rho_{p,s})_{s \in S}$* if and only if the following hold:

$$\mathcal{F}_p \cap C(K) = \{u \in C(K) \mid u \circ F_s \in \mathcal{F}_p \text{ for any } s \in S\}, \quad (5.37)$$

$$\mathcal{E}_p(u) = \sum_{s \in S} \rho_{p,s} \mathcal{E}_p(u \circ F_s) \quad \text{for any } u \in \mathcal{F}_p \cap C(K). \quad (5.38)$$

If $\mathcal{F}_p \subseteq C(K)$ and $(\mathcal{E}_p, \mathcal{F}_p)$ is a p -resistance form on K satisfying (5.37) and (5.38), then $(\mathcal{E}_p, \mathcal{F}_p)$ is called a *self-similar p -resistance form on \mathcal{L} with weight $(\rho_{p,s})_{s \in S}$* .

We will focus on self-similar structures having *rationaly related contraction ratios* as in [29]. In the next definition, we introduce a good partition parametrized by a rooted tree.

Definition 5.34 ([29, Definition 4.2]) Let $\mathcal{L} = (K, S, \{F_i\}_{i \in S})$ be a self-similar structure, let $r \in (0, 1)$ and let $(j_s)_{s \in S} \in \mathbb{R}^S$. Define

$$j(w) := \sum_{i=1}^n j_{w_i} \quad \text{and} \quad g(w) := r^{j(w)} \quad \text{for } w = w_1 \cdots w_n \in W_n.$$

Define $\tilde{\pi}(w_1 \cdots w_n) := w_1 \cdots w_{n-1}$ for $w = w_1 \cdots w_n \in W_n$ and

$$\Lambda_{r^k}^g := \{w = w_1 \cdots w_n \in W_* \mid g(\tilde{\pi}(w)) > r^k \geq g(w)\}.$$

Set $T_k^{(r)} := \{(k, w) \mid w \in \Lambda_{r^k}^g\}$ and $T^{(r)} := \bigcup_{k \in \mathbb{N} \cup \{0\}} T_k^{(r)}$. Moreover, define $E_{T^{(r)}} \subseteq T^{(r)} \times T^{(r)}$ by

$$E_{T^{(r)}} := \left\{ ((k, v), (k+1, w)) \in T_k^{(r)} \times T_{k+1}^{(r)} \mid k \in \mathbb{N} \cup \{0\}, v = w \text{ or } v = \tilde{\pi}(w) \right\}.$$

We introduce the following assumption in order to construct a self-similar p -energy form on (\mathcal{L}, m) . (Recall that we have fixed $p \in (1, \infty)$.)

Assumption 5.35 Let $\mathcal{L} = (K, S, \{F_i\}_{i \in S})$ be a self-similar structure such that $\#S \geq 2$ and K is connected. There exist $r_* \in (0, 1)$, $(j_s)_{s \in S} \in \mathbb{N}^S$ and a metric d giving the original topology of K with $\text{diam}(K, d) = 1$ such that $(K, d, \{K_w\}_{w \in T(r_*)}, m, p)$ satisfies Assumption 5.19, where $d_f \in (0, \infty)$ is such that $\sum_{s \in S} r_*^{j_s d_f} = 1$ and m is the self-similar measure on K with weight $(r_*^{j_s d_f})_{s \in S}$. (The collection $\{F_i\}_{i \in S}$ is said to have rationally related contraction ratios $(r_*^{j_s})_{s \in S}$.)

Under Assumption 5.35, we have $V_0 \neq \emptyset$ since K is connected and $\#S \geq 2$ (see [25, Proposition 1.3.5-(3)] or [25, Theorem 1.6.2]). Also, we can easily show that m is d_f -Ahlfors regular as stated in the following proposition (see [29, Proposition 4.5]).

Proposition 5.36 Suppose that \mathcal{L} is a self-similar structure and that there exist $r_* \in (0, 1)$, $(j_s)_{s \in S} \in \mathbb{N}^S$ and a metric d giving the original topology of K with $\text{diam}(K, d) = 1$ such that $(K, d, \{K_w\}_{w \in T(r_*)}, m)$ satisfies Assumption 5.6. Let $d_f \in (0, \infty)$ be such that $\sum_{s \in S} r_*^{j_s d_f} = 1$ and let m be the self-similar measure on K with weight $(r_*^{j_s d_f})_{s \in S}$. Then d_f is the Hausdorff dimension of (K, d) and m is d_f -Ahlfors regular with respect to d .

To construct a self-similar p -energy form, we need to take care of the pre-self-similarity condition (see [35, Theorem 8.12]). We can easily verify this condition in the case $\sigma_p > 1$ by modifying [29, Proof of Theorem 4.6]; see [23, Section 8.2] for details.

Proposition 5.37 Suppose that Assumption 5.35 holds and that $\sigma_p > 1$. Then (5.37) with \mathcal{W}^p in place of \mathcal{F}_p holds and there exists $C \in [1, \infty)$ such that for any $n \in \mathbb{N}$ and any $u \in \mathcal{W}^p \subseteq C(K)$,

$$C^{-1} \sum_{w \in W_n} \sigma_p^{j(w)} \mathcal{N}_p(u \circ F_w)^p \leq \mathcal{N}_p(u)^p \leq C \sum_{w \in W_n} \sigma_p^{j(w)} \mathcal{N}_p(u \circ F_w)^p.$$

Now we can present an improvement of [29, Theorem 4.6] in the following formulation.

Theorem 5.38 Suppose that Assumption 5.35 holds, that (5.37) with \mathcal{W}^p in place of \mathcal{F}_p holds, and that there exists $C_0 \in [1, \infty)$ such that for any $n \in \mathbb{N}$ and any $u \in \mathcal{W}^p \cap C(K)$,

$$C_0^{-1} \sum_{w \in W_n} \sigma_p^{j(w)} \mathcal{N}_p(u \circ F_w)^p \leq \mathcal{N}_p(u)^p \leq C_0 \sum_{w \in W_n} \sigma_p^{j(w)} \mathcal{N}_p(u \circ F_w)^p. \quad (5.39)$$

For each $n \in \mathbb{N}$, define $\mathbf{k}^{(n)} = \{k_r^{(n)}\}_{r>0}$ by

$$k_r^{(n)}(x, y) := \frac{1}{n+1} \sum_{l=0}^n \sum_{w \in W_l} r_*^{-j(w) \cdot (d_{w,p} + d_f)} \frac{\mathbf{1}_{A_{w,r}}(x, y)}{r^{d_{w,p} + d_f}}, \quad x, y \in K,$$

where $A_{w,r} := \{(x, y) \in K_w \times K_w \mid d(F_w^{-1}(x), F_w^{-1}(y)) < r\}$. Then $\mathbf{k}^{(n)}$ is asymptotically local, $(\text{WM})_{p, \mathbf{k}^{(n)}}$ holds, $B_{p, \infty}^{\mathbf{k}^{(n)}} = \mathcal{W}^p$, and for any sequence $\{(\mathcal{E}_p^{\mathbf{k}^{(n)}}, \mathcal{W}^p)\}_{n \in \mathbb{N}}$ with $(\mathcal{E}_p^{\mathbf{k}^{(n)}}, \mathcal{W}^p)$ a $\mathbf{k}^{(n)}$ -Korevaar–Schoen p -energy form on (K, m) for each $n \in \mathbb{N}$, there exists a sequence $\{n_j\}_{j \in \mathbb{N}} \subseteq \mathbb{N}$ with $n_j < n_{j+1}$ for any $j \in \mathbb{N}$ such that the following limit exists in $[0, \infty)$ for any $u \in \mathcal{W}^p$:

$$\check{\mathcal{E}}_p^{\text{KS}}(u) := \lim_{j \rightarrow \infty} \mathcal{E}_p^{\mathbf{k}^{(n_j)}}(u). \quad (5.40)$$

Moreover, for any such $\{\mathcal{E}_p^{\mathbf{k}^{(n)}}\}_{n \in \mathbb{N}}$ and $\{n_j\}_{j \in \mathbb{N}}$, the functional $\check{\mathcal{E}}_p^{\text{KS}}: \mathcal{W}^p \rightarrow [0, \infty)$ defined by (5.40) satisfies the following properties:

- (a) $(\check{\mathcal{E}}_p^{\text{KS}}, \mathcal{W}^p)$ is a self-similar p -energy form on (\mathcal{L}, m) with weight $(\sigma_p^{j_s})_{s \in S}$.
- (b) For any $u \in \mathcal{W}^p$,

$$(CC_0)^{-1} \mathcal{N}_p(u)^p \leq \check{\mathcal{E}}_p^{\text{KS}}(u) \leq CC_0 \mathcal{N}_p(u)^p, \quad (5.41)$$

where $C, C_0 \in [1, \infty)$ are the constants in (5.30) and in (5.39) respectively.

- (c) $(\check{\mathcal{E}}_p^{\text{KS}}, \mathcal{W}^p)$ satisfies $(\text{GC})_p$. Furthermore, for any $u, v \in \mathcal{W}^p$, $\{\mathcal{E}_p^{\mathbf{k}^{(n_j)}}(u; v)\}_{j \in \mathbb{N}}$ is convergent in \mathbb{R} and

$$\check{\mathcal{E}}_p^{\text{KS}}(u; v) = \lim_{j \rightarrow \infty} \mathcal{E}_p^{\mathbf{k}^{(n_j)}}(u; v). \quad (5.42)$$

- (d) Theorem 3.8-(c),(d),(e) with $(\check{\mathcal{E}}_p^{\text{KS}}, \mathcal{W}^p)$ in place of $(\mathcal{E}_p^{\mathbf{k}}, B_{p, \infty}^{\mathbf{k}})$ hold.
- (e) For any isometric map $T: (K, d) \rightarrow (K, d)$ preserving m , $u \circ T \in \mathcal{W}^p$ and $\check{\mathcal{E}}_p^{\text{KS}}(u \circ T) = \check{\mathcal{E}}_p^{\text{KS}}(u)$ for any $u \in \mathcal{W}^p$.
- (f) If in addition $\sigma_p > 1$, then $(\check{\mathcal{E}}_p^{\text{KS}}, \mathcal{W}^p)$ is a p -resistance form on K , and there exist $\alpha_0, \alpha_1 \in (0, \infty)$ independent of particular choices of $\{\mathcal{E}_p^{\mathbf{k}^{(n)}}\}_{n \in \mathbb{N}}$ and $\{n_j\}_{j \in \mathbb{N}}$ such that

$$\alpha_0 d(x, y)^{d_{w,p} - d_f} \leq R_{\check{\mathcal{E}}_p^{\text{KS}}}(x, y) \leq \alpha_1 d(x, y)^{d_{w,p} - d_f} \quad \text{for any } x, y \in K. \quad (5.43)$$

Proof. Set $\mathbf{k} = \{k_r\}_{r>0}$ by $k_r(x, y) := r^{-d_{w,p} - d_f} \mathbf{1}_{B_d(x, r)}(y)$. Recall that $B_{p, \infty}^{\mathbf{k}} = \text{KS}^{1,p}$ since $ps_p = d_{w,p}$ and m is d_f -Ahlfors regular (see Example 3.14, Theorem 5.26 and Proposition 5.36). By using (5.2), we can easily see that $\mathbf{k}^{(n)}$ is asymptotically local. Let us show $(\text{WM})_{p, \mathbf{k}^{(n)}}$. Note that for any $r > 0$ and any $u \in L^p(K, m)$, we have

$$J_{p,r}^{\mathbf{k}^{(n)}}(u) = \frac{1}{n+1} \sum_{l=0}^n \sum_{w \in W_l} \sigma_p^{j(w)} J_{p,r}^{\mathbf{k}}(u \circ F_w), \quad (5.44)$$

where we used $(F_w \times F_w)^{-1}(A_{w,r}) = \{(x, y) \in K \times K \mid d(x, y) < r\}$ and $m = r_*^{j(w)d_f}(F_w)_*m$. By combining (5.44), Theorem 5.26 and (5.39), we obtain $(\text{WM})_{p, \mathbf{k}^{(n)}}$. Moreover, for any $n \in \mathbb{N}$ and any $u \in \mathcal{W}^p$,

$$(CC_0)^{-1} \sup_{r>0} J_{p,r}^{k^{(n)}}(u) \leq N_p(u)^p \leq CC_0 \liminf_{r \rightarrow 0} J_{p,r}^{k^{(n)}}(u), \quad (5.45)$$

where $C, C_0 \in [1, \infty)$ are the constants in (5.30) and in (5.39) respectively. In particular, $B_{p,\infty}^{k^{(n)}} = \mathcal{W}^p$ and $\{\mathcal{E}_p^{k^{(n)}}(u)\}_{n \in \mathbb{N}}$ is bounded for each $u \in \mathcal{W}^p$. Since \mathcal{W}^p is separable and $\mathcal{E}_p^{k^{(n)}} \asymp N_p(\cdot)^p$ by (5.45), a standard diagonal argument implies that there exists $\{n_j\}_{j \in \mathbb{N}} \subseteq \mathbb{N}$ with $n_j < n_{j+1}$ such that the limit $\lim_{j \rightarrow \infty} \mathcal{E}_p^{k^{(n_j)}}(u) =: \check{\mathcal{E}}_p^{\text{KS}}(u)$ exists for any $u \in \mathcal{W}^p$. From this definition, (5.45) and Theorem 3.8-(b), we immediately see that (5.41) holds and that $(\check{\mathcal{E}}_p^{\text{KS}}, \mathcal{W}^p)$ satisfies $(\text{GC})_p$.

(a): Since we assume that \mathcal{W}^p satisfies (5.37), it suffices to show the following equality for any $u \in \mathcal{W}^p$:

$$\check{\mathcal{E}}_p^{\text{KS}}(u) = \sum_{s \in S} \sigma_p^{j_s} \check{\mathcal{E}}_p^{\text{KS}}(u \circ F_s). \quad (5.46)$$

From Theorem 3.8 together with a diagonal argument, we can choose a sequence $\{r_l\}_{l \in \mathbb{N}} \subseteq (0, \infty)$ with $\lim_{l \rightarrow \infty} r_l = 0$ such that $\mathcal{E}_p^{k^{(n_j)}}(u) = \lim_{l \rightarrow \infty} J_{p,r_l}^{k^{(n_j)}}(u)$ for any $j \in \mathbb{N}$ and any $u \in \mathcal{W}^p$. Using (5.44), we easily see that for any $(j, l) \in \mathbb{N}^2$ and any $u \in L^p(K, m)$,

$$\begin{aligned} & \sum_{s \in S} \sigma_p^{j_s} J_{p,r_l}^{k^{(n_j)}}(u \circ F_s) + \frac{1}{n_j + 1} J_{p,r_l}^{k^{(n_j)}}(u) \\ &= J_{p,r_l}^{k^{(n_j)}}(u) + \frac{1}{n_j + 1} \sum_{w \in W_{n_j+1}} \sigma_p^{j(w)} J_{p,r_l}^{k^{(n_j)}}(u \circ F_w). \end{aligned}$$

Letting $l \rightarrow \infty$ and $j \rightarrow \infty$, we obtain (5.46) by (5.45) and (5.39).

(c): Similar to the proof of (3.9), by using Proposition 2.4 and the convexity of $t \mapsto \mathcal{E}_p^{k^{(n_j)}}(u + tv)$, we can prove (5.42).

(d): This is clear from Theorem 3.8-(c),(d),(e) for $(\check{\mathcal{E}}_p^{k^{(n)}}, \mathcal{W}^p)$ and (5.42).

(e): If $T: (K, d) \rightarrow (K, d)$ is an isometric map preserving m , then for any $n \in \mathbb{N}$, $k^{(n)}$ is clearly T -invariant, and hence by Theorem 3.8-(f) and $B_{p,\infty}^{k^{(n)}} = \mathcal{W}^p$ we have $u \circ T \in \mathcal{W}^p$ and $\mathcal{E}_p^{k^{(n)}}(u \circ T) = \mathcal{E}_p^{k^{(n)}}(u)$ for any $u \in \mathcal{W}^p$, which together with (5.40) implies that $\check{\mathcal{E}}_p^{\text{KS}}(u \circ T) = \check{\mathcal{E}}_p^{\text{KS}}(u)$ for any $u \in \mathcal{W}^p$.

(f): In the case $\sigma_p > 1$, we easily see that $(\check{\mathcal{E}}_p^{\text{KS}}, \mathcal{W}^p)$ is a p -resistance form on K satisfying (5.43) by combining Proposition 3.13, d_f -Ahlfors regularity of m , $d_{w,p} > d_f$ by $\sigma_p > 1$, Theorem 5.16, Lemma 5.18 and [29, Lemma 3.34]. \square

We collect properties of the p -energy measures associated with $(\check{\mathcal{E}}_p^{\text{KS}}, \mathcal{W}^p)$ in the following theorem. See also [23, Sections 4 and 5] for other basic properties. Let us emphasize that we do not know whether Theorem 5.39-(c) below holds in a more general setting of self-similar p -energy forms like that of [23].

Theorem 5.39 *Suppose the same assumptions as in Theorem 5.38, let $(\mathcal{E}_p^{k^{(n)}}, \mathcal{W}^p)$ be any $k^{(n)}$ -Korevaar–Schoen p -energy form on (K, m) for each $n \in \mathbb{N}$, let*

$\{n_j\}_{j \in \mathbb{N}} \subseteq \mathbb{N}$ be any sequence as in Theorem 5.38, and let $(\check{\mathcal{E}}_p^{\text{KS}}, \mathcal{W}^p)$ be the p -energy form on (K, m) defined by (5.40). Then for any $u \in \mathcal{W}^p \cap C(K)$, there exists a unique positive Radon measure $\check{\Gamma}_p^{\text{KS}}\langle u \rangle$ on K such that

$$\begin{aligned} & \int_K \varphi d\check{\Gamma}_p^{\text{KS}}\langle u \rangle \\ &= \check{\mathcal{E}}_p^{\text{KS}}(u; u\varphi) - \left(\frac{p-1}{p}\right)^{p-1} \check{\mathcal{E}}_p^{\text{KS}}(|u|^{\frac{p}{p-1}}; \varphi) \quad \text{for any } \varphi \in \mathcal{W}^p \cap C(K). \end{aligned} \quad (5.47)$$

Moreover, the following hold:

- (a) For any $u \in \mathcal{W}^p$, there exists a unique positive Radon measure $\check{\Gamma}_p^{\text{KS}}\langle u \rangle$ on K such that for any $\{u_n\}_{n \in \mathbb{N}} \subseteq \mathcal{W}^p \cap C(K)$ with $\lim_{n \rightarrow \infty} \mathcal{N}_p(u - u_n) = 0$ and any Borel measurable function $\varphi: K \rightarrow [0, \infty)$ with $\|\varphi\|_{\text{sup}} < \infty$,

$$\int_K \varphi d\check{\Gamma}_p^{\text{KS}}\langle u \rangle = \lim_{n \rightarrow \infty} \int_K \varphi d\check{\Gamma}_p^{\text{KS}}\langle u_n \rangle, \quad (5.48)$$

and $\check{\Gamma}_p^{\text{KS}}\langle u \rangle$ further satisfies $\check{\Gamma}_p^{\text{KS}}\langle u \rangle(K) = \check{\mathcal{E}}_p^{\text{KS}}(u)$. Moreover, for each such φ , $(\int_K \varphi d\check{\Gamma}_p^{\text{KS}}\langle \cdot \rangle, \mathcal{W}^p)$ is a p -energy form on K satisfying $(\text{GC})_p$.

- (b) Theorem 4.6, with \mathcal{W}^p and $\check{\Gamma}_p^{\text{KS}}$ in place of $\mathcal{D}_{p,\infty}^{k,b}$ and Γ_p^k respectively, holds. In particular, for any $u, v \in \mathcal{W}^p$,

$$\check{\Gamma}_p^{\text{KS}}\langle u; v \rangle(A) := \frac{1}{p} \frac{d}{dt} \check{\Gamma}_p^{\text{KS}}\langle u + tv \rangle(A) \Big|_{t=0}, \quad A \in \mathcal{B}(K), \quad (5.49)$$

defines a signed Borel measure on K such that $\check{\Gamma}_p^{\text{KS}}\langle u; v \rangle(K) = \check{\mathcal{E}}_p^{\text{KS}}(u; v)$ and $\check{\Gamma}_p^{\text{KS}}\langle u; u \rangle = \check{\Gamma}_p^{\text{KS}}\langle u \rangle$. Furthermore, for any $u, v \in \mathcal{W}^p$ and any $\varphi \in C(K)$,

$$\int_K \varphi d\check{\Gamma}_p^{\text{KS}}\langle u; v \rangle = \lim_{j \rightarrow \infty} \int_K \varphi d\Gamma_p^{k^{(n_j)}}\langle u; v \rangle. \quad (5.50)$$

- (c) Theorem 4.8-(a),(b), with \mathcal{W}^p and $\check{\Gamma}_p^{\text{KS}}$ in place of $\mathcal{D}_{p,\infty}^{k,b}$ and Γ_p^k respectively, hold.
- (d) Theorems 4.9, 4.10 and 4.11, with $\mathcal{W}^p \cap C(K)$ and $\check{\Gamma}_p^{\text{KS}}$ in place of $\mathcal{B}_{p,\infty}^k \cap C_b(K)$ and Γ_p^k respectively, hold.

Proof. Fix $\{n_j\}_{j \in \mathbb{N}} \subseteq \mathbb{N}$ so that $\check{\mathcal{E}}_p^{\text{KS}} = \lim_{j \rightarrow \infty} \mathcal{E}_p^{k^{(n_j)}}$. Let $u \in \mathcal{W}^p \cap C(K)$. Letting $j \rightarrow \infty$ in (4.6) with $\mathcal{E}_p^{k^{(n_j)}}$ in place of \mathcal{E}_p^k and using (5.42), we have

$$0 \leq \Psi_p(u; \varphi) := \check{\mathcal{E}}_p^{\text{KS}}(u; u\varphi) - \left(\frac{p-1}{p}\right)^{p-1} \check{\mathcal{E}}_p^{\text{KS}}(|u|^{\frac{p}{p-1}}; \varphi) \leq \|\varphi\|_{\text{sup}} \check{\mathcal{E}}_p^{\text{KS}}(u)$$

for any $\varphi \in \mathcal{W}^p \cap C(K)$ with $\varphi \geq 0$. Since $\mathcal{W}^p \cap C(K)$ is dense in $C(K)$, we can get the desired positive Radon measure $\check{\Gamma}_p^{\text{KS}}\langle u \rangle$ (in the case $u \in \mathcal{W}^p \cap C(K)$) by

using the Riesz–Markov–Kakutani representation theorem as done in the proof of Theorem 4.2. Also, we easily see that

$$\int_K \psi d\check{\Gamma}_p^{\text{KS}} \langle u \rangle = \lim_{j \rightarrow \infty} \int_K \psi d\Gamma_p^{\mathbf{k}^{(n_j)}} \langle u \rangle \quad \text{for any } \psi \in C(K), \quad (5.51)$$

whence $(\int_K \psi d\check{\Gamma}_p^{\text{KS}} \langle \cdot \rangle, \mathcal{W}^p \cap C(K))$ is a p -energy form on (K, m) satisfying $(\text{GC})_p$. Then we can prove (a) by following the same argument as in the proof of Theorem 4.5.

The property (b) except for $\check{\Gamma}_p^{\text{KS}} \langle u; v \rangle(K) = \check{\mathcal{E}}_p^{\text{KS}}(u; v)$ and for (5.50) follow from [23, Theorem 4.5 and Proposition 4.6]. The equality (5.50) can be shown in the same way as the proof of (3.9) by using (5.51), Proposition 2.4 and the convexity of $t \mapsto \int_K \varphi d\check{\Gamma}_p^{\text{KS}} \langle u + tv \rangle$. We have $\check{\Gamma}_p^{\text{KS}} \langle u; v \rangle(K) = \check{\mathcal{E}}_p^{\text{KS}}(u; v)$ from Proposition 4.7, (5.40) and (5.50).

The statement (c) and the chain rule (4.21) with $\check{\Gamma}_p^{\text{KS}}$ in place of $\Gamma_p^{\mathbf{k}}$ are immediate from (5.50) and the corresponding properties of $\Gamma_p^{\mathbf{k}^{(n_j)}}$. Since we can follow the proofs of Theorems 4.10 and 4.11 by using the chain rule of $\check{\Gamma}_p^{\text{KS}}$, we complete the proof of (d). \square

Remark 5.40 There is another way to construct the p -energy measures associated with $(\check{\mathcal{E}}_p^{\text{KS}}, \mathcal{W}^p)$, which is based on the self-similarity (5.46); see [23, Section 5.2] for the details of this construction (see also Proposition 6.12 below). The resulting p -energy measures turn out to satisfy (5.47) and therefore coincide with the ones $\{\check{\Gamma}_p^{\text{KS}} \langle u \rangle\}_{u \in \mathcal{W}^p}$ constructed in Theorem 5.39 (see [23, Proposition 5.11]).

6 p -Resistance forms on p.-c.f. self-similar structures

In this section, we verify $(\text{WM})_{p, \mathbf{k}}$ for a family of kernels \mathbf{k} corresponding to the $(1, p)$ -Korevaar–Schoen–Sobolev space under the assumption of the existence of a good p -resistance form on a post-critically finite self-similar structure. (See [7, Theorem 4.2] or [23, Section 8.3] for the existing construction of self-similar p -resistance forms in this setting.)

6.1 Geometry under the p -resistance metric

We first present the setting of this section. Throughout this section, we presume the following assumption.

Assumption 6.1 Let $p \in (1, \infty)$ and $\mathcal{L} = (K, S, \{F_i\}_{i \in S})$ be a p.-c.f. self-similar structure with $\#S \geq 2$ and K connected. Let $(\mathcal{E}_p, \mathcal{F}_p)$ be a self-similar p -resistance form on \mathcal{L} with weight $(\rho_{p,i})_{i \in S} \in (0, \infty)^S$ such that

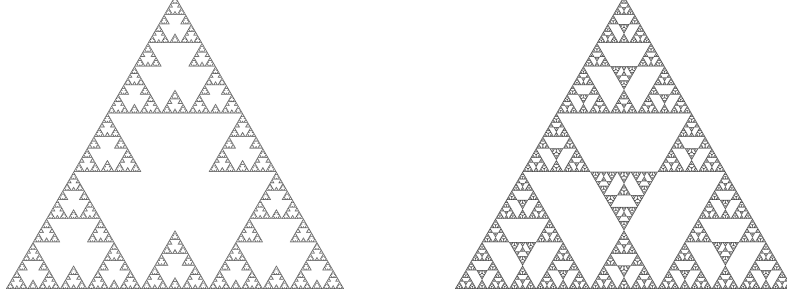


Fig. 1 Some examples of affine nested fractals

$$\min_{i \in S} \rho_{p,i} > 1. \quad (6.1)$$

Let $d_{f,p} \in (0, \infty)$ be such that $\sum_{i \in S} \rho_{p,i}^{-d_{f,p}/(p-1)} = 1$, and let m be the self-similar measure on \mathcal{L} with weight $(\rho_{p,i}^{-d_{f,p}/(p-1)})_{i \in S}$.

Remark 6.2 (1) The condition (6.1) corresponds to the condition (R) in [7, p. 18].
 (2) Assumption 6.1 is equivalent to the existence of a p -eigenform on V_0 with respect to the renormalization operator with weight $(\rho_{p,i})_{i \in S} \in (1, \infty)^S$, i.e., a p -resistance form $\mathcal{E}_p^{(0)}$ on V_0 such that

$$\inf \left\{ \sum_{i \in S} \rho_{p,i} \mathcal{E}_p^{(0)}(v \circ F_i) \mid v \in \mathbb{R}^{V_1}, v|_{V_0} = u \right\} = \mathcal{E}_p^{(0)}(u) \quad \text{for any } u \in \mathbb{R}^{V_0};$$

see [23, Sections 6.2 and 8.3] for a detailed proof of this equivalence. In the case $p = 2$, this is nothing but the existence of a regular harmonic structure on \mathcal{L} as defined in [25, Definition 3.1.2].

- (3) Any self-similar p -resistance form constructed in [29, Theorem 4.6] must satisfy $\rho_{p,i} = \sigma_p^{n_i}$ for some $n_i \in \mathbb{N}$, where σ_p is the constant in (5.9). This restriction excludes the self-similar p -resistance forms with weight $(\rho_{p,i})_{i \in S} \in (1, \infty)^S$ satisfying $(\log \rho_{p,i})/\log \rho_{p,j} \notin \mathbb{Q}$ for some $i, j \in S$, whereas they are covered by [7]; as proved in [23, Appendix B], they do exist abundantly on plenty of typical affine nested fractals.
- (4) It is easy to see that $d_{f,p} \geq 1$ by using (6.1) and (6.3) below.

In this subsection, we will show the Ahlfors regularity of m , the capacity upper bound and the Poincaré inequality in terms of the p -resistance metric of $(\mathcal{E}_p, \mathcal{F}_p)$, which is defined as follows.

Definition 6.3 (p -Resistance metric; [23, Definition 6.29]) We define the p -resistance metric $\widehat{R}_{p, \mathcal{E}_p} : K \times K \rightarrow [0, \infty)$ of $(\mathcal{E}_p, \mathcal{F}_p)$ by

$$\widehat{R}_{p, \mathcal{E}_p}(x, y) := R_{\mathcal{E}_p}(x, y)^{\frac{1}{p-1}}, \quad x, y \in K \quad (6.2)$$

(recall (3.18)). For simplicity, we write $\widehat{R}_p := \widehat{R}_{p, \mathcal{E}_p}$.

We record some properties of $R_{\mathcal{E}_p}$ and \widehat{R}_p .

Proposition 6.4 ([23, Proposition 7.2 and Corollary 6.28])

(1) For any $w \in W_*$ and any $x, y \in K$,

$$R_{\mathcal{E}_p}(F_w(x), F_w(y)) \leq \rho_{p,w}^{-1} R_{\mathcal{E}_p}(x, y). \quad (6.3)$$

(2) \widehat{R}_p is a metric on K giving the original topology of K . In particular, $\overline{V_*^{\widehat{R}_p}} = K$.

(3) For any $u \in \mathcal{F}_p$ and $x, y \in K$,

$$|u(x) - u(y)|^p \leq R_{\mathcal{E}_p}(x, y) \mathcal{E}_p(u).$$

In particular, $\mathcal{F}_p \subseteq C(K)$.

In the next definition, we introduce the *symmetry* on K with respect to $(\mathcal{E}_p, \mathcal{F}_p)$.

Definition 6.5 We define

$$\mathcal{G} := \left\{ T \mid \begin{array}{l} T: K \rightarrow K, T \text{ is a homeomorphism preserving } m, \text{ and} \\ u \circ T, u \circ T^{-1} \in \mathcal{F}_p \text{ and } \mathcal{E}_p(u \circ T) = \mathcal{E}_p(u) \text{ for any } u \in \mathcal{F}_p \end{array} \right\}, \quad (6.4)$$

which forms a subgroup of the group of surjective isometries of (K, \widehat{R}_p) by (3.18) and (6.2).

Let us introduce natural *scales* $\{\Lambda_s\}_{s \in (0,1]}$ with respect to \widehat{R}_p . (See [22, Definitions 6.12 and 6.13] for the case $p = 2$.)

Definition 6.6 (1) We define $\Lambda_1 := \{\emptyset\}$,

$$\Lambda_s := \left\{ w \mid w = w_1 \dots w_n \in W_* \setminus \{\emptyset\}, (\rho_{p, w_1 \dots w_{n-1}})^{-1/(p-1)} > s \geq \rho_{p, w}^{-1/(p-1)} \right\}$$

for each $s \in (0, 1)$. (Note that $\{\Lambda_s\}_{s \in (0,1]}$ is the scale associated with the weight function $g(w) := \rho_{p, w}^{-1/(p-1)}$; see [28, Definition 2.3.1].)

(2) For each $(s, x) \in (0, 1] \times K$, we define $\Lambda_{s,0}(x) := \{w \in \Lambda_s \mid x \in K_w\}$, $U_0(x, s) := \bigcup_{w \in \Lambda_{s,0}(x)} K_w$, $\Lambda_{s,1}(x) := \{w \in \Lambda_s \mid K_w \cap U_0(x, s) \neq \emptyset\}$ and $U_1(x, s) := \bigcup_{w \in \Lambda_{s,1}(x)} K_w$.

Similar to the case $p = 2$ in [22, Section 6.1], it is easy to see that $\lim_{s \downarrow 0} \min\{|w| \mid w \in \Lambda_s\} = \infty$, that Λ_s is a partition of Σ for any $s \in (0, 1]$, and that $\Lambda_{s_1} \leq \Lambda_{s_2}$ for any $s_1, s_2 \in (0, 1]$ with $s_1 \leq s_2$. By [28, Proposition 2.3.7], for any $x \in K$, each of $\{U_0(x, s)\}_{s \in (0,1]}$ and $\{U_1(x, s)\}_{s \in (0,1]}$ is non-decreasing in s and forms a fundamental system of neighborhoods of x in K . Moreover, $\{U_1(x, s)\}_{s \in (0,1]}$ can be used as a replacement for the metric balls $\{B_{\widehat{R}_p}(x, s)\}_{(x,s) \in K \times (0, \text{diam}(K, \widehat{R}_p)]}$ in (K, \widehat{R}_p) by virtue of the following lemma, which was obtained in [22, Lemma 6.14] in the case $p = 2$.

Lemma 6.7 *There exist $\alpha_1, \alpha_2 \in (0, \infty)$ such that for any $(s, x) \in (0, 1] \times K$,*

$$B_{\widehat{R}_p}(x, \alpha_1 s) \subseteq U_1(x, s) \subseteq B_{\widehat{R}_p}(x, \alpha_2 s). \quad (6.5)$$

Proof. By (5.38), we have $\text{diam}(K_w, \widehat{R}_p) \leq \rho_{p,w}^{-1/(p-1)} \text{diam}(K, \widehat{R}_p)$ for any $w \in W_*$, which implies the latter inclusion in (6.5) with $\alpha_2 \in (2 \text{diam}(K, \widehat{R}_p), \infty)$ arbitrary. (In particular, $\text{diam}(K_w, \widehat{R}_p) < \alpha_2 s$ for any $w \in \Lambda_s$.) We will show the former inclusion in (6.5) in the rest of this proof. To this end, it suffices to prove that there exists $\alpha_1 \in (0, \infty)$ such that $\widehat{R}_p(x, y) \geq \alpha_1 s$ for any $s \in (0, 1]$, any $w, v \in \Lambda_s$ with $K_w \cap K_v = \emptyset$ and any $(x, y) \in K_w \times K_v$. Let $\psi_q := h_{V_0}^{\mathcal{E}_p}[\mathbf{1}_q^{V_0}]$ for any $q \in V_0$, where $h_{V_0}^{\mathcal{E}_p}$ denotes the \mathcal{E}_p -harmonic extension operator from V_0 , that is, ψ_q is the unique function in \mathcal{F}_p such that $\psi_q|_{V_0} = \mathbf{1}_q^{V_0}$ and $\mathcal{E}_p(\psi_q) = \min\{\mathcal{E}_p(v) \mid v \in \mathcal{F}_p, v|_{V_0} = \mathbf{1}_q^{V_0}\}$ (see [23, Theorem 6.13]). Fix $w \in \Lambda_s$ and let $u_w \in C(K)$ be such that, for $\tau \in \Lambda_s$,

$$u_w \circ F_\tau = \begin{cases} 1 & \text{if } \tau = w, \\ \sum_{q \in V_0; F_\tau(q) \in F_w(V_0)} \psi_q & \text{if } \tau \neq w \text{ and } K_\tau \cap K_w \neq \emptyset, \\ 0 & \text{if } K_\tau \cap K_w = \emptyset. \end{cases} \quad (6.6)$$

Since Λ_s is a partition of Σ , we have $u_w \in \mathcal{F}_p$ by (5.37), and

$$\begin{aligned} \mathcal{E}_p(u_w) &= \sum_{\tau \in \Lambda_s} \rho_{p,\tau} \mathcal{E}_p(u_w \circ F_\tau) \\ &= \sum_{\tau \in \Lambda_s \setminus \{w\}; K_\tau \cap K_w \neq \emptyset} \rho_{p,\tau} \mathcal{E}_p \left(\sum_{q \in V_0; F_\tau(q) \in F_w(V_0)} \psi_q \right) \end{aligned} \quad (6.7)$$

by (5.38). Set $\bar{\rho}_p := \max_{i \in S} \rho_{p,i} \in (1, \infty)$ and $c_1 := \max_{q \in V_0} \mathcal{E}_p(\psi_q) \in (0, \infty)$. Then $\rho_{p,\tau}^{-1} \geq (\bar{\rho}_p)^{-1} s^{p-1}$ for any $\tau \in \Lambda_s$. Since $\#\{\tau \in \Lambda_s \mid K_\tau \cap K_w \neq \emptyset\} \leq (\#C_{\mathcal{L}})(\#V_0)$ by [25, Lemma 4.2.3], (6.7) together with Hölder's inequality implies that

$$\mathcal{E}_p(u_w) \leq (\#C_{\mathcal{L}})(\#V_0) \bar{\rho}_p s^{-p+1} (\#V_0)^{p-1} c_1 =: (\alpha_1 s)^{-(p-1)}. \quad (6.8)$$

For any $v \in \Lambda_s$ with $K_w \cap K_v = \emptyset$ and any $(x, y) \in K_w \times K_v$, we clearly have $u_w(x) = 1$ and $u_w(y) = 0$. Hence

$$\widehat{R}_p(x, y) \geq \mathcal{E}_p(u)^{-1/(p-1)} \geq \alpha_1 s,$$

which proves the desired result. \square

Now we can show that m is $d_{f,p}$ -Ahlfors regular (see [22, Lemma 6.8] for the case $p = 2$).

Lemma 6.8 *There exist $c_1, c_2 \in (0, \infty)$ such that for any $x \in K$ and any $s \in (0, 2 \text{diam}(K, \widehat{R}_p)]$,*

$$c_1 s^{d_{f,p}} \leq m(B_{\widehat{R}_p}(x, s)) \leq c_2 s^{d_{f,p}}. \quad (6.9)$$

Proof. This is immediate from (6.5), $\#\{\tau \in \Lambda_s \mid K_\tau \cap K_w \neq \emptyset\} \leq (\#C_{\mathcal{L}})(\#V_0)$ (see [25, Lemma 4.2.3]) and $m(K_w) = \rho_{p,w}^{-1/(p-1)}$ (see [25, Corollary 1.4.8]). \square

The proof of Lemma 6.7 includes the following capacity upper bound in terms of the p -resistance metric \widehat{R}_p .

Proposition 6.9 *There exists $C \in (0, \infty)$ such that for any $x \in K$ and any $s \in (0, 2 \operatorname{diam}(K, \widehat{R}_p)]$,*

$$\inf\{\mathcal{E}_p(u) \mid u \in \mathcal{F}_p, u|_{B_{\widehat{R}_p}(x, \alpha_1 s)} = 1, \operatorname{supp}_K[u] \subseteq B_{\widehat{R}_p}(x, 2\alpha_2 s)\} \leq C s^{-(p-1)}, \quad (6.10)$$

where α_1, α_2 are the constants in (6.5).

Proof. Let $u_w \in \mathcal{F}_p$ be the same function as in the proof of Lemma 6.7 for each $w \in \Lambda_s$. Then $\varphi := \max_{w \in \Lambda_{s,1}(x)} u_w$ satisfies $\varphi|_{U_1(x,s)} = 1$. Since $\operatorname{diam}(K_w, \widehat{R}_p) < \alpha_2 s$, we see from (6.5) that $\operatorname{supp}_K[\varphi] \subseteq B_{\widehat{R}_p}(x, 2\alpha_2 s)$. By (2.3) for $(\mathcal{E}_p, \mathcal{F}_p)$, (6.8) and [25, Lemma 4.2.3], we have $\varphi \in \mathcal{F}_p$ and

$$\mathcal{E}_p(\varphi) \leq \sum_{w \in \Lambda_{s,1}(x)} \mathcal{E}_p(u_w) \leq (\alpha_1 s)^{-(p-1)} (\#C_{\mathcal{L}})(\#V_0) =: C s^{-(p-1)}. \quad \square$$

Similar to Lemma 5.20 and Corollary 5.21, we can easily show the next lemma as a consequence of (6.10), and obtain the regularity of \mathcal{F}_p .

Lemma 6.10 *Let $\varepsilon \in (0, 1)$ and let V be a maximal ε -net of (K, \widehat{R}_p) . Then there exists a family of functions $\{\psi_z\}_{z \in V}$ that satisfies the following properties:*

- (i) $\sum_{z \in V} \psi_z \equiv 1$.
- (ii) $\psi_z \in \mathcal{F}_p$, $0 \leq \psi_z \leq 1$, $\psi_z|_{B_{\widehat{R}_p}(z, \varepsilon/4)} \equiv 1$ and $\operatorname{supp}_K[\psi_z] \subseteq B_{\widehat{R}_p}(z, 5\varepsilon/4)$ for any $z \in V$;
- (iii) If $z \in V$ and $z' \in V \setminus \{z\}$, then $\psi_{z'}|_{B_{\widehat{R}_p}(z, \varepsilon/4)} \equiv 0$.
- (iv) There exists $C \in (0, \infty)$ such that $\mathcal{E}_p(\psi_z) \leq C \varepsilon^{-(p-1)}$ for any $z \in V$.

Corollary 6.11 $(\mathcal{E}_p, \mathcal{F}_p)$ is regular, i.e., \mathcal{F}_p is dense in $(C(K), \|\cdot\|_{\sup})$.

Next, in order to state a Poincaré-type inequality in this context, we introduce the associated self-similar p -energy measures in Proposition 6.12 and a localized version of \mathcal{F}_p in Definition 6.13. Thanks to (5.38), we can define the p -energy measures associated with $(\mathcal{E}_p, \mathcal{F}_p)$ by using Kolmogorov's extension theorem. We recall fundamental results on the p -energy measures constructed in this way in the following proposition. See [35, Section 9] and [23, Section 5.2] for further details and properties of them.

Proposition 6.12 (Self-similar p -energy measures) *For each $u \in \mathcal{F}_p$, there exists a unique positive Radon measure $\Gamma_{\mathcal{E}_p}\langle u \rangle$ on K satisfying*

$$\int_K \varphi d\Gamma_{\mathcal{E}_p}\langle u \rangle = \mathcal{E}_p(u; u\varphi) - \left(\frac{p-1}{p}\right)^{p-1} \mathcal{E}_p(|u|^{\frac{p}{p-1}}; \varphi) \quad \text{for any } \varphi \in \mathcal{F}_p. \quad (6.11)$$

Moreover, the following hold:

- (i) $\Gamma_{\mathcal{E}_p} \langle u \rangle (K_w) = \rho_{p,w} \mathcal{E}_p(u \circ F_w)$ for any $u \in \mathcal{F}_p$ and any $w \in W_*$.
- (ii) $\Gamma_{\mathcal{E}_p} \langle \cdot \rangle (A)^{1/p}$ is a seminorm on \mathcal{F}_p for any $A \in \mathcal{B}(K)$.
- (iii) $\Gamma_{\mathcal{E}_p} \langle u \rangle (K_w \cap K_\tau) = 0$ for any $u \in \mathcal{F}_p$ and any $w, \tau \in W_*$ with $\Sigma_w \cap \Sigma_\tau = \emptyset$.
- (iv) $\Gamma_{\mathcal{E}_p} \langle u \rangle (A) = \Gamma_{\mathcal{E}_p} \langle v \rangle (A)$ for any $u, v \in \mathcal{F}_p$ and any $A \in \mathcal{B}(K)$ with $(u-v)|_A \in \mathbb{R}\mathbf{1}_A$.

Proof. For the construction of a candidate for $\Gamma_{\mathcal{E}_p} \langle u \rangle$, see [35, Section 9] or [23, Section 5.2]. Then the properties (ii), (iii) and (iv) follow from [35, Proposition 9.3, Corollaries 9.8 and 9.9] since $\#(K_w \cap K_\tau) < \infty$ by $\#V_0 < \infty$ and [25, Proposition 1.3.5-(2)]. We obtain (i) by combining (iii) and [35, Proposition 9.4]. The equality (6.11) is proved in [23, Proposition 5.11], and the uniqueness of a positive Radon measure on K satisfying (6.11) follows from Corollary 6.11 and the uniqueness part of the Riesz–Markov–Kakutani representation theorem (see, e.g., [36, Theorems 2.14 and 2.18]). \square

Definition 6.13 Let U be a non-empty open subset of K . We define a linear subspace $\mathcal{F}_{p,\text{loc}}(U)$ of $C(U)$ by

$$\mathcal{F}_{p,\text{loc}}(U) := \left\{ f \in C(U) \mid \begin{array}{l} f|_A = f^\#|_A \text{ for some } f^\# \in \mathcal{F} \text{ for each} \\ \text{relatively compact open subset } A \text{ of } U \end{array} \right\}. \quad (6.12)$$

For each $f \in \mathcal{F}_{p,\text{loc}}(U)$, we further define a positive Radon measure $\Gamma_{\mathcal{E}_p} \langle f \rangle$ on U as follows. We first define $\Gamma_{\mathcal{E}_p} \langle f \rangle (E) := \Gamma_{\mathcal{E}_p} \langle f^\# \rangle (E)$ for each relatively compact Borel subset E of U , with $A \subseteq U$ and $f^\# \in \mathcal{F}_p$ as in (6.12) chosen so that $E \subseteq A$; this definition of $\Gamma_{\mathcal{E}_p} \langle f \rangle (E)$ is independent of a particular choice of such A and $f^\#$ by Proposition 6.12-(iv). We then define $\Gamma_{\mathcal{E}_p} \langle f \rangle (E) := \lim_{n \rightarrow \infty} \Gamma_{\mathcal{E}_p} \langle f \rangle (E \cap A_n)$ for each $E \in \mathcal{B}(U)$, where $\{A_n\}_{n \in \mathbb{N}}$ is a non-decreasing sequence of relatively compact open subsets of U such that $\bigcup_{n \in \mathbb{N}} A_n = U$; it is clear that this definition of $\Gamma_{\mathcal{E}_p} \langle f \rangle (E)$ is independent of a particular choice of $\{A_n\}_{n \in \mathbb{N}}$, coincides with the previous one when E is relatively compact in U , and gives a Radon measure on U .

Now we can prove a Poincaré-type inequality in terms of the p -resistance metric.

Proposition 6.14 ((p, p)-Poincaré inequality) *There exist $C, A \in (0, \infty)$ with $A \geq 1$ such that for any $(x, s) \in K \times (0, \text{diam}(K, \tilde{R}_p)]$ and any $u \in \mathcal{F}_{p,\text{loc}}(B_{\tilde{R}_p}(x, As))$,*

$$\int_{B_{\tilde{R}_p}(x,s)} \left| u(y) - u_{B_{\tilde{R}_p}(x,s)} \right|^p m(dy) \leq C s^{d_{\mathbb{F},p} + p - 1} \Gamma_{\mathcal{E}_p} \langle u \rangle (B_{\tilde{R}_p}(x, As)). \quad (6.13)$$

Proof. For simplicity, we consider the case $u \in \mathcal{F}_p$. Note that, since $m(K_v \cap K_{v'}) = 0$ for any $v, v' \in W_*$ with $\Sigma_v \cap \Sigma_{v'} = \emptyset$ (see [25, Corollary 1.4.8]),

$$\int_{B_{\tilde{R}_p}(x, \alpha_1 s)} \left| u - u_{B_{\tilde{R}_p}(x, \alpha_1 s)} \right|^p dm \leq \sum_{w \in \Lambda_{s,1}(x)} \int_{K_w} \left| u - u_{U_1(x,s)} \right|^p dm.$$

Let $w \in \Lambda_{s,1}(x)$. For any $(y, z) \in K_w \times U_1(x, s)$, there exist $v^1, v^2, v^3 \in \Lambda_{s,1}(x)$ such that $v^1 = w, z \in K_{v^3}$ and $K_{v^i} \cap K_{v^{i+1}} \neq \emptyset$ for each $i \in \{1, 2\}$. Let us fix $x_i \in K_{v^i} \cap K_{v^{i+1}}$ and $q_i \in V_0$ so that $x_i = F_{v^i}(q_i)$. Then

$$\begin{aligned} |u(y) - u(z)|^p &\leq 3^{p-1} \left(|u(y) - u(x^1)|^p + |u(x^1) - u(x^2)|^p + |u(x^2) - u(z)|^p \right) \\ &\leq (3 \operatorname{diam}(K, \widehat{R}_p))^{p-1} \sum_{i=1}^3 \rho_{p,v^i}^{-1} \Gamma_{\mathcal{E}_p} \langle u \rangle (K_{v^i}) \\ &\leq C s^{p-1} \Gamma_{\mathcal{E}_p} \langle u \rangle \left(\bigcup_{i=1}^3 K_{v^i} \right) \leq C s^{p-1} \Gamma_{\mathcal{E}_p} \langle u \rangle (B_{\widehat{R}_p}(x, \alpha_2 s)). \end{aligned}$$

Therefore, noting that $m(K_w) \lesssim s^{d_{f,p}}$ by (6.5) and (6.9), we have

$$\begin{aligned} \int_{K_w} |u(y) - u_{U_1(x,s)}|^p m(dy) &\leq \int_{K_w} \int_{U_1(x,s)} |u(y) - u(z)|^p m(dx) m(dy) \\ &\lesssim s^{d_{f,p}+p-1} \Gamma_{\mathcal{E}_p} \langle u \rangle (B_{\widehat{R}_p}(x, \alpha_2 s)), \end{aligned}$$

which together with $\sup_{(x,s) \in K \times (0,1]} \# \Lambda_{s,1}(x) < \infty$ (see [25, Lemma 4.2.3]) yields (6.13). \square

6.2 Estimates on self-similar p -energy measures and weak monotonicity

In this subsection, we show localized energy estimates on Korevaar–Schoen p -energy forms in terms of their associated self-similar p -energy measures and verify $(\text{WM})_{p,k}$. We continue to follow the setting in the previous subsection, i.e., we suppose that Assumption 6.1 holds. We consider \mathcal{E}_p as a $[0, \infty]$ -valued functional defined on $L^p(K, m)$ by setting $\mathcal{E}_p(f) := \infty$ for $f \in L^p(K, m) \setminus \mathcal{F}_p$.

Similar arguments as in Propositions 5.23 and 5.25 yield an upper bound on localized Korevaar–Schoen energy functionals in Proposition 6.15 and a lower bound on them in Proposition 6.16 below.

Proposition 6.15 *There exists $C \in (0, \infty)$ such that for any $E \in \mathcal{B}(K)$, any open neighborhood E' of \overline{E}^K and any $u \in \mathcal{F}_{p,\text{loc}}(E')$,*

$$\limsup_{s \downarrow 0} \int_E \int_{B_{\widehat{R}_p}(x,s)} \frac{|u(x) - u(y)|^p}{s^{d_{f,p}+p-1}} m(dy) m(dx) \leq C \Gamma_{\mathcal{E}_p} \langle u \rangle (\overline{E}^K). \quad (6.14)$$

Moreover, with $C \in (0, \infty)$ the same as in (6.14), for any $f \in L^p(K, m)$,

$$\sup_{s>0} \int_K \int_{B_{\widehat{R}_p}(x,s)} \frac{|f(x) - f(y)|^p}{s^{d_{f,p}+p-1}} m(dy) m(dx) \leq C \mathcal{E}_p(f). \quad (6.15)$$

Proof. Let V be a relatively compact open subset of E' with $V \supseteq \overline{E}^K$ and let $u^\# \in \mathcal{F}_p$ satisfy $u^\# = u$ m -a.e. on V . Similar to [35, (7.2)], by using (6.9) and (6.13), we easily see that for any $s \in (0, \infty)$,

$$\int_E \int_{B_{\widehat{R}_p}(x,s)} \frac{|u^\#(x) - u^\#(y)|^p}{s^{d_{f,p}+p-1}} m(dy)m(dx) \leq C \Gamma_{\mathcal{E}_p} \langle u^\# \rangle ((E)_{\widehat{R}_p, 2As}), \quad (6.16)$$

where $A \in [1, \infty)$ is the constant in (6.13) and $C \in (0, \infty)$ is independent of x, s and f . We get (6.14) by letting $s \downarrow 0$ since $\Gamma_{\mathcal{E}_p} \langle u^\# \rangle ((E)_{\widehat{R}_p, 2As}) = \Gamma_{\mathcal{E}_p} \langle u \rangle ((E)_{\widehat{R}_p, 2As})$ for any $s \in (0, \infty)$ with $(E)_{\widehat{R}_p, 2As} \subseteq V$ by Proposition 6.12-(iv). The estimate (6.15) for $f \in \mathcal{F}_p$ is easily implied by $\Gamma_{\mathcal{E}_p} \langle f \rangle (K) = \mathcal{E}_p(f)$ and (6.16) with $E = K$. For $f \in L^p(K, m) \setminus \mathcal{F}_p$, (6.15) is obvious by $\mathcal{E}_p(f) = \infty$, so the proof is completed. \square

Proposition 6.16 *There exists $C \in (0, \infty)$ such that for any $E \in \mathcal{B}(K)$, any open neighborhood E' of \overline{E}^K and any $u \in \mathcal{F}_{p, \text{loc}}(E')$,*

$$\Gamma_{\mathcal{E}_p} \langle u \rangle (E) \leq C \lim_{\delta \downarrow 0} \liminf_{s \downarrow 0} \int_{(E)_{\widehat{R}_p, \delta}} \int_{B_{\widehat{R}_p}(x,s)} \frac{|u(x) - u(y)|^p}{s^{d_{f,p}+p-1}} m(dy)m(dx). \quad (6.17)$$

Furthermore, with $C \in (0, \infty)$ the same as in (6.17), for any $f \in L^p(K, m)$,

$$\mathcal{E}_p(f) \leq C \liminf_{s \downarrow 0} \int_K \int_{B_{\widehat{R}_p}(x,s)} \frac{|f(x) - f(y)|^p}{s^{d_{f,p}+p-1}} m(dy)m(dx). \quad (6.18)$$

Proof. Let $s \in (0, 1)$ and fix a maximal r -net N_s of (K, \widehat{R}_p) . Let $\{\psi_{z,s}\}_{z \in N_s}$ be a partition of unity as given in Lemma 6.10 and define $A_s: L^p(K, m) \rightarrow \mathcal{F}_p$ by $A_s f := \sum_{z \in N_s} \int_{B_{\widehat{R}_p}(z, s/4)} \psi_{z,s} f$ for $f \in L^p(K, m)$. Then we can easily see that $\lim_{r \rightarrow 0} \|A_r f - f\|_{L^p(K, m)} = 0$ and $\sup_{r > 0} \|A_r\|_{L^p(K, m) \rightarrow L^p(K, m)} < \infty$. Using Proposition 6.12-(iv), we can show that there exists $C_1 > 0$ that is independent of x, s and f such that

$$\begin{aligned} & \Gamma_{\mathcal{E}_p} \langle A_s f \rangle (B_{\widehat{R}_p}(z, 5s/4)) \\ & \leq C_1 \sum_{w \in N_s \cap B_{\widehat{R}_p}(z, 11s/4)} \int_{B_{\widehat{R}_p}(w, 3s)} \int_{B_{\widehat{R}_p}(x, 9s)} \frac{|f(x) - f(y)|^p}{s^{d_{f,p}+p-1}} m(dy)m(dx), \end{aligned} \quad (6.19)$$

for any small enough $s > 0$. Let us fix $\delta > 0$ and define $N_s(E) := \{z \in N_s \mid E \cap B_{\widehat{R}_p}(z, s) \neq \emptyset\}$. Since $\bigcup_{z \in N_s(E)} \bigcup_{w \in N_s \cap B_{\widehat{R}_p}(z, 11s/4)} B_{\widehat{R}_p}(w, 3s) \subseteq (E)_{\widehat{R}_p, \delta}$ for all small enough $s > 0$ and (K, \widehat{R}_p) is metric doubling by Lemma 6.8, we have

$$\begin{aligned} & \Gamma_{\mathcal{E}_p} \langle A_s f \rangle (E) \\ & \leq \sum_{z \in N_s(E)} \Gamma_{\mathcal{E}_p} \langle A_s f \rangle (B_{\widehat{R}_p}(z, 5s/4)) \end{aligned}$$

$$\stackrel{(6.19)}{\leq} C \int_{(E)_{\widehat{R}_p, \delta}} \int_{B_{\widehat{R}_p}(x, 9s)} \frac{|f(x) - f(y)|^p}{s^{d_{f,p}+p-1}} m(dy)m(dx), \quad f \in L^p(K, m), \quad (6.20)$$

where $C \in (0, \infty)$ is independent of x, s and f . Once we get (6.20), the argument in the proof of Proposition 5.25 with minor modifications proves (6.17). Indeed, for $u \in \mathcal{F}_{p, \text{loc}}(E')$, a relatively compact open subset V of E' with $V \supseteq \overline{E}^K$ and $u^\# \in \mathcal{F}_p$ satisfying $u^\# = u$ m -a.e. on V , we have from Proposition 6.12-(iv) that $\Gamma_{\mathcal{E}_p} \langle A_s u^\# \rangle(E) = \Gamma_{\mathcal{E}_p} \langle A_s u \rangle(E)$ if s is sufficiently small. Then similar arguments using Mazur's lemma as in the proof of Proposition 5.25 implies (6.17) and (6.18). \square

Now we can identify \mathcal{F}_p as the $(1, p)$ -Korevaar–Schoen–Sobolev space.

Theorem 6.17 *Let s_p and $\text{KS}^{1,p}(K, \widehat{R}_p, m)$ be as defined in Example 3.14 with \widehat{R}_p in place of d , and define $\mathbf{k} = \{k_r\}_{r>0}$ by*

$$k_r(x, y) := \frac{\mathbf{1}_{B_{\widehat{R}_p}(x, r)}(y)}{r^{d_{f,p}+p-1} m(B_{\widehat{R}_p}(x, r))}, \quad x, y \in K.$$

Then $s_p = (d_{f,p} + p - 1)/p$, $\mathcal{F}_p = \text{KS}^{1,p}(K, \widehat{R}_p, m)$, and $(\text{WM})_{p, \mathbf{k}}$ holds. Moreover, there exists $C \in [1, \infty)$ such that

$$C^{-1} \sup_{r>0} J_{p,r}^{\mathbf{k}}(f) \leq \mathcal{E}_p(f) \leq C \liminf_{r \downarrow 0} J_{p,r}^{\mathbf{k}}(f) \quad \text{for any } f \in L^p(K, m). \quad (6.21)$$

Proof. We have $\mathcal{F}_p = B_{p, \infty}^{(d_{f,p}+p-1)/p}$ and (6.21) by (6.15) and (6.18). In particular, $s_p \geq (d_{f,p} + p - 1)/p$. Let $s > (d_{f,p} + p - 1)/p$ and let $f \in \mathcal{F}_p \setminus \mathbb{R}\mathbf{1}_K$, which exists by (6.10). Let $A_r : L^p(K, m) \rightarrow \mathcal{F}_p$ be the same operator as in the proof of Proposition 6.16 for each $r \in (0, 1)$. Then, by (6.20) with $E = K$, for any $r \in (0, 1)$ and $f \in L^p(K, m)$,

$$\frac{r^{d_{f,p}+p-1}}{r^{sp}} \mathcal{E}_p(A_r f) \leq C \int_K \int_{B_{\widehat{R}_p}(x, 9r)} \frac{|f(x) - f(y)|^p}{r^{sp}} m(dy)m(dx), \quad (6.22)$$

where $C > 0$ is independent of f and r . Clearly, $\sup_{r>0} \mathcal{E}_p(A_r f) > 0$ and $r^{d_{f,p}+p-1-sp} \rightarrow \infty$ as $r \downarrow 0$. Hence we obtain $s \geq s_p$ since $f \notin B_{p, \infty}^s$ by (6.22). This implies that $(d_{f,p} + p - 1)/p \geq s_p$. In particular, we obtain $\mathcal{F}_p = \text{KS}^{1,p}(K, \widehat{R}_p, m)$. Also, $(\text{WM})_{p, \mathbf{k}}$ follows from (6.15) and (6.18). \square

Unfortunately, it is not clear whether Korevaar–Schoen p -energy forms $(\mathcal{E}_p^{\text{KS}}, \mathcal{F}_p)$ on (K, \widehat{R}_p, m) , which exist by Theorems 6.17 and 3.8 (recall Example 3.14), are self-similar or not. However, we can construct a self-similar p -resistance form on \mathcal{L} by the same argument as in the proof of Theorem 5.38. Recall that $\mathcal{F}_p \cap C(K) = \mathcal{F}_p$ is dense both in $(C(K), \|\cdot\|_{\sup})$ and in \mathcal{F}_p by Proposition 6.4-(3) and Corollary 6.11.

Theorem 6.18 For each $n \in \mathbb{N}$, define $\mathbf{k}^{(n)} = \{k_r^{(n)}\}_{r>0}$ by

$$k_r^{(n)}(x, y) := \frac{1}{n+1} \sum_{l=0}^n \sum_{w \in W_l} \rho_{p,w}^{(2d_{f,p}+p-1)/(p-1)} \frac{\mathbf{1}_{A_{w,r}}(x, y)}{r^{2d_{f,p}+p-1}}, \quad x, y \in K,$$

where $A_{w,r} := \{(x, y) \in K_w \times K_w \mid \widehat{R}_p(F_w^{-1}(x), F_w^{-1}(y)) < r\}$. Then $\mathbf{k}^{(n)}$ is asymptotically local, $(\text{WM})_{p,\mathbf{k}^{(n)}}$ holds, $B_{p,\infty}^{\mathbf{k}^{(n)}} = \mathcal{F}_p$, and for any sequence $\{(\mathcal{E}_p^{\mathbf{k}^{(n)}}, \mathcal{F}_p)\}_{n \in \mathbb{N}}$ with $(\mathcal{E}_p^{\mathbf{k}^{(n)}}, \mathcal{F}_p)$ a $\mathbf{k}^{(n)}$ -Korevaar–Schoen p -energy form on (K, m) for each $n \in \mathbb{N}$, there exists a sequence $\{n_j\}_{j \in \mathbb{N}} \subseteq \mathbb{N}$ with $n_j < n_{j+1}$ for any $j \in \mathbb{N}$ such that the following limit exists in $[0, \infty)$ for any $u \in \mathcal{F}_p$:

$$\check{\mathcal{E}}_p^{\text{KS}}(u) := \lim_{j \rightarrow \infty} \mathcal{E}_p^{\mathbf{k}^{(n_j)}}(u). \quad (6.23)$$

Moreover, for any such $\{\mathcal{E}_p^{\mathbf{k}^{(n)}}\}_{n \in \mathbb{N}}$ and $\{n_j\}_{j \in \mathbb{N}}$, the functional $\check{\mathcal{E}}_p^{\text{KS}}: \mathcal{F}_p \rightarrow [0, \infty)$ defined by (6.23) satisfies the following properties:

- (a) $(\check{\mathcal{E}}_p^{\text{KS}}, \mathcal{F}_p)$ is a self-similar p -resistance form on \mathcal{L} with weight $(\rho_{p,i})_{i \in S}$.
- (b) For any $u \in \mathcal{F}_p$,

$$C^{-1} \mathcal{E}_p(u) \leq \check{\mathcal{E}}_p^{\text{KS}}(u) \leq C \mathcal{E}_p(u),$$

where $C \in [1, \infty)$ is the constant in (6.21).

- (c) For any $u, v \in \mathcal{F}_p$, $\{\mathcal{E}_p^{\mathbf{k}^{(n_j)}}(u; v)\}_{j \in \mathbb{N}}$ is convergent in \mathbb{R} and

$$\check{\mathcal{E}}_p^{\text{KS}}(u; v) = \lim_{j \rightarrow \infty} \mathcal{E}_p^{\mathbf{k}^{(n_j)}}(u; v). \quad (6.24)$$

- (d) Theorem 3.8-(c),(d),(e) with $(\check{\mathcal{E}}_p^{\text{KS}}, \mathcal{F}_p)$ in place of $(\mathcal{E}_p^{\mathbf{k}}, B_{p,\infty}^{\mathbf{k}})$ hold.
- (e) $\check{\mathcal{E}}_p^{\text{KS}}(u \circ T) = \check{\mathcal{E}}_p^{\text{KS}}(u)$ for any $u \in \mathcal{F}_p$ and any $T \in \mathcal{G}$ (recall (6.4)).

In addition, we obtain the p -energy measures associated with the p -resistance form $(\check{\mathcal{E}}_p^{\text{KS}}, \mathcal{F}_p)$ in the same way as in Theorem 5.39. (See also [23, Sections 4 and 5] for other basic properties. As mentioned before Theorem 5.39, we do not know whether Theorem 6.19-(c) below holds for general self-similar p -resistance forms.)

Theorem 6.19 Let $(\mathcal{E}_p^{\mathbf{k}^{(n)}}, \mathcal{F}_p)$ be any $\mathbf{k}^{(n)}$ -Korevaar–Schoen p -energy form on (K, m) for each $n \in \mathbb{N}$, let $\{n_j\}_{j \in \mathbb{N}} \subseteq \mathbb{N}$ be any sequence as in Theorem 6.18, and let $(\check{\mathcal{E}}_p^{\text{KS}}, \mathcal{F}_p)$ be the p -resistance form on K defined by (6.23). Then for any $u \in \mathcal{F}_p$, there exists a unique positive Radon measure $\check{\Gamma}_p^{\text{KS}}\langle u \rangle$ on K such that

$$\int_K \varphi d\check{\Gamma}_p^{\text{KS}}\langle u \rangle = \check{\mathcal{E}}_p^{\text{KS}}(u; u\varphi) - \left(\frac{p-1}{p}\right)^{p-1} \check{\mathcal{E}}_p^{\text{KS}}(|u|^{\frac{p}{p-1}}; \varphi) \quad \text{for any } \varphi \in \mathcal{F}_p. \quad (6.25)$$

Moreover, the following hold:

- (a) Let $\varphi: K \rightarrow [0, \infty)$ be a Borel measurable function with $\|\varphi\|_{\sup} < \infty$. Then $(\int_K \varphi d\check{\Gamma}_p^{\text{KS}}\langle \cdot \rangle, \mathcal{F}_p)$ is a p -energy form on (K, m) satisfying $(\text{GC})_p$.

- (b) *Theorem 4.6, with \mathcal{F}_p and $\check{\Gamma}_p^k$ in place of $\mathcal{D}_{p,\infty}^{k,b}$ and Γ_p^k respectively, holds. In particular, for any $u, v \in \mathcal{F}_p$,*

$$\check{\Gamma}_p^{\text{KS}}\langle u; v \rangle(A) := \frac{1}{p} \frac{d}{dt} \check{\Gamma}_p^{\text{KS}}\langle u + tv \rangle(A) \Big|_{t=0}, \quad A \in \mathcal{B}(K), \quad (6.26)$$

defines a signed Borel measure on K such that $\check{\Gamma}_p^{\text{KS}}\langle u; v \rangle(K) = \check{\mathcal{E}}_p^{\text{KS}}(u; v)$ and $\check{\Gamma}_p^{\text{KS}}\langle u; u \rangle = \check{\Gamma}_p^{\text{KS}}\langle u \rangle$. Furthermore, for any $u, v \in \mathcal{F}_p$ and any $\varphi \in C(K)$,

$$\int_K \varphi d\check{\Gamma}_p^{\text{KS}}\langle u; v \rangle = \lim_{j \rightarrow \infty} \int_K \varphi d\Gamma_p^{k(n_j)}\langle u; v \rangle. \quad (6.27)$$

- (c) *Theorem 4.8-(a),(b), with \mathcal{F}_p and $\check{\Gamma}_p^{\text{KS}}$ in place of $\mathcal{D}_{p,\infty}^{k,b}$ and Γ_p^k respectively, hold.*
- (d) *Theorems 4.9, 4.10 and 4.11, with \mathcal{F}_p and $\check{\Gamma}_p^{\text{KS}}$ in place of $B_{p,\infty}^k \cap C_b(K)$ and Γ_p^k respectively, hold.*

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