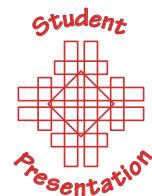


# Persistence of the Conley Index in Combinatorial Dynamical Systems

Tamal K. Dey, Marian Mrozek, and Ryan Slechta



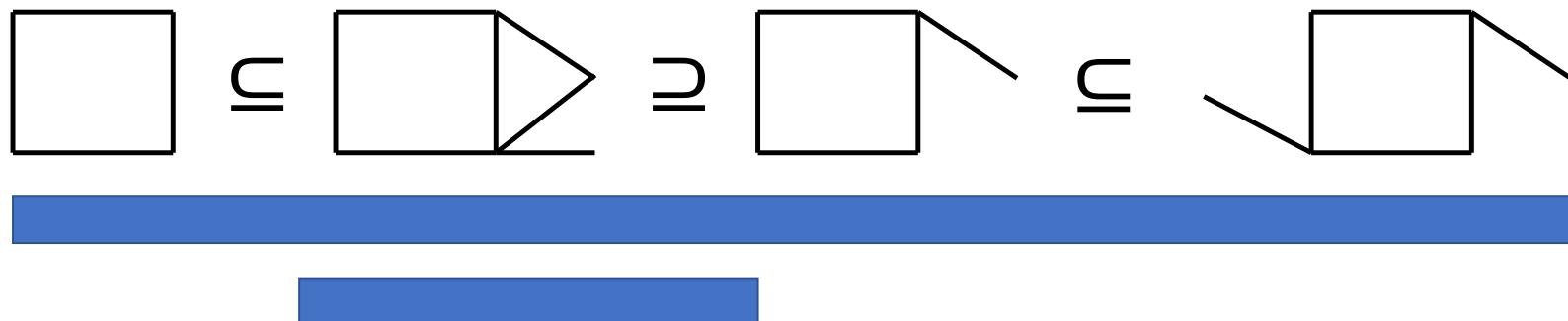
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# Overview

Persistence: capture changing homology of spaces



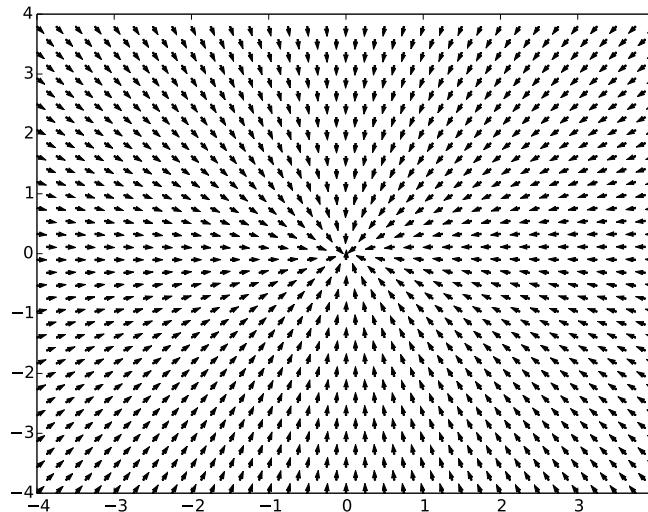
But what about dynamical systems?

# Motivating Example: Hopf Bifurcation

$$x' = -y + x(\lambda - x^2 - y^2)$$

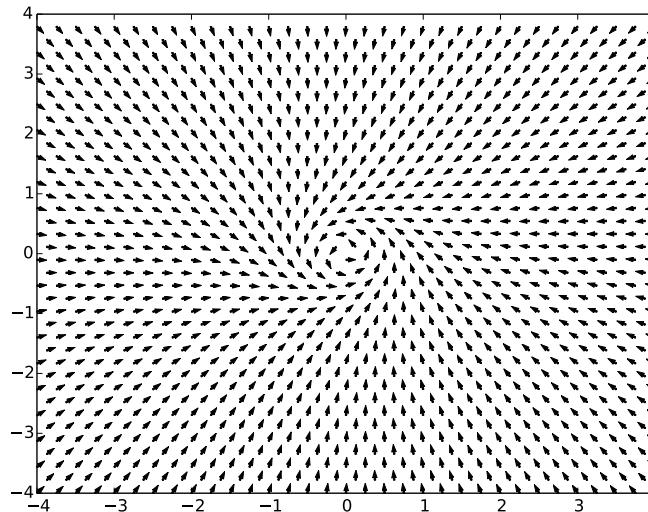
$$y' = x + y(\lambda - x^2 - y^2)$$

# Motivating Example: Hopf Bifurcation



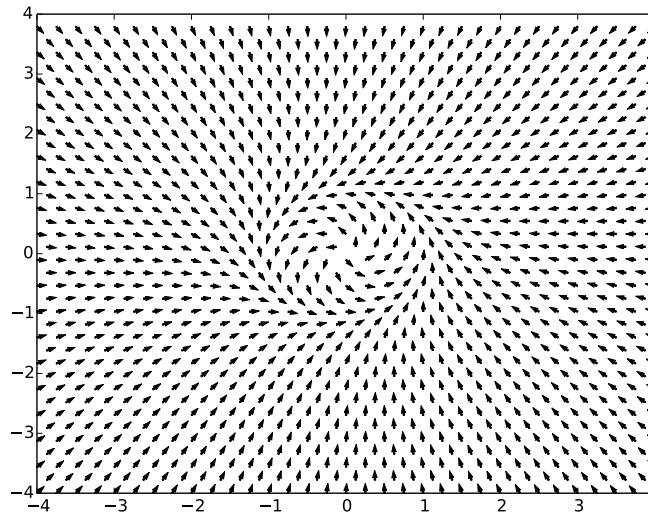
$$\lambda \ll 0$$

# Motivating Example: Hopf Bifurcation



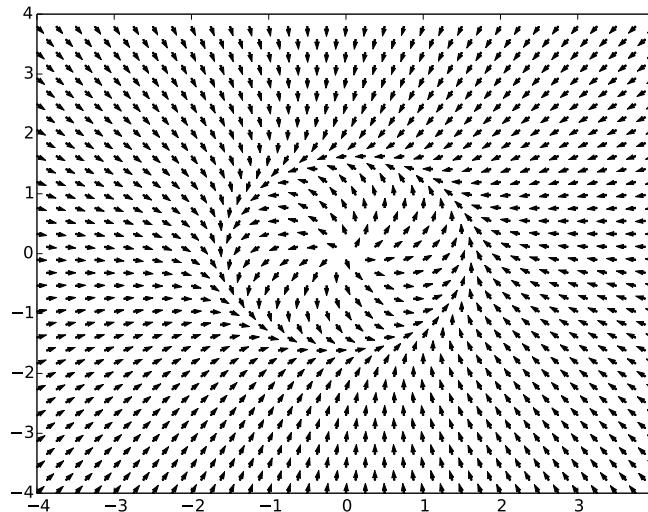
$$\lambda = 0$$

# Motivating Example: Hopf Bifurcation



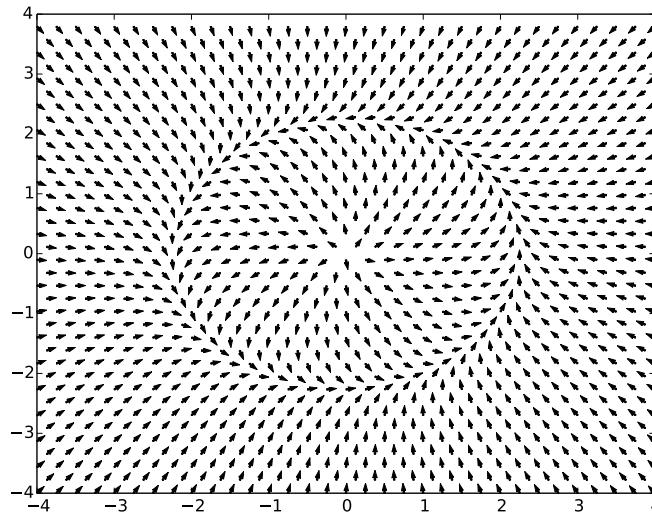
$$\lambda = 1$$

# Motivating Example: Hopf Bifurcation



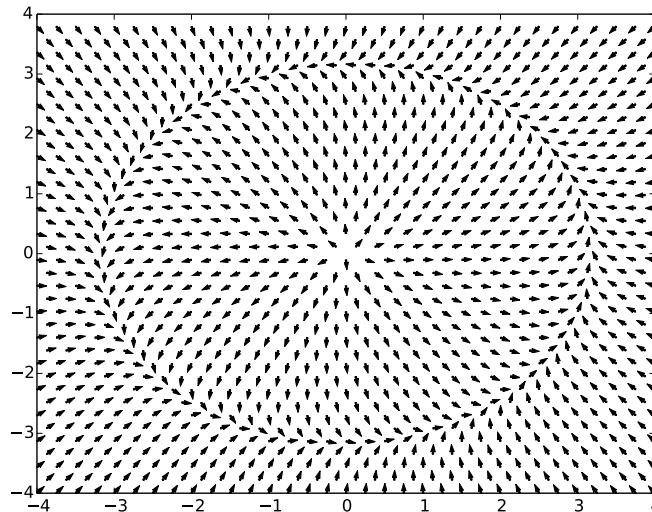
$$\lambda = 2.5$$

# Motivating Example: Hopf Bifurcation



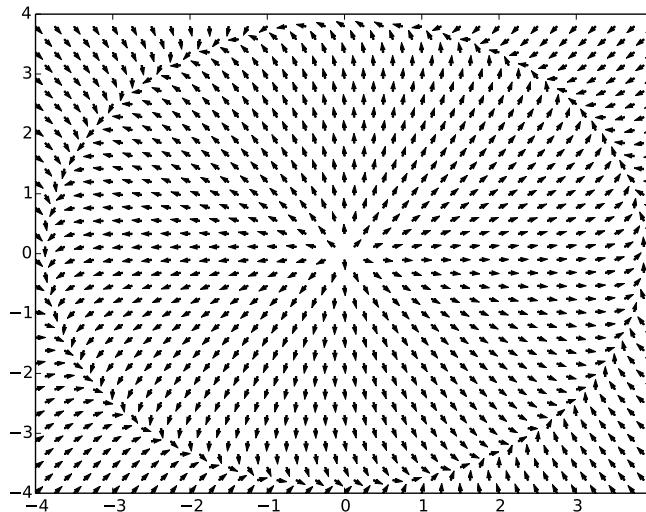
$$\lambda = 5$$

# Motivating Example: Hopf Bifurcation



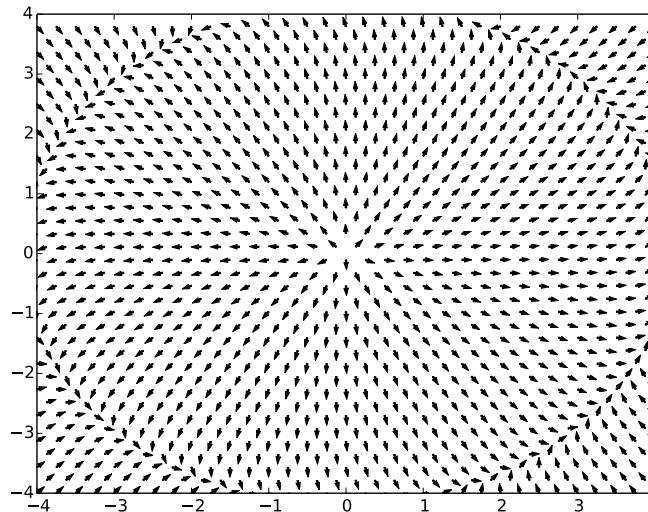
$$\lambda = 10$$

# Motivating Example: Hopf Bifurcation



$$\lambda = 15$$

# Motivating Example: Hopf Bifurcation



$$\lambda = 17.5$$

# Motivating Example: Hopf Bifurcation

Note: attractor from  $\lambda = -\infty$  to  $\lambda = 16$

Can we use persistence to capture this, or a related feature?

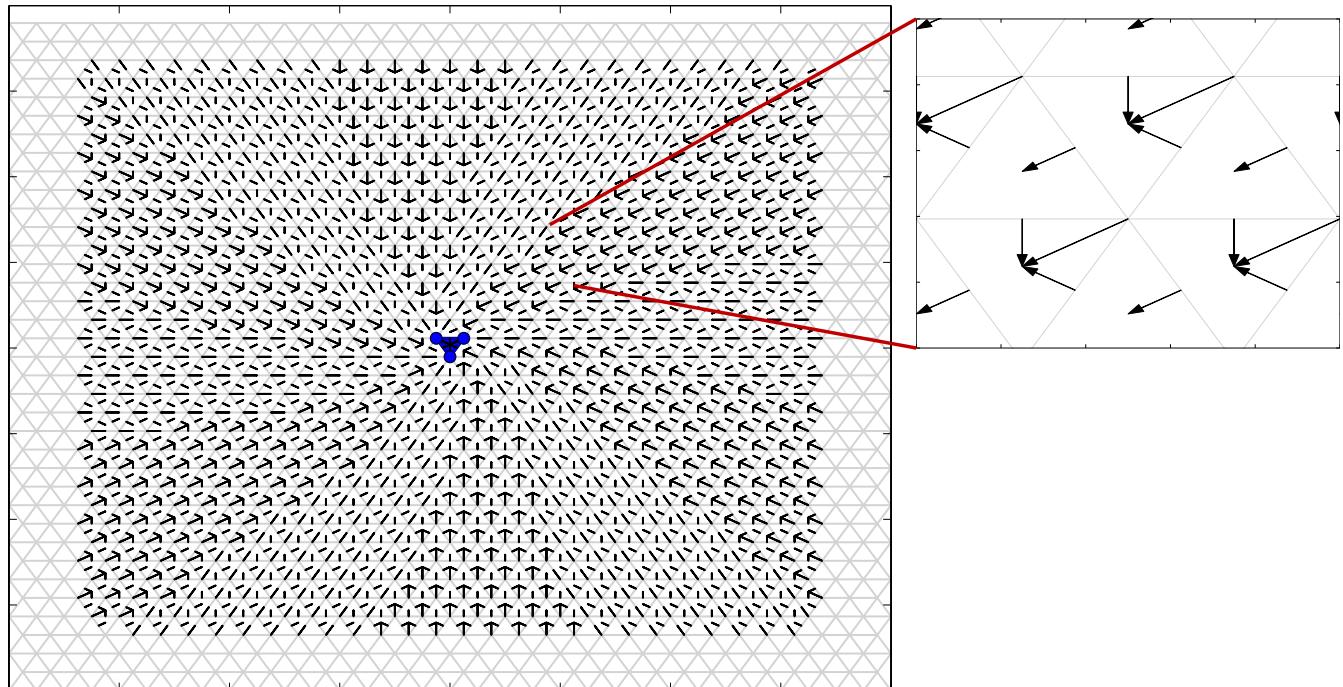
# Motivating Example: Hopf Bifurcation

Note: attractor from  $\lambda = -\infty$  to  $\lambda = 16$

Can we use persistence to capture this, or a related feature?

**Yes**, using the Conley Index

# Motivating Example: Hopf Bifurcation

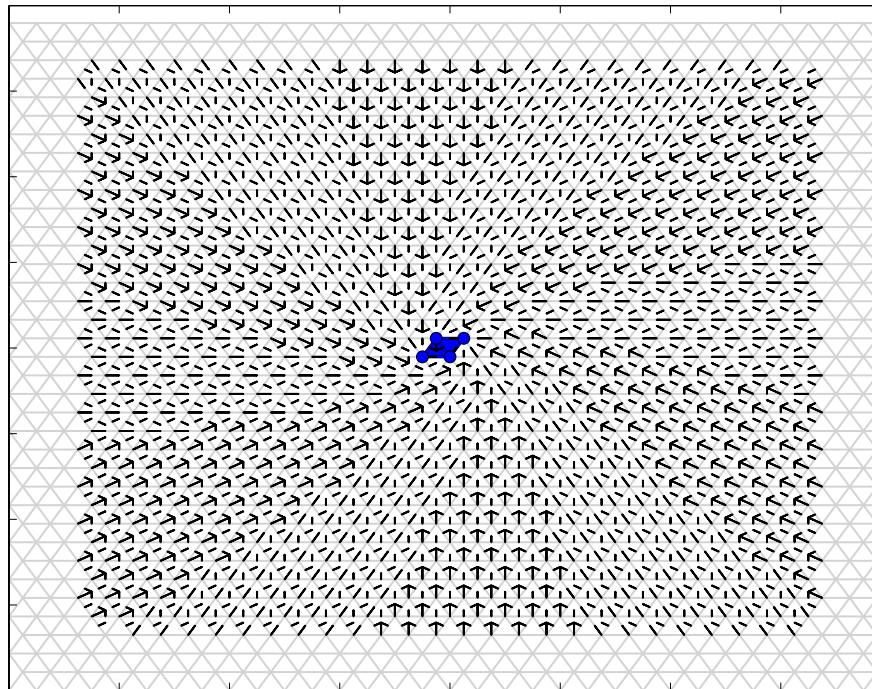


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# Motivating Example: Hopf Bifurcation

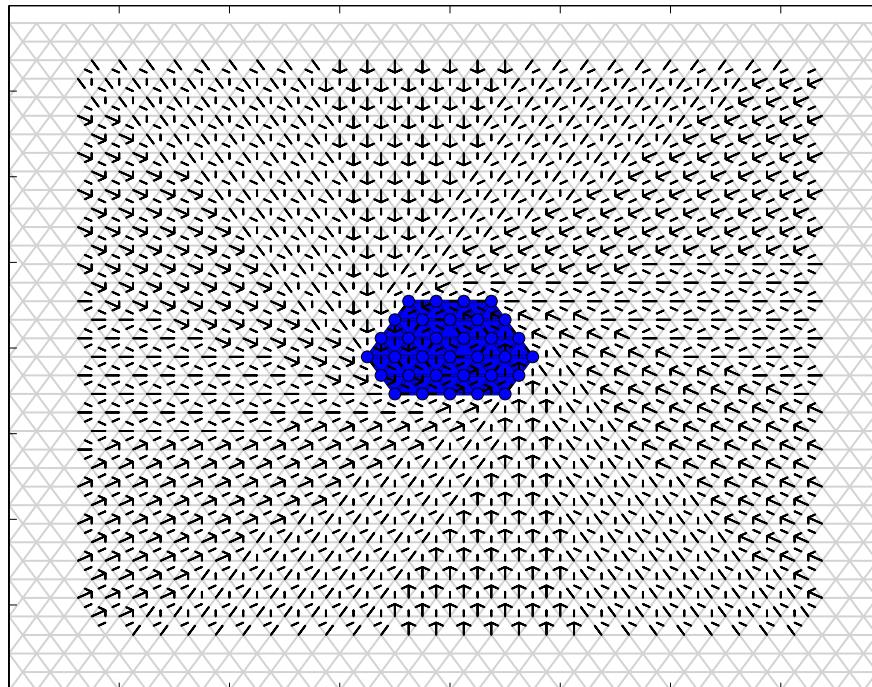


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# Motivating Example: Hopf Bifurcation

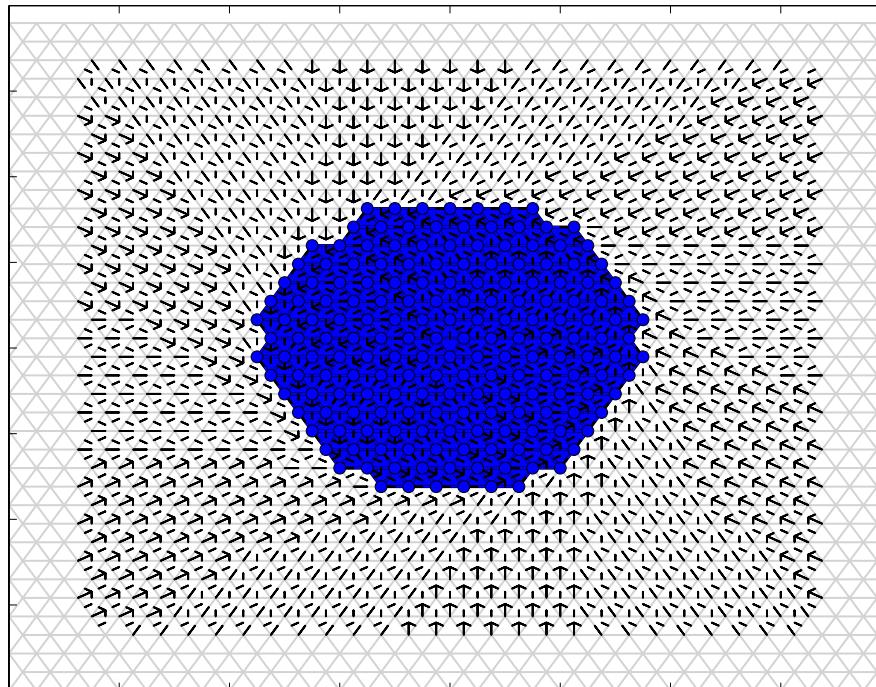


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# Motivating Example: Hopf Bifurcation

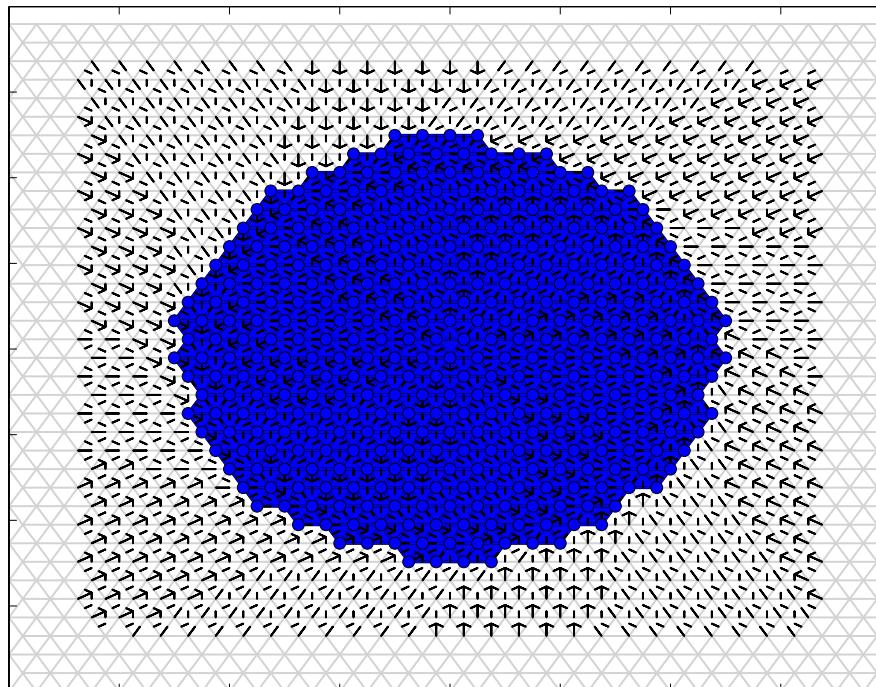


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# Motivating Example: Hopf Bifurcation

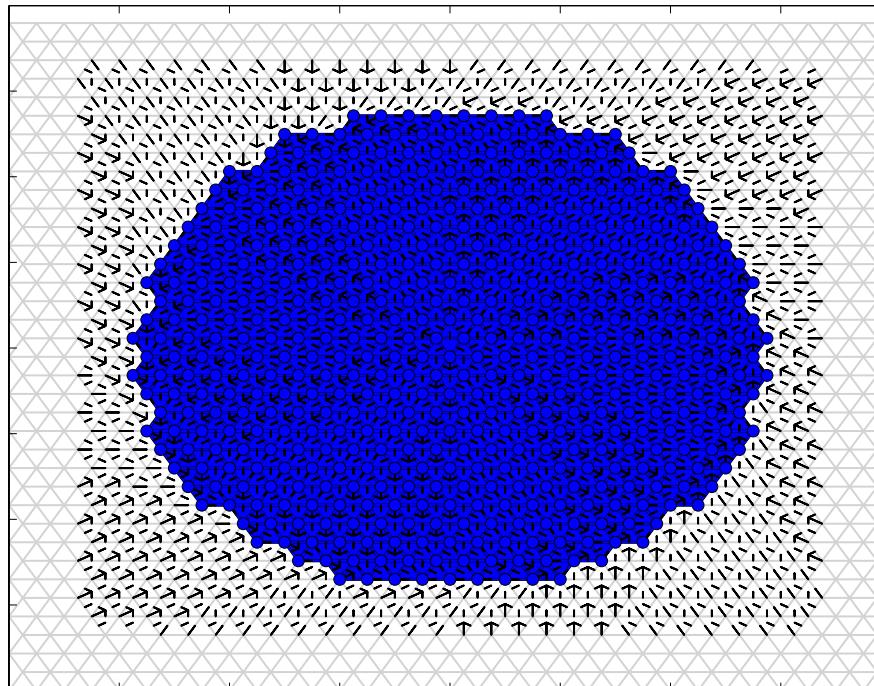


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# Motivating Example: Hopf Bifurcation

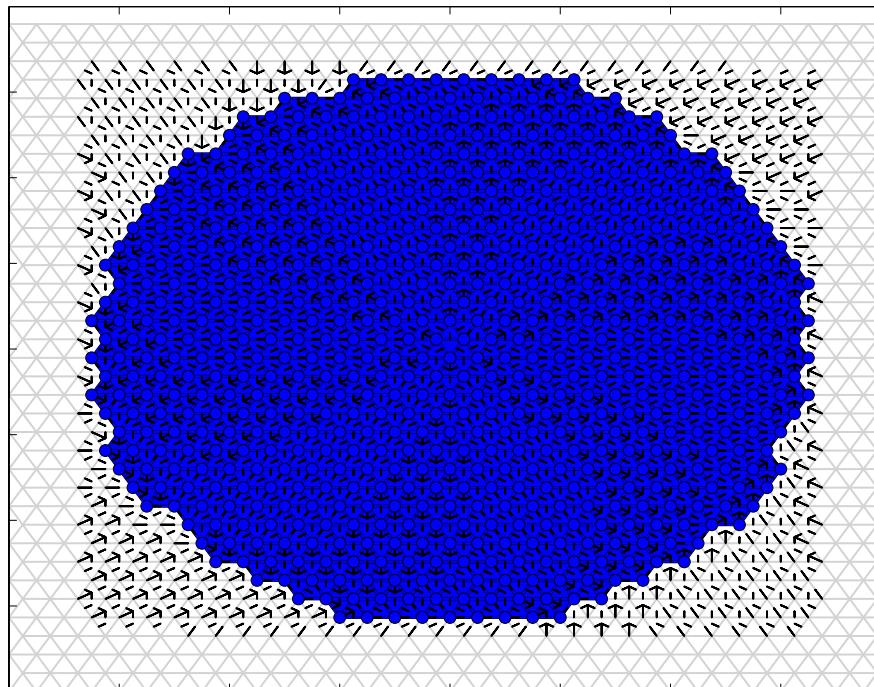


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# Motivating Example: Hopf Bifurcation

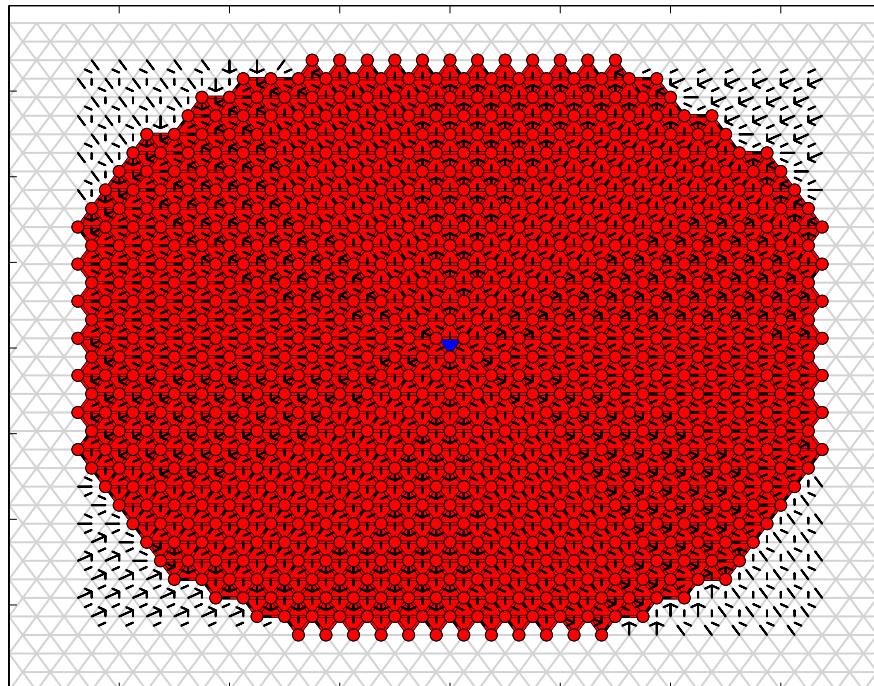


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# Motivating Example: Hopf Bifurcation



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# Motivating Example: Hopf Bifurcation

Have sequence of complexes given by blue and red simplices  $B_1, B_2, B_3, \dots, B_n$  and red simplices:  $R_1, R_2, R_3, \dots, R_n$ . Use them to obtain relative zigzag filtration:

$$\cdots \subseteq (B_i, R_i) \supseteq (B_i \cap B_{i+1}, R_i \cap R_{i+1}) \subseteq (B_{i+1}, R_{i+1}) \supseteq \cdots$$

$$\lambda = 16$$



$$\lambda = \infty$$



$$\lambda = -\infty$$



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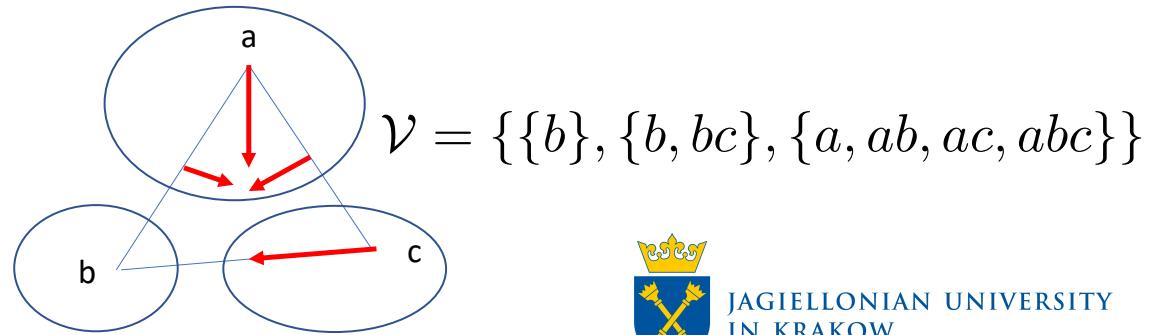
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# Multivectors

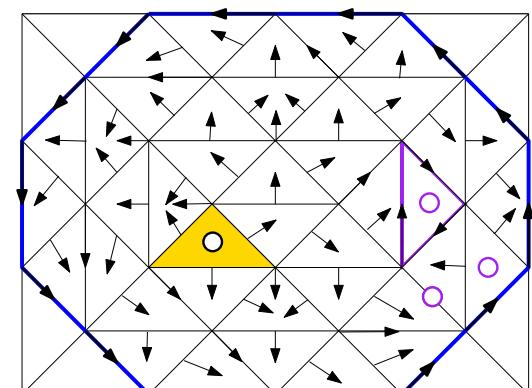
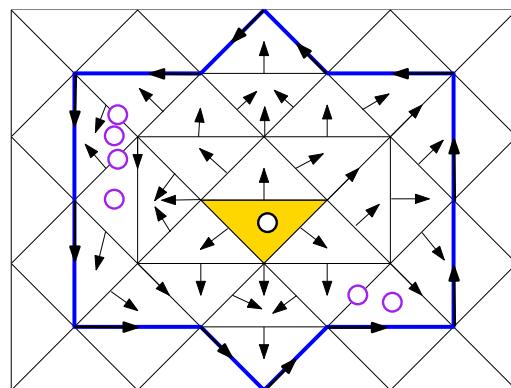
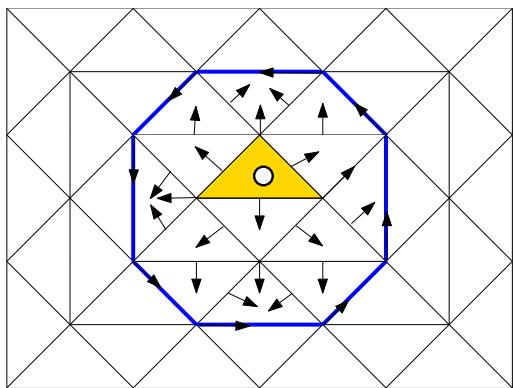
Let  $K$  denote a simplicial complex and  $\leq$  denote the face relation.

**Definition:** A multivector  $V$  is a convex subset of  $K$  with respect to  $\leq$ .

**Definition:** A multivector field  $\mathcal{V}$  is a partition of  $K$  into multivectors.



# Multivector Fields



# Dynamical Systems from Multivector Fields

Let  $\sigma \in K$ . Then  $\text{cl}(\sigma) = \{\tau \in K \mid \tau \leq \sigma\}$ .

$[\sigma]_{\mathcal{V}}$  denotes the vector in  $\mathcal{V}$  containing  $\sigma$

Dynamics generator  $F_{\mathcal{V}} : K \rightarrow K$  defined as:

$$F_{\mathcal{V}}(\sigma) = [\sigma]_{\mathcal{V}} \cup \text{cl}(\sigma)$$

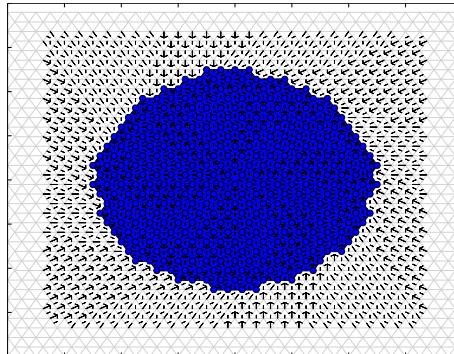
# Dynamical Systems from Multivector Fields

**Definition:** A path is a finite sequence of simplices  $\sigma_1, \sigma_2, \dots, \sigma_n$  such that  $\sigma_{i+1} \in F_{\mathcal{V}}(\sigma_i)$

**Definition:** A solution is a bi-infinite sequence of simplices  $\dots, \sigma_{-1}, \sigma_0, \sigma_1, \sigma_2, \dots$  such that  $\sigma_{i+1} \in F_{\mathcal{V}}(\sigma_i)$

# Invariant Sets

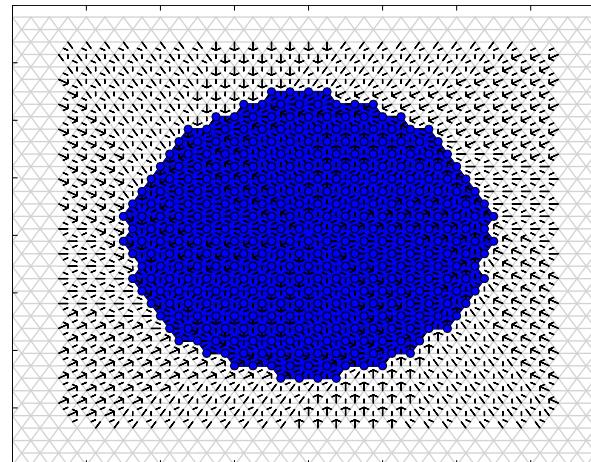
**Definition:** Let  $A \subseteq K$ . The invariant part of  $A$ , denoted  $\text{Inv}(A)$ , is the set of simplices in  $A$  which appear in a solution in  $A$ .



If  $A = \text{Inv}(A)$ , then  $A$  is an invariant set.

# Isolated Invariant Sets

**Definition:** Let  $A \subseteq N \subseteq K$ , where  $A$  is an invariant set and  $N$  is closed (i.e.  $N = \overline{cl}(N)$ ). If every path in  $N$  with endpoints in  $A$  is contained in  $A$ , then  $A$  is an isolated invariant set, and  $N$  is an isolating neighborhood for  $A$ .

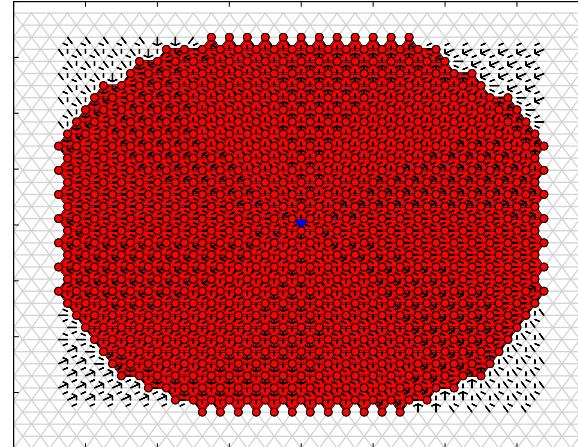


# Index Pairs in an Isolating Neighborhood

Let  $P, E \subseteq N \subseteq K$  for closed  $P, E, N$ , and  $A \subseteq N$ , If:

1.  $F_V(P) \cap N \subseteq P$ ,
2.  $F_V(E) \cap N \subseteq E$ ,
3.  $F_V(P \setminus E) \subseteq N$ , and
4.  $A = \text{Inv}(P \setminus E)$

then  $(P, E)$  is an index pair in  $N$ .



**Theorem:** The push forward in  $N$  of  $(\text{cl}(A), \text{cl}(A) \setminus A)$  is an index pair in  $N$



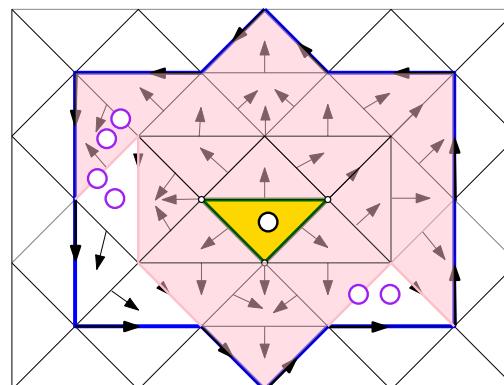
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# Index Pairs in an Isolating Neighborhood

Let  $A \subseteq K$  denote an arbitrary set in some closed  $N$ . Then the push forward of  $A$  in  $N$  is  $A$  together with all simplices in  $N$  which are reachable from paths originating in  $A$  and contained in  $N$ .



# Conley Index

**Definition:** Let  $(P, E)$  be an index pair for  $A$ . Then the k-dimensional Conley Index is given by  $H_k(P, E)$ .

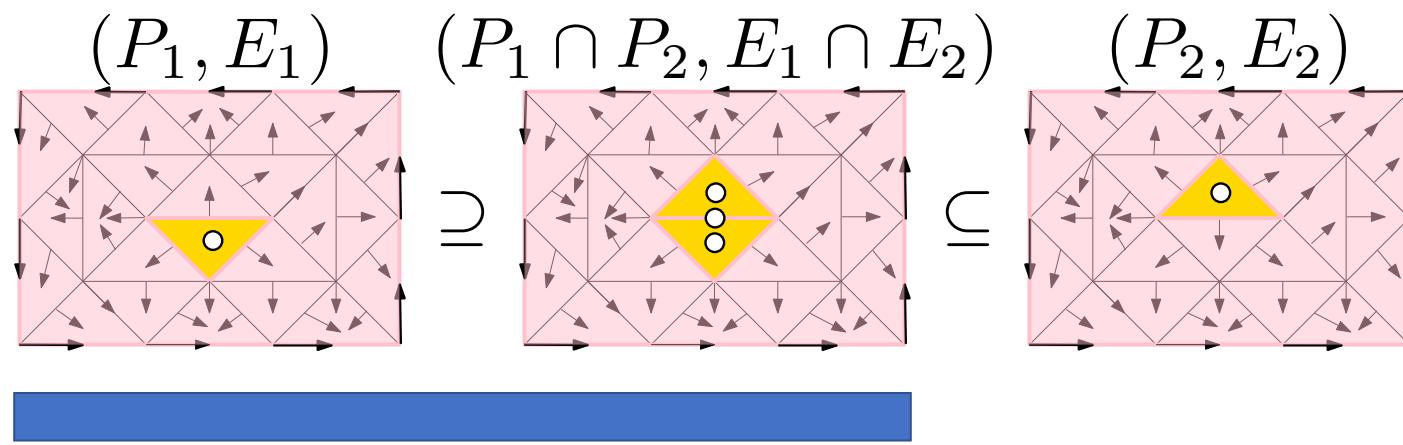
**Theorem [LKMW 2019]:** The k-dimensional Conley Index for  $A$  is well defined.

# Index Pairs in an Isolating Neighborhood

**Theorem:** The intersection of two index pairs in  $N$  is an index pair in  $N$ .

$$\cdots \subseteq (B_i, R_i) \supseteq (B_i \cap B_{i+1}, R_i \cap R_{i+1}) \subseteq (B_{i+1}, R_{i+1}) \supseteq \cdots$$

# Problem: Noise Resilience

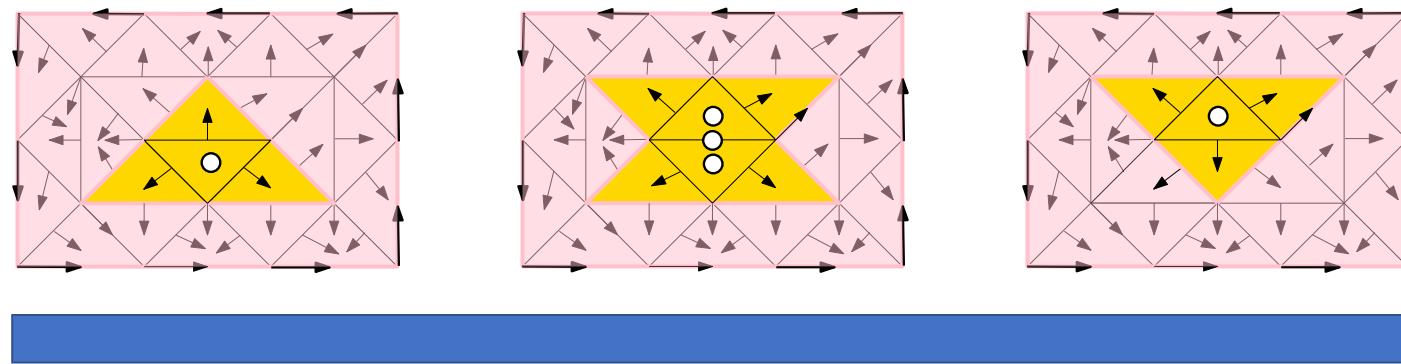


Rectangle: N, P

Pink: P

Gold: P – E

# Solution: Make E Smaller



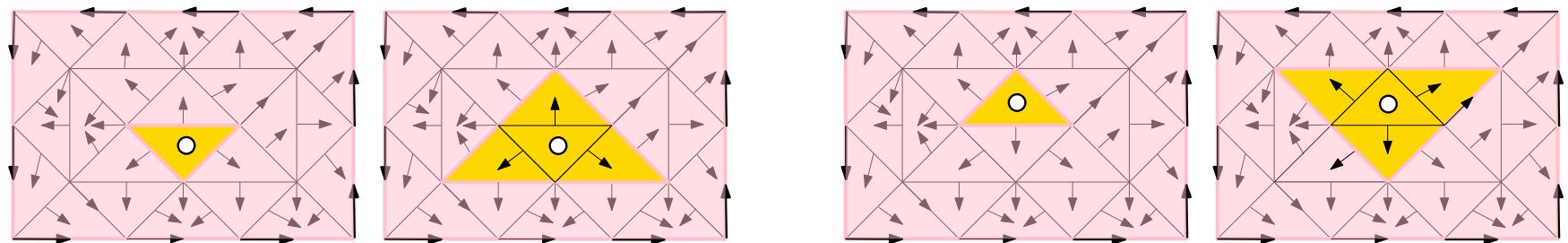
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# Conley Index Persistence

**Theorem:** Let  $(P, E)$  denote an index pair for  $A$  in  $N$ . If  $V \subseteq E$  is a regular multivector such that  $E' := E \setminus V$  is closed, then  $(P, E')$  is an index pair in  $N$  for  $A$ .



# Conclusion & Future Work

- Changing the isolating neighborhood? See paper.
- Stability?
- Inference?