

Formale Semantik

Roland Schäfer

Institut für Germanistische Sprachwissenschaft
Friedrich-Schiller-Universität Jena

stets aktuelle Fassungen: <https://github.com/rsling/VL-Deutsche-Syntax>

1 Inferenz und Bedeutung

2 Referentielle Semantik

- Organization
 - Syllabus
 - Course Structure
 - Our subject
- Linguistic theories
 - Semiotics
 - Generative Grammar
 - Levels of representation
- A referential framework
 - The simple case
 - Complex cases
- Some fundamental semantic notions
 - Entailment
 - Presupposition
 - Ambiguity, Synonymy, Vagueness, ...
- From reference to sense
 - Referential and non-referential NPs
 - A 'reference' for complex terms?
 - Sentences refer to 0 and 1
 - Sense and reference
- We're talking in fragments: F1
 - A syntax
 - The semantics: individuals, sets, functions, T-sentences
 - Bottom-up evaluation

3 Mengen und Funktionen

- Sets and Functions
 - The naive concept
 - Elements, subsets, power sets
 - Union, intersection, etc.
- Functions and Relations
 - Ordered pairs/sets, n-tuples, Cartesian products
 - Relations

- Trees
- C-command
- Model theory
 - Models and valuations
 - Assignment functions
 - Modified assignment functions
- Problems with natural language
 - Restricted quantification
 - Variable binding and scope
 - Pre-spellout movement
 - LF movement
- Quantification in English: F2
 - Movement rules
 - Fragment F2

7 Einfach getypte höherstufige λ -Sprachen

- Preliminaries
 - Different but related semantics
 - Sets and characteristic functions
 - Functional application
- Simply typed languages
 - New names for old categories
 - The syntax of types
 - Higher orders
 - Summed up semantics for a higher-order language
- Lambda languages
 - From set constructor to the functional λ abstractor
 - General syntax/semantics for λ languages
 - A glimpse at quantification in Montague's system

8 Intensionalität

- Intensionality
 - Problems with extensionality and non-dimensional models
 - Intentions
- A formal account of intensions

Inferenz und Bedeutung

Referentielle Semantik

- Chierchia & McConnell-Ginet, *Meaning and Grammar*
- Partee, ter Meulen & Wall, *Mathematical Methods in Linguistics*
- Blackburn, Bos & Striegnitz, *Learn Prolog now!*
- Blackburn & Bos, *Computational Semantics for Natural Language*

- Bucher, *Einführung in die angewandte Logik*
- Sag, Wasow & Bender, *Syntactic Theory*
- Dowty, *Tense, Time Adverbs, and Compositional Semantic Theory*
- Partee, *Noun Phrase Interpretation and Type-shifting Principles*
- Copestake, Flickinger & Sag *Minimal Recursion Semantics*

The three sessions

- Formal Semantics, 90 min. on Wednesday
- PROLOG, 30 min. on Wednesday
- Tutorial, 90 min. on Friday
- Summer course (implementation), 1 week

The first weeks: Preliminaries (subject to changes)

- Session 1 Introduction to Referential Semantics
(CM chap. 1 & 2)
- Session 2 Set theory, ordering theory, statement
logic
(PMW chap. 1 - 6)
- Session 3 Predicate calculi (PMW chap. 7 & 8)

The middle weeks: First steps (subject to changes)

- Session 4 Quantification and model theory
(CM chap. 3)
- Session 5 Quantification in English (CM chap. 3)
- Session 6 Intensionality (CM chap. 5)
- Session 7 Tense, modals, complementizers
(CM chap. 5)
- Session 8 λ (CM chap. 7)

The final weeks: Advanced topics (subject to changes)

Session 9	Word meaning (CM chap. 8)
Session 10	Generalized quantifiers (CM chap. 7)
Session 11	Type shifting (Partee)
Session 12	Underspecified scope (Copestake <i>et al.</i>)
Session 13	Backup session
Session 14	Final test on 2004-07-13

What *meaning* could mean

- The meaning of an expression is the idea conveyed by it.
- ...is the mental image it creates.
- ...is what a speaker wants to achieve by uttering it.
- ...is the set of objects to which it refers (for example in the case of nouns).

What the study of meaning could be

- The study of the intellectual concepts perceivable in the world.
- ...of how the brain processes expressions, relates it to (fields of) cognitive concepts.
- ...of how a discourse of planful and intelligent agents (humans) is structured.
- ...of the correspondences between expressions and objects; and of how expressions are combined to be used productively.

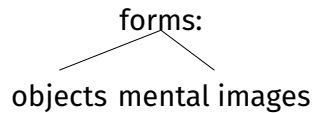
What this class is about

- Which objects do words refer to?
- What makes sentences true?
- How is the informational value of sentences related to their logical structure?
- How can sentences be unambiguously interpreted?

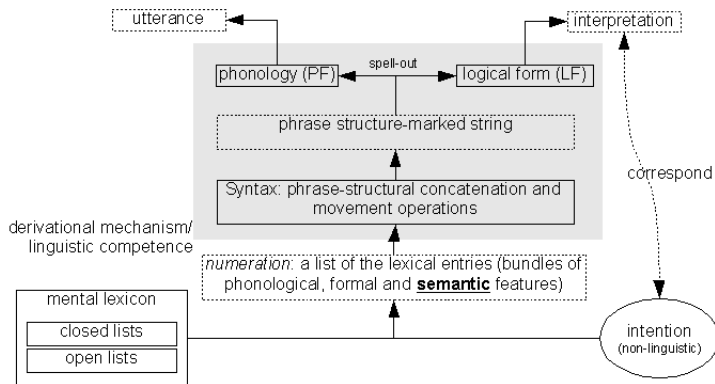
What this class is **not** about

- what words mean,
- how the brain works with sentences,
- the structure of discourse (at least not much).

The theory of signs: a triangle



Semantics in the Chomskian T-model



- No interpretation proper at LF.
- Movement transformations after the sentence has been uttered.
- At the LF level, sentences have a form compatible to their logic.
- Why? Syntax itself is often inadequate to express all alternatives of a sentence's logical representation.

Some properties of language

- aboutness
- referential nature
- informative
- objectiveness (of content)
- But which linguistic elements refer to what?

an individual name \longrightarrow one object in the world

Harald Schmidt



a common noun → lots of objects

soldier



etc.

an adjective → lots of different objects of different kinds

is human



a sentence



a situation. a fact, ...

*A humming bird
is hovering over
a red flower.*



not at all
(object type mismatch)



Frege's Principle: Meaning is compositional

- *A humming bird* \rightarrow one of many individuals
- *is hovering* \rightarrow a property of that individual
- *over* \rightarrow a relation between individuals
- *a red* \rightarrow a property of another individual
- *flower* \rightarrow the other one of many individuals
- *is hovering over a red flower* \rightarrow a complex property.

- Frege's principle is indispensable!
- *Harald Schmidt is human.*
- *Harald Schmidt is human and tall.*
- *Harald Schmidt is human and tall and male.*
- *Harald Schmidt is human and tall and male and not blue.*
- *Harald Schmidt is human and tall and male and not blue and grumpy in the morning...*

- entailment
- presupposition
- ambiguity
- synonymy

- A: *This is electronic.*
- B: *This is a presentation.*
- C follows logically: *This is an electronic presentation.*
- $A, B \vdash C$
- $A \not\vdash C$
- $B \not\vdash C$

Entailment: pure logic, formally

- D : *Harald Schmidt is human.*
- E follows logically: *Something is human.*
- $D \vdash E$
- $D \wedge D$ follows logically: *Harald Schmidt is human and Harald Schmidt is human.*
- $D \vdash D \wedge D$

Tests: X entails Y if...

- When X is true, Y is true.
- A situation described by Y is also described by X.
- The information given by Y is fully contained in the information given by X.
- One cannot say X is true and Y is false.

Entailments?

- *Harald Schmidt is a talkmaster.* → *Harald Schmidt is human.*
- *Harald Schmidt is tall.* → *Someone is tall.*
- *Some humans are tall.* → *Harald Schmidt is tall.*
- *I have listened to Paul Kalkbrenner's new 12" on bpitchcontrol.* → *Paul Kalkbrenner has released a 12" on bpitchcontrol.*
- *After I had a Beck's, I installed RedHat on my PC.* → *I had a Beck's.*
- *After the bootloader had failed to boot RedHat on my PC, I had another Beck's.* → *RedHat has never booted on my PC.*
- *My flatmate likes Beck's.* → *My flatmate hates beer.*
- *Harald Schmidt cancelled his show.* → *Harald Schmidt's show was cancelled.*

Presupposition: the background

- A: *Willy Brandt is the current chancellor of the FRG.*
- B: *If Willy Brandt is the current chancellor of the FRG, why doesn't he do something?*
- C: *Willy Brandt is not the current chancellor of the FRG.*
- A and B presuppose D: *Willy Brandt is alive.*, C doesn't.
- A, B, and C presuppose E: *There is a chancellor of the FRG.*
- Note: $A \vdash D$, $A \vdash E$
- But: $B \not\vdash D$, $B \not\vdash E$, $C \not\vdash E$

Presupposition: two tests

- Presuppositions are triggered by all sorts of sentences (incl. negations, modals, conditionals, etc.).
- Presuppositions can be negated while the sentence which presupposes them remains true. Entailments cannot be negated while keeping the entailing sentence true.

- *She saw the man with a telescope.*
- She [saw the man] with a telescope.
- She saw [the man with a telescope].

- *Everybody loves somebody.*
- Every person loves at least one other person.
(Needn't be the same.)
- There is one person loved by everyone

- Lexical synonymy: *humming bird* $\overset{lex}{\equiv}$ *colibri*
- Compositionally (**equivalence**): *Mulder met his abducted sister after he broke into the secret army base.* \equiv *Before meeting his abducted sister, Mulder broke into the secret army base.*
- $A \equiv B$ iff $A \vdash B$ and $B \vdash A$

Noun-like expressions and complex NPs

- I saw a man.
- I saw the green wobbly thing crawling near.
- I saw it.

- *The dark subatomic particles in the universe* have a total mass much larger than the visible subatomic particles.
- *Problems with referential semantic theories* don't concern *Rumpletweezer*.
- and of course, vagueness (e.g., Sorites Paradox)

- *some guy*
- *not the faintest trace of blood*
- *any axiom of Zermelo-Fraenkel set theory*

We need a logic to explain for effects like:

	my humming bird's favorite flower	is red
⊢	some flower	is red

Some content-synonymous simple expressions

- a: *colibri*
- b: *humming bird*
- c: *a brunette lady*
- d: *a brown-haired dame*
- e: *the primates*
- f: *the apes and humans*
- $a \stackrel{\text{lex}}{=} b, c \stackrel{\text{lex}}{=} d, e \stackrel{\text{lex}}{=} f$

Some content-synonymous complex expressions

- A: *A colibri* is hovering over a red flower.
- B: *A humming bird* is hovering over a red flower.
- C: Lauren Bacall was *a brunette lady*
- D: Lauren Bacall was *a brown-haired dame*
- E: *Primates* are intelligent.
- F: *The apes and humans* are intelligent.
- $A \equiv B, C \equiv D, E \equiv F$

- **Ax1** Two expressions (e.g., NPs, sentences) that are synonymous have the same reference.
- Formally: $A \equiv B$ then $\llbracket A \rrbracket = \llbracket B \rrbracket$
- Note: $\llbracket A \rrbracket$ is applicable to simplex and complex expressions A; it just produces the reference of A.
- **Ax2** If we replace expression B within expression A with the synonymous expression C, then A does not change its reference.
- Formally: If $\llbracket B \rrbracket = \llbracket C \rrbracket$ then $\llbracket [A \ B] \rrbracket = \llbracket [A \ C] \rrbracket$

One common property of sentences: the truth value

- A: *Lauren Bacall was a brunette lady.* (assumed to be true in the actual world)
- B: *My cat sleeps quietly.* (assumed to be true in the actual world)

First conclusion

- $[\tau A]$ = *The truth value of 'Lauren Bacall was a brunette lady' is 1.*
- $[\tau B]$ = *The truth value of 'My cat sleeps quietly' is 1.*
- Such that $A \equiv [\tau A]$ and $B \equiv [\tau B]$.
(Check: Whenever A is true, $[\tau A]$ is true and v.v.)
- So, by Ax1 $\llbracket A \rrbracket = \llbracket [\tau A] \rrbracket$
and $\llbracket B \rrbracket = \llbracket [\tau B] \rrbracket$

Second conclusion

- Check the denotations of the contained NPs:

$$\llbracket \textit{the truth value of A} \rrbracket = \llbracket \textit{the truth value of B} \rrbracket = 1$$

- Such that by Ax2:

$$\llbracket \neg A \rrbracket = \llbracket \neg B \rrbracket$$

- Why? Exchanging the referentially identical NPs ‘the truth value of A’ and ‘the truth value of B’ in the otherwise identical sentences ‘_ is 1’ forces us to conclude by Ax2 that also the whole sentences must have the same reference. Our book (CM) is a bit vague on that point.

$$\llbracket A \rrbracket = \llbracket \neg A \rrbracket = \llbracket \neg B \rrbracket = \llbracket B \rrbracket = 1$$

Sentences denote truth values.

- indirect encoding of 'richer' semantics (One must know the truth conditions of a sentence and the state of affairs to decide about the truth of a sentence.)
- a minimal common semantic property of sentences
- easily computable in a formal system (binary)
- their logic provides a basis for 'richer' semantics (cf. second half of class)

Frege also thought, reference couldn't be all

Type	Reference	Sense
NP	individuals <i>Venus</i>	individual concepts
VP	sets <i>humming birds</i>	property concepts
S	1 or 0 <i>I like cats.</i>	thoughts

- *reference* = *extension* = what we're dealing with first
- *sense* = *intension* = what we will be dealing with later
- *proposition* = the intensions of sentences as informational content: The 'thought that S'.

- How are sentences compositionally built up?
- What do their parts denote?
- How does the denotation of the parts contribute to the whole.
- T-sentences: S of L is true in v iff p .
- S a sentence, L a language, v a state of affairs, p a statement of the truth conditions.

A phrase-structure grammar

- $S \rightarrow N VP$
- $S \rightarrow S \text{ conj } S$
- $S \rightarrow \text{neg } S$
- $VP \rightarrow V_i$
- $VP \rightarrow V_t N$

- $N \rightarrow$ *Herr Webelhuth, Frau Eckardt, the Turm-Mensa*
- $V_i \rightarrow$ *is relaxed, is creative, is stupid*
- $V_t \rightarrow$ *prefers*
- $conj \rightarrow$ *and, or*
- $neg \rightarrow$ *it is not the case that*

- $\llbracket \text{Herr Webelhuth} \rrbracket = \text{Herr Webelhuth}$
- $\llbracket \text{Frau Eckardt} \rrbracket = \text{Frau Eckardt}$
- $\llbracket \text{the Turm-Mensa} \rrbracket = \text{the Turm-Mensa}$
- $\llbracket \text{is relaxed} \rrbracket = \{x: x \text{ is relaxed}\}$
- $\llbracket \text{is creative} \rrbracket = \{x: x \text{ is creative}\}$
- $\llbracket \text{is stupid} \rrbracket = \{x: x \text{ is stupid}\}$
- $\llbracket \text{prefers} \rrbracket = \{\langle x, y \rangle: x \text{ prefers } y\}$

Some words don't really 'denote', they act like functions

- $\llbracket neg \rrbracket = \begin{bmatrix} 1 \rightarrow 0 \\ 0 \rightarrow 1 \end{bmatrix}$
- $\llbracket and \rrbracket = \begin{bmatrix} \langle 1, 1 \rangle \rightarrow 1 \\ \langle 1, 0 \rangle \rightarrow 0 \\ \langle 0, 1 \rangle \rightarrow 0 \\ \langle 0, 0 \rangle \rightarrow 0 \end{bmatrix}$
- $\llbracket or \rrbracket = \begin{bmatrix} \langle 1, 1 \rangle \rightarrow 1 \\ \langle 1, 0 \rangle \rightarrow 1 \\ \langle 0, 1 \rangle \rightarrow 1 \\ \langle 0, 0 \rangle \rightarrow 0 \end{bmatrix}$

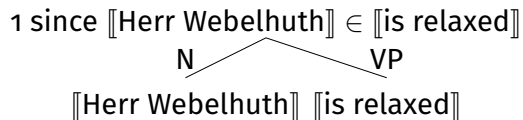
- $\llbracket [{}_S N VP] \rrbracket = 1$ iff $\llbracket N \rrbracket \in \llbracket VP \rrbracket$, else 0
- $\llbracket [{}_S S1 \text{ conj } S2] \rrbracket = \llbracket \text{conj} \rrbracket (\langle \llbracket S1 \rrbracket, \llbracket S2 \rrbracket \rangle)$
- $\llbracket [{}_S \text{ neg } S] \rrbracket = \llbracket \text{neg} \rrbracket (\llbracket S \rrbracket)$
- $\llbracket [{}_{VP} V_t N] \rrbracket = \{x: \langle x, \llbracket N \rrbracket \rangle \in \llbracket V_t \rrbracket\}$
- semantics for non-branching nodes: [pass-up](#)

Herr Webelhuth is relaxed.

- Circumstances (Model): Herr Webelhuth is an element of the set of relaxed individuals.
- (1) The syntax is well-formed by $S \rightarrow N VP$
- (2) for N: $\llbracket \text{Herr Webelhuth} \rrbracket = \text{Herr Webelhuth}$
- (3) for VP: $\llbracket \text{is relaxed} \rrbracket = \{x: x \text{ is relaxed}\}$
- (4) for S: $\llbracket [S N VP] \rrbracket = 1$ iff $\llbracket N \rrbracket \in \llbracket VP \rrbracket$, else 0

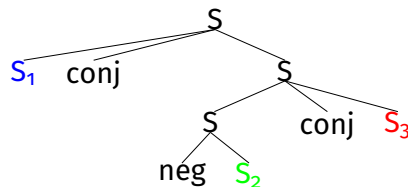
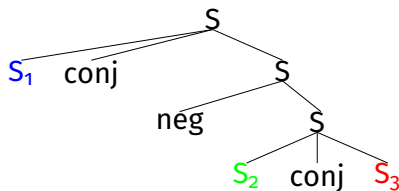
A starting point for our computation

The tree:



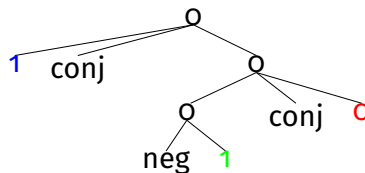
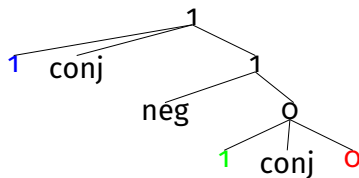
We compute syntactic representations, not flat sentences

(s_1 Frau Eckardt is creative) and it is not the case that (s_2 Herr Webehlhuth is relaxed)
and (s_3 Frau Eckardt prefers the Turm-Mensa).



A starting point for our computation

Circumstances: Herr Webelhuth is relaxed, Frau Eckardt is creative, and Frau Eckardt does not prefer the Turm-Mensa:



Mengen und Funktionen

What is a set?

- a freely defined unordered collection of discrete objects
 - ▶ numbers,
 - ▶ people,
 - ▶ pairs of shoes,
 - ▶ words, ...
- not necessarily for any purpose
- no object occurs more than once

Set definition and elements: \in

- $M_1 = \{a, b, c\}$
- $N_1 = \{\text{'my book'}\}$
vs. $N_2 = \{\text{my book}\}$
vs. $N_3 = \{\text{'my'}, \text{'book'}\}$
- ill-formed: $N_4 = \{\text{'my'}, \text{book}\}$
- defined by a property of its members:
 $M_2 = \{x : x \text{ is one of the first three letters of the alphabet}\}$
- alternatively:
 $M_2 = \{x \mid x \text{ is one of the first three letters of the alphabet}\}$
- U : the universal set (contains every discrete object)

Equality: =

- Two sets with contain exactly the same members are *equal*.
- independent of definition:
 $\{a,b,c\} =$
 $\{x:x \text{ is one of the first three letters of the alphabet}\}$
- $\{x:x \text{ is human}\} = \{x:x \text{ is from the planet earth and } x \text{ can speak}\}$

Subsets: \subseteq

- A set N which holds no member which is not in M is a *subset* of M : $N \subseteq M$
- $\{a\} \subseteq \{a, b, c\}$
- the inverse: the *superset*

Proper subsets: \subset

- A set N which holds no member which is not in M and which is not equal to M is a *proper subset* of M : $N \subset M$
- So, actually: $\{a\} \subset \{a, b, c\}$ and $\{a, b, c\} \subseteq \{a, b, c\}$. Note that:
- $M \subseteq M$ but $M \not\subset M$
- $\{\{a\}\} \not\subset \{a, b, c\}$
- $\{\} \subset \{a, b, c\}$ (or any set), $\{\}$ is sometimes written \emptyset

- *All professors of English Linguistics are human.
Herr Webelhuth is a professor of English Linguistics.*
- w = Herr Webelhuth
E = the set of professors of English Linguistics
H = the set of human beings
- $w \in E \ \& \ E \subset H \Rightarrow w \in H$

- But: *Professors of English Linguistics are numerous.*
- N = the set of sets with numerous members
- $w \in E \ \& \ E \in N \not\Rightarrow w \in P$
- Hence: *Herr Webelhuth is numerous.

- For any set M : $\wp(M) = \{X \mid X \subseteq M\}$
- for $M = \{a, b, c\}$:
 $\wp(M) = \{\emptyset, \{a\}, \{b\}, \{c\}, \{a, b\}, \{a, b, c\}, \{b, c\}\}$
- Why is the empty set in the power set of every set ...
- ...and why is the empty set a proper subset of every set?

Union \cup and intersection \cap

- For any sets M and N : $M \cup N = \{x \mid x \in M \text{ **or** } x \in N\}$
- if $M = \{a, b, c\}$ and $N = \{a, b, d\}$ then $M \cup N = \{a, b, c, d\}$
- For any sets M and N : $M \cap N = \{x \mid x \in M \text{ **and** } x \in N\}$
- if $M = \{a, b, c\}$ and $N = \{a, b\}$ then $M \cap N = \{a, b\}$
- as a general principle (Consistency): $M \subseteq N$ iff $M \cup N = N$ and $M \subseteq N$ iff $M \cap N = M$

Generalized union \bigcup and intersection \bigcap

- $\bigcup M = \{x \mid x \in Y \text{ for some } Y \in M\}$
- (a) if $M = \{\{a\}, \{a, b\}, \{a, b, c\}\}$ then $\bigcup M = \{a, b, c\}$
- (b) $M_1 = \{a\}, M_2 = \{a, b\}, M_3 = \{a, b, c\}, I = \{1, 2, 3\}; \bigcup_{i \in I} M_i = \{a, b, c\}$
- $\bigcap M = \{x \mid x \in Y \text{ for every } Y \in M\}$
- (a) if $M = \{\{a\}, \{a, b\}, \{a, b, c\}\}$ then $\bigcap M = \{a\}$
- (b) $M_1 = \{a\}, M_2 = \{a, b\}, M_3 = \{a, b, c\}, I = \{1, 2, 3\}; \bigcap_{i \in I} M_i = \{a\}$

Difference - and complement \ and '

- For any two sets M and N : $M - N = \{x \mid x \in M \text{ and } x \notin N\}$
- $M = \{a, b, c\}$, $N = \{a\}$, $M - N = \{b, c\}$
- For any two sets M and N : $M \setminus N = \{x \mid x \in N \text{ and } x \notin M\}$
- $O = \{a, b, c, k\}$ $M \setminus O = \{k\}$
- the universal complement: $M' = \{x \mid x \in U \text{ and } x \notin M\}$
(U the universal set)

- Idempotency: $M \cup M = M, M \cap M = M$
- Commutativity for \cup and \cap : $M \cup N = N \cup M \dots$
- Associativity for \cup and \cap : $(M \cup N) \cup O = M \cup (N \cup O) \dots$
- Distributivity for \cup and \cap : $M \cup (N \cap O) = (M \cup N) \cap (M \cup O) \dots$
- Identity: $M \cup \emptyset = X, M \cup U = U \dots$ what about \cap

More interesting equalities

- Complement laws: $M \cup \emptyset = M$, $M'' = M$, $M \cap M' = \emptyset$, $X \cap U = U$
- DeMorgan: $(M \cup N)' = M' \cap X'$...

How to define an ordered pair

- ...without introducing ordered tuples as a new primitive
- take $S = \{\{a\}, \{a, b\}\}$
- we write: $\langle a, b \rangle = \{\{a\}, \{a, b\}\}$
- ordered n-tuples defined recursively
- $\langle a, b \rangle \neq \langle b, a \rangle$
- first and second coordinate of the tuple

- sets of ordered pairs
- tupling each member of the first argument with each of the second
- $S_1 \times S_2 = \{\langle x, y \rangle \mid x \in S_1 \text{ and } y \in S_2\}$
- for an arbitrary number of sets: $S_1 \times \cdots \times S_n = \{\langle x_1, x_2, \dots, x_n \rangle \mid x_i \in S_i\}$
- $\langle x_1, x_2, \dots, x_n \rangle$ abbreviated \vec{x}
- for $S \times S \times \cdots$: n-fold products
 $S^n = \{\vec{s} \mid s_i \in S \text{ for } 1 \leq i \leq n\}$

Defintion of relations

- hold between (sets of) objects
- *x kicks y, x lives on the same floor as y, ...*
- formalization: Rab , aRb
- $a \in A$ and $b \in B$: $R \subseteq A \times B$,
R is from A (**domain**) to B (**range**)
- R from A to A is **in** A

- complement $R' = \{\langle a, b \rangle \notin R\}$ for $R \subseteq A \times B$
 - ▶ R = the relation of teacherhood between a and b (the **arguments**)
 - ▶ R' = all pairs $\langle b, a \rangle$ s.t. it is false that the first member is the teacher of the second member
- inverse: $R^{-1} = \{\langle b, a \rangle \mid \langle a, b \rangle \in R\}$ for $R \subseteq A \times B$
 - ▶ R = the relation of teacherhood between a and b :
Herr Webelhuth is the teacher of Herr Schäfer.
 - ▶ R^{-1} = all pairs $\langle b, a \rangle$ where a is the teacher of b :
Herr Schäfer is the inverse-teacher of Herr Webelhuth.

- A function F from A to B is a relation s.t. for every $a \in A$ there is exactly one tuple $\langle a, b \rangle \in A \times B$ s.t. a is the first coordinate.
- partial function from A to B : for some $a \in A$ there is no tuple $\langle a, b \rangle \in A \times B$, F is not *defined* for some a

Injection, surjection, bijection

- B the range of F, F is **into** B
- F from A to B is **onto (a surjection)** B iff there is no $b_i \in B$ s.t. there is no $\langle a, b_i \rangle \in F$
- F from A to B is **one-to-one (an injection)** iff there are no two pairs s.t. $\langle a_i, b_j \rangle \in F$ and $\langle a_k, b_j \rangle \in F$
- one-to-one, onto, and total function: correspondence (bijection)

- One can take the range of a function and make it the domain of another function.
- A function $F_1 : A \rightarrow B$ and a function $F_2 : B \rightarrow C$ can be composed as $B(A(a))$, short $B \circ A$
- the compound function can be empty, it will be total if both A and B are bijections.

A relation R in $A = \{a, b, \dots\}$ is...

	if	(ex.)
reflexive	for every $a \in A$: $\langle a, a \rangle \in R$	is as heavy as
irreflexive	for every $a \in A$: $\langle a, a \rangle \notin R$	A: physical objects
non-reflexive	for some $a \in A$: $\langle a, a \rangle \notin R$	is the father of
		has hurt

A relation R in $A = \{a, b, \dots\}$ is...

	if	(ex.)
symmetric	for every $\langle a, b \rangle \in R$: $\langle b, a \rangle \in R$	has the same car as
asymmetric	for every $\langle a, b \rangle \in R$: $\langle b, a \rangle \notin R$	has a different car than
non-symmetric	for some $\langle a, b \rangle \in R$: $\langle b, a \rangle \notin R$	is the sister of
anti-symmetric	for every $\langle a, b \rangle \in R$: $a = b$	beats oneself not every human does

A relation R in $A = \{a, b, \dots\}$ is...

	if	(ex.)
transitive	if $\langle a, b \rangle \in R$ and $\langle b, c \rangle \in R$ then $\langle a, c \rangle \in R$	is to the left of
intransitive	the above is never the case	is the father of
non-transitive	the above is sometimes not the case	likes

A relation R in $A = \{a, b, \dots\}$ is...

	if	(ex.)
connected	for every $a, b \in A, a \neq b$: either $\langle a, b \rangle \in R$ or $\langle b, a \rangle \in R$	$>$ (A : the natural numbers)
non-connected	for some $a, b \in A$ the above is not the case	likes

Equivalence relations

- reflexive ($\langle a, a \rangle \in R$ for every a)
- symmetric ($\langle b, a \rangle \in R$ for every $\langle a, b \rangle$)
- transitive ($\langle a, b \rangle \in R \ \& \ \langle b, c \rangle \in R \rightarrow \langle a, c \rangle \in R$)
- *is as stupid as*
- partition the range into equivalence classes:
 $A = \{a, b, c, d\}$, for example $P_{A_1} = \{\{a, b\}, \{c\}, \{d\}\}$
- **not** $\{\{a\}, \{b, c\}\}$ or $\{\{a, b\}, \{b, c\}, \{d\}\}$

An ordering relation R in A is ...

- transitive ($\langle a, b \rangle \in R \ \& \ \langle b, c \rangle \in R \rightarrow \langle a, c \rangle \in R$) ...plus ...
- irreflexive and asymmetric: **strict order**
- $A = \{a, b, c, d\}, R_1 = \{\langle a, b \rangle, \langle b, c \rangle, \langle a, c \rangle\}$
- reflexive and anti-symmetric: **weak order**
- $A = \{a, b, c, d\}, R_1 = \{\langle a, a \rangle, \langle b, b \rangle, \langle c, c \rangle, \langle a, b \rangle, \langle b, c \rangle, \langle a, c \rangle\}$

Orders: an example

- a strict order: *greater than* ($>$) in \mathbb{N}
- what is the corresponding weak order
- \geq

- **minimal:** x is not preceded
- **least:** x precedes every other element
- **maximal:** x is not succeeded
- **greatest:** x succeeds every other element
- **well-ordering:** total order, every subset has a least element

The number of elements...

- $A = \{a, b, c\}$
- $B = \{a, b, c\}$
- obviously, $A = B$ (equal)
- there is an R from A to B s.t. $R = \{\langle a, a \rangle, \langle b, b \rangle, \langle c, c \rangle\}$
- for every set C with the same number of elements (e.g., $C = \{1, 2, 3\}$): $R = \{\langle a, 1 \rangle, \langle b, 2 \rangle, \langle c, 3 \rangle\}$
- such relations are one-to-one correspondences

- \mathbb{N} is infinite
- for every A there is some R_{card}
 - ▶ a one-to-one correspondence
 - ▶ from A 's members to the first n members of \mathbb{N}
 - ▶ s.t. n is the **cardinality of A , $\|A\|$**
- sets A, B with $\|A\| = \|B\|$ are **equivalent**
- $\|\mathbb{N}\| = \aleph^0$

A problem

- for some sets there is no such R_{card}
- no way of bringing their elements into an exhaustive linear order
- no problem with \mathbb{Q} :

	$\langle 0, 1 \rangle$	$\langle 0, 2 \rangle$	$\langle 0, 3 \rangle$	\dots
$\langle 1, 0 \rangle$	$\langle 1, 1 \rangle$	$\langle 1, 2 \rangle$	$\langle 1, 3 \rangle$	\dots
$\langle 2, 0 \rangle$	$\langle 2, 1 \rangle$	$\langle 2, 2 \rangle$	$\langle 2, 3 \rangle$	\dots
\vdots	\vdots	\vdots	\vdots	

The non-denumerable real numbers

- now: \mathbb{R}
- some elements cannot be represented as an ordered pair of two elements of \mathbb{N}
- in $[0, 1]$, every real can be represented as $0.abcdefg\dots$,
 $a, b, c, d, e, f, g, \dots \in \{0, 1, 2, 3, 4, 5, 6, 7, 8, 9\}$

- an enumeration of $[0, 1]$ in \mathbb{R} ?

$$\begin{array}{rcllclclcl} x_1 & = & 0 & . & a_{11} & a_{12} & a_{13} & a_{14} & \dots \\ x_2 & = & 0 & . & a_{21} & a_{22} & a_{23} & a_{24} & \dots \\ x_3 & = & 0 & . & a_{31} & a_{32} & a_{33} & a_{34} & \dots \\ \vdots & & \vdots & & & & & & \\ x_n & = & 0 & . & a_{n1} & a_{n2} & a_{n3} & a_{n4} & \dots \end{array}$$

- What about an x_m which differs from x_n at a_{nn}

$$\begin{array}{rcccccccc} x_1 & = & 0 & \cdot & \mathbf{a_{11}} & a_{12} & a_{13} & a_{14} & \dots \\ x_2 & = & 0 & \cdot & a_{21} & \mathbf{a_{22}} & a_{23} & a_{24} & \dots \\ x_3 & = & 0 & \cdot & a_{31} & a_{32} & \mathbf{a_{33}} & a_{34} & \dots \\ \vdots & & \vdots & & & & & & \\ x_n & = & 0 & \cdot & a_{n1} & a_{n2} & a_{n3} & \mathbf{a_{nn}} & \dots \end{array}$$

- It won't be in the array...
- \mathbb{R} is non-denumerable
- If $\|A\| = \aleph^0$ then $\|\wp(A)\| = 2^{\aleph^0}$ (cf. Partee et al. 62f.)

Aussagenlogik

The book (PMW:87-246) deals with logic far more in-depth than we do. Only what is mentioned on the slides is relevant for the test. Reading the whole chapter from PMW will do you no harm, though.

- a collection of statements (propositions)
- axioms (statements accepted to be true)
- maybe based on observations (induction)
- statements that follow from the axioms (deduction)
- predictions beyond the axioms
- rechecking for usability: e.g., Russell's paradox

- **axioms**: atomic truths of your theory
- **theorem**: a proposition you want to prove
- **lemma**: subsidiary propositions (used to prove the theorem)
- **corollary**: propositions proved while proving some axiom

- logic does not generate truths
- formalizing statements, predications etc.
- rules of deduction from axioms to theorems
- empirical (induction) and exact (deduction) science
- aiming at an adequate model of the world (e.g., heliocentric universe)

Why logic for semantics?

- truth-conditional
- compositional behavior of propositions and connectives
- a logic for entailments
- why, e.g.: *It is not the case that someone is happy.* \rightarrow *Nobody is happy.*

- statements/propositions = the **atoms**
- a propositional symbol p : a well-formed formula (**wff**)
- ex.: *Herr Keydana is a passionate cyclist.: k*
- $\llbracket k \rrbracket = 1$ or 0 (depending on corresponding **model**)

Complex (molecular) formulas

- **syntax**: restricts the forms of wff's to make them interpretable
- define functors: functions in $\{0, 1\}$
- If p and q are wff's, then
 - ▶ $\neg p$
 - ▶ $p \vee q$
 - ▶ $p \wedge q$
 - ▶ $p \rightarrow q$
 - ▶ $p \leftrightarrow q$

is also a wff (a **molecular term**).

Complex (molecular) formulas

- **syntax**: restricts forms of wff's to make them interpretable
- define functors: functions in $\{\langle 0, 1 \rangle, \langle 1, 0 \rangle, 0, 1\}$
- If p and q are wff's, then
 - ▶ $\neg p$ (negation)
 - ▶ $p \vee q$ (disjunction)
 - ▶ $p \wedge q$ (conjunction)
 - ▶ $p \rightarrow q$ (conditional)
 - ▶ $p \leftrightarrow q$ (biconditional)

is also a wff.

Complex (molecular) formulas

- **syntax**: restricts forms of wff's to make them interpretable
- define functors: functions in $\{\langle 0, 1 \rangle, \langle 1, 0 \rangle, 0, 1\}$
- If p and q are wff's, then
 - ▶ $\neg p$ (negation - 'not')
 - ▶ $p \vee q$ (disjunction - 'or')
 - ▶ $p \wedge q$ (conjunction - 'and')
 - ▶ $p \rightarrow q$ (conditional - 'if')
 - ▶ $p \leftrightarrow q$ (biconditional - 'iff')

is also a wff.

- standard definition:

$$\llbracket \neg \rrbracket = \begin{bmatrix} 1 \rightarrow 0 \\ 0 \rightarrow 1 \end{bmatrix}$$

- but most widely used: **truth tables**

\neg	p
0	1
1	0

Disjunction

p	\vee	q
1	1	1
1	1	0
0	1	1
0	0	0

- *Herr Keydana is a passionate cyclist **or** we all love logic.*
- KVL

Conjunction

p	\wedge	q
1	1	1
1	0	0
0	0	1
0	0	0

- Herr Keydana is a passionate cyclist **and** we all love logic.
- $K \wedge L$

p	\rightarrow	q
1	1	1
1	0	0
0	1	1
0	1	0

- **If** it rains, **then** the streets get wet.
- $R \rightarrow S$

Any problems with that?

If it rains, the streets get wet.

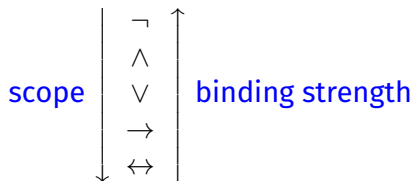
- it is raining (1), the streets are wet 1 : 1
- it is raining (1), the streets are dry 0 : 0
- it is not raining (0), the streets are wet 1 : 1
- it is not raining (0), the streets are dry 0 : 1
- ex vero non sequitur falsum

p	\leftrightarrow	q
1	1	1
1	0	0
0	0	1
0	1	0

- ***If and only if*** your score is above 50, ***then*** you pass the semantics exam.
- $S \leftrightarrow P$

Scope of functors

- brackets are facultative
- or set non-default functor scope
- default scope



An example

- $p \wedge \neg q \vee r \rightarrow \neg s$
- $p \wedge (\neg q) \vee r \rightarrow (\neg s)$
- $(p \wedge (\neg q)) \vee r \rightarrow (\neg s)$
- $((p \wedge (\neg q)) \vee r) \rightarrow (\neg s)$
- $((p \wedge (\neg q)) \vee r) \rightarrow (\neg s)$

Large truth tables

- for n atoms in the term: 2^n lines
- alternating blocks of 1's and 0's under every atom
- $2^{(m-1)}$ times '1' followed by $2^{(m-1)}$ times '0' for the m -th atom from the right
- until 2^n lines are reached

An example

p	\wedge	\neg	q	\vee	r	\rightarrow	\neg	s
1			1		1			1
1			1		1			0
1			1		0			1
1			1		0			0
1			0		1			1
1			0		1			0
1			0		0			1
1			0		0			0
0			1		1			1
0			1		1			0
0			1		0			1
0			1		0			0
0			0		1			1
0			0		1			0
0			0		0			1
0			0		0			0

An example

p	\wedge	\neg	q	\vee	r	\rightarrow	\neg	s
1		0	1		1		0	1
1		0	1		1		1	0
1		0	1		0		0	1
1		0	1		0		1	0
1		1	0		1		0	1
1		1	0		1		1	0
1		1	0		0		0	1
1		1	0		0		1	0
0		0	1		1		0	1
0		0	1		1		1	0
0		0	1		0		0	1
0		0	1		0		1	0
0		1	0		1		0	1
0		1	0		1		1	0
0		1	0		0		0	1
0		1	0		0		1	0

An example

p	\wedge	\neg	q	\vee	r	\rightarrow	\neg	s
1	0	0	1		1		0	1
1	0	0	1		1		1	0
1	0	0	1		0		0	1
1	0	0	1		0		1	0
1	1	1	0		1		0	1
1	1	1	0		1		1	0
1	1	1	0		0		0	1
1	1	1	0		0		1	0
0	0	0	1		1		0	1
0	0	0	1		1		1	0
0	0	0	1		0		0	1
0	0	0	1		0		1	0
0	0	1	0		1		0	1
0	0	1	0		1		1	0
0	0	1	0		0		0	1
0	0	1	0		0		1	0

An example

p	\wedge	\neg	q	\vee	r	\rightarrow	\neg	s
1	0	0	1	1	1		0	1
1	0	0	1	1	1		1	0
1	0	0	1	0	0		0	1
1	0	0	1	0	0		1	0
1	1	1	0	1	1		0	1
1	1	1	0	1	1		1	0
1	1	1	0	1	0		0	1
1	1	1	0	1	0		1	0
0	0	0	1	1	1		0	1
0	0	0	1	1	1		1	0
0	0	0	1	0	0		0	1
0	0	0	1	0	0		1	0
0	0	1	0	1	1		0	1
0	0	1	0	1	1		1	0
0	0	1	0	0	0		0	1
0	0	1	0	0	0		1	0

An example

p	\wedge	\neg	q	\vee	r	\rightarrow	\neg	s
1	0	0	1	1	1	0	0	1
1	0	0	1	1	1	1	1	0
1	0	0	1	0	0	1	0	1
1	0	0	1	0	0	1	1	0
1	1	1	0	1	1	0	0	1
1	1	1	0	1	1	1	1	0
1	1	1	0	1	0	0	0	1
1	1	1	0	1	0	1	1	0
0	0	0	1	1	1	0	0	1
0	0	0	1	1	1	1	1	0
0	0	0	1	0	0	1	0	1
0	0	0	1	0	0	1	1	0
0	0	1	0	1	1	0	0	1
0	0	1	0	1	1	1	1	0
0	0	1	0	0	0	1	0	1
0	0	1	0	0	0	1	1	0

An example

p	\wedge	\neg	q	\vee	r	\rightarrow	\neg	s
1	0	0	1	1	1	0	0	1
1	0	0	1	1	1	1	1	0
1	0	0	1	0	0	1	0	1
1	0	0	1	0	0	1	1	0
1	1	1	0	1	1	0	0	1
1	1	1	0	1	1	1	1	0
1	1	1	0	1	0	0	0	1
1	1	1	0	1	0	1	1	0
0	0	0	1	1	1	0	0	1
0	0	0	1	1	1	1	1	0
0	0	0	1	0	0	1	0	1
0	0	0	1	0	0	1	1	0
0	0	1	0	1	1	0	0	1
0	0	1	0	1	1	1	1	0
0	0	1	0	0	0	1	0	1
0	0	1	0	0	0	1	1	0

Assignments: a contingent example

p	\wedge	\neg	q	\vee	r	\rightarrow	\neg	s
1	0	0	1	1	1	0	0	1
1	0	0	1	1	1	1	1	0
1	0	0	1	0	0	1	0	1
1	0	0	1	0	0	1	1	0
1	1	1	0	1	1	0	0	1
1	1	1	0	1	1	1	1	0
1	1	1	0	1	0	0	0	1
1	1	1	0	1	0	1	1	0
0	0	0	1	1	1	0	0	1
0	0	0	1	1	1	1	1	0
0	0	0	1	0	0	1	0	1
0	0	0	1	0	0	1	1	0
0	0	1	0	1	1	0	0	1
0	0	1	0	1	1	1	1	0
0	0	1	0	0	0	1	0	1
0	0	1	0	0	0	1	1	0

- take $p \vee \neg p$

- truth-table:

p	\vee	\neg	p
1	1	0	1
0	1	1	0

- true under every assignment, it is **valid**
- by *law of excluded middle*: for every P , $P \vee \neg P$ is true

Contradiction

- take $p \wedge \neg p$

- truth-table:

p	\wedge	\neg	p
1	0	0	1
0	0	1	0

- false under every assignment, called **contradictory**

- take $p \wedge p$

- truth-table:

p	\wedge	p
1	1	1
0	0	0

- the truth value depends on the assignment

What are laws?

- notice: similarities of set theory and logic
- non-trivial exact nature of their equivalence
- laws state equivalences of (types of) wff
- truth-conservative rewriting of wff's
- any subformula which is a tautology (T) or contradiction (F):

ignore by **Identity** Laws (Id.):

- ▶ $(P \vee F) \Leftrightarrow P, (P \vee T) \Leftrightarrow P$
- ▶ $(P \wedge F) \Leftrightarrow F, (P \wedge T) \Leftrightarrow P$

- $X \Leftrightarrow Y$: X has the same truth-conditions as Y
- derivability of laws and rules (convenient redundancies)
- Idempotency (Idemp.):
 - ▶ $(P \vee P) \Leftrightarrow P$
 - ▶ $(P \wedge P) \Leftrightarrow P$
 - ▶ *Peter walks and Peter walks.* \Leftrightarrow *Peter walks.*

- **Associative Laws for \vee and \wedge (Assoc.):**
 - ▶ $((P \vee Q) \vee R) \Leftrightarrow (P \vee (Q \vee R))$
 - ▶ $((\text{He walks or she talks}) \text{ or we walk.}) \Leftrightarrow (\text{He walks or (she talks or we walk.)})$
- **Commutative Laws for \vee and \wedge (Comm.):**
 - ▶ $(P \vee Q) \Leftrightarrow (Q \vee P)$
 - ▶ $\text{Peter walks or Sue snores.} \Leftrightarrow \text{Sue snores or Peter walks.}$
- **Distributive Laws for $\vee \wedge$ and $\wedge \vee$ (Distr.):**
 - ▶ $(P \vee (Q \wedge R)) \Leftrightarrow ((P \vee Q) \wedge (P \vee R))$
 - ▶ $(\text{Sue snores}) \text{ and } (\text{Peter walks or we talk.}) \Leftrightarrow (\text{Sue snores and Peter walks}) \text{ or } (\text{Sue snores and we talk.})$

- Complement Laws:

- ▶ Tautology (T): $(P \vee \neg P) \Leftrightarrow \mathbf{T}$
- ▶ Contradiction (F): $(P \wedge \neg P) \Leftrightarrow \mathbf{F}$
- ▶ Double Negation (DN): $(\neg\neg P) \Leftrightarrow P$
- ▶ *It is not the case that Sandy is not walking.*
 \Leftrightarrow *Sandy is walking.*

- **Implication (Impl.):**

P	\rightarrow	Q	\Leftrightarrow	\neg	P	\vee	Q
1	1	1		0	1	1	1
1	0	0		0	1	0	0
0	1	1		1	0	1	1
0	1	0		1	0	1	0

- **Contraposition (Contr.):**

P	\rightarrow	Q	\Leftrightarrow	\neg	Q	\rightarrow	\neg	P
1	1	1		0	1	1	0	1
1	0	0		1	0	0	0	1
0	1	1		0	1	1	1	0
0	1	0		1	0	1	1	0

- DeMorgan's Laws:

- ▶ $\neg(P \vee Q) \Leftrightarrow (\neg P \wedge \neg Q)$
- ▶ alternatively: $\overline{P \vee Q} \Leftrightarrow \overline{P} \wedge \overline{Q}$
- ▶ $\neg(P \wedge Q) \Leftrightarrow (\neg P \vee \neg Q)$
- ▶ consequently: $\overline{\overline{P} \vee \overline{Q}} \Leftrightarrow \overline{\overline{P}} \wedge \overline{\overline{Q}} \Leftrightarrow P \wedge Q$

The Modus Ponens (MP)

- Definition:

$P \rightarrow Q$	premise 1
P	premise 2
Q	conclusion

- or: $(P \rightarrow Q) \wedge (P) \rightarrow (Q)$
- (1) *If It rains, the streets get wet.* (2) *It is raining.*
 \rightarrow *The streets are getting wet.*

MP: a truth table illustration

- Premises are always set to be true!
- the table:

P	\rightarrow	Q
1	1	1
1	0	0
0	1	1
0	1	0

MP: a truth table illustration

- The conditional must be true.
- cancel the 'false' row

P	\rightarrow	Q
1	1	1
1	0	0
0	1	1
0	1	0

MP: a truth table illustration

- P must be true.
- cancel the 'false' rows, Q can only be true:

P	\rightarrow	Q
1	1	1
1	0	0
0	1	1
0	1	0

The Modus Tollens (MT)

- Definition:

P	\rightarrow	Q
		$\neg Q$
$\neg P$		

- the table illustration:

P	\rightarrow	Q	
1	1	1	(by premise 2)
1	0	0	(by premise 1)
0	1	1	(by premise 2)
0	1	0	

- Hypothetical Syllogism (HS):

- ▶ $((P \rightarrow Q) \wedge (Q \rightarrow R)) \rightarrow (P \rightarrow R)$
- ▶ (1) *If it rains, the streets get wet.* (2) *If the streets get wet, it smells nice.* \rightarrow *If it rains, it smells nice.*

- Disjunctive Syllogism (DS):

- ▶ $((P \vee Q) \wedge (\neg P)) \rightarrow (Q)$
- ▶ (1) *Either Peter sleeps or Peter is awake.* (2) *Peter isn't awake.* \rightarrow *Peter sleeps.*

- **Simplification (Simp.):**
 - ▶ $(P \wedge Q) \rightarrow P$
 - ▶ (1) *It is raining and the sun is shining.* \rightarrow *It is raining.*
- **Conjunction (Conj.):**
 - ▶ $(P) \wedge (Q) \rightarrow (P \wedge Q)$
 - ▶ (1) *It is raining.* (2) *The sun is shining.* \rightarrow *It is raining and the sun is shining.*
- **Addition (Add.):**
 - ▶ $(P) \rightarrow (P \vee Q)$
 - ▶ (1) *It is raining.* \rightarrow *It is raining or the sun is shining.*
 - ▶ What if Q is instantiated as true or false by another premise?

A sample proof

- Prove $p \vee q$ from $(p \vee q) \rightarrow \neg(r \wedge \neg s)$ and $r \wedge \neg s$
- The proof:

$$\begin{array}{ll} & p \vee q \\ 1 & (p \vee q) \rightarrow \neg(r \wedge \neg s) \\ 2 & r \wedge \neg s \\ \hline & p \vee q \qquad 1,2,MT \end{array}$$

Prädikatenlogik

- properties/relations vs. individuals
- *Martin is an expert on inversion and Martin is a good climber.*
- ...becomes $E \wedge C$
- compositionality restricted to level of connected propositional atoms

Some desirable deductions

- important generalizations about all and some individuals (which have property P)
- ' $\text{all } P \rightarrow \text{some } P$ '
- ' $\text{Martin } P \rightarrow \text{some } P$ '

- individual **variables**: $x, y, z, x_1, x_2 \dots$
- individual **constants**: a, b, c, \dots
- variables and constants: **terms**
- **predicate symbols** (taking individual symbols or tuples of them): A, B, C, \dots
- **quantifiers**: existential \exists (or \vee) and universal \forall (or \wedge)
- plus the connectives of SL

- for an n -ary predicate P and terms $t_1 \dots t_n$,
 $P(t_1 \dots t_n)$ or $Pt_1 \dots t_n$ is a wff.
- possible prefix, function (bracket) and infix notation:
 Pxy , $P(x, y)$, xPy
- syntax for connectives from SL
- for any wff ϕ and any variable x , $(\exists x)\phi$ and $(\forall x)\phi$ are wff's

- **denote** individuals
- a **model** \mathcal{M} contains a set of individuals D
- the **valuation function** V (or F): from constants to individuals in D
- for some \mathcal{M}_1 : $D = \{Martin, Kilroy, Scully\}$
- $V_{\mathcal{M}_1}(m) = Martin$
- $V_{\mathcal{M}_1}(k) = Kilroy, V_{\mathcal{M}_1}(s) = Scully$

- denote relations (sets of n-tuples)
- $\llbracket P \rrbracket^{\mathcal{M}_1} = \{\text{Martin}, \text{Kilroy}\}$ or $V_{\mathcal{M}_1}(P) = \{\text{Martin}, \text{Kilroy}\}$
- $V_{\mathcal{M}_1}(Q) = \{\langle \text{Martin}, \text{Kilroy} \rangle, \langle \text{Martin}, \text{Scully} \rangle, \langle \text{Kilroy}, \text{Kilroy} \rangle, \langle \text{Scully}, \text{Scully} \rangle\}$
- s.t. $\llbracket P(m) \rrbracket^{\mathcal{M}_1} = \llbracket P \rrbracket^{\mathcal{M}_1}(\llbracket m \rrbracket^{\mathcal{M}_1}) = 1$ iff $\llbracket m \rrbracket^{\mathcal{M}_1} \in \llbracket P \rrbracket^{\mathcal{M}_1}$

- **connectives**: 'apply to' formulas (semantically truth-valued), semantics as in SL
- $(\forall x)\phi = 1$ iff ϕ is true for every $d \in D$
assigned to every occurrence of x in ϕ
- $(\exists x)\phi = 1$ iff ϕ is true for at least one $d \in D$
assigned to every occurrence of x in ϕ
- algorithmic instruction to check wff's containing Q's
- check outside-in (unambiguous scoping)

- universal quantifiers can be swapped:

$$(\forall x)(\forall y)\phi \Leftrightarrow (\forall y)(\forall x)\phi$$

- same for existential quantifiers:

$$(\exists x)(\exists y)\phi \Leftrightarrow (\exists y)(\exists x)\phi$$

- whereas: $(\exists x)(\forall y)\phi \Rightarrow (\forall y)(\exists x)\phi$

- example in \mathcal{M}_1 :

- ▶ $\llbracket (\forall x)(\exists y)Qxy \rrbracket^{\mathcal{M}_1} = 1$

- ▶ but: $\llbracket (\exists y)(\forall x)Qxy \rrbracket^{\mathcal{M}_1} = 0$

- ▶ direct consequence of algorithmic definition

- ▶ if $\exists\forall$ is true, $\forall\exists$ follows

- domain of quantifiers: D (universe of discourse)
- $\forall x$ checks for truth of some predication for all individuals
- $\exists x(Px \wedge \neg Px)$ is a contradiction
- $\forall x(Wx \wedge \neg Wx)$ is a contradiction,
 $\forall x$ 'checks' for an empty set by def.
- standard form of NL quantification:
 $\forall x(Wx \rightarrow Bx)$ 'All women are beautiful.'
- standard form of NL existential quantification:
 $\exists x(Wx \wedge Bx)$ 'Some woman is beautiful.'

- by def., functors take formulas, not terms:
 - ▶ $\neg Wm$ 'Mary doesn't weep.'
 - ▶ $(\exists x)(Gx \wedge Wx)$ 'Some girl weeps.'
 - ▶ * $W\neg x$
 - ▶ * $(\exists\neg x)(Gx)$
- quantifiers take variables, not constants:
 - ▶ $(\forall x)(Ox \rightarrow Wx)$ 'All ozelots are wildcats.'
 - ▶ * $(\forall o)(Wo)$
- \neg negates the wff, not the q:
 - * $(\neg\forall x)Px$ but $\neg(\forall x)Px$

- quantifiers **bind** variables
- free variables (constants) are unbound
- **no double binding** * $(\forall x \exists x)Px$
- **Q scope**: only the first wff to its right:
 - ▶ $(\forall x)Px \vee Qx$
 - ▶ $\frac{(\forall x)(Px \vee Qx)}{(\forall x)Px \vee (\forall x)Qx}$
 - ▶ $\frac{(\exists x)Px \rightarrow (\forall y)(Qy \wedge Ry)}{(\exists x)Px \wedge Qx}$ (second x is a unbound)
- **no double-naming**

- \exists and \forall 'or' and 'and' over the universe of discourse (hence: \vee and \wedge)
- $(\forall x)Px \Leftrightarrow Px_1 \wedge Px_2 \wedge \dots \wedge Px_n$ for all x_n assigned to $d_n \in D$
- $(\exists x)Px \Leftrightarrow Px_1 \vee Px_2 \vee \dots \vee Px_n$ for all x_n assigned to $d_n \in D$
- hence: $\neg(\forall x)Px \Leftrightarrow \neg(Px_1 \wedge Px_2 \wedge \dots \wedge Px_n)$
- with DeM: $\overline{Px_1 \wedge Px_2 \wedge \dots \wedge Px_n}$
- $\Leftrightarrow \overline{Px_1} \vee \overline{Px_2} \vee \dots \vee \overline{Px_n}$
- $\Leftrightarrow (\exists x)\neg Px$

Quantifier negation (QN)

- $\neg(\forall x)Px \Leftrightarrow (\exists x)\neg Px$
- $\neg(\exists x)Px \Leftrightarrow (\forall x)\neg Px$
- $\neg(\forall x)\neg Px \Leftrightarrow (\exists x)Px$
- $\neg(\exists x)\neg Px \Leftrightarrow (\forall x)Px$

- the conjunction of universally quantified formulas:

$$\underline{(\forall x)(Px \wedge Qx)} \Leftrightarrow \underline{(\forall x)Px} \wedge \underline{(\forall x)Qx}$$

- the disjunction of existentially quantified formulas:

$$\underline{(\exists x)(Px \vee Qx)} \Leftrightarrow \underline{(\exists x)Px} \vee \underline{(\exists x)Qx}$$

- not v.v.: $(\forall x)Px \vee (\forall x)Qx \Rightarrow (\forall x)(Px \vee Qx)$
- why?

Quantifier movement (QM)

- desirable format: **prefix + matrix**
- Movement Laws for antecedents of conditionals:
 $(\exists x)Px \rightarrow \phi \Leftrightarrow (\forall x)(Px \rightarrow \phi)$
 $(\forall x)Px \rightarrow \phi \Leftrightarrow (\exists x)(Px \rightarrow \phi)$
- Movement Laws for Q's in disjunction, conjunction, and the consequent of conditionals: **Just move them to the prefix!**
- condition: **x must not be free in ϕ .**
- i.e.: Watch your variables!

Let's formalize:

- Paul Kalkbrenner is a musician and signed on bpitchcontrol.
- Herr S. installed RedHat and not every Linux distribution is easy to install.
- All talkmasters are human and Harald Schmidt is a talkmaster.
- Some talkmasters are not musicians.
- Heiko Laux owns Kanzleramt records and does not like any Gigolo artist.
- Some humans are neither talkmasters nor do they own Kanzleramt records.

Universal instantiation ($-\forall$) and generalization ($+\forall$)

- $(\forall x)Px \rightarrow Pa$
- always applies
- can use any variable/constant
- $Pa \rightarrow (\forall x)Px$
- iff Pa was instantiated by $-\forall$

Existential generalization ($+\exists$) and instantiation ($-\exists$)

- $Pa \rightarrow (\exists x)Px$ for any individual constant a
- always applies
- $(\exists x)Px \rightarrow Pa$ for some indiv. const.
- always applies (there is a minimal individual for $\exists x$)
- for some $(\exists x)Px$ and $(\exists x)Qx$ the minimal individual might be different
- hence: **When you apply EI, always use fresh constants!**

One sample task

- (1) Herr Keydana drives a Golf. (2) Anything that drives a golf is human or a complex program simulating an artificial neural net. (3) There are no programs s.a.a.n.n. which are complex enough to drive a Golf.
- Formalize and prove: **At least one human exists.**
- (1) Dk
- (2) $(\forall x)(Dx \rightarrow Hx \vee Px)$
- (3) $\neg(\exists x)(Px \wedge Dx)$
- $(\exists x)Hx$

The proof

(1)	Dk	
(2)	$(\forall x)(Dx \rightarrow Hx \vee Px)$	
(3)	$\neg(\exists x)(Px \wedge Dx)$	
<hr/>		
(4)	$(\forall x)\neg(Px \wedge Dx)$	3,QN
(5)	$(\forall x)(\neg Px \vee \neg Dx)$	4,DeM
(6)	$(\forall x)(Dx \rightarrow \neg Px)$	5,Comm,Impl
(7)	$Dk \rightarrow \neg Pk$	6, $\neg\forall(1)$
(8)	$\neg Pk$	1,7,MP
(9)	$Dk \rightarrow Hk \vee Pk$	2, $\neg\forall(1)$
(10)	$Hk \vee Pk$	1,9,MP
(11)	Hk	8,10,DS
\therefore	$(\exists x)Hx$	10, $+\exists$

Quantifikation und Modelltheorie

- before we turn to quantification in F1/F2 English:
- names refer to individuals
- itr. verbs refer to sets of individuals
- tr. verbs refer to sets of ordered pairs of individuals
- sentences refer to truth values

- ***This*** drives a Golf.
- *this* = a pronominal NP
- denotes an individual
- but not rigidly
- fixed only within a specific context (SOA)

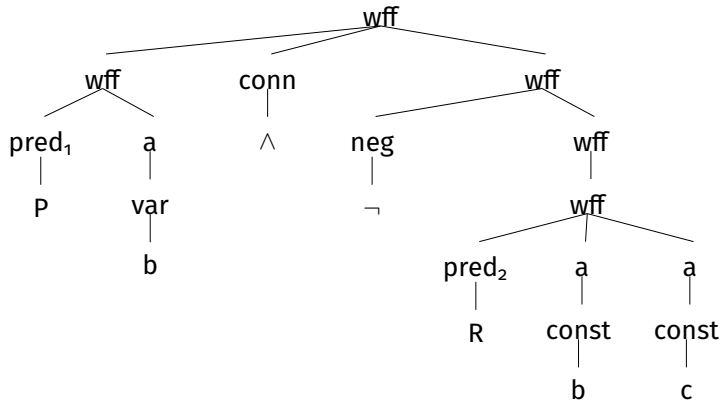
- quantified expression: $(\forall x)Px$
- *for all assignments of 'this', 'this' has property P*
- Q evaluation in PC is algorithmic
- variables interpreted like definite pronominal NPs (within a fixed context)

- $a \rightarrow \text{const, var}$
- $\text{conn} \rightarrow \wedge, \vee, \rightarrow, \leftrightarrow$
- $\text{neg} \rightarrow \neg$
- $Q \rightarrow \exists, \forall$

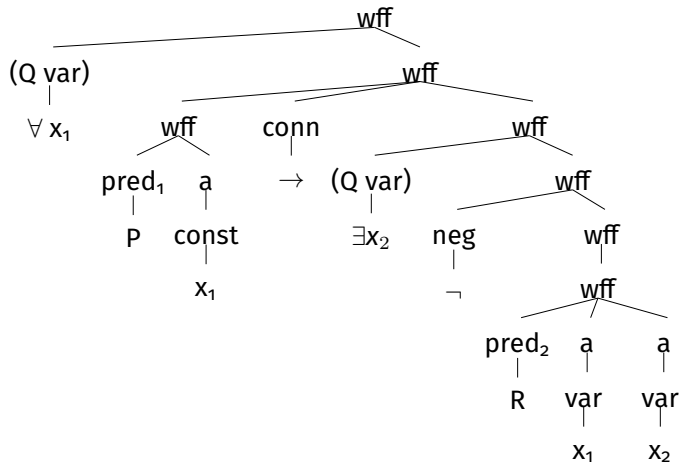
- $\text{pred}_1 \rightarrow P, Q$
- $\text{pred}_2 \rightarrow R$
- $\text{pred}_3 \rightarrow S$
- $\text{const} \rightarrow b, c$
- $\text{var} \rightarrow x_1, x_2, \dots, x_n$

- $wff \rightarrow pred_n a_1 a_2 \dots a_n$
- $wff \rightarrow neg\ wff$
- $wff \rightarrow wff\ con\ wff$
- $wff \rightarrow (Q\ var)\ wff$

A wff without Q



A wff with Q's

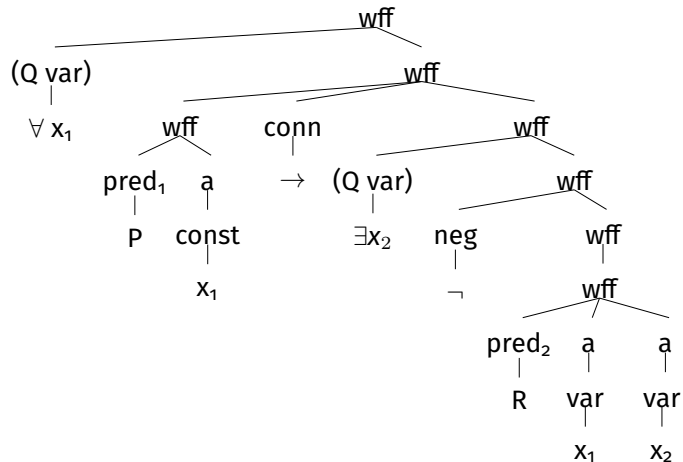


Definition of c-command

- Node A **c-commands** (constituent-commands) node B iff
 - ▶ A does not dominate B and
 - ▶ and the first branching node dominating A also dominates B.
- The definition in CM allows a node to dominate itself.

- in configurational tree-structures:
- A variables is bound by the closest c-commanding coindexed quantifier.
- scope = binding domain

A wff with Q's



- remember T-sentences: **S of L is true in v iff p.**
- \mathcal{M} is a model of the accessible universe of discourse
 - ▶ $\mathcal{M} = \langle U_n, V_n \rangle$
 - ▶ U_n = the set of accessible individuals (domain)
 - ▶ V_n = a valuation function which assigns
 - ★ individuals to names
 - ★ sets of n-tuples of individuals to pred_n
- g is function from variables to individuals in \mathcal{M}
- we evaluate: $\llbracket \alpha \rrbracket^{\mathcal{M}_n, g_n}$
- *the extension of α relative to \mathcal{M}_n and g_n*

- V_n evaluates *statically*
- Q's require flexible valuation of pronominal matrices
- g_n is like V_n for constants, only flexible
- it can *iterate through* U_n
- initial assignment can be anything:

$$g_1 = \left[\begin{array}{l} x_1 \rightarrow \textit{Herr Webelhuth} \\ x_2 \rightarrow \textit{Frau Eckardt} \\ x_3 \rightarrow \textit{Turm – Mensa} \end{array} \right]$$

- for each Q loop, one modification
- read $g_n[d/x_m]$ as
‘...relative to g_n where x_m is reassigned to d ’
- $\llbracket x_1 \rrbracket^{\mathcal{M}_1, g_1[Eckardt/x_1]} = \textit{Frau Eckardt}$
- $\llbracket x_2 \rrbracket^{\mathcal{M}_1, g_1[[Eckardt/x_1]Mensa/x_2]} = \textit{Mensa}$

- $\llbracket (\forall x_1) Px_1 \rrbracket^{\mathcal{M}_1, g_1}$
- start with initial assignment: $\llbracket x_1 \rrbracket^{\mathcal{M}_1, g_1} = \textit{Webelhuth}$
check: $\llbracket Px_1 \rrbracket^{\mathcal{M}_1, g_1}$
- modify: $\llbracket x_1 \rrbracket^{\mathcal{M}_1, g_1[\textit{Eckardt}/x_1]} = \textit{Eckardt}$
check: $\llbracket Px_1 \rrbracket^{\mathcal{M}_1, g_1}$
- modify: $\llbracket x_1 \rrbracket^{\mathcal{M}_1, g_1[\textit{Mensa}/x_1]} = \textit{Mensa}$
check: $\llbracket Px_1 \rrbracket^{\mathcal{M}_1, g_1}$
- iff the answer was never 0, then $\llbracket (\forall x_1) Px_1 \rrbracket^{\mathcal{M}_1, g_1} = 1$

Multiple Q's: subloops

- $\llbracket (\forall x_1)(\exists x_2)Px_1x_2 \rrbracket^{\mathcal{M}_1, g_1}$
- $\llbracket x_1 \rrbracket^{\mathcal{M}_1, g_1} = \text{Webelhuth}$
 - ▶ $\llbracket x_2 \rrbracket^{\mathcal{M}_1, g_1} = \text{Eckardt}$
 - ▶ $\llbracket x_2 \rrbracket^{\mathcal{M}_1, g_1 [\text{Webelhuth}/x_2]} = \text{Webelhuth}$
 - ▶ $\llbracket x_2 \rrbracket^{\mathcal{M}_1, g_1 [\text{Mensa}/x_2]} = \text{Mensa}$
- $\llbracket x_1 \rrbracket^{\mathcal{M}_1, g_1 [\text{Eckardt}/x_1]} = \text{Eckardt}$
 - ▶ $\llbracket x_2 \rrbracket^{\mathcal{M}_1, g_1 [\text{Eckardt}/x_1]} = \text{Eckardt}$
 - ▶ $\llbracket x_2 \rrbracket^{\mathcal{M}_1, g_1 [[\text{Eckardt}/x_1]\text{Webelhuth}/x_2]} = \text{Webelhuth}$
 - ▶ $\llbracket x_2 \rrbracket^{\mathcal{M}_1, g_1 [[\text{Eckardt}/x_1]\text{Mensa}/x_2]} = \text{Mensa}$
- $\llbracket x_1 \rrbracket^{\mathcal{M}_1, g_1 [\text{Mensa}/x_1]} = \text{Mensa}$
 - ▶ $\llbracket x_2 \rrbracket^{\mathcal{M}_1, g_1 [\text{Mensa}/x_1]} = \text{Eckardt}$
 - ▶ $\llbracket x_2 \rrbracket^{\mathcal{M}_1, g_1 [[\text{Mensa}/x_1]\text{Webelhuth}/x_2]} = \text{Webelhuth}$
 - ▶ $\llbracket x_2 \rrbracket^{\mathcal{M}_1, g_1 [[\text{Mensa}/x_1]\text{Mensa}/x_2]} = \text{Mensa}$

- quantifying expressions in NL beyond \forall and \exists
- some seem to work differently:
- *All patients* adore Dr. Rick Dagless M.D.
 $(\forall x_1)Px_1 \rightarrow Ax_1d$ (ok)
- but: *Most patients* adore Dr. Rick Dagless M.D.
 $(MOST x_1)Px_1 \rightarrow Ax_1d$ (wrong interpretation)
- domain should be the set of patients, not individuals
- For NL: Assume that the checking domain for Q is the set denoted by CN.

- c-command condition on binding/scope fails in NL
- no PNF's in NL
- Q and common noun (CN) usually *in-situ* (e.g., argument position)
- *ambiguities independent of Q position*
 - ▶ *Everybody loves somebody. (ELS)*
 - ▶ $(\forall x_1)(\exists x_2)Lx_1x_2$
 - ▶ $(\exists x_2)(\forall x_1)Lx_1x_2$
- *Q ambiguity cannot be structural* (e.g., \exists will never c-command \forall)

Cases of overt movement and traces

- **wh** movement:
 - *What_i will Agent Cooper solve t_i?*
 -
- **passive** movement:
 - *(Laura Palmer)_i was killed t_i.*
 -
- **raising** verbs:
 - *(Laura Palmer)_i seems t_i to be dead.*
 -

- construction of an independent representational level LF
- could use movement mechanism as used at surface level
- All quantifiers adjoin to the left periphery of S at LF.
- LF is constructed by syntactic rules!

- $[_{S''} \text{everybody}_i [_{S'} \text{somebody}_j [_{S} t_i \text{ loves } t_j]]]$
-
- $[_{S''} \text{somebody}_j [_{S'} \text{everybody}_i [_{S} t_i \text{ loves } t_j]]]$
-

The Q raising rule

$$[_S X NP Y] \Rightarrow [_{S'} NP_i [_S X t_i Y]]$$

- specify a PS as input and output
- QR rule also introduces coindexing of traces

- copies all definitions from F1
- adds appropriate definitions of quantifying determiners etc.
 - ▶ $Det \rightarrow \text{every, some}$
 - ▶ $NP \rightarrow DetN_{\text{common-count}}$
- adds the QR rule
- assume introduction of reasonable syntactic types/rules without specifying
- assume admissible (reasonable, possible) models \mathcal{M}

$$\begin{aligned} \llbracket [\textit{every } \beta]_i S \rrbracket^{\mathcal{M},g} = 1 \text{ iff for all } u \in U : \\ \text{if } u \in \llbracket \beta \rrbracket^{\mathcal{M},g} \text{ then } \llbracket S \rrbracket^{\mathcal{M},g[u/t_i]} \end{aligned}$$

A sentence containing the trace t_i with an adjoined NP_i (which consists of *every* plus the common noun β) extend to 1 iff for each individual u in the universe U which is in the set referred to by the common noun β , S denotes 1 with u assigned to the pronominal trace t_i . g is modified iteratively to check that.

$$\begin{aligned} \llbracket [a \beta]_i S \rrbracket^{\mathcal{M},g} = 1 \text{ iff for some } u \in U : \\ u \in \llbracket \beta \rrbracket^{\mathcal{M},g} \text{ and } \llbracket S \rrbracket^{\mathcal{M},g[u/t_i]} \end{aligned}$$

(similar)

Einfach getypte höherstufige λ -Sprachen

Montague and the generative tradition

- Chierchia & McConnell-Ginet, Heim & Kratzer, etc.: GB-ish semantics
- both syntax and LF in phrase structures
- LF as a proper linguistic level of representation
- Montague: direct translation of NL into logic
- Montague's LF is just a notational system for NL semantics

Targets for this week

- Learn to tell the difference between the montagovian and generative approach.
- See the advantage of a general theory of typed languages.
- Understand how λ languages allow dramatically elegant formalizations.
- ... while keeping in mind that these devices are extensions to our PC representation for NL semantics.

- denotations in set/function-theoretic terms
- a **characteristic function (CF)** \mathcal{S} of a set S :
 $\mathcal{S}(a) = 1$ *iff* $a \in S$, *else* 0
- a CF ‘checks’ individuals into a set
- denotations can be stated as sets or their CF

- interpretation for $[_S \text{ NP VP}]$:
 $\llbracket [_S \text{ NP VP}] \rrbracket^{\mathcal{M},g} = 1$ iff $\llbracket \text{NP} \rrbracket^{\mathcal{M},g} \in \llbracket \text{VP} \rrbracket^{\mathcal{M},g}$
- Montague generally used CF's in definitions
- evaluating $[_S [_{\text{NP}} \text{ Mary}] [_{\text{VP}} \text{ sleeps}]]$ as a matter of **functional application (FA)**:
 - ▶ $\llbracket \text{Mary} \rrbracket^{\mathcal{M},g} = \text{Mary in } \mathcal{M}$
 - ▶ $\llbracket \text{sleeps} \rrbracket^{\mathcal{M},g}$ be the CF of the set of sleepers in \mathcal{M}
 - ▶ $\llbracket S \rrbracket^{\mathcal{M},g} = \llbracket \text{sleeps} \rrbracket^{\mathcal{M},g}(\llbracket \text{Mary} \rrbracket^{\mathcal{M},g})$
 - ▶ ideally: generalize to all nodes

The superscript notation

- all functions from S_1 to S_2
- $S_2^{S_1}$
- for $T = \{0, 1\}$
 - ▶ T^D : all pred_1
 - ▶ $T^{D \times D}$: all pred_2
-

- base for Dowty et al.: L_1 , a first-order predicate language as we know it
- semantic renaming of types:
 - ▶ terms: $\langle e \rangle$ (entity-denoting)
 - ▶ formulas: $\langle t \rangle$ (truth-valued)
 - ▶ pred_1 : $\langle e, t \rangle$
 - ▶ pred_2 : $\langle e, \langle e, t \rangle \rangle$

Possible denotations of types

- D_α possible denotation (a set) of expressions of type α
- $D_{\langle e \rangle} = U$ (Dowty et al.'s A)
- $D_{\langle t \rangle} = \{0, 1\}$
- recursively: $D_{\langle \alpha, \beta \rangle} = D_{\langle \beta \rangle}^{D_{\langle \alpha \rangle}}$
- e.g., $D_{\langle e, t \rangle} = D_{\langle t \rangle}^{D_{\langle e \rangle}}$
- $D_{\langle e, \langle e, t \rangle \rangle} = (D_{\langle t \rangle}^{D_{\langle e \rangle}})^{D_{\langle e \rangle}}$
- just a systematic way of naming types, model-theoretic interpretations still by V, g

- in our PS syntax: S as start symbol
- in the typed system: sentences should be of type $\langle t \rangle$
- complex types: functions from $\langle e \rangle$ to $\langle t \rangle$
or generally from any (complex) type to any (complex) type

- **saturation** of complex types by FA:
 - ▶ γ is of type $\langle e, \langle e, t \rangle \rangle$, δ of $\langle e, t \rangle$, α and β of $\langle e \rangle$
 - ▶ then $\gamma(\alpha)$ is of type $\langle e, t \rangle$
 - ▶ and $\delta(\beta)$ is of type $\langle t \rangle$
- for any pred₂ P and its arguments a_1, a_2 , $P(a_2)(a_1)$ is a wff
- connectives are of types $\langle t, t \rangle$ (\neg), $\langle t, \langle t, t \rangle \rangle$ (\wedge , etc.)

- generalized CF/FA approach
- $\langle e \rangle$ -types (terms):
 - $\llbracket a_n \rrbracket^{\mathcal{M},g} = V(a_n)$
 - $\llbracket x_n \rrbracket^{\mathcal{M},g} = g(x_n)$
- the rest: functional application
 - $\llbracket \delta(\alpha) \rrbracket^{\mathcal{M},g} = \llbracket \delta \rrbracket^{\mathcal{M},g}(\llbracket \alpha \rrbracket^{\mathcal{M},g})$

- *Type* is the set of types
- recursively defined complex types $\langle a, b \rangle$: infinite
- type label $\langle \alpha \rangle$
- vs. set of meaningful expressions of that type: $ME_{\langle \alpha \rangle}$

- first order languages: variables over individuals ($\langle e \rangle$ -types)
- n-order: **variables over higher types** ($\langle e, t \rangle$ -types etc.)
- $P_{\langle e, t \rangle}$ or $Q_{\langle e, \langle e, t \rangle \rangle}$: constants of higher types
- so: $v_{1_{\langle e, t \rangle}} [v_1(m)]$
- if $V(m) = \text{Mary}$, v_1 is the set of all of Mary's properties

- we write:
 - ▶ $v_{n\langle\alpha\rangle}$ for the n-th variable of type $\langle\alpha\rangle$
 - ▶ Dowty et al.: $v_{n,\langle\alpha\rangle}$
- alternatively abbreviated by old symbols x_1 , a , P , etc.

- non-logical constant α : $\llbracket \alpha \rrbracket^{\mathcal{M},g} = V(\alpha)$
- variable α : $\llbracket \alpha \rrbracket^{\mathcal{M},g} = V(\alpha)$
- $\alpha \in \langle a, b \rangle$, $\beta \in a$, then $\llbracket \alpha(\beta) \rrbracket^{\mathcal{M},g} = \llbracket \alpha \rrbracket^{\mathcal{M},g}(\llbracket \beta \rrbracket^{\mathcal{M},g})$

- logical constants interpreted as functions in $\{0,1\}$ as usual
- if $v_{1\langle\alpha\rangle}$ is a variable and $\phi \in ME_t$
then $\llbracket (\forall v_1)\phi \rrbracket^{\mathcal{M},g} = 1$ iff
for all $a \in D_\alpha$ $\llbracket \phi \rrbracket^{\mathcal{M},g[a/v_1]} = 1$

An example

- quantified variable of type $\langle e, t \rangle$: $v_{0_{\langle e, t \rangle}}$
- $\forall v_{0_{\langle e, t \rangle}} \left[v_{0_{\langle e, t \rangle}}(j) \rightarrow v_{0_{\langle e, t \rangle}}(d) \right]$
- for $j, d \in ME_{\langle e \rangle}$
- one property of every individual: being alone in its union set
- hence, $j = d$
- else in $\forall v_{0_{\langle e, t \rangle}}, \forall$ wouldn't hold

- productive adjectival prefix: *non-adjacent*, *non-local*, etc.
- inverting the characteristic function of the adjective
- result denotes complement of the original adjective in $D_{\langle e \rangle}$
- *adjective*: $\langle e, t \rangle$, *non*: $\langle \langle e, t \rangle, \langle e, t \rangle \rangle$
- a function h s.t. for every $k \in D_{\langle e, t \rangle}$ and every $d \in D_{\langle e \rangle}$
 $(h(k))(d) = 1$ iff $k(d) = 0$ and
 $(h(k))(d) = 0$ iff $k(d) = 1$

- **understood objects** in: *I eat.* - *Vanity kills.* - etc.
- *eat* is in $ME_{\langle e, \langle e, t \rangle \rangle}$
- assume a **silent logical constant**: R_O in $ME_{\langle \langle e, \langle e, t \rangle \rangle, \langle e, t \rangle \rangle}$
- a function h s.t. for all $k \in D_{\langle e, \langle e, t \rangle \rangle}$ and all $d \in D_{\langle e \rangle}$
 $h(k)(d) = 1$ iff there is some $d' \in D_{\langle e \rangle}$ s.t. $k(d')(d) = 1$
- passives as similar subject deletion

All there is to λ

- a new **variable binder**
- allows **abstraction over wff's of arbitrary complexity**
- similar to $\{x \mid \phi\}$ (read as 'the set of all x s.t. ϕ ')
- we get $\lambda x [\phi]$
- on Montague's typewriter: $\hat{x} [\phi]$
- does not create a set but a function which can be taken as the CF of a set

- for every wff ϕ , any $x \in Var$, and any $a \in Con$
- λ abstraction: $\phi \rightarrow \lambda x [\phi^{[a/x]}] (a)$
- read $\phi^{(a/x)}$ as ‘ ϕ with every a replaced by x ’
- x can be of any type

Two informal examples

- $\lambda x_{\langle e \rangle} [L(x)]$ is the characteristic function of the set of those individuals $d \in D_{\langle e \rangle}$ which have property L
- $\lambda x_{\langle e, t \rangle} [x(l)]$ is the characteristic function of the set of those properties $k \in D_{\langle e, t \rangle}$ that the individual l has

- $\lambda x [L(x)]$ is the abstract of $L(a)$ (with some individual a)
- hence, it holds: $\lambda x [L(x)] (a) \Leftrightarrow L(a)$
- for every wff ϕ , any $x \in Var$, and any $a \in Con$
- λ conversion: $\lambda x [\phi] (a) \rightarrow \phi^{[x/a]}$

- $\lambda x [\phi] (a) \leftrightarrow \phi^{[x/a]}$
- not just syntactically, since truth conditions are equivalent
- $\lambda x [\phi] (a) \Leftrightarrow \phi^{[x/a]}$
- notice: $\lambda x_{\langle \alpha \rangle} [\phi]$ is in $ME_{\langle \alpha, t \rangle}$
- while ϕ (as a wff) is in $ME_{\langle t \rangle}$

- Dowty et al., 102f. (*Syn C.10* and *Sem 10*)
- If $\alpha \in ME_\alpha$ and $u \in Var_b$, then $\lambda u [\alpha] \in ME_{\langle b, a \rangle}$.
- If $\alpha \in ME_a$ and $u \in Var_b$ then $\llbracket \lambda u [\alpha] \rrbracket^{\mathcal{M}, g}$ is that function h from D_b into D_a s.t. for all objects k in D_b , $h(k)$ is equal to $\llbracket \alpha \rrbracket^{\mathcal{M}, g[k/u]}$.

The *non* example revised (Dowty et al., 104)

- $\forall x \forall v_{0\langle e,t \rangle} \left[(\mathbf{non}(v_{0\langle e,t \rangle}))(x) \leftrightarrow \neg(v_{0\langle e,t \rangle}(x)) \right]$
- $\forall v_{0\langle e,t \rangle} \left[\lambda x \left[(\mathbf{non}(v_{0\langle e,t \rangle}))(x) \right] = \lambda x \left[\neg(v_{0\langle e,t \rangle}(x)) \right] \right]$
- $\forall v_{0\langle e,t \rangle} \left[\mathbf{non}(v_{0\langle e,t \rangle}) = \lambda x \left[\neg(v_{0\langle e,t \rangle}(x)) \right] \right]$
(since $\lambda x [\mathbf{non}(v)(x)]$ is unnecessarily abstract/ η reduction)
- $\lambda v_{0\langle e,t \rangle} \left[\mathbf{non}(v_{0\langle e,t \rangle}) = \lambda v_{0\langle e,t \rangle} \left[\lambda x \left[\neg(v_{0\langle e,t \rangle}(x)) \right] \right] \right]$
- and since that is about all assignments for $\lambda v_{0\langle e,t \rangle}$:
 $\mathbf{non} = \lambda v_{0\langle e,t \rangle} \left[\lambda x \left[\neg v_{0\langle e,t \rangle}(x) \right] \right]$

Mary is non-adjacent.

(translate 'adjacent' as $c_{0\langle e,t \rangle}$, 'Mary' as $c_{0\langle e \rangle}$, ignore the copula)

The behavior of quantified NPs

- syntactically like referential NPs
- semantically like PC quantifiers
- *Every student walks.*: $\forall v_{0\langle e \rangle} \left[c_{0\langle e, t \rangle}(v_{0\langle e \rangle}) \rightarrow c_{1\langle e, t \rangle}(v_{0\langle e \rangle}) \right]$
- *Some student walks.*: $\forall v_{0\langle e \rangle} \left[c_{0\langle e, t \rangle}(v_{0\langle e \rangle}) \wedge c_{1\langle e, t \rangle}(v_{0\langle e \rangle}) \right]$
- making referential NPs and QNPs **the same type?**

A higher type

- $\lambda v_{0\langle e,t \rangle} \forall v_{0\langle e \rangle} \left[c_{0\langle e,t \rangle}(v_{0\langle e \rangle}) \rightarrow v_{0\langle e,t \rangle}(v_{0\langle e \rangle}) \right]$
- a second order function
- characterizes the set of all predicates true of every student
- equally: $\lambda v_{0\langle e,t \rangle} \exists v_{0\langle e \rangle} \left[c_{0\langle e,t \rangle}(v_{0\langle e \rangle}) \wedge v_{0\langle e,t \rangle}(v_{0\langle e \rangle}) \right]$

Combining with some predicate

Intensionalität

Targets for this week

- Understand that we have been exclusively dealing with extensions so far.
- Acknowledge that the approach fails in certain constructions.
- Learn how one can define an intensional calculus on top of the extensional one.
- See how that solves many problems with extensional logic for NL.

Some examples

- Stockhausen **will** write another opera.
- **Had** Arno Schmidt cut down on drinking, he **would** still be alive.
- Gustave Moreau **believes that** estheticism rules.

Simple extensions?

- syntactic types are no problem
- truth conditions impossible to define for static models ([tense](#))
- ... and for just one state of affairs ([modals](#), [believe type verbs](#))

What are intensions?

Type	Reference	Sense
NP	individuals <i>Venus</i>	individual concepts
VP	sets <i>humming birds</i>	property concepts
S	1 or 0 <i>I like cats.</i>	thoughts or propositions

- can't be just truth conditional
- encode knowledge about not just the actual but all possible and/or past/future states of affairs (PSOAs)
- therefore still involved in defining truth conditions
- not mental representations
- mediate between internal knowledge and truth-values

PSOAs have their own logic

- PSOAs are logically constrained
- observe the more than just truth-valued failure of:
- *In 1985 Arno Schmidt will be planning to have finished 'Julia oder Die Gemälde' by August 1914.*
- incompatible to our knowledge of PSA logic

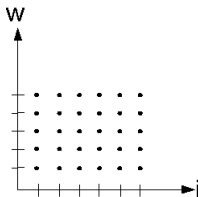
A touch of parallel universes?

- *Maria could know Arno Schmidt in person.*
- is true not to facts but to an infinite number of optional SOAs s.t.:
 - ▶ A.S. is not a workaholic, does not drink 2 liters of coffee in the morning, does not drink a bottle of *Klarer* in the afternoon, consequently has never had any heart attacks
 - ▶ nothing of the above, but Maria was born 20 years earlier
 - ▶ nothing of the above, but A.S. rose from the dead in 2003, etc.

- assume a set of all PSOAs
- PSOAs: determined by which propositions correspond to true sentences within the world they represent
- each proposition splits the set of PSOAs into two subsets:
 - ...the SOAs under which its corresponding sentence is true
 - ...the subset under which its corresponding sentence is false

Coordinates

- for each possible distinction in truth values of the whole of the propositional sentences: **one possible world** ($w \in W$)
- for each point in time: **one possible temporal state of each world** (instant $i \in I$)
- representation of **temporally ordered world-time coordinates** $\langle w, i \rangle \in W \times I$

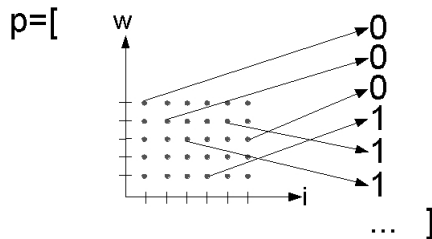


The nature of propositions

- propositions = intensions of sentences (formulas)
- remember the condition: every possible truth-value configuration for the full set of possible sentences constitutes a member of the set of possible worlds
- hence: every sentence is characterized by the set of worlds in which it is true
- this characterization: its intension
- the proposition of a sentence/formula: the characteristic function of the set of world/world-time pairs in which it is true

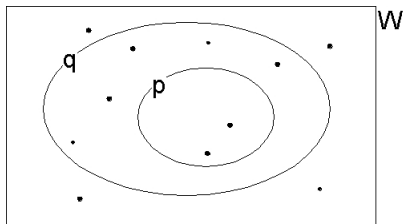
Propositions as functions

- a propositional function p
- is a function from $W \times I$ to $\{0, 1\}$

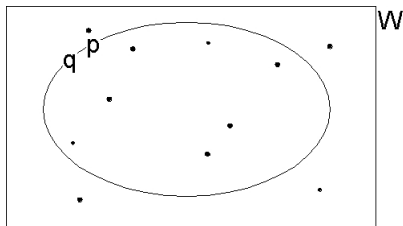


- If we know the state of affairs, we know for every sentence whether it is true!
- If we know which sentences are true, we know the state of affairs!
- It is quite difficult to state what other kind of knowledge (or information) should exist. So for now we assume there isn't any.
- Since we agree that sentences denote truth values, and that the truth of a sentence depends on the state of affairs (=world), the function from all possible worlds to truth values characterizes sentences under all thinkable conditions.
- Hence, we call that function the intension of the sentence.

- definition of intensions of sentences (propositions): characteristic functions
- **equivalently: propositions are sets of possible worlds**
- **entailment** turns out as a **subset-relation**: $p \subseteq q$:

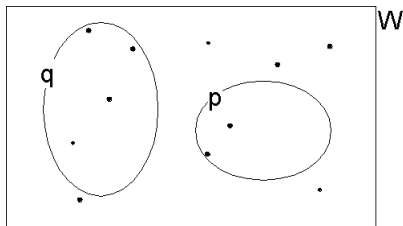


- **synonymy** turns out as **set equivalence**:
- $p = q$



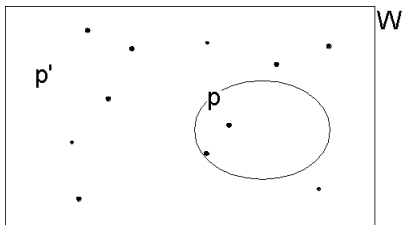
Contradiction

- contradiction turns out as an **empty intersection**:
- $p \cap q = \emptyset$



Negation

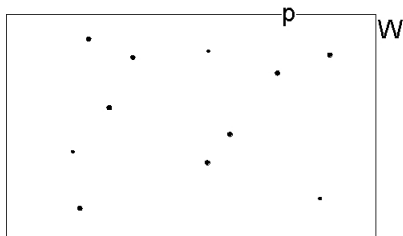
- negation turns out as a complement:
- p/W



- new **modal** sentence/wff operators:
 - ▶ *necessarily* p : $\Box p$
 - ▶ *possibly* p : $\Diamond p$
- What does it mean for a proposition to be necessary/possible?

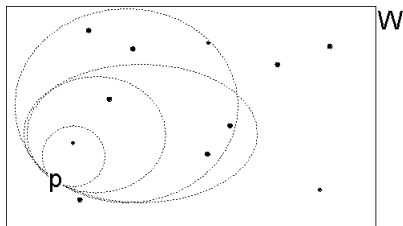
Necessity as universal quantification

- if $\Box p$ then $(\forall w) [p(w) = 1]$ (p as characteristic function)
- such that $W = p$ (p as set):



Possibility as existential quantification

- if $\Diamond p$ then $(\exists w) [p(w) = 1]$ (characteristic function)
- such that $p \neq \emptyset$ (set):

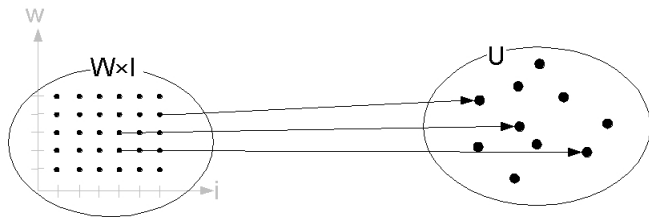


A larger tuple

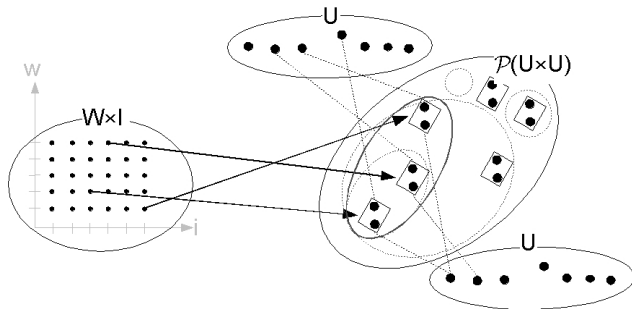
- $\mathcal{M} = \{W, I, <, U, V\}$
 - ▶ W , a set of worlds
 - ▶ I , a set of instants
 - ▶ $<$, an ordering relation in I
 - ▶ U , the set of individuals
 - ▶ V , a valuation function for constants
- evaluate an expression α : $\llbracket \alpha \rrbracket^{\mathcal{M}, w, i, g}$

Intensional interpretation of individual constants

- *the President of the United States, the Pope, Bond* (in the sense of ‘the actor currently playing Bond’)
- for $\beta \in \text{Cons}_{\text{ind}}$, $V(\beta)$ is a function from $W \times I$ to U



- *walks* etc. denotes different sets (or CFs) at different $\langle w, i \rangle$ coordinates
- for $\beta \in \text{Cons}_{\text{pred}_n}$, $V(\beta)$ is a function from $W \times I$ to $\wp U^n$ ($U^n = U_1 \times U_2 \times \dots \times U_n$)



The Chierchia approach: predicates/sentences

- simple sentences/predicates: $\beta = \delta(t_1, t_2, \dots, t_n)$
- $\llbracket \beta \rrbracket^{\mathcal{M}, w, i, g} = 1$ iff
- $\langle \llbracket t_1 \rrbracket^{\mathcal{M}, w, i, g}, \llbracket t_2 \rrbracket^{\mathcal{M}, w, i, g}, \dots, \llbracket t_n \rrbracket^{\mathcal{M}, w, i, g} \rangle \in \llbracket \delta \rrbracket^{\mathcal{M}, w, i, g}$
- with: $\llbracket t_1 \rrbracket^{\mathcal{M}, w, i, g} = V(t_1)(\langle w, i \rangle)$, etc.
- In an intensional type-theoretic language, we could define new functional types and try to use FA where possible.

- if $\psi = \forall x\phi$ then
- $\dots \llbracket \psi \rrbracket^{\mathcal{M}, w, i, g} = 1$ iff for all $u \in U$
- $\dots \llbracket \phi \rrbracket^{\mathcal{M}, w, i, g[u/x]} = 1$
- nothing new here

- if $\psi = \Box x \phi$ then
- $\dots \llbracket \psi \rrbracket^{\mathcal{M}, w, i, g} = 1$ iff for all $w' \in W$
- ...and all $i' \in I$
- $\dots \llbracket \phi \rrbracket^{\mathcal{M}, w', i', g} = 1$

A similarity of \forall and \Box

- as: $\forall x [P(x) \rightarrow Q(x)] \rightarrow [\forall x P(x) \rightarrow \forall x Q(x)]$
- and not vice-versa
- it holds that: $\Box [\psi \rightarrow \phi] \rightarrow [\Box \psi \rightarrow \Box \phi]$
- **but not vice-versa!**

- $\exists x \Box P(x) \rightarrow \Box \exists x P(x)$
- $\exists x \Diamond P(x) \leftrightarrow \Diamond \exists x P(x)$
- $\forall x \Box P(x) \leftrightarrow \Box \forall x P(x)$ (Carnap-Barcan)
- $\forall x \Diamond P(x) \rightarrow \Diamond \forall x P(x)$

Tempus und Modalität

Targets for this week

- Understand how simple tense logic can be represented by operators shifting i indices.
- See why tense operators are sentence operators.
- See why a multi-dimensional theory of tenses and a better handling of tense embedding are required.
- See how we restrict (different types of) propositional backgrounds.
- Understand how opaque contexts affect meaning (incl. *believe* type verbs).
- Get a first idea of why we need the *up* operator $\hat{}$.

- **present**: no operator (ϕ 'it is the case that ϕ ')
• **past**: **P** (**P** ϕ 'it was the case that ϕ ')
• **future**: **F** (**F** ϕ 'it will be the case that ϕ ')
• it will always be the case... (**G** = \neg **F** $\neg\phi$)
• it was always the case... (**H** = \neg **P** $\neg\phi$)

- $PD(a)$ 'Arno Schmidt (has?) died.'
- relative to the current $\langle w, i \rangle$: $\llbracket PD(a) \rrbracket^{\mathcal{M}, w, i, g}$
- ...is true iff there is some i' , $\langle i', i \rangle \in <$ and
- $\llbracket PD(a) \rrbracket^{\mathcal{M}, w, i', g} = 1$

- tense operators (TOp) are sentence (wff) Op's
- **raise** it to sentence-scopal position
- TP/IP position is motivated by copular/auxiliary elements
- *He **is** stupid.* vs. *Kare-wa bakarashi-**i**.*
- *He **was** stupid.* vs. *Kare-wa bakarashi-**katta**.*
- *What_i **did** you expect t_i?* vs. *Nani-o yokishi-**ta**-ka.*

- $T' \rightarrow TVP$ (adds tense to VP)
- $TP \rightarrow NP T'$
- $TP \rightarrow TP \text{ conj } TP$
- $TP \rightarrow \text{neg } TP$
- $[_{TP} NP T VP] \Rightarrow [_{TP} T NP VP]$ (T raising)

- $\llbracket \mathbf{PTP} \rrbracket^{\mathcal{M}, w, i, g} = 1$
- iff among all $\langle i_n, i \rangle \in <$
- there is **at least one** s.t. $\llbracket TP \rrbracket^{\mathcal{M}, w, i', g} = 1$

- U : domain of quantification
- $V(\beta)$: non-relativized function for all β which are not a proper name
- $V(\beta)(\langle w, i \rangle)$: V evaluates β to a function from world-time pairs to the denotata of the predicate (sets of individuals, tuples of them, etc.)

- NL tenses beyond TOP's:
- *Arno Schmidt had already read Poe when he started writing 'Zettels Traum'.*
- *Gosh, I forgot to feed the cat.*
- shifts of evaluation time

	past ($R < S$)	present (R, S)	future ($S < R$)
anterior($E < R$)	$E < R < S$ <i>er war gegangen</i>	$E < R, S$ <i>er ist gegangen</i>	$S < E < R$ $S, E < R$ $E < S < R$ <i>er wird gegangen sein</i>
simple(E, R)	$E, R < S$ <i>er ging</i>	E, R, S <i>er geht</i>	$S < E, R$ <i>er wird gehen</i>
posterior($R < E$)	$R < E < S$ $R < S, E$ $R < S, E$ $R < S < E$ <i>*er würde gehen</i>	$R, S < E$ <i>er wird gehen</i>	$S < R < E$ <i>*er wird gehen werden</i>

- *A man was born who will be king.*
- **P**(a man is born **F**(who be king)) ?
- *Yesterday, Maria woke up happy.*
- **Y**(**P**(Maria wake up happy)) ?

Types of modal expressions

- **tense forms:** *I eat up to 100 nachos a minute.*
- **mood:** *Responderet alius minus sapienter.*
- **modal auxiliaries:** *Herr Webelhuth can look like Michael Moore.*
- **adverbs:** *Maybe Herr Keydana will show up.*
- **affixes:** *Frau Eckardt is recognizable.*

The logical form of modal operators

- like tense: **sentence operators**
- modal *Aux* in English is tense-insensitive (evidence for *Infl*)
- \Box and \Diamond in intensional predicate calculi (IPC): exploit the full set of possible worlds
- in NL: evaluation of modal expressions against restricted **conversational backgrounds**

- different sets of possible worlds under consideration for different types of modal expressions
- different types of modality: different sets of admitted possible worlds
- we call the conversationally relevant background the set of $\langle w, i \rangle$ pairs relevant to the interpretation of the sentence

- Agent Cooper *cannot* solve the mystery.
- translated into root modal IPC: $\neg\Diamond S(c, m)$
- wrong interpretation: Under no possible circumstances can Cooper solve the mystery.
- usually, some *obvious facts constitute the background*:
 - ▶ he could, but some relevant information is missing
 - ▶ he could, but is sick
 - ▶ he could, but ...

- *Leo Johnson must be the murderer of Laura Palmer.*
- in accordance with the **known facts** (e.g., in episode 7 of *Twin Peaks*):
 - ▶ Leo Johnson is a violent person.
 - ▶ Leo smuggles cocaine, Laura was addicted to it.
 - ▶ Leo is connected to Jacques Renault who is the bartender of *One Eyed Jack's* where Laura worked as a prostitute.
 - ▶ ...
- which constitute the epistemic background, the sentence is true
- known facts narrow down the root background

- *Agent Cooper must not solve the mystery.*
- assume:
 - ▶ there is some U.S. law which allows a local sheriff to ask the FBI to keep out of local murder investigations
 - ▶ Sheriff Truman has asked the FBI headquarters to keep out of the Palmer investigation
 - ▶ as a special agent, Cooper is required to obey Bureau policy
- Deontic backgrounds are narrowed down by **normative rules** and **moral ideals**.
- statable in propositional form (ten commandments, law, ...)

- specify the kind of background against which you evaluate under the given situation
- we need:
a function from $\langle w, i \rangle$ to the relevant background set of $\langle w_n, i_m \rangle$
- reuse g :
 $g(\langle w, i \rangle) = \{p_1, p_2, \dots, p_n\} = \{\langle w, i \rangle_1, \langle w, i \rangle_2, \dots, \langle w, i \rangle_n\}$
- such that all possible worlds are: $\bigcap g(\langle w, i \rangle)$

- *that* is a **complementizer**, it **turns a sentence into an argument**.
- ps rule: $CP \rightarrow C IP$
- $[_{IP} \text{ Racine believes } [_{CP} \text{ that } [_{IP} \text{ theatre rules}]]]$
- CP (fully fledged sentence) receives theta role by *believe* under government.

- gerunds:
[_{IP} Stockhausen has plans [_{IP} to write another 29 hour opera]]
- incomplete embedded IP, **no subject**
- internal theta role of *has plans*: to IP
- external theta role of *write*: to ?
- **PRO, controlled** by the subject of *has plans*:
[_{IP} Stockhausen has plans [_{IP} PRO to write another 29 hour opera]]

- verbs like *believe*: **propositional attitude verbs**
- content of the believe: a piece of information held to be true by the believer, hence a proposition, a $\langle w_n, i_m \rangle$
- signalling one element in the background assumed by the believer
- belief: $\langle w, i \rangle$ is an element of the proposition of CP

- value of propositional attitude (PA) verbs: functions $[\langle w, i \rangle \rightarrow \langle u_n, p \rangle]$ with $u_n \in U$, p a proposition (set of $\langle w_n, i_m \rangle$) and compatible to u_n 's background
- $up(\hat{\chi})$: an operator which gives the intension of an expression χ
- the full logic of $\hat{}$ and \sim as designed by Montague next week
- $\hat{}$ rids us of the problem that the belief content looks truth-conditional (a sentence) but doesn't contribute to the embedding sentence's truth-value. PA verbs take intensions as arguments.

- Quine's story: Ralph knows...
- Bernard J.Ortcutt, the nice guy on the beach.
- He sees a strange guy with a hat in the dark alley - a spy?
- Ortcutt just likes to behave funny on the way to his pub...
- and actually is sinister guy in the alley!
- Only Ralph doesn't know.

Is Ralph insane?

- What's the truth value of...
- *Ralph believes that the guy from the beach is a spy.*
- true: since Ortcutt and the guy in the hat are one individual
- false: since Ralph doesn't know that and in a way 'doesn't believe it'

- the Russelian interpretation for *the* like \exists with a uniqueness condition (as a GQ):
 $\lambda Q \lambda P [\exists x [Q(x) \wedge P(x)] \wedge \forall y [Q(y) \leftrightarrow y = x]]$
- in a raising framework: ambiguity between *THE* and *believe*
- $[_{IP} \text{ the guy from the beach}_i [_{IP} \text{ Ralph believes } [_{CP} \text{ that } x_i \text{ is a spy}]]]$
- makes the sentence true: the *de re* reading
- Ralph believes $[_{CP} \text{ that } [_{IP} \text{ the guy from the beach}_i [_{IP} x_i \text{ is a spy}]]]$
- makes the sentence false: the *de dicto* reading

- *Yuri Gagarin might now have been the first man in space.*
- some Mickey Mouse LFs:
- \Diamond THE(first-man-in-space)(not-be-Gagarin)
- at some $\langle w_n, i_m \rangle$ the first individual in space is not Y.G.
- THE(first-man-in-space)(\Diamond [not-be-Gagarin])
- at $\langle w, i \rangle$ the first individual in space (definitely Y.G.) is not Y.G. in an accessible world
- Names are rigid designators across world-time-pairs, definite descriptions aren't.

- CP has its own subject, *to*-IPs don't (PRO)
- PRO must be interpreted, in our examples by coindexation with the matrix subject
- infinitive embedding verbs: *functions from world-time pairs to sets of individuals which have a certain property*, the intension of a predicate \hat{P}
- *John tries to sing.*
- *try(j, $\hat{\text{swim}}$)*

Montagues intentionale Logik

- $\llbracket \phi \rrbracket^{\mathcal{M},w,i,g}$ and $\llbracket \mathbf{P} \rrbracket^{\mathcal{M},w,i,g}$ don't truth conditionally determine $\llbracket \mathbf{P}\phi \rrbracket^{\mathcal{M},w,i,g}$
- *Iceland was once covered with a glacier.*
- **F**, **B**, \diamond , \square are not fully truth functional
- Leibnitz Law of identity of individuals for logics containing '=' failing in opaque contexts
- 'former', 'alleged', etc. are not intersective adjectives like 'red'
- Frege: sometimes expressions **denote a sense**
- again: individual concepts (variable function on indices) vs. names (constant)

- intension relative to models

- for a name d : $\llbracket d \rrbracket_{\mathcal{C}}^{\mathcal{M},g} = \left[\begin{array}{ll} \langle w_1, t_1 \rangle & \rightarrow b \\ \langle w_2, t_1 \rangle & \rightarrow b \\ \langle w_1, t_2 \rangle & \rightarrow b \\ \langle w_2, t_2 \rangle & \rightarrow b \\ \langle w_1, t_3 \rangle & \rightarrow b \\ \langle w_2, t_3 \rangle & \rightarrow b \end{array} \right]$

- for an individual concept denoting expression m :

- $\llbracket m \rrbracket_{\mathcal{C}}^{\mathcal{M},g} = \left[\begin{array}{ll} \langle w_1, t_1 \rangle & \rightarrow a \\ \langle w_2, t_1 \rangle & \rightarrow c \\ \langle w_1, t_2 \rangle & \rightarrow b \\ \langle w_2, t_2 \rangle & \rightarrow c \\ \langle w_1, t_3 \rangle & \rightarrow c \\ \langle w_2, t_3 \rangle & \rightarrow b \end{array} \right]$

- for a one place predicate B :

- $\llbracket B \rrbracket_{\mathcal{C}}^{\mathcal{M},g} = \left[\begin{array}{ll} \langle w_1, t_1 \rangle & \rightarrow \{a, b\} \\ \langle w_2, t_1 \rangle & \rightarrow \{b, c\} \\ \langle w_1, t_2 \rangle & \rightarrow \{a, c\} \\ \langle w_2, t_2 \rangle & \rightarrow \{a\} \\ \langle w_1, t_3 \rangle & \rightarrow \{b, c\} \\ \langle w_2, t_3 \rangle & \rightarrow \{a, b, c\} \end{array} \right]$

- formula ϕ : $\llbracket \phi \rrbracket_{\mathcal{C}}^{\mathcal{M},g}$ is a function from indices to truth values

- $\llbracket B(m) \rrbracket_{\mathcal{C}}^{\mathcal{M},g} = \left[\begin{array}{ll} \langle w_1, t_1 \rangle & \rightarrow 1 \\ \langle w_2, t_1 \rangle & \rightarrow 1 \\ \langle w_1, t_2 \rangle & \rightarrow 0 \\ \langle w_2, t_2 \rangle & \rightarrow 0 \\ \langle w_1, t_3 \rangle & \rightarrow 1 \\ \langle w_2, t_3 \rangle & \rightarrow 1 \end{array} \right]$

- $\llbracket B(n) \rrbracket_{\mathcal{C}}^{\mathcal{M},g} = \left[\begin{array}{ll} \langle w_1, t_1 \rangle & \rightarrow 0 \\ \langle w_2, t_1 \rangle & \rightarrow 1 \\ \langle w_1, t_2 \rangle & \rightarrow 1 \\ \langle w_2, t_2 \rangle & \rightarrow 0 \\ \langle w_1, t_3 \rangle & \rightarrow 1 \\ \langle w_2, t_3 \rangle & \rightarrow 1 \end{array} \right]$

- again, the proposition $\llbracket Bm \rrbracket_{\mathcal{C}}^{\mathcal{M},g}$ is a set of indices $(\langle w_i, t_j \rangle)$
- from the extension at all indices, compute the intension
- $\llbracket \alpha \rrbracket_{\mathcal{C}}^{\mathcal{M},g}(\langle w_i, t_j \rangle) = \llbracket \alpha \rrbracket^{\mathcal{M},w_i,t_j,g}$

- constant function on indices
- will play a great role, so remember!
- $\llbracket u \rrbracket_{\mathcal{C}}^{\mathcal{M},g}(\langle w_i, t_j \rangle) = g(u)$

What expressions denote

- sometimes expressions denote individuals, sets of individuals, truth values...
- and sometimes **they denote intensions** (functions)
- alternatively: introduce rules which access an expression's extension/intension as appropriate

- Church/Montague: for an extension-denoting expression α , $\hat{\alpha}$ denotes α 's intension
- $\llbracket \hat{Bm} \rrbracket^{\mathcal{M}, w, i, g} = \llbracket Bm \rrbracket_{\hat{c}}^{\mathcal{M}, g}$
- α and $\hat{\alpha}$ are just denoting expressions
- for an intension-denoting expression α : $\llbracket \tilde{\alpha} \rrbracket^{\mathcal{M}, w, i, g} = \llbracket \alpha \rrbracket^{\mathcal{M}, g}(\langle w, t \rangle)$

- observe: $\llbracket \sim \alpha \rrbracket^{\mathcal{M}, w, i, g} = \llbracket \alpha \rrbracket^{\mathcal{M}, w, i, g}$ for any $\langle w, t \rangle$
- but not always: $\llbracket \sim \alpha \rrbracket^{\mathcal{M}, w, i, g} = \llbracket \alpha \rrbracket^{\mathcal{M}, w, i, g}$ for any $\langle w, t \rangle$
- can easily be the case for intension-denoting expressions

- k' intension: $\llbracket k \rrbracket_{\mathcal{M},g}^{\mathcal{M},g} =$

$$\left[\begin{array}{lcl} \langle w_1, t_1 \rangle & \rightarrow & \left[\begin{array}{lcl} \langle w_1, t_1 \rangle & \rightarrow & a \\ \langle w_1, t_2 \rangle & \rightarrow & a \\ \langle w_2, t_1 \rangle & \rightarrow & a \\ \langle w_2, t_2 \rangle & \rightarrow & a \end{array} \right] \\ \langle w_1, t_2 \rangle & \rightarrow & \left[\begin{array}{lcl} \langle w_1, t_1 \rangle & \rightarrow & a \\ \langle w_1, t_2 \rangle & \rightarrow & b \\ \langle w_2, t_1 \rangle & \rightarrow & c \\ \langle w_2, t_2 \rangle & \rightarrow & d \end{array} \right] \\ \langle w_2, t_1 \rangle & \rightarrow & \left[\begin{array}{lcl} \langle w_1, t_1 \rangle & \rightarrow & c \\ \langle w_1, t_2 \rangle & \rightarrow & b \\ \langle w_2, t_1 \rangle & \rightarrow & d \\ \langle w_2, t_2 \rangle & \rightarrow & a \end{array} \right] \\ \langle w_2, t_2 \rangle & \rightarrow & \left[\begin{array}{lcl} \langle w_1, t_1 \rangle & \rightarrow & c \\ \langle w_1, t_2 \rangle & \rightarrow & d \\ \langle w_2, t_1 \rangle & \rightarrow & a \\ \langle w_2, t_2 \rangle & \rightarrow & b \end{array} \right] \end{array} \right]$$

- k' extension (e.g., at $\langle w_1, t_2 \rangle$): $\llbracket k \rrbracket_{\mathcal{M}, g}^{\mathcal{M}, g}(\langle w_1, t_2 \rangle) =$

- $\llbracket k \rrbracket^{\mathcal{M}, w_1, t_2, g} = \begin{bmatrix} \langle w_1, t_1 \rangle & \rightarrow & a \\ \langle w_1, t_2 \rangle & \rightarrow & b \\ \langle w_2, t_1 \rangle & \rightarrow & c \\ \langle w_2, t_2 \rangle & \rightarrow & d \end{bmatrix}$

- however: $\llbracket \sim k \rrbracket^{\mathcal{M}, w_1, t_2, g} = \begin{bmatrix} \langle w_1, t_1 \rangle & \rightarrow & a \\ \langle w_1, t_2 \rangle & \rightarrow & b \\ \langle w_2, t_1 \rangle & \rightarrow & d \\ \langle w_2, t_2 \rangle & \rightarrow & b \end{bmatrix}$

- since: $\llbracket \sim k \rrbracket^{\mathcal{M}, w_1, t_1, g} = a$
 $\llbracket \sim k \rrbracket^{\mathcal{M}, w_1, t_2, g} = b$
 $\llbracket \sim k \rrbracket^{\mathcal{M}, w_2, t_1, g} = d$
 $\llbracket \sim k \rrbracket^{\mathcal{M}, w_2, t_2, g} = b$

- $\neg, \wedge, \vee, \rightarrow, \leftrightarrow, \mathbf{F}, \mathbf{P}, \Box, =$ (syncategorematically)
- $t, e \in \text{Type}$ ($\text{Con}_{\text{type}}, \text{Var}_{\text{type}}$)
- if $a, b \in \text{Type}$, then $\langle a, b \rangle \in \text{Type}$
- if $a \in \text{Type}$, then $\langle s, a \rangle \in \text{Type}$
- $s \notin \text{Type}$

- ME_{type}
- abstraction: if $\alpha \in ME_a, \beta \in Var_b, \lambda\beta\alpha \in ME_{\langle b,a \rangle}$
- FA: if $\alpha \in ME_{\langle a,b \rangle}, \beta \in ME_a$ then $\alpha(\beta) \in ME_b$
- if $\alpha, \beta \in ME_a$ then $\alpha = \beta \in ME_t$

Interpretations of $\hat{}$ and \sim

- if $\alpha \in ME_a$ then $\hat{\alpha} \in ME_{s,a}$
- if $\alpha \in ME_{\langle s,a \rangle}$ then $\sim\alpha \in ME_a$

type	variables	constants
e	x, y, z	a, b, c
$\langle s, e \rangle$	x, y, z	—
$\langle e, t \rangle$	X, Y	$walk', A, B$
• $\langle \langle s, e \rangle, t \rangle$	Q	$rise', change'$
$\langle s, \langle e, t \rangle \rangle$	P	—
$\langle e, e \rangle$	P	Sq
$\langle e, \langle e, t \rangle \rangle$	R	Gr, K
$\langle e, \langle e, e \rangle \rangle$	—	$Plus$

- $\langle A, W, T, <, F \rangle$
- $D_{\langle a, b \rangle} = D_b^{D_a}$
- $D_{\langle s, a \rangle} = D_a^{W \times T}$
- 'senses' = **possible** denotations
- actual intensions chosen from the set of senses
- now: $F(\text{expression}) = \text{intension}$ (itself a function)
- s.t. $\text{intension}(\text{index}) = \text{extension}$
- instead of: $F(\text{expression})(\text{index}) = \text{extension}$

- $\llbracket \lambda u \alpha \rrbracket^{\mathcal{M}, w, i, g}$, $u \in \text{Var}_b$, $\alpha \in ME_a$ is a function h with domain D_b s.t. $x \in D_b$, $h(x) = \llbracket \alpha \rrbracket^{\mathcal{M}, w, t, g'}$ with g' exactly like g except $g'(u) = x$
- $\llbracket \hat{\alpha} \rrbracket^{\mathcal{M}, w, i, g}$ is a function h from $W \times T$ to denotations of α 's type s.t. at every $\langle w', t' \rangle \in W \times T$ $\llbracket \alpha \rrbracket^{\mathcal{M}, w', t', g} = h(\langle w', t' \rangle) = \llbracket \hat{\alpha} \rrbracket^{\mathcal{M}, w, i, g}(\langle w', t' \rangle)$

Some examples

- $\alpha = \beta$ at $\langle w, t \rangle$ might be true, but $\hat{\alpha} = \hat{\beta}$ need not be 1 at that same index
- on types:
 - ▶ e - individuals
 - ▶ $\langle s, e \rangle$ - individual concepts ('present Queen of England')
 - ▶ $\langle s, \langle e, t \rangle \rangle$ - properties of individuals
 - ▶ $\langle e, t \rangle$ - sets of individuals
 - ▶ $\langle \langle s, e \rangle, t \rangle$ - sets of individual concepts

- on properties:
 - ▶ $\langle s, \langle a, t \rangle \rangle$ - properties of denotations of a -type expressions
 - ▶ $\langle s, \langle e, t \rangle \rangle$ - properties of individuals
 - ▶ $\langle s, \langle \langle s, t \rangle, t \rangle \rangle$ - properties of propositions
- from relations $\langle e, \langle e, t \rangle \rangle$ to relations-in-intensions $\langle s, \langle e, \langle e, t \rangle \rangle \rangle$

- In IL indices are never denoted by expressions!
- Expressions denote functions in the domain of indices.
- hence: $\langle s, a \rangle$ never applied to some typed argument (s is not a type!)
- useful thing: We never talk about indices!
- since often $\sim \alpha(\beta)$ is needed for $\alpha \in ME_{\langle s, \langle e, t \rangle \rangle}$ and $\beta \in ME_e$, abbr. $\alpha\{\beta\}$

- former problem with **Nec** as $\langle t, t \rangle$: non-compositional extensional interpretation
- $\mathbf{Nec} \in ME_{\langle \langle s, t \rangle, t \rangle} - \{0, 1\}^{(\{0, 1\}^{W \times T})}$
- from (from indices to truth values = propositions) to truth values
- we could give $\Box\phi$ as $\mathbf{Nec}(\hat{\phi})$

- ‘former’ as in ‘a former member of this club’
- instead of $\langle\langle e, t \rangle, \langle e, t \rangle\rangle$
- intensionally: $\langle\langle s, \langle e, t \rangle \rangle, \langle e, t \rangle\rangle$
- extensions at all indices accessible via intension: those individuals bearing property $\langle e, t \rangle$ not at current but at some past index qualify
- formally: $\llbracket \text{For} \rrbracket_{\mathcal{M}, g}^{\mathcal{M}, g}$ is a func. h s.t. for any property k , $h(\langle w, t \rangle)(k)$ is the set $k(\langle w, t' \rangle)$ for all $t' < t$.
- So, for any individual x $h(\langle w, t \rangle)(k)(x) = 1$ iff $k(\langle w, t' \rangle)(x) = 1$ for some $t' < t$.

- relations between individuals and propositions
- $\langle \langle s, t \rangle, \langle e, t \rangle \rangle$
- $\text{Bel}(\wedge(B(m))(j))$ *John believes that Miss America is bald.*
- take the model from page 134 (Dowty et al.):
- $\llbracket B(m) \rrbracket^{M, w_2, t_1, g} = 1$ since $\llbracket m \rrbracket^{M, w_2, t_1, g} = \llbracket n \rrbracket^{M, w_2, t_1, g}$
- however: $\llbracket \wedge(B(m)) \rrbracket^{M, w_2, t_1, g} \neq \llbracket \wedge(B(n)) \rrbracket^{M, w_2, t_1, g}$

- $\text{Bel}(\hat{}(B(m))(j))$ 'John believes that Miss America is bald.'
- $\text{Bel}(\hat{}(B(n))(j))$ 'John believes that Norma is bald.'
- needn't be equal: John can take worlds other than $\langle w_2, t_1 \rangle$ into account where $\llbracket n \rrbracket \neq \llbracket m \rrbracket$
- $\alpha = \beta \rightarrow [\phi \leftrightarrow \phi^{[\alpha/\beta]}]$ is true iff α is not in the scope of $\hat{}, \mathbf{F}, \mathbf{P}, \Box$ (oblique contexts)
- however: $\hat{}\alpha = \hat{}\beta \rightarrow [\phi \leftrightarrow \phi^{[\alpha/\beta]}]$

- like so: $\lambda x [\mathbf{Bel}(\hat{B}(x))(j)](m)$
- the above is true at an index $\langle w, t \rangle$ iff $\llbracket \mathbf{Bel}(\hat{B}(x))(j) \rrbracket^{w,t} = 1$
if $\llbracket m \rrbracket^{w,t} = x$, i.e. if John is in a believe-rel with $\hat{B}(x)$
s.t. $g(x) = m$ (by semantics of λ)
- Why is $\hat{B}(x)$ not equal to $\hat{B}(m)$?
- constant m : non-rigid designator relativized to indices
- variable x : a rigid designator by def. of g (for the relevant checking case with $g(x) = \text{MissAmerica}$)
- the above: a belief about 'whoever m is'
- λ conversion is restricted in IL!

- *John believes that a republican will win.*
- $\exists x [Rx \wedge \mathbf{Bel}(j, \mathbf{F}W(x))]$
- $\mathbf{Bel}(j, \mathbf{F}\exists x [R(x) \wedge W(x)])$

Kontakt

Prof. Dr. Roland Schäfer
Institut für Germanistische Sprachwissenschaft
Friedrich-Schiller-Universität Jena
Fürstengraben 30
07743 Jena

<https://rolandschaefer.net>
roland.schaefer@uni-jena.de

Creative Commons BY-SA-3.0-DE

Dieses Werk ist unter einer Creative Commons Lizenz vom Typ *Namensnennung - Weitergabe unter gleichen Bedingungen 3.0 Deutschland* zugänglich. Um eine Kopie dieser Lizenz einzusehen, konsultieren Sie

<http://creativecommons.org/licenses/by-sa/3.0/de/> oder wenden Sie sich brieflich an Creative Commons, Postfach 1866, Mountain View, California, 94042, USA.