

# Formale Semantik

## 07. Einfach getypte höherstufige L-Sprachen

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stets aktuelle Fassungen: <https://github.com/rsling/VL-Deutsche-Syntax>

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  - Sets and characteristic functions
  - Functional application
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  - New names for old categories
- 3 Lambda languages
  - The syntax of types
  - Higher orders
  - Summed up semantics for a higher-order language

## Preliminaries

# Montague and the generative tradition

- Chierchia & McConnell-Ginet, Heim & Kratzer, etc.: GB-ish semantics
- both syntax and LF in phrase structures
- LF as a proper linguistic level of representation
- Montague: direct translation of NL into logic
- Montague's LF is just a notational system for NL semantics

# Targets for this week

- Learn to tell the difference between the montagovian and generative approach.
- See the advantage of a general theory of typed languages.
- Understand how  $\lambda$  languages allow dramatically elegant formalizations.
- ... while keeping in mind that these devices are extensions to our PC representation for NL semantics.

- denotations in set/function-theoretic terms
- a **characteristic function (CF)**  $\mathcal{S}$  of a set  $S$ :  
 $\mathcal{S}(a) = 1$  *iff*  $a \in S$ , *else* 0
- a CF ‘checks’ individuals into a set
- denotations can be stated as sets or their CF

- interpretation for  $[_S \text{ NP VP}]$ :  
 $\llbracket [_S \text{ NP VP}] \rrbracket^{\mathcal{M},g} = 1$  iff  $\llbracket \text{NP} \rrbracket^{\mathcal{M},g} \in \llbracket \text{VP} \rrbracket^{\mathcal{M},g}$
- Montague generally used CF's in definitions
- evaluating  $[_S [_{\text{NP}} \text{ Mary}] [_{\text{VP}} \text{ sleeps}]]$  as a matter of **functional application (FA)**:
  - ▶  $\llbracket \text{Mary} \rrbracket^{\mathcal{M},g} = \text{Mary in } \mathcal{M}$
  - ▶  $\llbracket \text{sleeps} \rrbracket^{\mathcal{M},g}$  be the CF of the set of sleepers in  $\mathcal{M}$
  - ▶  $\llbracket S \rrbracket^{\mathcal{M},g} = \llbracket \text{sleeps} \rrbracket^{\mathcal{M},g}(\llbracket \text{Mary} \rrbracket^{\mathcal{M},g})$
  - ▶ ideally: generalize to all nodes

# The superscript notation

- all functions from  $S_1$  to  $S_2$
- $S_2^{S_1}$
- for  $T = \{0, 1\}$ 
  - ▶  $T^D$ : all  $\text{pred}_1$
  - ▶  $T^{D \times D}$ : all  $\text{pred}_2$
-



Simply typed languages

- base for Dowty et al.:  $L_1$ , a first-order predicate language as we know it
- semantic renaming of types:
  - ▶ terms:  $\langle e \rangle$  (entity-denoting)
  - ▶ formulas:  $\langle t \rangle$  (truth-valued)
  - ▶  $\text{pred}_1$ :  $\langle e, t \rangle$
  - ▶  $\text{pred}_2$ :  $\langle e, \langle e, t \rangle \rangle$

# Possible denotations of types

- $D_\alpha$  possible denotation (a set) of expressions of type  $\alpha$
- $D_{\langle e \rangle} = U$  (Dowty et al.'s  $A$ )
- $D_{\langle t \rangle} = \{0, 1\}$
- recursively:  $D_{\langle \alpha, \beta \rangle} = D_{\langle \beta \rangle}^{D_{\langle \alpha \rangle}}$
- e.g.,  $D_{\langle e, t \rangle} = D_{\langle t \rangle}^{D_{\langle e \rangle}}$
- $D_{\langle e, \langle e, t \rangle \rangle} = (D_{\langle t \rangle}^{D_{\langle e \rangle}})^{D_{\langle e \rangle}}$
- just a systematic way of naming types, model-theoretic interpretations still by  $V, g$

- in our PS syntax: S as start symbol
- in the typed system: sentences should be of type  $\langle t \rangle$
- **complex types: functions from  $\langle e \rangle$  to  $\langle t \rangle$**   
or generally **from any (complex) type to any (complex) type**

- **saturation** of complex types by FA:
  - ▶  $\gamma$  is of type  $\langle e, \langle e, t \rangle \rangle$ ,  $\delta$  of  $\langle e, t \rangle$ ,  $\alpha$  and  $\beta$  of  $\langle e \rangle$
  - ▶ then  $\gamma(\alpha)$  is of type  $\langle e, t \rangle$
  - ▶ and  $\delta(\beta)$  is of type  $\langle t \rangle$
- for any pred<sub>2</sub>  $P$  and its arguments  $a_1, a_2$ ,  $P(a_2)(a_1)$  is a wff
- connectives are of types  $\langle t, t \rangle$  ( $\neg$ ),  $\langle t, \langle t, t \rangle \rangle$  ( $\wedge$ , etc.)

- generalized CF/FA approach
- $\langle e \rangle$ -types (terms):
  - $\llbracket a_n \rrbracket^{\mathcal{M},g} = V(a_n)$
  - $\llbracket x_n \rrbracket^{\mathcal{M},g} = g(x_n)$
- the rest: functional application
  - $\llbracket \delta(\alpha) \rrbracket^{\mathcal{M},g} = \llbracket \delta \rrbracket^{\mathcal{M},g}(\llbracket \alpha \rrbracket^{\mathcal{M},g})$

- *Type* is the set of types
- recursively defined complex types  $\langle a, b \rangle$ : infinite
- type label  $\langle \alpha \rangle$
- vs. set of meaningful expressions of that type:  $ME_{\langle \alpha \rangle}$

- first order languages: variables over individuals ( $\langle e \rangle$ -types)
- n-order: **variables over higher types** ( $\langle e, t \rangle$ -types etc.)
- $P_{\langle e, t \rangle}$  or  $Q_{\langle e, \langle e, t \rangle \rangle}$ : constants of higher types
- so:  $v_{1_{\langle e, t \rangle}} [v_1(m)]$
- if  $V(m) = \text{Mary}$ ,  $v_1$  is the set of all of Mary's properties



- we write:
  - ▶  $v_{n\langle\alpha\rangle}$  for the n-th variable of type  $\langle\alpha\rangle$
  - ▶ Dowty et al.:  $v_{n,\langle\alpha\rangle}$
- alternatively abbreviated by old symbols  $x_1$ ,  $a$ ,  $P$ , etc.

- non-logical constant  $\alpha$ :  $\llbracket \alpha \rrbracket^{\mathcal{M},g} = V(\alpha)$
- variable  $\alpha$ :  $\llbracket \alpha \rrbracket^{\mathcal{M},g} = V(\alpha)$
- $\alpha \in \langle a, b \rangle$ ,  $\beta \in a$ , then  $\llbracket \alpha(\beta) \rrbracket^{\mathcal{M},g} = \llbracket \alpha \rrbracket^{\mathcal{M},g}(\llbracket \beta \rrbracket^{\mathcal{M},g})$

- logical constants interpreted as functions in  $\{0,1\}$  as usual
- if  $v_{1\langle\alpha\rangle}$  is a variable and  $\phi \in ME_t$   
then  $\llbracket (\forall v_1)\phi \rrbracket^{\mathcal{M},g} = 1$  iff  
for all  $a \in D_\alpha$   $\llbracket \phi \rrbracket^{\mathcal{M},g[a/v_1]} = 1$

# An example

- quantified variable of type  $\langle e, t \rangle$ :  $v_{0_{\langle e, t \rangle}}$
- $\forall v_{0_{\langle e, t \rangle}} \left[ v_{0_{\langle e, t \rangle}}(j) \rightarrow v_{0_{\langle e, t \rangle}}(d) \right]$
- for  $j, d \in ME_{\langle e \rangle}$
- one property of every individual: being alone in its union set
- hence,  $j = d$
- else in  $\forall v_{0_{\langle e, t \rangle}}$ ,  $\forall$  wouldn't hold

- productive adjectival prefix: *non-adjacent*, *non-local*, etc.
- inverting the characteristic function of the adjective
- result denotes complement of the original adjective in  $D_{\langle e \rangle}$
- *adjective*:  $\langle e, t \rangle$ , *non*:  $\langle \langle e, t \rangle, \langle e, t \rangle \rangle$
- a function  $h$  s.t. for every  $k \in D_{\langle e, t \rangle}$  and every  $d \in D_{\langle e \rangle}$   
 $(h(k))(d) = 1$  iff  $k(d) = 0$  and  
 $(h(k))(d) = 0$  iff  $k(d) = 1$

- **understood objects** in: *I eat.* - *Vanity kills.* - etc.
- *eat* is in  $ME_{\langle e, \langle e, t \rangle \rangle}$
- assume a **silent logical constant**:  $R_O$  in  $ME_{\langle \langle e, \langle e, t \rangle \rangle, \langle e, t \rangle \rangle}$
- a function  $h$  s.t. for all  $k \in D_{\langle e, \langle e, t \rangle \rangle}$  and all  $d \in D_{\langle e \rangle}$   
 $h(k)(d) = 1$  iff there is some  $d' \in D_{\langle e \rangle}$  s.t.  $k(d')(d) = 1$
- passives as similar subject deletion

## Lambda languages

# All there is to $\lambda$

- a new **variable binder**
- allows **abstraction over wff's of arbitrary complexity**
- similar to  $\{x \mid \phi\}$  (read as 'the set of all  $x$  s.t.  $\phi$ ')
- we get  $\lambda x [\phi]$
- on Montague's typewriter:  $\hat{x} [\phi]$
- does not create a set but a function which can be taken as the CF of a set



- for every wff  $\phi$ , any  $x \in Var$ , and any  $a \in Con$
- $\lambda$  abstraction:  $\phi \rightarrow \lambda x [\phi^{[a/x]}] (a)$
- read  $\phi^{(a/x)}$  as ‘ $\phi$  with every  $a$  replaced by  $x$ ’
- $x$  can be of any type

## Two informal examples

- $\lambda x_{\langle e \rangle} [L(x)]$  is the characteristic function of the set of those individuals  $d \in D_{\langle e \rangle}$  which have property  $L$
- $\lambda x_{\langle e, t \rangle} [x(l)]$  is the characteristic function of the set of those properties  $k \in D_{\langle e, t \rangle}$  that the individual  $l$  has

- $\lambda x [L(x)]$  is the abstract of  $L(a)$  (with some individual  $a$ )
- hence, it holds:  $\lambda x [L(x)] (a) \Leftrightarrow L(a)$
- for every wff  $\phi$ , any  $x \in Var$ , and any  $a \in Con$
- $\lambda$  conversion:  $\lambda x [\phi] (a) \rightarrow \phi^{[x/a]}$

- $\lambda x [\phi] (a) \leftrightarrow \phi^{[x/a]}$
- not just syntactically, since truth conditions are equivalent
- $\lambda x [\phi] (a) \Leftrightarrow \phi^{[x/a]}$
- notice:  $\lambda x_{\langle \alpha \rangle} [\phi]$  is in  $ME_{\langle \alpha, t \rangle}$
- while  $\phi$  (as a wff) is in  $ME_{\langle t \rangle}$

- Dowty et al., 102f. (*Syn C.10* and *Sem 10*)
- If  $\alpha \in ME_\alpha$  and  $u \in Var_b$ , then  $\lambda u [\alpha] \in ME_{\langle b, a \rangle}$ .
- If  $\alpha \in ME_a$  and  $u \in Var_b$  then  $\llbracket \lambda u [\alpha] \rrbracket^{\mathcal{M}, g}$  is that function  $h$  from  $D_b$  into  $D_a$  s.t. for all objects  $k$  in  $D_b$ ,  $h(k)$  is equal to  $\llbracket \alpha \rrbracket^{\mathcal{M}, g[k/u]}$ .

# The *non* example revised (Dowty et al., 104)

- $\forall x \forall v_{0\langle e,t \rangle} \left[ (\mathbf{non}(v_{0\langle e,t \rangle}))(x) \leftrightarrow \neg(v_{0\langle e,t \rangle}(x)) \right]$
- $\forall v_{0\langle e,t \rangle} \left[ \lambda x \left[ (\mathbf{non}(v_{0\langle e,t \rangle}))(x) \right] = \lambda x \left[ \neg(v_{0\langle e,t \rangle}(x)) \right] \right]$
- $\forall v_{0\langle e,t \rangle} \left[ \mathbf{non}(v_{0\langle e,t \rangle}) = \lambda x \left[ \neg(v_{0\langle e,t \rangle}(x)) \right] \right]$   
(since  $\lambda x [\mathbf{non}(v)(x)]$  is unnecessarily abstract/ $\eta$  reduction)
- $\lambda v_{0\langle e,t \rangle} \left[ \mathbf{non}(v_{0\langle e,t \rangle}) = \lambda v_{0\langle e,t \rangle} \left[ \lambda x \left[ \neg(v_{0\langle e,t \rangle}(x)) \right] \right] \right]$
- and since that is about all assignments for  $\lambda v_{0\langle e,t \rangle}$ :  
 $\mathbf{non} = \lambda v_{0\langle e,t \rangle} \left[ \lambda x \left[ \neg v_{0\langle e,t \rangle}(x) \right] \right]$

*Mary is non-adjacent.*

(translate 'adjacent' as  $c_{0\langle e,t \rangle}$ , 'Mary' as  $c_{0\langle e \rangle}$ , ignore the copula)

# The behavior of quantified NPs

- syntactically like referential NPs
- semantically like PC quantifiers
- *Every student walks.*:  $\forall v_{0\langle e \rangle} \left[ c_{0\langle e, t \rangle}(v_{0\langle e \rangle}) \rightarrow c_{1\langle e, t \rangle}(v_{0\langle e \rangle}) \right]$
- *Some student walks.*:  $\forall v_{0\langle e \rangle} \left[ c_{0\langle e, t \rangle}(v_{0\langle e \rangle}) \wedge c_{1\langle e, t \rangle}(v_{0\langle e \rangle}) \right]$
- making referential NPs and QNPs **the same type?**



# A higher type

- $\lambda v_{0\langle e,t \rangle} \forall v_{0\langle e \rangle} \left[ c_{0\langle e,t \rangle}(v_{0\langle e \rangle}) \rightarrow v_{0\langle e,t \rangle}(v_{0\langle e \rangle}) \right]$
- a second order function
- characterizes the set of all predicates true of every student
- equally:  $\lambda v_{0\langle e,t \rangle} \exists v_{0\langle e \rangle} \left[ c_{0\langle e,t \rangle}(v_{0\langle e \rangle}) \wedge v_{0\langle e,t \rangle}(v_{0\langle e \rangle}) \right]$

# Combining with some predicate



## Kontakt

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