1	A search for sparticles in zero lepton final states
2	Russell W. Smith

3	Submitted in partial fulfillment of the
4	requirements for the degree of
5	Doctor of Philosophy
6	in the Graduate School of Arts and Sciences

7 COLUMBIA UNIVERSITY

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12	ABSTRACT
13	A search for sparticles in zero lepton final states
14	Russell W. Smith
15	TODO : Here's where your abstract will eventually go. The above text is all in the
16	center, but the abstract itself should be written as a regular paragraph on the page
17	and it should not have indentation. Just replace this text.

Contents

Acknowledgements

Dedication

Introduction

Particle physics is a remarkably successful field of scientific inquiry. The ability to precisely predict the properties of a exceedingly wide range of physical phenomena, 24 such as the description of the cosmic microwave background [Perdereau:2016akt, 25 Aghanim:2016sns], the understanding of the anomalous magnetic dipole moment 26 of the electron [Schwinger:1948iu, Laporta:1996mq], and the measurement of the 27 number of weakly-interacting neutrino flavors [ALEPH:2005ab] is truly amazing. 28 The theory that has allowed this range of predictions is the *Standard Model* of par-29 ticle physics (SM). The Standard Model combines the electroweak theory of Glashow, 30 Weinberg, and Salam [Glashow:1961tr, Weinberg:1967tq, Salam:1968rm] with 31 the theory of the strong interactions, as first envisioned by Gell-Mann and Zweig 32 [GellMann:1964nj, Zweig:1964jf]. This quantum field theory (QFT) contains a 33 tiny number of particles, whose interactions describe phenomena up to at least the TeV scale. These particles are manifestations of the fields of the Standard Model, 35 after application of the Higgs Mechanism. The particle content of the SM consists only of the six quarks, the six leptons, the four gauge bosons, and the scalar Higgs boson. 38 Despite its impressive range of described phenomena, the Standard Model 39 has some theoretical and experimental deficiencies. The SM contains 26 free 40 parameters 1 . It would be more theoretically pleasing to understand

¹This is the Standard Model corrected to include neutrino masses. These parameters are the fermion masses (6 leptons, 6 quarks), CKM and PMNS mixing angles (8 angles, 2 CP-violating phases), W/Z/Higgs masses (3), the Higgs field expectation value, and the couplings of the strong,

these free parameters in terms of a more fundamental theory. The major theoretical concern of the Standard Model, as it pertains to this thesis, is the hierarchy problem Weinberg:1975gm, Weinberg:1979bn, Gildener:1976ai, 44 Susskind:1978ms, susyPrimer. The light mass of the Higgs boson (125 GeV) should be quadratically dependent on the scale of UV physics, due to the quan-46 tum corrections from high-energy physics processes. The most perplexing exper-47 48 imental issue is the existence of dark matter, as demonstrated by galactic rotation curves [Rubin:1970zza, Roberts:1970zza, Rubin:1980zd, Rubin:1985ze, 49 Bosma:1981zz, Persic:1995ru, darkMatterPrimer. This data has shown that 50 there exists additional matter which has not yet been seen interacting with the par-51 ticles of the Standard Model. There is no particle in the SM which can act as a 52 candidate for dark matter. 53 Both of these major issues, as well as numerous others, can be solved by the in-54 troduction of supersymmetry (SUSY) [Miyazawa:1966mfa, Gervais:1971xj, 55 Gervais:1971ji, Golfand:1971iw, Neveu:1971rx, Neveu:1971iv, 56 Volkov:1973ix, Wess:1973kz, Salam:1974ig, Ferrara:1974ac, Wess:1974tw, 57 **susyPrimer**]. In supersymmetric theories, each SM particles has a so-called *super*-58 partner, or sparticle partner, differing from given SM particle by 1/2 in spin. These 59 theories solve the hierarchy problem, since the quantum corrections induced from the 60

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superpartners exactly cancel those induced by the SM particles. In addition, these

theories are usually constructed assuming R-parity, which can be thought of as the

"charge" of supersymmetry, with SM particles having R=1 and sparticles having

R=-1. In collider experiments, since the incoming SM particles have total R=1,

the resulting sparticles are produced in pairs. This produces a rich phenomenology,

which is characterized by significant hadronic activity and large missing transverse

⁶⁷ energy $(E_{\mathrm{T}}^{\mathrm{miss}})$, which provide significant discrimination against SM backgrounds

weak, and electromagnetic forces (3 α_{force}) .

8 [Farrar:1978xj].

Despite the power of searches for supersymmetry where $E_{\mathrm{T}}^{\mathrm{miss}}$ is a primary discrim-69 inating variable, there has been significant interest in the use of other variables to dis-70 criminate against SM backgrounds. These include searches employing variables such 71 as αT , $M_{T,2}$, and the razor variables (M_R, R^2) [SUSY-2014-05, SUSY-2014-06, 72 SUSY-2014-07, CMS-SUS-12-005, CMS-SUS-11-024, CMS-SUS-12-005, 73 CMS-SUS-10-003, CMS-SUS-11-003, CMS-SUS-12-002, CMS-SUS-13-019, 74 CMS-SUS-15-003, SUSY-2011-22. In this thesis, we will present the first search 75 for supersymmetry using the novel Recursive Jigsaw Reconstruction (RJR) technique. RJR can be considered the conceptual successor of the razor variables. We impose a particular final state "decay tree" on an events, which roughly corresponds to a 78 simplified Feynmann diagram in decays containing weakly-interacting particles. We 79 account for the missing degrees of freedom associated to the weakly-interacting parti-80 cles by a series of simplifying assumptions, which allow us to calculate our variables of 81 interest at each step in the decay tree. This allows an unprecedented understanding 82 of the internal structure of the decay and the ability to construct additional variables 83 to reject Standard Model backgrounds. 84

This thesis details a search for the superpartners of the gluon and quarks, the 85 gluino and squarks, in final states with zero leptons, with 13.3 fb⁻¹ of data using the 86 ATLAS detector. We organzie the thesis as follows. The theoretical foundations of 87 the Standard Model and supersymmetry are described in Chapters 2 and 3. The 88 Large Hadron Collider and the ATLAS detector are presented in Chapters 4 and 5. 89 Chapter 5 provides a detailed description of Recursive Jigsaw Reconstruction and a 90 description of the variables used for the particular search presented in this thesis. 91 Chapter 6 presents the details of the analysis, including details of the dataset, object 92 reconstruction, and selections used. In Chapter 7, the final results are presented; 93 since there is no evidence of a supersymmetric signal in the analysis, we present the 95 final exclusion curves in simplified supersymmetric models.

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The Standard Model

- 98 Here you can write some introductory remarks about your chapter. I like to give each
- 99 sentence its own line.
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o 2.1 Quantum Field Theory

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In this section, we provide a brief overview of the necessary concepts from Quantum Field Theory (QFT).

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- In modern physics, the laws of nature are described by the "action" S, with the
- imposition of the principle of minimum action. The action is the integral over the
- 107 spacetime coordinates of the "Lagrangian density" \mathcal{L} , or Lagrangian for short. The
- Lagrangian is a function of "fields"; general fields will be called $\phi(x^{\mu})$, where the
- indices μ run over the space-time coordinates. We can then write the action S as

$$S = \int d^4x \mathcal{L}[\phi_i(x^\mu), \partial_\mu \phi_i(x^\mu)]$$
 (2.1)

- where we have an additional summation over i (of the different fields). Generally, we impose the following constraints on the Lagrangian:
- 1. Translational invariance The Lagrangian is only a function of the fields ϕ and their derivatives $\partial_{\mu}\phi$
- 114 2. Locality The Lagrangian is only a function of one point x_{μ} in spacetime.

- 3. Reality condition The Lagrangian is real to conserve probability.
- 4. Lorentz invariance The Lagrangian is invariant under the Poincarégroup of spacetime.
- 5. Analyticity The Lagrangian is an analytical function of the fields; this is to allow the use of pertubation theory.
- 6. Invariance and Naturalness The Lagrangian is invariant under some internal symmetry groups; in fact, the Lagrangian will have *all* terms allowed by the imposed symmetry groups.
 - 7. Renormalizabilty The Lagrangian will be renormalizable in practice, this means there will not be terms with more than power 4 in the fields.
 - The key item from the point of view of this thesis is that of "Invariance and Natural". We impose a set of "symmetries" and then our Lagragian is the most general which is allowed by those symmetries.

128 2.2 Symmetries

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Symmetries can be seen as the fundamental guiding concept of modern physics. Symmetries are described by "groups". To illustrate the importance of symmetries and their mathematical description, groups, we start here with two of the simplest and most useful examples: \mathbb{Z}_2 and U(1).

133 \mathbb{Z}_2 symmetry

134 \mathbb{Z}_2 symmetry is the simplest example of a "discrete" symmetry. Consider the most 135 general Lagrangian of a single real scalar field $\phi(x_{\mu})$

$$\mathcal{L}_{\phi} = \frac{1}{2} \partial_{\mu} \phi \partial^{\mu} \phi - \frac{m^2}{2} \phi^2 - \frac{\mu}{2\sqrt{2}} \phi^3 - \lambda \phi^4$$
 (2.2)

Now we *impose* the symmetry

$$\mathcal{L}(\phi) = \mathcal{L}(-\phi) \tag{2.3}$$

This has the effect of restricting the allowed terms of the Lagrangian. In particular, we can see the term $\phi^3 \to -\phi^3$ under the symmetry transformation, and thus must be disallowed by this symmetry. This means under the imposition of this particular symmetry, our Lagrangian should be rewritten as

$$\mathcal{L}_{\phi} = \frac{1}{2} \partial_{\mu} \phi \partial^{\mu} \phi - \frac{m^2}{2} \phi^2 - \lambda \phi^4 \tag{2.4}$$

The effect of this symmetry is that the total number of ϕ particles can only change by even numbers, since the only interaction term $\lambda \phi^4$ is an even power of the field. This symmetry is often imposed in supersymmetric theories, as we will see in Chapter 3.

U(1) symmetry

145 U(1) is the simplest example of a continuous (or Lie) group. Now consider a theory 146 with a single complex scalar field $\phi = \text{Re } \phi + i \text{Im } \phi$

$$\mathcal{L}_{\phi} = \delta_{i,j} \frac{1}{2} \partial_{\mu} \phi_i \partial^{\mu} \phi_j - \frac{m^2}{2} \phi_i \phi_j - \frac{\mu}{2\sqrt{2}} \phi_i \phi_j \phi_k - \lambda \phi_i \phi_j \phi_k \phi_l$$
 (2.5)

where i, j, k, l = Re, Im. In this case, we impose the following U(1) symmetry $\phi \mapsto e^{i\theta}, \phi^* \to e^{-i\theta}$. We see immediately that this again disallows the third-order terms, and we can write a theory of a complex scalar field with U(1) symmetry as

$$\mathcal{L}_{\phi} = \partial_{\mu}\phi \partial^{\mu}\phi^* - \frac{m^2}{2}\phi\phi^* - \lambda(\phi\phi^*)^2$$
 (2.6)

150 2.3 Local symmetries

The two examples considered above are "global" symmetries in the sense that the symmetry transformation does not depends on the spacetime coordinate x_{μ} . We know look at local symmetries; in this case, for example with a local U(1) symmetry, the transformation has the form $\phi(x_{\mu}) \to e^{i\theta(mu)}\phi(x_{\mu})$. These symmetries are also known as "gauge" symmetries; all symmetries of the Standard Model are gauge symmetries.

There are wide-ranging consequences to the imposition of local symmetries. To begin, we note that the derivative terms of the Lagrangian ?? are *not* invariant under a local symmetry transformation

$$\partial_{\mu}\phi(x_{\mu}) \to \partial_{\mu}(e^{(i\theta(x_{\mu})\phi(x_{\mu}))} = (1 + i\theta(x_{\mu}))e^{(i\theta(x_{\mu})\phi(x_{\mu})}$$
(2.7)

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This leads us to note that the kinetic terms of the Lagrangian are also not invariant under a gauge symmetry. This would lead to a model with no dynamics, which is clearly unsatisfactory.

Let us take inspiration from the case of global symmetries. We need to define a so-called "covariant" derivative D^{μ} such that

$$D^{\mu}\phi \to e^{iq\theta(x^{\mu})D^{\mu}\phi}$$

$$D^{\mu}\phi^* \to e^{-iq\theta(x^{\mu})D^{\mu}\phi}$$
(2.8)

Since ϕ and ϕ^* transforms with the opposite phase, this will lead the invariance of the Lagrangian under our local gauge transformation. This D^{μ} is of the following form

$$D^{\mu} = \partial_{\mu} - igqA^{\mu} \tag{2.9}$$

where A^{μ} is a vector field we introduce with the transformation law

$$A^{\mu} \to A^{\mu} - \frac{1}{g} \partial_{\mu} \theta \tag{2.10}$$

and g is the coupling constant associated to vector field. This vector field A^{μ} is also known as a "gauge" field.

Since we need to add all allowed terms to the Lagrangian, we define

$$F^{\mu\nu} = A^{\mu}A^{\nu} - A^{\nu}A^{\mu} \tag{2.11}$$

and then we must also add the kinetic term:

$$\mathcal{L}_{\text{gauge}} = -\frac{1}{4} F^{\mu\nu} F_{\mu\nu} \tag{2.12}$$

The most general renormalizable Lagrangian with fermion and scalar fields can be written in the following form

$$\mathcal{L} = \mathcal{L}_{kin} + \mathcal{L}_{\phi} + \mathcal{L}_{\psi} + \mathcal{L}Yukawa \tag{2.13}$$

172 Symmetry breaking and the Higgs mechanism

Here we view some examples of symmetry breaking. We investigate breaking of a global U(1) symmetry and a local U(1) symmetry. The SM will break the electroweak symmetry SU(2)xU(1), and in Chapter 3 we will see how supersymmetry must also be broken.

177 There are two ideas of symmetry breaking

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• Explicit symmetry breaking by a small parameter - in this case, we have a small parameter which breaks an "approximate" symmetry of our Lagrangian. An example would be the theory of the single scalar field ??, when $\mu << m^2$ and $\mu << \lambda$. In this case, we can often ignore the small term when considering low-energy processes.

• Spontaneous symmetry breaking (SSB) - spontaneous symmetry breaking occurs when the Lagrangian is symmetric with respect to a given symmetry transformation, but the ground state of the theory is *not* symmetric with respect to that transformation. This can have some fascintating consequences, as we will see in the following examples

188 Symmetry breaking a

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189 U(1) global symmetry breaking

Consider the theory of a complex scalar field under the U(1) symmetry, or the transformation

$$\phi \to e^{i\theta} \phi$$
 (2.14)

The Lagrangian for this theory is

$$\mathcal{L} = \partial^{\mu} \phi^{\dagger} \partial_{\mu} \phi + \frac{\mu^{2}}{2} \phi^{\dagger} \phi + \frac{\lambda}{4} (\phi^{\dagger} \phi)^{2}$$
 (2.15)

Let us write this theory in terms of two scalar fields, h and ξ : $\phi = (h+i\xi)/\sqrt(2)$. The Lagrangian can then be written as

$$\mathcal{L} = \partial^{\mu} h \partial_{\mu} h + \partial^{\mu} \xi dm u \xi - \frac{\mu^{2}}{2} (h^{2} + \xi^{2}) - \frac{\lambda}{4} (h^{2} + \xi^{2})^{2}$$
 (2.16)

First, note that the theory is only stable when $\lambda > 0$. To understand the effect of SSB, we now enforce that $\mu^2 < 0$, and define $v^2 = -\mu^2/\lambda$. We can then write the scalar potential of this theory as:

$$V(\phi) = \lambda (\phi^{\dagger} \phi - v^2/2)^2 \tag{2.17}$$

Minimizing this equation with respect to ϕ , we can see that the "vacuum expectation value" of the theory is

$$2 < \phi^{\dagger} \phi > = < h^2 + \xi^2 > = v^2 \tag{2.18}$$

We now reach the "breaking" point of this procedure. In the (h, ξ) plane, the minima form a circle of radius v. We are free to choose any of these minima to expand our Lagrangian around; the physics is not affected by this choice. For convenience, choose $< h >= v, < \xi^2 >= 0$.

Now, let us define $h' = h - v, \xi' = \xi$ with VEVs $\langle h' \rangle = 0, \langle \xi' \rangle = 0$. We can then write our spontaneously broken Lagrangian in the form

$$\mathcal{L} = \frac{1}{2} \partial_{\mu} h' \partial^{\mu} h' + \frac{1}{2} \partial_{\mu} \xi' \partial^{\mu} \xi' - \lambda v^2 h'^2 - \lambda v h' (h'^2 + \xi'^2) - \lambda (h'^2 + \xi'^2)^2$$
 (2.19)

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Add a picture of the potential

195 U(1) local symmetry breaking

196 2.4 The Standard Model

197 Overview

198 The Standard Model is another name for the theory of the internal symmetry group

199 $SU(3)_C x SU(2)_L x U(1)_Y$. This quantum field theory is the culmination of years of

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200 work in both theoretical and particle physics.

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202 Field Content

The SM field content is

Fermions
$$Q_L(3,2)_{+1/3}$$
, $U_R(3,1)_{+4/3}$, $D_R(3,1)_{-2/3}$, $L_L(1,2)_{-1}$, $E_R(1,1)_{-2}$
Scalar (Higgs) $\phi(1,2)_{+1/2}$ (2.20)

Vector Fields
$$G^{\mu}(8,1)_0 W^{\mu}(1,3)_0 B^{\mu}(1,1)_0$$

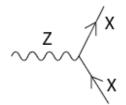
where the $(A,B)_Y$ notation represents the irreducible representation under SU(3)

and SU(2), with Y being the electroweak hypercharge. Each of these fields has an

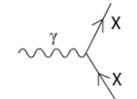
205 additional index, representing the three generation of fermions.

Figure 2.1: The interactions of the Standard Model

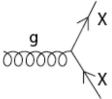
Standard Model Interactions (Forces Mediated by Gauge Bosons)



X is any fermion in the Standard Model.



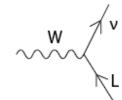
X is electrically charged.



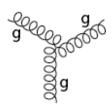
X is any quark.

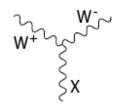


U is a up-type quark; D is a down-type quark.

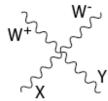


L is a lepton and v is the corresponding neutrino.

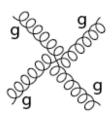




X is a photon or Z-boson.



X and Y are any two electroweak bosons such that charge is conserved.



We observed that Q_L, U_R , and D_R are triplets under $SU(3)_C$; these are the quark 206 fields. The "color" group, $SU(3)_C$ is mediated by the "gluon" field $G^{\mu}(8,1)_0$, which 207 has 8 degrees of freedom; we say there are 8 gluons. The fermion fields $L_L(1,2)_{-1}$ 208 and $E_R(1,1)_{-2}$ are singlets under $SU(3)_C$; we call them leptons. 209 Next, we note the "left-handed" ("right-handed") fermion fields, denoted by L(R)210 subscript, The left-handed fields form doublets under $SU(2)_L$. These are mediated 211 by the three degrees of freedom of the "W" fields $W^{\mu}(1,3)_0$. These fields only act 212 on the left-handed particles of the Standard Model. This is the reflection of the 213 "chirality" of the Standard Model; the left-handed and right-handed particles are 214 treated differently by the electroweak forces. The right-handed fields, U_R , D_R , and 215 E_R , are singlets under $SU(2)_L$. 216 The $U(1)_Y$ symmetry is associated to the $B^{\mu}(1,1)_0$ boson with one degree of 217 freedom. We note that this field is associated with the charge Y of the other particles. 218

219 \mathcal{L}_{kin}

For each of the vector boson fields, we have the follow field strengths:

$$G_a^{\mu\nu} = \partial^{\mu} G_a^{\nu} + \partial^{\nu} G_a^{\mu} - g_s f_{abc} G_b^{\mu} G_c^{\nu}$$

$$W_a^{\mu\nu} = \partial^{\mu} W_a^{\nu} + \partial^{\nu} W_a^{\mu} - g \epsilon_{abc} W_b^{\mu} W_c^{\nu}$$

$$B^{\mu\nu} = \partial^{\mu} B^{\nu} + \partial^{\nu} B^{\mu}$$

$$(2.21)$$

where g and g_s are the electroweak and strong coupling constant.

We can write the covariant derivative for the Standard Model as

$$D^{\mu} = \partial^{\mu} + ig_s G^{\mu}_a L_a + igW^{\mu}_a T_a + ig'YB^{\mu}$$
 (2.22)

where L_a and T_a are the generators of $SU(3)_C$ and $SU(2)_L$ respectively for each of the representations. Explicitly, for the $SU(3)_C$ triplets, $L_a = \frac{1}{2}\lambda_a$ and for the $SU(3)_C$ singlets, $L_a = 0$. For $SU(2)_L$ doublets, $L_a = \frac{1}{2}\sigma_a$ and for $SU(2)_L$ singlets, $L_a = 0$. The combination of these terms allows us to write the kinetic terms of the Lagrangian as

$$\mathcal{L}_{kin} = G^{\mu\nu}G_{\mu\nu} + W^{\mu\nu}W_{\mu\nu} + B^{\mu\nu}B_{\mu\nu}$$

$$+ D^{\mu}Q_{L}D_{\mu}Q_{L} + D^{\mu}U_{R}D_{\mu}U_{R} + D^{\mu}D_{R}D_{\mu}D_{R} + D^{\mu}L_{L}D_{\mu}L_{L}L + D^{\mu}E_{R}D_{\mu}E_{R}$$

$$(2.23)$$

Let us now recall that local gauge invariance means that the vector fields in this theory are *massless*, yet we know only the photon vector field is massless. In the next section, we will see how masses are induced by electroweak symmetry breaking.

$_{228}$ 2.5 Electroweak Symmetry breaking and the

Higgs Boson

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3 2.6 Deficiencies of the Standard Model

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Supersymmetry

- 239 Here you can write some introductory remarks about your chapter. I like to give each
- 240 sentence its own line.
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3.1 Motivation

- 243 Only Additional allowed Lorentz invariant symmetry
- 244 Dark Matter
- ²⁴⁵ Cancellation of quadratic divergences in corrections to the
- 246 Higgs Mass
- 3.2 Supersymmetry
- 248 3.3 Additional particle content
- 249 3.4 Phenomenology
- 250 R parity Consequences for sq/gl decays

Chapter 4

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The Large Hadron Collider

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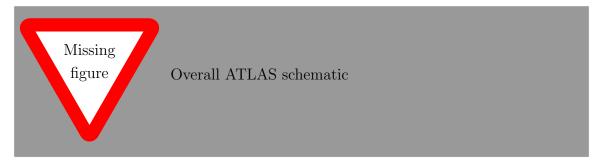
256 4.1 Magnets

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The ATLAS detector

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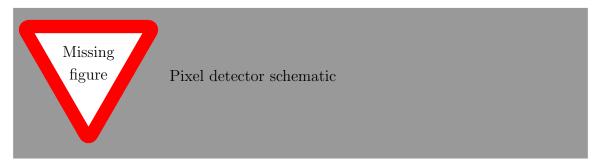
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⁷ 5.1 Inner Detector

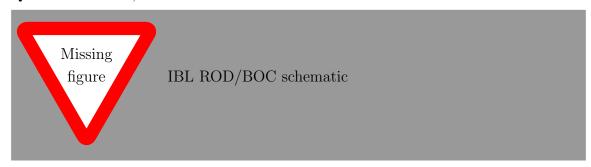
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271 Pixel Detector

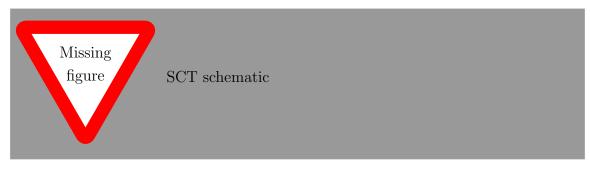


274 Insertable B-Layer

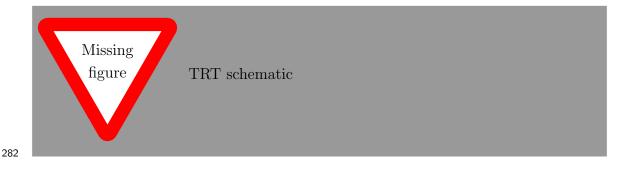
275 Qualification task, so add a bit more.



278 Semiconductor Tracker

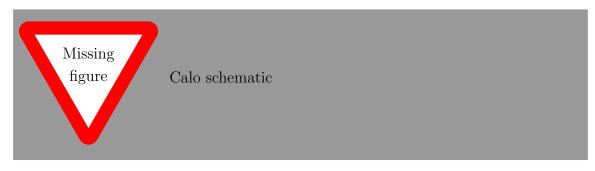


281 Transition Radiation Tracker



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284 5.2 Calorimeter

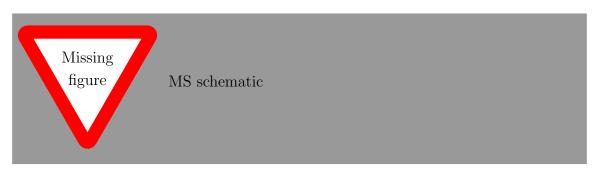


285 286

287 Electromagnetic Calorimeter

288 Hadronic Calorimeter

289 5.3 Muon Spectrometer



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Chapter 6

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The Recursive Jigsaw Technique

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- 295 sentence its own line.
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297 6.1 Razor variables

- 298 By using the asterisk to start a new section, I keep the section from appearing in the
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on 6.2 SuperRazor variables

- 302 6.3 The Recursive Jigsaw Technique
- 303 6.4 Variables used in the search for zero lepton
- SUSY

305	Chapter 7	
306	Title of Chapter 1	

Title of Chapter 1

Here you can write some introductory remarks about your chapter. I like to give each sentence its own line.

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312 8.1 Object reconstruction

³¹³ Photons, Muons, and Electrons

314 Jets

Missing transverse momentum

Probably longer, show some plots from the PUB note that we worked on

317 8.2 Signal regions

- 318 Gluino signal regions
- 319 Squark signal regions
- 320 Compressed signal regions

321 8.3 Background estimation

- 322 **Z** vv
- 323 **W** ev
- 324 ttbar

Chapter 9

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326

Title of Chapter 1

327 Here you can write some introductory remarks about your chapter. I like to give each

- 328 sentence its own line.
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330 9.1 Statistical Analysis

maybe to be moved to an appendix

332 9.2 Signal Region distributions

- 9.3 Pull Plots
- 334 9.4 Systematic Uncertainties
- 335 9.5 Exclusion plots

Conclusion

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New Section 9.6

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