



# Lecture 10: Searching algorithm (Part. 1)

## Algorithm

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Part 1

# **PRELIMINARIES**

# Preliminaries

## ❖ Record

- A unit space that contains **all information** about an object
  - e.g., Human record
    - Resident ID, name, address, phone number, etc.

## ❖ Field

- An element in a record that represents **each piece of information**
  - e.g., Resident ID in Human record

# Preliminaries

## ❖ (Search) key

- A field that **uniquely** represents each record to avoid duplication
- The key may consist of one or more fields
  - e.g., Account ID

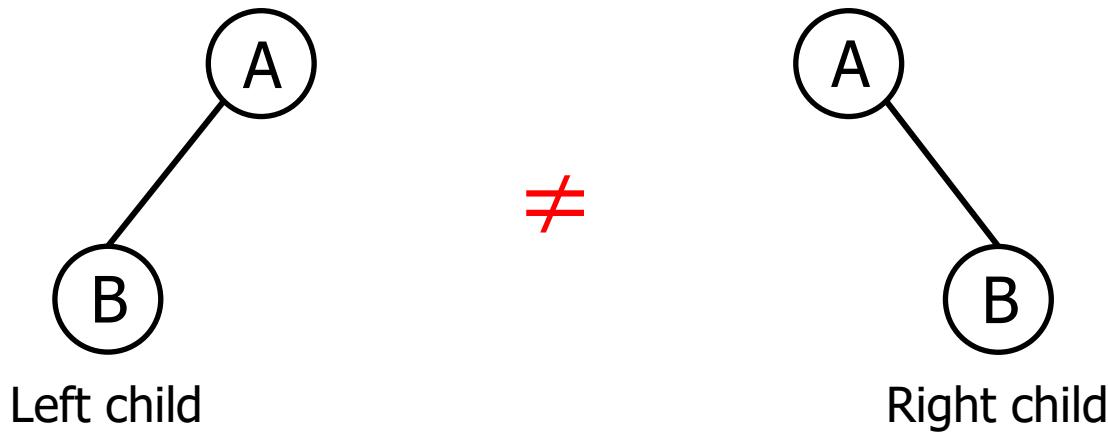
## ❖ Search tree

- A tree structure composed of nodes with keys following **specific rules**
- Allows identification of where a specific record is stored in the tree

# Preliminaries

## ❖ Binary tree

- A tree structure where each node has a maximum of **two child nodes**
- If the positions of the children differ, it becomes a different tree

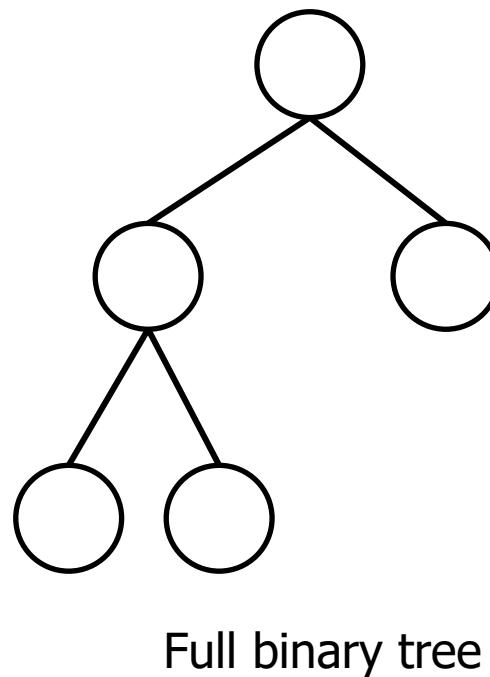
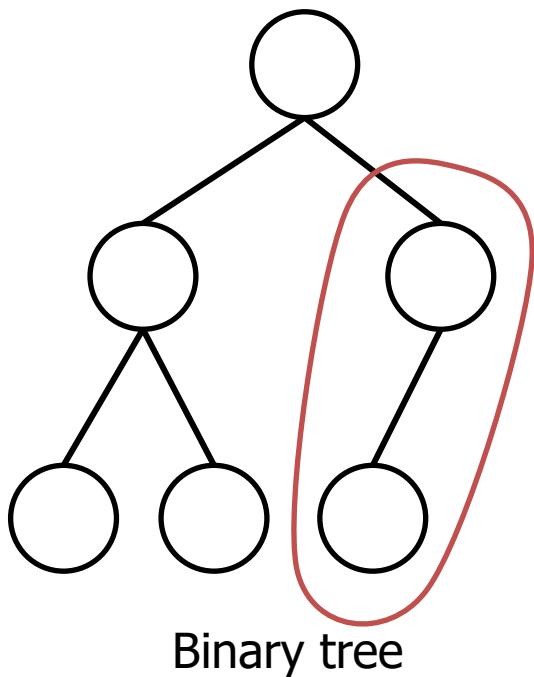


# Preliminaries

## ❖ Types of binary tree

### 1) Full binary tree

- A binary tree where every node has either **0 or 2** child nodes
  - Note that lead nodes are excluded

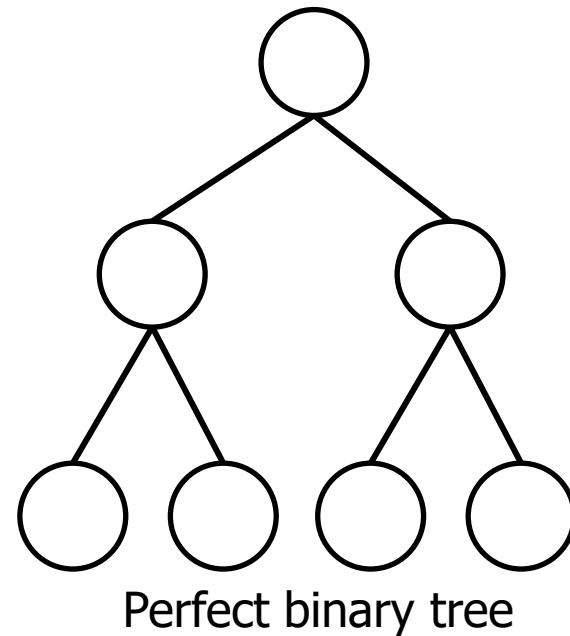


# Preliminaries

## ❖ Types of binary tree

### 2) Perfect binary tree

- A binary tree where every node has **2** child nodes
- All leaf nodes are at the **same level**
- Characteristics:
  - If the height is  $h$ , the total number of nodes is  $2^{(h+1)} - 1$
  - The number of leaf nodes is  $2^h$

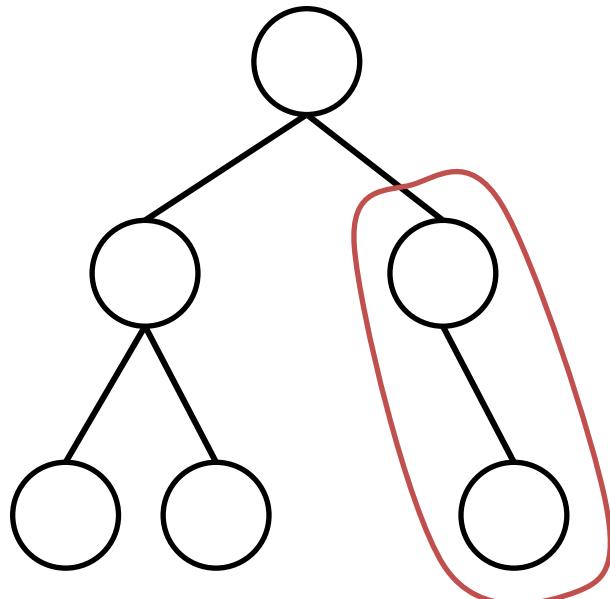


# Preliminaries

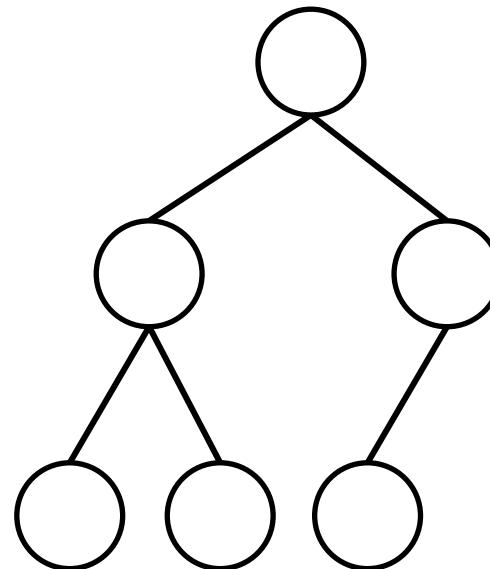
## ❖ Types of binary tree

### 3) Complete binary tree

- All nodes **must be filled** except for the last level
- All nodes are filled **from left to right**



Binary tree

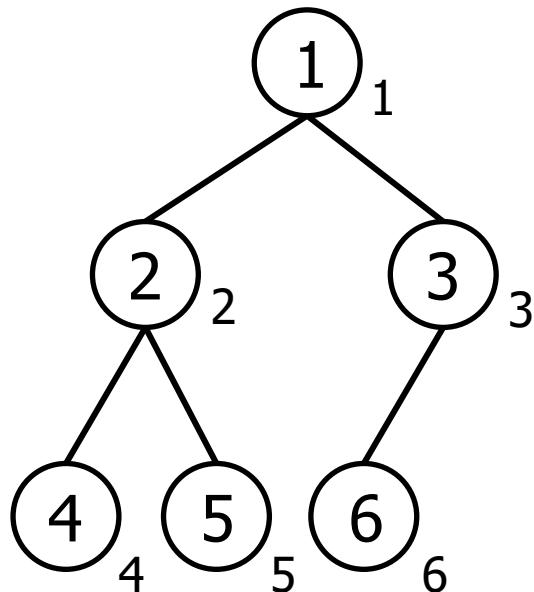


Complete binary tree

# Preliminaries

- ❖ Characteristics of complete binary tree

- Can be represented as an 1-d array



Index

0	1	2	3	4	5	6
-	1	2	3	4	5	6

- Left child of the  $i$ -th node:  $(i * 2)$ -th node
- Right child of the  $i$ -th node:  $(i * 2 + 1)$ -th node

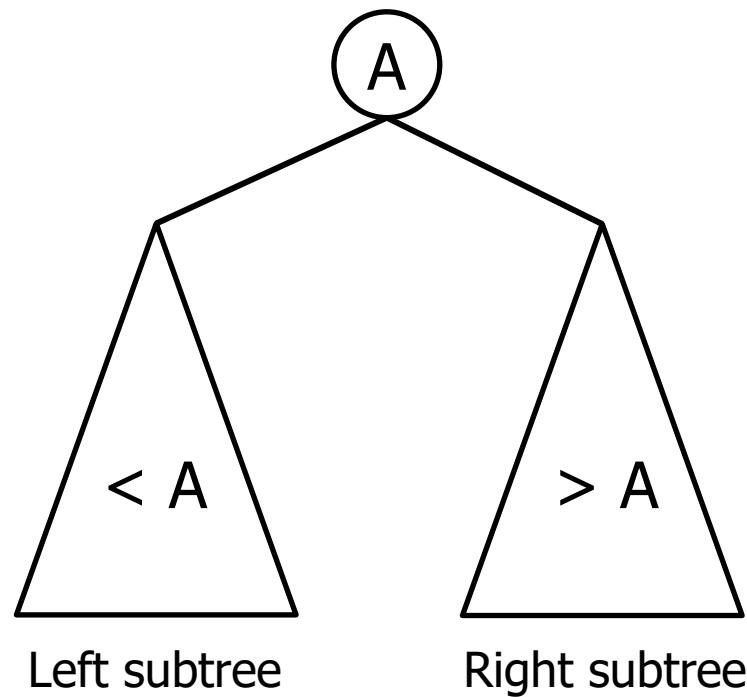
Part 2

# **BINARY SEARCH TREE**

# Binary Search Tree

## ❖ Definition

- A binary tree where each node has a unique key
- The key of any node is **greater than** the key of its **left child** node and **smaller than** the key of its **right child** node



# Binary Search Tree

- ❖ Node insertion in a binary search tree

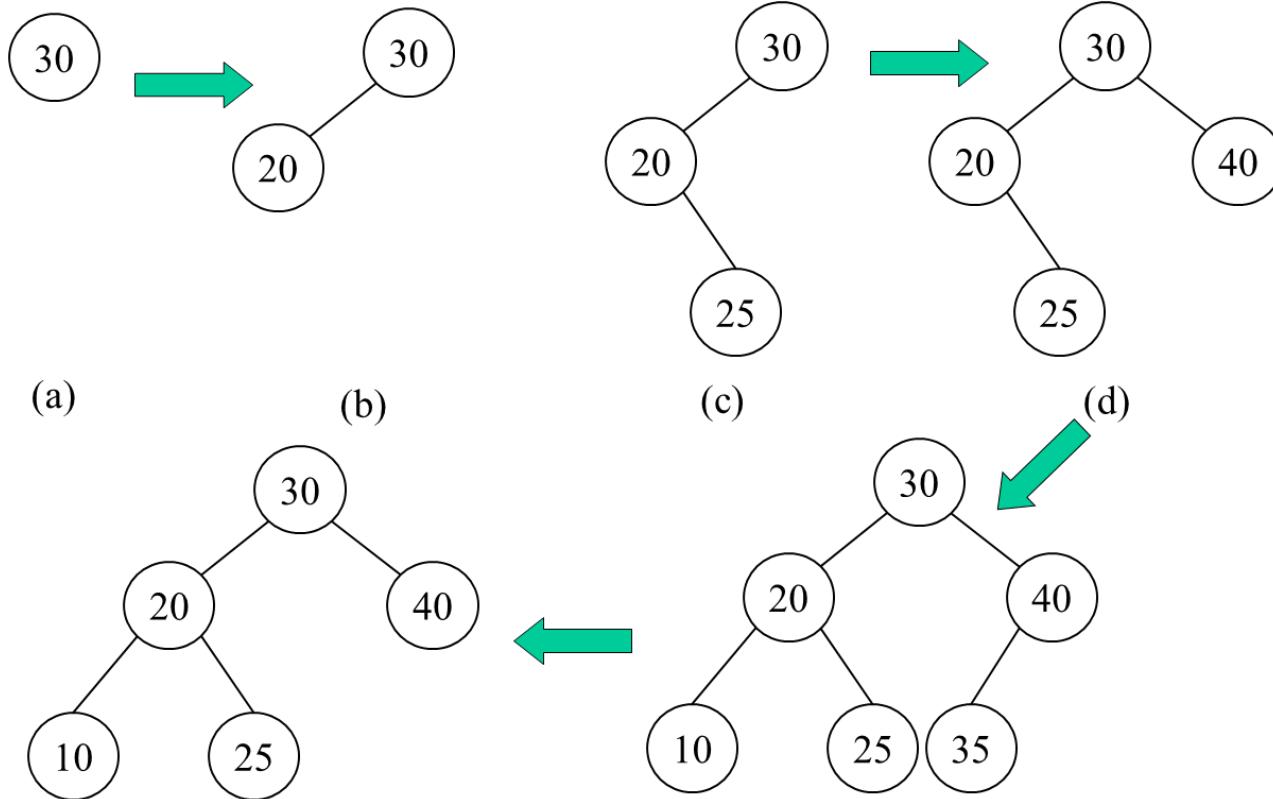
```
int tree[MAX_SIZE];

void treeInsert(int x, int idx = 1){
    if (idx == NIL)
        tree[idx] = x;
    if (x < tree[idx])
        treeInsert(x, idx * 2);
    else
        treeInsert(x, idx * 2 + 1);
}
```

\* NIL = nothing

# Binary Search Tree

- ❖ Example of node insertion



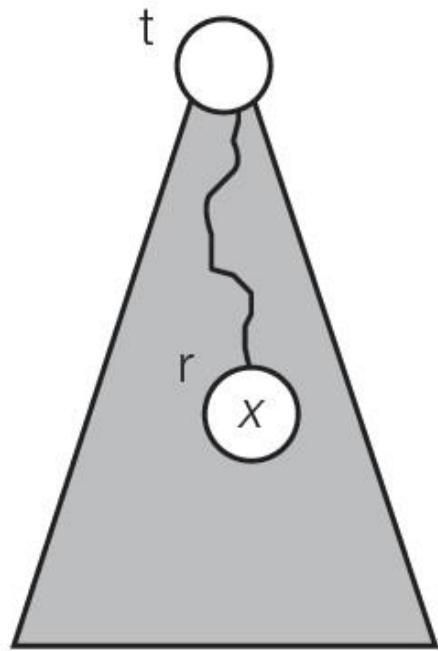
# Binary Search Tree

- ❖ Searching algorithm

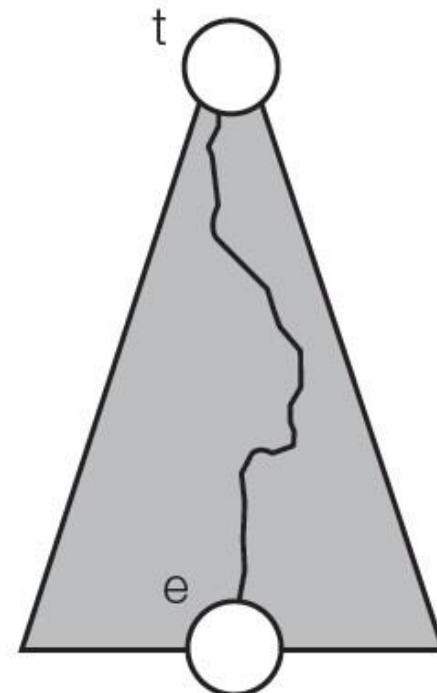
```
int tree[MAX_SIZE];  
  
int treeSearch(int x, int idx = 1){  
    if (idx = NIL or tree[idx] = x)  
        return idx;  
    if (x < tree[idx])  
        return treeSearch(x, idx * 2);  
    else  
        return treeSearch(x, idx * 2 + 1);  
}  
  
※ NIL = nothing
```

# Binary Search Tree

- ❖ Algorithm analysis
  - Successful and unsuccessful searching



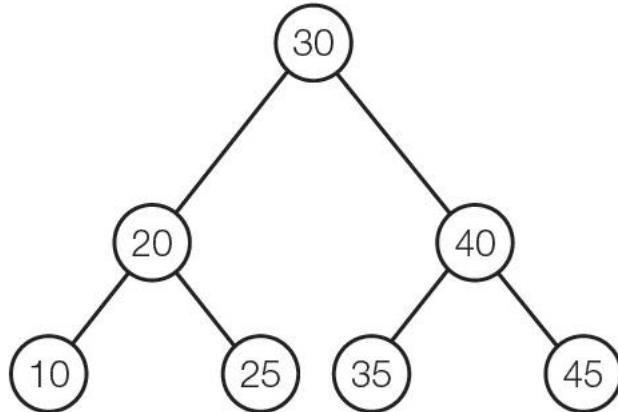
Successful searching



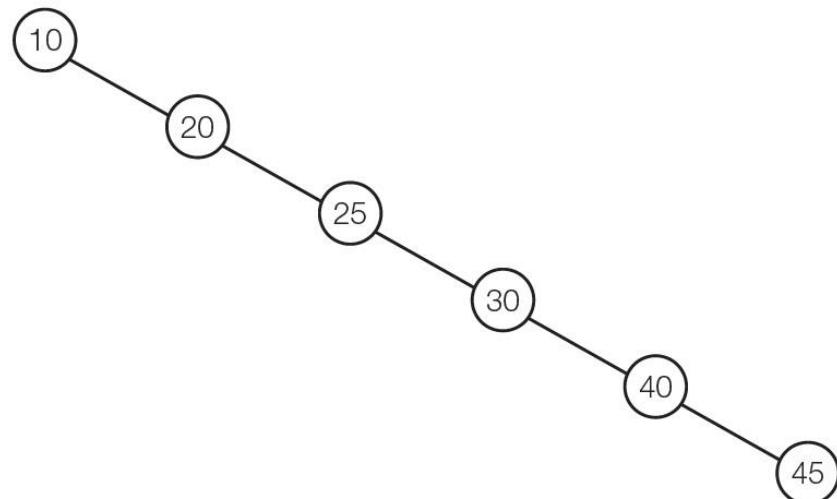
Unsuccessful searching

# Binary Search Tree

- ❖ Time complexity of insertion and searching in a binary search tree
  - If the tree is balanced (best case):  $O(\log n)$
  - If the tree is unbalanced (worst case):  $O(n)$
  - Averagely  $O(\log n)$



Balanced tree



Unbalanced tree

# Binary Search Tree

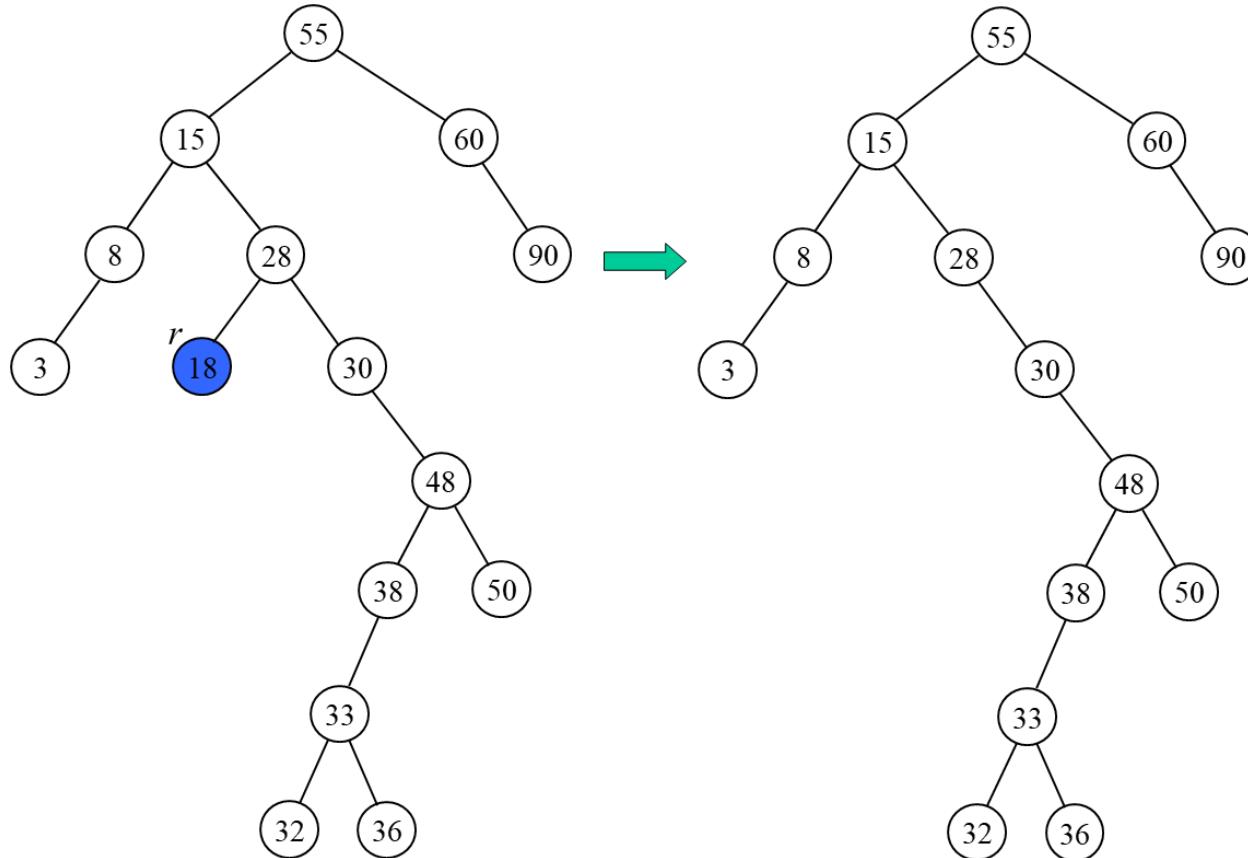
- ❖ Node deletion in a binary search tree
  - Handled differently depending on following three cases:
    - Case 1: if the target has 0 child node (= leaf node)
    - Case 2: if the target has 1 child node
    - Case 3: if the target has 2 child nodes

```
int tree[MAX_SIZE];

void treeDelete(int x, int idx = 1){
    int target = treeSearch(x, idx)
    if (tree[target * 2] = NIL and tree[target * 2 + 1] = NIL)
        remove the target node
    else if (tree[target * 2] != NIL and tree[target * 2 + 1] != NIL)
        swap the target node with the minimum node of its right subtree,
        then delete
    else
        directly connect the target node's parent to its child
}
```

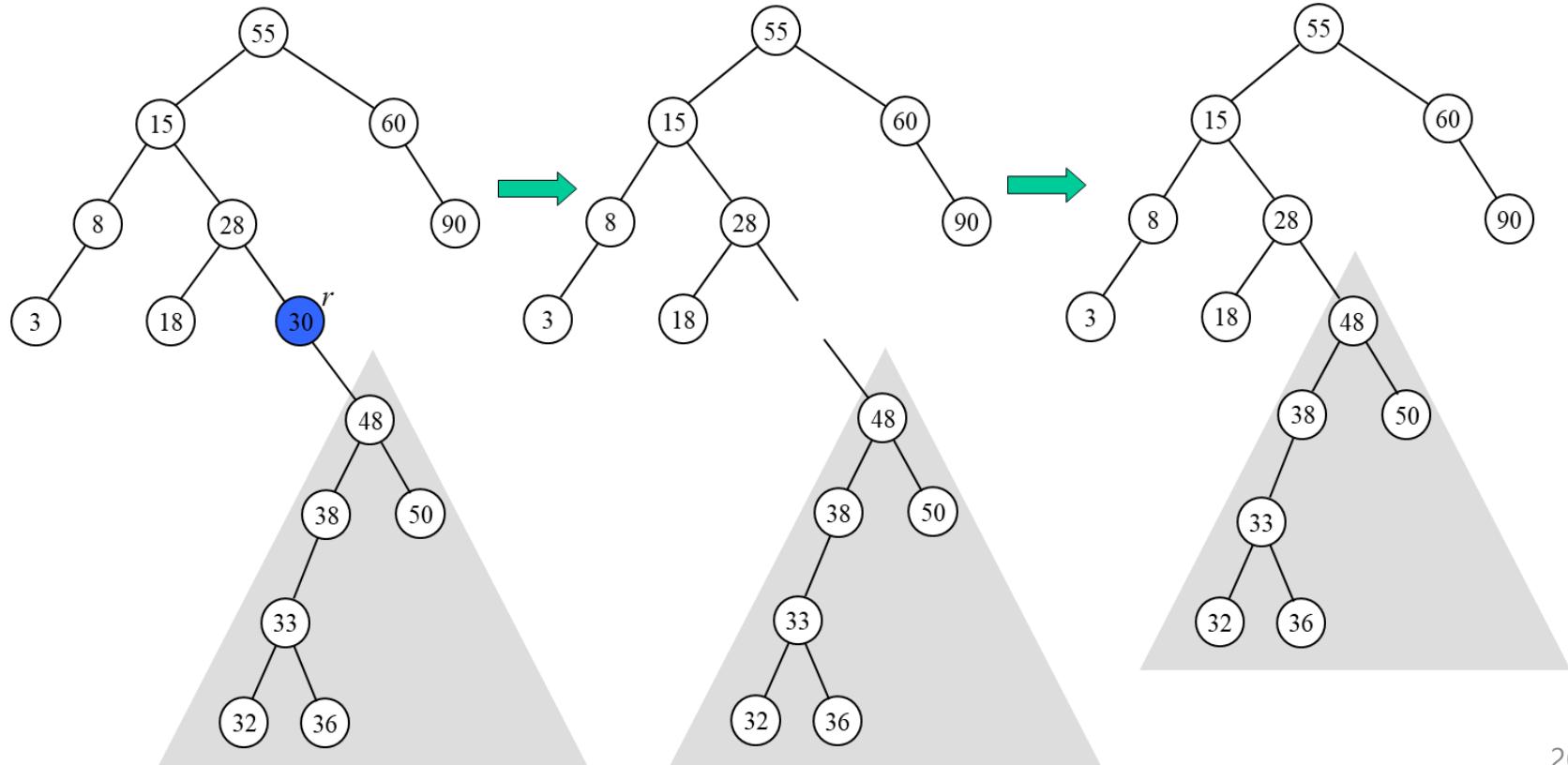
# Binary Search Tree

- ❖ Node deletion in a binary search tree
  - Handled differently depending on following three cases:
    - Case 1: if the target has 0 child node (= leaf node)



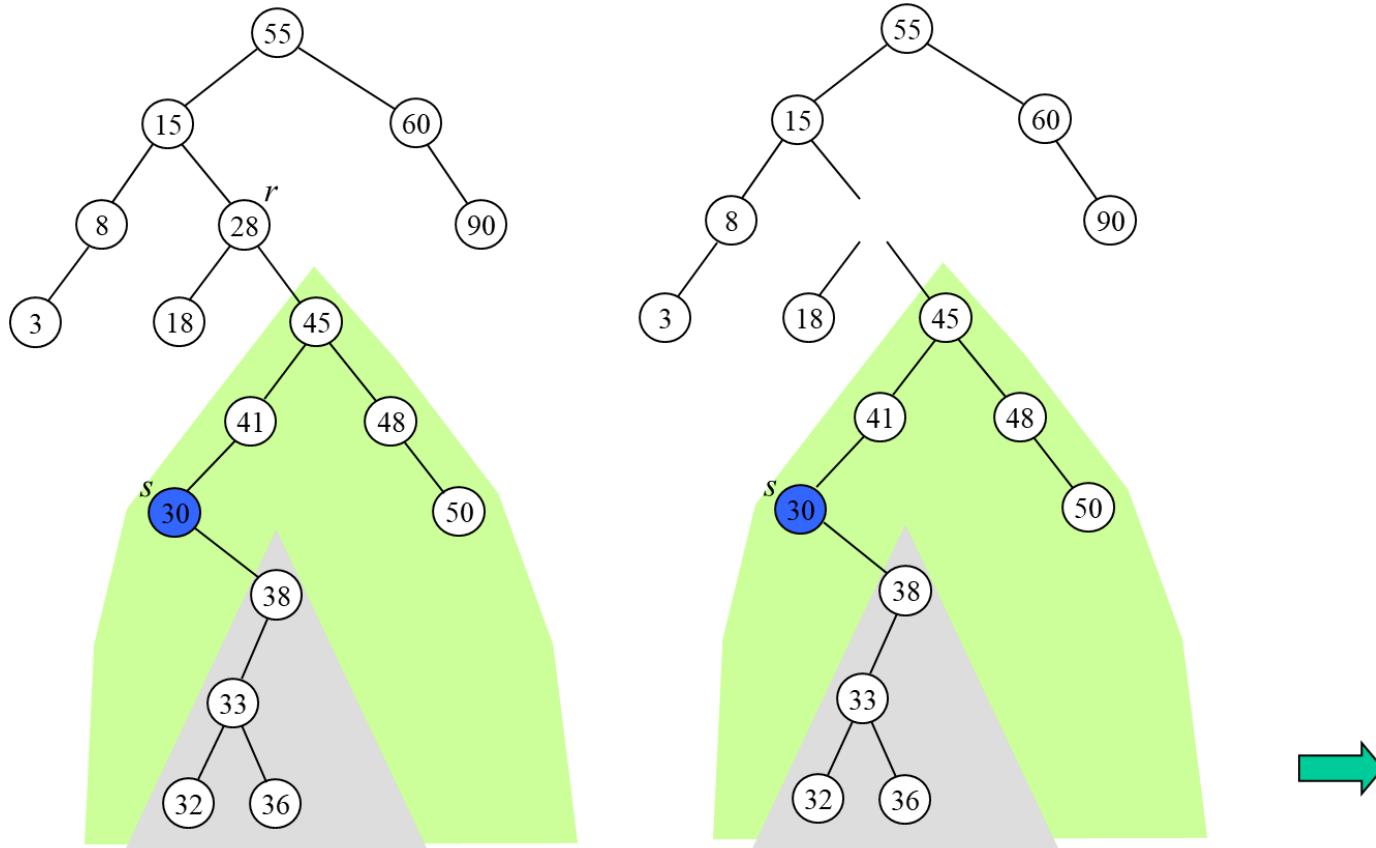
# Binary Search Tree

- ❖ Node deletion in a binary search tree
  - Handled differently depending on following three cases:
    - Case 2: if the target has 1 child node



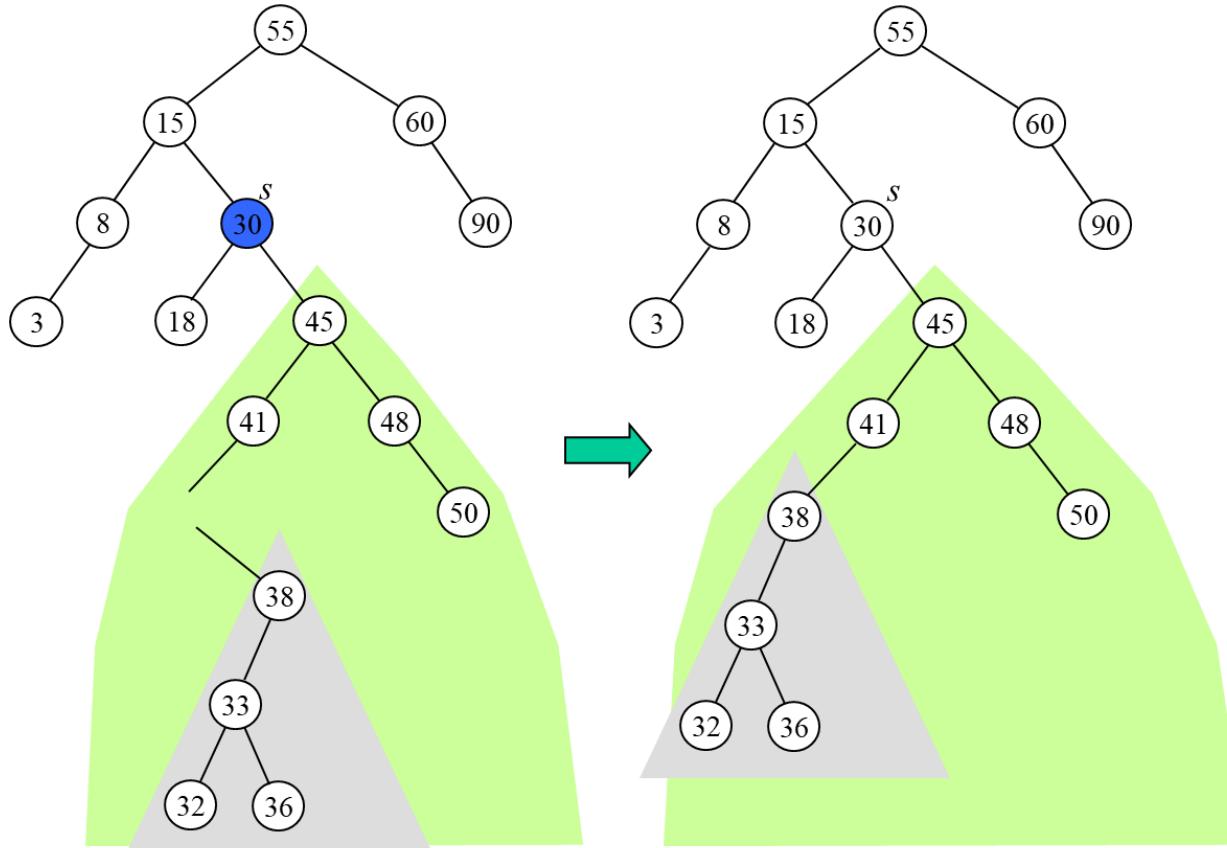
# Binary Search Tree

- ❖ Node deletion in a binary search tree
  - Handled differently depending on following three cases:
    - Case 3: if the target has 2 child nodes



# Binary Search Tree

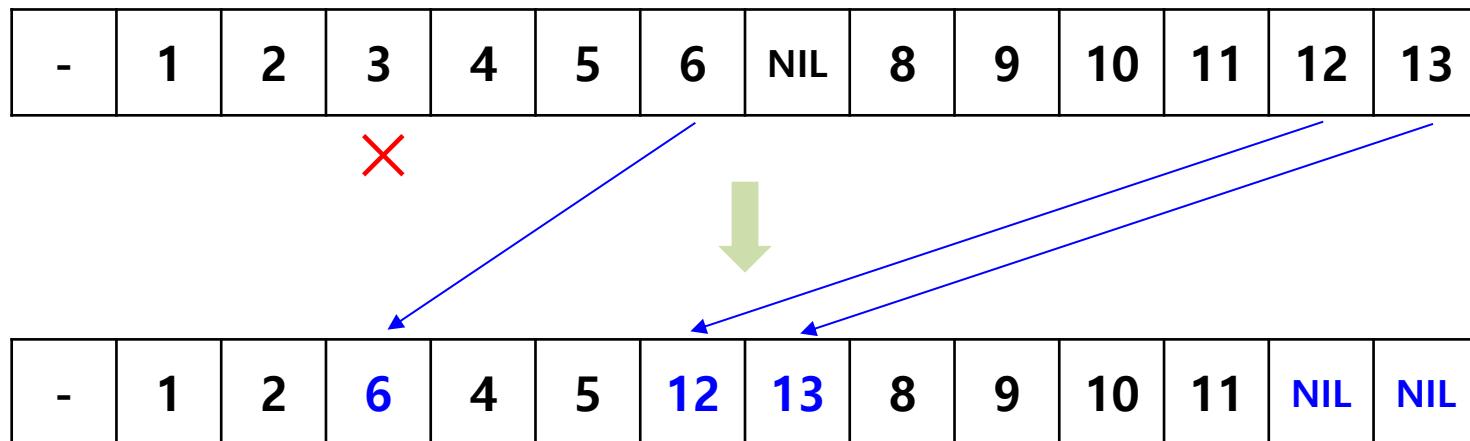
- ❖ Node deletion in a binary search tree
  - Handled differently depending on following three cases:
    - Case 3: if the target has 2 child nodes (cont'd)



# Binary Search Tree

## ❖ Node deletion in a binary search tree

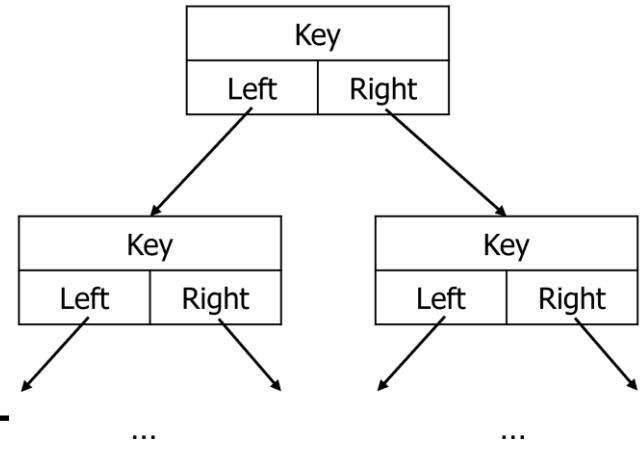
- Issues with 1-d array
  - As the height of the binary tree increases, the space complexity of the array grows exponentially
  - Due to node deletion, elements in the array must be shifted



# Binary Search Tree

## ❖ Node deletion in a binary search tree

- Linked list-based binary search tree
  - Can mitigate issues with 1-d arrays
  - However, it requires cost for linking



```
typedef struct Node {  
    int key;  
    struct Node* left;  
    struct Node* right;  
} Node;  
  
Node* createNode(int x){  
    Node* newNode = (Node*)malloc(sizeof(Node));  
    newNode -> key = x;  
    newNode -> left = NULL;  
    newNode -> right = NULL;  
    return newNode;  
}
```

# Binary Search Tree

- ❖ Node deletion in a binary search tree
  - Linked list-based binary search tree (cont'd)

```
Node* treeInsert(Node* root, int x){  
    if (root == NULL){  
        return createNode(x);  
    }  
    if (x < root -> key)  
        root -> left = treeInsert(root -> left, x);  
    else if (x > root -> key)  
        root -> right = treeInsert(root -> right, x);  
    return root;  
}
```

# Binary Search Tree

- ❖ Node deletion in a binary search tree
  - Linked list-based binary search tree (cont'd)

```
Node* treeSearch(Node* root, int x){  
    if (root = NULL and root -> key = x){  
        return root;  
    }  
    if (x < root -> key)  
        treeSearch(root -> left, x);  
    else  
        treeSearch(root -> right, x);  
}
```

# Binary Search Tree

## ❖ Node deletion in a binary search tree

- Linked list-based binary search tree (cont'd)

```
Node* treeDelete(Node* root, int x){  
    if (root == NULL){  
        return root;  
    }  
    if (x < root -> key)  
        root -> left = treeDelete(root -> left, x);  
    else if (x > root -> key)  
        root -> right = treeDelete(root -> right, x);  
    else {  
        if (root -> left == NULL and root -> right == NULL){  
            free(root);  
            return NULL;  
        } else if (root -> left == NULL){  
            Node* temp = root -> right;  
            free(root);  
            return temp;  
        } else if (root -> right == NULL){  
            Node* temp = root -> left;  
            free(root);  
            return temp;  
        } else {  
            Node* temp = findMinNode(root -> right);  
            root -> key = temp -> key;  
            root -> right = treeDelete(root -> right, temp -> key)  
        }  
    }  
    return root;  
}
```

```
Node* findMinNode(Node* root){  
    while (root -> left != NULL)  
        root = root -> left;  
    return root;  
}
```

Time complexity for node deletion  
Balanced:  $O(\log n)$   
Unbalanced:  $O(n)$

# Summary

## ❖ Preliminaries

- Record
- Field
- Key
- Search tree
- Binary tree

## ❖ Binary search tree

- Searching
- Insertion
- Deletion

Questions?

**SEE YOU NEXT TIME!**