

## Tutorial 3

### RECURSIVE FUNCTION THEORY

**Quick Recap of Notation:**  $f_i(\cdot)$  denotes the function computed by the TM with encoding  $i \in \mathbb{N}$ . For a TM  $\mathcal{M}$ ,  $\langle \mathcal{M} \rangle$  denotes its encoding in  $\mathbb{N}$ .

1. Prove that there exists  $x_0 \in \mathbb{N}$  such that for all  $y$ ,

$$f_{x_0}(y) = \begin{cases} y^2 & \text{if } y \text{ is even} \\ f_{x_0+1}(y) & \text{otherwise} \end{cases}$$

**Solution:** There exists a partial recursive function  $g$  in two variables such that

$$g(x, y) = \begin{cases} y^2 & \text{if } y \text{ is even} \\ f_{x+1}(y) & \text{otherwise} \end{cases}$$

Let  $\mathcal{M}$  be a TM that does the following on input  $x, y$ : check if  $y$  is even; if so write  $y^2$  on the tape and halt; otherwise simulate the TM with index  $x + 1$  on input  $y$ . Clearly  $\mathcal{M}$  computes  $g(x, y)$ .

By Kleene's recursion theorem, there exists  $x_0 \in \mathbb{N}$  such that

$$f_{x_0}(y) = g(x_0, y) = \begin{cases} y^2 & \text{if } y \text{ is even} \\ f_{x_0+1}(y) & \text{otherwise} \end{cases}$$

for all  $y$ .

2. Define any fixed point for the total recursive function  $\sigma : \mathbb{N} \rightarrow \mathbb{N}$  defined as follows: for  $x \in \mathbb{N}$ , the TM with description  $\sigma(x)$  computes the function

$$f_{\sigma(x)}(y) = \begin{cases} 1 & \text{if } y = 0 \\ f_x(y + 1) & \text{otherwise} \end{cases}$$

Describe a fixed point for  $\sigma$ .

**Solution:** Let  $\mathcal{M}$  be a TM that on input  $y \in \mathbb{N}$  outputs 1 if  $y = 0$  and outputs a constant  $a \in \mathbb{N}$  otherwise. Then  $\hat{x} = \langle \mathcal{M} \rangle$  is a fixed point for  $\sigma$ , as justified below.

For  $y = 0$ , we have

$$f_{\hat{x}}(y) = 1 = f_{\sigma(\hat{x})}(y)$$

and otherwise, we have

$$f_{\hat{x}}(y) = a = f_{\hat{x}}(y + 1) = f_{\sigma(\hat{x})}(y).$$

3. Let  $\sigma : \mathbb{N} \rightarrow \mathbb{N}$  be any total recursive function. Prove that  $\sigma$  has infinitely many fixed points i.e., there are infinitely many  $w \in \mathbb{N}$  such that  $f_w(y) = f_{\sigma(w)}(y)$  for all  $y$ .

**Hint:** Recursion theorem ensures there is atleast one fixed point for 'any' total recursive function. If the set of fixed points is finite, does it contradict recursion theorem?

**Solution:** Suppose there exists a total recursive function  $\sigma$  with finitely many fixed points. Let the set of fixed points be denoted  $\mathcal{F}$ . Let  $g$  be a partial recursive function such that the indices of all TMs computing  $g$  are outside  $\mathcal{F}$ . That is for all TMs  $\mathcal{M}$  computing  $g$ ,  $\langle \mathcal{M} \rangle \notin \mathcal{F}$ . In other words, for all TMs  $\mathcal{M}$  computing  $g$ ,  $f_{\langle \mathcal{M} \rangle} \neq f_w$  for every  $w \in \mathcal{F}$ .

Let  $u$  be an index of some TM computing  $g$ . Now, define a function  $\tau : \mathbb{N} \rightarrow \mathbb{N}$  implicitly so that

$$\tau(x) = \begin{cases} u & \text{if } x \in \mathcal{F} \\ \sigma(x) & \text{otherwise} \end{cases}$$

Observe that  $\tau$  is total recursive:

- For any  $x \in \mathbb{N}$ , check whether  $x \in \mathcal{F}$ . This can be done in finite time since  $\mathcal{F}$  is a finite set.
- If  $x \in \mathcal{F}$ , then set  $\tau(x) = u$ ; otherwise compute  $\sigma(x)$  (which is total recursive) and assign the resulting value to  $\tau(x)$ .

We now argue that  $\tau$  has no fixed point. If  $x \in \mathcal{F}$ , then  $\tau(x) = u$  and since  $f_u \neq f_w$  for every  $w \in \mathcal{F}$ , we have (in particular)  $f_{\tau(x)} \neq f_x$ . Now suppose  $x \notin \mathcal{F}$ . Then  $f_{\tau(x)} = f_{\sigma(x)} \neq f_x$ . Combining the two, we have  $f_{\tau(x)} \neq f_x$  for every  $x \in \mathbb{N}$  thus implying that  $\tau$  has no fixed points. This contradicts the recursion theorem. Hence, any total recursive function must have infinitely many fixed points.

4. Let  $\mathcal{M}_x$  denote the Turing machine with index  $x \in \mathbb{N}$ . Here's a statement of the recursion theorem, specialised to language recognisers:

“Let  $\sigma : \mathbb{N} \rightarrow \mathbb{N}$  be any total recursive function. Then there exists  $x_0 \in \mathbb{N}$  such that  $L(x_0) = L(\sigma(x_0))$ .”

Use it to provide an alternate proof of Rice's theorem (part I).

**Solution:** Let  $P$  be any non-trivial property of *r.e.* sets. Then there exist encodings of TMs  $u, v$  such that  $P(L(u)) = \top$  and  $P(L(v)) = \perp$ . Assume, for the sake of contradiction, that  $P$  is decidable. Define a function  $\sigma : \mathbb{N} \rightarrow \mathbb{N}$  as follows:

$$\sigma(x) = \begin{cases} u & \text{if } P(L(x)) = \perp \\ v & \text{otherwise} \end{cases}$$

By our assumption,  $\sigma$  is a total recursive function. The recursion theorem implies that  $\sigma$  has a fixed point  $x_0$  with  $L(x_0) = L(\sigma(x_0))$ . Now, if  $P(L(x_0)) = \top$ , we have

$$\top = P(L(x_0)) = P(L(\sigma(x_0))) = P(L(v)) = \perp,$$

thus contradicting our assumption that  $P$  is decidable. Therefore,  $P$  is undecidable.

**Food for thought:** Does a generalisation of Rice's theorem hold for partial recursive functions? That is, can you show that any non-trivial property of the set of partial recursive functions is undecidable?