

# Theory of Computation: Time Hierarchy

# Efficiency of UTM

- So far, if we had to simulate a deterministic TM on an input as part of a subroutine of an algorithm, we used a Universal Turing Machine (UTM) for it.
- If we are looking at efficiency of algorithms, the running time of the UTM is also important – it adds to the total running time of the algorithm.
- Theorem: There is a UTM that for every  $M \# x$ , where the running time of  $M$  is denoted by function  $T : \mathbb{N} \rightarrow \mathbb{N}$ , writes down  $M(x)$  on its tape in the end in  $CT(|x|) \log(T(|x|))$  time.  $C$  is a constant that only depends on the alphabet size, number of tapes and number of states of  $M$ .

## Relaxed version

To give an idea of the Proof, we give a proof for a relaxed version where the UTM  $\mathcal{U}$  runs in  $T(n)^2$  time if  $M(x)$  is computed in  $T(n)$  time:

- The input to  $\mathcal{U}$  is an encoding of TM  $M$  and the input  $x$ .
- Transformation of  $M$ : Single work tape  
 $M$  only has alphabets  $\{\vdash, B, 0, 1\}$  - encoding of larger alphabets using  $\{0, 1\}$   
These transformations may make  $M$  run in  $T^2$  time instead of  $T$  on a given input.
- The UTM  $\mathcal{U}$  has alphabets  $\{\vdash, B, 0, 1\}$  and 3 work tapes.  
One work tape is used in the same way as  $M$  (also the input and output tapes)  
One tape is used to store  $M$ 's transition function  
One tape stores  $M$ 's current state.

## Relaxed version contd.

- One computational step:  $\mathcal{U}$  scans the  $M$ 's transition function and current state to find out the new state, symbols to be written and tape head movements. Then it executes this. This is done in time  $C$  - only dependent on size of the transition function.
- Total time for outputting  $M(x)$  on the output tape of  $\mathcal{U}$ :  $CT(|x|)^2$ .
- For  $CT(n) \log(T(n))$  running time, we need to design the UTM more carefully.

# Efficiency of NUTM

- Nondeterministic UTMs can also be designed: An NDTM taking in encodings of NDTMs to be simulated as subroutines.
- Theorem: There is a NUTM that for every  $M \# x$ , where the running time of  $M$  is denoted by function  $T : \mathbb{N} \rightarrow \mathbb{N}$ , writes down  $M(x)$  on its tape in the end in  $CT(|x|)$  time.  
 $C$  is a constant that only depends on the alphabet size, number of tapes and number of states of  $M$ .

# Time constructible functions

- Time constructible function: A function  $T : \mathbb{N} \rightarrow \mathbb{N}$  such that  $T(n) \geq n$  and there is a deterministic TM  $M$  that on an input  $x$  of size  $n$  runs in time  $T(n)$  and computes the function  $f : \mathbb{N} \rightarrow \{0, 1\}^*$  with  $f(x) = \text{bin}(T(|x|))$ .
- Examples:  $n, n \log n, n^2, 2^n$ .
- All functions we see in this course are time constructible. Especially when we are looking at functions that act as time bounds for Turing machines.
- $T(n) \geq n$  implies that an algorithm running in time  $T(n)$  has time to read the input.

# Time Hierarchy Theorem

Theorem: If  $f, g$  are time constructible functions satisfying  $f(n) \log f(n) = o(g(n))$ , then  $DTIME(f(n)) \subsetneq DTIME(g(n))$

- Proof uses a form of diagonalization.
- We will show that  $DTIME(n) \subsetneq DTIME(n^{1.5})$  and all other pairs of functions will have similar proofs.
- Diagonalization TM  $M$ : On input  $x$ , run UTM  $\mathcal{U}$  for  $|x|^{1.4}$  steps to simulate the execution of  $M_x$  on  $x$ .  
If  $\mathcal{U}$  outputs bit  $b \in \{0, 1\}$  then output  $1 - b$ . Else, output 0.
- $M$  halts in  $n^{1.4}$  steps and language  $L = L(M)$  is in  $DTIME(n^{1.5})$ .

# Time Hierarchy Theorem

- $L \notin DTIME(n)$ : Suppose there is some TM  $N$  and constant  $c$  such that  $N$  on any input  $x$  halts within  $c|x|$  steps and outputs  $M(x)$ .

$N\#x$  can be simulated in  $\mathcal{U}$  in time  $c'c|x| \log |x|$ , where  $c'$  only depends on description of  $N$ .

There is an  $n_0$  such that  $\forall n \geq n_0, n^{1.4} > c'c|x| \log |x|$ .

Let  $x$  be a string representing  $N$  such that  $|x| \geq n_0$  (infinitely many strings represent  $N$ )

$M$  will obtain output  $b = N(x)$  in  $|x|^{1.4}$  steps, but by definition  $M(x) = 1 - b \neq N(x)$  ( $\rightarrow \leftarrow$ ).



# Nondeterministic Time Hierarchy Theorem

Theorem: if  $f, g$  are time constructible functions satisfying  $f(n+1) = o(g(n))$ , then

$$NTIME(f(n)) \subsetneq NTIME(g(n))$$

- Use of NUTM here.
- In Time Hierarchy Theorem, we crucially use the fact that a DTM can compute the opposite answer: If it is running a subroutine  $M$ , then on computing  $M(x)$  it can flip the answer.
- In case of an NTM, that is not clear. Because these machines verify, they do not compute.

If some branches compute “accept” and others compute “reject”, then what would be a flipped answer?

If allowed exponential time, then they can compute all possible certificates and solve the problem, but within an increase of time bound by a polynomial factor, it may not be possible.

# Lazy Diagonalisation

Lazy diagonalization: Here, the machine executing the diagonalization will not try to flip the answer of a subroutine TM on every input, but on a crucial input. This will be enough to get the contradiction we are aiming for using diagonalization.

# Nondeterministic Time Hierarchy Theorem

- Just show  $NTIME(n) \subsetneq NTIME(n^{1.5})$ . All other pairs will have similar arguments.
- Define  $h : \mathbb{N} \rightarrow \mathbb{N}$  such that  $h(1) = 2, h(i+1) = 2^{h(i)^{1.2}}$ .
- Given  $n$ , find in  $n^{1.5}$  time  $i$  such that  $h(i) < n \leq h(i+1)$ .
- Diagonalisation machine  $M$ : try to flip answer of  $M_i$  on some input in set  $\{1^n | h(i) < n \leq h(i+1)\}$ .
- Machine  $M$ : On input  $x$ , if  $x \notin 1^*$  then reject.  
If  $x = 1^n$ , then compute  $i$  such that  $h(i) < n \leq h(i+1)$ .
  1. If  $h(i) < n < h(i+1)$ , then simulate  $M_i$  on  $1^{n+1}$  using nondeterminism in  $n^{1.1}$  time and output the answer. (If  $M_i$  does not halt in this time, then halt and accept.)
  2. If  $n = h(i+1)$ , accept  $1^n$  iff  $M_i$  rejects  $1^{h(i)+1}$  in  $(h(i)+1)^{1.1}$  time.

# Nondeterministic Time Hierarchy Theorem

- Point 2: All possible  $2^{(h(i)+1)^{1.1}}$  branches of  $M_i$  on input  $1^{h(i)+1}$  have to be computed. - input size is  $h(i+1) = 2^{h(i)^{1.2}}$ .
- $M$  runs in  $O(n^{1.5})$  time.
- $L = L(M)$ .

# Nondeterministic Time Hierarchy Theorem

- Claim:  $L \notin NTIME(n)$ .
- Suppose there is an NDTM  $N$  running in  $cn$  steps for  $L$ .
- Pick an  $i$  large enough such that  $N = M_i$  and on inputs of length  $n \geq h(i)$ ,  $M_i$  can be simulated in less than  $n^{1.1}$  steps.
- Target: Try to flip the answer of  $N$  with  $M$  on an input in  $\{1^n \mid h(i) < n \leq h(i+1)\}$ .

# Nondeterministic Time Hierarchy Theorem

- Description of  $M$  ensures: If  $h(i) < n < h(i+1)$ , then  $M(1^n) = M_i(1^{n+1})$  (which is same as  $M(1^{n+1})$ )  
Otherwise,  $M(1^{h(i+1)}) \neq M_i(1^{h(i)+1})$ .
- $M_i$  and  $M$  agree on all inputs  $1^n$  for  $n \geq h(i)$ , and in particular in the interval  $(h(i), h(i+1)]$   
By definition:  $M(1^{h(i)+1}) = M_i(1^{h(i)+2}) = M(1^{h(i)+2})$   
 $= M_i(1^{h(i)+3}) = M(1^{h(i)+3}) \dots$   
 $= M_i(1^{h(i+1)}) = M(1^{h(i+1)})$  ( $\rightarrow \leftarrow$ ).
- Thus, there is a string in  $\{1^n \mid h(i) < n \leq h(i+1)\}$  on which  $M$  and  $M_i$  do not agree.