



Computer algebra in a combinatorialist's life

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In this talk

Computer algebra in the solution of a counting problem

- I. From objects to numbers
- II. Guess
- III. Prove
- IV. Simplify

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Examples

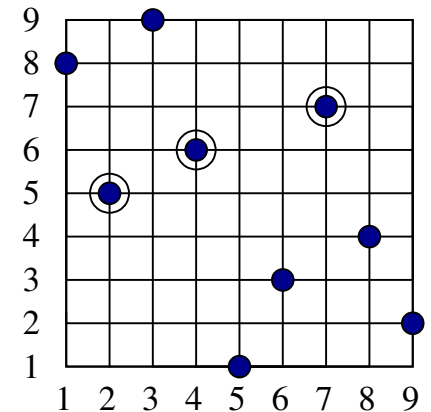
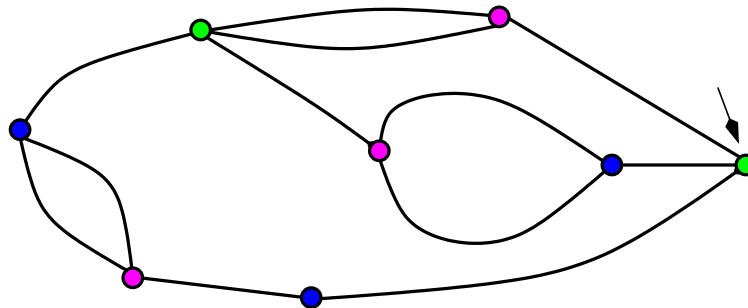
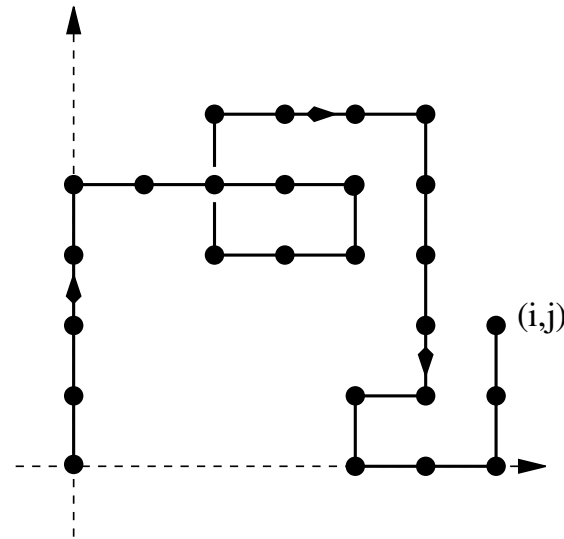
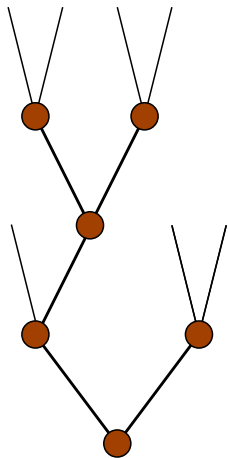
Questions

Three objectives

I. From objects to numbers

Setting

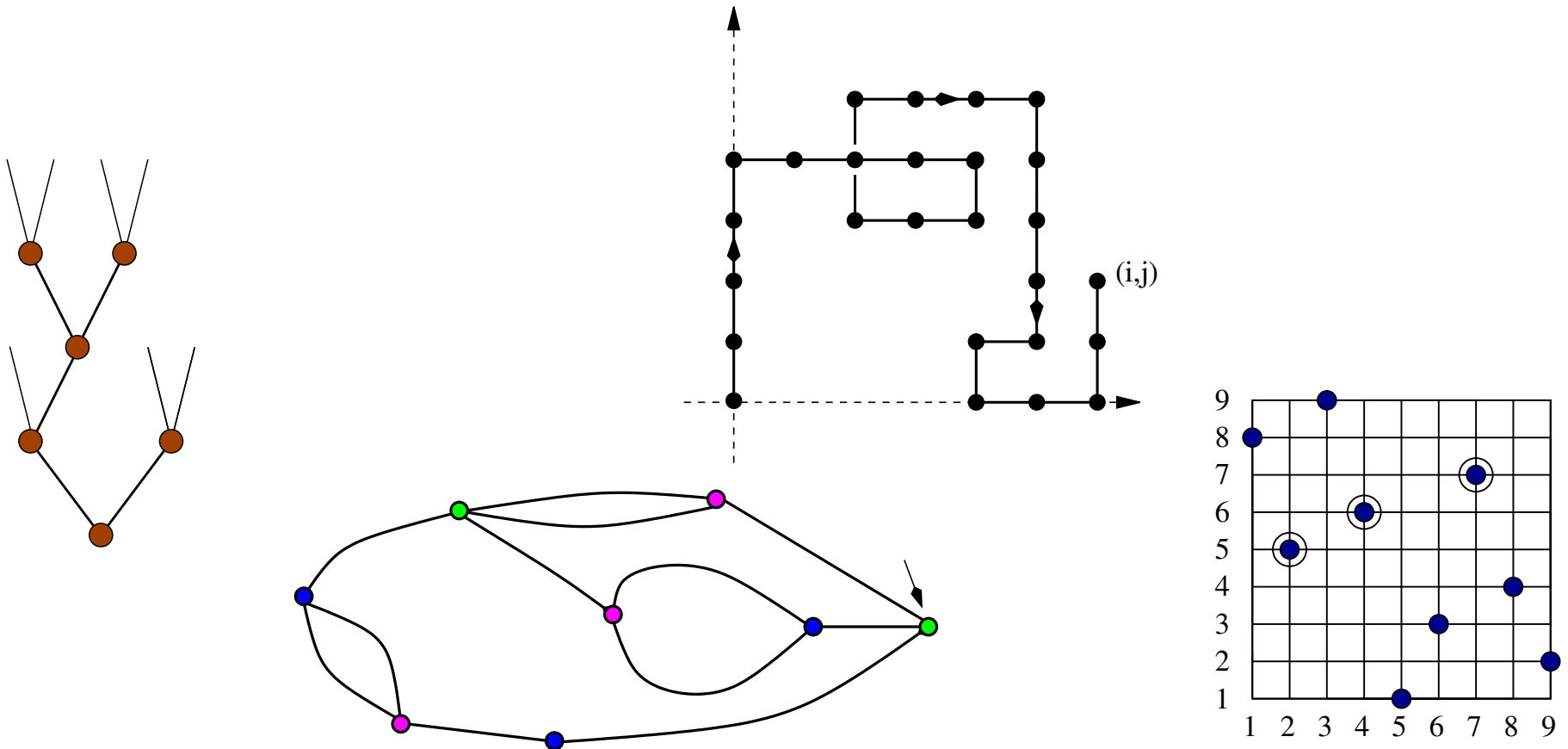
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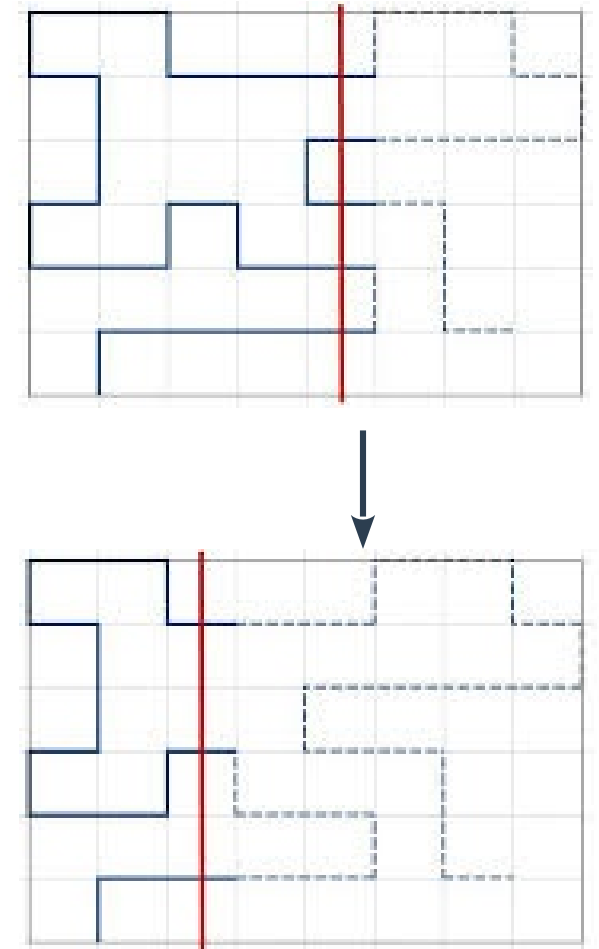
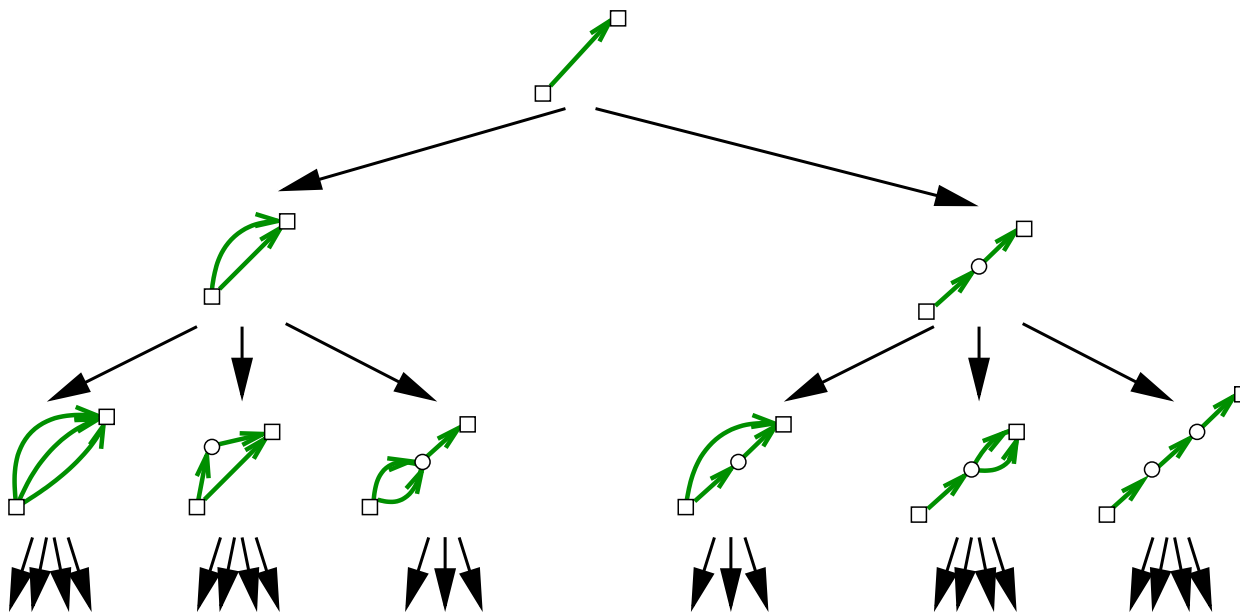
Objective: generate $a(1), a(2), \dots, a(N)$ for N large.



Case 1: when no recurrence relation is known

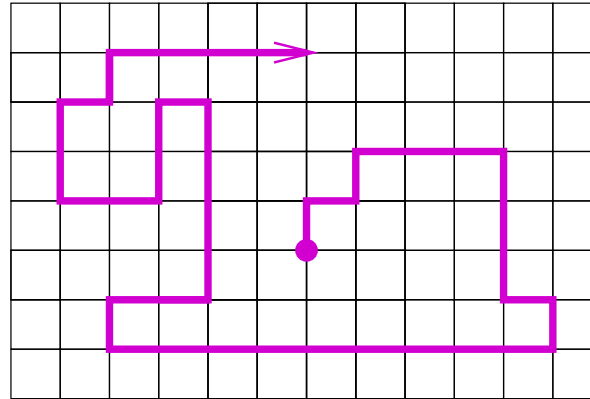
Generate numbers (and often objects) by any possible recursive construction

- **Generating trees:** add a step, an edge, a node...
- **Transfer matrices:** add a layer

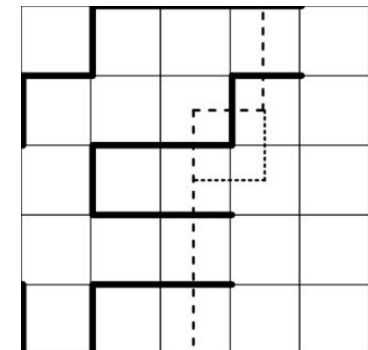
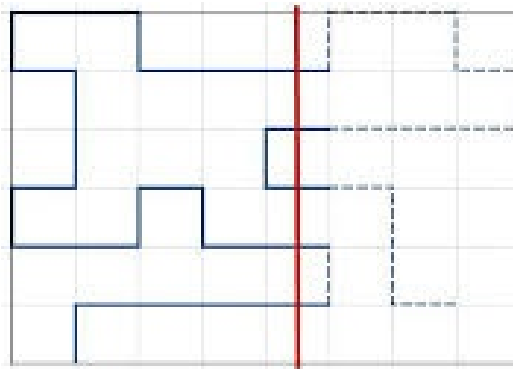
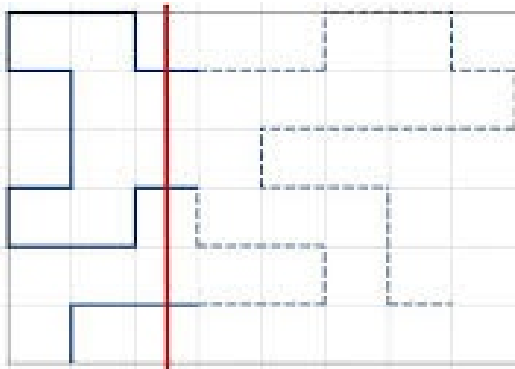


Case 1: when no recurrence relation is known

Self-avoiding walks

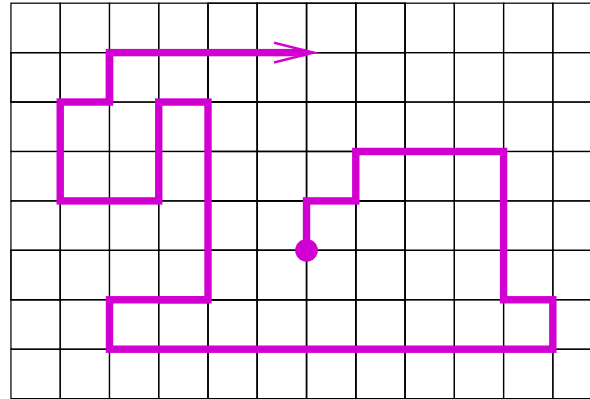


[Enting, Guttmann]



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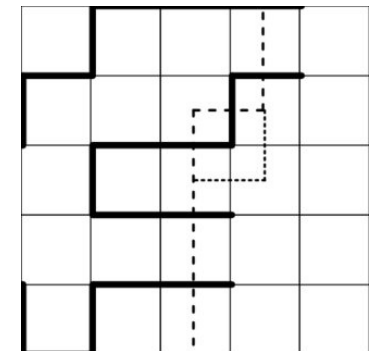
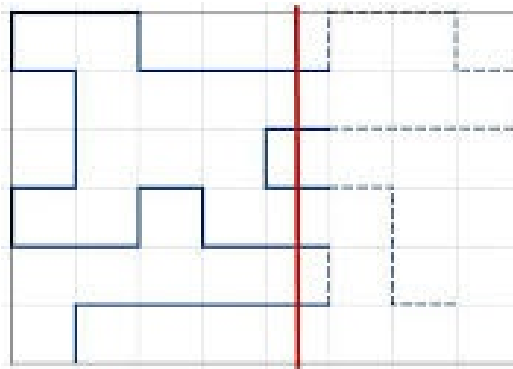
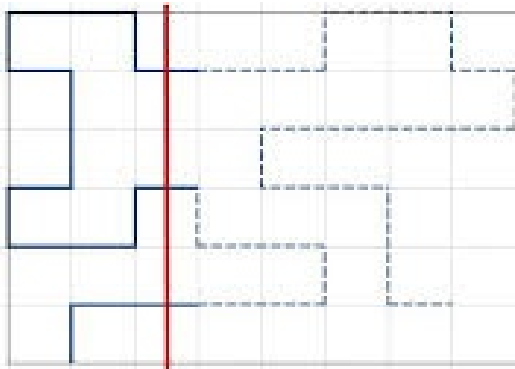
Self-avoiding walks



Question: is there a sub-exponential algorithm that computes the number of self-avoiding walks of length n ?

[Enting, Guttman]

So far, $n=79$ [Jensen 13(a)]



Case 2: with a recurrence relation

... often encoded as a **functional equation** for the associated **generating function**:

$$A(t) \equiv A := \sum_{n \geq 0} a(n)t^n = \sum_{o \in \mathcal{A}} t^{|o|}$$

Multivariate enumeration: record additional statistics

$$A(t; x, y) \equiv A(x, y) := \sum_{n, i, j \geq 0} a(n; i, j)t^n x^i y^j$$

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A rich zoo of
equations



Functional equations: our pet animals



- Rational

$$A(t) = \frac{1-t}{1-t-t^2}$$

- Algebraic

$$1 - A(t) + tA(t)^2 = 0$$

- D-finite

$$t(1-16t)A''(t) + (1-32t)A'(t) - 4A(t) = 0$$

- D-algebraic

$$(2t + 5A(t) - 3tA'(t))A''(t) = 48t$$



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Several variables: one DE per variable

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Several variables: one DE per variable

More exotic animals



Substitutions: set partitions

$$A(t) = 1 + \frac{t}{1-t} A\left(\frac{t}{1-t}\right)$$

q-Equations: Dyck paths by length (t) and area (q)

$$A(t; q) = 1 + tqA(tq; q)A(t; q)$$

More exotic animals

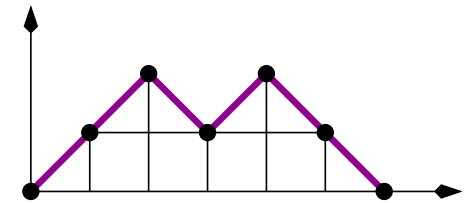


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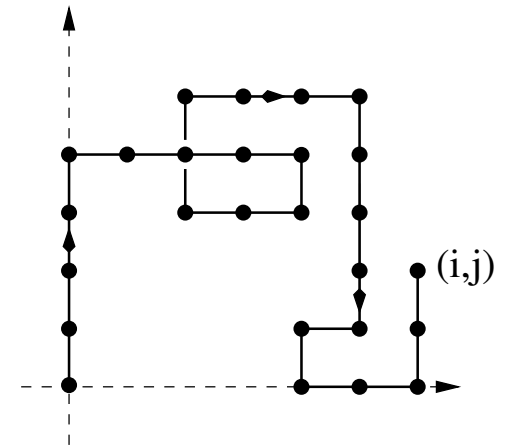
A detailed mosaic of a bull, possibly from the Mausoleum at Halicarnassus. The bull is depicted in profile, facing left, with a light-colored body and a darker brown patch on its side. It has large, curved horns and a small tuft of hair on its head. The mosaic is set against a dark background with some green foliage at the bottom.

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Discrete derivatives: quadrant walks

$$Q(x, y) = 1 + t(x + y)Q(x, y) + t \frac{Q(x, y) - Q(x, 0)}{y} + t \frac{Q(x, y) - Q(0, y)}{x}$$

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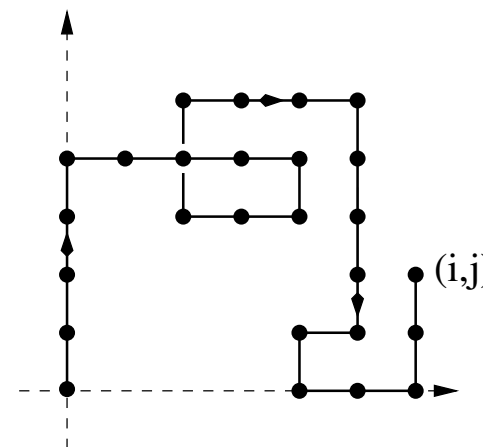


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$$\text{or } \left(1 - t \left(x + y + \frac{1}{x} + \frac{1}{y}\right)\right) xyQ(x, y) = xy - txQ(x, 0) - tyQ(0, y)$$

x, y : **catalytic** variables



Discrete derivatives and q-equations: Tamari intervals on Dyck paths

[Embm, Fusy, Préville-Ratelle 11]

$$A(x, q) = 1 + tqA(x, q) \frac{A(xq, q) - A(1, q)}{xq - 1}$$



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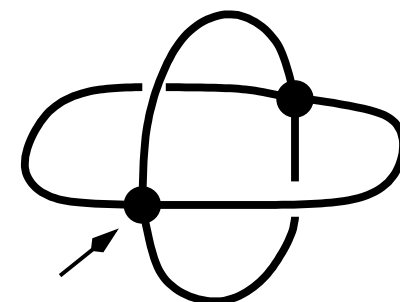
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$$A(x, q) = 1 + tqA(x, q) \frac{A(xq, q) - A(1, q)}{xq - 1}$$

Substitutions in “catalytic” variables: bipartite quadrangulations by edges (t) and vertices (x), arbitrary genus [Louf 21]

$$2(1 + 2D)DA(x) = (A(x + 1) + A(x - 1) - 2A(x) - 2)(1 + 2D)A(x)$$

where $D = t \, d/dt$ and $A(x) = A(t, x)$.



With a recurrence relation/fixed point equation

- Coefficients in polynomial time
- Newton iteration [Pivoteau, Salvy & Soria 12]
- Work with the recurrence relation? With the functional equation?
- Work modulo primes?

Produce numbers: why?

- Predict asymptotic behaviour

Example: 1324-avoiding permutations [Conway & Guttmann 15]

$$a(n) \sim \kappa \alpha^n \beta^{\sqrt{n}} n^\gamma$$

(50 terms known)

$$\alpha \simeq 11.6 \quad \beta \simeq 0.04 \quad \gamma \simeq -1.1$$

- **Conjecture** (simpler) recurrence relations or functional equations

Interlude: Combinatorial exploration

An automatized construction of recurrence relations for some combinatorial classes.

“The Combinatorial Exploration framework produces rigorously verified combinatorial specifications for families of combinatorial objects. These specifications then lead to generating functions, counting sequence, polynomial-time counting algorithms, random sampling procedures, and more.”

[Albert, Bean, Claesson, Nadeau,
Pantone & Ulfarsson 22(a)]

Interlude: Combinatorial exploration

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Ex. 1234-avoiding permutations

[Albert, Bean, Claesson, Nadeau,
Pantone & Ulfarsson 22(a)]

[PermPAL database]
Permutation Pattern Avoidance Library

$$F_0(x) = F_1(x) + F_2(x)$$

$$F_1(x) = 1$$

$$F_2(x) = F_{15}(x) F_3(x)$$

$$F_3(x) = F_4(x, 1)$$

$$F_4(x, y) = F_1(x) + F_{16}(x, y) + F_5(x, y)$$

$$F_5(x, y) = F_{10}(x, y) F_6(x, y)$$

$$F_6(x, y) = F_7(x, 1, y)$$

$$F_7(x, y, z) = F_8(x, yz, z)$$

$$F_8(x, y, z) = F_1(x) + F_{11}(x, y, z) + F_{13}(x, y, z) +$$

$$F_9(x, y, z) = F_{10}(x, y) F_8(x, y, z)$$

$$F_{10}(x, y) = yx$$

$$F_{11}(x, y, z) = F_{10}(x, z) F_{12}(x, y, z)$$

$$F_{12}(x, y, z) = \frac{-zF_7(x, 1, z) + yF_7(x, \frac{y}{z}, z)}{-z + y}$$

$$F_{13}(x, y, z) = F_{14}(x, y, z) F_{15}(x)$$

$$F_{14}(x, y, z) = \frac{zF_8(x, y, z) - F_8(x, y, 1)}{-1 + z}$$

$$F_{15}(x) = x$$

$$F_{16}(x, y) = F_{15}(x) F_{17}(x, y)$$

$$F_{17}(x, y) = \frac{yF_4(x, y) - F_4(x, 1)}{-1 + y}$$

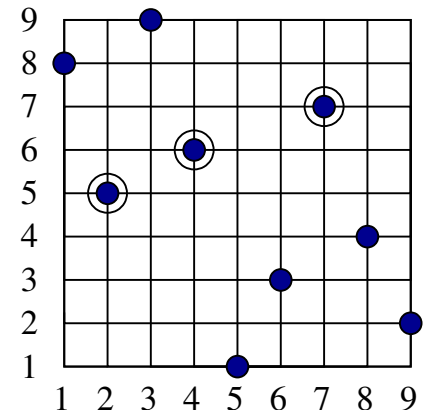
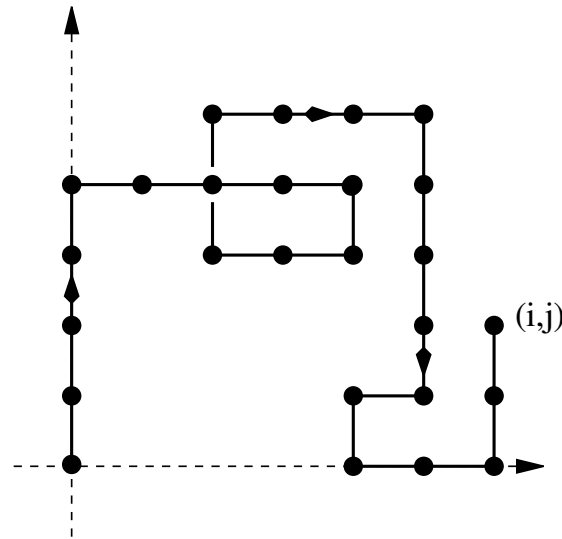
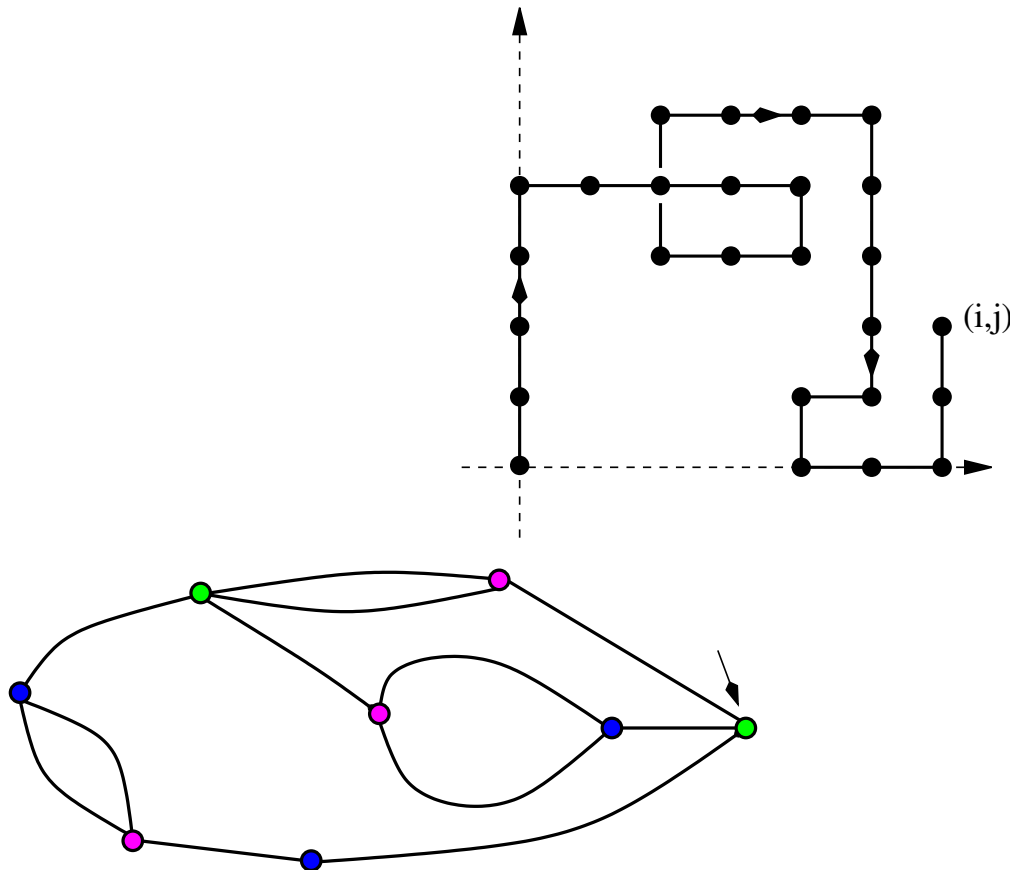
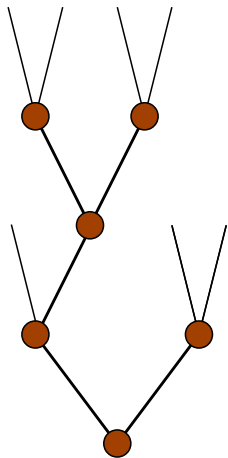
II. Guess



Setting

Let $a(n)$ be the number of objects of size n in the set \mathcal{A} .

Objective: guess a recurrence relation for $a(n)$ from the knowledge of $a(1), a(2), \dots, a(N)$.



Hermite-Padé approximants for linear relations

Given the first coefficients $a_i(0), a_i(1), \dots, a_i(n)$ of k series $A_i(t)$, $i=1, \dots, k$, find polynomials $P_1(t), \dots, P_k(t)$ of small degree such that

$$P_1 A_1 + \dots + P_k A_k = \mathcal{O}(t^{n+1})$$

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Example: a quadratic q -equation of order 2 corresponds to $k=10$ series

$1, A(t), A(tq), A(tq^2),$

$A(t)^2, A(tq)^2, A(tq^2)^2, A(t)A(tq), A(t)A(t^2q), A(tq)A(t^2q).$

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Same for an ODE of order e and degree δ .

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numapprox[hermite_pade]

Special types of functional equations

- Guess **polynomial equations** (degree δ): linear relation between

$$1, A, \dots, A^\delta$$



`gfun[seriestoalgeq]`

[Salvy 94 \rightarrow]

- Guess **linear differential equations** (order e): linear relation between

$$1, A, A', \dots, A^{(e)}$$



`gfun[seriestodiffeq]`

- Guess **polynomial differential equations** (order e , degree δ):

requires $\binom{\delta+e+1}{\delta}$ series.



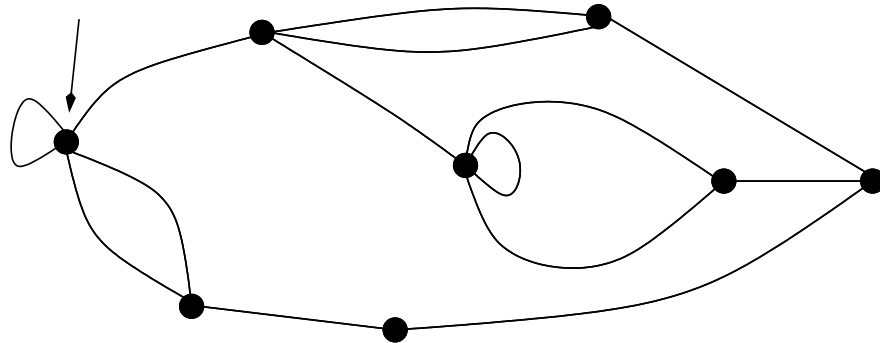
`FPS[delta2guess]`

[Tegua 23, Pantone 24+]

Example 1: in the 60's, Tutte and planar maps

Equation with a discrete derivative: planar maps by edges (t) and degree of the root vertex (x):

$$A(x) = 1 + tx^2 A(x)^2 + tx \frac{A(x) - A(1)}{x - 1}.$$



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Algebraic guess for $A(1)$:

$$A(1) = \bar{A}_1 := \sum_{n \geq 0} \frac{2 \cdot 3^n}{(n+1)(n+2)} \binom{2n}{n} t^n = \frac{(1 - 12t)^{3/2} - 1 + 18t}{54t^2}.$$

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\Rightarrow a guess for $A(x)$ as an algebraic series of degree 4:

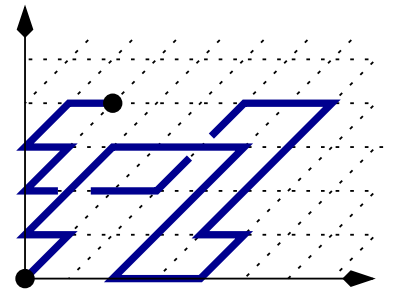
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Example 2: Gessel's quadrant walks

Equation with two discrete derivatives:

$$Q(x, y) = 1 + t \left(x + xy + \frac{1}{x} + \frac{1}{xy} \right) Q(x, y) \\ - t \left(\frac{1}{x} + \frac{1}{xy} \right) Q(0, y) - \frac{t}{xy} (Q(x, 0) - Q(0, 0))$$

≠



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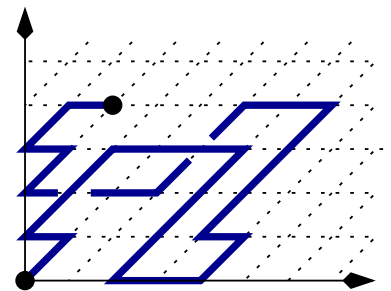
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Gessel's ex-conjecture (~ 2000)

$$Q(0, 0) = \sum_{n \geq 0} 16^n \frac{(5/6)_n (1/2)_n}{(5/3)_n (2)_n} t^{2n}$$

with $(a)_n = a(a+1) \cdots (a+n-1)$.



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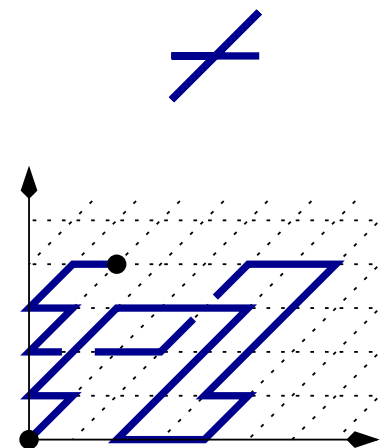
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Later... $Q(0,0)$ satisfies an polynomial equation $\text{Pol}(t, Q) = 0$,

of bidegree (7,8)

[Bostan & Kauers 10]

(+ Proof of the algebraicity of $Q(x, y)$)

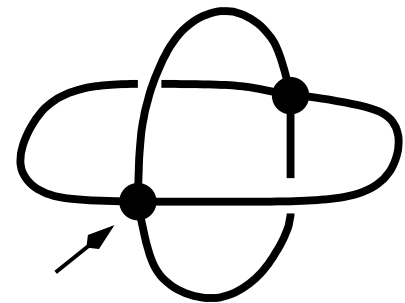
Example 3: bipartite quadrangulations, any genus

Substitutions in “catalytic” variables:

[Louf 21]

$$2(1 + 2D)DA(x) = (A(x + 1) + A(x - 1) - 2A(x) - 2)(1 + 2D)A(x)$$

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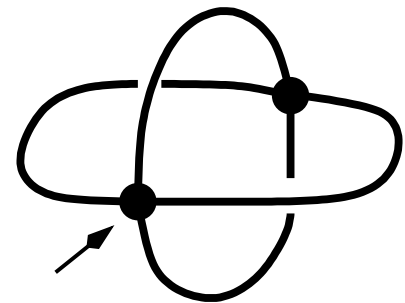
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Guess: a quadratic, third order ODE in t

$$(1 + D)A = t(3t + 4x)A + t(11t + 8x)DA + 12t^2D^{(2)}A + 4t^2D^{(3)}A \\ + 3t^2A^2 + 12t^2A(DA) + 12t^2(DA)^2 + x^2$$

Proof [Carrell & Chapuy 15]



III. Prove

Setting

So far: a functional equation (E_1) for $A(t,x,y\dots)$, possibly wild

Guessed: a simpler equation (E_2) for $A(t,x,y\dots)$

Two ingredients:

- **Uniqueness** of solution in (E_1)
- **Closure properties** of a class containing (E_2)

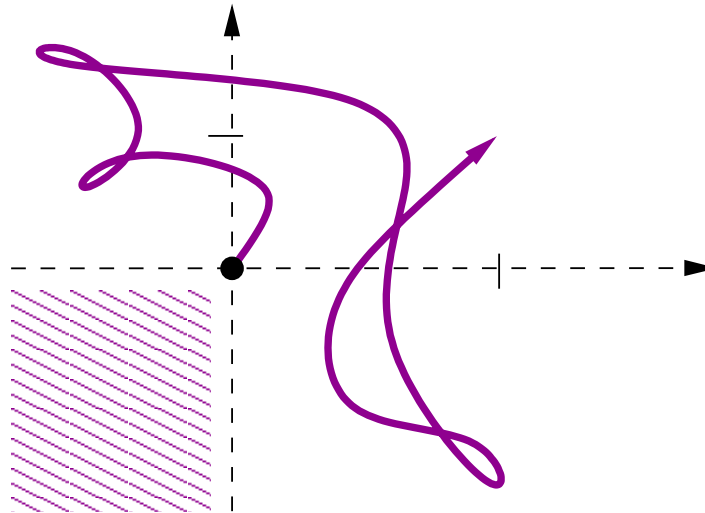
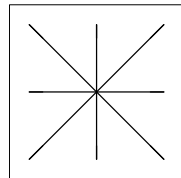
Example 1: a big algebraic system

King walks avoiding the negative quadrant

[mbm & Wallner 23]

(E₁) A system of **4 polynomial equations** in 4 series R_0, R_1, B_1, B_2

Degree in	R_0	R_1	B_1	B_2	t	Number of terms
Eq. 1	5	3	1	1	7	72
Eq. 2	6	4	2	2	7	132
Eq. 3	5	5	2	2	9	192
Eq. 4	6	6	3	3	10	276



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(E₂) Guessed minimal polynomials for all four series, and rational expressions in terms of two “simple” series T and U (deg. 12, 24).

Generating function	Degree in GF	Degree in t	Number of terms
R_0	24	36	323
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B_2	24	60	477

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thanks to Mark van Hoeij !

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Plug in (E₁) and check by reduction mod minimal polynomials of T and U .

Example 2: in the 60's, Tutte and planar maps

Planar maps by edges (t) and degree of the root vertex (x):

$$A(x) = 1 + tx^2A(x)^2 + tx \frac{A(x) - A(1)}{x - 1}.$$

Uniqueness: there exists a unique solution $A(x)$ that is a formal power series in t . Its coefficients are polynomials in x .

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$$A(1) = \bar{A}_1 := \sum_{n \geq 0} \frac{2 \cdot 3^n}{(n+1)(n+2)} \binom{2n}{n} t^n = \frac{(1 - 12t)^{3/2} - 1 + 18t}{54t^2}.$$

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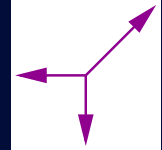
$$\bar{A}(x) = 1 + tx^2 \bar{A}(x)^2 + tx \frac{\bar{A}(x) - \bar{A}_1}{x - 1},$$

or

$$(x - 1) \left(\bar{A}(x) - 1 - tx^2 \bar{A}(x)^2 \right) = tx \left(\bar{A}(x) - \bar{A}_1 \right).$$

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Example 3: Kreweras' walks in the quadrant

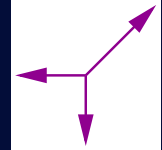


Two discrete derivatives:

$$(xy - t(x + y + x^2y^2))Q(x, y) = xy - A(x) - A(y)$$

where $A(x) = txQ(x, 0)$.

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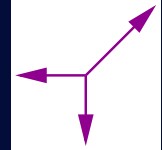
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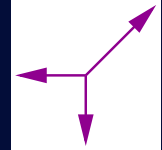
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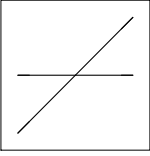
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Example 4: more walks in the quadrant

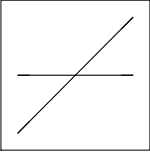


Two discrete derivatives:

$$K(x, y)Q(x, y) = xy - A(x) - B(y)$$

where $A(x) \approx Q(x, 0)$ and $B(y) \approx Q(0, y)$.

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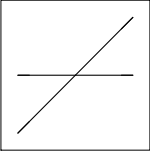
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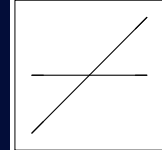
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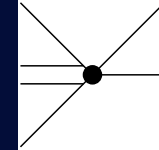
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Guess (E_2): differential ideals (in ∂t and ∂x , resp. ∂y) for $A(x)$ and $B(y)$

To do:

- Prove that the guessed solutions have **polynomial coefficients**
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IV. Simplify

Setting

Given a series $A(t,x,y\dots)$ and a defining functional equation (algebraic, D-finite, D-algebraic), get a **better understanding** of A .

- Find a simple description of A
- Understand the properties of A
- Determine singularities, asymptotics
- ...



Simplifying in the algebraic world

Classical tools: polynomial factorization, resultants, Gröbner bases...

Given a minimal polynomial $P(t,A)=0$:

- genus, **rational parametrization** (if genus 0), Weierstrass form for (hyper)elliptic solutions **(algcurses)**



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Question: Given an algebraic series $A(t;x,y\dots)$ given by its minimal polynomial over $K=\mathbb{Q}(t,x,y\dots)$, find a “simple” series generating $K(A)$.

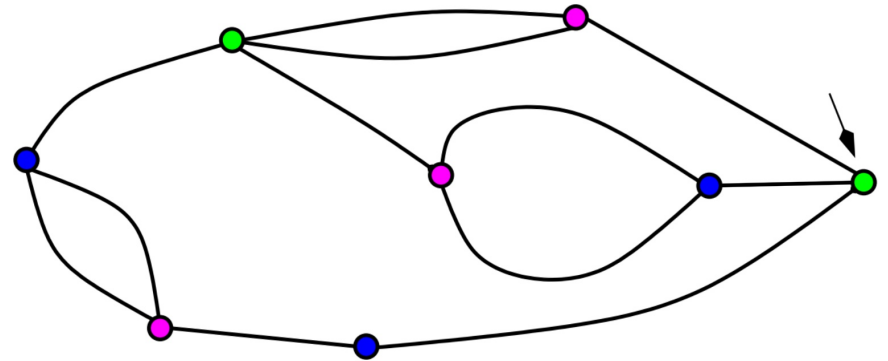
Same question for the subfields between K and $K(A)$.

A small example: properly 3-coloured planar maps

How does one go from this **polynomial of bidegree** $(6, 4)$ in (t, A) :

$$\begin{aligned} & -12500A^4t^6 + 24t^4(1000t - 71)A^3 - 2t^2(3600t^3 + 7216t^2 - 1020t + 39)A^2 \\ & - (864t^5 - 9040t^4 - 1712t^3 + 536t^2 - 42t + 1)A - 40t + 540t^2 - 2720t^3 + 432t^4 + 1 = 0 \end{aligned}$$

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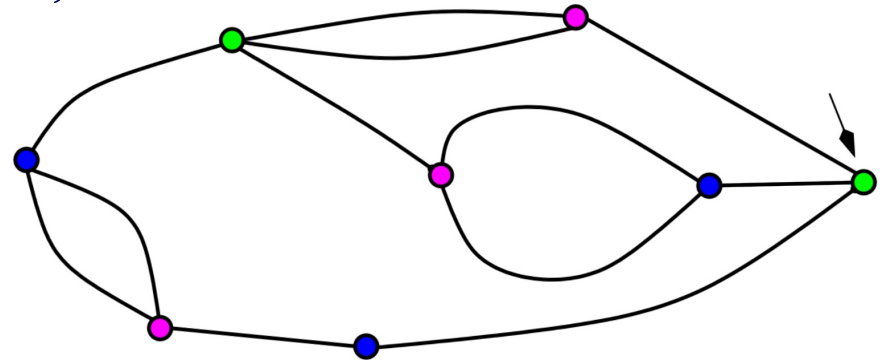
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$$A = 2T - \frac{T^2(1 + 2T)(1 + 2T^2 + 2T^4)}{(1 - 2T^3)^3}$$

with $T = t \frac{(1 + 2T)^3}{1 - 2T^3}$?



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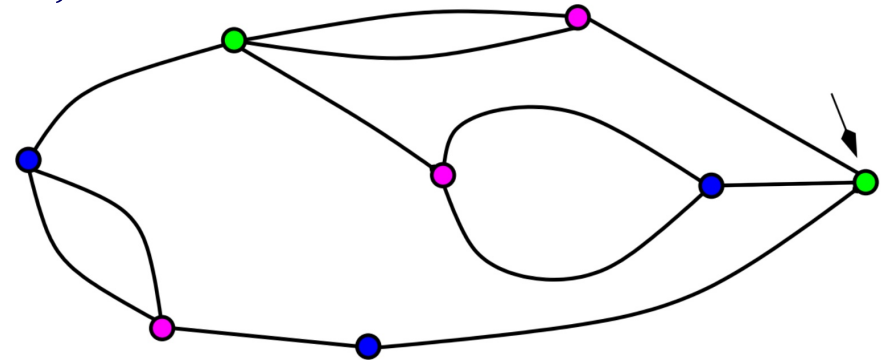
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`algorithms[parametrization]` gives *some* parametrization

$$t = \frac{S^3 - 6S^2 + 12S - 10}{S^3(S - 2)}$$

(genus 0)

[Bernardi & mbm 09]

A bigger example: king walks avoiding a quadrant

How does one go from this **polynomial of bidegree (24, 12)** in (t, A) :

$$\begin{aligned} & (1544682349732742644432896t^6 + 2859956429703196777316352t^5 + 1371747210064046280769536t^4 \\ & + 261868606648367056551936t^3 + 206859122755182935064576t^2 + 986133970108455174144t + 655923393268641792) A^{12} \\ & + (11908838181437910288433152t^8 + 27491842869484512619266048t^7 + 22066168998404344966742016t^6 \\ & + 9456378844969952000409600t^5 + 3577317106243476992311296t^4 + 725362067373633286668288t^3 \\ & + 123324842335532119326720t^2 + 426162798940826124288t + 249875578388054016) A^{11} \\ & + [\dots] \\ & - 2 (1099511627776t^{16} + 4947802324992t^{15} + 8908835913728t^{14} + 8010919313408t^{13} + 3551066587136t^{12} \\ & + 601824952320t^{11} + 128619544576t^{10} + 260050427904t^9 + 187250317568t^8 + 66799107968t^7 + 13529493584t^6 \\ & + 1545216528t^5 + 86381746t^4 + 1570596t^3 + 920t^2 + 38t - 1) (4t + 1)^4 (8t - 1)^4 A \\ & + 3t^2 (t + 1)^2 (4t + 1)^6 (8t - 1)^{10} = 0 \end{aligned}$$

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 & + [\dots] \\
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to...

$$A = 3(1 - 8t) \frac{T^2(1 + 4T + T^2)(T^2 - 1)(1 + 2T)}{2(1 - 3T^2 - 4T^3)^3(1 + 4T - 2T^3)},$$

with

$$\frac{T(T^2 + T + 1)(1 + 3T - T^3)^3}{(T^2 + 4T + 1)(1 - 3T^2 - 4T^3)^3} = \frac{t(1 + t)}{1 - 8t}.$$

(genus 4)

[mbm & Wallner 23]

A recurrent question: dependence on parameters

Subfields. If $P(t,A)=0$, what are the subfields of $\mathbb{Q}(t,A)$?

Example. Starting from $P(t,a)$ of bidegree $(24, 12)$, the command

`evala(Subfields(subs(t=10k, P(t,a)),4)`

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E.g, for $t=10$,

$$\text{RootOf}\left(59059089842541_Z^4 + 40291825844958_Z^3 - 14363433497042654706_Z^2\right. \\ \left.+ 3848807433734406268482_Z - 290439563039835597485204\right)$$

but the coefficients need not be polynomials in t .

⇒ Reconstruction?

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$$\text{RootOf}\left(59059089842541_Z^4 + 40291825844958_Z^3 - 14363433497042654706_Z^2\right. \\ \left.+ 3848807433734406268482_Z - 290439563039835597485204\right)$$

but the coefficients need not be polynomials in t .

⇒ Reconstruction?

$$\frac{Z}{(1+Z)(1-3Z)^3} = \frac{t(1+t)}{1-8t}$$

A recurrent question: dependence on parameters

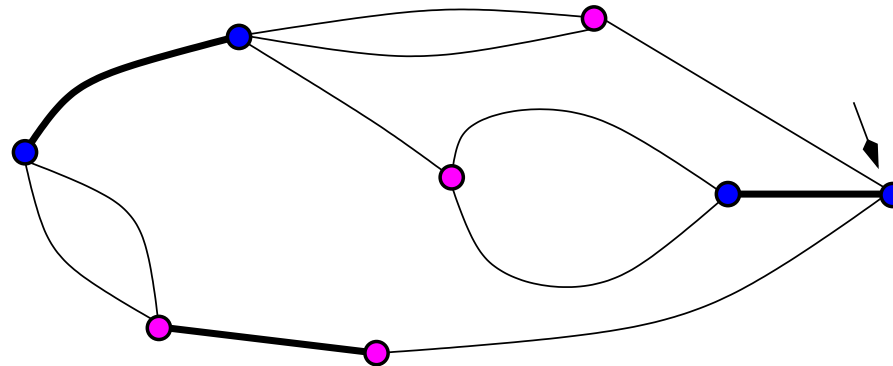
Parametrization. If $P(t,x,A)=0$, and $P(t,x,a)$ has genus 0 over $\mathbb{Q}(x)$, find a rational parametrization of (t,A) over $\mathbb{Q}(x)$.

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Example. Bicoloured planar maps, counted by edges (t) and monochromatic edges (x) :

$$314928x^7t^9(x+1)^6A^6 - 34992t^7x^5(x+1)^4(36tx^3 + 54tx^2 - x^2 + 18tx - 1)A^5 + [\dots]$$



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$$T = t \frac{(1 + 3xT - 3xT^2 - x^2T^3)^2}{1 - 2T + 2x^2T^3 - x^2T^4}.$$

[mbm & Bernardi 09]

Simplifying in the D-finite world

Classical tools for linear ODEs

- Closure properties [Gfun]
- Factorisation of differential operators
- ODE of minimal order satisfied by a D-finite series
- Singular expansions



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[Petkovsek, Wilf & Zeilberger 96]

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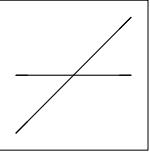
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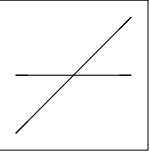
Gessel's quadrant walks ending on the y-axis



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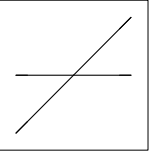
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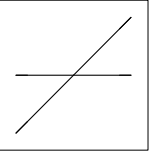
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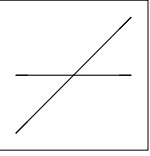
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$$a(n) = \frac{4\sqrt{3}\Gamma(\frac{5}{6})16^n\Gamma(n+\frac{1}{2})\Gamma(n+\frac{7}{6})}{9\sqrt{\pi}\Gamma(\frac{2}{3})\Gamma(n+2)\Gamma(n+\frac{4}{3})} + \frac{2\Gamma(\frac{2}{3})16^n\Gamma(n+\frac{5}{6})\Gamma(n+\frac{1}{2})}{9\sqrt{\pi}\Gamma(\frac{5}{6})\Gamma(n+2)\Gamma(n+\frac{5}{3})}$$

Simplifying in the D-finite world

Question: decide whether a given D-finite series is algebraic
[Bostan 17, Bostan, Caruso & Roques 23(a), Singer 80]

Simplifying in the D-algebraic world

Classical tools for polynomial ODEs

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- Differential elimination
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DifferentialAlgebra



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DifferentialAlgebra



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Example: coloured triangulations

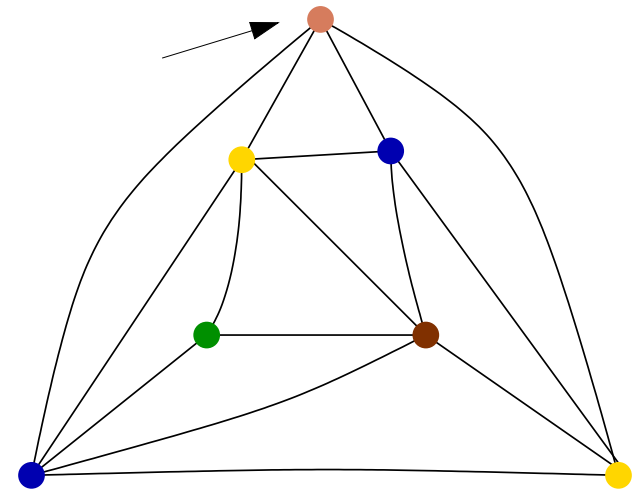
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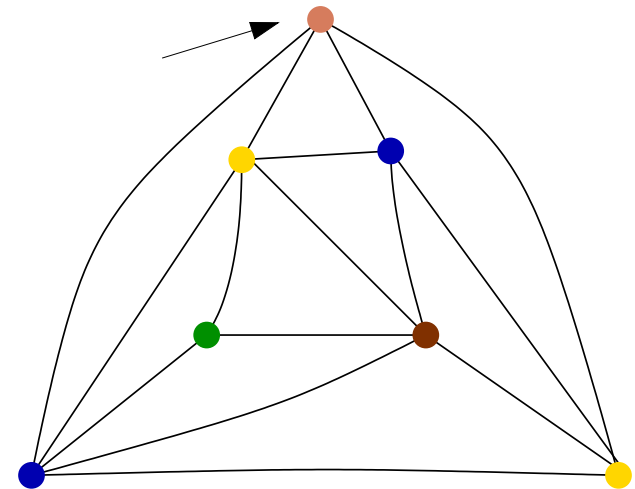
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$\alpha = 4$. Properly 5-coloured triangulations, probably not D-finite

[Tutte 73-84]

[Bettinelli]

My favourite tool...

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Ask people !

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The A#B team...

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Thanks for your
attention



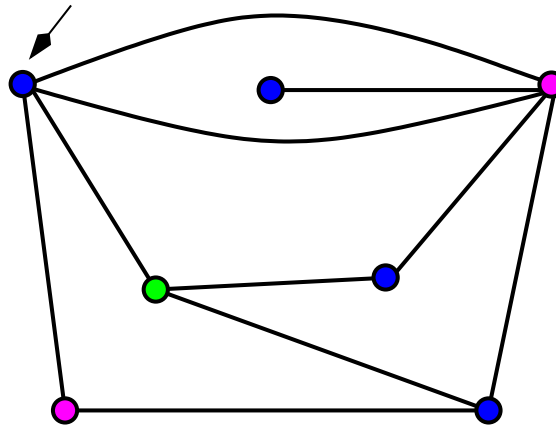
Coefficient extraction

Three-colourings of planar quadrangulations [mbm & Elvey Price 20]

$$P(t, y) = \frac{1}{y} [x^1] C(t, x, y),$$

$$D(t, x, y) = \frac{1}{1 - C\left(t, \frac{1}{1-x}, y\right)},$$

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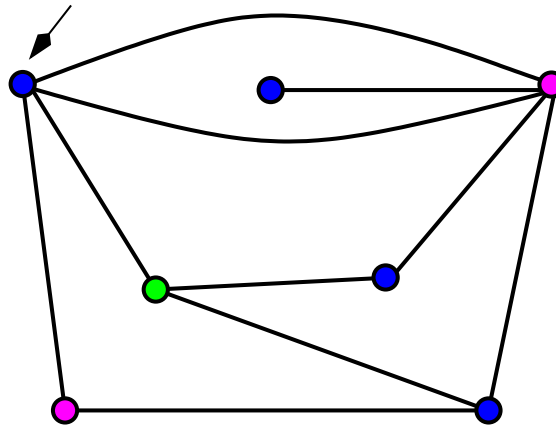
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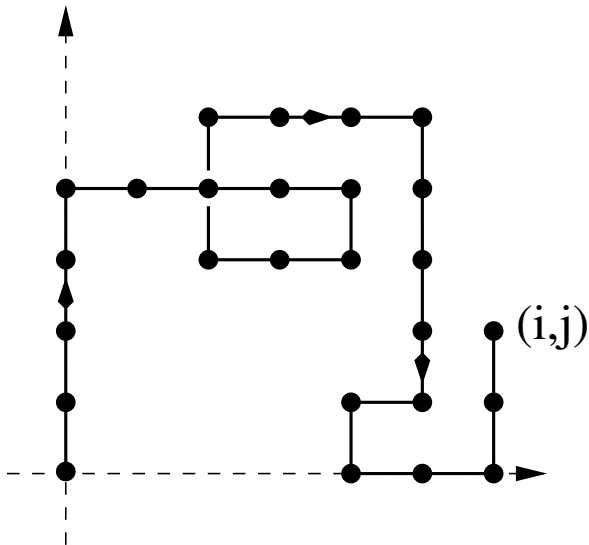
$$[y^1] D(t, x, y) = \frac{1}{1-x} (1 + 2t[y^2] D(t, x, y) - t([y^1] D(t, x, y))^2).$$



Two discrete derivatives: some examples

- Square lattice walks confined to a quadrant: linear equation

$$Q(x, y) = 1 + t(x + y)Q(x, y) + t \frac{Q(x, y) - Q(x, 0)}{y} + t \frac{Q(x, y) - Q(0, y)}{x}$$



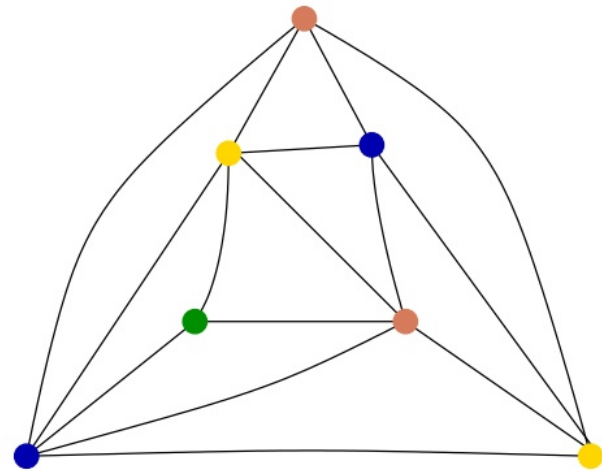
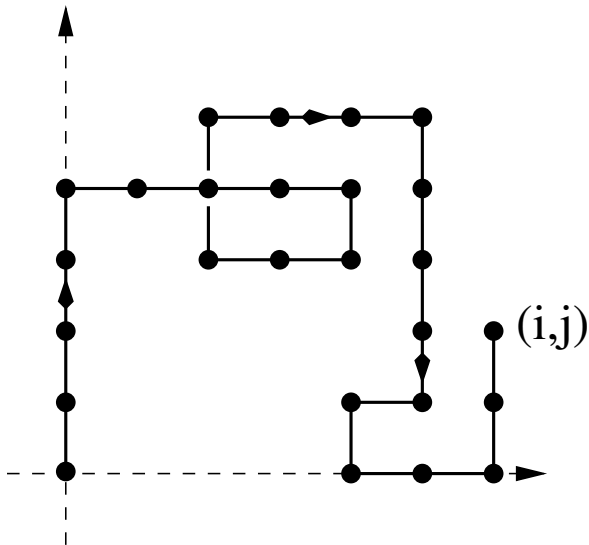
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- Three-stack sortable permutations [Defant, Elvey Price, Guttman 21]

$$P(x, y) = t(x + 1)^2(y + 1)^2 + ty(1 + x)P(x, y) + t(1 + x) \frac{P(x, y) - P(x, 0)}{y} \left((1 + y)^2 + y \frac{P(x, y) - P(0, y)}{x} \right)$$