# Machine Translation and its Components

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**Ling 473** 

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### **Overview**

- Machine Translation and Post Editing
- > The Empirical Revolution in NLP
- > NLP Pipeline
  - Parsing
  - > Generation
  - > Transfer<sup>later</sup>
- ➤ EBMT and SMT

# **Machine Translation**

#### **Machine Translation**

- There is a great demand for Machine Translation
- > But there are many problems
  - > Linguistic
  - Technical
  - Interface
- Kinds of Machine Translation
  - Rule-based (Knowledge-based):

**Transfer**: n(n-1) engines for n languages

Interlingua: 2n engines for n languages

- Data-driven: Example-based, Statistical
- Successful and Unsuccessful Applications

# Machine translation: problems and issues

- An overall look at the current state of machine translation based on a panel presentation by John Hutchins (13 December 2007)
- Abbreviations:

**RBMT** Rule-based Machine Translation

**EBMT** Example-based Machine Translation

**SMT** Statistical Machine Translation

**SL** Source Language

**TL** Target Language

**PE** Post-Editing

**HT** Human Translation

**MT** Machine Translation

#### **Kinds of Machine Translation**

#### > Knowledge-driven

Rule-based (Knowledge-based): Transfer, Interlingual

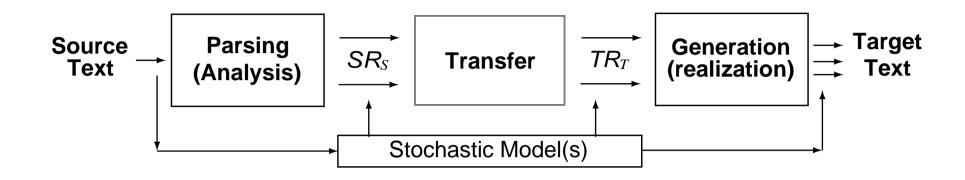
Attempt to understand the text in some way and then translate it, normally with a hand built model.

#### ➤ Data-driven

Example-based MT, Statistical MT

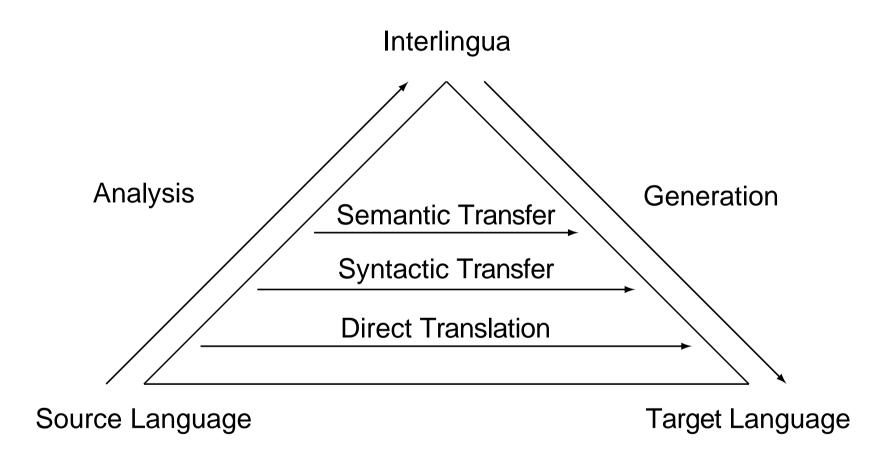
Attempt to build a model based on existing translations, don't attempt understanding, just approximation.

# **Components of Transfer based MT**



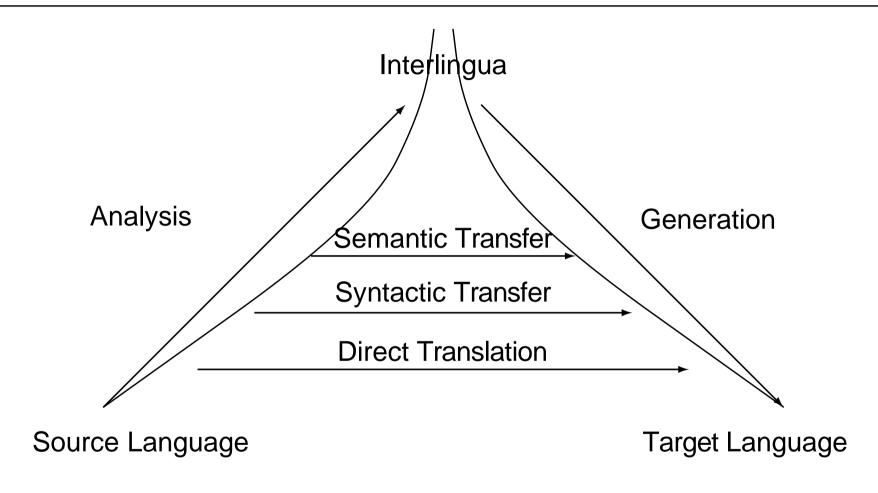
- > Parse source text to source representation (SR)
- Transfer this to some target representation (TR)
- Generate target text from the TR
- If the source = target, then we are paraphrasing

# **How Deep Should We Go?**



The Vauquois Triangle

#### **Rule Based Machine Translation**



The Ikehara Discontinuity

# **Inherent (linguistic) problems:**

#### Bilingual lexical differences

- Bilingual Lexical Ambiguity (more than one equivalent, whether ambiguous in SL or not):
  - Schraube: screw/bolt/propeller
  - corner: coin/angle; Ecke/Winkel
  - > light: léger, clair, facile, allumer, lumière, lampe, feu
  - > look : regarder, chercher, sembler
  - ➤ bank: 銀行 ginkou "financial institution", 土手 dote "embankment"

- Lexical Gap: the absence of a word in a particular language Lexicalized in one language but not the other
  - > river: fleuve/rivière
  - > Taube: dove/pigeon
  - ➤ 牛油 nūuyōu: butter, ghee
  - > shallow waters: ape puţin adânci "not so deep waters" (Romanian)
  - wear: kiru "wear clothes on upper body", haku "wear clothes on lower body"
  - > \*ungood

#### Solved (?) by

- one-to-many contextual rules (RBMT)
- > examples (EBMT)
- frequencies and 'language models' (SMT)

# Inherent (linguistic) problems:

#### Structural ambiguity

- (1) Peter mentioned the book I sent to Mary
  Peter mentioned the book which I sent to Mary
  Peter mentioned to Mary the book which I sent [to Peter/David]
- (2) We will meet the man you told us about yesterday
  ... the man you told us about yesterday
- (3) We will meet the man you told us about tomorrow we will meet tomorrow the man . . .

- (4) pregnant women and children [unambiguous for HT]

  des femmes et des enfants enceintes [produced by MT system]
- (5) a. Smog and pollution control are important factors
  - b. Smog and pollution control is under consideration
  - c. The authorities encouraged smog and pollution control

Often, problems such as (1), (2), and (3) are problematic for RBMT, but they may be 'solved' by SMT 'language model' and by EBMT databases. But problem (4) requires 'knowledge' (i.e. rule-based KBMT)

# Inherent (linguistic) problems:

#### Bilingual structural differences

- (6) Young people like this musicCette musique plaît aux jeunes gensThis music played by young people
- (7) The boy likes to play tennisDer Junge spielt gern TennisThe boy plays happily tennis
- (8) He happened to arrive in time

  Er ist zufällig zur rechten Zeit angekommen

  He is accidentally on right time came

Difficult to specify transfer rules (RBMT) to cover all circumstances and contexts; but example-based (EBMT) and statistics-based (SMT) approaches no better. You need some kind of parsing.

# **Multi-word Expressions**

- ➤ A multi word expression is one in which the meaning of the whole is not fully predictable from the meanings of the individual words.
  - > Kick the bucket
  - > Look up
  - New York Giants
- > In MT a MWE is when a translation is not word to word, but rather a set of words translates to a set of words.
  - (9) 1993年 の 頭 1993 nen-no atama 1993 year 's head "the beginning of 1993" "early 1993"

# Non-linguistic problems of 'reality'

- (10) The soldiers<sub>i</sub> shot at the women<sub>j</sub> and some of them<sub>j</sub> fell
- (11) The soldiers<sub>i</sub> shot at the women<sub>j</sub> and some of them<sub>i</sub> missed
- > must know what 'them' refers to e.g. if translating into French (ils or elles)
- ⊗ No easy solutions with linguistic rule-based approaches
- No easy solutions with corpus-based approaches
- Need to add reference resolution (currently a hot topic!)

- Perhaps only solution using Artificial Intelligence approaches Knowledge-based machine translation, e.g. Carnegie-Mellon University)
- However, perhaps this problem is exaggerated: no need to understand what AIDS and HIV are in order to translate:
  - (12) The AIDS epidemic is sweeping rapidly through Southern Africa. It is estimated that more than half the population is now HIV positive.

# **Problems of stylistic difference**

- (13) The possibility of rectification of the fault by the insertion of a valve was discussed by the engineers
- (14) The engineers discussed whether it was possible to rectify the fault by inserting a valve
- > English: Advances in technology created new opportunities
- Japanese: Because technology has advanced, opportunities have been created
- Japanese: Technology has advanced. There are new opportunities.

All methods of MT tend to retain SL structural features; however, theoretically SMT *language model* approach should be more TL-oriented.

# **Hybrid systems**

- clearly, none of the current MT 'models' are capable of solving all problems
- hence search for hybrid architectures
- > in theory, it would seem that (on average):
  - > RBMT better for SL analysis
  - > EBMT better for transfer
  - SMT best for TL generation

- > Problem is that different approaches not easily compatible:
  - there are however research prototypes combining:
  - > EBMT with statistical methods
  - EBMT using rules similar to those in RBMT systems
  - perhaps a version of EBMT will be the answer
- Currently 'hybrid' systems are mainly parallel systems with a selection mechanism
  - > i.e., translate with several systems and chose the **best** translation
- However, more RBMT systems use statistical models and more SMT systems use parsers, so there is gradual convergence

#### **Translation demand**

- Dissemination: production of 'publishable quality' texts
  - but, since raw output inadequate:
    - · post-editing
    - control of input (pre-editing, controlled language)
    - domain restriction (reducing ambiguities)
- > Assimilation: for extracting essential information
  - use of raw output, with or without light editing
- Interchange: for cross-language communication (correspondence, email, etc.)
  - if important: with post-editing; otherwise: without editing
- > Information access: to databases and document collections

# Post-editing

# **Post-editing:**

#### Types of errors for correction

- Misspelling in original not recognised, therefore not translated;
- missing punctuation
- > e.g. The Commission vice president translated as Le président du vice de la Commission (because no hyphen between vice and president)

# **Post-Editing: Complex syntax**

#### > prepositions:

- > ... el desarrollo de programs de educación nutricional . . .
  - MT: . . . the development of programs of nutritional education
  - · PE: ... in nutritional education ...

#### > verb phrases:

- el procedimento para registrar los hogares . . .
  - MT: the procedure in order to register the households
  - PE: . . . the procedure for registering households

# Post-editing: types of errors (contd.)

#### > inversions:

- ... la inversión de la Argentina en las investigaciones de malaria
  - · MT: . . . the investment of Argentina in the research of malaria
  - PE: Argentina's investment in malaria research
- reflexive verbs with inversions:
  - Se estudiarán todos los pacientes diagnostocados como . . .
    - MT: There will be studied all the patients diagnosed as . . .
    - · PE: Studies will be done on all patients diagnosed as . . .
  - > En 1972 se formuló el Plan Decenal de Salud para las Américas.
    - · MT: <u>In 1972 there was formulated</u> the Ten-Year Health Plan for the Americas
    - PE: <u>The year 1972 saw the formulation of the Ten-Year Health Plan</u> for the Americas.

# **Translators and post-editors**

- post-editing by translators:
  - not foreseen initially
  - > skills acquired over time and practice in real working conditions
  - requires perseverance (initially post-editing takes longer than complete translation)
- High quality human translation is also normally edited

# Post-editing pros and cons

#### advantages:

- translators can maintain quality control
- consistency of terminology
- repetitive matter produced by MT, linguistic quality by HT

#### disadvantages:

- correction of 'trivial' mistakes; too often correcting same type of error
- style too much SL oriented
- translators as 'slaves' to machine
- need for special post-editing tools (not always provided)
- specially trained post-editors [still rare]

# **Controlled language**

Controlled authoring of the source text in standard manner, suitable for unambiguous translation

#### > Typical rules:

- > use only approved terminology, e.g. windscreen rather than windshield
- use only approved sense: follow only as 'come after, not 'obey'
- avoid ambiguous words: replace, either (a) remove and put back, or (b) remove and put something else in place; not appear but: come into view, be possible, show, think
- > only one 'topic' per sentence, e.g. one instruction, command
- > use short sentences, e.g. maximum 20 words
- **>** . . .

- > Typical rules (cont):
  - **>** . . .
  - > do not omit articles
  - > do not use pronouns instead of nouns if possible
  - do not use phrasal verbs, such as pour out
  - > do not omit implied nouns
  - > do not drop subjects
  - avoid co-ordination of phrases and clauses

# Fully Automatic High Quality Machine Translation

#### > METEO

- Translates meteorology text (weather reports)
- > Short, repetitive sentences
- 30 million sentences a year
- ➤ MT with human revision (< 9% of sentences revised)

#### > ALT-FLASH

- ➤ Japanese → English system
- Translates Stock market flash reports
- > Short, repetitive sentences, speed very important
- 10 thousand sentences a year
- MT with human revision (< 2% of sentences revised)</p>

#### MT for assimilation

- publication quality not necessary
- > fast/immediate
- > readable (intelligible), for information use
  - intelligence services (e.g. NAIC)
  - occasional translation (home use)

- > as draft for translation
- aid for writing in foreign language
  - as used by EC administrators
- > emails, Web pages
- any system type can be used (including those originally for mainframes and PCs
  - online MT has all types of rule-based systems and now also SMT

# Empirical Natural Language Processing

# The Empirical revolution in NLP

- > As systems get bigger, behavior is harder to predict
- Looking at system output one sentence at a time is slow
- Can we automate testing?
  - 1. Create a gold standard or reference (the right answer)
  - 2. Compare your result to the reference
  - 3. Measure the error
  - 4. Attempt to minimize it globally (over a large test set)

# The Empirical approach

- 1. Develop an algorithm and gather examples/rules from training data
- 2. Optimize any parameters on development data
  - > Normally about 10% of the training data
- 3. Test on held-out, unseen test data

This gives a fair estimate of how good the algorithm is

— if the test criteria are appropriate.

# **Word Error Rate in Speech Recognition**

- The first successful wide spread testing:
  - Compare your output to a reference
  - Calculate the number of substitutions, deletions and insertions to make them match (Minimum edit distance)
  - Normalize by dividing by the length of the reference

$$W ER = \frac{S+D+I}{N}$$

Reference: I want to recognize speech today
 System: I want wreck a nice peach today
 Eval: S S I S

$$\gg WER = \frac{3+0+1}{6} = 0.667$$

#### Some properties of WER

- > Correlates well with the task
- Reducing WER is always a good thing
- A WER of 0 implies perfect results (assuming the reference is correct)
- > WER < 0.05 generally considered the minimum to be useful
- > Competitions were held to see who could get the lowest WER
  - > Speech Recognition had 10 years of rapid improvement
  - It has slowed down now

# How good are the systems?

| Task                   | Vocab  | WER (%) | WER (%) adapted |
|------------------------|--------|---------|-----------------|
| Digits                 | 11     | 0.4     | 0.2             |
| Dialogue (travel)      | 21,000 | 10.9    |                 |
| Dictation (WSJ)        | 5,000  | 3.9     | 3.0             |
| Dictation (WSJ)        | 20,000 | 10.0    | 8.6             |
| Dialogue (noisy, army) | 3,000  | 42.2    | 31.0            |
| Phone Conversations    | 4,000  | 41.9    | 31.0            |

Speaker adapted systems have a lower WER.

#### Speaker Adaptation as you use the system

- Personal Recognition launched by Google in 2010
  - associate the user's speech input with the user build a small speech corpus
  - > use these words to build a speech model specifically for the user
  - accuracy improvements begin fairly quickly and build over time
  - you must opt in to use the system
- Improve the data, not the algorithm
- Build specialized models

#### **Empirical vs Rational NLP**

- > The 1990s went through an empirical revolution
- > Funding agencies sponsored competitions
  - > TREC: Text REtrieval Conference
  - MUC: Message Understanding Conference
  - DARPA Machine Translation Competitions
- Data to test with became more available
- > Reviewers demanded evaluation in papers
- > A lot of research on evaluation methods

# Why do we test in general?

#### Testing is important for the following reasons

- 1. Confirm Coverage of the System
- 2. Discover Problems
- 3. Stop Backsliding
  - > Regression testing test that changes don't make things worse
- 4. Algorithm Comparison
  - Discover the best way to do something
- 5. System comparison
  - Discover the best system for a task

#### How do we test?

- Functional Tests (Unit tests)
  - > Test system on test suites
- > Regression Tests
  - Test different versions of the system
- > Performance Tests
  - Test on normal input data
- Stress Tests (Fuzz tests)
  - > Test on abnormal input data

#### **MT Evaluation**

- Evaluating MT output is non-trivial
  - There may be multiple correct answers.
    - I like to swim
    - · I like swimming
    - Swimming turns me on
- > Hand evaluation requires a bilingual evaluator expensive
- Automatic evaluation can be done by comparing results (in a held out test set) to a set of reference translations
  - > The most common metric is BLEU
  - ➤ Other scores are: Word Error Rate; METEOR

#### MT Evaluation: Fluency and Adequacy

- > Fluency: How do you judge the fluency of this translation?
  - > 5 = Flawless English
  - ➤ 4 = Good English
  - > 3 = Non-native English
  - ➤ 2 = Disfluent English
  - ➤ 1 = Incomprehensible
- Adequacy: How much of the meaning expressed in the reference translation is also expressed in the hypothesis translation?
  - $\rightarrow$  5 = All
  - $\rightarrow$  4 = Most
  - > 3 = Much
  - $\geq$  2 = Little
  - ➤ 1 = None

#### MT Evaluation: The BLEU score

> BLEU score compares n-grams (normally up to 4) with those in the reference translation(s) (with a brevity penalty)

$$BLEU \approx \int_{i=1}^{n} \frac{\text{n-grams in sentence and reference}}{|\text{n-grams}|}$$

- > 0.3–0.5 typical; 0.6+ approaches human
- > Only really meaningful summed over a test set
  - > individual sentences are too short

#### An Example of Variation

- 1. Early and frequent releases are a critical part of the Linux development model
- **REF** 早期 かつ 頻繁 な 公開 は 、 リナックス の 開発 モデル の 重要 な 部分 で ある 。
  - (a) 早く、 そして 頻繁 に 公表 する こと は リナックス の 発展 モデル の 重要 な 一部 で ある 。
  - (b) 早く、 そして 頻繁 な リリース は、 Linux 開発 モデル にとって は 重要 な 部分 で ある。

More overlap with the reference is better so (a) is better.

#### **BLEU** pros and cons

#### > Good

- Easy to calculate (if you have reference translations)
- Correlates with human judgement to some extent
- Used in standard competitions

#### > Bad

- Doesn't deal well with variation
  - Exact string match
  - Near misses score zero: cat /= cats!
- Biased toward n-gram models
  - SMT systems optimize for BLEU

# **Misleading Bleu Scores**

- ➤ 信号は赤でした。
  - ➤ The light was red.
  - ➤ The signal was red. (0.35)
- ➤ 大丈夫です。
  - I'm all right.
  - ightharpoonup I am all right. (0.27)
- ➤ 空港から電話しています。
  - I'm calling from the airport.
  - ► I am telephoning from the airports. (0.22)

#### How to improve the reliability?

- Use more reference sentences.
- Use more translations per sentence
  - Can be automatically created by paraphrasing
- ➤ Improve the metric: METEOR
  - ➤ add stemmed words (partial score): cat ≈ cats!
  - ➤ add WordNet matches (partial score): cat ≈ feline!
- Unfortunately this adds noise
  - Errors in stemming
  - Uneven cover in WordNet
- > Still better than BLEU (so far) but harder to calculate

#### **Problems with testing**

- You get better at what you test
- If the metric is not the actual goal things go wrong
  - > BLEU score originally correlated with human judgement
  - As systems optimized for BLEU
  - > ... they lost the correlation
  - > You can improve the metric, not the goal
- > The solution is better metrics, but that is hard for MT
- We need to test for similar meaning: a very hard problem

#### **Conclusion**

- > A surprising amount of variation is possible in MT
- > This makes evaluation difficult
  - If we know the correct answer, the problem is solved
- But evaluation is very important in NLP
  - Use automatic evaluation
  - Recognize the risks

# **NLP** Pipeline

#### **Natural Language Processing Steps**

- Morphological Analysis dealing with words
  - Segmentation splitting into words
  - Lemmatization finding the base form (or lemma)
  - > Part of Speech Tagging assigning categories
- Parsing assigning structure
- Generation producing text

# Morphological analysis

# Morphological analysis

- > This deals with the analysis of words as isolated units
- Separate into words (segmentation)
- Determine the base form (lemmatize)
- Determine the POS (one or many)
- Determine the sense (one or many)
- ⊗ Unknown words are a big problem
  - × ライ\ナス\は\この\よう\な\こと\を\信じ\て\いる
  - X Rai\nasu\ha\kono\you\na\koto\wo\shinji\te\iru
  - Linus believed something like this

# **Linus vs Linus**





Lainus ライナス

Leenus リーナス

#### **Biases in Morphological Analysis**

Linus /linnuss/ in ChaSen (Japanese Morphological Analyser)

> Which is more common?

| Word | Goo    | Google  | Comment                              |
|------|--------|---------|--------------------------------------|
| ライナス | 18,200 | 259,000 | The character in Peanuts             |
| リーナス | 7,300  | 101,000 | ChaSen developers are Computer users |

#### Word Segmentation — Why

Assistant: Can you stick around? I need supervision for this.

**Manager:** Really? I'd rather have super hearing than super vision. (pause) Oh, you meant "supervision," didn't you?

Assistant: Do you think someone else could help me with this?

http://www.overheardintheoffice.com/archives/010378.html

**supervision, supervising, superintendence, oversight** – management by overseeing the performance or operation of a person or group (WordNet).

**super power** — supernatural power exhibited by super heroes and super villains; super vision, super strength, spider sense . . .

#### **Word Separation**

- Non separated text Japanese, Chinese, Thai, Old English
- Speech recognition output recognize speech vs wreck a nice peach

(Robert Dale)

# **Word Separation Example (1)**

➤ How many ways can we separate 森永前日銀総裁?

rin ei zen hi gin sou sai mori ei zen hi gin sou sai rin ei mae hi gin sou sai mori ei mae hi gin sou sai rin ei zen nichi gin sou sai mori ei zen nichi gin sou sai rin ei mae nichi gin sou sai mori ei mae nichi gin sou sai morinaga zen hi sou sai morinaga mae nichi gin sou sai

. . .

> Far too many

# **Word Separation Example (2)**

- > The ambiguity is local
- > Pack the results in a lattice:

| 森        | 永   | 前        | 日     | 銀   | 総      | 裁   |
|----------|-----|----------|-------|-----|--------|-----|
| rin      | ei  | zen      | hi    | gin | sou    | sai |
| mori     |     | mae      | nichi |     |        |     |
| morin    | aga | zennichi |       |     | sousai |     |
| nichigin |     |          |       |     |        |     |

> Any path that covers the whole input is a possibility

#### **Word Separation Example (3)**

- How do we choose the best path?
  - Shortest number of words
    - Pick the path with the least number of words
  - Most common words
    - Prefer frequently occurring words
  - Word-word/pos-pos/class-class coherence weight
    - How far away should we look? (normally  $\pm 2$ )

前 銀 総 裁 森 永 日 rin ei hi sai zen gin sou nichi mori mae zennichi morinaga gin sousai morinaga nichigin zen sousai

➤ 森永 前 日銀 総裁Morinaga former Bank of Japan President

#### A more general approach — keep ambiguity



- Try parsing the n-best paths
- $\rightarrow$  Try parsing all paths within probability  $\beta$  of the best path
- Try parsing all paths within probability  $\beta$  of the best path, increasing  $\beta$  until we find a parse (adaptive super-tagging)
- > Parsing can look further away, so can disambiguate better
  - Keeping only the best paths reduces ambiguity and makes parsing faster
  - Speed increases of up to 20 times faster!

#### Lemmatization

- Once you have words
  - > You may want to look them up in a dictionary
  - > To look up a word in a dictionary
    - · need either its canonical form
    - · or a very big dictionary
- > Lemmatization is the process of finding the canonical form

```
(15)
     itta
     iku/iu/iru+ta
     行く/た or 言う/た or いる/た
(16) 行った itta/okonatta
     iku/okonau+ta
     行く/た or 行う/た
(17) dogs → dog+pl
                                          (sometimes just the stem)
(18) mice → mouse+pl
(19) went → go+ed
(20) saw → see+ed "perceive"
(21) saw → saw "cut"
```

#### **Morphological Processes**

- Two possible mappings
  - ➤ Derivation (lexeme → lexeme) non-productive don't do
  - Inflection (lexeme → word) may be irregular
- Various Processes
  - > Prefix: un+happy
  - > Suffix: cat+s
  - Circumfix: ke+raja+an
  - ➤ Infix: g+er+igi
  - Reduplication: yama+yama "mountains")

```
(raja "king" → kerajaan "kingdom")
```

```
(gigi "teeth" → gerigi "toothed blade")
```

(yama "mountain" → yamayama

English has relatively impoverished morphology

#### **Kinds of Languages**

Languages differ in how much they use morphology, and how much they use closed class words.

- Chinese has little morphology.
- English has some.
- > Japanese has more.
- > Turkish has a lot

Different approaches are needed for different languages.

#### **Approaches to Lemmatization**

- > You must store all irregular forms
- You need rules for the rest (inflectional morphology)
- Rare words tend to be regular
  - For languages without much morphology, you can expand everything offline
- Most rules depend on the part-of-speech
  - So lemmatization is done with (or after) part-of-speech tagging

# Inflectional Morphology: Verbs in English

| Form    | want    | take   | put     | find    | Tag |
|---------|---------|--------|---------|---------|-----|
| present | want    | take   | put     | find    | VBP |
| 3rd sg  | wants   | takes  | puts    | finds   | VBZ |
| past    | wanted  | took   | put     | found   | VBD |
| -en     | wanted  | taken  | put     | found   | VBN |
| -ing    | wanting | taking | putting | finding | VBG |

- > want is regular, most rare verbs are like this
- Can do with rules on the fly
  - ➤ Note ambiguity: *His wants are few*
  - > Need to combine with POS tagging.

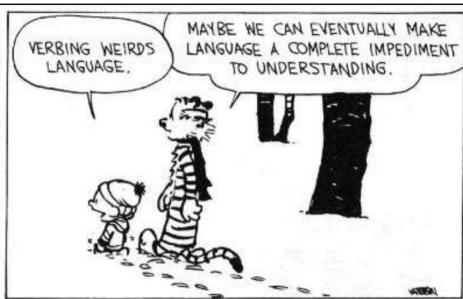
#### **Derivational Morphology**

- Change one word to another one
  - happy (a) → unhappy (a)
  - > venom (n) → antivenom (n)
- Can change the POS
  - canal (n) → canalize (v)
  - → decide (v) → decision (n)
- Sometimes without changing the word!
  - ➤ address (v) → address (n)
  - $\rightarrow$  turn (v)  $\rightarrow$  turn (n)

## **Verbing Weirds Language**







#### Calvin and Hobbes

- If you allow zero derivations, then you get infinite loops  $n \rightarrow v \rightarrow n \dots$
- > Trade-off between cover and efficiency

#### **Tokenization**

- Splitting words into tokens the units needed for further parsing
  - Separating punctuation
  - Adding BOS/EOS (Beginning/Eng of sentence) markers
  - > Splitting into stem+morph: went → go+ed
  - Normalization
    - data base
    - data-base
    - database
- > This process is very task dependent
- Basically segmentation for already segmented languages

#### Parts of Speech I

- Grammatical Categories (word classes) that describe word usage
- Four main open-class categories
  - Noun (N) heads a noun phrase, refers to things Verb (V) heads a verb phrase, refers to actions Adjective (A or J) modifies Nouns, refers to states or properties Adverb (R or A) modifies Verbs, refers to manner or degree
- Some closed sub-categories
  - **Pronoun (Pr)** that refers to people or things in context (*I, you, her*) **Auxilliary (Aux)** adds information about a main verb (*was, will, have*)
- Different languages make different distinctions

#### Parts of Speech II

Closed class categories vary more

```
Preposition (P) in, of: links noun to verb (postposition)
Conjunction (C) and, because: links like things
Determiner (D) the, this, a: delimits noun's reference
Interjection (Int) Wow, um:
Number (CD) three, 125: counts things
Classifier (CL) — "animal-CL": classifies things
```

- Multi-word Expressions used to extend classes
  - > in order to, with regards to (complex prepositions)

## **POS** tagging

- NLP systems use detailed POS tags
  - ➤ 30–300 is typical
- > If you don't include syntactic information then 30-50
  - > Penn Treebank tags (most common set) http://www.ling.upenn. edu/courses/Fall 2003/ling001/penn treebank pos.html
  - > 45 tags (including punctuation)

#### **Penn Treebank Examples**

| Tag  | Description            | Tag | Description                           |
|------|------------------------|-----|---------------------------------------|
| NN   | Noun, singular or mass | VB  | Verb, base form                       |
| NNS  | Noun, plural           | VBD | Verb, past tense                      |
| NNP  | Proper noun, singular  | VBG | Verb, gerund or present participle    |
| NNPS | Proper noun, plural    | VBN | Verb, past participle                 |
| PRP  | Personal pronoun       | VBP | Verb, non-3rd person singular present |
| IN   | Preposition            | VBZ | Verb, 3rd person singular present     |
| TO   | to                     |     | Sentence Final punct (.,?,!)          |

- > The tags include inflectional information
  - > If you know the tag, you can find the lemma
- > Some tags are very specialized: I/PRP wanted/VBD to/TO go/VB ./.

## Why is it so hard?

Large dictionaries cause unexpected problems

```
(22) I saw a bench
NV DN
NN NN
```

(23) machine translation evaluation system N N N

> Language is often extended: new words and new uses of old words

#### What can we do?

- Exploit knowledge about distribution
  - Markup (tag) a text (corpus) with part of speech
- > With them, it suddenly looks easier
  - Just choose the most frequent tag for known words (/ pronoun, saw verb, a article, . . . )
  - Make all unknown words proper nouns
  - This gives a baseline of 90% (for English)
- > The upper bound is 97-99% (human agreement)
  - The last few percent are very hard

#### **POS** tagging methods

- Rule-based (now rare)
  - But still best results for English
- Statistical (simplest using just 2 previous words and tags)
  - Fast and cheap to build
- Other machine learning based
- > All methods require expensive resources
  - Dictionaries of known words
  - Corpora tagged with parts of speech

#### **Statistical Systems**

- Learn rules automatically from tagged text
  - Many learning methods
  - Current popular learner is MIRA, before then CRF, before then SVM, . . .
  - Algorithms and CPU speeds are improving
- > 96%+ accuracy using these features
  - Previous n words, (succeeding n words)
  - Previous n tags
  - Combinations of words and tags
  - Word Shape

#### Out of Vocabulary (OOV) words

- Unknown words are a big problem
  - Completely unknown words (not in lexicon)
  - Unknown uses of known words (derivation or lexicon gaps)
- Big, accurate lexicons are most useful!
- Otherwise guess from word shape (and context)
  - ➤ lowercase → common noun
  - > uppercase → Proper noun
  - > ends in -/y → adverb
  - ➤ ends in -ing and has vowel → verb
- > You can learn these features

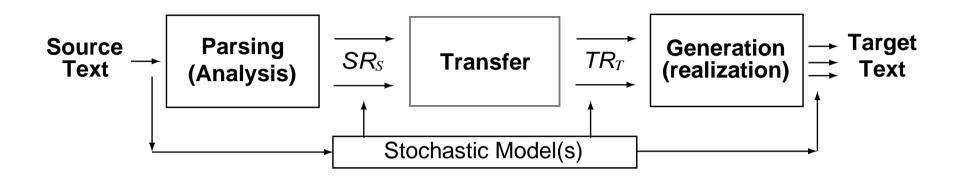
#### Representing ambiguities

- > Two opposite needs:
  - Disambiguate early (leaving fewer choices)
    - → Improve speed and efficiency
  - Disambiguate late (leaving more choices)
    - → Can resolve ambiguities with more information
- Several Strategies:
  - Prune: Discard very low-ranking alternatives, but keep some
  - Under specify (keep ambiguity efficiently)
  - Pack information in a lattice (keep ambiguity efficiently)

#### **Summary**

- Morphological analysis is the analysis of units within the word
  - Segmentation: splitting text into words
  - Lemmatization: finding the base form
  - Tokenization: splitting text into tokens (for further processing)
  - > Part of Speech Tagging: assigning POS to tokens
- > State of the art is to tag a training corpus and then learn a classifier

#### **Components of Transfer based MT**



- Parse source text to source representation (SR)
- > Transfer this to some target representation (TR) (next weeks)
- Generate target text from the TR
- ➤ If the source language = target language, then we are paraphrasing

# Parsing

#### **Parsing**

- Trade off between complexity/power and speed
  - Simple formalisms are faster
    - · less useful output
    - · may not parse some phenomena
  - > Parsing to full meaning representations is slower
    - · more useful output
    - · harder to write rules

### **Algorithmic Complexity and Big-O Notation**

> We measure the efficiency of an algorithm using big-O notation

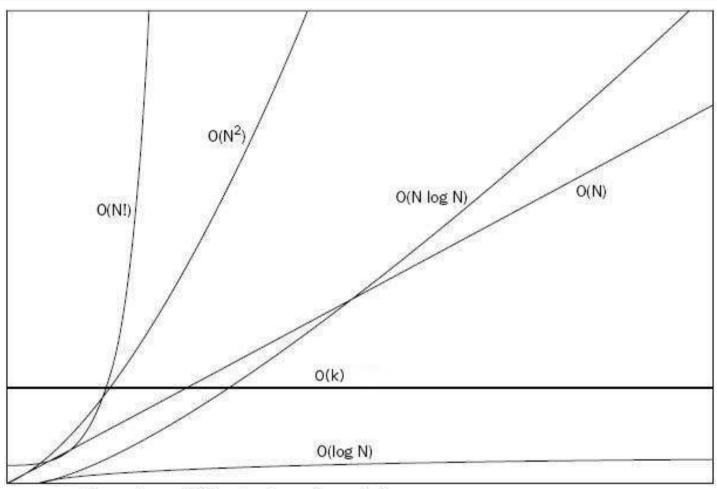
$$> f(n) = O(g(x)) \text{ iff } f(n) < M |g(x)| \text{ for all } x > x_0$$

describes the behaviour of a function for big numbers.

 $\rightarrow$  We want the function g(x) to grow as slowly as possible

| Fun         | ction          | Name                     | Example                                    |
|-------------|----------------|--------------------------|--|
| <i>O</i> (0 | )              | Constant                 | hash lookup                                |
| O(n         | ,              | Linear                   | parsing <i>n</i> sentences                 |
| O(n         | <sup>2</sup> ) | Quadratic                | dependency parsing <i>n</i> word sentence  |
| O(n         | <sup>3</sup> ) | Cubic                    | LR parsing <i>n</i> word sentence          |
| O(n         | <i>c</i> )     | Polynomial of degree $c$ | HPSG parsing $n$ word sentence ( $c = 5$ ) |
| O(c         | <i>n</i> )     | Exponential              | ambiguity in $n$ word sentence             |
| O(n         | !)             | Factorial                |  |

# **Comparing Growth of Functions**



Comparison of different orders of complexity.

# Why it is important

 $\rightarrow$  What happens when *n* goes to 10n

| <b>Function</b> | Name                     | Speed                                  |
|-----------------|--------------------------|--|
| <i>O</i> (0)    | Constant                 | no change                              |
| O(n)            | Linear                   | 10 times slower                        |
| $O(n^2)$        | Quadratic                | 100 times slower                       |
| $O(n^3)$        | Cubic                    | 1000 times slower                      |
| $O(n^c)$        | Polynomial of degree $c$ | 10 <sup>n</sup> times slower           |
| $O(c^n)$        | Exponential              | $c^{10}$ times slower                  |
|                 |                          | $(c = 10 \rightarrow 10,000,0000,000)$ |
| O(n!)           | Factorial                | èven slower                            |

> 100 word sentences are not that rare

#### **Structural Ambiguity**

- > You get structural ambiguity with any long sentence
  - While hunting in Africa, I shot an elephant in my pajamas. How an elephant got into my pajamas I'll never know. Groucho Marx movie, Animal Crackers (1930)
- Lexical ambiguity makes this worse
  - People saw her duck.

### The problem: assign a structure to a sentence

We get words

People saw her duck.

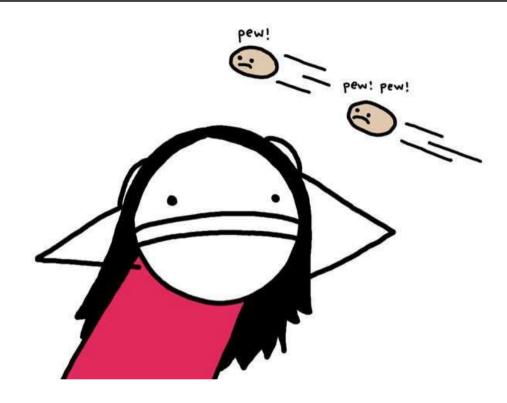
We want meaning
Or at least syntax

# People saw her duck



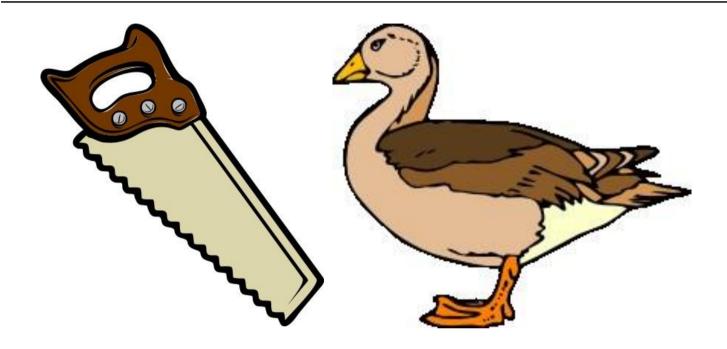
People saw the duck belonging to her.

# People saw her duck



People saw that she ducks.

# People saw her duck



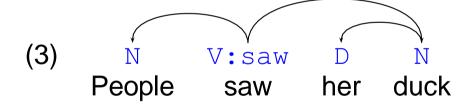
People cut her duck with a saw.

OpenClipArtLibrary 96

### **Dependency Parsing**





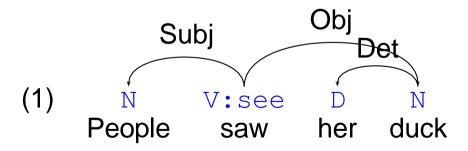


Directly link words to words (Head (main word) to Dependents).

#### **Dependency Parsing**

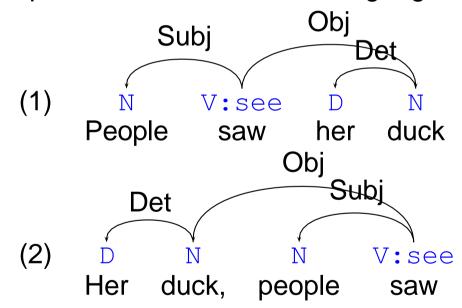
> Complexity:  $O(n^2)$  (efficient)

- > Cannot handle
  - Control structures: I want to go (I go)
  - Coordination: A and B are both OK
- Can combine with labeled arcs (Semantic Role Labeling)

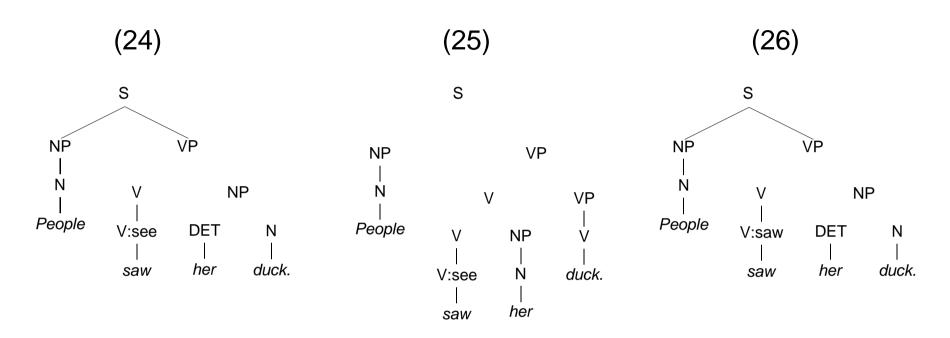


#### **Dependency Parsing in action**

- Most popular for text mining
  - Efficient time means it can be run on large text samples
  - Dependency relations are enough for relational extraction
- > Popular for free word order languages



# **Syntax (Phrase Structure Grammars)**



#### Phrase Structure Grammars (Context Free Grammars)

- > Add intermediate nodes: Noun Phrase (NP), Verb Phrase (VP), ...
- > Complexity:  $O(n^3)$  (polynomial)
- > Cannot handle
  - Control structures: I want to go
  - Crossed structures: found in e.g., Swiss German
- > Still needs more work to give full semantics
  - ightharpoonup Add in semantics (LFG, HPSG:  $ightharpoonup O(n^6)$ )

#### An example grammar

```
S -> NP VP
PP -> P NP
NP -> Det N | Det N PP | 'I' | 'people'
VP -> V NP | VP PP
Det -> 'an' | 'my' | 'her'
N -> 'elephant' | 'pajamas' | 'duck'
V -> 'shot'
P -> 'in'
```

- > Root node (start symbol): S
- Leaf nodes: 'I', 'an', 'my', . . .
- > Pre-terminals: part of speech labels (POS)

#### How to build the trees (with demo)

- > Start at the top and work down: (top-down)
  - $\triangleright$  Recursive Descent Parsing: limited, inefficient  $(O(c^n))$
- > Start at the top and work down: (bottom up)
  - $\rightarrow$  Shift Reduce Parser: needs back tracking  $(O(n^c))$
- > Remember intermediate structures:
  - ightharpoonup Chart Parsing: currently the best!  $(O(n^3))$
- Many tweaks are possible to get more efficiency
  - hyper-active parsing, packing, . . .

#### **Recursive Descent Parsing**

- Start at the root node (start symbol)
- > Break things down into parts using the rules
- > Keep going until things match at the leaves
- ➤ If you don't find the solution then backtrack
- > Cons
  - > NP -> NP PP goes into an infinite loop
  - Many unused structures created

#### **Shift Reduce Parser**

- > Try to find sequences of words that match the right hand side of rule
- Replace them with the left-hand side
- Until the whole sentence is reduced to an S
  - Shift a word onto the stack
  - > Reduce two things using a rule
  - Stop when all words are shifted and the last rule gives S
- > Cons
  - Can fail to find a parse
- > Pros
  - Only builds things that may be needed

#### **Chart Parser**

- Store partial solutions in a chart no backtracking
  - dynamic programming approach by Martin Kay
- > Pros
  - Only builds the structures you need
- > Cons
  - Only works with binary rules
- > This is the most common form of parser for phrase structure grammars

## **Stochastic Parsing**

- > We don't want all trees, just the best one
  - Probabilistic Context Free Grammars: PCFG
- Create all trees and rank them
- Create only the most probable trees
  - prune low probabilities
  - may lose good trees
- > Currently produce the top 1,000 or so and then rerank
- > 90%+ accuracies now available (Charniak, Collins, etc)

#### An example PCFG grammar

```
S -> NP VP
PP -> P NP
NP -> Det N (0.6) | Det N PP (0.2) | 'I' (0.1) | 'people' (0.1)
VP -> V NP (0.4) | VP PP (0.6)
Det -> 'an' (0.8) | 'my' (0.15) | 'her' (0.05)
N -> 'elephant' (0.001) | 'pajamas' (0.001) | ...
V -> 'shot'
P -> 'in'
```

- > The probability of a sentence is the product of the probabilities of the rules
- > With aggressive pruning you can get close to linear complexity

# **Interactive Parsing**

- Ask someone about ambiguities typically the author
- > Accurate
- Provides data for learning
- > Slow and labor intensive
  - Mainly used for building treebanks

# **Incremental Parsing**

- > Build parse as words arrive
- > Even look ahead!
- > Important for speech recognition
- > Psychologically realistic

# **Chunking (Shallow Parsing)**

- Before parsing, identify phrases
  - Especially useful for proper names and unknown words
- Identify idioms
   Complex prepositions
   Complex determiners
- > Don't build structure for New York, in order to, . . . but: Queensland and Melbourne Universities
- Often more robust than a full parse

#### **Tense, Aspect and Modality**

- Not considered by syntactic parsers
  - but can be got from morphology
  - > take input as *go ed* not *went*
- Normally treated as a feature on clauses
- Often determined after the initial parse
- > Hard to do with no context

#### **Discourse Structure**

- Often neglected by parsers
- > Needed for
  - Anaphor resolution e.g., Zero pronouns
  - > Recovery of ellipsis
  - Look ahead and prediction
  - > Text understanding

#### **Constraint based approaches**

- Use one mechanism for many levels: LFG, HPSG
- Often treat syntax/semantics/pragmatics
- Inherently slow, but can be sped up
  - Parallel processing Tsuji (Tokyo)
  - Branch and bound searches Beale (CRL, New Mexico)
  - Packed Representations Oepen (CSLI, Stanford)
  - Super-tagging Curran (Edinburgh), Miyamoto (Tokyo), . . .

#### **HPSG** semantics for *People saw her duck*

```
(1)

h_3:udef_q(x_5{PERS 3, NUM pl, IND +}, h_4, h_6),

h_7:_people_n_of(x_5, i_8),

h_9:_see_v_1(e_2{SF prop, TENSE past, }, x_5, x_{10}{PERS 3, NUM sg}),

h_{11}:def_explicit_q(x_{10}, h_{13}, h_{12}),

h_{14}:poss(e_{16}{SF prop, TENSE untensed, }, x_{10}, x_{15}),

h_{17}:pronoun_q(x_{15}{PERS 3, NUM sg, GEND f, PRONTYPE std\_pron}, h_{18}, h_{19}),

h_{20}:pron(x_{15}),

h_{14}:_duck_n_1(x_{10})

{h_{18} = q h_{20}, h_{13} = q h_{14}, h_4 = q h_7})
```

People saw the duck belonging to her.

```
(2) h_3:udef_q(x_5{PERS 3, NUM pl, IND +}, h_4, h_6), h_7:_people_n_of(x_5, i_8), h_9:_see_v_1(e_2{SF prop, TENSE past, }, x_5, h_{10}), h_{11}:pron(x_{12}{PERS 3, NUM sg, GEND f, PRONTYPE std pron}), h_{13}:pronoun_q(x_{12}, h_{14}, h_{15}), h_{16}:_duck_v_1(e_{17}{SF prop, TENSE untensed, }, x_{12}, p_{18}) {h_{14} = q h_{11}, h_{10} = q h_{16}, h_4 = q h_7})
```

People saw that she ducks.

```
(3)  \begin{array}{c} h_3 : \mathsf{udef}\_\mathsf{q}(x_5 \{\mathsf{PERS}\ 3,\ \mathsf{NUM}\ pl,\ \mathsf{IND}\ +\},\ h_4, h_6), \\ h_7 : \mathsf{people}\_\mathsf{n}\_\mathsf{of}(x_5,\ i_8), \\ h_9 : \mathsf{saw}\_\mathsf{v}\_\mathsf{1}(e_2 \{\mathsf{SF}\ prop,\ \mathsf{TENSE}\ pres,\ \},\ x_5,\ x_{10} \{\mathsf{PERS}\ 3,\ \mathsf{NUM}\ sg\}), \\ h_{11} : \mathsf{def}\_\mathsf{explicit}\_\mathsf{q}(x_{10},\ h_{13},\ h_{12}), \\ h_{14} : \mathsf{poss}(e_{16} \{\mathsf{SF}\ prop,\ \mathsf{TENSE}\ untensed,\ \},\ x_{10},\ x_{15}), \\ h_{17} : \mathsf{pronoun}\_\mathsf{q}(x_{15} \{\mathsf{PERS}\ 3,\ \mathsf{NUM}\ sg,\ \mathsf{GEND}\ f,\ \mathsf{PRONTYPE}\ std\_pron\},\ h_{18},\ h_{19}), \\ h_{20} : \mathsf{pron}(x_{15}), \\ h_{14} : \mathsf{_duck}\_\mathsf{_n}\_\mathsf{1}(x_{10}) \\ \{h_{18} =_q h_{20},\ h_{13} =_q h_{14},\ h_4 =_q h_7\}) \end{array}
```

People cut her duck with a saw.

# Parsing Complexity in the real world

- > Number of parses  $\approx n^{3-5}$ 
  - ightharpoonup Highly constrained grammar (HPSG)  $\approx n^3$
  - ightharpoonup CFG learned from corpus (PCFG)  $\approx n^5$
- > Long sentences dominate processing
  - ➤ 10 word sentence will have 1,000–100,000 parses
  - > 25 word sentence (average for newspaper) 15,625–976,5625
  - ➤ 40 word sentence (long for newspaper) 64,000–102,400,000
- $\rightarrow$  Many systems ignore n > 40

# **Current Mainstream Approach to Parsing**

- 1. Build a rough grammar
- 2. Parse a corpus
- 3. Hand correct the parse trees in the corpus

(expensive)

- 4. Learn a new grammar from the corpus OR fix the grammar
- 5. Learn a ranking model from the corpus

# Generation

#### **Generation (Big Picture)**

- You need to decide What-to-say (discourse level)
  - May produce different amounts of detail for beginner vs expert
  - Can personalize information (e.g. health reports)
- Then you decide How-to-say (sentence level)
  - > You also need to look across sentences for, e.g., pronouns
  - > The same referent can have multiple realizations
    - Nanyang Technological University
    - NTU
    - my university
    - the university in Jurong

. . . .

#### **Generation: Applications**

- Natural-language front ends used to present
  - information in databases etc.
  - weather forecasts, train systems,
  - (personalized) museum/restaurant/shopping guides, . . .
- ➤ In dialog systems
- In summarization systems
- In authoring aids to help people create routine documents: customer support, job ads, etc
- Machine Translation

#### **Generation: Process**

- > Goal
- ➤ Text Planner → Text Plan (What-to-say)
- > Sentence Planner → Sentence Plan
- > Linguistic Realizer → Surface Text (How-to-say)

#### **Generation: Realization**

- > Take an abstract representation and produce a string
- > The opposite of parsing
- Underspecified input produces multiple strings: (paraphrasing)
  - ➤ It follows from this that the company is not responsible for the accident.
  - → It follows that the company isn't responsible for the accident from this.
  - → It follows that the company is not responsible for the accident from this.
  - → That the company isn't responsible for the accident follows from this.

#### **Generation in Machine Translation**

- > What-to-Say decided, but not complete and may contain errors
- How-to-Say it is the problem
- Discourse information not explicit
- Sentence planning is difficult
- > As a result, non-cohesive text is common

#### Handling underspecified input

- Underspecified input has two sources
  - Incompletely analysed input
  - Transfer mismatches

```
葡萄を食べた → I ate grape ??? I ate grapes
```

- > Need Encyclopedic knowledge to choose
  - Use domain, genre and register knowledge
  - Encode frequency knowledge
- Need to fail gracefully

#### **Graceful defaults**

- > Al systems always have incomplete knowledge
  - > as indeed do humans
- > Should always provide reasonable output
  - transliterate unknown words
  - deduce missing elements
  - use as much information as possible
- For post-edited MT it is also important to mark iffy output
  - supplemented pronouns, unknown words
- > The importance of defaults has given rise to statistical models

#### **Summary**

- > Parsing
  - Words to representation
- > Generation
  - Representation to words
- Two main syntactic representations:
  - Dependencies (word-to-word) efficient, cannot represent all structures
  - Phrase Structure Trees (with phrasal nodes) cannot represent more structures

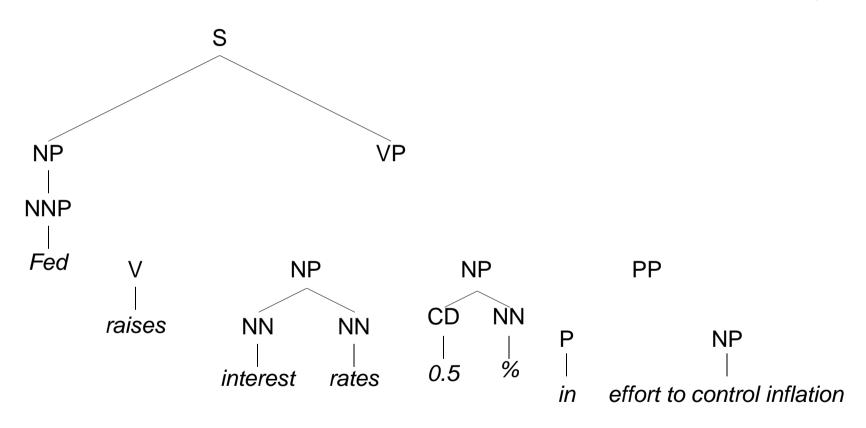
# **Efficiency is important**

- Need to avoid exponential processing
- ➤ Least complex is best
  - constant < linear < polynomial < exponential</p>
- May sacrifice some accuracy for speed
  - prune low probability alternatives

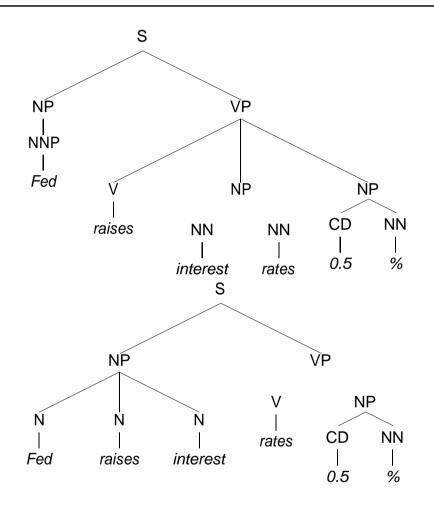
#### **Inherent Ambiguity in Syntax**

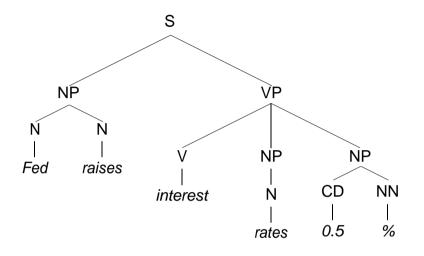
(24) Fed raises interest rates 0.5% in effort to control inflation

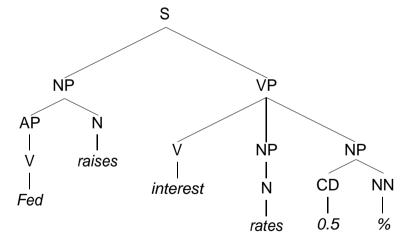
NY Times headline 17 May 2000



# Some of the ambiguities? (33 parses!)







# Approached to Machine Translation

#### **Approaches to Machine Translation**

Rule-based MT Find the meaning, translate it, generate it

**Example-based MT** See how this has been translated before, translate it the same way

**Statistical MT** Imagine that the text has been encoded in some way, try to decode it

#### **EBMT Basic Philosophy**

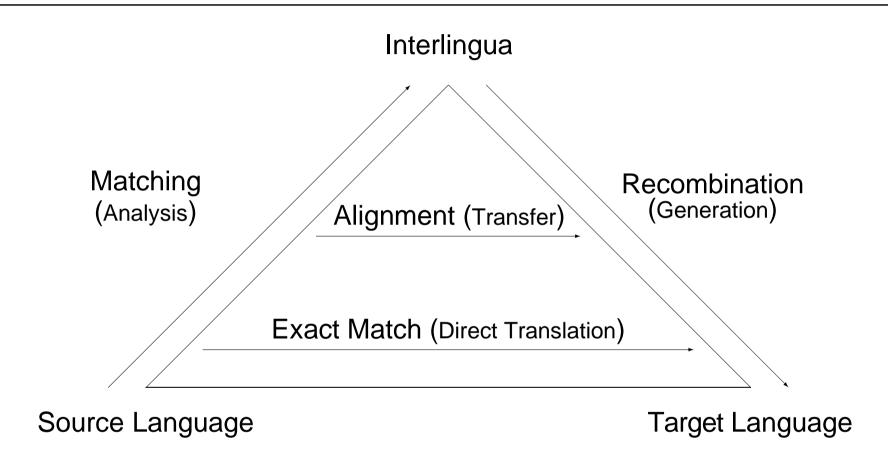
"Man does not translate a simple sentence by doing deep linguistic analysis, rather, man does translation, first, by properly decomposing an input sentence into certain fragmental phrases, and finally by properly composing these fragmental translations into one long sentence. The translation of each fragmental phrase will be done by the analogy translation principle with proper examples as its reference."

Makoto Nagao (1984)

#### **EBMT Method**

- When translating, reuse existing knowledge:
  - 0. Compile and align a database of examples
  - 1. Match input to a database of translation examples
  - 2. Identify corresponding translation fragments
  - 3. Recombine fragments into target text
- > Example:
  - Input: He buys a book on international politics
  - > Data:
    - He buys a notebook Kare wa noto o kau
    - I read a book on international politics Watashi wa kokusai seiji nitsuite kakareta hon o yomu
  - Output: Kare wa kokusai seiji nitsuite kakareta hon o kau

# **EBMT 'Pyramid'**



H. Somers, 2003, "An Overview of EBMT"

#### **Example-based Translation: Advantages/Disadvantages**

- Advantages
  - Correspondences can be found from raw data
  - Examples give well structured output if the match is big enough
- Disadvantages
  - Lack of well aligned bitexts
  - Generated text tends to be incohesive

#### **Open Questions**

- > Representation of examples:
  - Which representation should be used for examples?
    - n-grams
      people saw her; saw her duck; her duck .
    - Dependencies
       N V: see D N her duck
    - Phrase-structure trees

➤ How much information do we need encoded in examples? (lemmas, morphological, syntactic, semantic, ...)

- ➤ How big should the translation unit be:
  - Large → better translation / less likely to be used
  - > Small → better cover / leads to literal translations
  - Mixed: combine different sizes

(best of both worlds)

- Score translation candidates:
  - > heuristics
    - · size of units
    - · semantic closeness to input
  - probabilistic models

(becomes SMT)

#### Early EBMT: ATR System (1991)

Experiments and Prospects of Example-based Machine Translation, Eiichiro Sumita and Hitoshi lida. In 29th Annual Meeting of the Association for Computational Linguistics, 1991.

- $\rightarrow$  Japanese-English translation:  $N_1$  no  $N_2$  " $N_2$  of  $N_1$ " problem
- When EBMT is better suited than Rule-based MT

#### Translating "N<sub>1</sub> no N<sub>2</sub>"

- > 0 no "ADN" is an adnominal particle
  - ➤ Variants: での deno, までの madeno, . . .
- > "N<sub>1</sub> no N<sub>2</sub>"  $\rightarrow$  "N<sub>2</sub> of/for/in/ $\varphi$ /'s N<sub>1</sub>"

youka no gogo
The afternoon of the 8th
The objective of the conference
kaigi no sankaryou
isshukan no kyuka
kyouto deno kaigi
The afternoon of the 8th
The objective of the conference
The application fee for the conference
A week's holiday
The conference in Kyoto
Three hotels

Many different combinations

#### Difficult linguistic phenomena

- ➤ It is difficult to hand-craft linguistic rules for "N<sub>1</sub> no N<sub>2</sub>"
  - Requires deep semantic analysis for each word
  - So remember examples, and then match the closest input mikka no asa "3rd of morning"
     match youka no gogo → "the afternoon of the 8th"
    - matching was done using an ontology
    - 3rd  $\approx$  8th
    - morning ≈ afternoon

**Translation** "the morning of the 3rd"

#### **Example of a larger match**

Head switching between the English verb *like* into French *plaire*.

- > Sam likes the new laser printer.
- ➤ La nouvelle imprimante à laser plaît à Sam.

Remember the whole pattern:

- > X likes Y
- ➤ Y plaît X.

#### When EBMT works better than Rule-based MT

- > The translation rule is difficult to formulate
- General rule cannot accurately describe phenomena due to special cases (e.g. idioms)
- Translation cannot be made compositionally using only target words
- > The sentence to be translated has a close match in the database.

## **EBMT Example System: Eureka**

- > A Hybrid Translation Method (Shirai, Bond and Takahashi, 1997)
  - Dynamically filters example sentences
  - Uses components of existing rule based systems
- General Approach
  - 1. Select a set of candidate sentences similar to the input sentence
  - 2. Select the most typical translation from the candidates' translations
  - 3. Use this translation and its source as templates to translate the input sentence
- > The closest source language match may not be the best translation!

### (1) Select Similar Sentences: source

- S<sub>I</sub> nikkei heikin 10 gatsu mono wa zokuraku .

  Nikkei average 10 month thing TOP continue-decline .

  The Nikkei Average October contracts continued declining
- (25) nikkei heikin 9 gatsu mono wa zokuraku .
  Nikkei average 9 month thing TOP continue-decline .
  The Nikkei Average September contracts were lower.
- (26) nikkei tentoo heikin wa zokuraku .
  Nikkei over-the-counter average TOP continue-decline .
  The Nikkei over-the-counter average continued declining
- (27) 8 gatsu mono wa zokuraku .8 month thing TOP continue-decline .August contracts continued declining.

# (2) Identify the Most Similar Pair

- $S_I$  nikkei heikin 10 gatsu mono wa zokuraku .
- $S_1$  nikkei heikin 9 gatsu mono wa zokuraku . (7/8 shared segments = 0.875 )
- $S_2$  8 gatsu mono wa zokuraku . (5/6 shared segments = 0.833)
- S<sub>3</sub> nikkei-tentoo-heikin wa zokuraku . (3/4 shared segments = 0.75)

Actual metric in Eureka considers:

- the number of matching characters
- the number of non-matching characters
- the number of continuous matching characters

# (3) Recombination

- 1. Find differences
- 2. Choose constitutent
- 3. Replace differing constituent
- 4. Smooth the output
  - > Number agreement
  - > Filter adjuncts
  - S<sub>I</sub> nikkei heikin 10 gatsu mono wa zokuraku .
  - $S_t$  nikkei heikin 9 gatsu mono wa zokuraku .
  - T<sub>t</sub> The Nikkei Average September contracts were lower.
  - T<sub>I</sub> The Nikkei Average October contracts were lower.

### Eureka is adaptive: also consider the translation

- When we select the translation fragment
  - Cluster candidates with similar translations
  - Choose the template from the largest cluster
  - T<sub>1</sub> The Nikkei<sub>2</sub> Average<sub>1</sub> September<sub>1</sub> contracts<sub>2</sub> were lower<sub>1</sub>
  - T<sub>2</sub> August<sub>1</sub> contracts<sub>2</sub> continued<sub>2</sub> declining<sub>2</sub>
  - T<sub>3</sub> The Nikkei<sub>2</sub> over-the-counter<sub>1</sub> average<sub>1</sub> continued<sub>2</sub> declining<sub>2</sub>
- ➤ The source and target template are: The sentence pair in the best cluster with the highest similarity to the input sentence: T₂
- Weed out atypical (strange) translations

#### Recombination'

- 1. Find differences
- 2. Choose constitutent
- 3. Replace differing constituent
- 4. Smooth the output
  - > Number agreement
  - > Filter adjuncts
  - S<sub>I</sub> nikkei heikin 10 gatsu mono wa zokuraku .
  - $S_t$  8 gatsu mono wa zokuraku .
  - $T_t$  August contracts continued declining.
  - T<sub>I</sub> The Nikkei Average October contracts continued declining.

#### **General EBMT Issues:**

- Sentence or sub-sentence?
  - > Sentence:
    - Better quality translation
    - · Boundaries are easy to determine
    - Harder to find a match
  - > Sub-sentence:
    - Studies suggest this is how humans translate
  - Boundary friction
    - The handsome boy ate his breakfast → Der schone Junge as seinen Fruhstuck
    - I saw the handsome boy ← Ich sah den schonen Jungen

## General EBMT Issues: Suitability of Examples

- Some EBMT systems do not use raw corpus directly, but use manuallyconstructed examples or carefully-filtered set of real-world examples
- Real-world examples may contain:
  - Examples that mutually reinforce each other (overgeneration)
  - Examples that conflict
  - Examples that mislead the distance metric
    - Watashi wa kompyuta o kyoyosuru ← I share the use of a computer
    - Watashi wa kuruma o tsukau → I use a car
    - Watashi wa dentaku o shiyosuru → \* I share the use of a calculator

## **General EBMT Issues: Matching**

- String matching / IR-style matching
  - "This is shown as A in the diagram"
    - ← "This is shown as B in the diagram"
  - "The large paper tray holds 400 sheets of paper"
- > Matching by meaning:
  - use thesaurus and distance based on semantic similarity
- Matching by structure:
  - Tree edit distance, etc.

## **General EBMT Issues: Alignment and Recombination**

- Once a set of examples close to the input are found, we need to carry out:
  - Alignment: Identify which portion of the associated translation corresponds to input
  - Recombination:
    Stitch together these portions to create smooth output

#### State of the Art

- > EBMT does best with well aligned data in a narrow domain
  - > There are not so many domains with such data
- > EBMT not used in commercial systems
- > EBMT eclipsed by SMT in competitions
- > Still a healthy research community
- > EBMT and SMT converging
  - > EBMT adds probablisitic models
  - SMT adds larger phrases

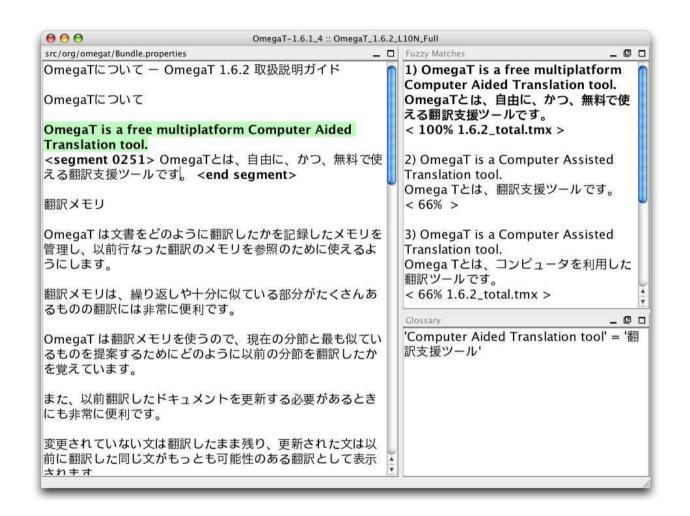
#### **Translation Memories**

- > Translation Memories are aids for human translators
  - > Store and index entire existing translations
  - Before translating new text
    - Check to see if you have translated it before
    - · If so, reuse the original translation
- ➤ Checks tend to be very strict ⇒ translation is reliable
  - Identical except for white-space differences
- Now extended to fuzzy matching and replacing
  - Equivalent to EBMT
  - More flexible, greater cover, less reliable

#### **Translation Memories**

- > TM are popular with translators
- Well integrated with word processors
- The translator is in control
- > Translation companies can pool memories, giving them an advantage
- Simple solutions sell well
- Good tools also integrate
  - highlighted differences from closest match
  - dictionary look up
  - collocational look up (words in context)

## TranslationMemory: OmegaT



## **EBMT/TM Summary**

- Example-based Machine Translation (EBMT)
  - 0. Compile and align a database of examples
  - 1. Match input to a database of translation examples
  - 2. Identify corresponding translation fragments
  - 3. Recombine fragments into target text
- > Translation Memories (TM)
  - Reuse complete existing translations
  - Keep the translator in control
  - TM: Popular with human translators
- > Recent TMs also allow some variables, mainly numbers and nouns

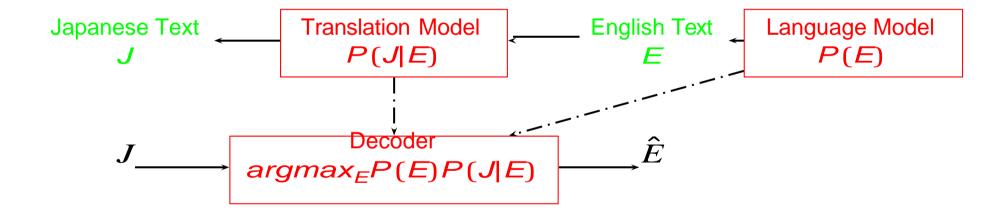
## **Statistical Machine Translation (SMT)**

- Find the translation with the highest probability of being the best.
  - Probability based on existing translations (bitext)
- Balance two things:
  - Adequacy (how faithful the translation to the source)
  - Fluency (how natural is the translation)
- > These are modeled by:
  - ightharpoonup Translation Model:  $P\left(T|S\right)$  how likely is it that this translation matches the source
  - ➤ Language Model: *P* (*T*) how likely is it that this translation is good English
- > Overall:  $\hat{T} = argmax_T P(S|T) = argmax_T P(T|S)(T)$

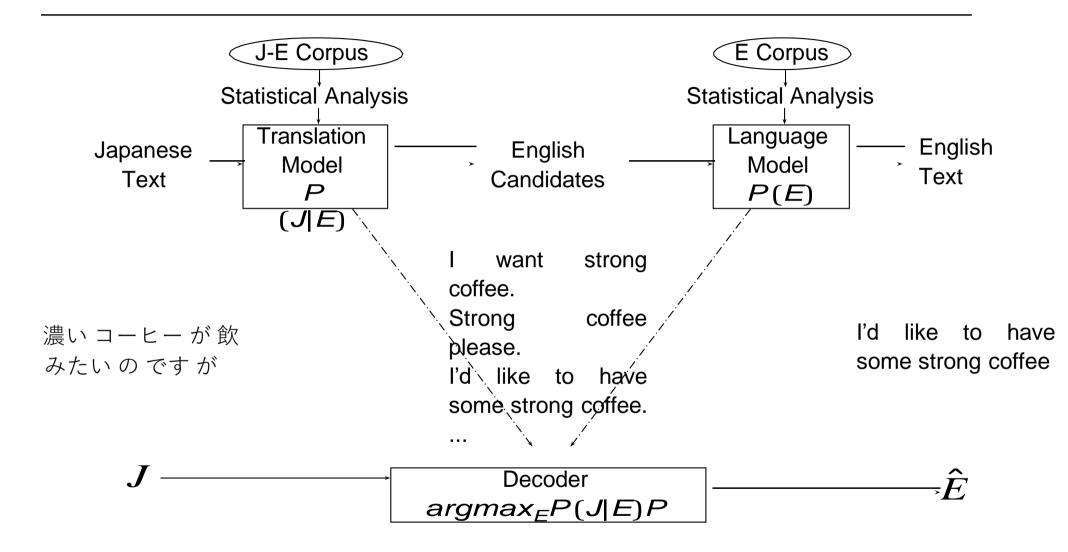
#### **SMT: Basic Method**

The basic method (Brown *et al* 1990): Choose the English Translation with the highest probability of translating the Japanese text.

$$\hat{E} = argmax_E P(E|J)$$

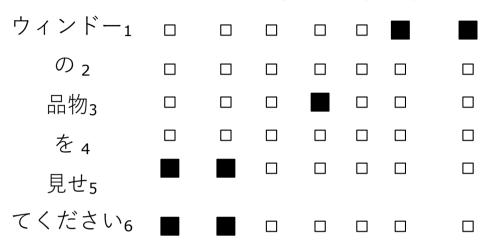


#### **SMT Framework**



# **Word Alignment**

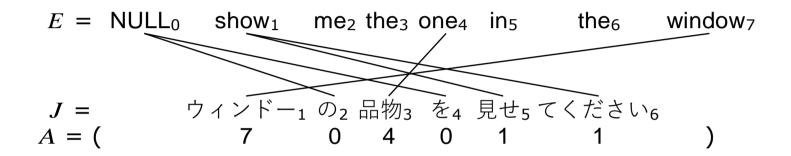
show<sub>1</sub> me<sub>2</sub> the<sub>3</sub> one<sub>4</sub> in<sub>5</sub> the<sub>6</sub> window<sub>7</sub>



- ➤ Some alignments are unexpected てください1 *te-kudasi* "please" → me<sub>2</sub>
- > Tend to be diagonal

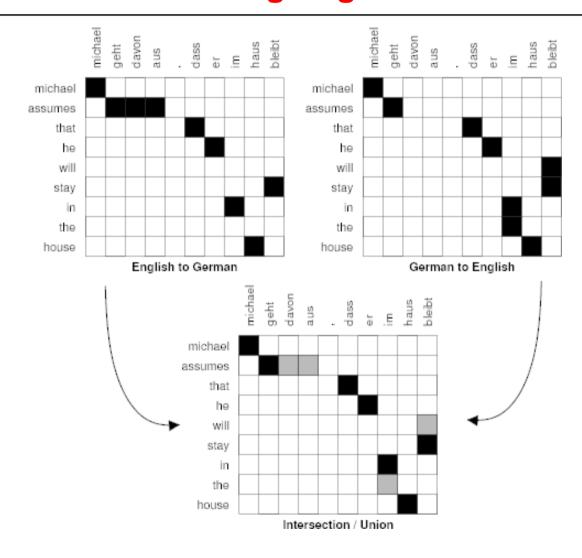
## **Word Alignment**

➤ Another way of looking at it



- Note that the alignment is one way
  - NULL only on source side (fertility)
  - one to many mapping

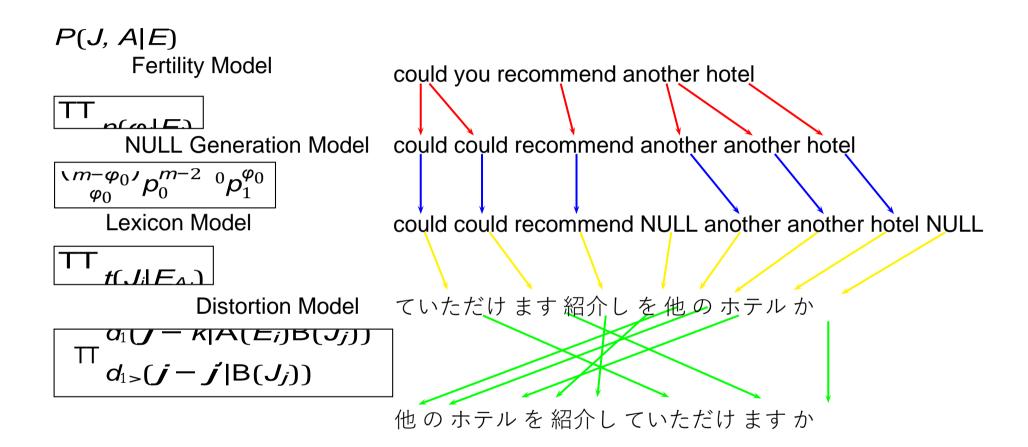
# **Combining Alignments**



## **Improving Alignments**

- The most common alignment approaches use word coocurrence over large bitexts
  - $\triangleright$  How often do words  $s_i$  and  $t_j$  appear in the same sentence pair compared to appearing in different sentence pairs.
- Other possible features include
  - same semantic class (from an ontology or cluster)
  - orthographic similarity
  - shared translations in a third language
- > These are especially useful for matching rare words

## **Translation Model (IBM Model 4)**



Millions of candidates are produced and ranked.

#### **Euro Matrix**

- Translation between EU languages (EU funded project)
- Europarl: proceedings of the European Parliament.
- > 18-40 million words, .6–1.3 million sentences
- > Freely available text in all European Languages

Europarl: A Parallel Corpus for Statistical Machine Translation, Philipp Koehn, MT Summit 2005

## **Euro Matrix Results**

|             | Danish        | BLEU          | BLEU          | BLEU          | BLEU          | tput lan<br>BLEU | BLEU          | BLEU          | BLEU          | BLEU          | BLEU          |
|-------------|---------------|---------------|---------------|---------------|---------------|------------------|---------------|---------------|---------------|---------------|---------------|
|             |               | 21.47         | 18.49         | 21.12         | 28.57         | 14.24            | 28.79         | 22.22         | 24.32         | 26.49         | 28.33         |
|             | BLEU<br>20.51 | Dutch         | BLEU<br>18.39 | BLEU<br>17.49 | BLEU<br>23.01 | BLEU<br>10.34    | BLEU<br>24.67 | BLEU<br>20.07 | BLEU<br>20.71 | BLEU<br>22.95 | BLEU<br>19.03 |
| i<br>n      | BLEU<br>22.35 | BLEU<br>23.40 | German        | BLEU<br>20.75 | BLEU<br>25.36 | BLEU<br>11.88    | BLEU<br>27.75 | BLEU<br>21.36 | BLEU<br>23.28 | BLEU<br>25.49 | BLEU<br>20.51 |
|             | BLEU<br>22.79 | BLEU<br>20.02 | BLEU<br>17.42 | Greek         | BLEU<br>27.28 | BLEU<br>11.44    | BLEU<br>32.15 | BLEU<br>26.84 | BLEU<br>27.67 | BLEU<br>31.26 | BLEU<br>21.23 |
| p<br>u<br>t | BLEU<br>25.24 | BLEU<br>21.02 | BLEU<br>17.64 | BLEU<br>23.23 | English       | BLEU<br>13.00    | BLEU<br>31.16 | BLEU<br>25.39 | BLEU<br>27.10 | BLEU<br>30.16 | BLEU<br>24.83 |
| l<br>a      | BLEU<br>20.02 | BLEU<br>17.09 | BLEU<br>14.57 | BLEU<br>18.20 | BLEU<br>21.86 | Finnish          | BLEU<br>22.49 | BLEU<br>18.39 | BLEU<br>19.14 | BLEU<br>21.16 | BLEU<br>18.85 |
| n<br>g<br>u | BLEU<br>23.73 | BLEU<br>21.13 | BLEU<br>18.54 | BLEU<br>26.13 | BLEU<br>30.00 | BLEU<br>12.63    | French        | BLEU<br>32.48 | BLEU<br>35.37 | BLEU<br>38.47 | BLEU<br>22.68 |
| a<br>g<br>e | BLEU<br>21.47 | BLEU<br>20.07 | BLEU<br>16.92 | BLEU<br>24.83 | BLEU<br>27.89 | BLEU<br>11.08    | BLEU<br>36.09 | Italian       | BLEU<br>31.20 | BLEU<br>34.04 | BLEU<br>20.26 |
|             | BLEU<br>23.27 | BLEU<br>20.23 | BLEU<br>18.27 | BLEU<br>26.46 | BLEU<br>30.11 | BLEU<br>11.99    | BLEU<br>39.04 | BLEU<br>32.07 | Portuguese    | BLEU<br>37.95 | BLEU<br>21.96 |
|             | BLEU<br>24.10 | BLEU<br>21.42 | BLEU<br>18.29 | BLEU<br>28.38 | BLEU<br>30.51 | BLEU<br>12.57    | BLEU<br>40.27 | BLEU<br>32.31 | BLEU<br>35.92 | Spanish       | BLEU<br>23.90 |
|             | BLEU<br>30.35 | BLEU<br>21.94 | BLEU<br>18.97 | BLEU<br>22.86 | BLEU<br>30.20 | BLEU<br>15.37    | BLEU<br>29.77 | BLEU<br>23.94 | BLEU<br>25.95 | BLEU<br>28.66 | Swedish       |

#### **Euro Matrix Discussion**

- Linguistic similarity affects the score:
  - ➤ Highest: Spanish → French (BLEU = 40.27)
  - ➤ Lowest: Italian → Finnish (BLEU = 11.08)
- ightharpoonup Creating all n(n-1) language pairs took a week
  - It is easy to add new languages if you have a multi-lingual corpus
- Translation done using the open source SMT System:
  Moses <statmt.org>

#### **SMT State of the Art**

More data improves BLEU:

(Och, 2005)

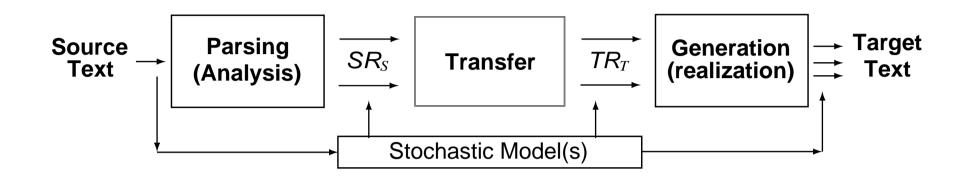
- Doubling the translation model data gives a 2.5% boost.
- Doubling the language model data gives a 0.5% boost.
- ➤ For linear improvement in translation quality the data must increase exponentially
  - BLEU +10% needs  $2^4 = 16$  times as much bilingual data
  - BLEU +20% needs  $2^8 = 256$  times as much bilingual data
  - BLEU +30% needs  $2^{12}$  = 4096 times as much bilingual data
- ➤ Richer models improve quality ⇒ syntax based models
- ➤ Pruning bad models improves quality ⇒ multilingual models

# Transfer

#### **Transfer in Machine Translation**

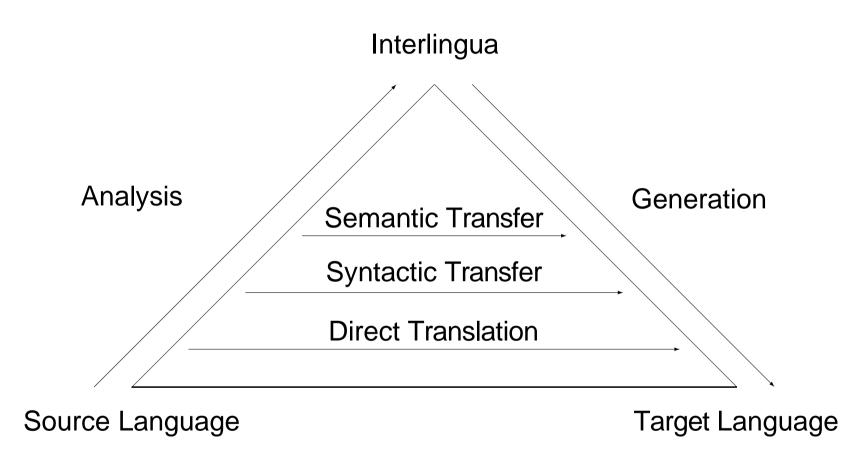
- > Approaches to Transfer
- > Particular Problems (and solutions)
- > Ways to improve

#### **The Overall Architecture**



- Parse source text to source representation (SR)
- > Transfer this to some target representation (TR) (This week)
- Generate target text from the TR

# **How Deep Should We Go?**



The Vauquois Triangle

#### **Direct Transfer**

Input Mary didn't slap the green witch

Morphology Mary do-PAST NOT slap the green witch

Lexical Transfer Maria dar PAST no una bofetafa a la verde bruja

Morphology/Reordering Maria no dió una bofetafa a la bruja verde

- Just morphological analysis, no syntactic analysis
  - Works quite well for very similar languages
    - · Galician/Catalan
    - Japanese/Korean
    - · Malay/Indonesian
- Works very badly for languages with different word order

## **Lexical Selection is a problem**

- > People write very detailed rules to select the correct translation
- ➤ Japanese-English example: 鼻 hana "nose"
  - ▶ 鼻 proper noun → Hana
  - >> 鼻 possessed by 象 zou "elephant" → trunk
  - >> 鼻 possessed by 馬 *uma* "horse" → *muzzle*
  - ▶ 鼻 possessed by 豚 buta "pig" → snout
  - > 鼻 → nose
- Ontologies/thesauruses make the rules more flexible
  - ➤ mammoth ⊂ elephant
  - ➤ wild boar, hog, pig ⊂ swine
- Otherwise you have a lot of rules or miss cases

# Japanese-English example: 群 れ *mure* "group"

➤ 群れ group of

```
(semantic class)
  \triangleright fish \rightarrow school

> insect → swarm
  > lion → pride
                                                                       (word)
  > wolf, wild dog → pack
  > star, computer → cluster
  > sheep → flock
  > bird → flock
  > animal → herd
  > people → crowd
> Many more are possible (bevy, mob, pod, . . . )
This is filling in a lexical gap:
   . . . Japanese just doesn't make these distinctions
```

# **Syntactic Transfer**

- > Word for word won't work with very different word orders
- > The condition for a transfer rule may be far away
  - pack of wolves
  - pack of large, hungry, gray wolves
- > We should look at the sentence structure

# Syntactic Transfer: Spanish-English

- > In Spanish, Italian, French, Malay, ... adjectives follow nouns
  - the green witch → la bruja verde
- Try to make general rules for this NP → AdjP N ↔ NP → NAdjP
- > The general strategy is to apply transfer rules top down from the root

# **Syntactic Transfer: English-Japanese**

- > He likes music.
- ★ 彼が 音楽を 聞くのが 大好きだ kare-ga ongaku-wo he-SUBJ music-OBJ listen-NOM-SUBJ likes
- Word order is very different!

#### **Semantic Transfer**

- Aim for simpler semantic transfer
  - Push work to the monolingual grammars
  - Moving toward an interlingua
  - Transfer can ignore language specific syntax
- Modularize the components
  - Define a clean Semantic-Interface
  - Allow independent work on components
- > Reduce, Reuse, Recycle

## **Example: Source**

➤ ビールを 三つ もってきてください
biiru-wo mittsu motte kite kudasai
beer-ACC three-CL hold come give:honorific
Please bring three beers.

```
> (h_1, \{h_1: motsu_v(e_1: COMMAND, u_2, x_1), h_1: kuru_v(e_2, u_3), h_4: biiru_n(x_1), h_6: udef_q(x_1, h_7, h_8), h_9: card(u_1, x_1, "3"), h_{15}: kudasaru_v(e_3, u_4, u_5, h_2) \}, \{h_7 = h_4, h_2 = h_1\})
```

> motte kuru "hold come" grouped together (bring)

# **Example: Transfer**

#### Transfer:

- $\rightarrow$  biiru\_n( $x_i$ )  $\rightarrow$  beer\_n( $x_i$ )
- $> h_j$ : motsu\_v( $e_1$ ,  $u_2$ ,  $x_1$ ), "hold"  $h_j$ : kuru\_v( $e_2$ ,  $u_3$ ) "come"  $\rightarrow h_j$ : bring\_v( $e_1$ ,  $u_2$ ,  $x_1$ )
- $> h_i$ : kudasaru\_v( $e_i$ ,  $h_k$ )  $\rightarrow h_i$ : please\_a( $e_i$ ,  $h_k$ ) (verb  $\rightarrow$  adverb)

## **Example: Target**

```
h_0: please_a(e_3, h_1),

h_1: imp_m(h_3),

h_2: pronoun_q(x_0, h_7, h_8), h_4: pron(x_0{2nd}),

h_5: bring_v(e_2, x_0, x_1),

h_4: beer_n(x_1), h_6: udef_q(x_1, h_{10}, h_8), h_{11}: card(u_1, x_1, "3") },

{h_3 = h_5, h_7 = h_4, h_{10} = h_{11}, })
```

- > Two word orders possible
  - Please bring three beers.
  - Bring three beers please.

#### **Semantic Transfer Pros and Cons**

- Source and Target grammars do much of the work
  - > Pro: modular, transfer easier
  - Cons: brittle (if parsing fails, everything fails)
- Language specific details hidden by the semantic interface
- General Problems Remain
  - Sense Disambiguation (lexical choice)
     is 鳩 hato a dove or a pigeon
  - Language Differences
    - · number, countability, articles
- Over-generate and choose with a statistical model

## The Importance of Multiword Expressions

- Context beyond a single word is very important
- In a typical system most rules (entries in the transfer dictionary) are multiword (60% in ALT-J/E)
  - 機械 翻訳 kikai honyaku "machine translation" → machine translation
  - ➤ 雨が降る ame-ga furu "rain falls" → rains
- > If you consider conditions as part of the translation, then this goes up more
  - > 鼻 *hana* "nose" possessed by 象 *zou* "elephant" → trunk
  - >> 鼻 *hana* "nose" possessed by 豚 *buta* "pig" → snout
  - > 鼻 hana "nose" → nose

#### **Issues with Transfer**

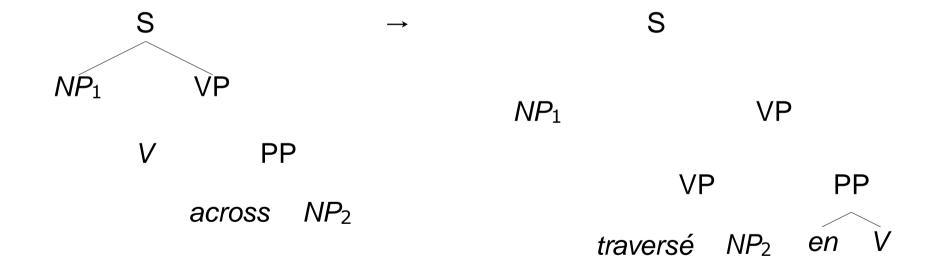
- Choosing between multiple options is difficult
  - ⇒ Create larger rules with more context
  - ⇒ Try to weight with statistical models
- The number of rules is far greater than the number of words Context multiplies rules
  - ⇒ Generalize rules with ontologies
  - ⇒ Learn from bilingual corpora
  - ⇒ Restrict according to domain
  - ⇒ Share rules (open source)

## Some well known problems

- Head-switching: head is dependent in the other language
- ➤ Relation-changing: e.g. verb → adjective
- Lexical Gaps: translation missing in the source or target language
- Possessive Pronoun Drop: possessive pronouns required in some languages, but not others
- > Number mismatch: number required in one language but not the other
- Argument mismatch: Verb structure is different
- Idiom mismatch: Idiomatic in one language but not the other

# **Head Switching**

- > Head switching is just a more complicated rule:
  - (28) I swam across the river
  - (29) J'ai traversé le fleuve en nageant I crossed the river by swimming



# **Relation Changing**

> Translation equivalents may be different POS:

```
(30) 濡れている紙
nurete iru is kami
wetting paper
wet paper
```

- ➤ Verb → Adjective
- > Allow translation rules to do this
  - Normally anchor lexically to reduce complexity
    - ⊗ VP → AP
    - nureru v → wet a

# **Lexical Gaps**

- > More specific to less specific
  - Just lose some information
    - herd, pack, mob, crowd, group → mure
- > Less specific to more specific
  - Add context to the transfer rules to disambiguate
  - Add multiword expressions to the dictionary

## **Possessive Pronoun Drop**

REF Kanji: 鼻が かゆい Jap: *hana-ga kayui* Gloss: nose-SUBJ itch

Eng: 'My nose itches'

GEN Kanji: 鼻は 感覚器官 だ

Jap: hana-wa kankakukikan da

Gloss: nose-TOP sensory organ is

Eng: 'Noses are sensory organs'

'The nose is a sensory organ'

'A nose is a sensory organ'

Possessive pronouns are obligatory for some nouns (possessed-nouns): Nouns that denote kin, body parts, work, personal possessions, attributes and people defined by their relation to another person

# **Generating possessive pronouns:**

A If a referential phrase is headed by a possessed-noun and is not the direct object of a verb with meaning POSSESSION or ACQUISITION then:

Generate a possessive pronoun whose referent is the subject of the sentence.

I scratched my nose; She scratched her nose

B Generate possessive pronouns for all noun phrases

- Rank with a language model
- There is no perfect solution
  - > A requires very complex processing
  - **B** makes every noun phrase very ambiguous

#### **Number mismatch**

- Some examples (Nouns are unmarked for number in Japanese)
  - ightharpoonup マンモスは全滅した。ightharpoonup *Mammoths are extinct.*
  - ightharpoonup 花を集まった。ightharpoonup *I gathered flowers.*
  - ➤ この3人は、友達だ。
    - → These three people are friends.
  - $\triangleright$  3人は大勢だ。  $\rightarrow$  Three people are a crowd.

#### A Write rules that use context:

(accurate)

- Verb/Adjective: be extinct, gather
- ➤ Modifiers: *three*, *many*
- Defaults: noodles

B Over generate and rank with a language model

(easy)

## **Argument Mismatch**

- > Verb (or adjective) structure is different
  - watashi-ni kodomo-ga iru "to me children are"
    - → I have children

to→SUBJECT; SUBJECT→OBJECT

- Kim married Sandy
  - → Kim-ga Sandy-to kekkon-shita "Kim married with Sandy"
    OBJECT → -to "with"

#### Idiom mismatch

- Idiomatic in one language but not the other (or not in the same way)
  - I lost my head "I got angry"
    - → atama-ni kita "it came to my head"
  - I racked my brains "I thought hard"
    - → chie-wo shibotta "I squeezed knowledge"
      I lost my head → I gotangry
- > Some idioms are so common that we don't notice them
  - I catch the bus "I get on the bus"
  - I follow you "I understand you"

### **User Dictionaries**

- The simplest way to improve translation quality
- > Build a special dictionary: the user dictionary
- User dictionary entries are preferred to words in the system dictionaries
  - You can force the translation you want
- > Typical MT use for large projects is to
  - 1. Translate once
  - 2. Find common errors
  - 3. Fix them by adding entries to the user dictionary
  - 4. Re-translate

## **How to Predict Machine Translation Quality**

- > The following phenomena are hard to translate:
  - Long sentences
  - Coordination
  - Unknown words (either new words or spelling errors)
    - · new genre
    - · poorly edited text
  - Different language families
- We can identify these and give a translatability score
  - This is useful to identify text for post-editing

# **Summary**

- > MT is very hard
- > We have handle on many of the sub-problems
- > But it is probably Al-complete

# **Acknowledgments and Readings**

- These slides were made by Francis Bond
- Much of the Machine Translation section was taken from a panel discussion by John Hutchins (13 December 2007)
  http://www.hutchinsweb.me.uk/
- Much more on Morphological Analysis (Chapter 3) and Part of Speech Tagging (Chapter 5) in Jurafsky and Martin (2009)
- Nice discussion of big-O notation
  http://science.slc.edu/~jmarshall/courses/2002/spring/cs50/
  BigO/index.html
- Parsing (including demo code) in Bird, Klein and Loper (2009) Natural

### Language Processing with Python, O'Reilly

http://nltk.googlecode.com/svn/trunk/doc/book/ch08.html

- ➤ More on Parsing in Jurafsky and Martin (2009), Chapters 11 and 12.
- Good site for SMT: statmt.org
- > Machine Translation: Jurafsky and Martin (2009), Chapter 25.1-2
- > Statistical Machine Translation: Jurafsky and Martin (2009), Chapter 25.3–8
- Word Sense Disambiguation: Jurafsky and Martin (2009), Chapter 20.1–
  8

- Some slides based on Rada Mihalcea and Ted Pedersen's tutorial at AAAI-2005 "Advances in Word Sense Disambiguation"
- > Nice demo of similarities at:

marimba.d.umn.edu/cgi-bin/similarity/similarity.cgi