# Probabilistic Parsing: Issues & Improvement

Deep Processing Techniques for NLP Ling571 January 25, 2017

## Roadmap

- Probabilistic Parsing:
  - PCFG issues
  - Modeling improvements on PCFGs
    - Parent annotation
    - Lexicalization
    - Markovization
    - Reranking
  - Efficiency improvements on PCFGs
    - Beam thresholding
    - Heuristic filtering

#### Issues with PCFGs

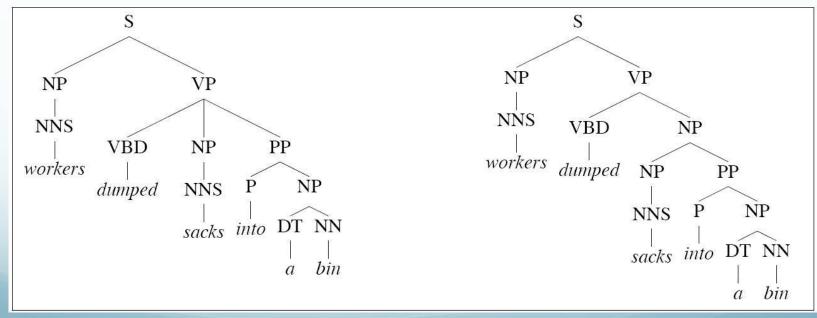
- Independence assumptions:
  - Rule expansion is context-independent
    - Allows us to multiply probabilities
  - → Is this valid?

	Pronoun	Non-pronoun
Subject	91%	9%
Object	34%	66%

- In Treebank: roughly equi-probable
- How can we handle this?
  - Condition on Subj/Obj with parent annotation

#### Issues with PCFGs

- Insufficient lexical conditioning
  - → Present in pre-terminal rules
- Are there cases where other rules should be conditioned on words?



Different verbs & prepositions have different attachment preferences

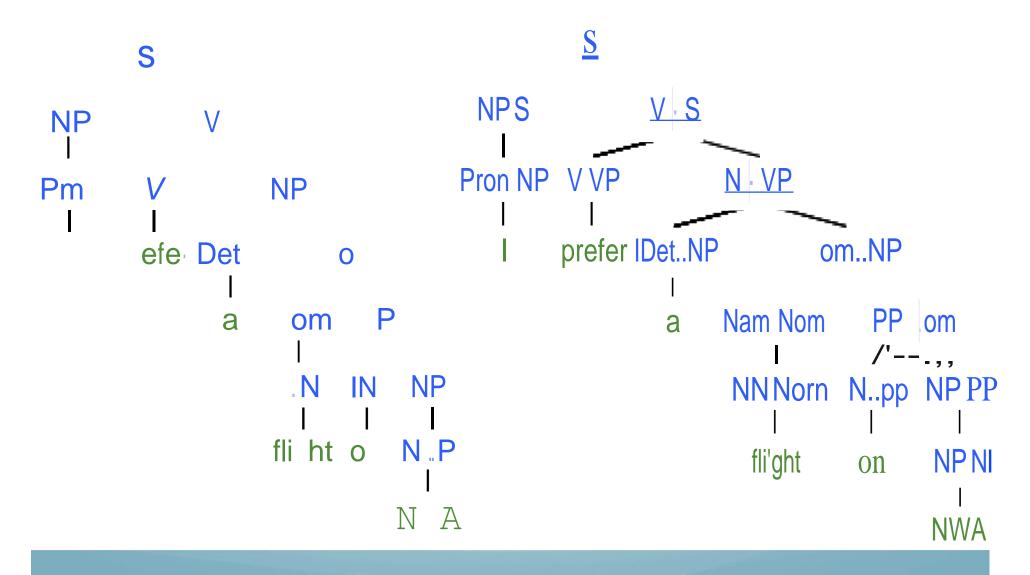
#### Parser Issues

- PCFGs make many (unwarranted) independence assumptions
  - Structural Dependency
    - → NP → Pronoun: much more likely in subject position
  - Lexical Dependency
    - Verb subcategorization
    - Coordination ambiguity

# Improving PCFGs: Structural Dependencies

- How can we capture Subject/Object asymmetry?
  - ∃ E.g., NP<sub>subj</sub> → Pron vs NP<sub>Obj</sub> → Pron
- Parent annotation:
  - Annotate each node with parent in parse tree
    - ── E.g., NP^S vs NP^VP
    - Also annotate pre-terminals:
      - → RB^ADVP vs RB^VP
      - ── IN^SBAR vs IN^PP
- Can also split rules on other conditions

#### Parent Annotation



#### **Parent Annotation**

- Advantages:
  - Captures structural dependency in grammars
- Disadvantages:
  - Increases number of rules in grammar
  - Decreases amount of training per rule
    - Strategies to search for optimal # of rules

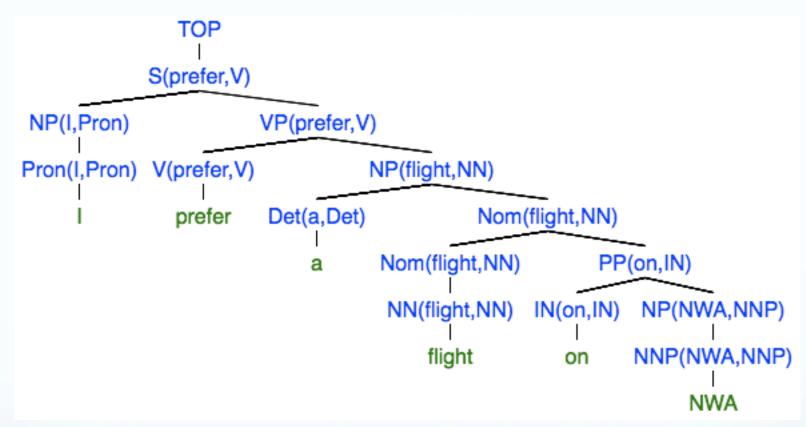
# Improving PCFGs: Lexical Dependencies

- Lexicalized rules:
  - → Best known parsers: Collins, Charniak parsers
  - Each non-terminal annotated with its lexical head
    - E.g. verb with verb phrase, noun with noun phrase
  - Each rule must identify RHS element as head
    - Heads propagate up tree
  - Conceptually like adding 1 rule per head value
    - $\rightarrow$  VP(dumped)  $\rightarrow$  VBD(dumped)NP(sacks)PP(into)
    - → VP(dumped) → VBD(dumped)NP(cats)PP(into)

### Lexicalized PCFGs

- Also, add head tag to non-terminals
  - → Head tag: Part-of-speech tag of head word
    - $\rightarrow$  VP(dumped)  $\rightarrow$  VBD(dumped)NP(sacks)PP(into)
    - → VP(dumped,VBD) → 
      VBD(dumped,VBD)NP(sacks,NNS)PP(into,IN)
- Two types of rules:
  - Lexical rules: pre-terminal →→ word
    - Deterministic, probability 1
  - Internal rules: all other expansions
    - Must estimate probabilities

### Lexicalized Parse Tree



#### **Internal Rules**

Top  $\rightarrow \rightarrow$  S(prefer,V) S(prefer,V)  $\rightarrow \rightarrow$  NP(I,Pron) VP(prefer,V) NP(I,Pron)  $\rightarrow \rightarrow$  Pron(I,Pron) VP(prefer,V)  $\rightarrow \rightarrow$  V(prefer,V) NP(flight,NN) NP(flight,NN)  $\rightarrow \rightarrow$  Det(a,Det) Nom(flight,NN) PP(on,IN)  $\rightarrow \rightarrow$  IN(on,IN) NP(NWA,NNP)

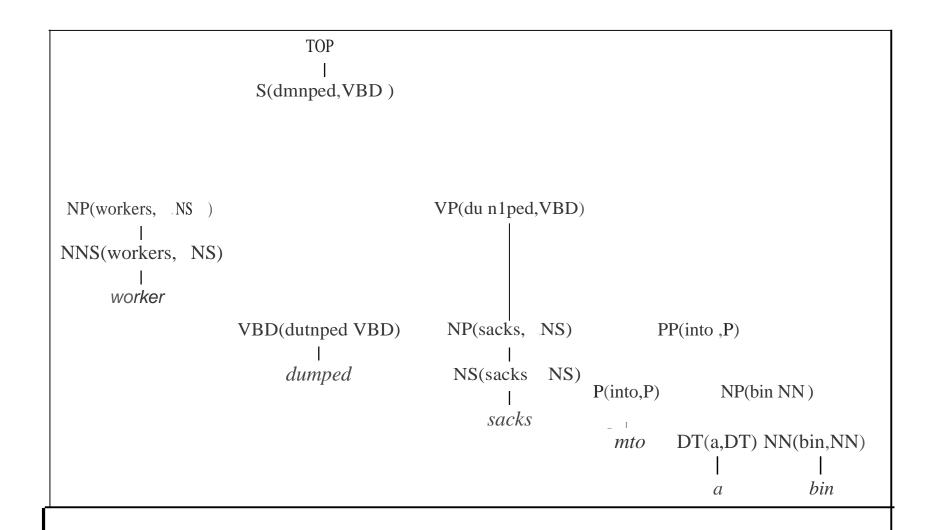
#### **Lexical Rules**

Pron(I,Pron)  $\rightarrow \rightarrow$  I V(prefer,V)  $\rightarrow \rightarrow$  prefer Det(a,Det)  $\rightarrow \rightarrow$  a NN(flight,NN)  $\rightarrow \rightarrow$  flight IN(on,IN)  $\rightarrow \rightarrow$  on NNP(NWA,NNP)  $\rightarrow \rightarrow$  NWA

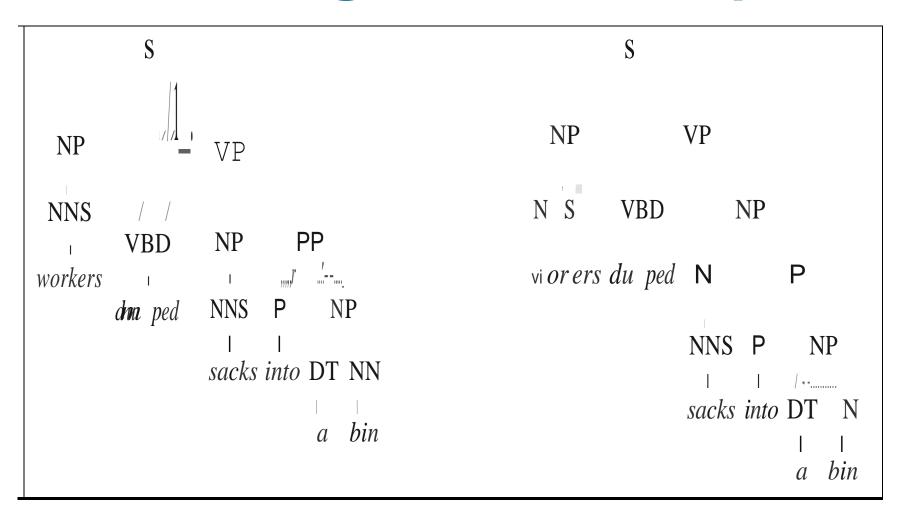
#### **PLCFGs**

- Issue: Too many rules
  - No way to find corpus with enough examples
- (Partial) Solution: Independence assumed
  - Condition rule on
    - Category of LHS, head
  - Condition head on
    - Category of LHS and parent's head

$$P(T,S) = \prod_{n \in T} p(r(n) \mid n, h(n)) * p(h(n) \mid n, h(m(n)))$$



## Disambiguation Example



## Disambiguation Example

$$P(VP \rightarrow VBDNPPP | VP, dumped)$$

$$= \frac{C(VP(dumped) \rightarrow VBDNPP)}{\sum_{\beta} C(VP(dumped) \rightarrow \beta)}$$

$$= 6/9 = 0.67$$

$$p(VP \to VBDNP | VP, dumped)$$

$$= \frac{C(VP(dumped) \to VBDNP)}{\sum_{\beta} C(VP(dumped) \to \beta)}$$

$$= 0 / 9 = 0$$

$$p(\text{int } o \mid PP, dumped)$$

$$= \frac{C(X(dumped) \rightarrow ...PP(\text{int } o)...)}{\sum_{\beta} C(X(dumped) \rightarrow ...PP...)}$$

$$= 2 / 9 = 0.22$$

$$p(\text{int } o \mid PP, sacks)$$

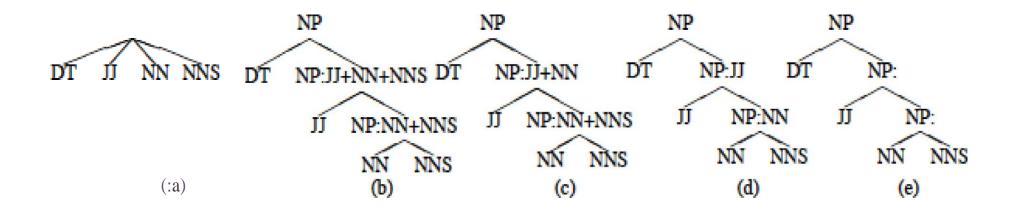
$$= \frac{C(X(sacks) \to ...PP(\text{int } o)...)}{\sum_{\beta} C(X(sacks) \to ...PP...)}$$

$$= 0 / 0$$

# CNF Factorization & Markovization

- CNF factorization:
  - Converts n-ary branching to binary branching
  - Can maintain information about original structure
    - Neighborhood history and parent
  - Issue:
    - Potentially explosive
      - $\dashv$  If keep all context: 72  $\rightarrow$  10K non-terminals!!!
  - How much context should we keep?
    - What Markov order?

#### Different Markov Orders



### **Markovization & Costs**

(Mohri & Roark 2006)

PCFG	Time (s)	Words/s	V	P	LR	LP	F
Right-factored	4848	6.7	10105	23220	69.2	73.8	71.5
Right-factored, Markov order-2	1302	24.9	2492	11659	68.8	73.8	71.3
Right-factored, Markov order-1	445	72.7	564	6354	68.0	73.0	70.5
Right-factored, Markov order-0	206	157.1	99	3803	61.2	65.5	63.3
Parent-annotated, Right-factored, Markov order-2	7510	4.3	5876	22444	76.2	78.3	77.2

# Improving PCFGs: Tradeoffs

- Tensions:
  - → Increase accuracy:
    - → Increase specificity
      - ─ E.g. Lexicalizing, Parent annotation, Markovization, etc.
  - Increases grammar
    - Increases processing times
    - Increases training data requirements
- How can we balance?

# Efficiency

- PCKY is  $|G|n^3$ 
  - Grammar can be huge
  - Grammar can be extremely ambiguous
    - → 100s of analyses not unusual, esp. for long sentences
- However, only care about best parses
  - Others can be pretty bad
- Can we use this to improve efficiency?

# Beam Thresholding

- Inspired by beam search algorithm
- Assume low probability partial parses unlikely to yield high probability overall
  - → Keep only top k most probably partial parses
    - Retain only k choices per cell
      - → For large grammars, could be 50 or 100
      - → For small grammars, 5 or 10

# Heuristic Filtering

Intuition: Some rules/partial parses are unlikely to end up in best parse. Don't store those in table.

#### Exclusions:

- Low frequency: exclude singleton productions
- Low probability: exclude constituents x s.t.  $p(x) < 10^{-200}$
- Low relative probability:
  - $\blacksquare$  Exclude x if there exists y s.t. p(y) > 100 \* p(x)

# Reranking

- Issue: Locality
  - PCFG probabilities associated with rewrite rules
  - → Context-free grammars
  - Approaches create new rules incorporating context:
    - Parent annotation, Markovization, lexicalization
  - Other problems:
    - Increase rules, sparseness
- Need approach that incorporates broader, global info

# Discriminative Parse Reranking

- General approach:
  - → Parse using (L)PCFG
  - → Obtain top-N parses
  - → Re-rank top-N parses using better features
- Discriminative reranking
  - → Use arbitrary features in reranker (MaxEnt)
    - E.g. right-branching-ness, speaker identity, conjunctive parallelism, fragment frequency, etc

# Reranking Effectiveness

- How can reranking improve?
  - → N-best includes the correct parse
- Estimate maximum improvement
  - Oracle parse selection
    - Selects correct parse from N-best
      - → If it appears
- → E.g. Collins parser (2000)
  - ─ Base accuracy: 0.897
  - → Oracle accuracy on 50-best: 0.968
- Discriminative reranking: 0.917