



# 编译原理

## Compiler Principles

### Lecture 4

### Syntax Analysis: Top-Down

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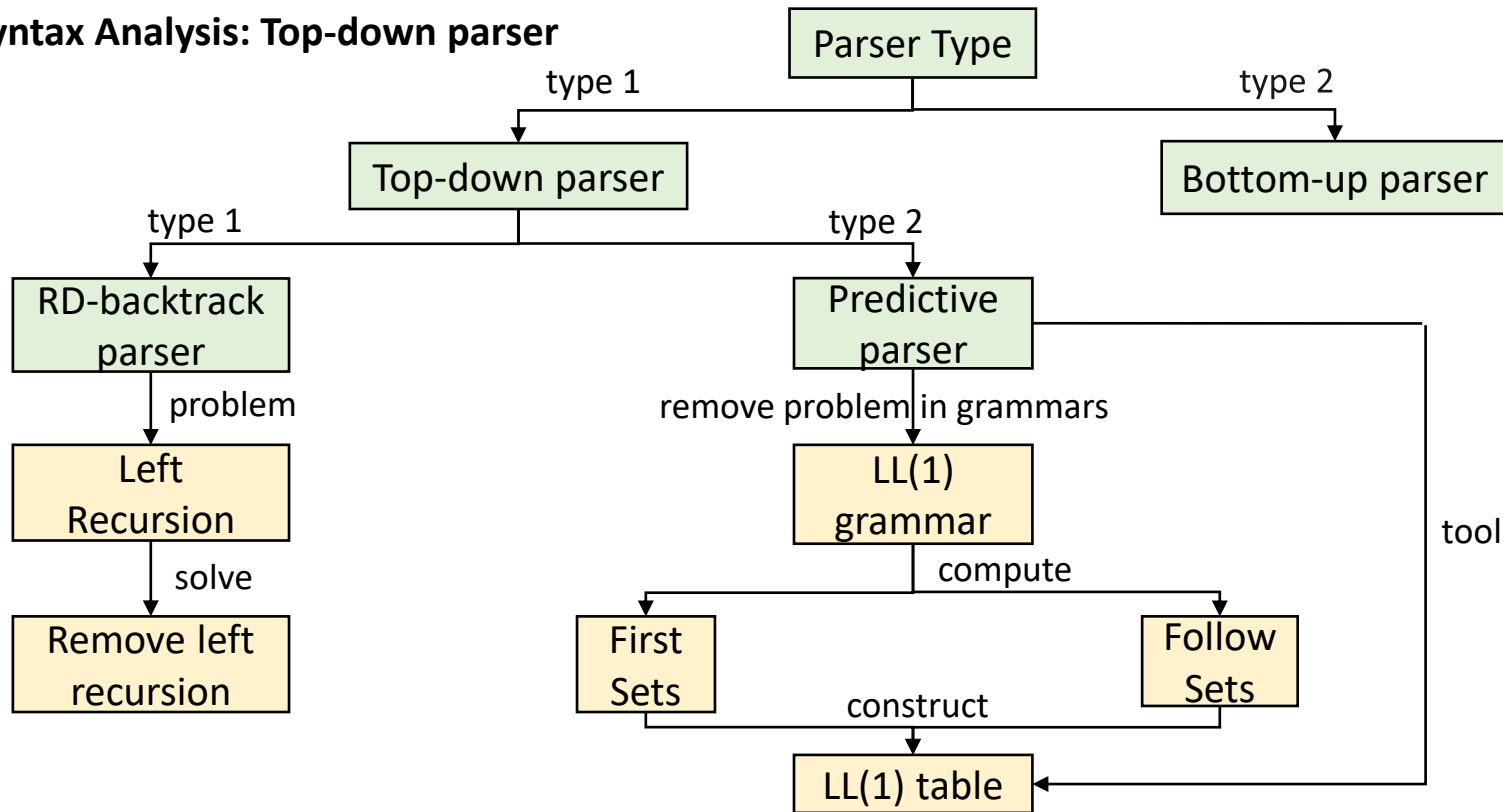
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# Mind Map[思维导图]



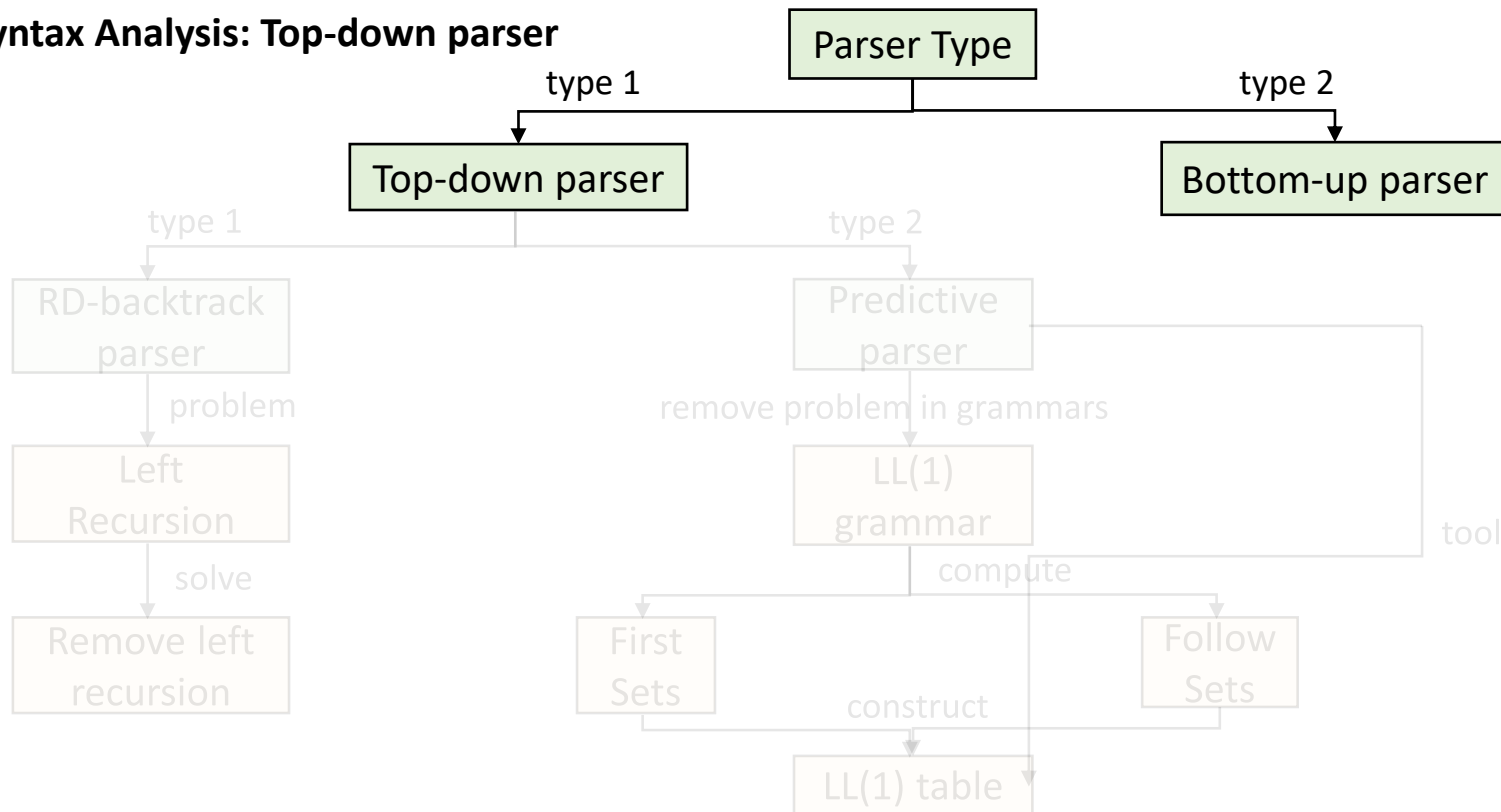
## Syntax Analysis: Top-down parser



# Mind Map[思维导图]



## Syntax Analysis: Top-down parser



- Most compilers use either **Top-Down** or **Bottom-Up** parsers.
- Bottom-up parsing [自底向上分析]
  - ◆ Begin at the **leaves** (the bottom) and working up towards the **root** (the top).
  - ◆ Tries to reduce[规约] **the input string** to **the start symbol**.
  - ◆ Finds reverse order of the rightmost derivation[最右推导的逆过程即最左规约]  
规范推导 规范规约
  - ◆ Parser code structure nothing like grammar.
    - Very difficult to implement manually.
    - Automated tools exist to convert to code (e.g., Yacc, Bison).

- Top-Down parsing [自顶向下分析]
  - ◆ Starting from the **root** (top) and create the leaves (down) of the parse tree in a pre-defined order (depth-first) [深度优先, 先根次序/前序].
  - ◆ Top-down parsing can be viewed as **finding a leftmost derivation** [寻求最左推导] for an input string. *Why not rightmost or arbitrary derivation?*
  - ◆ **Review:** In each step of derivation, the following choices need to be made:
    - Choice of the non-terminal to be replaced. [替换哪个非终结符] **Leftmost!**
    - Choice of the production to be applied for a non-terminal. [使用文法中哪个规则来替换] **Key Problem!**
  - ◆ **Question:** At each step of a top-down parse, What is the key problem?

- Top-Down parsing [自顶向下分析]
  - ◆ Once a production is chosen, we try to match the terminal symbols in the production body with the input string.
  - ◆ Parser code structure closely mimics grammar.
    - Manually implementation is feasible.
    - Automated tools exist to convert to code. (e.g. ANTLR)
- Top-Down **vs.** Bottom-Up [对比]
  - ◆ Top-down: easier to understand and implement manually. (E.g. ANTLR)
  - ◆ Bottom-up: more powerful, can be implemented automatically. (E.g. YACC/Bison)

# Parser Type[语法分析类型]



## ◆ Example

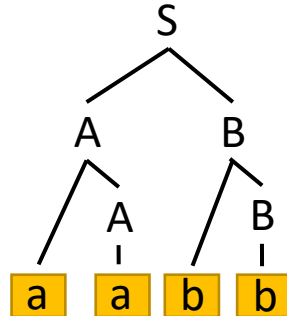
◆ Grammar  $G(S)$ :  $S \rightarrow AB$  ;  $A \rightarrow aA \mid a$  ;  $B \rightarrow bB \mid b$  ;

◆ **Language?**  $L(G) = \{a^m b^n \mid m, n \geq 1\}$

◆ Sentence: aabb;

$S \Rightarrow AB$   
 $\Rightarrow AbB$   
 $\Rightarrow Abb$   
 $\Rightarrow aAbb$   
 $\Rightarrow aabb$

(5)  $B$  reduce to  $S$ .  
(4)  $bB$  reduce to  $B$ .  
(3) 2<sup>nd</sup>  $b$  reduce to  $B$ .  
(2)  $aA$  reduce to  $A$ .  
(1) 2<sup>nd</sup>  $a$  reduce to  $A$ .



**Reverse of rightmost derivation!**

# Parser Type[语法分析类型]

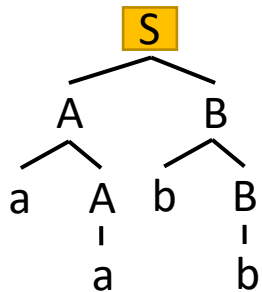


## ◆Example

◆ Grammar  $G(S)$ :  $S \rightarrow AB$  ;  $A \rightarrow aA \mid a$  ;  $B \rightarrow bB \mid b$  ;

◆ Sentence: aabb;

$S \Rightarrow AB$  (1)  
 $\Rightarrow aAB$  (2)  
 $\Rightarrow aaB$  (3)  
 $\Rightarrow aabB$  (4)  
 $\Rightarrow aabb$  (5)



$S \Rightarrow AB$  (5)  $B$  reduce to  $S$ .  
 $\Rightarrow AbB$  (4)  $bB$  reduce to  $B$ .  
 $\Rightarrow Abb$  (3) 2<sup>nd</sup>  $b$  reduce to  $B$ .  
 $\Rightarrow aAbb$  (2)  $aA$  reduce to  $A$ .  
 $\Rightarrow aabb$  (1) 2<sup>nd</sup>  $a$  reduce to  $A$ .

**Leftmost derivation**

**Top-Down**

**Leftmost reduction**

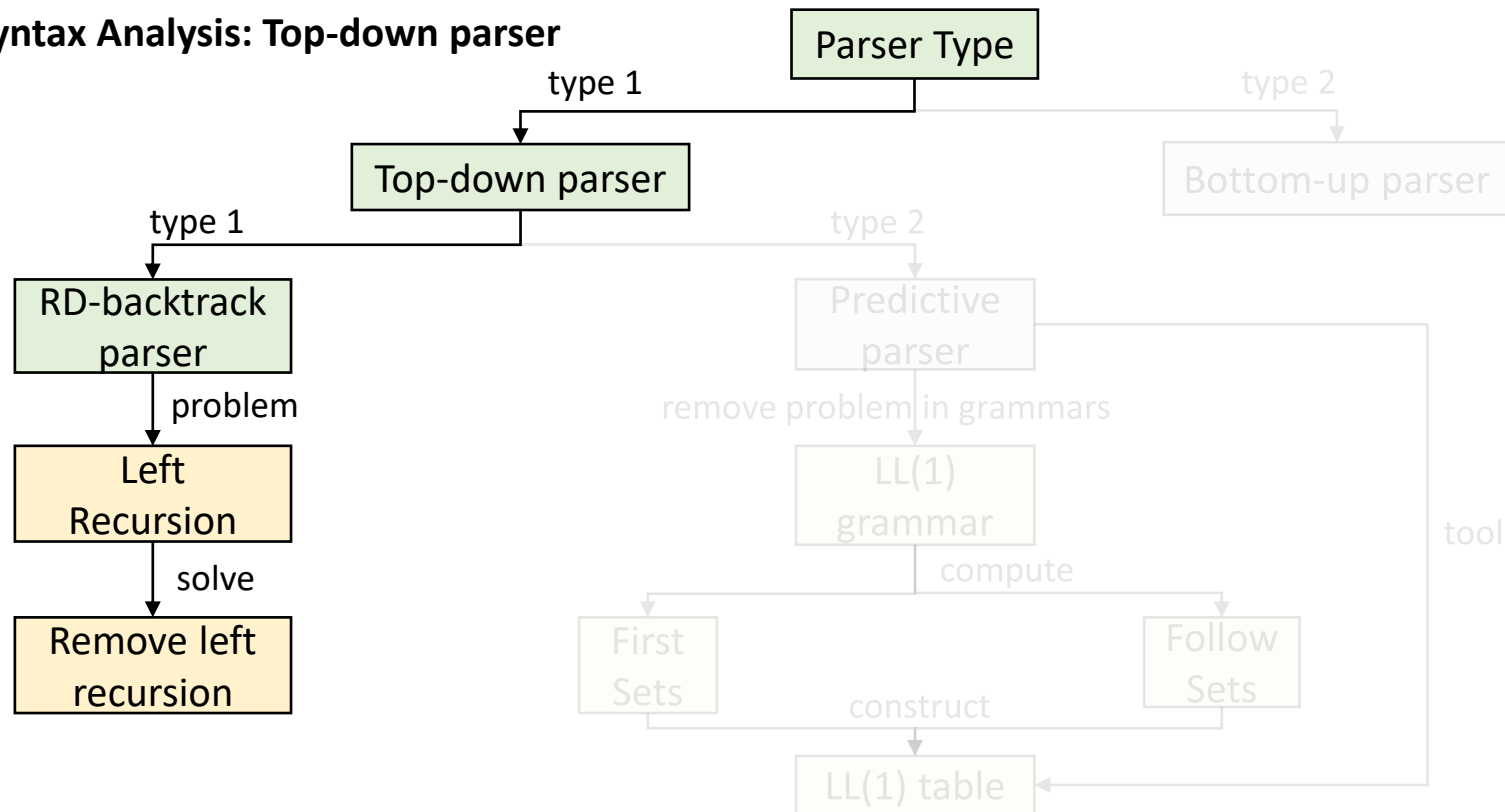
**Bottom-Up**



# Mind Map[思维导图]



## Syntax Analysis: Top-down parser



# Top-down Parsing[自顶向下分析]



- **Recursive-descent parsing**[RDP, 递归下降语法分析]

- ◆ A general form[通用形式] of top-down parsing.
- ◆ A recursive-descent parsing program consists of a set of procedures, one for each non-terminal.
- ◆ Execution begins with the procedure for the start symbol, which halts and announces success if its procedure body scans the entire input string.

```
void A() {  
1)   Choose an A-production,  $A \rightarrow X_1X_2 \cdots X_k$ ;  
2)   for (  $i = 1$  to  $k$  ) {  
3)       if (  $X_i$  is a nonterminal )  
4)           call procedure  $X_i()$ ;  
5)       else if (  $X_i$  equals the current input symbol  $a$  )  
6)           advance the input to the next symbol;  
7)       else /* an error has occurred */;  
    }  
}
```

How to choose A-production?

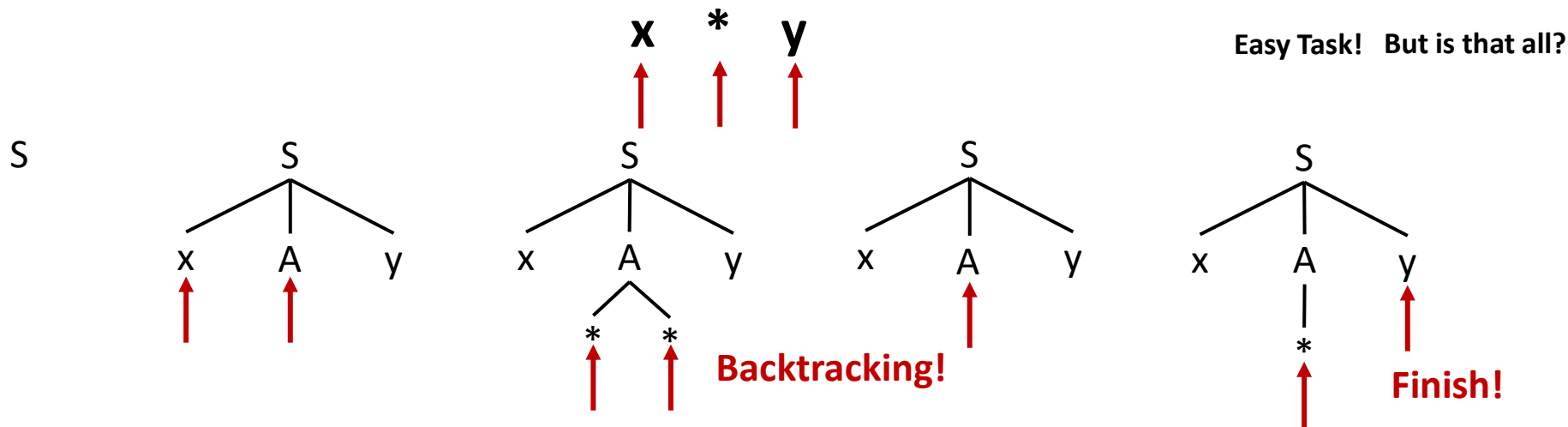
It's not specified, so the pseudocode is nondeterministic[伪代码是不确定的].

# RDP with backtracking[回溯]

- RDP may require *backtracking*.
- **Approach:** for a non-terminal in the derivation, productions are tried in some order until
  - ◆ A production is found that generates a portion of the input, or
  - ◆ No production is found that generates a portion of the input, in which case backtrack to previous non-terminal.
- Terminals of the derivation are compared against input
  - ◆ Match: advance input, continue parsing
  - ◆ Mismatch: backtrack, or fail
- Parsing fails if no derivation generates the entire input.

# RDP with backtracking[回溯]

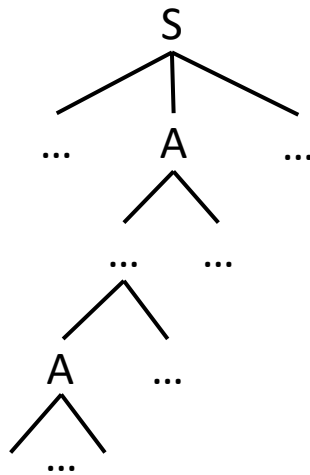
- In the analysis process, when a non-terminal is successfully matched with an alternative, **the match may be temporary**.
- If a mismatch occurs, **backtracking[回溯]** will be performed.
- $G[S]: S \rightarrow xAy; A \rightarrow ** \mid *$ , whether the input string  $x * y$  is its sentence?



# Left Recursion Problem[左递归问题]



- A grammar is **left recursive**[左递归] if it has a non-terminal **A** such that there is a derivation  $A \xRightarrow{+} A\alpha$ .
- Sentence can grow infinitely without consuming input.(Into an infinite loop!)
- **Top-down parsing methods cannot handle left-recursive problems.**[自顶向下语法分析方法不能处理左递归的文法]



# Left Recursion Problem[左递归问题]



- **Immediate** left recursion [直接/立即左递归]
  - ◆ There is a production  $A \rightarrow A\alpha$ .
- **Non-immediate** left recursion [间接/非立即左递归]
  - ◆ Left recursion involving derivation of 2+ step.
    - ◆  $A \rightarrow B\beta; B \rightarrow A\alpha$ .
- A transformation is needed to eliminate left recursion. [需要一个转换方法来消除左递归]
- Rewrite the grammar so that it is right recursive. [改为右递归]

# Remove Left Recursion[消除左递归]



- Immediate left recursion[直接左递归的消除]

- ◆ Grammar:  $A \rightarrow A\alpha \mid \beta$  ( $\alpha \neq \beta$ ,  $\beta$  doesn't start with  $A$ )

- ◆ rewrite the rule of  $A$  as the following form equivalently:

- ◆ Grammar:  $A \rightarrow \beta A'; A' \rightarrow \alpha A' \mid \epsilon$  (right recursion)

$G[A]: A \rightarrow A\alpha \mid \beta$

$A \Rightarrow A\alpha$

$\Rightarrow A\alpha\alpha$

$\Rightarrow A\alpha\alpha\alpha$

.....

$\Rightarrow A\alpha...\alpha$

$\Rightarrow \beta\alpha...\alpha$

Remove Left Recursion

$G[A]: A \rightarrow \beta A'$

$A' \rightarrow \alpha A' \mid \epsilon$

$A \Rightarrow \beta A'$

$\Rightarrow \beta\alpha A'$

$\Rightarrow \beta\alpha\alpha A'$

.....

$\Rightarrow \beta\alpha...\alpha A'$

$\Rightarrow \beta\alpha...\alpha$

想办法把“ $A\alpha\alpha..$ ”变成“ $\alpha\alpha..A$ ”

$A \rightarrow A\alpha; A \rightarrow \beta$

$\Rightarrow A\alpha \Rightarrow A\alpha\alpha\alpha.. \Rightarrow \beta\alpha\alpha\alpha..$

1. 避免对 $A$ 的左递归

$A \rightarrow \beta x$ , where  $x \rightarrow \alpha\alpha\alpha..$

2. 引入bridging production

$A' \rightarrow \alpha A' \mid \epsilon$

$\Rightarrow \alpha A' \Rightarrow \alpha\alpha..A' \Rightarrow \alpha\alpha..\alpha$

3. 连接两个productions

$A \rightarrow \beta A'; A' \rightarrow \alpha A' \mid \epsilon$

$\Rightarrow \beta A' \Rightarrow \beta\alpha\alpha..A' \Rightarrow \beta\alpha\alpha..\alpha$

# Remove Left Recursion[消除左递归]



- **Immediate left recursion** can be eliminated by the following technique, which works for any number of A-productions.

◆ First, group the productions as

$A \rightarrow A\alpha_1 \mid A\alpha_2 \mid \dots \mid A\alpha_m \mid \beta_1 \mid \beta_2 \dots \mid \beta_n$  where no  $\beta_i$  begins with an A.

◆ Then, replace the A-productions by

$A \rightarrow \beta_1 A' \mid \beta_2 A' \mid \dots \mid \beta_n A'$  AND  $A' \rightarrow \alpha_1 A' \mid \alpha_2 A' \mid \dots \mid \alpha_m A' \mid \epsilon$

- Exercise-Remove Immediate left recursion.

◆  $G_1[E]: E \rightarrow \overset{\alpha}{\boxed{E+T}} \mid \overset{\beta}{\boxed{T}};$

$T \rightarrow \overset{\alpha}{\boxed{T * F}} \mid \overset{\beta}{\boxed{F}};$

$F \rightarrow (E) \mid i.$

◆  $G_2[E]: E \rightarrow TE'; E' \rightarrow +TE' \mid \epsilon;$

$T \rightarrow FT'; T' \rightarrow *FT' \mid \epsilon;$

$F \rightarrow (E) \mid i.$



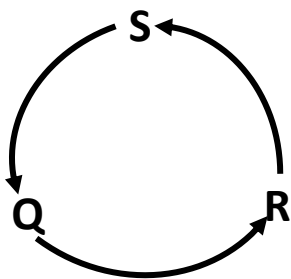
# Remove Left Recursion[消除左递归]



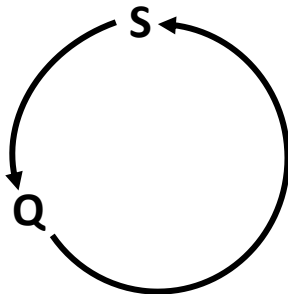
- **Non-Immediate left recursion**[非直接左递归的消除]

- ◆ Grammar:  $S \rightarrow Qc \mid c$ ;  $Q \rightarrow Rb \mid b$ ;  $R \rightarrow Sa \mid a$ .

- ◆ Although there is no immediate left recursion,  **$S$ ,  $Q$  and  $R$  are all left recursion.**

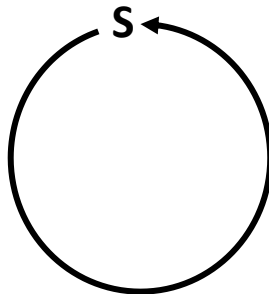


$S \rightarrow Qc \mid c$   
 $Q \rightarrow Rb \mid b$   
 $R \rightarrow Sa \mid a$



$S \rightarrow Qc \mid c$   
 $Q \rightarrow Sab \mid ab \mid b$   
 $R \rightarrow Sa \mid a$

**Left Recursion!**



$S \rightarrow Sabc \mid abc \mid bc \mid c$   
 $Q \rightarrow Sab \mid ab \mid b$   
 $R \rightarrow Sa \mid a$

# Remove Left Recursion[消除左递归]



- The following algorithm **systematically eliminates left recursion** from a grammar[直接/间接]. It is guaranteed to work if:
  - ◆ the grammar has no cycles (derivations of the form  $A \xRightarrow{+} A$ )
  - ◆ the grammar has no  $\epsilon$ -productions (productions of the form  $A \rightarrow \epsilon$ ).
  - ◆ These two can be eliminated systematically from a grammar.
- **Algorithm:** Eliminating left recursion.
  - ◆ **INPUT:** Grammar  $G$  with no cycles or  $\epsilon$ -productions.
  - ◆ **OUTPUT:** An equivalent grammar with no left recursion.
  - ◆ **METHOD:** Apply the following 3 steps to  $G$ . Note that the resulting non-left-recursive grammar may have  $\epsilon$ -productions.

# Remove Left Recursion[消除左递归]



◆ **Step 1** : Arrange all non-terminals of grammar G **in some order**  $A_1, A_2, \dots, A_n$ ;

◆ **Step 2** : Execute in order obtained in Step 1:

FOR  $i:=1$  TO  $n$  DO

FOR  $j:=1$  TO  $i-1$  DO

Replace each production of the form  $A_i \rightarrow A_j \gamma$  by the productions

$A_i \rightarrow \delta_1 \gamma \mid \delta_2 \gamma \mid \dots \delta_k \gamma$ , where  $A_j \rightarrow \delta_1 \mid \delta_2 \mid \dots \delta_k$  are all current  $A_j$ -productions;

[间接左递归问题 转换为 直接左递归问题]

**eliminate the immediate left recursion among the  $A_i$ -productions;**

[解决直接左递归问题]

END

$A \rightarrow A\alpha \mid \beta$

$A \rightarrow \beta A'; A' \rightarrow \alpha A' \mid \epsilon$

END

◆ **Step 3** : Simplify the grammar obtained from Step 2 --- remove the production rules of non-terminal that can never be reached from the start symbol.

[去掉无效productions]

# Remove Left Recursion[消除左递归]



- **Example:** Consider Grammar  $G(S)$ : (**ILR = immediate left recursion**)

$$R \rightarrow Sa \mid a$$

$$Q \rightarrow Rb \mid b$$

$$S \rightarrow Qc \mid c$$

- **Step 1** : non-terminal order: **R,Q,S**; ( $A_1, A_2, A_3$ ) [顺序决定了哪个non-terminal可能会被消除 (如果符合算法要求), 最后的non-terminal会留下]  
[ $A_1=R$ ]
- **Step 2** : ( $i=1$ ) For **R**, there is no **ILR**;

( $i=2, j=1$ ) Replace production  **$Q \rightarrow Rb$**  by the productions **R** [ $A_i=Q, A_j=R$ ]  
 **$\rightarrow Sa \mid a$** , which generates  **$Q \rightarrow Sab \mid ab \mid b$** ; **not ILR**

( $i=3, j=1$ ) No operations. [ $A_i=S, A_j=R$ ]

( $i=3, j=2$ ) Replace production  **$S \rightarrow Qc$**  by the productions  **$Q \rightarrow Sab \mid ab \mid b$**  [ $A_i=S, A_j=Q$ ]  
 **$\rightarrow Sabc \mid abc \mid bc \mid c$** ; **contain ILR**;

(continue...)

# Remove Left Recursion[消除左递归]



(continue...)  $(\alpha)$   $(\beta)$   
(i=3,j=2)  $S \rightarrow \text{Sabc} \mid \text{abc} \mid \text{bc} \mid \text{c}$  contains ILR.

**Eliminate ILR about S:**

$S \rightarrow \text{abcS}' \mid \text{bcS}' \mid \text{cS}'$        $S' \rightarrow \text{abcS}' \mid \epsilon$   
 $Q \rightarrow \text{Sab} \mid \text{ab} \mid \text{b}$        $R \rightarrow \text{Sa} \mid \text{a}$

- **Step 3** : Simplify the grammar and get **Final Grammar**:

$S \rightarrow \text{abcS}' \mid \text{bcS}' \mid \text{cS}'$      $S' \rightarrow \text{abcS}' \mid \epsilon$

(Q&R's production is included by S)

$R \rightarrow \text{Sa} \mid \text{a}$   
 $Q \rightarrow \text{Rb} \mid \text{b}$   
 $S \rightarrow \text{Qc} \mid \text{c}$

# Remove Left Recursion[消除左递归]



- **Question:** What will happen if the order in step 1 is different
- Again, consider  $G(S): S \rightarrow Qc \mid c \quad Q \rightarrow Rb \mid b \quad R \rightarrow Sa \mid a$
- **Exercise:** If the order in step 1 is **S,Q,R**, the final grammar without ILR is?  
(was R, Q, S in the previous example)

$S \rightarrow Qc \mid c$   
 $Q \rightarrow Rb \mid b$   
 $R \rightarrow bcaR' \mid caR' \mid aR'$   
 $R' \rightarrow bcaR' \mid \epsilon$

**S,Q,R**

equivalent



$S \rightarrow abcS' \mid bcS' \mid cS'$   
 $S' \rightarrow abcS' \mid \epsilon$

**R,Q,S**

- Different order in Step1 may cause the final grammar different in form[可能在形式上不同], but it is not difficult to prove that they are equivalent.

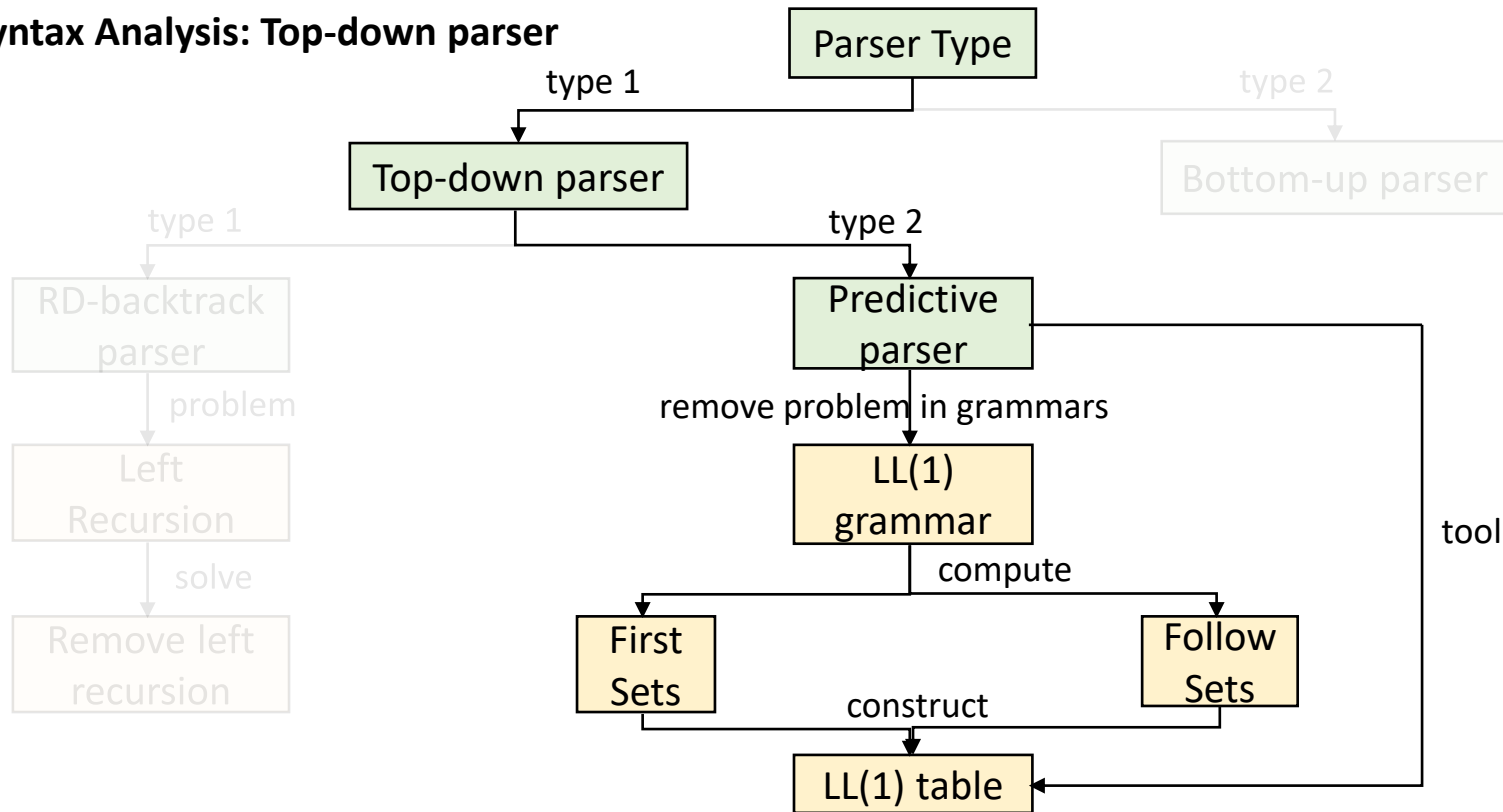
# Recursive-descent parsing[递归下降语法分析]

- **Recursive-descent parsing** [RDP, 递归下降语法分析]
  - ◆ A **general form** of top-down parsing.
  - ◆ RDP is a simple and general parsing strategy.
    - ▣ **Left-recursion must be eliminated first.**(Can be eliminated automatically using some algorithm)
  - ◆ However, it is not popular because of **backtracking**.
    - ▣ Backtracking requires re-parsing the same string.
    - ▣ Tried to solve problem in any possible ways[穷尽一切可能的试探法] which is inefficient.(can take exponential time)
    - ▣ Also removing already added nodes in parse tree is troublesome.

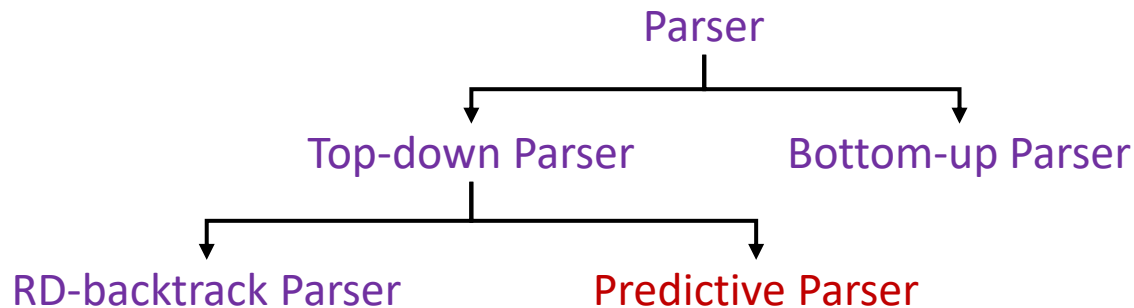
# Mind Map[思维导图]



## Syntax Analysis: Top-down parser







## • Predictive Parsing[预测分析法]

- ◆ A special case of recursive-descent parsing **without backtracking**[无回溯].
- ◆ Predictive parsing chooses the correct production by **looking ahead at the input a fixed number of symbols**, typically we may look only at one. (that is, the next input symbol)
- ◆ Need Restrictions on the grammar to avoid backtracking. [LL(k)]

- **A parser with no backtracking** [无回溯]: select the correct alternative through given next input terminal(s)[下一个输入符号/终结符]
- A predictive parser chooses the production to apply solely on the basis of [选取产生式的依据]
  - ◆ Next input symbol(s).
  - ◆ Current non-terminal being processed.

$G(S): S \rightarrow aBD \mid bBB; \quad B \rightarrow c \mid bce; \quad D \rightarrow d$   
parsing input “**abced**” requires no backtracking

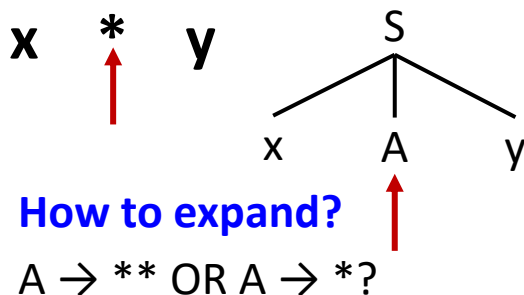
**What if**  $S \rightarrow aBD \mid aBB$      **Common Prefix**[共同前缀]

Given input terminal(s)  $a$ , cannot choose between two rules.

# Common Prefix[共同前綴]



- Given  $G[S]: S \rightarrow xAy; A \rightarrow ** \mid *$ , if the current input symbol is  $*$ ,  
 $A \rightarrow \alpha_1 \mid \alpha_2 \mid \dots \mid \alpha_n$ . How to choose the right A-production?



1. If there is a unique  $\alpha_i$  with  $*$  as the head, replace using this unique  $\alpha_i$ .
2. If there are multiple  $\alpha_i$  with  $*$  as the head, the substitution is not unique[替换是不唯一的], **backtracking** may happen.

- **Left factoring**[提取左公因子]: Rewrite the productions to **defer the decision** until enough of the input has been seen that we can make the right choice. [推后决定直至可选择]

# Left factoring[提取公共左因子]



- For each non-terminal A, find the longest common prefix  $\alpha$  to two or more of its alternatives.
  - **Change** A-productions  $A \rightarrow \alpha\beta_1 \mid \alpha\beta_2 \mid \dots \mid \alpha\beta_n \mid \gamma$ , where  $\gamma$  represents all alternatives that do not begin with  $\alpha$ , to  $A \rightarrow \alpha A' \mid \gamma; A' \rightarrow \beta_1 \mid \beta_2 \mid \dots \mid \beta_n$ .
- Repeatedly apply this transformation until no two alternatives for have a common prefix.
- **Example:**  $G[S]: S \rightarrow abc \mid abd \mid ae;$ 
  - ◆ **Step1:**  $S \rightarrow aA'; A' \rightarrow bc \mid bd \mid e;$
  - ◆ **Step2:**  $S \rightarrow aA'; A' \rightarrow bB' \mid e; B' \rightarrow c \mid d;$

- Patterns in grammars that prevent predictive parsing [并非总是能预测分析]:

- ◆ **Left Recursion Problem**[左递归问题]

- Lookahead symbol changes **only** when a terminal is matched.
- Q: How to solve? A: Remove Left Recursion.

- ◆ **Common Prefix**[共同前缀] — Cause **Backtracking Problem**.

- Q: How to solve? A: Left factoring.

- ◆ **Question:** After left factoring, Can we completely avoid the backtracking and enable prediction?

What if  $S \rightarrow EBD \mid FBB$ ;  $E \rightarrow a$ ;  $F \rightarrow a$

**FIRST & FOLLOW!**

$G(S): S \rightarrow aBD \mid bBB$ ;  $B \rightarrow c \mid bce$ ;  $D \rightarrow d$   
parsing input “**abced**” requires no backtracking

- During top-down parsing, **FIRST** and **FOLLOW** allow us to choose which production to apply, based on the next input symbol.
- **FIRST( $\alpha$ )** [终结首符集] ( $\alpha$  is any string of grammar symbols)
  - ◆ **FIRST( $\alpha$ )** is the set of terminals that are the beginning strings derived from  $\alpha$ .  
$$\text{FIRST}(\alpha) = \{a \mid \alpha \xRightarrow{*} a..., a \in V_T\}$$
 [串的终结首符的集合]
  - ◆ AND if  $\alpha \xRightarrow{*} \epsilon$ , then  $\epsilon$  is also in  $\text{FIRST}(\alpha)$ .
- Consider two A-productions  $A \rightarrow \alpha \mid \beta$ , where **FIRST( $\alpha$ )** and **FIRST( $\beta$ )** are **disjoint sets** [不相交的集合].
  - We can then choose between these A-productions by looking at the next input symbol  $a$ , which exists either  $\text{FIRST}(\alpha)$  and  $\text{FIRST}(\beta)$ , not both.

- **Question:** for an input symbol  $a$  and the non-terminal  $A$  ( $A \rightarrow \alpha_1 \mid \alpha_2 \mid \dots \mid \alpha_n$ ),  $a \notin \text{FIRST}(\alpha_i)$ , in this case, how to choose  $\alpha_i$ , or draw a conclusion of grammatical errors?
- **FOLLOW(A):** For a nonterminal  $A$ , the set of **terminals**  $a$  that can appear immediately to the right of  $A$  is  $\text{FOLLOW}(A) = \{a \mid S \xRightarrow{*} \dots Aa \dots, a \in V_T\}$ . [紧跟在 $A$ 右边的终结符号的集合]
  - ◆ If  $A$  is the rightmost symbol in some sentential forms, then  $\$$  is in  $\text{FOLLOW}(A)$ ; recall that  $\$$  is a special “endmarker” symbol[结束标记] that is assumed not to be a symbol of any grammar.

# FOLLOW [后继终结符号集]



- **Question:** for an input symbol  $a$  and the non-terminal  $A$  ( $A \rightarrow \alpha_1 \mid \alpha_2 \mid \dots \mid \alpha_n$ ),  $a \notin \text{FIRST}(\alpha_i)$ , in this case, how to choose  $\alpha_i$ , or draw a conclusion of grammatical errors?
- **Answer:** if  $\epsilon \in \text{FIRST}(\alpha_i)$ , then when  $a \in \text{FOLLOW}(A)$ , select  $A \rightarrow \alpha_i$ , otherwise, report grammatical error.
- **Example:**  $G(S): S \rightarrow aA \mid d; \quad A \rightarrow bAS \mid \epsilon$ . String :  $abd$ .

$S$	$abd$	$\text{FIRST}(aA) = \{a\}$	$\text{FOLLOW}(A) = \{\$, a, d\}$
$\Rightarrow aA$	$ab$	$\text{FIRST}(d) = \{d\}$	
$\Rightarrow abAS$	$abd$	$\text{FIRST}(bAS) = \{b\}$	
$\Rightarrow abS$	$abd$	$\text{FIRST}(A) = \{b, \epsilon\}$	
$\Rightarrow abd$		$\text{FIRST}(S) = \{a, d\}$	

**HOW TO compute  
FIRST & FOLLOW?**



# Compute FIRST [计算FIRST集合的方法]



- To compute  $\text{FIRST}(X)$  for a grammar symbol  $X$ , **apply the following rules until no more terminals or  $\epsilon$  can be added to any FIRST set:**

◆ **Rule1:** If  $X \in V_T$ , then  $\text{FIRST}(X) = \{X\}$ . [X是终结符]

◆ **Rule2:** If  $X \in V_N$  and  $X \rightarrow \epsilon$  exists, then  $\text{FIRST}(X) = \text{FIRST}(X) \cup \epsilon$ . [非终结符,空式]

◆ **Rule3:** If  $X \in V_N$  and  $X \rightarrow Y_1 Y_2 Y_3 \dots Y_k$ , then [非终结符,非空式]

□ If for some  $Y_i$  and a terminal  $a$ :

1.  $\epsilon \in \text{FIRST}(Y_1), \dots, \text{FIRST}(Y_{i-1})$ , i.e.,  $Y_1 \dots Y_{i-1} \xRightarrow{*} \epsilon$

2.  $a \in \text{FIRST}(Y_i) \setminus \{\epsilon\}$

□ Then, we have  $\text{FIRST}(X) = \text{FIRST}(X) \cup a$ .

□ If  $\epsilon \in \text{FIRST}(Y_i)$  for  $i=1, \dots, k$ ,  $\text{FIRST}(X) = \text{FIRST}(X) \cup \epsilon$ .

Starting from  $Y_1$

- Everything in  $\text{FIRST}(Y_1) \setminus \{\epsilon\}$  is surely in  $\text{FIRST}(X)$ .
- If  $Y_1$  doesn't derive  $\epsilon$ , then we add nothing more.
- But if  $Y_1 \xRightarrow{*} \epsilon$ , then we add  $\text{FIRST}(Y_2) \setminus \{\epsilon\}$ , and so on...

# Compute FIRST [计算FIRST集合的方法]



- Next, we can compute FIRST for any string  $\alpha = X_1X_2...X_n$  [符号串]
  - ◆ Add all non- $\epsilon$  symbols of  $FIRST(X_1)$  to  $FIRST(\alpha)$ .
  - ◆ If  $FIRST(X_1), \dots, FIRST(X_{i-1})$  all contain  $\epsilon$  [前k-1个都包含空串], add non- $\epsilon$  symbols of  $FIRST(X_i), 2 \leq i \leq n$ , to  $FIRST(\alpha)$ .
  - ◆ If  $FIRST(X_1), \dots, FIRST(X_n)$  all contain  $\epsilon$ , Add  $\epsilon$  to  $FIRST(\alpha)$ .

# Compute FIRST [计算FIRST集合的方法]



- **Example:**  $G[E]: E \rightarrow TE' ; E' \rightarrow +TE' \mid \varepsilon ; T \rightarrow FT' ; T' \rightarrow *FT' \mid \varepsilon ; F \rightarrow (E) \mid id$ , compute the **FIRST** set of each non-terminal.

◆  $FIRST(E): \{$

◆  $FIRST(T): \{$

◆  $FIRST(E'): \{ +, \varepsilon$

◆  $FIRST(T'): \{ *, \varepsilon$

◆  $FIRST(F): \{ (, id$

## Apply rules for the first time:

$E \rightarrow TE'$ :  $FIRST(T)$  add to  $FIRST(E)$ ,  $FIRST(T)$  doesn't contain  $\varepsilon$

$E' \rightarrow +TE'$ :  $FIRST(+)$  add to  $FIRST(E')$ ,  $FIRST(+)$  doesn't contain  $\varepsilon$

$E' \rightarrow \varepsilon$ :  $\varepsilon$  add to  $FIRST(E')$

$T \rightarrow FT'$ :  $FIRST(F)$  add to  $FIRST(T)$ ,  $FIRST(F)$  doesn't contain  $\varepsilon$

$T' \rightarrow *FT'$ :  $FIRST(*)$  add to  $FIRST(T')$ ,  $FIRST(*)$  doesn't contain  $\varepsilon$

$T' \rightarrow \varepsilon$ :  $\varepsilon$  add to  $FIRST(T')$

$F \rightarrow (E)$ :  $FIRST('(')$  add to  $FIRST(F)$ ,  $FIRST('(')$  doesn't contain  $\varepsilon$

$F \rightarrow id$ :  $FIRST(id)$  add to  $FIRST(F)$

# Compute FIRST [计算FIRST集合的方法]



- **Example:**  $G[E]: E \rightarrow TE' ; E' \rightarrow +TE' \mid \varepsilon ; T \rightarrow FT' ; T' \rightarrow *FT' \mid \varepsilon ; F \rightarrow (E) \mid id$ , compute the **FIRST** set of each non-terminal.

- ◆  $FIRST(E): \{$
- ◆  $FIRST(T): \{ (, id$
- ◆  $FIRST(E'): \{ +, \varepsilon$
- ◆  $FIRST(T'): \{ *, \varepsilon$
- ◆  $FIRST(F): \{ (, id$

It is necessary to determine whether the FIRST set has changed after each rule application. **first time YES!**

**Apply rules for the second time:**

$E \rightarrow TE'$ : *FIRST(T) add to FIRST(E), FIRST(T) doesn't contain  $\varepsilon$*

$E' \rightarrow +TE'$ : *FIRST(+) add to FIRST(E'), FIRST(+) doesn't contain  $\varepsilon$*

$E' \rightarrow \varepsilon$ :  *$\varepsilon$  add to FIRST(E')*

$T \rightarrow FT'$ : ***FIRST(F) add to FIRST(T)**, FIRST(F) doesn't contain  $\varepsilon$*

$T' \rightarrow *FT'$ : *FIRST(\*) add to FIRST(T'), FIRST(\*) doesn't contain  $\varepsilon$*

$T' \rightarrow \varepsilon$ :  *$\varepsilon$  add to FIRST(T')*

$F \rightarrow (E)$ : *FIRST('(') add to FIRST(F), FIRST('(') doesn't contain  $\varepsilon$*

$F \rightarrow id$ : *FIRST(id) add to FIRST(F)*

# Compute FIRST [计算FIRST集合的方法]



- **Example:**  $G[E]: E \rightarrow TE' ; E' \rightarrow +TE' \mid \varepsilon ; T \rightarrow FT' ; T' \rightarrow *FT' \mid \varepsilon ; F \rightarrow (E) \mid id$ , compute the **FIRST** set of each non-terminal.

◆  $FIRST(E): \{ (, id$

◆  $FIRST(T): \{ (, id$

◆  $FIRST(E'): \{ +, \varepsilon$

◆  $FIRST(T'): \{ *, \varepsilon$

◆  $FIRST(F): \{ (, id$

It is necessary to determine whether the FIRST set has changed after each rule application. **second time YES!**

**Apply rules for the third time:**

$E \rightarrow TE'$ : *FIRST(T) add to FIRST(E), FIRST(T) doesn't contain  $\varepsilon$*

$E' \rightarrow +TE'$ : *FIRST(+) add to FIRST(E'), FIRST(+) doesn't contain  $\varepsilon$*

$E' \rightarrow \varepsilon$ :  *$\varepsilon$  add to FIRST(E')*

$T \rightarrow FT'$ : *FIRST(F) add to FIRST(T), FIRST(F) doesn't contain  $\varepsilon$*

$T' \rightarrow *FT'$ : *FIRST(\*) add to FIRST(T'), FIRST(\*) doesn't contain  $\varepsilon$*

$T' \rightarrow \varepsilon$ :  *$\varepsilon$  add to FIRST(T')*

$F \rightarrow (E)$ : *FIRST('(') add to FIRST(F), FIRST('(') doesn't contain  $\varepsilon$*

$F \rightarrow id$ : *FIRST(id) add to FIRST(F)*

# Compute FIRST [计算FIRST集合的方法]



- **Example:**  $G[E]: E \rightarrow TE' ; E' \rightarrow +TE' \mid \varepsilon ; T \rightarrow FT' ; T' \rightarrow *FT' \mid \varepsilon ; F \rightarrow (E) \mid id$ , compute the FIRST set of each non-terminal.

◆  $FIRST(E): \{ (, id \}$

◆  $FIRST(T): \{ (, id \}$

◆  $FIRST(E'): \{ +, \varepsilon \}$

◆  $FIRST(T'): \{ *, \varepsilon \}$

◆  $FIRST(F): \{ (, id \}$

It is necessary to determine whether the FIRST set has changed after each rule application. **third time YES!**

**Apply rules for the 4th time:**

$E \rightarrow TE'$ :  $FIRST(T)$  add to  $FIRST(E)$ ,  $FIRST(T)$  doesn't contain  $\varepsilon$

$E' \rightarrow +TE'$ :  $FIRST(+)$  add to  $FIRST(E')$ ,  $FIRST(+)$  doesn't contain  $\varepsilon$

$E' \rightarrow \varepsilon$ :  $\varepsilon$  add to  $FIRST(E')$

$T \rightarrow FT'$ :  $FIRST(F)$  add to  $FIRST(T)$ ,  $FIRST(F)$  doesn't contain  $\varepsilon$

$T' \rightarrow *FT'$ :  $FIRST(*)$  add to  $FIRST(T')$ ,  $FIRST(*)$  doesn't contain  $\varepsilon$

$T' \rightarrow \varepsilon$ :  $\varepsilon$  add to  $FIRST(T')$

$F \rightarrow (E)$ :  $FIRST('(')$  add to  $FIRST(F)$ ,  $FIRST('(')$  doesn't contain  $\varepsilon$

$F \rightarrow id$ :  $FIRST(id)$  add to  $FIRST(F)$

It is necessary to determine whether the FIRST set has changed after each rule application. **4th time NO!**

# Compute FOLLOW [计算FOLLOW集合的方法]

- To compute FOLLOW(A) for all non-terminals A, **apply the following rules until nothing can be added to any FOLLOW set.**
  - ◆ **Rule1:** Place \$ in FOLLOW(S), where S is the start symbol.
  - ◆ **Rule2:** If there is a production  $A \rightarrow \alpha B \beta$ , then everything in FIRST( $\beta$ ) except  $\epsilon$  is placed in FOLLOW(B).
  - ◆ **Rule3:** If there is a production  $A \rightarrow \alpha B$ , or a production  $A \rightarrow \alpha B \beta$ , where FIRST( $\beta$ ) contains  $\epsilon$ , then everything in FOLLOW(A) is placed in FOLLOW(B).
    - for example, in bigger context “abc( $A \rightarrow \alpha B$ )abc”

# Compute FOLLOW [计算FOLLOW集合的方法]

- $G[E]: E \rightarrow TE'; E' \rightarrow +TE' \mid \varepsilon; T \rightarrow FT'; T' \rightarrow *FT' \mid \varepsilon; F \rightarrow (E) \mid \text{id};$

- ◆  $\text{FIRST}(E): \{ (, \text{id} \}$

- ◆  $\text{FIRST}(T): \{ (, \text{id} \};$

- ◆  $\text{FIRST}(E'): \{ +, \varepsilon \};$

- ◆  $\text{FIRST}(T'): \{ *, \varepsilon \};$

- ◆  $\text{FIRST}(F): \{ (, \text{id} \}.$

- ◆  $\text{FOLLOW}(E): \{ \$$

- ◆  $\text{FOLLOW}(T): \{ +, \$$

- ◆  $\text{FOLLOW}(E'): \{ \$$

- ◆  $\text{FOLLOW}(T'): \{$

- ◆  $\text{FOLLOW}(F): \{$

## Apply rules for the first time:

- ◆ Place \$ in FOLLOW(E), since E is the start symbol.

- ◆  $E \rightarrow TE'$

- FIRST(E') except  $\varepsilon$  is in FOLLOW(T).

- Everything in FOLLOW(E) is in FOLLOW(E').

- Since FIRST(E') contains  $\varepsilon$ , then everything in FOLLOW(E) is in FOLLOW(T).

- ◆  $E' \rightarrow +TE' \mid \varepsilon$

- FIRST(E') except  $\varepsilon$  is in FOLLOW(T).

- Everything in FOLLOW(E') is in FOLLOW(E').

- Since FIRST(E') contains  $\varepsilon$ , then everything in FOLLOW(E') is in FOLLOW(T).



# Compute FOLLOW [计算FOLLOW集合的方法]

- $G[E]: E \rightarrow TE'; E' \rightarrow +TE' \mid \varepsilon; T \rightarrow FT'; T' \rightarrow *FT' \mid \varepsilon; F \rightarrow (E) \mid \text{id};$

- ◆  $\text{FIRST}(E): \{ (, \text{id} \}$
- ◆  $\text{FIRST}(T): \{ (, \text{id} \};$
- ◆  $\text{FIRST}(E'): \{ +, \varepsilon \};$
- ◆  $\text{FIRST}(T'): \{ *, \varepsilon \};$
- ◆  $\text{FIRST}(F): \{ (, \text{id} \}.$

- ◆  $\text{FOLLOW}(E): \{ \$$
- ◆  $\text{FOLLOW}(T): \{ +, \$$
- ◆  $\text{FOLLOW}(E'): \{ \$$
- ◆  $\text{FOLLOW}(T'): \{ +, \$$
- ◆  $\text{FOLLOW}(F): \{ *, +, \$$

## Apply rules for the first time:

- ◆  $T \rightarrow FT'$ 
  - ▣  $\text{FIRST}(T')$  except  $\varepsilon$  is in  $\text{FOLLOW}(F)$ .
  - ▣ Everything in  $\text{FOLLOW}(T)$  is in  $\text{FOLLOW}(T')$ .
  - ▣ Since  $\text{FIRST}(T')$  contains  $\varepsilon$ , then everything in  $\text{FOLLOW}(T)$  is in  $\text{FOLLOW}(F)$ .
- ◆  $T' \rightarrow *FT' \mid \varepsilon$ 
  - ▣  $\text{FIRST}(T')$  except  $\varepsilon$  is in  $\text{FOLLOW}(F)$ .
  - ▣ Everything in  $\text{FOLLOW}(T')$  is in  $\text{FOLLOW}(T')$ .
  - ▣ Since  $\text{FIRST}(T')$  contains  $\varepsilon$ , then everything in  $\text{FOLLOW}(T')$  is in  $\text{FOLLOW}(F)$ .

# Compute FOLLOW [计算FOLLOW集合的方法]

- $G[E]: E \rightarrow TE'; E' \rightarrow +TE' \mid \varepsilon; T \rightarrow FT'; T' \rightarrow *FT' \mid \varepsilon; F \rightarrow (E) \mid \text{id};$

- ◆  $\text{FIRST}(E): \{ (, \text{id} \}$
- ◆  $\text{FIRST}(T): \{ (, \text{id} \};$
- ◆  $\text{FIRST}(E'): \{ +, \varepsilon \};$
- ◆  $\text{FIRST}(T'): \{ *, \varepsilon \};$
- ◆  $\text{FIRST}(F): \{ (, \text{id} \}.$

## Apply rules for the first time:

- ◆  $F \rightarrow (E) \mid \text{id}$ 
  - ▣  $\text{FIRST}('(')$  except  $\varepsilon$  is in  $\text{FOLLOW}(E)$ .

Since FOLLOW sets has changed at the first time,

## Apply rules for the second time:

- ◆  $E \rightarrow TE'$ 
  - ▣  $\text{FIRST}(E')$  except  $\varepsilon$  is in  $\text{FOLLOW}(T)$ .
  - ▣ Everything in  $\text{FOLLOW}(E)$  is in  $\text{FOLLOW}(E')$ .
  - ▣ Since  $\text{FIRST}(E')$  contains  $\varepsilon$ , then everything in  $\text{FOLLOW}(E)$  is in  $\text{FOLLOW}(T)$ .

- ◆  $\text{FOLLOW}(E): \{ \$, ) \}$
- ◆  $\text{FOLLOW}(T): \{ +, \$, ) \}$
- ◆  $\text{FOLLOW}(E'): \{ \$, ) \}$
- ◆  $\text{FOLLOW}(T'): \{ +, \$ \}$
- ◆  $\text{FOLLOW}(F): \{ *, +, \$ \}$

# Compute FOLLOW [计算FOLLOW集合的方法]

- $G[E]: E \rightarrow TE'; E' \rightarrow +TE' \mid \varepsilon; T \rightarrow FT'; T' \rightarrow *FT' \mid \varepsilon; F \rightarrow (E) \mid \text{id};$ 
  - ◆  $\text{FIRST}(E): \{ (, \text{id} \}$
  - ◆  $\text{FIRST}(T): \{ (, \text{id} \};$
  - ◆  $\text{FIRST}(E'): \{ +, \varepsilon \};$
  - ◆  $\text{FIRST}(T'): \{ *, \varepsilon \};$
  - ◆  $\text{FIRST}(F): \{ (, \text{id} \}.$
  - ◆  $\text{FOLLOW}(E): \{ \$, ) \}$
  - ◆  $\text{FOLLOW}(T): \{ +, \$, ) \}$
  - ◆  $\text{FOLLOW}(E'): \{ \$, ) \}$
  - ◆  $\text{FOLLOW}(T'): \{ +, \$, ) \}$
  - ◆  $\text{FOLLOW}(F): \{ *, +, \$, ) \}$
- ◆  $E' \rightarrow +TE' \mid \varepsilon$ 
  - $\text{FIRST}(E')$  except  $\varepsilon$  is in  $\text{FOLLOW}(T)$ .
  - Everything in  $\text{FOLLOW}(E')$  is in  $\text{FOLLOW}(E')$ .
  - Since  $\text{FIRST}(E')$  contains  $\varepsilon$ , then everything in  $\text{FOLLOW}(E')$  is in  $\text{FOLLOW}(T)$ .
- ◆  $T \rightarrow FT'$ 
  - $\text{FIRST}(T')$  except  $\varepsilon$  is in  $\text{FOLLOW}(F)$ .
  - Everything in **FOLLOW(T)** is in  $\text{FOLLOW}(T')$ .
  - Since  $\text{FIRST}(T')$  contains  $\varepsilon$ , then everything in **FOLLOW(T)** is in  $\text{FOLLOW}(F)$ .

# Compute FOLLOW [计算FOLLOW集合的方法]

- $G[E]: E \rightarrow TE'; E' \rightarrow +TE' \mid \varepsilon; T \rightarrow FT'; T' \rightarrow *FT' \mid \varepsilon; F \rightarrow (E) \mid \text{id};$

- ◆  $\text{FIRST}(E): \{ (, \text{id} \}$

- ◆  $\text{FIRST}(T): \{ (, \text{id} \};$

- ◆  $\text{FIRST}(E'): \{ +, \varepsilon \};$

- ◆  $\text{FIRST}(T'): \{ *, \varepsilon \};$

- ◆  $\text{FIRST}(F): \{ (, \text{id} \}.$

- ◆  $\text{FOLLOW}(E): \{ \$, ) \}$

- ◆  $\text{FOLLOW}(T): \{ +, \$, ) \}$

- ◆  $\text{FOLLOW}(E'): \{ \$, ) \}$

- ◆  $\text{FOLLOW}(T'): \{ +, \$, ) \}$

- ◆  $\text{FOLLOW}(F): \{ *, +, \$, ) \}$

- ◆  $T' \rightarrow *FT' \mid \varepsilon$

- $\text{FIRST}(T')$  except  $\varepsilon$  is in  $\text{FOLLOW}(F)$ .

- Everything in  $\text{FOLLOW}(T')$  is in  $\text{FOLLOW}(T)$ .

- Since  $\text{FIRST}(T')$  contains  $\varepsilon$ , then everything in  $\text{FOLLOW}(T')$  is in  $\text{FOLLOW}(F)$ .

- ◆  $F \rightarrow (E) \mid \text{id}$

- $\text{FIRST}('')$  except  $\varepsilon$  is in  $\text{FOLLOW}(E)$ .

Since FOLLOW sets has changed at the second time,

**Apply rules for the third time:**

- ◆ **EXERCISE!**

- ◆ **Ans: no FOLLOW set will be changed.**

# LL(1) Grammar[LL(1)文法]



- Predictive parsers, that is, recursive-descent parsers needing **no backtracking**, can be constructed for a class of grammars called **LL(1)**.
- **Definition:** A grammar  $G$  is LL(1) **if and only if** **each productions of  $G$** ,  $A \rightarrow \alpha \mid \beta$ , meet the following conditions:
  - ◆ For no terminal  $a$  do both  $\alpha$  and  $\beta$  derive strings beginning with  $a$ .
  - ◆ At most one of  $\alpha$  and  $\beta$  can derive the empty string.
  - ◆ If  $\beta \xRightarrow{*} \epsilon$ , then  $\alpha$  does not derive any string beginning with a terminal in  $\text{FOLLOW}(A)$ . Likewise, if  $\alpha \xRightarrow{*} \epsilon$ , then  $\beta$  does not derive any string beginning with a terminal in  $\text{FOLLOW}(A)$ .

- The first two conditions are equivalent to the statement that  $\text{FIRST}(\alpha)$  and  $\text{FIRST}(\beta)$  are disjoint sets [不相交的集合].  
 $\text{FIRST}(\alpha) \cap \text{FIRST}(\beta) = \emptyset.$
- The third condition is equivalent to stating that if  $\epsilon$  is in  $\text{FIRST}(\beta)$ , then  $\text{FIRST}(\alpha)$  and  $\text{FOLLOW}(A)$  must be disjoint sets, and likewise if  $\epsilon$  is in  $\text{FIRST}(\alpha)$ .  
If  $\beta \xRightarrow{*} \epsilon$ , then  $\text{FIRST}(\alpha) \cap \text{FOLLOW}(A) = \emptyset.$   
Otherwise, we cannot choose  $\alpha$  or  $\beta$ .  
( $A \rightarrow \alpha \mid \beta$ )
- LL(1) Grammar does not contain left recursion. [LL(1)文法不含左递归]
- LL(1) Grammar is not ambiguous. [LL(1)文法不是二义的]

# LL(1)/LL(k) Grammar [LL(1)/LL(k)文法]



- **LL (1) grammar.**
  - ◆ L: The first "L" in LL(1) stands for scanning the input from left to right.
  - ◆ L: The second "L" for producing a leftmost derivation.
  - ◆ 1: The "1" for using one input symbol of lookahead at each step to make parsing action decisions.
- **LL (k) grammar.**
  - ◆ k: using k input symbols of lookahead at each step to make parsing action decisions.
- **Is LL(0) useful at all?**
  - ◆ Grammar where rules can be predicted with no lookahead.
  - ◆ Meaning there can only be one rule per non-terminal.
  - ◆ Meaning this language can have only one string.

# LL(1) Parser Implementation[实现]



- Recursive LL(1) parser for  $G[S]: S \rightarrow A \mid B; A \rightarrow a; B \rightarrow b$ .

- ◆ maintaining a stack implicitly. [隐式维护栈]

- Is there a way to express above code more concisely? [简洁]

- ◆ Non-recursive LL(1) parsers

- ◆ Use a predictive parsing table. [预测分析表]
- ◆ maintaining a stack explicitly. [显式维护栈]
- ◆ Table-driven parser. [表驱动]

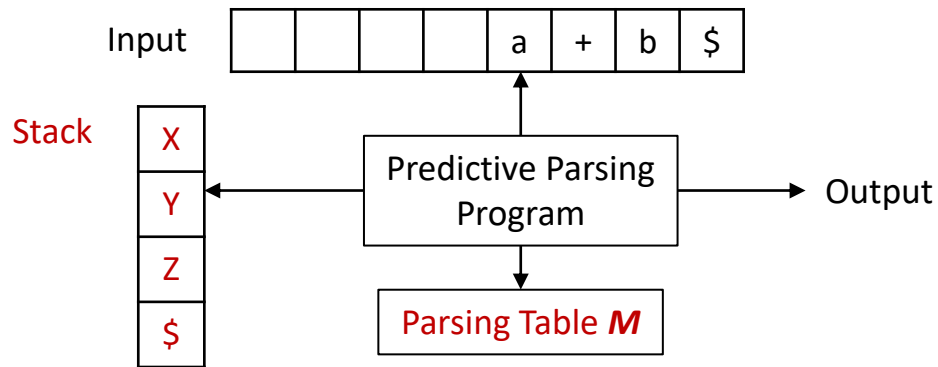
```
void S(){  
    token = Next(); // lookahead  
    if(token == a) // 'A' starts with 'a'  
        A(); // call procedure A()  
    else if (token == b) // 'B' starts with 'b'  
        B(); // call procedure B()  
    else  
        return; // error, reject.  
}
```



# Non-recursive LL(1) Parser [非递归]



- **Input buffer:** contains the string to be parsed, followed by the endmarker \$.
- **Stack:** holds a sequence of grammar symbols and the symbol \$ to mark the bottom of the stack. The stack may contain:
  - ◆ **Terminals** that have yet to be **matched** against the input symbol.
  - ◆ **Non-terminals** that have yet to be **expanded**.



- **Parsing Table  $M[A,a]$ :** an entry containing production " $A \rightarrow \dots$ " or error.
- **Predictive Parsing Program:** Execute the action according to **<stack top, current input symbol>**

# LL(1) Parse Table [预测分析表]



G[E]:

$E \rightarrow TE'$

$E' \rightarrow +TE' \mid \epsilon$

$T \rightarrow FT'$

$T' \rightarrow *FT' \mid \epsilon$

$F \rightarrow (E) \mid id$

	id	+	*	(	)	\$
E	$E \rightarrow TE'$			$E \rightarrow TE'$		
E'		$E' \rightarrow +TE'$			$E' \rightarrow \epsilon$	$E' \rightarrow \epsilon$
T	$T \rightarrow FT'$			$T \rightarrow FT'$		
T'		$T' \rightarrow \epsilon$	$T' \rightarrow *FT'$		$T' \rightarrow \epsilon$	$T' \rightarrow \epsilon$
F	$F \rightarrow id$			$F \rightarrow (E)$		

Input symbol,  
lists all possible  
terminals and \$

all non-terminals  
in the grammar

One action for each **<non-terminal, next input>**, it  
“predicts” the correct action based on one lookahead

- **Reject** on reaching error state
- **Accept** on end of input & empty stack

FIRST(E):{ (, id }

FIRST(T):{ (, id }

FIRST(E'):{ +,  $\epsilon$  }

FIRST(T'):{ \*,  $\epsilon$  }

FIRST(F):{ (, id }

FOLLOW(E):{ \$, ) }

FOLLOW(T):{ +, \$, ) }

FOLLOW(E'):{ \$, ) }

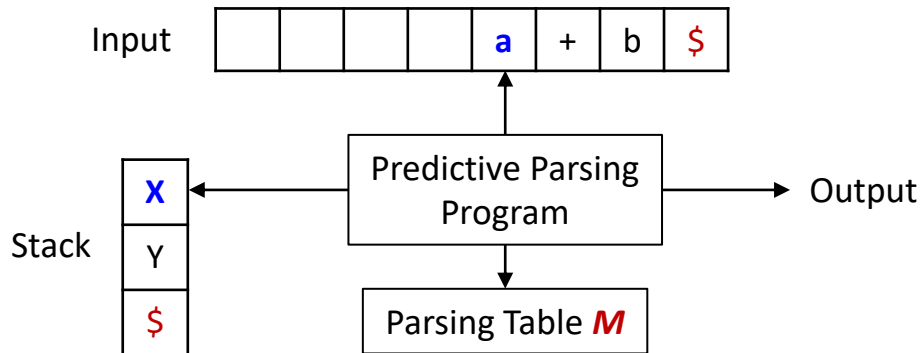
FOLLOW(T'):{ +, \$, ) }

FOLLOW(F):{ \*, +, \$, ) }

# LL(1) Parsing Algorithm [非递归算法]



- Initial state [初始态]
  - ◆ **Input**: A string  $w$  and a parsing table  $M$  for grammar  $G$ . **Input Buffer**:  $w\$$ .
  - ◆ **Stack**: start symbol followed by '\$' at bottom.
  - ◆ Assume  $X$ : symbol at the top of the stack,  $a$ : current input symbol.
- General idea [总体思路] : repeat one of two actions
  - ◆ **Expand** symbol at top of stack by applying a production
  - ◆ **Match** terminal symbol at top of stack with input token

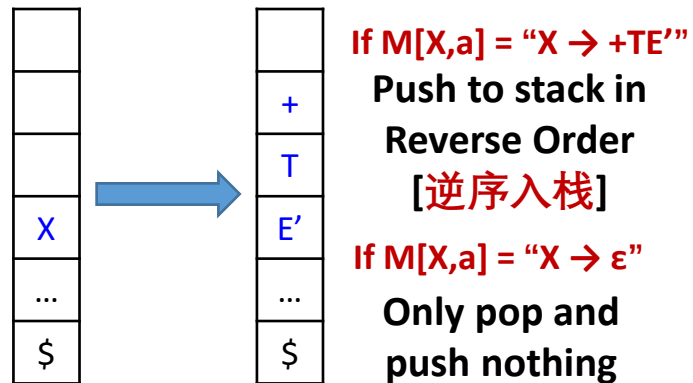
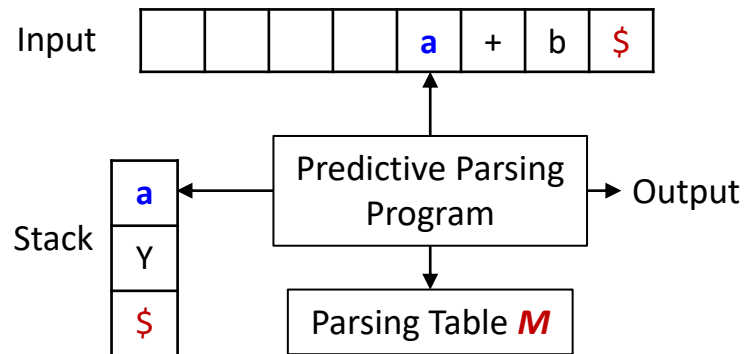


# LL(1) Parsing Algorithm [非递归算法]



- Algorithm Step-by-Step based on  $\langle X, a \rangle$ :

- ◆  $X$ : symbol at the top of the stack
- ◆  $a$ : current input token
- ◆ If  $X \in V_T$ , [栈顶符号为终结符] and
  - $X == a == \$$ , declare **SUCCESS**, stop parsing.
  - $X == a != \$$ , **pop  $X$  from stack** and move the current input symbol forward one.
  - $X != a$ , declare **ERROR**, input is **rejected**, stop parsing.
- ◆ If  $X \in V_N$ , [栈顶符号为非终结符] and
  - $M[X, a]$  has a production about  $X$ , pop  $X$  and **push right side of production to stack**.
  - $M[X, a] == \text{empty}$ , declare **ERROR**, input is **rejected**, stop parsing.



If  $M[X, a] = "X \rightarrow \epsilon"$   
Only pop and push nothing

# Use the Parse Table [使用表进行预测分析]



- When using parse table for predictive parsers, if the grammar does not conform to the specification of LL (1) grammar:
  - the grammar should be **rewritten into LL (1) grammar** by **removing left recursion** and **backtracking**, then the parse table should be constructed.
- LL(1) parser example:** consider  $G[E]$  to recognize “**id + id \* id**”

$G[E]$ :

$E \rightarrow TE'$

$E' \rightarrow +TE' \mid \varepsilon$

$T \rightarrow FT'$

$T' \rightarrow *FT' \mid \varepsilon$

$F \rightarrow (E) \mid \text{id}$

	id	+	*	(	)	\$
E	$E \rightarrow TE'$			$E \rightarrow TE'$		
E'		$E' \rightarrow +TE'$			$E' \rightarrow \varepsilon$	$E' \rightarrow \varepsilon$
T	$T \rightarrow FT'$			$T \rightarrow FT'$		
T'		$T' \rightarrow \varepsilon$	$T' \rightarrow *FT'$		$T' \rightarrow \varepsilon$	$T' \rightarrow \varepsilon$
F	$F \rightarrow \text{id}$			$F \rightarrow (E)$		

# Use the Parse Table [使用表进行预测分析]



- recognize “id + id \* id”

Input Buffer

		id	+	id	*	id	\$
--	--	----	---	----	---	----	----

Stack

E
\$

Predictive Parsing Program

	id	+	*	(	)	\$
E	E → TE'			E → TE'		
E'		E' → +TE'			E' → ε	E' → ε
T	T → FT'			T → FT'		
T'		T' → ε	T' → *FT'		T' → ε	T' → ε
F	F → id			F → (E)		

G[E]:

$E \rightarrow TE'$

$E' \rightarrow +TE' \mid \varepsilon$

$T \rightarrow FT'$

$T' \rightarrow *FT' \mid \varepsilon$

$F \rightarrow (E) \mid id$

# Use the Parse Table [使用表进行预测分析]



- recognize “id + id \* id”

Input Buffer

		id	+	id	*	id	\$
--	--	----	---	----	---	----	----

Stack

T
E'
\$

Predictive Parsing Program

	id	+	*	(	)	\$
E	$E \rightarrow TE'$			$E \rightarrow TE'$		
E'		$E' \rightarrow +TE'$			$E' \rightarrow \epsilon$	$E' \rightarrow \epsilon$
T	$T \rightarrow FT'$			$T \rightarrow FT'$		
T'		$T' \rightarrow \epsilon$	$T' \rightarrow *FT'$		$T' \rightarrow \epsilon$	$T' \rightarrow \epsilon$
F	$F \rightarrow id$			$F \rightarrow (E)$		

G[E]:

$E \rightarrow TE'$

$E' \rightarrow +TE' \mid \epsilon$

$T \rightarrow FT'$

$T' \rightarrow *FT' \mid \epsilon$

$F \rightarrow (E) \mid id$

# Use the Parse Table [使用表进行预测分析]



- recognize “id + id \* id”

Input Buffer

		id	+	id	*	id	\$
--	--	----	---	----	---	----	----

Stack

F
T'
E'
\$

Predictive Parsing Program

	id	+	*	(	)	\$
E	$E \rightarrow TE'$			$E \rightarrow TE'$		
E'		$E' \rightarrow +TE'$			$E' \rightarrow \epsilon$	$E' \rightarrow \epsilon$
T	$T \rightarrow FT'$			$T \rightarrow FT'$		
T'		$T' \rightarrow \epsilon$	$T' \rightarrow *FT'$		$T' \rightarrow \epsilon$	$T' \rightarrow \epsilon$
F	$F \rightarrow id$			$F \rightarrow (E)$		

G[E]:

$E \rightarrow TE'$

$E' \rightarrow +TE' \mid \epsilon$

$T \rightarrow FT'$

$T' \rightarrow *FT' \mid \epsilon$

$F \rightarrow (E) \mid id$



# Use the Parse Table [使用表进行预测分析]



- recognize “**id + id \* id**”

Input Buffer

		<b>id</b>	+	<b>id</b>	*	<b>id</b>	\$
--	--	-----------	---	-----------	---	-----------	----

Stack

<b>id</b>
T'
E'
\$

Predictive Parsing Program

	<b>id</b>	+	*	(	)	\$
E	$E \rightarrow TE'$			$E \rightarrow TE'$		
E'		$E' \rightarrow +TE'$			$E' \rightarrow \epsilon$	$E' \rightarrow \epsilon$
T	$T \rightarrow FT'$			$T \rightarrow FT'$		
T'		$T' \rightarrow \epsilon$	$T' \rightarrow *FT'$		$T' \rightarrow \epsilon$	$T' \rightarrow \epsilon$
F	$F \rightarrow \mathbf{id}$			$F \rightarrow (E)$		

**G[E]:**

$E \rightarrow TE'$

$E' \rightarrow +TE' \mid \epsilon$

$T \rightarrow FT'$

$T' \rightarrow *FT' \mid \epsilon$

$F \rightarrow (E) \mid \mathbf{id}$

# Use the Parse Table [使用表进行预测分析]



- recognize “id + id \* id”

Input Buffer

		id	+	id	*	id	\$
--	--	----	---	----	---	----	----

Stack

T'
E'
\$

Predictive Parsing Program

	id	+	*	(	)	\$
E	$E \rightarrow TE'$			$E \rightarrow TE'$		
E'		$E' \rightarrow +TE'$			$E' \rightarrow \epsilon$	$E' \rightarrow \epsilon$
T	$T \rightarrow FT'$			$T \rightarrow FT'$		
T'		$T' \rightarrow \epsilon$	$T' \rightarrow *FT'$		$T' \rightarrow \epsilon$	$T' \rightarrow \epsilon$
F	$F \rightarrow id$			$F \rightarrow (E)$		

G[E]:

$E \rightarrow TE'$

$E' \rightarrow +TE' \mid \epsilon$

$T \rightarrow FT'$

$T' \rightarrow *FT' \mid \epsilon$

$F \rightarrow (E) \mid id$

# Use the Parse Table [使用表进行预测分析]



- recognize “id + id \* id”

Input Buffer

		id	+	id	*	id	\$
--	--	----	---	----	---	----	----

Stack

E'
\$

Predictive Parsing Program

	id	+	*	(	)	\$
E	$E \rightarrow TE'$			$E \rightarrow TE'$		
E'		$E' \rightarrow +TE'$			$E' \rightarrow \epsilon$	$E' \rightarrow \epsilon$
T	$T \rightarrow FT'$			$T \rightarrow FT'$		
T'		$T' \rightarrow \epsilon$	$T' \rightarrow *FT'$		$T' \rightarrow \epsilon$	$T' \rightarrow \epsilon$
F	$F \rightarrow id$			$F \rightarrow (E)$		

**G[E]:**

$E \rightarrow TE'$

$E' \rightarrow +TE' \mid \epsilon$

$T \rightarrow FT'$

$T' \rightarrow *FT' \mid \epsilon$

$F \rightarrow (E) \mid id$

# Use the Parse Table [使用表进行预测分析]



- recognize “**id + id \* id**”

Input Buffer

		id	+	id	*	id	\$
--	--	----	---	----	---	----	----

Stack

+
T
E'
\$

Predictive Parsing Program

	id	+	*	(	)	\$
E	$E \rightarrow TE'$			$E \rightarrow TE'$		
E'		$E' \rightarrow +TE'$			$E' \rightarrow \epsilon$	$E' \rightarrow \epsilon$
T	$T \rightarrow FT'$			$T \rightarrow FT'$		
T'		$T' \rightarrow \epsilon$	$T' \rightarrow *FT'$		$T' \rightarrow \epsilon$	$T' \rightarrow \epsilon$
F	$F \rightarrow \text{id}$			$F \rightarrow (E)$		

**G[E]:**

$E \rightarrow TE'$

$E' \rightarrow +TE' \mid \epsilon$

$T \rightarrow FT'$

$T' \rightarrow *FT' \mid \epsilon$

$F \rightarrow (E) \mid \text{id}$

# Use the Parse Table [使用表进行预测分析]



- recognize “**id + id \* id**”

Input Buffer

		id	+	id	*	id	\$
--	--	----	---	----	---	----	----

Stack

T
E'
\$

Predictive Parsing Program

	id	+	*	(	)	\$
E	$E \rightarrow TE'$			$E \rightarrow TE'$		
E'		$E' \rightarrow +TE'$			$E' \rightarrow \epsilon$	$E' \rightarrow \epsilon$
T	$T \rightarrow FT'$			$T \rightarrow FT'$		
T'		$T' \rightarrow \epsilon$	$T' \rightarrow *FT'$		$T' \rightarrow \epsilon$	$T' \rightarrow \epsilon$
F	$F \rightarrow id$			$F \rightarrow (E)$		

**G[E]:**

$E \rightarrow TE'$

$E' \rightarrow +TE' \mid \epsilon$

$T \rightarrow FT'$

$T' \rightarrow *FT' \mid \epsilon$

$F \rightarrow (E) \mid id$

# Use the Parse Table [使用表进行预测分析]



- recognize “**id + id \* id**”

Input Buffer

		id	+	id	*	id	\$
--	--	----	---	----	---	----	----

Stack

F
T'
E'
\$

Predictive Parsing Program

**G[E]:**

$E \rightarrow TE'$

$E' \rightarrow +TE' \mid \epsilon$

$T \rightarrow FT'$

$T' \rightarrow *FT' \mid \epsilon$

$F \rightarrow (E) \mid \text{id}$

	id	+	*	(	)	\$
E	$E \rightarrow TE'$			$E \rightarrow TE'$		
E'		$E' \rightarrow +TE'$			$E' \rightarrow \epsilon$	$E' \rightarrow \epsilon$
T	$T \rightarrow FT'$			$T \rightarrow FT'$		
T'		$T' \rightarrow \epsilon$	$T' \rightarrow *FT'$		$T' \rightarrow \epsilon$	$T' \rightarrow \epsilon$
F	$F \rightarrow \text{id}$			$F \rightarrow (E)$		

# Use the Parse Table [使用表进行预测分析]



- recognize “**id + id \* id**”

Input Buffer

		<b>id</b>	<b>+</b>	<b>id</b>	<b>*</b>	<b>id</b>	<b>\$</b>
--	--	-----------	----------	-----------	----------	-----------	-----------

Stack

<b>id</b>
T'
E'
\$

Predictive Parsing Program

	<b>id</b>	<b>+</b>	<b>*</b>	<b>(</b>	<b>)</b>	<b>\$</b>
<b>E</b>	$E \rightarrow TE'$			$E \rightarrow TE'$		
<b>E'</b>		$E' \rightarrow +TE'$			$E' \rightarrow \epsilon$	$E' \rightarrow \epsilon$
<b>T</b>	$T \rightarrow FT'$			$T \rightarrow FT'$		
<b>T'</b>		$T' \rightarrow \epsilon$	$T' \rightarrow *FT'$		$T' \rightarrow \epsilon$	$T' \rightarrow \epsilon$
<b>F</b>	$F \rightarrow \mathbf{id}$			$F \rightarrow (E)$		

**G[E]:**

$E \rightarrow TE'$

$E' \rightarrow +TE' \mid \epsilon$

$T \rightarrow FT'$

$T' \rightarrow *FT' \mid \epsilon$

$F \rightarrow (E) \mid \mathbf{id}$

# Use the Parse Table [使用表进行预测分析]



- recognize “id + id \* id”

Input Buffer

		id	+	id	*	id	\$
--	--	----	---	----	---	----	----

Stack

T'
E'
\$

Predictive Parsing Program

	id	+	*	(	)	\$
E	$E \rightarrow TE'$			$E \rightarrow TE'$		
E'		$E' \rightarrow +TE'$			$E' \rightarrow \epsilon$	$E' \rightarrow \epsilon$
T	$T \rightarrow FT'$			$T \rightarrow FT'$		
T'		$T' \rightarrow \epsilon$	$T' \rightarrow *FT'$		$T' \rightarrow \epsilon$	$T' \rightarrow \epsilon$
F	$F \rightarrow id$			$F \rightarrow (E)$		

G[E]:

$E \rightarrow TE'$

$E' \rightarrow +TE' \mid \epsilon$

$T \rightarrow FT'$

$T' \rightarrow *FT' \mid \epsilon$

$F \rightarrow (E) \mid id$



# Use the Parse Table [使用表进行预测分析]



- recognize “**id + id \* id**”

Input Buffer

		id	+	id	*	id	\$
--	--	----	---	----	---	----	----

Stack

*
F
T'
E'
\$

Predictive Parsing Program

**G[E]:**

$E \rightarrow TE'$

$E' \rightarrow +TE' \mid \epsilon$

$T \rightarrow FT'$

$T' \rightarrow *FT' \mid \epsilon$

$F \rightarrow (E) \mid \text{id}$

	id	+	*	(	)	\$
E	$E \rightarrow TE'$			$E \rightarrow TE'$		
E'		$E' \rightarrow +TE'$			$E' \rightarrow \epsilon$	$E' \rightarrow \epsilon$
T	$T \rightarrow FT'$			$T \rightarrow FT'$		
T'		$T' \rightarrow \epsilon$	$T' \rightarrow *FT'$		$T' \rightarrow \epsilon$	$T' \rightarrow \epsilon$
F	$F \rightarrow \text{id}$			$F \rightarrow (E)$		

# Use the Parse Table [使用表进行预测分析]



- recognize “id + id \* id”

Input Buffer

		id	+	id	*	id	\$
--	--	----	---	----	---	----	----

Stack

F
T'
E'
\$

Predictive Parsing Program

**G[E]:**

$E \rightarrow TE'$

$E' \rightarrow +TE' \mid \epsilon$

$T \rightarrow FT'$

$T' \rightarrow *FT' \mid \epsilon$

$F \rightarrow (E) \mid \text{id}$

	id	+	*	(	)	\$
E	$E \rightarrow TE'$			$E \rightarrow TE'$		
E'		$E' \rightarrow +TE'$			$E' \rightarrow \epsilon$	$E' \rightarrow \epsilon$
T	$T \rightarrow FT'$			$T \rightarrow FT'$		
T'		$T' \rightarrow \epsilon$	$T' \rightarrow *FT'$		$T' \rightarrow \epsilon$	$T' \rightarrow \epsilon$
F	$F \rightarrow \text{id}$			$F \rightarrow (E)$		

# Use the Parse Table [使用表进行预测分析]



- recognize “**id + id \* id**”

Input Buffer

		<b>id</b>	<b>+</b>	<b>id</b>	<b>*</b>	<b>id</b>	<b>\$</b>
--	--	-----------	----------	-----------	----------	-----------	-----------

Stack

<b>id</b>
T'
E'
\$

Predictive Parsing Program

	<b>id</b>	<b>+</b>	<b>*</b>	<b>(</b>	<b>)</b>	<b>\$</b>
E	$E \rightarrow TE'$			$E \rightarrow TE'$		
E'		$E' \rightarrow +TE'$			$E' \rightarrow \epsilon$	$E' \rightarrow \epsilon$
T	$T \rightarrow FT'$			$T \rightarrow FT'$		
T'		$T' \rightarrow \epsilon$	$T' \rightarrow *FT'$		$T' \rightarrow \epsilon$	$T' \rightarrow \epsilon$
F	$F \rightarrow \mathbf{id}$			$F \rightarrow (E)$		

**G[E]:**

$E \rightarrow TE'$

$E' \rightarrow +TE' \mid \epsilon$

$T \rightarrow FT'$

$T' \rightarrow *FT' \mid \epsilon$

$F \rightarrow (E) \mid \mathbf{id}$

# Use the Parse Table [使用表进行预测分析]



- recognize “id + id \* id”

Input Buffer

		id	+	id	*	id	\$
--	--	----	---	----	---	----	----

Stack

T'
E'
\$

Predictive Parsing Program

	id	+	*	(	)	\$
E	$E \rightarrow TE'$			$E \rightarrow TE'$		
E'		$E' \rightarrow +TE'$			$E' \rightarrow \epsilon$	$E' \rightarrow \epsilon$
T	$T \rightarrow FT'$			$T \rightarrow FT'$		
T'		$T' \rightarrow \epsilon$	$T' \rightarrow *FT'$		$T' \rightarrow \epsilon$	$T' \rightarrow \epsilon$
F	$F \rightarrow id$			$F \rightarrow (E)$		

G[E]:

$E \rightarrow TE'$

$E' \rightarrow +TE' \mid \epsilon$

$T \rightarrow FT'$

$T' \rightarrow *FT' \mid \epsilon$

$F \rightarrow (E) \mid id$

# Use the Parse Table [使用表进行预测分析]



- recognize “id + id \* id”

Input Buffer

		id	+	id	*	id	\$
--	--	----	---	----	---	----	----

Stack

E'
\$

Predictive Parsing Program

	id	+	*	(	)	\$
E	$E \rightarrow TE'$			$E \rightarrow TE'$		
E'		$E' \rightarrow +TE'$			$E' \rightarrow \epsilon$	$E' \rightarrow \epsilon$
T	$T \rightarrow FT'$			$T \rightarrow FT'$		
T'		$T' \rightarrow \epsilon$	$T' \rightarrow *FT'$		$T' \rightarrow \epsilon$	$T' \rightarrow \epsilon$
F	$F \rightarrow id$			$F \rightarrow (E)$		

G[E]:

$E \rightarrow TE'$

$E' \rightarrow +TE' \mid \epsilon$

$T \rightarrow FT'$

$T' \rightarrow *FT' \mid \epsilon$

$F \rightarrow (E) \mid id$

# Use the Parse Table [使用表进行预测分析]



- recognize “id + id \* id”

Input Buffer

		id	+	id	*	id	\$
--	--	----	---	----	---	----	----

Stack

\$

Predictive Parsing  
Program

	id	+	*	(	)	\$
E	$E \rightarrow TE'$			$E \rightarrow TE'$		
E'		$E' \rightarrow +TE'$			$E' \rightarrow \epsilon$	$E' \rightarrow \epsilon$
T	$T \rightarrow FT'$			$T \rightarrow FT'$		
T'		$T' \rightarrow \epsilon$	$T' \rightarrow *FT'$		$T' \rightarrow \epsilon$	$T' \rightarrow \epsilon$
F	$F \rightarrow id$			$F \rightarrow (E)$		

ACCEPT!!

G[E]:

$E \rightarrow TE'$

$E' \rightarrow +TE' \mid \epsilon$

$T \rightarrow FT'$

$T' \rightarrow *FT' \mid \epsilon$

$F \rightarrow (E) \mid id$

# Use the Parse Table [使用表进行预测分析]



Matched	Stack	Input	Action
	E\$	id + id * id\$	$E \rightarrow TE'$
	TE'\$	id + id * id\$	$T \rightarrow FT'$
	FT'E'\$	id + id * id\$	$F \rightarrow id$
	idT'E'\$	id + id * id\$	match id
id	T'E'\$	+ id * id\$	$T' \rightarrow \epsilon$
id	E'\$	+ id * id\$	$E' \rightarrow +TE'$
id	+TE'\$	+ id * id\$	match +
id +	TE'\$	id * id\$	$T \rightarrow FT'$
id +	FT' E'\$	id * id\$	$F \rightarrow id$
id +	idT' E'\$	id * id\$	match id
id + id	T' E'\$	* id\$	$T' \rightarrow *FT'$
id + id	*FT'E'\$	* id\$	match *

Matched	Stack	Input	Action
id + id *	FT'E'\$	id\$	$F \rightarrow id$
id + id *	idT'E'\$	id\$	match id
id + id * id	T'E'\$	\$	$T' \rightarrow \epsilon$
id + id * id	E'\$	\$	$E' \rightarrow \epsilon$
id + id * id	\$	\$	ACCEPT

The parser mimics a leftmost derivation.

**How to construct  
LL(1) Parse Table?**

# Construct LL(1) Parse Table [构建预测分析表]

- Use **FIRST** and **FOLLOW** sets for a predictive parsing table  $M[A, a]$ , and the algorithm is based on the following idea:
  - ◆ The production  $A \rightarrow \alpha$  is chosen if the next input symbol  $a$  is in  $\text{FIRST}(\alpha)$ .
  - ◆ The only complication occurs when  $\alpha = \epsilon$  or, more generally,  $\alpha \xRightarrow{*} \epsilon$ :
    - we should again choose  $A \rightarrow \alpha$ , if (1)  $a$  is in  $\text{FOLLOW}(A)$  or (2) the  $\$$  on the input has been reached and  $\$$  is in  $\text{FOLLOW}(A)$ .



# Construct LL(1) Parse Table [构建预测分析表]

• **Algorithm**: For each production  $A \rightarrow \alpha$  of the grammar:

◆ For each terminal  $a \in \text{FIRST}(\alpha)$ , add  $A \rightarrow \alpha$  to  $M[A, a]$ .

$G[E]$ :  $E \rightarrow TE'$     $E' \rightarrow +TE' \mid \epsilon$     $T \rightarrow FT'$     $T' \rightarrow *FT' \mid \epsilon$     $F \rightarrow (E) \mid id$

	id	+	*	(	)	\$
E	$E \rightarrow TE'$			$E \rightarrow TE'$		
E'		$E' \rightarrow +TE'$				
T	$T \rightarrow FT'$			$T \rightarrow FT'$		
T'			$T' \rightarrow *FT'$			
F	$F \rightarrow id$			$F \rightarrow (E)$		

Production	FIRST( $\alpha$ )
$E \rightarrow TE'$	(, id
$E' \rightarrow +TE'$	+
$T \rightarrow FT'$	(, id
$T' \rightarrow *FT'$	*
$F \rightarrow (E)$	(
$F \rightarrow id$	id
$E' \rightarrow \epsilon$	FOLLOW
$T' \rightarrow \epsilon$	FOLLOW

# Construct LL(1) Parse Table [构建预测分析表]

- **Algorithm**: For each production  $A \rightarrow \alpha$  of the grammar:
  - ◆ If  $\epsilon \in \text{FIRST}(\alpha)$ , then for each terminal  $b$  in  $\text{FOLLOW}(A)$ , add  $A \rightarrow \alpha$  to  $M[A, b]$ . If  $\epsilon \in \text{FIRST}(\alpha)$  and  $\$ \in \text{FOLLOW}(A)$ , add  $A \rightarrow \alpha$  to  $M[A, \$]$  as well.

$G[E]: E \rightarrow TE' \quad E' \rightarrow +TE' \mid \epsilon \quad T \rightarrow FT' \quad T' \rightarrow *FT' \mid \epsilon \quad F \rightarrow (E) \mid \text{id}$

	id	+	*	(	)	\$
E	$E \rightarrow TE'$			$E \rightarrow TE'$		
E'		$E' \rightarrow +TE'$			$E' \rightarrow \epsilon$	$E' \rightarrow \epsilon$
T	$T \rightarrow FT'$			$T \rightarrow FT'$		
T'		$T' \rightarrow \epsilon$	$T' \rightarrow *FT'$		$T' \rightarrow \epsilon$	$T' \rightarrow \epsilon$
F	$F \rightarrow \text{id}$			$F \rightarrow (E)$		

Production	$\text{FIRST}(\alpha)$
$E' \rightarrow \epsilon$	FOLLOW
$T' \rightarrow \epsilon$	FOLLOW
Symbol	$\text{FOLLOW}(A)$
E'	\$, )
T'	+, \$, )

# Construct LL(1) Parse Table [构建预测分析表]

**G[E]:**

$E \rightarrow TE'$

$E' \rightarrow +TE' \mid \varepsilon$

$T \rightarrow FT'$

$T' \rightarrow *FT' \mid \varepsilon$

$F \rightarrow (E) \mid \text{id}$

Symbol	FIRST	FOLLOW
<b>E</b>	(, i	\$, )
<b>E'</b>	+, $\varepsilon$	\$, )
<b>T</b>	(, i	+, \$, )
<b>T'</b>	*, $\varepsilon$	+, \$, )
<b>F</b>	(, i	*, +, \$, )

	id	+	*	(	)	\$
E	$E \rightarrow TE'$			$E \rightarrow TE'$		
E'		$E' \rightarrow +TE'$			$E' \rightarrow \varepsilon$	$E' \rightarrow \varepsilon$
T	$T \rightarrow FT'$			$T \rightarrow FT'$		
T'		$T' \rightarrow \varepsilon$	$T' \rightarrow *FT'$		$T' \rightarrow \varepsilon$	$T' \rightarrow \varepsilon$
F	$F \rightarrow \text{id}$			$F \rightarrow (E)$		

Production	FIRST( $\alpha$ )
$E \rightarrow TE'$	(, i
$E' \rightarrow +TE'$	+
$T \rightarrow FT'$	(, i
$T' \rightarrow *FT'$	*
$F \rightarrow (E)$	(
$F \rightarrow \text{id}$	id
$E' \rightarrow \varepsilon$	FOLLOW
$T' \rightarrow \varepsilon$	FOLLOW

# Determine If Grammar is LL(1) [判断LL(1)文法]

- Observation [直观依据]

- ◆ If a grammar is LL(1), each of its LL(1) table entry **contains at most one rule**.
- ◆ Otherwise, it is not LL(1).

	id	+	*	(	)	\$
E	$E \rightarrow TE'$			$E \rightarrow TE'$		
E'		$E' \rightarrow +TE'$			$E' \rightarrow \epsilon$	$E' \rightarrow \epsilon$
T	$T \rightarrow FT'$			$T \rightarrow FT'$		
T'		$T' \rightarrow \epsilon$	$T' \rightarrow *FT'$		$T' \rightarrow \epsilon$	$T' \rightarrow \epsilon$
F	$F \rightarrow \text{id}$			$F \rightarrow (E)$		

- Two methods to determine if a grammar is LL(1) or not
  - ◆ Construct LL(1) table, and check if there is a multi-rule entry.
  - ◆ Check each rule as if the table is getting constructed, grammar G is LL(1) if and only if for any two distinct productions  $A \rightarrow \alpha | \beta$ :
    - ◆  $\text{FIRST}(\alpha) \cap \text{FIRST}(\beta) = \phi$
    - ◆ If  $\epsilon \in \text{FIRST}(\beta)$ ,  $\text{FIRST}(\alpha) \cap \text{FOLLOW}(A) = \phi$  (Mentioned before)

# Non-LL(1) Grammar [非LL(1)文法]

- Assume that a grammar is not LL(1). How to solve?
  - ◆ Case1- the language may still be LL(1)
    - Try to **rewrite grammar** to LL(1) grammar [remove left-recursion & left-factoring]
    - Try to **remove ambiguity** in grammar.
  - ◆ Case2- If Case-1 fails, language may not be LL(1)
    - It's impossible to resolve conflict at the grammar level.
    - Programmer chooses which rule to use for conflicting entry (if choosing that rule is always semantically correct)
    - Otherwise, use a more powerful parser (e.g. LL(k), LR(1))

# LL(1) Time and Space Complexity[复杂度]

- **Linear** time and space relative to the length of input.
- **Time:** each input symbol is consumed within a constant number of steps.
  - ◆ If symbol at top of stack is a terminal: Matched immediately in **one step**.
  - ◆ If symbol at top of stack is a non-terminal:
    - Matched in at most  $N$  steps, where  $N$  = number of rules.
    - Since LL(1) is not left-recursive, we do not apply same rule twice without consuming input.

# LL(1) Time and Space Complexity[复杂度]

- **Space:** smaller than input (after removing  $X \rightarrow \epsilon$ )
  - ◆ Right side of production is always longer or equal to left side of production
    - Derivation string **expands monotonically**.
    - Derivation string is always **shorter than final input string**.
  - ◆ Stack is a subset of derivation string (unmatched portion)
- LL(k)'s size of parse table =  $O(|N| * |T|^k)$  [prevent LL(2) ... LL(k) from wide usage]
  - ◆ N = number of non-terminals, T = number of terminals

# Summary



- Top-down Parsing; RDP with backtracking, predictive parsing.
- (Immediate / Non-immediate) Left Recursion and how to resolve
- Left-factoring.
- FIRST, FOLLOW.
- LL(1)/LL(k) Grammar.
- Recursive / Non-recursive LL(1) parser implementation.
- The use of LL(1) Parse Table.
- The construction of LL(1) Parse Table.



# Summary [自顶向下分析]

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- RDP [递归下降分析]

- left recursion [左递归]
  - Remove Left Recursion [消除直接/间接左递归]
- Backtracking [回溯]
  - left factoring [提取左公因子]

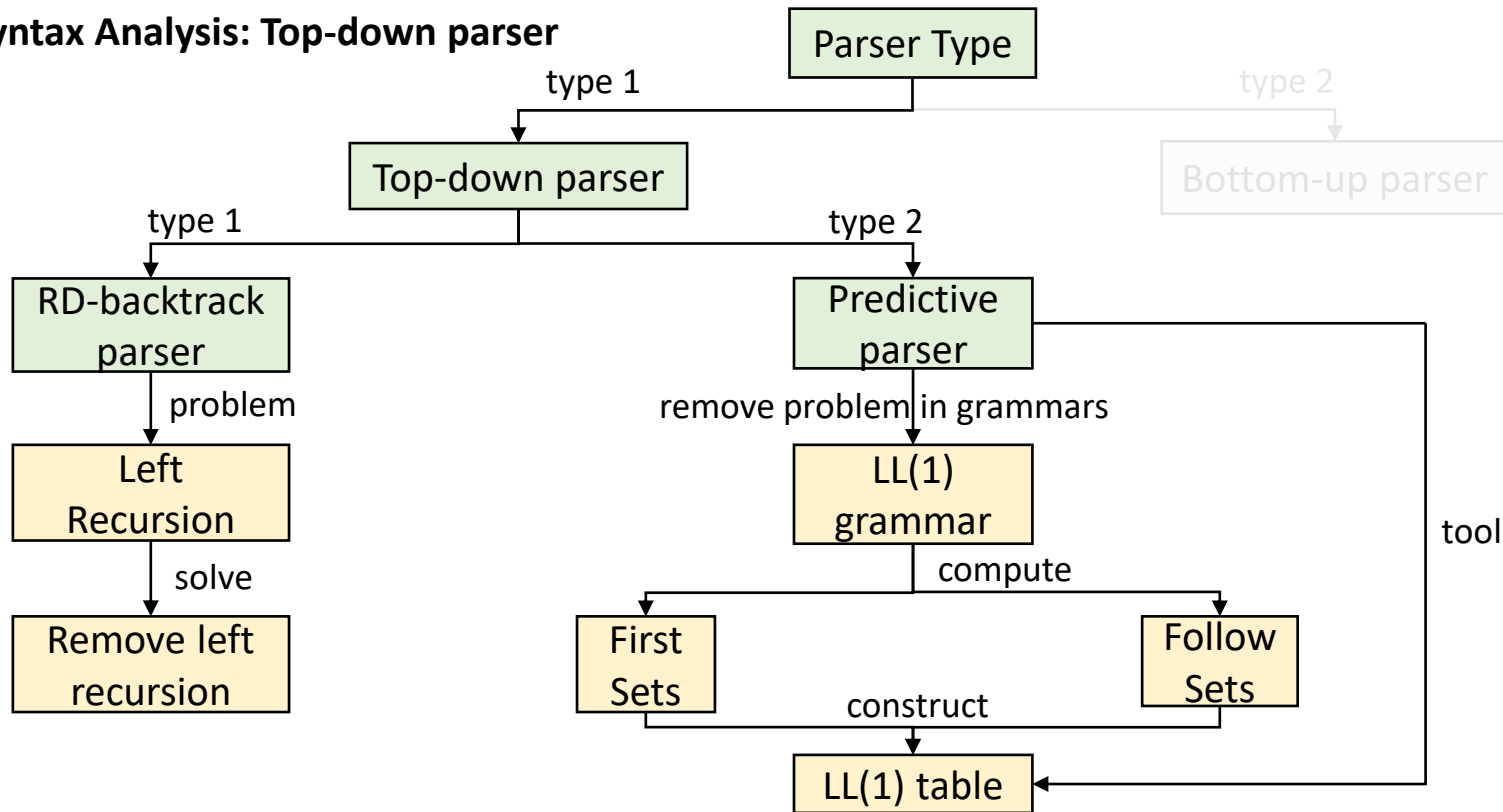
- Predictive Parsing [预测分析]

- FIRST & FOLLOW [终结首符集 & 后继终结符号集]
- Definition of LL(1)/LL(k) [LL(1)/LL(k)文法的定义]
- LL(1) Parse Table [LL(1)的分析表]
  - The use of the Parse Table [分析表的使用]
  - The Construction of the Parse Table [分析表的构建]
- Table-driven LL(1) Parser Implementation [分析表驱动的LL(1)语法分析实现]
- Complexity of LL(1) [LL(1)的时间与空间复杂度]

# Mind Map[思维导图]



## Syntax Analysis: Top-down parser



# Further Reading



- Dragon Book

- ◆ Comprehensive Reading:

- Section 4.1.2 and 4.4.1 for the introduction to top-down parsing.
    - Section 4.4.2 for function FIRST and FOLLOW.
    - Section 4.1.4, 4.4.3–4.4.4, and 4.4.5 for LL(1) parsing and error recovery.

- ◆ Skip Reading:

- Section 4.1.3–4.1.4 for error recovery in top-down parsing.
    - Section 4.2.7 for differences between CFGs and regular expressions.

