



编译原理

Compiler Principles

Lecture 4

Syntax Analysis: Top-Down

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Before we start...

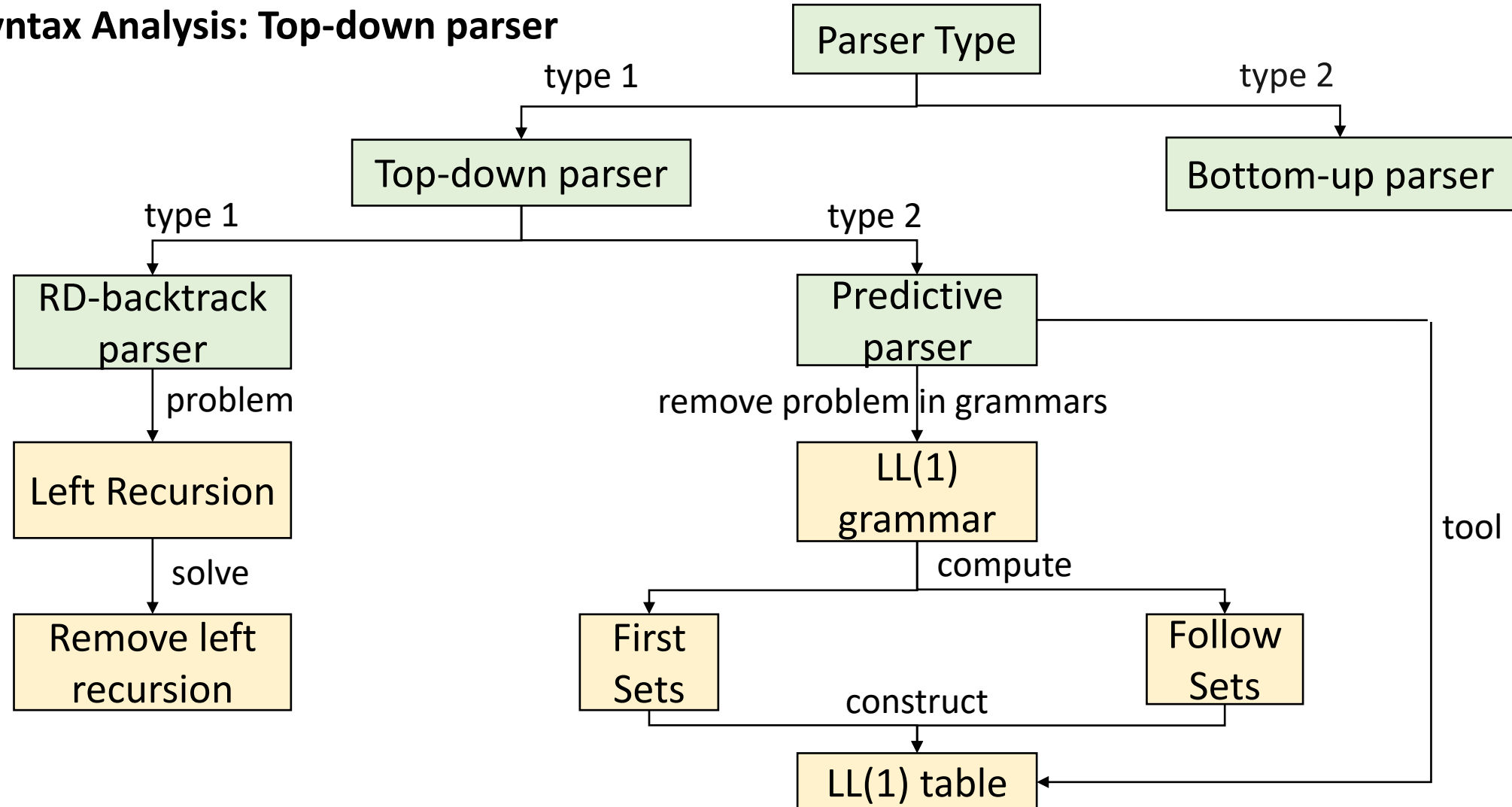


So, please pay attention and catch up!

Mind Map[思维导图]



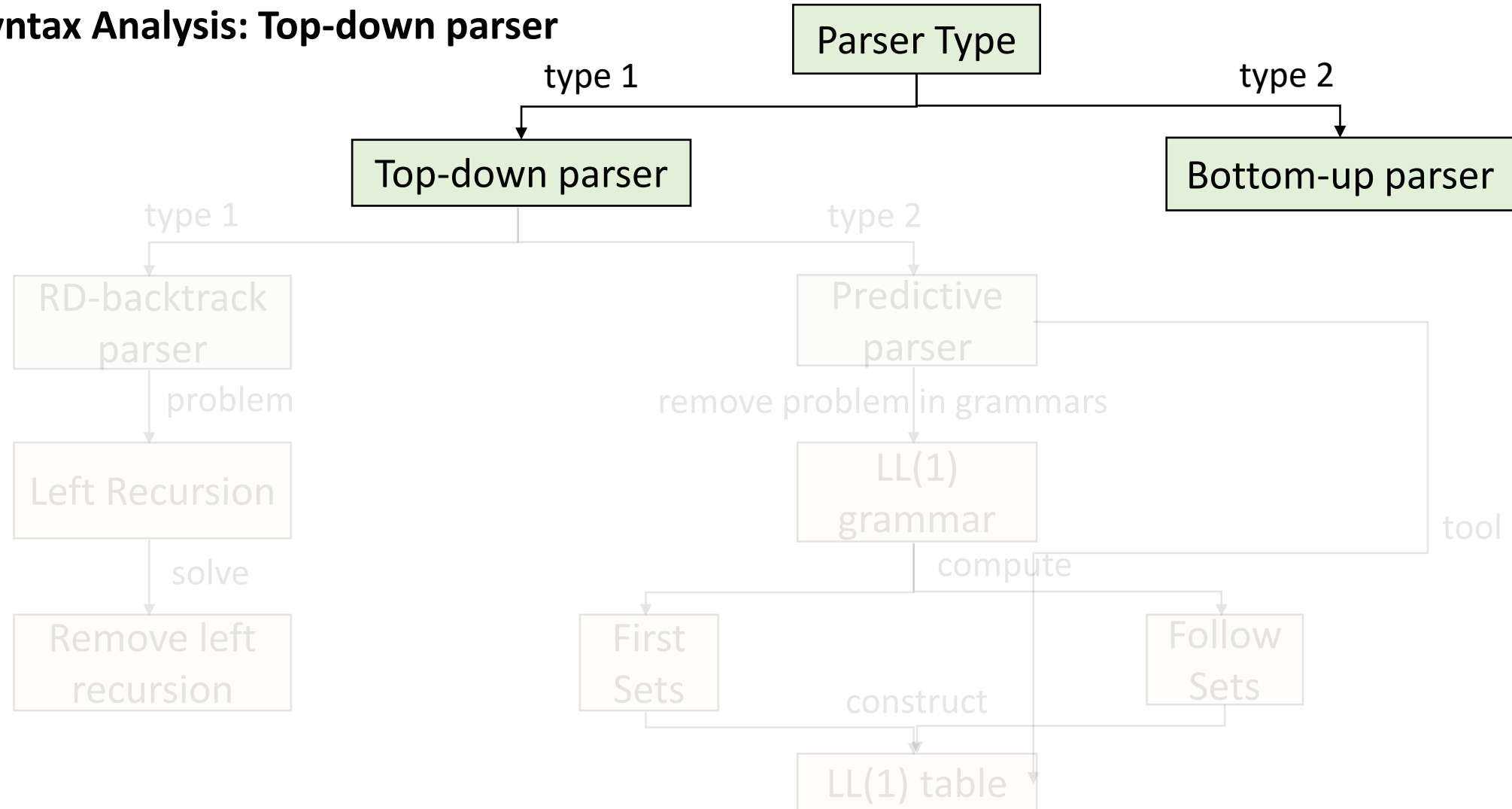
Syntax Analysis: Top-down parser



Mind Map[思维导图]



Syntax Analysis: Top-down parser



Parser Type[语法分析类型]



- Most compilers use either **Top-Down** or **Bottom-Up** parsers.
- Bottom-up parsing [自底向上分析]
 - ◆ Begin at the **leaves** (the bottom) and working up towards the **root** (the top).
 - ◆ Tries to reduce[规约] **the input string** to **the start symbol**.
 - ◆ Finds reverse order of the rightmost derivation[最右推导的逆过程即最左规约]
规范推导 规范规约
 - ◆ Parser code structure nothing like grammar.
 - Very difficult to implement manually.
 - Automated tools exist to convert to code (e.g., Yacc, Bison).

Parser Type[语法分析类型]



◆Example

◆ Grammar $G(S)$: $S \rightarrow AB$; $A \rightarrow aA \mid a$; $B \rightarrow bB \mid b$;

◆ **Language?** $L(G) = \{a^m b^n \mid m, n \geq 1\}$

◆ Sentence: aabb;

$S \Rightarrow AB$

$\Rightarrow AbB$

$\Rightarrow Abb$

$\Rightarrow aAbb$

$\Rightarrow aabb$

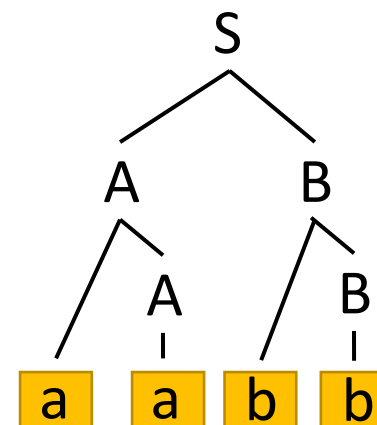
(5) B reduce to S .

(4) bB reduce to B .

(3) 2nd b reduce to B .

(2) aA reduce to A .

(1) 2nd a reduce to A .



Reverse of rightmost derivation!

Parser Type[语法分析类型]



- Top-Down parsing [自顶向下分析]

- ◆ Starting from the **root** (top) and create the leaves (down) of the parse tree in a pre-defined order(depth-first)[深度优先,先根次序/前序].
- ◆ Top-down parsing can be viewed as **finding a leftmost derivation**[寻求最左推导] for an input string. *Why not rightmost or arbitrary derivation?*
- ◆ **Review:** In each step of derivation, the following choices need to be made:
 - Choice of the non-terminal to be replaced. [替换哪个非终结符] **Leftmost!**
 - Choice of the production to be applied for a non-terminal. [使用文法中哪个规则来替换] **Key Problem!**
- ◆ **Question:** At each step of a top-down parse, What is the key problem?

- Top-Down parsing [自顶向下分析]
 - ◆ Once a production is chosen, we try to match the terminal symbols in the production body with the input string.
 - ◆ Parser code structure closely mimics grammar.
 - Manually implementation is feasible.
 - Automated tools exist to convert to code. (e.g. ANTLR)
- Top-Down **vs.** Bottom-Up [对比]
 - ◆ Top-down: easier to understand and implement manually. (E.g. ANTLR)
 - ◆ Bottom-up: more powerful, can be implemented automatically. (E.g. YACC/Bison)

Parser Type[语法分析类型]



◆Example

◆ Grammar $G(S)$: $S \rightarrow AB$; $A \rightarrow aA \mid a$; $B \rightarrow bB \mid b$;

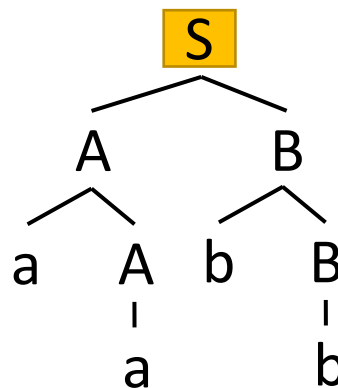
◆ Sentence: aabb;

$S \Rightarrow AB$ (1)
 $\Rightarrow aAB$ (2)
 $\Rightarrow aaB$ (3)
 $\Rightarrow aabB$ (4)
 $\Rightarrow aabb$ (5)



Leftmost derivation

Top-Down



$S \Rightarrow AB$ (5) B reduce to S .
 $\Rightarrow AbB$ (4) bB reduce to B .
 $\Rightarrow Abb$ (3) 2nd b reduce to B .
 $\Rightarrow aAbb$ (2) aA reduce to A .
 $\Rightarrow aabb$ (1) 2nd a reduce to A .

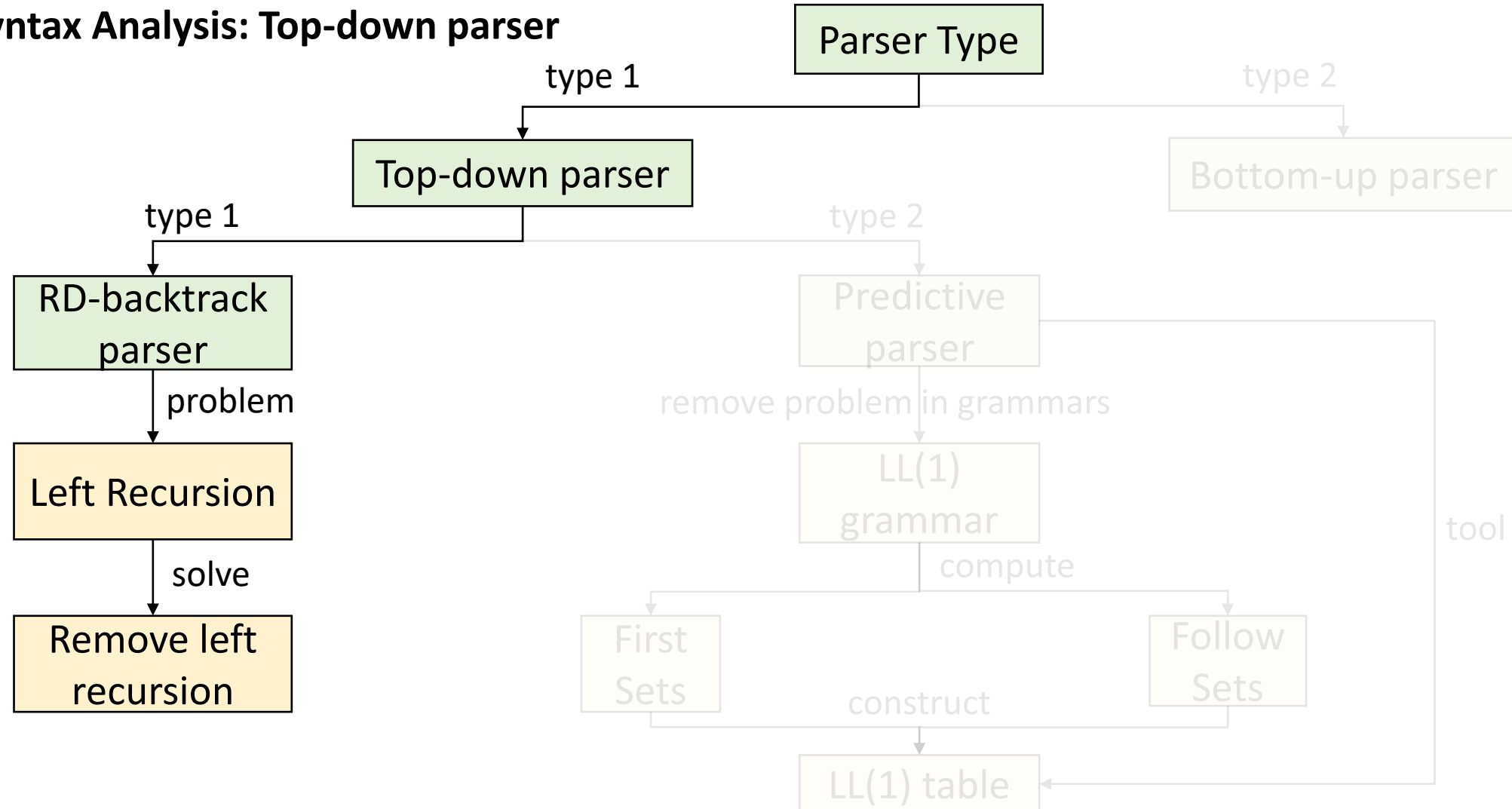
Leftmost reduction

Bottom-Up

Mind Map[思维导图]



Syntax Analysis: Top-down parser



Top-down Parsing[自顶向下分析]



- **Recursive-descent parsing**[RDP, 递归下降语法分析]

- ◆ A general form[通用形式] of top-down parsing.
- ◆ A recursive-descent parsing program consists of a set of procedures, one for each non-terminal.
- ◆ Execution begins with the procedure for the start symbol, which halts and announces success if its procedure body scans the entire input string.

```
void A() {  
1)   Choose an A-production,  $A \rightarrow X_1 X_2 \cdots X_k$ ;  
2)   for (  $i = 1$  to  $k$  ) {  
3)       if (  $X_i$  is a nonterminal )  
4)           call procedure  $X_i()$ ;  
5)       else if (  $X_i$  equals the current input symbol  $a$  )  
6)           advance the input to the next symbol;  
7)       else /* an error has occurred */;  
   }  
}
```

How to choose A-production?

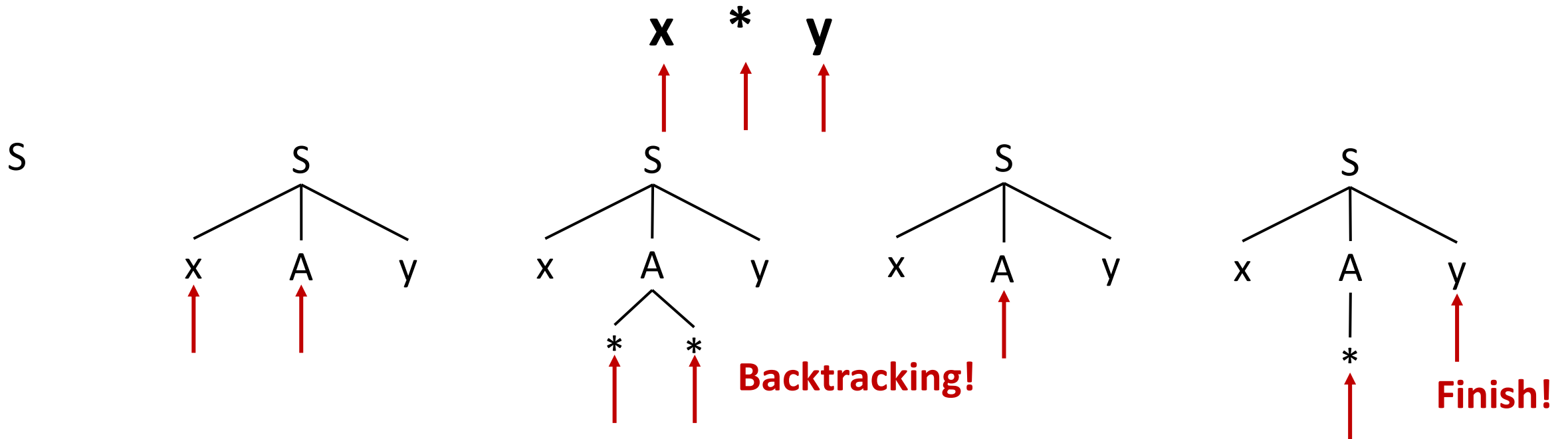
It's not specified, so the pseudocode is nondeterministic[伪代码是不确定的].

RDP with backtracking[回溯]

- RDP may require *backtracking*.
- **Approach:** for a non-terminal in the derivation, productions are tried in some order until
 - ◆ A production is found that generates a portion of the input, or
 - ◆ No production is found that generates a portion of the input, in which case backtrack to previous non-terminal.
- Terminals of the derivation are compared against input
 - ◆ Match: advance input, continue parsing
 - ◆ Mismatch: backtrack, or fail
- Parsing fails if no derivation generates the entire input.

RDP with backtracking[回溯]

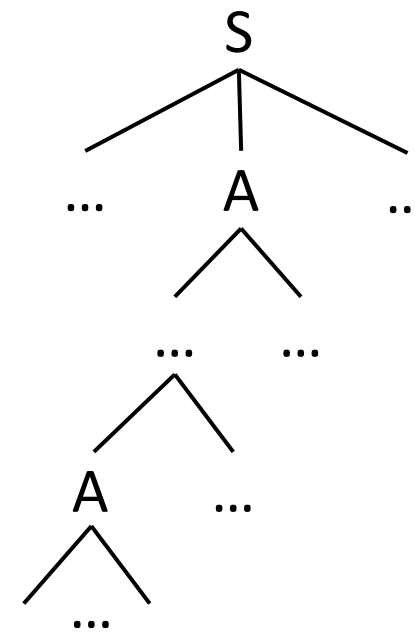
- In the analysis process, when a non-terminal is successfully matched with an alternative, **the match may be temporary.**
- If a mismatch occurs, backtracking[回溯] will be performed.
- $G[S]: S \rightarrow xAy; A \rightarrow ** \mid *$, whether the input string $x * y$ is its sentence?



Left Recursion Problem[左递归问题]



- A grammar is left recursive[左递归] if it has a non-terminal **A** such that there is a derivation $A \xRightarrow{+} A\alpha$.
- Sentence can grow infinitely without consuming input.(Into an infinite loop!)
- Top-down parsing methods cannot handle left-recursive problems.[自顶向下语法分析方法不能处理左递归的文法]



Left Recursion Problem[左递归问题]



- **Immediate** left recursion [直接/立即左递归]
 - ◆ There is a production $A \rightarrow A\alpha$.
- **Non-immediate** left recursion [间接/非立即左递归]
 - ◆ Left recursion involving derivation of 2+ step.
 - ◆ $A \rightarrow B\beta; B \rightarrow A\alpha$.
- A transformation is needed to eliminate left recursion. [需要一个转换方法来消除左递归]
- Rewrite the grammar so that it is right recursive. [改为右递归]

Remove Left Recursion[消除左递归]



- Immediate left recursion[直接左递归的消除]
 - ◆ Grammar: $A \rightarrow A\alpha \mid \beta$ ($\alpha \neq \beta$, β doesn't start with A)
 - ◆ rewrite the rule of A as the following form equivalently:
 - ◆ Grammar: $A \rightarrow \beta A'; A' \rightarrow \alpha A' \mid \varepsilon$ (right recursion)

$G[A]: A \rightarrow A\alpha \mid \beta$

$A \Rightarrow A\alpha$

$\Rightarrow A\alpha\alpha$

$\Rightarrow A\alpha\alpha\alpha$

.....

$\Rightarrow A\alpha...\alpha$

$\Rightarrow \beta\alpha...\alpha$

Remove Left Recursion



$G[A]: A \rightarrow \beta A'$

$A' \rightarrow \alpha A' \mid \varepsilon$

$A \Rightarrow \beta A'$

$\Rightarrow \beta\alpha A'$

$\Rightarrow \beta\alpha\alpha A'$

.....

$\Rightarrow \beta\alpha...\alpha A'$

$\Rightarrow \beta\alpha...\alpha$

Remove Left Recursion[消除左递归]



- Immediate left recursion can be eliminated by the following technique, which works for any number of A-productions.

◆ First, group the productions as

$$A \rightarrow A\alpha_1 \mid A\alpha_2 \mid \dots \mid A\alpha_m \mid \beta_1 \mid \beta_2 \dots \mid \beta_n \text{ where no } \beta_i \text{ begins with an } A.$$

◆ Then, replace the A-productions by

$$A \rightarrow \beta_1 A' \mid \beta_2 A' \mid \dots \mid \beta_n A' \text{ AND } A' \rightarrow \alpha_1 A' \mid \alpha_2 A' \mid \dots \mid \alpha_m A' \mid \epsilon$$

- Exercise-Remove Immediate left recursion.

◆ $G_1[E]: E \rightarrow \boxed{E+T}^{\alpha} \boxed{T}^{\beta};$

$T \rightarrow \boxed{T^*F}^{\alpha} \boxed{F}^{\beta};$

$F \rightarrow (E) \mid i.$

◆ $G_2[E]: E \rightarrow TE'; E' \rightarrow +TE' \mid \epsilon;$

$T \rightarrow FT'; T' \rightarrow *FT' \mid \epsilon;$

$F \rightarrow (E) \mid i.$

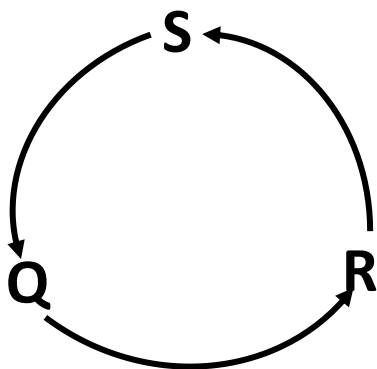
Remove Left Recursion[消除左递归]



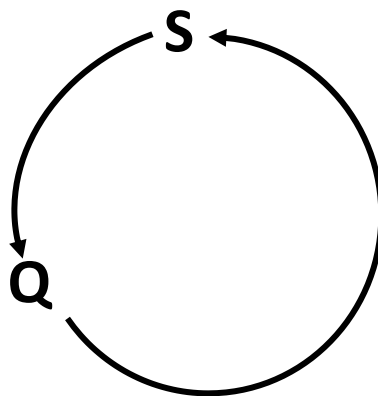
- Non-Immediate left recursion[非直接左递归的消除]

◆ Grammar: $S \rightarrow Qc \mid c$; $Q \rightarrow Rb \mid b$; $R \rightarrow Sa \mid a$.

◆ Although there is no immediate left recursion, **S , Q and R are all left recursion.**

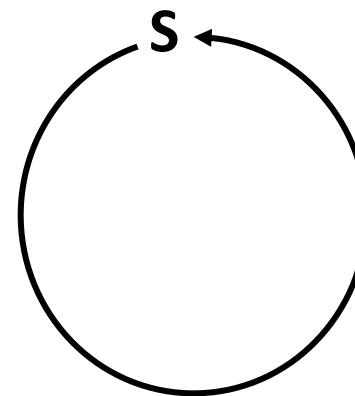


$S \rightarrow Qc \mid c$
 $Q \rightarrow Rb \mid b$
 $R \rightarrow Sa \mid a$



$S \rightarrow Qc \mid c$
 $Q \rightarrow Sab \mid ab \mid b$
 $R \rightarrow Sa \mid a$

Left Recursion!



$S \rightarrow Sabc \mid abc \mid bc \mid c$
 $Q \rightarrow Sab \mid ab \mid b$
 $R \rightarrow Sa \mid a$

Remove Left Recursion[消除左递归]



- The following algorithm systematically eliminates left recursion from a grammar[直接/间接]. It is guaranteed to work if:
 - ◆ the grammar has no cycles (derivations of the form $A \xRightarrow{+} A$)
 - ◆ the grammar has no ϵ -productions (productions of the form $A \rightarrow \epsilon$).
 - ◆ These two can be eliminated systematically from a grammar.
- **Algorithm:** Eliminating left recursion.
 - ◆ **INPUT:** Grammar G with no cycles or ϵ -productions.
 - ◆ **OUTPUT:** An equivalent grammar with no left recursion.
 - ◆ **METHOD:** Apply the following 3 steps to G . Note that the resulting non-left-recursive grammar may have ϵ -productions.

Remove Left Recursion[消除左递归]



- ◆ **Step 1** : Arrange all non-terminals of grammar G **in some order** A_1, A_2, \dots, A_n ;
- ◆ **Step 2** : Execute in order obtained in Step 1:
 - FOR $i:=1$ TO n DO
 - FOR $j:=1$ TO **$i-1$** DO
 - Replace each production of the form $A_i \rightarrow A_j \gamma$ by the productions $A_i \rightarrow \delta_1 \gamma \mid \delta_2 \gamma \mid \dots \delta_k \gamma$, where $A_j \rightarrow \delta_1 \mid \delta_2 \mid \dots \delta_k$ are all current A_j -productions;
 - eliminate the immediate left recursion among the A_i -productions;**
 - END
- END
- ◆ **Step 3** : Simplify the grammar obtained from Step 2 --- remove the production rules of non-terminal that can never be reached from the start symbol.

Remove Left Recursion[消除左递归]



- **Example:** Consider Grammar $G(S)$: (**ILR = immediate left recursion**)

$$R \rightarrow Sa \mid a$$

$$Q \rightarrow Rb \mid b$$

$$S \rightarrow Qc \mid c$$

- **Step 1** : non-terminal order: **R,Q,S**; (A_1, A_2, A_3)

- **Step 2** : ($i=1$) For R, there is no **ILR**;

($i=2, j=1$) Replace production $Q \rightarrow Rb$ by the productions $R \rightarrow Sa \mid a$, which generates $Q \rightarrow Sab \mid ab \mid b$; **not ILR**

($i=3, j=1$) No operations.

($i=3, j=2$) Replace production $S \rightarrow Qc$ by the productions $Q \rightarrow Sab \mid ab \mid b$, which generates $S \rightarrow Sabc \mid abc \mid bc \mid c$; **contain ILR**;

(continue...)

Remove Left Recursion[消除左递归]



(continue...)

$(i=3, j=2) S \rightarrow \overset{(\alpha)}{S}abc \mid \overset{(\beta)}{abc} \mid bc \mid c$ contains ILR.

Eliminate ILR about S:

$$\begin{array}{ll} S \rightarrow abcS' \mid bcS' \mid cS' & S' \rightarrow abcS' \mid \varepsilon \\ Q \rightarrow Sab \mid ab \mid b & R \rightarrow Sa \mid a \end{array}$$

- **Step 3** : Simplify the grammar and get **Final Grammar**:

$$S \rightarrow abcS' \mid bcS' \mid cS' \quad S' \rightarrow abcS' \mid \varepsilon$$

(Q&R's production is included by S)

Remove Left Recursion[消除左递归]



- **Question:** What will happen if the order in step 1 is different
- Again, consider $G(S): S \rightarrow Qc \mid c \quad Q \rightarrow Rb \mid b \quad R \rightarrow Sa \mid a$
- **Exercise:** If the order in step 1 is **S,Q,R**, the final grammar without ILR is?
(was R, Q, S in the previous example)

$S \rightarrow Qc \mid c$

$Q \rightarrow Rb \mid b$

$R \rightarrow bcaR' \mid caR' \mid aR'$

$R' \rightarrow bcaR' \mid \epsilon$

S,Q,R

equivalent



$S \rightarrow abcS' \mid bcS' \mid cS' S'$

$\rightarrow abcS' \mid \epsilon$

R,Q,S

- Different order in Step1 may cause the final grammar different in form[可能在形式上不同], but it is not difficult to prove that they are equivalent.

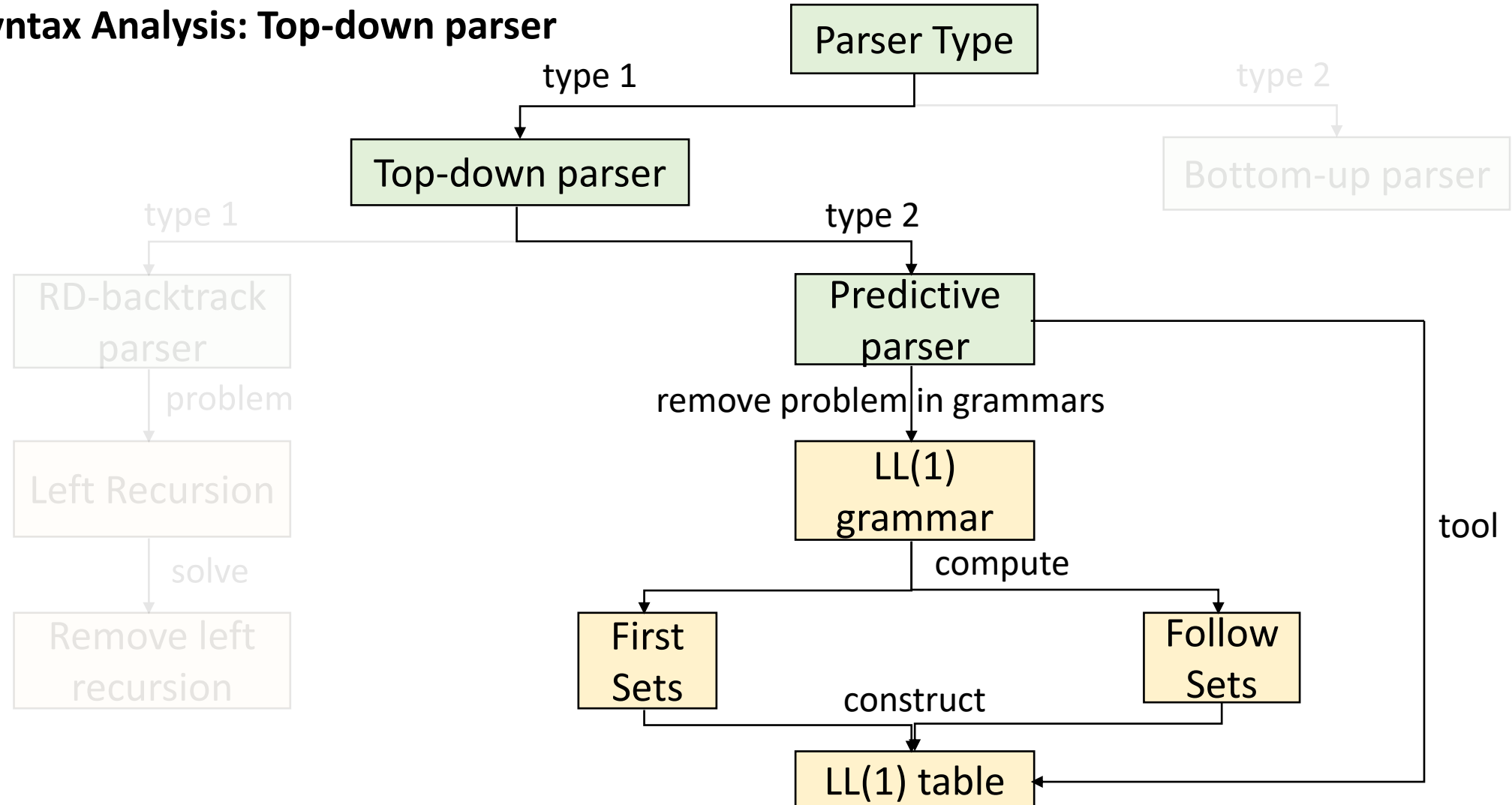
Recursive-descent parsing[递归下降语法分析]

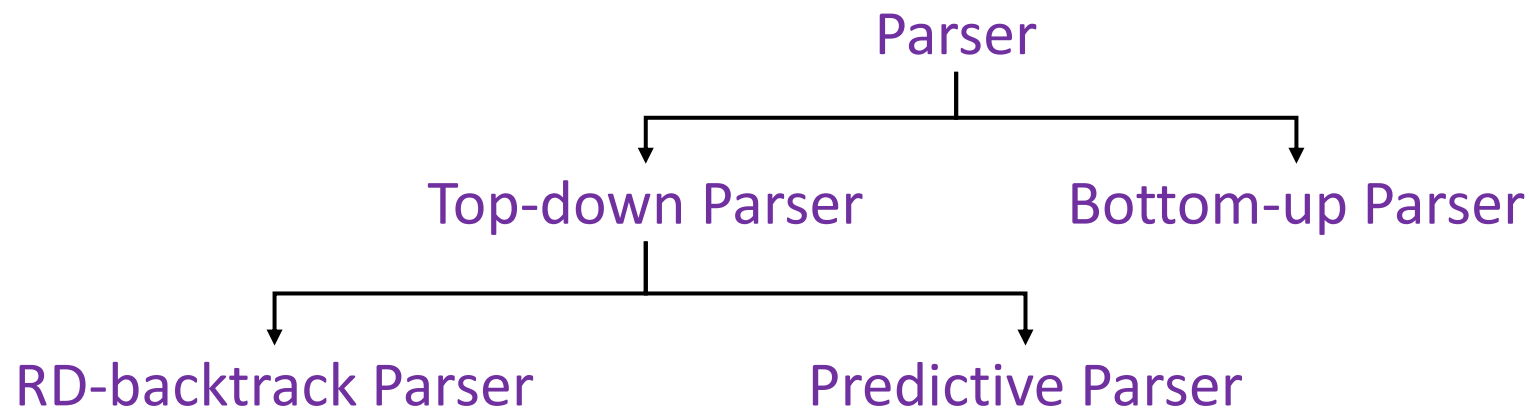
- **Recursive-descent parsing** [RDP, 递归下降语法分析]
 - ◆ A general form of top-down parsing.
 - ◆ RDP is a simple and general parsing strategy.
 - ▣ **Left-recursion must be eliminated first.**(Can be eliminated automatically using some algorithm)
 - ◆ However it is not popular because of **backtracking**.
 - ▣ Backtracking requires re-parsing the same string.
 - ▣ Tried to solve problem in any possible ways[穷尽一切可能的试探法] which is inefficient.(can take exponential time)
 - ▣ Also removing already added nodes in parse tree is troublesome.

Mind Map[思维导图]



Syntax Analysis: Top-down parser





- **Predictive Parsing**[预测分析法]

- ◆ A special case of recursive-descent parsing **without backtracking**[无回溯].
- ◆ Predictive parsing chooses the correct production by looking ahead at the input a fixed number of symbols, typically we may look only at one.(that is ,the next input symbol)
- ◆ Restrictions on the grammar to avoid backtracking.[LL(k)]

- **A parser with no backtracking** [无回溯]: select the correct alternative through given next input terminal(s)[下一个输入符号/终结符]
- A predictive parser chooses the production to apply solely on the basis of [选取产生式的依据]
 - ◆ Next input symbol(s).
 - ◆ Current non-terminal being processed.

$G(S): S \rightarrow aBD \mid bBB; \quad B \rightarrow c \mid bce; \quad D \rightarrow d$
parsing input “**abced**” requires no backtracking

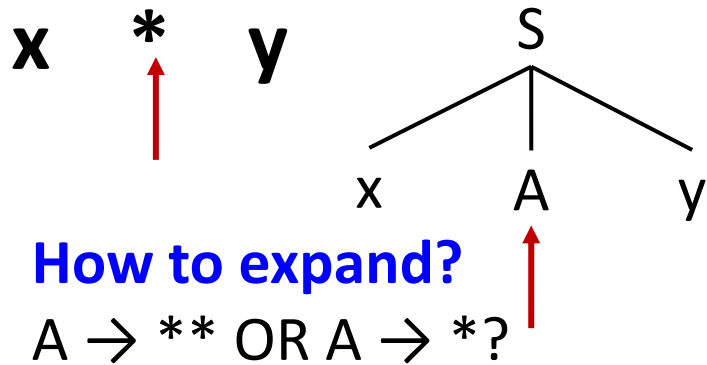
What if $S \rightarrow aBD \mid aBB$ **Common Prefix**[共同前缀]

Given input terminal(s) a , cannot choose between two rules.

Common Prefix[共同前綴]



- $G[S]: S \rightarrow xAy; A \rightarrow ** \mid *$, If the current matching symbol is $*$, the next step is to expand A , and $A \rightarrow \alpha_1 \mid \alpha_2 \mid \dots \mid \alpha_n$. How to choose α_i ?



- ◆ (1) If there is a unique α_i with $*$ as the head, replace using this unique α_i .
- ◆ (2) If there are multiple α_i with $*$ as the head, the substitution is not unique[替换是不唯一的], **backtracking** may happen.

- **Left factoring**[提取左公因子]: Rewrite the productions to **defer the decision** until enough of the input has been seen that we can make the right choice. [推后决定直至可选择]

Left factoring[提取公共左因子]



- For each non-terminal A , find the longest prefix α common to two or more of its alternatives. If $\alpha \neq \epsilon$, **replace** all of the A -productions $A \rightarrow \alpha\beta_1 \mid \alpha\beta_2 \mid \dots \mid \alpha\beta_n \mid \gamma$, where γ represents all alternatives that do not begin with α , by $A \rightarrow \alpha A' \mid \gamma; A' \rightarrow \beta_1 \mid \beta_2 \mid \dots \mid \beta_n$.
- Repeatedly apply this transformation until no two alternatives for a non-terminal have a common prefix.
- **Example:** $G[S]: S \rightarrow abc \mid abd \mid ae;$
 - ◆ **Step1:** $S \rightarrow aA'; A' \rightarrow bc \mid bd \mid e;$
 - ◆ **Step2:** $S \rightarrow aA'; A' \rightarrow bB' \mid e; B' \rightarrow c \mid d;$

- Patterns in grammars that prevent predictive parsing [并非总是能预测分析]:

- ◆ **Left Recursion Problem** [左递归问题]

- Lookahead symbol changes **only** when a terminal is matched.
- Q: How to solve? A: Remove Left Recursion.

- ◆ **Common Prefix**[共同前缀] —Cause **Backtracking Problem**.

- Q: How to solve? A: Left factoring.

- ◆ **Question:** After left factoring, Can we completely avoid the backtracking and do predictive parsing? **What if** $S \rightarrow EBD \mid FBB$; $E \rightarrow a....$; $F \rightarrow a...$

G(S): $S \rightarrow aBD \mid bBB$; $B \rightarrow c \mid bce$; $D \rightarrow d$ **FIRST && FOLLOW!**
parsing input “**abced**” requires no backtracking

- During top-down parsing, **FIRST** and **FOLLOW** allow us to choose which production to apply, based on the next input symbol.
- **FIRST(α)** [终结首符集] (α is any string of grammar symbols)
 - ◆ Define FIRST(α) to be the set of terminals that begin strings[串的终结首符的集合] derived from α . **FIRST(α)** = $\{a \mid \alpha \xRightarrow{*} a\dots, a \in V_T\}$
 - ◆ AND if $\alpha \Rightarrow \varepsilon$, then ε is also in FIRST(α).
- Consider two(or more) A-productions $A \rightarrow \alpha \mid \beta$, **where FIRST(α) and FIRST(β) are disjoint sets** [不相交的集合]. We can then choose between these A-productions by looking at the next input symbol a , **since a can be in at most one of FIRST(α) and FIRST(β), not both.**

- **Question:** And then, If for an input symbol a and the non-terminal A ($A \rightarrow \alpha_1 \mid \alpha_2 \mid \dots \mid \alpha_n$), **but for any α_i , $a \notin \text{FIRST}(\alpha_i)$** , in this case, how to choose α_i , or draw a conclusion of grammatical errors?
- **FOLLOW(A):** For nonterminal A , to be the set of terminals a that can appear immediately to the right of A in some sentential form; that is, $\text{FOLLOW}(A) = \{a \mid S \xRightarrow{*} \dots Aa\dots, a \in V_T\}$. [在某些句型中紧跟在A右边的终结符号的集合]
 - ◆ In addition, if A can be the rightmost symbol in some sentential form, then $\$$ is in $\text{FOLLOW}(A)$; recall that $\$$ is a special “endmarker”[结束标记] symbol that is assumed not to be a symbol of any grammar.

FOLLOW [后继终结符号集]



- **Question:** For an input symbol a and the non-terminal A ($A \rightarrow \alpha_1 \mid \alpha_2 \mid \dots \mid \alpha_n$), **but for any α_i , $a \notin \text{FIRST}(\alpha_i)$** , in this case, how to choose α_i , or draw a conclusion of grammatical errors?
- **Answer:** if $\varepsilon \in \text{FIRST}(\alpha_i)$, then when $a \in \text{FOLLOW}(A)$, select $A \rightarrow \alpha_i$, otherwise, grammatical error.
- **Example:** $G(S): S \rightarrow aA \mid d; \quad A \rightarrow bAS \mid \varepsilon.$ String : abd .

S abd
 $\Rightarrow aA$ abd
 $\Rightarrow abAS$ abd
 $\Rightarrow abS$ abd
 $\Rightarrow abd$

$\text{FIRST}(aA) = \{a\}$
 $\text{FIRST}(d) = \{d\}$
 $\text{FIRST}(bAS) = \{b\}$

**HOW TO compute
FIRST & FOLLOW?**

Compute FIRST [计算FIRST集合的方法]



- To compute $\text{FIRST}(X)$ for all grammar symbols X , **apply the following rules until no more terminals or ε can be added to any FIRST set:**
 - ◆ **Rule1:** If $X \in V_T$, then $\text{FIRST}(X) = \{X\}$. [X是终结符]
 - ◆ **Rule2:** If $X \in V_N$ and $X \rightarrow \varepsilon$ exists, then add ε to $\text{FIRST}(X)$. [非终结符,空式]
 - ◆ **Rule3:** If $X \in V_N$ and $X \rightarrow Y_1 Y_2 Y_3 \dots Y_k$, then [非终结符,非空式]
 - If for some Y_i and a terminal a : ① $\varepsilon \in \text{all of } \text{FIRST}(Y_1), \dots, \text{FIRST}(Y_{i-1})$, i.e., $Y_1 \dots Y_{i-1} \xRightarrow{*} \varepsilon$; ② $a \in \text{FIRST}(Y_i) \setminus \{\varepsilon\}$. Then, $a \in \text{FIRST}(X)$.
 - E.g.,
 - Everything in $\text{FIRST}(Y_1) \setminus \{\varepsilon\}$ is surely in $\text{FIRST}(X)$.
 - If Y_1 doesn't derive ε , then we add nothing more.
 - But if $Y_1 \xRightarrow{*} \varepsilon$, then we add $\text{FIRST}(Y_2) \setminus \{\varepsilon\}$, and so on...
 - Add ε to $\text{FIRST}(X)$, if ε is in $\text{FIRST}(Y_i)$ for all $i=1,2,\dots,k$.

Compute FIRST [计算FIRST集合的方法]



- Next, we can compute FIRST for any string $\alpha = X_1X_2...X_n$ [符号串]
 - ◆ Add all non- ϵ symbols of $FIRST(X_1)$ to $FIRST(\alpha)$.
 - ◆ Add non- ϵ symbols of $FIRST(X_i)$, $2 \leq i \leq n$, to $FIRST(\alpha)$, if $FIRST(X_1), \dots, FIRST(X_{i-1})$ all contain ϵ . [前k-1个都包含空串]
 - ◆ Add ϵ to $FIRST(\alpha)$, if $FIRST(X_1), \dots, FIRST(X_n)$ all contain ϵ .

Compute FIRST [计算FIRST集合的方法]



- **Example:** $G[E]: E \rightarrow TE' ; E' \rightarrow +TE' \mid \varepsilon ; T \rightarrow FT' ; T' \rightarrow *FT' \mid \varepsilon ; F \rightarrow (E) \mid id$, compute the FIRST set of each non-terminal.

- ◆ $FIRST(E): \{$
- ◆ $FIRST(T): \{$
- ◆ $FIRST(E'): \{ +, \varepsilon$
- ◆ $FIRST(T'): \{ *, \varepsilon$
- ◆ $FIRST(F): \{ (, id$

Apply rules for the first time:

$E \rightarrow TE'$ $FIRST(T)$ add to $FIRST(E)$, $FIRST(T)$ doesn't contain ε

$E' \rightarrow +TE'$ $FIRST(+)$ add to $FIRST(E')$, $FIRST(+)$ doesn't contain ε

$E' \rightarrow \varepsilon$ ε add to $FIRST(E')$

$T \rightarrow FT'$ $FIRST(F)$ add to $FIRST(T)$, $FIRST(F)$ doesn't contain ε

$T' \rightarrow *FT'$ $FIRST(*)$ add to $FIRST(T')$, $FIRST(*)$ doesn't contain ε

$T' \rightarrow \varepsilon$ ε add to $FIRST(T')$

$F \rightarrow (E)$ $FIRST('(')$ add to $FIRST(F)$, $FIRST('(')$ doesn't contain ε

$F \rightarrow id$ $FIRST(id)$ add to $FIRST(F)$

Compute FIRST [计算FIRST集合的方法]



- **Example:** $G[E]: E \rightarrow TE' ; E' \rightarrow +TE' \mid \varepsilon ; T \rightarrow FT' ; T' \rightarrow *FT' \mid \varepsilon ; F \rightarrow (E) \mid id$, compute the FIRST set of each non-terminal.

- ◆ $FIRST(E): \{$
- ◆ $FIRST(T): \{ (, id$
- ◆ $FIRST(E'): \{ +, \varepsilon$
- ◆ $FIRST(T'): \{ *, \varepsilon$
- ◆ $FIRST(F): \{ (, id$

It is necessary to determine whether the FIRST set has changed after each rule application. **first time YES!**

Apply rules for the second time:

$E \rightarrow TE'$ $FIRST(T)$ add to $FIRST(E)$, $FIRST(T)$ doesn't contain ε

$E' \rightarrow +TE'$ $FIRST(+)$ add to $FIRST(E')$, $FIRST(+)$ doesn't contain ε

$E' \rightarrow \varepsilon$ ε add to $FIRST(E')$

$T \rightarrow FT'$ $FIRST(F)$ add to $FIRST(T)$, $FIRST(F)$ doesn't contain ε

$T' \rightarrow *FT'$ $FIRST(*)$ add to $FIRST(T')$, $FIRST(*)$ doesn't contain ε

$T' \rightarrow \varepsilon$ ε add to $FIRST(T')$

$F \rightarrow (E)$ $FIRST('(')$ add to $FIRST(F)$, $FIRST('(')$ doesn't contain ε

$F \rightarrow id$ $FIRST(id)$ add to $FIRST(F)$

Compute FIRST [计算FIRST集合的方法]



- **Example:** $G[E]: E \rightarrow TE' ; E' \rightarrow +TE' \mid \varepsilon ; T \rightarrow FT' ; T' \rightarrow *FT' \mid \varepsilon ; F \rightarrow (E) \mid id$, compute the FIRST set of each non-terminal.

- ◆ $FIRST(E): \{ (, id$
- ◆ $FIRST(T): \{ (, id$
- ◆ $FIRST(E'): \{ +, \varepsilon$
- ◆ $FIRST(T'): \{ *, \varepsilon$
- ◆ $FIRST(F): \{ (, id$

It is necessary to determine whether the FIRST set has changed after each rule application. **second time YES!**

Apply rules for the third time:

$E \rightarrow TE'$ $FIRST(T)$ add to $FIRST(E)$, $FIRST(T)$ doesn't contain ε

$E' \rightarrow +TE'$ $FIRST(+)$ add to $FIRST(E')$, $FIRST(+)$ doesn't contain ε

$E' \rightarrow \varepsilon$ ε add to $FIRST(E')$

$T \rightarrow FT'$ $FIRST(F)$ add to $FIRST(T)$, $FIRST(F)$ doesn't contain ε

$T' \rightarrow *FT'$ $FIRST(*)$ add to $FIRST(T')$, $FIRST(*)$ doesn't contain ε

$T' \rightarrow \varepsilon$ ε add to $FIRST(T')$

$F \rightarrow (E)$ $FIRST('(')$ add to $FIRST(F)$, $FIRST('(')$ doesn't contain ε

$F \rightarrow id$ $FIRST(id)$ add to $FIRST(F)$

Compute FIRST [计算FIRST集合的方法]



- **Example:** $G[E]: E \rightarrow TE' ; E' \rightarrow +TE' \mid \varepsilon ; T \rightarrow FT' ; T' \rightarrow *FT' \mid \varepsilon ; F \rightarrow (E) \mid id$, compute the FIRST set of each non-terminal.

- ◆ $FIRST(E): \{ (, id \}$
- ◆ $FIRST(T): \{ (, id \}$
- ◆ $FIRST(E'): \{ +, \varepsilon \}$
- ◆ $FIRST(T'): \{ *, \varepsilon \}$
- ◆ $FIRST(F): \{ (, id \}$

It is necessary to determine whether the FIRST set has changed after each rule application. **third time YES!**

Apply rules for the 4th time:

$E \rightarrow TE'$ $FIRST(T)$ add to $FIRST(E)$, $FIRST(T)$ doesn't contain ε

$E' \rightarrow +TE'$ $FIRST(+)$ add to $FIRST(E')$, $FIRST(+)$ doesn't contain ε

$E' \rightarrow \varepsilon$ ε add to $FIRST(E')$

$T \rightarrow FT'$ $FIRST(F)$ add to $FIRST(T)$, $FIRST(F)$ doesn't contain ε

$T' \rightarrow *FT'$ $FIRST(*)$ add to $FIRST(T')$, $FIRST(*)$ doesn't contain ε

$T' \rightarrow \varepsilon$ ε add to $FIRST(T')$

$F \rightarrow (E)$ $FIRST('(')$ add to $FIRST(F)$, $FIRST('(')$ doesn't contain ε

$F \rightarrow id$ $FIRST(id)$ add to $FIRST(F)$

It is necessary to determine whether the FIRST set has changed after each rule application. **4th time NO!**

Compute FOLLOW [计算FOLLOW集合的方法]

- To compute FOLLOW(A) for all non-terminals A, **apply the following rules until nothing can be added to any FOLLOW set.**
 - ◆ **Rule1:** Place \$ in FOLLOW(S), where S is the start symbol.
 - ◆ **Rule2:** If there is a production $A \rightarrow \alpha B \beta$, then everything in FIRST(β) except ϵ is in FOLLOW(B).
 - ◆ **Rule3:** If there is a production $A \rightarrow \alpha B$, or a production $A \rightarrow \alpha B \beta$, where FIRST(β) contains ϵ , then everything in FOLLOW(A) is in FOLLOW(B).
- **Example:** $G[E]: E \rightarrow TE' ; E' \rightarrow +TE' \mid \epsilon ; T \rightarrow FT' ; T' \rightarrow *FT' \mid \epsilon ; F \rightarrow (E) \mid id$, compute the FOLLOW set of each non-terminal.
 - FIRST(E):{ (, id }; FIRST(T):{ (, id }; FIRST(E'):{ +, ϵ }; FIRST(T'):{ *, ϵ }; FIRST(F):{ (, id }.

Compute FOLLOW [计算FOLLOW集合的方法]

- **Example:** $G[E]: E \rightarrow TE' ; E' \rightarrow +TE' \mid \varepsilon ; T \rightarrow FT' ; T' \rightarrow *FT' \mid \varepsilon ; F \rightarrow (E) \mid id$, compute the **FOLLOW** set of each non-terminal.

◆ $FIRST(E): \{ (, id \}; FIRST(T): \{ (, id \}; FIRST(E'): \{ +, \varepsilon \}; FIRST(T'): \{ *, \varepsilon \}; FIRST(F): \{ (, id \}.$

◆ $FOLLOW(E): \{ \$$

◆ $FOLLOW(T): \{ +, \$$

◆ $FOLLOW(E'): \{ \$$

◆ $FOLLOW(T'): \{$

◆ $FOLLOW(F): \{$

Apply rules for the first time:

- ◆ Place $\$$ in $FOLLOW(E)$, since E is the start symbol.

- ◆ $E \rightarrow TE'$

- $FIRST(E')$ except ε is in $FOLLOW(T)$.

- Everything in $FOLLOW(E)$ is in $FOLLOW(E')$.

- Since $FIRST(E')$ contains ε , then everything in $FOLLOW(E)$ is in $FOLLOW(T)$.

- ◆ $E' \rightarrow +TE' \mid \varepsilon$

- $FIRST(E')$ except ε is in $FOLLOW(T)$.

- Everything in $FOLLOW(E')$ is in $FOLLOW(E')$.

- Since $FIRST(E')$ contains ε , then everything in $FOLLOW(E')$ is in $FOLLOW(T)$.

Compute FOLLOW [计算FOLLOW集合的方法]

- **Example:** $G[E]: E \rightarrow TE' ; E' \rightarrow +TE' \mid \varepsilon ; T \rightarrow FT' ; T' \rightarrow *FT' \mid \varepsilon ; F \rightarrow (E) \mid i$, compute the FOLLOW set of each non-terminal.

◆ $FIRST(E): \{ (, id \}; FIRST(T): \{ (, id \}; FIRST(E'): \{ +, \varepsilon \}; FIRST(T'): \{ *, \varepsilon \}; FIRST(F): \{ (, id \}.$

◆ $FOLLOW(E): \{ \$$

Apply rules for the first time:

◆ $FOLLOW(T): \{ +, \$$

◆ $T \rightarrow FT'$

□ $FIRST(T')$ except ε is in $FOLLOW(F)$.

□ Everything in $FOLLOW(T)$ is in $FOLLOW(T')$.

□ Since $FIRST(T')$ contains ε , then everything in $FOLLOW(T)$ is in $FOLLOW(F)$.

◆ $FOLLOW(E'): \{ \$$

◆ $FOLLOW(T'): \{ +, \$$

◆ $T' \rightarrow *FT' \mid \varepsilon$

□ $FIRST(T')$ except ε is in $FOLLOW(F)$.

□ Everything in $FOLLOW(T')$ is in $FOLLOW(T')$.

□ Since $FIRST(T')$ contains ε , then everything in $FOLLOW(T')$ is in $FOLLOW(F)$.

◆ $FOLLOW(F): \{ *, +, \$$

Compute FOLLOW [计算FOLLOW集合的方法]

- **Example:** $G[E]: E \rightarrow TE' ; E' \rightarrow +TE' \mid \varepsilon ; T \rightarrow FT' ; T' \rightarrow *FT' \mid \varepsilon ; F \rightarrow (E) \mid i$, compute the FOLLOW set of each non-terminal.

◆ $FIRST(E): \{ (, id \}; FIRST(T): \{ (, id \}; FIRST(E'): \{ +, \varepsilon \}; FIRST(T'): \{ *, \varepsilon \}; FIRST(F): \{ (, id \}.$

◆ $FOLLOW(E): \{ \$,)$

Apply rules for the first time:

◆ $FOLLOW(T): \{ +, \$,)$

◆ $F \rightarrow (E) \mid id$

□ $FIRST(')')$ except ε is in $FOLLOW(E)$.

◆ $FOLLOW(E'): \{ \$,)$

Since FOLLOW sets has changed at the first time, **Apply rules for the second time:**

◆ $FOLLOW(T'): \{ +, \$$

◆ $FOLLOW(F): \{ *, +, \$$

◆ $E \rightarrow TE'$

□ $FIRST(E')$ except ε is in $FOLLOW(T)$.

□ Everything in $FOLLOW(E)$ is in $FOLLOW(E')$.

□ Since $FIRST(E')$ contains ε , then everything in $FOLLOW(E)$ is in $FOLLOW(T)$.

Compute FOLLOW [计算FOLLOW集合的方法]

- **Example:** $G[E]: E \rightarrow TE' ; E' \rightarrow +TE' \mid \varepsilon ; T \rightarrow FT' ; T' \rightarrow *FT' \mid \varepsilon ; F \rightarrow (E) \mid i$, compute the **FOLLOW** set of each non-terminal.

◆ $FIRST(E): \{ (, id \}$; $FIRST(T): \{ (, id \}$; $FIRST(E'): \{ +, \varepsilon \}$; $FIRST(T'): \{ *, \varepsilon \}$; $FIRST(F): \{ (, id \}$.

◆ $FOLLOW(E): \{ \$,)$

◆ $E' \rightarrow +TE' \mid \varepsilon$

◆ $FOLLOW(T): \{ +, \$,)$

□ $FIRST(E')$ except ε is in $FOLLOW(T)$.

□ Everything in $FOLLOW(E')$ is in $FOLLOW(E')$.

◆ $FOLLOW(E'): \{ \$,)$

□ Since $FIRST(E')$ contains ε , then everything in $FOLLOW(E')$ is in $FOLLOW(T)$.

◆ $FOLLOW(T'): \{ +, \$,)$

◆ $FOLLOW(F): \{ *, +, \$,)$ ◆ $T \rightarrow FT'$

□ $FIRST(T')$ except ε is in $FOLLOW(F)$.

□ Everything in $FOLLOW(T)$ is in $FOLLOW(T')$.

□ Since $FIRST(T')$ contains ε , then everything in $FOLLOW(T)$ is in $FOLLOW(F)$.

Compute FOLLOW [计算FOLLOW集合的方法]

- **Example:** $G[E]: E \rightarrow TE' ; E' \rightarrow +TE' \mid \varepsilon ; T \rightarrow FT' ; T' \rightarrow *FT' \mid \varepsilon ; F \rightarrow (E) \mid i$, compute the FOLLOW set of each non-terminal.

◆ $FIRST(E): \{ (, id \}$; $FIRST(T): \{ (, id \}$; $FIRST(E'): \{ +, \varepsilon \}$; $FIRST(T'): \{ *, \varepsilon \}$; $FIRST(F): \{ (, id \}$.

◆ $FOLLOW(E): \{ \$,) \}$

◆ $T' \rightarrow *FT' \mid \varepsilon$

◆ $FOLLOW(T): \{ +, \$,) \}$

□ $FIRST(T')$ except ε is in $FOLLOW(F)$.

◆ $FOLLOW(E'): \{ \$,) \}$

□ Everything in $FOLLOW(T')$ is in $FOLLOW(T)$.

◆ $FOLLOW(T'): \{ +, \$,) \}$

□ Since $FIRST(T')$ contains ε , then everything in $FOLLOW(T')$ is in $FOLLOW(F)$.

◆ $FOLLOW(F): \{ *, +, \$,) \}$

◆ $F \rightarrow (E) \mid id$

□ $FIRST('(')$ except ε is in $FOLLOW(E)$.

Since FOLLOW sets has changed at the second time, **Apply rules for the third time:**

◆ **EXERCISE! –Ans: no FOLLOW set will be changed.**

LL(1) Grammar[LL(1)文法]



- Predictive parsers, that is, recursive-descent parsers needing no backtracking, can be constructed for a class of grammars called **LL(1)**.
- A grammar G is LL(1) **if and only if** whenever **any two distinct productions of G** [G的任意两个不同的产生式], $A \rightarrow \alpha \mid \beta$, the following conditions hold:
 - ◆ For no terminal a do both α and β derive strings beginning with a .
 - ◆ At most one of α and β can derive the empty string.
 - ◆ If $\beta \xRightarrow{*} \varepsilon$, then α does not derive any string beginning with a terminal in FOLLOW(A). Likewise, if $\alpha \xRightarrow{*} \varepsilon$, then β does not derive any string beginning with a terminal in FOLLOW(A).

- The first two conditions are equivalent to the statement that $\text{FIRST}(\alpha)$ and $\text{FIRST}(\beta)$ are disjoint sets [不相交的集合].
- The third condition is equivalent to stating that if ϵ is in $\text{FIRST}(\beta)$, then $\text{FIRST}(\alpha)$ and $\text{FOLLOW}(A)$ are disjoint sets, and likewise if ϵ is in $\text{FIRST}(\alpha)$.
- LL(1) Grammar does not contain left recursion. [LL(1)文法不含左递归]
- LL(1) Grammar is not ambiguous. [LL(1)文法不是二义的]

LL(1)/LL(k) Grammar [LL(1)/LL(k)文法]



- **LL (1)** grammar.
 - ◆ L: The first "L" in LL(1) stands for scanning the input from left to right.
 - ◆ L: The second "L" for producing a leftmost derivation.
 - ◆ 1: The "1" for using one input symbol of lookahead at each step to make parsing action decisions.
- **LL (k)** grammar.
 - ◆ k: using **k** input symbols of lookahead at each step to make parsing action decisions.
- Many languages are LL(k), in fact many are LL(1).
- **Is LL(0) useful at all?**
 - ◆ Grammar where rules can be predicted with no lookahead.
 - ◆ \Rightarrow That means, there can only be one rule per non-terminal.
 - ◆ \Rightarrow That means, this language can have only one string.

LL(1) Parser Implementation[实现]



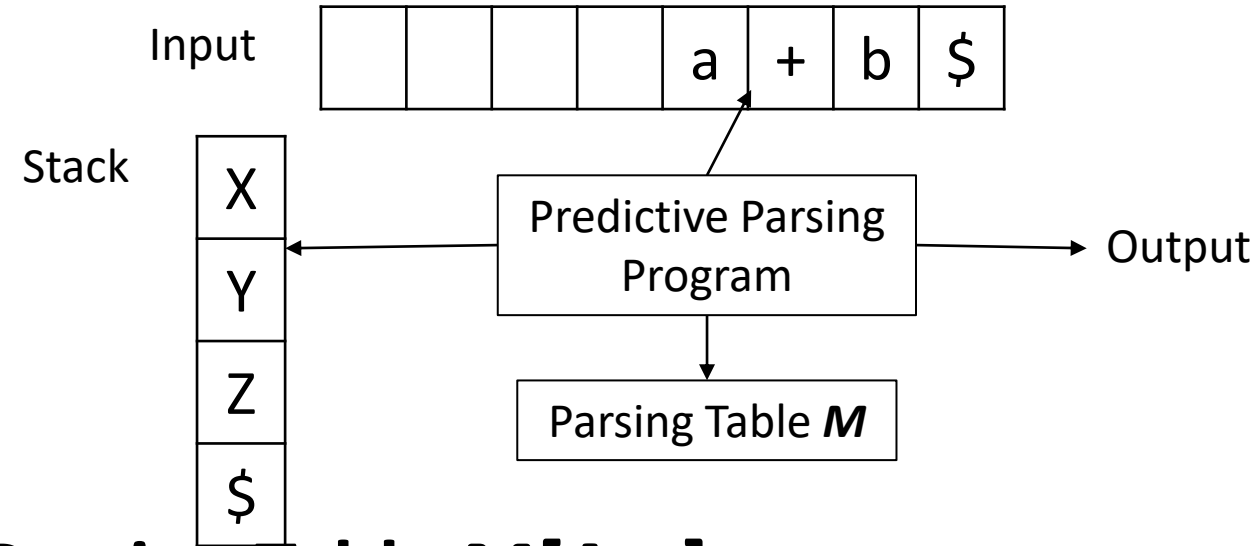
- Recursive LL(1) parser for $G[S]: S \rightarrow A \mid B; A \rightarrow a; B \rightarrow b.$
 - ◆ maintaining a stack implicitly. [隐式维护栈]
- Is there a way to express above code more concisely? [简洁]
 - ◆ Non-recursive LL(1) parsers
 - ▣ Use a predictive parsing table. [预测分析表]
 - ▣ maintaining a stack explicitly. [显式维护栈]
 - ▣ Table-driven parser. [表驱动]

```
void S(){
    token = Next(); // lookahead
    if(token == a) // 'A' starts with 'a'
        A(); // call procedure A()
    else if (token == b) // 'B' starts
        with 'b'
        B(); // call procedure B()
    else
        return; // error, reject.
}
```

Non-recursive LL(1) Parser [非递归]



- **Input buffer:** contains the string to be parsed, followed by the endmarker \$.
- **Stack:** holds a sequence of grammar symbols and the symbol \$ to mark the bottom of the stack. It may contain:
 - ◆ **Terminals** that have yet to be matched against the input symbol.
 - ◆ **Non-terminals** that have yet to be expanded.



- **Parsing Table $M[A,a]$:** an entry containing production " $A \rightarrow \dots$ " or error.
- **Predictive Parsing Program:** Execute the action according to **<stack top, current input symbol>**

LL(1) Parse Table [预测分析表]



G[E]:

$E \rightarrow TE'$

$E' \rightarrow +TE' \mid \epsilon$

$T \rightarrow FT'$

$T' \rightarrow *FT' \mid \epsilon$

$F \rightarrow (E) \mid \text{id}$

	id	+	*	()	\$
E	$E \rightarrow TE'$			$E \rightarrow TE'$		
E'		$E' \rightarrow +TE'$			$E' \rightarrow \epsilon$	$E' \rightarrow \epsilon$
T	$T \rightarrow FT'$			$T \rightarrow FT'$		
T'		$T' \rightarrow \epsilon$	$T' \rightarrow *FT'$		$T' \rightarrow \epsilon$	$T' \rightarrow \epsilon$
F	$F \rightarrow \text{id}$			$F \rightarrow (E)$		

all non-terminals
in the grammar

Next input symbol,
lists all possible
terminals and \$

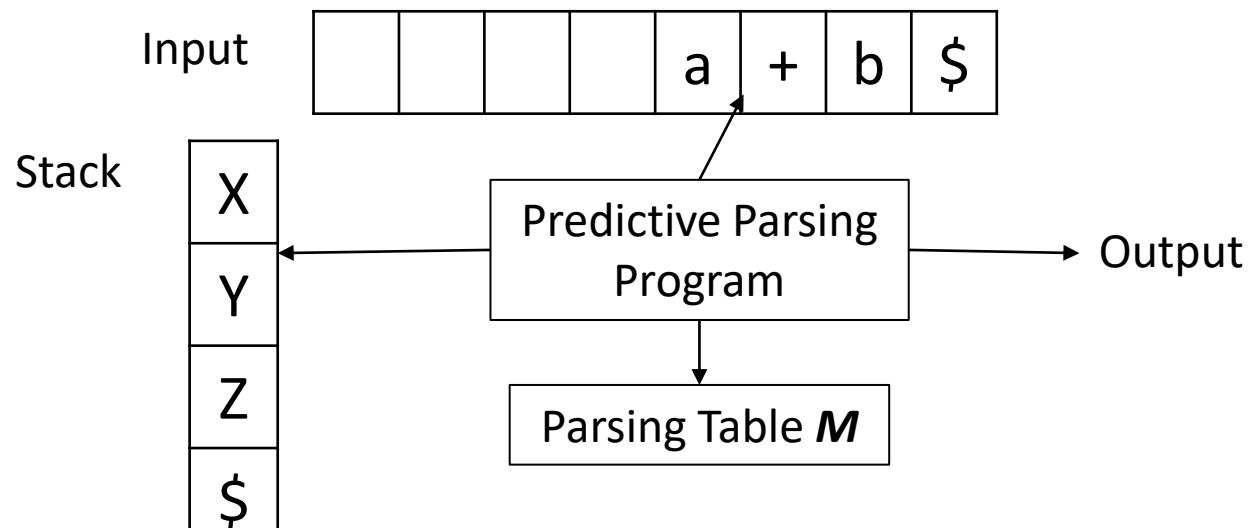
One action for each **<non-terminal, next input>**, it
“predicts” the correct action based on one lookahead

- **Reject** on reaching error state
- **Accept** on end of input & empty stack

LL(1) Parsing Algorithm [非递归算法]



- Initial state [初始态]
 - ◆ **Input:** A string w and a parsing table M for grammar G . **Input Buffer:** $w\$$.
 - ◆ **Stack:** start symbol followed by '\$' at bottom.
 - ◆ Assume **X**: symbol at the top of the stack, **a**: current input symbol.
- General idea [总体思路] : repeat one of two actions
 - ◆ **Expand** symbol at top of stack by applying a production
 - ◆ **Match** terminal symbol at top of stack with input token

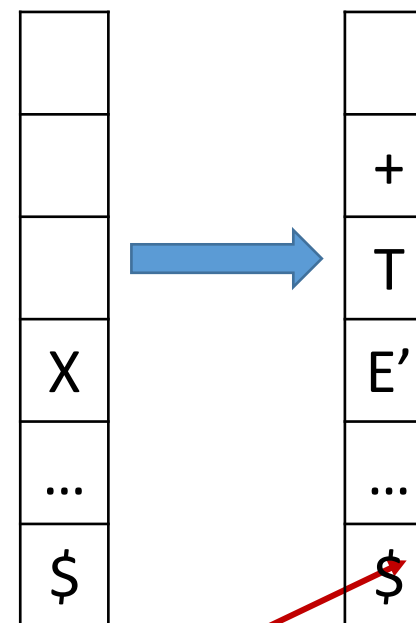


LL(1) Parsing Algorithm [非递归算法]



- Algorithm Step-by-Step based on $\langle X, a \rangle$:

- ◆ X : symbol at the top of the stack
- ◆ a : current input token
- ◆ If $X \in V_T$ [栈顶符号为终结符] and
 - $X == a == \$$, declare **SUCCESS**, stop parsing.
 - $X == a \neq \$$, **pop X from stack** and move the current input symbol forward one.
 - $X \neq a$, declare **ERROR**, input is **rejected**, stop parsing.
- ◆ If $X \in V_N$ [栈顶符号为非终结符] and
 - $M[X, a]$ has a production about X , pop X and **push right side of production to stack.**
 - $M[X, a] == \text{empty}$, declare **ERROR**, input is **rejected**, stop parsing.



If $M[X, a] = "X \rightarrow +TE"$
Push to stack in
Reverse Order
[逆序入栈]

If $M[X, a] = "X \rightarrow \epsilon"$
Only pop and
push nothing

Use the Parse Table [使用表进行预测分析]



- When using parse table for predictive parsers, if the grammar does not conform to the specification of LL (1) grammar:
 - the grammar should be rewritten into LL (1) grammar by removing left recursion and backtracking, then the parse table should be constructed.
- Example:** consider $G[E]$ to recognize “**id + id * id**”

$G[E]$:

$E \rightarrow TE'$

$E' \rightarrow +TE' \mid \varepsilon$

$T \rightarrow FT'$

$T' \rightarrow *FT' \mid \varepsilon$

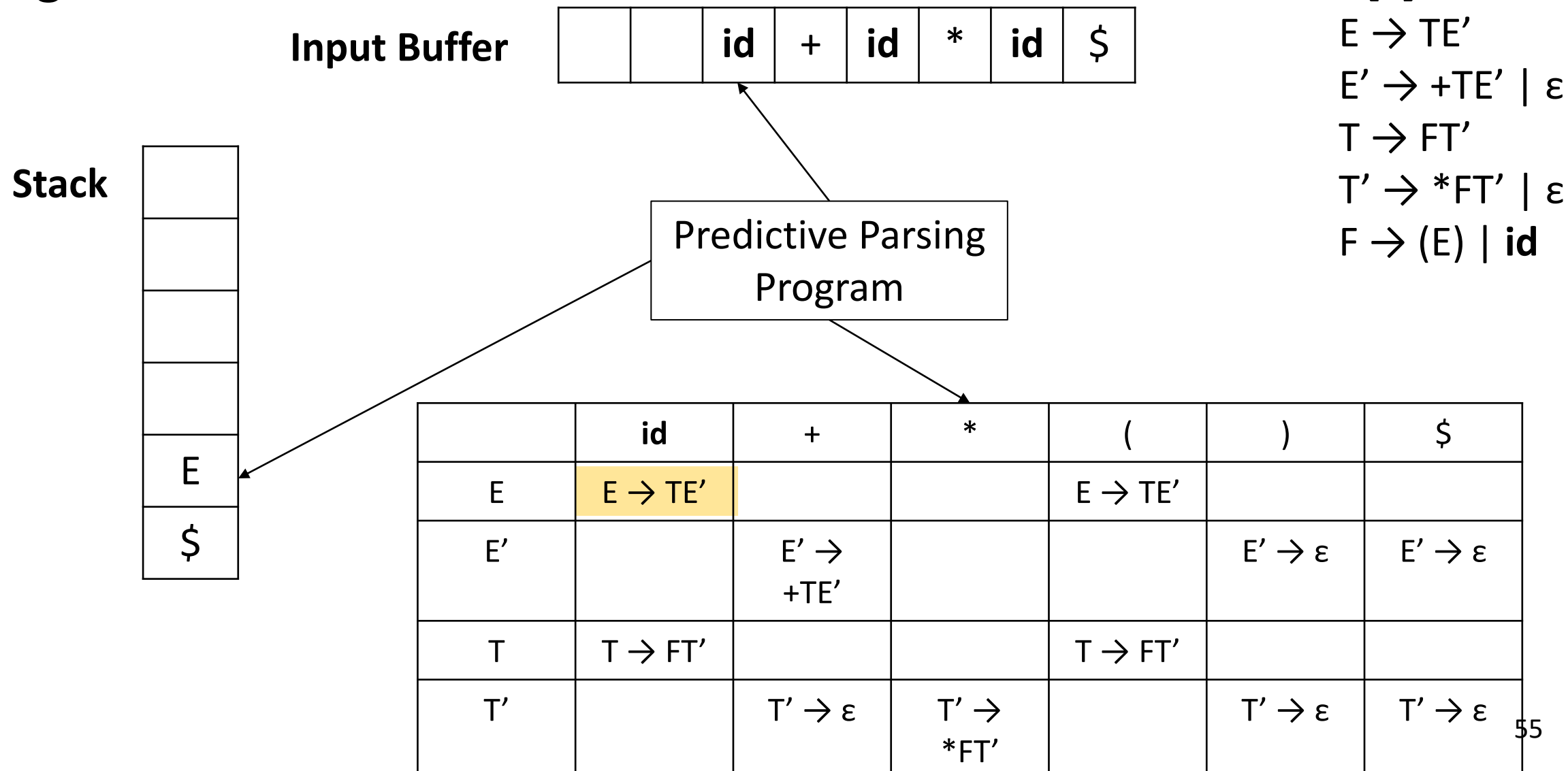
$F \rightarrow (E) \mid \text{id}$

	id	+	*	()	\$
E	$E \rightarrow TE'$			$E \rightarrow TE'$		
E'		$E' \rightarrow +TE'$			$E' \rightarrow \varepsilon$	$E' \rightarrow \varepsilon$
T	$T \rightarrow FT'$			$T \rightarrow FT'$		
T'		$T' \rightarrow \varepsilon$	$T' \rightarrow *FT'$		$T' \rightarrow \varepsilon$	$T' \rightarrow \varepsilon$
F	$F \rightarrow \text{id}$			$F \rightarrow (E)$		

Use the Parse Table [使用表进行预测分析]



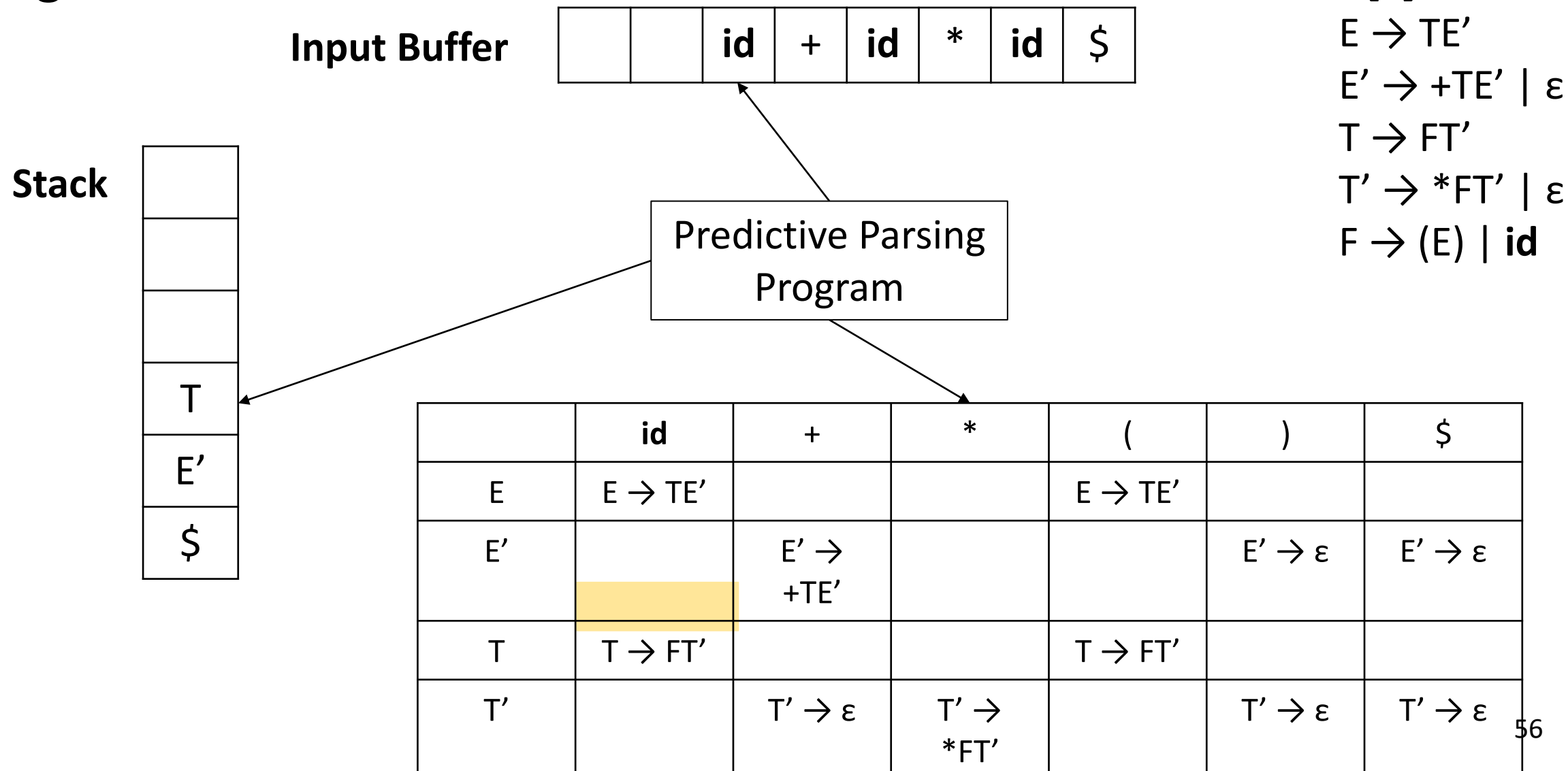
- recognize “id + id * id”



Use the Parse Table [使用表进行预测分析]



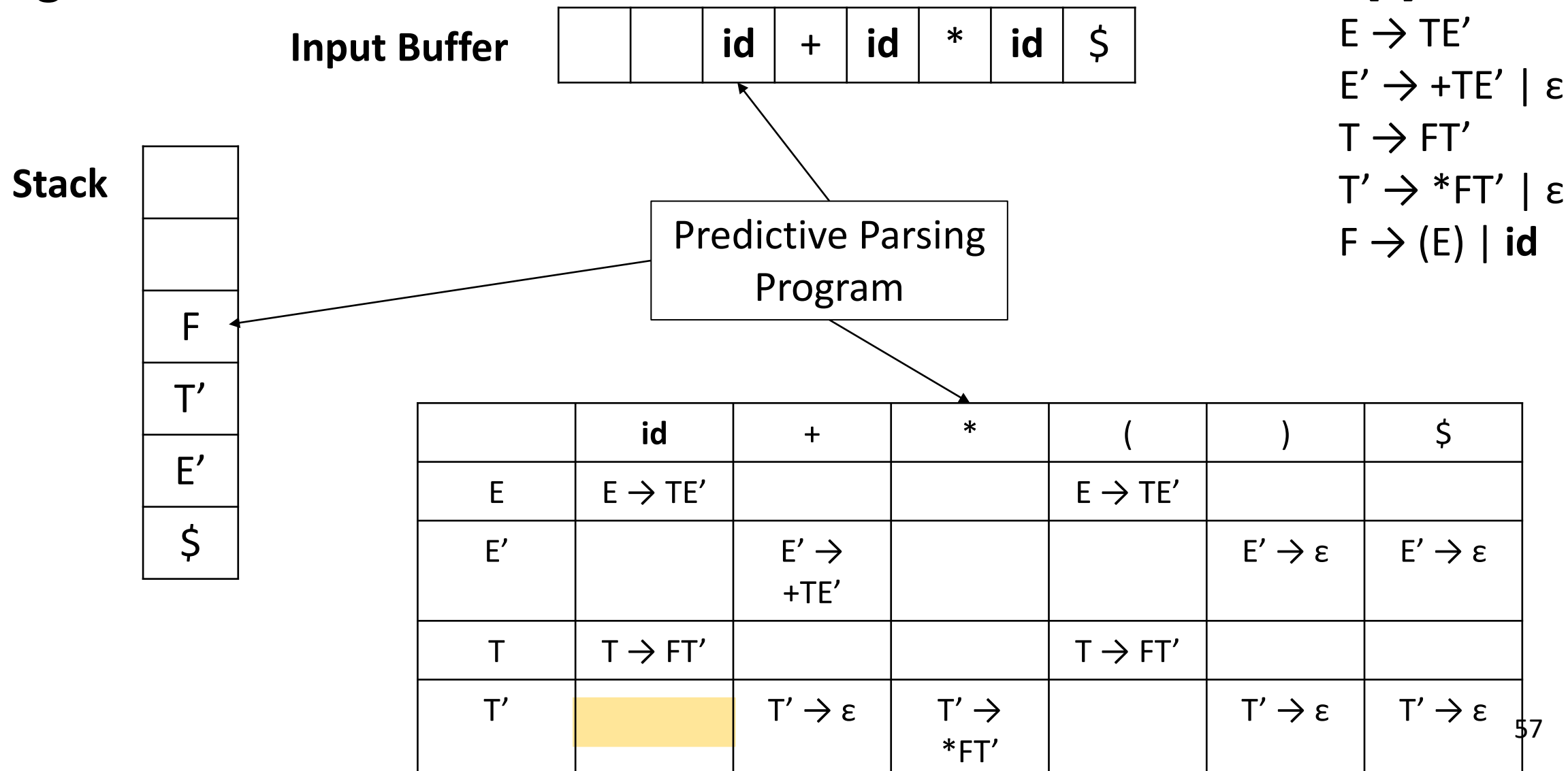
- recognize “id + id * id”



Use the Parse Table [使用表进行预测分析]



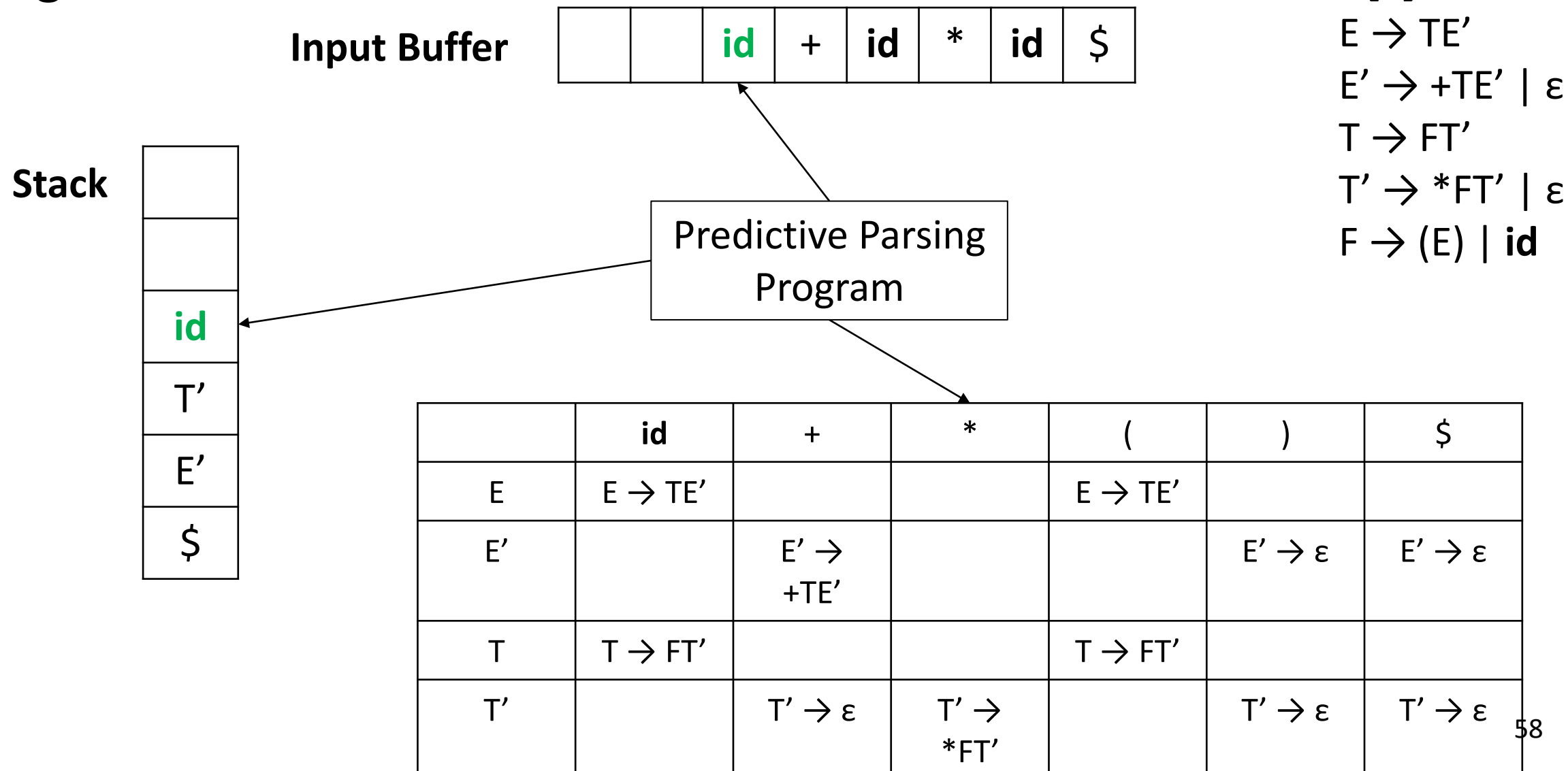
- recognize “id + id * id”



Use the Parse Table [使用表进行预测分析]



- recognize “id + id * id”



Use the Parse Table [使用表进行预测分析]



- recognize “id + id * id”

Input Buffer

		id	+	id	*	id	\$
--	--	----	---	----	---	----	----

Stack

T'
E'
\$

Predictive Parsing Program

G[E]:

$E \rightarrow TE'$

$E' \rightarrow +TE' \mid \epsilon$

$T \rightarrow FT'$

$T' \rightarrow *FT' \mid \epsilon$

$F \rightarrow (E) \mid id$

	id	+	*	()	\$
E	$E \rightarrow TE'$			$E \rightarrow TE'$		
E'		$E' \rightarrow +TE'$			$E' \rightarrow \epsilon$	$E' \rightarrow \epsilon$
T	$T \rightarrow FT'$			$T \rightarrow FT'$		
T'		$T' \rightarrow \epsilon$	$T' \rightarrow *FT'$		$T' \rightarrow \epsilon$	$T' \rightarrow \epsilon$

Use the Parse Table [使用表进行预测分析]



- recognize “id + id * id”

Input Buffer

		id	+	id	*	id	\$
--	--	----	---	----	---	----	----

Stack

E'
\$

Predictive Parsing Program

G[E]:

$E \rightarrow TE'$

$E' \rightarrow +TE' \mid \epsilon$

$T \rightarrow FT'$

$T' \rightarrow *FT' \mid \epsilon$

$F \rightarrow (E) \mid id$

	id	+	*	()	\$
E	$E \rightarrow TE'$			$E \rightarrow TE'$		
E'		$E' \rightarrow +TE'$			$E' \rightarrow \epsilon$	$E' \rightarrow \epsilon$
T	$T \rightarrow FT'$			$T \rightarrow FT'$		
T'		$T' \rightarrow \epsilon$	$T' \rightarrow *FT'$		$T' \rightarrow \epsilon$	$T' \rightarrow \epsilon$

Use the Parse Table [使用表进行预测分析]



- recognize “id + id * id”

Input Buffer

		id	+	id	*	id	\$
--	--	----	---	----	---	----	----

Stack

+
T
E'
\$

Predictive Parsing Program

G[E]:

$E \rightarrow TE'$

$E' \rightarrow +TE' \mid \epsilon$

$T \rightarrow FT'$

$T' \rightarrow *FT' \mid \epsilon$

$F \rightarrow (E) \mid id$

	id	+	*	()	\$
E	$E \rightarrow TE'$			$E \rightarrow TE'$		
E'		$E' \rightarrow +TE'$			$E' \rightarrow \epsilon$	$E' \rightarrow \epsilon$
T	$T \rightarrow FT'$			$T \rightarrow FT'$		
T'		$T' \rightarrow \epsilon$	$T' \rightarrow *FT'$		$T' \rightarrow \epsilon$	$T' \rightarrow \epsilon$

Use the Parse Table [使用表进行预测分析]



- recognize “id + id * id”

Input Buffer

		id	+	id	*	id	\$
--	--	----	---	----	---	----	----

Stack

T
E'
\$

Predictive Parsing Program

G[E]:

$E \rightarrow TE'$

$E' \rightarrow +TE' \mid \epsilon$

$T \rightarrow FT'$

$T' \rightarrow *FT' \mid \epsilon$

$F \rightarrow (E) \mid id$

	id	+	*	()	\$
E	$E \rightarrow TE'$			$E \rightarrow TE'$		
E'		$E' \rightarrow +TE'$			$E' \rightarrow \epsilon$	$E' \rightarrow \epsilon$
T	$T \rightarrow FT'$			$T \rightarrow FT'$		
T'		$T' \rightarrow \epsilon$	$T' \rightarrow *FT'$		$T' \rightarrow \epsilon$	$T' \rightarrow \epsilon$

Use the Parse Table [使用表进行预测分析]



- recognize “id + id * id”

Input Buffer

		id	+	id	*	id	\$
--	--	----	---	----	---	----	----

Stack

F
T'
E'
\$

Predictive Parsing Program

G[E]:

$E \rightarrow TE'$

$E' \rightarrow +TE' \mid \epsilon$

$T \rightarrow FT'$

$T' \rightarrow *FT' \mid \epsilon$

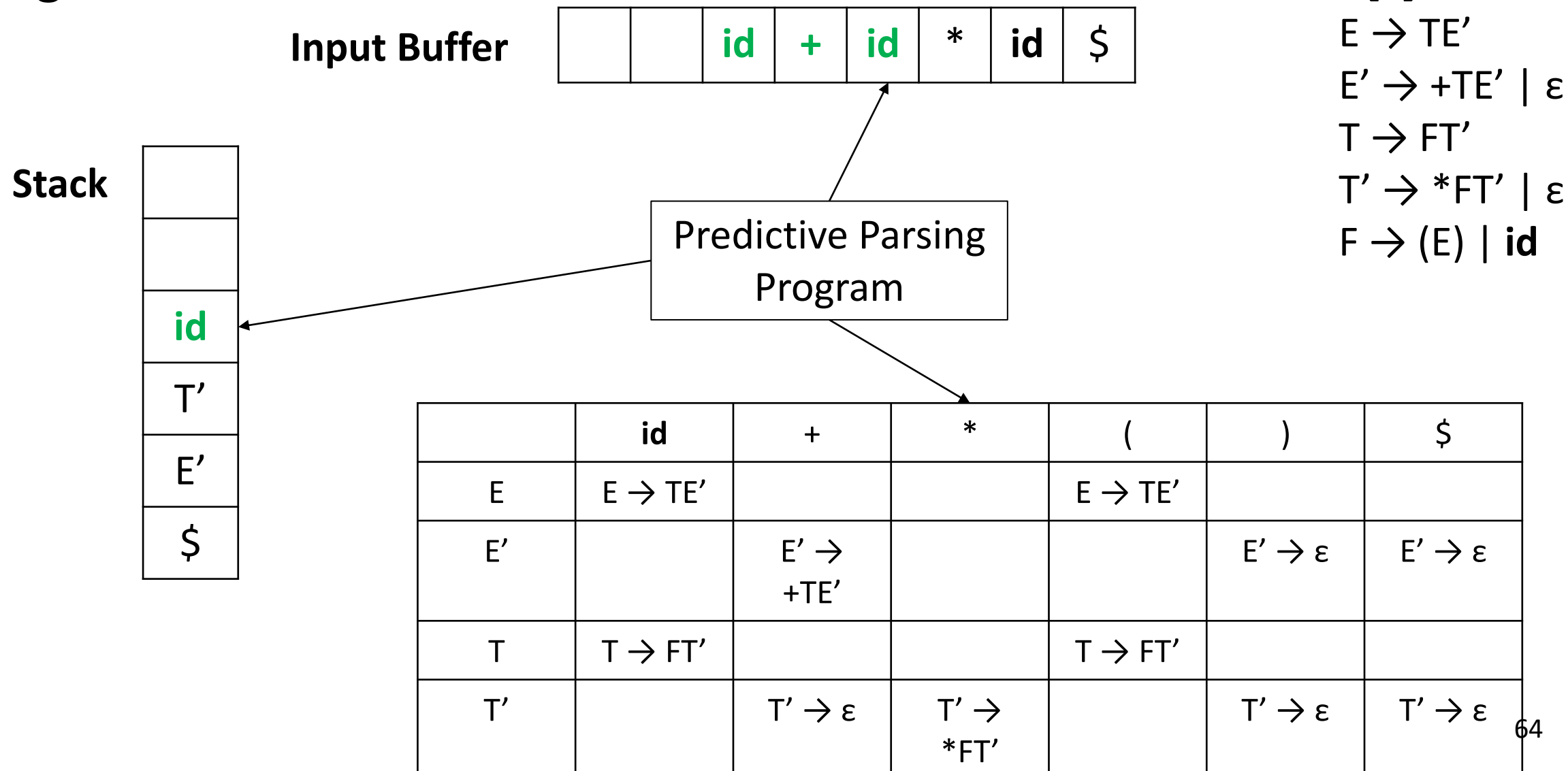
$F \rightarrow (E) \mid id$

	id	+	*	()	\$
E	$E \rightarrow TE'$			$E \rightarrow TE'$		
E'		$E' \rightarrow +TE'$			$E' \rightarrow \epsilon$	$E' \rightarrow \epsilon$
T	$T \rightarrow FT'$			$T \rightarrow FT'$		
T'		$T' \rightarrow \epsilon$	$T' \rightarrow *FT'$		$T' \rightarrow \epsilon$	$T' \rightarrow \epsilon$

Use the Parse Table [使用表进行预测分析]



- recognize “id + id * id”



Use the Parse Table [使用表进行预测分析]



- recognize “id + id * id”

Input Buffer

		id	+	id	*	id	\$
--	--	----	---	----	---	----	----

Stack

T'
E'
\$

Predictive Parsing Program

G[E]:

$E \rightarrow TE'$

$E' \rightarrow +TE' \mid \epsilon$

$T \rightarrow FT'$

$T' \rightarrow *FT' \mid \epsilon$

$F \rightarrow (E) \mid id$

	id	+	*	()	\$
E	$E \rightarrow TE'$			$E \rightarrow TE'$		
E'		$E' \rightarrow +TE'$			$E' \rightarrow \epsilon$	$E' \rightarrow \epsilon$
T	$T \rightarrow FT'$			$T \rightarrow FT'$		
T'		$T' \rightarrow \epsilon$	$T' \rightarrow *FT'$		$T' \rightarrow \epsilon$	$T' \rightarrow \epsilon$

Use the Parse Table [使用表进行预测分析]



- recognize “id + id * id”

Input Buffer

		id	+	id	*	id	\$
--	--	----	---	----	---	----	----

Stack

*
F
T'
E'
\$

Predictive Parsing Program

G[E]:

$E \rightarrow TE'$

$E' \rightarrow +TE' \mid \epsilon$

$T \rightarrow FT'$

$T' \rightarrow *FT' \mid \epsilon$

$F \rightarrow (E) \mid id$

	id	+	*	()	\$
E	$E \rightarrow TE'$			$E \rightarrow TE'$		
E'		$E' \rightarrow +TE'$			$E' \rightarrow \epsilon$	$E' \rightarrow \epsilon$
T	$T \rightarrow FT'$			$T \rightarrow FT'$		
T'		$T' \rightarrow \epsilon$	$T' \rightarrow *FT'$		$T' \rightarrow \epsilon$	$T' \rightarrow \epsilon$

Use the Parse Table [使用表进行预测分析]



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Input Buffer

		id	+	id	*	id	\$
--	--	----	---	----	---	----	----

Stack

F
T'
E'
\$

Predictive Parsing Program

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$F \rightarrow (E) \mid id$

	id	+	*	()	\$
E	$E \rightarrow TE'$			$E \rightarrow TE'$		
E'		$E' \rightarrow +TE'$			$E' \rightarrow \epsilon$	$E' \rightarrow \epsilon$
T	$T \rightarrow FT'$			$T \rightarrow FT'$		
T'		$T' \rightarrow \epsilon$	$T' \rightarrow *FT'$		$T' \rightarrow \epsilon$	$T' \rightarrow \epsilon$

Use the Parse Table [使用表进行预测分析]



- recognize “id + id * id”

Input Buffer

		id	+	id	*	id	\$
--	--	----	---	----	---	----	----

Stack

id
T'
E'
\$

Predictive Parsing Program

G[E]:

$E \rightarrow TE'$

$E' \rightarrow +TE' \mid \epsilon$

$T \rightarrow FT'$

$T' \rightarrow *FT' \mid \epsilon$

$F \rightarrow (E) \mid id$

	id	+	*	()	\$
E	$E \rightarrow TE'$			$E \rightarrow TE'$		
E'		$E' \rightarrow +TE'$			$E' \rightarrow \epsilon$	$E' \rightarrow \epsilon$
T	$T \rightarrow FT'$			$T \rightarrow FT'$		
T'		$T' \rightarrow \epsilon$	$T' \rightarrow *FT'$		$T' \rightarrow \epsilon$	$T' \rightarrow \epsilon$

Use the Parse Table [使用表进行预测分析]



- recognize “id + id * id”

Input Buffer

		id	+	id	*	id	\$
--	--	----	---	----	---	----	----

Stack

T'
E'
\$

Predictive Parsing
Program

G[E]:

$E \rightarrow TE'$

$E' \rightarrow +TE' \mid \epsilon$

$T \rightarrow FT'$

$T' \rightarrow *FT' \mid \epsilon$

$F \rightarrow (E) \mid id$

	id	+	*	()	\$
E	$E \rightarrow TE'$			$E \rightarrow TE'$		
E'		$E' \rightarrow +TE'$			$E' \rightarrow \epsilon$	$E' \rightarrow \epsilon$
T	$T \rightarrow FT'$			$T \rightarrow FT'$		
T'		$T' \rightarrow \epsilon$	$T' \rightarrow *FT'$		$T' \rightarrow \epsilon$	$T' \rightarrow \epsilon$

Use the Parse Table [使用表进行预测分析]



- recognize “id + id * id”

Input Buffer

		id	+	id	*	id	\$
--	--	----	---	----	---	----	----

Stack

E'
\$

Predictive Parsing
Program

	id	+	*	()	\$
E	$E \rightarrow TE'$			$E \rightarrow TE'$		
E'		$E' \rightarrow +TE'$			$E' \rightarrow \epsilon$	$E' \rightarrow \epsilon$
T	$T \rightarrow FT'$			$T \rightarrow FT'$		
T'		$T' \rightarrow \epsilon$	$T' \rightarrow *FT'$		$T' \rightarrow \epsilon$	$T' \rightarrow \epsilon$

G[E]:

$E \rightarrow TE'$

$E' \rightarrow +TE' \mid \epsilon$

$T \rightarrow FT'$

$T' \rightarrow *FT' \mid \epsilon$

$F \rightarrow (E) \mid id$

Use the Parse Table [使用表进行预测分析]



- recognize “id + id * id”

Input Buffer

		id	+	id	*	id	\$
--	--	----	---	----	---	----	----

Stack

\$

Predictive Parsing Program

G[E]:

$E \rightarrow TE'$

$E' \rightarrow +TE' \mid \epsilon$

$T \rightarrow FT'$

$T' \rightarrow *FT' \mid \epsilon$

$F \rightarrow (E) \mid id$

ACCEPT!!

	id	+	*	()	\$
E	$E \rightarrow TE'$			$E \rightarrow TE'$		
E'		$E' \rightarrow +TE'$			$E' \rightarrow \epsilon$	$E' \rightarrow \epsilon$
T	$T \rightarrow FT'$			$T \rightarrow FT'$		
T'		$T' \rightarrow \epsilon$	$T' \rightarrow *FT'$		$T' \rightarrow \epsilon$	$T' \rightarrow \epsilon$

Use the Parse Table [使用表进行预测分析]



Matched	Stack	Input	Action
	E\$	id + id * id\$	$E \rightarrow TE'$
	TE'\$	id + id * id\$	$T \rightarrow FT'$
	FT'E'\$	id + id * id\$	$F \rightarrow id$
	idT'E'\$	id + id * id\$	match id
id	T'E'\$	+ id * id\$	$T' \rightarrow \epsilon$
id	E'\$	+ id * id\$	$E' \rightarrow +TE'$
id	+TE'\$	+ id * id\$	match +
id +	TE'\$	id * id\$	$T \rightarrow FT'$
id +	FT' E'\$	id * id\$	$F \rightarrow id$
id +	idT' E'\$	id * id\$	match id
id + id	T' E'\$	* id\$	$T' \rightarrow *FT'$
id + id	*FT'E'\$	* id\$	match *

Matched	Stack	Input	Action
id + id *	FT'E'\$	id\$	$F \rightarrow id$
id + id *	idT'E'\$	id\$	match id
id + id * id	T'E'\$	\$	$T' \rightarrow \epsilon$
id + id * id	E'\$	\$	$E' \rightarrow \epsilon$
id + id * id	\$	\$	ACCEPT

The parser mimics a leftmost derivation.

HOW TO construct LL(1) Parse Table?

Construct LL(1) Parse Table [构建预测分析表]

- Use **FIRST** and **FOLLOW** sets into a predictive parsing table $M[A,a]$, and the algorithm is based on the following idea:
 - ◆ The production $A \rightarrow \alpha$ is chosen if the next input symbol a is in $\text{FIRST}(\alpha)$.
 - ◆ The only complication occurs when $\alpha = \epsilon$ or, more generally, $\alpha \xRightarrow{*} \epsilon$. In this case:
 - ▣ we should again choose $A \rightarrow \alpha$, if the current input symbol is in $\text{FOLLOW}(A)$,
 - ▣ or if the \$ on the input has been reached and \$ is in $\text{FOLLOW}(A)$.

Construct LL(1) Parse Table [构建预测分析表]

- **Algorithm**: For **each** production $A \rightarrow \alpha$ of the grammar, do the following:
 - ◆ For each terminal a in $\text{FIRST}(\alpha)$, add $A \rightarrow \alpha$ to $M[A, a]$.
 - ◆ If $\varepsilon \in \text{FIRST}(\alpha)$, then for each terminal b in $\text{FOLLOW}(A)$, add $A \rightarrow \alpha$ to $M[A, b]$. If $\varepsilon \in \text{FIRST}(\alpha)$ and $\$ \in \text{FOLLOW}(A)$, add $A \rightarrow \alpha$ to $M[A, \$]$ as well.
 - ◆ If, after performing the above, there is no production at all in $M[A, a]$, then set $M[A, a]$ to error (which we normally represent by an empty entry in the table).

Construct LL(1) Parse Table [构建预测分析表]

Symbol	FIRST	FOLLOW
E	(, i	\$,)
E'	+, ε	\$,)
T	(, i	+, \$,)
T'	*, ε	+, \$,)
F	(, i	*, +, \$,)

G[E]:
E → TE'
E' → +TE' | ε
T → FT'
T' → *FT' | ε
F → (E) | id

Production	FIRST(α)
E → TE'	(, i
E' → +TE'	+
T → FT'	(, i
T' → *FT'	*
F → (E)	(
F → id	id
E' → ε	FOLLOW
T' → ε	FOLLOW

	id	+	*	()	\$
E	E → TE'			E → TE'		
E'		E' → +TE'			E' → ε	E' → ε
T	T → FT'			T → FT'		
T'		T' → ε	T' → *FT'		T' → ε	T' → ε

Example!

Determine If Grammar is LL(1)[判断LL(1)文法]

- Observation [直观依据]

- ◆ If a grammar is LL(1), then each of its LL(1) table entry contains at most one rule.
- ◆ Otherwise, it is not LL(1).

	id	+	*	()	\$
E	$E \rightarrow TE'$			$E \rightarrow TE'$		
E'		$E' \rightarrow +TE'$			$E' \rightarrow \epsilon$	$E' \rightarrow \epsilon$
T	$T \rightarrow FT'$			$T \rightarrow FT'$		
T'		$T' \rightarrow \epsilon$	$T' \rightarrow *FT'$		$T' \rightarrow \epsilon$	$T' \rightarrow \epsilon$
F	$F \rightarrow id$			$F \rightarrow (E)$		

- Two methods to determine if a grammar is LL(1)

- ◆ Construct LL(1) table, and check if there is a multi-rule entry.
- ◆ Check each rule as if the table is getting constructed

G is LL(1) if and only if for any two distinct productions $A \rightarrow \alpha | \beta$:

- ◆ $FIRST(\alpha) \cap FIRST(\beta) = \phi$

- ◆ If β derives ϵ , then $FIRST(\alpha) \cap FOLLOW(A) = \phi$(Mentioned before)

Non-LL(1) Grammar [非LL(1)文法]

- Assume that a grammar is not LL(1). How to solve?
 - ◆ Case1- the language may still be LL(1)
 - Try to **rewrite grammar** to LL(1) grammar [remove left-recursion & left-factoring]
 - Try to **remove ambiguity** in grammar.
 - ◆ Case2- If Case-1 fails, language may not be LL(1)
 - It's impossible to resolve conflict at the grammar level.
 - Programmer chooses which rule to use for conflicting entry (if choosing that rule is always semantically correct)
 - Otherwise, use a more powerful parser (e.g. LL(k), LR(1))

LL(1) Time and Space Complexity[复杂度]

- **Linear** time and space relative to length of input.
- **Time:** each input symbol is consumed within a constant number of steps.
 - ◆ If symbol at top of stack is a terminal: Matched immediately in **one step**.
 - ◆ If symbol at top of stack is a non-terminal:
 - ▣ Matched in at most N steps, where N = number of rules.
 - ▣ Since no left-recursion, cannot apply same rule twice without consuming input.

LL(1) Time and Space Complexity[复杂度]

- **Space:** smaller than input (after removing $X \rightarrow \varepsilon$)
 - ◆ Right side of production is always longer or equal to left side of production
 - Derivation string expands monotonically.
 - Derivation string is always shorter than final input string.
 - ◆ Stack is a subset of derivation string (unmatched portion)
- LL(k)'s size of parse table = $O(|N| * |T|^k)$ [prevent LL(2) ... LL(k) from wide usage]
 - ◆ N = number of non-terminals, T = number of terminals

Summary



- Top-down Parsing; RDP with backtracking, predictive parsing.
- Left Recursion Problem, remove left recursion: immediate / non-immediate.
- Left-factoring.
- FIRST, FOLLOW.
- LL(1)/LL(k) Grammar.
- Recursive / Non-recursive LL(1) parser implementation.
- Use LL(1) Parse Table.
- Construct LL(1) Parse Table.

Mind Map[思维导图]



Syntax Analysis: Top-down parser

