

Device-Independent Quantum Key Distribution (DI-QKD) Secure Against Collective Attacks



UNIVERSIDADE
DE LISBOA



TÉCNICO
LISBOA

Non-Locality and Contextuality
(2nd Semester - 2023/2024)
Técnico Lisboa, ULisboa

by

Rúben André Barreiro

ruben.andre.letra.barreiro@tecnico.ulisboa.pt

Contents

1. Introduction

- Cryptography in the post-quantum era
- What is a Quantum Key Distribution (QKD) protocol?
- Attacks on QKD protocols

2. Problem

- Can a QKD protocol with untrusted quantum devices be secure?

3. Motivation

- What is a Device-Independent - Quantum Key Distribution (DI-QKD) protocol?

4. Results

- What are the ingredients of a DI-QKD protocol?
- How to prove the security of a DI-QKD protocol?
- Possible loopholes for a DI-QKD protocol

5. Conclusions

- Some possible directions and open questions

Introduction

Cryptography in the post-quantum era

❖ Future quantum threats on cryptography include:

❖ **Simon's Algorithm**

- ▶ Brute-force attacks on cryptographic key spaces
- ▶ Impact on Advanced Standard Encryption (AES)!

❖ **Grover's Algorithm**

- ▶ Brute-force attacks on cryptographic key spaces
- ▶ AES-128 and AES-192 are no longer secure!

❖ **Brassard-Høyer-Tapp (BHT) Algorithm**

- ▶ Combination of Grover's algorithm and Birthday Paradox
- ▶ SHA-3-224 and SHA-3-256 are no longer secure!

❖ **Shor's Algorithm**

- ▶ Solves factorization and discrete logarithm problems
- ▶ Completely breaks Rivest-Shamir-Adleman (RSA) and Elliptic Curve Cryptography (ECC)!

❖ **New public-key cryptography and key exchanges are needed!**

Cryptography in the post-quantum era

Two new main approaches arise...

	(Classical) Post-Quantum Cryptography	Quantum Cryptography
Foundation	(Still Believed) Hard Mathematical Problems	Quantum Mechanics and Physics
Type of Information	Classical	(Mainly) Quantum
Encoding	N/A	Discrete-Variables (DV) for qubits or Continuous-Variables (CV) for qumodes
Strategies	N/A	Prepare-and-Measure or Entanglement
Families	Lattice-based, Code-based, Hash-based, Isogeny-based, Multivariate, and Zero-Knowledge Proofs (ZKPs)	Quantum Key Distribution (QKD), Semi-Quantum Key Distribution (QKD), Quantum Conference Key Agreement (QCKA), Quantum Digital Signature Scheme (QDSS), Quantum Bit Commitment (QBC), Quantum Oblivious Transfer (QOT), and Quantum Multi-Party Computation (QMPC)
Popular Primitives	CRYSTALS-Kyber, CRYSTALS-Dilithium, FALCON, SPHINCS+, McEliece, HQC, and BIKE	BB84, B92, SSP, SARG04, E91, BBM92, KMB09, T12, Decoy State, Squeezed State, DPS, MSZ96, GG02

Table 1: Overview of the two main approaches for cryptography in the post-quantum era

What is a Quantum Key Distribution (QKD) protocol?

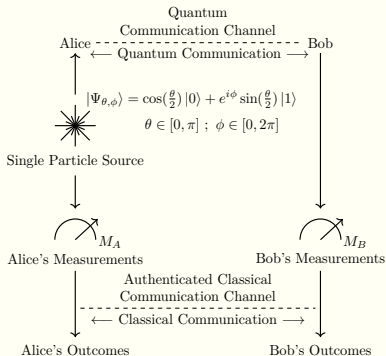


Figure 1a: High-level procedure schematic of a Prepare-and-Measure QKD protocol

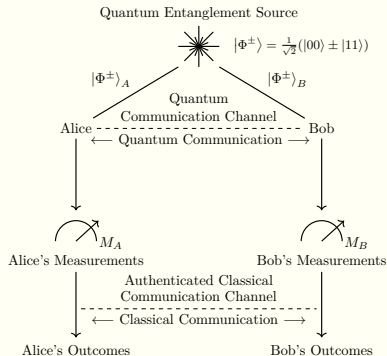


Figure 1b: High-level procedure schematic of an Entanglement-based QKD protocol

What is a Quantum Key Distribution (QKD) protocol?

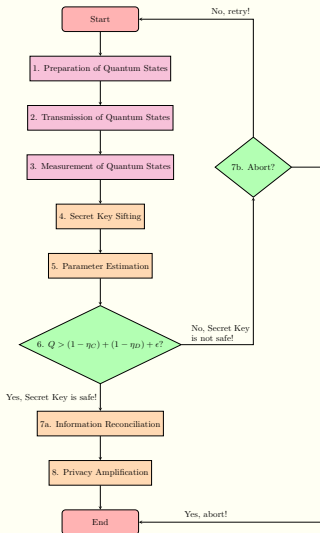


Figure 2: Flowchart of a QKD protocol

1. Preparation of Quantum States

- ❑ Single Particles, Entangled Particles, Coherent States, Fock States, etc.

2. Transmission of Quantum States

- ❑ Uses a quantum communication channel with a certain efficiency η_C
- ❑ “Flying” quantum states can be eavesdropped, introducing a noise ϵ

3. Measurement of Quantum States

- ❑ Uses quantum measurement devices with a certain efficiency η_D
- ❑ Composes a raw key

4. Secret Key Sifting

- ❑ Identifies which protocol rounds can be used to compose a sifted key

What is a Quantum Key Distribution (QKD) protocol?

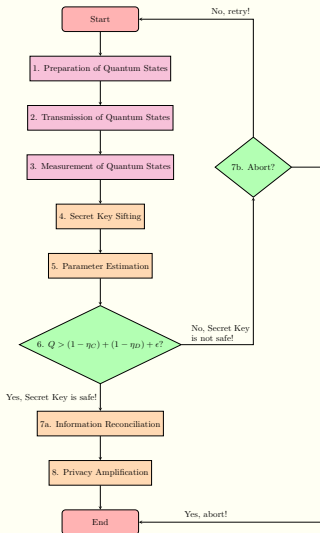


Figure 2: Flowchart of a QKD protocol

5. Parameter Estimation

- ❑ Samples and evaluates the Quantum Bit Error Rate (QBER) Q
- ❑ Estimates the key rate
- ❑ Estimates the secure mutual information between Alice and Bob
- ❑ Estimates the Holevo bound

6. (Eavesdropping detected?)

$$\Rightarrow Q > (1 - \eta_C) + (1 - \eta_D) + \epsilon ?$$

7a. Yes! \Rightarrow Information Reconciliation

- ▶ Applies an Error Correction Code (ECC) to correct the sifted into an error-free key
- ▶ These ECC algorithms include:
 - **Cascade protocol, Winnow protocol, and Low-Density Parity-Check (LDPC) codes**
- ▶ Can be accelerated by classical software and hardware (e.g., **OpenMP, CUDA**, etc.)

7b. No! \Rightarrow Abort? (or Retry?)

What is a Quantum Key Distribution (QKD) protocol?

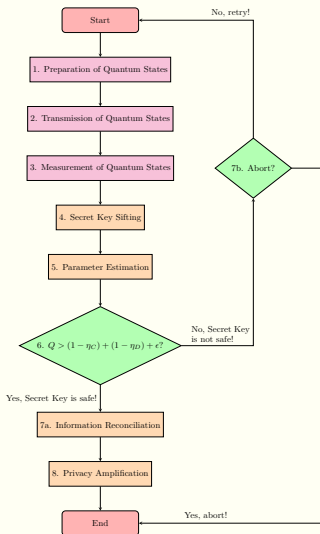


Figure 2: Flowchart of a QKD protocol

8. Privacy Amplification Estimation

- ❑ Applies a Universal Hash Function on the error-free key, composing the final (and amplified) secret key
- ❑ This Universal Hash Function can be a **Toeplitz Hashing** procedure, usually applied using a random seed as well
- ❑ Can be accelerated by classical software and hardware (e.g., **OpenMP**, **CUDA**, etc.)

❑ The **Secret Key Sifting**, **Parameter Estimation**, **Information Reconciliation**, and **Privacy Amplification** steps require an authenticated and interactive exchange of classical information/messages

- ❑ We can achieve it with a **Carter-Wegman Message Authentication Code (CW-MAC)**, requiring an initial small secret defined *a priori*

Attacks on QKD protocols

❖ ***Independent and identically distributed (i.i.d.) rounds:***

- ❖ The devices behave independently and in the same way
- ❖ The quantum states distributed are always the same

❖ **There are three main attacks on QKD protocols:**

❖ **Individual Attacks:**

- ▶ The eavesdropper has no quantum memory
- ▶ The eavesdropper can only attack individually each round

❖ **Collective Attacks:**

- ▶ The eavesdropper has no quantum memory
- ▶ The eavesdropper can perform arbitrary global operations

❖ **Coherent Attacks:**

- ▶ The eavesdropper has quantum memory (trace of rounds)
- ▶ The eavesdropper can perform arbitrary global operations
- ▶ The parties' quantum states can be arbitrarily correlated

Problem

❖ **Considering Entanglement-based QKD protocols:**

- ❖ The entangled particles are emitted from a common source
- ❖ The parties measure each particle on a randomly chosen basis
- ❖ Here, we assume that:
 - ▶ The locations of the parties (Alice and Bob) are secure
 - ▶ Alice and Bob trust their measuring devices
- ❖ The source of the entangled particles:
 - ▶ Does not need to be trusted by Alice and Bob
 - ▶ Might be under the control of an eavesdropper (Eve)

❖ **And about untrusted quantum measurement devices?**

- ❖ No guarantees on the expected measurement bases
- ❖ No assumptions on the dimension of the Hilbert Space

Motivation

- ❖ **Device-Independent - Quantum Key Distribution (DI-QKD)** is a concept of security for QKD protocols that:
 - ❖ **Seeks to ensure the security of QKD protocols:**
 - ▶ **Without considering any details about the internal working of the quantum devices being used:**
 - The quantum devices can be imperfect, untrusted, or manipulated by a malicious party
 - ▶ **Based on the violation of Bell Inequalities**, ensuring:
 - Quantum correlations between the quantum devices
 - The security is inferred directly from those quantum correlations observed on the outcomes
 - Do not exist any local hidden variables
 - ❖ **It is a “holy-grail” on Quantum Cryptography!**

❖ **Device-Independent - Quantum Key Distribution (DI-QKD)** requires the following basic assumptions:

- ❖ **The physical locations of the parties are secure**
 - No unwanted information can leak out to the outside
- ❖ **The parties have a Trusted Random Number Generator (TRNG), producing a classical random output**
 - Possibly, one derived from thermal noise or based on a Quantum Random Number Generator (QRNG)
- ❖ **The parties have trusted classical devices**
 - Capable of storing and processing the classical data generated by their quantum devices
- ❖ **The parties share a public authenticated classical communication channel**
 - The parties can start with a small shared secret
- ❖ **Quantum Mechanics is correct (and well-defined)**

❖ Some reasons lead the (usual) QKD protocols to be insecure in Device-Independent (DI) scenarios:

❖ Sometimes they produce classical correlations

- ▶ We can reproduce them without quantum mechanics
- ▶ We can generate them from a set of classical random data shared by the parties' systems

❖ Those classical correlations can be written as:

$$- P(ab|XY) = \sum_{\lambda} P(\lambda) \times D(a|X, \lambda) \times D(b|Y, \lambda)$$

Where:

- λ is a classical variable with probability distribution $P(\lambda)$, shared by the parties' quantum devices
- $D(a|X, \lambda)$ is a function that completely specifies Alice's outputs once the input X and the variable λ are given
- $D(b|Y, \lambda)$ is a function that completely specifies Bob's outputs once the input Y and the variable λ are given

❖ Some reasons lead the (usual) QKD protocols to be insecure in Device-Independent scenarios:

- ❖ A copy of λ will give the full information about the outputs a and b to Eve, once the inputs X and Y are announced
- ❖ However... The strategy for these correlations is not available to the eavesdropper **if the outputs a and b :**
 - **Are correlated in a non-local way**
 - **Violate a Bell Inequality**
- ❖ Therefore, **the violation of a Bell Inequality is a key requirement for the security of DI-QKD protocols!**

Results

What are the ingredients of a DI-QKD protocol?

❖ Let's consider the following QKD protocol:

- ❖ Alice and Bob share an entangled Werner quantum state

- ▶ $\rho_{AB} = p|\Phi^+\rangle\langle\Phi^+| + (1-p)\frac{\mathbb{I}}{4}$

Where: $|\Phi^+\rangle = \frac{1}{\sqrt{2}}(|00\rangle + |11\rangle)$ and

the term $\frac{\mathbb{I}}{4}$ represents white noise

- ❖ They choose a measurement to apply to their particles for each round, resulting on binary outcomes, where:

- ▶ Alice has three measurements choices: $X \in \{A_0, A_1, A_2\}$
 - $A_0 = \sigma_z$ • $A_1 = \frac{(\sigma_z + \sigma_x)}{\sqrt{2}}$ • $A_2 = \frac{(\sigma_z - \sigma_x)}{\sqrt{2}}$
 - ▶ Bob has two measurements choices: $Y \in \{B_0, B_1\}$
 - $B_1 = \sigma_z$ • $B_2 = \sigma_x$
 - ▶ The binary outcomes are denoted as $\{+1, -1\}$

What are the ingredients of a DI-QKD protocol?

❖ Recall that:

$$\rho_{AB} = \begin{bmatrix} \frac{(1+p)}{4} & 0 & 0 & \frac{p}{2} \\ 0 & \frac{(1-p)}{4} & 0 & 0 \\ 0 & 0 & \frac{(1-p)}{4} & 0 \\ \frac{p}{2} & 0 & 0 & \frac{(1+p)}{4} \end{bmatrix}$$

$$\rho_{AB} = \begin{bmatrix} \frac{(1+p)}{4} & 0 & 0 & \frac{p}{2} \\ 0 & \frac{(1-p)}{4} & 0 & 0 \\ 0 & 0 & \frac{(1-p)}{4} & 0 \\ \frac{p}{2} & 0 & 0 & \frac{(1+p)}{4} \end{bmatrix}$$

$$A_0 = B_1 = \sigma_z = \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix} \quad A_1 = \frac{(\sigma_z + \sigma_x)}{\sqrt{2}} = \begin{bmatrix} \frac{1}{\sqrt{2}} & \frac{1}{\sqrt{2}} \\ \frac{1}{\sqrt{2}} & -\frac{1}{\sqrt{2}} \end{bmatrix}$$

$$B_2 = \sigma_x = \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix} \quad A_2 = \frac{(\sigma_z - \sigma_x)}{\sqrt{2}} = \begin{bmatrix} \frac{1}{\sqrt{2}} & \frac{1}{\sqrt{2}} \\ \frac{1}{\sqrt{2}} & -\frac{1}{\sqrt{2}} \end{bmatrix}$$

What are the ingredients of a DI-QKD protocol?

✚ Regarding this QKD protocol:

- ✚ The (initial) raw key is extracted from the resulting outcomes of the pair of measurements $\{A_0, B_1\}$:
 - ▶ For which the QBER Q is defined as follows:
 - $Q = P(a \neq b|01) = P(a \neq b|A_0, B_1) =$
 $= P(a = 0, b = 1|A_0, B_1) + P(a = 1, b = 0|A_0, B_1)$
 - ▶ In this context, the QBER Q is used for:
 - Estimating the amount of quantum correlations between the parties, using the same measurement
 - Quantifying the amount of classical communication required for the Error Correction protocol/code during the Information Reconciliation step

What are the ingredients of a DI-QKD protocol?

❖ Regarding this QKD protocol:

- ❖ The measurements A_1, A_2, B_1 , and B_2 are used on a subset of the particles to estimate the Clauser-Horne-Shimony-Holt (CHSH) polynomial:
 - ▶ $S = \langle a_1 b_1 \rangle + \langle a_1 b_2 \rangle + \langle a_2 b_1 \rangle - \langle a_2 b_2 \rangle$
 - Where the correlators are defined as
$$\langle a_i b_j \rangle = P(a = b | i, j) - P(a \neq b | i, j)$$
 - ▶ The CHSH polynomial is used by the parties to:
 - Bound Eve's potential partial information about the key
 - Define how much secret information leaked to Eve needs to be reduced during the Privacy Amplification step
- ❖ **The parameters Q and S are used to estimate the information available to a potential eavesdropper**

What are the ingredients of a DI-QKD protocol?

❖ Regarding this QKD protocol:

- ❖ The CHSH polynomial's correlations satisfy:

- ▶ $Q = \frac{1}{2} - \frac{p}{2} \Leftrightarrow \frac{p}{2} = \frac{1}{2} - Q \Leftrightarrow p = 1 - 2Q$

- ▶ $S = 2\sqrt{2}p = 2\sqrt{2}(1 - 2Q)$

- ❖ Regarding the CHSH polynomial, we have:

- ▶ Classically correlated data, for $p \leq \frac{1}{\sqrt{2}}$, and thus, $S \leq 2$

- In this case, secure DI-QKD protocol is not possible

- ▶ Maximal quantum violation, for $p = 1$, and thus, $S = 2\sqrt{2}$

- In this case, the potential eavesdropper has
has no available information about the secret key

- ▶ **Now, we can interpolate for the range $\frac{1}{\sqrt{2}} < p \leq 2\sqrt{2}$!**

- ❖ To bound the eavesdropper's information:

- ▶ **No assumptions about:**

- **Behaviour of quantum measurements choices X and Y**
- **Dimension of the quantum systems ρ_{AB}**

How to prove the security of a DI-QKD protocol?

❖ Let's consider some eavesdropping strategies:

❖ For the most general attacks:

- ▶ The only data available to the parties to bound the eavesdropper's knowledge is:
 - The observed relation between the inputs and outputs
- ▶ No assumptions on the type of quantum measurements and quantum physical systems used are made
- ▶ Generally, we can model these attacks as a tripartite entangled quantum state $|\Psi\rangle_{ABE} \in \mathcal{H}_A^{\otimes n} \otimes \mathcal{H}_B^{\otimes n} \otimes \mathcal{H}_E$
 - Where: n is the number of bits of the raw key
- ▶ The size of the Hilbert Space of the parties' systems is:
 - Unknown to the parties
 - Fixed (and known) to the eavesdropper

How to prove the security of a DI-QKD protocol?

❖ Let's consider some eavesdropping strategies:

❖ Focusing on collective attacks:

- ▶ The eavesdropper applies the same attack to each quantum physical system of the parties
 - The quantum states are *i.i.d.*, and thus, $|\Psi\rangle_{ABE} = |\psi\rangle_{ABE}^{\otimes n}$
- ▶ The quantum measurement devices
 - Have no memory register
 - Behave *i.i.d.* in every round of the QKD protocol
- ▶ The (asymptotic) secret key rate r has a lower bound given by the Devetak-Winter key rate r_{DW} formula:
 - $r \geq r_{DW} = \underbrace{I(A_0 : B_1)}_{\text{Mutual information between Alice and Bob}} - \underbrace{\chi(B_1 : E)}_{\text{Holevo quantity between Eve and Bob}}$

How to prove the security of a DI-QKD protocol?

Let's consider some eavesdropping strategies:

Focusing on collective attacks:

- ▶ The mutual information between Alice and Bob is given as:

$$\bullet I(A_0 : B_1) = \underbrace{H(A_0)}_{\substack{\text{Individual (binary)} \\ \text{Shannon entropy} \\ \text{for Alice}}} + \underbrace{H(B_1)}_{\substack{\text{Individual (binary)} \\ \text{Shannon entropy} \\ \text{for Bob}}} - \underbrace{H(A_0, B_1)}_{\substack{\text{Joint (binary)} \\ \text{Shannon entropy} \\ \text{for Alice and Bob}}}$$

- ▶ Since we assume uniform marginals, we also have:

$$\bullet I(A_0 : B_1) = 1 - \underbrace{H(Q)}_{\substack{\text{Individual (binary)} \\ \text{Shannon entropy on QBER}}}$$

- ▶ The Holevo quantity between Eve and Bob is given as:

$$\bullet \chi(B_1 : E) = S(\rho_E) - \frac{1}{2} \sum_{b_1=\pm 1} S(\rho_{E|b_1})$$

Where:

- ρ_E denotes the Eve's quantum state after (partially) tracing out Alice and Bob's particles, i.e., $\rho_E = \text{Tr}_{AB} (|\psi\rangle_{ABE} \langle \psi|_{ABE})$
- $\rho_{E|b_1}$ denotes the Eve's quantum state when Bob has obtained the outcome result b_1 for the measurement setting $B_1 = \sigma_z$

How to prove the security of a DI-QKD protocol?

❖ Let's consider some eavesdropping strategies:

❖ Security against collective attacks:

- ▶ The optimal collective attack occurs when:
 - The tripartite entangled quantum state $|\psi\rangle_{ABE}$ is the purification of the (original) bipartite entangled quantum state ρ_{AB}
 - The Holevo quantity $\chi(B_1 : E)$ achieves its possible largest value (compatible with the parameters Q and S)

❖ When the parties symmetrize their uniform marginals:

- ▶ $\chi(B_1 : E) \leq h\left(\frac{1+\sqrt{(\frac{S}{2})^2-1}}{2}\right)$ } Theorem for DI-QKD

❖ Considering the optimal collective attack:

- ▶ We have to consider $\chi(B_1 : E) = h\left(\frac{1+\sqrt{(\frac{S}{2})^2-1}}{2}\right)$
 - Without violating the Bell Inequality (for $S \leq 2$), the Holevo bound will be $\chi(B_1 : E) \leq h\left(\frac{1}{2}\right) \Leftrightarrow \chi(B_1 : E) \leq 1$ (full information for Eve)
- ▶ The key rate is given by $r \geq 1 - h(Q) - h\left(\frac{1+\sqrt{(\frac{S}{2})^2-1}}{2}\right)$

How to prove the security of a DI-QKD protocol?

❖ Simplifying the calculations for the DI-QKD protocol...

- ❖ Recall that for the CHSH polynomial, we have:

- ▶ $S = 2\sqrt{2}(1 - 2Q)$

- ❖ We can simplify the (maximum) Holevo bound $\chi(B_1 : E)$ and Devetak-Winter key rate r_{DW} for the DI-QKD protocol:

- ▶ $\chi(B_1 : E) = h\left(\frac{1 + \sqrt{\left(\frac{S}{2}\right)^2 - 1}}{2}\right) = h\left(\frac{1 + \sqrt{\left(\frac{2\sqrt{2}(1-2Q)}{2}\right)^2 - 1}}{2}\right)$

- ▶ $r \geq r_{DW} = 1 - h(Q) - h\left(\frac{1 + \sqrt{\left(\frac{S}{2}\right)^2 - 1}}{2}\right) =$
 $= 1 - h(Q) - h\left(\frac{1 + \sqrt{\left(\frac{2\sqrt{2}(1-2Q)}{2}\right)^2 - 1}}{2}\right)$

How to prove the security of a DI-QKD protocol?

❖ Simplifying the calculations for the (usual) Entanglement-based (E91) QKD protocol...

- ❖ Recall that for the CHSH polynomial, we have:

- ▶ $S = 2\sqrt{2}(1 - 2Q)$

- ❖ The respective Holevo bound is given as follows:

- ▶ $\chi(B_1 : E) \leq h\left(Q + \frac{S}{2\sqrt{2}}\right)$

- ❖ We can simplify the (maximum) Holevo bound $\chi(B_1 : E)$ and the Devetak-Winter key rate r_{DW} for the (usual) Entanglement-based QKD protocol:

- ▶
$$\begin{aligned}\chi(B_1 : E) &= h\left(Q + \frac{S}{2\sqrt{2}}\right) = h\left(Q + \frac{2\sqrt{2}(1-2Q)}{2\sqrt{2}}\right) = \\ &= h(Q + (1 - 2Q)) = h(1 - Q)\end{aligned}$$

- ▶
$$\begin{aligned}r \geq r_{DW} &= 1 - h(Q) - h\left(Q + \frac{S}{2\sqrt{2}}\right) = \\ &= 1 - h(Q) - h\left(Q + \frac{2\sqrt{2}(1-2Q)}{2\sqrt{2}}\right) \\ &= 1 - h(Q) - h(Q + (1 - 2Q)) = 1 - h(Q) - h(1 - Q)\end{aligned}$$

How to prove the security of a DI-QKD protocol?

❖ How do QBERs Q impact the (usual) Entanglement-based QKD and DI-QKD protocols?

• For Holevo bounds:

- Greater Holevo bounds for the DI-QKD protocol
- We can easily detect the presence of an eavesdropper for the DI-QKD protocol, allowing to tolerate better the QBER
- For a QBER Q around 14%, the eavesdropper has all the information about the raw key in the DI-QKD protocol

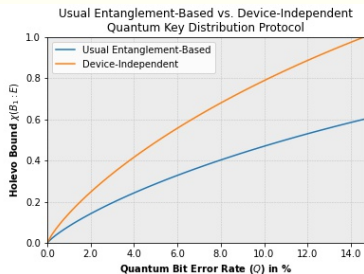


Figure 3: Holevo bounds with respect to QBER Q

• For Devetak-Winter key rates:

- Lower Devetak-Winter key rates for the DI-QKD protocol
- The noise introduced by the eavesdropper will have greater impact on the DI-QKD protocol, reducing more the key rate
- For a QBER Q around 7%, no extractable secure raw key will be possible in the DI-QKD protocol

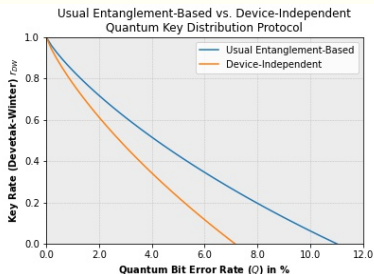


Figure 4: Devetak-Winter key rates with respect to QBER Q

Possible loopholes for a DI-QKD protocol

❖ What is a loophole in Bell experiments?

- ❖ Flaw/gap in the experiment that may allow the results to:
 - ▶ Be explained by local-hidden variables theory
 - ▶ Be falsely interpreted as violating Bell's Inequality due to imperfections or limitation in the design of the experiment

❖ There are two main loopholes in Bell experiments:

❖ Locality loophole

- ▶ The quantum measurement devices can:
 - Be close to each other and/or delay their actions
 - Communicate between themselves and influence the outcomes

❖ Detection loophole

- ▶ The quantum measurement devices can:
 - Fail to detect and measure all the incoming particles
 - Not receive the incoming particles due to transmission losses

❖ Local models explain and determine these loopholes!

Possible loopholes for a DI-QKD protocol

❖ What is a loophole-free Bell experiment?

❖ A Bell experiment requiring:

1. A party cannot know any information about the other party's input before producing its own output
2. Measurement devices with high detection efficiencies
 - Ex.: A detection efficiency $\eta_D > 82.8\%$, for the CHSH Inequality

❖ Loopholes in the perspective of a DI-QKD protocol

❖ How to circumvent the locality loophole?

- ▶ Considerable spatial separation between the parties
 - No sub-luminal signals travel between their quantum devices
- ▶ Proper and secure isolation of the parties' locations
 - No unwanted information can leak to the outside

Possible loopholes for a DI-QKD protocol

❖ Loopholes in the perspective of a DI-QKD protocol

❖ How to circumvent the detection loophole?

- ▶ (Possible) Post-selection on the measurement data
 - Discard no-detection events and keep only the events on which both quantum measurement devices produce an outcome
- ▶ Fair sampling assumption
 - The sample of detected particles is a fair, random and unbiased sample of the set of all particles
 - No correlations between the quantum state of the particles and their detection probability
 - Clearly unjustified for DI-QKD protocols, where we assume that the quantum devices can be provided by an untrusted party
 - **This loophole still needs to be closed for DI-QKD protocols!**

Possible loopholes for a DI-QKD protocol

❖ Handling detection loophole for a DI-QKD protocol

❖ Addressing no-detection events for the security proof:

- ▶ We need to consider all the measurement outcomes
 - Detection “ ± 1 ” and no-detection outcomes “ \perp ”
- ▶ A possible strategy is to consider only two of them
 - Replace all no-detection outcomes “ \perp ” by outcome “ -1 ”

❖ How to overcome the detection loophole?

▶ For quantum transmission losses:

- Use heralded quantum memories (with quantum repeaters)

▶ For detector losses:

- Perform tomography tests only on the quantum detectors
- Use trusted quantum detectors or trusted calibration devices

❖ For a detector efficiency η_D :

- ▶ The CHSH polynomial's correlations satisfy now:

$$\bullet Q = \eta_D(1 - \eta_D) \quad \bullet S = 2\sqrt{2}\eta_D^2 + 2(1 - \eta_D)^2$$

Possible loopholes for a DI-QKD protocol

- Reformulating both the Holevo bound $\chi(B_1 : E)$ and key rate r_{DW} , taking into account a detector efficiency η_D :

- For DI-QKD protocol:**

- $$\begin{aligned}\chi(B_1 : E) &= h\left(\frac{1 + \sqrt{\left(\frac{\xi}{2}\right)^2 - 1}}{2}\right) = \\ &= h\left(\frac{1 + \sqrt{\left(\frac{2\sqrt{2}\eta_D^2 + 2(1-\eta_D)^2}{2}\right)^2 - 1}}{2}\right)\end{aligned}$$

- $$\begin{aligned}r \geq r_{DW} &= 1 - h(Q) - h\left(\frac{1 + \sqrt{\left(\frac{\xi}{2}\right)^2 - 1}}{2}\right) = \\ &= 1 - h(\eta_D(1 - \eta_D)) - h\left(\frac{1 + \sqrt{\left(\frac{2\sqrt{2}\eta_D^2 + 2(1-\eta_D)^2}{2}\right)^2 - 1}}{2}\right)\end{aligned}$$

Possible loopholes for a DI-QKD protocol

- Reformulating both the Holevo bound $\chi(B_1 : E)$ and key rate r_{DW} , taking into account a detector efficiency η_D :

- For (usual) Entanglement-based QKD protocol:**

- $$\begin{aligned}\chi(B_1 : E) &= h\left(Q + \frac{S}{2\sqrt{2}}\right) = \\ &= h\left(\eta_D(1 - \eta_D) + \frac{2\sqrt{2}\eta_D^2 + 2(1 - \eta_D)^2}{2\sqrt{2}}\right)\end{aligned}$$
- $$\begin{aligned}r \geq r_{DW} &= 1 - h(Q) - h\left(Q + \frac{S}{2\sqrt{2}}\right) = \\ &= 1 - h(\eta_D(1 - \eta_D)) - \\ &\quad - h\left(\eta_D(1 - \eta_D) + \frac{2\sqrt{2}\eta_D^2 + 2(1 - \eta_D)^2}{2\sqrt{2}}\right)\end{aligned}$$

How to prove the security of a DI-QKD protocol?

❖ How detector efficiencies η_D impact the (usual) Entanglement-based QKD and DI-QKD protocols?

• For Holevo bounds:

- Greater Holevo bounds for the DI-QKD protocol
- We can detect the presence of an eavesdropper easier for the DI-QKD protocol, but requiring higher detector efficiencies
- For a detector efficiency $\eta_D = 83\%$, the eavesdropper has all the information about the raw key in the DI-QKD protocol

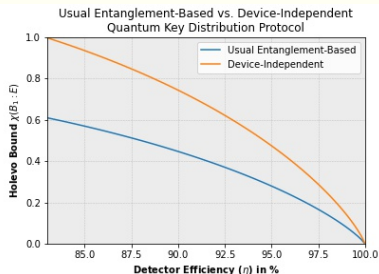


Figure 5: Holevo bounds for a detector efficiency η_D

• For Devetak-Winter key rates:

- Lower Devetak-Winter key rates for the DI-QKD protocol
- The detector inefficiency will have greater impact on the DI-QKD protocol, reducing more the key rate
- For a detector efficiency $\eta_D = 92.4\%$, no extractable secure raw key will be possible in the DI-QKD protocol

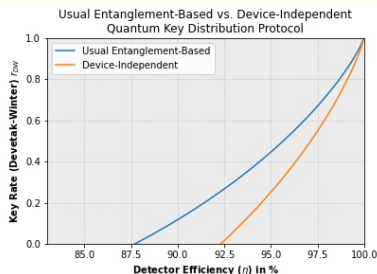


Figure 6: Devetak-Winter key rates for a detector efficiency η_D

Conclusion

Some possible directions and open questions

❖ Possible directions:

- ❖ Consider other quantum cryptographic protocols:
 - ▶ Based on different Bell inequalities
 - ▶ Even under the assumption of collective attacks
- ❖ Consider situations in which the eavesdropper may:
 - ▶ Have partial information about measurement settings

❖ Open questions:

- ❖ **How is the security of the DI-QKD protocol modified for two-way Information Reconciliation techniques?**
 - ▶ Is a Bell inequality violation sufficient for security?
- ❖ **Is de Finetti theorem extendable to the DI scenario?**
 - ▶ Does the security against collective attacks implies security against the most general type of attacks?

Thanks for your attention!