## **Answer Set Programming**

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- Answer Set Programming
  - Introduction
  - Normal Logic Programs
  - Modeling

- Disjunctive Logic Programs
- Nested Logic Programs
- Propositional Theories
- Computational Complexity



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Bibliography

- In the 1950's, John McCarthy expressed the need to use logic-based languages for representing and reasoning about knowledge
- First attempts used classical logic of the predicate calculus (First Order Logic)
  - well defined semantics
  - well understood inference mechanism
  - expressive power capable of representing mathematical knowledge
- However, common-sense reasoning is inherently non-monotonic, leading to the development of non-monotonic logics (late 1970's and 1980's)
  - circumscription
  - default logic
  - non-monotonic modal logics

- Also in the 1970's, others were investigating the idea of combining logic as a representation language with the theory of automated deduction.
- Kowalski and Colmerauer et al. defined and implemented the first PROLOG interpreter, based on a model theoretic, fixpoint and operational semantics for the Horn-clause fragment.
- The beginning of the paradigm of Logic Programming
- Formal foundations of LP during late 1970's:
  - least model semantics (van Emden and Kowalski)
  - first PROLOG compiler (Warren)
  - program completion (Clark)
  - closed world assumption (Reiter) leading to negation-as-finite-failure in PROLOG

Logic Programming introduced Declarative Programming in Computer Science.

- Procedural Language: specify how
- Declarative Language: specify what

Algorithm = Logic + Control (Kowalski, 1979)

#### Features of Prolog (Colmerauer, Kowalski)

- Declarative (relational) programming language
- Based on SLD(NF) Resolution
- Top-down query evaluation
- Terms as data structures
- Parameter passing by unification
- Solutions are extracted from instantiations of variables occurring in the query

Prolog is only almost declarative! To see this, consider:

above
$$(X, Y) := on(X, Y)$$
.  
above $(X, Y) := on(X, Z)$ , above $(Z, Y)$ .

and compare it to

above
$$(X, Y) := above(Z, Y), on(X, Z).$$
  
above $(X, Y) := on(X, Y).$ 

An interpretation in classical logic amounts to

$$\forall xy(on(x,y) \lor \exists z(on(x,z) \land above(z,y)) \supset above(x,y))$$

- Prolog offers negation as failure via operator not.
- But, for instance,

cannot be captured by

$$info(a) \land \forall x(\neg info(x) \supset ask(x))$$

but by appeal to Clark's completion by

$$\forall x(x = a \equiv info(x)) \land \forall x(\neg info(x) \equiv ask(x)) \Leftrightarrow \\ \Leftrightarrow info(a) \land \forall x(x \neq a \equiv ask(x))$$

# The idea of completion

In LP one uses "if" but means "iff" [Clark78]

```
naturalN(0).

naturalN(s(N)) :- naturalN(N).
```

- This doesn't imply that -1 is not a natural number!
- With this program we mean:

$$naturalN(x) \Leftrightarrow \forall x(x=0 \lor \exists y(x=s(y) \land naturalN(y)))$$

- This is the idea of Clark's completion:
  - Syntactically transform if's into iff's
  - Use classical logic in the transformed theory to provide the semantics of the program

# **Completion Semantics**

### Definition (Program Completion)

The completion of P is the theory comp(P) obtained by:

- Replace  $p(\vec{t}) \leftarrow \varphi$  by  $p(\vec{x}) \leftarrow \vec{x} = \vec{t}, \varphi$
- Replace  $p(\vec{x}) \leftarrow \varphi$  by  $p(\vec{x}) \leftarrow \exists \vec{y} \varphi$ , where  $\vec{y}$  are the original variables of the rule
- Merge all rules with the same head into a single one  $p(\vec{x}) \leftarrow \varphi_1 \lor \cdots \lor \varphi_n$
- For every  $q(\vec{x})$  without rules, add  $q(\vec{x}) \leftarrow \bot$
- Replace  $p(\vec{x}) \leftarrow \varphi$  by  $\forall \vec{x} (p(\vec{x}) \Leftrightarrow \varphi)$

#### **Definition (Completion Semantics)**

The completion semantics of P is given by the semantics of comp(P) where not is interpreted as classical negation.

- Though completion's definition is not that simple, the idea behind it is quite simple
- Also, it defines a non-classical semantics by means of classical inference on a transformed theory

## SLDNF proof procedure

- By adopting completion, procedurally we have:
  - not is "negation as finite failure"

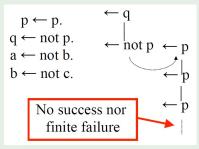
#### Definition (SLDNF Proof Procedure)

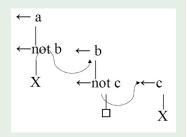
In SLDNF proceed as in SLD. To prove not A:

- If there is a finite derivation for A, fail not A
- If, after any finite number of steps, all derivations for A fail, remove not A from the resolvent (i.e. succeed not A)
- SLDNF can be efficiently implemented (cf. Prolog)

# SLDNF example

#### Example





#### According to completion:

- $comp(P) \models \{not \ a, \ b, \ not \ c\}$
- $comp(P) \not\models p, comp(P) \not\models not p$
- $comp(P) \not\models q, comp(P) \not\models not q$

## Problems with completion

• Some consistent programs may became inconsistent:

```
p ← not p becomes p ⇔ not p
```

Does not correctly deal with deductive closures

```
edge(a,b). edge(c,d). edge(d,c). reachable(a). reachable(A) \leftarrow edge(A,B), reachable(B).
```

- Completion doesn't conclude not reachable(c), due to the circularity caused by edge(c, d) and edge(d, c)
- Circularity is a procedural concept, not a declarative one

- Clark's completion has other problems:
- For example:

```
bird(tweety).
fly(X) :- bird(X), notabnormal(X).
abnormal(X) :- irregular(X).
irregular(X) :- abnormal(X).
```

...does not allow the conclusion that tweety flies.

- Or even more complex yet analogous situations.
- An explanation would be: "the rules for abnormal and irregular cause a loop".
  - But looping is a procedural concept, not a declarative one, and should be rejected when defining declarative semantics

- While the Logic Programming community was developing PROLOG into a full fledged Programming Language...
- Some devoted their time to the development of appropriate semantics for logic programs with negation.
- The 1980's and early 1990's saw "the war of the semantics", mainly focusing on the meaning of programs like:

```
a :- not b.a :- not a.
```

Great Schism: Single model vs multiple model semantics

## LP for Knowledge Representation

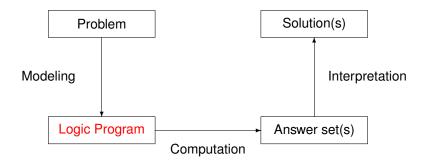
- Due to its declarative nature, LP has become a prime candidate for Knowledge Representation and Reasoning
- This has been more noticeable since its relations to other NMR formalisms were established
- For this usage of LP, a precise declarative semantics was in order.
- To date:
  - Well-Founded Semantics by van Gelder et al. (1991).
  - Stable Model Semantics by Gelfond & Lifschitz (1988,1991).

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## Problem solving in ASP: Syntax



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# Normal Logic Programs: Syntax

#### Definition (Rule)

A (normal) rule, *r*, is an ordered pair of the form

$$A_0 \leftarrow A_1, \ldots, A_m, not \ A_{m+1}, \ldots, not \ A_n,$$

where  $n \ge m \ge 0$ , and each  $A_i$   $(0 \le i \le n)$  is an atom.

#### Definition (Logic Program)

A (normal) logic program is a finite set of rules.

#### Notation

$$\begin{array}{lcl} \textit{head}(r) & = & \textit{A}_0 \\ \textit{body}(r) & = & \{\textit{A}_1, \dots, \textit{A}_m, \textit{not } \textit{A}_{m+1}, \dots, \textit{not } \textit{A}_n\} \\ \textit{body}^+(r) & = & \{\textit{A}_1, \dots, \textit{A}_m\} \\ \textit{body}^-(r) & = & \{\textit{A}_{m+1}, \dots, \textit{A}_n\} \end{array}$$

# Normal Logic Programs: Syntax

#### Definition (Positive Logic Program)

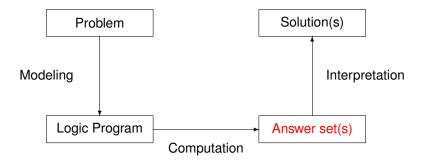
A program is called positive if  $body^-(r) = \emptyset$  for all its rules.

#### Notation

We often use the following notation interchangeably in order to stress the respective view:

				negation	classical
	if	and	or	as failure	negation
source code	:-	,		not	_
logic program	$\leftarrow$	,	;	not/ $\sim$	$\neg$
formula	$\rightarrow$	$\wedge$	$\vee$		$\neg$

## Problem solving in ASP: Semantics



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# Positive Logic Programs: Semantics

#### **Definition** (Closure)

A set of atoms X is closed under a positive program  $\Pi$  iff for any  $r \in \Pi$ ,  $head(r) \in X$  whenever  $body^+(r) \subseteq X$ .

X corresponds to a model of Π (seen as a formula).

#### Definition ( $Cn(\Pi)$ )

The least (smallest) set of atoms which is closed under a positive program Π is denoted by  $Cn(\Pi)$ .

•  $Cn(\Pi)$  corresponds to the  $\subseteq$ -least model of  $\Pi$  (seen as a formula).

### Definition (Answer Set of a Positive Logic Program)

The set  $Cn(\Pi)$  of atoms is the answer set of a positive program  $\Pi$ .

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## Some "logical" remarks

- Positive rules are also referred to as definite clauses.
  - Definite clauses are disjunctions with exactly one positive atom:

$$A_0 \vee \neg A_1 \vee \cdots \vee \neg A_m$$

- A set of definite clauses has a (unique) smallest model.
- Horn clauses are clauses with at most one positive atom.
  - Every definite clause is a Horn clause but not vice versa.
  - A set of Horn clauses has a smallest model or none.
- This smallest model is the intended semantics of a set of Horn clauses.
  - Given a positive program  $\Pi$ ,  $Cn(\Pi)$  corresponds to the smallest model of the set of definite clauses corresponding to  $\Pi$ .

## Another "logical" remark

Answer sets versus (minimal) models

- Program  $\{a \leftarrow not \ b\}$  has answer set  $\{a\}$ .
- Clause  $a \lor b$  (being equivalent to  $a \leftarrow \neg b$ )
  - has models {a}, {b}, and {a, b},
  - among which {a} and {b} are minimal.
- The negation-as-failure operator *not* makes a difference!

## Normal Logic Programs: Semantics

Informally, a set of atoms X is an answer set of a logic program  $\Pi$ 

- if X is a (classical) model of  $\Pi$  and
- if all atoms in X are justified by some rule in Π
  - rooted in intuitionistic logics HT (Heyting, 1930) and G3 (Gödel, 1932))

#### Example

Consider the logical formula  $\Phi$  and its three (classical) models:

$$\Phi \quad q \land (q \land \neg r \rightarrow p)$$

$$\{p, q\}, \{q, r\}, \text{ and } \{p, q, r\}.$$

This formula has one answer set:

### Answer set: Basic idea

For instance, interpreting

$$\left\{\begin{array}{ll} b & \leftarrow \\ a & \leftarrow & b, \ \textit{not} \ c \end{array}\right\} \quad \text{as} \quad b \wedge (b \wedge \neg c \rightarrow a), \ \text{that is,} \ b \wedge (a \vee c) \ ,$$

we obtain

- 3 models: {a,b}, {b,c}, and {a,b,c},
- 2 minimal models:  $\{a, b\}$  and  $\{b, c\}$ , and
- 1 stable model: {a,b} x ← answer set

Informally, a set of atoms X is an answer set of a logic program  $\Pi$ 

- if X is a minimal (classical) model of Π<sup>1</sup> and
- if all atoms in X are justified by some rule in  $\Pi$ .

<sup>&</sup>lt;sup>1</sup>That is, interpreting ' $\leftarrow$ ', ',', and 'not' as in classical logic.

# Normal Logic Programs: Semantics

### Definition (GL-Reduct (Gelfond and Lifschitz 1988))

The reduct,  $\Pi^X$ , of a program  $\Pi$  relative to a set of atoms X is given by

$$\Pi^X = \{ head(r) \leftarrow body^+(r) \mid r \in \Pi \text{ and } body^-(r) \cap X = \emptyset \}.$$

Intuitively, given a set of atoms X from  $\Pi$ ,  $\Pi^X$  is obtained from  $\Pi$  by:

- deleting each rule having a *not A* in its body with  $A \in X$ , and then
- deleting all negative atoms of the form *not A* in the bodies of the remaining rules.

### Definition (Answer Set of a Normal Logic Program)

A set X of atoms is an answer set of a program  $\Pi$  iff  $Cn(\Pi^X) = X$ .

Intuition: X is stable under "applying rules from  $\Pi$ "

Note: Every atom in X is justified by an "applying rule from  $\Pi$ "

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# Normal Logic Programs: Examples

### Example (First Example)

$$\Pi = \{ p \leftarrow p. \qquad q \leftarrow not \ p. \}$$

Χ	$\Pi^X$	$Cn(\Pi^X)$	
Ø	<i>p</i> ← <i>p</i> .	{ <b>q</b> }	X
	<i>q</i> ←		
{ <b>p</b> }	<i>p</i> ← <i>p</i> .	Ø	X
{ <b>q</b> }	<i>p</i> ← <i>p</i> . <i>q</i> ←	{ <b>q</b> }	~
{ <i>p</i> , <i>q</i> }	<i>p</i> ← <i>p</i> .	Ø	X

# Normal Logic Programs: Examples

#### Example (Even Loop)

$$\Pi = \{ p \leftarrow \textit{not } q. \qquad q \leftarrow \textit{not } p. \}$$

X	$\Pi^X$	$Cn(\Pi^X)$	
Ø	<i>p</i> ←	{ <i>p</i> , <i>q</i> }	X
	$q \leftarrow$		
{ <i>p</i> }	<i>p</i> ←	{ <b>p</b> }	~
{ <b>q</b> }		{ <b>q</b> }	<b>V</b>
	$q \leftarrow$		
{ <i>p</i> , <i>q</i> }		Ø	X

## Normal Logic Programs: Examples

### Example (Odd Loop)

$$\Pi = \{ p \leftarrow not \ p. \}$$

Χ	$\sqcap^X$	$Cn(\Pi^X)$	
Ø	<i>p</i> ←	{ <b>p</b> }	X
{ <i>p</i> }		Ø	X

# **Answer Sets: Properties**

### **Property**

If X is an answer set of a logic program  $\Pi$ , then X is a model of  $\Pi$  (seen as a formula).

#### Property (Minimality)

Every answer set X of  $\Pi$  is a minimal model of  $\Pi$  (wrt.  $\subseteq$ ).

#### Property (Supportedness)

If X is an answer sets of a logic program  $\Pi$ , and  $p \in X$ , then  $\exists r \in \Pi$  such that head(r) = p and  $body^{-}(r) \cap X = \emptyset$  and  $body^{+}(r) \subseteq X$ .

### Answer Sets: Alternative Definition

#### Definition (Modified-Reduct (Faber et al. 2004))

The modified reduct,  $\Pi_X$ , of a program  $\Pi$  relative to a set of atoms X is given by

$$\Pi_X = \{r \in \Pi \mid body^+(r) \subseteq X \text{ and } body^-(r) \cap X = \emptyset\}.$$

Intuitively, given a set of atoms X from  $\Pi$ ,  $\Pi_X$  (dubbed the set of generating rules of X wrt.  $\Pi$ ) is obtained from  $\Pi$  by:

• deleting each rule having a body literal that is false w.r.t. X.

### Definition (Answer Set of a Normal Logic Program - Alternative)

A set X of atoms is an answer set of a program  $\Pi$  iff  $X \in \min_{\subseteq}(\Pi_X)$ , where  $\min_{\subseteq}(\Pi)$  is the set of minimal models of a program  $\Pi$  (wrt.  $\subseteq$ ).

### Theorem (Soundness and completeness of the Alternative Definition)

$$X \in \min_{\subset}(\Pi_X)$$
 iff  $Cn(\Pi^X) = X$ 

# Example: Even Loop Revisited

#### Example (Even Loop)

$$\Pi = \{ p \leftarrow \textit{not } q. \qquad q \leftarrow \textit{not } p. \}$$

Χ	$\Pi_X$	$\min_{\subseteq}(\Pi_X)$	
Ø	$p \leftarrow not q$	{ <i>p</i> },{ <i>q</i> }	X
	$q \leftarrow not p$		
{ <b>p</b> }	$p \leftarrow not q$	{ <i>p</i> }, { <i>q</i> }	V
{ <b>q</b> }	$q \leftarrow not p$	{ <i>p</i> },{ <i>q</i> }	<u> </u>
{ <i>p</i> , <i>q</i> }		Ø	X

### A closer look at Cn

#### Definition (Immediate Consequence Operator)

Let  $\Pi$  be a positive program and X a set of atoms. The immediate consequence operator  $T_{\Pi}$  is defined as follows:

$$T_{\Pi}(X) = \{ head(r) \mid r \in \Pi \text{ and } body(r) \subseteq X \}$$

Let 
$$T_{\Pi}^{0}(X) = X$$
 and  $T_{\Pi}^{i}(X) = T_{\Pi}\left(T_{\Pi}^{i-1}(X)\right)$ .  
Further let  $T_{\Pi} \uparrow^{\omega} = \bigcup_{i=0}^{\infty} T_{\Pi}^{i}(\emptyset)$ .

#### **Theorem**

Let  $\Pi$  be a positive program. Then:

- $Cn(\Pi) = T_{\Pi} \uparrow^{\omega}$ .
- $X \subseteq Y$  implies  $T_{\Pi}(X) \subseteq T_{\Pi}(Y)$ .
- $Cn(\Pi)$  is the least fixpoint of  $T_{\Pi}$ .

## Immediate Consequence Operator: Example

#### Example

 $\Pi = \{ p \leftarrow q \leftarrow r \leftarrow p. \quad s \leftarrow q, t. \quad t \leftarrow r. \quad u \leftarrow v. \}$ 

To see that  $Cn(\Pi) = \{p, q, r, t, s\}$  is the smallest fixpoint of  $T_{\Pi}$ , note that  $T_{\Pi}\{p, q, r, t, s\} = \{p, q, r, t, s\}$  and  $T_{\Pi}X \neq X$  for every  $X \subseteq \{p, q, r, t, s\}$ .

## Logic Programs with Variables

#### Definition (Alphabet)

Let  $\Pi$  be a logic program.

- Herbrand Universe U<sup>Π</sup>: Set of constants in Π.
- Herbrand Base  $B^{\Pi}$ : Set of (variable-free) atoms constructible from  $U^{\Pi}$ . We usually denote  $B^{\Pi}$  as A and call it Alphabet

#### Definition (Grounding of a rule)

Let  $\Pi$  be a logic program (with variables). The ground instantiation of a rule  $r \in \Pi$  is the set of variable-free rules obtained by replacing all variables in r by elements from  $U^{\Pi}$ :

$$ground(r) = \{r\theta \mid \theta : var(r) \rightarrow U^{\Pi}\}$$

where var(r) stands for the set of all variables occurring in r and  $\theta$  is a (ground) substitution.

## Logic Programs with Variables

#### Definition (Grounding of a Program)

Let  $\Pi$  be a logic program (with variables). The Ground Instantiation of a program  $\Pi$  is the set of all ground instantiations of its rules

$$ground(\Pi) = \bigcup_{r \in \Pi} ground(r)$$

### Definition (Answer Set a Logic Program with Variables)

Let  $\Pi$  be a normal logic program with variables. A set of ground atoms X (i.e.  $X \subseteq B^{\Pi}$ ) is an answer set of  $\Pi$  iff X is an answer set of G or G iff

$$Cn(ground(\Pi)^X) = X$$

## Logic Programs with Variables: Example

#### Example

Consider the program:

$$\Pi = \{ r(a,b) \leftarrow r(b,c) \leftarrow t(X,Y) \leftarrow r(X,Y). \}$$

We have:

$$U^{\Pi} = \{a, b, c\}$$

$$B^{\Pi} = \begin{cases} r(a, a), r(a, b), r(a, c), r(b, a), r(b, b), r(b, c), r(c, a), r(c, b), r(c, c), \\ t(a, a), t(a, b), t(a, c), t(b, a), t(b, b), t(b, c), t(c, a), t(c, b), t(c, c) \end{cases}$$

$$ground(\Pi) = \left\{ \begin{array}{l} r(a,b) \leftarrow \\ r(b,c) \leftarrow \\ t(a,a) \leftarrow r(a,a).\ t(b,a) \leftarrow r(b,a).\ t(c,a) \leftarrow r(c,a). \\ t(a,b) \leftarrow r(a,b).\ t(b,b) \leftarrow r(b,b).\ t(c,b) \leftarrow r(c,b). \\ t(a,c) \leftarrow r(a,c).\ t(b,c) \leftarrow r(b,c).\ t(c,c). \leftarrow r(c,c) \end{array} \right\}$$

## Logic Programs with Variables: Example

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$$ground(\Pi) = \left\{ egin{array}{l} r(a,b) \leftarrow \\ r(b,c) \leftarrow \\ t(a,b) \leftarrow \end{array} 
ight. 
ight.$$

Intelligent grounding!

### Safety

- A normal rule is safe, if each of its variables also occurs in some positive body literal
- A normal program is safe, if all of its rules are safe

### Example

```
Safe?
d(a)
d(c)
d(d)
p(a,b)
p(b, c)
p(c,d)
p(X,Z) \leftarrow p(X,Y), p(Y,Z)
q(a)
q(b)
q(X) \leftarrow not \ r(X), \frac{d(X)}{d(X)}
r(X) \leftarrow not \ q(X), \frac{d(X)}{d(X)}
s(X) \leftarrow not \ r(X), p(X, Y), q(Y)
```

## Programs with Integrity Constraints: Syntax

Integrity constraints eliminate unwanted candidate solutions

### **Definition (Integrity Constraint)**

An integrity constraint is (a special kind of rule) of the form

$$\leftarrow A_1, \ldots, A_m, not \ A_{m+1}, \ldots, not \ A_n.$$

where  $n \ge m \ge 1$ , and each  $A_i$   $(1 \le i \le n)$  is a atom.

#### Example

The integrity constraint

$$\leftarrow$$
 painted(X, C), painted(Y, C), adjacent(X, Y).

intuitively, would prevent the existence of answer sets in which two adjacent nodes (X and Y) are painted with the same colour (C).

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## Programs with Integrity Constraints: Semantics

### Definition (Semantics of Integrity Constraints)

An integrity constraint of the form

$$\leftarrow A_1, \ldots, A_m, not \ A_{m+1}, \ldots, not \ A_n.$$

is mapped into the rule (where x is a new atom not appearing anywhere else in the program)

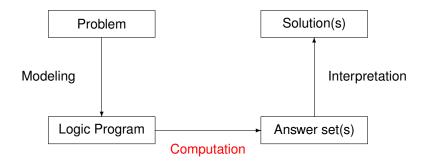
$$x \leftarrow A_1, \ldots, A_m, not \ A_{m+1}, \ldots, not \ A_n, not \ x.$$

#### Example

Compare the answer sets of the following logic programs:

$$\begin{array}{ll} \Pi &= \{ \ p \leftarrow \textit{not} \ q. & q \leftarrow \textit{not} \ p. \} \\ \Pi' &= \{ \ p \leftarrow \textit{not} \ q. & q \leftarrow \textit{not} \ p. & \leftarrow p. \} \\ \Pi'' &= \{ \ p \leftarrow \textit{not} \ q. & q \leftarrow \textit{not} \ p. & \leftarrow \textit{not} \ p. \} \end{array}$$

### Problem solving in ASP: Computation



### Standard Computation Scheme

Global parameters: Logic program Π and its set of atoms A.

### Definition (answerset $_{\Pi}(T, F)$ )

- **○**  $(T,F) \leftarrow propagation_{\Pi}(T,F)$
- ② if  $(T \cap F) \neq \emptyset$  then fail
- select  $A \in \mathcal{A} \setminus (T \cup F)$
- **1** answerset $\Pi(T \cup \{A\}, F)$
- $\bullet$  answerset<sub> $\Pi$ </sub> $(T, F \cup \{A\})$

#### Comments:

- (T, F) is supposed to be a 3-valued model such that  $T \subseteq X$  and  $F \cap X = \emptyset$  for an answer set X of  $\Pi$ .
- Key operations: propagation<sub>Π</sub>(T, F) and 'select A ∈ A \ (T ∪ F)'
- Worst case complexity:  $\mathcal{O}(2^{|\mathcal{A}|})$

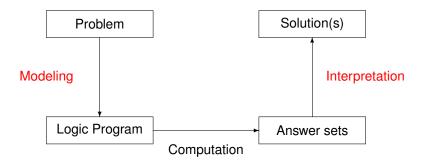
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Bibliography

## Modeling and Interpreting



### Problems as Logic Programs

For solving a problem class P for a problem instance I, encode

- the problem instance I as a set of facts C(I) and
- the problem class P as a set of rules C(P),

such that the solutions to P for I can be (polynomially) extracted from the answer sets of  $C(P) \cup C(I)$ .

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### 3-colorability of graphs

#### **Problem**

Problem instance A graph(V, E).

Problem class Assign each vertex in V one of 3 colors such that no two vertexes in V connected by an edge in E have the same color.

#### Solution

C(I)	$vertex(1) \leftarrow \\ edge(1,2) \leftarrow$	$vertex(2) \leftarrow vertex(3) \leftarrow \\ edge(2,3) \leftarrow edge(3,1) \leftarrow$
C(P)	$colored(V,r) \leftarrow \\ colored(V,b) \leftarrow \\ colored(V,g) \leftarrow \\ \leftarrow$	not colored(V,b), not colored(V,g),vertex(V). not colored(V,r), not colored(V,g),vertex(V). not colored(V,r), not colored(V,b),vertex(V). edge(V,U), colored(V,C), colored(U,C), color(C).
AS's	{ colored(1,r), colore	$ed(2,b), colored(3,g), \dots \}$

### *n*-colorability of graphs (with n = 3)

#### **Problem**

Problem instance  $A \operatorname{graph}(V, E)$ .

Problem class Assign each vertex in V one of n colors such that no two vertexes in V connected by an edge in E have the same color.

#### Solution

```
vertex(1) \leftarrow
C(I)
                                      vertex(2) \leftarrow
                                                                 vertex(3)
          edge(1,2) \leftarrow
                                      edge(2.3) \leftarrow
                                                                 edge(3,1) \leftarrow
C(P)
           color(r) \leftarrow color(b) \leftarrow color(g) \leftarrow
             colored(V,C) \leftarrow not othercolor(V,C), vertex(V), color(C).
           othercolor(V,C) \leftarrow colored(V,C'), C \neq C'
                                      vertex(V), color(C), color(C').
                                     edge(V,U), colored(V,C), colored(U,C),
                                      color(C).
           colored(1,r), colored(2,b), colored(3,g), . . . }
AS's
```

## **Basic Methodology**

### ASP Basic Methodology

Generate and Test (or: Guess and Check) approach.

Generator Generate potential candidates answer sets (typically through non-deterministic constructs)

Tester Eliminate non-valid Candidates (typically through integrity constraints)

#### In a Nutshell...

Logic Program = Data + Generator + Tester [+Optimizer]

# Satisfiability

#### **Problem**

Problem instance A propositional formula  $\phi$ .

Problem class Is there an assignment of propositional variables to true and false such that a given formula  $\phi$  is true.

#### Solution

Consider formula  $(a \lor \neg b) \land (\neg a \lor b)$ .

#### Generator

#### Tester

$$\leftarrow$$
 not a, b

#### Answer set

$$A_1 = \{a,b\}$$
  
 $A_2 = \{a',b'\}$ 

$$a' \leftarrow not a \leftarrow a, not b$$
  
 $b \leftarrow not b'$ 

$$b \leftarrow not b'$$

 $a \leftarrow not a'$ 

$$b' \leftarrow not b$$

Sneak Preview: Generator with a choice rule: {a,b} ←

### Hamiltonian Path

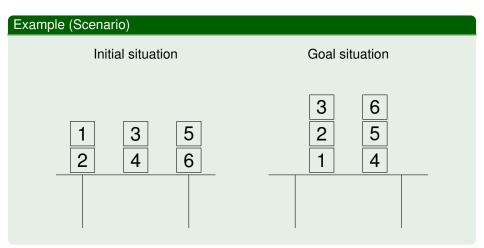
#### **Problem**

Problem instance A directed graph (V, E) and a starting vertex  $v \in V$ .

Problem class Find a path in (V, E) starting at v and visiting all other vertices in V exactly once.

#### Solution

C(I)	vertex/1	а	rc/2 start/1
C(P)	inPath(X,Y)	$\leftarrow$	arc(X,Y), not outPath(X,Y).
	outPath(X,Y)	$\leftarrow$	arc(X,Y), not inPath(X,Y).
		$\leftarrow$	$inPath(X,Y)$ , $inPath(X,Z)$ , $Y \neq Z$ .
		$\leftarrow$	$inPath(X,Y)$ , $inPath(Z,Y)$ , $X \neq Z$ .
	reached(X)	$\leftarrow$	start(X).
	reached(X)	$\leftarrow$	reached(Y),inPath(Y,X).
		$\leftarrow$	vertex(X),not reached(X).
		$\leftarrow$	inPath(Y,X), start(X).



#### Example (Initial Situation)

```
const grippers=2.
const lasttime=3.
block(1..6).
% DEFINE
on(1,2,0).
on(2,table,0).
on(3,4,0).
on(4,table,0).
on(5,6,0).
on(6,table,0).
```

### Example (Goal Situation)

```
% TEST
:- not on(3,2,lasttime).
:- not on(2,1,lasttime).
:- not on(1,table,lasttime).
:- not on(6,5,lasttime).
:- not on(5,4,lasttime).
:- not on(4,table,lasttime).
```

### Example (Generate)

#### Example (Define)

```
% effect of moving a block
on (B, L, T+1) := move(B, L, T),
               block(B), location(L),
                time(T), T<lasttime.
% inertia
on (B, L, T+1): on (B, L, T), not neg_on (B, L, T+1),
                location(L), block(B),
                time(T), T<lasttime.
% uniqueness of location
neq_on(B, L1, T) := on(B, L, T), L!=L1,
                   block(B), location(L), location(L1),
                   time(T).
```

### Example (Test)

```
% neg_on is the negation of on
:- on (B, L, T), neg_on (B, L, T),
   block(B), location(L), time(T).
% two blocks cannot be on top of the same block
:-2 \{ on(B1,B,T) : block(B1) \},
  block(B), time(T).
% a block can't be moved unless it is clear
:- move (B, L, T), on (B1, B, T),
   block(B), block(B1), location(L), time(T), T<lasttime.
% a block can't be moved onto a block that is being moved
:- move (B, B1, T), move (B1, L, T),
   block(B), block(B1), location(L), time(T), T<lasttime.
```

### Example (The Plan)

```
smodels version 2.25. Reading...done
Answer: 1
Stable Model: move(1,table,0) move(3,table,0)
              move(2,1,1) move(5,4,1)
              move(3,2,2) move(6,5,2)
Duration: 0.050
Number of choice points: 0
Number of wrong choices: 0
Number of atoms: 507
Number of rules: 3026
Number of picked atoms: 24
Number of forced atoms: 13
Number of truth assignments: 944
Size of searchspace (removed): 0 (0)
```

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# Disjunctive Logic Programs: Syntax

#### Definition (Disjunctive Rule)

A disjunctive rule, r, is an ordered pair of the form

$$A_1$$
;...;  $A_m \leftarrow A_{m+1}$ ,...,  $A_n$ , not  $A_{n+1}$ ,..., not  $A_o$ ,

where  $o \ge n \ge m \ge 0$ , and each  $A_i$   $(0 \le i \le o)$  is an atom.

#### Definition (Disjunctive Logic Program)

A disjunctive logic program is a finite set of disjunctive rules.

#### Notation

$$\begin{array}{lcl} \textit{head}(r) & = & \{A_1, \dots, A_m\} \\ \textit{body}(r) & = & \{A_{m+1}, \dots, A_n, \textit{not } A_{n+1}, \dots, \textit{not } A_o\} \\ \textit{body}^+(r) & = & \{A_{m+1}, \dots, A_n\} \\ \textit{body}^-(r) & = & \{A_{n+1}, \dots, A_o\} \end{array}$$

## Disjunctive Logic Programs: Semantics

### Definition (Positive Disjunctive Logic Programs)

A program is called positive if  $body^-(r) = \emptyset$  for all its rules.

#### **Definition (Closure)**

A set X of atoms is closed under a positive program  $\Pi$  iff for any  $r \in \Pi$ ,  $head(r) \cap X \neq \emptyset$  whenever  $body^+(r) \subseteq X$ .

• X corresponds to a model of  $\Pi$  (seen as a formula).

#### Definition (min<sub>C</sub>(Π))

The set of all  $\subseteq$ -minimal sets of atoms being closed under a positive program  $\Pi$  is denoted by  $\min_{\subseteq}(\Pi)$ .

•  $min_{\subseteq}(\Pi)$  corresponds to the  $\subseteq$ -minimal models of  $\Pi$  (seen as a formula).

## Disjunctive Logic Programs: Semantics

#### Definition (Reduct of a Disjunctive Logic Program)

The reduct,  $\Pi^X$ , of a disjunctive program  $\Pi$  relative to a set X of atoms is defined by

$$\Pi^X = \{ head(r) \leftarrow body^+(r) \mid r \in \Pi \text{ and } body^-(r) \cap X = \emptyset \}.$$

### Definition (Answer Set of a Disjunctive Logic Program)

A set X of atoms is an answer set of a disjunctive program  $\Pi$  if  $X \in min_{\subseteq}(\Pi^X)$ .

## Positive Disjunctive Logic Programs: Example

#### Example

$$\Pi = \left\{ \begin{array}{ccc} a & \leftarrow \\ b; c & \leftarrow \end{array} \right\}$$

- The sets  $\{a, b\}$ ,  $\{a, c\}$ , and  $\{a, b, c\}$  are closed under  $\Pi$ .
- We have  $\min_{\subseteq}(\Pi) = \{ \{a, b\}, \{a, c\} \}.$

## 3-colorability of graphs revisited

#### **Problem**

Problem instance A graph (V, E).

Problem class Assign each vertex in V one of 3 colors such that no two vertexes in V connected by an edge in E have the same color.

#### Solution

C(I)	vertex(1) ←	vertex(2)	$\leftarrow$	vertex(3)	$\leftarrow$				
	edge(1,2) ←	⊢ edge(2,3)	$\leftarrow$	edge(3,1)	$\leftarrow$				
C(P)	$colored(V,r)$ ; $colored(V,b)$ ; $colored(V,g) \leftarrow vertex(V)$								
	$\leftarrow$ edge(V,U), colored(V,C), colored(U,C)								
AS's	{ colored(1,r), c	colored(2,b), colored	(3,g), .	}					

## Disjunctive Logic Programs: Examples

#### Example

- $\Pi_1 = \{a \; ; b \; ; c \leftarrow \}$  has answer sets  $\{a\}, \{b\}, \text{ and } \{c\}.$
- $\Pi_2 = \{a ; b ; c \leftarrow, \leftarrow a\}$  has answer sets  $\{b\}$  and  $\{c\}$ .
- $\Pi_3 = \{a \; ; \; b \; ; \; c \leftarrow , \; \leftarrow a \; , \; b \leftarrow c \; , \; c \leftarrow b \}$  has answer set  $\{b, c\}$ .
- Π<sub>4</sub> = {a; b ← c, b ← not a, not c, a; c ← not b} has answer sets {a} and {b}.

### Some properties

#### **Property**

A disjunctive logic program may have zero, one, or multiple stable models

### **Property**

If X is a stable model of a disjunctive logic program  $\Pi$ , then X is a model of  $\Pi$  (seen as a formula)

### **Property**

If X and Y are stable models of a disjunctive logic program  $\Pi$ , then  $X \not\subset Y$ 

### **Property**

If  $A \in X$  for some stable model X of a disjunctive logic program  $\Pi$ , then there is a rule  $r \in \Pi$  such that  $body^+(r) \subseteq X$ ,  $body^-(r) \cap X = \emptyset$ , and  $head(r) \cap X = \{A\}$ 

# Disjunctive Logic Programs: Example with variables

### Example

$$\Pi = \left\{ \begin{array}{l} a(1,2) & \leftarrow \\ b(X) \, ; \, c(Y) & \leftarrow & a(X,Y), \, not \, c(Y) \end{array} \right\}$$
 
$$ground(\Pi) = \left\{ \begin{array}{l} a(1,2) & \leftarrow \\ b(1) \, ; \, c(1) & \leftarrow & a(1,1), \, not \, c(1) \\ b(1) \, ; \, c(2) & \leftarrow & a(1,2), \, not \, c(2) \\ b(2) \, ; \, c(1) & \leftarrow & a(2,1), \, not \, c(1) \\ b(2) \, ; \, c(2) & \leftarrow & a(2,2), \, not \, c(2) \end{array} \right\}$$

For every answer set X of  $\Pi$ , we have

- $a(1,2) \in X$  and
- $\{a(1,1), a(2,1), a(2,2)\} \cap X = \emptyset.$

# Disjunctive Logic Programs: Example with variables

### Example

$$ground(\Pi)^{X} = \left\{ \begin{array}{lll} a(1,2) & \leftarrow & \\ b(1);c(1) & \leftarrow & a(1,1) \\ b(1);c(2) & \leftarrow & a(1,2) \\ b(2);c(1) & \leftarrow & a(2,1) \\ b(2);c(2) & \leftarrow & a(2,2) \end{array} \right\}$$

- Consider  $X = \{a(1,2), b(1)\}.$
- We get  $\min_{\subseteq}(ground(\Pi)^X) = \{ \{a(1,2), b(1)\}, \{a(1,2), c(2)\} \}.$
- X is an answer set of  $\Pi$  because  $X \in \min_{\subseteq} (ground(\Pi)^X)$ .

# Disjunctive Logic Programs: Example with variables

#### Example

$$ground(\Pi)^X = \left\{ \begin{array}{ll} a(1,2) & \leftarrow \\ b(1); c(1) & \leftarrow & a(1,1) \\ b(2); c(1) & \leftarrow & a(2,1) \end{array} \right\}$$

- Consider  $X = \{a(1,2), c(2)\}.$
- We get  $\min_{\subseteq} (ground(\Pi)^X) = \{ \{a(1,2)\} \}.$
- X is no answer set of  $\Pi$  because  $X \notin \min_{\subseteq} (ground(\Pi)^X)$ .

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## Nested Logic Programs: Syntax

#### Definition (Formulas)

Formulas are formed from propositional atoms,  $\top$  and  $\bot$ , using negation-as-failure (*not*), conjunction (,), and disjunction (;).

#### **Definition (Nested Rules)**

A nested rule, r, is an ordered pair of the form

$$F \leftarrow G$$

where F and G are formulas.

#### **Definition (Nested Logic Program)**

A nested program is a finite set of rules.

# Nested Logic Programs: Semantics

#### **Notation**

head(r) = F and body(r) = G.

#### Definition (Satisfaction relation)

The satisfaction relation  $X \models F$  between a set of atoms and a formula F is defined recursively as follows:

- $X \models F$  if  $F \in X$  for an atom F,
- $X \models \top$ ,
- X ⊭ ⊥,
- $X \models (F, G)$  if  $X \models F$  and  $X \models G$ ,
- $X \models (F; G)$  if  $X \models F$  or  $X \models G$ ,
- $X \models not F$  if  $X \not\models F$ .

A set X of atoms satisfies a nested program  $\Pi$ , written  $X \models \Pi$ , iff for any  $r \in \Pi$ ,  $X \models head(r)$  whenever  $X \models body(r)$ .

# Nested Logic Programs: Semantics

#### Definition $(\min_{\subset}(\Pi))$

The set of all  $\subseteq$ -minimal sets of atoms satisfying program  $\Pi$  is denoted by  $\min_{\subseteq}(\Pi)$ .

#### Definition (Reduct of a Formula)

The reduct,  $F^X$ , of a formula F relative to a set X of atoms is defined recursively as follows:

- $F^X = F$  if F is an atom or  $\top$  or  $\bot$ ,
- $(F, G)^X = (F^X, G^X),$
- $(F; G)^X = (F^X; G^X),$
- $(not \ F)^X = \left\{ \begin{array}{ll} \bot & \text{if } X \models F \\ \top & \text{otherwise} \end{array} \right.$

## **Nested Logic Programs: Semantics**

#### Definition (Reduct of a Nested Logic Program)

The reduct,  $\Pi^X$ , of a nested program  $\Pi$  relative to a set X of atoms is defined by

$$\Pi^X = \{ head(r)^X \leftarrow body(r)^X \mid r \in \Pi \}.$$

#### Definition (Answer Set of a Nested Logic Program)

A set X of atoms is an answer set of a nested program  $\Pi$  iff  $X \in \min_{\subseteq}(\Pi^X)$ .

## Nested Logic Programs: Examples

#### Example

- $\Pi_1 = \{(p ; not p) \leftarrow \top\}$ • For  $X = \emptyset$ , we get •  $\Pi_1^\emptyset = \{(p ; \top) \leftarrow \top\}$ •  $\min_{\subseteq}(\Pi_1^\emptyset) = \{\emptyset\}$ .  $\checkmark$ • For  $X = \{p\}$ , we get •  $\Pi_1^{\{p\}} = \{(p ; \bot) \leftarrow \top\}$ •  $\min_{\subseteq}(\Pi_1^{\{p\}}) = \{\{p\}\}$ .  $\checkmark$ •  $\Pi_2 = \{p \leftarrow not \ not \ p\}$ • For  $X = \emptyset$ , we get  $\Pi_2^\emptyset = \{p \leftarrow \bot\}$  and  $\min_{\subseteq}(\Pi_2^\emptyset) = \{\emptyset\}$ .  $\checkmark$ • For  $X = \{p\}$ , we get  $\Pi_2^{\{p\}} = \{p \leftarrow \top\}$  and  $\min_{\subseteq}(\Pi_2^{\{p\}}) = \{\{p\}\}$ .  $\checkmark$
- In general (Intuitionistic Logics HT (Heyting, 1930) and G3 (Gödel, 1932))
  - $F \leftarrow G$ , not not H is equivalent to F; not  $H \leftarrow G$
  - F: not not  $G \leftarrow H$  is equivalent to  $F \leftarrow H$ , not G
  - not not not F is equivalent to not F

## Hamiltonian Paths: Generator Revisited

#### Example

Normal logic programs

$$inPath(X, Y) \leftarrow arc(X, Y), not outPath(X, Y)$$
  
outPath(X, Y)  $\leftarrow arc(X, Y), not inPath(X, Y)$ 

Disjunctive logic programs

$$inPath(X, Y)$$
;  $outPath(X, Y) \leftarrow arc(X, Y)$ 

Nested logic programs

$$inPath(X, Y)$$
; not  $inPath(X, Y) \leftarrow arc(X, Y)$ 

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# Propositional Theories: Syntax

#### **Definition (Formulas)**

Formulas are formed from atoms and  $\bot$  using conjunction ( $\land$ ), disjunction ( $\lor$ ), and implication ( $\to$ ).

#### **Notation**

$$\top = (\bot \to \bot)$$
 $\sim F = (F \to \bot)$  (or: not F)

#### Definition (Propositional Theory)

A propositional theory is a finite set of formulas.

## Propositional Theories: Semantics

#### Definition (Satisfaction relation)

The satisfaction relation  $X \models F$  between a set X of atoms and a (set of) formula(s) F is defined as in propositional logic.

#### Definition (Reduct of a formula)

The reduct,  $F^X$ , of a formula F relative to a set X of atoms is defined recursively as follows:

• 
$$F^X = \bot$$
 if  $X \not\models F$ 

• 
$$F^X = F$$
 if  $F \in X$ 

• 
$$F^X = (G^X \circ H^X)$$
 if  $X \models F$  and  $F = (G \circ H)$  for  $\circ \in \{\land, \lor, \rightarrow\}$ 

If 
$$F = \sim G = (G \to \bot)$$
,  
then  $F^X = (\bot \to \bot) = \top$ , if  $X \not\models G$ , and  $F^X = \bot$ , otherwise.

## **Propositional Theories: Semantics**

#### Definition (Reduct of a Propositional Theory)

The reduct,  $\mathcal{F}^X$ , of a propositional theory  $\mathcal{F}$  relative to a set X of atoms is defined as

$$\mathcal{F}^X = \{ F^X \mid F \in \mathcal{F} \}.$$

#### Definition (Satisfaction of a Propositional Theory)

A set X of atoms satisfies a propositional theory  $\mathcal{F}$ , written  $X \models \mathcal{F}$ , iff  $X \models \mathcal{F}$  for each  $F \in \mathcal{F}$ .

## Propositional Theories: Semantics

### Definition $(\min_{\subseteq}(\mathcal{F}))$

The set of all  $\subseteq$ -minimal sets of atoms satisfying a propositional theory  $\mathcal F$  is denoted by  $\min_\subseteq(\mathcal F)$ .

#### Definition (Answer Set of a Propositional Theory)

A set X of atoms is an answer set of a propositional theory  $\mathcal{F}$  if  $X \in \min_{\subset} (\mathcal{F}^X)$ .

#### Proposition

If X is an answer set of  $\mathcal{F}$ , then  $X \models \mathcal{F}$ .

• In general, this does not imply  $X \in \min_{\subset}(\mathcal{F})!$ 

## Propositional Theories: Two examples

#### Example

- $\mathcal{F}_1 = \{p \lor (p \to (q \land r))\}$ • For  $X = \{p, q, r\}$ , we get •  $\mathcal{F}_1^{\{p,q,r\}} = \{p \lor (p \to (q \land r))\}$  and  $\min_{\subset} (\mathcal{F}_1^{\{p,q,r\}}) = \{\emptyset\}$ .
  - For  $X = \emptyset$ , we get  $\mathcal{F}_1^{\emptyset} = \{ \bot \lor (\bot \to \bot) \}$  and  $\min_{\subset} (\mathcal{F}_1^{\emptyset}) = \{ \emptyset \}$ .
- $\mathcal{F}_2 = \{ p \lor (\sim p \rightarrow (q \land r)) \}$ 
  - For  $X = \emptyset$ , we get  $\mathcal{F}_2^{\emptyset} = \{\bot\}$  and  $\min_{\subseteq} (\mathcal{F}_2^{\emptyset}) = \emptyset$ .  $\times$
  - For  $X = \{p\}$ , we get  $\mathcal{F}_2^{\{p\}} = \{p \lor (\bot \to \bot)\}$  and  $\min_{\subseteq} (\mathcal{F}_2^{\{p\}}) = \{\emptyset\}$ .  $\times$
  - For  $X = \{q, r\}$ , we get  $\mathcal{F}_2^{\{q,r\}} = \{\bot \lor (\top \to (q \land r))\}$  and  $\min_{\subseteq} (\mathcal{F}_2^{\{q,r\}}) = \{\{q, r\}\}$ .

# Propositional Theories: Relationship with Logic Programs

#### Definition (Translation of a nested rule)

The translation,  $\tau[(F \leftarrow G)]$ , of a (nested) rule  $(F \leftarrow G)$  is defined recursively as follows:

- $\tau[(F \leftarrow G)] = (\tau[G] \rightarrow \tau[F]),$
- $\tau[\bot] = \bot$ ,
- $\tau[\top] = \top$ ,
- $\tau[F] = F$  if F is an atom,
- $\tau[\mathsf{not}\ F] = \sim \tau[F],$
- $\tau[(F,G)] = (\tau[F] \wedge \tau[G]),$
- $\tau[(F; G)] = (\tau[F] \vee \tau[G]).$

#### Definition (Translation of a nested logic program)

The translation of a logic program  $\Pi$  is  $\tau[\Pi] = {\tau[r] \mid r \in \Pi}$ .

# Propositional Theories: Relationship with Logic Programs

#### Theorem (Embedding of nested logic programs)

Given a logic program  $\Pi$  and a set X of atoms, X is an answer set of  $\Pi$  iff X is an answer set of  $\tau[\Pi]$ .

#### Example

- The normal logic program  $\Pi = \{p \leftarrow not \ q, \ q \leftarrow not \ p\}$  corresponds to  $\tau[\Pi] = \{\sim q \rightarrow p, \ \sim p \rightarrow q\}$ .
  - Answer sets: {p} and {q}
- The disjunctive logic program  $\Pi = \{p : q \leftarrow\}$  corresponds to  $\tau[\Pi] = \{\top \rightarrow p \lor q\}$ .
  - Answer sets: {p} and {q}
- The nested logic program  $\Pi = \{p \leftarrow not \ not \ p\}$  corresponds to  $\tau[\Pi] = \{\sim p \rightarrow p\}$ .
  - Answer sets: ∅ and {p}

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Bibliography

## **Computational Complexity**

#### Computational Complexity

Let A be an atom and X be a set of atoms.

- For a positive normal logic program Π:
  - Deciding whether X is the answer set of  $\Pi$  is **P**-complete.
  - Deciding whether A is in the answer set of  $\Pi$  is **P**-complete.
- For a normal logic program Π:
  - Deciding whether X is an answer set of  $\Pi$  is **P**-complete.
  - Deciding whether A is in an answer set of  $\Pi$  is **NP**-complete.

# Computational Complexity

#### Computational Complexity

- For a positive disjunctive logic program Π:
  - Deciding whether X is an answer set of  $\Pi$  is **co-NP**-complete.
  - Deciding whether A is in an answer set of  $\Pi$  is **NP**<sup>NP</sup>-complete.
- For a disjunctive logic program Π:
  - Deciding whether X is an answer set of  $\Pi$  is **co-NP**-complete.
  - Deciding whether A is in an answer set of  $\Pi$  is  $NP^{NP}$ -complete.
- For a nested logic program Π:
  - Deciding whether X is an answer set of  $\Pi$  is **co-NP**-complete.
  - Deciding whether A is in an answer set of  $\Pi$  is  $NP^{NP}$ -complete.
- For a propositional theory F:
  - Deciding whether X is an answer set of  $\mathcal{F}$  is **co-NP**-complete.
  - Deciding whether A is in an answer set of  $\mathcal{F}$  is  $\mathbf{NP}^{\mathbf{NP}}$ -complete.

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