

Introduction to Quantum Backflow

Eugenio Mauri

Supervisor: Prof. Claudio Dappiaggi

Co-supervisor: Dot. Nicolò Drago

What Quantum Backflow is?

Setting: Consider a non-relativistic **free particle** in one dimension

What Quantum Backflow is?

Setting: Consider a non-relativistic free particle in one dimension

- Suppose that at time $t = 0$ the particle has **positive momentum** with probability 1.

What Quantum Backflow is?

Setting: Consider a non-relativistic free particle in one dimension

- Suppose that at time $t = 0$ the particle has positive momentum with probability 1.
- Consider the probability $P(t)$ of finding the particle in $x < 0$ at time t . What is the **time-dependence** of $P(t)$?

What Quantum Backflow is?

Setting: Consider a non-relativistic free particle in one dimension

- Suppose that at time $t = 0$ the particle has positive momentum with probability 1.
- Consider the probability $P(t)$ of finding the particle in $x < 0$ at time t . What is the time-dependence of $P(t)$?

Answers:

In **classical physics**: $P(t)$ is always decreasing with time.

What Quantum Backflow is?

Setting: Consider a non-relativistic free particle in one dimension

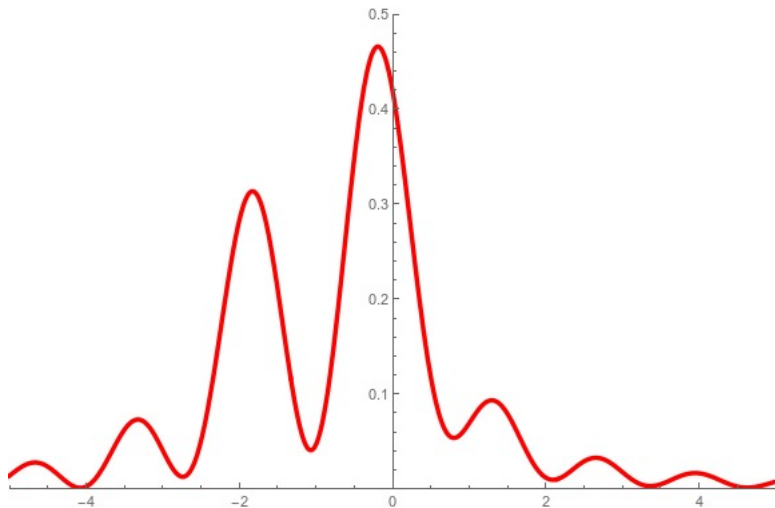
- Suppose that at time $t = 0$ the particle has positive momentum with probability 1.
- Consider the probability $P(t)$ of finding the particle in $x < 0$ at time t . What is the time-dependence of $P(t)$?

Answers:

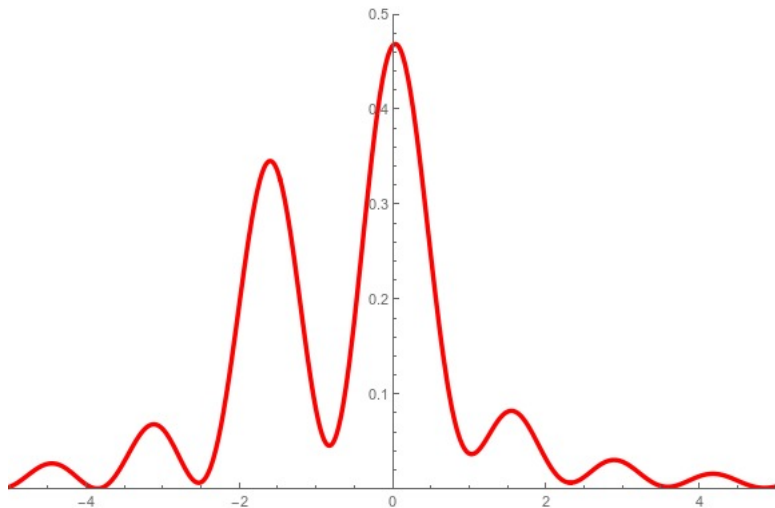
In **classical physics**: $P(t)$ is always decreasing with time.

In **quantum physics**: not necessarily.

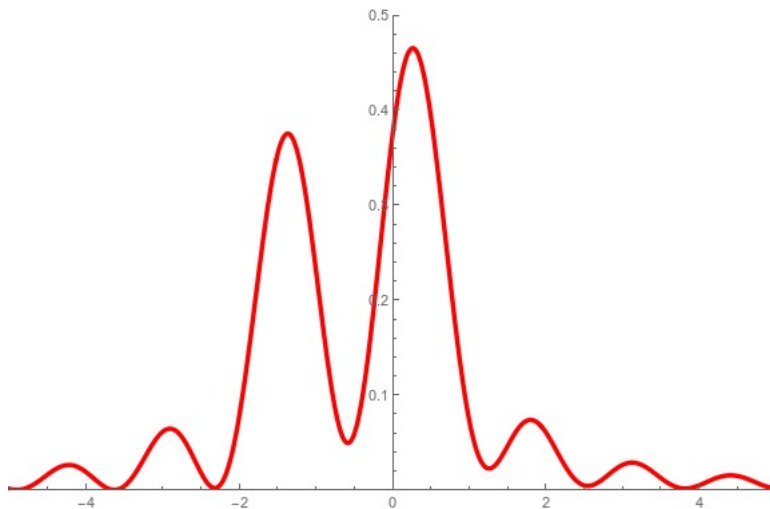
What Quantum Backflow is?



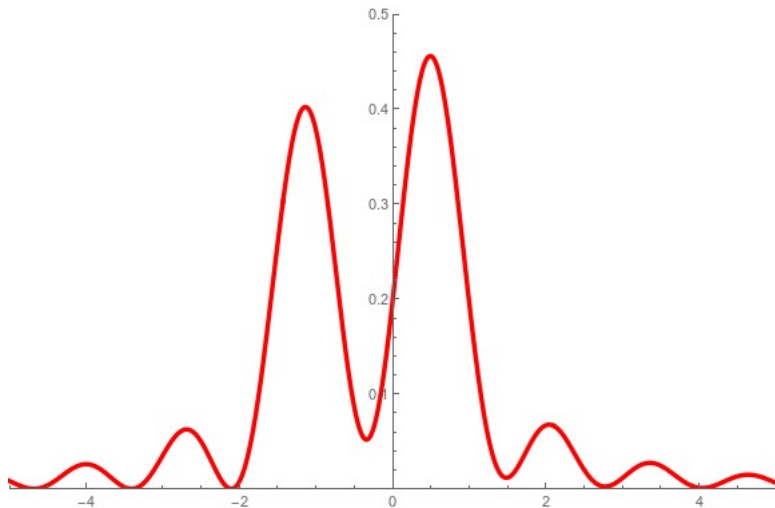
What Quantum Backflow is?



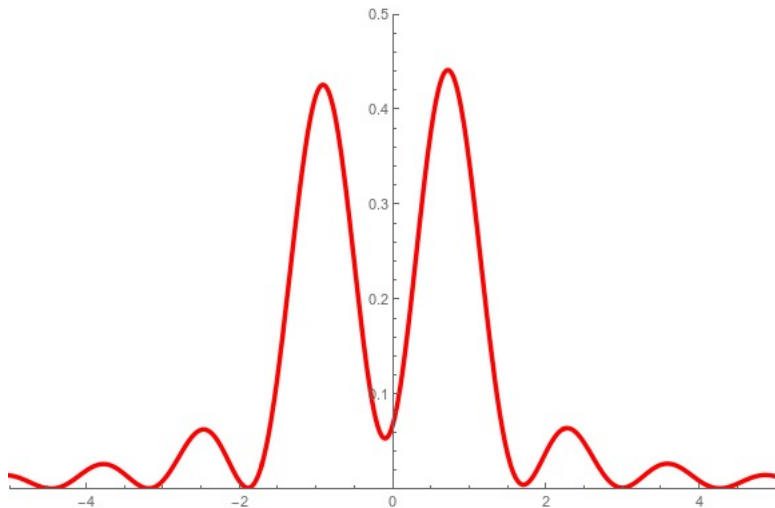
What Quantum Backflow is?



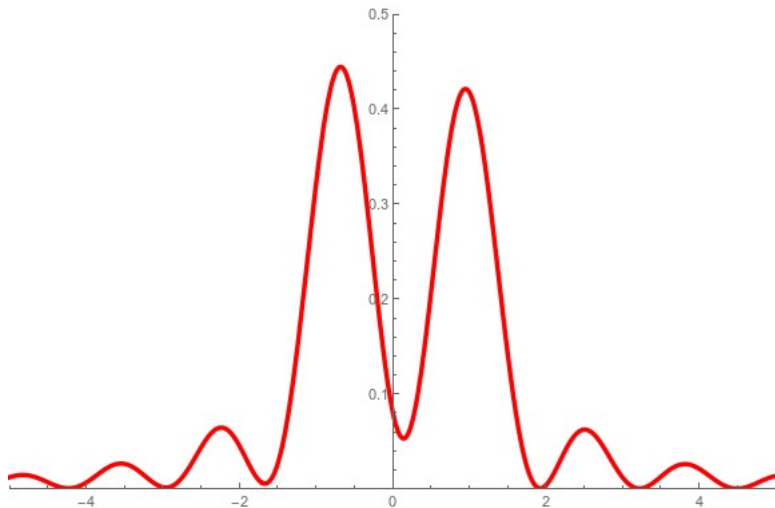
What Quantum Backflow is?



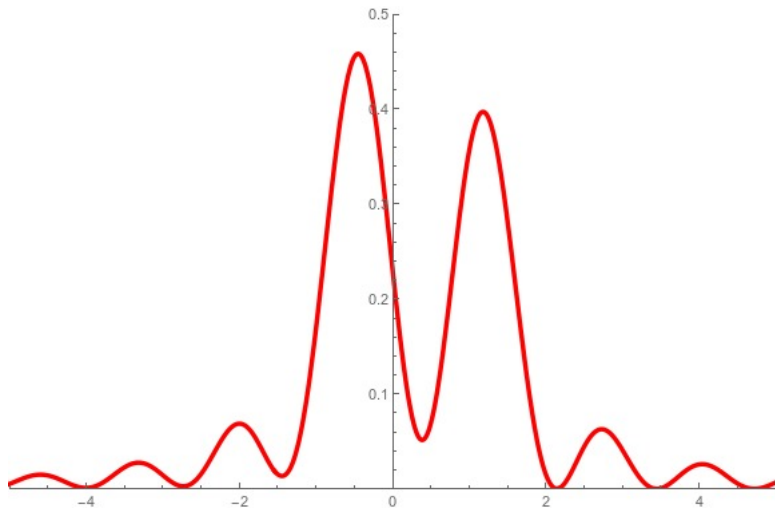
What Quantum Backflow is?



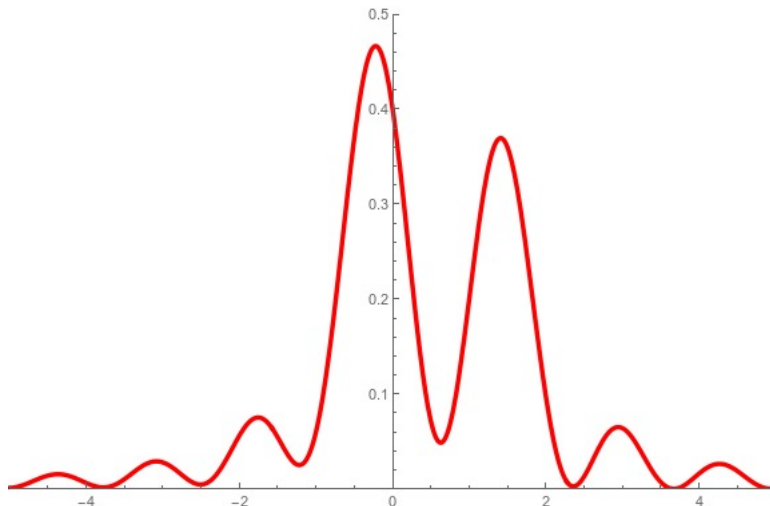
What Quantum Backflow is?



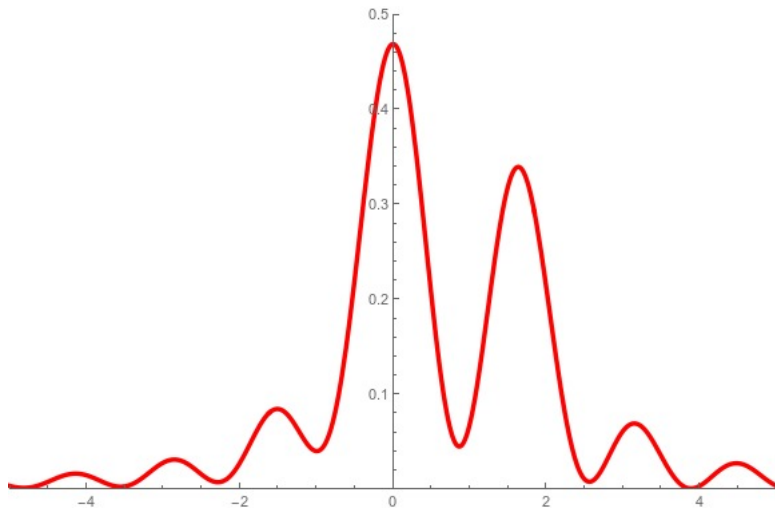
What Quantum Backflow is?



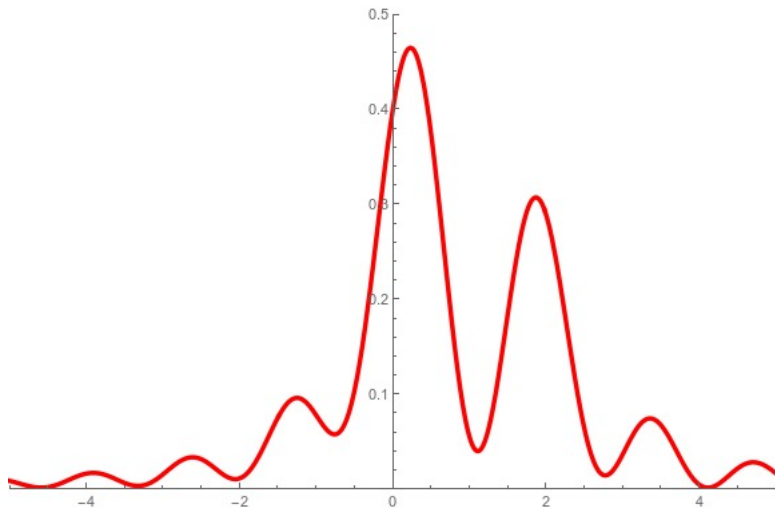
What Quantum Backflow is?



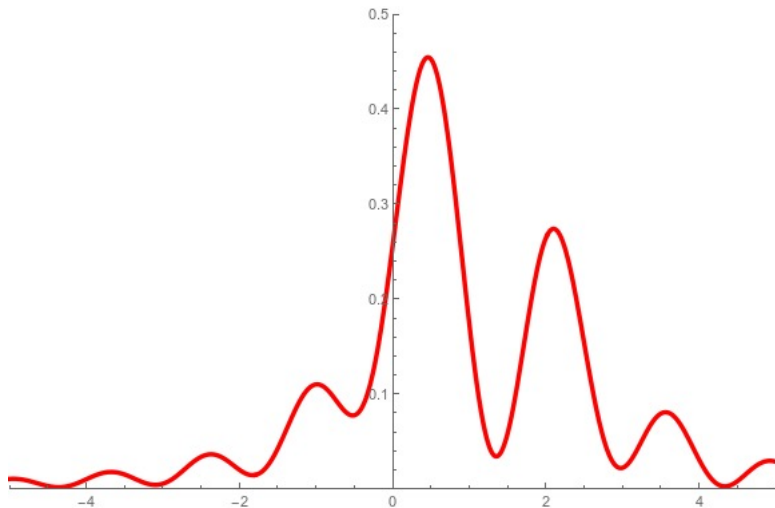
What Quantum Backflow is?



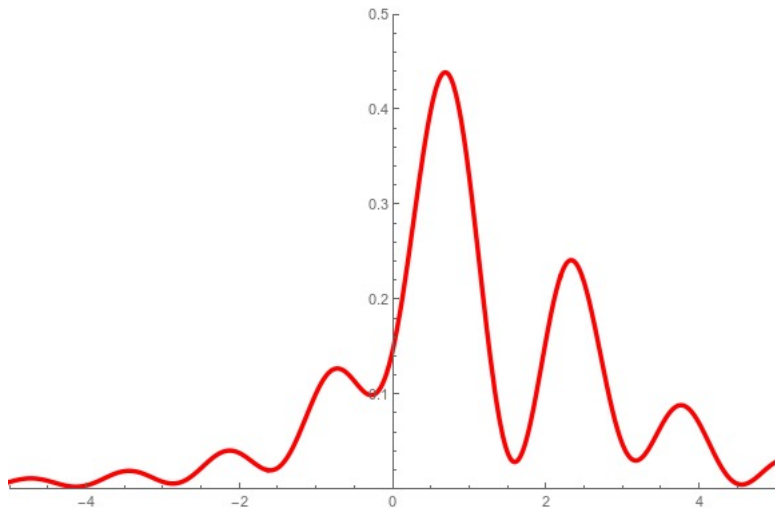
What Quantum Backflow is?



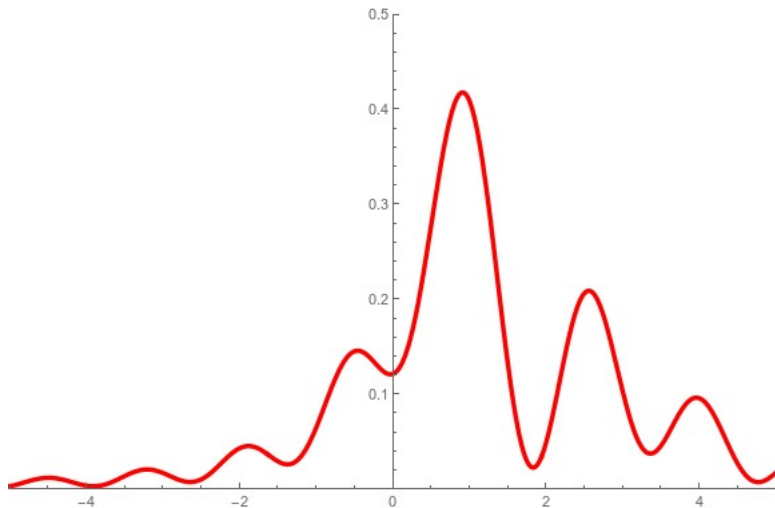
What Quantum Backflow is?



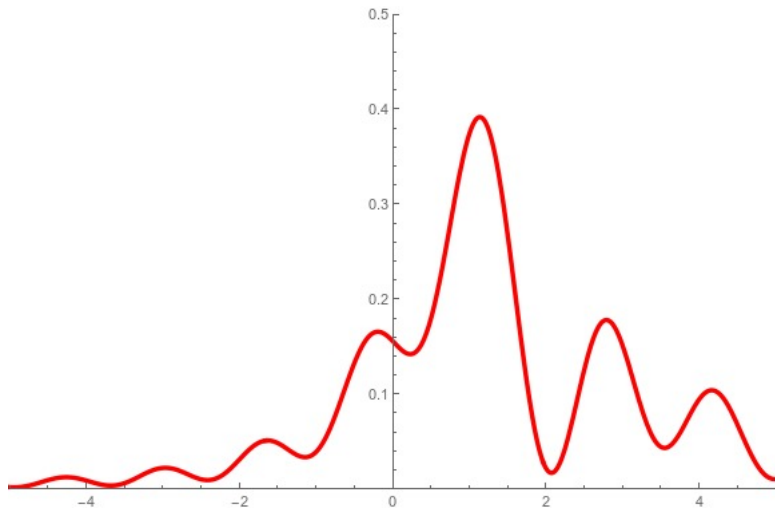
What Quantum Backflow is?



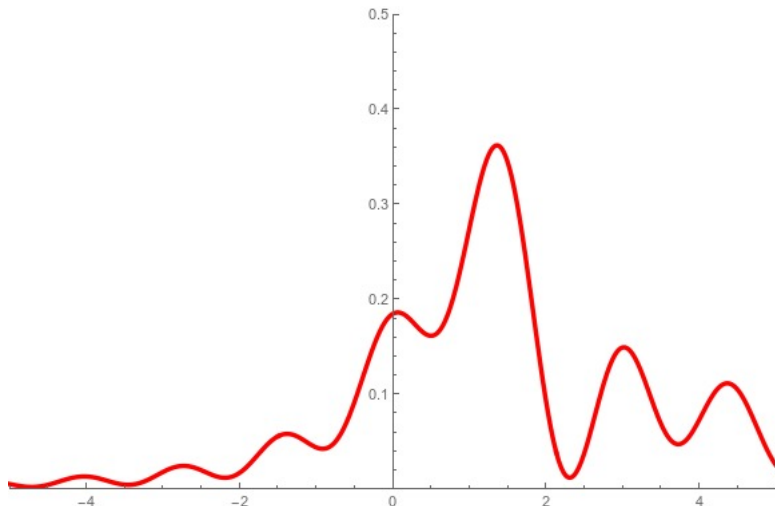
What Quantum Backflow is?



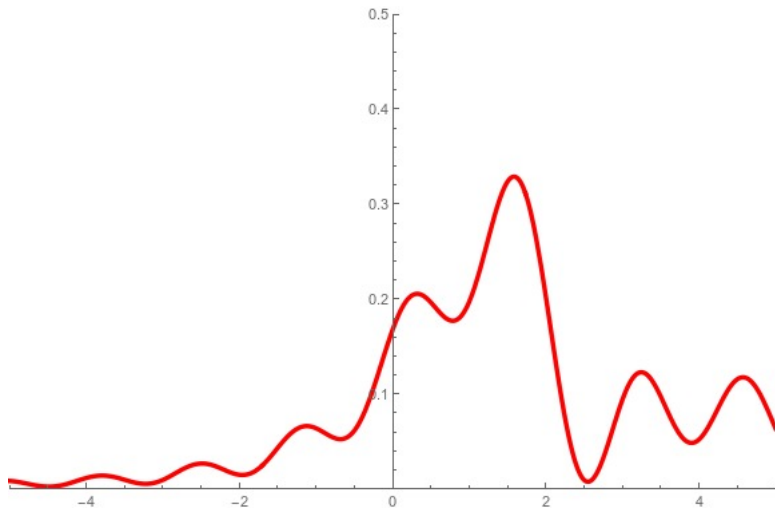
What Quantum Backflow is?



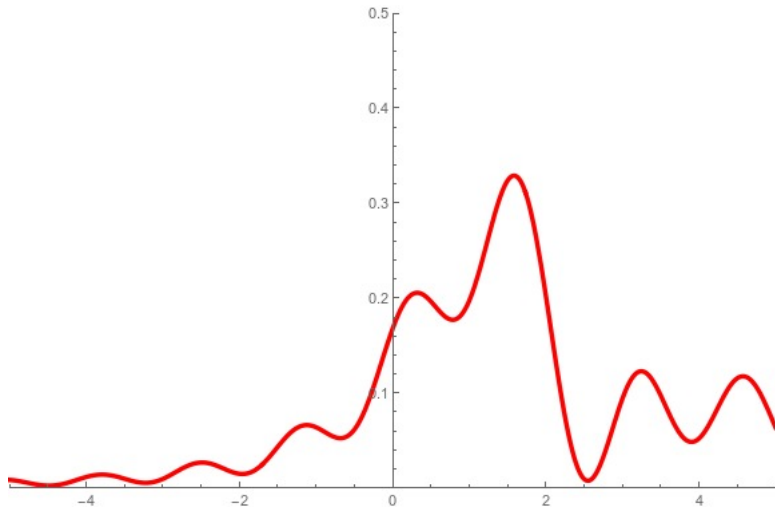
What Quantum Backflow is?



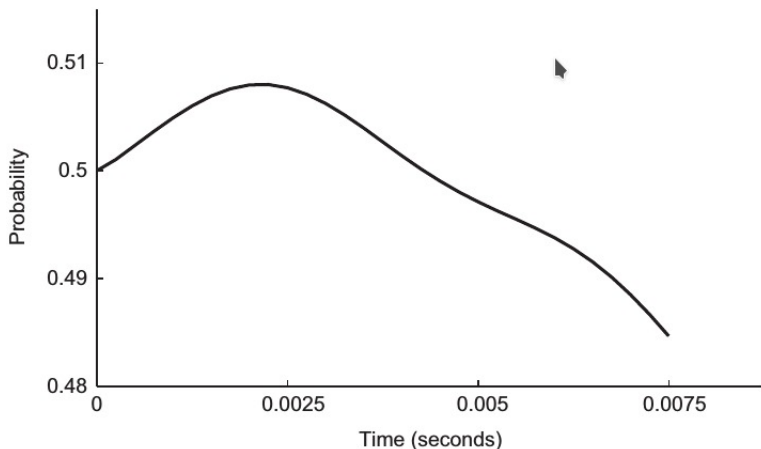
What Quantum Backflow is?



What Quantum Backflow is?



What Quantum Backflow is?



Right-movers

What does it mean that a particle "travels to the right"?

Right-movers

What does it mean that a particle "travels to the right"?

Definition

Let $\psi \in L^2(\mathbb{R})$ be a wave-function for a single particle. We call ψ a **right-mover** if $\text{supp } \hat{\psi} \in [0, +\infty)$.

Right-movers

What does it mean that a particle "travels to the right"?

Definition

Let $\psi \in L^2(\mathbb{R})$ be a wave-function for a single particle. We call ψ a **right-mover** if $\text{supp } \hat{\psi} \in [0, +\infty)$.

Definition

We call $E_{\pm} : L^2(\mathbb{R}) \rightarrow L^2(\mathbb{R})$ the operator such that:

$$\mathcal{F}[E_{\pm}\psi](p) = \vartheta(\pm p)\hat{\psi}(p) \quad \forall \psi \in L^2(\mathbb{R}),$$

where ϑ is the Heaviside function.

Temporal boundedness of backflow

- Probability as a quadratic form

$$L(\psi_T) := \int_0^{+\infty} |\psi_T(x)|^2 dx = (\hat{\psi} | \underbrace{\hat{U}_T^* \mathcal{F} \vartheta \mathcal{F}^{-1} \hat{U}_T}_{:= \tilde{\vartheta}_T} \hat{\psi}) \text{ with } \hat{U}_T = \mathcal{F} U_T \mathcal{F}^{-1}.$$

Temporal boundedness of backflow

- Probability as a quadratic form

$$L(\psi_T) := \int_0^{+\infty} |\psi_T(x)|^2 dx = (\hat{\psi} | \underbrace{\hat{U}_T^* \mathcal{F} \vartheta \mathcal{F}^{-1} \hat{U}_T}_{:= \tilde{\vartheta}_T} \hat{\psi}) \text{ with } \hat{U}_T = \mathcal{F} U_T \mathcal{F}^{-1}.$$

- Flux in $[-T, T]$: $(\hat{\psi} | (\tilde{\vartheta}_{-T} - \tilde{\vartheta}_T) \hat{\psi}) = (\hat{\psi} | B_T \hat{\psi})$.

Temporal boundedness of backflow

- Probability as a quadratic form

$$L(\psi_T) := \int_0^{+\infty} |\psi_T(x)|^2 dx = (\hat{\psi} | \underbrace{\hat{U}_T^* \mathcal{F} \vartheta \mathcal{F}^{-1} \hat{U}_T}_{:= \tilde{\vartheta}_T} \hat{\psi}) \text{ with } \hat{U}_T = \mathcal{F} U_T \mathcal{F}^{-1}.$$

- Flux in $[-T, T]$: $(\hat{\psi} | (\tilde{\vartheta}_{-T} - \tilde{\vartheta}_T) \hat{\psi}) = (\hat{\psi} | B_T \hat{\psi})$.
- B_T is called **backflow operator**, and it is **bounded** and **self-adjoint**.

Temporal boundedness of backflow

- Probability as a quadratic form

$$L(\psi_T) := \int_0^{+\infty} |\psi_T(x)|^2 dx = (\hat{\psi} | \underbrace{\hat{U}_T^* \mathcal{F} \vartheta \mathcal{F}^{-1} \hat{U}_T}_{:= \tilde{\vartheta}_T} \hat{\psi}) \text{ with } \hat{U}_T = \mathcal{F} U_T \mathcal{F}^{-1}.$$

- Flux in $[-T, T]$: $(\hat{\psi} | (\tilde{\vartheta}_{-T} - \tilde{\vartheta}_T) \hat{\psi}) = (\hat{\psi} | B_T \hat{\psi})$.
- B_T is called **backflow operator**, and it is bounded and self-adjoint.
- **Backflow constant**: $\lambda := \sup\{(\phi | \vartheta B_T \vartheta \phi) \mid \|\phi\| = 1, T > 0\}$

Temporal boundedness of backflow

- Equivalently, $\lambda = \sup \bigcup_{T>0} \sigma(\vartheta B_T \vartheta)$

Temporal boundedness of backflow

- Equivalently, $\lambda = \sup \bigcup_{T>0} \sigma(\vartheta B_T \vartheta)$
- Scaling arguments show $\sigma(\vartheta B_{T_1} \vartheta) = \sigma(\vartheta B_{T_2} \vartheta)$ for all $T_1, T_2 > 0$.
Hence $\lambda = \sup \sigma(\vartheta B_{T=1} \vartheta)$.

Temporal boundedness of backflow

- Equivalently, $\lambda = \sup \bigcup_{T>0} \sigma(\vartheta B_T \vartheta)$
- Scaling arguments show $\sigma(\vartheta B_{T_1} \vartheta) = \sigma(\vartheta B_{T_2} \vartheta)$ for all $T_1, T_2 > 0$.
Hence $\lambda = \sup \sigma(\vartheta B_{T=1} \vartheta)$.

Theorem (Temporal boundedness of backflow)

Let $\lambda = \sup \sigma(\vartheta B \vartheta)$, where $B = B_{T=1}$ is the backflow operator. For any right-mover $\psi \in L^2(\mathbb{R})$ such that $\psi = E_+ \psi$ and for any $T > 0$ it holds

$$\int_0^T j_\psi(0, t) dt \geq -\lambda > -\infty.$$

Temporal boundedness of backflow

- Equivalently, $\lambda = \sup \bigcup_{T>0} \sigma(\vartheta B_T \vartheta)$
- Scaling arguments show $\sigma(\vartheta B_{T_1} \vartheta) = \sigma(\vartheta B_{T_2} \vartheta)$ for all $T_1, T_2 > 0$. Hence $\lambda = \sup \sigma(\vartheta B_{T=1} \vartheta)$.

Theorem (Temporal boundedness of backflow)

Let $\lambda = \sup \sigma(\vartheta B \vartheta)$, where $B = B_{T=1}$ is the backflow operator. For any right-mover $\psi \in L^2(\mathbb{R})$ such that $\psi = E_+ \psi$ and for any $T > 0$ it holds

$$\int_0^T j_\psi(0, t) \, dt \geq -\lambda > -\infty.$$

Proof. $\sigma(\vartheta B \vartheta) \subseteq [-\|B\|, \|B\|]$ since the operator $\vartheta B \vartheta$ is bounded and self-adjoint.

Maximum backflow approximation

Proposition

Let $K : L^2(\mathbb{R}_+) \rightarrow L^2(\mathbb{R}_+)$ be the integral operator:

$$(Kf)(u) = -\frac{1}{\pi} \int_0^\infty \frac{\sin(u^2 - v^2)}{u - v} f(v) \, dv \quad \forall f \in L^2(\mathbb{R}_+).$$

Then $\vartheta B \vartheta f = Kf$ for all $f \in L^2(\mathbb{R}_+)$.

Maximum backflow approximation

Proposition

Let $K : L^2(\mathbb{R}_+) \rightarrow L^2(\mathbb{R}_+)$ be the integral operator:

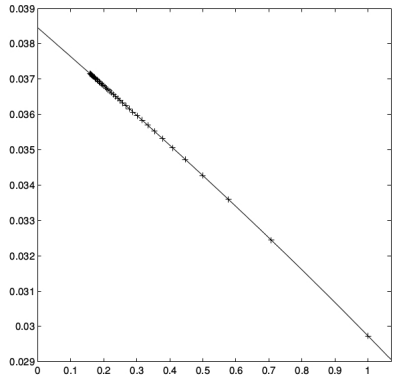
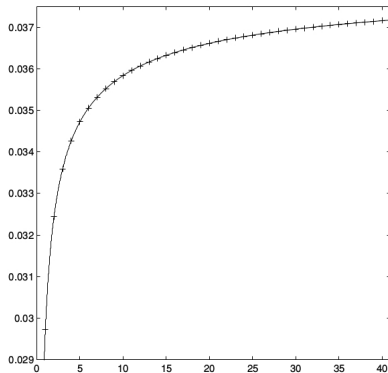
$$(Kf)(u) = -\frac{1}{\pi} \int_0^\infty \frac{\sin(u^2 - v^2)}{u - v} f(v) \, dv \quad \forall f \in L^2(\mathbb{R}_+).$$

Then $\vartheta B \vartheta f = Kf$ for all $f \in L^2(\mathbb{R}_+)$.

Ingredients: Hilbert transform

$$(Hf)(p) = \frac{1}{\pi} PV \int_{-\infty}^\infty \frac{f(q)}{p - q} \, dq.$$

We can use K to **approximate** λ .



$$\lambda \approx 0.0384517$$

Spatial extension of Backflow

What about the spatial properties of backflow?

Spatial extension of Backflow

What about the spatial properties of backflow?

- Current as a quadratic form (not an operator) $j_\psi(x) \equiv (\psi | J(x) \psi)$.

Spatial extension of Backflow

What about the spatial properties of backflow?

- Current as a quadratic form (not an operator) $j_\psi(x) \equiv (\psi|J(x)\psi)$.
- The average, for test functions f , $\int_{\mathbb{R}} f(x)j_\psi(x) dx \equiv (\psi|J(f)\psi)$ exists as operator.

Spatial extension of Backflow

What about the spatial properties of backflow?

- Current as a quadratic form (not an operator) $j_\psi(x) \equiv (\psi | J(x) \psi)$.
- The average, for test functions f , $\int_{\mathbb{R}} f(x) j_\psi(x) dx \equiv (\psi | J(f) \psi)$ exists as operator.

Lemma

The quadratic form $E_+ J(x) E_+$ is unbounded from above and from below.

NB: Unboundedness from below as superposition of high and low momentum.

Spatial extension of Backflow

What about the spatial properties of backflow?

- Current as a quadratic form (not an operator) $j_\psi(x) \equiv (\psi | J(x) \psi)$.
- The average, for test functions f , $\int_{\mathbb{R}} f(x) j_\psi(x) dx \equiv (\psi | J(f) \psi)$ exists as operator.

Lemma

The quadratic form $E_+ J(x) E_+$ is unbounded from above and from below.

NB: Unboundedness from below as superposition of high and low momentum.

Proposition

For any $f \in \mathcal{S}(\mathbb{R})$, $f \geq 0$, there exists a constant $\beta_0(f) \in (f) \in (-\infty, 0)$ such that $(\psi | E_+ J(f) E_+ \psi) \geq \beta_0(f)$.

Asymptotic right-movers

Take an **interacting** system with the Hamiltonian $H = H_0 + V$.

Asymptotic right-movers

Take an interacting system with the Hamiltonian $H = H_0 + V$.

- Time evolution e^{iHt} **does not preserve** the space of right-movers $E_+(L^2(\mathbb{R}))$.

Asymptotic right-movers

Take an interacting system with the Hamiltonian $H = H_0 + V$.

- Time evolution e^{iHt} does not preserve the space of right-movers $E_+(L^2(\mathbb{R}))$.
- **Reflection** may destroy backflow.

Asymptotic right-movers

Take an interacting system with the Hamiltonian $H = H_0 + V$.

- Time evolution e^{iHt} does not preserve the space of right-movers $E_+(L^2(\mathbb{R}))$.
- Reflection may destroy backflow.

Consider states *incoming* **right-moving asymptotics** and **Møller operator**:

Asymptotic right-movers

Take an interacting system with the Hamiltonian $H = H_0 + V$.

- Time evolution e^{iHt} does not preserve the space of right-movers $E_+(L^2(\mathbb{R}))$.
- Reflection may destroy backflow.

Consider states *incoming* **right-moving asymptotics** and **Møller operator**:

$$\Omega_V := \lim_{t \rightarrow -\infty} e^{itH} e^{-itH_0}$$

Asymptotic right-movers

Take an interacting system with the Hamiltonian $H = H_0 + V$.

- Time evolution e^{iHt} does not preserve the space of right-movers $E_+(L^2(\mathbb{R}))$.
- Reflection may destroy backflow.

Consider states *incoming* right-moving asymptotics and Møller operator:

$$\Omega_V := \lim_{t \rightarrow -\infty} e^{itH} e^{-itH_0}$$

- exists under regularity and short-range assumptions on V .

Asymptotic right-movers

Take an interacting system with the Hamiltonian $H = H_0 + V$.

- Time evolution e^{iHt} does not preserve the space of right-movers $E_+(L^2(\mathbb{R}))$.
- Reflection may destroy backflow.

Consider states *incoming* right-moving asymptotics and Møller operator:

$$\Omega_V := \lim_{t \rightarrow -\infty} e^{itH} e^{-itH_0}$$

- exists under regularity and short-range assumptions on V .
- links "free" solutions of Schrödinger equation with "interacting" solutions.

Asymptotic right-movers

Definition

Let $V \in L^1(\mathbb{R})$ be a potential. We referred to V as a "short-range" potential (indicated $V \in L^{1+}(\mathbb{R})$) if it satisfies

$$\|V\|_{1+} = \int_{\mathbb{R}} dx (1 + |x|) |V(x)| < +\infty.$$

Asymptotic right-movers

Definition

Let $V \in L^1(\mathbb{R})$ be a potential. We referred to V as a "short-range" potential (indicated $V \in L^{1+}(\mathbb{R})$) if it satisfies

$$\|V\|_{1+} = \int_{\mathbb{R}} dx (1 + |x|)|V(x)| < +\infty.$$

Theorem

Let $V \in L^{1+}(\mathbb{R})$. Then

- (a) Ω_V exists.
- (b) $[-\partial_x^2 + 2V(x) - k^2]\psi(x) = 0$ has unique solutions

$$\varphi_k(x) = \begin{cases} T_V(k)e^{ikx} + o(1) & \text{for } x \rightarrow +\infty \\ e^{ikx} + R_V(k)e^{-ikx} + o(1) & \text{for } x \rightarrow -\infty \end{cases}$$

- (c) For any $\hat{\psi} \in C_0^\infty(\mathbb{R})$, $(\Omega_V E_+ \psi)(x) = \frac{1}{\sqrt{2\pi}} \int_0^\infty \varphi_k(x) \hat{\psi}(k) dk$.

Backflow and Scattering

We search bounds for the **averaged current**, $f \geq 0$

Backflow and Scattering

We search bounds for the **averaged current**, $f \geq 0$

$$\int_{\mathbb{R}} f(x) j_{\Omega_V E_+} \psi(x) dx = (\psi | E_+ \Omega_V^* J(f) \Omega_V E_+ \psi).$$

Backflow and Scattering

We search bounds for the **averaged current**, $f \geq 0$

$$\int_{\mathbb{R}} f(x) j_{\Omega_V E_+} \psi(x) dx = (\psi | E_+ \Omega_V^* J(f) \Omega_V E_+ \psi).$$

Expanding $E_+ \Omega_V^* J(f) \Omega_V E_+$ we have

$$(\psi | E_+ \Omega_V^* J(f) \Omega_V E_+ \psi) \geq \beta_0(f) - 2 \|J(f)(i+P)^{-1}\| [2 + \|P(\Omega_V - T_V)E_+\|].$$

Backflow and Scattering

We search bounds for the **averaged current**, $f \geq 0$

$$\int_{\mathbb{R}} f(x) j_{\Omega_V E_+} \psi(x) dx = (\psi | E_+ \Omega_V^* J(f) \Omega_V E_+ \psi).$$

Expanding $E_+ \Omega_V^* J(f) \Omega_V E_+$ we have

$$(\psi | E_+ \Omega_V^* J(f) \Omega_V E_+ \psi) \geq \beta_0(f) - 2 \|J(f)(i+P)^{-1}\| [2 + \|P(\Omega_V - T_V)E_+\|].$$

- we have $\|J(f)(i+P)^{-1}\| \leq \|f\|_{\infty} + \frac{1}{2} \|f'\|_{\infty}.$

Backflow and Scattering

We search bounds for the **averaged current**, $f \geq 0$

$$\int_{\mathbb{R}} f(x) j_{\Omega_V E_+} \psi(x) dx = (\psi | E_+ \Omega_V^* J(f) \Omega_V E_+ \psi).$$

Expanding $E_+ \Omega_V^* J(f) \Omega_V E_+$ we have

$$(\psi | E_+ \Omega_V^* J(f) \Omega_V E_+ \psi) \geq \beta_0(f) - 2 \|J(f)(i+P)^{-1}\| [2 + \|P(\Omega_V - T_V)E_+\|].$$

- we have $\|J(f)(i+P)^{-1}\| \leq \|f\|_{\infty} + \frac{1}{2} \|f'\|_{\infty}$.
- We need to evaluate $\|P(\Omega_V - T_V)E_+\|$.

Backflow and Scattering

Lemma

Let $V \in L^{1+}(\mathbb{R})$. Then, there exists $c_V \in \mathbb{R}$ such that

$$\|P(\Omega_V - T_V)E_+\| \leq 2c_V \|V\|_{1+}$$

Backflow and Scattering

Lemma

Let $V \in L^{1+}(\mathbb{R})$. Then, there exists $c_V \in \mathbb{R}$ such that

$$\|P(\Omega_V - T_V)E_+\| \leq 2c_V \|V\|_{1+}$$

Sketch of proof. Rewrite the time-independent Schrödinger equation as (Lippman-Schwinger equation)

$$\varphi_k(x) = T_V(k)e^{ikx} + \int_{-\infty}^{\infty} 2V(y)G_k(y-x)\varphi_k(y)dy,$$

where $G_k(x) = \sin(kx)\vartheta(x)/k$

Backflow and Scattering

Lemma

Let $V \in L^{1+}(\mathbb{R})$. Then, there exists $c_V \in \mathbb{R}$ such that

$$\|P(\Omega_V - T_V)E_+\| \leq 2c_V \|V\|_{1+}$$

Sketch of proof. Rewrite the time-independent Schrödinger equation as (Lippman-Schwinger equation)

$$\varphi_k(x) = T_V(k)e^{ikx} + \int_{-\infty}^{\infty} 2V(y)G_k(y-x)\varphi_k(y)dy,$$

where $G_k(x) = \sin(kx)\vartheta(x)/k$, and estimate

$$(P(\Omega_V - T_V)E_+\psi)(x) = \frac{-i}{\sqrt{2\pi}} \frac{d}{dx} \int_0^{\infty} dk \int_{\mathbb{R}} dy V(y)G_k(x-y)\varphi_k(y)\tilde{\psi}(k)$$

with the known asymptotics for $\varphi_k(x)$

Backflow and Scattering

Theorem (**Boundedness of Backflow in scattering scenarios**)

For any potential $V \in L^{1+}(\mathbb{R})$ and for any non-negative $f \in \mathcal{S}(\mathbb{R})$, there exists a constant $\beta_V(f) \in (-\infty, 0)$ such that

$$(\psi | E_+ \Omega_V^* J(f) \Omega_V E_+ \psi) \geq \beta_V(f) \quad \forall \psi \in L^2(\mathbb{R}).$$

Backflow and Scattering

Theorem (**Boundedness of Backflow in scattering scenarios**)

For any potential $V \in L^{1+}(\mathbb{R})$ and for any non-negative $f \in \mathcal{S}(\mathbb{R})$, there exists a constant $\beta_V(f) \in (-\infty, 0)$ such that

$$(\psi | E_+ \Omega_V^* J(f) \Omega_V E_+ \psi) \geq \beta_V(f) \quad \forall \psi \in L^2(\mathbb{R}).$$

- Reflection processes **do not destroy** boundedness of backflow.

Backflow and Scattering

Theorem (**Boundedness of Backflow in scattering scenarios**)

For any potential $V \in L^{1+}(\mathbb{R})$ and for any non-negative $f \in \mathcal{S}(\mathbb{R})$, there exists a constant $\beta_V(f) \in (-\infty, 0)$ such that

$$(\psi | E_+ \Omega_V^* J(f) \Omega_V E_+ \psi) \geq \beta_V(f) \quad \forall \psi \in L^2(\mathbb{R}).$$

- Reflection processes do not destroy boundedness of backflow.
- Heuristic explanation: Backflow is a **high momentum effect**, but for high momentum reflection component is **suppressed**.

Backflow and Scattering

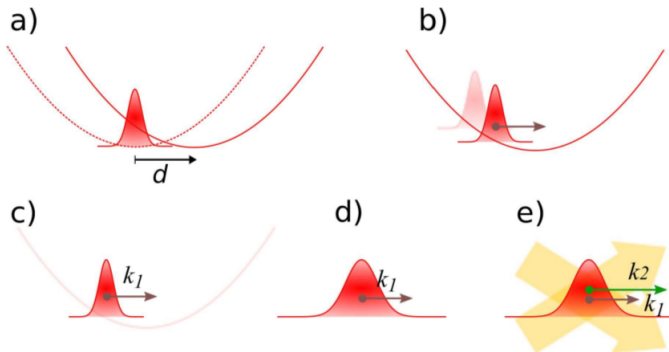
Theorem (Boundedness of Backflow in scattering scenarios)

For any potential $V \in L^{1+}(\mathbb{R})$ and for any non-negative $f \in \mathcal{S}(\mathbb{R})$, there exists a constant $\beta_V(f) \in (-\infty, 0)$ such that

$$(\psi | E_+ \Omega_V^* J(f) \Omega_V E_+ \psi) \geq \beta_V(f) \quad \forall \psi \in L^2(\mathbb{R}).$$

- Reflection processes do not destroy boundedness of backflow.
- Heuristic explanation: Backflow is a high momentum effect, but for high momentum reflection component is suppressed.
- What about **experimental** observations? (Bose-Einstein condensate, Bragg pulse, superposition of different momentum states...)

Experimental set-up



Thank you for your attention!