

# Introduction to Quantum Backflow

Eugenio Mauri

Supervisor: Prof. Claudio Dappiaggi

Co-supervisor: Dott. Nicolò Drago.

# What Quantum Backflow is?

**Setting:** Consider a non-relativistic **free particle** in one dimension

# What Quantum Backflow is?

**Setting:** Consider a non-relativistic free particle in one dimension

- Suppose that at time  $t = 0$  the particle has **positive momentum** with probability 1.

# What Quantum Backflow is?

**Setting:** Consider a non-relativistic free particle in one dimension

- Suppose that at time  $t = 0$  the particle has positive momentum with probability 1.
- Consider the probability  $P(t)$  of finding the particle in  $x < 0$  at time  $t$ . What is the **time-dependence** of  $P(t)$ ?



# What Quantum Backflow is?

**Setting:** Consider a non-relativistic free particle in one dimension

- Suppose that at time  $t = 0$  the particle has positive momentum with probability 1.
- Consider the probability  $P(t)$  of finding the particle in  $x < 0$  at time  $t$ . What is the time-dependence of  $P(t)$ ?

Answers:

- In **classical physics**:  $P(t)$  is always decreasing with time.

# What Quantum Backflow is?

**Setting:** Consider a non-relativistic free particle in one dimension

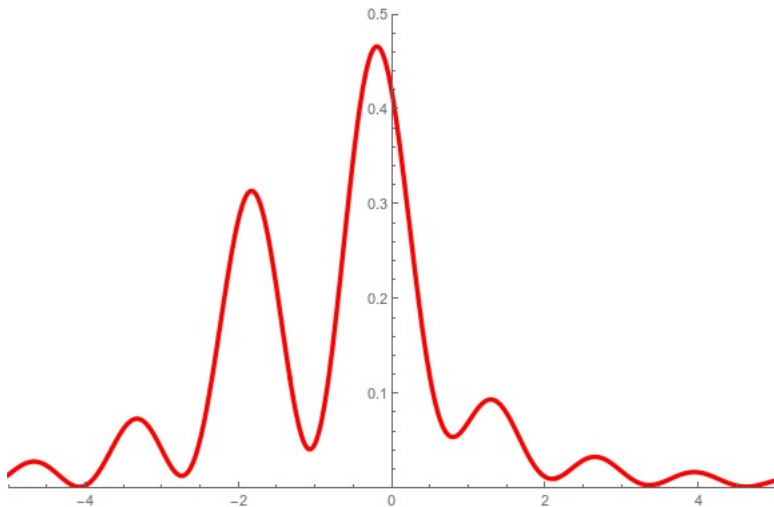
- Suppose that at time  $t = 0$  the particle has positive momentum with probability 1.
- Consider the probability  $P(t)$  of finding the particle in  $x < 0$  at time  $t$ . What is the time-dependence of  $P(t)$ ?

Answers:

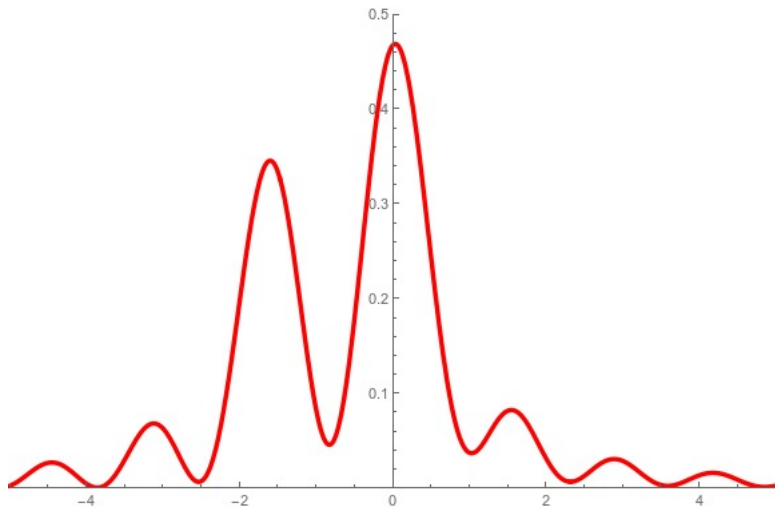
- In **classical physics**:  $P(t)$  is always decreasing with time.
- In **quantum physics**: not necessarily.



# What Quantum Backflow is?

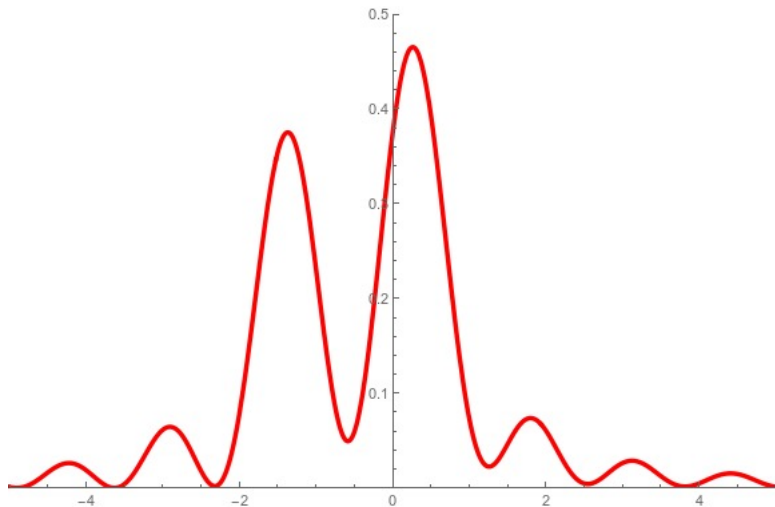


# What Quantum Backflow is?

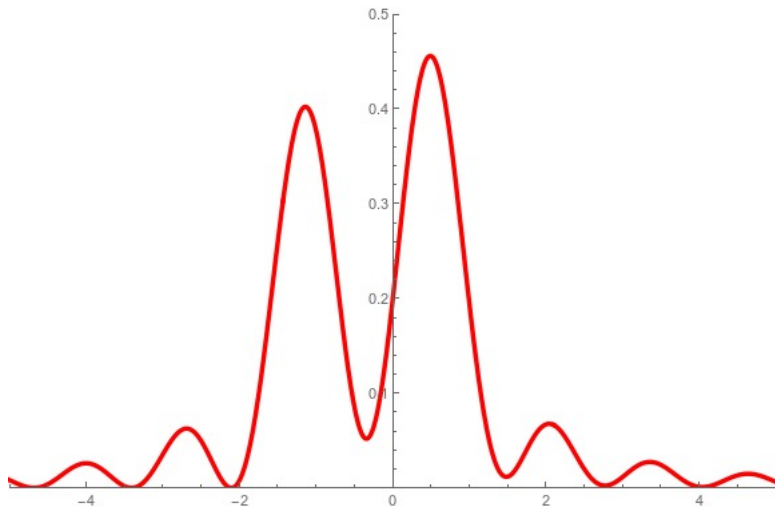




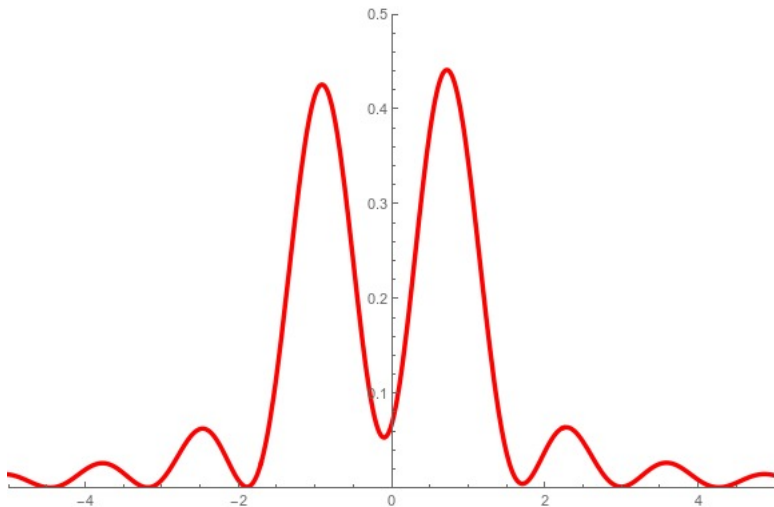
# What Quantum Backflow is?



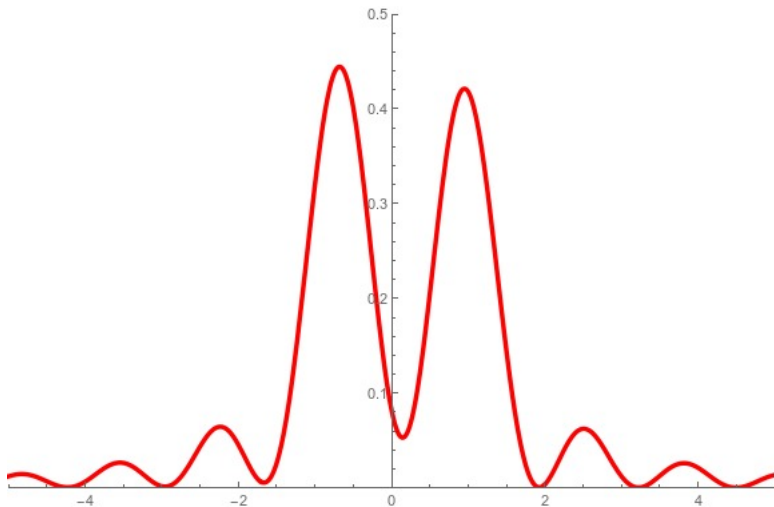
# What Quantum Backflow is?



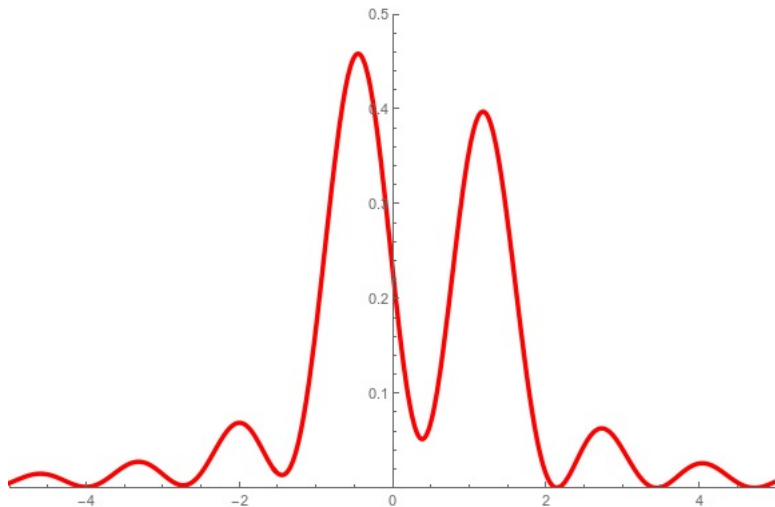
# What Quantum Backflow is?



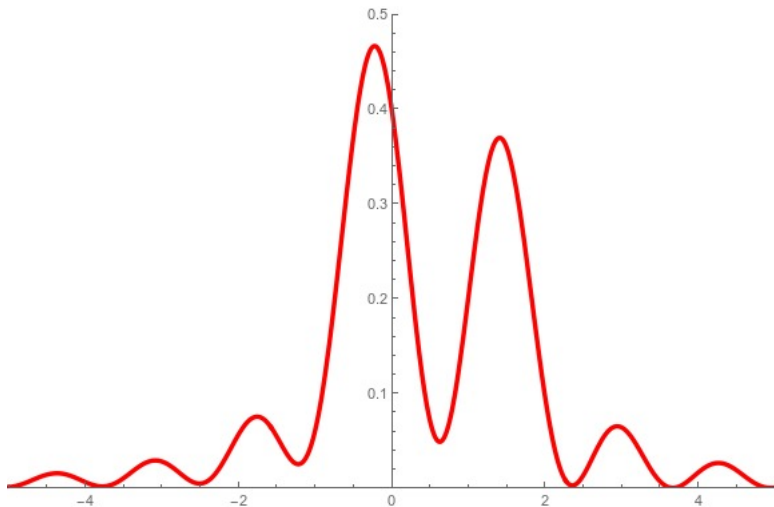
# What Quantum Backflow is?



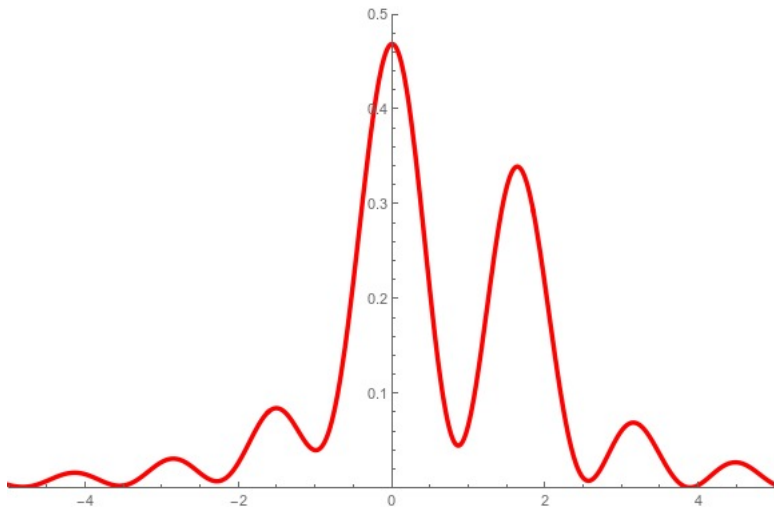
# What Quantum Backflow is?



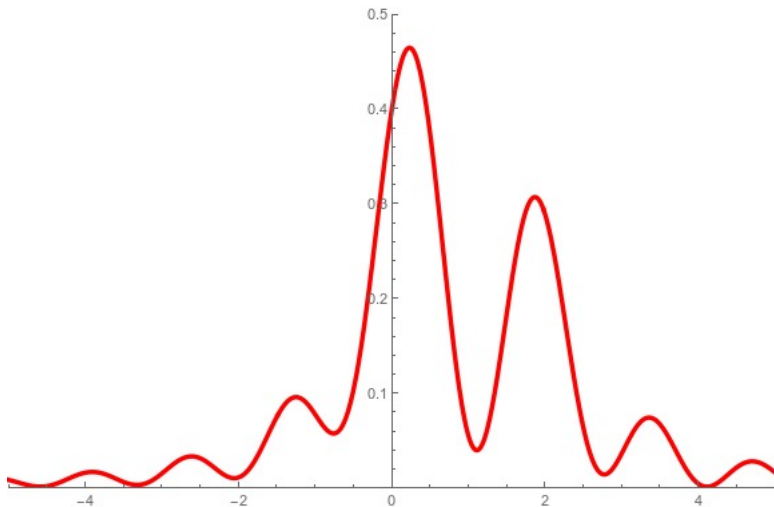
# What Quantum Backflow is?



# What Quantum Backflow is?

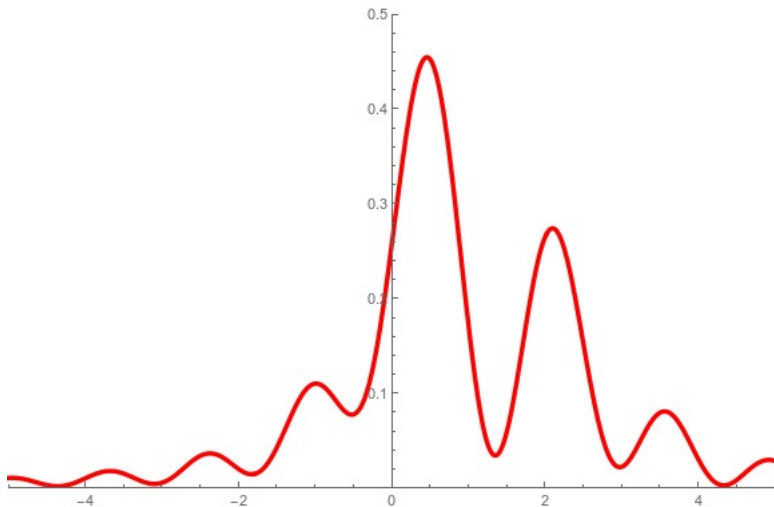


# What Quantum Backflow is?

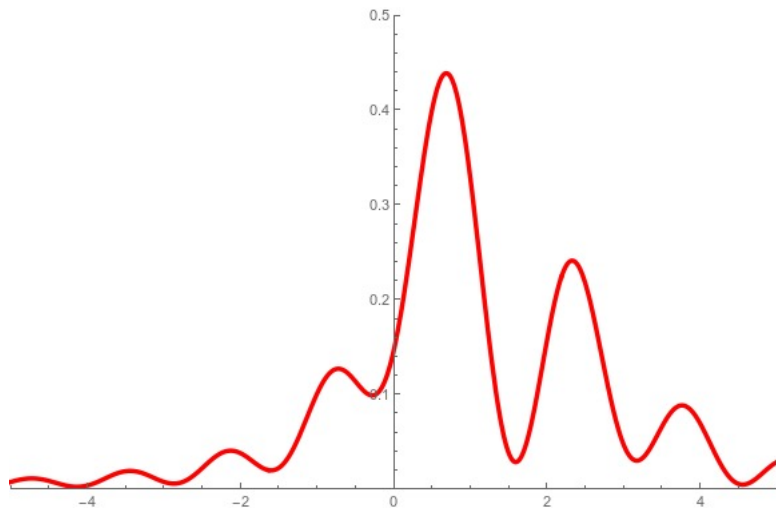




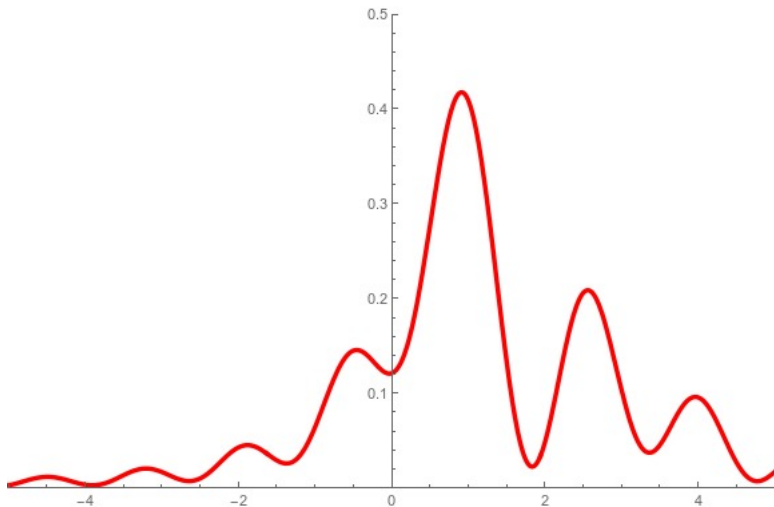
# What Quantum Backflow is?



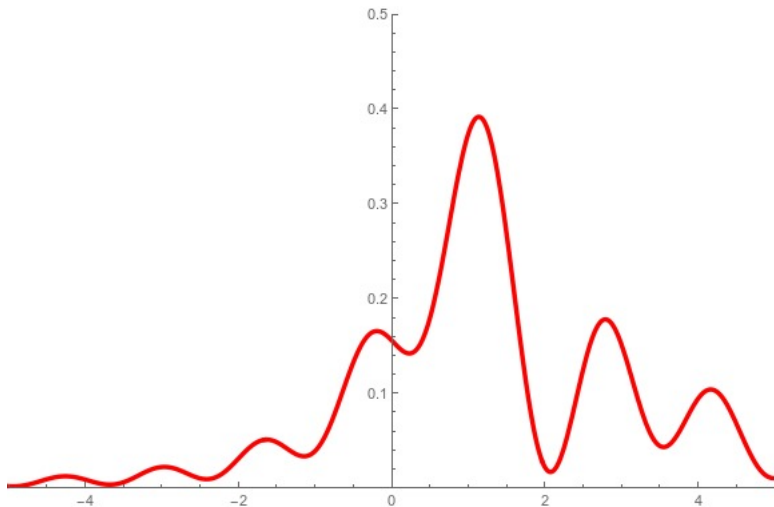
# What Quantum Backflow is?



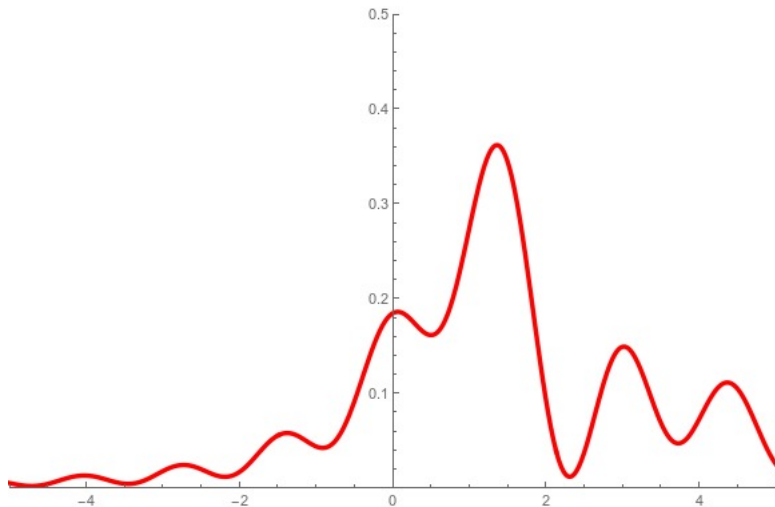
# What Quantum Backflow is?



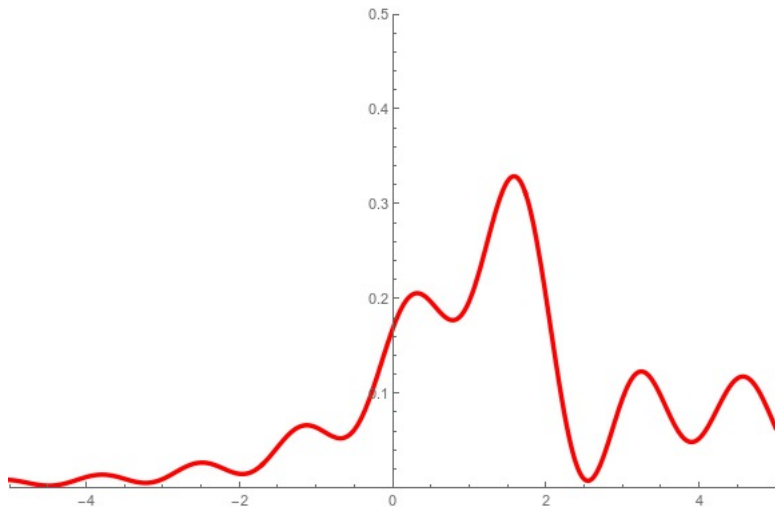
# What Quantum Backflow is?



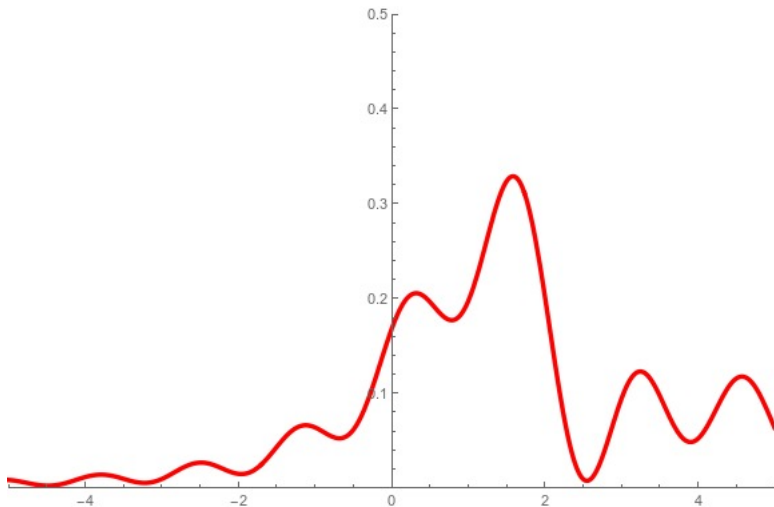
# What Quantum Backflow is?



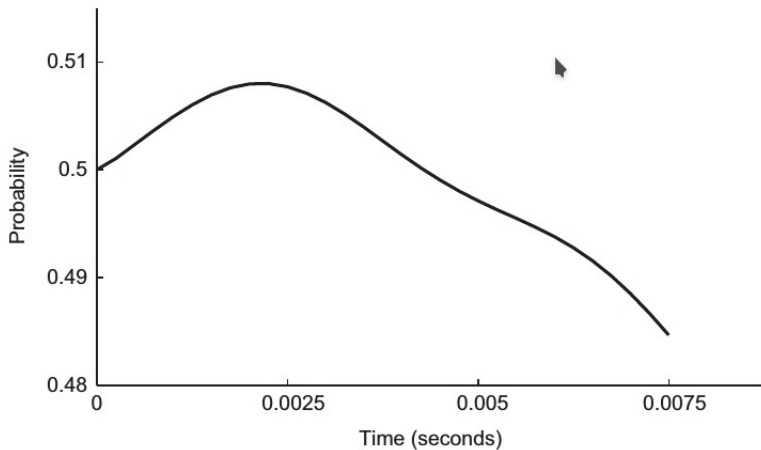
# What Quantum Backflow is?



# What Quantum Backflow is?



# What Quantum Backflow is?





What does it mean that a particle "travels to the right"?



What does it mean that a particle "travels to the right"?

## Definition

Let  $\psi \in L^2(\mathbb{R})$  be a wave-function for a single particle. We call  $\psi$  a **right-mover** if  $\text{supp } \hat{\psi} \in [0, +\infty)$ .



What does it mean that a particle "travels to the right"?

## Definition

Let  $\psi \in L^2(\mathbb{R})$  be a wave-function for a single particle. We call  $\psi$  a **right-mover** if  $\text{supp } \hat{\psi} \in [0, +\infty)$ .

## Definition

We call  $E_{\pm} : L^2(\mathbb{R}) \rightarrow L^2(\mathbb{R})$  the operator such that:

$$\mathcal{F}[E_{\pm}\psi](p) = \vartheta(\pm p)\hat{\psi}(p) \quad \forall \psi \in L^2(\mathbb{R}),$$

where  $\vartheta$  is the Heaviside function.



# Temporal boundedness of backflow

- Consider  $L(\psi_T) = \int_0^\infty |\psi_T(x)|^2 dx$ .

# Temporal boundedness of backflow

- Consider  $L(\psi_T) = \int_0^\infty |\psi_T(x)|^2 dx$ .
- Searching for the **maximum** amount of backflow:  
 $\lambda = \sup\{L(\psi_0) - L(\psi_T) \mid \|\psi\| = 1, E_+\psi = \psi, T > 0\}$

# Temporal boundedness of backflow

- Consider  $L(\psi_T) = \int_0^\infty |\psi_T(x)|^2 dx$ .
- Searching for the maximum amount of backflow:  
 $\lambda = \sup\{L(\psi_0) - L(\psi_T) \mid \|\psi\| = 1, E_+\psi = \psi, T > 0\}$
- Using scaling arguments, we have  
 $\lambda = \sup\{L(\psi_0) - L(\psi_1) \mid \|\psi\| = 1, E_+\psi = \psi\}$

# Temporal boundedness of backflow

- Consider  $L(\psi_T) = \int_0^\infty |\psi_T(x)|^2 dx$ .
- Searching for the maximum amount of backflow:  
 $\lambda = \sup\{L(\psi_0) - L(\psi_T) \mid \|\psi\| = 1, E_+\psi = \psi, T > 0\}$
- Using scaling arguments, we have  
 $\lambda = \sup\{L(\psi_0) - L(\psi_1) \mid \|\psi\| = 1, E_+\psi = \psi\}$



## Proposition

Let  $K : L^2(\mathbb{R}_+) \rightarrow L^2(\mathbb{R}_+)$  be the integral operator:

$$(Kf)(u) = -\frac{1}{\pi} \int_0^\infty \frac{\sin(u^2 - v^2)}{u - v} f(v) dv \quad \forall f \in L^2(\mathbb{R}_+).$$



Then  $K$  is **bounded** and **self-adjoint**, and  $\lambda = \sup \sigma(K)$ .

## Theorem (**Temporal boundedness of backflow**)

Let  $\lambda = \sup \sigma(K)$ . For any right-mover  $\psi \in L^2(\mathbb{R})$  such that  $\psi = E_+ \psi$  and for any  $T > 0$  it holds

$$\int_0^T j_\psi(0, t) dt \geq -\lambda > -\infty.$$



## Theorem (Temporal boundedness of backflow)

Let  $\lambda = \sup \sigma(K)$ . For any right-mover  $\psi \in L^2(\mathbb{R})$  such that  $\psi = E_+ \psi$  and for any  $T > 0$  it holds

$$\int_0^T j_\psi(0, t) dt \geq -\lambda > -\infty.$$


**Sketch of proof.** Since  $K$  is bounded and self-adjoint, it holds  $\sigma(K) \subseteq [-\|K\|, \|K\|]$ . ■

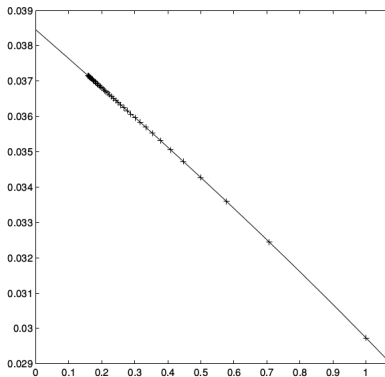
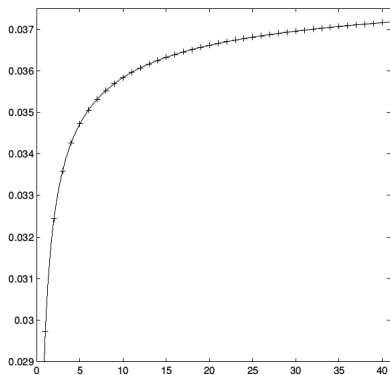
## Theorem (Temporal boundedness of backflow)

Let  $\lambda = \sup \sigma(K)$ . For any right-mover  $\psi \in L^2(\mathbb{R})$  such that  $\psi = E_+ \psi$  and for any  $T > 0$  it holds

$$\int_0^T j_\psi(0, t) dt \geq -\lambda > -\infty.$$

**Sketch of proof.** Since  $K$  is bounded and self-adjoint, it holds  $\sigma(K) \subseteq [-\|K\|, \|K\|]$ . ■

How to approximate  $\lambda$ ? Approximating  $K$  to an Hermitian operator. 



$$\lambda \approx 0.0384517$$

What about the spatial properties of backflow?

# Spatial extension of Backflow

What about the spatial properties of backflow?

## Lemma

there exist sequences of normalized right-movers  $\phi_n^\pm \in E_+(L^2(\mathbb{R}))$  such that  $\lim_{n \rightarrow \infty} j_{\phi_n^\pm}(x) = \pm\infty$ .

What about the spatial properties of backflow?

## Lemma

there exist sequences of normalized right-movers  $\phi_n^\pm \in E_+(L^2(\mathbb{R}))$  such that  $\lim_{n \rightarrow \infty} j_{\phi_n^\pm}(x) = \pm\infty$ .

**NB:** Unboundedness from below as **superposition of high and low momentum**.

# Spatial extension of Backflow

What about the spatial properties of backflow?

## Lemma

there exist sequences of normalized right-movers  $\phi_n^\pm \in E_+(L^2(\mathbb{R}))$  such that  $\lim_{n \rightarrow \infty} j_{\phi_n^\pm}(x) = \pm\infty$ .

**NB:** Unboundedness from below as superposition of high and low momentum.

Consider the average, for test functions  $f$ ,  $\int_{\mathbb{R}} f(x) j_\psi(x) dx \equiv (\psi | J(f) \psi)$  exists as operator.

# Spatial extension of Backflow

What about the spatial properties of backflow?

## Lemma

there exist sequences of normalized right-movers  $\phi_n^\pm \in E_+(L^2(\mathbb{R}))$  such that  $\lim_{n \rightarrow \infty} j_{\phi_n^\pm}(x) = \pm\infty$ .



**NB:** Unboundedness from below as superposition of high and low momentum.

Consider the average, for test functions  $f$ ,  $\int_{\mathbb{R}} f(x) j_\psi(x) dx \equiv (\psi | J(f) \psi)$  exists as operator.



## Proposition

*For any  $f \in \mathcal{S}(\mathbb{R})$ ,  $f \geq 0$ , there exists a constant  $\beta_0(f) \in (-\infty, 0)$  such that  $(\psi | E_+ J(f) E_+ \psi) \geq \beta_0(f)$ .*



# Asymptotic right-movers

Take an **interacting** system with the Hamiltonian  $H = H_0 + V$ .

# Asymptotic right-movers

Take an interacting system with the Hamiltonian  $H = H_0 + V$ .

- Time evolution  $e^{iHt}$  **does not preserve** the space of right-movers  $E_+(L^2(\mathbb{R}))$ .

# Asymptotic right-movers

Take an interacting system with the Hamiltonian  $H = H_0 + V$ .

- Time evolution  $e^{iHt}$  does not preserve the space of right-movers  $E_+(L^2(\mathbb{R}))$ .
- **Reflection** may destroy backflow.

# Asymptotic right-movers

Take an interacting system with the Hamiltonian  $H = H_0 + V$ .

- Time evolution  $e^{iHt}$  does not preserve the space of right-movers  $E_+(L^2(\mathbb{R}))$ .
- Reflection may destroy backflow.

Consider states *incoming* right-moving asymptotics and Møller operator:

# Asymptotic right-movers

Take an interacting system with the Hamiltonian  $H = H_0 + V$ .

- Time evolution  $e^{iHt}$  does not preserve the space of right-movers  $E_+(L^2(\mathbb{R}))$ .
- Reflection may destroy backflow.

Consider states *incoming right-moving asymptotics* and *Møller operator*:

$$\Omega_V := \lim_{t \rightarrow -\infty} e^{itH} e^{-itH_0}$$

# Asymptotic right-movers

Take an interacting system with the Hamiltonian  $H = H_0 + V$ .

- Time evolution  $e^{iHt}$  does not preserve the space of right-movers  $E_+(L^2(\mathbb{R}))$ .
- Reflection may destroy backflow.

Consider states *incoming* right-moving asymptotics and Møller operator:

$$\Omega_V := \lim_{t \rightarrow -\infty} e^{itH} e^{-itH_0}$$

- exists under regularity and short-range assumptions on  $V$ .

# Asymptotic right-movers

Take an interacting system with the Hamiltonian  $H = H_0 + V$ .



- Time evolution  $e^{iHt}$  does not preserve the space of right-movers  $E_+(L^2(\mathbb{R}))$ .
- Reflection may destroy backflow.



Consider states *incoming* right-moving asymptotics and Møller operator:



$$\Omega_V := \lim_{t \rightarrow -\infty} e^{itH} e^{-itH_0}$$

- exists under regularity and short-range assumptions on  $V$ .
- links "free" solutions of Schrödinger equation with "interacting" solutions.



## Definition

Let  $V \in L^1(\mathbb{R})$  be a potential. We referred to  $V$  as a "short-range" potential (indicated  $V \in L^{1+}(\mathbb{R})$ ) if it satisfies

$$\|V\|_{1+} = \int_{\mathbb{R}} dx (1 + |x|) |V(x)| < +\infty.$$



## Definition

Let  $V \in L^1(\mathbb{R})$  be a potential. We referred to  $V$  as a "short-range" potential (indicated  $V \in L^{1+}(\mathbb{R})$ ) if it satisfies

$$\|V\|_{1+} = \int_{\mathbb{R}} dx (1 + |x|)|V(x)| < +\infty.$$


## Theorem

Let  $V \in L^{1+}(\mathbb{R})$ . Then

- (a)  $\Omega_V$  exists.
- (b)  $[-\partial_x^2 + 2V(x) - k^2]\psi(x) = 0$  has unique solutions

$$\varphi_k(x) = \begin{cases} T_V(k)e^{ikx} + o(1) & \text{for } x \rightarrow +\infty \\ e^{ikx} + R_V(k)e^{-ikx} + o(1) & \text{for } x \rightarrow -\infty \end{cases}$$

- (c) For any  $\hat{\psi} \in C_0^\infty(\mathbb{R})$ ,  $(\Omega_V E_+ \psi)(x) = \frac{1}{\sqrt{2\pi}} \int_0^\infty \varphi_k(x) \hat{\psi}(k) dk$ .

# Backflow and Scattering

We search bounds for the **averaged current**,  $f \geq 0$

We search bounds for the **averaged current**,  $f \geq 0$

$$\int_{\mathbb{R}} f(x) j_{\Omega_V E_+ \psi}(x) dx = (\psi | E_+ \Omega_V^* J(f) \Omega_V E_+ \psi).$$

We search bounds for the **averaged current**,  $f \geq 0$

$$\int_{\mathbb{R}} f(x) j_{\Omega_V E_+ \psi}(x) dx = (\psi | E_+ \Omega_V^* J(f) \Omega_V E_+ \psi).$$

Expanding  $E_+ \Omega_V^* J(f) \Omega_V E_+$  we have

$$(\psi | E_+ \Omega_V^* J(f) \Omega_V E_+ \psi) \geq \beta_0(f) - 2 \|J(f)(i+P)^{-1}\| [2 + \|P(\Omega_V - T_V)E_+\|].$$

We search bounds for the **averaged current**,  $f \geq 0$

$$\int_{\mathbb{R}} f(x) j_{\Omega_V E_+ \psi}(x) dx = (\psi | E_+ \Omega_V^* J(f) \Omega_V E_+ \psi).$$

Expanding  $E_+ \Omega_V^* J(f) \Omega_V E_+$  we have

$$(\psi | E_+ \Omega_V^* J(f) \Omega_V E_+ \psi) \geq \beta_0(f) - 2 \|J(f)(i+P)^{-1}\| [2 + \|P(\Omega_V - T_V)E_+\|].$$

we have  $\|J(f)(i+P)^{-1}\| \leq \|f\|_{\infty} + \frac{1}{2} \|f'\|_{\infty}.$

# Backflow and Scattering

We search bounds for the **averaged current**,  $f \geq 0$

$$\int_{\mathbb{R}} f(x) j_{\Omega_V E_+} \psi(x) dx = (\psi | E_+ \Omega_V^* J(f) \Omega_V E_+ \psi).$$

Expanding  $E_+ \Omega_V^* J(f) \Omega_V E_+$  we have



$$(\psi | E_+ \Omega_V^* J(f) \Omega_V E_+ \psi) \geq \beta_0(f) - 2 \|J(f)(i+P)^{-1}\| [2 + \|P(\Omega_V - T_V)E_+\|].$$

we have  $\|J(f)(i+P)^{-1}\| \leq \|f\|_{\infty} + \frac{1}{2} \|f'\|_{\infty}$ .



## Lemma

Let  $V \in L^{1+}(\mathbb{R})$ . Then, there exists  $c_V \in \mathbb{R}$  such that

$$\|P(\Omega_V - T_V)E_+\| \leq 2c_V \|V\|_{1+}$$

## Theorem (**Boundedness of Backflow in scattering scenarios**)

*For any potential  $V \in L^{1+}(\mathbb{R})$  and for any non-negative  $f \in \mathcal{S}(\mathbb{R})$ , there exists a constant  $\beta_V(f) \in (-\infty, 0)$  such that*

$$(\psi | E_+ \Omega_V^* J(f) \Omega_V E_+ \psi) \geq \beta_V(f) \quad \forall \psi \in L^2(\mathbb{R}).$$

## Theorem (**Boundedness of Backflow in scattering scenarios**)

*For any potential  $V \in L^{1+}(\mathbb{R})$  and for any non-negative  $f \in \mathcal{S}(\mathbb{R})$ , there exists a constant  $\beta_V(f) \in (-\infty, 0)$  such that*

$$(\psi | E_+ \Omega_V^* J(f) \Omega_V E_+ \psi) \geq \beta_V(f) \quad \forall \psi \in L^2(\mathbb{R}).$$

- Reflection processes **do not destroy** boundedness of backflow.



## Theorem (**Boundedness of Backflow in scattering scenarios**)

*For any potential  $V \in L^{1+}(\mathbb{R})$  and for any non-negative  $f \in \mathcal{S}(\mathbb{R})$ , there exists a constant  $\beta_V(f) \in (-\infty, 0)$  such that*



$$(\psi | E_+ \Omega_V^* J(f) \Omega_V E_+ \psi) \geq \beta_V(f) \quad \forall \psi \in L^2(\mathbb{R}).$$

- Reflection processes do not destroy boundedness of backflow.
- Heuristic explanation: Backflow is a **high momentum effect**, but for high momentum reflection component is **suppressed**.

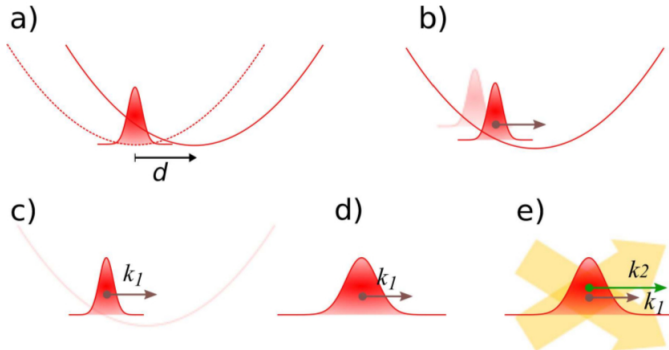
## Theorem (**Boundedness of Backflow in scattering scenarios**)

*For any potential  $V \in L^1+(\mathbb{R})$  and for any non-negative  $f \in \mathcal{S}(\mathbb{R})$ , there exists a constant  $\beta_V(f) \in (-\infty, 0)$  such that*

$$(\psi | E_+ \Omega_V^* J(f) \Omega_V E_+ \psi) \geq \beta_V(f) \quad \forall \psi \in L^2(\mathbb{R}).$$

- Reflection processes do not destroy boundedness of backflow. 
- Heuristic explanation: Backflow is a high momentum effect, but for high momentum reflection component is suppressed. 
- What about **experimental** observations? (Bose-Einstein condensate, Bragg pulse, superposition of different momentum states...)

# Experimental set-up



Thank you for your attention!