# The forestecology R package for modeling interspecies competition between trees

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### Abstract

Move abstract below here after completed.

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# Abstract (350 words)

- 1. When modeling growth of trees forest ecologists often incorporate the effect of interspecies competition. Many such models are based on a neighborhood effect assumption whereby all trees within a fixed distance of all focal trees are considered competitors. Methods are needed to evaluate the effect of interspecies competition and to assess their quality.
- 2. We present the forestecology package providing methods for both 1) evaluating the out-of-sample performance of our model using spatial-crossvaliation and 2) testing a null hypothesis of of no impact of competitor species' identity on the growth of trees using a permutation test. We implement a class and methods using R's S3 object-oriented system, for a specific linear, Bayesian neighborhood competition model of tree growth.
  - 3. We demonstrate the package's functions using data from the Smithsonian Conservation Biology Institute's large forest dynamics plot, part of the ForestGEO network of research sites. Given ForestGEO's data collection protocols and data formatting standards, the package cross-compatibility of code. We show both that 1) competitor species identity matters and 2) that not spatially cross-validating leads to error estimates that are overly optimistic.
  - 4. The package follows tidyverse-like structure whereby verb-named functions can be modularly "piped" in sequence to intuitively display the sequence of steps of analysis from start to finish. Additionally, most inputs/outputs of functions assume an are of sf class from the simple features package, thereby facilitating all wrangling and visualization of geospatial data. Lastly, even though our package is currently limited to one specific model, the package is setup such that it can be easily extended to other models.

## <sub>26</sub> 1 Introduction

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27 Repeat-censused forest plots offer excellent data to test neighborhood models of tree com-

an R package, forestecology, to do that. This package implements the methods in Allen & Kim (2020). It provides: a convenient way to specify and fit models of tree growth based on neighborhood competition; a spatial cross validation method to test and compare model fits Roberts et al. (2017); and an ANOVA-like method to assess whether the competitor identity matters in these models. The model is written to work with ForestGEO plot data Anderson-Teixeira et al. (2015), but we envision that it could easily be modified to work with data from other forest plots, e.g. the US Forest Service Forest Inventory and Analysis plots Smith (2002).

The forestecology is designed with "tidy" data principles in mind as Wickham et al. (2019).

Given that our data is of geo-spatial nature, we represent our data using the "simple features" sf package class of objects Pebesma (2018) whereby. While previously the sp package serves such purposes Pebesma & Bivand (2005), the sf package is designed to interface with the tidyverse suite of packages.

## 43 1.1 Model

44 Describe model specifics.

# <sup>45</sup> 2 Example

We demonstrate the forestecology package's features on the Smithsonian Conservation
Biology Institute (SCBI) large forest dynamics plot, located at the Smithsonian's National
Zoo and Conservation Biology Institute in Front Royal, VA, USA. The 25.6 ha (640 x 400 m) plot is located at the intersection of three of the major physiographic provinces of the eastern US: the Blue Ridge, Ridge and Valley, and Piedmont provinces and is adjacent to the northern end of Shenandoah National Park. The forest type is typical mature secondary eastern mixed deciduous forest, with a canopy dominated by tulip poplar (*Liriodendron tulipifera*), oaks (*Quercus* spp.), and hickories (*Carya* spp.), and an understory composed mainly of spicebush (*Lindera benzoin*), paw-paw (*Asimina triloba*), American hornbeam (*Carpinus caroliniana*), and witch hazel (*Hamamelis virginiana*) Bourg et al. (2013).

- A high-level overview of the steps of our analysis pipeline is as follows:
- 1. Compute the growth of trees based on census data
- 58 2. Add spatial information:
- 1. Define buffer region trees.
- 2. Add spatial cross-validation block information.
- 3. Identify all focal and corresponding competitor trees.
- 4. Fit model and make predictions.
- 5. Additionally: Evaluate model performance using spatial cross-validation.
- 6. Additionally: Evaluate the effect of competitor species identity using permutation tests.
- We load all necessary packages.

```
library(tidyverse)
library(lubridate)
library(sf)
library(forestecology)
library(blockCV)
```

## 2.1 Compute the growth of trees based on census data

The first step in the our analysis sequence is to compute the growth of trees using data from two censuses. The compute\_growth() function computes growth assuming census data that follows ForestGEO standards. Despite such standards, minor variations will still exist between sites thereby necessitating some data wrangling and checking. For example, the SCBI site records all DBH's in millimeters, whereas the Michigan Big Woods site records them in centimeters Anderson-Teixeira et al. (2015) Allen et al. (2020). The data format of other sites may be such that our compute\_growth() function doesn't work at all. However, in the end all that matters is that the growth of all trees is saved in a data frame of class sf whereby the geolocation of each tree is presented in a geometry variable of type <POINT> and at a minimum the data contains the following variables: a variable

uniquely identifying each tree-stem, sp of type fct factor identifying species, dbh1 and dbh2 of type db1 quantifying the DBH at earlier and later census, and growth of type db1 double quantifying the average annual growth in centimeters.

We load both 2008 and 2014 SCBI census data .csv files as they existed on GitHub on
November 20, 2020. After selecting only relevant variables, we perform a few additional
data wrangling steps: convert the variable with the date of measurement to be of type date,
convert DBH to be in centimeters<sup>1</sup>, convert the sp variable containing species information
from type chr character to fct factor (we will discuss the need for this in Section 2.6).
Furthermore, in order to speed up computation for purposes of this example, we only
consider a 9 ha subsection of the 25.6 ha of the SCBI site: gx from 0–300 instead of 0–400
and gy from 300–600 instead of 0–640.

```
census_2013_scbi <- read_csv("scbi.stem2.csv") %>%
    select(stemID, sp, date = ExactDate, gx, gy, dbh, codes, status) %>%
    mutate(
         date = mdy(date),
         dbh = as.numeric(dbh)/10,
         sp = factor(sp)
) %>%
    filter(gx < 300, between(gy, 300, 600))

census_2018_scbi <- read_csv("scbi.stem3.csv") %>%
    select(stemID, sp, date = ExactDate, gx, gy, dbh, codes, status) %>%
    mutate(
         date = mdy(date),
         dbh = as.numeric(dbh)/10,
         sp = factor(sp)
) %>%
```

<sup>&</sup>lt;sup>1</sup>A rule of thumb to determine the units of DBH is check if the smallest non-zero and non-missing measurement is 1 or 10. If the former, then centimeters. If the later, then millimeters. This is because ForestGEO protocols state that only trees with DBH greater or equal to 1cm should be included in censuses.

```
filter(gx < 300, between(gy, 300, 600))
```

- These two data frames are then used as the two primary arguments to the compute\_growth()
- 90 function, along with the id argument whereby the user specifies the name of the variable
- that uniquely identifies each tree-stem under consideration (note this does not include
- 92 resprouts in the later census):

```
growth_scbi <-
  compute_growth(
    census_1 = census_2013_scbi,
    census_2 = census_2018_scbi %>% filter(!str_detect(codes, "R")),
    id = "stemID"
  )
growth_scbi
## Simple feature collection with 7954 features and 8 fields
## geometry type:
                    POINT
## dimension:
                    XY
## bbox:
                    xmin: 0.2 ymin: 300 xmax: 299.9 ymax: 600
## CRS:
                    NA
## # A tibble: 7,954 x 9
                    dbh1 codes1 status dbh2 codes2 growth
     stemID sp
                                                                   geometry
      <dbl> <fct> <dbl> <chr> <chr> <dbl> <chr> <
                                                        <dbl>
                                                                    <POINT>
          4 nysy 13.6 M
## 1
                                 \boldsymbol{A}
                                          14.2 M
                                                        0.103 (14.2 428.5)
                                          9.6 M; P
                                                        0.150 (9.4 436.4)
## 2
          5 havi
                    8.8 M
                                 \boldsymbol{A}
## 3
          6 havi
                    3.25 NULL
                                               Μ
                                                        0.140
                                                                  (1.3 434)
                                 \boldsymbol{A}
## 4
         77 qual
                   65.2 M
                                 Α
                                          66
                                               Μ
                                                        0.141 (34.7 307.2)
## 5
         79 tiam 47.7 M
                                          46.8 M
                                                       -0.161
                                                                 (40 381.1)
                                 \boldsymbol{A}
## # ... with 7,949 more rows
```

The output growth\_scbi is a single data frame of class sf that includes a numerical growth reflecting the average annual growth in DBH (in cm) for all trees that were alive at both time points as well a geometry variable encoding each tree's geolocation. Furthermore,

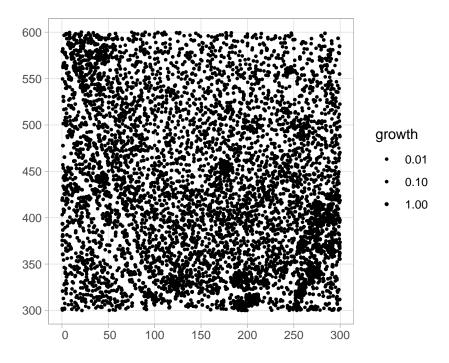


Figure 1: Growth of trees at SCBI.

variables that (in theory) remain unchanged between censuses appear only once, such as location variables gx and gy; as well as species-related variables. Variables that should change between censuses are suffixed with 1 and 2 indicating the earlier and later censuses, such as dbh1/dbh2 and codes1/codes2.

Given that growth\_scbi is of class sf, it can be easily plotted in ggplot2 using the geom\_sf() geometry as seen in Figure 1.

TODO: Rescale points in this plot:

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```
ggplot() +
geom_sf(data = growth_scbi, aes(size = growth)) +
scale_size(breaks = c(0.01, 0.1, 1), range = c(0.1, 1))
```

# 2.2 Add spatial information

The next step in our analysis sequence is to add spatial information to our main growth\_scbi
data frame. The first element of spatial information we add is a "buffer region" to the
periphery of the study region. Since some of our model's explanatory variables such as

competitor basal area are cumulative, we must ensure that all trees being modeled are not biased to have different neighbor structures. This is of particular concern for trees at the boundary of study regions, which will not have the same number of neighbors that act as competitors as trees in the internal part of the study region. In order to account for such edge effects only trees who are not part of this buffer region, i.e. are part of the interior of the study region, will have their growths modeled Waller & Gotway (2004).

Our model of interspecific competition relies on a spatial definition of who the com-113 petitor trees are for focal trees of interest: all trees within a distance comp\_dist of a focal 114 tree are considered its competitors (assuming the same units as the gx and gy location 115 variables). In our case we set this value below at 7.5m, a value informed by Canham et al. 116 (2004) Uriarte et al. (2004) Canham et al. (2006). Using this value along with a man-117 ually constructed sf object representation of the study region's boundary, we apply the 118 add\_buffer\_variable() to our growth\_scbi data frame to add a buffer boolean variable: 119 all trees who have buffer set to FALSE will be our focal trees whose growths are modeled, 120 whereas those with buffer set to TRUE will only be considered as competitor trees whose 121 growth will not be modeled.

```
# Define buffer region using competitive distance range
comp_dist <- 7.5

study_region_scbi <- tibble(
    x = c(0, 300, 300, 0, 0),
    y = c(300, 300, 600, 600, 300)
) %>%
    sf_polygon()

growth_scbi <- growth_scbi %>%
    add_buffer_variable(size = comp_dist, region = study_region_scbi)
```

The second element of spatial information are blocks corresponding to folds of a spatial cross-validation algorithm used to estimate model error. Conventional cross-validation algorithms assign observations to folds by randomly resampling individual observations.

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However, underlying this algorithm is an assumption that the observations are independent. In the case of forest census data, observations exhibit spatial autocorrelation. This spatial 127 dependence is incorporated into the cross-validation algorithm by randomly resampling 128 spatial blocks of trees Roberts et al. (2017) Pohjankukka et al. (2017). We therefore 129 associate each observed tree to one of k spatial folds. In the example below, we first 130 manually define two folds that partition the study region as an sf object. We then use 131 the output of the spatialBlock() function from the blockCV package to associate each 132 tree in growth\_scbi to the correct fold (saved in the foldID variable) Valavi et al. (2019). 133 \footnote{In the Appendix we present an example where the folds themselves are also 134 created using the spatialBlock() function given a specified cv\_block\_size.}

```
# Manually define spatial blocks to act as folds
fold1 <- rbind(c(0, 300), c(150, 300), c(150, 600), c(0, 600))
fold2 <- rbind(c(150, 300), c(300, 300), c(300, 600), c(150, 600))

blocks_scbi <- bind_rows(sf_polygon(fold1), sf_polygon(fold2)) %>%
    mutate(folds = c(1, 2) %>% factor())

# Associate each observation to a fold
SpatialBlock_scbi <- spatialBlock(
    speciesData = growth_scbi, k = 2, selection = "systematic",
    blocks = blocks_scbi, showBlocks = FALSE, verbose = FALSE
)

growth_scbi <- growth_scbi %>%
    mutate(foldID = SpatialBlock_scbi$foldID %>% factor())
```

Figure 2 illustrates the net effect of adding these two elements of information to the growth\_scbi data frame. The location of each tree is marked with an integer indicating which fold it belongs to, where the folds are marked with solid lines. The color of each digit indicates whether the tree is part of the buffer region (and thus will only be considered as a competitor tree in our model) or is part of the interior of the study region (and thus is a

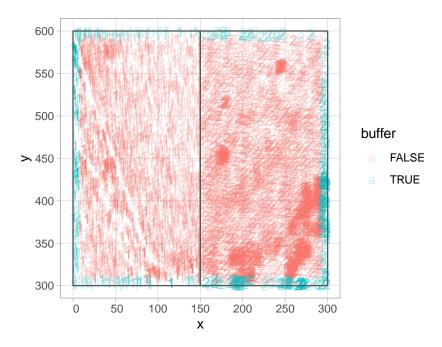


Figure 2: Buffer region and cross-validation block information for SCBI data.

focal tree whose growth is of modeled interest).

TODO: Do we talk about add\_buffer\_variable(direction = "out") when each fold gets its turn being the training data?

## 2.3 Identify all focal and corresponding competitor trees

The next step in our analysis sequence is to identify all focal trees and their corresponding competitor trees. The create\_focal\_vs\_comp() functions performs these tasks and
returns a new data frame of type sf containing this information. On top of the previously
discussed arguments comp\_dist defining the competition neighborhood and id indicating
which variable in the data frame uniquely identifies each tree-stem, this function also requires an sf object representation of the spatial cross-validation blocks/folds; in our case,

this was manually encoded in the blocks\_scbi in Section 2.2 while in our Appendix we present an example where this was performed using spatialBlock() from the blockCV package. We present the resulting data frame below with the foldID variable omitted for compactness of presentation.

```
focal_vs_comp_scbi <- growth_scbi %>%
  create_focal_vs_comp(comp_dist, cv_grid_sf = blocks_scbi, id = "stemID")
focal_vs_comp_scbi %>%
  select(-foldID)
## # A tibble: 6,296 x 6
     focal_ID focal_sp
                         dbh
                                 geometry growth comp
                                   <POINT> <dbl> ist>
        <dbl> <fct>
                       <dbl>
                       13.6 (14.2 428.5) 0.103 <tibble [20 x 4]>
## 1
            4 nysy
                              (9.4 436.4) 0.150 <tibble [32 x 4]>
## 2
            5 havi
                        8.8
           79 tiam
                       47.7
                              (40 381.1) -0.161 <tibble [20 x 4]>
## 3
                        5.15 (38.7 421.7) 0.253 <tibble [12 x 4]>
           80 caca
## 4
## 5
           96 libe
                        2.3
                                  (60 310) 0.262 <tibble [14 x 4]>
     ... with 6,291 more rows
```

TODO: Below reconcile the number of rows as they off by one from growth\_scbi pipe filter(!is.na(growth) & !buffer). perhaps by removing NA's in the growth\_scbi stage.

The resulting data frame focal\_vs\_comp\_scbi has 6296 rows, representing the subset 158 of the 7954 trees in growth\_scbi that will be considered as focal trees and thus have their 159 growths modeled. Recall from Section 2.2 this consists all trees that are not part of the 160 buffer region in Figure 2. Two new variables focal\_ID and focal\_sp related to tree-stem 161 identification and species information. Most notably however is a new variable comp which 162 contains information on all competitor trees for a given focal tree saved in list-column 163 format, a feature of the tidyr package Wickham (2020). For example, we drill-down on 164 the tree with focal\_ID equal to 4. It has 20 competitor trees each described by 4 variables 165 as indicated by the fact that comp is a <tibble [20  $\times$  4]>.

Using the unnest() function from the tidyr package, we can flatten list-column into regular columns. We observe that for the same focal tree with DBH equal to 13.65cm, we have information on all 20 competitor trees whose dist distance to the focal tree is less than or equal to 7.5, including their unique tree-stem ID number, their species, and their basal area (in m<sup>2</sup>) calculated as  $\frac{\pi \times (DBH/2)^2}{10000}$  where DBH is the value from the earlier census in cm. Saving our focal versus competitor information in list-column minimizes redundancy since we do not repeat information on the focal tree 20 times.

```
focal_vs_comp_scbi %>%
  filter(focal_ID == 4) %>%
  select(focal_ID, dbh, comp) %>%
  unnest(cols = "comp")
```

```
# A tibble: 20 x 6
                                   dist comp_sp comp_basal_area
   ##
         focal_ID
                     dbh comp_ID
175
            <dbl> <dbl>
                            <dbl> <dbl> <fct>
   ##
                                                              <dbl>
176
                 4
                    13.6
   ## 1
                             1836
                                    7.48 tiam
                                                           0.0176
   ## 2
                 4
                    13.6
                             1847
                                    2.81 nysy
                                                           0.00332
   ## 3
                 4
                    13.6
                             1848
                                    1.62 nysy
                                                           0.00396
                    13.6
                                    2.62 nysy
                                                           0.00535
   ## 4
                 4
                             1849
180
                    13.6
                             1850
                                    2.98 havi
                                                           0.00472
   ## 5
181
         ... with 15 more rows
```

## <sup>183</sup> 2.4 Fit model and make predictions

184 HEY BERT PICK IT UP HERE

Next we fit the following linear model to the DBH of each focal tree. Let  $i = 1, ..., n_j$ index all  $n_j$  trees of "focal" species group j; let j = 1, ..., J index all J focal species groups; and let k = 1, ..., K index all K "competitor" species groups. We modeled the growth in diameter per year  $y_{ij}$  (in centimeters per year) of the  $i^{th}$  tree of focal species group j as a linear model f of the following covariates  $\vec{x}_{ij}$ 

$$y_{ij} = f(\vec{x}_{ij}) + \epsilon_{ij} = \beta_{0,j} + \beta_{\text{DBH},j} \cdot \text{DBH}_{ij} + \sum_{k=1}^{K} \lambda_{jk} \cdot \text{BA}_{ijk} + \epsilon_{ij}$$

We estimate the model's parameters using Bayesian linear regression implemented in the fit\_bayesian\_model() function. TODO: define all parameters

For this linear model's case, there exists a closed form solution as described here. As such, the fit\_bayesian\_model() function using matrix algebra to obtain all parameter estimates, rather than computationally expensive Monte Carlo approximations. The inputs to this function are a focal\_vs\_comp data frame, prior\_param a list of priors, and a boolean flag run\_shuffle on whether or not to run competitor-species identity permutations which we will demonstrate below on the Michigan Big Woods data. This function returns the posterior means of all parameters.

Using these posterior means, we then use the posterior predictive distribution to obtain fitted/predicted values  $\hat{y}$  of the DBH for each focal tree using the predict\_bayesian\_model(). These  $\hat{y}$  can then be compared to the observed y DBH's to compute the root mean-square error, a measure of a model's predictive error which has the same units as the observed data y.

#### 204 **2.4.1** Big Woods

For the Michigan Big Woods data we present two use cases of the model fitting and prediction scheme. The first use case is the simplest where we assess the fit of the model using root mean squared error. The second use case then answers the question of whether species competitor identity matters using permutation test. For the first use case, we fit the linear model specified in Equation XXX to our data frame of type focal\_vs\_comp. This input/outputs of the fit\_bayesian\_model() function are lists of the prior/posterior means of parameters of the linear regression specified in XXX. Generally speaking, there are two classes of regression parameters:  $\beta$  main effects and  $\lambda$  competitive effects. In the upcoming Section 2.5, we will present code visualizing this posterior distributions.

```
comp_bayes_lm_bw <- focal_vs_comp_bw %>%
comp_bayes_lm(prior_param = NULL)
```

This output of posterior parameters for the specified competition model are then used along with the posterior predictive distribution encoded in predict\_bayesian\_model() to return predicted growths for each individual tree. We join these predicted growths to the original growth data frame.

```
focal_vs_comp_bw <- focal_vs_comp_bw %>%
mutate(growth_hat = predict(comp_bayes_lm_bw, focal_vs_comp_bw))
```

We then use the rmse() function from the yardstick package to obtain the root mean squared error of the observed versus fitted values of growth.

```
focal_vs_comp_bw %>%
  rmse(truth = growth, estimate = growth_hat) %>%
  pull(.estimate)
```

The second use case is near identical to the first, but with a small change in the code
to test whether the identity of the competitor matters. By adding a run\_shuffle = TRUE
argument to fit\_bayesian\_model(), for each focal tree its competitor trees' species identity
will be "shuffled" randomly much like in a permutation test. By shuffling these species
labels we are effectively fitting the model under a null model that competitor species identity
does not matter. If the "shuffled" RMSE's are consistently lower than the unshuffled RMSE
corresponding to the observed data, then we have evidence to suggest that competitor
identity matters to competitive interactions.

```
comp_bayes_lm_bw_shuffle <- focal_vs_comp_bw %>%
  comp_bayes_lm(prior_param = NULL, run_shuffle = TRUE)

focal_vs_comp_bw <- focal_vs_comp_bw %>%
  mutate(growth_hat_shuffle = predict(comp_bayes_lm_bw_shuffle, focal_vs_comp_bw))

focal_vs_comp_bw %>%
  rmse(truth = growth, estimate = growth_hat_shuffle) %>%
  pull(.estimate)
```

The RMSE is fact lower for the non-shuffled version, indicative of a better model fit.

This gives support for the idea that competitor identity does matter for competitive interactions. In Allen & Kim (2020) we run this shuffle a large number of times to construct a
full permutation distribution to show that this difference is robust to resampling variation.

#### 233 2.4.2 SCBI

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In the case of the SCBI data, we once again perform the same model fitting and computing of fitted growths as with the Big Woods data, but this time we map the residuals of the observed minus fitted values to look for spatial patterns.

```
comp_bayes_lm_scbi <- focal_vs_comp_scbi %>%
  comp_bayes_lm(prior_param = NULL)

focal_vs_comp_scbi <- focal_vs_comp_scbi %>%
  mutate(growth_hat = predict(comp_bayes_lm_scbi, focal_vs_comp_scbi))

focal_vs_comp_scbi %>%
  rmse(truth = growth, estimate = growth_hat) %>%
  pull(.estimate)
```

In Figures ?? and ?? we present the residuals.

## 2.5 Visualize model results

Lastly, we return to the model fits from Section 2.4 and present tools to visually explore 239 the posterior distributions of all parameters in our model. There are two main groups of 240 parameters to consider. The  $\beta$  coefficients tell us about how fast each species grows and 241 how this depends on DBH while the full matrix of  $\lambda$  values describe the competitive effects 242 between pairs of species. There is a rich literature on this matrix (cite). 243 DO WE NEED TO DESCRIBE MECHANICS? Because of the structure of the bw\_fit\_model 244 object we cannot simply draw these curves based on the posterior distribution. bw\_fit\_model() 245 gives the parameters compared to a baseline. This is not of direct interest. So to display these parameters, as we care about them, we have to sample from the baseline distribution and from the comparison one to get the posterior distribution of interest. 248

## $_{249}$ 2.5.1 Big Woods

Here we re-run the model fit to the Big Woods data from Section 2.4, but this time use "family" as the group for comparison which has. This makes the posterior distributions easier to
follow. Also, surprisingly, grouping by family performed just as well as grouping by species
Allen & Kim (2020). First we re-run create\_focal\_vs\_comp() and fit\_bayesian\_model()
with no permutation shuffling with the grouping variable as family.

```
focal_vs_comp_bw <- growth_bw %>%
  mutate(sp = family %>% factor()) %>%
  create_focal_vs_comp(comp_dist = comp_dist, cv_grid_sf = blocks_bw, id = "treeID")

comp_bayes_lm_bw <- focal_vs_comp_bw %>%
  comp_bayes_lm(prior_param = NULL)
```

Now the posterior parameter outputs of fit\_bayesian\_model() are passed to plot\_bayesian\_model\_pa to generate visualizations of the posterior parameters. These visualizations are displayed in Figure 5 of Allen & Kim (2020). For simplicity we only plot a subset of the species families.

The output is a list with three plots stored. Figure ?? The element beta\_0 gives the baseline growth intercept  $\beta_0$ , i.e., how fast an individual of each group grows independent of DBH).

```
plot1 <- autoplot(comp_bayes_lm_bw, type = "intercepts")
plot1</pre>
```

Figure ?? Next beta\_dbh gives the slope for DBH slope  $\beta_{dbh,i}$  for each group.

```
plot2 <- autoplot(comp_bayes_lm_bw, type = "dbh_slopes")
plot2</pre>
```

Finally Figure ?? lambda gives the competition coefficients  $\lambda$ .

```
plot3 <- autoplot(comp_bayes_lm_bw, type = "competition")
plot3</pre>
```

#### $_{264}$ 2.5.2 SCBI

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We revisit the posterior parameters for the SCBI from Section {model-fit-predict}, but this time only focus on the  $\lambda$  competition coefficients.

```
sp_to_plot <- c("quru", "litu", "cagl", "cato")

plot3 <- autoplot(comp_bayes_lm_bw, type = "competition")
plot3</pre>
```

Add explanation here.

HEY BERT PICK IT UP HERE

## 269 2.6 Run spatial cross-validation

The model fits and predictions in Section 2.4 all suffer from a common failing: they use 270 the same data to both fit the model and to assess the model's performance using the 271 RMSE. As argued by Roberts et al. (2017), this can lead to overly optimistic assessments 272 of model quality as the models can be overfit, in particular in situations where spatial-273 autocorrelation is present. To mitigate the effects of such overfitting, we use a spatially 274 block cross-validation algorithm implemented in the run\_cv(). This function at its core 275 uses the same model fitting implemented in the fit\_bayesian\_model() function, however 276 trains the model on k-1 spatial folds of the train and returns fitted values for the test 277 data. Recall that the spatial blocking scheme was encoded in Section 2.2.

## 279 **2.6.1** Big Woods

Applying this spatially cross-validated model fit yields an RMSE is higher than that when
the model is fit without cross validation. In other words, our model fits in 2.4 were overly
optimistic in the model's fitting power, whereas a cross-validated results yield an estimate
that is closer to the truth. See Allen & Kim (2020) for more discussion of this.

```
focal_vs_comp_bw <- focal_vs_comp_bw %>%
    run_cv(comp_dist = comp_dist, cv_grid = blocks_bw)

focal_vs_comp_bw %>%
    rmse(truth = growth, estimate = growth_hat) %>%
    pull(.estimate)
```

#### $\mathbf{2.6.2}$ SCBI

Observe once again that this RMSE is much higher than that for the above SCBI model fit without cross-validation.

```
focal_vs_comp_scbi <- focal_vs_comp_scbi %>%
   run_cv(comp_dist = comp_dist, cv_grid = blocks_scbi)
```

```
focal_vs_comp_scbi %>%
  rmse(truth = growth, estimate = growth_hat) %>%
  pull(.estimate)
```

# 3 Discussion

# <sup>288</sup> 4 Acknowledgments

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