Synthesis of Railway-Signaling Plans using Reachability Games

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ABSTRACT

In this work, we show the feasibility of using functional programming (more specifically F[#]) in connection with gamebased methods for synthesis of correct-by-construction controllers (also called signaling plans) for railway networks. This is a massively resource-demanding application.

A model for railway networks comprising trains, signals, linear sections, and points is established together with a domain-specific language capturing the important concepts in the model. A translation from railway network models to two-player reachability games is provided. In these games, the existential player (the control system) controls signals and points and the universal player (the antagonistic environment) controls movement of trains. A winning strategy for the existential player provides a signaling plan that will safely guide trains through the network.

The concepts from the railway network model and the twoplayer reachability game are captured, in a natural manner, by type declarations in F[#]. Furthermore, the F[#] translation functions are formulated in a manner that is close to the mathematical formulations. This increases confidence in the correctness of the implementation and it decreases the development time. Imperative features of F[#] proved useful in two places: Hash tables and arrays were used in the representations of the railway network model and the reachability game. This allowed for more compact representations and a more efficient game solver (providing the winning strategy).

Experiments show that we are able to synthesize signaling plans for real railway networks of substantial size.

CCS CONCEPTS

• Theory of computation \rightarrow Algorithmic game theory; • Software and its engineering \rightarrow Functional languages; Domainspecific languages;

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KEYWORDS

Domain-specific language, functional programming, railway networks, reachability games, signaling plans, synthesis

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1 INTRODUCTION

Railway network systems are often mentioned as examples of safety-critical systems, for obvious reasons, and formal methods have a significant role in achieving confidence in the correctness of such systems. Predominant approaches to achieving this are based on verification techniques, in particular model checking, but these techniques are geared towards finding bugs in designs - they are of limited use in the design process. We investigate the feasibility of using game-based methods for *synthesis* of correct-by-construction controllers [14, 21, 22].

The challenge of verification and synthesis techniques for railway-network problems is state-space explosion: The number of positions of trains in a network grows exponentially with the number of trains, the number of combinations of signals in a network grows exponentially with the number of signals, and so on. The goal of this work, which is based on [17], is to show that

- game-based techniques can be used to synthesize signaling plans for real railway networks of a substantial size (comparable to the size of the problems that can be handled by verification techniques), and
- functional programming provides an efficient development and implementation platform for a tool synthesizing signaling plans from railway network models.

1.1 The Problem

A train's movement in a railway network must be guided by points and signals, such that it reaches its destination without risk of derailment or collision. The points must direct the trains along their respective routes and the signals must halt certain trains in order to give way to others.

A simple example is found in Figure 1. It contains four sections s10, s11, s12 and s20, where s10, s11 and s20 are linear sections and s11 is a point. The point can switch

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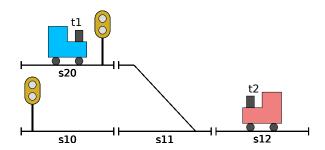


Figure 1: A railway network (inspired by [24]) containing four sections, two signals, two trains, and the point s11.

between connecting the sections s20 and s12 or the sections s10 and s12. Sections allow traffic in both directions.

The train t1 occupies section s20 and the train t2 occupies the section s12. If the signal along section s20 is closed, it prevents t1 from entering s11. The train t2 is free to enter s11 and continue to s10 or s20 depending on the state of s11.

Based on the current positions of the trains, a control system must select the right configuration of points and signals. For this, the system needs a mapping from train positions to configurations. Such a mapping is also called a *signaling plan* and it can be considered an algorithm for controlling the traffic in a network. A signaling plan is *correct*, if it ensures that the trains reach their destinations without crashing. We consider the following problem:

Synthesis of correct signaling plans for railway networks

1.2 Game-Based Synthesis

The synthesis problem is solved using game-based methods: In a reachability game, two players: Adam (the universal player) and Eve (the existential player), take turns moving a token along the edges of a graph. Eve wins the game, if the token reaches a designated set of vertices. Otherwise, Adam wins. We model a railway network as such a game.

The states of the network act as the vertices of the game and we make changes to the current state by moving the game token. Eve takes the role of the control system and is in charge of updating points and signals. Adam moves the trains, obeying the signals. Eve wins if the trains reach their destinations without crashing and Adam wins if he can move a train away from its route or crash it. If Eve can force Adam to lose, even if Adam acts as a perfect adversary, then we can get the trains correctly through the network. A correct signaling plan is obtained from Eve's winning strategy.

Previously, game-based techniques have been used for synthesis of control algorithms for *concrete examples* of railway-networks (e.g. [9]). However, to the best of the authors' knowledge, at the time of writing, there have been no game-based attempts at solving the synthesis problem for *arbitrary* railway networks. For other examples of game-based synthesis, see [3, 4, 18].

1.3 The functional approach

A functional programming language with a strong type system, in this case $F^{\#}$ [15, 23], was used as implementation platform. The concepts from a mathematical model of railway networks [17] are captured in a natural manner by type declarations and so are the concepts from reachability games. The $F^{\#}$ translation functions from network models to games are formulated in a manner that is close to the mathematical formulation. This increases confidence in the correctness of the implementation and it decreases the development time. Imperative features of $F^{\#}$ proved useful in two places: Hash tables and arrays were used in the representations of the railway network model and the reachability game. This allowed for more compact representations and a more efficient game solver (providing the winning strategy).

The most interesting experiment conducted so far is that a correct signaling plan for (approximately one fourth of) Florence Station with four trains is generated in 8.1 seconds. The considered part of Florence Station has 69 linear sections, 23 points, and 46 signals. This is a size approaching the limit of what state-of-the-art verification approaches, e.g. [24], can handle.

1.4 Background

In Europe, the predominant standard for railway signaling is the European Rail Traffic Management System (ERTMS), see for example [24]. ERTMS comprises a standard ETCS for in-cab signaling and automatic train protection systems [10]. ETCS has three application levels ranging from Level 1 to Level 3, where Level 3 is the most autonomous. In 2009, the Danish parliament decided to replace the entire railway-signaling system with an implementation of ERMTS with ETCS Level 2 [1].

In ETCS, signaling is controlled according to *interlocking tables*. An interlocking table contains one entry for each *elementary route* in the network. An elementary route starts at a signal, ends at another signal, and there is no signal between the two. *Compound routes* are formed by concatenating elementary routes. Signaling works according to the principle that a route is locked exclusively for one train at a time [24].

This static generation of routes has the advantage that a train entering a route can drive to the end of that route without stopping, thereby saving time and energy – frequent stop-and-go is energy consuming. However, the statically generated routes are inflexible and of no use if, for example, a malfunctioning train blocks a track and alternative routes are needed.

Correct signalling plans provide a controlling mechanism that can be used at run-time: In any traffic situation the controller makes a safe decision that brings the trains closer to their destinations. But signaling plans do not guarantee "good" routes: In the current form, there is no attempt at avoiding stop-and-go situations.

PGSolver. The PGSolver framework [12] provides an efficient platform for solving *parity games*, that is, a kind of

two-player games of perfect information and infinite duration. PGS olver is implemented in the functional programming language OCaml and our solver for reachability games is implemented in $F^\#,$ which is closely related to OCaml. With respect to choices of data structures, our implementation is inspired by PGS olver.

2 GAMES FOR RAILWAY NETWORKS

In a turn-based two-player game, or from now on a game, the players Eve and Adam move a shared token along the edges of a shared game graph. The vertices of the game graph are partitioned into V_E and V_A . Eve chooses the next move from vertices in V_E and Adam chooses the next move from vertices in V_A .

Given a winning condition and an initial vertex, we want to know whether Eve can ensure a win, no matter how Adam plays. If this is the case, we are also interested in a winning strategy, which specifies a winning move from each vertex in V_E . We now provide formal definitions of the above concepts. For a more thorough introduction, see e.g. [2, 19].

A game graph G is a tuple (V_E, V_A, E, c) , where $E \subseteq (V_E \cup V_A) \times (V_E \cup V_A)$ is the set of edges and $c: (V_E \cup V_A) \to C$ is a function, which colors every vertex with a color from the set C. We require that $V_E \cap V_A = \emptyset$ and we let $V = V_E \cup V_A$ denote the set of all vertices. Also, for every $v \in V$, we define $vE = \{v' \mid (v,v') \in E\}$, which is the set of successors to v. We assume that $vE \neq \emptyset$ for all $v \in V$.

A play in G is an infinite sequence $\alpha = v_0 v_1 v_2 \dots$ of vertices such that $(v_i, v_{i+1}) \in E$ for all $i \in \mathbb{N}$. Let $c(\alpha)$ denote the corresponding sequence $c(v_0)c(v_1)c(v_2)\dots$ of colors.

A game is a pair (G, Win), where G is a game graph and $Win \subseteq C^{\omega}$ is a winning condition. Eve wins a play α , if $c(\alpha) \in Win$. Otherwise, Adam wins.

A strategy for Eve is a function $\sigma: V^*V_E \to V$ such that $\sigma(xv) = v'$ implies $(v, v') \in E$. A play $v_0v_1v_2...$ is played according to the strategy σ , if

$$\forall i \in \mathbb{N} : v_i \in V_E \Rightarrow \sigma(v_0 v_1 \dots v_i) = v_{i+1}.$$

Given an initial vertex v_0 and a strategy σ for Eve, let $Out(\sigma, v_0)$ denote all plays from v_0 played according to σ . We say that σ is a winning strategy from v_0 , if $c(\alpha) \in Win$ for all $\alpha \in Out(\sigma, v_0)$.

2.1 Reachability games

Suppose a designated target color $c' \in C$ is given. A game with a winning condition of the form

$$\{c_0c_1\ldots\mid \exists i\in\mathbb{N}: c_i=c'\}$$

is called a reachability game [2]. In such a game, Eve wins a play, if the token eventually reaches a vertex colored c'. Vertices having color c' are called goal vertices. For this type of games, we have [2]:

THEOREM 2.1. Let $\mathcal{G} = ((V_E, V_A, E, c), Win)$ be a reachability game. Then, there exists a partition $\{Win_E, Win_A\}$ of V, such that Eve has a winning strategy from any initial

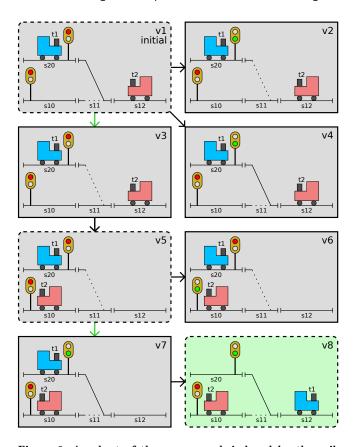


Figure 2: A subset of the game graph induced by the railway network shown in Figure 1. The green edges (v1,v3) and (v5,v7) indicate Eve's winning moves.

 $vertex\ v \in Win_E\ and\ Adam\ has\ a\ winning\ strategy\ from\ any\ initial\ vertex\ v \in Win_A$.

In essence, this theorem states that if both players are perfect, then the winner is determined before the first move. We use the term $winning\ areas$ to describe Win_E and Win_A .

In addition, it can be shown that reachability games are *memoryless determined* [2]. This means that in order to ensure a win, the winning player only needs to consider the current vertex when deciding on his next move.

2.2 A Game for a Railway Network

A subset of the game graph for the railway network in Figure 1 is shown in Figure 2. Recall that Eve changes the configuration of signals and points and Adam moves the trains. That is, Eve controls the vertices with dashed edges and rounded corners and Adam controls the vertices with solid edges and sharp corners. In order to cause progression, we force Adam to move a train, if these are not all blocked by closed signals. The turn alternates between the two players.

The token starts at v1, where the trains are at their initial positions and the points and signals are in default states. The vertex v8 is a goal vertex, as we assume that t1 and t2 have sections s12 and s10 as respective destinations.

In v1, Eve has the turn and she must move the token to one of the vertices v2, v3, v4, and some others not shown in Figure 2. From v2, Adam can derail t1 by moving the train onto the point s11, which connects s10 and s12 and not s20 and s12. From v4, Adam can collide the trains, because s11 connects s20 and s12. Clearly, Eve wants to avoid these two vertices.

In v3, t1 is blocked by a closed signal, so Eve does not risk derailment. The point s11 connects s10 and s12, so she does not risk collision either. In addition, from v3, Adam is forced to move t2 to s10, which is the destination of t2. Hence, Eve moves from v1 to v3 and Adam moves from v3 to v5.

In v5, Eve has the turn and she can choose between v6, v7, and other vertices not shown in Figure 2. From v6, Adam can derail t2, because the signal along s10 is open. Therefore, Eve moves to v7.

In v7, the point s11 connects s20 and s12 and the train t1 is allowed to move, as the signal along s20 is open. Because Adam is forced to move a train if he can, he must move t1 to s12 by moving the game token to v8. This vertex is a goal vertex, so we terminate the game with Eve as the winner. Furthermore, Adam never had a chance of winning, so Eve has a winning strategy by making the moves just described. Notice that these moves also describe a correct signaling plan for the network.

2.3 Solving Reachability Games

The proof of Theorem 2.1 presented in [2] is interesting, because it reveals a way to compute an optimal strategy for Eve. The corresponding algorithm is shown in Algorithm 1. This algorithm computes Eve's winning area based on a breadth-first search: Starting from the goal vertices, it iteratively expands the winning area by exploring the reverse game graph. When the algorithm discovers an Eve vertex, it is included in the winning area, as Eve can move to the current winning area in one move. When the algorithm discovers an Adam vertex where every successor has been explored, it is also included in the winning area, as Adam has no choice but to move to the current winning area. In order to achieve linear time complexity, we keep track of the number of unexplored successors to each vertex.

The optimal strategy for Eve is to move to a vertex explored earlier than the current one whenever possible. This strategy is winning from every vertex in her winning area.

We use the types shown in Figure 3 to model game graphs and the concepts from Algorithm 1. With these types, the pseudocode of Algorithm 1 translates straightforwardly into an $F^{\#}$ function solveReachability with the following type:

```
GameGraph<'misc,'color> ->
  'color ->
Winners<'misc,'color> * Strategy<'misc,'color>
```

Here, the second parameter specifies the target color of the game. The function definition is in Appendix A.

We represent a game graph $G = (V_E, V_A, E, c)$ using adjacency lists of the reverse graph and we augment each vertex with its number of successors: An entry in the dictionary for

Algorithm 1 Algorithm for solving a reachability game \mathcal{G} with finite game graph (V_E, V_A, E, c) and target color c'. The winning areas of \mathcal{G} can be obtained by scanning the output win and an optimal strategy for Eve is given by the output strategy. This algorithm is inspired by [2].

```
1: function SolveReachability((V_E, V_A, E, c), c')
         for all v \in V_E \cup V_A do
 3:
              win[v] \leftarrow Adam
 4:
              P[v] \leftarrow \emptyset
              n[v] \leftarrow 0
 5:
 6:
              strategy[v] \leftarrow \bot
         for all (u, v) \in E do
 7:
              P[v] \leftarrow P[v] \cup \{u\}
 8:
              n[u] \leftarrow n[u] + 1
 9:
10:
         for all v \in V_E \cup V_A such that c(v) = c' do
11:
              win[v] \leftarrow \text{Eve}
12:
              Engueue(Q, v)
13:
         while Q \neq \emptyset do
14:
              v \leftarrow \text{Dequeue}(Q)
15:
              for all p \in P[v] do
16:
17:
                   n[p] \leftarrow n[p] - 1
                   if win[p] = ADAM \land (p \in V_E \lor n[p] = 0) then
18:
                        win[p] \leftarrow \text{EVE}
19:
                        strategy[p] \leftarrow v
20:
                        Engueue(Q, p)
21:
22:
         return (win, strategy)
```

```
type Player = Adam | Eve
type Vertex<'misc, 'color>
   when 'misc:equality and 'color:equality =
    'misc * 'color * Player
type GameGraph<'misc, 'color>
   when 'misc:equality and 'color:equality =
   Dictionary<Vertex<'misc, 'color>,
        int * List<Vertex<'misc, 'color>>>
   type Winners<'misc, 'color>
   when 'misc:equality and 'color:equality =
   Dictionary<Vertex<'misc, 'color>, Player>
type Strategy<'misc, 'color>
   when 'misc:equality and 'color:equality =
   seq<Vertex<'misc, 'color> * Vertex<'misc, 'color>>>
```

Figure 3: Representations of game graphs, winning areas, and strategies. The int component of GameGraph is used to store the number of successors to a vertex. The types List and Dictionary are from the standard library of the Common Language Infrastructure [6, 8] and they implement dynamic arrays and hash tables.

a given vertex v is a pair $(k, [v_1; \ldots; v_n])$, where k is the number of unexplored successors to v in G and where $(v_i, v) \in E$ for $1 \le i \le n$. This representation is the basis of an efficient game-solving algorithm that is linear in both the number of vertices and the number edges.

Figure 4: The types representing basic railway network concepts.

3 RAILWAY NETWORK MODEL

The program design presented below is based on the mathematical model of railway networks developed in [17].

3.1 Basic Concepts

The basic concepts from the mathematical model are expressed by F[#] types in Figure 4. Central concepts are *linear section* (type Linear) and *point section* (type Point). Each section has a unique label.

A port (type Port) is the end of a section: A linear section has two ports called up and down and a point section has three ports called stem, plus, and minus. The track between stem and plus forms the main path through the point. These concepts originate from the Danish railway terminology, where up (down) denotes the direction, in which the distance from some reference location is increasing (decreasing) [24]. A signal (type Signal) is placed at a port of a linear section.

Some types have been extended in order to cover special cases: For example, LinearExtended contains the artificial section Derail, which indicates a derailment. Likewise, Port-Extended contains the artificial port Null, which marks the end of a track.

3.2 Network Specifications and States

In Figure 5, we present the types representing railway networks and their states. A network (type Network) is a tuple $(L, P, con, Signals, \underline{start}, \underline{dest})$, where

- L is the set of linear sections,
- P is the set of points,
- *con* is a function describing the connections of the ports.
- Signals is the set containing the linear ports, at which there are signals, and
- the vectors <u>start</u> and <u>dest</u> describe the initial positions and the destinations of the trains.

A state (type State) represents an instantaneous description of the network. A state is a tuple ($\underline{pos}, \Pi, \Sigma$), where

• pos is a vector describing the train positions,

Figure 5: The types representing railway networks and their states. The type HashSet is from the standard library of the Common Language Infrastructure [7] and it implements mathematical sets.

- *II* is a set containing every point in the *plus-state* connecting the stem-port and the plus-port, and
- Σ is a set containing the open signals.

We have implemented custom definitions for equality and comparisons of **State**. This is because the standard library uses pointer equality to determine, whether two **HashSets** are equal. Hence, without custom definitions, we may distinguish states, which are equal in a mathematical sense.

Note that it is possible to optimize the types of Figure 4 and Figure 5 for greater performance: By incorporating Signal into Linear and PointState into Point, we can determine the states of signals and points without lookups in Π and Σ . In fact, Π and Σ would be unnecessary. However, in order to increase development speed and minimize the risk of bugs, we have kept the type definitions simple and close to the mathematical model.

3.3 State Transitions

The railway model from [17] distinguishes between two types of *state transitions*: Movements of trains and updates to points and signals. The railway control system has no direct control of the former, whereas it has full control of the latter (leading to the roles of Adam and Eve).

At most one train moves during a single state transition. It moves directly to the next linear section, possibly traversing a point section in the process. It may also derail, if it leaves a section through an unconnected port or if it enters a point which is in the wrong state.

There is no restriction on the number of points and signals that may change state during a single transition.

3.4 Translation to a Reachability Game

The states of a railway network act as the vertices of the corresponding game graph and the state transitions act as the edges. Given a railway network, we use a simple search to generate the game graph explicitly: Starting from the vertex representing the initial state, we iteratively generate successors to discovered vertices. This continues until we have explored the entire game graph.

Function	Туре		
color	Network -> PositionVectorExtended ->		
	Color		
next	Network -> State -> Linear ->		
	Direction -> LinearExtended		
	GameVariant -> Network ->		
succAdam	Direction[] -> Vertex ->		
	List <vertex></vertex>		
relPointsSigs2	<pre>Network -> Direction[] -> Linear[] -></pre>		
	(List <linearport> * HashSet<point></point></linearport>		
	* List <signal>)</signal>		
	<pre>Network -> Direction[] -> Linear[] -></pre>		
relPointsSigs3	(bool * HashSet <point></point>		
	* List <signal *="" option="" point="">)</signal>		
	GameVariant -> Network ->		
succEve	Direction[] -> Vertex ->		
	List <vertex></vertex>		
nextLinears	Network -> Direction -> Linear ->		
	Linear list		
directions	ns Network -> Directions[]		
	GameVariant -> Network ->		
graph	(GameGraph <state,color></state,color>		
	* Vertex <state,color>)</state,color>		

Table 1: An overview of the central functions concerning the translation from a network specification to a reachability game.

We do not generate successors to crash vertices or goal vertices, as the outcome of the game is known, when the game token reaches such a vertex. We use the type Color to distinguish crash vertices and goal (success) vertices from the remaining vertices:

The type of the generated graph is Graph<State,Color>. That is, the type of the vertices is Vertex<State,Color>.

The program design facilitating game-graph generation is shown in Table 1. This design follows naturally from the railway model presented in [17] and each function declaration is formulated in a manner that closely resembles the corresponding mathematical definition.

The main function is graph: Given a well-formed network, it returns the corresponding game graph and the initial vertex. To be more precise, graph generates the game graph of one of four variants \mathcal{G}^0 , \mathcal{G}^1 , \mathcal{G}^2 , and \mathcal{G}^3 of the reachability game. We discuss these variants in Section 4. The desired variant is specified by the first parameter, which is of type Game-Variant:

The function directions assigns directions to the trains: Each train is assigned the direction, which allows the train to reach its destination. This direction is found using a search on the linear sections. During this search, we use nextLinears, which computes the next linear sections in a given direction.

The functions succAdam and succEve generate successors to a vertex. The function succAdam uses next to compute

the next linear section of a train based on the current state of the network. Since at most one train can move during a single state transition, one successor is generated for each train not blocked by a closed signal.

The function succEve generates successors resulting from updating points and signals. Since any number of points and signals can be updated during a single state transition, this function generates a number of successors exponential in the number of points and signals. However, this only applies to the game variants \mathcal{G}^0 and \mathcal{G}^1 . When generating the graphs of \mathcal{G}^2 and \mathcal{G}^3 , succEve uses relPointsSigs2 and relPointsSigs3 to determine the points and signals, which influence train movements in the current state. By ignoring the remaining points and signals, we drastically reduce the number of successors. We go into further details in Section 4.

Finally, the function color colors a vertex based on the positions of the trains in the corresponding state.

3.5 From Strategies to Signaling Plans

The function solveReachability computes Eve's winning strategy for a given game graph. From this strategy, we must extract a signaling plan. A signaling plan contains exactly one entry for each vector of train positions, from which we can get the trains safely to their destinations. Thus, we partition the winning vertices based on their train positions and select one vertex from each partition. For each of these vertices, the strategy describes Eve's winning move. Since an Eve move corresponds to an update of the points and signals, each winning move describes a signaling configuration that is a safe response to the given train positions. Hence, the winning moves from the selected vertices yield the entries in the signaling plan:

```
type SignalingPlan =
    seq<PositionVector
    * (HashSet<Point> * HashSet<Signal>)>
```

4 REDUCING GAME SIZE

Let \mathcal{G}^0 be the original reachability game, in which every state is a vertex and every state transition is an edge. It follows from the declaration of State that a network $(L, P, con, Signals, \underline{start}, \underline{dest})$ can be in $(|L|+1)^n 2^{|P|+|Signals|}$ different states, where n denotes the number of trains. Since both Eve and Adam can have the turn from any of these states, an immediate upper bound on the number of vertices in \mathcal{G}^0 is $(|L|+1)^n 2^{1+|P|+|Signals|}$. With some simple combinatorics, it is possible to obtain the following tighter bound [17]:

$$V_{\rm max}^0 = pos_{\rm max} 2^{1+|P|+|Signals|} \tag{1}$$

Here, pos_{max} is an upper bound on the number of ways to distribute the trains, keeping in mind that we stop the game after a single derailment or a single collision. It is defined as

$$pos_{\max} = \left(\frac{1}{(|L|-n)!} + \frac{n}{(|L|-(n-1))!} + \frac{(n-1)n}{2(|L|-(n-1))!}\right)|L|!.$$

The first term is the number of distinct position vectors of non-crash states, the second term is the number of distinct

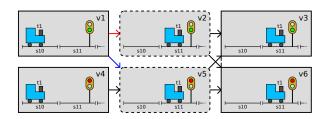


Figure 6: An abstraction that ignores irrelevant Eve vertices. Adam resets every signal to closed before passing the turn to Eve. Hence, the red edge (v1,v2) is replaced by the blue edge (v1,v5). This renders v2 unreachable. Because v2 and v5 have the same successors, v2 is in Eve's winning area if and only if v5 is in Eve's winning area. Hence, we have not changed the outcome from v1, so this abstraction does not change the winner of the game.

position vectors in derail states, and the last term is the number of distinct position vectors in collision states.

The upper bound $V_{\rm max}^0$ is exponential in the number of points and signals and near-exponential in the number of trains. This renders synthesis intractable for all but the smallest networks. Therefore, we have devised three abstractions, each of which significantly reduces the size of the game graph. When all abstractions are applied, the upper bound on the size of the game graph is exponential only in the number of trains.

These abstractions do not change the winner of the game, so the computed signaling plans remain correct. For each abstraction, we provide an informal argument of this claim. For formalizations and rigorous proofs, we refer to [17].

Starting from the original game \mathcal{G}^0 , we obtain the game variants \mathcal{G}^1 , \mathcal{G}^2 , and \mathcal{G}^3 by repeatedly applying an additional abstraction to the previous variant.

4.1 Ignoring Irrelevant Eve Vertices

The first abstraction is based on the following observation:

When Eve receives the turn, the configuration of points and signals is irrelevant, as Eve can change it to whatever she likes before passing the turn to Adam. Hence, without changing the outcome of the game, we can force Adam to reset every point to the *minus-state* and every signal to *closed* before passing the turn to Eve.

This implies that every reachable Eve vertex has every point in the minus-state and every signal closed. The effect of this abstraction is illustrated in Figure 6. The game variant \mathcal{G}^1 is the result of applying this abstraction to \mathcal{G}^0 .

In \mathcal{G}^1 , we can have at most one reachable Eve vertex per obtainable vector of train positions. The number of Adam vertices stays the same, so an upper bound on the number vertices in \mathcal{G}^1 is

$$V_{\text{max}}^{1} = pos_{\text{max}}(2^{|P|+|Signals|} + 1).$$
 (2)

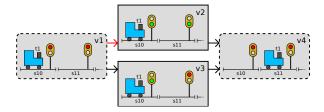


Figure 7: An abstraction that ignores irrelevant points and signals. We assign the default value of closed to every signal not directly in front of a train. Hence, we remove the red edge (v1,v2). This renders v2 unreachable. Also, because v2 and v3 have the same successor, v2 is in Eve's winning area if and only if v3 is in Eve's winning area. Therefore, we have not changed whether v1 has a successor in the winning area. Thus, we have not changed the outcome from v1 and consequently, we have not changed the winner of the game.

4.2 Ignoring Irrelevant Points and Signals

The next abstraction is based on the following observation:

When Adam has the turn, the state of a point or a signal not immediately in front of a train is irrelevant, as Eve can change that point or signal before a train comes close enough to be influenced by it. Also, if we consider a point s and every train about to enter s is blocked by a closed signal, then the state of s is irrelevant.

If we assign the default values of *minus-state* and *closed* to irrelevant points and signals, then the size of the game graph is reduced significantly. The effect of this abstraction is illustrated in Figure 7. The game variant \mathcal{G}^2 is the result of applying this abstraction to \mathcal{G}^1 .

What is the upper bound on the number of vertices in \mathcal{G}^2 ? The number of Eve vertices stays the same but the maximum number of successors to each Eve vertex is 3^n . Why? In the worst case, each train is in front of a distinct signal s and a distinct point p. We claim that there are three relevant configurations of s and p: If s is closed, then the state of p does not matter, so we use the default value of minus-state. If s is open, then we need to consider both the minus-state and the plus-state.

Each train gives raise to three such configurations and we must generate all combinations. Hence, we have at most 3^n successors. In addition, this abstraction does not introduce additional vertices, so $V_{\rm max}^1$ is still an upper bound. Hence, we obtain the following:

$$V_{\text{max}}^2 = \min\{pos_{\text{max}}(3^n + 1), V_{\text{max}}^1\}$$
 (3)

This upper bound is exponential only in the number of trains. In contrast, the previous bound was exponential in the number of trains, points and signals. Typically, the number of trains is much smaller than the number of points and signals, so this amounts a big difference in the size of the game graph.

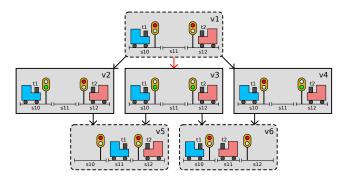


Figure 8: An abstraction that removes redundant Eve moves. At most one signal can be open at any time. Hence, we remove the red edge (v1,v3) from the game. This renders v3 unreachable. Also, because the successors to v2 and v4 are subsets of the successors to v3, if Eve wins from v3 she also wins from v2 and v4. Consequently, removing (v1,v3) does not influence the outcome from v1. Conversely, if Eve wins from v2 or v4, her victory does not depend on v3. Hence, adding (v1,v3) does not influence the outcome from v1 either. We can conclude that this abstraction does not change the winner of the game.

4.3 Removing Redundant Eve Moves

The third abstraction is based on the following observation:

Assume that every train has a signal in front of it. Eve can close all but a single signal and thereby determine, which train Adam is going to move. If Eve's winning strategy allows more than one signal to be open, then from Eve's point of view, it is irrelevant which of the corresponding trains Adam moves. The strategy leaves the decision up to Adam. However, Eve can make this decision herself. That is, she can select one of the signals allowed to be open and close all other signals.

We can force Eve to make this decision by only generating successor vertices, in which at most one signal is open. This significantly reduces the number of Adam vertices. The effect of this abstraction is illustrated in Figure 8. The game variant \mathcal{G}^3 is the result of applying this abstraction to \mathcal{G}^2 .

As with the other abstractions, this one does not change the outcome of the game. However, in real railway networks where trains can move concurrently, it does indeed make a difference that at most one signal can be open at any time. In situations where it is safe to allow more than one train to move, this abstraction is restrictive and increases the time it takes for all trains to get to their destinations. However, in small networks with large numbers of trains, many signals have to be simultaneously closed in order to avoid collisions. In such cases, this abstraction does not appear too restrictive.

What is the upper bound on the number of vertices in \mathcal{G}^3 ? Again, the number of Eve vertices stays the same but the maximum number of successors to an Eve vertex is now $|2^{n-\gamma}(2\gamma+1)|$, where $\gamma=(2-\ln(2))/\ln(4)\approx 0.94$. Why?

First, consider only the trains directly in front of signals. Let n' denote the number of these trains. In the worst case, there is a distinct point behind each signal. In each vertex, at most one signal is open, so the only relevant point is the one behind that signal. Hence, we have 2n' relevant configurations in addition to one where every signal is closed.

Now consider the remaining (n-n') trains. In the worst case, they are all in front of points, which gives raise to $2^{n-n'}$ configurations. We must generate all combinations, so we have $2^{n-n'}(2n'+1)$ successors. Within the real numbers, this value has a maximum at $n' = \gamma \approx 0.94$, so we arrive at the following bound on the number of vertices:

$$V_{\text{max}}^{3} = \min\{pos_{\text{max}}(\lfloor 2^{n-\gamma}(2\gamma+1)\rfloor + 1), V_{\text{max}}^{2}\}$$
 (4)

If we compare this to the previous upper bound, we have reduced the base of the exponential function.

5 A SYNTHESIS TOOL

The presented algorithms, abstractions, and $F^{\#}$ definitions have been incorporated into a synthesis tool. This tool accepts specifications of railway networks written in a domain specific language (DSL). The tool outputs correct signaling plans, whenever such exist.

5.1 Domain-Specific Language

The following piece of DSL specifies the network shown in Figure 1:

```
connections =
    s10.up -> s11.plus,
    s20.up -> s11.minus,
    s11.stem -> s12.down
signals = s20.up, s10.down
trains = s20 -> s12, s12 -> s10
```

As shown, a network is specified by a list of port connections, a list of signals, and a list of the initial positions and destinations of the trains. This immediately yields the last four components of a network specification (L, P, con, Signals, start, dest). The sets L and P are extracted from the list of connections. A formal grammar of this DSL is in [17].

The parser for this DSL was constructed using the parsercombinator library FParsec [11]. This library is an adaptation of the Haskell-library Parsec [11]. Compared to graph generation and game solving, parsing is blazingly fast (see Table 3).

5.2 Well-Formed Networks

The syntax of the DSL does not ensure that the network specifications are well-formed. For example, a train may have a destination, which is not a linear section. Therefore, after parsing the network description into abstract syntax, we check for ill-formed networks [17].

5.3 Example Output

If the given network is well-formed, the tool constructs the game graph and solves the game. Afterwards, it outputs a few performance statistics and a correct signaling plan, if one exists.

```
Railway network loaded!
Generating game graph...
Game graph generated!
Number of vertices: 37
Number of edges: 60
Computing signaling plan...
signalingplan([s20, s10]) =
 plus:
 minus: s11
 open: s20.up
 closed: s10.down
signalingplan([s20, s12]) =
 plus: s11
 minus:
 closed: s20.up, s10.down
Parsing and well-formedness: 0.0959 seconds
Game-graph generation: 0.0389 seconds
Signaling-plan computation: 0.0547 seconds
Total execution time: 0.190 seconds
```

Figure 9: Output of the synthesis tool after solving the network from Figure 1 with \mathcal{G}^0 .

The output after solving the network from Figure 1 is shown in Figure 9. From this output, it is evident that the tool has computed a signaling plan: If the trains t1 and t2 have the respective positions s20 and s10, then a safe configuration is to put s11 in the minus-state and open only the signal at the up-port of s20. If t1 and t2 have the positions s20 and s12, then a safe configuration is to put s11 in plus-state and close all signals. This exactly corresponds to Eve's winning moves in the game from Figure 2.

6 EXPERIMENTS

In order to determine its performance, we conducted several experiments with the synthesis tool [17]. We used, among others, the networks Toy, Fork, Lyngby Station, and Florence Station depicted in Figure 1, Figure 10, Figure 11, and Figure 12, respectively. The complexities of these networks are summarized in Table 2.

	Linears	Points	Signals	Trains
Toy	3	1	2	2
Fork	7	2	6	3
Lyngby Florence	11	6	14	5
Florence	69	23	46	4

Table 2: Overview of the sizes of the networks used for experiments.

During the experiments, we measured the sizes of the generated game graphs and the running times of several phases of the computations. Each of the game variants \mathcal{G}^0 , \mathcal{G}^1 , \mathcal{G}^2 , and \mathcal{G}^3 was used to solve each of the networks. The experiments were conducted on a MacBook Pro¹ (Retina 13", primo 2015). The results are shown in Table 3.

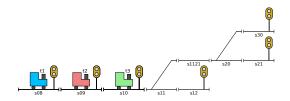


Figure 10: The network Fork inspired by [24].

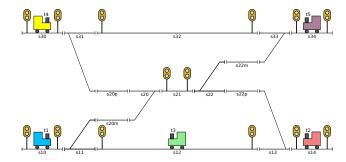


Figure 11: A railway network resembling Lyngby Station located north of Copenhagen, Denmark. Compared to the layout of Lyngby Station shown in [24], we have added four linear sections, as our model does not allow two points directly connected to each other.

The most interesting result is that we are able to generate correct signaling plans for Lyngby Station with five trains in 0.67 seconds and for Florence Station with four trains in 8.1 seconds. That is, we are able to generate signaling plans for real railway networks in less than 10 seconds.

These running times are achieved using \mathcal{G}^3 . With \mathcal{G}^2 , the running time for Lyngby Station approximately quadruples to 2.6 seconds and for Florence Station it increases by roughly 50 percent to 12 seconds. When using \mathcal{G}^0 and \mathcal{G}^1 , the tool runs out of memory before the signaling plans are generated. This is a testimony to the usefulness of the abstractions presented in Section 4.

The abstraction of ignoring irrelevant points and signals seems especially powerful: Lyngby and Florence Stations are unsolvable with \mathcal{G}^1 but are solvable with \mathcal{G}^2 . This is no real surprise, as V_{\max}^1 (see equation (2)) is exponential in the number of trains, points, and signals, whereas V_{\max}^2 (see equation (3)) is exponential only in the number of trains.

The difference between \mathcal{G}^2 and \mathcal{G}^3 is much smaller: The running times when using \mathcal{G}^2 are within a factor four of the running times obtained by \mathcal{G}^3 . Hence, if we find the at-most-one-open-signal aspect of \mathcal{G}^3 too restricting, then we can use \mathcal{G}^2 and expect no more than a quadruplication of the running time.

It is also worth noting that the sizes of the generated game graphs are significantly lower than the upper bounds presented in Section 4. In these upper bounds, we include every possible way of distributing the trains in a network. However, many of these distributions are not obtainable, as two trains cannot pass each other on a single track. In

 $^{^1\}mathrm{This}$ machine has a 2.7-GHz Intel-Core-i5 processor and 8 GB of 1867-MHz DDR3 memory. The source code was compiled using The Open Edition of the F $^\#$ Compiler [20] and executed using the Mono JIT compiler (version 4.2.3) under OS X El Capitan (version 10.11.5).

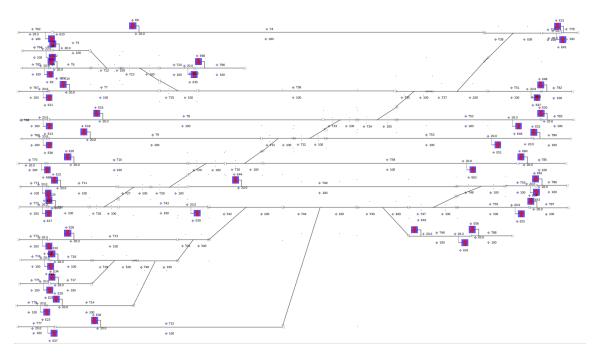


Figure 12: Layout of roughly a quarter of Florence Station located in Florence, Italy. Each blue square represents a signal. This drawing was kindly provided by Alessandro Fantechi.

addition, it is often the case a train cannot reach a large part of the network, as it is not allowed to change direction. Hence, in large networks with many trains, these bounds are not very tight. However, if we keep this in mind, they can still serve as indications of the complexity of a given railway network.

We now turn to the running times of the individual computation phases: The parsing and the checks for well-formedness always take around 0.1 seconds and the majority of this is probably spent on disk access. These steps are fast, because they only require an amount of work linear in the number of network entities. For example, parsing a port connection requires processing a constant amount of characters².

For the networks Lyngby and Florence Stations, the generation of the game graph takes significantly longer than solving the resulting game. This is expected, as Algorithm 1 spends very little time at each vertex: It makes a few reads and writes in hash tables and adds new nodes to a queue. All of these operations are fast. During graph generation, we have to maintain the hash table that represents the game graph. In addition, we need to find the next linear sections of trains, find the relevant points and signals, and compute power sets of points and signals. Therefore, game-graph generation is the performance bottleneck.

Finally, the tool can use a lot of memory. This is indicated by the fact that \mathcal{G} and \mathcal{G}^1 cannot solve Lyngby and Florence Stations without running out of memory. Also, adding a

fifth train to Florence Station causes the tool to run out of memory when we use \mathcal{G}^2 and \mathcal{G}^3 .

The maximum amount of memory that the tool can allocate seems to be somewhere between 2.85 GB and 3.37 GB. This is a limit imposed by the Common Language Runtime or the operating system, as the machine used for experiments has 8 GB of memory and plenty of disk space for virtual memory.

We did not have the time to figure out how to remove this artificial limit. Hence, we cannot determine the precise amount of memory needed to solve Florence Station with five trains. However, when we add the fifth train, the upper bound on the number of vertices in \mathcal{G}^3 increases from 6.0×10^8 to 8.1×10^{10} , which is a factor 135 increase. When solving Florence Station with four trains, the tool uses approximately 0.14 GB of memory, so it is reasonable to assess that the tool needs roughly 19 GB of memory to solve Florence Station with five trains. By the same logic, it would take roughly 18 minutes to compute a signaling plan.

6.1 Purely Functional Game-Graph Generation

In order to determine the performance of purely functional game-graph generation, we left the imperative constructs of Algorithm 1 unchanged but replaced all other imperative constructs with purely functional counterparts. In this process, we replaced hash-based data structures with purely functional ones. More specifically, we replaced HashSets and Dictionaries with Sets and Maps from the F# library [13].

 $^{^2{\}rm If}$ the network features unusually long identifiers, then the time complexity per connection cannot be described as constant.

		Toy	Fork	Lyngby	Florence
\mathcal{G}^0	$V_{ m max}^0$	240	236544	3.7×10^{11}	2.8×10^{28}
	#vertices	37	34849	_	_
	parsing & WF	0.10	0.10	_	_
	graph generation	0.039	6.7	_	_
	game solving	0.055	0.31	_	_
	total time	0.19	7.1		_
\mathcal{G}^1	$V_{ m max}^1$	135	118734	1.8×10^{11}	1.4×10^{28}
	#vertices	24	14484	-	
	parsing & WF	0.10	0.10	_	_
	graph generation	0.064	0.31	_	_
	game solving	0.025	0.042	_	
	total time	0.19	0.46	_	
\mathcal{G}^2	$V_{\rm max}^2$	135	12936	4.3×10^{7}	2.0×10^{9}
	#vertices	18	672	78530	253063
	parsing & WF	0.10	0.11	0.11	0.10
	graph generation	0.069	0.085	2.3	12
	game solving	0.021	0.028	0.11	0.40
	total time	0.19	0.22	2.6	12
\mathcal{G}^3	$V_{\rm max}^3$	105	6006	8.5×10^{6}	6.0×10^{8}
	#vertices	15	410	25467	220733
	parsing & WF	0.10	0.11	0.11	0.10
	graph generation	0.068	0.074	0.51	7.5
	game solving	0.024	0.024	0.057	0.47
	total time	0.19	0.21	0.67	8.1

Table 3: The results of the experiments. The number of generated vertices is denoted #vertices and the checks for well-formedness are denoted WF. Time measurements are given in seconds and measurements less than 0.5 seconds have a large relative uncertainty due to the unpredictable timing of the garbage collector. A dash indicates that the computation aborts with an out-of-memory exception after allocating between 2.85 GB and 3.37 GB of memory.

This purely functional version performed worse than the imperative version: The running time of using \mathcal{G}^3 on Lyngby Station was 4.8 seconds instead of 0.67 seconds and the running time of using \mathcal{G}^3 on Florence Station was 63 seconds instead of 8.1 seconds. This is roughly a factor 8 increase in the running time.

The purely functional Sets and Maps are implemented using balanced binary search trees [13], so lookups, insertions, and deletions run in $O(\log n)$ time. In comparison, these operations take expected O(1) time on the HashSets and Dictionaries. This difference in the asymptotic running times is the most likely explanation of the eight-fold increase in the overall performance.

7 CONCLUSION

Reachability games have been successfully used to synthesize signaling plans for railway networks:

(1) A mathematical model of railway networks was developed. This model describes the state space of a network and the transitions between these states. Furthermore, a simple DSL for describing railway networks has been defined.

- (2) A game semantics for the DSL was given in terms of reachability games, where the states of a network act as vertices and the state transitions act as edges. Eve, the existential player, acts as the control system being responsible for the points and signals, and Adam, the universal player, acts as an adversary controlling the trains. It was shown how to obtain a correct signaling plan from Eve's winning strategy. This signaling plan will bring trains safely from their initial positions to their destinations.
- (3) A tool for synthesizing correct-by-construction signaling plans from DSL specifications was implemented in the functional programming language F#.

An upper bound on the size of the original railway game was given. This bound is exponential in the number of trains, points, and signals. This renders computations on large networks impossible, so three abstractions were introduced, each leading to a game variant equivalent to the original. Each abstraction significantly reduces the upper bound on the game size.

The current implementation is able to generate correct signaling plans for real railway networks of substantial size. Specifically, in roughly 8 seconds, we are able to generate a signaling plan guiding four trains through (a fourth of) Florence Station. With five trains, the tool runs out of memory before the computation is complete. The size of the problems, for which synthesis is feasible, is approaching the size for which state-of-the-art verification methods can verify correctness of interlocking tables [24].

Due to the static and explicit generation of the full game graphs, the bottleneck of the current implementation is the memory needed during the graph generation process. The game-solving part uses an efficient implementation of a linear-time algorithm and for the networks considered that part alone never took more than 0.5 seconds.

To improve on the space limitations during game graph generation, the next step is to exploit on-the-fly methods, which will only generate the part of the game needed to find the winning area of Eve. Such techniques have been successfully used in, for example, [5]. An even further step would be to use symbolic methods to represent and solve games. This approach is used in [16], where very large parity games are solved symbolically. Because reachability games are simpler than parity games, this approach seems promising in the context of our railway game.

A functional programming approach proved to be most adequate during the development of the synthesis tool. The program design, expressed using $F^{\#}$'s type system, is very close to the mathematical definition of the railway-network model [17]. This has obvious benefits concerning the validation of correctness and the development time. The same applies to the game design, implementation, and construction parts.

Concerning efficiency, the experimental results show that it is the exponential growth of the size of the game graph that is the bottleneck – not the chosen data types nor the algorithms.

Imperative data structure were used to represent the game. In that way a more succinct representation could be obtained that also resulted also in more efficient implementations of the key algorithms.

ACKNOWLEDGMENTS

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A GAME SOLVING

Below, we list the source code that implements Algorithm 1. In contrast to Algorithm 1, the function solveReachability takes as input a *reverse* game graph with information on the number of successors to each vertex:

```
1 let color (_, c, _) = c
_{2} let owner (_, _, plr) = plr
4 //val solveReachability :
5 //
        GameGraph<'misc,'color> -> 'color ->
        Winners('misc,'color> * Strategy('misc,'color>
6 //
7 let solveReachability
           (graph : GameGraph<'misc,'color>) target =
      let numPos = graph.Count
10
      let win : Dictionary<Vertex<'misc,'color>, Player> =
11
           Dictionary (numPos)
12
      let strategy : Dictionary<Vertex<'misc,'color>,
13
                                   Vertex<'misc,'color>> =
14
15
          Dictionary (numPos)
16
17
      // Initialization
      let gueue = Queue ()
18
      for KeyValue (v, _) in graph do
19
           if color v = target then
20
               win.[v] <- Eve
21
               queue.Enqueue v
22
23
           else
24
               win.[v] <- Adam
25
      // Breadth first search
26
27
      while queue.Count > 0 do
           let v = queue.Dequeue ()
28
           for p in snd graph. [v] do
29
               let (n, pred) = graph.[p]
30
               graph.[p] <- (n - 1, pred)
31
               if win.[p] = Adam && (owner p = Eve
32
                                       || fst graph.[p] = 0)
33
34
                   win.[p] <- Eve
35
36
                   strategy.[p] <- v
                   queue. Enqueue p
37
38
      // Post-processing of the computed strategy
39
      let strategy' =
40
           Seq.filter (fun (KeyValue (u, v)) ->
41
42
                            owner u = Eve)
43
                      strategy
44
      let strategy'' =
           Seq.map (fun (KeyValue (u, v)) \rightarrow (u, v))
45
46
                   strategy'
47
       (win, strategy'')
```

B GAME GRAPH GENERATION

Below, we list the source code of the function graph, which explicitly generates a *reverse* game graph. This graph carries information on the number of successors to each vertex:

```
1 //val graph:
         GameVariant -> Network ->
2 //
3 //
         (Games.GameGraph<State,Color>
4 //
          * Games.Vertex<State,Color>)
5 let graph gameVariant (network : Network) =
      let graph : Games.GameGraph<State,Color> =
           Dictionary ()
      let frontier :
9
               HashSet<Games.Vertex<State,Color>> =
10
           HashSet ()
11
12
      // Graph-generation helper functions
13
      let isNew v = not (graph.ContainsKey v)
14
      let addVertex v = graph.[v] <- (0, List ())
let addEdge from' to' =</pre>
15
16
           (snd graph.[to']).Add from' |> ignore
17
      let setNumSuccessors v num plr =
18
19
           match plr with
           | Games.Player.Adam ->
20
               countAdamMoves (int64 num)
21
22
           | Games.Player.Eve ->
               countEveMoves (int64 num)
23
           let (_, pred) = graph.[v]
24
25
           graph.[v] <- (num, pred)</pre>
       // Compute the directions of the trains
27
      let dir = directions network
28
29
       // Graph-generation loop
30
      let rec graphAux () =
31
           if frontier.Count = 0 then ()
32
33
           else
               let v = takeOne frontier
35
               let mutable succs = null
               match v with
36
               | (_, _, Games.Player.Eve) ->
37
                    succs <- succEve gameVariant
38
                                      network dir v
                    setNumSuccessors v succs.Count
40
                                      Games.Player.Eve
41
42
                | (_, _, Games.Player.Adam) ->
43
                    succs <- succAdam gameVariant
                                       network dir v
44
                    setNumSuccessors v succs.Count
45
                                       Games.Player.Adam
46
               for v' in succs do
47
                    if isNew v' then
48
                        frontier.Add v' |> ignore
49
                        addVertex v'
50
                    addEdge v v'
51
               graphAux ()
52
53
      // Initialize the search
54
      let initialVertex = initialVertex network
55
      match initialVertex with
56
      | (_, Success, _) -> addVertex initialVertex
| (_, Crash, _) ->
    failwith ("the starting vertex "
57
58
59
                     + "contains a failure state")
       | (_, Nothing, _) ->
61
           addVertex initialVertex
62
           frontier.Add initialVertex |> ignore
64
           graphAux ()
65
       (graph, initialVertex)
66
```

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