



Satellite radar remote sensing: applications to the study of Earth sciences and natural resources

Zhong Lu

SAIC, USGS/EROS

Sioux Falls, SD 57198

lu@usgs.gov

http://edc.usgs.gov/Geo_Apps

Acknowledgements:

- Contributions by many colleagues/friends.
- Funding from NASA, USGS Land Remote Sensing Program, USGS Director Venture Capital Funds, USGS Volcano Hazards Program, USGS Earthquake Hazards Program, and NSF.
- World-class service and support from ASF.
- SAR imagery copyrighted by ESA, CSA, and JAXA.



EROS (Earth Resources Observation & Science) - USGS Remote Sensing Center



AVHRR-Derived NDVI Image for June 2002



EROS – surrounded by corn fields



Outline

- The very basics of radar remote sensing and InSAR
- Radar remote sensing of Earth sciences & natural resources
 - Earthquake
 - Landslide
 - Volcano
 - Aquifer
 - Surface Water and Wetland
 - Soil Moisture
 - Land Cover
 - Agriculture
- Emerging SAR/InSAR technologies
- Emerging L-Band Capabilities
- A Road Map



Radar is an instrument for measuring distance

- In its simplest form, a radar operates by broadcasting a pulse of electromagnetic energy into space – if that pulse encounters an object then some of that energy is redirected back to the radar antenna.
- Precise timing of the echo delays allows determination of the distance, or “range”, while measuring the Doppler frequency tells the velocity of the target.



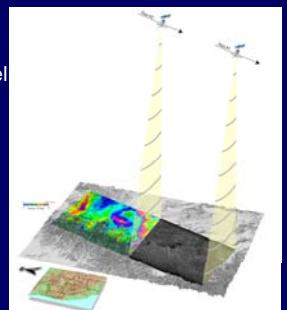
Synthetic aperture radar is an active microwave sensor

- The electromagnetic wave is transmitted from the satellite. The wave propagates through the atmosphere, interacts with the Earth surface. Part of the energy is returned back and recorded by the satellite.
- By sophisticated image processing technique, both the intensity and phase of the reflected (or backscattered) signal can be calculated. So, essentially, a complex-valued SAR image represents the reflectivity of the ground surface.
- The amplitude or intensity of the SAR image is primarily controlled by terrain slope, surface roughness, and dielectric constants, while the phase of the SAR image is primarily controlled by the distance from satellite antenna to ground targets and partially controlled by the atmospheric delays as well as the interaction of microwave with ground surface.

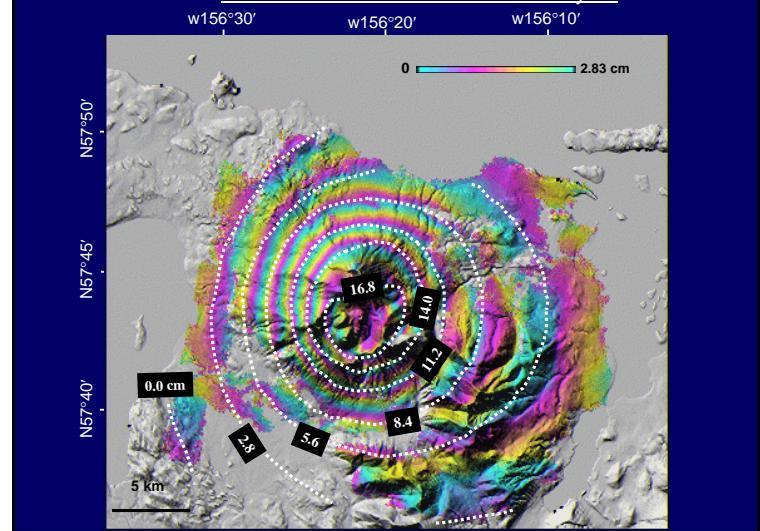


Interferometric SAR (InSAR)

- Interferometric synthetic aperture radar (InSAR) combines phase information from two or more radar images of the same area acquired from similar vantage points at different times to produce an interferogram.
- The interferogram, depicting range changes between the radar and the ground, can be further processed with a digital elevation model (DEM) to image ground deformation at a horizontal resolution of tens of meters over areas of ~100 km x 100 km with centimeter to sub-centimeter precision under favorable conditions.



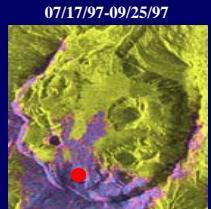
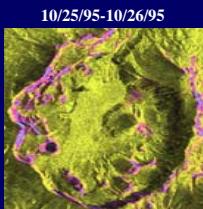
1. Interferometric deformation analysis





2. Interferometric coherence analysis

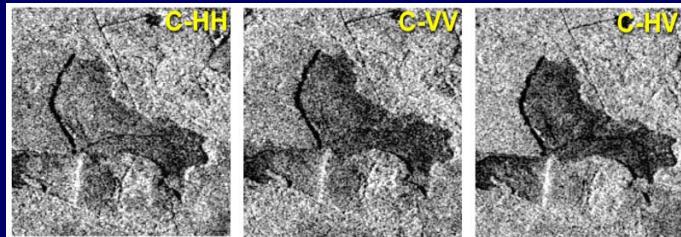
- A measure of changes in backscattering characteristics



Lu et al., 2000



3. Polarimetric SAR image analysis

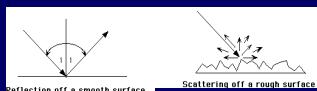


C-HV data will offer better potential for detection and delineation of clearcuts than C-HH and C-VV data

CANADA CENTRE FOR REMOTE SENSING
Applications Division
 Canada



4. SAR polarimetric phase analysis



PPD $\sim 0^\circ$

Different types of targets show different PPD behaviours

PPD:

- ~ 0° for odd refl. #
- ~ 180° for even refl. #



PPD $\sim +/-180^\circ$



PPD distributed between 0° and 180°

Van Zyl, 1989



5. Analysis of SAR images at different frequencies





Synthetic Aperture Radar Satellites

• Current and Past Sensors

- European ERS-1, 1991-2000, C-band, 35-day repeat cycle
- European ERS-2, 1995-now, C-band, 35-day repeat cycle (experiencing malfunctions since early 2001)
- Japanese JERS-1, 1992-1998, L-band, 44-day repeat cycle
- Canadian Radarsat-1, 1995-now, C-band, 24-day repeat cycle
- European Envisat, 2002-now, C-band, 35-day repeat cycle
- U.S. SIR-C Mission, April (10 days) and Oct (10 days), 1994 X/C/L-band, Fully Polarized

• Future Sensors

- Japanese ALOS, 2006, L-band, 46-day repeat cycle
- Canadian Radarsat-2, 2006(?), C-band
- German TerraSAR-X, 2006(?), X-band
- U.S. DOD Space-based Radar Constellations
- U.S. ECHO+, forever?
- ...

Wavelength (λ)

- X-band: $\lambda = \sim 3$ cm
- C-band: $\lambda = \sim 5.7$ cm
- L-band: $\lambda = \sim 24$ cm

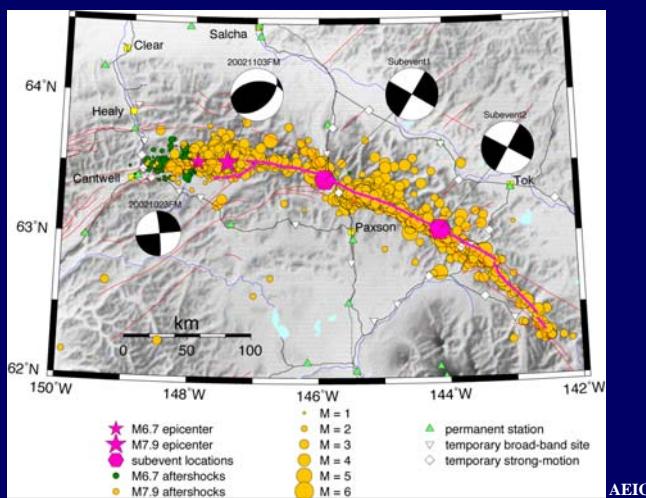


InSAR study of Earthquakes

- Measuring spatial and temporal patterns of surface deformation in seismically active regions are extraordinarily useful for estimating seismic risks and improving earthquake predictions.

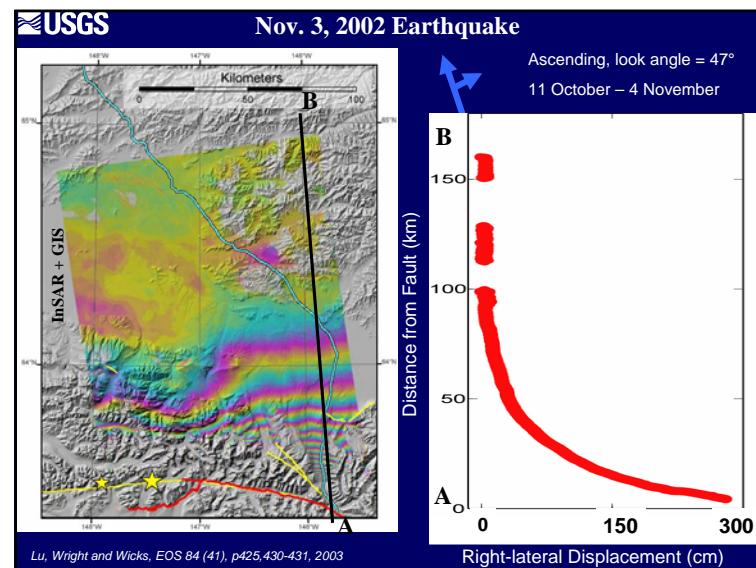
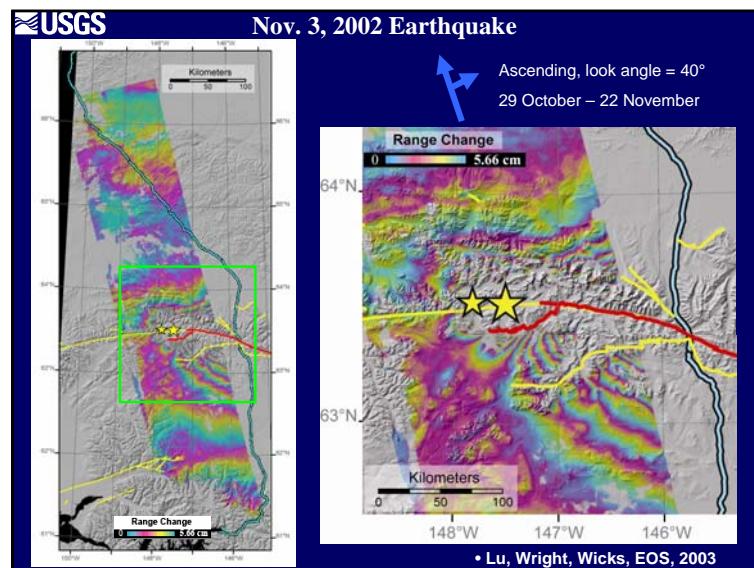
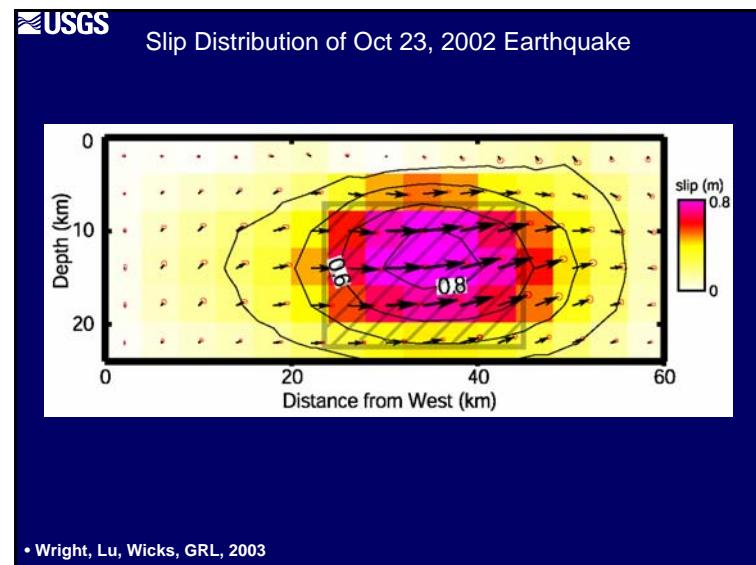
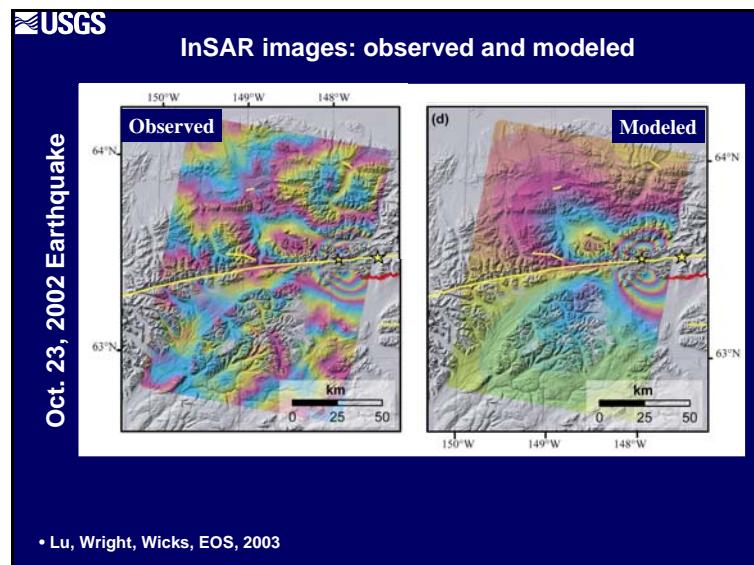


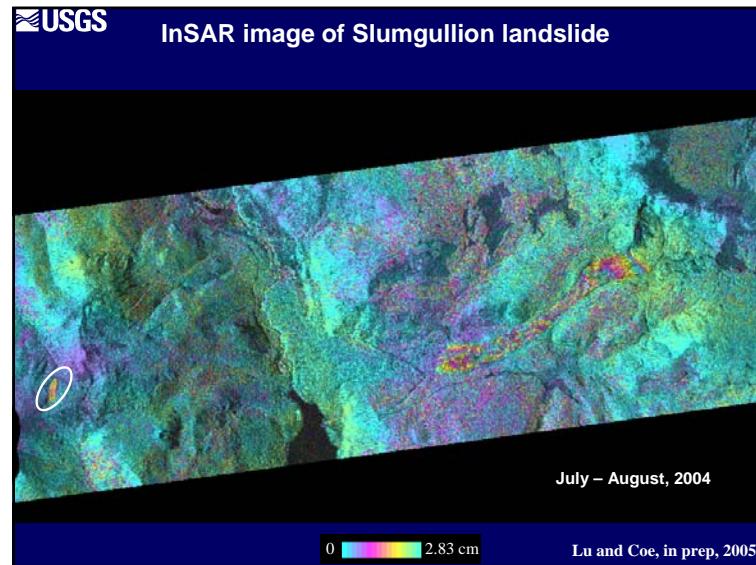
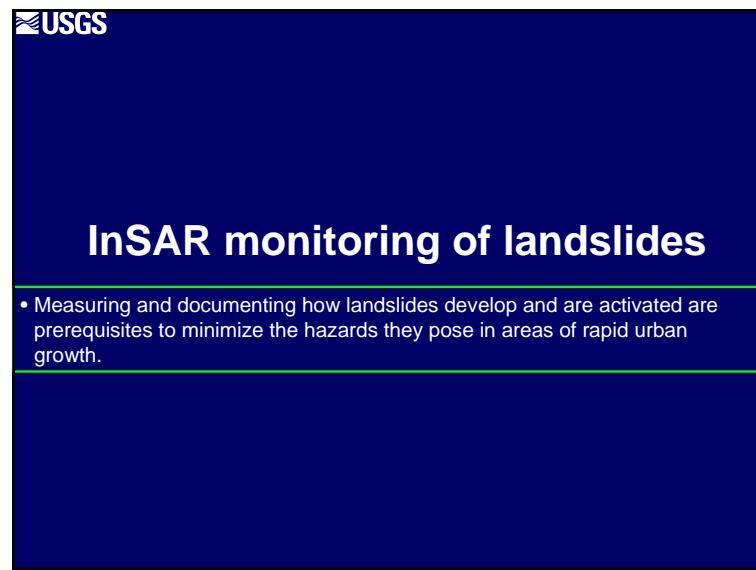
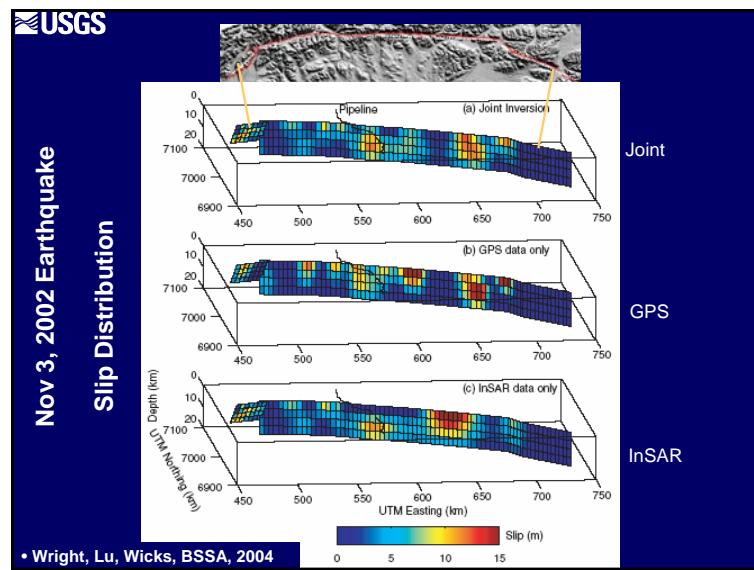
Oct. 23 and Nov 3, 2002 Denali Earthquakes



2002 Denali Fault Earthquakes







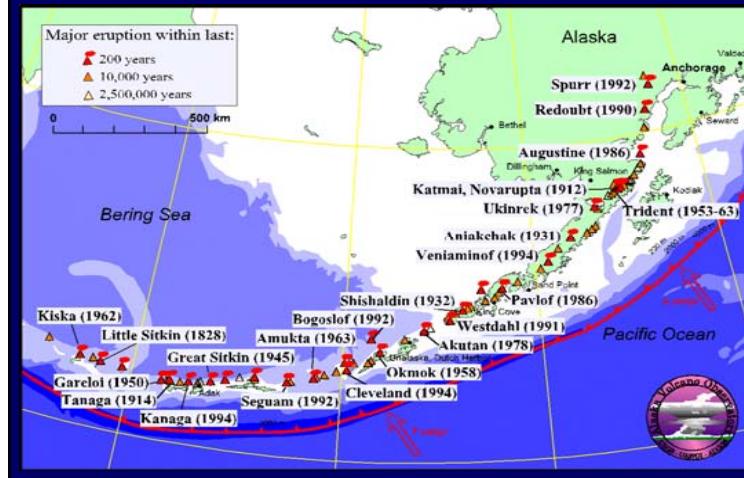


Radar remote sensing of volcanic processes

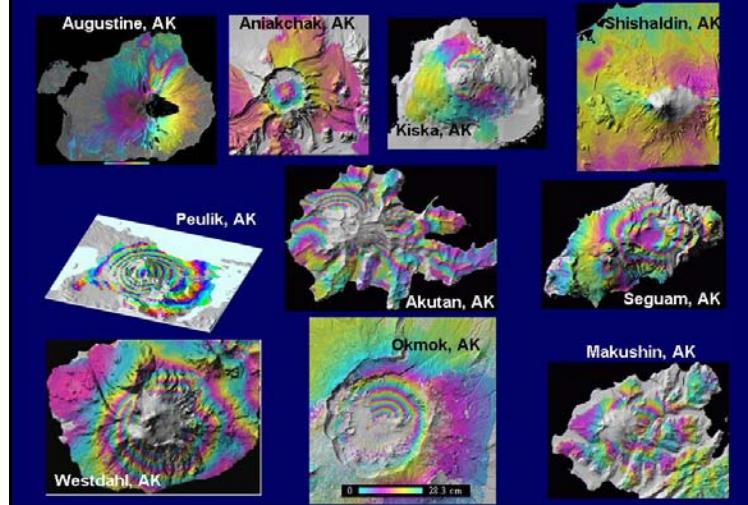
- Measuring how a volcano's surface deforms before, during, and after eruptions, provides the essential information about magma dynamics and a basis for mitigating volcanic hazards.



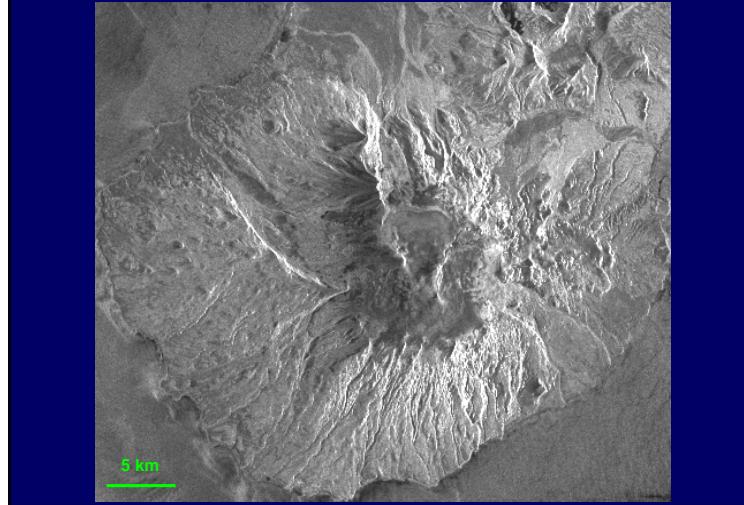
Aleutian Arc Volcanoes



InSAR Survey of Alaskan Volcanoes

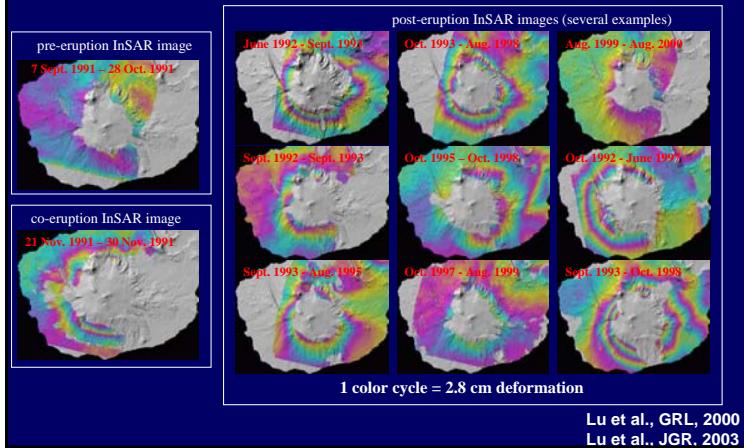


Westdahl Volcano

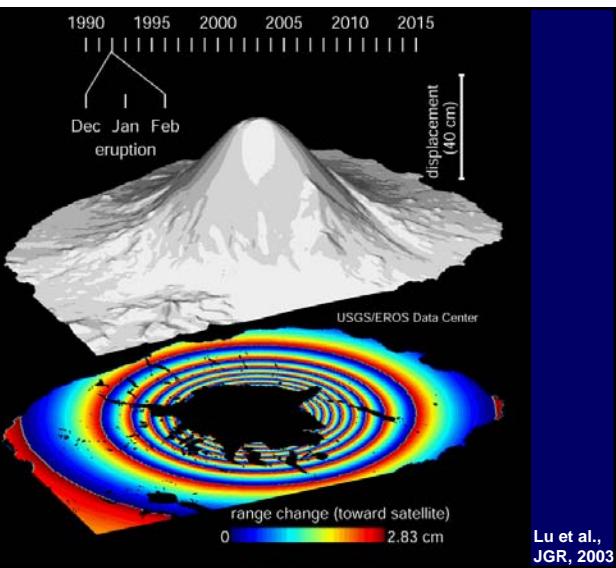




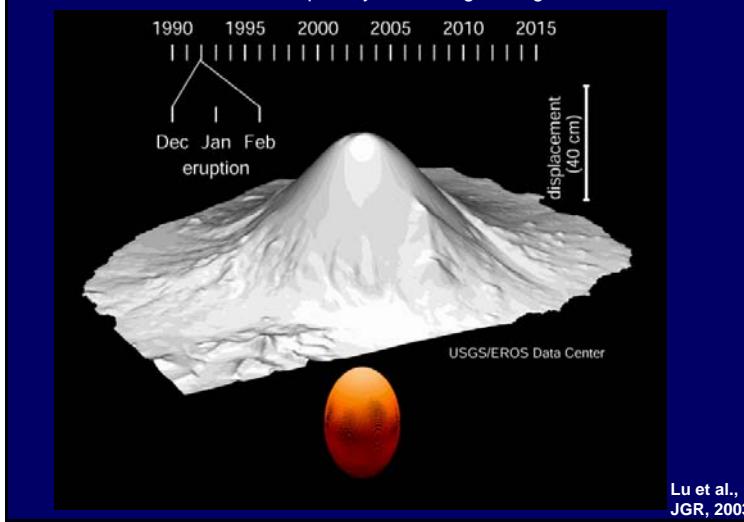
InSAR images can characterize transient deformation of Westdahl volcano before, during, and after the 1991 eruption



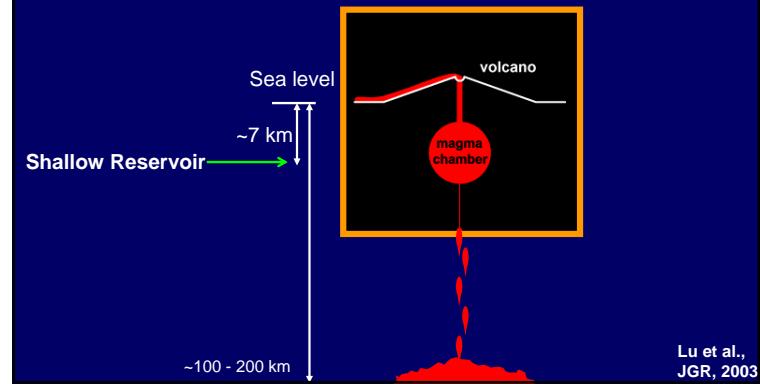
Deformation history of Westdahl Volcano



InSAR constrains the quantity and timing of magma intrusion



Magma plumbing system for Westdahl volcano, inferred from InSAR and modeling

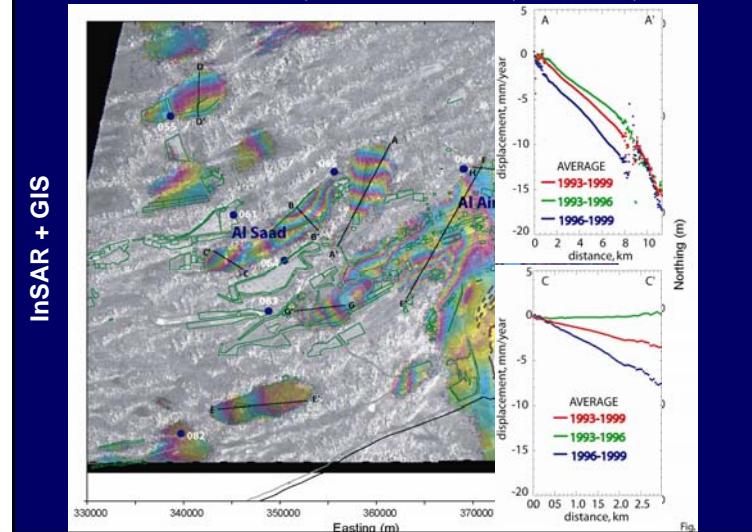




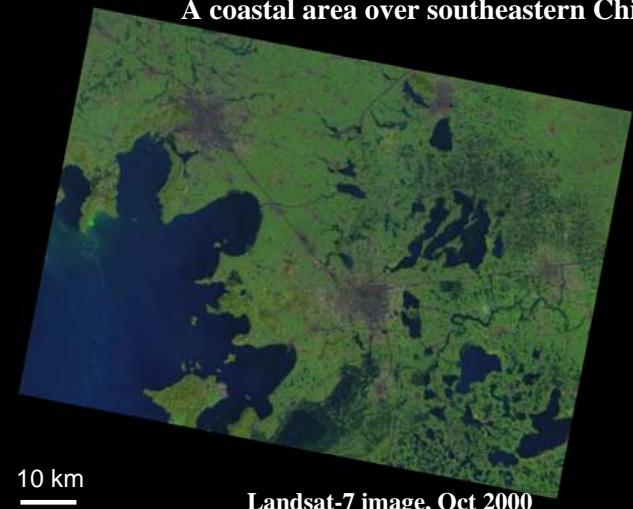
Land Subsidence Mapping – radar remote sensing of aquifer and hydrogeology

- Mapping surface subsidence and uplift related to extraction and injection of fluids in groundwater aquifers and petroleum reservoirs provides fundamental data on reservoir/aquifer properties and processes and improves our ability to assess and mitigate undesired consequences.

USGS Subsidence of Al Ain, United Arab Emirates, from InSAR, 1993-1999



A coastal area over southeastern China

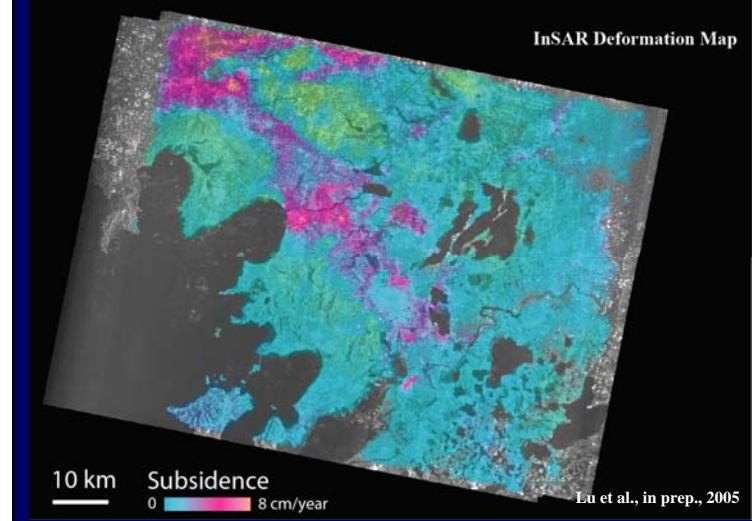


10 km

Landsat-7 image, Oct 2000

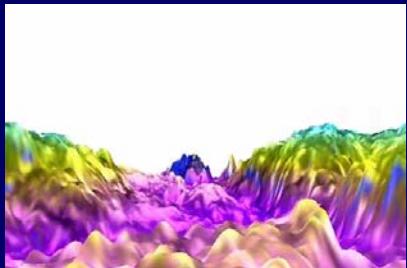


L-band JERS-1 InSAR





Subsidence was up to 8 cm/year



Land subsidence + GIS data layers over cities provide critical information for decision making: *Is my house sinking?*



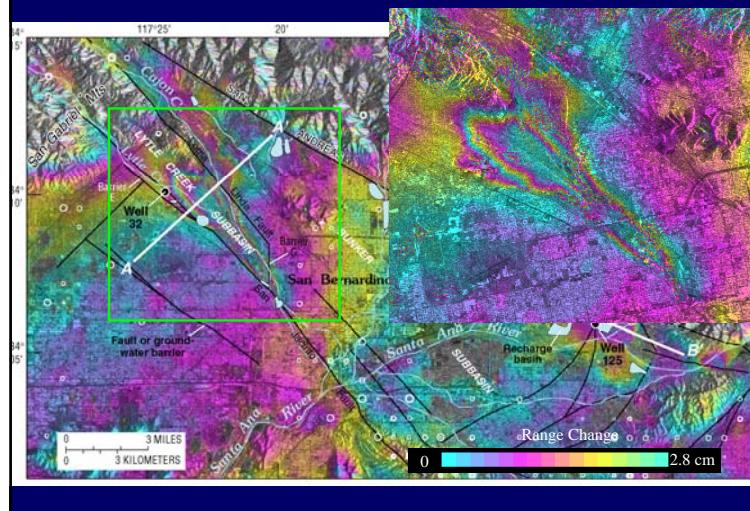
Satellite Radar Image of San Bernardino, CA



Lu., Z., and W. Danskin, InSAR analysis of natural recharge to define structure of a ground-water basin, San Bernardino, California, Geophysical Research Letters, vol. 28, 2661-2664, 2001.

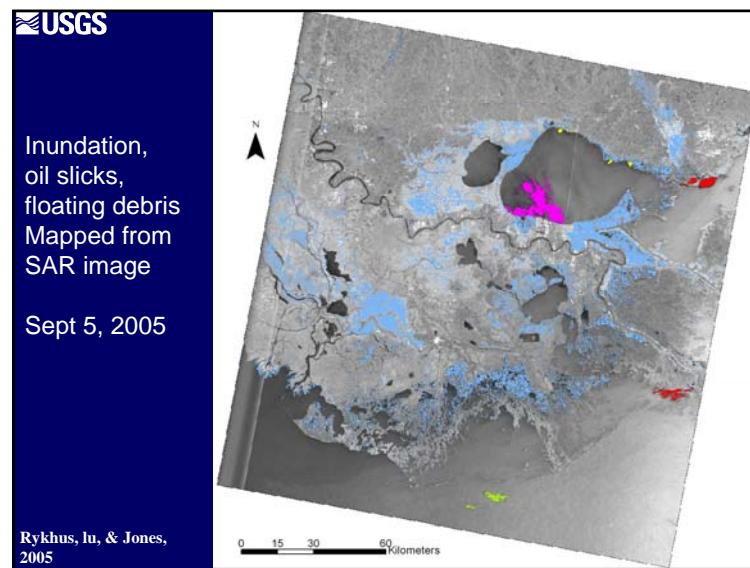
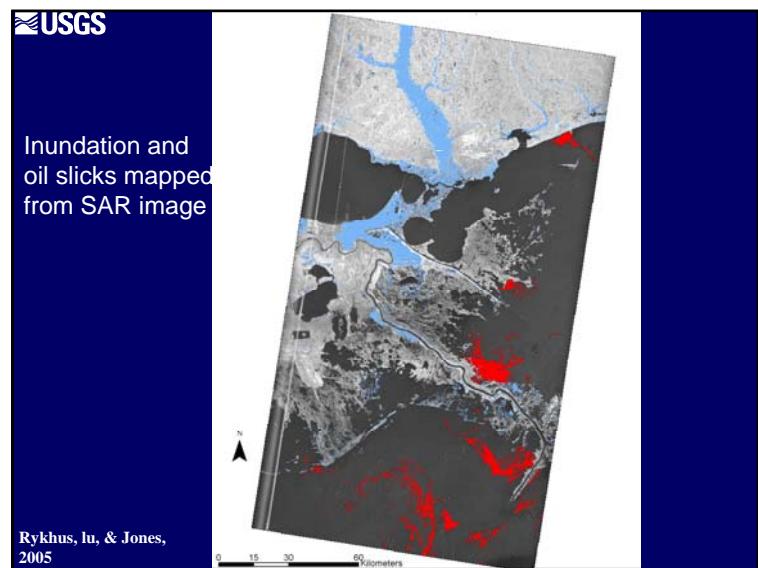
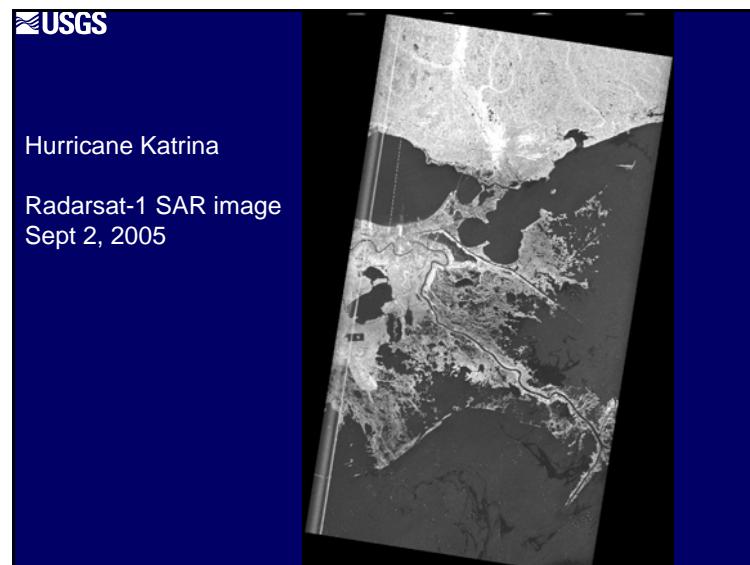
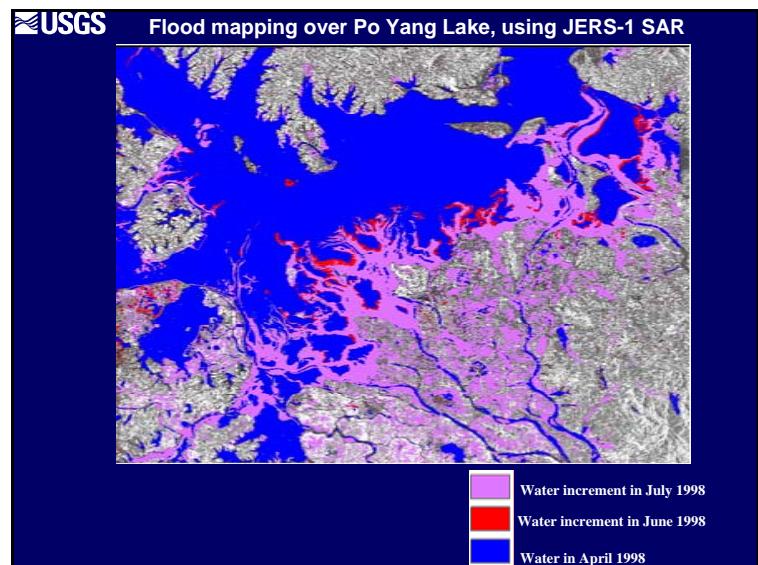


Mapping of Land Surface Deformation by InSAR



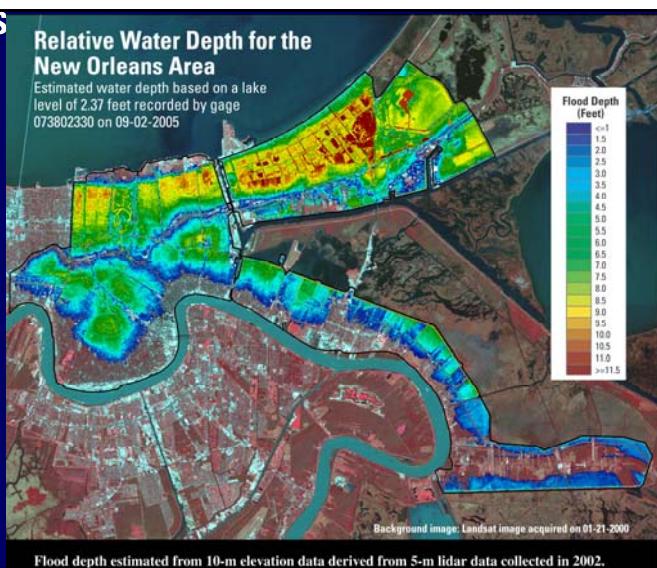
Radar remote sensing of hydrology

- Monitoring dynamic water-level changes beneath wetlands can improve hydrological modeling predictions and enhance the assessment of future flood events over wetlands.

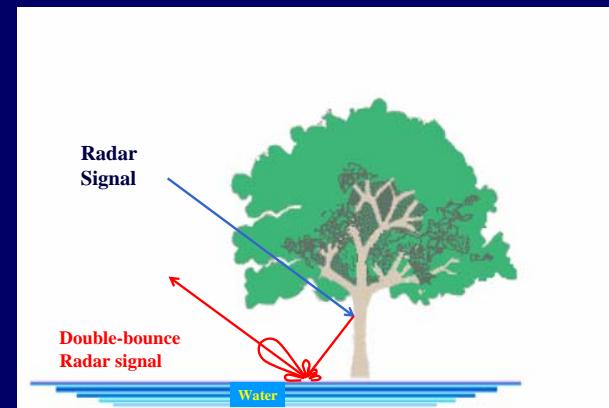




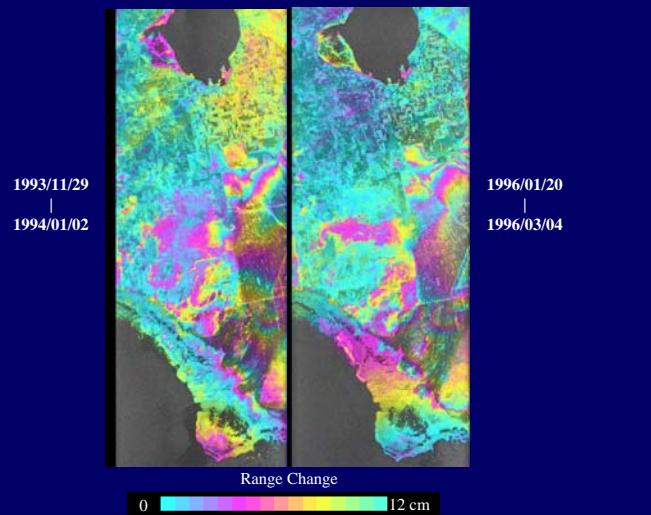
SAR + Lidar + GIS



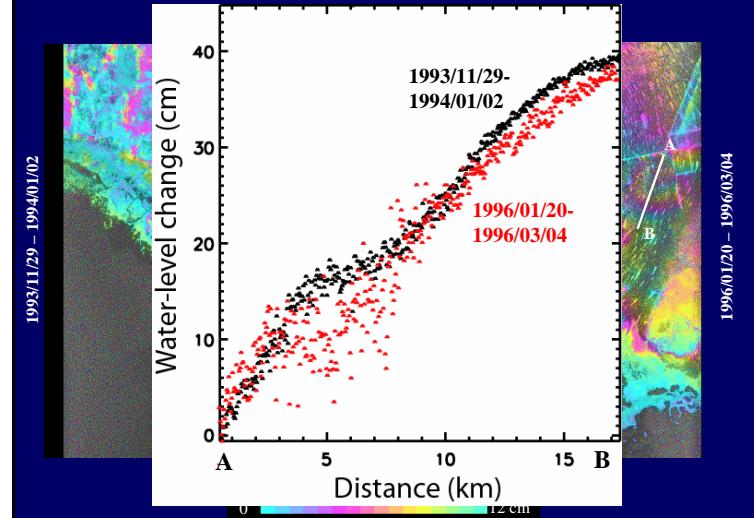
When radar waves interact with flooded vegetation

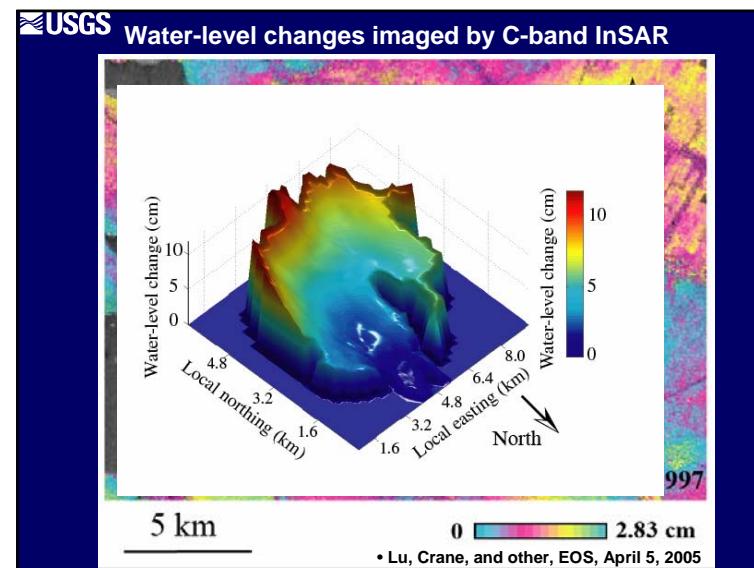
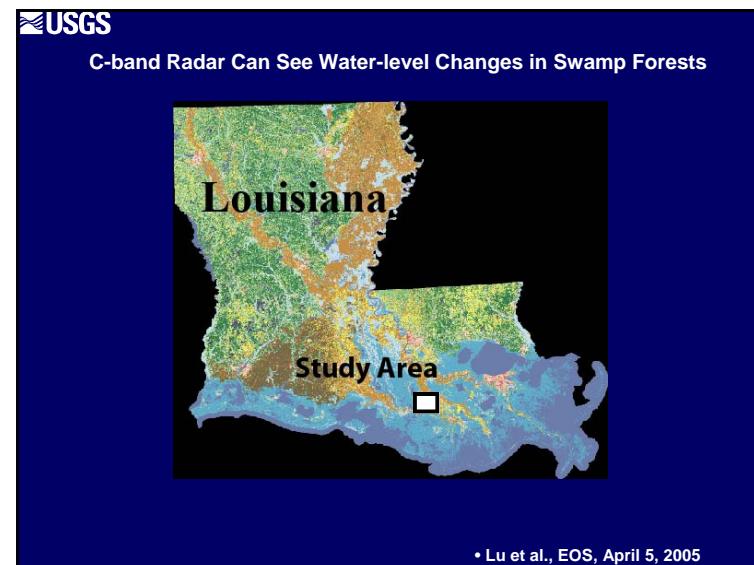
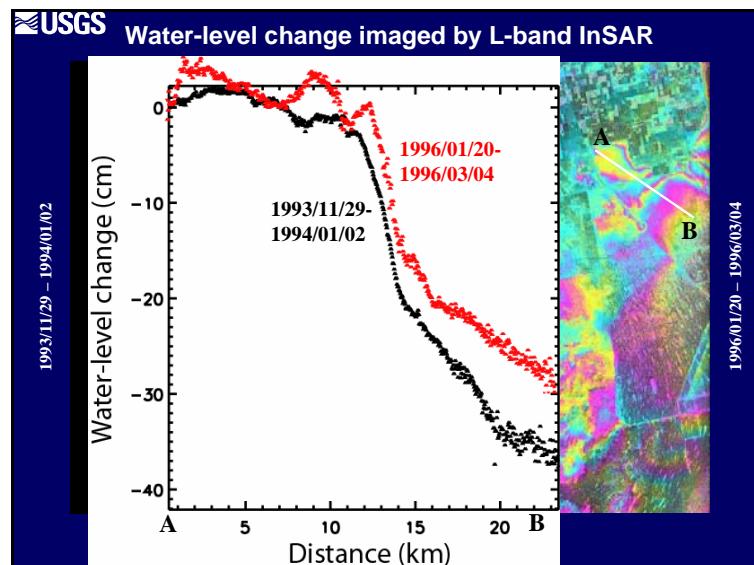


Water-level changes imaged by L-band InSAR



Water-level change imaged by L-band InSAR







Future Data and Technology Needs for Hydrology

- Rapid repeat times for interferometry. Daily imagery would be ideal for flood and other hazard assessments.
- Full polarization to exploit the water-vegetation interface.
- C- and L-band imagery would provide the necessary control to map surface water elevation changes in a wide range of location.



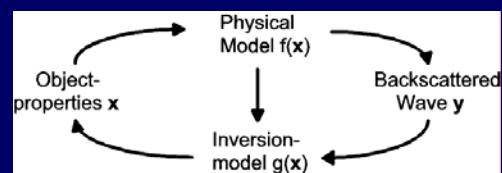
Radar remote sensing of Soil science

- Mapping soil moisture will provide an environmental descriptor that integrates much of the land surface hydrology and is the interface for interaction between the solid Earth surface and life.



Basic Principles

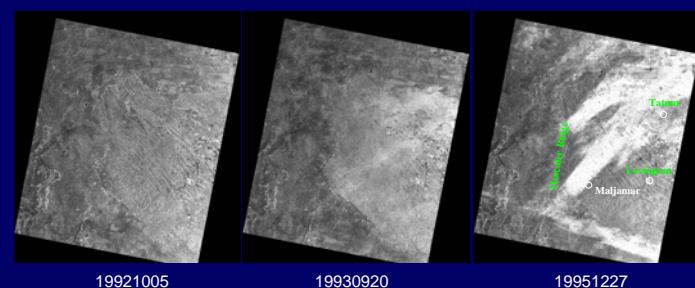
- Retrieval of land surface parameters
 - Formulate a radar backscattering model
 - Apply an inversion procedure
- Ideally, we would like to start from Maxwell's equations



Wagner et al., 2004

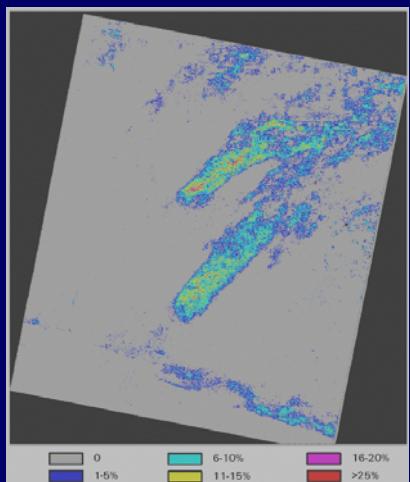


Synthetic Aperture Radar (SAR) images over Carlsbad, New Mexico





Mapping of change in soil moisture, Carlsbad, New Mexico



Backscattering properties of soil

A Radar backscattering model (i.e., Integral Equation Model)

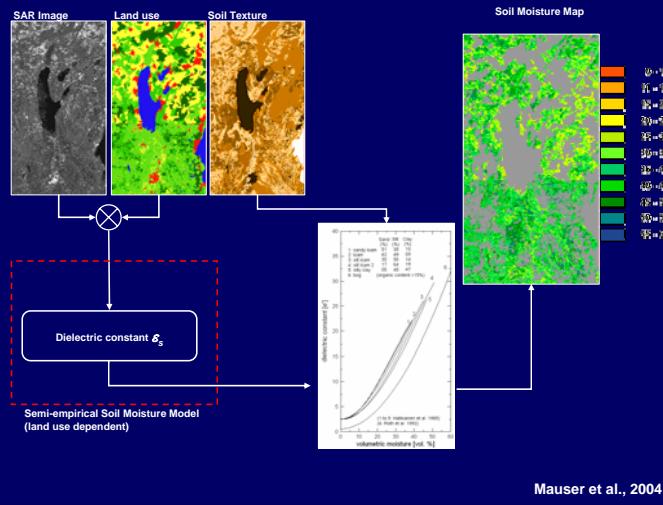
$$\sigma_{ap}^s(s) = \frac{k^2}{2} \exp(-2k_z^2 s^2) \sum_{n=1}^{\infty} s^{2n} |I_{ap}^n|^2 \frac{W^n(-2k_x, 0)}{n!}$$

The surface backscattering components σ_{hh} , σ_{vv} and σ_{hv} can be simulated for a wide range of incidence angle, surface dielectric and roughness properties, corresponding to a range of soil moisture values.

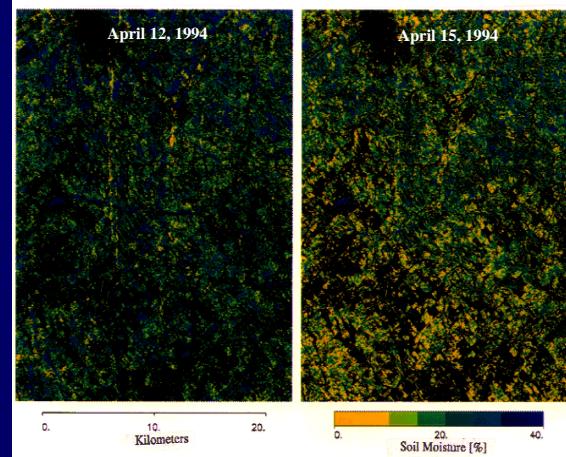
By comparing simulated backscattering values with those observed, soil moisture can be inferred (Intense computation => ARSC)

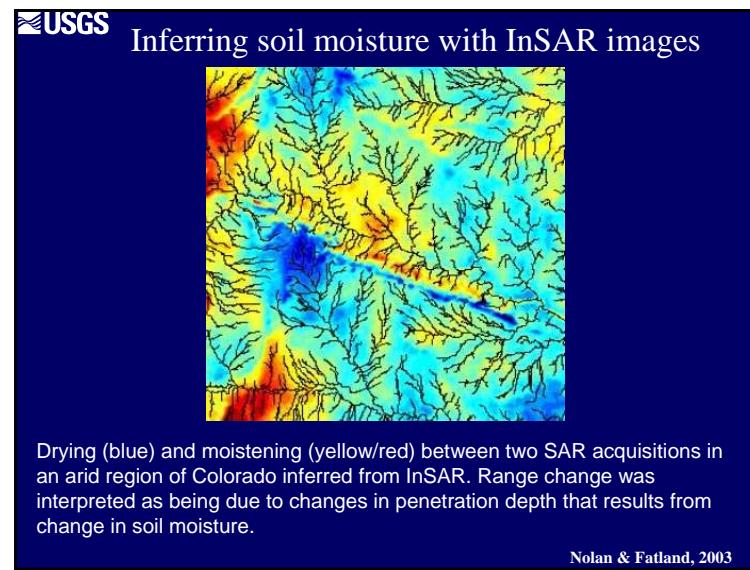
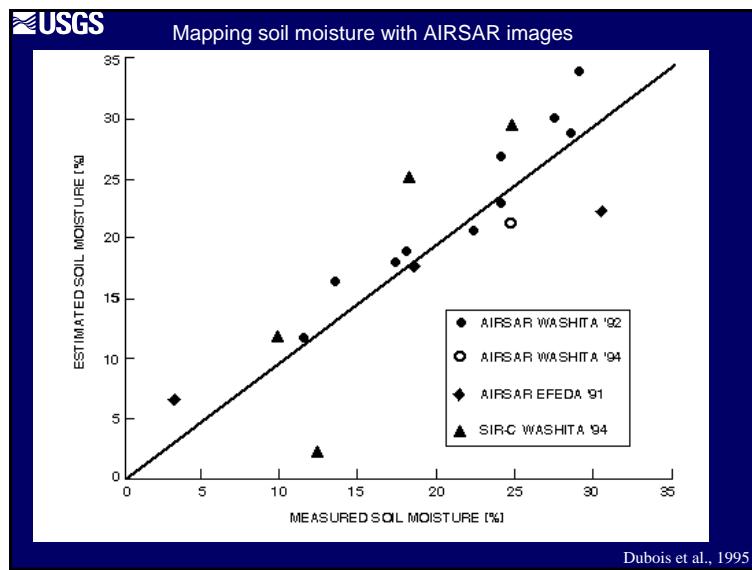


The Semi-Empirical SAR Soil Moisture Retrieval Scheme



Mapping soil moisture with SIR-C SAR images. The horizontal resolution (several meters) of soil moisture imagery derived from fully polarimetric SAR data is not attainable otherwise





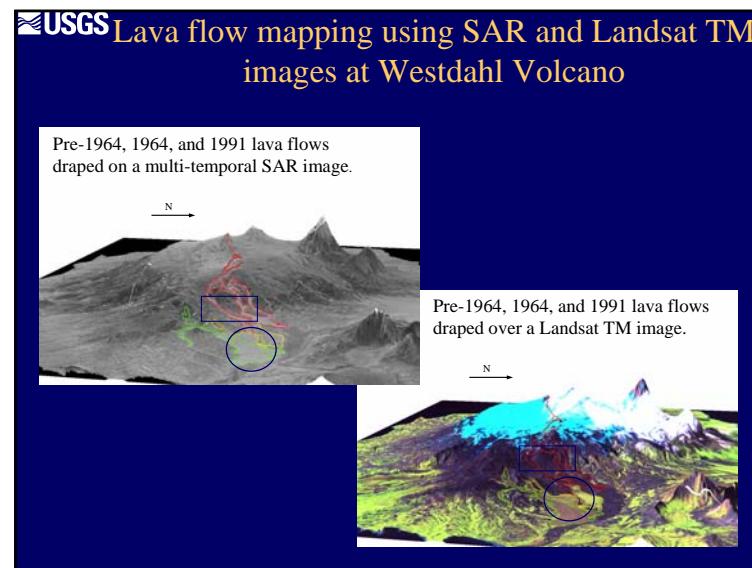
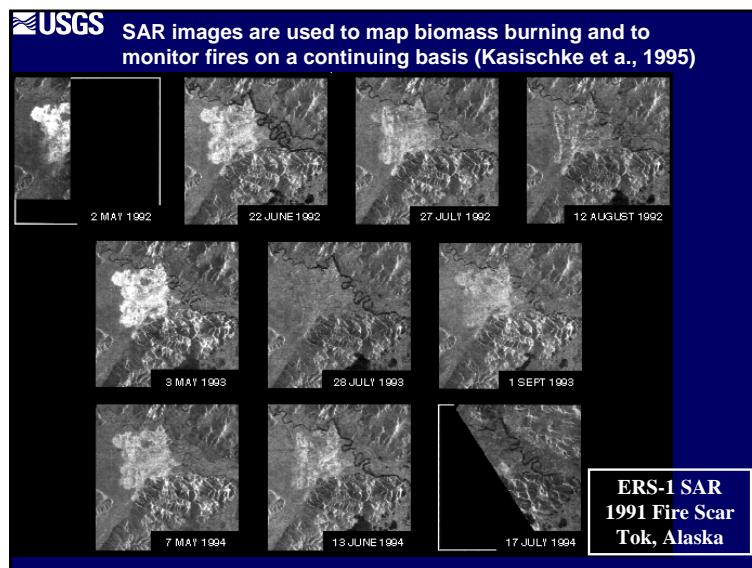
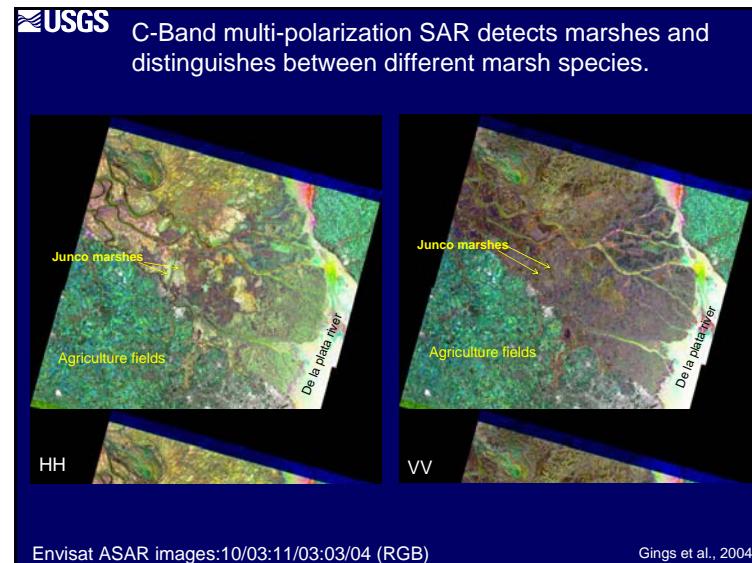
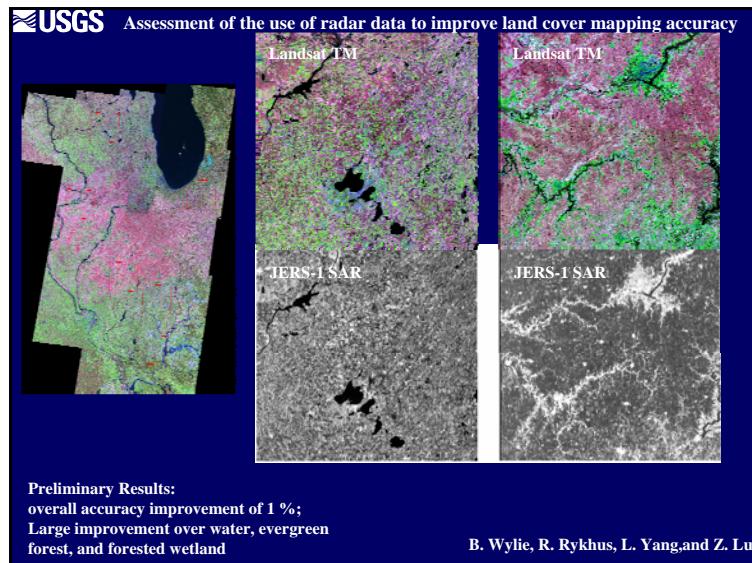
USGS

Future Data and Technology Needs for Soil Moisture Science

- Rapid repeat times for interferometry. Daily imagery would be ideal to map dynamic changes in the surface water content. A minimum requirement would be weekly coverage.
- Multi-wavelength capabilities for imaging soil moisture content at varied penetration depths. Ideally, a multi-wavelength mission(s) could image soil moisture at depths of about a few cm and tens of cm. The depth penetration would produce true 4-dimensional soil moisture maps that would provide the basis for hydrology and ecology studies.
- Full polarization.

USGS

Radar remote sensing of land-cover characterization



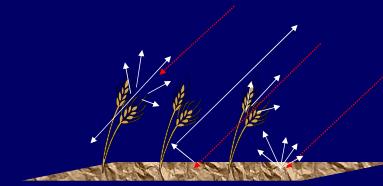


Radar remote sensing of Agriculture



SAR Backscattering from Agricultural Fields

- Soil surface roughness
- Soil surface moisture
- Soil type
- Crop species
- Vegetation biomass
- Vegetation moisture
- Land slope
- Seed row direction
- others

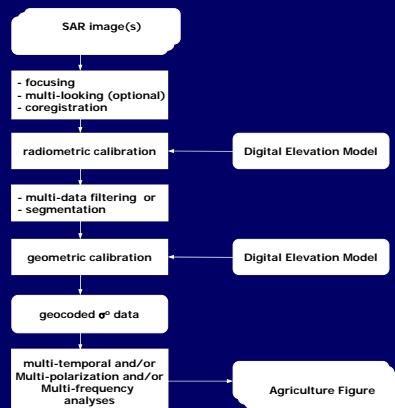


Challenges: All of agriculture parameters are a function of

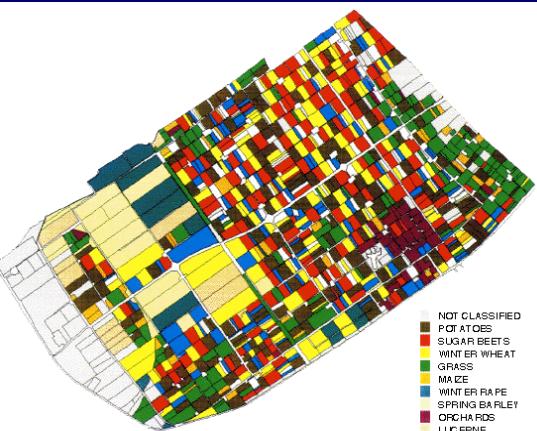
- Acquisition mode (geometry, frequency, polarisation)
- Acquisition time and interval
- Temporal signature



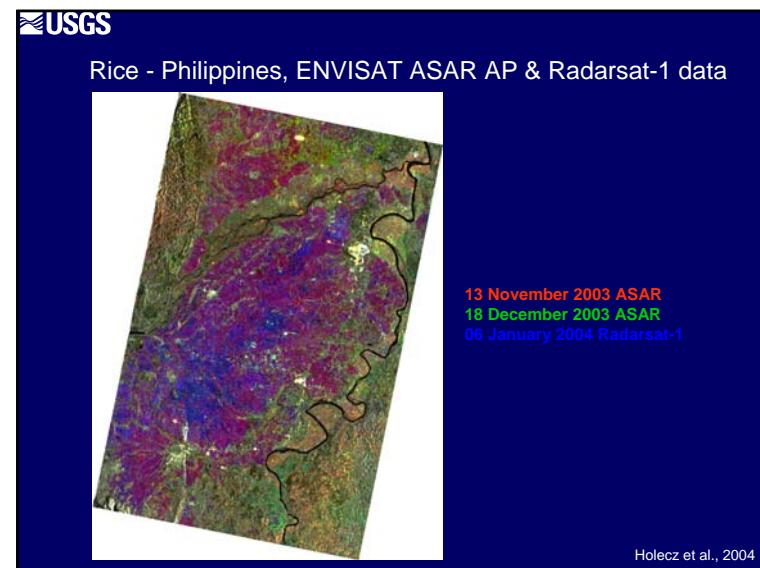
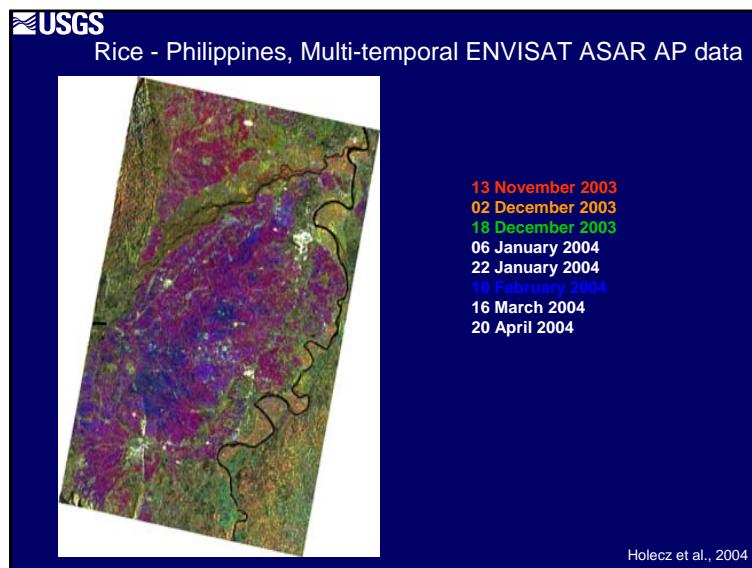
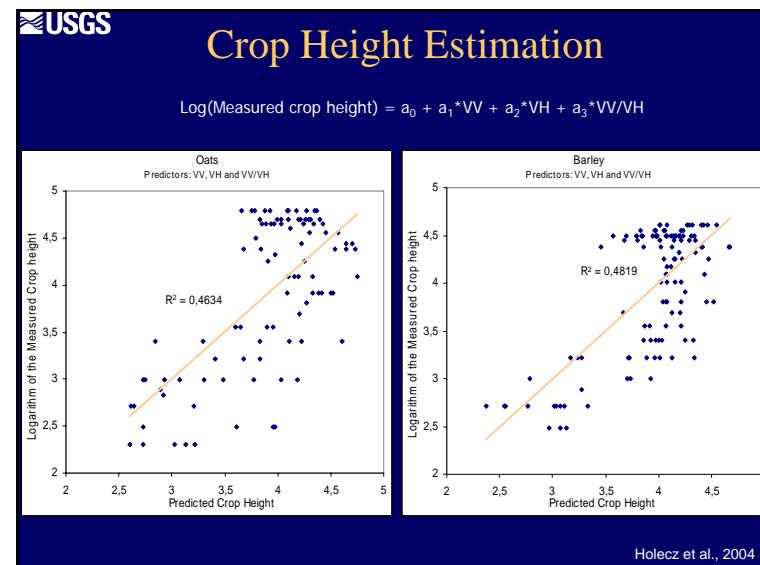
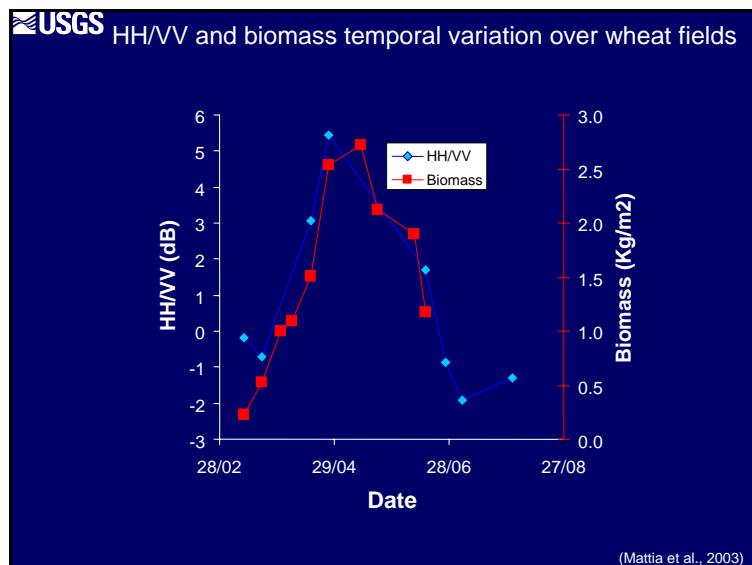
Analysis Approach

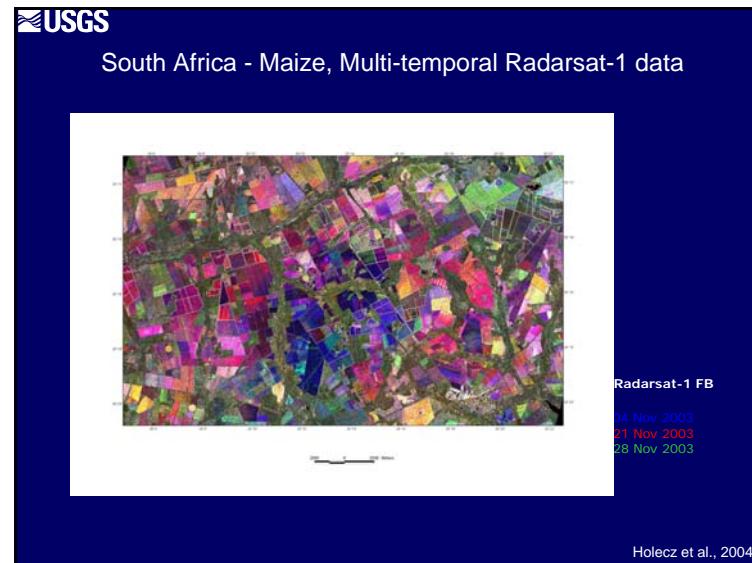
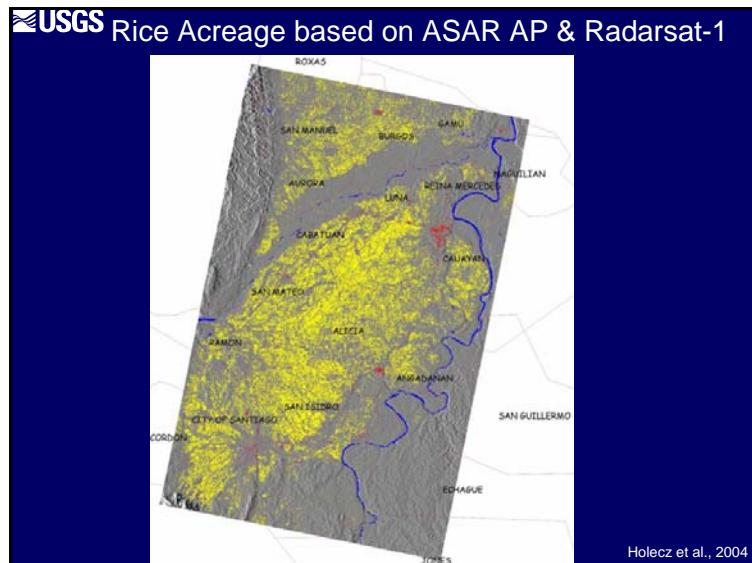


Parallel Computation



Crop classification derived from multi-temporal C-band ERS-1 SAR images over Flevoland, the Netherlands (Schotten et al, 1995). For regions with persistent clouds, SAR imagery allows frequent monitoring of crop growth.





- USGS**
- Future Data and Technology Needs for Land Cover/Vegetation/Agriculture Sciences
- Zero baseline L-HH InSAR for estimating temporal decorrelation, which empirical models relate to vegetation characteristics.
 - Short repeat period that minimizes temporal decorrelation, useful for both vegetation and deformation.
 - Fully polarimetric capability.
 - Polarimetric InSAR for improved vertical structure accuracy and land-cover type discrimination.
 - Multiple frequency for providing two height estimates used to expand observation.

- USGS**
- Future Trends in Radar Remote Sensing**
- From single image to multi-temporal images
 - From single polarization to dual/full polarization
 - 4-D spatial-temporal analysis
 - Intense computation and parallel processing

 USGS Emerging SAR/InSAR technologies

Permanent Scatterer InSAR – Improve deformation measurement accuracy of conventional InSAR

Cross-Platform InSAR – Generate high-resolution DEM by manipulating radar signals from different platform/sensors

Operational InSAR Processing System – Improve InSAR processing throughput and lay the foundation for routine monitoring seismic/volcanic/landslide deformation

ScanSAR InSAR – Improve spatial coverage of conventional InSAR to image large-scale deformation

Polarimetric InSAR – Mapping vegetation height through InSAR analysis of polarimetric SAR signal

Multi-temporal, polarimetric SAR – Improve land cover mapping and characterization over regions where weather conditions plague optical remote sensing

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 Persistent Scatterer InSAR (PSInSAR)

Differential Phase Equation

For pixel n in interferogram i :

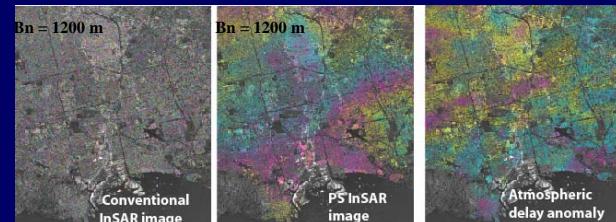
The diagram illustrates the decomposition of the total phase error ($\phi_{n,i}$) into four components:

- DEM Error Term**
- Atmospheric Phase Term**
- Orbit Error Term**
- Noise**

Each component is represented by a green box with an upward-pointing arrow indicating its contribution to the total error.

Improve InSAR technique

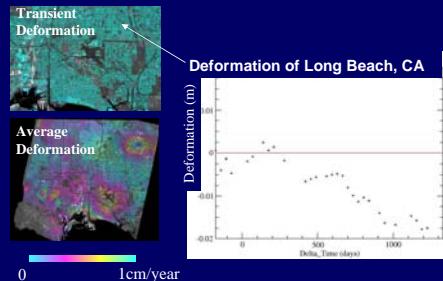
- Permanent Scatterer InSAR





Improve InSAR technique

- Permanent Scatterer InSAR



Emerging SAR/InSAR technologies

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Polarimetric InSAR – Mapping vegetation height through InSAR analysis of polarimetric SAR signal

Multi-temporal, polarimetric SAR – Improve land cover mapping and characterization over regions where weather conditions plague optical remote sensing



Technique development of Cross-Platform InSAR (CPInSAR)

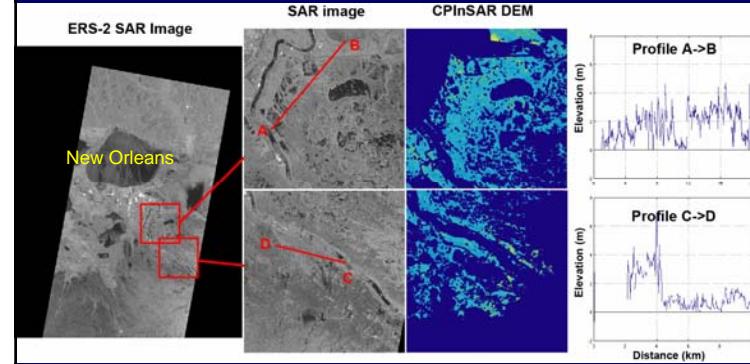
- ENVISAT SAR sensor (ASAR) uses a slightly different radar frequency when compared to the ERS-2 SAR sensor.
- Accordingly ASAR data can not be combined with ERS-2 data via conventional InSAR technique.
- A technique, called cross-platform InSAR (CPInSAR) is being developed to manipulate SAR signals from two different sensors to generate a DEM.
- Under favorable imaging geometry conditions and terrain types, the accuracy of the CPInSAR-derived DEM can reach tens of centimeters - better than SRTM and comparable to Lidar.



CPInSAR DEM

30-minute Repeat-Pass InSAR

Preliminary CPInSAR DEM: baseline = 1.8 km



Kwoun and Lu, 2005

Emerging SAR/InSAR technologies

Permanent Scatterer InSAR – Improve deformation measurement accuracy of conventional InSAR

Cross-Platform InSAR – Generate high-resolution DEM by manipulating radar signals from different platform/sensors

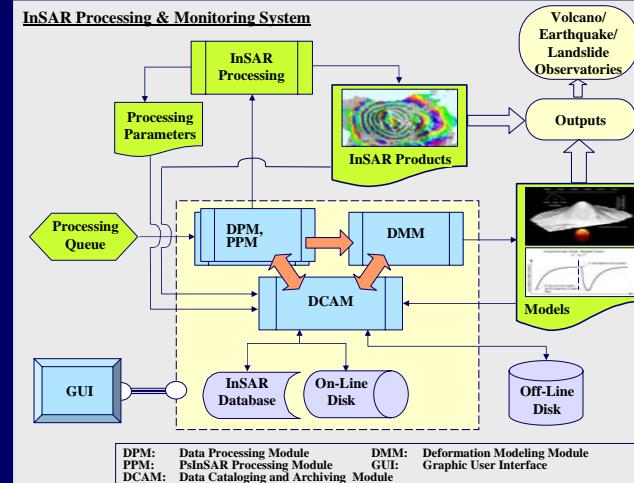
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InSAR Future: from research to operation



Lu et al.
In prep
2005

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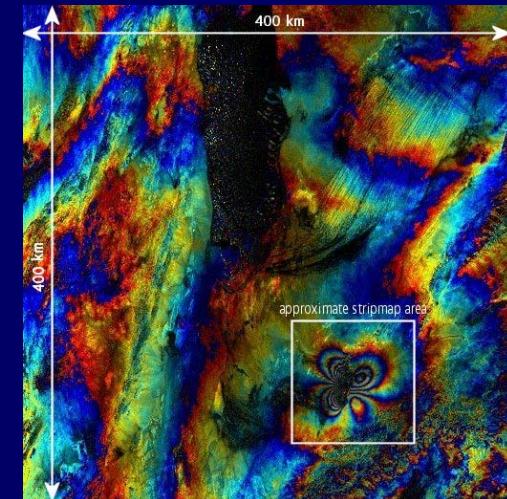
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ScanSAR InSAR





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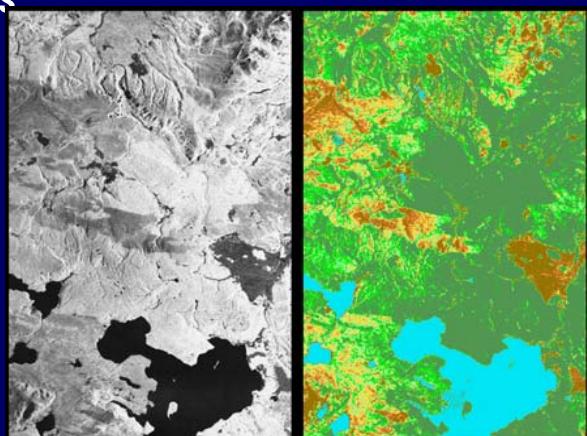
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Polarimetric InSAR for Canopy Height Mapping

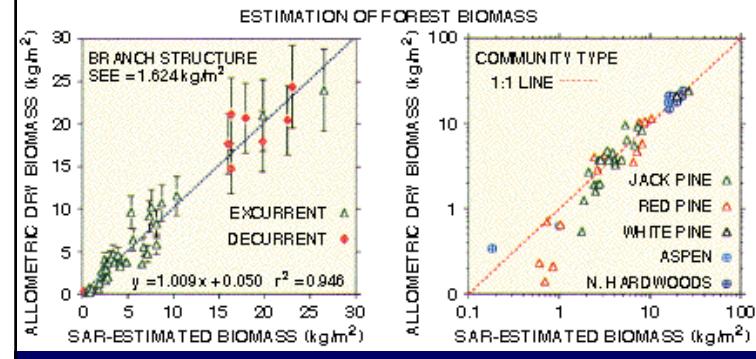
1. Develop an optimization procedure to maximize the interferometric coherence between two polarimetric radar images to reduce the effect of baseline and temporal decorrelation on the interferogram.
2. Develop a coherent target decomposition approach that separates radar backscattering returns coming from the canopy top, the bulk volume of the forest, and the ground surface. The difference of interferometric phase measurements then leads to the height difference between the physical scatterers possessing these mechanisms.
3. Develop a physical radar scattering model over different vegetation types to calculate the canopy height, the bare-earth topography, the mean volume extinction coefficient that is related to canopy density, and other canopy structural parameters based on measurements from a polarimetric InSAR image.



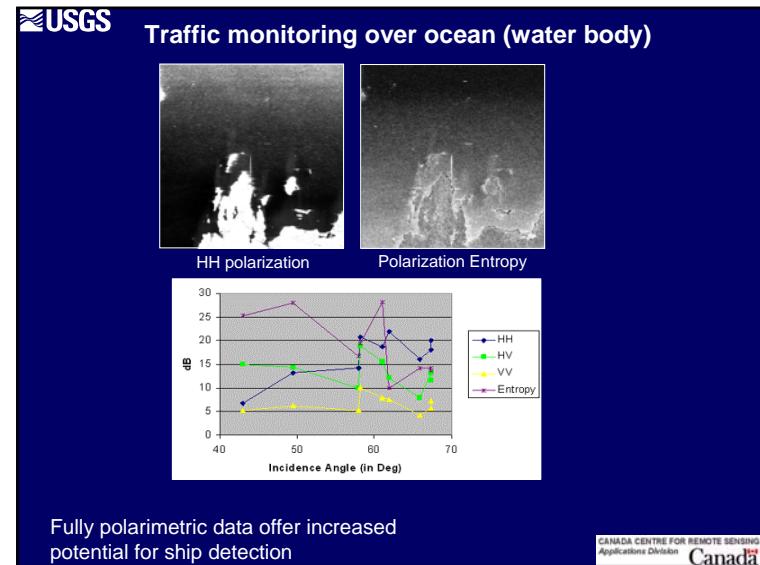
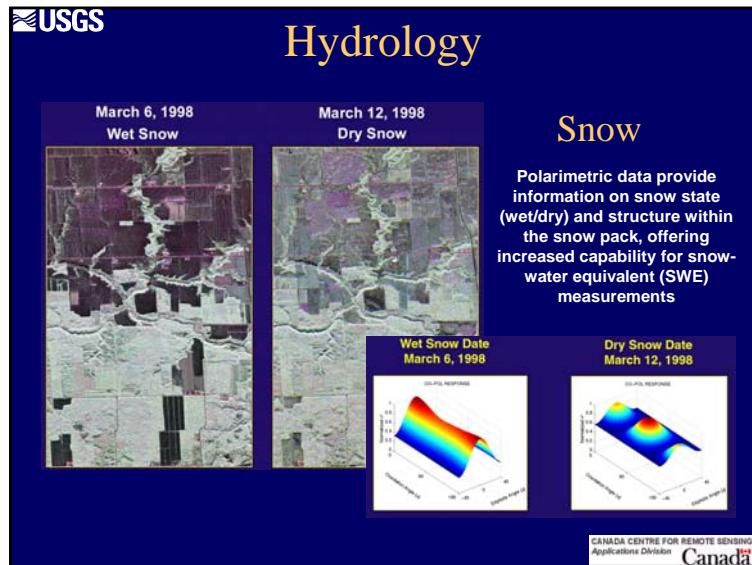
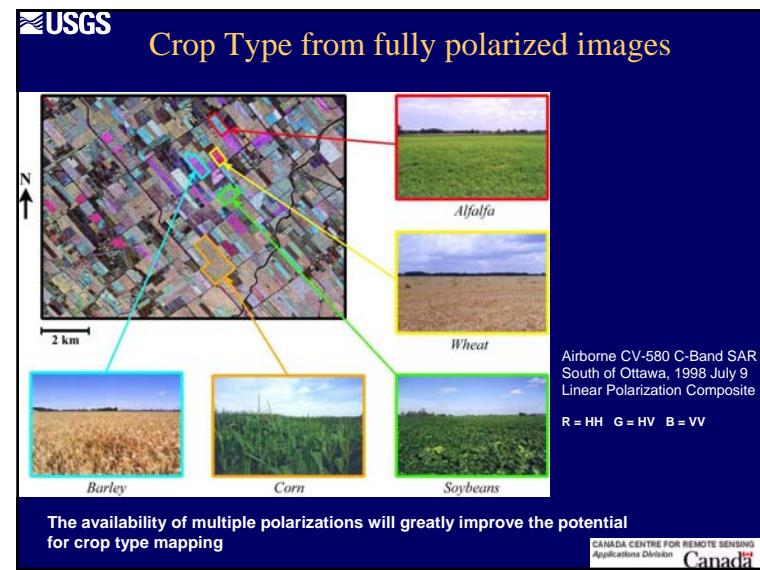
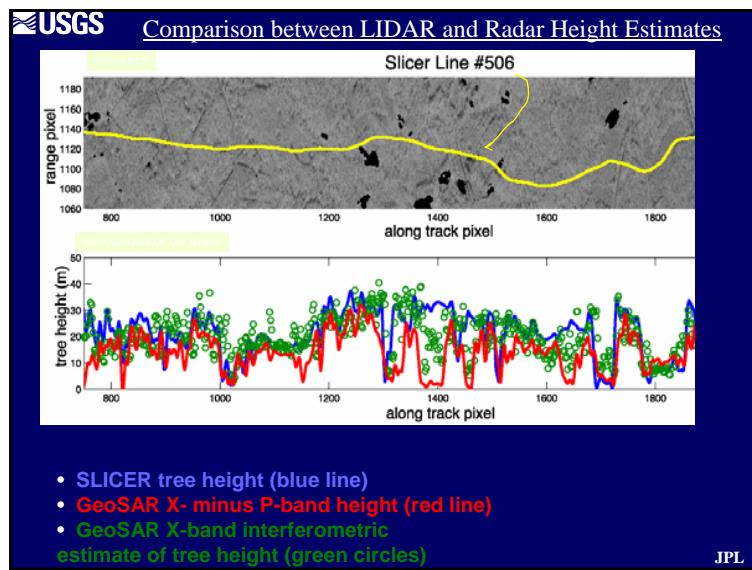
Mapping biomass at Yellowstone Park using SIR-C (C- and L- bands) SAR images taken in Oct. 1994. The biomass ranges from no biomass (blue) to non-forest areas with crown biomass of less than 4 tons per hectare (brown) to areas of canopy burn with biomass of between 4 and 12 tons per hectare (light brown). (Courtesy of JPL).



Biomass Estimation from fully polarized SIR-C Data



Dobson et al., 1995





Finally, ...

The L-band ALOS PALSAR
is coming to life in January 2006!



Emerging L-Band Capabilities

- L-band penetrates into vegetation
 - Land cover classification
 - Biomass estimation
 - Wetland monitoring
- Interacts with mechanically more stable parts of vegetation canopies
 - Increased interferometric coherence
 - Differential interferometry on global scale
 - Also better coherence over snow and ice
- Sea surface returns less sensitive to wind
 - Shallow Water Bathymetry

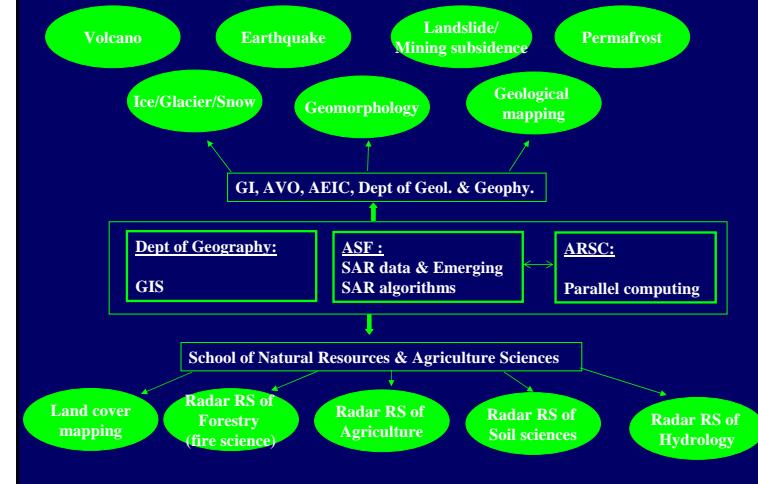


Emerging L-Band Capabilities

- L-band PALSAR provides capabilities unobtainable from existing C-band SARs
 - L-band PALSAR avoids much of the temporal decorrelation that plagues C-band systems over vegetated regions
- Can measure water levels in wetlands to a couple centimeters (everglades etc.)
- Fully polarized PALSAR improves biomass mapping
- Fully polarized PALSAR maps soil moisture at a spatial resolution not achievable from optical imagery



A Road Map - New SAR Era at UAF





In the past decade, InSAR/SAR was in the hands of solid Earth sciences!

In the next decade, InSAR belongs to sciences of natural resources!

Let's work hand-by-hand, to face the challenges, and to have fun!