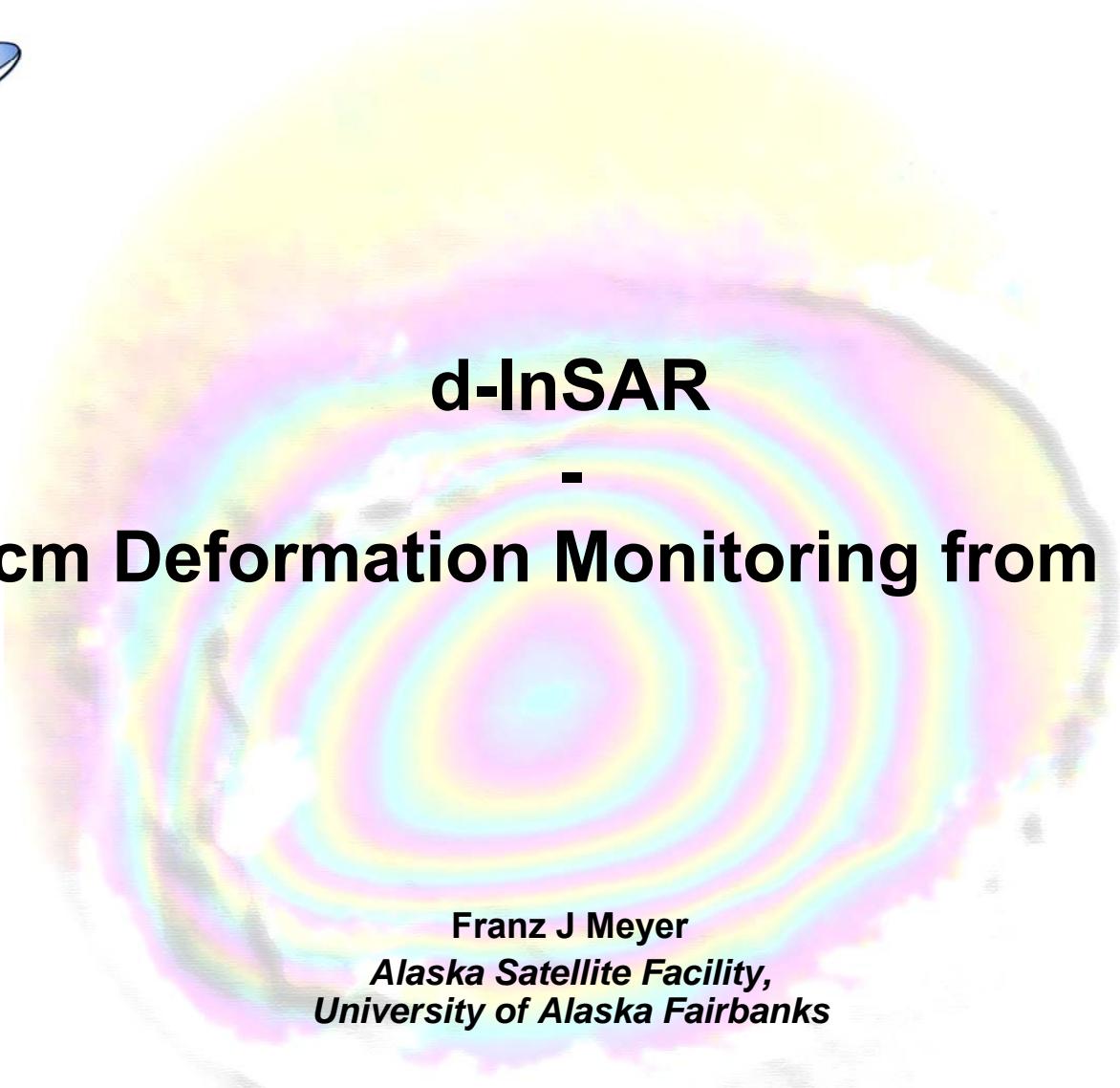




d-InSAR

-

# Sub-cm Deformation Monitoring from Space



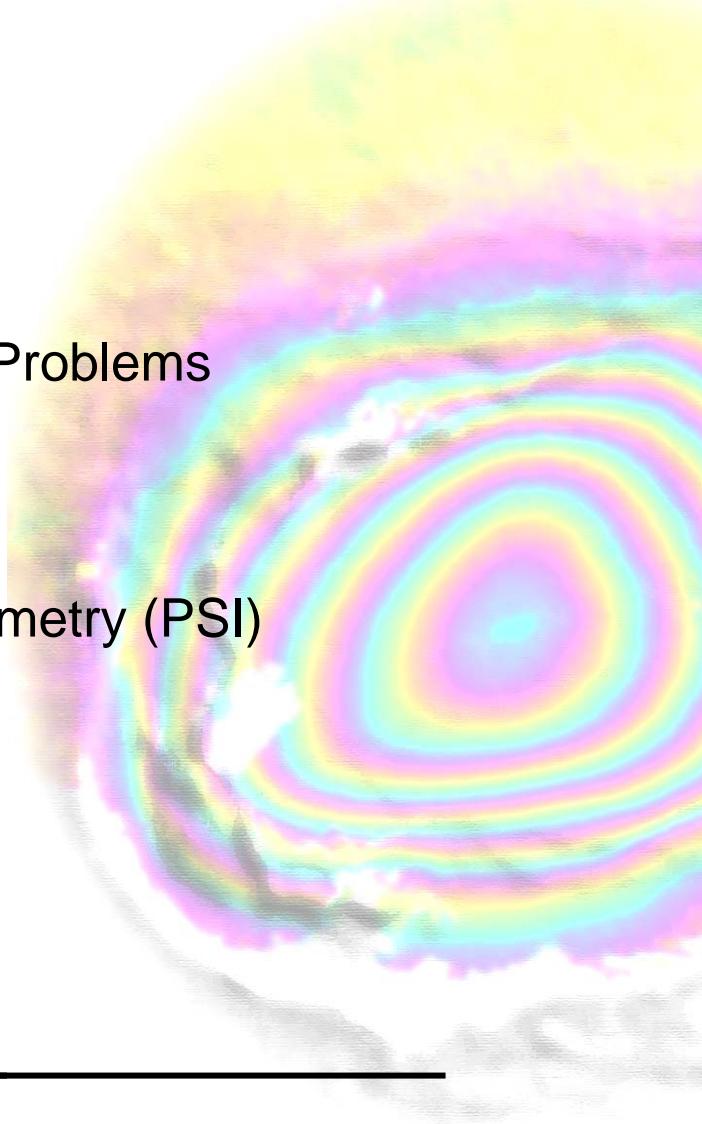
Franz J Meyer  
*Alaska Satellite Facility,  
University of Alaska Fairbanks*



# Outline

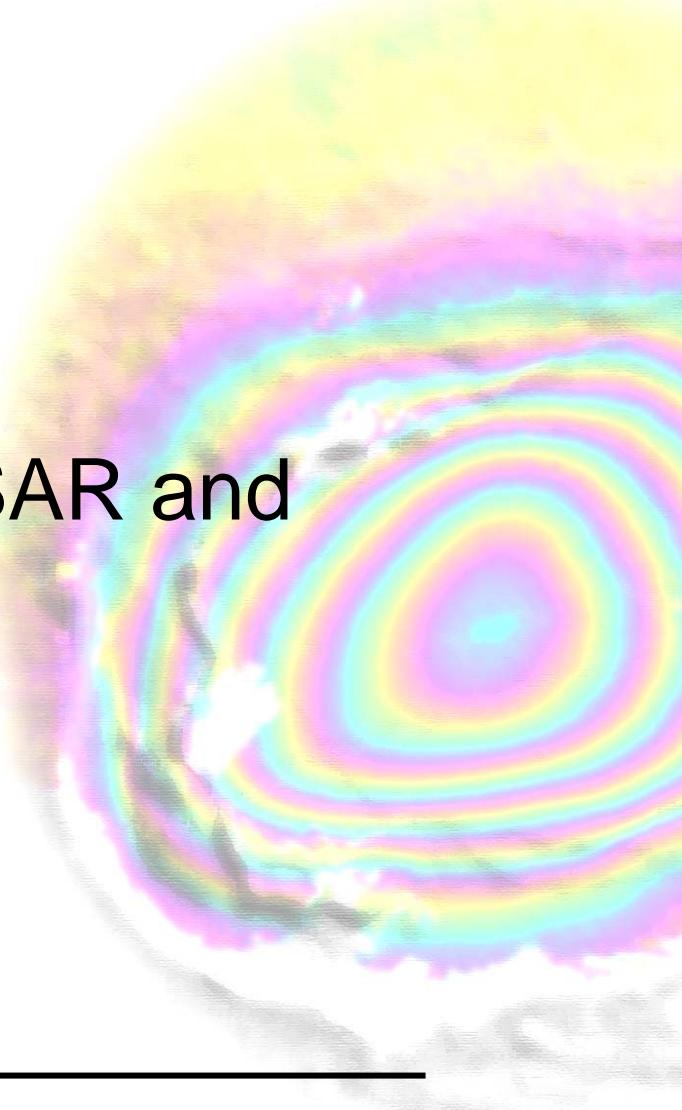


- Theoretical Background of InSAR and d-InSAR
- Application of d-InSAR to various Geophysical Problems
- Limitations of d-InSAR
- An Introduction to Persistent Scatterer Interferometry (PSI)
- PSI Examples



---

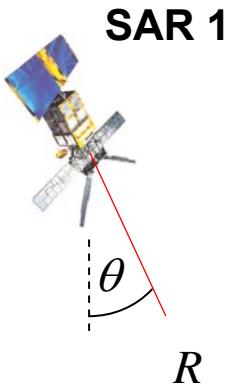
# Theoretical Background of InSAR and d-InSAR



... combines two or more complex-valued SAR images to derive more information about the imaged objects (compared to using a single image) by exploiting **phase differences**.

⇒ Images must differ in at least one aspect (= “baseline”)

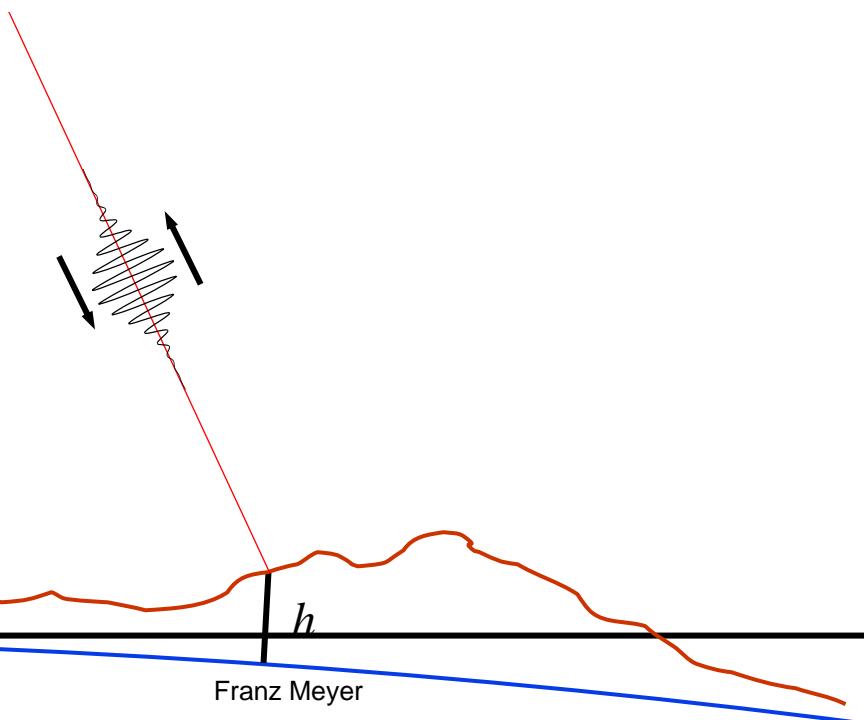
baseline type	known as ...	applications: measurement of ...
$\Delta \theta$	across-track	topography, DEMs
$\Delta t = \text{ms} \quad \text{to} \quad \text{s}$	along-track	ocean currents, moving object detection, MTI
$\Delta t = \text{days}$	differential	glacier/ice fields/lava flows, SWE, hydrology
$\Delta t = \text{days} \quad \text{to} \quad \text{years}$	differential	subsidence, seismic events volcanic activities, crustal displacements
$\Delta t = \text{ms} \quad \text{to} \quad \text{years}$	coherence estimator	sea surface decorrelation times land cover classification
$\Delta \nu_0$	$\Delta k$ -radar	exact ranging, oceanography, SWE measurement



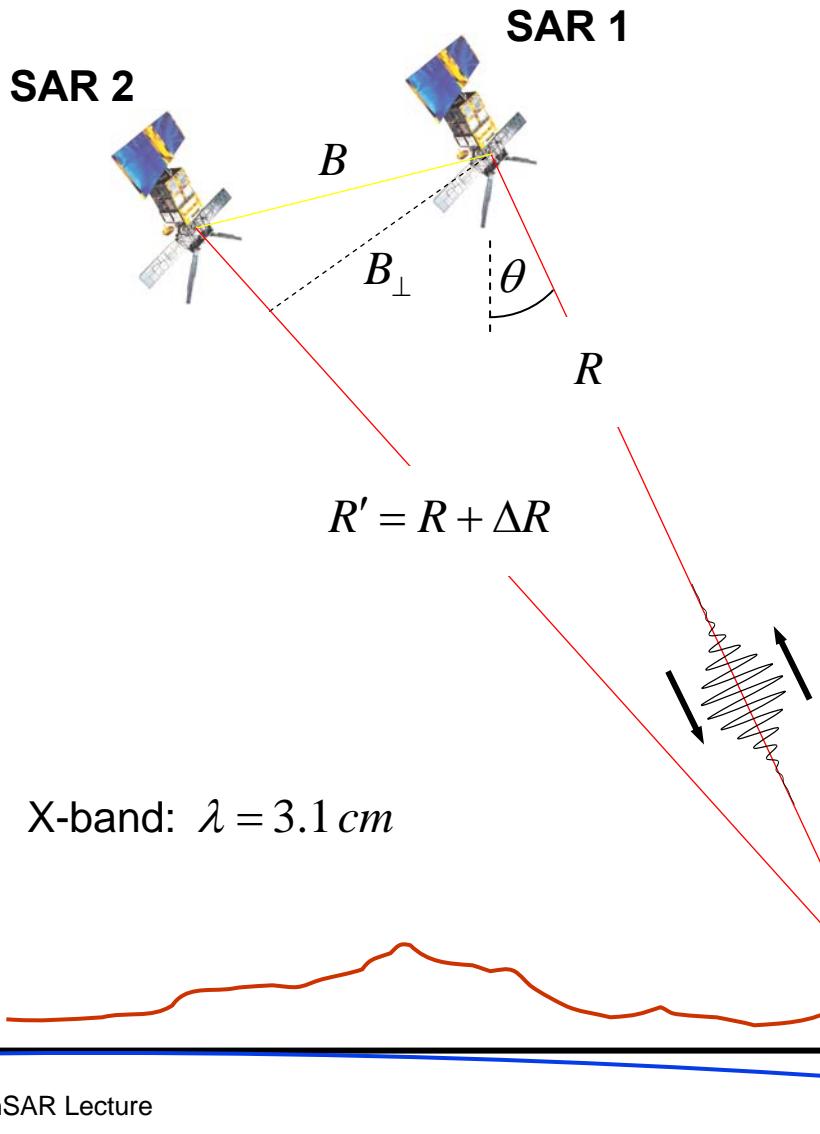
phase of complex pixel in ...

$$\dots \text{SAR image } \#1: \phi_1 = -\frac{4\pi}{\lambda} R + \phi_{scatt,1}$$

X-band:  $\lambda = 3.1 \text{ cm}$



Franz Meyer

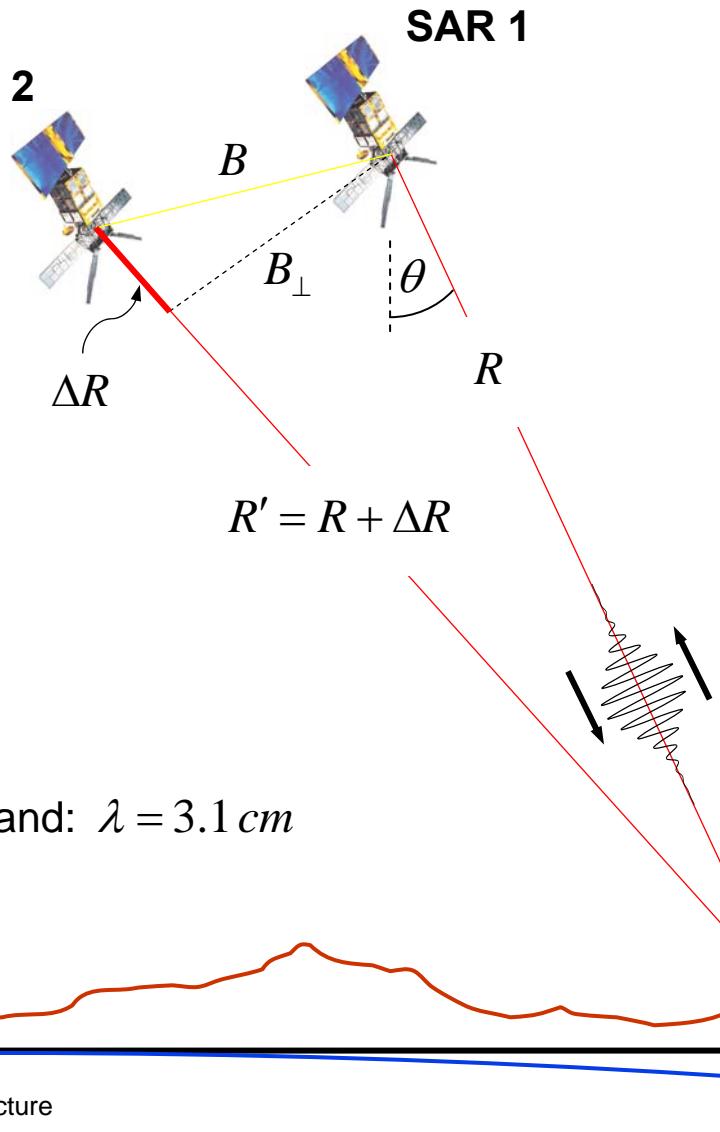


## phase of complex pixel in ...

... SAR image #1:  $\phi_1 = -\frac{4\pi}{\lambda} R + \phi_{scatt,1}$

$$\dots \text{SAR image } \#2: \quad \phi_2 = -\frac{4\pi}{\lambda} (R + \Delta R) + \phi_{scatt,2}$$

X-band:  $\lambda = 3.1\text{ cm}$



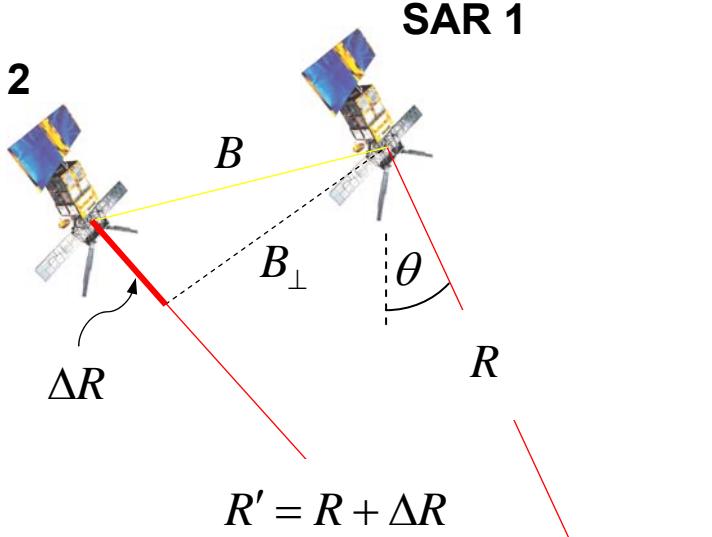
## phase of complex pixel in ...

$$\dots \text{SAR image } \#1: \quad \phi_1 = -\frac{4\pi}{\lambda} R + \phi_{scatt,1}$$

$$\dots \text{SAR image } \#2: \quad \phi_2 = -\frac{4\pi}{\lambda} \left( R + \underline{\Delta R} \right) + \phi_{scatt,2}$$

X-band:  $\lambda = 3.1\text{ cm}$

SAR 1  
SAR 2



$$R' = R + \Delta R$$

X-band:  $\lambda = 3.1 \text{ cm}$

phase of complex pixel in ...

... SAR image #1:

$$\phi_1 = -\frac{4\pi}{\lambda} R + \phi_{scatt,1}$$

... SAR image #2:

$$\phi_2 = -\frac{4\pi}{\lambda} (R + \Delta R) + \phi_{scatt,2}$$

... interferogram:

$$\phi = \phi_1 - \phi_2 = \frac{4\pi}{\lambda} \Delta R$$

(if  $\phi_{scatt,1} = \phi_{scatt,2}$  !)

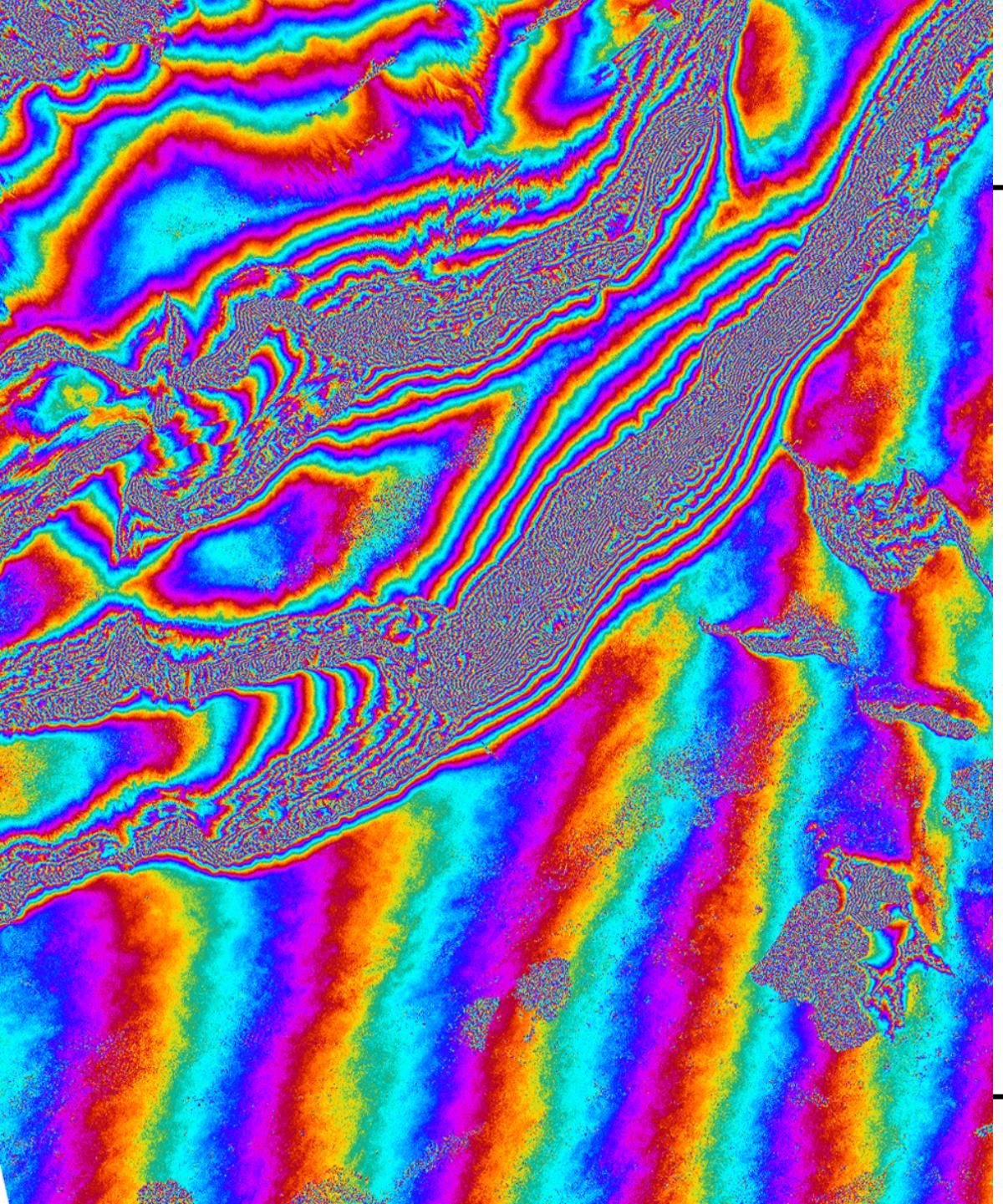
Franz Meyer



ERS SAR Image

Bachu, China

approx.  $100 \text{ km} \times 80 \text{ km}$



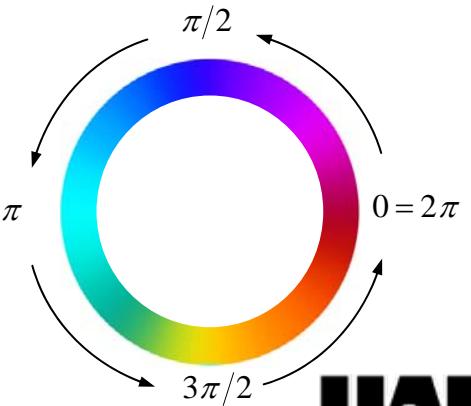
Interferometric Phase

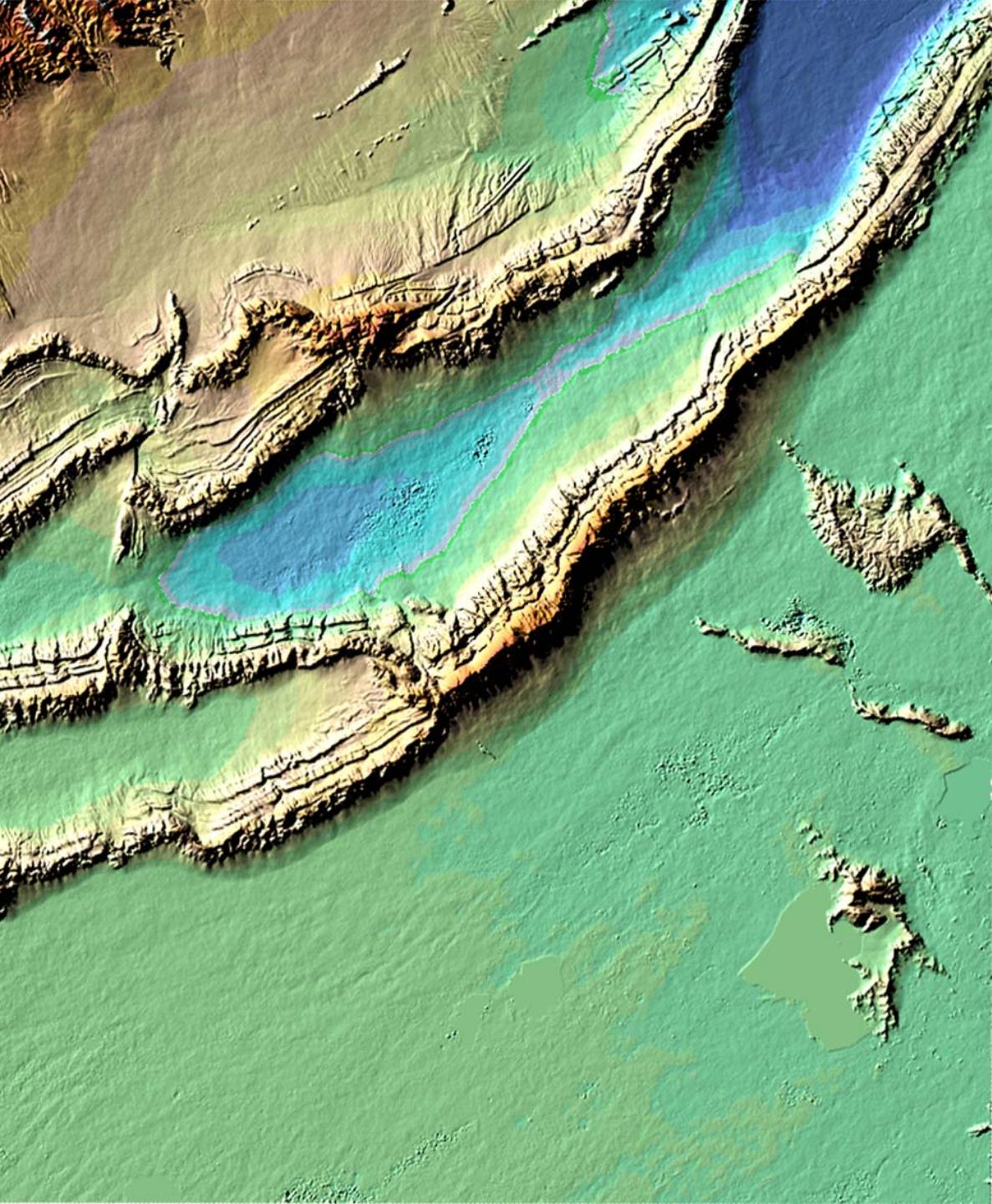
Bachu, China

approx.  $100 \text{ km} \times 80 \text{ km}$

Phase is always  
ambiguous w.r.t. integer  
multiples of  $2\pi$

color wheel





---

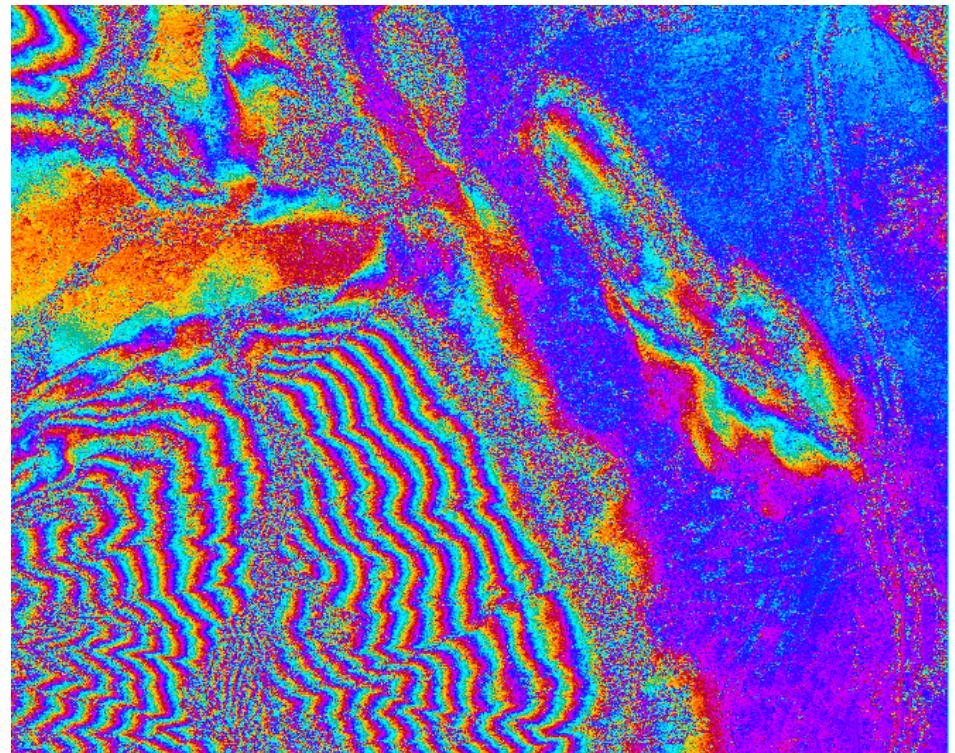
InSAR DEM (ERS-1/2)

Bachu, China

approx.  $100 \text{ km} \times 80 \text{ km}$

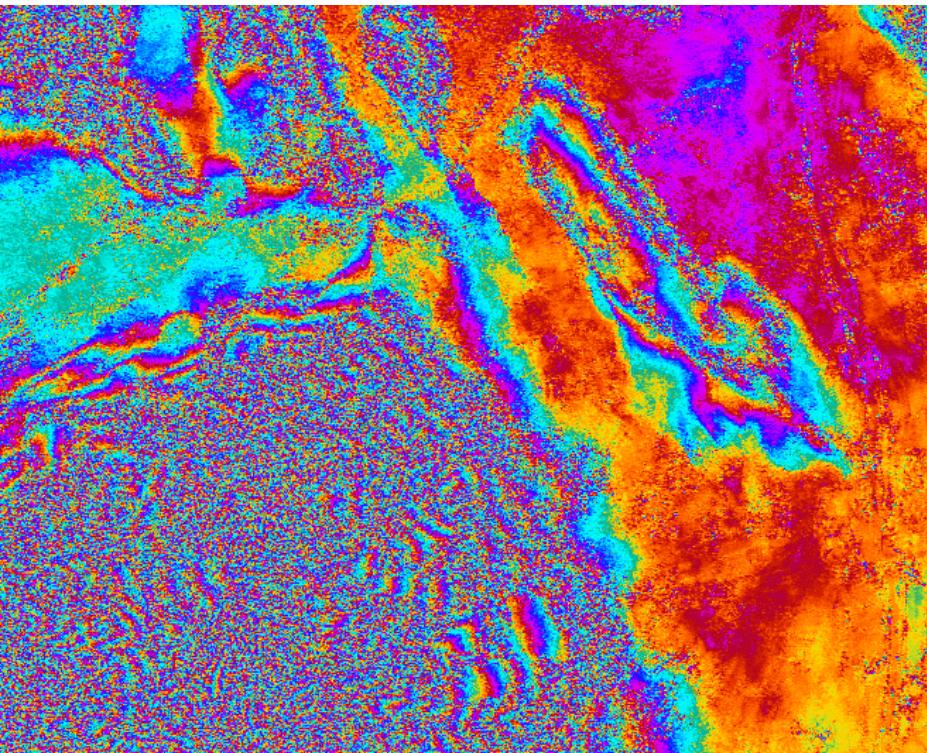
$\phi_{scatt,1} \approx \phi_{scatt,2}$  (i.e. high coherence)

$\phi_{scatt,1} \neq \phi_{scatt,2}$  (i.e. low coherence)



good fringe quality

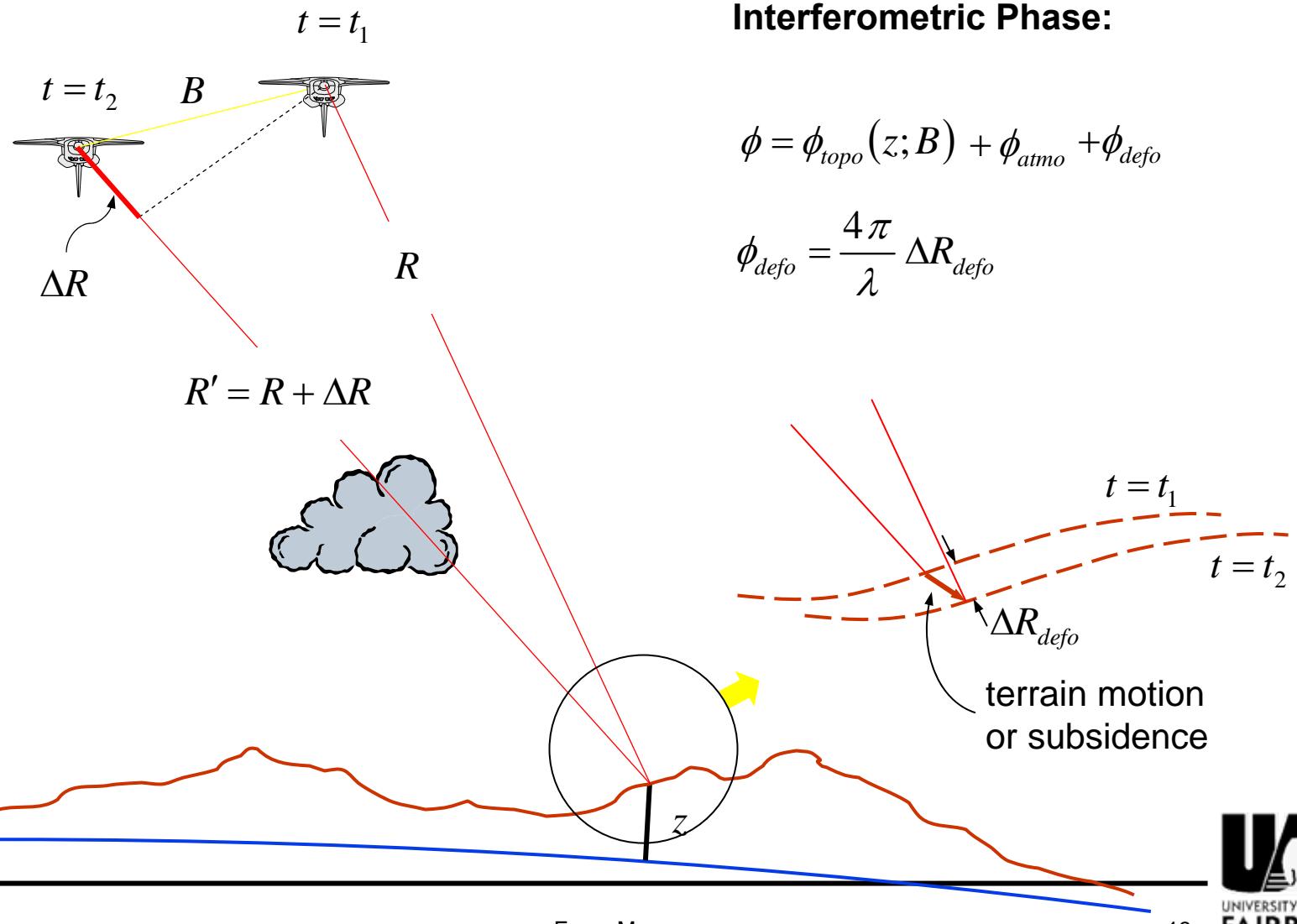
13/14 Jan. 1996

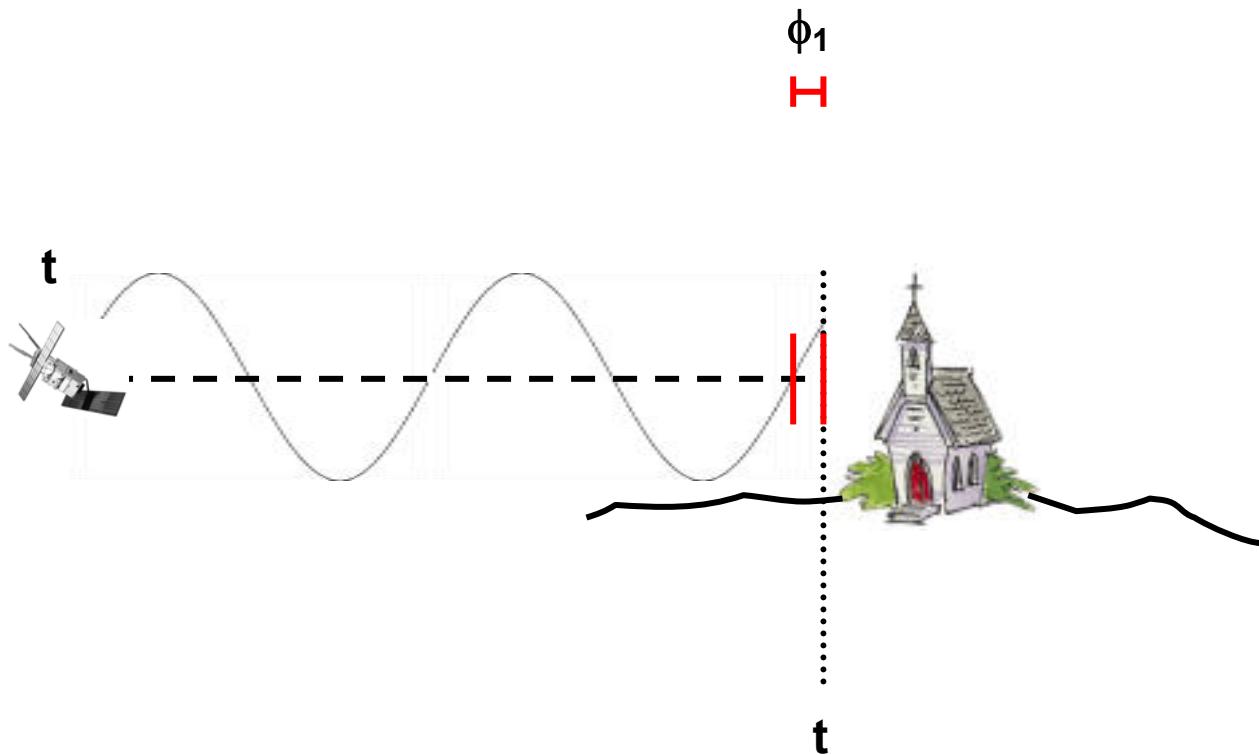


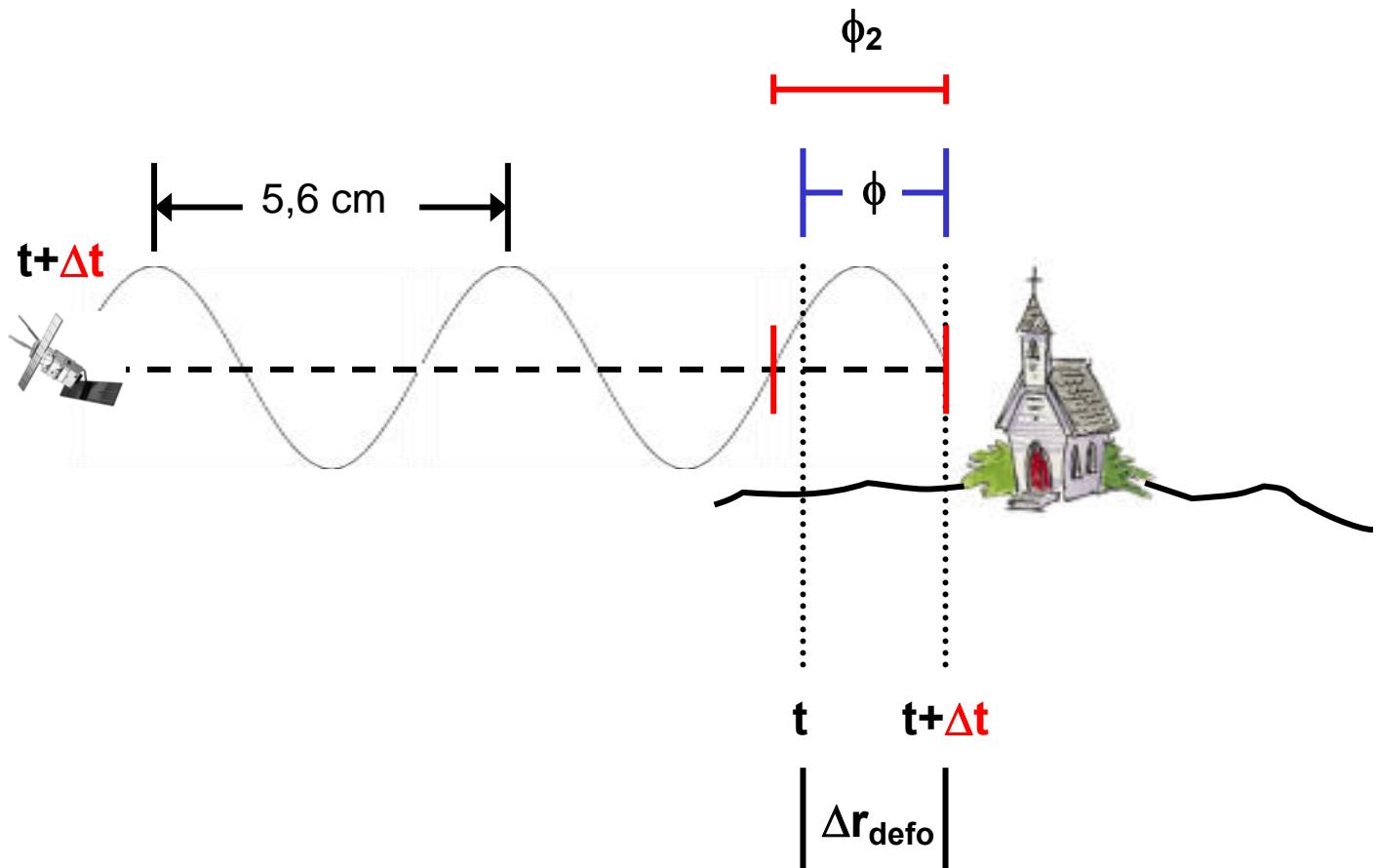
data ERS-1/2 ©  
ESA

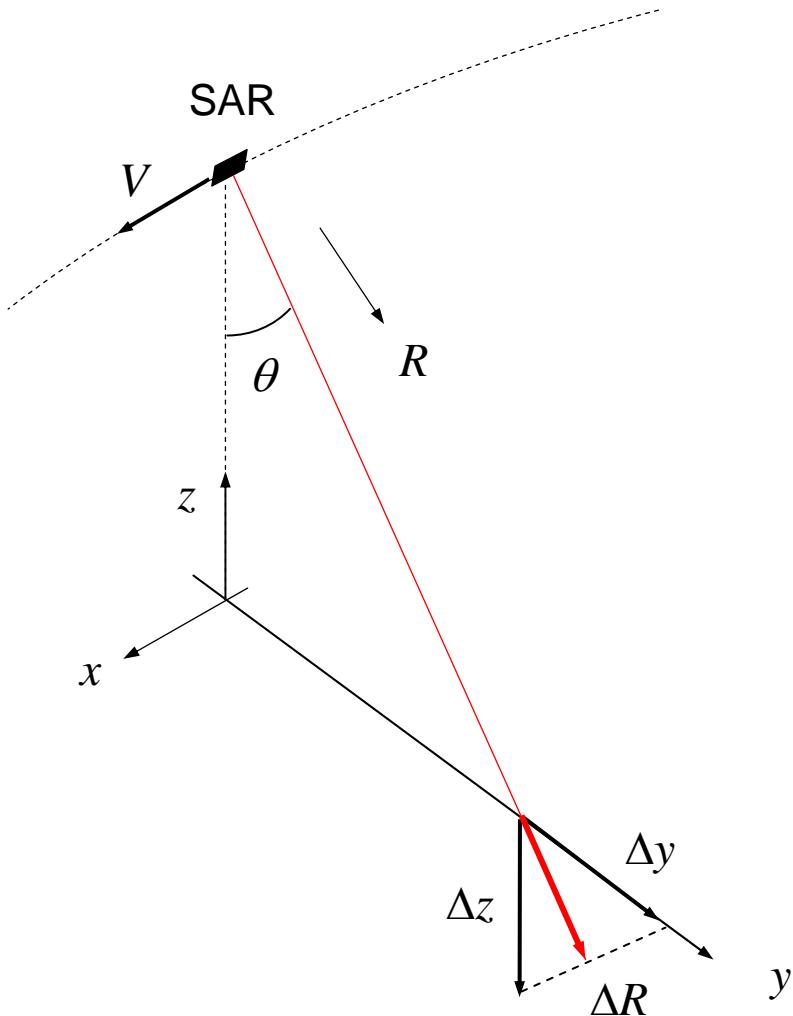
bad fringe quality

23/24 March 1996









$$\Delta R = \Delta y \sin \theta - \Delta z \cos \theta$$

**for ERS:**

**1 fringe ( $2\pi$ ) corresponds to**

**2.8 cm in R**

**3.0 cm in z (e.g. subsidence)**

**7.2 cm in y (motion)**

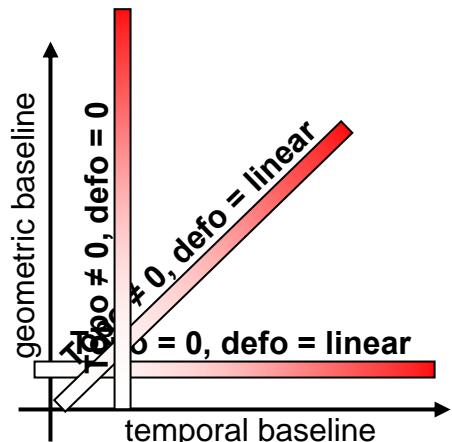
If a reliable DEM is available, use DEM to compensate for topography.

Else,  $\geq 3$  complex SAR images at times  $t_1, t_2, \dots, t_N$  are required:

Form several interferograms:

- time lag:  $\Delta t_{n-m} = t_n - t_m$
- baseline:  $B_{\perp, n-m}$
- phase:  $\phi_{n-m}$

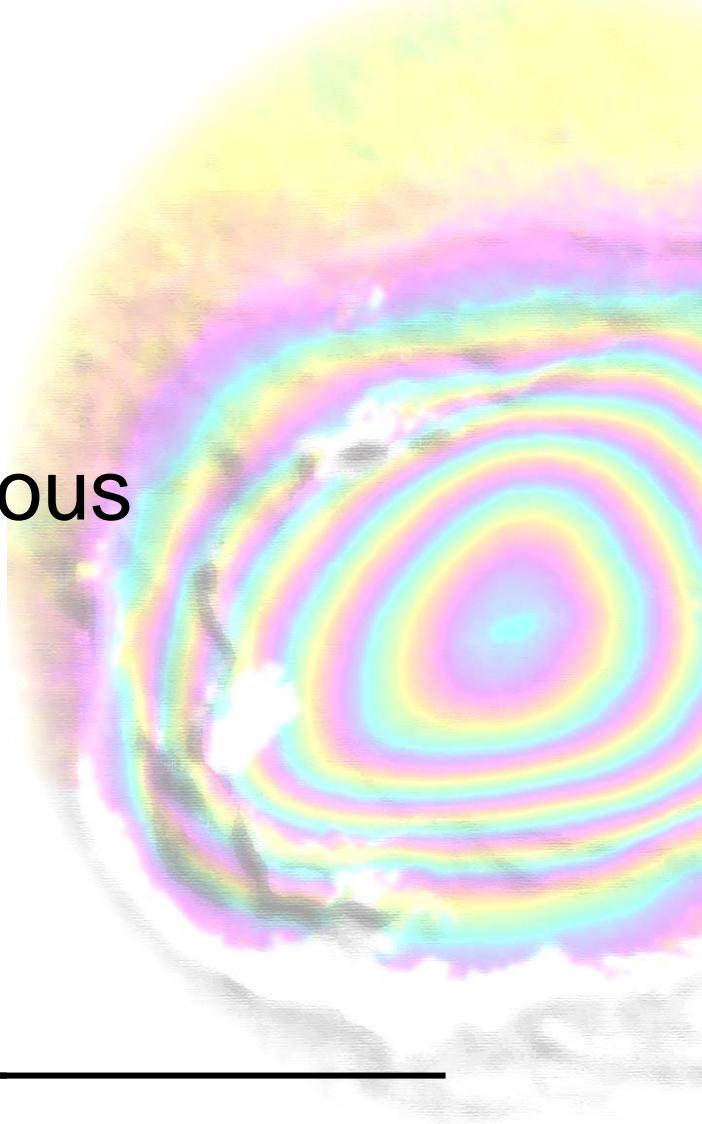
for constant velocity:  $\phi_{defo} \propto \Delta t_{n-m}$  and  $\phi_{topo} \propto B_{\perp, n-m}$



for singular displacement event: use  $\phi_{n-m} \propto B_{\perp, n-m}$  to derive topography

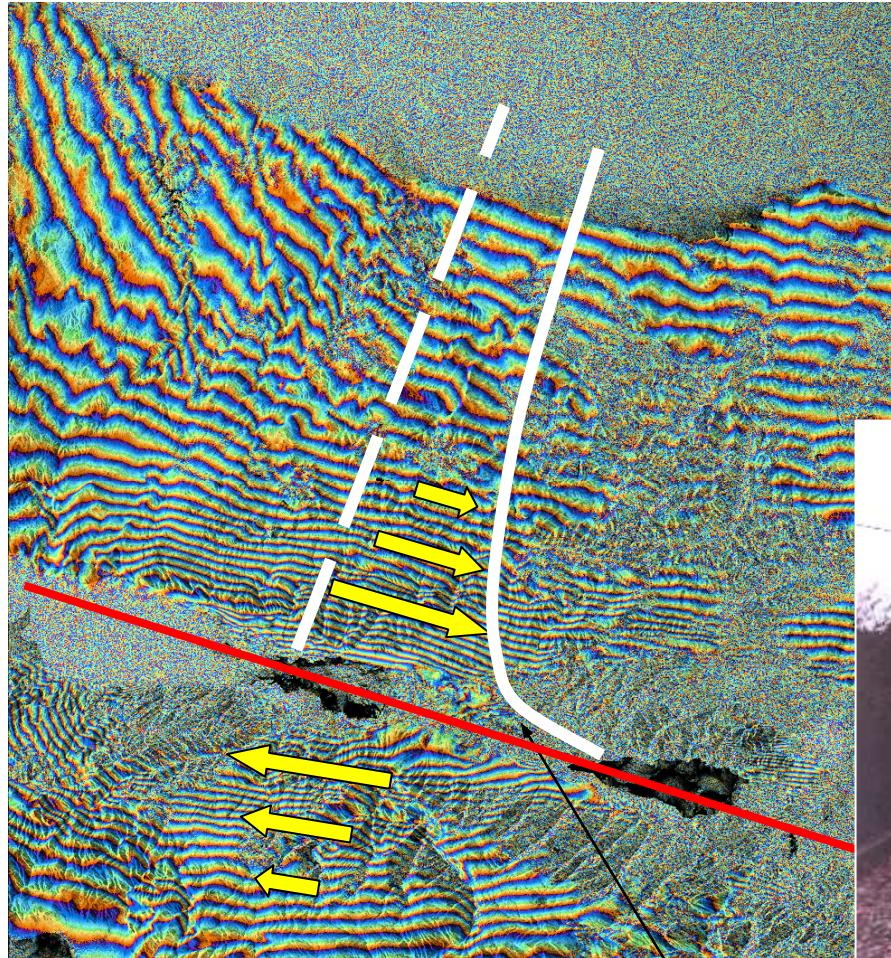
---

# Application of d-InSAR to various Geophysical Problems



# d-InSAR Displacement Pattern of an Earthquake

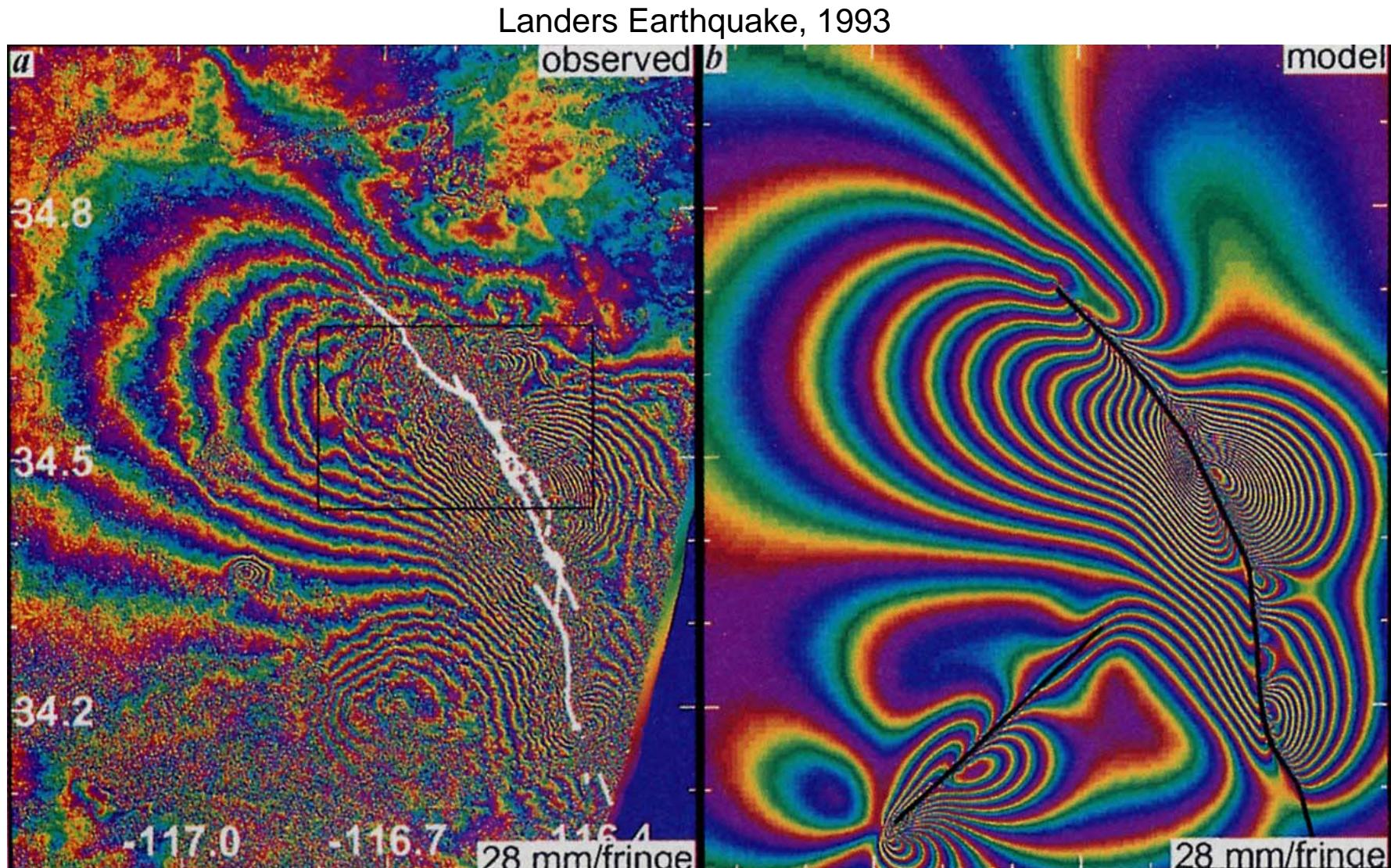
Izmit/Turkey, 17 August, 1999



Izmit

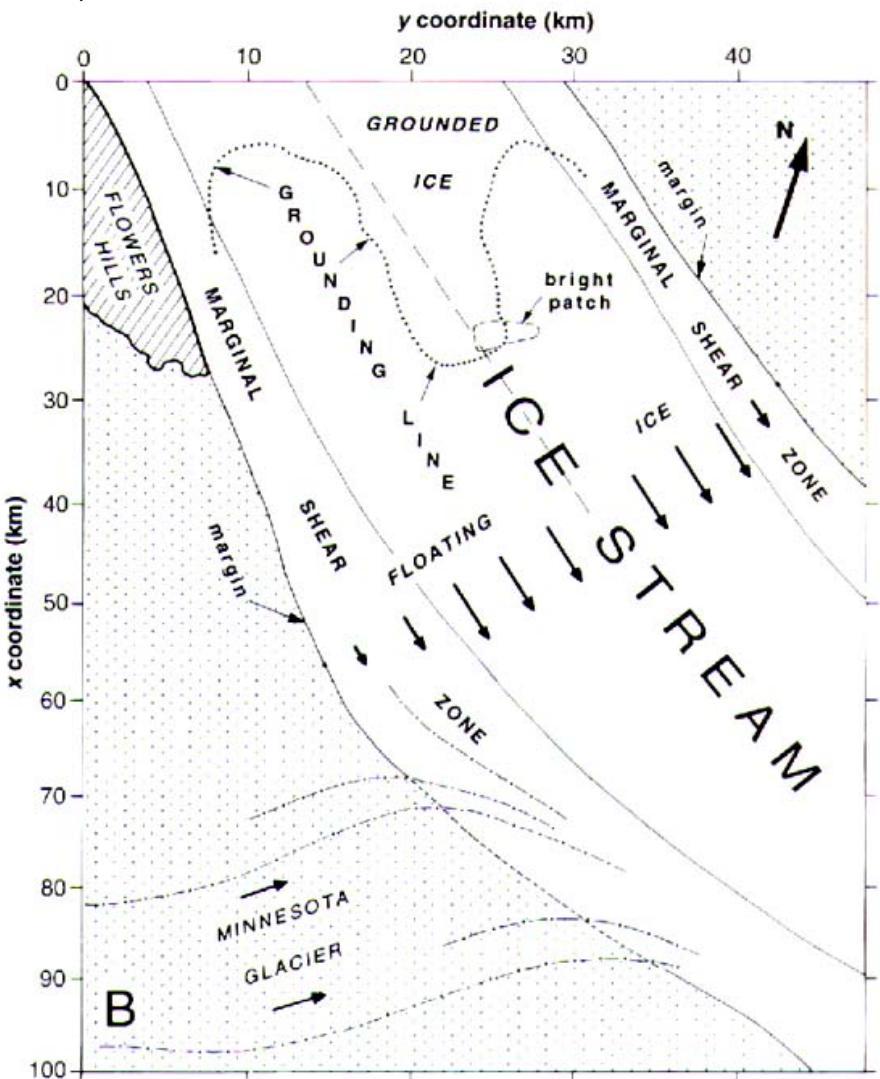
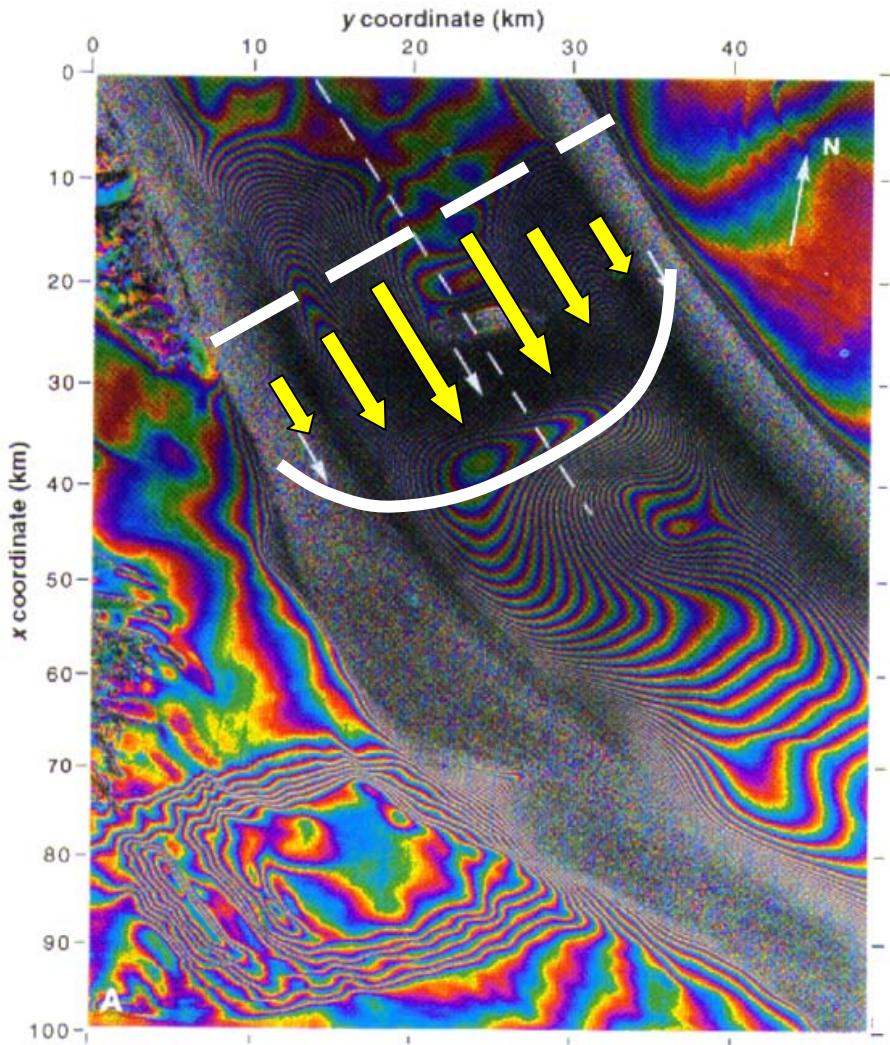
Data ERS-1/2 © ESA,  
Acquisition dates: 12.08. and 16.09.1999

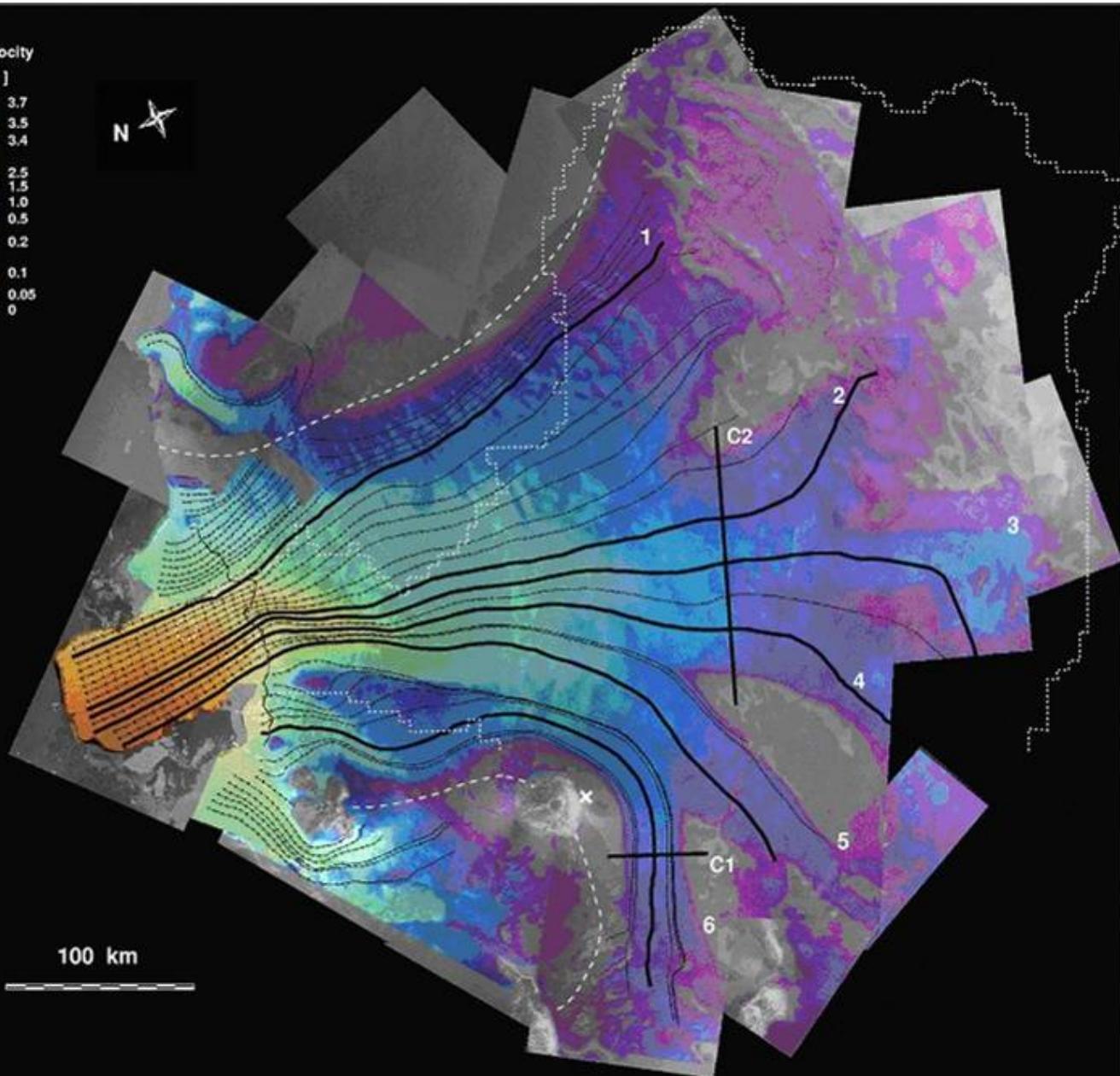
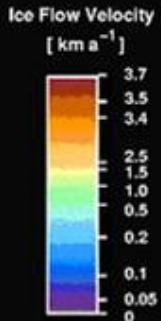




# Monitoring Glacier Motion

## Rutford Ice Stream, Antarctica





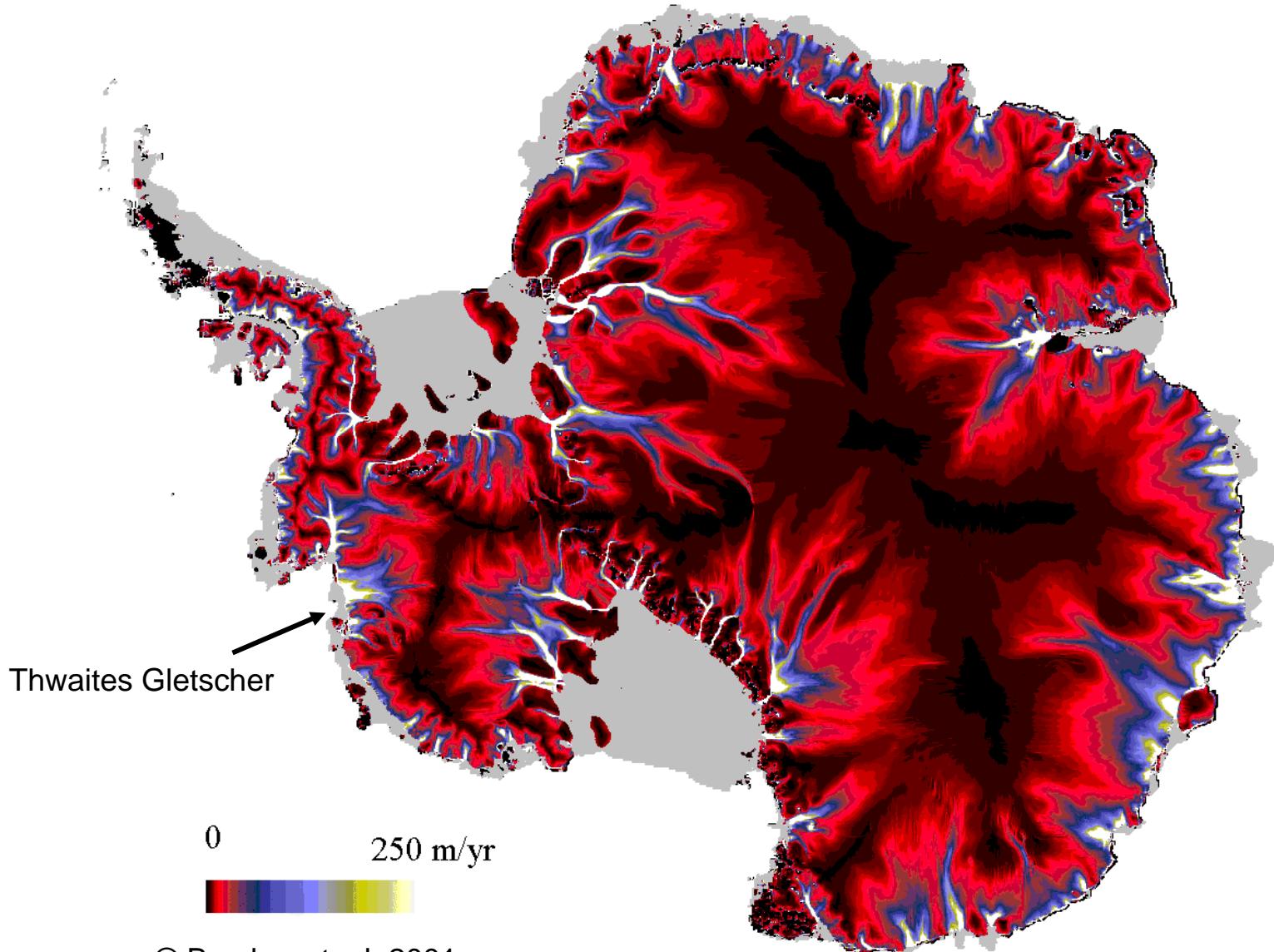
Antarctic  
Thwaites glacier

approx.  
500 km x 500 km

(Lang et al., 2003)



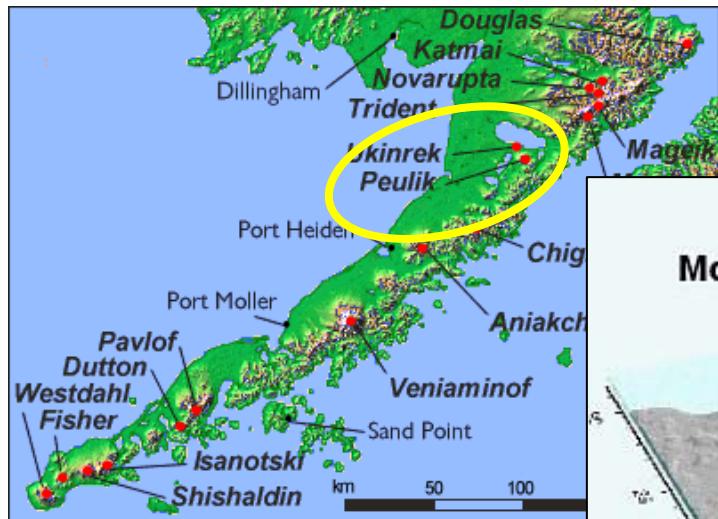
# Glacier Flow Field Derived from d-InSAR



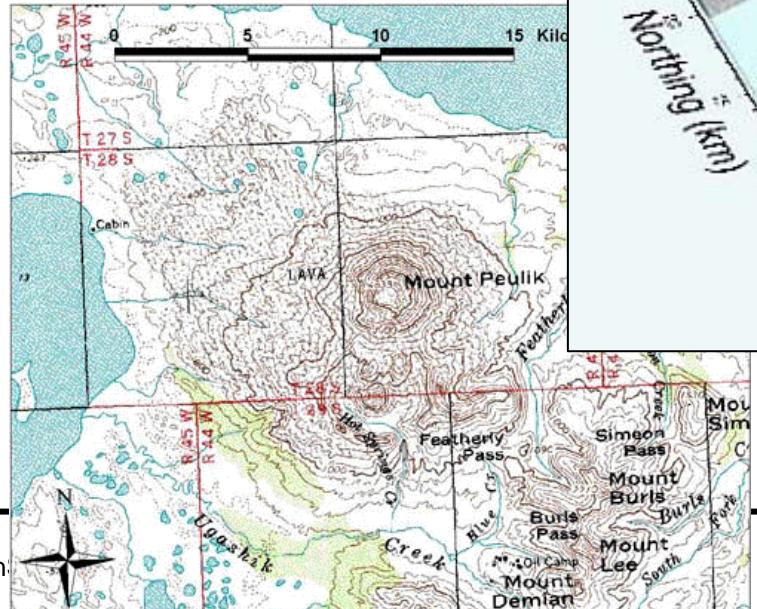
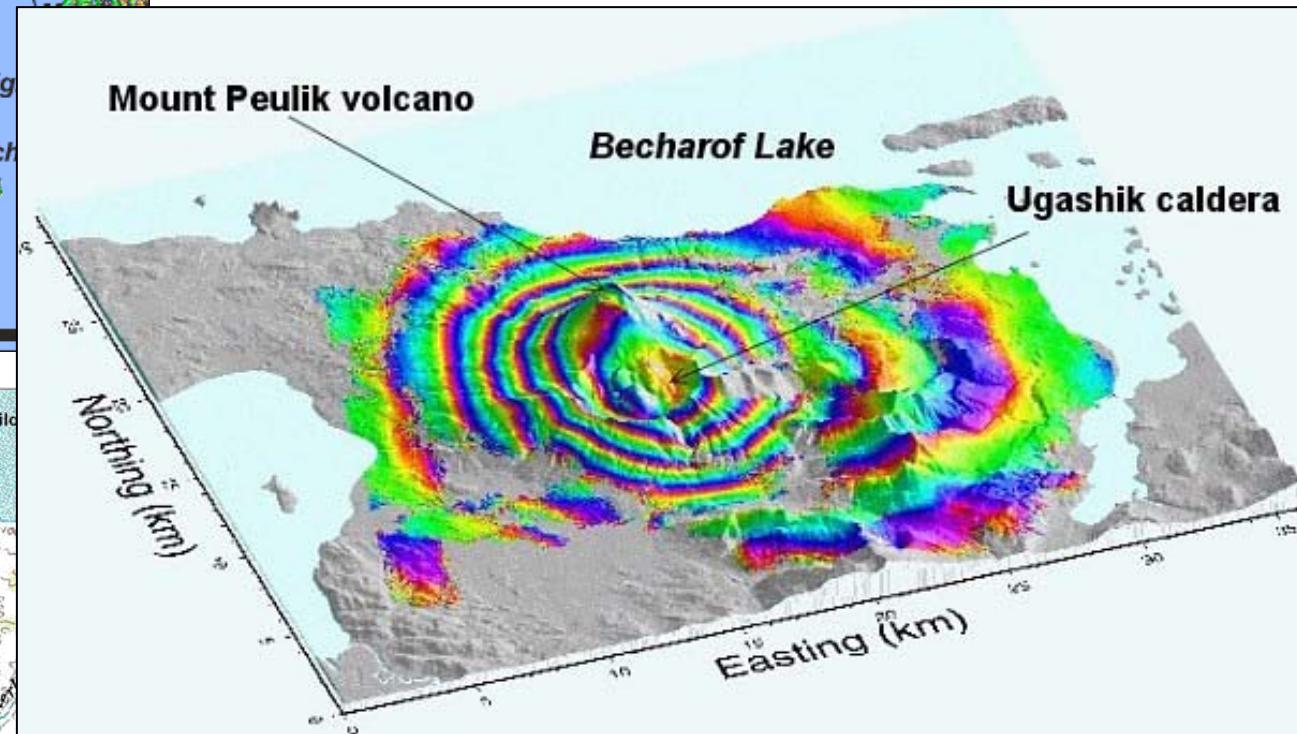
© Bamber et. al. 2001

**UAF**  
UNIVERSITY OF ALASKA  
FAIRBANKS

# Monitoring Mount Peulik, Alaska with d-InSAR



**17 cm inflation, Sept. 1996 to Oct. 1997**

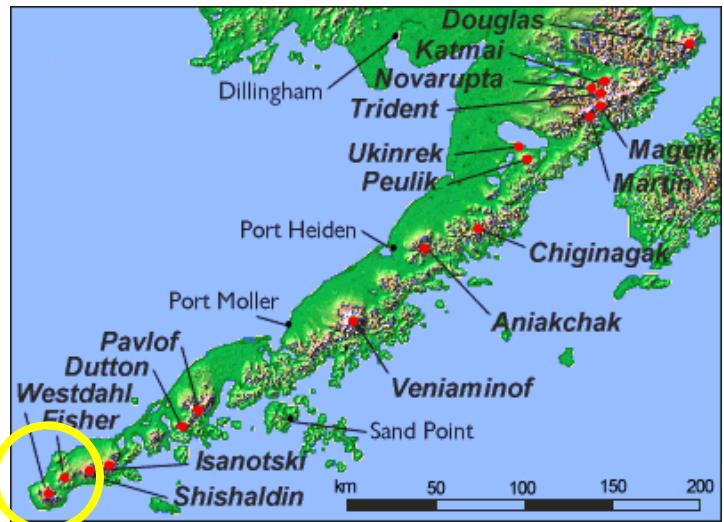


Z. Lu, C. Jr. Wicks, D. Dzurisin, J.A. Power, S. Moran, W. Thatcher, (2001)

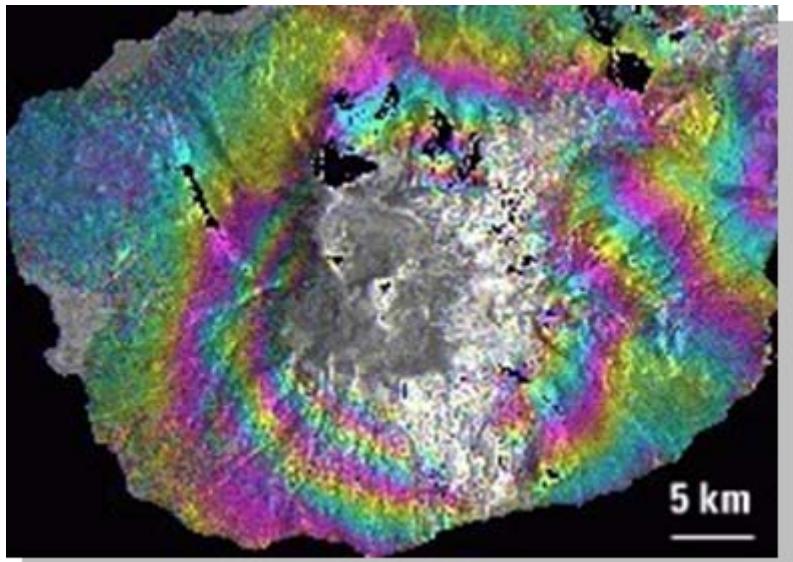
Franz Meyer



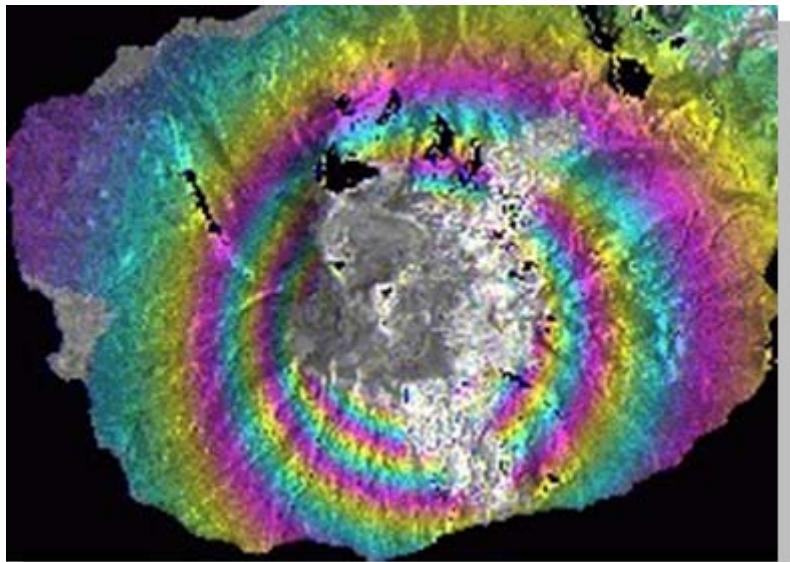
# Monitoring Westdahl Peak, Alaska with d-InSAR



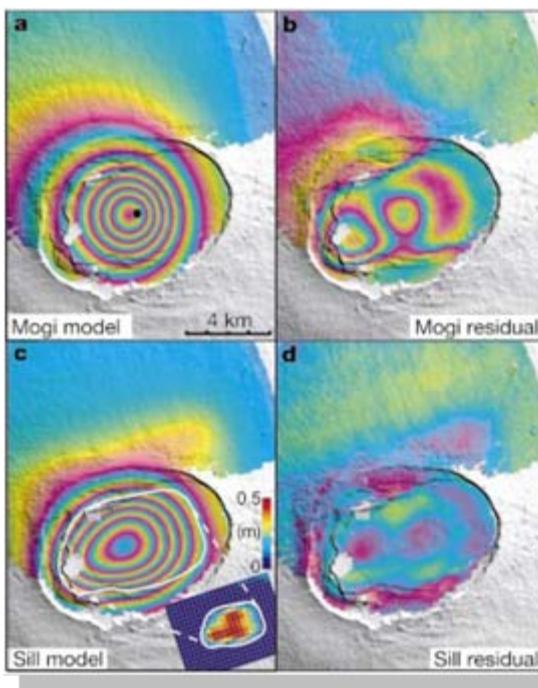
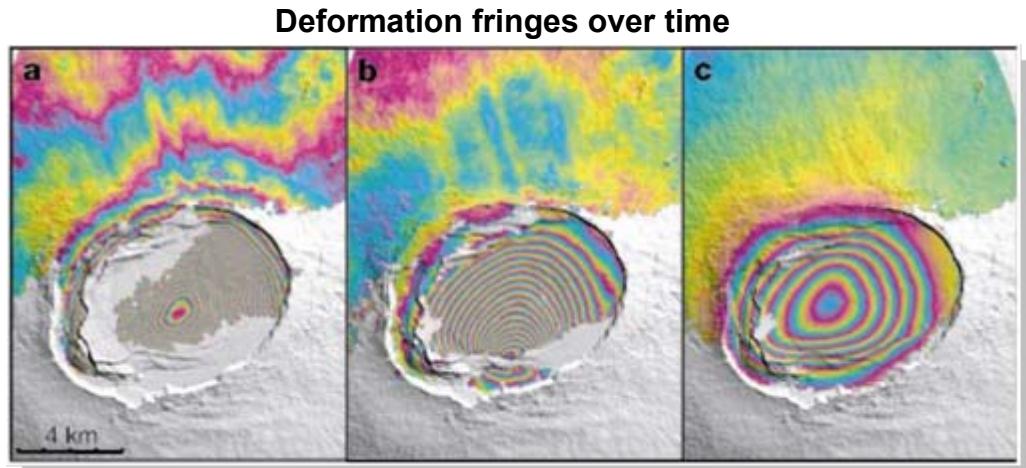
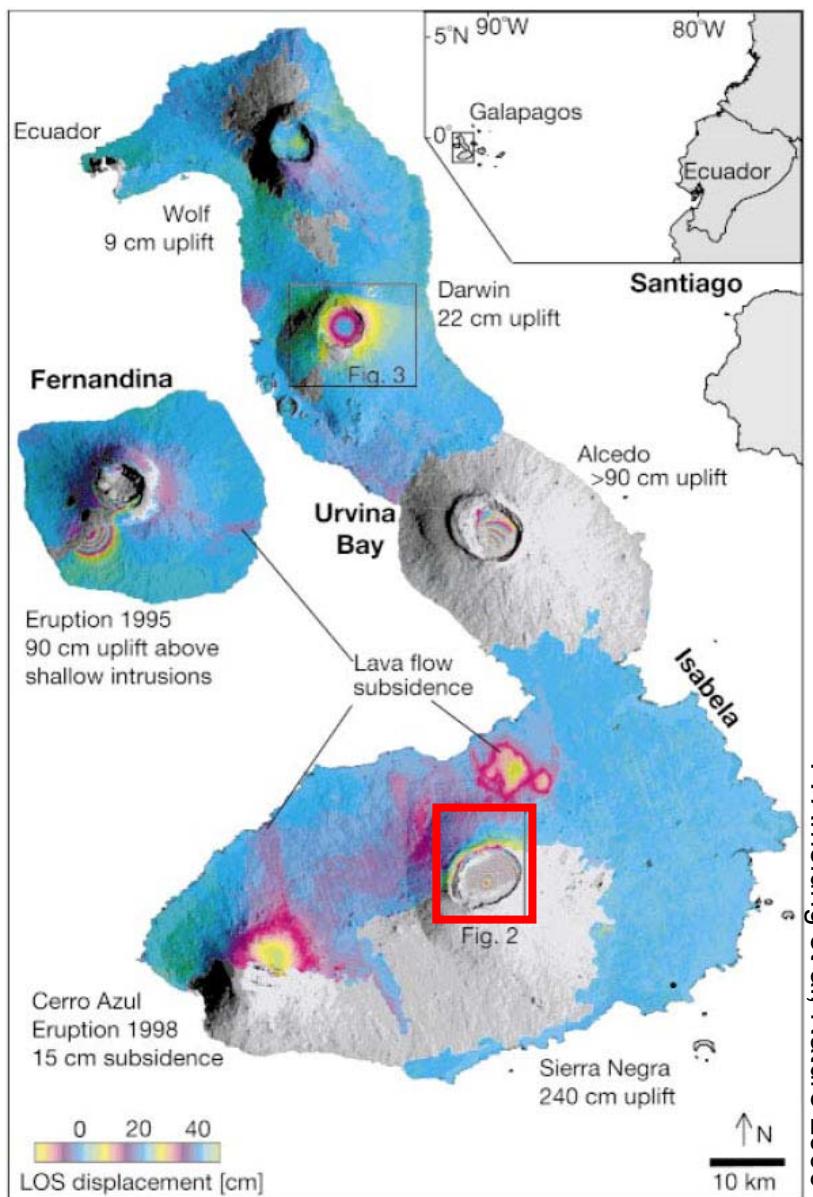
15 cm inflation of Westdahl Peak,  
Alaska between Nov. 92 and Nov. 98



d-InSAR results



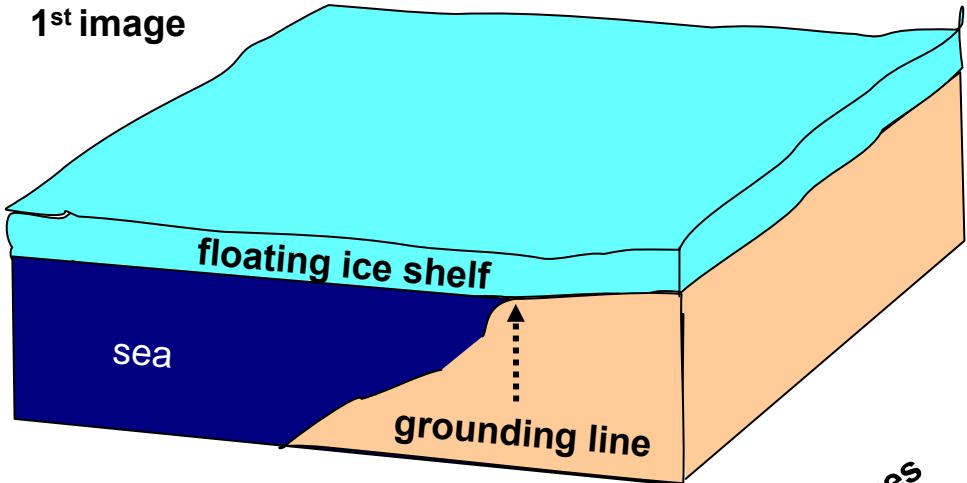
geophysical model



**model results  
and phase  
residuals for  
mogi and sill  
model**

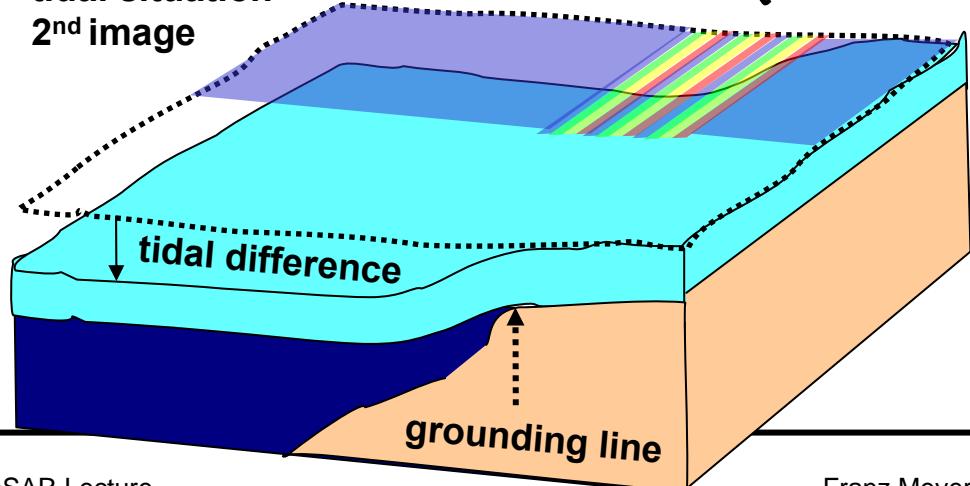
F. Amelung et al, Nature 2000

tidal situation  
1<sup>st</sup> image

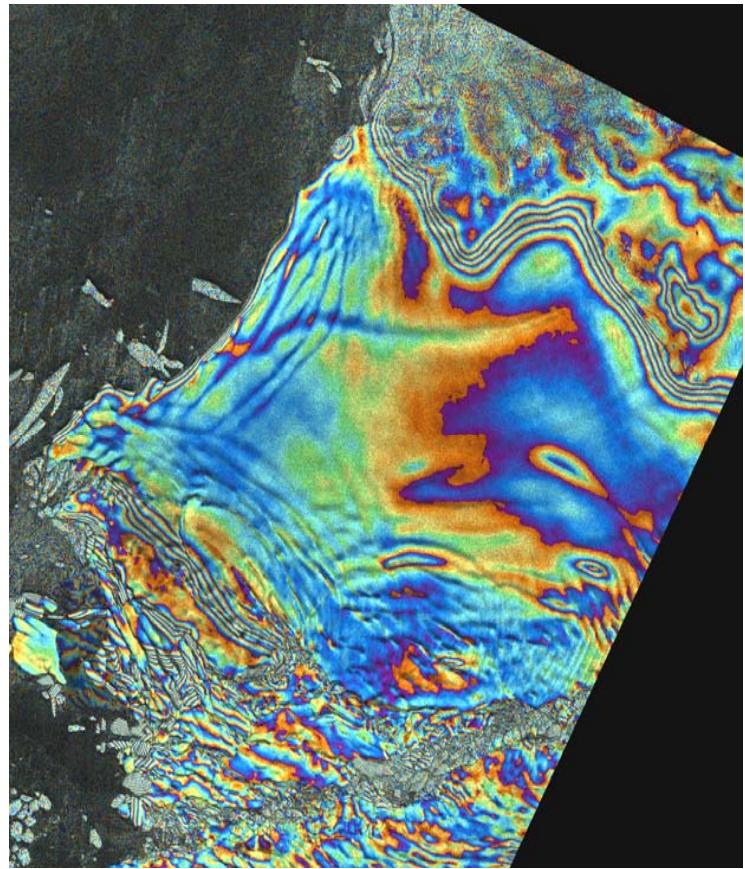


tidal fringes

tidal situation  
2<sup>nd</sup> image



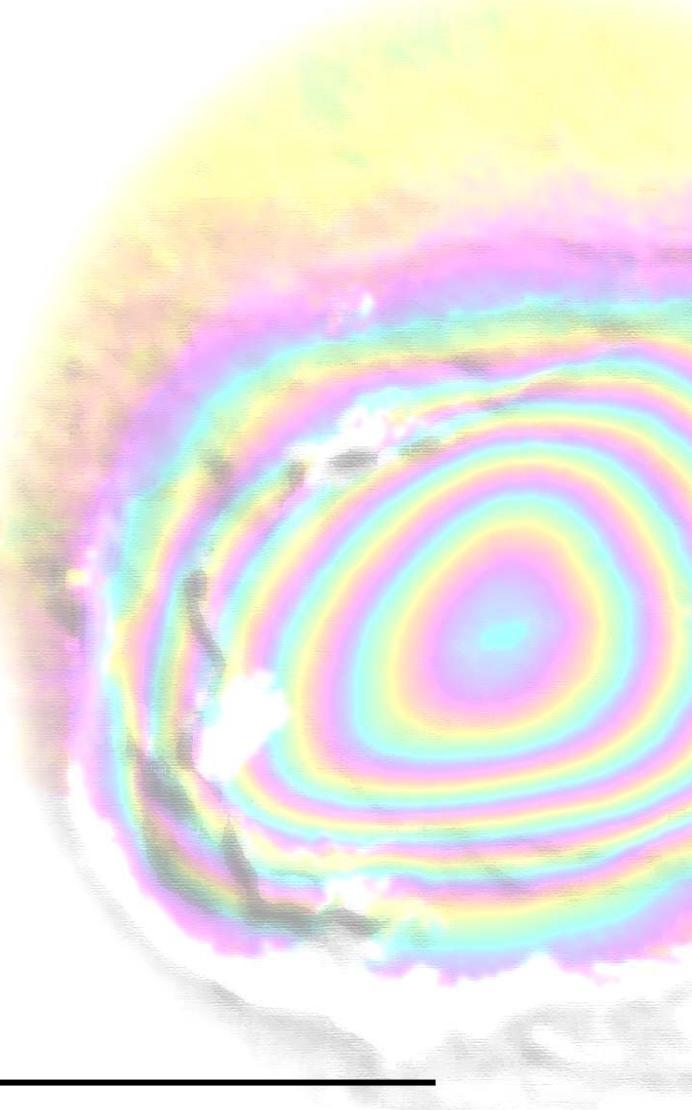
double difference interferogram



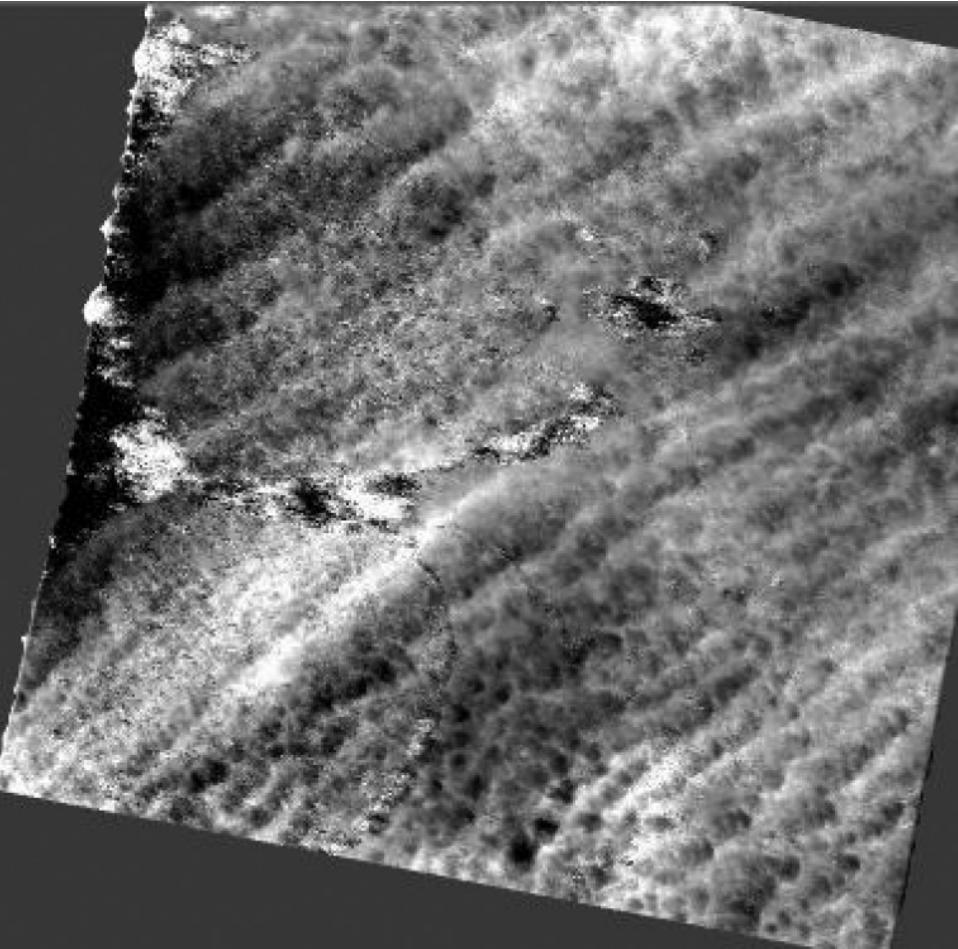
Amundsen Sea, West Antarctica

---

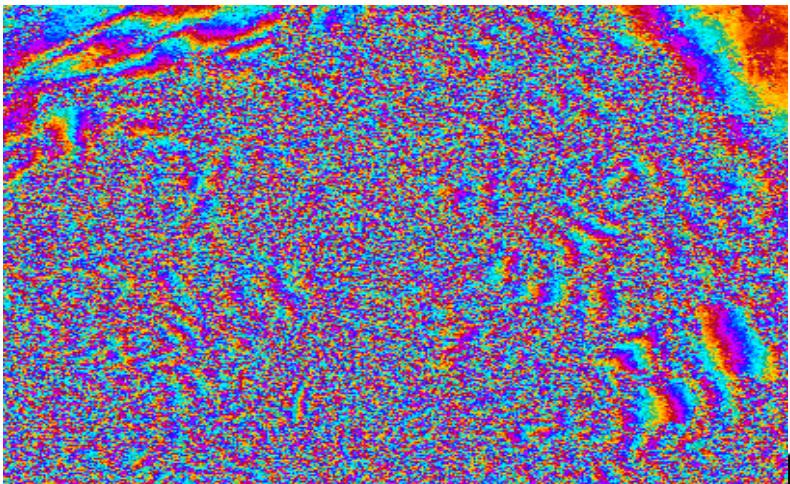
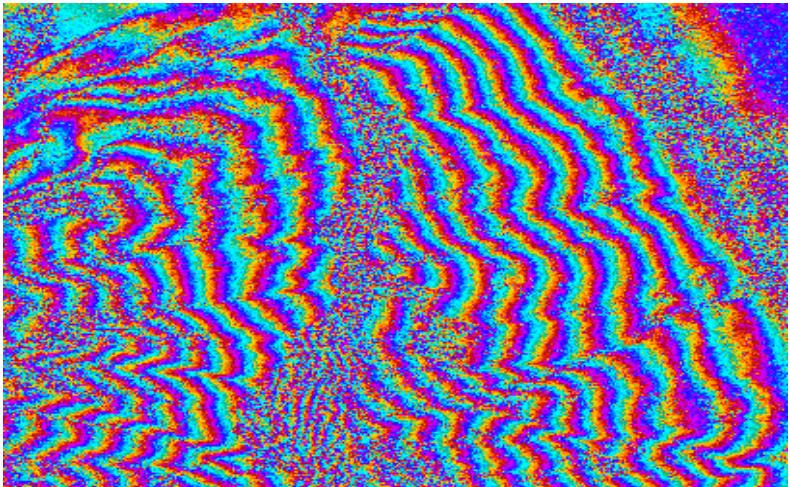
# Limitations of d-InSAR



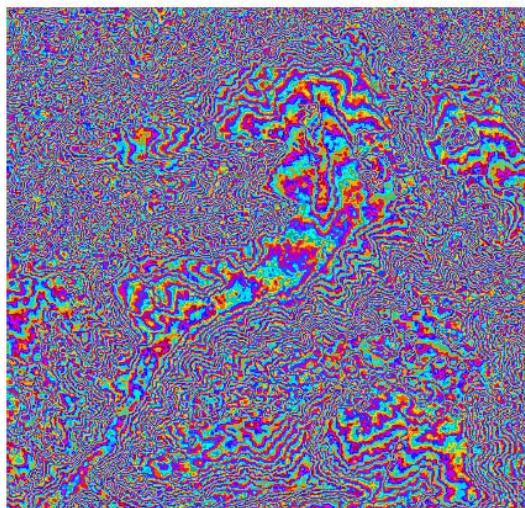
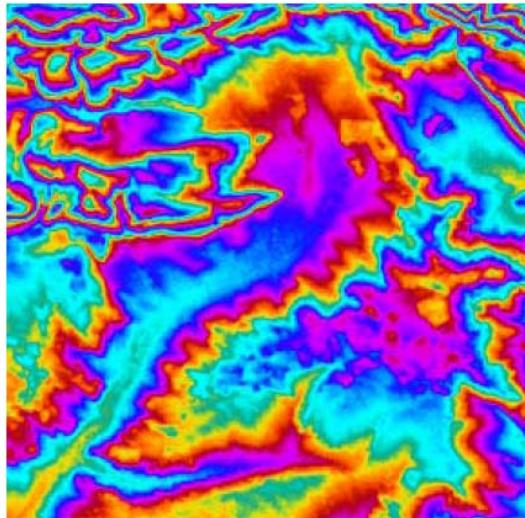
- No separation of
  - Atmospheric effects
  - Orbit errors
  - Deformation
- Temporal baseline limited due to
  - Temporal decorrelation
  - Phase unwrapping
- Spatial baseline limited due to
  - Height ambiguities
  - Spectral decorrelation



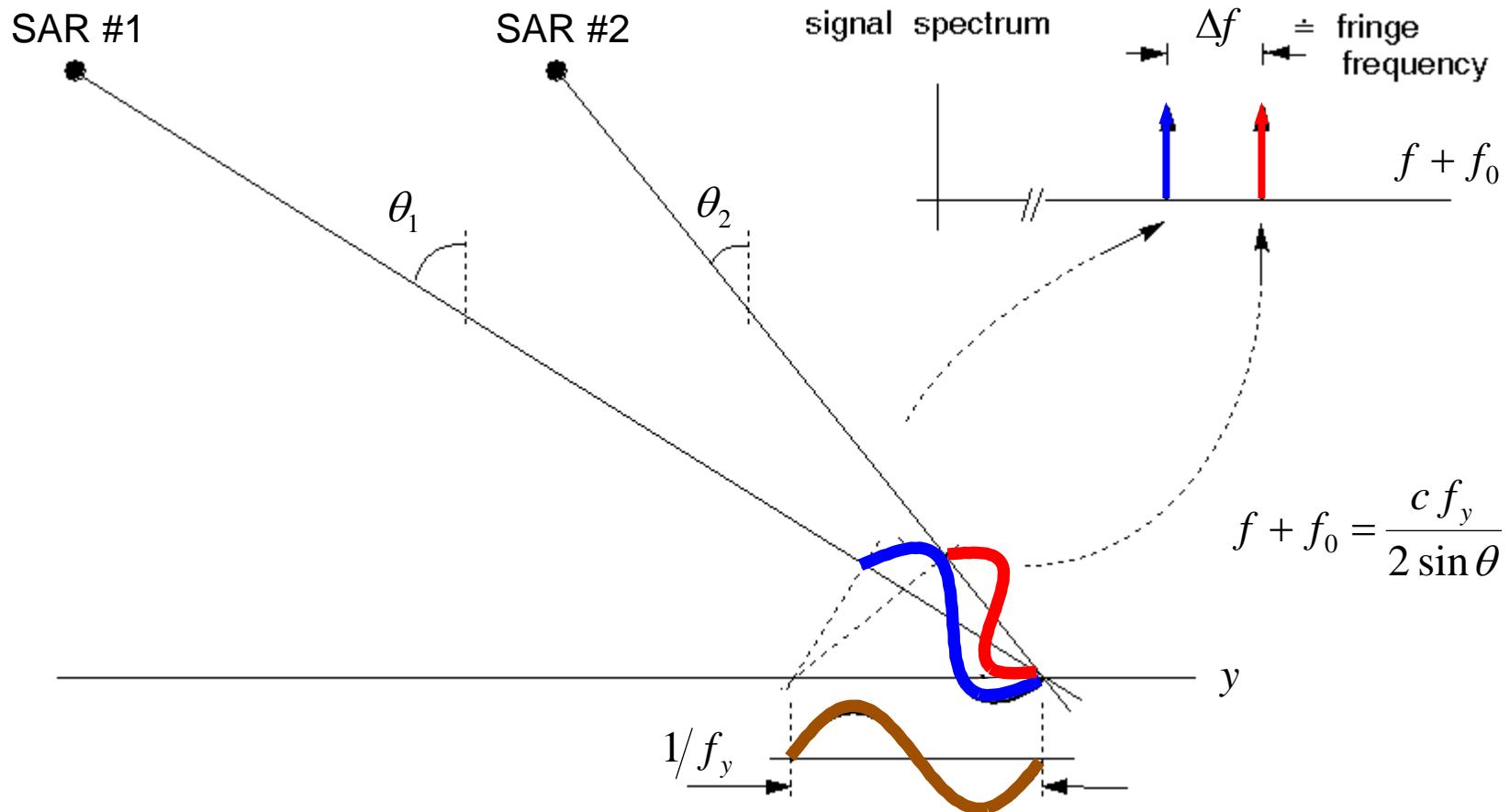
- No separation of
  - Atmospheric effects
  - Orbit errors
  - Deformation
- Temporal baseline limited due to
  - Temporal decorrelation
  - Phase unwrapping
- Spatial baseline limited due to
  - Height ambiguities
  - Spectral decorrelation



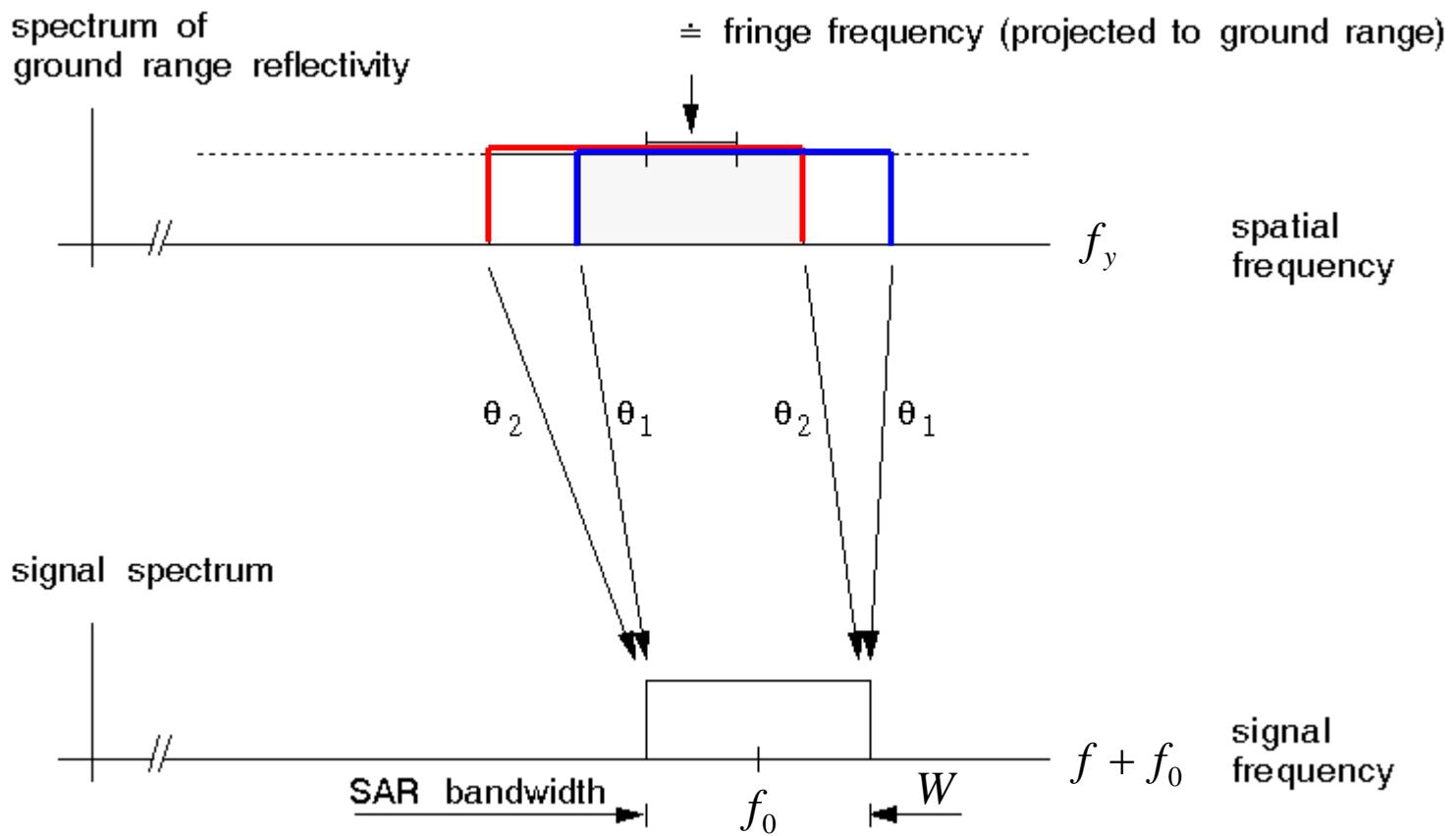
- No separation of
  - Atmospheric effects
  - Orbit errors
  - Deformation
- Temporal baseline limited due to
  - Temporal decorrelation
  - Phase unwrapping
- Spatial baseline limited due to
  - Height ambiguities
  - Spectral decorrelation



# Spatial Ground Frequencies vs. Signal Frequencies



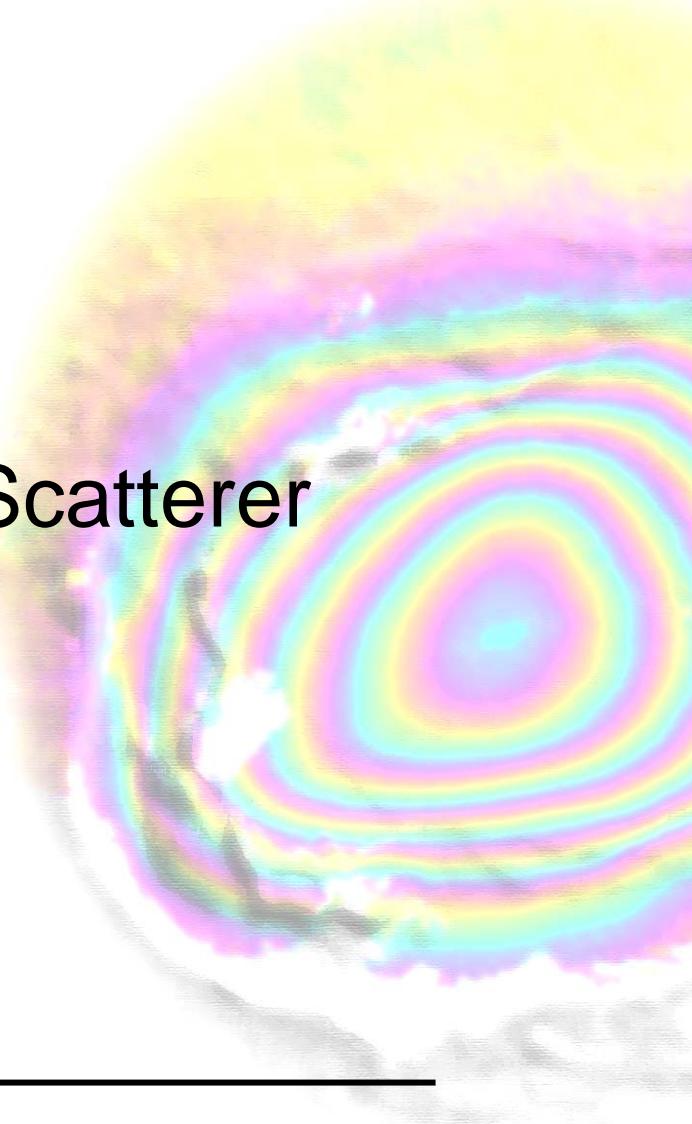
# Spatial Ground Reflectivity Spectrum vs. Signal Spectrum



Spectral shift filtering: cut off non-overlapping spectral bands

---

# An Introduction to Persistent Scatterer Interferometry (PSI)





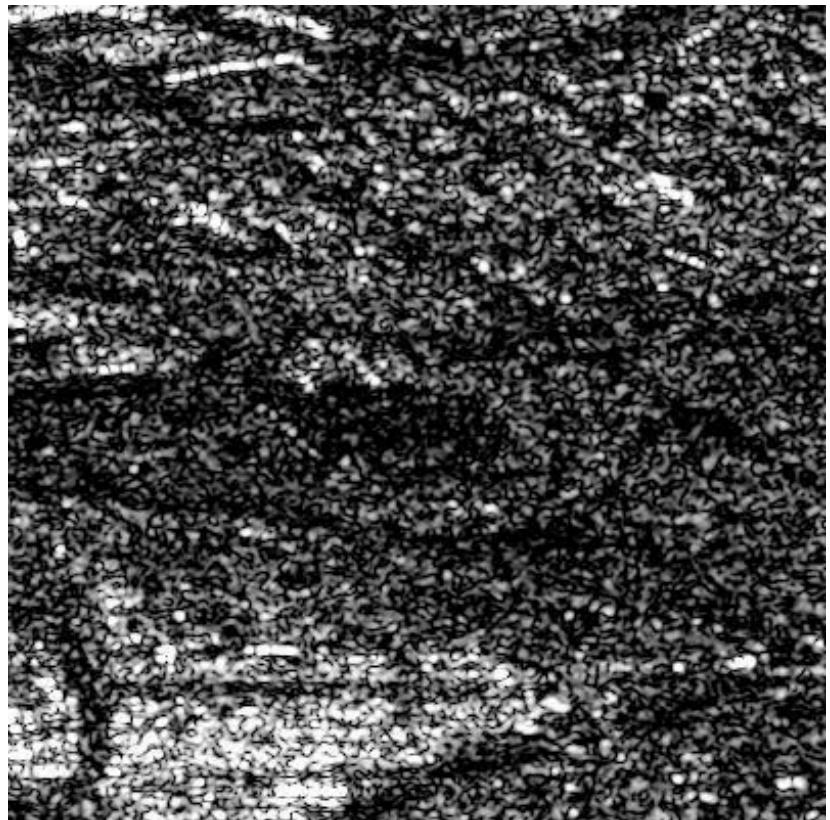
# Persistent Scatterer Interferometry (PSI)



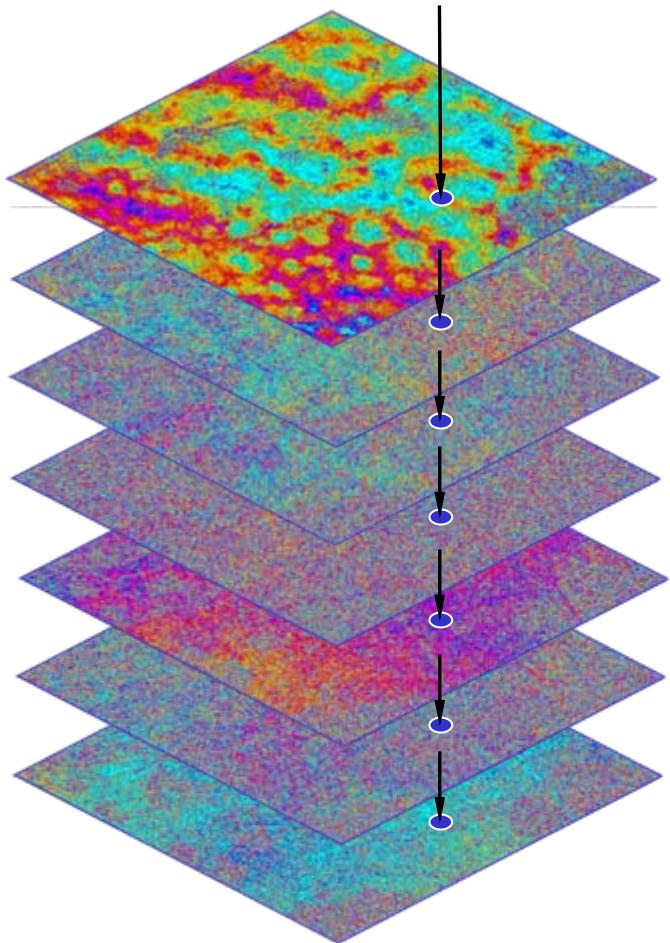
- Invented by Alessandro Ferretti, Fabio Rocca, and, Claudio Prati, Polytechnical University of Milan, Italy



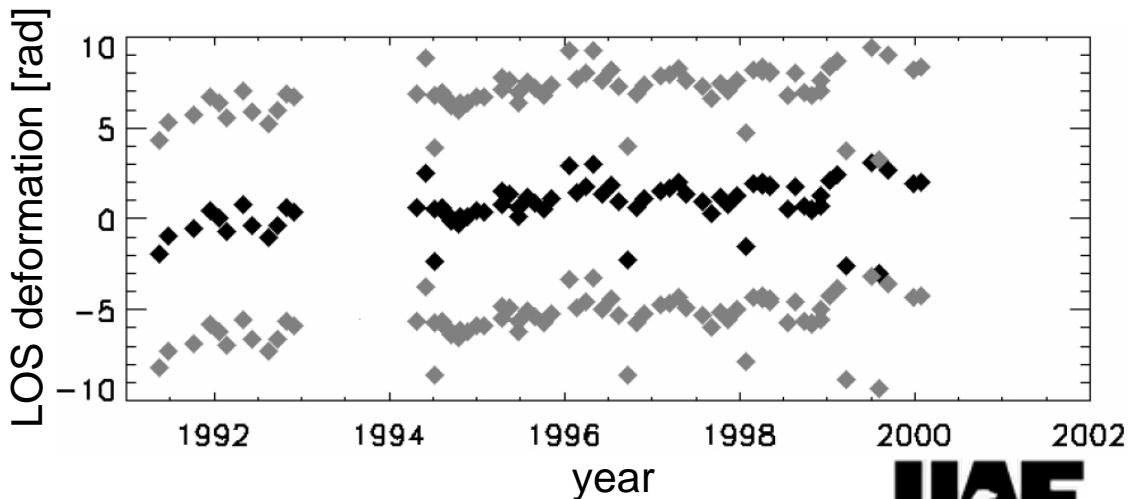
**incoherent average of 70 ERS SAR images**



**individual images (9 years)**



- Many interferograms
- Identification of isolated stable points
- Temporal phase analysis
- Allows separation of atmosphere and deformation
- Detectable velocities from cm/day to mm/year

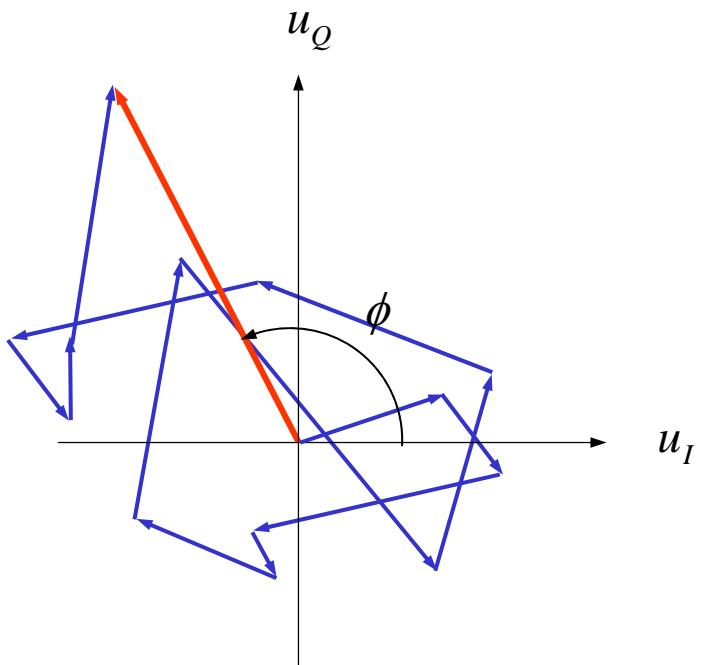


# Scatterer Types in a SAR Image

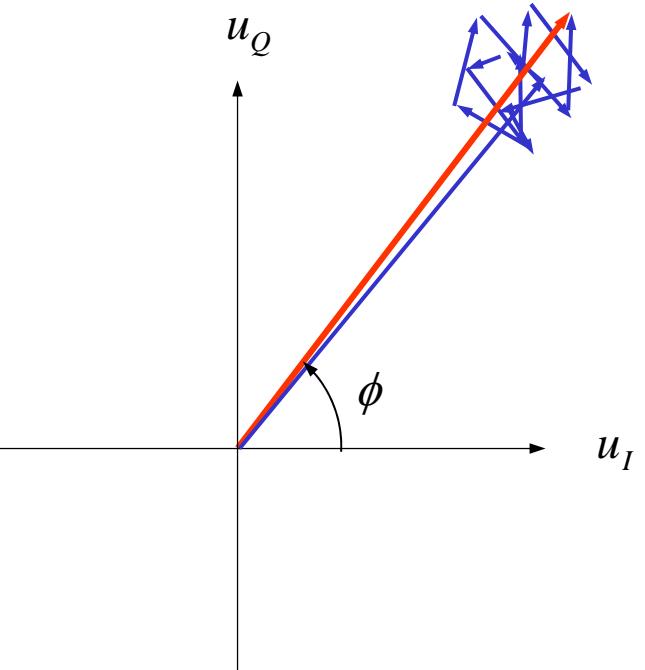
- Distributed targets
- Point like scatterers
  - Corner reflectors
  - Permanent scatterers (point scatterer of opportunity)



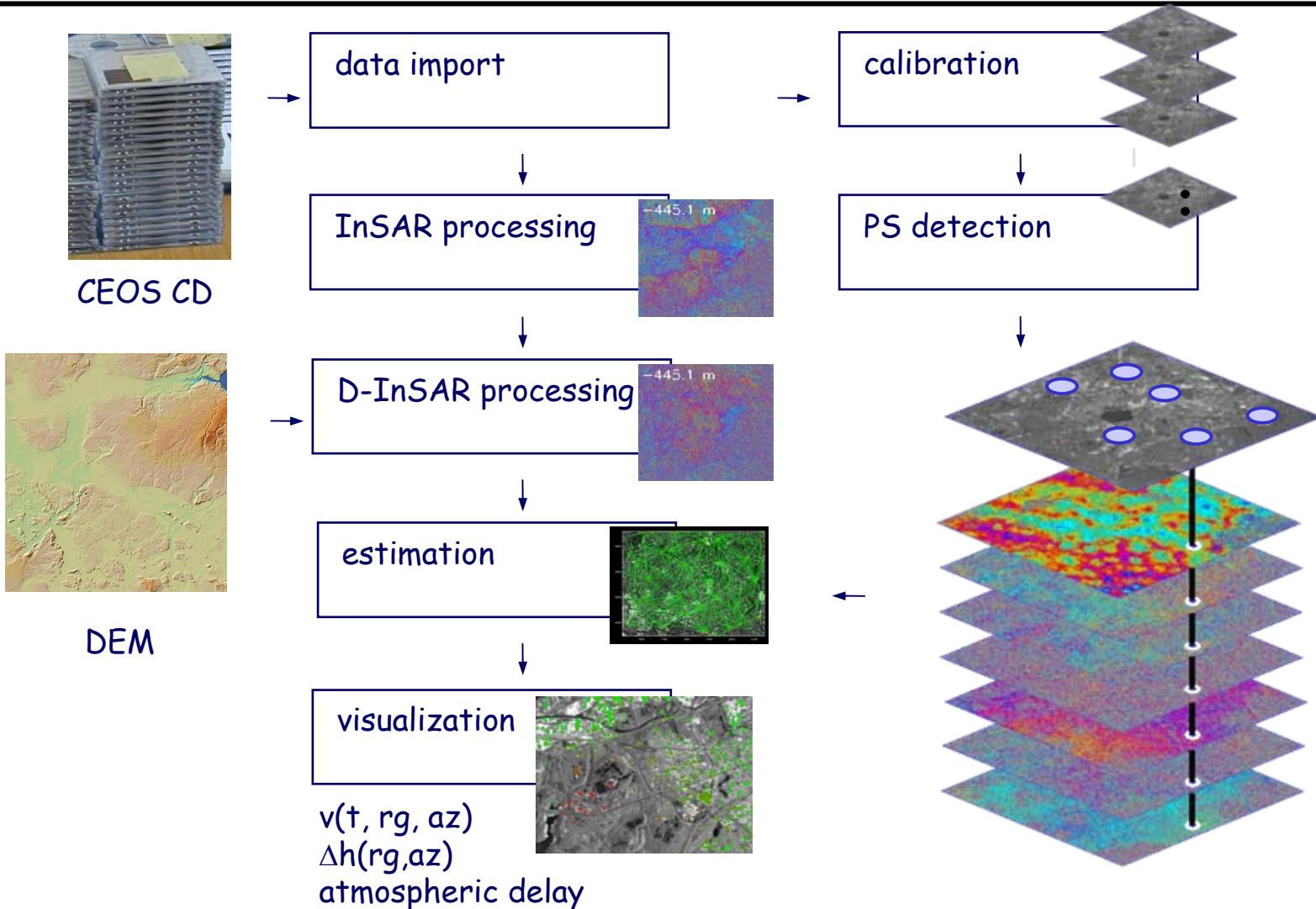
## Distributed Targets



## Point Targets

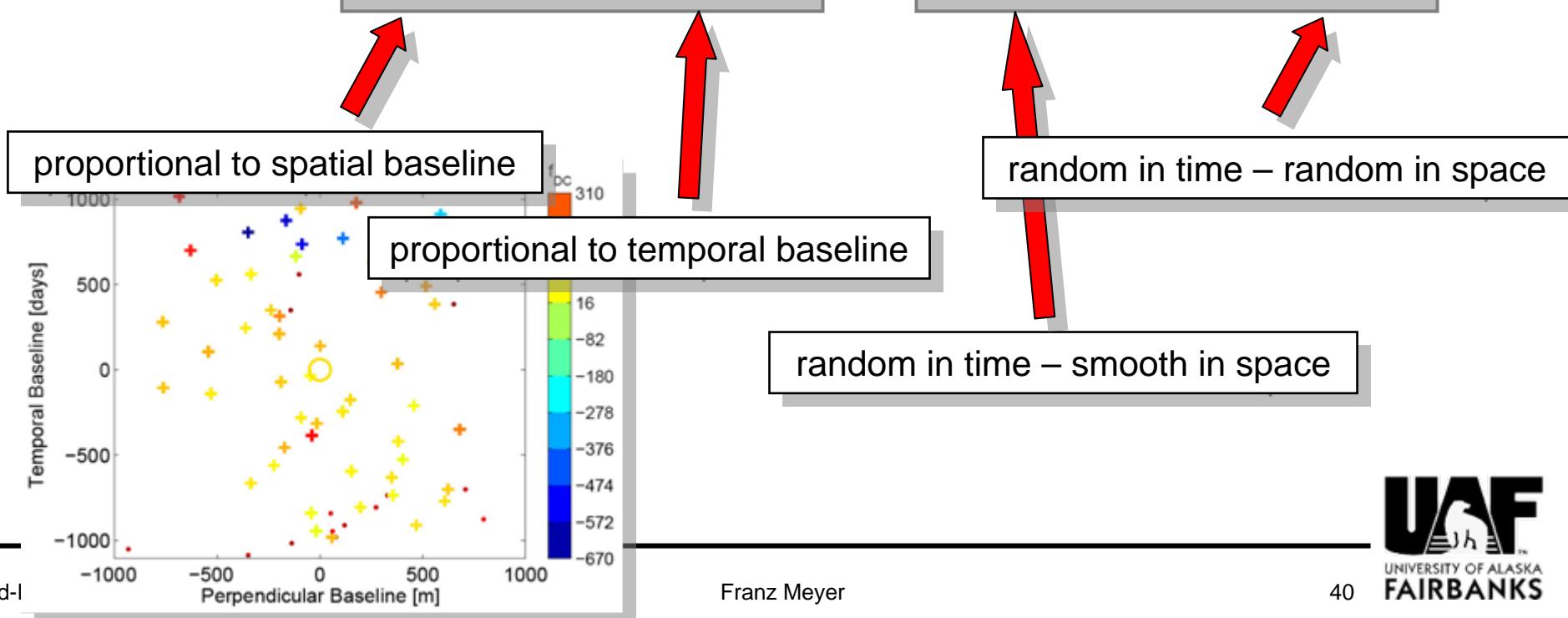


# Processing Chain



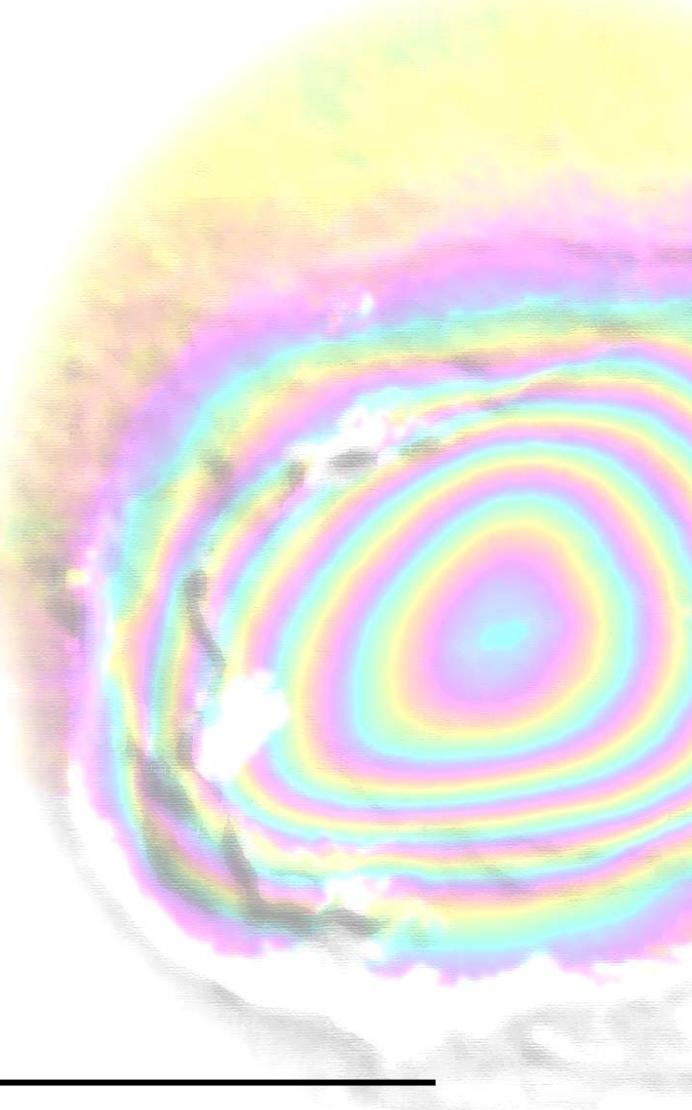
- Phase of an interferogram:

$$\phi = \phi_{topo} + \phi_{defo} + \phi_{atmo} + \phi_{noise}$$



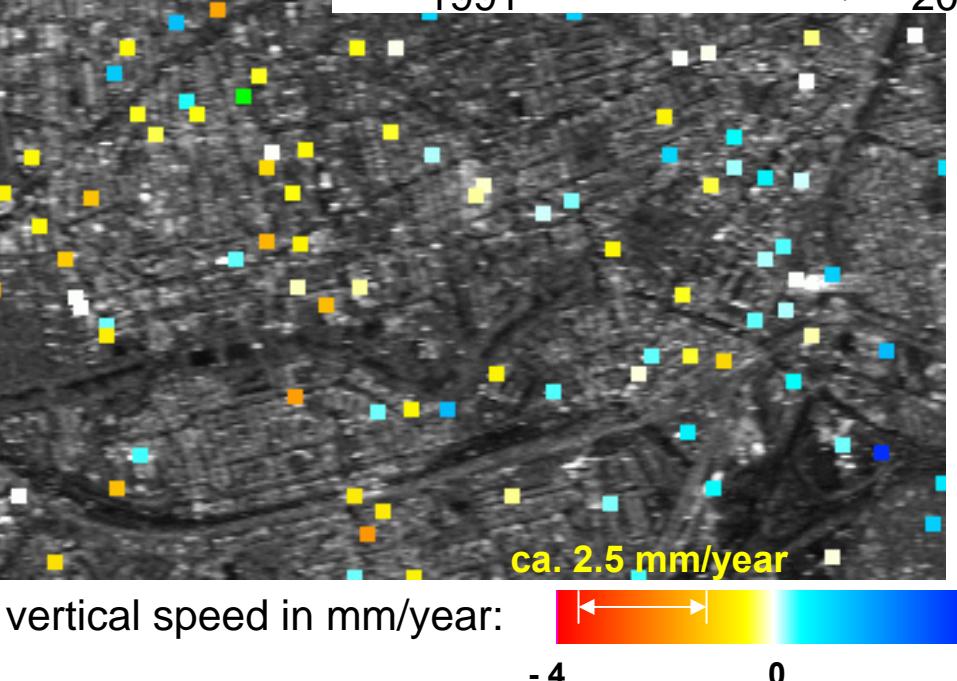
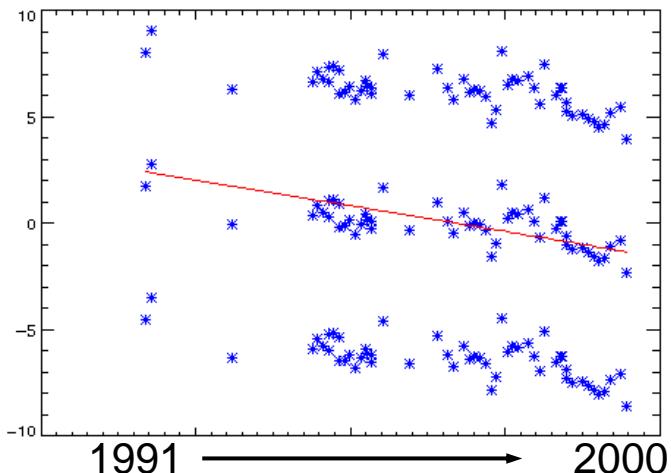
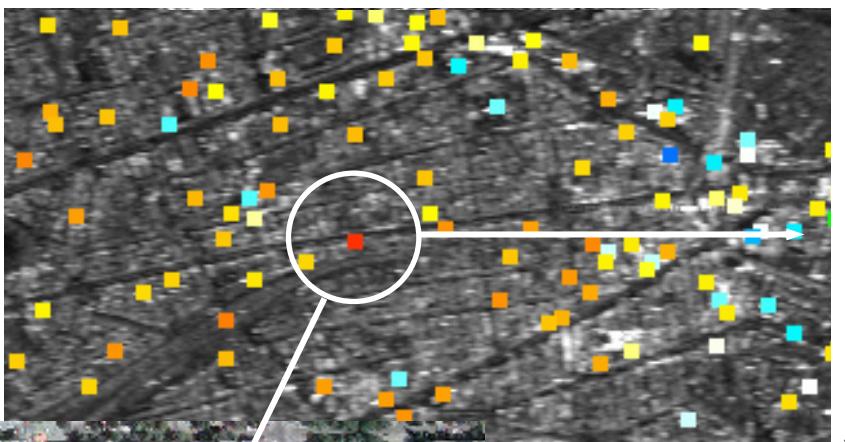
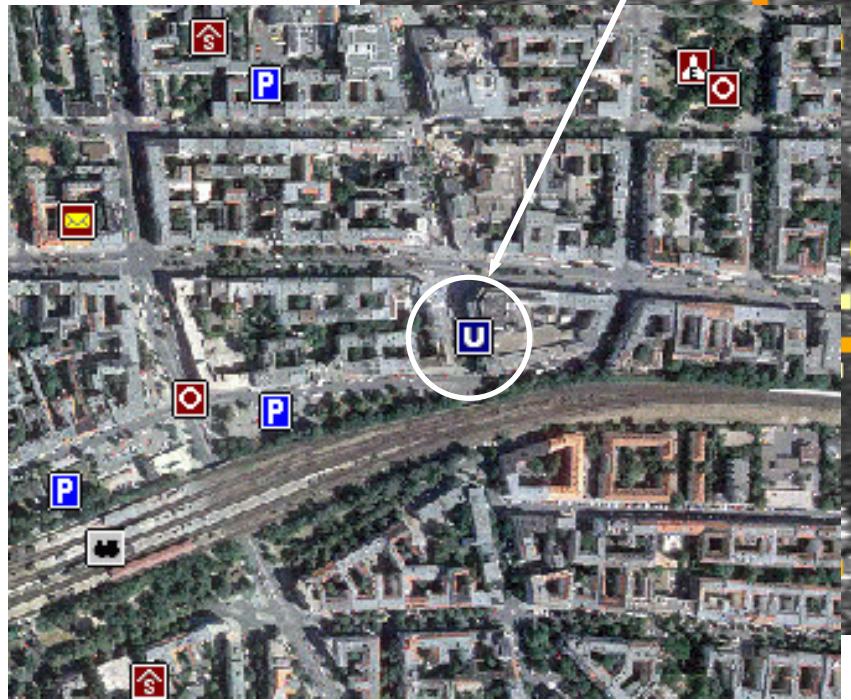
---

# PSI Examples



# Test Site Berlin, Germany

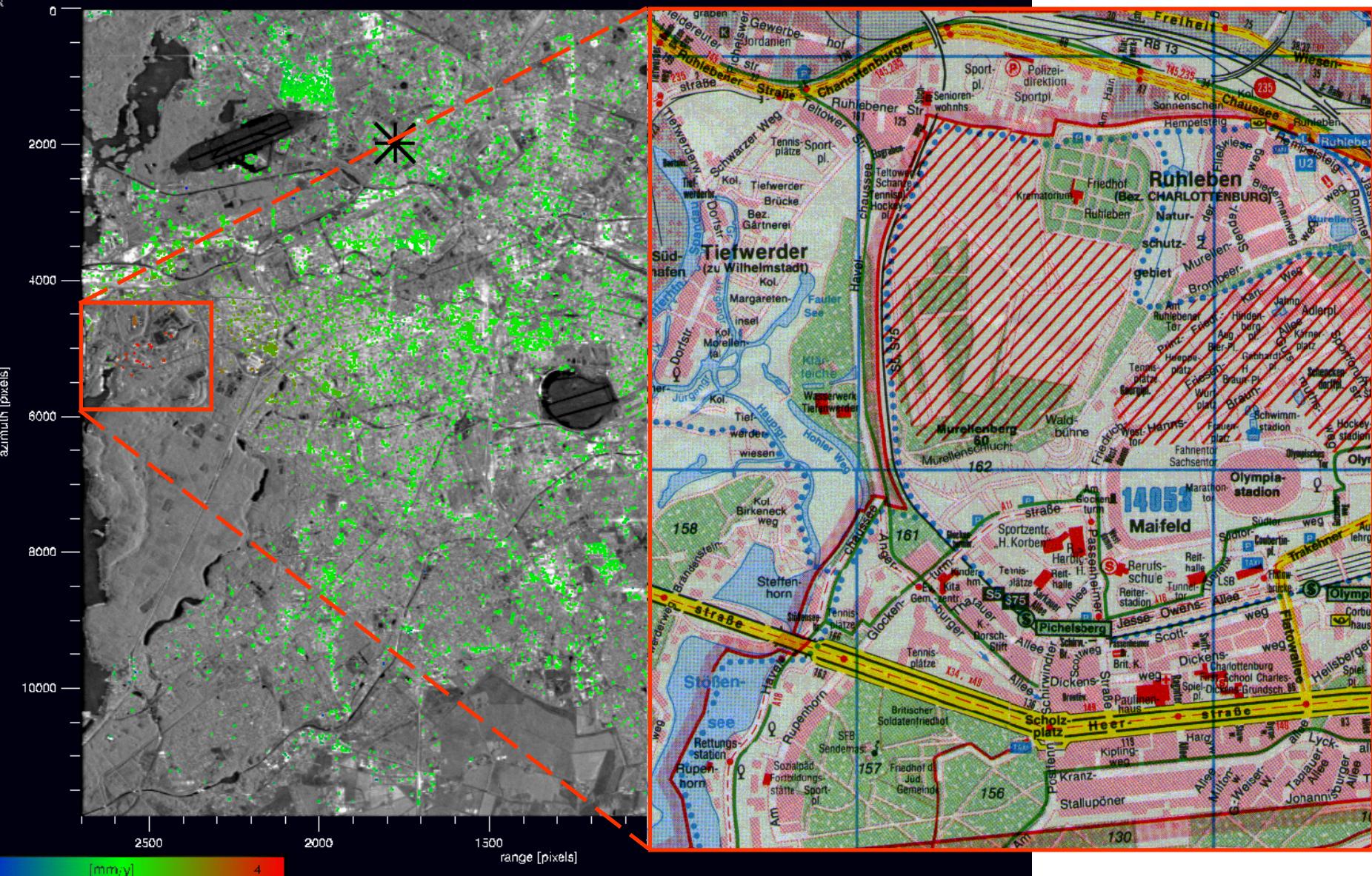
Berlin  
1991 - 2000

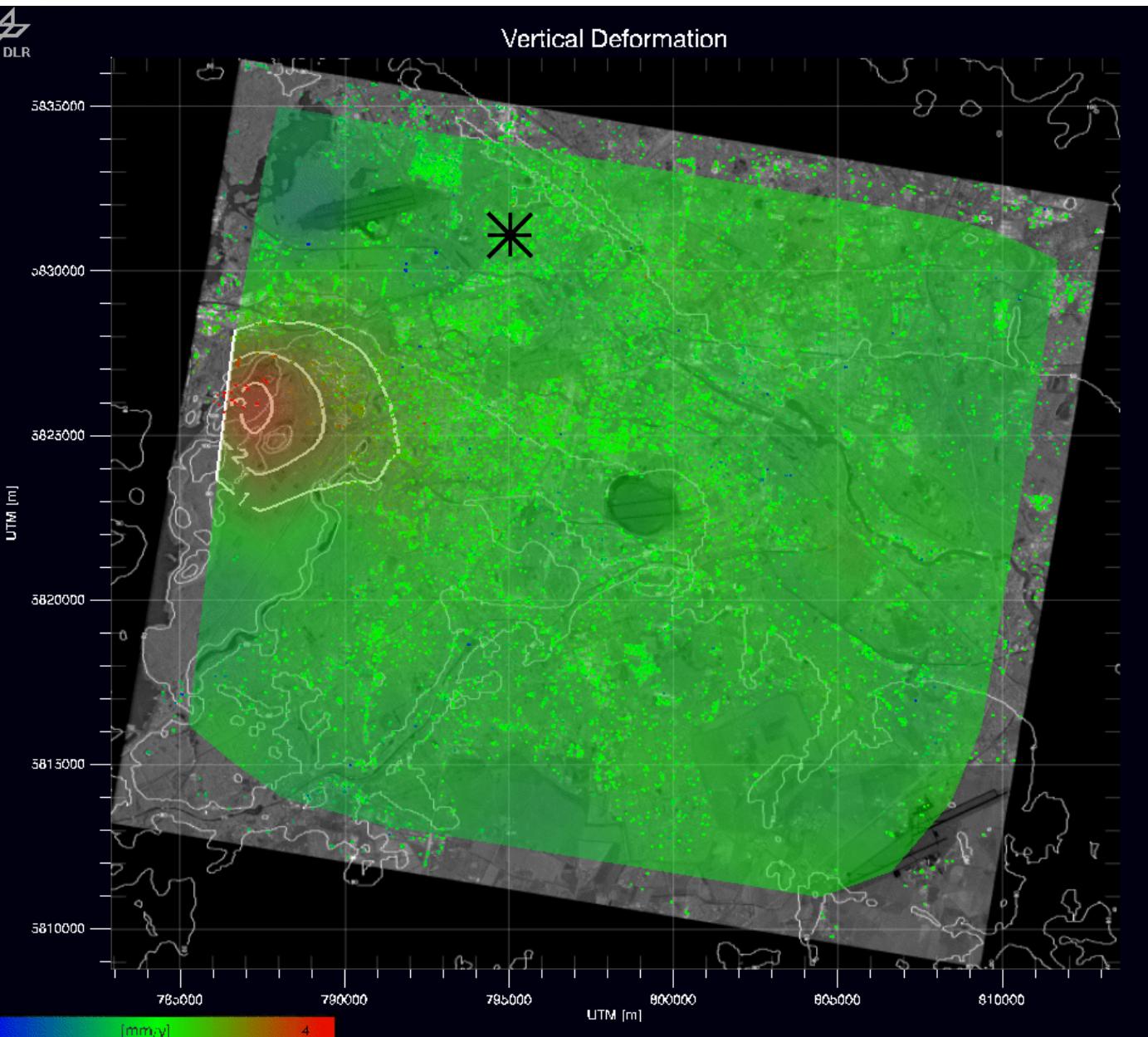


vertical speed in mm/year:



## Deformation Estimates





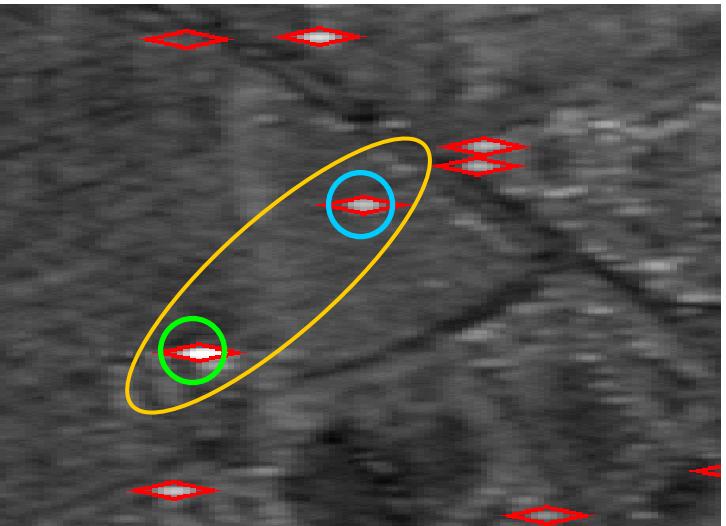
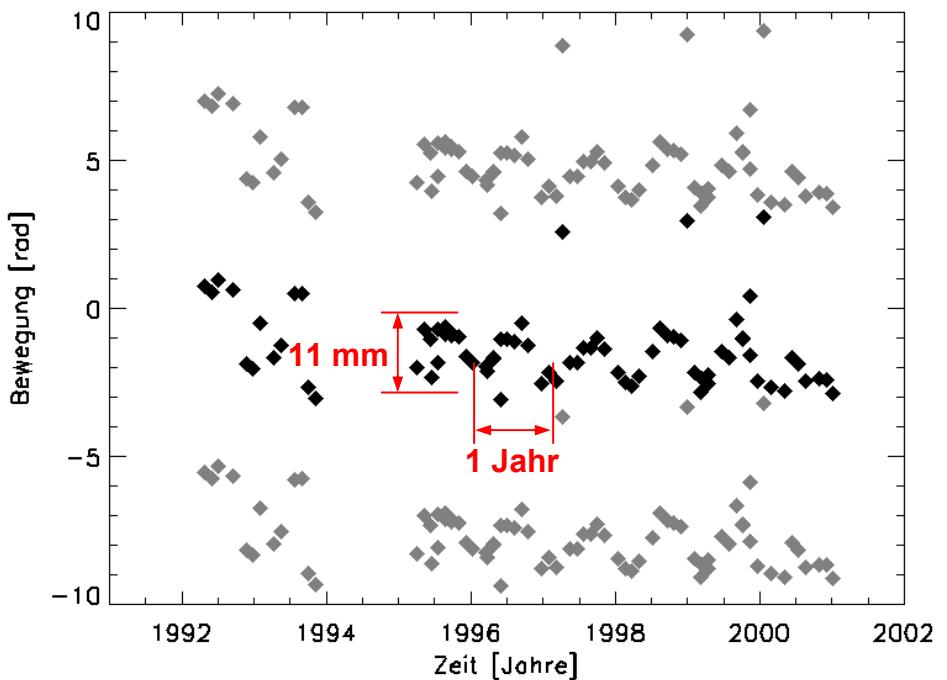
**Deformation Field  
Berlin**

**red:  
uplift by 4 mm/year  
due to ground  
water regulation**

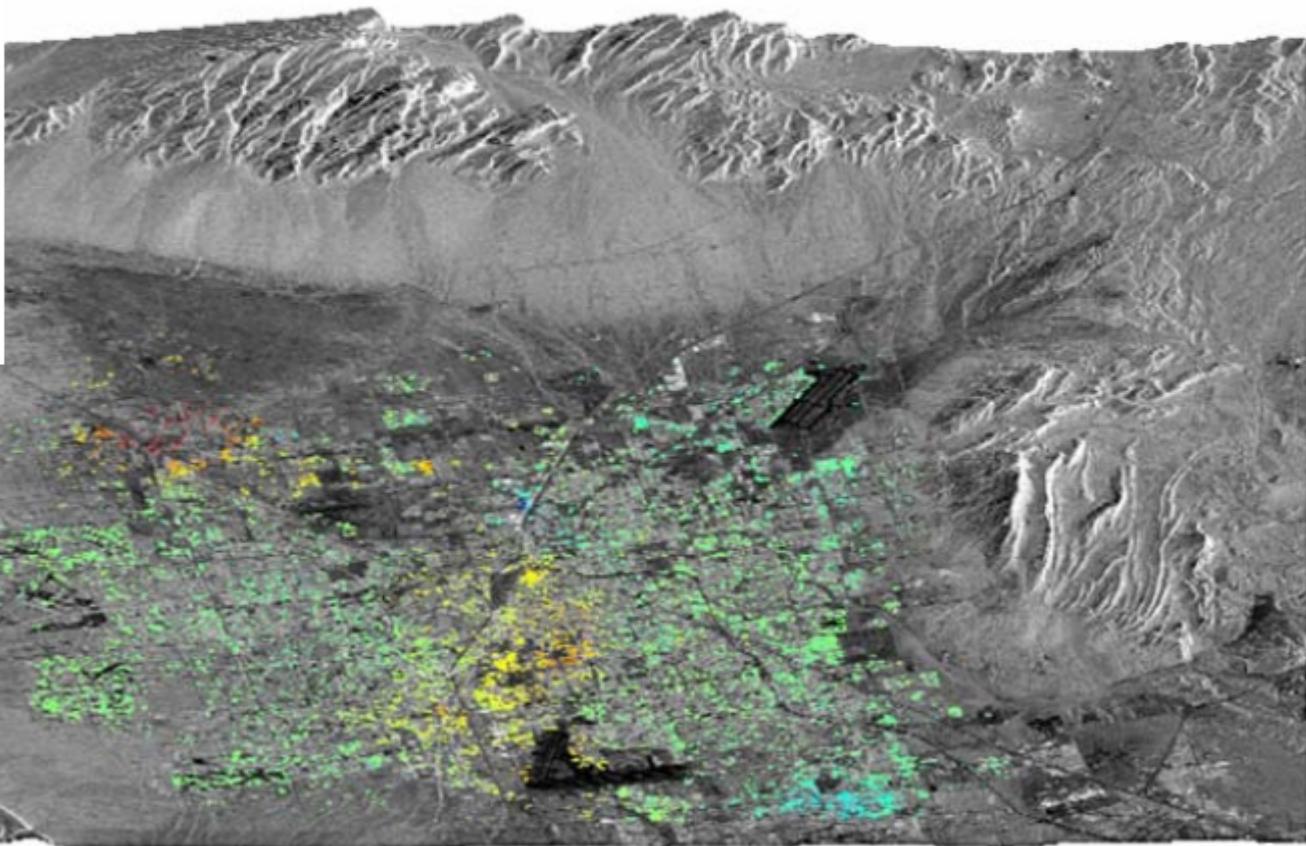
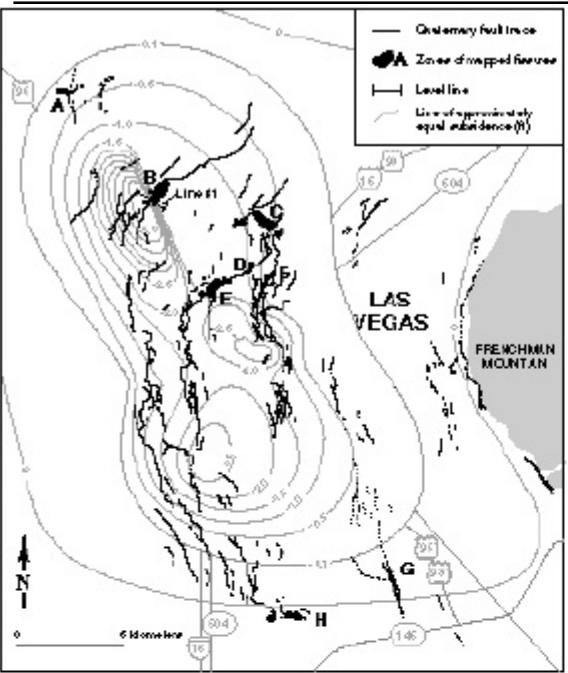
**70 ERS-1/2 images**

**1992 - 2001**

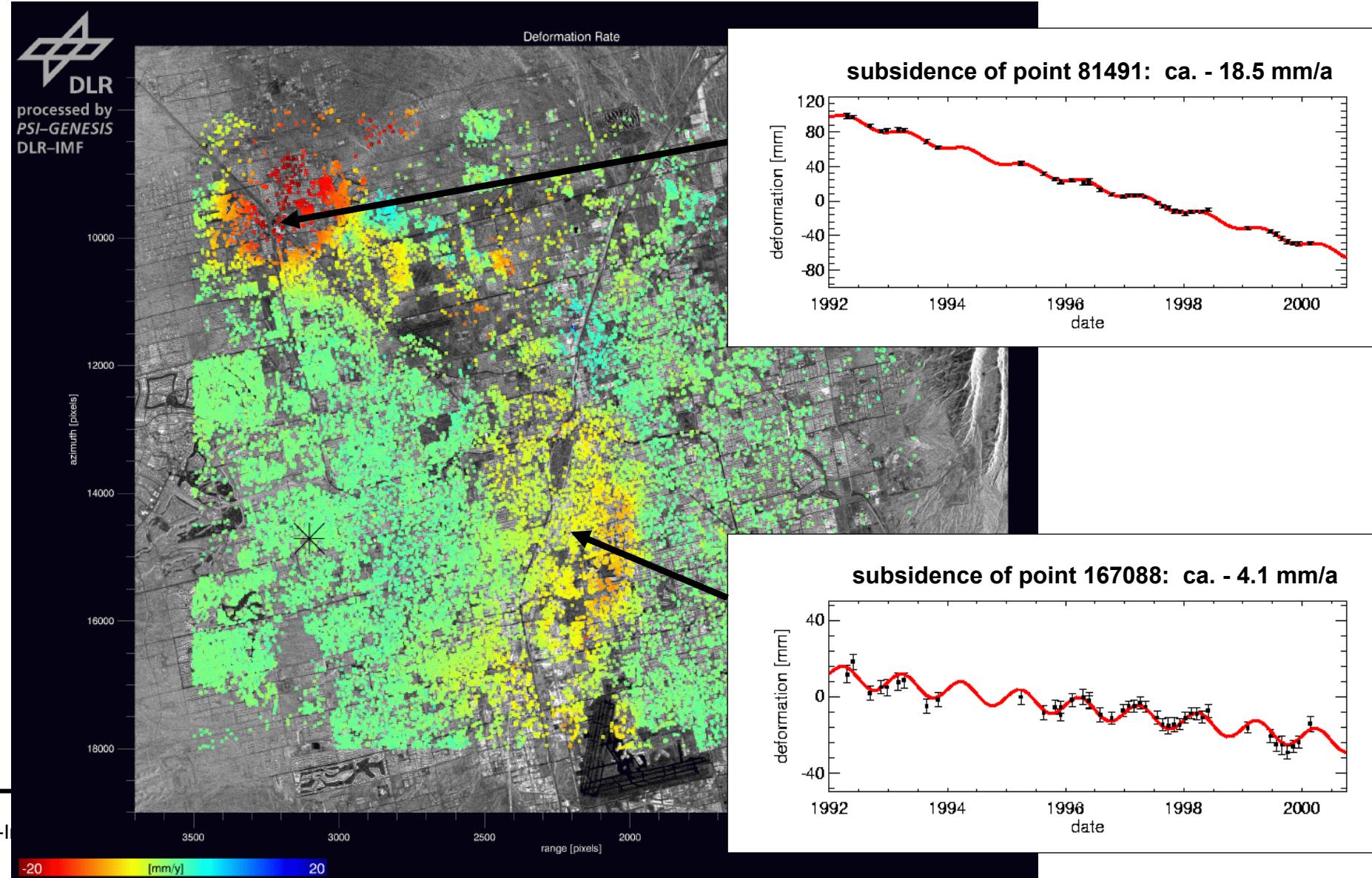
# Periodic Seasonal Motion (Munich)



# Subsidence in Las Vegas

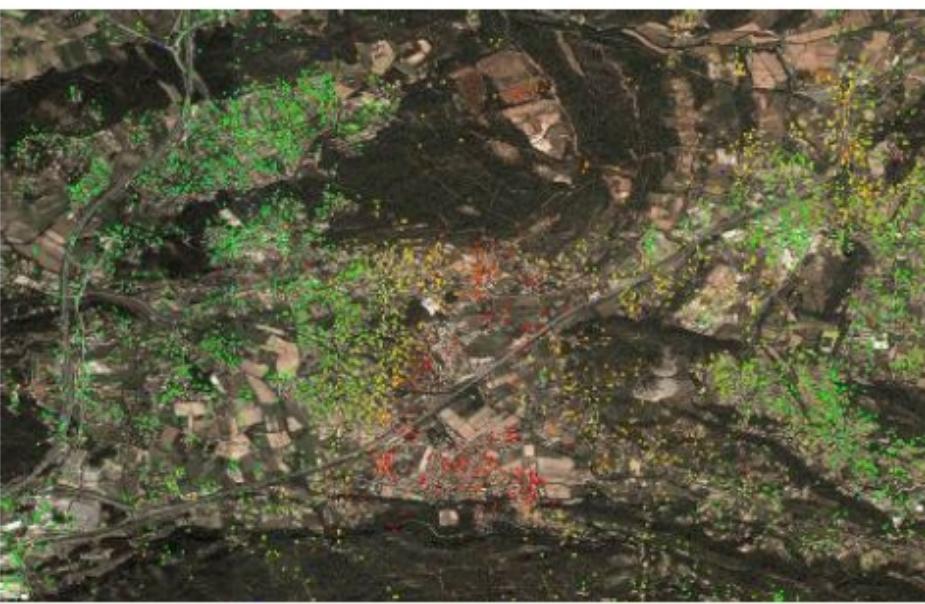
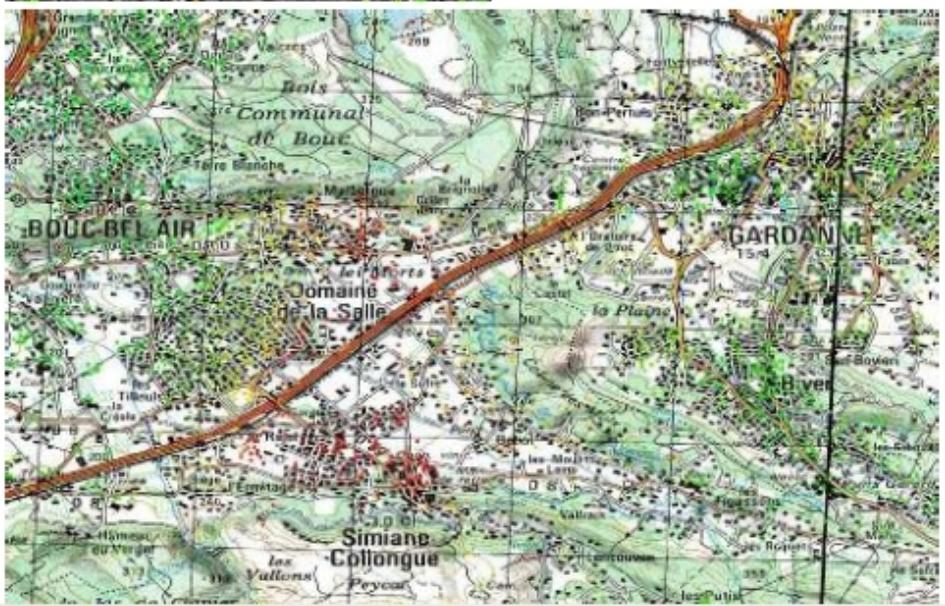
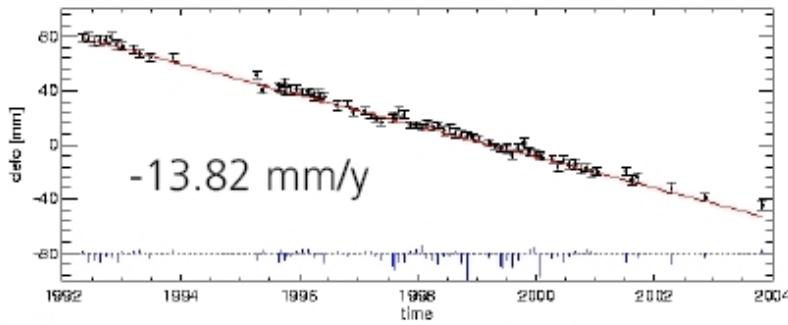
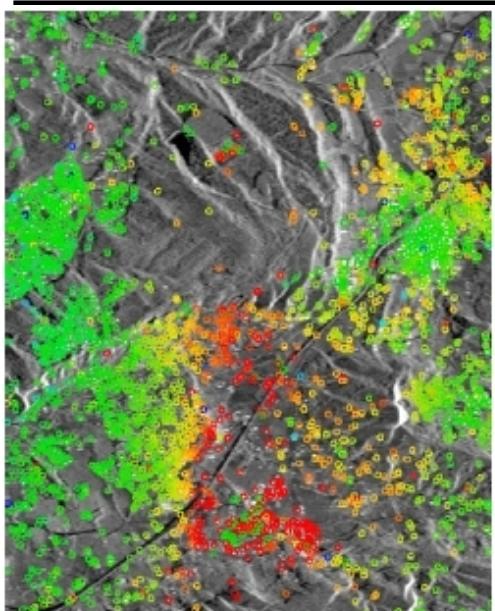


# Subsidence in Las Vegas

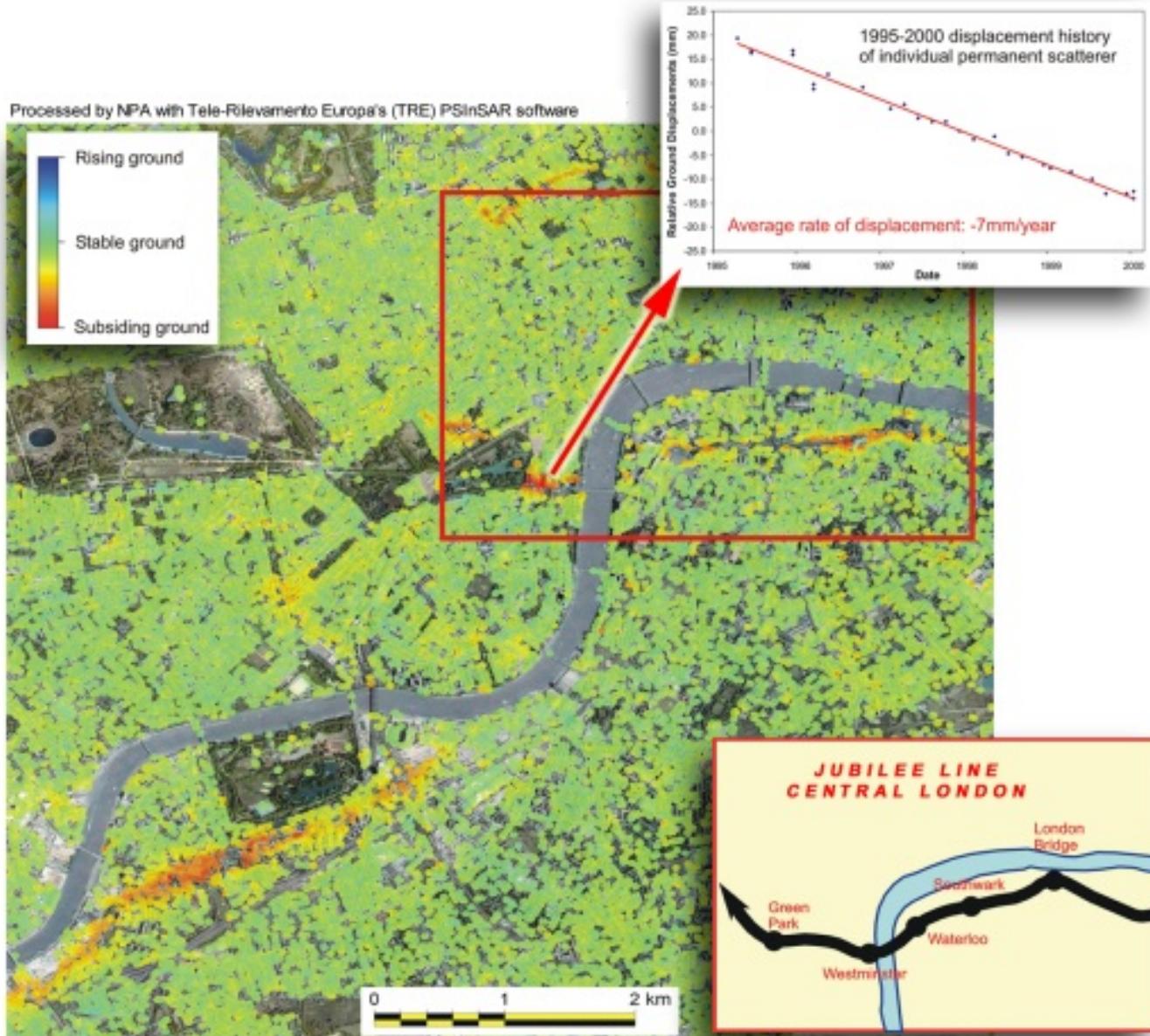




# PSI Results Gardanne, France



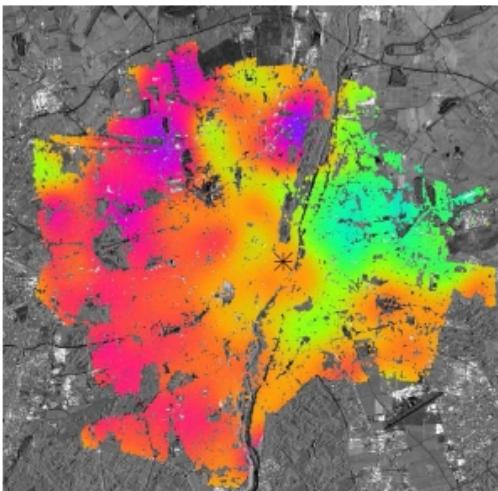
# Surface subsidence in London



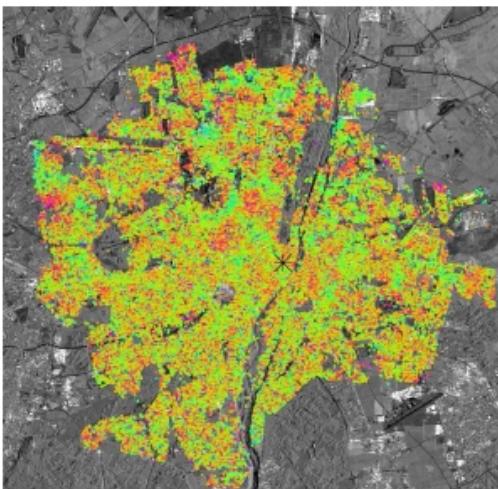
- Residuals:

$$\phi_{res} = \phi_{atmo} + \phi_{noise}$$

- Atmosphere correlated in space and uncorrelated in time
- Noise is uncorrelated in both space and time
- Separation:
  - Spatial filtering and subsequent interpolation



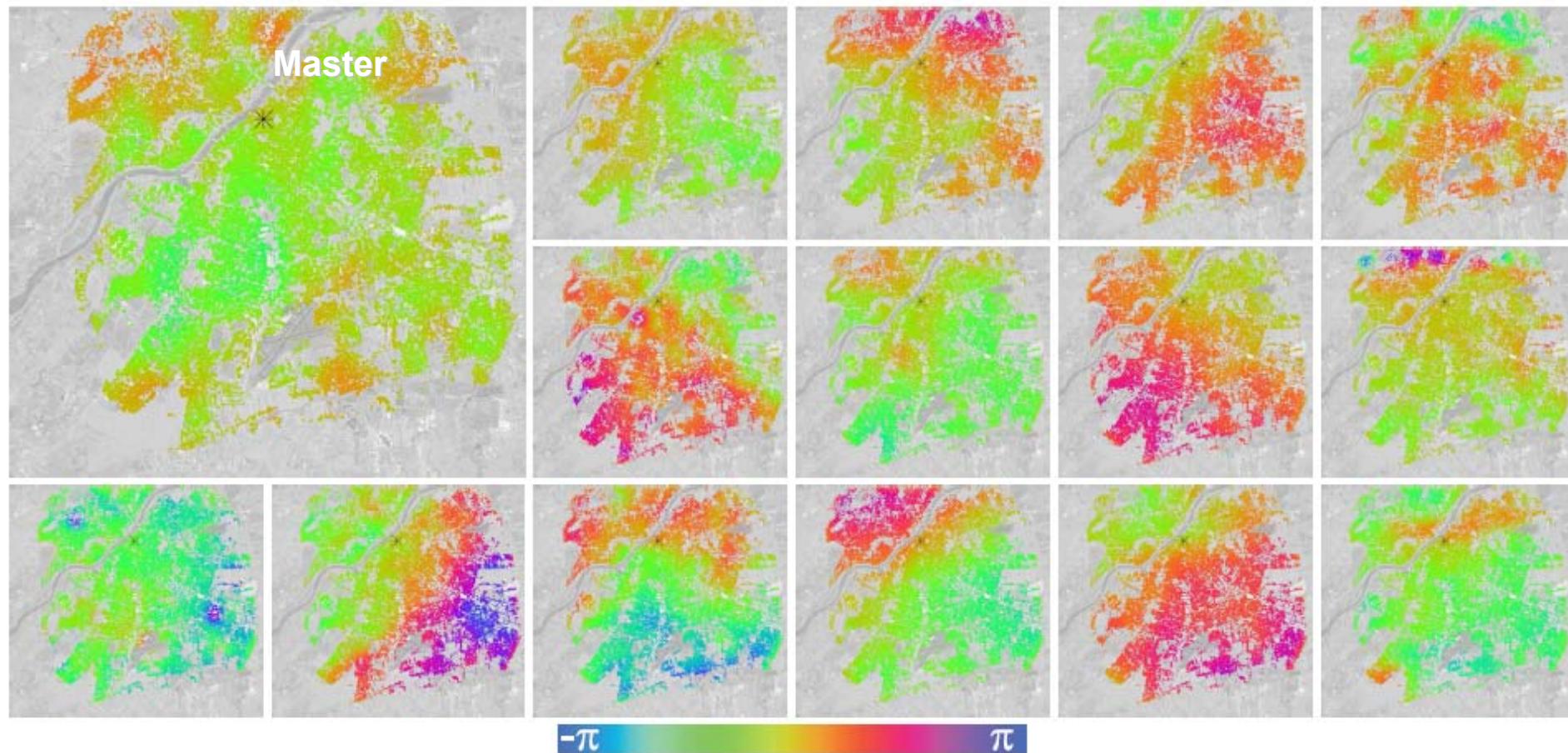
Atmosphere



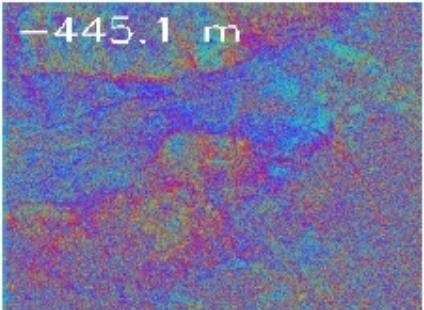
Noise

- Approximation of Master Atmosphere by temporal averaging and conversion to water vapor content

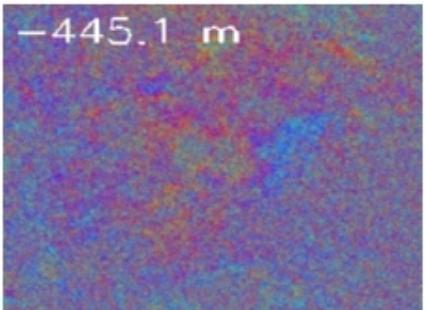
Atmospheric phase screens for each acquisition



# Summary of Results



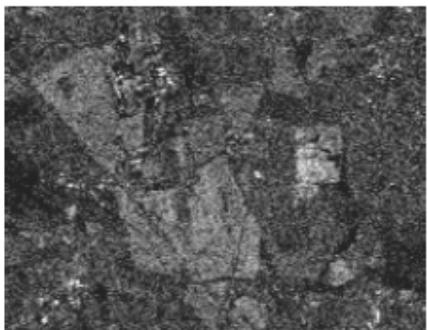
InSAR



D-InSAR



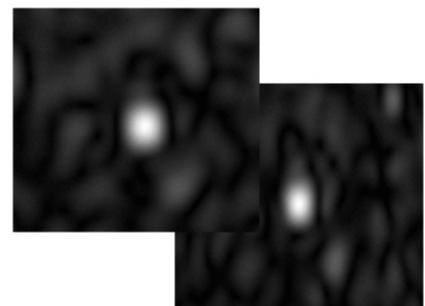
Coherence



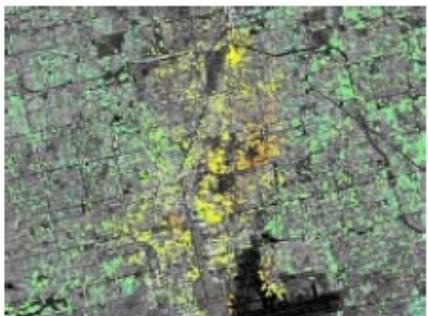
Calibrated Amplitude



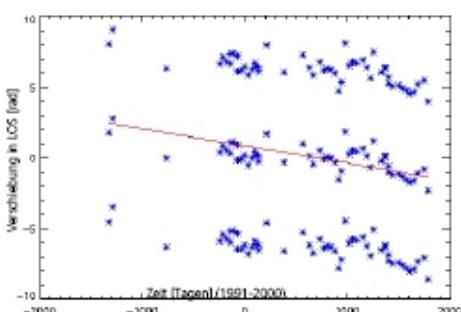
multi-look Amplitude



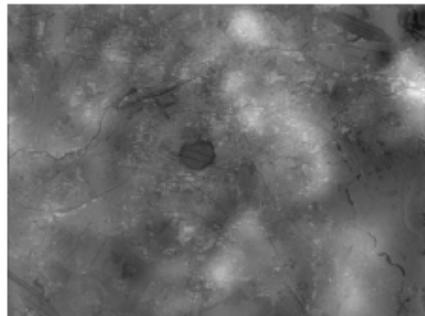
super resolution



Deformation Map



Deformation per PS



Atmo. Water Vapor