

Insert here your thesis' task.





**FACULTY  
OF INFORMATION  
TECHNOLOGY  
CTU IN PRAGUE**

Master's thesis

# **SimpleObjectMachine implementation**

*Bc. Rudolf Rovňák*

Department of Theoretical Computer Science

Supervisor: Ing. Petr Máj

April 21, 2021



---

## Acknowledgements

THANKS (remove entirely in case you do not wish to thank anyone)



---

## Declaration

I hereby declare that the presented thesis is my own work and that I have cited all sources of information in accordance with the Guideline for adhering to ethical principles when elaborating an academic final thesis.

I acknowledge that my thesis is subject to the rights and obligations stipulated by the Act No.121/2000 Coll., the Copyright Act, as amended, in particular that the Czech Technical University in Prague has the right to conclude a license agreement on the utilization of this thesis as a school work under the provisions of Article 60 (1) of the Act.

In Prague on April 21, 2021

.....

Czech Technical University in Prague  
Faculty of Information Technology  
© 2021 Rudolf Rovňák. All rights reserved.

*This thesis is school work as defined by Copyright Act of the Czech Republic. It has been submitted at Czech Technical University in Prague, Faculty of Information Technology. The thesis is protected by the Copyright Act and its usage without author's permission is prohibited (with exceptions defined by the Copyright Act).*

### **Citation of this thesis**

Rovňák, Rudolf. *SimpleObjectMachine implementation*. Master's thesis. Czech Technical University in Prague, Faculty of Information Technology, 2021.



---

## Abstrakt

V několika větách shrňte obsah a přínos této práce v českém jazyce.

**Klíčová slova** Replace with comma-separated list of keywords in Czech.

---

## Abstract

Summarize the contents and contribution of your work in a few sentences in English language.

**Keywords** Replace with comma-separated list of keywords in English.



---

# Contents

<b>1</b>	<b>Introduction</b>	<b>1</b>
<b>2</b>	<b>Analysis and design</b>	<b>3</b>
2.1	Grammar . . . . .	3
2.2	Class definition . . . . .	5
2.2.1	Variables . . . . .	5
2.2.1.1	Variable name scoping . . . . .	6
2.2.2	Literals . . . . .	6
2.3	Primitives . . . . .	7
2.4	Methods and messages . . . . .	7
2.5	Blocks . . . . .	9
2.6	Expressions . . . . .	9
2.7	Control structures . . . . .	10
2.7.1	Conditional branching . . . . .	11
2.7.2	For loops . . . . .	11
2.7.3	While loops . . . . .	12
2.7.4	Class hierarchy . . . . .	12
2.8	Abstract syntax tree . . . . .	13
2.9	Bytecode . . . . .	13
2.9.1	Program structure . . . . .	13
2.9.2	Program entities . . . . .	13
2.9.3	Instructions . . . . .	14
2.10	Interpretation . . . . .	15
2.10.1	AST interpretation . . . . .	15
2.11	Optimization . . . . .	16
2.12	Virtual Machine . . . . .	16
2.12.1	Garbage collection . . . . .	16
<b>3</b>	<b>Realisation</b>	<b>17</b>

3.1	Program overview . . . . .	17
3.2	Abstract Syntax Tree . . . . .	17
3.2.1	AST Nodes . . . . .	18
3.2.1.1	Expressions . . . . .	18
3.2.2	AST construction . . . . .	19
3.3	Bytecode . . . . .	19
3.3.1	Values . . . . .	20
3.3.2	Instructions . . . . .	20
3.4	Interpretation . . . . .	20
3.4.1	Program counter . . . . .	20
3.4.2	Execution stack . . . . .	20
3.4.3	Objects . . . . .	21
3.4.3.1	Object creation . . . . .	21
3.4.4	Core library . . . . .	22
3.4.5	Primitives . . . . .	22
3.4.5.1	Strings . . . . .	22
	<b>Conclusion</b>	<b>25</b>
	<b>Bibliography</b>	<b>27</b>
	<b>A Acronyms</b>	<b>29</b>
	<b>B Contents of enclosed CD</b>	<b>31</b>

---

## List of Figures

2.1	Railroad diagram for <code>classDefinition</code> rule. . . . .	5
2.2	Example of blocks usage in SOM. . . . .	10
2.3	Example of messages functioning as <i>if</i> -control structures. . . . .	11
2.4	Example of simple for loops. . . . .	11
2.5	Comparison of different ways of iterating over an array. . . . .	12
2.6	Example of while loops. . . . .	12
3.1	Interface of the program. . . . .	17
3.2	Conceptual class diagram for SOM abstract syntax tree. . . . .	23



---

# Introduction

In the last decades, a trend of dynamic programming languages <sup>1</sup> has been on the rise. As opposed to static programming languages (usually compiled) dynamic ones offer a higher level of abstraction and allow faster and less error-prone development. Dynamic languages move a lot of actions traditionally done during compile-time to run-time. This creates the need for another layer, *a runtime environment*.

My goal in this diploma thesis is to implement a process virtual machine for a programming language called SOM, or Simple Object Machine. It is a dynamic, object-oriented programming language based on Smalltalk. It was originally implemented at University of Århus in Denmark to teach object oriented VMs [1]. There are several implementations in various programming languages, ranging in speed, optimizations etc.

My main focus in my work will be the clarity of implementation over performance.

---

<sup>1</sup>Not to be confused with *dynamically typed programming languages*.





---

# Analysis and design

*Simple Object Machine* (SOM) is a minimal Smalltalk dialect used primarily for teaching construction of virtual machines. Key characteristics according to official website ([1]) are:

- clarity of implementation over performance,
- common language features such as: objects, classes, closures, non-local returns
- interpreter optimizations, threading, garbage collectors are different across various implementations.

## 2.1 Grammar

To implement a parser for the language, I decided to use ANTLR. I will demonstrate language features and design on the following ANTLR grammar for SOM. For the sake of brevity, I omitted terminal symbols from the complete grammar as they are self-explanatory. All the terminal symbols in this grammar are named in uppercase letters.

```
grammar SOM;

classDefinition:
    IDENTIFIER EQUALS superclass
    instanceFields method*
    (SEPARATOR classFields method*) ?
    CLOSE_PAR
    ;
superclass: IDENTIFIER? OPEN_PAR;
instanceFields: (VBAR variable* VBAR)?;
classFields: (VBAR variable* VBAR)?;
```

## 2. ANALYSIS AND DESIGN

---

```
method: pattern EQUALS methodBlock;
methodBlock: OPEN_PAR blockContents? CLOSE_PAR;
blockContents:
  (VBAR localDefinitions VBAR)?
blockBody;
localDefinitions: variable*;
blockBody:
  RETURN result
  | expression (PERIOD blockBody)?);
result: expression PERIOD?;
expression: assignation | evaluation;
assignation: assignments evaluation;
assignments: assignment+;
assignment: variable ASSIGN;
evaluation: primary messages?;
primary: variable | nestedTerm | nestedBlock | literal;
messages:
  unaryMessage+ binaryMessage* keywordMessage?
  | binaryMessage+ keywordMessage?
  | keywordMessage;
unaryMessage: IDENTIFIER;
binaryMessage: binarySelector binaryOperand;
binaryOperand: primary unaryMessage*;
keywordMessage: (KEYWORD formula)+;
formula: binaryOperand binaryMessage*;
nestedTerm: OPEN_PAR expression CLOSE_PAR;
nestedBlock:
  NEW_BLOCK blockPattern? blockContents? CLOSE_BLOCK;
blockPattern: blockArgs VBAR;
blockArgs: (COLON argument)+;
variable: IDENTIFIER;
pattern: unaryPattern | keywordPattern | binaryPattern;
unaryPattern: unarySelector;
unarySelector: IDENTIFIER;
binaryPattern: binarySelector argument;
keywordPattern: (KEYWORD argument)+;
binarySelector:
  VBAR | PLUS | MINUS | EQUALS | MULT | DIV | MOD |
  GREATER | GREATER_EQ | LESS | LESS_EQ;
argument: variable;
literal: literalNumber | literalString | literalArray | literalSymbol;
literalNumber: MINUS? (INTEGER | DOUBLE);
literalString: STRING;
literalArray: POUND NEW_BLOCK literal* CLOSE_BLOCK;
```

```
literalSymbol: POUND (STRING | selector);
selector: binarySelector | keywordSelector | unarySelector;
keywordSelector: KEYWORD+;
```

## 2.2 Class definition

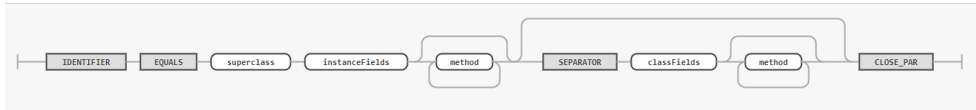


Figure 2.1: Railroad diagram for `classDefinition` rule.

```
SimpleHello = (
  | name |

  setName: aString (
    name := aString
  )

  printGreeting (
    ('Hello, ', name) print
  )
)
```

Syntax for class definition follows the official SOM grammar. The language supports single inheritance as apparent from the use of `subclass` token in the grammar. Not every class has explicitly specified superclass, therefore the actual identifier in the rule is optional.

Declaration of instance side fields follows, denoted by vertical bars. This token itself can be empty. Instance side methods definitions are next. Further details on *methods* and *messages* in SOM are discussed in TODO. Same syntax is used for class side fields and methods separated by a special token.

### 2.2.1 Variables

In Smalltalk, a variable is defined as “a *dynamically modifiable association (binding) of either a name or an index to a value. Each distinct variable has exactly one name (or index)*”[2].

A value of a named variable can be any object. Indexed variables are at the core also just an object. They represent an ordered sequence of objects as a single value. Example of those are arrays or Strings. Actual indices are always strictly positive (greater than zero), meaning the first element of an array corresponds to index of value one. This is standard in Smalltalk dialects, although uncommon in C-like languages. Retrieving the values belonging to an index is done via sending a message to the encapsulating object.

When creating a new variable, it is assigned a special value `nil`, meaning the variable is empty. This special value can also be explicitly assigned to a variable at any point.

SOM is a dynamically typed programming language (as is Smalltalk). As a result, there is no syntax to indicate a data type of a variable. One thing worth pointing out is that in the context of Smalltalk, a *data type* is defined differently than most programming languages. As stated in [2], a class is not a type. A Smalltalk type is defined as “*the power set of messages to which an object can meaningfully respond*”[2]. This is the definition I will be using in the context of SOM. As a result of this, any number of SOM classes can implement one data type.

### 2.2.1.1 Variable name scoping

Every variable has its scope, which determines the visibility of the variable. SOM follows the rules of Smalltalk when it comes to scoping, as defined in [2]:

- **Local variables** are accessible within the method or code block in which they are defined.
- **Formal method arguments** are accessible by the method wherein they are defined.
- **Formal block arguments** are accessible by the block wherein they are defined.
- **Instance variables** are accessible within all methods of a given object. Each object has its own instances of these variables.
- **Class variables** are accessible by all objects that are instances of the class or its subclasses. All the objects share the same instance of this variable.
- **Global variables** are accessible everywhere.

### 2.2.2 Literals

As opposed to variables, there is also a need to represent fixed values in a SOM source code.

**Integer literal** specifies a value of a decimal whole number, positive or negative. In my implementation, every integer literal is a representation of an object of class `Integer`. For the sake of simplicity, there is no way for a programmer to specify whether the integer literal is short, long etc.

**Floating point literal** approximates a value of a real number. Syntactically, it consists of a decimal, possibly negative, integer literal representing the non-fractional part of the number. It is followed by a decimal point and

another decimal (non-negative) integer representing the fractional part of the number. Precision is implicitly given and there is no way to change it. My implementation uses double precision.

**String literal** represents a sequence of characters. String literals are objects of class **String**. Syntactically, they are delimited by single quotes (`'`). To include a single quote in a string, it needs to be escaped by another single quote.

**Array literals** specify a sequence of values encapsulated by a single object (that is an instance of class **Array**). Syntactically, the values of an array are surrounded by parentheses and preceded by a hash sign. Note that because of the dynamic typing, elements of an array do not have to be instances of the same class.

## 2.3 Primitives

Even though SOM is purely object oriented, in order to get any actual computations done, there is a point where some virtual machine primitives must be invoked. Following things are therefore implemented as primitives:

- memory allocation (**new** message),
- bitwise operations,
- integer arithmetics (**+**, **-**, **=** etc.),
- array accessing (**at:**, **at:put:**)

## 2.4 Methods and messages

As the SOM language is based on Smalltalk, the concept of messages (and the link to methods) is crucial to understand. *“The only way to invoke a method is to send a message – which necessarily involves dynamic binding (by name) of message to method at runtime (and never at compile time). The internals of an object are not externally accessible, ever – the only way to access or modify an object’s internal state is to send it a message”* [2].

Execution of an invoked method ends with the execution of the last expression in it. Every method implicitly returns **self** (a reference to the object on which the method is invoked). Explicit return of a value is done with a special token `^`. Execution of an expression preceded by this token will exit the method.

The [3] defines a helpful terminology for message passing:

- A message is composed of the message *selector* and the optional message arguments.

## 2. ANALYSIS AND DESIGN

---

- Every message must be sent to its *receiver*.
- Message and its receiver together will be referred to as *message send*.

There are three types of messages (as defined in other Smalltalk dialects, Pharo as an example of one).

**Unary messages** are sent to an object without any additional information (argument). In the following example, a unary message **size** is sent to a string object.

```
'hello' size "Evaluates to 5"
```

**Binary messages** are a special type of messages that require exactly one argument. The selector of a binary message can only consist of a sequence of one or more characters from the set: +, -, \*, /, &, =, <, >, —, and @. A very simple example of usage of binary message are arithmetic operations.

```
3 + 4 "Evaluates to 7"
```

**Keyword messages** require one or more arguments. From the syntactic standpoint, they consist of multiple keywords, each ending in colon (:). When sending a message, each keyword is followed by an argument. Note, that a keyword message taking one argument is different to a binary message.

```
| numbers |
numbers := #(1 2 3 4 5). "Simple array"
"Sending a keyword message at:put: to an object of class Array"
numbers at: 1 put: 6 "numbers is now #(6 2 3 4 5)"
```

When composing messages of various types, there are precedence rules (as defined for Pharo in [3]):

- Unary messages are sent first, followed by binary messages. Keyword messages are sent last.
- Messages in parentheses are sent before other messages.
- Messages of the same kind are evaluated from left to right.

These simple rules permit a very natural way of sending messages, as demonstrated on the next example. First, a simple array is created. Then, a unary message **last** is evaluated, returning the last element of the array. After that, binary message **+** is evaluated (to 2 in this example). Finally, keyword message **at:put:** is sent to an array, putting number 5 on the second position in an array.

```
| numbers |
numbers := #(1 2 3 4 5).
numbers at: 1 + 1 put: numbers last.
"numbers at: (1 + 1) put: (numbers last)"
```

Next example demonstrates sending messages from left to right when all of them are of the same type.

```
| numbers |
numbers := #(1 2 3 4 5)
numbers last asString print
"This is equivalent to the following message sends"
((numbers last) asString) print
```

There is a downfall to the simplicity of these rules. Arithmetic operations are all just a simple binary message sends, therefore to ensure proper precedence, it is necessary to use parentheses.

## 2.5 Blocks

Blocks provide a mechanism to defer the execution of expressions [3]. Blocks can be treated as an object – they can be assigned to variables and passed as arguments.

Blocks can also accept parameters – they are denoted with a leading colon. Parameters are separated from the body of the block by a vertical bar. Local variables can also be declared inside a block.

Block is executed by sending it a message `value`. However, this is a unary message and there is no way to pass parameters to a block. To solve this problem, a keyword message `value:` is implemented. So far, this gives a user to pass only one parameter to a block. To mitigate this issue, there are two possibilities. The first one is to implement a keyword message for every number of parameters (for example `value:value:`, `value:value:value:`). While this approach is simple, readable and relatively easy to implement for low numbers of parameters, it is impossible for this solution to be exhaustive and the code using very long keyword messages would be bloated.

Another approach would be to implement a keyword message `value:` with an argument of array type. This would permit to use arbitrary number of arguments, though it would require to create arrays of objects before passing them to a block, which could impact readability and clarity of the code. In order to combine pros and cons of these 2 approaches, I have decided to follow the implementation in Pharo according to [3, p. 65]. There are keyword methods implemented for up to four parameters (`value:`, `value:value:`). For more than four parameters, a special keyword message `valueWithArguments:` is implemented, where an array of parameters is expected.

## 2.6 Expressions

According to [2], *an expression is a segment of code in a body of executable code that can be evaluated to yield a value as a result of its execution*. As

Figure 2.2: Example of blocks usage in SOM.

```
| b0 b1 b2 b3 |
b0 := [ 1 + 2 ].
b1 := [ :x | x * x ].
b2 := [ :x :y | x * y ].
b3 := [ :x :y :z | x + y + z ].
"Evaluating the blocks"
b0 value. "Returns 3"
b1 value: 3. "Returns 9"
b2 value: 2 value: 8. "Returns 16"
"Message valueWithArguments: can be used with any number of parameters"
b3 valueWithArguments: #(1 2 3). "Returns 6"
"The next expression is functionally identical to the previous one"
b3 value: 1 value: 2 value: 3.

expression: assignation | evaluation;
assignation: assignments evaluation;
assignments: assignment+;
assignment: variable ASSIGN;
evaluation: primary messages?;
primary: variable | nestedTerm | nestedBlock | literal;
messages:
  unaryMessage+ binaryMessage* keywordMessage?
| binaryMessage+ keywordMessage?
| keywordMessage;
```

seen from the grammar snippet on figure TODO, expressions are recursive structures.

Syntactically, an expression can consist of [2]:

- literal,
- variable/constant reference,
- message send,
- nested expression.

### 2.7 Control structures

In Smalltalk, there are no built-in control structures, unlike for example C++ or Java. SOM follows this principle from Smalltalk, therefore there are no grammatical rules for branching or loops.



The way controlling the flow of program works in SOM is, again, by sending messages. One big advantage of this approach is that the programmer can define their own control structures, simply by implementing classes and methods as needed.

To make working with SOM easier and faster, my implementation provides multiple message implementations, corresponding to the most used control structures in other programming languages. Syntax of these messages corresponds to other Smalltalk dialects.

### 2.7.1 Conditional branching

There are 3 messages that function as an if control structure. Selectors for these messages are `ifTrue:`, `ifFalse:`, `ifTrue:ifFalse:`. As apparent, they are keyword messages, the receiver is an instance of a Boolean class. All of these messages take blocks as arguments, then evaluating or not evaluating them based on the Boolean value. Figure 2.3 shows a simple example of usage.

```
"Subtracts b from a only if a is greater than b"
a > b ifTrue: [ a - b ].
a <= b ifFalse: [ a - b ].
"Subtracts the smaller number from the bigger one"
a < b
  ifTrue: [ b - a ]
  ifFalse: [ a - b ]
```

Figure 2.3: Example of messages functioning as *if*-control structures.

### 2.7.2 For loops

The simplest example of a for loop is iterating over a range of integers. There are 2 messages, `to:do:` and `to:by:do:`. The receiver of the message is an integer. The receiver of the message is the lower bound of the iteration, the argument for `to:` keyword is the upper bound, `by:` specifies a step of iteration, `do:` takes a block that is evaluated (note that the block has to have exactly one parameter, so it is possible to capture the value of index in every step).

```
"Prints all numbers from 1 to 10"
1 to: 10 do: [ :index | index asString println ].
"Prints all the even numbers between 1 and 100"
0 to: 100 by: 2 do: [ :index | index asString println ]
```

Figure 2.4: Example of simple for loops.

This way of looping is also usable when iterating over arrays (or any indexable collection). As seen on figure 2.5, this method is not very concise, therefore a message `do:` is implemented. Array class implements a method corresponding to this message, iterating over every element of the array. It takes a block as an argument. The block has to have one parameter – that is the element of the array of the given step of the iteration.

```
| array |
array := #(1 2 3).
"Printing the elements by iterating over index"
1 to: array size do: [ :index |
  (array at: index) asString println ].
"Printing the elements by iterating over array"
array do: [ :element | element asString println ]
```

Figure 2.5: Comparison of different ways of iterating over an array.

### 2.7.3 While loops

While loops are implemented as a unary message sent to a block that returns a boolean value. There are actually two messages, `whileTrue` and `whileFalse`. The first one repeats the evaluation of a receiver (a block) as long as it returns `true`. The second one, as the name suggests, does the same thing if the block returns `false` value. Example in figure 2.6 shows printing numbers from 0 to 10 using `whileTrue` message.

```
| index |
index := 0.
[ index asString println.
  index := index + 1.
  index < 10
] whileTrue
```

Figure 2.6: Example of while loops.

### 2.7.4 Class hierarchy

Protocol of an `Object` class is (this will probably be used somewhere further on):

- `class` - returns the class of an object,
- `=` - value equality comparison,

- `==` - reference equality comparison,
- `isNil` - check, if the object is `nil`,
- `asString` - converts the object into a string,
- `value` - evaluate (interesting for blocks),
- `print`, `println` - prints the object,
- `error:` - error reporting,
- `subClassResponsibility` - can be used to indicate the method should be implemented in the subclass of a given class,
- `doesNotUnderstand:arguments:` - can be used for error handling when a method is not implemented.

## 2.8 Abstract syntax tree

## 2.9 Bytecode

The next step after constructing the AST is to compile it into a bytecode. The bytecode is saved in a binary file that can be interpreted. The structure of bytecode files and semantics and syntax of operation codes is described in the following sections.

### 2.9.1 Program structure

SOM program has a very simple structure consisting of:

1. **The constant pool:** This is a list of all the entities of the program. The choice of the word *entity* over *object* is intentional to avoid confusion with what objects are in OOP languages. Each entity can be accessed by its index.
2. **Entry point:** An index to a Method that is executed on program start. There can only be one entry point to a program. It is a unary method with selector `run`. It can be a member of any class of the program.

### 2.9.2 Program entities

All entities in the constant pool are one of these types:

1. **Nil entity** represents an undefined value.
2. **Int entity** represents a 32 bit signed integer number. It is used for LIT instructions.

3. **Double entity** represents a double-precision floating point number.
4. **String entity** represents a value of string of characters of arbitrary length. It is used for constants in the program as well as to store all the identifiers to classes, method selectors and variables.
5. **Field entity** represents a variable in an object. It consists of one index to a string value that represents the name of the slot.
6. **Method entity** represents a method of an object. It holds and index to a string representing the selector, number of arguments (arity of the corresponding message), number of local variables and an array of instructions.
7. **Primitive entity** is a method that needs an implementation in the VM. These are used to handle constructs that cannot be expressed in the base language.
8. **Block entity** is a block of code. It holds the number of arguments and an array of instructions (similar to a method).
9. **Class entity** represents the structure of objects. It consists of an array of indices to all the fields of the object. Each one of these fields point either to a Field entity or a Method entity.

### 2.9.3 Instructions

- **LIT *i*** retrieves a constant value from the constant pool at the index *i* and pushes it on the stack. The item can be either integer, double or string value.
- **GET SLOT *i*** pops a value from the operand stack, assuming it is an object. Then it retrieves a value with index *i* from the constants pool, assuming it is a string. It then retrieves the value stored in the slot with the name specified by the string and pushes it onto the stack.
- **SET SLOT *i*** pops a value from the stack. This value is then assigned to an instance variable with identifier at index *i* in the constants pool.
- **SEND *i n*** sends a message to an object, which in most cases results in calling a method. A new frame is created on the execution stack, arguments are pushed and the execution jumps to the first instruction of the method.
- **GET LOCAL *i*** retrieves a local variable with an index *i* and pushes it to the top of the stack.

- `SET LOCAL i` pops a value `x` from the top of the stack and then assigns the `x` into a local variable with the index `i`.
- `GET SELF` retrieves the callee of executed method. The object is pushed to the top of the stack.
- `GET ARG i` retrieves the `i`-th argument of the current message from the stack and pushes it on top.
- `BLOCK i` creates a code block object. The argument `i` points to a block value in the constant pool. The block object is instantiated on the heap and pushed to the top of the stack.
- `RET` is used to return from a method call. The value from the top of the stack is returned. The address to return to is retrieved from the current frame, then the frame is popped and execution jumps to an instruction after the `CALL` that invoked the method.
- `RETNL i` - non local return. The value at the top of the current frame is used as the return value. Argument `i` specifies the number of frames to be popped, then the return value is pushed to the top of the current frame.

## 2.10 Interpretation

Once the source code is parsed, the next step is executing it – this step is called *interpretation*. Interpretation is As per [4], an interpreter for a language `L` can be defined as a mechanism for the direct execution of all programs from `L`. It executes each element of the program without reference to other elements.

It is however very rare that any language is interpreted directly. In most cases of non-trivial languages, the interpretation process is preceded by parsing or compiling into some form of *intermediate representation*. According to [4], this process removes lexical noise (comments, formatting), elements can be abstracted/combined (into keywords, operations etc.) and reordered into execution order (for example operators in an algebraic expression).

The choice of intermediate representation is therefore vital. It can determine a lot of aspects of interpretation - from the way of distributing the interpreted program to time and space complexity of the interpreter.

### 2.10.1 AST interpretation

*Abstract syntax tree (AST) is a tree representation of the source code of a computer program that conveys the structure of the source code. Each node in the tree represents a construct occurring in the source code [5].*

As the name suggests, AST represents the source code in the form of a tree. During the transformation from the source code to AST, some information is omitted. Information that is vital for AST's according to [5] is:

- variables – their types, location of their definition/declaration,
- order of commands/operations,
- components of operators and their position (for example left and right operands for a binary operator),
- identifiers and corresponding values.

### 2.11 Optimization

- dead code elimination,
- constant propagation,
- others...

### 2.12 Virtual Machine

Decide on memory hierarchy, garbage collection...

#### 2.12.1 Garbage collection

The process of *garbage collection* performed by *garbage collector (GC)* is the process of allocating and freeing memory during application runtime. The main advantage of this mechanics is to prevent *memory leaks* – parts of a program that allocate memory without freeing it when it is not needed [6]. Most modern high-level programming languages implement some form of garbage collection.

---

# Realisation

## 3.1 Program overview

The program I have implemented provides a way to compile SOM source code and execute it.

```
<som_executable> [OPTION] [SOURCE]
```

Figure 3.1: Interface of the program.

The interface of the application is simple and consists of two user provided arguments.

The argument `OPTION` can have two values and alters the mode the app will function in:

- `-c` is compile mode. The argument `SOURCE` is a folder containing the source code of the program. This folder is searched for SOM source files – those are recognized by their file extension, which should be `.som`. The folder is searched non recursively. Every SOM source file is compiled and one binary file containing bytecode is created. The name of the file is the same as the provided folder name.
- `-r` loads and runs a compiled bytecode. The `SOURCE` argument is a file name of the compiled bytecode.

## 3.2 Abstract Syntax Tree

After the parsing is complete, Abstract Syntax Tree (AST) is constructed. AST is, by definition, stripped of many syntactic detail. It mainly represents the structural and content-related aspects of the code.

The conceptual design of the AST is depicted on figure 3.2.

#### 3.2.1 AST Nodes

**Class** node represents a class in the program, while the program itself is basically an array of different classes. A class holds its name, its member fields (member variables in C++ terminology), instance-side methods (member functions), class-side fields (static member variables) and class-side methods (static member functions).

**Method** node represents a method – instance or class side. It consists of a pattern, local variable definitions and a block to be executed.

**Pattern** represents a message corresponding to the method. There are 3 types of messages in SOM, therefore there are 3 distinct types of patterns. The simplest one is **unary pattern** – consisting of only one identifier as there are no arguments. **Binary pattern** is treated as a separate pattern. It consists of identifier and exactly one argument. There are special requirements for binary pattern identifier – there is a special set of characters permitted that can form a binary pattern. **Keyword pattern** then consists of one or more keywords and same number of arguments, each corresponding to one keyword. Concatenation of keywords form a selector of the method. **Keywords** holds the string value of the keyword, always ending in colon (:).

**Variable** node represents instance/class side variables, arguments to messages or blocks. It holds the identifier of the variable as a string value.

**Block** represents a block of executable code with its own scope. The simplest block consists of local variable definitions and an array of expressions to be evaluated. While this is enough to represent a method block, other uses may require more information, therefore there is another similar node discussed later.

##### 3.2.1.1 Expressions

**Expression** is an abstract term in the context of the AST - there are two types. The common thing is they can be evaluated – therefore forming the actual executable code of the program.

**Evaluation** is the first form of expression - it represents a message sends to an object, thus returning a single value when evaluated. This node consists of messages (optional) and a *primary*.

**Primary** is another abstract concept. In its core, a primary represents an object, though there are multiple ways to reference an object in SOM. There are four AST nodes that can be classified as a primary:

- **Literal** – a constant basic value (of integer, floating point, string or array type). Each of these have their dedicated literal node holding the value as seen on figure TODO.
- **Variable** is self explanatory – a reference to an object accessed via the identifier.



- **Nested term** is an expression that needs to be evaluated to retrieve the reference to an object. Syntactically, the nested terms are enclosed in parentheses.
- **Nested block** is a block of expressions returning the reference to an object. It is enclosed in square brackets in the syntax. Nested blocks consist of the same elements as block discussed with methods with addition of a block pattern – nested block can have their arguments.

The second part of the evaluation node is the message sends to the primary. There are three types corresponding to three types of messages in SOM. **UnaryMessage** node is self explanatory – there are no arguments, only the message selector. **BinaryMessage** holds its selector too with addition of the argument. The argument of the binary message send can be a primary, along with unary message sends (because unary message sends take precedence). **KeywordMessage** is made up of the keywords (forming the selector) and something called *formulas*. Formulas are binary (and also unary) message sends, that take precedence over keyword messages.

**Assignment** is the second form of an expression. The name suggests this node represents assigning a value into a variable. Therefore the node consists of the **Variable** node to assign to and an **Evaluation** node returning the value to assign.

### 3.2.2 AST construction

The AST is constructed by visiting over the ANTLR-generated parse tree. The visitor is implemented in class **CParseTreeConverter**. This is a subclass of **SOMParserBaseVisitor**, which is a base visitor implementation provided by ANTLR that perform depth-first traversal over the parse tree. Some member functions in **CParseTreeConverter** are not overridden and make use of this default behaviour (which is just iterating over child nodes and visiting them).

## 3.3 Bytecode

After constructing the AST it is turned into a bytecode. The bytecode definitions are located in source files **Bytecode.h/Bytecode.cpp**. The actual compilation is implemented as a depth-first traversal of the AST, therefore a visitor pattern is used. There is an abstract class **ASTVisitor** that defines the interface of any AST visitor. This can be used to further implement visualisations of the AST or to add support for different bytecode instructions sets, for example Java bytecode to add support for running inside a Java runtime.

### 3.3.1 Values

Every constant value in the code is saved as a `Value` struct. Each value contains the one byte tag and the actual value to hold. There are method implementations to print every value into human readable format for better visualisation of the compiled bytecode. Additionally, every instruction struct is able to serialize itself – write the data needed in binary format to a file.

### 3.3.2 Instructions

Similar to values, every instruction is represented by a struct holding the relevant information (such as operation codes and arguments). Every instruction is capable of printing itself in human readable format and serialize itself to binary format, the same as all the values.

## 3.4 Interpretation

The process of interpreting the loaded bytecode is handled by class `CInterpret`.

### 3.4.1 Program counter

The class `CProgramCounter` is an implementation for a program counter for the VM. During initialization of this object, the entry point of the program is loaded. The program counter also saves the "end" address. The addresses are implemented as iterators to a vector of instructions – that is possible simply because all of the executable code will be contained within a method, and all the method are loaded into a vector of instructions. The entry point of the program is therefore the first instruction of the `run` method, while "end" address is the end iterator of the same vector. This means that the end address is not an executable instruction.

### 3.4.2 Execution stack

The execution stack is responsible for management of method calls (and nested block evaluations). It is implemented as a LIFO (last in, first out) structure. For every method call or block evaluation, a new frame is created and pushed on the stack. A frame contains:

- Arguments accessible by their index, assigned at compilation. For the sake of stack, the callee is also considered an argument and is always the last element of the arguments array.
- Return address. The program counter is set to this address when a `RET` instruction is executed.

- Local data for the method/block execution. This part is used for all the temporary object creation (e.g. literal values) and argument passing.

Sending a message or evaluating a block therefore can be split into multiple steps:

- The receiver of the message is pushed to the stack.
- The arguments are then pushed to the stack, in the order from left to right.
- When the **SEND** instruction is executed, new stack frame is created. The return address is initialized to the address of the instruction following the **SEND** instruction. Array of arguments is initialized with the values from the top of the stack, number of arguments is provided as an argument of the instruction.
- The new frame is pushed to the stack. The receiver is then accessed, added to the end of the argument array and given the responsibility to invoke the method corresponding to the sent message.

When returning, the top of the stack contains the return value of the method or block. The frame is popped from the stack, program counter is set to the return address and the top value is pushed to the new top of the stack.

### 3.4.3 Objects

Every object created during runtime (implicitly or explicitly) is represented by the **VMObject** class. Every value is represented by an instance of this class or its subclass. Every object holds a pointer to its class and a map of the instance values – the identifier as a key, object pointers as a value. Upon creation, instance field values are initialize to the **nil** value.

Every class is represented by a **VMClass** instance, which is a subclass of **VMObject**. This allows us to manipulate every class as an object. There is only one class instance per class definition during runtime and instantiating new class object is not possible. Before the execution of the code, the byte-code is traversed and for every **CLASS** value, a singleton object is created and initialized. These objects are accessible globally by the identifier – the class name.

Every class holds all the information needed to create and manipulate its instance. This includes the method dispatch.

#### 3.4.3.1 Object creation

One of the main responsibilities of the class object is to handle dynamic creation of its instances. This is done via a **new** message send. Upon the **new** message send:

- new `VMObject` is created on the heap and its class is set,
- instance fields of the new object are initialized,
- pointer to the new object is returned.

#### 3.4.4 Core library

SOM programming language is very light on features. In order for it to be usable, there needs to be an implementation of some fundamental principles provided. For example, the language itself does not provide a way to manipulate numbers, character strings, standard input and output or control structures. All of these can be implemented in the VM itself while preserving the consistency of rules of the language.

In order to achieve that, a keyword `primitive` is defined. This keyword is used for methods that require an implementation in the VM runtime. From the outside, calling a primitive method is no different than calling a method implemented directly in SOM.

While the user is able to implement their own primitives, a lot of them are already provided in the core library. This library is a set of classes loaded every time a SOM code is interpreted. This library provides implementations for:

- strings,
- numbers – integers and doubles,
- boolean values with messages that provided control flow features,
- code blocks,
- arrays.

#### 3.4.5 Primitives

Every class that marks a method primitive has to provide its implementation in the VM. This is done by creating a new subclass of `VMClass`. This subclass then has to implement the primitive methods (in the form of `void` member functions that take `CInterpret*` as an argument). Resolving of method selector (which is a simple string) and the function to invoke is then done via member function `dispatchPrimitive`.

##### 3.4.5.1 Strings

Every literal string value is represented by an instance of `String` class during runtime. The corresponding class implementing the primitives is `VMClass`. In SOM, every string object is immutable, therefore every string manipulation results in creating a new string object.

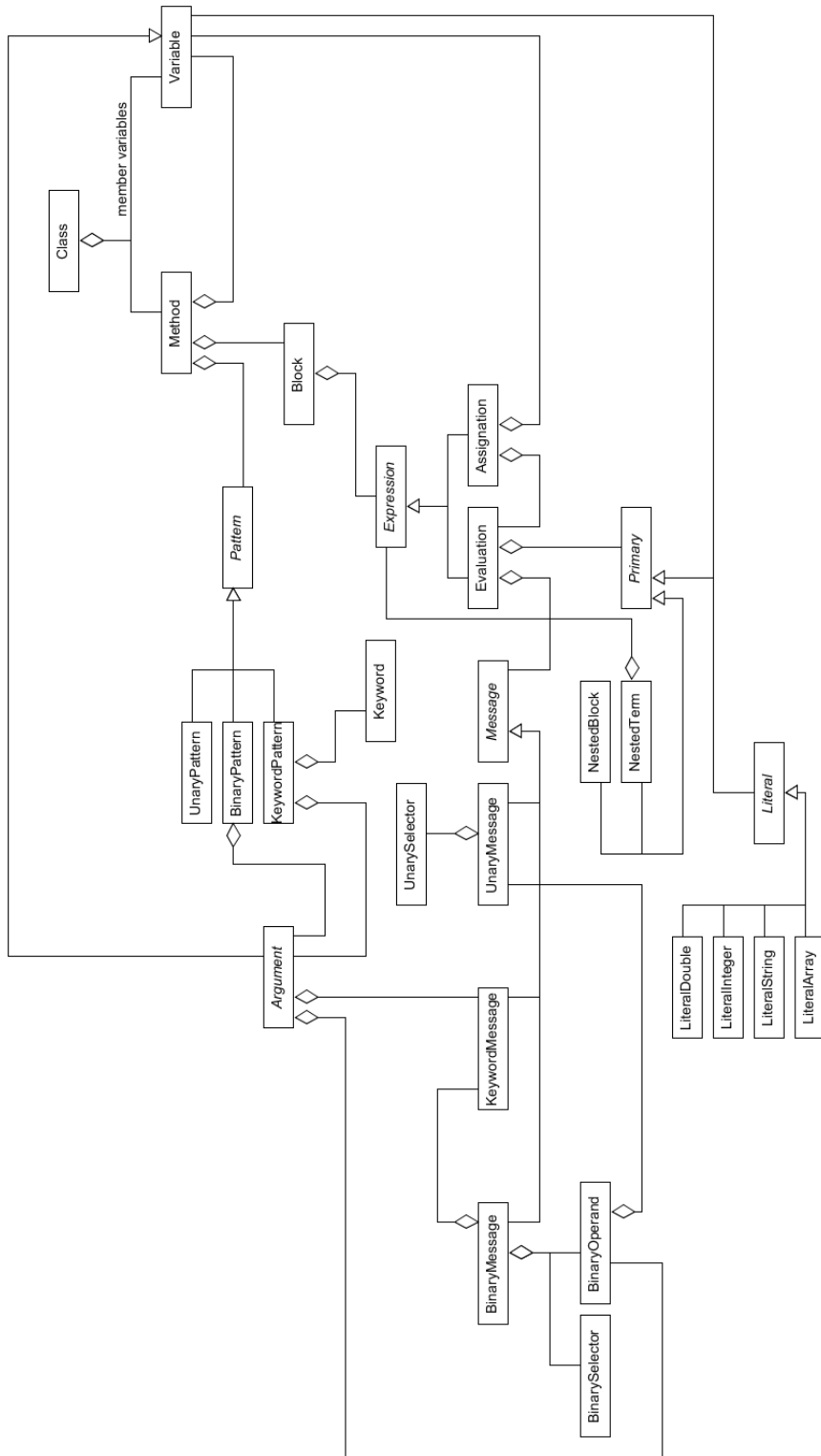


Figure 3.2: Conceptual class diagram for SOM abstract syntax tree.



---

## Conclusion





---

## Bibliography

- [1] SOM. SOM: A minimal Smalltalk for teaching and research on Virtual Machines. 2020, [cit. 2020-11-17]. Available from: <https://som-st.github.io/>
- [2] Lovejoy, A. L. Smalltalk: Getting The Message. 2007, [cit. 2021-1-19]. Available from: <http://devrel.zoomquiet.top/data/20080627141054/index.html>
- [3] Ducasse, S.; Chloupis, D.; et al. Pharo By Example 5. 2017.
- [4] Wolczko, M. Execution mechanisms Part I: Interpretation. [online], 2015, [cit. 2020-10-31]. Available from: <https://www.dropbox.com/s/1fav564dvx20qsw/2%20AST%20Interpretation.pdf>
- [5] DeepSource Corp. Abstract Syntax Tree. [cit. 2020-11-4]. Available from: <https://deepsource.io/glossary/ast/>
- [6] Boersma, E. Memory leak detection - How to find, eliminate, and avoid. January 2020, [cit. 2020-11-5]. Available from: <https://raygun.com/blog/memory-leak-detection/>



## Acronyms

**AST** Abstract syntax tree

**GC** Garbage collector

**SOM** Simple Object Machine

**VM** Virtual machine



## Contents of enclosed CD

	readme.txt .....	the file with CD contents description
	exe .....	the directory with executables
	src .....	the directory of source codes
	wbdcm .....	implementation sources
	thesis .....	the directory of $\text{\LaTeX}$ source codes of the thesis
	text .....	the thesis text directory
	thesis.pdf .....	the thesis text in PDF format
	thesis.ps .....	the thesis text in PS format