

## Homework 3

### Problem 9

(a) Prove that if  $\langle \psi | \hat{A} | \psi \rangle = \langle \psi | \hat{B} | \psi \rangle$  for all  $|\psi\rangle$  then  $\langle \psi_1 | \hat{A} | \psi_2 \rangle = \langle \psi_1 | \hat{B} | \psi_2 \rangle$  for all  $|\psi_1\rangle$  and  $|\psi_2\rangle$ .

*Suggestion.* Substitute  $|\psi\rangle = |\psi_1\rangle + \lambda |\psi_2\rangle$  into  $\langle \psi | \hat{A} | \psi \rangle = \langle \psi | \hat{B} | \psi \rangle$ , then set  $\lambda = 1$  and  $\lambda = i$ .

(b) By definition,  $\hat{A} = \hat{B}$  if and only if  $\hat{A}|\psi\rangle = \hat{B}|\psi\rangle$  for all  $|\psi\rangle$ .

Use the result of part (a) to show that  $\hat{A} = \hat{B}$  if and only if  $\langle \psi | \hat{A} | \psi \rangle = \langle \psi | \hat{B} | \psi \rangle$  for all  $|\psi\rangle$ .

### Solution

(a) Following the suggestion, we write

$$\begin{aligned} \langle \psi | \hat{A} | \psi \rangle - \langle \psi | \hat{B} | \psi \rangle &= \underbrace{\langle \psi_1 | \hat{A} | \psi_1 \rangle - \langle \psi_1 | \hat{B} | \psi_1 \rangle}_0 + |\lambda|^2 \underbrace{[\langle \psi_2 | \hat{A} | \psi_2 \rangle - \langle \psi_2 | \hat{B} | \psi_2 \rangle]}_0 \\ &\quad + \lambda [\langle \psi_1 | \hat{A} | \psi_2 \rangle - \langle \psi_1 | \hat{B} | \psi_2 \rangle] + \lambda^* [\langle \psi_2 | \hat{A} | \psi_1 \rangle - \langle \psi_2 | \hat{B} | \psi_1 \rangle] = 0. \end{aligned}$$

This equation must be satisfied for all complex  $\lambda$ , which is possible only if the expressions in the square brackets vanish. Indeed, substituting  $\lambda = 1$  and  $\lambda = i$ , we obtain

$$\left. \begin{aligned} [\langle \psi_1 | \hat{A} | \psi_2 \rangle - \langle \psi_1 | \hat{B} | \psi_2 \rangle] + [\langle \psi_2 | \hat{A} | \psi_1 \rangle - \langle \psi_2 | \hat{B} | \psi_1 \rangle] &= 0 \\ [\langle \psi_1 | \hat{A} | \psi_2 \rangle - \langle \psi_1 | \hat{B} | \psi_2 \rangle] - [\langle \psi_2 | \hat{A} | \psi_1 \rangle - \langle \psi_2 | \hat{B} | \psi_1 \rangle] &= 0 \end{aligned} \right\} \implies \langle \psi_1 | \hat{A} | \psi_2 \rangle = \langle \psi_1 | \hat{B} | \psi_2 \rangle. \quad \blacksquare$$

(b) It is obvious that  $\hat{A} = \hat{B}$  implies  $\langle \psi | \hat{A} | \psi \rangle = \langle \psi | \hat{B} | \psi \rangle$ . To show that  $\langle \psi | \hat{A} | \psi \rangle = \langle \psi | \hat{B} | \psi \rangle$  implies  $\hat{A} = \hat{B}$ , we pick an arbitrary orthonormal basis  $\{|\phi_n\rangle\}$ . By the result of part (a), components of vectors  $\hat{A}|\psi\rangle$  and  $\hat{B}|\psi\rangle$  in this basis coincide,  $\langle \phi_n | \hat{A} | \psi \rangle = \langle \phi_n | \hat{B} | \psi \rangle$ , hence these vectors are equal:  $\hat{A}|\psi\rangle = \hat{B}|\psi\rangle$  for all  $|\psi\rangle$ , i.e.,  $\hat{A} = \hat{B}$ .  $\blacksquare$

### Problem 10

Show that if  $\langle \psi | \hat{A} | \psi \rangle = \langle \psi | \hat{A}^\dagger | \psi \rangle^*$  for all  $|\psi\rangle$  then  $\langle \psi_1 | \hat{A} | \psi_2 \rangle = \langle \psi_2 | \hat{A}^\dagger | \psi_1 \rangle^*$  for all  $|\psi_1\rangle$  and  $|\psi_2\rangle$ .

*Suggestion.* Substitute  $|\psi\rangle = |\psi_1\rangle + \lambda |\psi_2\rangle$  into  $\langle \psi | \hat{A} | \psi \rangle = \langle \psi | \hat{A}^\dagger | \psi \rangle^*$  then set  $\lambda = 1$  and  $\lambda = i$  [cf. Problem 9(a)].

### Solution

Proceeding as suggested, we obtain

$$\begin{aligned} \langle \psi | \hat{A} | \psi \rangle - \langle \psi | \hat{A}^\dagger | \psi \rangle^* &= \underbrace{\langle \psi_1 | \hat{A} | \psi_1 \rangle - \langle \psi_1 | \hat{A}^\dagger | \psi_1 \rangle^*}_0 + |\lambda|^2 \underbrace{[\langle \psi_2 | \hat{A} | \psi_2 \rangle - \langle \psi_2 | \hat{A}^\dagger | \psi_2 \rangle^*]}_0 \\ &\quad + \lambda [\langle \psi_1 | \hat{A} | \psi_2 \rangle - \langle \psi_2 | \hat{A}^\dagger | \psi_1 \rangle^*] + \lambda^* [\langle \psi_2 | \hat{A} | \psi_1 \rangle - \langle \psi_1 | \hat{A}^\dagger | \psi_2 \rangle^*] = 0. \end{aligned}$$

Substituting here  $\lambda = 1$  and  $\lambda = i$  as in Problem 10(a), we get

$$\left. \begin{aligned} [\langle \psi_1 | \hat{A} | \psi_2 \rangle - \langle \psi_2 | \hat{A}^\dagger | \psi_1 \rangle^*] + [\langle \psi_2 | \hat{A} | \psi_1 \rangle - \langle \psi_1 | \hat{A}^\dagger | \psi_2 \rangle^*] &= 0 \\ [\langle \psi_1 | \hat{A} | \psi_2 \rangle - \langle \psi_2 | \hat{A}^\dagger | \psi_1 \rangle^*] - [\langle \psi_2 | \hat{A} | \psi_1 \rangle - \langle \psi_1 | \hat{A}^\dagger | \psi_2 \rangle^*] &= 0 \end{aligned} \right\} \implies \langle \psi_1 | \hat{A} | \psi_2 \rangle = \langle \psi_2 | \hat{A}^\dagger | \psi_1 \rangle^*. \quad \blacksquare$$

### Problem 11

- (a) Show that  $\frac{d}{d\lambda}[e^{\lambda\hat{A}}\hat{B}e^{-\lambda\hat{A}}] = e^{\lambda\hat{A}}[\hat{A},\hat{B}]e^{-\lambda\hat{A}}$  for all  $\hat{A}$  and  $\hat{B}$ .
- (b) Derive the expansion  $e^{\hat{A}}\hat{B}e^{-\hat{A}} = \hat{B} + [\hat{A},\hat{B}] + \frac{1}{2!}[\hat{A},[\hat{A},\hat{B}]] + \frac{1}{3!}[\hat{A},[\hat{A},[\hat{A},\hat{B}]]] + \dots$   
*Suggestion:* expand  $\hat{F}(\lambda) = e^{\lambda\hat{A}}\hat{B}e^{-\lambda\hat{A}}$  in Taylor series about  $\lambda = 0$ , then set  $\lambda = 1$ .

### Solution

- (a) We have

$$\frac{d}{d\lambda}[e^{\lambda\hat{A}}\hat{B}e^{-\lambda\hat{A}}] = \left(\frac{d}{d\lambda}e^{\lambda\hat{A}}\right)\hat{B}e^{-\lambda\hat{A}} + e^{\lambda\hat{A}}\hat{B}\left(\frac{d}{d\lambda}e^{-\lambda\hat{A}}\right) = e^{\lambda\hat{A}}\hat{A}\hat{B}e^{-\lambda\hat{A}} - e^{\lambda\hat{A}}\hat{B}\hat{A}e^{-\lambda\hat{A}} = e^{\lambda\hat{A}}[\hat{A},\hat{B}]e^{-\lambda\hat{A}}. \quad \blacksquare$$

- (b) Applying repeatedly the formula derived in part (a), we find

$$\begin{aligned}\hat{F}(\lambda) &= e^{\lambda\hat{A}}\hat{B}e^{-\lambda\hat{A}} \xrightarrow{\lambda \rightarrow 0} \hat{B}, \\ \frac{d}{d\lambda}\hat{F}(\lambda) &= e^{\lambda\hat{A}}[\hat{A},\hat{B}]e^{-\lambda\hat{A}} \xrightarrow{\lambda \rightarrow 0} [\hat{A},\hat{B}], \\ \frac{d^2}{d\lambda^2}\hat{F}(\lambda) &= e^{\lambda\hat{A}}[\hat{A},[\hat{A},\hat{B}]]e^{-\lambda\hat{A}} \xrightarrow{\lambda \rightarrow 0} [\hat{A},[\hat{A},\hat{B}]],\end{aligned}$$

and so on. Obviously,  $(n+1)$ -th order derivative of  $\hat{F}(\lambda)$  at  $\lambda = 0$  is given by the commutator of  $\hat{A}$  with  $n$ -th order derivative. Taylor expansion of  $\hat{F}(\lambda)$  about  $\lambda = 0$  then reads

$$\hat{F}(\lambda) = e^{\lambda\hat{A}}\hat{B}e^{-\lambda\hat{A}} = \sum_{n=0}^{\infty} \frac{\lambda^n}{n!} \hat{F}^{(n)}(0) = \hat{B} + \lambda[\hat{A},\hat{B}] + \frac{\lambda^2}{2!}[\hat{A},[\hat{A},\hat{B}]] + \frac{\lambda^3}{3!}[\hat{A},[\hat{A},[\hat{A},\hat{B}]]] + \dots$$

Setting here  $\lambda = 1$ , we arrive at  $e^{\hat{A}}\hat{B}e^{-\hat{A}} = \hat{B} + [\hat{A},\hat{B}] + \frac{1}{2!}[\hat{A},[\hat{A},\hat{B}]] + \frac{1}{3!}[\hat{A},[\hat{A},[\hat{A},\hat{B}]]] + \dots \quad \blacksquare$

### Problem 12

Operators  $\hat{A}$  and  $\hat{B}$  commute with their commutator:  $[\hat{A},[\hat{A},\hat{B}]] = [\hat{B},[\hat{A},\hat{B}]] = \hat{0}$ .

- (a) Show that  $[\hat{A},e^{\lambda\hat{B}}] = \lambda e^{\lambda\hat{B}}[\hat{A},\hat{B}]$ . *Suggestion:* use the expansion derived in Problem 11(b).
- (b) Show that  $\hat{F}(\lambda) = e^{\lambda\hat{A}}e^{\lambda\hat{B}}e^{-\lambda(\hat{A}+\hat{B})}$  obeys the differential equation  $\frac{d}{d\lambda}\hat{F}(\lambda) = \lambda[\hat{A},\hat{B}]\hat{F}(\lambda)$ .
- (c) By solving the equation derived in part (b), obtain the Baker-Hausdorff formula  $e^{\hat{A}}e^{\hat{B}} = e^{\hat{A}+\hat{B}}e^{\frac{1}{2}[\hat{A},\hat{B}]}$ .

### Solution

- (a) Because  $\hat{A}$  commutes with  $[\hat{A},\hat{B}]$ , the expansion of  $e^{-\lambda\hat{B}}\hat{A}e^{\lambda\hat{B}}$  [see Problem 11(b)] terminates at linear in  $\lambda$  term. Taking into account that  $e^{\lambda\hat{B}}e^{-\lambda\hat{B}} = \hat{1}$ , we obtain

$$\hat{A}e^{\lambda\hat{B}} = e^{\lambda\hat{B}}(e^{-\lambda\hat{B}}\hat{A}e^{\lambda\hat{B}}) = e^{\lambda\hat{B}}(\hat{A} + \lambda[\hat{A},\hat{B}]) = e^{\lambda\hat{B}}\hat{A} + \lambda e^{\lambda\hat{B}}[\hat{A},\hat{B}] \implies [\hat{A},e^{\lambda\hat{B}}] = \lambda e^{\lambda\hat{B}}[\hat{A},\hat{B}]. \quad \blacksquare$$

(b) We have

$$\begin{aligned}\frac{d}{d\lambda}\hat{F}(\lambda) &= \left(\frac{d}{d\lambda}e^{\lambda\hat{A}}\right)e^{\lambda\hat{B}}e^{-\lambda(\hat{A}+\hat{B})} + e^{\lambda\hat{A}}\left(\frac{d}{d\lambda}e^{\lambda\hat{B}}\right)e^{-\lambda(\hat{A}+\hat{B})} + e^{\lambda\hat{A}}e^{\lambda\hat{B}}\left(\frac{d}{d\lambda}e^{-\lambda(\hat{A}+\hat{B})}\right) \\ &= e^{\lambda\hat{A}}\left\{\hat{A}e^{\lambda\hat{B}} + e^{\lambda\hat{B}}\hat{B} - e^{\lambda\hat{B}}(\hat{A}+\hat{B})\right\}e^{-\lambda(\hat{A}+\hat{B})} = e^{\lambda\hat{A}}[\hat{A}, e^{\lambda\hat{B}}]e^{-\lambda(\hat{A}+\hat{B})}.\end{aligned}$$

Substituting here  $[\hat{A}, e^{\lambda\hat{B}}] = \lambda e^{\lambda\hat{B}}[\hat{A}, \hat{B}]$  [see part (a)] and taking into account that  $[\hat{A}, \hat{B}]$  commutes with both  $e^{\lambda\hat{A}}$  and  $e^{\lambda\hat{B}}$ , we obtain

$$\frac{d}{d\lambda}\hat{F}(\lambda) = e^{\lambda\hat{A}}\lambda e^{\lambda\hat{B}}[\hat{A}, \hat{B}]e^{-\lambda(\hat{A}+\hat{B})} = \lambda[\hat{A}, \hat{B}]e^{\lambda\hat{A}}e^{\lambda\hat{B}}e^{-\lambda(\hat{A}+\hat{B})} = \lambda[\hat{A}, \hat{B}]\hat{F}(\lambda). \quad \blacksquare$$

(c) Introducing new variable  $t = \lambda^2/2$ , we rewrite the differential equation derived in part (b) as

$$\frac{d}{dt}\hat{F}(t) = [\hat{A}, \hat{B}]\hat{F}(t).$$

Solution of this equation subject to the condition  $\hat{F}(0) = \hat{1}$  is unique and reads  $\hat{F}(t) = e^{t[\hat{A}, \hat{B}]}$ . Restoring the original variable  $\lambda$ , we get

$$\hat{F}(\lambda) = e^{\lambda\hat{A}}e^{\lambda\hat{B}}e^{-\lambda(\hat{A}+\hat{B})} = e^{(\lambda^2/2)[\hat{A}, \hat{B}]}.$$

Setting here  $\lambda = 1$ , multiplying both sides of the resulting equation by  $e^{\hat{A}+\hat{B}}$ , and taking into account that because the operators  $[\hat{A}, \hat{B}]$  and  $\hat{A} + \hat{B}$  commute, so do their exponents, we arrive at the Baker-Hausdorff formula:

$$e^{\hat{A}}e^{\hat{B}}e^{-(\hat{A}+\hat{B})} = e^{\frac{1}{2}[\hat{A}, \hat{B}]} \implies e^{\hat{A}}e^{\hat{B}} = e^{\frac{1}{2}[\hat{A}, \hat{B}]}e^{\hat{A}+\hat{B}} = e^{\hat{A}+\hat{B}}e^{\frac{1}{2}[\hat{A}, \hat{B}]}. \quad \blacksquare$$

### Problem 13

By definition, *trace* of a linear operator acting in a finite-dimensional Hilbert space is the sum of its diagonal matrix elements:  $\text{tr} \hat{A} = \sum_n \langle \phi_n | \hat{A} | \phi_n \rangle$ , where  $\{|\phi_n\rangle\}$  is an orthonormal basis. (In an infinitely-dimensional space the sum in  $\text{tr} \hat{A}$  turns to an infinite series, which does not have to converge, let alone converge absolutely; equating two such divergent series would be meaningless.)

(a) Show that  $\text{tr} \hat{A}$  is independent of the choice of an orthonormal basis.

That is, show that  $\sum_n \langle \phi_n | \hat{A} | \phi_n \rangle = \sum_n \langle \varphi_n | \hat{A} | \varphi_n \rangle$ , where  $\{|\phi_n\rangle\}$  and  $\{|\varphi_n\rangle\}$  are two orthonormal basis sets.

(b) Show that  $\text{tr}(\hat{A}\hat{B}) = \text{tr}(\hat{B}\hat{A})$ .

(c) Find  $\text{tr} \hat{A}$  for  $\hat{A} = |\varphi\rangle\langle\psi|$ , where  $|\varphi\rangle$  and  $|\psi\rangle$  are arbitrary vectors.

### Solution

$$\begin{aligned}\text{(a)} \quad \text{tr} \hat{A} &= \sum_n \langle \phi_n | \hat{A} | \phi_n \rangle = \sum_n \langle \phi_n | \hat{1} \hat{A} \hat{1} | \phi_n \rangle = \sum_{n,m,k} \langle \phi_n | \varphi_m \rangle \langle \varphi_m | \hat{A} | \varphi_k \rangle \langle \varphi_k | \phi_n \rangle \\ &= \sum_{m,k} \langle \varphi_m | \hat{A} | \varphi_k \rangle \sum_n \langle \varphi_k | \phi_n \rangle \langle \phi_n | \varphi_m \rangle = \sum_{m,k} \langle \varphi_m | \hat{A} | \varphi_k \rangle \underbrace{\langle \varphi_k | \hat{1} | \varphi_m \rangle}_{\delta_{k,m}} = \sum_m \langle \varphi_m | \hat{A} | \varphi_m \rangle. \quad \blacksquare\end{aligned}$$

$$\begin{aligned}\text{(b)} \quad \text{tr}(\hat{A}\hat{B}) &= \sum_n \langle \phi_n | \hat{A}\hat{B} | \phi_n \rangle = \sum_n \langle \phi_n | \hat{A} \hat{1} \hat{B} | \phi_n \rangle = \sum_{n,m} \langle \phi_n | \hat{A} | \phi_m \rangle \langle \phi_m | \hat{B} | \phi_n \rangle \\ &= \sum_{n,m} \langle \phi_m | \hat{B} | \phi_n \rangle \langle \phi_n | \hat{A} | \phi_m \rangle = \sum_m \langle \phi_m | \hat{B} \hat{1} \hat{A} | \phi_m \rangle = \sum_m \langle \phi_m | \hat{B} \hat{A} | \phi_m \rangle = \text{tr}(\hat{B}\hat{A}). \quad \blacksquare\end{aligned}$$

$$\text{(c)} \quad \text{tr}(|\varphi\rangle\langle\psi|) = \sum_n \langle \phi_n | \varphi \rangle \langle \psi | \phi_n \rangle = \sum_n \langle \psi | \phi_n \rangle \langle \phi_n | \varphi \rangle = \langle \psi | \hat{1} | \varphi \rangle = \langle \psi | \varphi \rangle.$$