

Lecture 8: The List Data Structure

Wholeness of the Lesson

The List ADT is one of the most general data types, capable of supporting most needs for storing a collection of objects in memory. Different implementations of this data type provide optimizations for different operations – such as insert, delete, find – that are typically supported by Lists. Lists give expression to the natural tendency of pure intelligence to express itself through a sequential unfoldment.

Outline of Topics

- List ADT, Array Lists and including sort and search
- Linked Lists singly linked, headers, doubly linked, circular linked
- Lists before and after jse5.o
- The List interface, the AbstractList class, and Iterator
- Collections.sort,
 Collections.binarySearch, and
 RandomAccess
- Four ways of Iterating Through Elements in a List
- Comparators

The List Abstract Data Type

- "List" is known as an *abstract data type* (ADT) consisting of a sequence of objects and operations on them.
- Typical operations:

find(Object o)	returns position of first occurrence
findKth(int pos)	returns element based on index
insert(Object o, int pos)	inserts object into specified position
remove(Object o)	removes object
printList()	outputs all elements
makeEmpty()	empties the List

- Other operations are sometimes included, like "contains".
- Can be implemented in more than one way. Array List is one such implementation.

A Growable Array

- Arrays Are Very Efficient Arrays are data structures that provide "random access" to elements to find the *i*th entry, there is no need to traverse the elements prior to the *i*th in order to locate the *i*th entry.
- Arrays Inconvenient Because of Fixed Length. Arrays are inconvenient sometimes because it is necessary to commit to a fixed array size before adding elements. If the number of elements then exceeds the array size, a new larger array must be created to accommodate the new elements, and old elements have to be copied into the new array. There are similar problems involved in removing elements and in inserting elements into a specified position.
- ArrayList. A convenient data structure that saves the explicit effort of recopying. Here, all the work required to copy over elements into a new array for insert, remove, and adding operations is encapsulated in the class.
- Example: MyStringList in lesson8.demo.mystringlist

Array Operations Can Be Included in An Array List's Set of Methods

- We consider two operations: sorting and searching a sorted array
- There are many sorting algorithms; Java provides a sorting routine as part of its API. We will consider a simple one for illustration.
- MinSort uses the following approach to perform sorting an array A of integers.
 - Start by creating a new array B that will hold the final sorted values
 - Find the minimum value in A, remove it from A, and place it in position 0 in B.
 - Place the minimum value of the remaining elements of A in position 1 in array B.
 - Continue placing the minimum value of the remaining elements of A in the next available position in B until A is empty.

MinSort for Arrays

• *In-Place MinSort*. MinSort can be implemented without an auxiliary array. This is done by performing a swap after each min value is found. Here is the code:

```
//arr is given as input
int[] arr;
public void sort(){
   if(arr == null || arr.length <=1) return;
   int len = arr.length;
   for(int i = 0; i < len; ++i){
      //find position of min value from arr[i] to arr[len-1]
      int nextMinPos = minpos(i,len-1);
      //place this min value at position i
      swap(i, nextMinPos);
void swap(int i, int j){
    String temp = strArray[i];
    strArray[i] = strArray[j];
    strArray[j] = temp;
```

(continued)

Prog8_1: Include a version of MinSort in MyStringList. Since Strings will be compared instead of ints, you will need to use Strings compareTo method.

Searching a Sorted Array with Binary Search

• If an array arr of integers is already sorted, we can search for a given integer testVal in a very efficient way using the binary search strategy described in Lab 7-3:

Let mid = arr[arr.length/2] (the value in the middle position of the array).

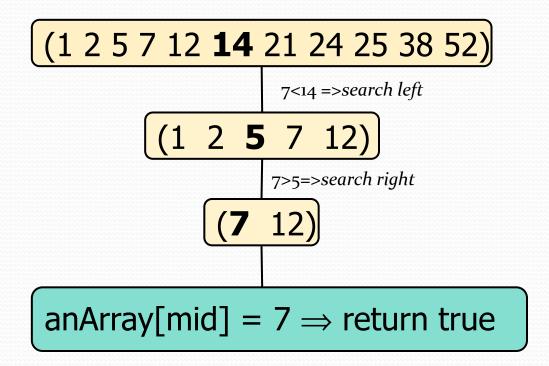
- If testVal == mid, return true
- Else if testVal < mid, search for testVal in the left half of the array
- Else if testVal > mid, search for testVal in the right half of the array

Here is the code for binary search, applied to sorted arrays.

```
int[] anArray; //instance variable
public boolean search(int val) {
  boolean b = recSearch(0, anArray.length-1, val);
  return b;
private boolean recSearch(
       int lower, int upper, int val) {
  int mid = (lower + upper)/2;
  if(anArray[mid] == val) return true;
  if(lower > upper) return false;
  if(val > anArray[mid]) {
     return recSearch (mid + 1, upper, val);
  return recurse (lower, mid - 1, val);
```

Example

Search key val = 7



Example

```
Search key val = 20
(1 2 5 7 12 14 21 24 25 38)
                   20>12=>search right
      (14 21 24 25 38)
                   20<24=>search left
                    20>14=>search right
                    20<21=>search left
 lower >upper⇒ return false
```

Searching a Sorted Array with Binary Search

- The strategy of repeatedly cutting the size of the search domain by a factor of 2 makes this algorithm highly efficient. It does NOT work if the array is not already sorted.
- Prog8_1: Implement a version of binary search in MyStringList.

Hint: You will replace == with equals and < with
compareTo when working with Strings.</pre>

Inefficiencies of Array List insert, add, remove Operations

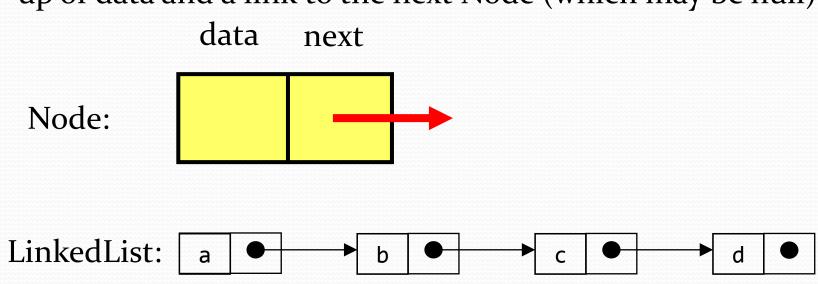
• If in using an Array List, the operations remove, insert, and add are used predominantly, performance is not optimal because of repeated resizing and other steps that require array copying. For such purposes, another implementation of "List" is better.

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LinkedList Implementation of LIST: Concept of a Node

 A LinkedList consists of Nodes. Nodes are structures made up of data and a link to the next Node (which may be null).



Node Data Structure

```
public class Node {
    String data;
    Node next;
}
```

- In class exercise: build a linked list using the node data structure.
- See package lesson8.node

LinkedList Implementation of LIST

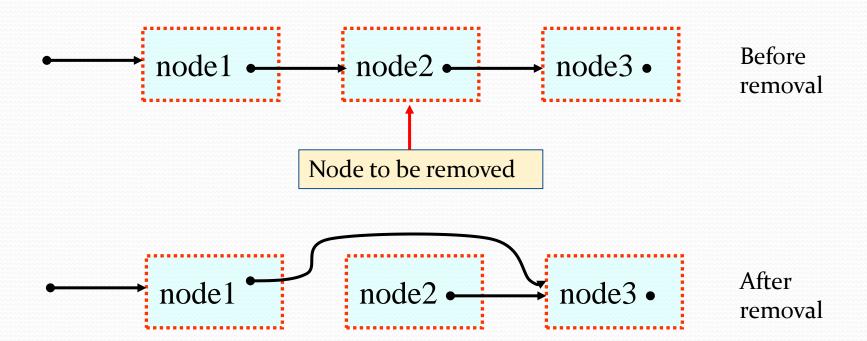
- The Need: Improve performance of *insert*, *remove*, *add*, and avoid the cost of resizing incurred by the array implementation.
- *Operations* Placed *inside* the Linked List instead of being executed from an external class (as in examples above).
 - search requires traversing the Nodes via links, starting at the first Node (as in previous slide).
 - *insert* requires traversing the Nodes to locate position and adjusting links
 - remove requires doing a *find*, and when the object is found, the *previous* object has to be located so that it can be linked to the *next* object

Implementing search Operation

 search requires traversing the Nodes via links, starting at the first Node

```
boolean search(String s) {
    if(s == null) return false;
    Node temp = startNode;
    while(temp != null) {
        String t = temp.data;
        if(s.equals(t)) {
            return true;
        temp = temp.next;
    return false;
```

Implementing remove Operation



Implementing remove Operation

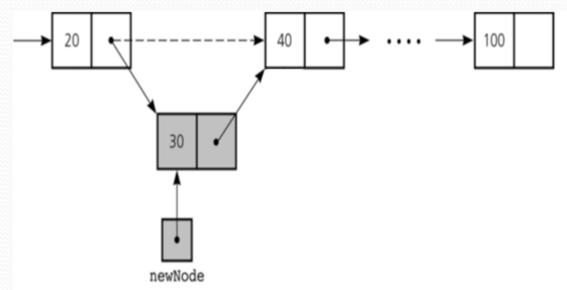
- Finding the previous node.
 - Could invoke a routine to go back to the beginning and locate the previous Node
 - remove method could maintain a reference to previous Node

```
void removeNode(String s) {
   if(s == null) return;
   if(startNode != null && startNode.data.equals(s)){
        startNode = startNode.next;
        return;
   Node previous = startNode;
   Node temp = startNode.next;
   while(temp != null) {
        if(s.equals(temp.data)) {
            previous.next = temp.next;
            return;
        previous = temp;
        temp = temp.next;
```

Exercise: Implementing *Insert* Operation

- insert requires traversing the Nodes to locate position and adjusting links
- inserts a new Node contain data so that its position in the list is now pos

void insert(String data, int pos)



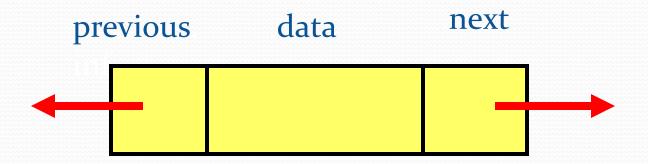
Linked Lists with Headers

header null •

- A header is a Node that contains no data, can never be removed, and has a link to first Node.
- Sometimes used to make remove operation uniform for all Nodes (removing first Node no longer a special case)
- Demo: lesson8.singlylinked

Doubly Linked List

 Each node contains three fields: data stored in the node, a link to the previous node and a link to the next node.

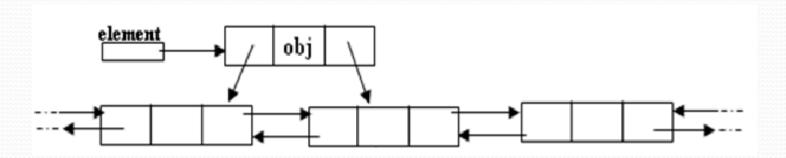


Implementation of Doubly Linked List

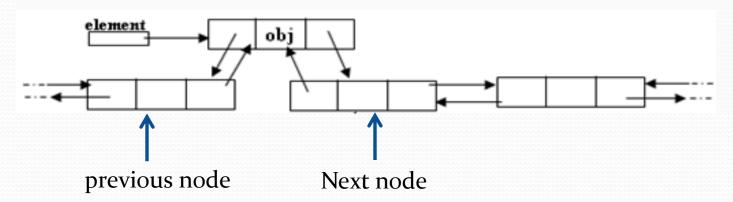
```
class Node {
  String value;
  Node next;
  Node previous;
  Node (Node next, Node previous,
        String value) {
    this.next = next;
    this.previous = previous;
    this.value = value;
                                Question: How to
                                implement the insert
                                and remove operation?
```

• See Demo (lesson8.DoublyLinkedList)

Inserting after a node

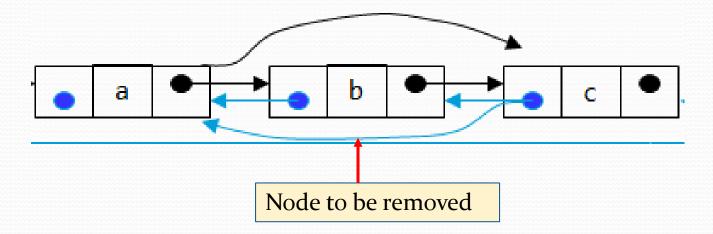


Making next and previous pointer of the new node point to the correct nodes



Adjusting the next and previous pointers of the nodes before and after new node

Deletion of a node



Adjusting the next and previous pointers of the nodes before and after node to be removed

Circular Linked Lists

- In a circular linked list, the last element has a link to the first
- If a header is used, the last element links to the header
- If the LinkedList is doubly linked, and has a header, header.previous points to the last element as well
- Making a doubly linked list circular cuts the search time for the operations insert (Object o, int pos) and findKth in half.

Main Point

The List ADT captures the abstract notion of a "list"; it specifies certain operations that any kind of list should support (for example, *find*, *findKth*, *insert*, *remove*), without specifying the details of implementation.

Different concrete implementations of this abstract data type (such as Array Lists and Linked Lists) meet the contract of the List ADT using different implementation strategies. Likewise, pure awareness is an abstraction of individual awareness; each individual provides a specific, concrete realization of pure consciousness.

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Genericising the Objects Stored in a List

- One difficulty with our examples of Lists –
 MyStringList and MyStringLinkedList is that they
 don't work if the objects we wish to store are not
 Strings.
- Unsatisfactory Solution: Rewrite the List code for each type as the need arises. E.g. MyEmployeeList, MyIntegerList, MyAccountList. . .
- A Better Solution: Could create a List that stores elements of type Object.

Example: MyObjectList

```
public class MyObjectList {
 private final int INITIAL LENGTH = 4;
 private Object[] objArray;
 private int size;
 public MyObjectList() {
    objArray = new Object[INITIAL LENGTH];
    size = 0;
 public void add(Object ob) {
    if (size == objArray.length) resize();
    objArray[size++] = s;
//USAGE
MyObjectList list = new MyObjectList();
list.add("Bob");
list.add("Sally");
String name = (String) list.get(1); //downcast necessary
```

Example: MyObjectLinkedList

```
public class MyObjectLinkedList {
 Node header:
 MyObjectLinkedList () {
     header = new Node(null, null, null);
 public void addFirst(Object item) {
     Node n = new Node (header.next, header, item);
     if(header.next != null) {
        header.next.previous = n;
     header.next = n;
                                      //USAGE
                                      MyObjectLinkedList list
                                          = new MyObjectLinkedList();
                                      list.add("Bob");
 class Node {
                                      list.add("Sally");
     Object value;
                                      String name = (String)list.get(1);
    Node next;
    Node previous;
     Node (Node next, Node previous, Object value) {
        this.next = next;
        this.previous = previous;
        this.value = value;
```

Java's Approach (before jdk 1.5)

- Before j2se5.0, Java provided versions of these two kinds of Lists having implementations similar to the above.
- Java's ArrayList. This is an array-backed list that accepts any type of object, like MyObjectList above.

Usage:

```
ArrayList list = new ArrayList();
list.add("Bob");
list.add("Sally");

String name = (String)list.get(1);
```

• Java's LinkedList. This is a linked list that accepts any type of object, like MyObjectLinkedList above.

Usage:

```
LinkedList list = new LinkedList();
list.add("Bob");
list.add("Sally");
String name = (String)list.get(1);
```

Lists and Iteration in JSE5.0

- To do away with the downcasting and support compiler type checking, the Java designers created *parametrized lists* in j2se5.0.
- An example of an undesirable aspect of old-style lists (which parametrized lists fix) is the following:

```
List list2 = new ArrayList();
list2.add("mike");
Integer i = (Integer) list2.get(0);
```

Runtime exception because there is no compiler checking of types in a collection. (Why is this pattern undesirable?)

From j2se5.0 on, Lists include a generic parameter.
 Here are declarations from the Java library:

```
class ArrayList<E> implements List<E> {
   ArrayList<E>() {
class LinkedList<E> implements List<E> {
   LinkedList<E>() {
interface List<E> {
   void add(E ob);
   E get(int pos);
   boolean remove (E ob);
   int size();
```

```
Demo: lesson8.generic.list
//USAGE
List<String> list = new ArrayList<String>();
list.add("Bob");
list.add("Sally");
String name = list.get(0); //no downcast required
//iterate using for each construct - no
downcasting //needed
for(String s : list) {
 //do something with s
//clumsy runtime exceptions are now replaced by
//compiler errors
List<Integer> list = new ArrayList<Integer>();
     list.add(new Integer(1));
list.add(new Integer(3));
//list.add("5"); //compiler won't allow this
```

Restrictions on Parametrized Types

 Rules for Java syntax forbid the creation (but not declaration) of an array of parametrized Lists:

Subtypes of parametrized types may seem unexpected:

```
ArrayList<Manager> is a subtype of List<Manager>
ArrayList<Employee> is a subtype of List<Employee>
BUT
ArrayList<Manager> is NOT a subtype of List<Employee> or even of ArrayList<Employee>
```

Miscellaneous Facts About Java Lists

Inferred Types in JSE 7 and After:

When creating an instance of a parametrized type, the parameter can be dropped in the construction step:

```
List<String> list = new ArrayList<>();
is the same as:
   List<String> list = new ArrayList<String>();
```

Using Lists with Primitives

- Lists in Java are designed to aggregate objects, not primitives.
- Autoboxing allows you to use lists with primitives transparently

```
List<Integer> list = new ArrayList<>();
list.add(5); //5 converted to Integer type
```

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Java's List Interface

- Both ArrayList and LinkedList implement the List interface in the Collections library.
- The operations declared on the List interface are identical to the operations in ArrayList and LinkedList – here is a partial catalogue:

```
interface List<E> {
   void add(E ob);
   E get(int pos);
   boolean remove(E ob);
   int size();
   . . .
}
```

Programming to the Interface

- Always type your lists as List (as implementers of the List interface)
 - Supports polymorphism
 - Adds flexibility to your implementation

```
Example: Start with ArrayList:
```

```
List<String> myList = new ArrayList<>();
myList.add("Bob");
myList.add("Dave");
```

Later, decide to switch to LinkedList:

```
List<String> myList = new LinkedList<>(); //one small change
myList.add("Bob");
myList.add("Dave");
```

Using Your List with the Collections API

• There is a Collections class in the Java library that has many methods like the ones in Arrays.

```
Collections.sort(List list)
Collections.binarySearch(List list)
```

- If you are defining your own list (like MyStringList), it is desirable to be able to make use of these methods in Collections.
- To use Collections.sort or Collections.binarySearch with your list, your list must implement the List interface

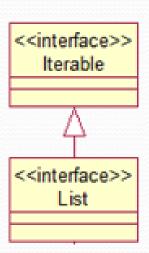
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• Every implementer of the List interface must implement the super-interface Iterable, which means that implementers must provide their own iterators.

```
Java's Iterable Interface:

interface Iterable {
    Iterator iterator();
}
```

```
Java's Iterator Interface:
E is generic type parameter.
interface Iterator<E> {
    boolean hasNext();
    E next();
}
```



Java's Iterator

- Since Java's List classes implement the List interface, every type of Java List is equipped with an implementation of Iterator
- Two examples: (see lesson8.iterator)
 - Direct use of an Iterator
 - The for each construct

Sample Implementation of Iterable

Demo: lesson8.demo.MyStringList

```
class MyStringList implements Iterable {
     //. . . .
 public Iterator iterator() {
     return new MyIterator();
 private class MyIterator implements Iterator {
     private int position;
     MyIterator() {
        position = 0;
     public boolean hasNext() {
        return (position < size);
     public Object next() throws IndexOutOfBoundsException {
         if(!hasNext()) throw new IndexOutOfBoundsException();
        return strArray[position++];
     public void reset() {
        position = 0;
```

(continued)

```
public static void main(String[] args) {
  MyStringList l = new MyStringList();
  1.add("Bob");
  l.add("Steve");
  1.add("Susan");
  1.add("Mark");
  1.add("Dave");
  Iterator iterator = l.iterator();
  //can explicitly use the iterator
  while(iterator.hasNext()){
     System.out.println(iterator.next());
```

Using AbstractList with Your List Class

- In addition to the iterator method, implementers of List must also implement 14 other abstract List methods.
- Instead of implementing all the methods in the List interface, you can use default implementations provided by the AbstractList class.
- AbstractList has
 - One abstract method: get(int i)
 - Three methods that need to be overridden: add, remove, set (by default each of these throws an UnsupportedOperationException).
- Big advantage. Using AbstractList as a superclass for your list implementations provides you with an implementation of Iterator, saving you from the effort of implementing your own.

Example: Extending AbstractList

```
//declare your list to extend AbstractList
public class MyStringList extends AbstractList { ... }
public class Test {
   public static void main(String[] args) {
      MyStringList l = new MyStringList();
      1.add("Bob");
      1.add("Steve");
      1.add("Susan");
      1.add("Mark");
      1.add("Dave");
      //uses the implementation provided in AbstractList
      Iterator iterator = l.iterator();
      while(iterator.hasNext()){
         System.out.println(iterator.next());
```

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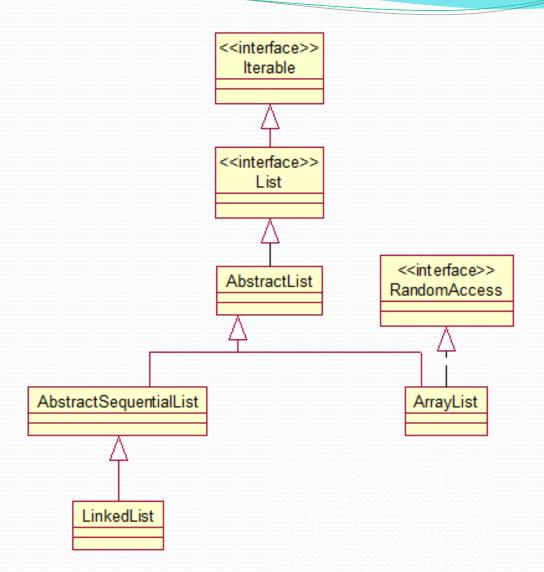
Using Collections Methods

• Java provides sort and binarySearch methods for all of its lists (and other types of collections), by way of the Collections class.

```
List<String> myList = new ArrayList<String>();
//populate it with a long list of first names, and then....
Collections.sort(myList);
int pos = Collections.binarySearch(myList, "Dave");
```

• As you will see in the labs for this lesson, sorting and searching are accomplished in different ways for different lists. It is possible to rewrite MinSort in the context of linked lists so that it is approximately as efficient as the MinSort for array lists. However, this is not true for binary search. Any known binary search implementation on linked lists is no more efficient than just doing a "find" operation. [This fact is part of the motivation for the invention of Binary Search Trees, which we will discuss later.]

- The reason is that linked lists lack random access, so finding the value in the middle of the list is a costly operation. For this reason, Sun's ArrayList implements a "tag interface" RandomAccess. Then, when you call the binarySearch method on Collections for an ArrayList, the method recognizes that the list implements RandomAccess, and therefore uses a binarySearch implementation discussed in the beginning of this lecture. But if you pass in a LinkedList, a slower algorithm is usually used (since no faster algorithm exists).
- If you want to use the Collections binarySearch method on your own array-based list, your list must implement the List interface, and, to ensure that the binarySearch implementation is efficient, it must also implement the RandomAccess interface.



Summary for ArrayList and LinkedList

ArrayList	Linked list
Fixed size of background array: Resizing is expensive	Dynamic size
Insertions and Deletions are inefficient: Background array must be reconstructed frequently	Insertions and Deletions are efficient since these require only small changes in links
Random access i.e., efficient indexing	No random access Not suitable for operations requiring accessing elements by index such as sorting
No memory waste if the array is full or almost full; otherwise may result in much memory waste.	Since memory is allocated dynamically, there is no waste of memory.

Main Point

An Array List encapsulates the random access behavior of arrays, and incorporates automatic resizing and optionally may include support for sorting and searching. Using a style of sequential access instead, Linked Lists improve performance of insertions and deletions, but at the cost of losing fast element access by index.

Random and sequential access provide analogies for forms of gaining knowledge. Knowledge by way of the intellect is always sequential, requiring steps of logic to arrive at an item of knowledge. Knowing by intuition (*prathibha*), or by way of *ritam-bhara pragya*, is knowing the truth without steps – a kind of "random access" mode of gaining knowledge.

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- Demo: lesson8.traverse
- First way Using for loops

```
List<String> list = new ArrayList<>();
.....
String next = null;
for(int i = 0; i < list.size(); ++i) {
   next = list.get(i);
   //do something with next
}</pre>
```

Second way – Using Iterator

```
String next = null;
Iterator<String> iterator = list.iterator();
while(iterator.hasNext()) {
   next = iterator.next();
   //do something
}
```

 Third way – Using for each construct (the list has to implement Iterable in order to use for each construct)

```
//use the for each construct, which uses an
//iterator in the background

for(String str : list) {
    //do something
}
```

- Fourth way Using Java 8's New forEach Function
- A default method forEach was added to the Iterable interface. Consequently, any Java library class that implements Iterable, as well as any user-defined class that implements Iterable, has automatic access to this new method.

The forEach method takes a lambda expression of the form x -> function(x) where function(x) does not return a value.

• Examples:

```
//Java's List
List<String> javaList
        = new ArrayList<>();
javaList.add("Bob");
javaList.add("Carol");
javaList.add("Steve);
javaList.forEach(
     name ->
       System.out.println(name)
);
//output
Bob
Carol
Steve
```

```
//User-defined list that
//implements Iterable
MyStringList list = new
MyStringList();
list.add("Bob");
list.add("Carol");
list.add("Steve);
list.forEach(
     name ->
       System.out.println(name));
//output
Bob
Carol
Steve
```

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Comparing Objects for Sorting and Searching

- Java supports sorting of many types of objects. To sort a list of objects, it is necessary to have some "ordering" on the objects. For example, there is a natural ordering on numbers and on Strings. But what about a list of Employee objects?
- In practice, we may want to sort business objects in different ways. An Employee list could be sorted by name, salary or hire date.

Employee Data		
Name	Hire Date	Salary
Joe Smith	11/23/2000	50000
Susan Randolph	2/14/2002	60000
Ronald Richards	1/1/2005	70000

- Implementing the Comparable interface allows you to sort a list of Employees with reference to one primary field for instance, you could sort by name or by salary, but you do not have the option to change this primary field.
- A more flexible interface for such requirements is provided by the **Comparator** interface, whose only method is **compare()**. Like lists, in j2se5.0, Comparators are parametrized.

```
public interface Comparator<T> {
   int compare(T o1, T o2);
}
```

• The compare method is expected to behave in the following way:

For objects a and b,

- compare(a,b) returns a negative number if a is "less than" b
- compare(a,b) returns a positive number if a is "greater than" b
- compare(a,b) returns 0 if a "equals" b

Comparators and the compare

Contract

The compare contract for Comparators:

It *must* be true that:

- a is "less than" b if and only if b is "greater than" a
- if a is "less than" b and b is "less than" c, then a must be "less than" c.

It should also be true that the Comparator is consistent with equals; in other words:

compare(a,b) == 0 if and only if a.equals(b)

If a Comparator is not consistent with equals, problems can arise when using different container classes. For instance, the contains method of a Java List uses equals to decide if an object is in a list. However, containers that maintain the order relationship among elements (like TreeSet - more on this one later) check whether the output of compare is 0 to implement contains. See demo lesson8.consisequals

Example: A Name Comparator

Demo: lesson8.comparator

```
// Assumes Employee contains just name and hireDate as
// instance variables
public class NameComparator implements Comparator<Employee> {
     //is this implementation consistent with equals?
   public int compare(Employee e1, Employee e2) {
      return e1.getName().compareTo(e2.getName());
public class EmployeeSort {
   public static void main(String[] args) {
      new EmployeeSort();
   public EmployeeSort() {
      Employee[] empArray =
          {new Employee("George", 1996,11,5),
          new Employee ("Dave", 2000, 1, 3),
          new Employee("Richard", 2001, 2, 7)};
      List<Employee> empList = Arrays.asList(empArray);
      Comparator<Employee> nameComp = new NameComparator();
      Collections.sort(empList, nameComp);
      System.out.println(empList);
public class Employee {
   private String firstName;
   private Date hireDate;
```

Question

• How can the Comparator in the previous example be made consistent with equals?

Solution

```
public class NameComparator implements
       Comparator<Employee> {
  // consistent with equals
  public int compare(Employee e1, Employee e2) {
     String name1 = e1.getName();
     String name2 = e2.getName();
     Date hireDate1 = e1.getHireDay();
     Date hireDate2 = e2.getHireDay();
     if (name1.compareTo(name2) != 0) {
       return name1.compareTo(name2);
     //in this case, namel.equals(name2) is true
     return hireDate1.compareTo(hireDate2);
```

Connecting the Parts of Knowledge With the Wholeness of Knowledge

All knowledge contained in point

- An implementation of the abstract class AbstractSequentialList in Java (such as a LinkedList) results in a list that has only sequential access to its elements.
- 2. An implementation of the RandomAccess interface in Java (such as ArrayList and Vector) results in a list that has random access (and therefore, effectively, instantaneous access) to its elements.
- 3. <u>Transcendental Consciousness:</u> TC is the home of all knowledge. All knowledge has its basis in the unbounded field of pure consciousness.
- 4. Wholeness moving within itself: In Unity Consciousness, when the home of all knowledge has become fully integrated in all phases of life, it is possible to know anything, any particular thing, instantly.