

Lecture 9.1

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Minimum Spanning Trees with Greedy Algorithms

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Spanning Trees

A review of graph theory:

- A graph is **undirected** when its edges do not have direction. An undirected graph is called **connected** if there is a path between every pair of vertices.
- An undirected graph with no simple cycles is called **acyclic**. A tree is an **acyclic, connected, undirected graph**.
- A **spanning tree** for a given graph is a connected subgraph that contains **all the vertices of the given graph** and is a tree.
- A spanning tree can be defined as an undirected graph $G = (V, E)$, where V is the set of vertices and E is the set of edges

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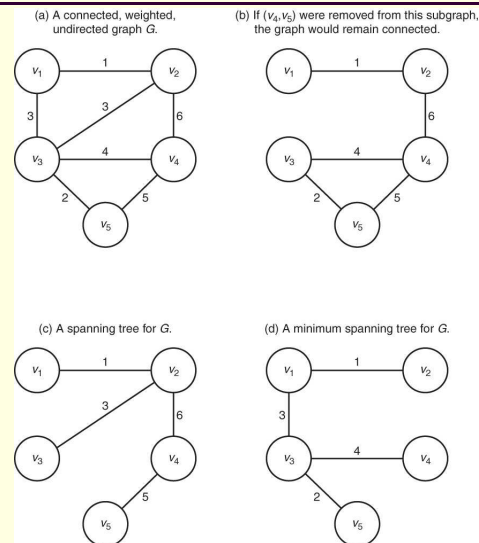
Minimum Spanning Trees

- If G is a weighted graph, the spanning tree will have a total weight.
- A graph may have different spanning trees, but not every spanning tree has the minimum weight
- A spanning tree with minimum weight is called minimum spanning tree
- The problem of finding the minimum spanning tree in an undirected, weighted, connected graph has many applications such as Google Maps, networking in telecommunications, operations research etc.
- We can represent such graphs using an adjacency matrix
- We will look at two algorithms (Prim's and Kruskal's) which produce minimum spanning trees

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Minimum Spanning Tree Formation



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Prim's Algorithm

- Prim's algorithm starts with an empty subset of edges F and a subset of vertices Y initialized to contain an arbitrary vertex - we can initialize Y to $\{v_1\}$
- The set of all vertices is the set V
- A vertex nearest to Y is a vertex in $V - Y$ that is connected to a vertex in Y by an edge of minimum weight
- The vertex that is nearest to Y is added to Y and the edge is added to F
- Repeat these steps until all vertices have been included in the set Y

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Pseudocode for Prim's Algorithm

```

F = ∅; // Initialize set of edges
Y = {v1}; // to empty.
// Initialize set of vertices to
// contain only the first one.

while (the instance is not solved){

    select a vertex in V - Y that is // selection procedure and
    nearest to Y; // feasibility check

    add the vertex to Y;
    add the edge to F;

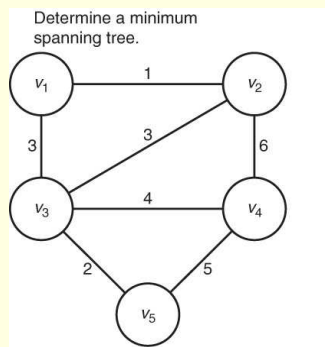
    if (Y == V) // solution check
        the instance is solved;
}
    
```

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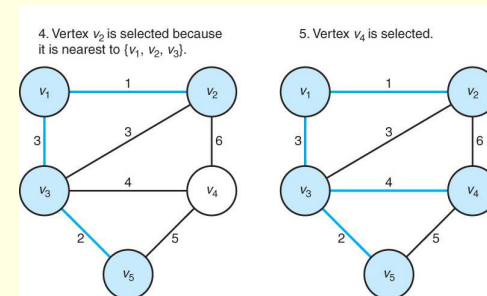
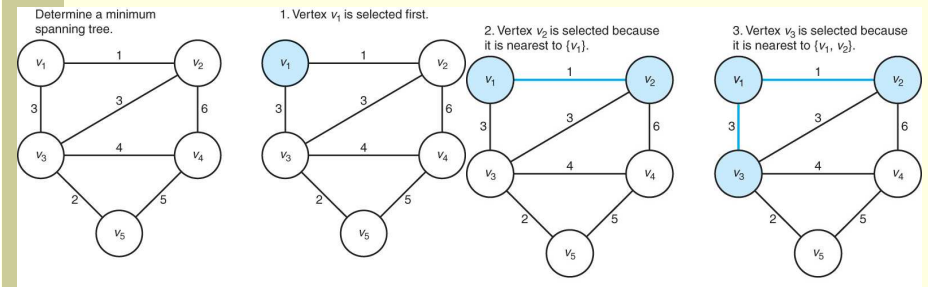
Example

- Find the minimum spanning tree for the following graph:



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Kruskal's Algorithm

- Kruskal's algorithm starts by creating disjoint subsets of V – one for each vertex and containing only that vertex, and an empty set of edges F
- It then inspects the edges according to increasing weight
- If an edge connects two vertices in disjoint subsets, the edge is added to F and the subsets are merged into one set
- This process is repeated until all the subsets are merged into one set and the final set F gives the minimum spanning tree

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Pseudocode – Kruskal's algorithm

```

 $F = \emptyset;$  // Initialize set of
// edges to empty.
create disjoint subsets of  $V$ , one for each
vertex and containing only that vertex;

sort the edges in  $E$  in nondecreasing order;

while (the instance is not solved){

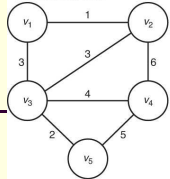
    select next edge; // selection procedure

    if (the edge connects two vertices in // feasibility check
        disjoint subsets){
        merge the subsets;
        add the edge to  $F$ ;
    }
    if (all the subsets are merged) // solution check
        the instance is solved;
}
    
```

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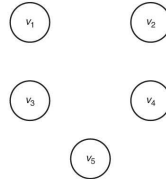
Determine a minimum spanning tree.



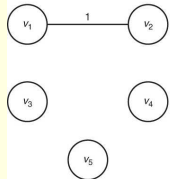
1. Edges are sorted by weight.

(v_1, v_2) 1
 (v_3, v_5) 2
 (v_1, v_3) 3
 (v_2, v_3) 3
 (v_3, v_4) 4
 (v_1, v_4) 6
 (v_4, v_5) 5
 (v_2, v_4) 6

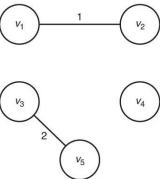
2. Disjoint set are created.



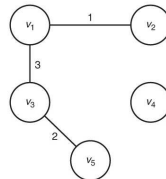
3. Edge (v_1, v_2) is selected.



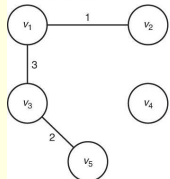
4. Edge (v_3, v_5) is selected.



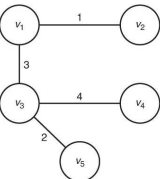
5. Edge (v_1, v_3) is selected.



6. Edge (v_2, v_3) is selected.



7. Edge (v_3, v_4) is selected.



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