

# Dynamical systems theory $\cap$ Knot theory

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# 1 Introduction and background

This report is heavily based on Robert Ghrist's and Robert Holmes' *An ODE whose solutions contain all knots and links* [1]. In that manuscript, Ghrist gives an excellent high-level exposition of a proof that an ODE containing all knots and links as periodic orbits exists, building heavily off of his existing work in this area. His manuscript is chock full of interesting side notes; the connection between knot theory and dynamical systems is so rich that it's difficult to not get distracted.

Rather than pointlessly recapitulate what Ghrist has already stated so elegantly, we seek to give a more compact and (mostly) self-contained exposition of the beautiful proof that the required ODE exists. The reader is encouraged to refer to Ghrist's review papers [1][2] to see many many interesting footnotes and connections that will not make it into this work.

We will inevitably be unable to resist the temptation to discuss at least a few interesting connections and questions. The last section of this manuscript is reserved for discussion of those distractions that most fascinate the authors during the writing.

As briefly stated above, our ultimate goal is to prove the existence of a universal ODE, an ODE whose periodic solutions contain all knots and links.

## 1.1 Templates

The story begins with *templates*, a beautiful and very powerful construction. It would be very difficult to prove the existence of a universal ODE working directly with ODEs, so following one of the main mantras of mathematics, we collapse out things that are the 'same' and obtain a new object. In this case, we collapse out along the stable direction of a given ODE, identifying all orbits which share the same asymptotic future. This transforms a flow on a three-dimensional manifold into a flow to a semiflow (a one directional flow) on a branched two-dimensional manifold.

We do not discuss the intricacies of this construction, for details, see [3].

**Definition 1** (Template). A *template* is a compact branched two-manifold fitted with a smooth expansive semiflow and built from a finite number of *joining* and *splitting* charts, as in Figure 1 [1].

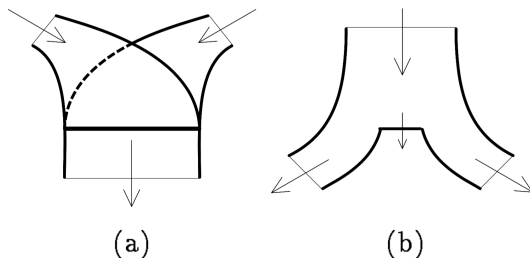


Figure 1: (a) joining and (b) splitting charts, reproduced from [1]<sup>1</sup>

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<sup>1</sup>Regrettably, without permission

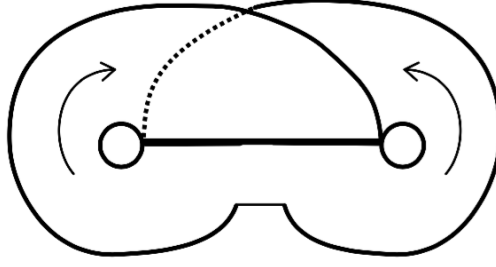


Figure 2: The Lorenz template,  $\mathcal{L}$ , reproduced from [1]. The dashed line indicates that the right subtemplate passes ‘under’ the left subtemplate. It’s worth noting that orbits may ‘fall off’ of templates, as those orbits that pass through the gap in the lower-middle of  $\mathcal{L}$  would. However, these orbits would not be periodic so they are immaterial to us.

Figure 2 shows the template for the familiar Lorenz system, the canonical chaotic system, described in equations 1-3. The simplicity of the Lorenz template is a testament to the elegance of templates - the Lorenz template exactly captures the repeated expanding and folding over of orbits that is the signature of chaos. Even though for certain parameter values, periodic solutions to these equations form a link of infinitely many components [1], this system is not universal! For instance, the figure-eight knot cannot be found amongst the periodic orbits  $\mathcal{L}$  [1].

$$\dot{x} = \sigma(y - x) \quad (1)$$

$$\dot{y} = \beta x - y - xz \quad (2)$$

$$\dot{z} = -\beta z + xy \quad (3)$$

The primary formal advantage of working with templates, is that they allow the use of *symbolic dynamics*. We can identify periodic orbits on a template  $\tau$  with the sequence of strips crossed.

**Definition 2.** Following [1], given a template  $\tau$ , we define

- Branch lines  $\{l_j : j = 1, \dots, M\}$ , the one-dimensional lines strips are connected along
- Strips  $\{x_i : i = 1, \dots, N \geq 2M\}$ , the two-dimensional regions connecting branch lines
- Itinerary  $(x_{s_1} x_{s_2} x_{s_3} \dots)$ , a sequence of strips crossed by an orbit on  $\tau$
- Itinerary space  $\Sigma_\tau = \{a_0 a_1 a_2 \dots\} \subset \{x_1, x_2, \dots, x_N\}^{\mathbb{Z}^+}$ , the set of all possible itineraries on  $\tau$
- The transition matrix  $A_\tau$

$$A_\tau(i, j) = \begin{cases} 0 & \text{if } \nexists \text{ a strip from } x_i \text{ to } x_j \\ 1 & \text{if } \exists \text{ a strip from } x_i \text{ to } x_j \end{cases} \quad (4)$$

It's interesting to note that powers of  $A_\tau$  capture allowable trajectories, or more precisely the  $(i, j)$ th element of the  $k$ th power of  $A_\tau$  will be nonzero iff there is an admissible itinerary of length  $k$  from  $x_i$  to  $x_j$ . In particular,  $(A_\tau^k)_{i,j}$  is the number of different length  $k$  orbits linking  $x_i$  and  $x_j$ . So in principle we have succeeded in reducing questions about the periodic orbits of a flow to questions about powers of a single matrix. Of course, in practice it will be very difficult to compute  $A$  from an arbitrary dynamical system.

$A_\tau$  does *not* contain information about how the strips of a given template cross, meaning that it is not possible to write down the link of knots contained in a template  $\tau$  if given only  $A_\tau$ . An interesting question that we consider in Section 4 is: what information should one keep track of in addition to  $A_\tau$  to allow identification of the knot types of periodic orbits?

See the Figure 4 for an example of a template with labeled strips.

Ghrist confirms that the set of admissible sequences on  $\Sigma_\tau$  corresponds exactly with the set of periodic orbits on  $\tau$ , as desired.

## 1.2 Ordering orbits on templates

It's possible, and will prove useful, to put an order on orbits on  $\tau$ . This is accomplished by choosing an ordering on strips emanating from the same branch line and using the induced lexicographic ordering to order orbits.

This is best illustrated with an example borrowed from [1]. Let  $\mathcal{V}$  be the template illustrated in Figure 4, outfitted with a Markov partition of strips  $\{x_1, x_2, x_3, x_4\}$ . Choose an ordering  $\triangleright$  on the branch lines:

$$l_1 : x_1 \triangleright x_2 \tag{5}$$

$$l_2 : x_3 \triangleright x_4 \tag{6}$$

Then a lexicographic ordering on  $\Sigma_{\mathcal{V}}$  gives an ordering of orbits. For example,  $x_1^2 x_3 x_4 \cdots \triangleright x_1 x_2 x_3 x_4 \triangleright x_1 x_2 x_4 \cdots$ .

Although we have skirted over the details of this ordering here, rest assured that it is well-defined for all templates as confirmed in [4][5][1]

## 1.3 Template inflation

Our main tool in proving the existence of a universal template (and by extension a universal ODE) will be *template inflation*. The following definition are due to [1].

**Definition 3** (Subtemplate). A *subtemplate*  $S \subset \tau$  of a template  $\tau$  is a topological subset of  $\tau$  which, with the restriction of the semiflow of  $\tau$ , satisfies the definition of a template (Definition 1)

**Definition 4** (Template inflation). A *template inflation* of a template  $\tau$  into a template  $\rho$  is a map  $\mathbf{R} : \tau \rightarrow \rho$  taking orbits to orbits which is a diffeomorphism onto its image. The image of a template inflation is, by definition, a subtemplate.

**Definition 5** (Template renormalization). In the important case where an inflation  $\mathbf{R}$  maps  $\tau \rightarrow \tau$  we say that  $\mathbf{R}$  is a *template renormalization* as  $\mathbf{R}(\tau) \subset \tau$  is a subtemplate of  $\tau$  which is diffeomorphic to  $\tau$ .

See Figure 3 for a helpful illustration of how a template renormalization might be constructed.

Note that if a template  $\tau$  admits an inflation, then the image of that inflation (being diffeomorphic to the original template) can again be inflated, giving an increasingly complicated sequenced of embedded subtemplates each diffeomorphic to the original! This is the essential property that makes inflations such a powerful tool in proving the existence of a universal ODE, a bit later we will see exactly how its usefulness is manifest.

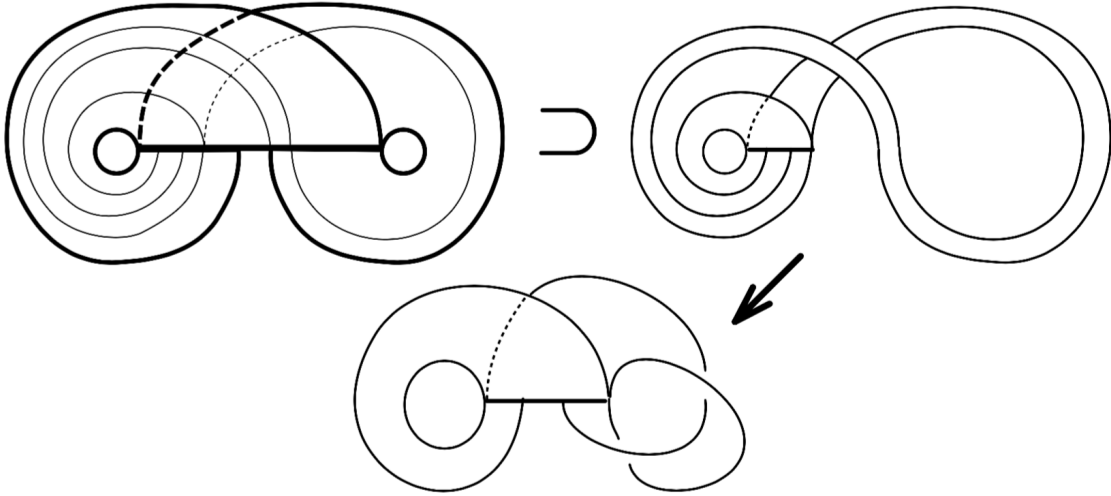


Figure 3: A subtemplate of the Lorenz template  $\mathcal{L}$ . When it is cut along the boundary and removed from  $\mathcal{L}$ , it forms a template diffeomorphic to the original one! Bold lines indicate the boundary of the  $\mathcal{L}$  whereas the lighter lines indicate the boundary of the subtemplate, but can also be thought of as orbits in  $\mathcal{L}$ . Lines are dashed where one subtemplate passes behind another. The crossings in the template boundaries on the lower figure are intended to indicate twists in the strip. Figure reproduced from [1]

A *very* useful lemma proved in [1] follows

**Lemma 1** (Ghrist & Holmes 1993). If templates  $\tau$  and  $\rho$  have  $M$  and  $N$  strips, respectively, a template inflation  $\mathbf{R} : \tau \rightarrow \rho$  induces a map  $\mathbf{R} : \Sigma_\tau \rightarrow \Sigma_\rho$  whose action is to inflate each element of the Markov partition of strips  $\{x_i : i = 1 \dots M\}$  of the template  $\tau$  to a finite admissible word  $\{w_i : i = 1 \dots N\}$  in the generators of  $\Sigma_\rho$ .  $\{\mathbf{w}_i = x_{i_1}x_{i_2} \dots x_{i_k} : i = 1 \dots N, x_{i_j} \in \{x_i\}\}$

For example the inflation  $\mathbf{L} : \mathcal{L} \rightarrow \mathcal{L}$  shown in Figure 3 (with  $x_1$  (resp.  $x_2$ ) set as the left (right) strip) has the following action on itineraries in  $\mathcal{L}$ :

$$\mathbf{L} : \mathcal{L} \rightarrow \mathcal{L} \begin{cases} x_1 \mapsto x_1 \\ x_2 \mapsto x_1x_2 \end{cases} \quad (7)$$

Consider the Lorenz template  $\mathcal{L}$  with the inflation  $\tilde{\mathcal{L}} \subset \mathcal{L}$  as identified in Figure 3. Label the left and right strips of  $\mathcal{L}$  as  $x_1$  and  $x_2$  respectively, and the left and right strips of  $\tilde{\mathcal{L}}$  as  $\tilde{x}_1$  and  $\tilde{x}_2$ . Even though  $\tilde{\mathcal{L}} \subset \mathcal{L}$ ,  $\tilde{\mathcal{L}} \cong \mathcal{L}$ ! To understand why Lemma 1 holds, consider how the  $\tilde{x}_i$  are contained in  $x_i$ .  $\tilde{x}_1 \subset x_1$  so one can easily see that orbits in  $\mathcal{L}$  passing through  $x_1$  will pass through  $\tilde{x}_1$  under the inflation that takes  $\mathcal{L}$  to  $\tilde{\mathcal{L}}$ . On the other hand,  $\tilde{x}_2 \subset x_1 \cup x_2$ ; orbits in  $\tilde{\mathcal{L}}$  passing through  $\tilde{x}_2$  will first pass through  $x_1$  and then  $x_2$  when pulled back along the inflation. This actually serves to prove the lemma if rewritten generally.

As a quick recap, we've established that renormalizing a template  $\tau$  induces a map on the itineraries  $\Sigma_\tau$  of  $\tau$  that preserves the *dynamics* of  $\tau$ . However we have *not* yet established that the renormalization (and by extension the induced map on itineraries) preserves the knot type of orbits. We now identify a special class of inflations that preserve knot type, as these are the inflations that we're really after.

**Definition 6.** An *isotopic inflation* of embedded templates  $\tau$  and  $\rho$  is a template inflation  $\mathbf{R} : \tau \rightarrow \rho$  such that  $\mathbf{R}(\tau)$  is isotopic to  $\rho$  (in the ambient space)

## 2 Proof that $\mathcal{V}$ is a universal template

In this section we outline the proof given in full detail in [6] that  $\mathcal{V}$  (shown in Figure 4) is a universal template. Details will inevitably be omitted but our goal in this exposition is to straddle the line between the full proof and the very high-level outline given in [1], giving a concise and readable exposition that gets at the essence of the proof.

The essential idea is to find a special set of templates which have a special property that forces them to support all braids as orbits, and then to show that these templates can be found in  $\mathcal{V}$ . Since all knots and links can be realized as a braid, this immediately implies that  $\mathcal{V}$  is universal. The last step of showing that the special braid-supporting templates can be found in  $\mathcal{V}$  is the most difficult by far and where we will have to do the most hand-waving. Nonetheless, we will try to capture the essence.

Also important in this proof is the existence of the  $\mathcal{U}$  template, pictured below in Figure 4.  $\mathcal{U}$  and  $\mathcal{V}$  are essentially equivalent, with  $x_3$  and  $x_4$  swapped.  $\mathcal{U}$  also universal.

### 2.1 Braids and the theorem of Alexander

Recall that a closed braid is a collection of  $P$  disjoint simple closed curves in a standardly embedded torus  $D^2 \times S^1$  such that every  $D^2$  cross-section of the torus intersects the closed braid in exactly  $P$  points.

In a landmark paper [7], Alexander proved the following theorem which provides the crucial connection between braids and links.

**Theorem 1** (Alexander 1923). *Each knot or link is isotopic to some closed braid on  $P$  strands for some  $P$*

### 2.2 The templates $\mathcal{W}_q$

The family of templates  $\{\mathcal{W}_q\}$  shown in Figure 5 are those referred to earlier as the braid-supporting templates.  $\mathcal{W}_1$  is identically  $\mathcal{V}$  and increasing  $q$  by one has the effect of adding two *ears* of alternating 'sign' to one side. The property that successive ears alternate in 'sign'

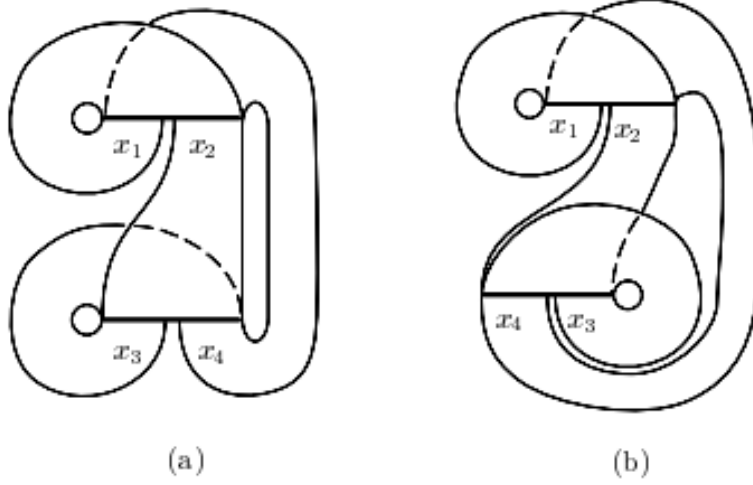


Figure 4: (a) The universal template  $\mathcal{V}$  and (b) The universal template  $\mathcal{U}$ , reproduced from [?]

is what makes this family of templates so useful, as this property makes proving that they support all braids delightfully simple.

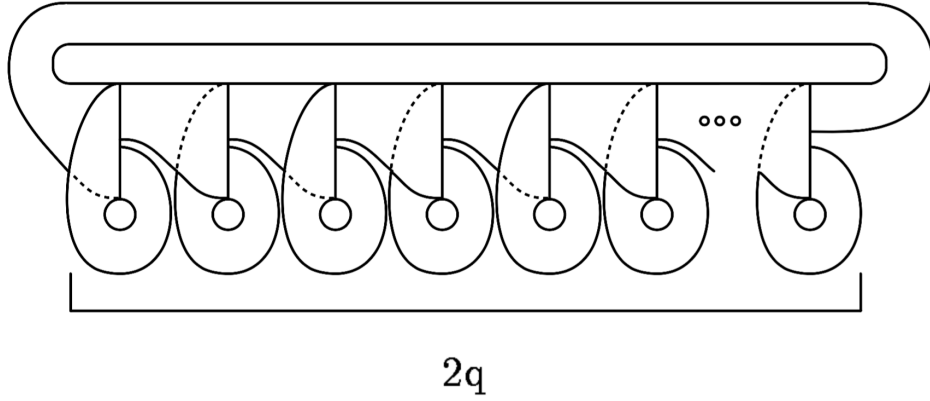


Figure 5: The templates  $W_q$ , reproduced from [1]

**Lemma 2** (Ghrist 1996). An isotopic copy of any closed braid exists as a set of periodic orbits on some  $W_q$  for sufficiently large  $q$ .

*Proof.* The concatenation of alternating positive and negative ears on  $W_q$  mimics the braid group concatenation operation. The braid group  $B_{n+1}$  is naturally generated by  $\sigma_1, \sigma_2, \dots, \sigma_n$ , where  $\sigma_i$  is a single crossing of the  $i$ th strand over the  $(i+1)$ th strand and its inverse,  $\sigma_i^{-1}$ , is a single crossing of the  $(i+1)$ th strand over the  $i$ th strand. Naturally,  $\sigma_i \sigma_i^{-1} = \sigma_i^{-1} \sigma_i = I$ . We can consider the elements  $\pi_1, \pi_2, \dots, \pi_n, \pi'_1, \pi'_2, \dots, \pi'_n$  of  $B_{n+1}$ , where  $\pi_i = \sigma_1 \sigma_2 \dots \sigma_i$  and  $\pi'_i = \sigma_1^{-1} \sigma_2^{-1} \dots \sigma_i^{-1}$ . Note that  $\pi_1 = \sigma_1$ ,  $\pi'_1 = \sigma_1^{-1}$ , and that for  $i > 1$ ,



$$\begin{aligned}
\pi_{i-1}^{-1}\pi_i &= (\sigma_1\sigma_2\cdots\sigma_{i-1})^{-1}\sigma_1\sigma_2\cdots\sigma_i \\
&= \sigma_{i-1}^{-1}\cdots\sigma_2^{-1}\sigma_1^{-1}\sigma_1\sigma_2\cdots\sigma_i \\
&= \sigma_{i-1}^{-1}\cdots\sigma_2^{-1}\sigma_2\cdots\sigma_i \\
&= \sigma_{i-1}^{-1}\sigma_{i-1}\sigma_i \\
&= \sigma_i
\end{aligned}$$

$$\begin{aligned}
\pi'_{i-1}{}^{-1}\pi'_i &= (\sigma_1^{-1}\sigma_2^{-1}\cdots\sigma_{i-1}^{-1})^{-1}\sigma_1^{-1}\sigma_2^{-1}\cdots\sigma_i^{-1} \\
&= \sigma_{i-1}\cdots\sigma_2\sigma_1\sigma_1^{-1}\sigma_2^{-1}\cdots\sigma_i^{-1} \\
&= \sigma_{i-1}\cdots\sigma_2\sigma_2^{-1}\cdots\sigma_i^{-1} \\
&= \sigma_{i-1}\sigma_{i-1}^{-1}\sigma_i^{-1} \\
&= \sigma_i^{-1}
\end{aligned}$$

We can conclude that the elements  $\pi_1, \pi_2, \dots, \pi_n, \pi'_1, \pi'_2, \dots, \pi'_n$  of  $B_{n+1}$  are a generating set for  $B_{n+1}$ .

For the braid group  $B_{n+1}$ , notice that for all  $1 \leq i \leq n$ ,  $\pi_i$  can be drawn on any positive ear of  $\mathcal{W}_q$ , letting all but the bottom strand take the top strip and forcing the bottom strand to take the bottom strip, cross over exactly  $i$  strands, and take the top strip. Similarly, for all  $i \leq n$ ,  $\pi'_i$  can be drawn on any negative ear of  $\mathcal{W}_q$ , letting all but the bottom strand take the top strip, forcing the bottom strand to take the bottom strip, cross under exactly  $i$  strands, and take the top strip. Examples of  $\pi_2$  and  $\pi'_2$  of the braid group  $B_5$  are drawn in Figure 6.

Because this set of elements generates the braid group  $B_{n+1}$ , any braid  $B \in B_{n+1}$  can be drawn as a sequence of  $\pi_i$  and  $\pi'_i$ . If  $B$  can be represented with  $m$  of these elements, then the closure of  $B$  lies in  $W_m$ , as  $W_m$  contains  $m$  pairs of positive/negative ears that can each support a  $\pi_i$  or  $\pi'_i$  structure. Thus, any closed braid on  $N$  strands lies in  $W_q$  for sufficiently large  $q$ . □

### 2.3 Find $\mathcal{W}_q \subset \mathcal{V}$ for all $q$

The remainder of the proof is to show with a careful sequence of isotopic renormalizations that  $W_q$  is contained in  $\mathcal{V}$  for all  $q$ . First we define the needed renormalizations.

#### 2.3.1 Special Inflations: $\mathcal{F}$ and $\mathcal{G}$

In his proof, Ghrist uses a few special inflations,  $\mathcal{F}$  and  $\mathcal{G}$ , and accompanies them with a proposition:

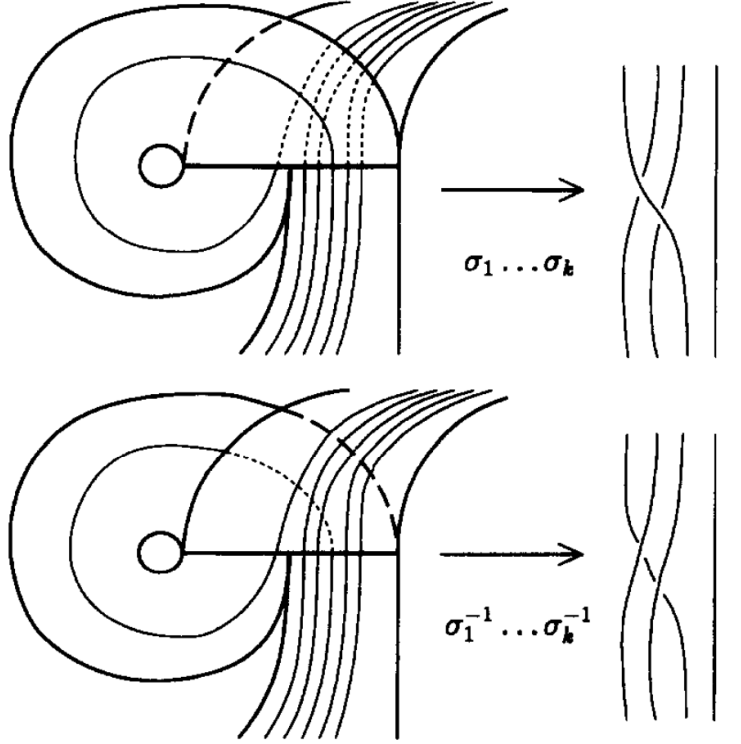


Figure 6: Top: how to put  $\pi_2$  on a positive ear Bottom: how to put  $\pi_2'$  on a negative ear. Figure reproduced from [6]

**Proposition 1.** The following inflations are isotopic.

$$F : U \hookrightarrow \mathcal{V} \begin{cases} x_1 \mapsto x_1 \\ x_2 \mapsto x_1 x_2 x_3 \\ x_3 \mapsto x_4 x_2 \\ x_4 \mapsto x_4 \end{cases}$$

$$G : \mathcal{V} \hookrightarrow U \begin{cases} x_1 \mapsto x_1 \\ x_2 \mapsto x_1 \\ x_3 \mapsto x_2 x_4 \\ x_4 \mapsto x_2 x_3 x_4 \end{cases}$$

Diagrams witness to the fact these are valid isotopic inflations are given in Figures 7 and 8. We couldn't infer isotopy from the diagram given in Figure 3 because the subtemplate in that figure had a twist in a strip, but we can infer isotopy from the diagrams in Figures 7 and 8.

Additionally, we will need the following (*not* isotopic) inflation which takes each orbit to

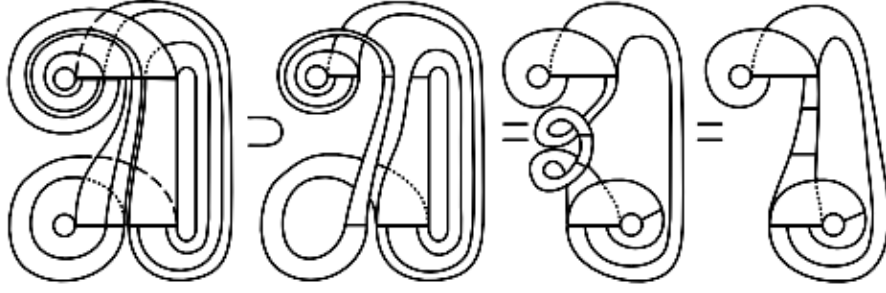


Figure 7: This shows that  $\mathcal{F}$  is isotopic by showing that an image of  $\mathcal{F}$  is isotopic to  $\mathcal{U}$ . Figure reproduced from [?]

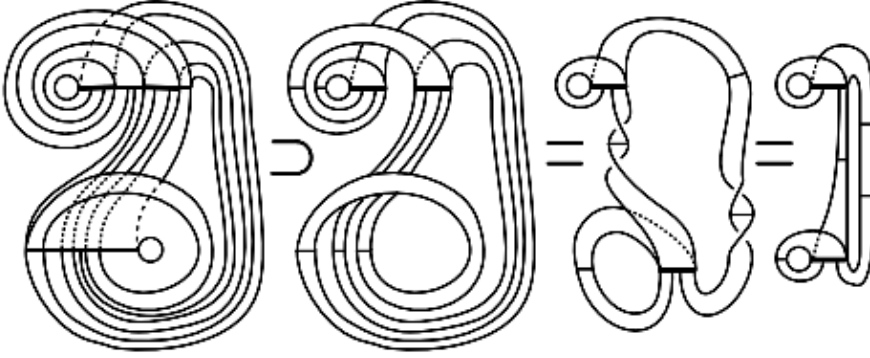


Figure 8: This shows that  $\mathcal{G}$  is isotopic by showing that an image of  $\mathcal{G}$  is isotopic to  $\mathcal{V}$ . Figure reproduced from [?]

its mirror image:

$$\chi : \begin{matrix} U \mapsto U \\ \mathcal{V} \mapsto \mathcal{V} \end{matrix} \begin{cases} x_1 \mapsto x_3 \\ x_2 \mapsto x_4 \\ x_3 \mapsto x_1 \\ x_4 \mapsto x_2 \end{cases}$$

Although  $\chi$  is not isotopic on its own, its conjugation with another inflation is isotopic as seen in the following lemma.

**Lemma 3** (Ghrist 1997). Given an isotopic inflation  $A$  having either  $\mathcal{U}$  or  $\mathcal{V}$  as a domain and range (independently of each other), the inflation  $A^* = \chi A \chi$  is also isotopic.

*Proof.* Reidemeister moves commute with the mirror operation. That is, if  $K$  is a projection of a knot and  $R$  is a Reidemeister move, then  $R(K^*) \cong (R(K))^*$ . So although  $\chi$  does not commute with  $A$  as far as the symbolic action the inflations have on orbits, their *topological* actions commute. Hence, topologically,  $A^*$  acts as  $\chi^2 A$ .  $\chi^2$  is the identity so is obviously isotopic. Therefore  $A^*$  is isotopic to  $A$ .  $\square$

The symbolic action of  $F^*$  is easily computed. For example, given  $F$  as defined above,  $F^*$  is defined as

$$F^* : U \hookrightarrow \mathcal{V} \begin{cases} x_1 \mapsto x_2x_4 \\ x_2 \mapsto x_2 \\ x_3 \mapsto x_3 \\ x_4 \mapsto x_3x_4x_1 \end{cases}$$

Because  $F$  is isotopic, we know that  $F^*$  must be isotopic as well; in addition,  $G^*$  is also isotopic.

We finally have the tools we need to give the proof that  $\mathcal{W}_q$  can be found in  $\mathcal{V}$  for all  $q$ .

We must start with a lemma, provided by Ghrist.

**Lemma 4** (Ghrist 1997). Given that a subtemplate of  $\mathcal{V}$  doesn't contain  $x_1^\infty$ , it is possible to add an ear near the top branch line, like that in Figure 9, which is a positive ear. Similarly, given that a subtemplate of  $\mathcal{V}$  doesn't contain  $X_3^\infty$ , it is possible to add an ear near the bottom branch line, which is a negative ear.

Now, we prove that  $\mathcal{W}_q \subset \mathcal{V}$  for all  $q$ .

*Proof.* Let us begin with  $\mathcal{W}_1 = \mathcal{V}$ . Let us calculate the symbolic action of the inflation  $\mathfrak{H} : \mathcal{V} \rightarrow \mathcal{V}$  defined as  $F^*GFG^*$ . This is accomplished with the aid of Lemma 1 by successively expanding letters (strips) in the space of itinerary into the admissible words they are mapped into under the inflation. For instance,  $G^*$  takes  $x_1$  to  $x_4x_2$ , which when composed with  $F$ 's action on the itineraries maps to  $x_4x_1x_2x_3$ . Performing all of the composition in succession yields the full action:

$$\mathfrak{H} : \mathcal{V} \hookrightarrow \mathcal{V} \begin{cases} x_1 \mapsto x_2x_3^2x_4x_1(x_2x_4)^2x_2x_3x_4x_1 \\ x_2 \mapsto x_2x_3^2x_4x_1(x_2x_4)^3x_2x_3x_4x_1 \\ x_3 \mapsto x_2x_3^2x_4x_1x_2x_4 \\ x_4 \mapsto x_2x_3^2x_4x_1x_2x_4 \end{cases}$$

Note that  $\mathcal{G}^*$  is an isotopic inflation from  $\mathcal{V}$  to  $\mathcal{U}$ ,  $\mathcal{F}$  is an isotopic inflation from  $\mathcal{U}$  to  $\mathcal{V}$ ,  $\mathcal{G}$  is an isotopic inflation from  $\mathcal{V}$  to  $\mathcal{U}$ , and that  $\mathcal{F}^*$  is an isotopic inflation from  $\mathcal{U}$  to  $\mathcal{V}$ . It must follow that  $\mathfrak{H}$  is an isotopic inflation from  $\mathcal{V}$  to  $\mathcal{V}$ ; in other words, an isotopic renormalization.

This renormalization satisfies the condition that its image (which is a subtemplate of  $\mathcal{V}$ ) does not contain  $x_1^\infty$ . So, after performing this renormalization, we will be able to add a positive ear at the top branch line yielding an object we refer to as  $\mathcal{W}_1^+$ . To obtain  $\mathcal{W}_2$  from this, we must add the negative ear.

There exists a similar inflation  $\mathfrak{H}^*$  from  $\mathcal{V}$  onto  $\mathcal{V}$  that allows for the addition of a negative ear at the bottom branch line. Now, we have added a positive ear and a negative ear, so we are at  $\mathcal{W}_2$ , yet this subtemplate is still contained within  $\mathcal{V}$ ; this implies that  $\mathcal{W}_2 \subset \mathcal{V}$ . Now, we can repeat this procedure, adding another positive and negative ear after the respective inflations to get  $\mathcal{W}_3$ .

This procedure can be repeated  $q$  times to show that  $\mathcal{W}_q \subset \mathcal{V}$  for any positive  $q$ ; thus, by induction,  $\mathcal{W}_q \subset \mathcal{V}$  for all  $q$ .  $\square$

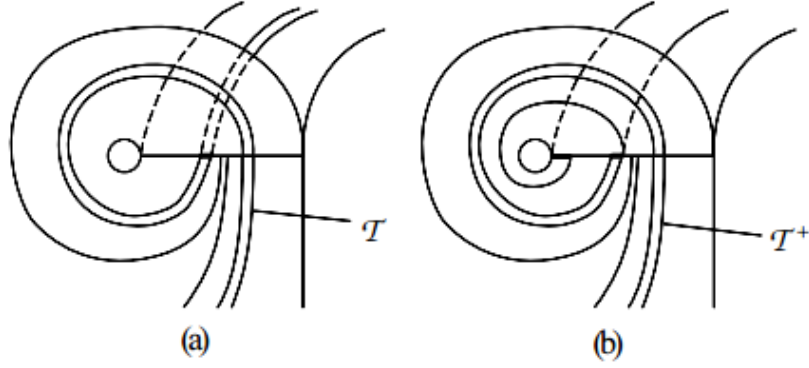


Figure 9: Appending a positive ear to  $T$  (left) yields  $T^+$  (right). Figure reproduced from [6]

## 2.4 Universal Templates and $\mathcal{V}$

**Theorem 2** (Ghrist 1996). *The template  $\mathcal{V}$  contains an isotopic copy of every tame knot and link as a periodic orbit of the semiflow.*

*Proof.* This proof comes immediately following the fact that  $\mathcal{W}_q \subset \mathcal{V}$  for all  $q$ , and that all closed braids (and thus all tame links and knots) can be contained within  $\mathcal{W}_q$  for large enough  $q$ . Thus,  $\mathcal{V}$  contains every tame knot and link.  $\square$

Ghrist then extends the theorem above to prove the following:

**Theorem 3** (Ghrist 1996). *The template  $\mathcal{V}$  contains all orientable templates as subtemplates of  $\mathcal{V}$ .*

It must follow that if there are any other universal templates  $T$ , then  $\mathcal{V}$  must contain  $T$  as a subtemplate. As  $T$  contains all tame links and knots as well, as it is universal, the same theorems can be applied to  $T$  as were applied to  $\mathcal{V}$ , so that  $T$  also contains all templates (including  $\mathcal{V}$ ).

## 3 Implications for ODEs in general

Very brief exposition on section 5 in a knotty ode discussing what the universality of  $\mathcal{V}$  implies in general. Proving universality is reduced to showing that a template can be renormalized to  $\mathcal{V}$

## 4 Miscellaneous discussions and interesting open questions

1. The last theorem of Section 2.4 hints at a requirement for two templates to be considered equal: given templates  $A$  and  $B$ ,  $A$  and  $B$  are equal if  $A \subset B$  and  $B \subset A$ . If each template may be contained within the other, then all knots in  $A$  must be contained in  $B$  as well, and all knots in  $B$  must be contained in  $A$  as well.

2. We can also touch upon  $A_\tau$ , from section 1.1. What information does  $A_\tau$  give us, and if we miss information, how can we include that forgotten information in other methods?

$A_\tau$  just gives us which strands lead to which strands, so it's possible to form a skeleton of the template from this adjacency matrix by leading each strand to its next destination. Every strand must end at a branch line, so we know that if there are multiple strands  $x_i$  from a strand  $x_j$  as encoded by  $A_\tau$ , that there must be a split from  $x_j$  to all  $x_i$ .

However, we do miss a few crucial details. When we encounter a scenario in which two strands join at a branch line,  $A_\tau$  fails to show which strand is over the other. We can remedy this by keeping a list where each branch is represented by one element, which is actually a list of strands from over-strands to under-strands. For example, in the  $\mathcal{V}$  template (Figure 4), we would have a list of two elements, one for each branch line, and the list would look like  $[[x_1, x_4], [x_2, x_3]]$ , as for the top branch line, the  $x_1$  branch is over the  $x_4$  branch and for the bottom branch line, the  $x_2$  branch is over the  $x_3$  branch.

We also fail to identify when a single strand twists. For example, in Figure 3, the adjacency matrix representing the subtemplate removed from  $\mathcal{L}$  would be equivalent to the adjacency matrix representing the Lorenz template. This can be solved by keeping a list of length equal to the number of strands, where each element is an integer equal to how many times the strand twists counterclockwise minus the number of times the strand twists clockwise. This ensures that a strand that twists counterclockwise has a positive value, a strand that twists clockwise has a negative value, and a strand that does not twist at all simply has value 0. It is, after all, irrelevant where on the strand the twist lies; it can lie immediately after the strand splits from the branch line, or just before the strand joins with another strand.

We considered the question, "Given a knot  $K$ , provide all necessary information as described above to give a template that contains that knot, and give an ordering of strands that generates that knot." We concluded the first half of this question to be mostly trivial, as it is possible to simply give the adjacency matrix (and all relevant information) that encodes a universal template like  $\mathcal{V}$ , or even to take the neighborhood of that knot and flatten it in one direction. This second option would give us a template that we can follow to easily construct the knot in question, and even renders giving an ordering of strands to generate the knot in this template trivial.

Then, it makes sense to ask, "Given  $A_\tau$  and all necessary numerical information to encode the template and given a knot  $K$ , does this knot exist on the template described?" This seems rather hard, and will not be covered.

3. Although the Lorenz system is not universal, many Lorenz-like systems are, in fact, universal. Consider the specific subtemplate of the Lorenz template, cut along the boundary and removed from  $\mathcal{L}$ , from Figure 3. The right branch of this subtemplate twists counterclockwise twice; it can be identified as  $\mathcal{L}(0, 2)$ . In 1996, Ghrist proved that all Lorenz-like templates  $\mathcal{L}(0, n)$  for  $n < 0$  are universal; this proof utilizes the property that  $\mathcal{L}(0, n) \subset \mathcal{L}(0, n - 2)$  for all  $n$ ,  $\mathcal{L}(0, -4) \subset \mathcal{L}(0, -1)$ , and  $U_0 \subset \mathcal{L}(0, -2)$  ( $U_0 = U$  is a universal template as well) [6].

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